

# Automating the Incremental Evolution of Controllers for Physical Robots

Andrés Faíña, Lars Toft Jacobsen, Sebastian Risi

IT University of Copenhagen

Copenhagen, Denmark

anfv@itu.dk, latj@itu.dk, sebr@itu.dk

## Abstract

Evolutionary robotics is challenged with some key issues that must be solved, or at least mitigated extensively, before it can fulfill some of its promises to deliver highly autonomous and adaptive robots. The *reality gap* and the ability to transfer phenotypes from simulation to reality is one such problem. Another lies in the embodiment of the evolutionary processes which links to the first, but focuses on how evolution can act on real agents and occur independent from simulation i.e. going from being “the evolution of things, rather than just the evolution of digital objects...”[9]. The work presented here investigates how fully autonomous evolution of robot controllers can be realized in hardware using an industrial robot and a marker-based computer vision system. In particular, this paper presents an approach to automate the reconfiguration of the test environment and shows that it is possible, for the first time, to incrementally evolve a neural robot controller for different obstacle avoidance task with *no human intervention*. Importantly, the system offers

a high level of robustness and precision that could potentially open up the range of problems amenable to embodied evolution.

## 1 Introduction

In evolutionary robotics (ER; [3, 33]), robot morphologies and control policies are optimized through artificial evolution. Such evolutionary optimizations are often performed inside a computer using simulations, which allows the fast evolution of large populations over many generations; only the best evolved individuals are then replicated in the physical world [10, 26]. Alternatively optimization can be performed in a real-world physical substrate by evaluating the performance of an actual physical robot in its environment. While artificial evolution in the physical world is much more time consuming than its simulation-based counterpart, it has some immediate benefits.

One major issues with simulation-based optimization is the aptly named *reality gap* [21]. It remains an open question in evolutionary robotics how to effectively address the problem that reality is notoriously difficult to model in simulations. Inaccurate models of the environment and the robot can lead to controllers or morphologies that under-performs in reality, even though they appeared promising in simulation. Effectively, evolution can and will exploit features of the simulation that will either be different or not be present in reality.

While running evolution solely on a physical robot does effectively circumvent the reality gap problem [17, 18, 39, 43, 44], it does present a number of challenges that go beyond the slower evolutionary speed. While it is straightforward in a simulator to reset a simulated robot back to its starting position or to test its performance in different environments, how to automate this process in the physical world is less clear. Many

evolutionary experiments in the physical world still require significant support by human experimenters, such as (1) moving robots by hand to a particular position for evaluation [6, 17], (2) keeping track of objects in the environment to calculate fitness [17], or (3) manually re-configuring the environment. These limitations have significantly restricted the type of behaviors that can be evolved on physical robots.

To further increase automation and to open up the scope of problems amenable to embodied evolution, this paper presents a reality-based evolutionary system in which an industrial robot arm is able to automatically reconfigure and setup the training environment (including placing obstacles and moving the robot back to its starting position) for an obstacle avoidance and navigation task. The system uses computer vision to control the robot arm, manage the test environment and provide measurements used in fitness calculations during the evaluation of a controller phenotype. Furthermore, the system can perform completely autonomous reality-based optimization of a control policy for a robot: (1) it governs the evolution of the robot's neural network controller and sets up the environment for each evaluation and (2) it performs fitness calculations and advances to the next generation after completing the evaluation of the entire population. Additionally features built into the system include a complex environment building algorithm, to allow fast and robust operation. A series of experiments was conducted in order to evaluate the system in terms of robustness, precision, features and ability to autonomously evolve well-performing control policies.

With this new setup in place it is now possible to run more complex evolutionary experiments, such as *incremental evolution* [15], autonomously in the physical world. While incremental evolution has shown to facilitate the evolution of controllers for simulated [15, 29, 30] and real robots [16], the challenge to automatically reconfigure the domain environment in the physical world has so far hindered the adoption of such an approach

for more complex tasks. Because of this limitation, controllers are often evolved incrementally in simulation and only afterwards transferred and fine-tuned in the real world [11]. In this paper we show that the developed system is able to fully autonomously perform incremental evolution of increasingly complex obstacle avoidance tasks. Additionally, it is able to reconfigure the environments with a high degree of precision and fault tolerance. The hope is that in the future this system could become an additional tool to explore the evolution of autonomous robots directly in the physical world. In order to accelerate this process, the code and setup to run the experiments described here are available at: <https://bitbucket.org/afaina/embodiedevolution>.

The paper begins in the next section by reviewing related prior work in evolutionary robotics with an emphasis on embodied evolution (Section 2). Section 3 then details the hardware design of the approach in this paper, followed by the software components (Section 4). The experimental setup used to validate the system is explained in Section 5, followed by the results in Section 6. The paper concludes with discussion and future work (Section 7).

## 2 Background

In evolutionary robotics [3, 33], evolutionary algorithms, a class of population-based metaheuristics, are used to optimize the control policy and/or morphology of an autonomous robot. This is contrary to mainstream robotics where most aspects of the robot are designed from domain knowledge and machine-learning algorithms are used to optimize the running system. While mainstream design and optimization techniques can make a robot perform a task fast and with a certain amount of reliability, it does not say anything about if a different kind of robot actually would be more suited for the

given application. Evolution on the other hand is not biased when it comes to design choices or particular approaches (unless we introduce this bias), it simply rewards the best performers given an appropriate fitness function. Since biological evolution is the only force known to have created fully autonomous *and* adaptive systems, this holds great promises for robotics. Generally speaking the benefits of evolving some or all aspects of robots using population-based metaheuristics are, that few assumptions needs to be made about the problem but it also comes with costs. The developmental process offers no guarantee as to if or when an optimal morphology or controller is found and often a large number of evaluations is required before the results become useful [3].

## 2.1 Neuroevolution

Artificial neural networks (ANNs) have long been the favored approach when modeling control policies [12, 33, 42]. This is mainly because of its roots in machine learning where ANNs are used in decision making process and become increasingly proficient at a task through learning strategies. Evolutionary robotics also embraces neural networks for robot controllers. But instead of engineering networks and employing machine learning techniques, like backpropagation, to optimize the network, neural networks are evolved artificially. This approach has been driving research in neuroevolution, because it calls for rather rich and complex representations schemes in part due to the very large potential state space of neural networks. Among some of the more prominent work is Stanley et al.'s *NeuroEvolution of Augmenting Topologies* or NEAT [41]. NEAT provides genetic encoding of networks in a linear fashion where markers make it possible to line up evolved features from two genomes during crossover - even after many generations. In combination with speciation, NEAT provides good protection of innovation, that could otherwise be lost in previously suggested representations. A differentiating feature of

NEAT to earlier methods, which often optimized ANNs with fixed topologies [33], is that it evolves connection weights and structure at the same time. NEAT can both add hidden nodes and connections and thus explore a large solution space.

## 2.2 The Reality Gap

A computer simulation has the advantage that large populations can be evaluated over many generations in a short amount of time. This advantage is what sparked research in evolutionary algorithms in the first place. It is also the reason that simulation is a common starting point in evolutionary robotics – the cost of building and optimizing robots in the real world is high.

However, because both the simulated agent and the environment it interacts with are only abstract models of the physical world, transferring controllers evolved in a simulator onto physical robots has its challenges. If the model is inaccurate this can lead to highly unexpected behavior in the real robot, even though the control policy or other aspect worked well in simulation. Essentially, evolution will exploit attributes of the simulator that are different from or not present at all in reality. This discrepancy between what's optimized in simulation and how it actually performs in reality is referred to as the *reality gap*.

For example, because of idiosyncrasies in robot sensors, they often correspond slightly different when exposed to the same stimulus. To alleviate this issue when transferring controllers to the real world, Miglino et al. [27], sampled robot sensors empirically and used those results in a simulator to set the activation levels for the simulated sensors. Controllers evolved through this approach work reasonable well when transferred to the real world [31, 32], but it is difficult to scale the approach to more complex environments. Other such as Jakobi et al. [21] further point out the importance of taking great

care in modeling the simulator in simulation-based optimization. Not only should the simulator model be based on large quantities of empirical data [27], but noise must also be introduced since transducers in reality are noisy. Because simulation is so appealing, a lot of work has gone into improving the accuracy of simulation-based optimization in attempt to further close the reality gap. Jakobi [20] also introduced the concept of *minimal simulations* as a way to circumvent the reality gap. In this approach only the characteristics of the interaction between robot and environment are modeled that are critical for the emergence of a desired behavior. Others such as Lehman et al. [25] have shown that a more robust transfer to the real world can also be achieved by encouraging machines to be more *reactive* to their environmental inputs.

A different approach was introduced by Koos et al. [23], called the *transferability* approach, that takes the perspective of how well a solution transfers from simulation to reality. So this becomes a multi-objective approach where simulators are optimized in parallel with the actual robot. The fitness of the simulators is derived from their ability to transfer to reality and this measure in turn comes from evaluating the simulated controller in a real robot. More recently and building on this work, the authors showed that an intelligent trial and error algorithm allows physical robots to quickly adjust to damage by creating a map of promising behaviors in a simulation beforehand [8].

Even with improved methods to perform simulation-based optimization, the reality gap remains a critical issue. Reality-based optimization will either directly be a part of the process, or as a minimum, the last step for any experiment that ultimately wants to produce a real robot.

## 2.3 Evolution of Physical Machines

In its most strict definition [9], *embodied artificial evolution* requires the embodiment of self-sustained evolution. That is the controller or the morphology is evolved in-place, in a running system using resources available to the robot as part of its construction or in the environment. This is definitely hard to achieve, but more modest approaches that involves controller evolution in real robots and automation i.e. by using other robots has proven quite successful as well.

This kind of embodiment, and relating to this project, is also shown in the work of Watson et al. [43], Heinerman et al. [17], Prieto et al. [35], and Bredeche et al. [5], where controllers are evolved and optimized in groups of real robots. The use of multiple robots allows parallel evaluation and reproduction among the robots, which speeds up the optimization process and allows the robots to evolve their behavior in the task environment. Because multiple real robots are involved, the approach also offers the opportunity to study the evolution of collective behaviors in the real world. Bredeche et al. [4] and Montanier and Bredeche [28] also showed that robot controllers can be evolved on-line and on-board of single robots. In their setup each robot maintains a population of controllers inside itself, which are evaluated sequentially. However, none of aforementioned works include the automatic reconfiguration of the domain environment and often still require human intervention.

Early and foundational work in evolutionary robotics in the real world was performed by Nolfi, Floreano and colleagues, for which their seminal textbook gives a good overview [33]. One of their most complex experiments carried out entirely in the physical world was the evolution of a robot that performs homing navigation [14]. The experiment took ten days and required the authors to introduce obstacles manually. In more recent



work, Floreano and Keller [13] performed reality-based optimization using one or more robots in different environments. In this case the environments presented different tasks and hence different controllers. Again, the environments were static for the purpose of accomplishing a specific task.

Moving towards more automated approaches, Brodbeck et al. [6] recently presented a system that allows the automated manufacturing of physical robots. In their setup the phenotypes of evolved morphologies are created by an industrial robot. The genome is a set of instructions on how to join a set of only two components – a passive and an active element. The goal is to evolve speed of locomotion. The entire process is not fully autonomous though; after the creation of a phenotype it must be manually moved to the testing area and the task is performed on different surfaces. Likewise the phenotype must be disassembled and the elements returned to the building area. The interesting part is the model-free phenotype development that Brodbeck et al. apply. It makes it possible to evolve morphologies, albeit constrained, that can be built autonomously by another robot. So this is an important step towards autonomous and adaptive systems.

There is a clear incentive to move forward in investigating automation and embodiment. Although simulation for all practical purposes cannot be disregarded, autonomous reality-based optimization could prove useful in cases where transferability is very low or simply to completely overcome simulate-and-transfer issues. In this paper we aim to further increase automation in embodied evolutionary systems, by allowing them to also reconfigure the robot's training environment, which is explained next.

### 3 Experimental Design

Since evolutionary robotics often is applied in evolving only the controller for a specific platform to perform a certain task, or to make an inquiry into certain evolved behaviors, it makes sense to ask how automation and/or complete experiment autonomy can be achieved. In particular if it can improve on previous work by establishing an entire optimization ecosystem that contains not just a standardized robotic platform but also encapsulates automation of the most dominant tasks involved. This could be used to create environmental variations or reconfigurations; aid in collecting transferability metrics and speed up the evaluation process in long-running experiments by leaving out the need for human intervention to set up the physical test environment prior to each evaluation. Other positive side-effects of letting a robot conduct the tedious set-up tasks involved in reality-based optimization, can be greater precision and accuracy.

To investigate how automation can be applied to reality-based optimization, a test bed for conducting such experiments was developed. This is a complete setup that allows an industrial robot to be used in conjunction with a small evaluation environment and the necessary hardware and software infrastructure to conduct automated evolution and evaluation.

The experimental test bed (Figure 1) is based on an industrial robot (UR5), an environment or *arena*, in which the optimization of a controller for a small robot is performed and a computer vision system. The entire arena is well within the reach of the industrial robot. To track objects, a computer vision system is in place overseeing the primary work area of the UR5.

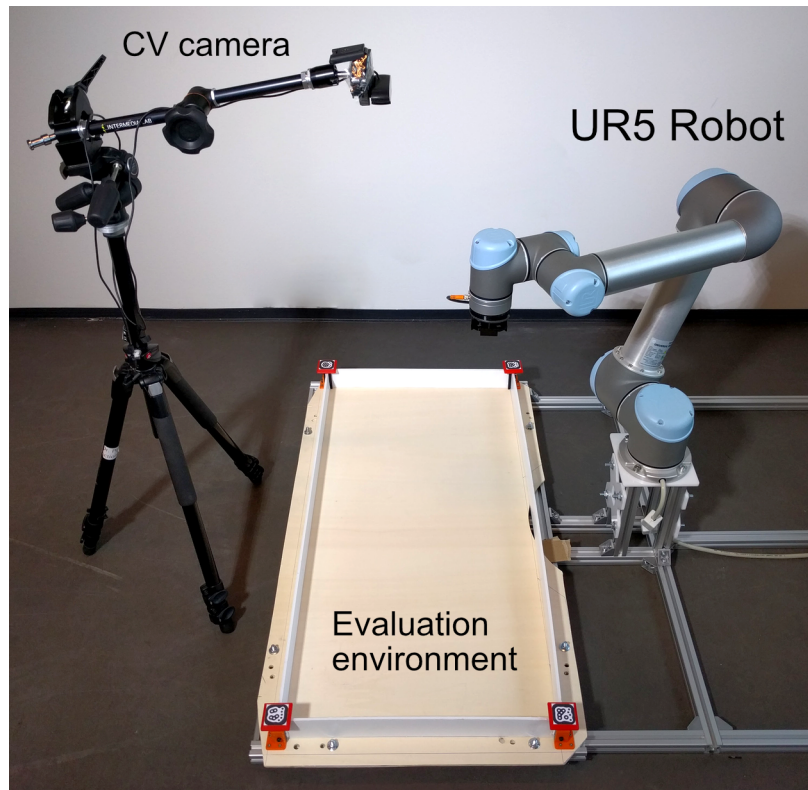


Figure 1: The main working area of the system including the UR5 robot, the evaluation environment (arena) to contain robot(s) and objects and the computer vision camera.

### 3.1 Control Robot

The Universal Robots UR5 industrial robot arm has six independent joints, a spherical workspace that extends 850mm from the base joint, and can carry a payload of up to 5kg [37]. These attributes naturally poses some restrictions on the design of the experiments in general and on the evaluation area in particular.

The UR5 comes with a control module that powers and controls the six joints. It features real-time control software that abstracts away the low-level hardware interfaces and exposes a high-level interface to movement commands and telemetry. The robot can be controlled or programmed directly from a pendant terminal or programmed and con-

trolled via common communication interfaces like Ethernet and the industry standard MODBUS.

Communication between the UR5 and the main application is based on the TCP/IP socket interface over Ethernet because it provides a robust and ubiquitous physical connection and because it provides access to a rich API that can be programmed using the proprietary URScript [36] programming language. URScript is a Python-like language that includes a number of modules that encapsulates different functionalities of the UR5: motion, math, internals (telemetry) and interfaces (GPIO, tool, etc.).

In the presented setup, the UR5 performs pick-and-place like operations, e.g. move to an object, pick it up, move to new location in the arena and place the object back on the floor.

### **3.2 Robot End Effector**

The robot arm end effector, or tool, needs to be compatible with the objects it manipulates. Many industrial effectors are grippers that can hold on to objects of varying width or, to some degree, shape. Thus, grippers are great universal tools albeit expensive and complex. Instead of using a generic gripper this system employs a custom designed magnetic end effector with matching “mating” shapes to be placed on top of objects and robots used in the environment.

The end effector that attaches to the UR5 is very simple by design and has no moving mechanical parts. It connects with a matching shape that includes a ferro-metallic disc in its center. Both can be seen in Figure 2. The use of a special object to match the tool is a choice to reduce complexity and improve robustness. This separate component must be duplicated and attached to the top of every object that is added to the environment.

When the effector and object are aligned, the effector can be lowered onto the object and the disc will mate with an electro-permanent magnet in the center of the effector. By using an electro-permanent magnet, the actuator needs only to be energized when releasing an object unlike a traditional electromagnet that must be energized in order to create the magnetic field. This increases safety, primarily for a robot being picked up, since it will not get dropped in case of power or interface failure. The magnet is controlled via the UR5 I/O interface which can sink the nominal current of the electro-magnet, 0.25 A at 24 V, directly.

The electromagnet has a holding force of 45 N and the end effector has been tested with a payload of 2.5 kg. This payload is the recommended maximum and will be stable even at an angle and under vibrations. The end effector weighs 111.0 g and thus leaves ample space up to the UR5 maximum payload of 5.0 kg.

The center of the tool's contact point is denoted *tool center point* or TCP. In this case the TCP lies on the center of the connecting surface of the electromagnet. It is important to know the exact location of the TCP relative to the center of the tool mounting flange on the UR5. The robot controller will then maintain a transformation matrix that ensures correct positioning according to the TCP configuration.

Tolerances in the end effector and matching shape design allows for both lateral and angular misalignment. Tapered edges at an angle of 45 degrees on both components will center the object being picked up as it closes the gap between the electromagnet and the metal disc. Since the UR5 will lower the tool directly from above onto a given object, the amount of allowable misalignment depends on the frictional forces between the object and the surface. A light object with little friction between the bottom and surface will easily be centered by the tool forcing it towards its center. In this case the lateral misalignment can be quite large; up to 10 mm. A heavy object or an object with a

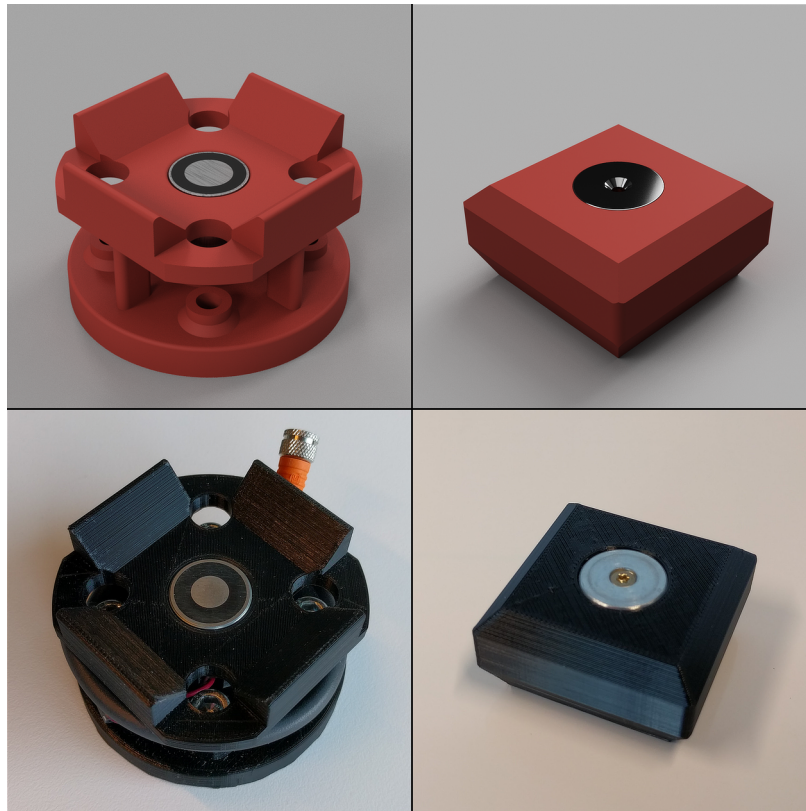


Figure 2: Robot end effector/tool and connecting object. Top row: CAD renderings of the gripping component attached to the UR5 including the electromagnet in the middle (left) and the matching connector object (right). Bottom row: prototype 3D prints of the same components.

high-friction material in contact with the arena surface cannot be pushed as easily by the tool however. The worst case allows for approximately 1-2 mm of lateral misalignment.

### 3.3 Arena

The scope of the experiments in this paper is limited to evolving simple behavioral controllers for a small robot working in a confined area due to the limited reach of the UR5. The arena measures only  $100\text{ cm} \times 50\text{ cm}$  and is placed directly on the UR5 rig, approx-

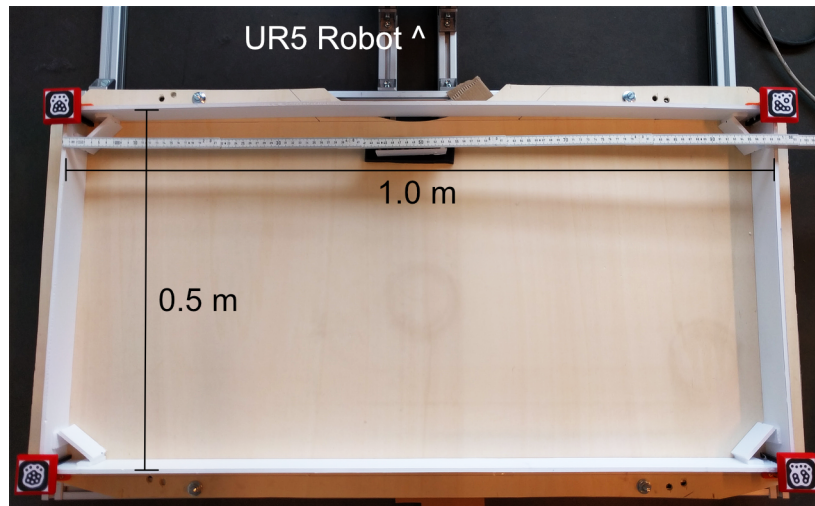


Figure 3: Arena top-view. The arena is measuring  $1\text{ m} \times 0.5\text{ m}$ . The UR5 base is located in the top center right outside the image frame.

imately aligned with the robot base x and y axis. Although its small size makes it easy for the UR5 to pick up objects in the entire area, it severely limits the size of the robot and likewise the size of the objects that, for all practical purposes, can be used in the reality-based optimization experiments. As a proof-of-concept however, it will suffice for showing that simple task optimizations can be conducted autonomously in a system like this and hopefully illustrate the promise for this approach.

The arena can be seen in Figure 1 and 3. It has walls on each side to keep the robot and environmental objects from falling or being pushed outside the arena during evaluations. Each corner has fiducial maker to aid the computer vision system. Presently the arena is rectangular, however it could be arbitrarily shaped, as long as the TCP can cover the entire area. The maximum area could be achieved by laying out the arena as a circle band around the UR5 base using minimum and maximum operating radius recommendations.

## 4 Software Architecture

The system application, programmed in Python, contains two major sub-systems that handles computer vision processing and UR5 control respectively. A task model encapsulates the jobs the system can perform and therefore also governs the artificial evolution if that is part of a job. All modules exposes an interface tailored for easy task generation and specific to the capabilities of the system.

Computer vision runs in an independent thread and occupies its own module responsible for image acquisition and processing. It also manages object data used to locate things in the environment and features calibration routines to ensure high-precision and easy setup.

Robot control is managed in the UR5 module. Here, the system specific functionality is implemented in terms of how the UR5 robot can interact with the environment.

### 4.1 Operational Design

As mentioned the UR5 tool is designed to grip some special objects only. These objects can in turn be placed onto more unwieldy objects and the combination objects can then be physically managed by the UR5 in terms of their placement in the arena. This can either be a robot with a connector on the top or an environmental building block. Even using simple, identical connectors for all objects, the system must still be able to accurately locate them and align the end effector with the connectors whenever an object needs to be moved. To minimize requirements for the positioning system, all objects in the environment are assumed to be of same height and thus located in the same plane.

With all the objects having the same height, the positioning only needs to be expressed



in two dimensions. Furthermore the UR5 movements can be constrained to a few planes above the arena, while the orientation of the tool remains more or less fixed. This type of robot control is very similar to that of pick-and-place operations.

## 4.2 Computer Vision (CV) System

Object positioning is key to controlling the UR5 and to track a robot during evaluation. It goes without saying that positioning must be computerized to obtain the necessary performance and autonomy. A wealth of location technologies exists[24] but the field narrows down quickly considering the application domain and the constraints imposed from using an industrial robot.

The UR5 has limited range and thus limits the size of the environment considerably. The system must be able to accurately position and orient the end effector in order to pick up objects and reposition them inside the arena. Furthermore, since the connection point of all objects will exist in the same plane, we need only to obtain spatial information in that plane. To this end fixed-camera computer vision is ideal. The environment is stationary and can easily be captured within a single image. Vision based location can also extract the necessary spatial features such as position and orientation given the identifiable objects provide enough visual clues. Other approaches would require extensive instrumentation of the environment or not provide adequate accuracy or feature extraction capabilities, albeit some could provide richer spatial data that would otherwise require more than one camera. The choice is supported by computer vision being a proven technology in industrial pick-and-place automation - essentially what the industrial robot will be doing in this project.

The next problem is solving object identification and feature extraction. The computer vision (CV) system must be able to take a picture of the environment, identify movable



Figure 4: reactTIVision fiducials. An example of three small markers.

objects and extract the necessary spatial features to allow for accurate positioning of the TCP to perform pick-and-place operations. These requirements, combined with robust object discrimination, are typically achieved using a marker system[22] using fiducial markers that applies a special geometry tailored for CV approaches. The marker system thus consists of a set of patterns and an algorithm to identify them in an image and extract features that can yield additional spatial information.

#### 4.2.1 Fiducial Tracking

Although devised for multitouch-surface applications, the fiducial tracking used in the reactTIVision system by Kaltenbrunner et al. [2] is a good match for this experimental setup. The fiducial makers have individual id's and their location and orientation can be obtained from the marker geometry. It is of course designed for strictly two-dimensional positioning but this complies with the design decision to keep all objects, or at least their identifiable parts, in one plane and detectable by one camera alone.

The reactTIVision system (fiducial examples shown in Figure 4) uses topology based identification. This is an approach to marker detection and identification, where a region adjacency graph is computed from a thresholded image. Each marker produces a unique left heavy depth sequence<sup>1</sup>. By searching the entire adjacency graph for matching sub-

---

<sup>1</sup>Uniqueness is guaranteed only within the set of generated fiducials. But because this was made for an image containing little other features than the markers, the likelihood of getting false-positives is very low. Topological complexity, which results in adequately large subtrees, also increases robustness. However, there are some checks to validate the detected markers: they should have some maximum and minimum dimensions and their leaf nodes should be placed inside the external contour of the marker. With these checks, we avoid false positives.

trees, markers can be detected and identified in a single operation. This makes topology based identification quite fast but also limited in terms of data encoding and very sensitive to occlusion.

After marker detection, the location can be obtained as the average centroid (black and white leaves). An orientation vector can be computed using the average centroid and the average centroid of the black leaves.

These features position the reactTVision system somewhere between simple color markers or similar and marker systems i.e. for augmented reality that carries more information and can be used for camera pose estimation. This is ideal for our purpose because it fits the current operational design.

#### **4.2.2 CV implementation**

For tighter integration with the other system components, the reactTVision fiducial tracking has been re-implemented using OpenCV [19] in this project. The original implementation does not expose a programming interface but instead provides a socket interface that can send marker data to a server. A new implementation in OpenCV provides the possibility to expose programming interfaces in multiple languages. Because the common denominator for this project has been Python, the OpenCV Python API is the one being used.

The implementation is very faithful to the original [2] and provides identical behavior. Processing a single frame is composed into the following steps (Figure 5): (1) Image pre-processing. The image is undistorted and converted to grayscale; (2) Thresholding. A binary image is produced using an adaptive thresholding with a and an erosion followed by a dilation to remove the noise; (3) Segmentation. The region adjacency graph is computed; and (4) Recognition. By searching the adjacency graph for specific subtrees,

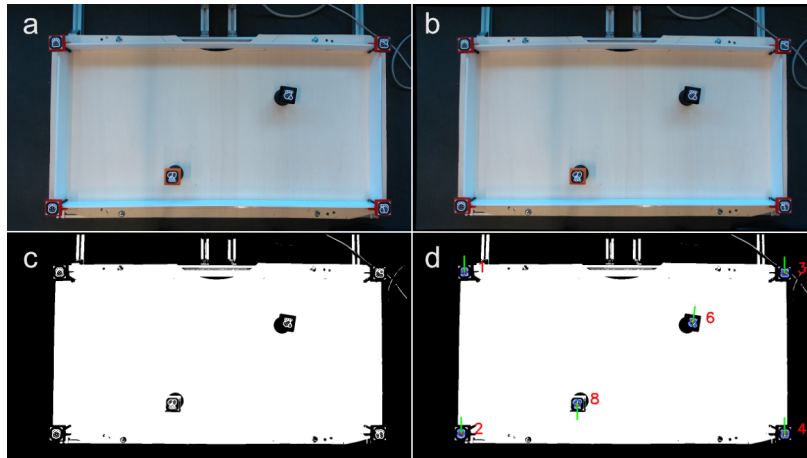


Figure 5: CV image processing. a) The raw image, b) the undistorted image, c) undistorted image after thresholding and d) fiducials has been identified and their ID, location and orientation (green line) has been overlaid on the thresholding image.

fiducials can be recognized and their location and orientation computed.

Images are captured using a regular HD webcam in  $1280 \times 720$  pixels. This resolution is found somewhat empirically as striking a balance between performance, precision and recognition robustness. Higher resolutions becomes slower to process but will provide locations with higher precision. Lower resolutions may not capture enough details to make out all the distinct areas in each marker.

This computer vision system is immune to sudden light changes as the camera automatically controls the white balance and the gain, and it works with natural light or artificial light. The only problem arises with light reflections caused by direct sunlight or strong lamps directly pointed towards the arena, but these situations can be easily avoided.

### 4.2.3 Vision Calibration and Transformation

The system operates on several coordinate systems, with the two most important being the vision coordinate system or pixel-coordinates and the UR5 base coordinate system.

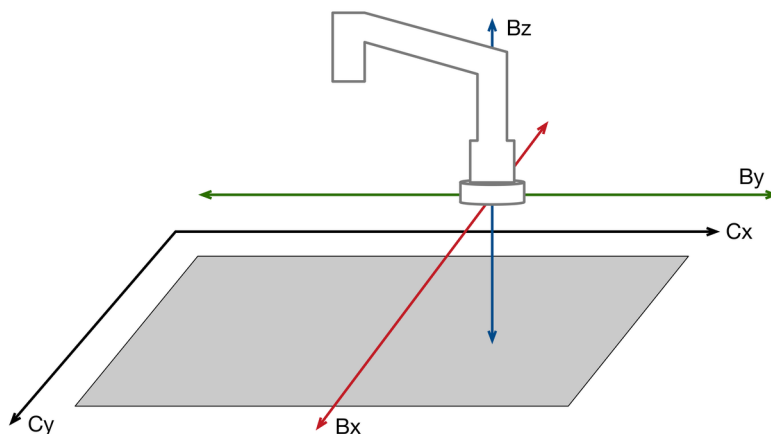


Figure 6: Camera and UR5 base coordinate systems. The Cx and Cy axis represents the camera perspective and the Bx, By and Bz axis is the coordinate system relative to the UR5 base.

The UR5 base coordinate system has its origin in the center of the robot base. This makes it a good reference for locating objects relative to the robot's position especially since the arena is bolted to the robot platform. The base x-axis is parallel to the base and cuts down the middle of the arena. The y-axis also parallel to the base and nearly parallel to the long sides of the arena. The z-axis runs perpendicular to the base. See Figure 6 for an illustration.

The vision system operates solely on pixels, so an homography transformation is used to turn pixel-coordinates into base coordinates that can be used to direct the UR5. The transformation matrix is obtained during a calibration procedure where the robot arm is placed on all four corner markers of the arena, and the four points reported through telemetry are stored. The vision system then grabs a frame and locates all four corner markers in the image. With the two sets of points from each coordinate system a transformation matrix can now be calculated by calling the `findhomography` function in `opencv`. The transformation matrix can now easily be stored for later use or recalculated using

only the image; the camera is the only thing not attached to the rest of the structure and hence more susceptible to shift around, while the corner markers are practically fixed in their position relative to the base. In fact, the system recalculates the transformation matrix every generation to compensate the small displacements of the arena caused by the accelerations/decelerations of the UR5.

At run-time the transformation matrix is passed to marker objects that initially only exists in pixel-space. When the real-world coordinates are requested they can be calculated as the dot product of the transformation matrix and the position vector.

### 4.3 Automation Control

Besides the CV implementation, the bulk of the software that makes up the system is concerned with orchestrating the UR5 movements based on information provided by the vision module. The UR5 module uses the `urx` Python library [38], that wraps all the URScript commands and handles socket communication over TCP/IP. The `urx` module will format and send commands to the robot but it also provides a telemetry parser for real-time feedback.

By having a low-level command module in place, the UR5 system module becomes an interface for composite commands that describe high-level operations the robot must be able to perform in order to complete certain tasks. As it turns out, only a small set of atomic operations is needed to build all other operations per the operational design described in 4.1:

1. *Home*. Positions the robot arm in a fixed, known pose. This can be used to ensure it does not interfere with the camera, but also provides a good starting point for pose transformations.

2. *Move to coordinates.* Moves the TCP to a coordinate and sets the orientation vector so that it points directly downwards and ready to pick up an object.
3. *Pick up object.* From its current pose, the TCP will be lowered to connect with an object and return to its starting pose again. The robot uses its ability to detect the exerted force to automatically stop.
4. *Place object.* Identical to the pick-up operation, but once lowered the electropermanent magnet will be energized and release the payload before returning to its starting pose.

The last three operations are then composed into a single pick-and-place operation, a function that moves the TCP to an object, picks it up, moves to a target location and places it again. Organizing the objects in the arena is simply a sequence of pick-and-place operations. However, performing any given sequence in a reliable and robust manner is not a simple task.

#### 4.4 Building Environments

Despite the somewhat simple setup and agreed limitations on how environments can be designed within the arena, plenty of things can still go wrong. Automating reality-based optimization would not be very useful if it required constant oversight and regular intervention to recover from fail conditions. To make the automation as robust and reliable as possible, the sequencing of pick-and-place operations has been encapsulated by a build function.

**Build** accepts a list of marker objects and a list of desired positions for the markers as arguments. The marker objects represents identified fiducials and holds their id, location and orientation - the latter two for both camera and UR5 base coordinates. The desired

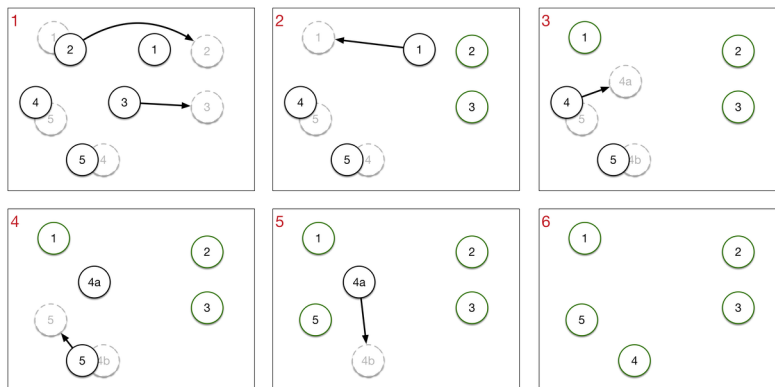


Figure 7: Environment building algorithm. The full black or green numbered circles are current positions and the grey dashed circles are the desired target for that object. The steps 1-6 depicts how the movement sequence is generated by first moving objects that has no positional conflicts, then move the objects that has conflicts that were resolved after the first step and finally resolve the more complex conflicts.

positions are UR5 base coordinates only and may or may not include a desired orientation. As an optimization feature, relaxation parameters for both location and orientation can be passed as arguments. The relaxation parameters are thresholds and should a marker already be at its desired position within these thresholds, there is no need to perform a physical pick-and-place operation <sup>2</sup>.

When called, **build**, will use a custom algorithm to generate a pick-and-place sequence that is *conflict-free*. Because objects may be shuffled around the arena by a small robot or by hand when placing them the first time, their initial position can potentially conflict with the desired target position of another object. This means that the sequence ordering is important, to avoid an attempt to place an object on top of another. The algorithm that governs the sequence ordering works like this:

<sup>2</sup>The occurrence of objects that either haven't moved at all or only shifted ever so slightly after an evaluation is quite frequent. It could be the evaluated robot itself that hasn't moved or objects that hasn't been touched.



1. All target positions are checked against all marker positions. A conflict is defined as another object being within a predefined radius of the target for a given marker. In this step a conflicts table will be built containing marker id's associated with a list of markers that are in conflict, i.e. positioned near the desired target.
2. If no conflicts were found in the first step, all objects can be moved in any order and the sequence will just follow the list of markers provided as argument.
3. If the conflicts table is non-empty, conflict resolution begins. Initially all markers with a clear target (no conflicts) will be queued for immediate pick-and-place (Figure 7.1). The conflicts table is then iteratively processed in an attempt to further reduce the conflicting markers. The algorithm repeatedly add markers to the queue whenever their conflict list is empty and removes them from the lists of others (Figure 7.2). This approach solves most issues with objects standing in the way of others. In an additional step the system checks for any circular conflicts or dead-locks (e.g. object A is on B's desired target and vice versa).
4. If a dead-lock has been detected a new operations queue is created. A vacant position in the arena is established and this will become the target for an intermediary move for the first marker still in conflict. Its originally desired target is deferred and queued. This process continues until all dead-locks have been resolved by intermediary moves to vacant positions (Figure 7.3-6).
5. Finally the sequence is executed using the pick-and-place function in the order established by the operations queue. If the deferred moves queue is populated from dead-lock resolution, this sequence will be executed immediately after.

Vacant positions are found using planar subdivision of the image using the fiducial centers as vertices. The plane is divided into non-overlapping triangle regions using De-

launay's algorithm. All the regions within the four corner markers will then represent unoccupied space, and given a large enough space an object can be placed within it. For the simple objects described later in Section 5.2 it suffices to check if the largest inscribed circle is larger than the diameter of the object.

## 5 Experimental Setup

The physical evolution system presented in this paper is evaluated on a simple navigation and obstacle avoidance task. These experiments test the ability of the system to run an embodied evolutionary experiments that requires environmental reconfiguration, in a completely automated fashion. This section first describes the mobile robot that navigates the arena, followed by the objects that serve as the obstacles for the robot to avoid.

The neuroevolution system is based on NEAT [41] using the MultiNEAT [7] implementation - a C++ NEAT/HyperNEAT library with Python bindings. The system is essentially agnostic of the EA or neuroevolutionary implementation used, as long as it can be interfaced within the Python framework.

### 5.1 Mobile Robot

The evolving neural networks control a customized version of a Pololu Zumo 32U4 robot [34], a small tracked robot of  $10 \times 10$  cm which makes it suitable for use in the small arena. It features a number of on-board sensors such as a 9DOF IMU (Accelerometer, Gyro and Magnetometer), Line-following sensor (down-facing array of IR reflectance sensors), side and front IR proximity sensors, and Quadrature encoders on the motor shafts.

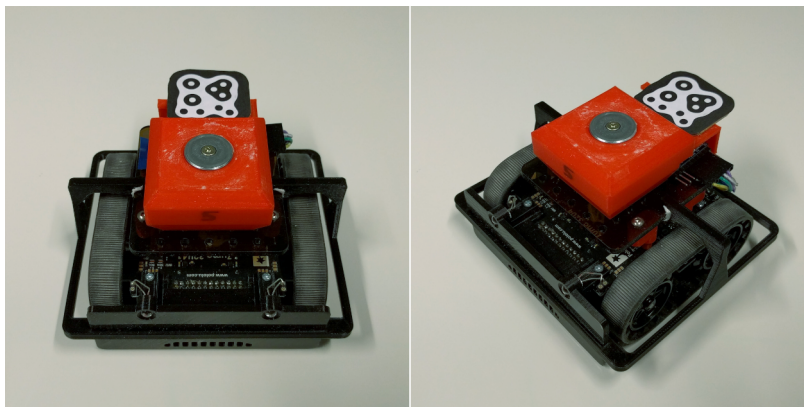


Figure 8: The Zumo 32U4 robot. A small tracked robot c. 100x100 mm. It has encoders on the motor shafts, and an array of sensors that includes proximity, reflectance (for line following) and a 9-DoF IMU. The 360 degrees bumper is 3D printed for this project and helps it avoid getting caught in walls or other objects. On the top plate, a red connector is mounted which also houses a Bluetooth module for wireless communication.

An additional Bluetooth module is attached to the onboard microcontroller for wireless control of the robot and access to sensor data. The module is an RN42-XV Bluetooth module from Sparkfun[40] attached to an Adafruit Xbee adapter[1] for convenient pin access and power management, including logic level conversion. The RN-42 module is connected to the Zumo main-board via the UART and power headers exposed on the top pin-header for an auxiliary display. The robot is battery powered and the system does not support autonomous charging at the time being. Notice in Figure 8 that the fiducial is offset to the back of the connector, while they are put directly over the connector for the objects. Because of the increased weight of the robot, placing the paper directly on the robot's connector would reduce the magnet field to a level too weak to hold the robot. The total weight of the Zumo including batteries and all attached parts is 340.1 g.

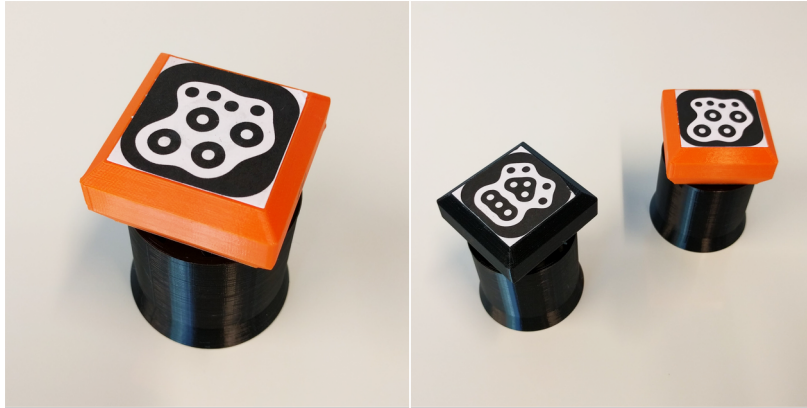


Figure 9: Environment objects. Items to be placed in the environment for the robot to interact with. They are light enough to be pushed yet stable and will not fall over easily. The tool connector with fiducial is mounted on top.

## 5.2 Environmental Objects

The experiment in this paper are all performed with only one type of environmental object, a small circular column about 45mm in diameter with a fiducial connector on the top (Figure 9). It is light enough to be pushed around by the Zumo but dense enough to trigger the proximity sensors. Hence the objects can be used for both collection or collision avoidance tasks. A sturdy base ensures they are not knocked over by the Zumo on impact.

## 5.3 Experiments

A set of four experiments are devised to evaluate the performance and feasibility of the system:

**General Performance Evaluation of the Automation Control Systems.** This test will only make use of a single environment object. The UR5 will move the object around to random positions and register positional and rotational errors.

**Building Environments.** A series of tests designed to challenge the robustness of the system's building algorithm.

**Evolution of Basic Navigation Skills.** The goal in this simple navigation task is to evolve a controller that steers the mobile robot towards a given target. The main purpose of the robot arm is to automatically reset the mobile robot back to its starting position after the evaluation is over.

**Incremental Evolution of Obstacle Avoidance Skills.** This task is similar to the navigation task but it includes objects in the environment that are placed by the robot arm and must be avoided by the mobile robot. We also compare a non-incremental vs. an incremental evolutionary setup in which the robot arm reconfigures the environment after a few generations to make it more complex.

The tests evaluate different aspects of the system, but combined they evaluate all the individual components. The first two tests are functional, and aim to establishing that the system operates as intended per design. The other two tasks are application tests, that evaluate how the system manages to perform actual embodied evolution experiments. Each test and the results are described in detail in the next section.

## 6 Results

A video of the system in action can be found at: [https://youtu.be/7kzw\\_cvqTIw](https://youtu.be/7kzw_cvqTIw).

## 6.1 General Performance Evaluation of the Automation Control Systems

The UR5 can be repeatedly positioned with  $\pm 0.1mm$  precision [37]. However, other factors can affect the precision and accuracy of the system, such as the resolution of the camera image ( $1,280 \times 720$  pixels). In the image the pixel-distance between arena corner markers in the top row is 1,012. The real distance is 0.992 m. A pixel then represents  $0.992m/1012 = 0.00098m$  or just about 1 mm. Another source of error is the transformation matrix. If the base coordinates of the corner markers for instance are not accurate, this will affect the transformation matrix. The transformation matrix must also yield good results on a large area. Camera lens distortion is however not necessarily linear in the field-of-view, and even the camera matrix and distortion coefficients used to undistort the image may contain errors.

Eliminating all these errors is impossible; instead we focus on determining whether the described setup is adequate for all intended purposes. The system should be able to repeatedly position objects with a precision close to that of the camera, which in this case translates to  $\pm 1mm$ . The mechanical design should then deal with the practical aspects of the achievable precision.

To measure the repeatable precision across the entire arena a task has been developed to move an object (Figure 9) to random positions with random angles (rotation around the object z-axis). After each placement the computer vision system takes a picture and calculates the absolute difference between the CV and TCP coordinate, including the object's angle.

The test ran 854 pick-and-place operations. Figure 10 is a visualization of all the visited positions. The circle diameter is proportional to the lateral displacement sum (positional

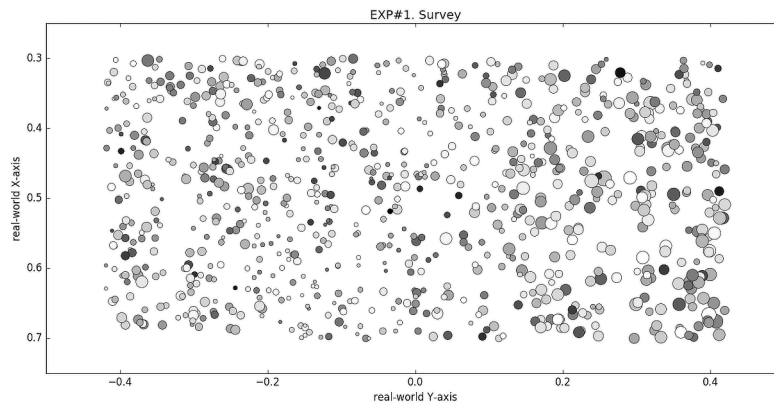


Figure 10: Positioning plot. The same object was repeatedly moved to a random position in the arena. Circle diameter is proportional to the positional error ( $err_x + err_y$ ). Shading indicates the orientation error, darker being smaller.

error),  $|x_b - x_c| + |y_b - y_c|$ , while the shading indicates the orientation error, darker being smaller. The main purpose of this plot is to indicate coverage and to see if errors have a tendency to cluster (i.e. be more or less in certain regions). The plot shows that coverage from random positions is quite good and that there clearly is a tendency to have larger errors around the edges, especially in the right side. Angular errors on the other hand seems to be more or less evenly distributed which is consistent with observations made during the test.

Table 1 summarizes the results from the positional and angular error measurements. The mean error for both the x and y axis is  $\leq 2$  mm (sd=1 mm). The orientation, denoted by the angle  $\theta$ , has a mean of  $2.9^\circ$ . The actual precision of these measurements is determined by the camera resolution, and at least 1 mm can be achieved. Overall this is quite acceptable with regards to the many possible error sources. It is worth noting though, that the maximum positional error is between 5.1 mm and 5.5 mm. But this is still within the range that can be mitigated by the mechanical design of the end effector. The orientation with a maximum of  $10.34^\circ$  is prone to become a bigger problem because it works

against the centering mechanism in the effector and is a potential issue that should not be overlooked. However, at no point during the test did the UR5 fail to pick-up the object though. Whether the precision is acceptable for a given application would be a matter of requirements, but is definitely satisfactory in terms of robust operation within the scope of the experiments performed in this paper.

axis	max	mean	std.dev
$x$ (mm)	5	2	1
$y$ (mm)	5	1	1
$\theta$ ( $^\circ$ )	10.3	2.9	2.1

Table 1: Positional and angular error summary. Data is obtained from 854 points. The error is the absolute difference of the coordinate the UR5 reports and the one computed from vision transformation.

During the random pick-and-place task, time and image errors were reported every 25 operations (Table 2). On average a pick-and-place operation takes 29 seconds and this is a worst-case scenario because the UR5 starts and ends in its home position. Chained pick-and-place operations will be faster on average. If the quality of the captured image is not sufficient to accurately, or at all, detect the markers, the system will retry until it sees all the markers, and this happened 39 times during the test yielding a re-capture percentage of 4.5%. The CV system runs separately in another thread, which processes the camera images and provides the markers to the main thread. Therefore, the performance of the system is enough to track the Zumo robot. However, a “stop-and-go” approach, where the robot can only perform a single discreet motion and then stops, has been applied to make the results more reproducible.



mean time/set	mean time/op	no detection	re-cap. %
712 s	29 s	39	4.5%

Table 2: Additional performance data from the system evaluation.

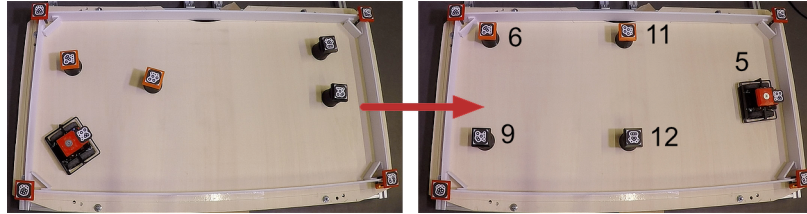


Figure 11: Building feature evaluation. The system must reorganize the objects and arrange them from an initial state (left) to a target state (right). While the initial object placement is different for the three test cases, the target state is always the same. The numbers are the object ID's.

## 6.2 Building Environments

A fundamental feature of the system is its ability to manage the placement of multiple objects in the arena. In this case the system needs to keep track of all objects and control for objects being placed in the same location or interfering with each other. The build algorithm (Section 4.4) is evaluated on rearranging objects (four environment objects and one Zumo robot) from a given start configuration into a desired final configuration (Figure 11). The system is tested on increasingly complex starting scenarios, in which (1) objects do not occupy any of the target position, (2) some target positions are occupied, and (3) target positions are occupied and include deadlock situations.

The evaluation shows that the system is able to successfully deal with all three test scenarios. As Figure 12 demonstrates, the rearrange order is highly dependent on conflicts and deadlocks. The average time to complete building a configuration was 127 s for 25

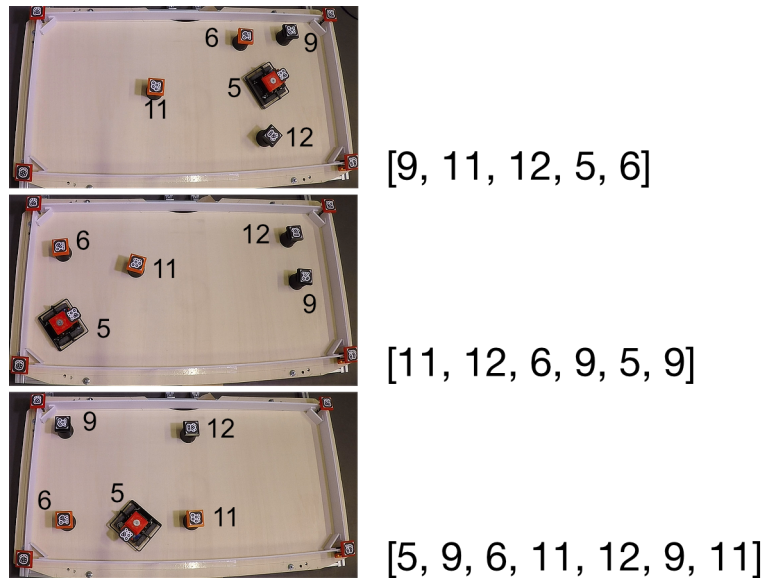


Figure 12: Movement ordering for various problems. The images show three different test cases and the order of movements, by object ID, chosen by the build algorithm to solve the problem. From all three different starting configurations, the build algorithm is able to rearrange the objects into the target configuration shown in Figure 11.

runs. Carrying out a series of such building evaluations without errors is not to say the system will handle all possible situations gracefully. During the evolutionary experiments described in the next sections, problems were sometimes observed when the objects are right next to each other. In some instances an object would touch the one next to it slightly when picked up due to the protruding edges of the connector. If this happens the image just used to locate all objects will be invalidated, and the system must back off and assess the scene once more to accurately obtain object positions. Additionally, a few times errors do occur due to the system trying to exceed the UR5 joint limits. But it is rare and if the tasks are programmed properly they can be restarted with minimal loss of work.

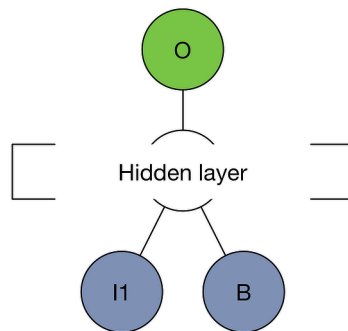


Figure 13: Neural network representation for the basic navigation task. Inputs are angle to target (I1) and bias (B). The single output is mapped to movement commands.

### 6.3 Evolution of Basic Navigation Skills

The goal of the first embodied evolution experiment is to demonstrate and validate the system's capabilities. In this simple navigation tasks, the goal of the mobile robot is to drive from point *A* to *B* (whose locations are fixed during the experiment) in an arena without any obstacles.

The neural networks controlling the robot are evolved by NEAT [41], and have one input, a bias, and one output (Figure 13). The MultiNEAT parameters can be found in the appendix. The ANN receives as input the relative angle between the Zumo robot's heading and a virtual target in the arena (in the image coordinate system) mapped to  $[0.0, 1.0]$ . The single output is mapped to three actuation commands that can be sent to the Zumo robot: A value in the range  $[0.0, 0.45]$  will make the robot turn left;  $[0.45, 0.55]$  move forward;  $[0.55, 1.0]$  turn right. The motors run in the opposite directions at the same speed when the robot is turning, and in the same direction at equal speed when the robot is driving forward. The speed and time for each actuation command are detailed in the appendix.

A robot is evaluated for a maximum duration 35 steps, which take around 250 ms each.

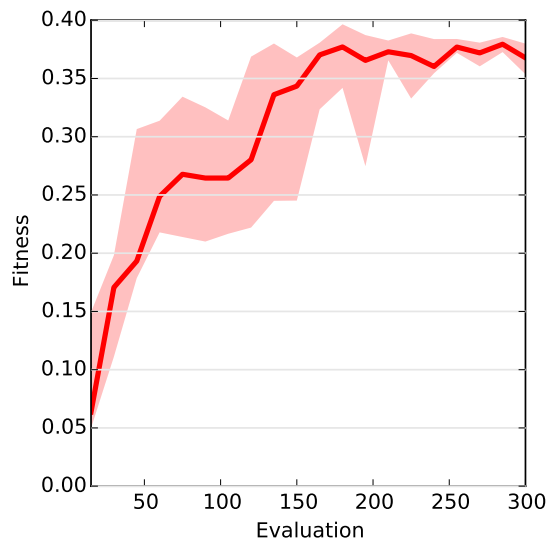


Figure 14: Fitness over generations in the navigation task. The graph shows the median and the 25 and 75 percentiles of six independent evolutionary runs. With the exception of a few dips, fitness generally increases over generations.

The CV system tracks the robot’s position and angle. After running for  $N$  steps the fitness is calculated as the accumulated remaining distance  $d_s$  to the target over the total number of steps:

$$F = \frac{1}{N} \sum_{s=1}^N (1 - d_s)^2.$$

At the beginning of each evaluation, the UR5 places the robot in the center of the arena. The mobile robot is then allowed to move around and automatically moved back to the starting position by the UR5 once the evaluation is over. To encourage the evolution of general navigation skills, each robot is evaluated on its ability to approach two different targets, which are at fixed position. The final fitness is the average of the two runs. All the experiments were run with a population size of 15 individuals for 20 generations.

Figure 14 shows the median of the best genome in each generation for 6 independent runs. On average, each evaluation takes 25 seconds (50 seconds for the two targets).

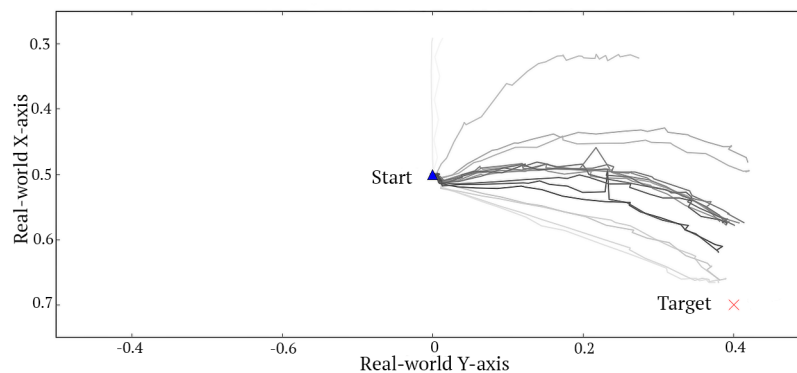


Figure 15: Robot paths from first evolution experiment. Plots of the second evaluation paths taken by the best genome in each of the 20 generations of the first run. Generations are shaded light to dark in increasing order.

Thus, a run of the experiment takes around 4 hours and 20 minutes to complete in total. Fitness is generally improving over generations but some noticeable dips in fitness are also visible. These results are likely due to that the controller of the best robot from the previous generation is not robust yet and the noise in the marker orientation can generate different behaviors for the same controller. However, the neural networks found in the last generations are more robust and this noise does not cause a drop in fitness.

Figure 15 shows the paths taken by the champion network in each generation. Lines are colored light-gray to black in order of generations, early to late. The plot only shows the path taken in the second of the two evaluation rounds. Over time more and more behaviors converge on a path towards the goal.

To further validate the result, the best network evolved after 20 generations is tried against five new targets using the same initial position. Figure 16 shows the robot's paths for each of the five targets. The results demonstrate that the robot evolved general navigation skills. While similar embodied evolutionary experiments have been conducted in the past, also using much simpler mechanisms to manage the environment [33], the

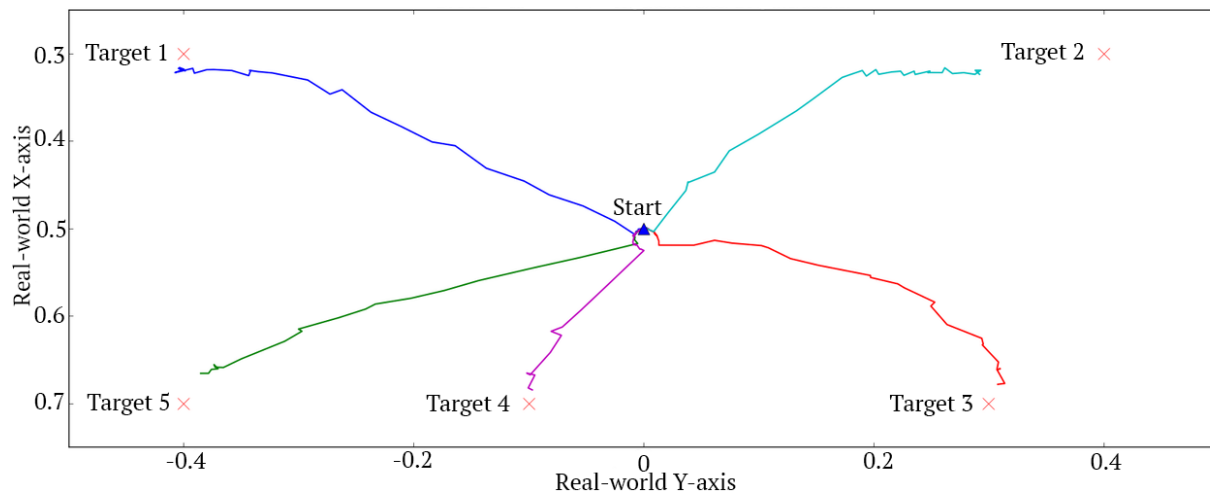


Figure 16: Generalization Test. The best network discovered after 20 generations is tried against five new targets. The Xs mark the targets. Starting position is in the center. The results demonstrate that evolution discovered a general navigation strategy.

results reported here do showcase a completely unsupervised embodied evolution setup made possible by a standard industrial robot arm and computer vision algorithm.

## 6.4 Incremental Evolution of Obstacle Avoidance Skills

Some of the more interesting prospects arises from the system's ability to work with more complex environments and alter these automatically during optimization. In the second experiment, we compare an incremental vs. a non-incremental evolution approach that critically depends on the systems ability to reconfigure the environment. The domain is similar to the navigation task (the mobile robot has to approach a given target) but now the robot must also avoid obstacles that are placed in the direct path from its initial position to the target. In the incrementally evolution setup, the robot is first evaluated for three generations in two variations of a simple environment (Fig. 17a,b), before being evaluated in two variations of a more complex environment (Fig. 17c,d). In the non-

incremental version, robots are directly evaluated in the more complex environment.

The robot arm is now responsible for (1) moving the robot to its starting position at the beginning of each evaluation and (2) setting up the different obstacle layouts for each of the evaluations. In this setup the CV system is used to calculate the relative angle to the target, calculate the distance of the robot to the goal, and detect if the robot collided with any of the obstacles.

The neural networks for this task have three inputs (excluding bias) and a single output (Figure 18). The three inputs are the relative angle to the target and two proximity indications based on the front proximity sensor readings while IR light is emitted from the left and right side of the robot. Similar to the first evolutionary experiment the single output is mapped to three movement commands, turn left, turn right and move forward. If the robot collides with an obstacle the evaluation is stopped. The fitness function rewards getting close to the target and it is calculated as the average fitness for the two configurations:

$$F = \frac{1}{2} \sum_{c=1}^2 (1 - d_c).$$

The NEAT settings and movement parameters for the obstacle avoidance tasks are detailed in the appendix. Experiments ran for a total of twenty generations, which took approximately 6.5 hours in total for each evolutionary run. Six independent evolutionary runs were performed.

Figure 19 shows fitness over generations. For both the incremental and non-incremental approach, fitness generally improves. The results show that the incremental setup evolves high-performing controllers slightly faster, with a significant difference in generation four ( $p < 0.05$ ; two-tailed Mann-Whitney U test). While the advantage is lost as evolution continues, the setup in this paper does demonstrate, for the first time, that fully-automated incremental evolution is possible in the real world. The paths taking by the best robot

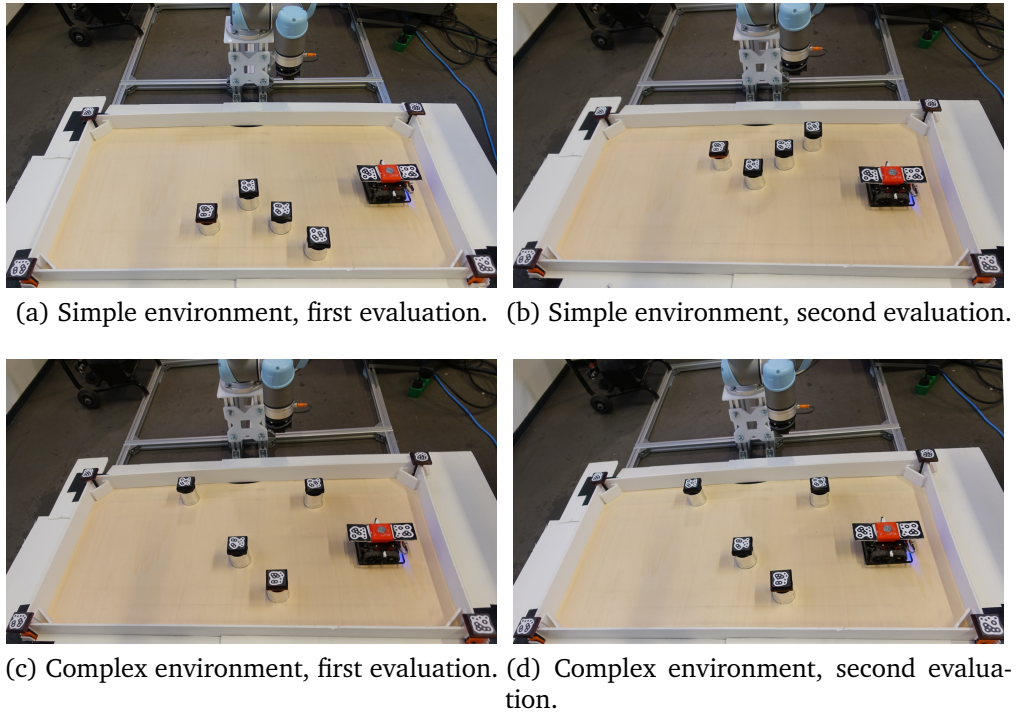


Figure 17: Incremental Evolution Setup. Shown are the two setups (a,b) and (c,d) used for the incremental evolution setup. The goal of the robot is to navigate from its start location on the right to the target location to the far left without colliding with any of the obstacles. In the non-incremental setup the agent is directly evolved in two variations of a complex environment (c,d). In the incremental setup the robot is first evolved in the simpler environment (a,b) for three generations, before being evaluated in the harder environment (c,d).



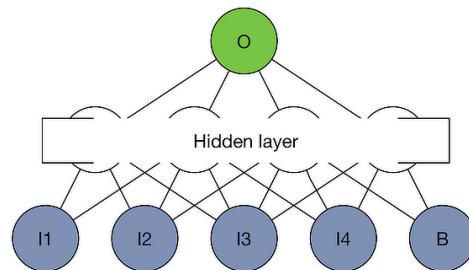


Figure 18: Neural network representation for the obstacle avoidance task. Inputs are angle to target (I1), left, front, and right proximity sensor (I2, I3 and I4) and bias (B). The single output is mapped to movement commands.

from each generation of one evolutionary run are show in Figure 20. Robots evolve the ability to navigate to the goal without colliding with any obstacles.

## 7 Discussion and Future Work

The presented system is capable of performing fully automated reality-based optimization of a neural network control policy using a single robot in a controlled environment. A simple controller can be evolved incrementally and without human intervention within a reasonable time frame. Additionally the system yields predictable behavior in managing the environment. Although a successful outcome is highly dependent on the experimental design and the system limitations, it still provides a robust experimental platform and demonstrates a novel approach to embodied artificial evolution that overcomes simulate-and-transfer issues.

A significant part of the work went into the construction of a physical framework and a software process framework to manage reality-based optimization and robot control automation. Creating robust robotic automation for a dynamic environment is a difficult task in itself and the system design will necessarily pose restrictions on the problem

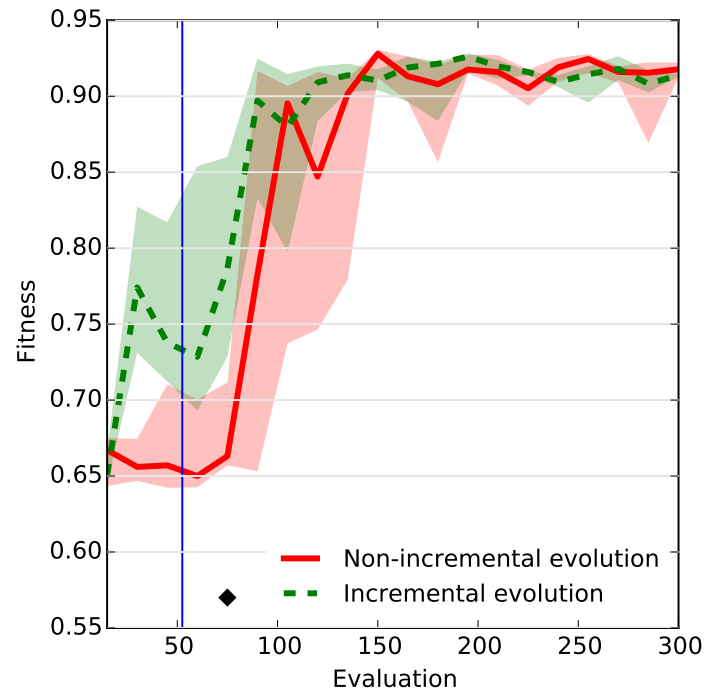
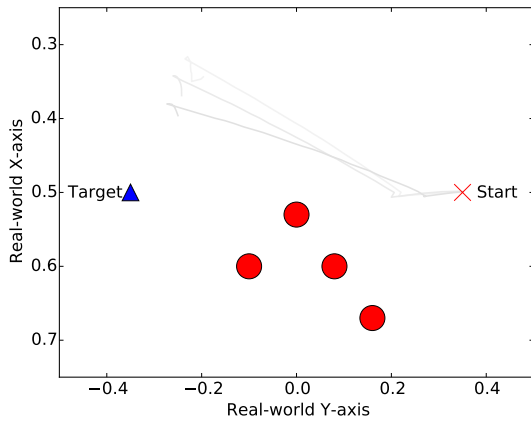
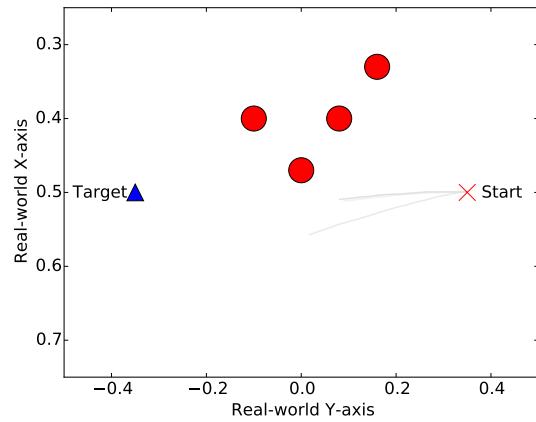


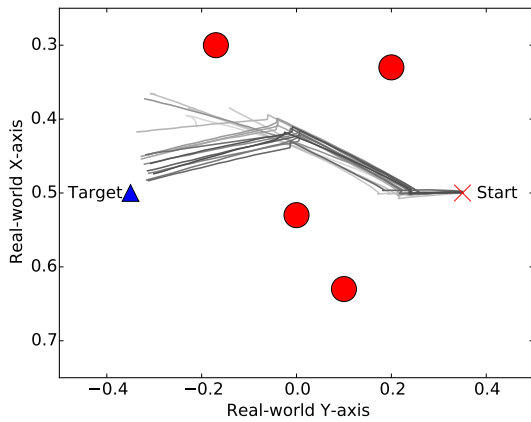
Figure 19: Fitness over generations for the obstacle avoidance task. The graphs show the median and the 25 and 75 percentiles. All results are based on six independent evolutionary runs. In the incremental approach fitness initially increases faster (significantly different in generation four), after which the two approaches reach about the same fitness level. The switch from simple to complex environment for the incremental approach is indicated by the vertical line.



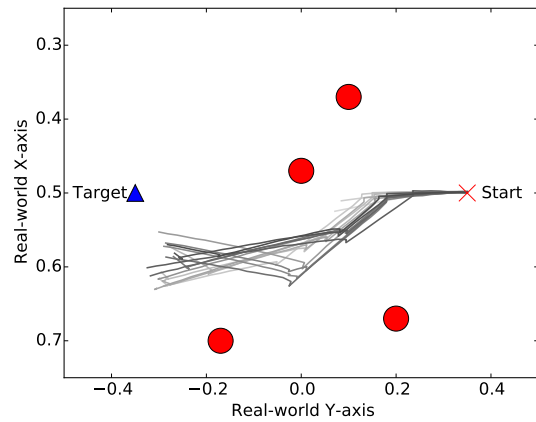
(a) First evaluation in a simple environment.



(b) Second evaluation in a simple environment



(c) First evaluation in a complex environment.



(d) Second evaluation in a complex environment.

Figure 20: Incremental Evolution Champions. Shown are the paths of the best robots found in each of the 20 generation for one evolutionary run. Over generations robots evolve the ability to approach the target for the two different obstacle layouts. Note that in the simple environment only three paths are shown, because the robot is only evolved for three generations in the simple setup.

domain one wants to explore with the system. The end effector and matching connector was an attempt to increase generalization and allow any kind of object to be added as long as it has a connector mounted on the top and is within the payload limitation. On the other hand the system was confined to work on a flat surface, and this is further enforced by the connectors being a hindrance to stacking. Ultimately experiments are limited by and must be designed around the limiting factors of the physical framework.

One insight from the performed experiments is that automating environment building and evaluation setups are comparable to automatic phenotype creation in terms of complexity. There are many things that can go wrong, as the automation part operates in the very same volatile environment that is so hard to model correctly in simulation. This can potentially lead to conflicts between system abilities and imposing as few constraints on evolution as possible. In that sense this is no different from the issues facing automatic phenotype creation, but it could be considered an added limitation if the two approaches were to be combined.

The results in this paper show that a control policy can be evolved incrementally and autonomously with no transferability issues. However, it also shows that a priori knowledge about the appropriate evolutionary parameters is needed to successfully conduct reality-based optimization and achieve a good result. These parameters can be obtained empirically using the system in a series of “preliminary attempts”. This approach may work well for very simple tasks where an indication of progress can be observed early on. For more complex tasks this may not be feasible and simulation could be used to generate sensible parameters and starting conditions.

If a simulation is used to generate a starting point for reality-based optimization, we are facing the reality gap once again. But since the aim of the simulation is not to evolve a good performing controller in reality but to provide approximations of the system param-

eters, it could be a feasible approach to cut the total time of the experiments. And time is likely the most significant downside of a method that completely leaves out simulation-based optimization. Seeding the population could be another time-reducing approach to evolve more complex behaviors. The seeding genomes could encode control policies for sub-tasks that have been evolved previously either using reality-based or simulation-based optimization. Another promising direction could be to build on the intelligent trial-and-error approach introduced by Cully et al. [8], which could limit the number of evaluations that have to be performed in the real world.

It would be interesting to explore how the presented approach or a similar system could work together with a manufacturing system like the one presented by Brodbeck et. al [6]. Combining the ability to evolve morphologies with the ability to reconfigure the environment could be a step towards more complete *assisted* embodied artificial evolution. Another potential future direction for this system is automating “robot-in-the-loop” type optimization where a transferability function is used to evaluate the simulator based on real robot performance.

In summary, we presented a system that employs a robot control architecture capable of robust autonomous test environment and robot management, requiring no human intervention. The system features a generic design that allow flexibility in choice of objects and robots used in the arena, and could be the starting framework for more complex embodied evolution experiments in the future. It is important to note that the system is not limited to evolutionary algorithms; in the future it could also allow robot controllers to be optimized by reinforcement learning completely in the real world.

## Acknowledgments

This project was partially funded by the European Unions Horizon 2020 research and innovation program under the FET grant agreement, no. 640959 ('flora robotica' project). Computation/simulation for the work described in this paper was supported by the DeIC National HPC Centre, SDU.

## References

- [1] Adafruit (2015). Xbee adapter v1.1. <https://www.adafruit.com/products/126>.
- [2] Bencina, R., Kaltenbrunner, M., & Jorda, S. (2005). Improved topological fiducial tracking in the reactivision system. In *Computer Vision and Pattern Recognition-Workshops, 2005. CVPR Workshops. IEEE Computer Society Conference on*, (pp. 99–99). Piscataway, NJ: IEEE.
- [3] Bongard, J. (2013). Evolutionary robotics. *Communications of the ACM*, 56(8), 74–83.
- [4] Bredeche, N., Haasdijk, E., & Eiben, A. E. (2010). On-line, on-board evolution of robot controllers. In P. Collet, N. Monmarché, P. Legrand, M. Schoenauer, & E. Lutton (Eds.) *Artificial Evolution: 9th International Conference, Evolution Artificielle, EA, 2009, Strasbourg, France, October 26-28, 2009. Revised Selected Papers*, (pp. 110–121). Berlin, Heidelberg: Springer Berlin Heidelberg.
- [5] Bredeche, N., Montanier, J.-M., Liu, W., & Winfield, A. F. (2012). Environment-driven distributed evolutionary adaptation in a population of autonomous robotic

- 
- agents. *Mathematical and Computer Modelling of Dynamical Systems*, 18(1), 101–129.
- [6] Brodbeck, L., Hauser, S., & Iida, F. (2015). Morphological evolution of physical robots through model-free phenotype development. *PloS one*, 10(6), e0128444.
- [7] Chervenski, P. (2015). Multineat. <https://github.com/peter-ch/MultiNEAT>.
- [8] Cully, A., Clune, J., Tarapore, D., & Mouret, J.-B. (2015). Robots that can adapt like animals. *Nature*, 521(7553), 503–507.
- [9] Eiben, A., Kernbach, S., & Haasdijk, E. (2012). Embodied artificial evolution. *Evolutionary intelligence*, 5(4), 261–272.
- [10] Faiña, A., Bellas, F., López-Peña, F., & Duro, R. J. (2013). Edhmor: Evolutionary designer of heterogeneous modular robots. *Engineering Applications of Artificial Intelligence*, 26(10), 2408–2423.
- [11] Filliat, D., Kodjabachian, J., Meyer, J.-A., et al. (1999). Incremental evolution of neural controllers for navigation in a 6-legged robot. In M. Sugisaka, & H. Tanaka (Eds.) *Proceedings of the Fourth International Symposium on Artificial Life and Robots*, (pp. 753–760). Oita, Japan: Oita University Press.
- [12] Floreano, D., Dürr, P., & Mattiussi, C. (2008). Neuroevolution: from architectures to learning. *Evolutionary Intelligence*, 1(1), 47–62.
- [13] Floreano, D., & Keller, L. (2010). Evolution of adaptive behaviour in robots by means of darwinian selection. *PLoS Biol*, 8(1), e1000292.
- [14] Floreano, D., & Mondada, F. (1996). Evolution of homing navigation in a real mobile robot. *IEEE Transactions on Systems, Man, and Cybernetics, Part B (Cybernetics)*, 26(3), 396–407.

- [15] Gomez, F., & Miikkulainen, R. (1997). Incremental evolution of complex general behavior. *Adaptive Behavior*, 5(3-4), 317–342.
- [16] Harvey, I., Husbands, P., & Cliff, D. (1994). *Seeing the light: Artificial evolution, real vision*. School of Cognitive and Computing Sciences, University of Sussex Falmer.
- [17] Heinerman, J., Zonta, A., Haasdijk, E., & Eiben, A. (2016). On-line evolution of foraging behaviour in a population of real robots. In S. G., & B. P. (Eds.) *Applications of Evolutionary Computation*, (pp. 198–212). Berlin, Heidelberg: Springer.
- [18] Hornby, G., Fujita, M., Takamura, S., Yamamoto, T., & Hanagata, O. (1999). Autonomous evolution of gaits with the Sony quadruped robot. In W. Banzhaf, J. Daida, A. E. Eiben, M. H. Garzon, V. Honavar, M. Jakiela, & R. E. Smith (Eds.) *Proceedings of the Genetic and Evolutionary Computation Conference*, vol. 2, (pp. 1297–1304). San Francisco, CA: Morgan Kaufmann Publishers.
- [19] Itseez (2016). Opencv - open source computer vision library. <http://opencv.org/>.
- [20] Jakobi, N. (1998). *Minimal simulations for evolutionary robotics*. Ph.D. thesis, University of Sussex.
- [21] Jakobi, N., Husbands, P., & Harvey, I. (1995). Noise and the reality gap: The use of simulation in evolutionary robotics. In F. Morán, A. Moreno, J. J. Merelo, & P. Chacón (Eds.) *Advances in artificial life*, (pp. 704–720). Berlin, Heidelberg: Springer Berlin Heidelberg.
- [22] Kohler, J., Pagani, A., & Stricker, D. (2011). Detection and identification techniques for markers used in computer vision. *Visualization of Large and Unstructured Data Sets - Applications in Geospatial Planning, Modeling and Engineering*, 19, 36–44.



- 
- [23] Koos, S., Mouret, J.-B., & Doncieux, S. (2013). The transferability approach: Crossing the reality gap in evolutionary robotics. *Evolutionary Computation, IEEE Transactions on*, 17(1), 122–145.
- [24] LaMarca, A., & De Lara, E. (2008). Location systems: An introduction to the technology behind location awareness. *Synthesis Lectures on Mobile and Pervasive Computing*, 3(1), 1–122.
- [25] Lehman, J., Risi, S., D’Ambrosio, D., & O. Stanley, K. (2013). Encouraging reactivity to create robust machines. *Adaptive Behavior*, 21(6), 484–500.
- [26] Lipson, H., & Pollack, J. B. (2000). Automatic design and manufacture of robotic lifeforms. *Nature*, 406(6799), 974–978.
- [27] Miglino, O., Lund, H. H., & Nolfi, S. (1995). Evolving mobile robots in simulated and real environments. *Artificial life*, 2(4), 417–434.
- [28] Montanier, J.-M., & Bredeche, N. (2011). Embedded evolutionary robotics: The (1+ 1)-restart-online adaptation algorithm. In S. Doncieux, N. Bredeche, & J.-B. Mouret (Eds.) *New horizons in evolutionary robotics*, (pp. 155–169). Berlin, Heidelberg: Springer.
- [29] Mouret, J.-B., & Doncieux, S. (2008). Incremental evolution of animats’ behaviors as a multi-objective optimization. In A. M., H. J.C.T., M. JA., & T. J. (Eds.) *International Conference on Simulation of Adaptive Behavior*, (pp. 210–219). Berlin, Heidelberg: Springer.
- [30] Mouret, J.-B., Doncieux, S., & Meyer, J.-A. (2006). Incremental evolution of target-following neuro-controllers for flapping-wing animats. In N. S. et al. (Ed.) *International Conference on Simulation of Adaptive Behavior*, (pp. 606–618). Berlin, Heidelberg: Springer.

- [31] Nolfi, S. (1996). Adaptation as a more powerful tool than decomposition and integration. In P. K. Simpson (Ed.) *Proceedings of the workshop on evolutionary computing and machine learning, 13th international conference on machine learning*, vol. 1, (pp. 141–146). Piscataway, NJ: IEEE.
- [32] Nolfi, S. (1997). Evolving non-trivial behaviors on real robots: A garbage collecting robot. *Robotics and Autonomous Systems*, 22(3), 187–198.
- [33] Nolfi, S., & Floreano, D. (2000). *Evolutionary robotics: The biology, intelligence, and technology of self-organizing machines*. MIT press.
- [34] Pololu (2016). Pololu zumo 32u4 robot. <https://www.pololu.com/category/170/zumo-32u4-robot>.
- [35] Prieto, A., Becerra, J., Bellas, F., & Duro, R. J. (2010). Open-ended evolution as a means to self-organize heterogeneous multi-robot systems in real time. *Robotics and Autonomous Systems*, 58(12), 1282–1291.
- [36] Robots, U. (2015). *The URScript Programming Language*. Universal Robots A/S, version 3.2 ed.
- [37] Robots, U. (2015). *User Manual, UR5/CB3*. Universal Robots A/S, version 3.1 ed.
- [38] Roulet-Dubonnet, O. (2015). python-urx. <https://github.com/oroulet/python-urx>. PYPI urx 0.93.
- [39] Shen, H., Yosinski, J., Kormushev, P., Caldwell, D. G., & Lipson, H. (2012). Learning fast quadruped robot gaits with the RL power spline parameterization. *Cybernetics and Information Technologies*, 12(3), 66–75.
- [40] Sparkfun (2015). Rn42-xv bluetooth module - pcb antenna. <https://www.sparkfun.com/products/11601>.

- [41] Stanley, K. O., & Miikkulainen, R. (1996). Efficient reinforcement learning through evolving neural network topologies. *Network (Phenotype)*, 1(2), 3.
- [42] Stanley, K. O., & Miikkulainen, R. (2002). Evolving neural networks through augmenting topologies. *Evolutionary Computation*, 10(2), 99–127.
- [43] Watson, R. A., Ficici, S., & Pollack, J. B. (1999). Embodied evolution: Embodying an evolutionary algorithm in a population of robots. In *Evolutionary Computation, 1999. CEC 99. Proceedings of the 1999 Congress on*, vol. 1. Washington, DC: IEEE.
- [44] Zykov, V., Bongard, J., & Lipson, H. (2004). Evolving dynamic gaits on a physical robot. In K. Deb, R. Poli, W. Banzhaf, H.-G. Beyer, E. Burke, P. Darwen, D. Dasgupta, D. Floreano, J. Foster, M. Harman, O. Holland, P. Lanzi, L. Spector, A. Tettamanzi, D. Thierens, & A. Tyrrell (Eds.) *Proceedings of Genetic and Evolutionary Computation Conference, Late Breaking Paper, GECCO*, vol. 4. New York, NY: Springer.

## Appendix: Experimental Parameters

Tables 3 and 5 show the NEAT parameters for the navigation and obstacle task, which were found to work best in these task through prior experimentation. Movement speed of the mobile robot was slightly reduced from the navigation (Table 4) to the obstacle avoidance task (Table 6) to facilitate navigating around obstacles.

---

MultiNEAT parameter	Value
PopulationSize	15
MinSpecies	0
MaxSpecies	2
YoungAgeThreshold	2
OldAgeThreshold	5
OverAllMutationRate	0.8

Table 3: MultiNEAT parameters for the navigation task.

Movement parameter	Value
Forward speed	33%(130)
Forward duration	180 ms
Turn speed	25%(100)
Turn duration	100 ms

Table 4: Move command parameters for the navigation task.

MultiNEAT parameter	Value
PopulationSize	15
MinSpecies	1
MaxSpecies	3
SpeciesDropOffAge	3
YoungAgeThreshold	2
OldAgeThreshold	5
OverAllMutationRate	0.8

Table 5: MultiNEAT parameters for the obstacle avoidance task.

---

Movement parameter	Value
Forward speed	130 (32.5% of <i>maximum speed</i> )
Forward duration	120 ms
Turn speed	100 (25% of <i>maximum speed</i> )
Turn duration	180 ms
IR frequency	300 ms
IR power levels	(2, 4, 6, 8, 10, 13, 18, 26, 32, 38)

Table 6: Move command parameters for the obstacle avoidance task. The interested reader is referred to the Zumo Pololu Zumo 32U4 Robot User's Guide for more details about these parameters [34].

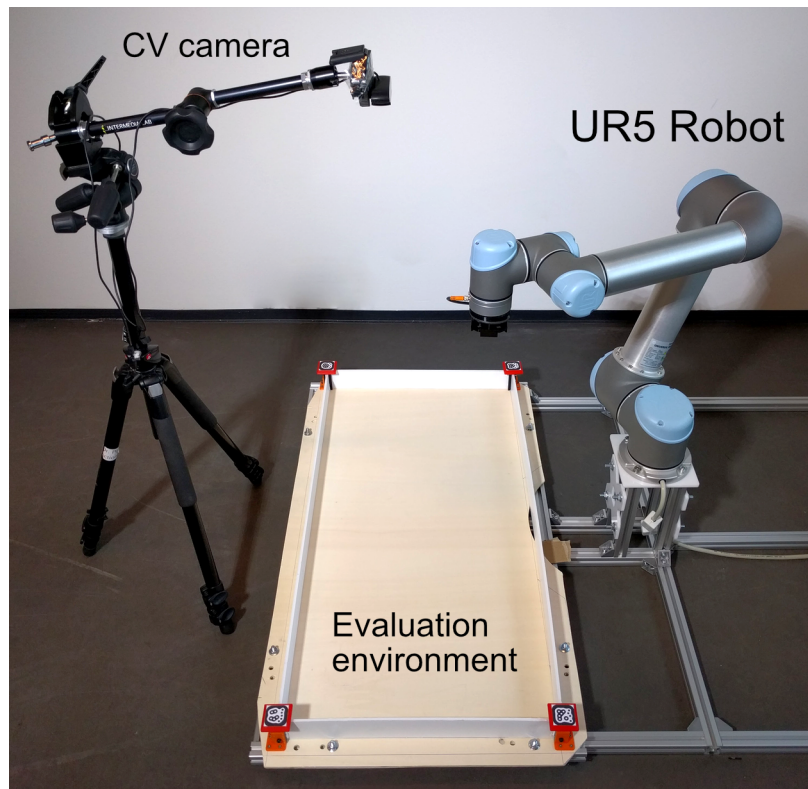


Figure 1: The main working area of the system including the UR5 robot, the evaluation environment (arena) to contain robot(s) and objects and the computer vision camera.

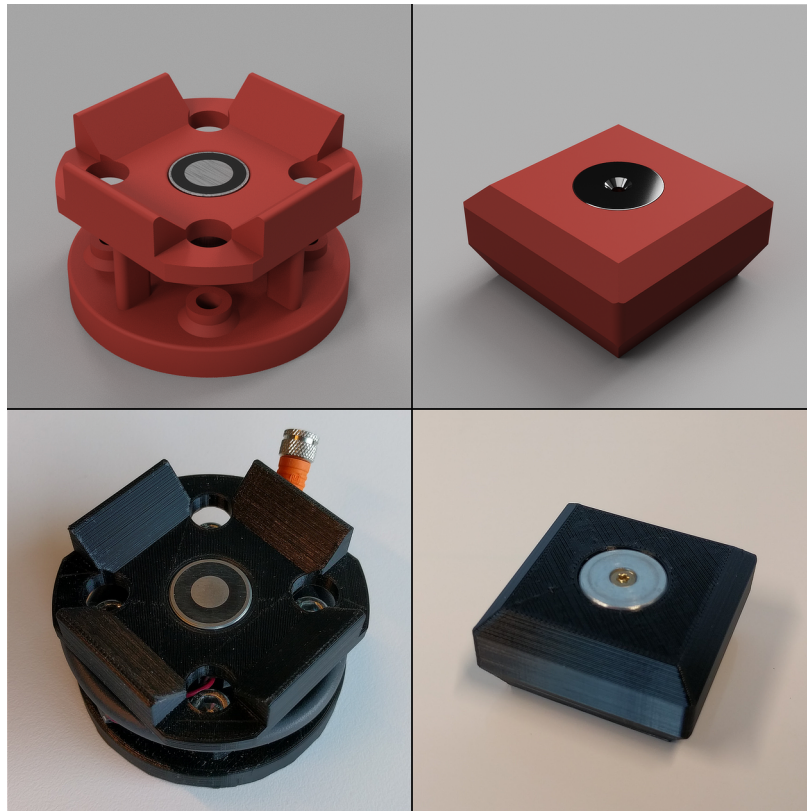


Figure 2: Robot end effector/tool and connecting object. Top row: CAD renderings of the gripping component attached to the UR5 including the electromagnet in the middle (left) and the matching connector object (right). Bottom row: prototype 3D prints of the same components.

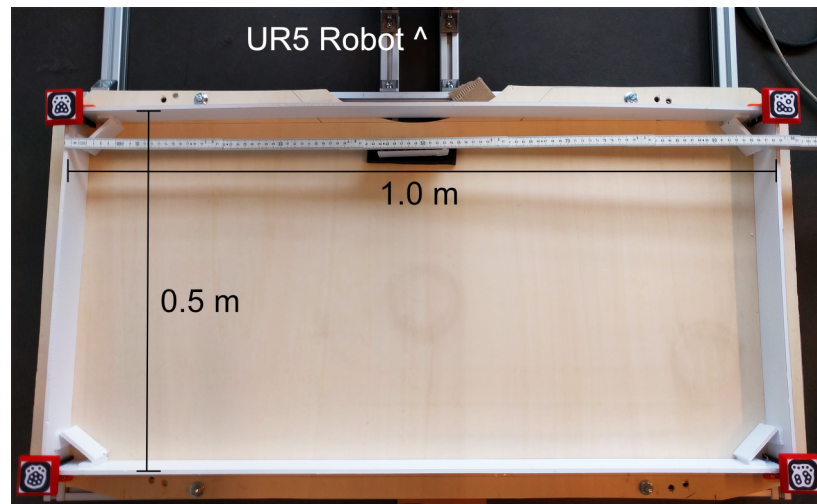


Figure 3: Arena top-view. The arena is measuring  $1\text{ m} \times 0.5\text{ m}$ . The UR5 base is located in the top center right outside the image frame.





Figure 4: reactIVision fiducials. An example of three small markers.

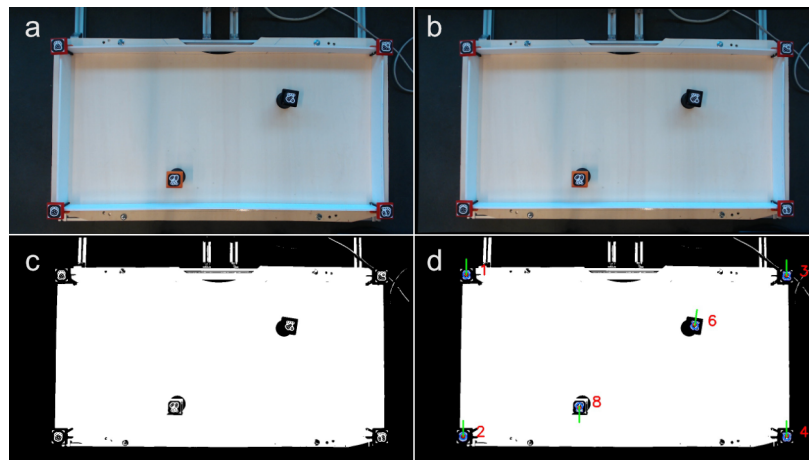


Figure 5: CV image processing. a) The raw image, b) the undistorted image, c) undistorted image after thresholding and d) fiducials has been identified and their ID, location and orientation (green line) has been overlaid on the thresholding image.

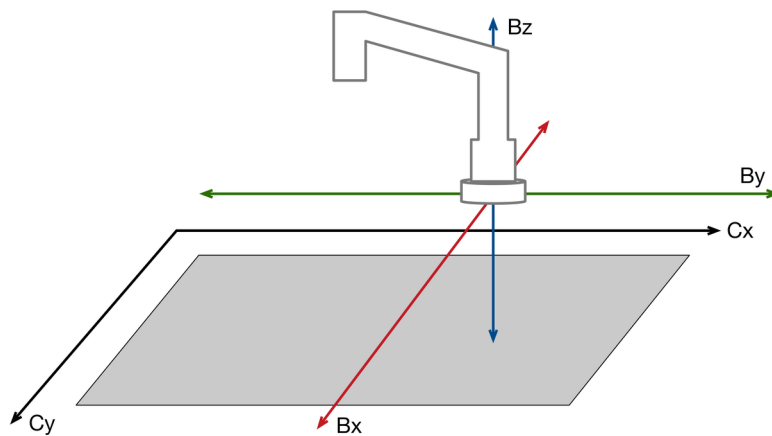


Figure 6: Camera and UR5 base coordinate systems. The  $C_x$  and  $C_y$  axis represents the camera perspective and the  $B_x$ ,  $B_y$  and  $B_z$  axis is the coordinate system relative to the UR5 base.

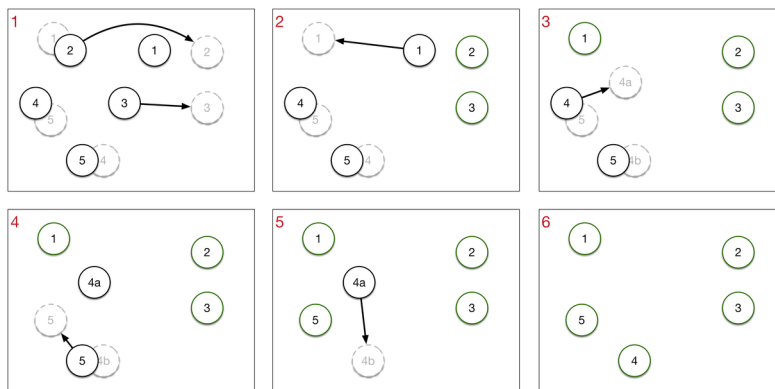


Figure 7: Environment building algorithm. The full black or green numbered circles are current positions and the grey dashed circles are the desired target for that object. The steps 1-6 depicts how the movement sequence is generated by first moving objects that has no positional conflicts, then move the objects that has conflicts that were resolved after the first step and finally resolve the more complex conflicts.

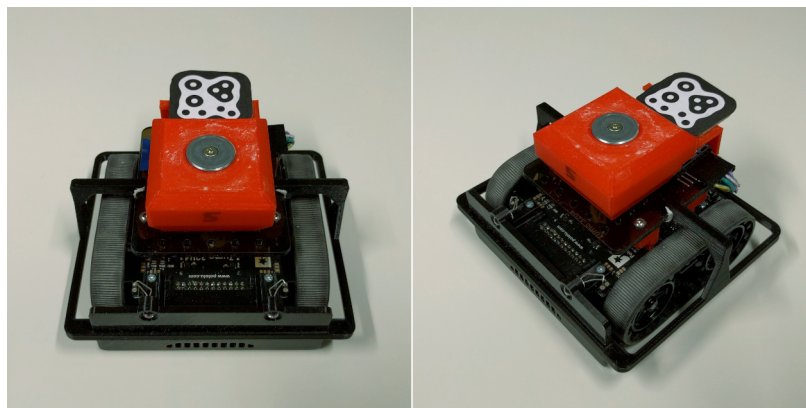


Figure 8: The Zumo 32U4 robot. A small tracked robot c. 100x100 mm. It has encoders on the motor shafts, and an array of sensors that includes proximity, reflectance (for line following) and a 9-DoF IMU. The 360 degrees bumper is 3D printed for this project and helps it avoid getting caught in walls or other objects. On the top plate, a red connector is mounted which also houses a Bluetooth module for wireless communication.



Figure 9: Environment objects. Items to be placed in the environment for the robot to interact with. They are light enough to be pushed yet stable and will not fall over easily. The tool connector with fiducial is mounted on top.

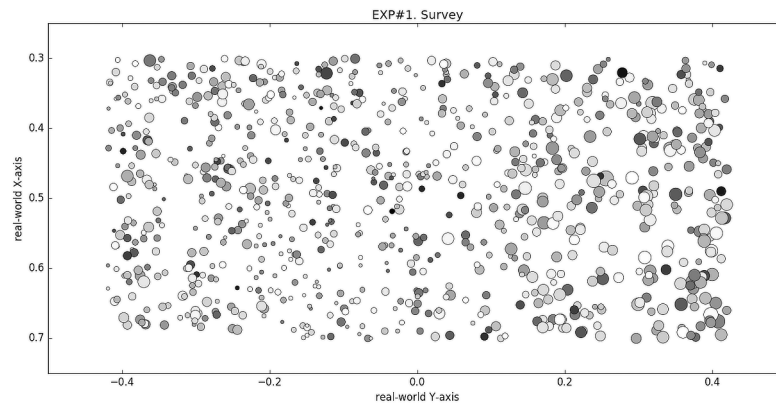


Figure 10: Positioning plot. The same object was repeatedly moved to a random position in the arena. Circle diameter is proportional to the positional error ( $err_x + err_y$ ). Shading indicates the orientation error, darker being smaller.

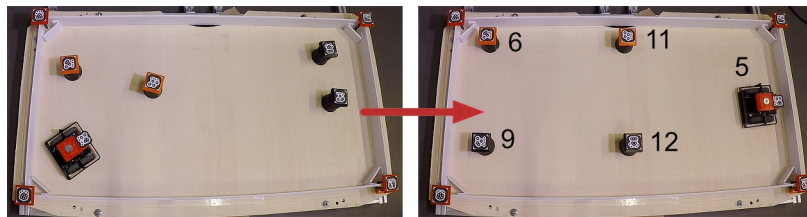


Figure 11: Building feature evaluation. The system must reorganize the objects and arrange them from an initial state (left) to a target state (right). While the initial object placement is different for the three test cases, the target state is always the same. The numbers are the object ID's.



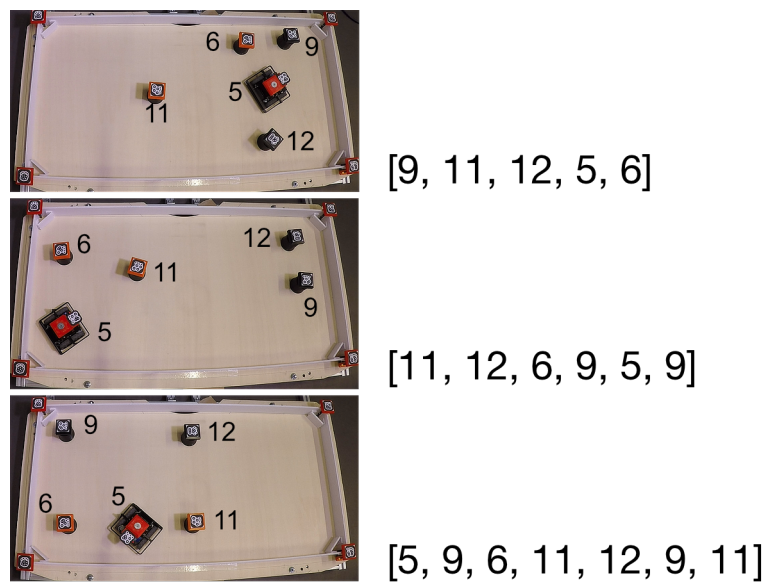


Figure 12: Movement ordering for various problems. The images show three different test cases and the order of movements, by object ID, chosen by the build algorithm to solve the problem. From all three different starting configurations, the build algorithm is able to rearrange the objects into the target configuration shown in Figure 11.

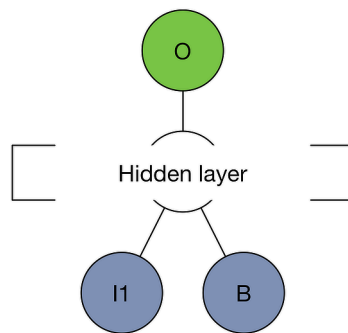


Figure 13: Neural network representation for the basic navigation task. Inputs are angle to target (I1) and bias (B). The single output is mapped to movement commands.

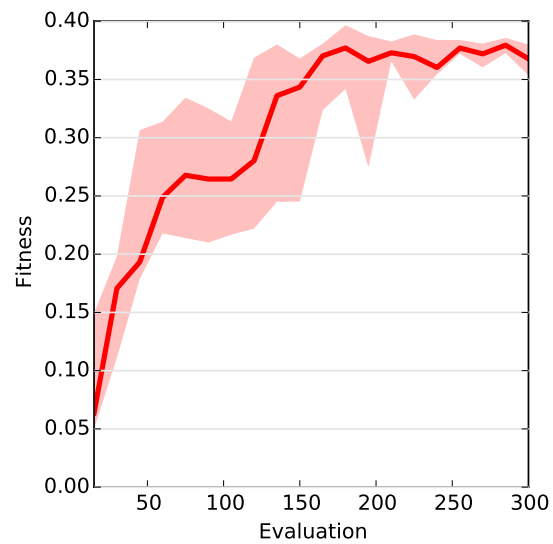


Figure 14: Fitness over generations in the navigation task. The graph shows the median and the 25 and 75 percentiles of six independent evolutionary runs. With the exception of a few dips, fitness generally increases over generations.

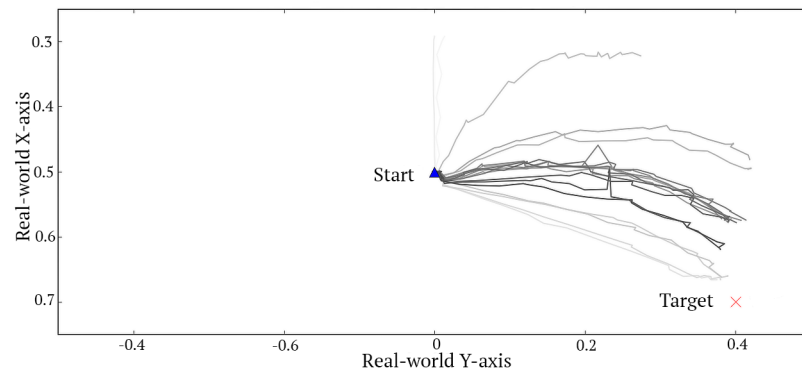


Figure 15: Robot paths from first evolution experiment. Plots of the second evaluation paths taken by the best genome in each of the 20 generations of the first run. Generations are shaded light to dark in increasing order.

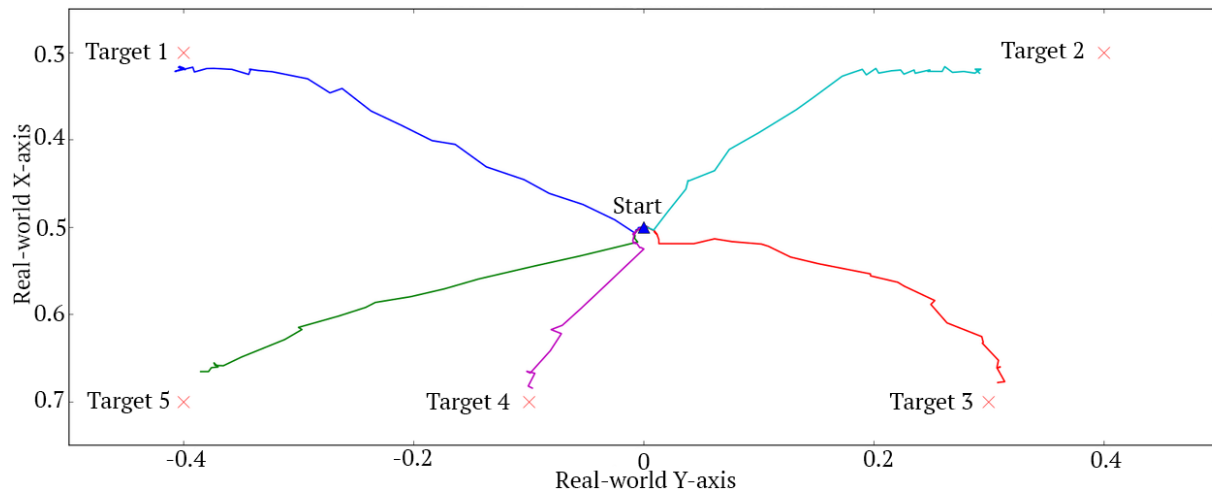


Figure 16: Generalization Test. The best network discovered after 20 generations is tried against five new targets. The Xs mark the targets. Starting position is in the center. The results demonstrate that evolution discovered a general navigation strategy.

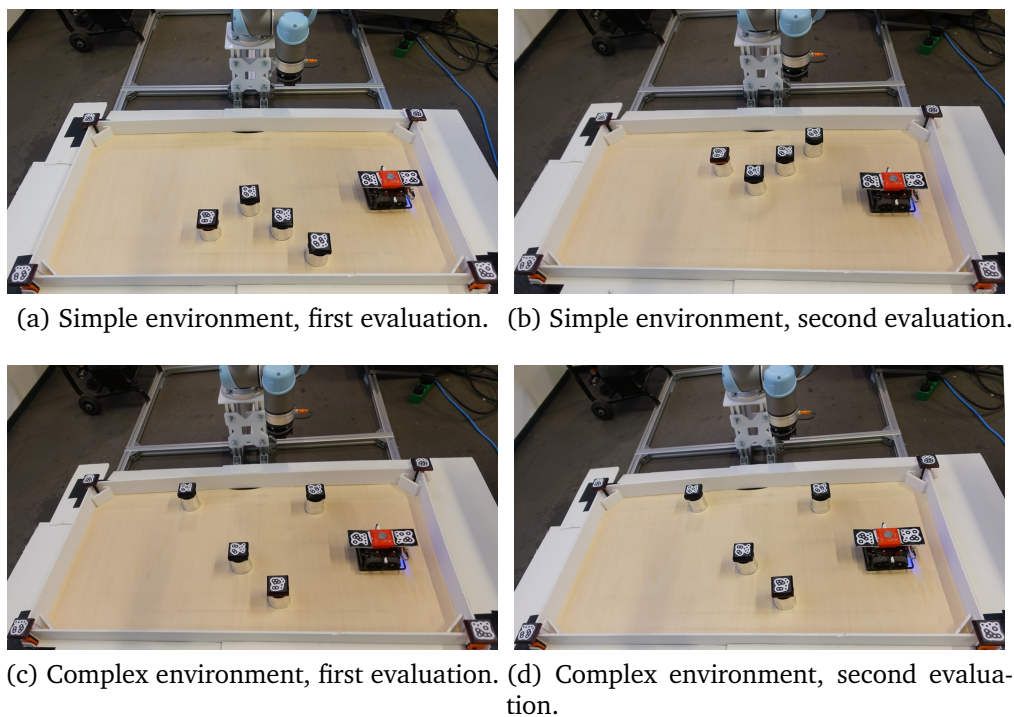


Figure 17: Incremental Evolution Setup. Shown are the two setups (a,b) and (c,d) used for the incremental evolution setup. The goal of the robot is to navigate from its start location on the right to the target location to the far left without colliding with any of the obstacles. In the non-incremental setup the agent is directly evolved in two variations of a complex environment (c,d). In the incremental setup the robot is first evolved in the simpler environment (a,b) for three generations, before being evaluated in the harder environment (c,d).

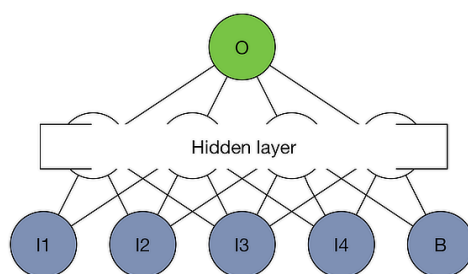


Figure 18: Neural network representation for the obstacle avoidance task. Inputs are angle to target (I1), left, front, and right proximity sensor (I2, I3 and I4) and bias (B). The single output is mapped to movement commands.

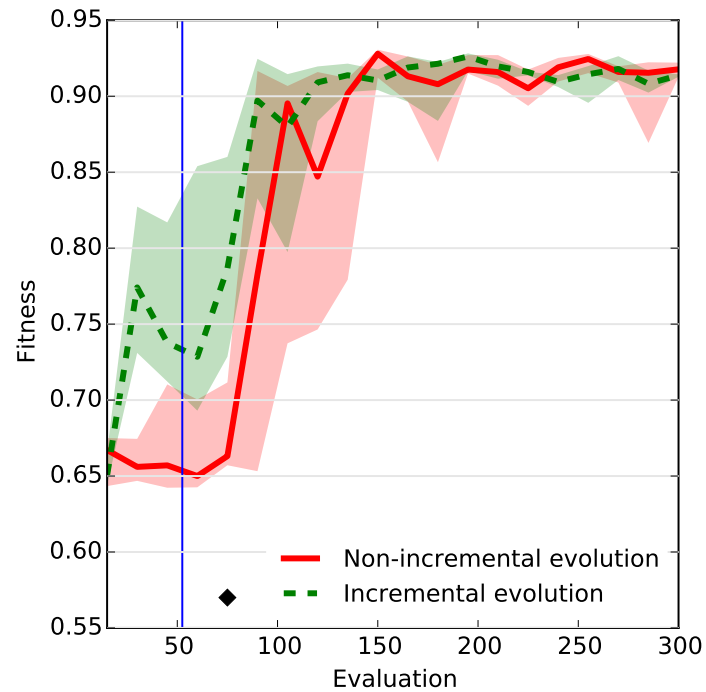
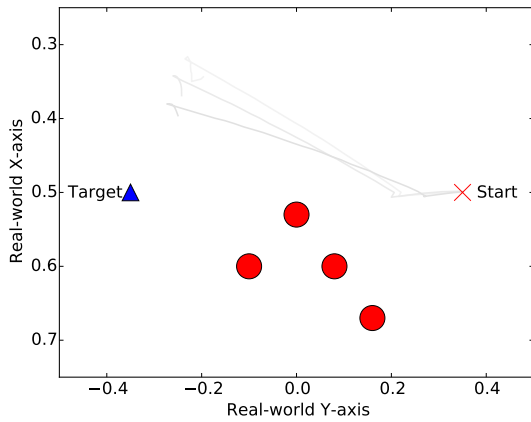
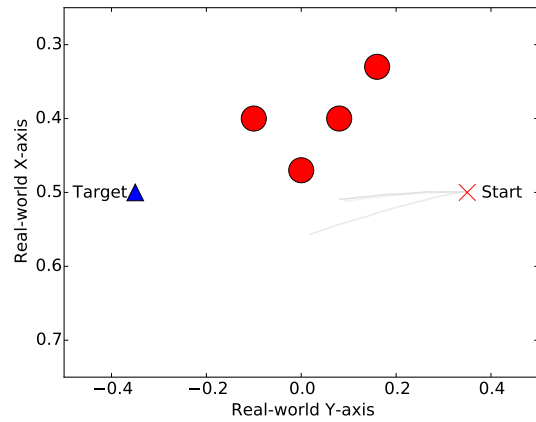


Figure 19: Fitness over generations for the obstacle avoidance task. The graphs show the median and the 25 and 75 percentiles. All results are based on six independent evolutionary runs. In the incremental approach fitness initially increases faster (significantly different in generation four), after which the two approaches reach about the same fitness level. The switch from simple to complex environment for the incremental approach is indicated by the vertical line.

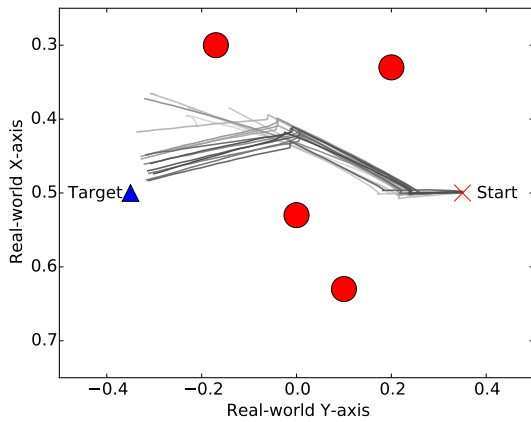




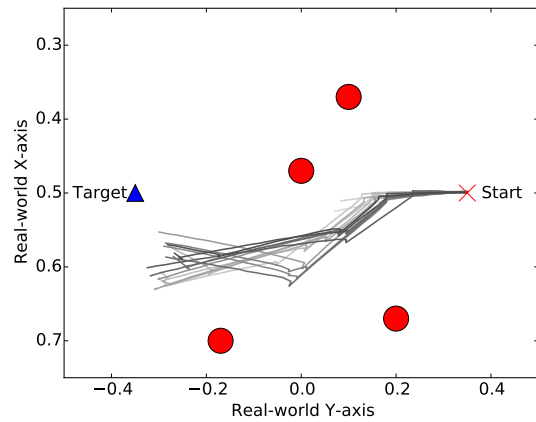
(a) First evaluation in a simple environment.



(b) Second evaluation in a simple environment



(c) First evaluation in a complex environment.



(d) Second evaluation in a complex environment.

Figure 20: Incremental Evolution Champions. Shown are the paths of the best robots found in each of the 20 generation for one evolutionary run. Over generations robots evolve the ability to approach the target for the two different obstacle layouts. Note that in the simple environment only three paths are shown, because the robot is only evolved for three generations in the simple setup.

axis	max	mean	std.dev
$x$ (mm)	5	2	1
$y$ (mm)	5	1	1
$\theta$ ( $^\circ$ )	10.3	2.9	2.1

Table 1: Positional and angular error summary. Data is obtained from 854 points. The error is the absolute difference of the coordinate the UR5 reports and the one computed from vision transformation.

---

mean time/set	mean time/op	no detection	re-cap. %
712 s	29 s	39	4.5%

Table 2: Additional performance data from the system evaluation.

MultiNEAT parameter	Value
PopulationSize	15
MinSpecies	0
MaxSpecies	2
YoungAgeThreshold	2
OldAgeThreshold	5
OverAllMutationRate	0.8

Table 3: MultiNEAT parameters for the navigation task.

Movement parameter	Value
Forward speed	33%(130)
Forward duration	180 ms
Turn speed	25%(100)
Turn duration	100 ms

Table 4: Move command parameters for the navigation task.

MultiNEAT parameter	Value
PopulationSize	15
MinSpecies	1
MaxSpecies	3
SpeciesDropOffAge	3
YoungAgeThreshold	2
OldAgeThreshold	5
OverAllMutationRate	0.8

Table 5: MultiNEAT parameters for the obstacle avoidance task.

---

Movement parameter	Value
Forward speed	130 (32.5% of <i>maximum speed</i> )
Forward duration	120 ms
Turn speed	100 (25% of <i>maximum speed</i> )
Turn duration	180 ms
IR frequency	300 ms
IR power levels	(2, 4, 6, 8, 10, 13, 18, 26, 32, 38)

Table 6: Move command parameters for the obstacle avoidance task. The interested reader is referred to the Zumo Pololu Zumo 32U4 Robot User's Guide for more details about these parameters [34].