

1 GENIPIN CROSSLINKING DECREASES THE MECHANICAL WEAR AND  
2 BIOCHEMICAL DEGRADATION OF IMPACTED CARTILAGE *IN VITRO*  
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30 contributed to the manuscript. DRW contributed to the study conception and design, the analysis  
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34 **ABSTRACT**

35 High energy trauma to cartilage causes surface fissures and microstructural damage, but  
36 the degree to which this damage renders the tissue more susceptible to wear and contributes to  
37 the progression of post-traumatic osteoarthritis (PTOA) is unknown. Additionally, no treatments  
38 are currently available to strengthen cartilage after joint trauma and to protect the tissue from  
39 subsequent degradation and wear. The purposes of this study were to investigate the role of  
40 mechanical damage in the degradation and wear of cartilage, to evaluate the effects of impact  
41 and subsequent genipin crosslinking on the changes in the viscoelastic parameters of articular  
42 cartilage, and to test the hypothesis that genipin crosslinking is an effective treatment to enhance  
43 the resistance to biochemical degradation and mechanical wear. Results demonstrate that  
44 cartilage stiffness decreases after impact loading, likely due to the formation of fissures and  
45 microarchitectural damage, and is partially or fully restored by crosslinking. The wear resistance  
46 of impacted articular cartilage was diminished compared to undamaged cartilage, suggesting that  
47 mechanical damage that is directly induced by the impact may contribute to the progression of  
48 PTOA. However, the decrease in wear resistance was completely reversed by the crosslinking  
49 treatments. Additionally, the crosslinking treatments improved the resistance to collagenase  
50 digestion at the impact-damaged articular surface. These results highlight the potential  
51 therapeutic value of collagen crosslinking via genipin in the prevention of cartilage degeneration  
52 after traumatic injury.

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## 57 INTRODUCTION

58           Of the estimated 21 million Americans that suffer from osteoarthritis (OA),  
59 approximately 12% of those cases are traumatic in origin.<sup>1,2</sup> High energy trauma to a synovial  
60 joint causes an array of mechanical, cellular, and biochemical responses that can ultimately lead  
61 to posttraumatic osteoarthritis (PTOA). A single traumatic event can result in an increased  
62 expression of pro-inflammatory cytokines that are thought to activate degradative enzymes in the  
63 cartilage and lead to reduced mechanical properties and ultimately wear resistance.<sup>3-6</sup>  
64 Additionally, mechanical cracks or fissures have been observed at the injury sites on the cartilage  
65 surface immediately following trauma, extending down at approximately a 45 degree angle into  
66 superficial, middle, or deep zones.<sup>3,7-13</sup> Even without evidence of fissures, microstructural  
67 damage can occur at the articular surface at the site of injury.<sup>14</sup> In spite of the prevalence of this  
68 acute damage after a traumatic overload to the joint, its effect on mechanical wear of cartilage  
69 and the development of PTOA is unknown.

70           PTOA often progresses to the point where the joint needs to be replaced, and total joint  
71 replacements are widely successful procedures. However, PTOA affects many younger  
72 individuals for whom arthroplasty is a poor option due to the limited lifespan of the implants.<sup>15-17</sup>  
73 Current surgical treatments at the time of injury, such as anatomic reduction of intra-articular  
74 fractures, ligament repair, joint stabilization, and osteotomies, treat intra-articular fractures or  
75 improve joint instability or incongruity,<sup>2,18-22</sup> but do not address the mechanical damage to the  
76 articular surface or intervene in the post-traumatic cellular response. Recent studies have  
77 demonstrated that biological therapies can limit chondrocyte damage caused by a mechanical  
78 overload and impair the subsequent activation of catabolic pathways. For example, D'Lima et  
79 al. demonstrated that a caspase inhibitor reduces chondrocyte apoptosis<sup>23</sup> while Haut and

80 coworkers have shown that a surfactant decreases cellular necrosis after a mechanical insult to  
81 cartilage tissue.<sup>24,25</sup> Martin et al. have reported that antioxidants are effective at reducing  
82 chondrocyte death and concomitant matrix degradation.<sup>26-28</sup> Ding et al. investigated another  
83 biological target, mitogen activated protein kinases, which can be inhibited to reduce injury-  
84 related chondrocyte death and proteoglycan loss.<sup>29</sup> In spite of the promise of these biologic  
85 treatments, they do not address the mechanical damage at the articular surface, or restore the  
86 mechanical integrity of the tissue.

87 Our previous work investigated collagen crosslinking of cartilage using genipin, a natural  
88 plant extract, as a potential therapeutic treatment. Both 2 mM and 10 mM genipin crosslinking  
89 treatments improved the wear resistance of healthy, intact cartilage *in vitro*. These treatments  
90 increased the stiffness via indentation and significantly protected the tissue from collagenase  
91 digestion. The 2 mM genipin treatment was non-toxic to chondrocytes, indicating its potential as  
92 a clinical treatment to prevent osteoarthritis.<sup>30</sup> However, the effect of crosslinking on cartilage  
93 that has been damaged by a traumatic overload has not been investigated. The goal of this study  
94 was to investigate the effect of mechanical damage and genipin crosslinking on cartilage's  
95 viscoelastic parameters, wear resistance, coefficient of friction and biochemical enzymatic  
96 degradation.

## 97 **METHODS**

### 98 **IMPACT DAMAGE**

99 Bovine stifles from approximately 1-year old animals were obtained from a local abattoir  
100 (Martins Meats, Wakarusa, IN) and were stored frozen at -23 °C until use. Osteochondral  
101 specimens with a 9.5 mm diameter and approximately 25 mm in length were cored from the

102 condyles such that the articular surface was perpendicular to the coring axis, with no more than  
103 two specimens taken per condyle. The specimens were placed with the articular surface facing  
104 upwards into the loading chamber of a custom designed drop tower, which was similar to  
105 previously reported devices (Figure S1).<sup>31-33</sup> Preliminary testing determined that cartilage was  
106 visually damaged in unconfined compression using a spherical impact head that was 3.2 cm in  
107 diameter, dropped from a height of 25 cm with a total impactor mass of 499 g. Specimens that  
108 resulted in bone fracture or delamination of the cartilage from the bone were discarded. The  
109 drop tower was instrumented with an accelerometer (Kistler 8743A5; Novi, MI) and a load cell  
110 (Kistler 9712B5000) for the collection of impact data at 100 kHz (n = 8). The accelerometer  
111 data was integrated twice with respect to time to determine the velocity and displacement using a  
112 custom Matlab script. The maximum and average contact pressures at peak impact were  
113 calculated from the load and displacement data using Hertz contact theory.<sup>34</sup> The impact energy  
114 was evaluated by integrating the load with respect to displacement. Average strain through the  
115 depth of the tissue was calculated by dividing the tissue displacement by thickness, and the  
116 average strain rate was calculated from the maximum average strain divided by the time duration  
117 of the impact to that point. A range of strains and strain rates were calculated for cartilage  
118 thicknesses from 1.2 to 1.5 mm, based on our previous observation that 8 to 10 sections of 150  
119  $\mu\text{m}$  thickness can be taken through the depth of our samples.

120

## 121 GENIPIN CROSSLINKING

122 Following impact, cartilage specimens were incubated in 0, 2, or 10 mM genipin  
123 (Challenge Bioproducts, Taiwan) solutions in phosphate-buffered saline (PBS) at 37 °C for 15

124 min in a shaking water bath. After incubation in the genipin, specimens were transferred to PBS  
125 and incubated at 37°C to bring the total incubation time to 24 h.<sup>30</sup>

126

## 127 HISTOLOGY AND IMMUNOHISTOCHEMISTRY

128 Alcian blue staining was performed to visualize cartilage damage and glycosaminoglycan  
129 (GAG) levels post-impact. Specimens were impacted as described above and then incubated in  
130 PBS at 37°C for 24 h. After incubation, the specimens were cut to 6 mm in length and were  
131 fixed in paraformaldehyde, decalcified in a formic acid solution, and embedded in OCT.  
132 Cryosections (7 µm) taken perpendicular to the cartilage surface were mounted to glass  
133 microscope slides, stained with Alcian Blue solution (Sigma Aldrich, St. Louis, MO) and  
134 counterstained with Nuclear Fast Red (Electron Microscopy Sciences, Hatfield, PA). Images  
135 were taken using an optical microscope (Nikon ME1600, Nikon Instruments Inc.; Melville, NY)  
136 connected to a digital camera (Optronics; Goleta, CA).

137 Immunohistochemistry was performed to assess damaged collagen using antibody COL2-  
138 3/4M, and collagenase cleavage using antibody COL2-3/4C<sub>short</sub> (both from Ibex Technologies,  
139 Inc, Mont-Royal, Canada). COL2-3/4M nonspecifically targets an epitope within type 2  
140 collagen's triple-helix which is exposed in the damaged molecule. Since both mechanical  
141 overloading and collagenase cleavage can result in collagen damage, no distinction can be made  
142 between these two forms of damage with this antibody alone. Therefore, COL2-3/4C<sub>short</sub>, which  
143 is specifically an indicator of collagenase cleavage, was used in conjunction with COL2-3/4M to  
144 visualize the damage that was exclusively due to mechanical disruption. Immunohistochemistry  
145 using COL2-3/4M and COL2-3/4C<sub>short</sub> was performed on 7 µm cartilage cryosections that had

146 been removed from the subchondral bone. The sections underwent a mild fixation in 95%  
147 ethanol before they were subjected to antigen retrieval with 0.5% hyaluronidase in PBS for 20  
148 minutes at 37°C. Nonspecific binding sites and endogenous biotin were blocked at room  
149 temperature with goat serum, 0.01% Avidin (Pierce Biotechnology, Rockford, IL), and 0.001%  
150 Biotin (Sigma Aldrich). Sections were then incubated in 1:400 dilutions of primary antibodies,  
151 either COL2-3/4M or COL2-3/4C<sub>short</sub>, for 1 h at 37°C. Biotinylated secondary antibodies  
152 directed against the appropriate species (Vector Laboratories, Burlingame, CA; 1:100) were  
153 applied for 1 h at 37°C. Only the secondary antibody was applied for the negative control.  
154 Sections were incubated in avidin-biotin complex according to the manufacturer's instruction  
155 (Vectastain Elite ABC Kit; Vector Laboratories) and developed with DAB peroxidase (Vector  
156 Laboratories) for 10 min at 37°C. Slides were rinsed between each step.

157

## 158 SGAG RELEASE FROM IMPACTED SPECIMENS

159 A modified dimethylmethylene blue (DMMB) assay was performed to determine the  
160 effect of the 24 h incubation on sulfated glycosaminoglycan (sGAG) loss from impacted and  
161 control specimens (n = 8). Osteochondral specimens were impacted then immediately incubated  
162 in 1 ml of PBS at 37°C for 24 hours, as in the 0 mM condition. Specimens that were not  
163 impacted but were incubated in PBS at 37°C for 24 hours acted as controls. Briefly, aliquots of  
164 the incubation solution were mixed with a dye solution consisting of 80 µM DMMB, 1%  
165 ethanol, 40 mM guanidine-HCl, 315 µM formic acid, and 25 µM sodium hydroxide at a pH of  
166 3.5 for 30 minutes and then centrifuged. The supernatant was removed and the remaining pellet  
167 was resuspended in a dissociation buffer of 10% isopropanol and 4 M guanidine-HCl. The

168 resultant solution was measured colorimetrically at 600 nm and the amount of sGAG was  
169 estimated from chondroitin sulfate standards.

170

## 171 VISCOELASTIC PARAMETERS FROM INDENTATION

172           Stress-relaxation tests were performed on separate 9.5 mm diameter osteochondral  
173 specimens with a Hysitron TI950 TriboIndenter (Minneapolis, MN) equipped with a 3-D  
174 OmniProbe® transducer and a 750  $\mu\text{m}$  diameter flat punch probe with a 20  $\mu\text{m}$  edge radius.<sup>35</sup>  
175 The load function consisted of a 20-second ramp to peak displacement, followed by a 50-second  
176 hold at peak displacement and a 1-second unloading segment. Testing was carried out with a 0.5  
177 mN preload followed by a 67  $\mu\text{m}$  peak indentation depth. This protocol was previously found to  
178 produce repeatable measurements of the unloading stiffness that were sensitive to changes due to  
179 crosslinking.<sup>36</sup> Indentations were performed in quadruplicate at three locations selected at  
180 random on each specimen. Immediately following indentation testing, the articular surface of  
181 the cartilage was impacted via drop tower, using the previously described protocol. After impact  
182 the specimens underwent indentation again at the same locations. Following the post-impact  
183 indentation testing, specimens were crosslinked in genipin, as described above, equilibrated in  
184 PBS at room temperature for an additional 2 h, and then indentation testing was repeated at the  
185 same three locations on four specimens per condition ( $n = 12$ ). The relaxation portion of the  
186 indentation stress relaxation data was analyzed with a standard linear solid model (SLS) as  
187 previously described.<sup>30</sup> The changes in instantaneous stiffness, equilibrium stiffness, and relaxation  
188 time constant were reported. The unloading stiffness was evaluated as the slope from a linear fit  
189 of the top 10% of the unloading curve.<sup>37</sup> The unloading stiffness is directly proportional to the



190 elastic modulus of the tissue for a flat punch indenter.<sup>38</sup> Values for each apparent parameter  
191 were normalized by the corresponding pre-impact value.

## 192 COLLAGENASE DIGESTION

193 In additional experiments, 9.5 mm diameter osteochondral specimens were impacted and  
194 then 5.9 mm diameter cylinders were cored from the center of the specimens such that the  
195 articular surface was perpendicular to the coring axis. The smaller diameter was used to ensure  
196 flatness of the articular surface so that uniform sections could be taken. The specimens were cut  
197 to a length of 6 mm using an Isomet Low Speed Saw (Buehler, Lake Bluff, IL). Specimens were  
198 incubated in 0, 2, or 10 mM solutions of genipin in PBS for 15 minutes and then in genipin-free  
199 PBS for the remaining 24 hours, as above (n = 4). Specimens that were not impacted and were  
200 incubated in PBS for 24 h acted as controls. Using a sledge microtome (HM 450 Richard Allan,  
201 Kalamazoo, MI) equipped with a freezing stage (Physitemp, Clifton, NJ) set at -25 °C, 150 µm  
202 sections were taken through the depth of the articular cartilage as has previously been  
203 described.<sup>30</sup> Individual sections were incubated for 45 minutes at 37 °C in 0.5 mL of a 2 mg/mL  
204 solution of type I collagenase from *Clostridium histolyticum* in 50 mM Trizma® buffer at pH  
205 7.42 containing 10 mM CaCl<sub>2</sub> (all from Sigma Aldrich). To quantify the collagen that had been  
206 digested, the samples of the digest solution were hydrolyzed at 100°C for 18 h in concentrated  
207 HCl (38%) and assessed for hydroxyproline with a chloramine-T assay. Hydroxyproline is an  
208 amino acid constituent that is found almost exclusively in collagen. As impact damage and  
209 subsequent wear occur primarily at the articular surface, the quantity of collagen that had been  
210 digested at the articular surface was reported in addition to data taken throughout depth of the  
211 tissue.

## 212 FRICTION AND WEAR TESTING

213 Friction testing was conducted on 9.5 mm diameter osteochondral specimens that had  
214 been impacted then immediately incubated in either 0 or 10 mM genipin solution in PBS as  
215 described above. Specimens that were not impacted and were incubated in 0 mM genipin acted  
216 as controls. The coefficient of friction (COF) between cartilage and stainless steel (T316;  $R_a =$   
217  $0.016 \pm 0.004 \mu\text{m}$ ) was measured in a hydrating solution consisting of 0.15 M NaCl with  
218 protease inhibitors (1mM ethylenediaminetetraacetic acid, 5 mM benzamidine and 10 mM n-  
219 ethylmaleimide). To provide insight into the subsequent wear test, reciprocal sliding motion was  
220 carried out using a Universal Micro-Tribometer (Bruker, Inc., Campbell, CA) under a constant  
221 normal load of 70 N (approximately 1.6 MPa contact pressure, or greater than the average  
222 pressure in the knee but below measured peak pressures<sup>39</sup>) for 30 min at a sliding speed of 4  
223 mm/s, with each back and forth portion being 18 mm long ( $n = 4$ ). The friction and normal  
224 forces were averaged over each cycle of reciprocal motion, the COF was obtained from the ratio  
225 of these values, and the initial value from the first reciprocal cycle was reported. Between tests,  
226 the stainless steel was thoroughly cleaned with 70% ethanol, followed by a distilled water rinse.

227 To perform wear testing, specimens were impacted then crosslinked in 0, 2, or 10 mM  
228 genipin solutions. Specimens that were not impacted and were incubated in 0 mM genipin  
229 served as controls. Cartilage was worn against 316L stainless steel discs ( $R_a = 0.015 \pm 0.002$   
230  $\mu\text{m}$ ) as previously described ( $n = 6$  per condition).<sup>40</sup> Briefly, specimens were loaded into a pin-  
231 on-disk tribometer (OrthoPOD from AMTI; Watertown, MA) with the hydrating fluid and tested  
232 in cycles consisting of four 18 mm strokes in a square path with a sliding velocity of 4 mm/s. A  
233 load of 70 N was applied at a rate of 150 N/s and removed for the final 45% (~8 mm) of each  
234 stroke to permit specimen rehydration. Testing was conducted at room temperature for a total of

235 9600 cycles and a wear distance of 384 m. The load and cycle number were chosen based on  
236 preliminary studies that determined these conditions resulted in consistent wear on impacted,  
237 non-crosslinked specimens.

238 To quantify cartilage wear, the amount of hydroxyproline that was released to the  
239 hydrating saline solution was assessed. The hydrating baths were lyophilized, re-suspended in  
240 papain digest solution, and incubated at 60°C overnight. Samples of the digest solution were  
241 hydrolyzed and assessed with a chloramine-T assay, as above. Additionally, verification of wear  
242 was performed with India ink staining the articular surface; the areas that were stained after  
243 wiping the surface with a damp cloth indicated damage based on the adherence of India ink to  
244 fibrillated cartilage.<sup>40</sup>

## 245 STATISTICS

246 Differences between groups that had been impacted and treated with the different genipin  
247 concentrations were determined using a one-way ANOVA with Tukey's post-hoc test with  
248 significance set at  $p < 0.05$  (Graphpad Prism Software, La Jolla, CA). A one sample t-test was  
249 used to determine the difference of unloading stiffness, instantaneous stiffness, equilibrium  
250 stiffness and relaxation time constant normalized to corresponding initial values from 1.0. A  
251 two-way ANOVA with Tukey post-hoc test was performed to determine the significance of the  
252 different groups and the cartilage depth on the amount of hydroxyproline released during  
253 collagenase digestion. Data are presented as mean  $\pm$  standard deviations.

254

## 255 RESULTS

### 256 IMPACT DAMAGE

257 The impact protocol resulted in a maximum contact pressure at peak displacement of 52.8  
258  $\pm 17.0$  MPa, with an average contact pressure of  $35.2 \pm 11.4$  MPa at the same time point. The  
259 average impact energy and velocity were  $0.89 \pm 0.30$  J and  $1.8 \pm 0.35$  m/s, respectively.  
260 Assuming that only the cartilage deformed, the maximum strains were  $0.903 \pm 0.312$  and  $0.723 \pm$   
261  $0.250$  for cartilage thicknesses of 1.2 and 1.5 mm, respectively, while the mean strain rates were  
262  $1370 \pm 580$  and  $1100 \pm 460$  s<sup>-1</sup>, respectively. Alcian blue staining of impacted cartilage  
263 demonstrated fissure formation at the articular surface, but also revealed that proteoglycans  
264 (GAG) were retained by the bulk of the cartilage post-impact (Figure 1A).  
265 Immunohistochemistry indicated that collagen became damaged under the impact in the  
266 superficial zone (Figure 1B), while staining for collagenase cleavage was much fainter and more  
267 diffuse (Figure 1C). Specimens that did not receive an impact did not show evidence of  
268 damaged collagen (Figure S2A), nor was non-specific binding observed (Figure S2B). SGAG  
269 loss due to the 24 h incubation was  $59.2 \pm 38.7$  and  $93.8 \pm 47.5$   $\mu$ g in the control and impacted  
270 specimens, respectively. No significant differences were detected between the two groups ( $p =$   
271  $0.133$ ).

## 272 VISCOELASTIC PARAMETERS FROM INDENTATION

273 The average instantaneous, equilibrium, and unloading stiffness all decreased with impact  
274 by 52.1, 47.6 and 40.2% respectively (Figure 2A-C) as compared to pre-impact values.  
275 Additionally, all the stiffness measurements decreased further for the untreated (0 mM genipin)  
276 specimens after the 24 hour incubation, with the equilibrium stiffness exhibiting the greatest  
277 change of 84%. However, treatment with genipin reversed this effect of the impact. At 2 mM,  
278 genipin treatment tended to restore the stiffness, and the 10 mM genipin treatment significantly

279 increased all stiffness measurements compared to the untreated (0 mM genipin) specimens. The  
280 impact load did not affect the relaxation time constant, but crosslinking with either the 2 or 10  
281 mM genipin treatments caused a significant decrease (Figure 2D).

282

### 283 COLLAGENASE DIGESTION

284         There were no significant differences between the non-impacted and impacted 0 mM  
285 groups in the amount of hydroxyproline released to solution during collagenase digestion at any  
286 depth within the cartilage (Figure 3A). Throughout the depth of the cartilage, treatment with 10  
287 mM genipin tended to decrease hydroxyproline released compared to the other treatment groups.  
288 In the surface cartilage sections, collagenase digestion was equivalent in both the non-impacted  
289 and impacted 0 mM genipin groups (Figure 3B). The amount of digestion decreased in the 2  
290 mM genipin group in the surface sections, but only compared to the non-impacted controls.  
291 Treatment with 10 mM genipin significantly decreased collagenase digestion compared to both  
292 the non-impacted control and untreated (0 mM genipin) groups in the surface sections.

293

### 294 FRICTION AND WEAR

295         Impact loading did not alter the COF of the articular cartilage when loaded to 70 N.  
296 Treatment with 10 mM genipin after impact loading also had no effect on the COF (Figure 4).  
297 India ink staining suggested that impacted specimens sustained more wear than the non-impacted  
298 specimens in the absence of genipin treatment, but that wear was reduced by crosslinking the  
299 tissue in 2 and 10 mM genipin (Figure 5A). Quantitative testing of wear confirmed that the  
300 impacted specimens without treatment released significantly more collagen during the wear test  
301 than those that had not been impacted, and that the 2 and 10 mM genipin crosslinking treatments

302 reduced the wear of the impacted specimens to levels that were comparable to that of the non-  
303 impacted controls (Figure 5B).

304  
305

## 306 **DISCUSSION**

307         This study investigated the effect of a single, blunt impact on the mechanical behavior  
308 and biochemical degradation of articular cartilage. In addition, genipin crosslinking was  
309 investigated as a potential therapeutic treatment to slow the degeneration of impact-damaged  
310 cartilage and potentially the progression of PTOA. The results indicate that the acute effects of  
311 an injurious impact load to articular cartilage leads to decreases in material stiffness but that  
312 treatment with genipin partially or fully restored the viscoelastic parameters of the tissue. The  
313 wear resistance of impacted articular cartilage was also diminished compared to undamaged  
314 cartilage, suggesting that the mechanical damage that is directly induced by the impact may  
315 contribute to the development of PTOA. However, the wear resistance of the damaged tissue  
316 was fully restored by the crosslinking treatments. The crosslinking treatments also improved the  
317 resistance to collagenase digestion at the impact-damaged articular surface. Taken together,  
318 these results demonstrate the potential therapeutic value of collagen crosslinking, and highlight  
319 genipin as a promising approach to the prevention of cartilage degeneration after traumatic  
320 injury.

321         Impact loading significantly decreased all cartilage stiffness measurements. This is  
322 consistent with the fissure formation at the articular surface observed by histology and collagen  
323 network denaturation and microarchitectural damage in the superficial zone observed by  
324 immunohistochemistry. Another factor may have been the 58.7% average increase in sGAGs  
325 released from the impacted specimens during the 24 h incubation, though the difference was not

326 significant. Genipin treatment tended to restore the stiffness of the tissue up to a point.  
327 However, the restoration of stiffness by crosslinking was likely limited due to the formation of  
328 the fissures, which the crosslinking treatments were unable to repair. Impact did not change the  
329 stress relaxation time constant, but it was decreased by both genipin crosslinking treatments,  
330 similar to what was seen previously with healthy, intact cartilage.<sup>30</sup> It should be noted that the  
331 change in viscoelastic parameters were measured via 70  $\mu\text{m}$  indentation and may not reflect the  
332 change in properties through the depth of the tissue.

333         There was no significant difference in collagenase digestion between the non-impacted  
334 and impacted 0 mM genipin groups anywhere through the depth, indicating that the acute  
335 mechanical damage induced by impact does not lead to enhanced susceptibility of cartilage to  
336 collagenase. Regardless, levels of degradative enzymes are elevated in joints after a trauma; a  
337 single impact load to cartilage causes an adverse cellular response, including an increased  
338 expression of pro-inflammatory cytokines such as interleukin-1 (IL-1),<sup>6</sup> which are thought to  
339 activate degradative enzymes<sup>4</sup> that reduce the mechanical properties of the cartilage over  
340 time.<sup>5,11</sup> Weakening of the tissue through this catabolic pathway is one mechanism by which a  
341 traumatic injury progresses to PTOA. A therapeutic treatment that protects cartilage from the  
342 degradative post-injury environment may slow the development of PTOA. The present data  
343 indicate that crosslinking the cartilage in 2 mM genipin decreased collagenase digestion at the  
344 articular surface compared to healthy cartilage, while the tissue that received the 10 mM  
345 treatment was even more resistant to collagenase. These results indicate that collagen  
346 crosslinking enhances the resistance to biochemical degradation at the articular surface of  
347 impact-damaged cartilage, and may preserve cartilage after trauma.

348           The increased wear observed in response to impaction injury is likely due to the  
349 microarchitectural damage and fissure formation that occurred at the articular surface and may  
350 contribute to the progression of PTOA. The wear data show that the cartilage that had been  
351 damaged and then treated with either concentration of genipin did not differ from non-  
352 impacted controls, indicating that both genipin treatments restored the wear resistance that was  
353 lost after the traumatic impact. As crosslinking did not alter the COF, the improved wear  
354 resistance is likely due to strengthening of the tissue.

355           Limitations of this study include the fact that it is difficult to directly compare the impact  
356 from the current study to those in whole joints. The impact load that was imparted by the drop  
357 tower is designed to mimic physiologic joint trauma from automobile accidents, sports injuries,  
358 or military combat injuries. This model successfully generates standardized, reproducible,  
359 cartilage damage, including cartilage fissures at the articular surface and microarchitectural  
360 damage, which are hallmarks of joint trauma. Similarly, it is difficult to compare impact  
361 parameters such as peak stress and strain between experimental studies because of differences in  
362 specimen geometry and anatomic location. Previous experimental work found that stresses  
363 above 20 to 30 MPa applied at strain rates of 500 to 1,000 s<sup>-1</sup> are necessary to cause chondrocyte  
364 death and fissure formation,<sup>31</sup> consistent with the loading applied here. One estimation that we  
365 made in our analysis of the impact load was that only cartilage deformed during our impact  
366 protocol and not bone; although cartilage is much more compliant, the deformation would have  
367 been distributed to both tissues. Another estimate was the thickness of the cartilage, which was  
368 assumed to be in the range of 1.2 to 1.5 mm, but was not directly measured. The study was  
369 performed using approximately 1-year old, skeletally immature bovine tissue, and the measured  
370 results may not be indicative of those from cartilage with a mature morphology. Finally, we note



371 that the specimens were frozen before use, and that the matrix of all the specimens would have  
372 been exposed to degradative enzymes from the ruptured cells. A degraded matrix may respond  
373 differently to impact and crosslinking than healthy tissue, and future studies are planned to  
374 investigate whether crosslinking strengthens cartilage that has been enzymatically degraded as in  
375 the post-traumatic environment.

376         Current treatments to prevent the development of PTOA aim to improve joint instability  
377 or incongruity,<sup>2,18-22</sup> and are necessary to restore normal joint function. Recent studies have  
378 investigated biological treatments that impair aspects of the post-trauma cellular response.<sup>23-29,41</sup>  
379 These treatments aim to decrease the risk of OA after an injury by limiting damage to the  
380 chondrocytes. However, none of these therapies address the diminished material properties of  
381 the damaged cartilage tissue, or protect the articular surface from subsequent mechanical wear or  
382 biochemical degradation. The results of this study suggest that a collagen crosslinking agent such  
383 as genipin may be of therapeutic value either independently or as a complement to therapies that  
384 alter cell behavior. In this study, the beneficial effects of genipin were observed even at the  
385 lower concentration of 2 mM. Previous research has demonstrated that this genipin crosslinking  
386 treatment is non-toxic to chondrocytes, though toxicity is observed at higher concentrations.<sup>30</sup>  
387 Genipin treatments may be ideal for clinical applications where the joint is open, as intra-  
388 articular injection would crosslink all the tissues of the joint, including the ligaments and  
389 synovium. Alternately, it may be possible to devise a method to deliver genipin locally via an  
390 arthroscopic instrument that includes a genipin-soaked sponge and joint distension with gas  
391 rather than fluid. Further experimental work will be necessary to assess the safety and efficacy  
392 of genipin *in vivo*, as well as to determine how to best achieve the potential benefits of collagen  
393 crosslinking as a treatment for PTOA.

394

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403

## 404 **FIGURE AND TABLE CAPTIONS**

405 **Figure 1:** Histology (A) and immunohistochemistry (B, C) of articular cartilage after a single

406 impact. A) Alcian blue staining. B) Damaged collagen. C) Collagenase-cleaved collagen.

407 Scale bar = 200  $\mu$ m.

408 **Figure 2:** Viscoelastic parameters of articular cartilage. Indentation testing was performed pre-

409 impact, immediately after single impact, and after treatment with the designated concentrations

410 of genipin, all at the same locations of the cartilage surface. A) Instantaneous stiffness; B)

411 Equilibrium stiffness; C) Unloading stiffness; D) Relaxation time constant. Data represent the

412 mean  $\pm$  SD of ratio to pre-impact data. \*\* and \*\*\*: different from 1.0 (\*\*:p<0.01, \*\*\*p<0.001).

413 Different letters indicate statistical significance between groups (p<0.05).

414 **Figure 3:** Hydroxyproline released by collagenase digestion. Articular cartilage was either un-

415 injured (No Impact), or subjected to a single impact. Specimens were then treated with the

416 designated concentrations of genipin and sliced into 150 $\mu$ m sections starting at the cartilage

417 surface. A) Hydroxyproline released from 150  $\mu\text{m}$  thick sections from the designated cartilage  
418 depth. B) Hydroxyproline released from the surface section of the cartilage. Data is a subset of  
419 data in A (150 on x-axis). Data represent mean  $\pm$  SD of hydroxyproline content per section.  
420 Different letters indicate statistical significance between groups ( $p < 0.05$ ).

421 **Figure 4:** Top: Representative coefficient of friction (COF) over the 30 minute test. Bottom:  
422 The initial COF of articular cartilage surfaces. Articular cartilage was either un-injured (No  
423 Impact), or subjected to a single impact. Specimens were then treated with the designated  
424 concentrations of genipin before COF measurement. Data represent mean  $\pm$  SD of COF.

425 **Figure 5:** Wear testing of articular cartilage. A) India ink staining of articular cartilage subjected  
426 to the designated impact and genipin treatments. Images were obtained before and after wear  
427 testing and represent the maximum wear for each condition. B) Hydroxyproline released from  
428 articular cartilage during wear testing following the designated impact and genipin treatments.  
429 Data represent mean  $\pm$  SD of hydroxyproline content per sample. (\*: $p < 0.05$ , \*\*: $p < 0.01$ ).

430 **Figure S1:** A custom built drop tower applied an impact load in unconfined compression to  
431 cartilage-bone specimens.

432 **Figure S2:** Immunohistochemistry of A) healthy articular cartilage stained for damaged  
433 collagen, and B) a negative control for damaged collagen.

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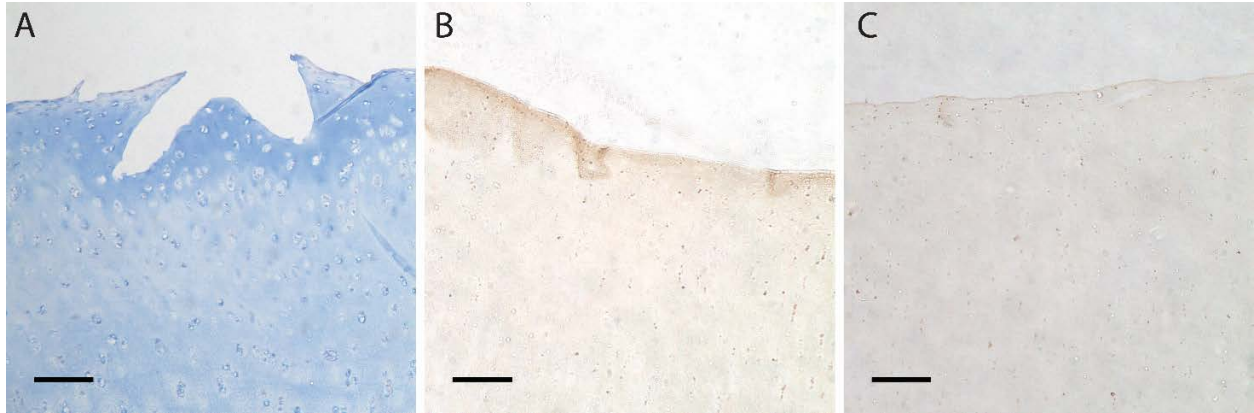
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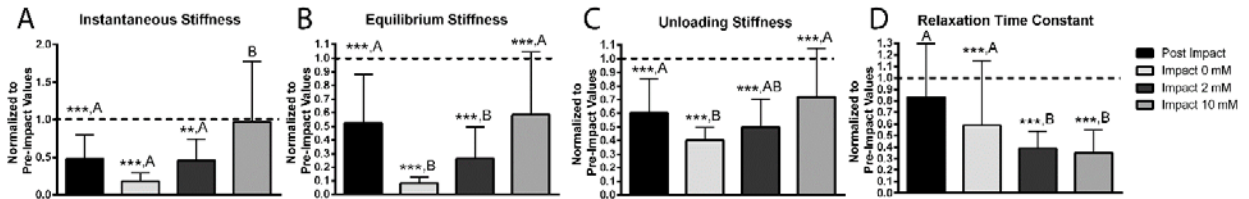


544 Figure 1



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546 Figure 2

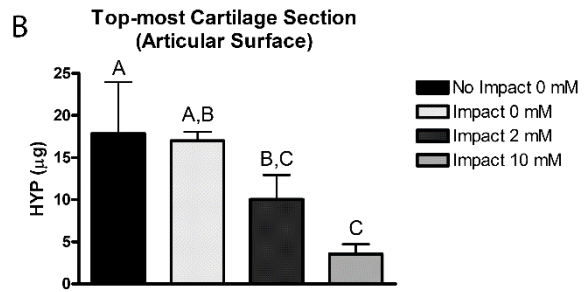
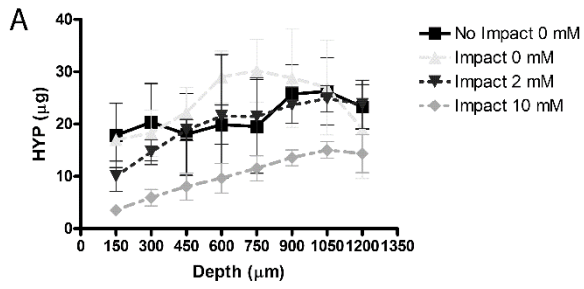


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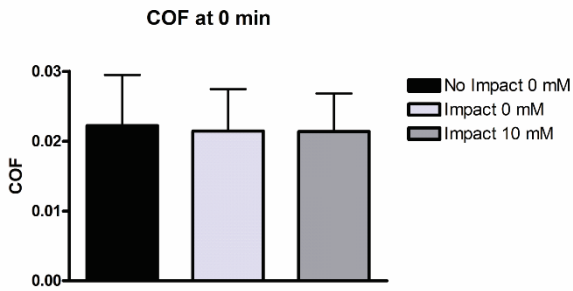
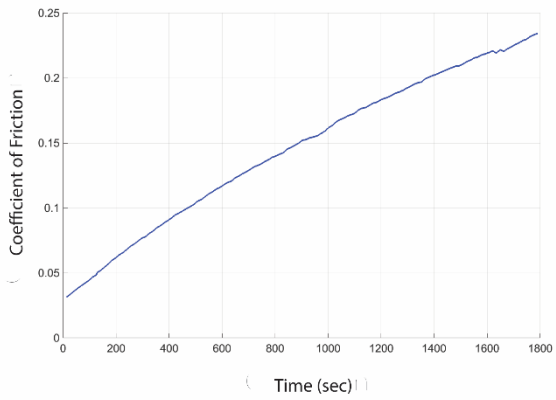
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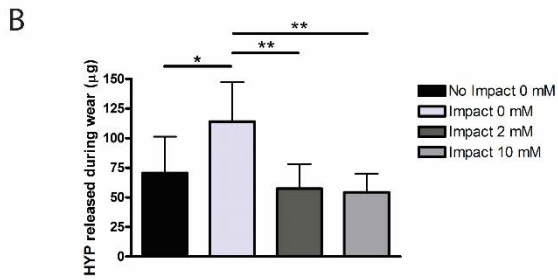
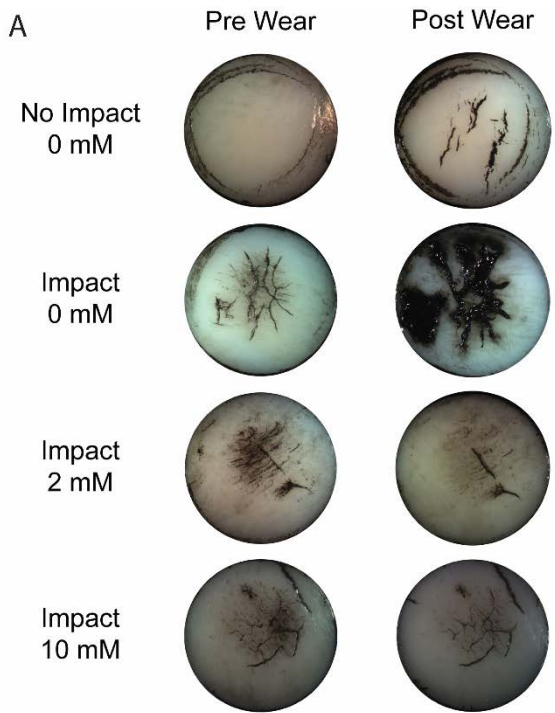
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553 Figure 4



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555 Figure 5

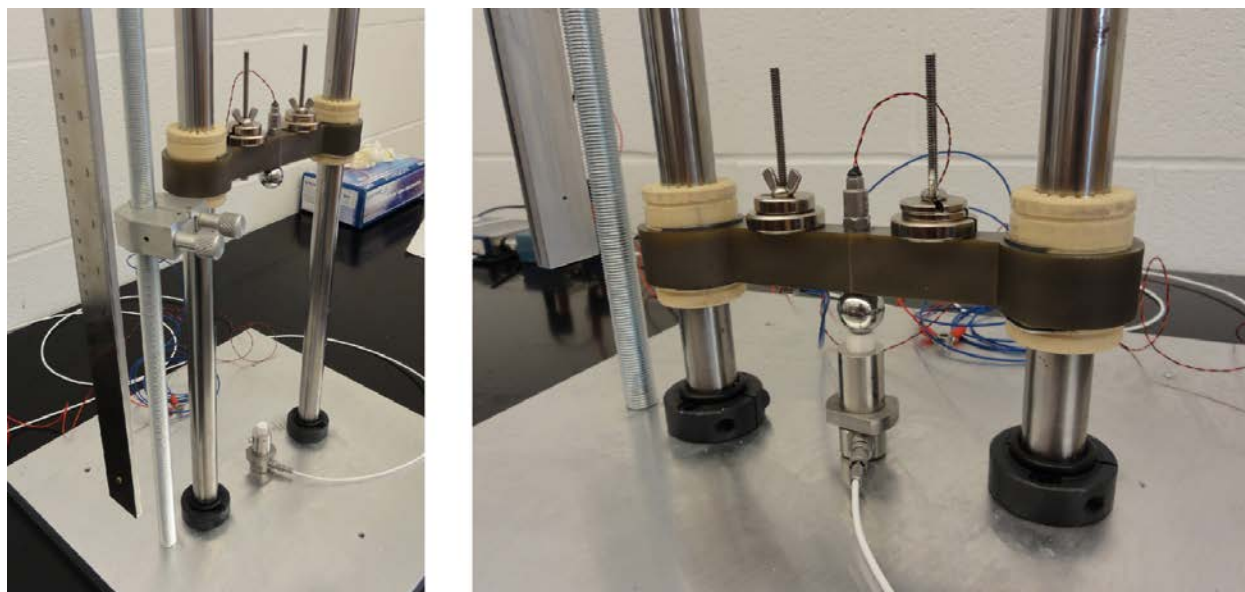


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559 Figure S1

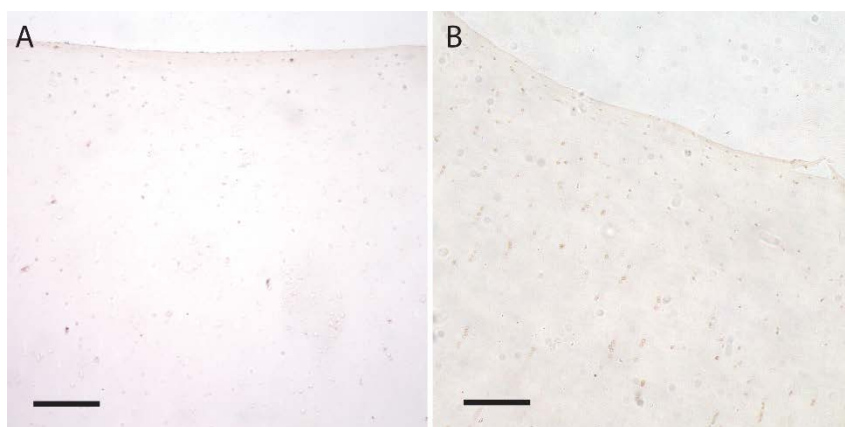


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563 Figure S2



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