

*Community Acquired Rhinovirus Infection Is Associated With Changes
in the Airway Microbiome*

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To the Editor:

6 Rhinoviruses (RV) infect up to 90% of school-age children with asthma during the month
7 of September, and the severity of clinical illness varies from no symptoms to severe wheezing
8 illnesses.(1) We previously reported that that detection by PCR of *S. pneumoniae* or *M.*
9 *catarrhalis* in the upper airway is associated with RV-induced asthma exacerbations.(2) Based
10 on those findings, we hypothesized that RV infection alters the upper airway microbiota, and that
11 microbial changes correspond with infection severity. To test this hypothesis, we prospectively
12 monitored respiratory symptoms in children with asthma during the peak fall RV season,
13 obtained weekly nasal secretions, and concurrently analyzed these samples for RVs and airway
14 bacteria.

15
16 Children included in this analysis were enrolled in a larger study to determine genetic
17 correlates with severe RV illnesses (“RhinoGen”). Subjects collected nasal mucus samples on a
18 weekly basis for five consecutive weeks during September (peak RV season). All samples were
19 analyzed for common respiratory viruses and RV abundance (qPCR), and RV typing was
20 determined.(3) Cold and asthma symptoms recorded in daily diaries were linked with RV
21 infection data to identify infections that were either asymptomatic or associated with an asthma
22 exacerbation. RV-B types caused 70% of asymptomatic RV infections, while exacerbations were
23 only associated with RV-A or RV-C types. This study included 10 RhinoGen participants with

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24 asymptomatic infections and 7 participants with exacerbations of asthma (Table 1; Supplemental
25 Figure 1; also see supplemental data for inclusion and exclusion criteria). Compared to the other
26 children with asthma in the RhinoGen cohort, the children in the asymptomatic and the
27 exacerbation groups had similar total IgE levels and rates of allergic sensitization (Supplemental
28 Table 1). 16S rRNA gene sequencing was performed on bacterial DNA isolated from each
29 sample and statistical analysis was performed to identify bacterial taxa associated with viral
30 infection and RV-associated asthma exacerbations (see Online Repository).

31
32 Within the 34 samples before and after RV infection, the dominant phyla detected were
33 Firmicutes (50.3%); Proteobacteria (24.9%); Actinobacteria (17%); Bacteroidetes (4.3%);
34 Fusobacteria (1.5%); and unclassified (1.1%). The most abundant genera were *Dolosigranulum*
35 (12.2% total abundance); *Streptococcus* (11.3%); *Staphylococcus* (10.1%); *Corynebacterium*
36 (9.7%); *Moraxella* (7.2%); unclassified OTU #1 (5.6%); unclassified OTU #2 (3.1%); *Neisseria*
37 (3%); *Gemella* (2.2%); *Rothia* (1.9%); *Actinomyces* (1.6%); *Haemophilus* (1.4%); *Acinetobacter*
38 (1.4%) and unclassified OTU #3 (1.1%). We then compared the RV-negative and RV-positive
39 samples, and found a similar number of overall sequences before and after RV infection
40 ($p=0.95$); and similar evenness and diversity. Furthermore, using principal component analysis
41 (PCoA) of the Unifrac and Bray-Curtis distance matrices, there were no distinct clustering
42 patterns between the two groups, suggesting that the overall community composition of the RV-
43 negative and RV-positive samples were similar.

44
45 In the combined asymptomatic and exacerbation groups, RV infection was associated
46 with several significant changes in specific genera in airway secretions (Supplemental Figure 2).

47 RV infection was associated with increased abundance of *Dolosigranulum* (base mean=213; log₂
48 fold change=0.60) and *Moraxella* (base mean=116; log₂ fold change=0.79), and reduced
49 abundance of unclassified OTU #1 (base mean=209; log₂ fold change=2.54). These findings
50 support our previous report based on PCR detection that RV infection increases *Moraxella*
51 detection,(2) and indicate that RV infection also influences microbial community composition.

52
53 We next tested whether microbial changes during RV infection differed between
54 asymptomatic RV infections and RV-associated asthma exacerbations (Figure 1 and
55 Supplemental Figure 3). RV infection was associated with increased abundance of *Moraxella* in
56 both groups (asymptomatic group: base mean=175; log₂ fold change=1.04; and exacerbation
57 group: base mean=158; log₂ fold change=0.9), and reduced abundance of unclassified OTU#1
58 (asymptomatic group: base mean=269; log₂ fold change=-3.6; and exacerbation group: base
59 mean=123; log₂ fold change=-1.12). Interestingly, RV associations with the abundance of some
60 bacterial OTUs depended on the symptom group. Namely, within the asymptomatic group, RV
61 infection was associated with increased abundance of *Corynebacterium* (base mean=196; log₂
62 fold change=0.48), while in the exacerbation group the association was in the opposite direction
63 (base mean=212; log₂ fold change=-0.45). RV infection was also associated with increased
64 abundance of *Dolosigranulum* in the asymptomatic group (base mean=175; log₂ fold
65 change=1.04).

66
67 An association network constructed to link RV quantity with the presence of specific
68 OTUs of bacteria demonstrated that as the quantity of RV increased, the abundance of
69 *Dolosigranulum* and *Corynebacterium* decreased while the abundance of *Haemophilus* increased

70 (Supplemental figure 4). Furthermore, there were both increases and decreases of OTUs
71 belonging to *Streptococcus* and *Moraxella*, indicating that the amount of RV replication is
72 related to the magnitude of composition changes in the microbiome.(4)

73
74 Asymptomatic RV infections were associated with a significant increase in the
75 abundance of *Dolosigranulum* and *Corynebacterium* compared to pre-infection samples, and the
76 quantities of these bacteria were inversely correlated with viral shedding. *Dolosigranulum* and
77 *Corynebacterium* are commensal bacteria within the respiratory tract in children and commonly
78 co-occur.(5) They both are negatively associated with *S. pneumoniae* abundance, have been
79 associated with reduced airway symptoms and a lower risk of otitis media during infancy,(6) and
80 are inversely related to episodes of wheeze during infancy.(7) Our findings extend these findings
81 and suggest that microbial communities featuring abundant *Corynebacterium* and possibly
82 *Dolosigranulum* may confer protection against symptoms during RV infection.

83
84 This study has a number of advantages, and some limitations. The prospective study
85 design allowed us to obtain samples from the same subject prior to and during RV infection.
86 Samples were obtained during the same season, eliminating seasonal influences on microbial
87 composition. Our findings are based on samples obtained from the upper airway for practical
88 reasons. RV infections begin in the upper respiratory tract and thus the microbial environment in
89 the upper airway is likely to influence initiation of RV infection and downstream events.
90 Therefore investigations of the upper airway may identify new strategies for prevention and/or
91 treatment of RV-induced exacerbations. Our results should be interpreted with caution due to the

92 small sample size, and the observational study design cannot distinguish causality among the
93 observed associations between bacteria, viruses and symptoms.

94 In summary, RV infection is associated with changes in microbial composition of the
95 upper airway. These changes differed between asymptomatic infection and exacerbation of
96 asthma, and were related to RV quantity and possibly RV species.(8) While RV infection was
97 generally related to increased abundance of *Moraxella*, a well-known airway pathogen; RV was
98 related to increased commensal bacteria (*Dolosigranulum* and *Corynebacterium*) during
99 asymptomatic infection. Finally, while bacterial pathogens such as *Moraxella* can contribute to
100 respiratory symptoms, our findings suggest that other microbial communities may help to
101 maintain normal airway physiology during RV infection and thereby moderate or prevent
102 respiratory symptoms. Addressing these gaps in knowledge may lead to new preventive
103 strategies for RV illnesses and virus-induced exacerbations of asthma.

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128 Development Award (CHRCDA).

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Table I: Paired samples for analysis: 34 samples (17 pairs). Within each pair, the first sample was RV negative, and a second sample obtained 1-3 weeks later was RV positive.

	Asymptomatic during RV infection	Moderate Asthma Exacerbation during RV infection
RV-negative	10 samples	7 samples
RV-positive	10 samples	7 samples

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138 Figure 1: Relative abundance at the Genera level (OTUs >1%) between RV-negative and RV-
139 positive samples in the asymptomatic group (A) and asthma exacerbation group (B).

140 Asymptomatic group: *Dolosigranulum* q -value= 1.4×10^{-8} ; *Corynebacterium* q -value= 1.5×10^{-111} ;
141 *Moraxella* q -value= 1.4×10^{-8} ; unclassified OTU#1 q -value=0.

142 Exacerbation group: *Corynebacterium* q -value= 7.8×10^{-25} ; *Moraxella* q -value= 9.8×10^{-47} ;
143 unclassified OTU#1 q -value= 5.9×10^{-50} .

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146 Capsule summary: In school-age children with asthma, RV infection changes the upper airway
147 microbiome and these changes are associated with symptom severity and viral load.

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149 Key Words: Rhinovirus; microbiome; asthma; pediatric; bacteria.

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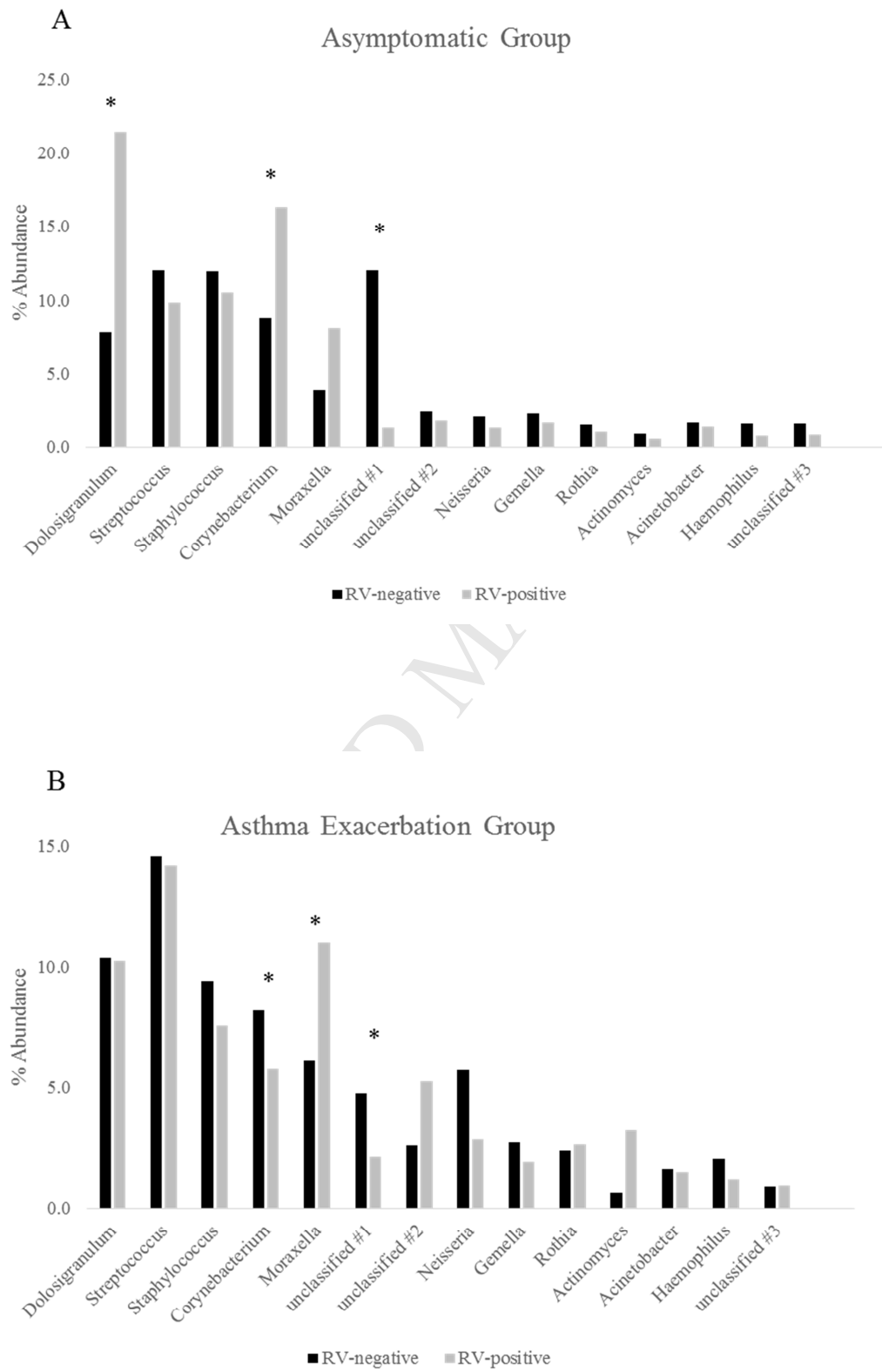
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182 2013/09/03.

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Figure 1



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2 Community Acquired Rhinovirus Infection Is Associated With Changes in the Airway
3 Microbiome

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5 Online Data Supplement

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23 **Supplemental Methods**

24

25 **Recruitment and Inclusion/Exclusion Criteria**

26 The study population was recruited from the general population in Madison, Wisconsin and
27 surrounding areas via primary care physicians, allergy and asthma specialists and advertisements
28 in the community. The study was designed to be as inclusive as possible to reflect the general
29 population. Any child with or without asthma, ages 4-12 years, was considered eligible for the
30 study provided they did not have a history of prematurity, complications at birth, respiratory
31 problems at birth or any other significant medical illness.

32 A subset of RhinoGen subjects were included in this pilot study based on the following
33 criteria: 1) physician diagnosis of asthma per NHLBI and ATS criteria (1, 2); 2) the initial
34 specimen tested negative for virus with an absence of cold and/or asthma symptoms for seven
35 days prior to and four days following specimen collection; 3) the follow-up specimen tested
36 positive for rhinovirus (and no other virus) and was the first viral infection since the initial
37 specimen was collected; 4) the follow-up specimen was associated with either an absence of cold
38 and asthma symptoms, or with an asthma exacerbation; and 4) enough sample remained for
39 microbial analysis. Of the 310 eligible subjects, 29 met the above criteria, including 8 subjects
40 who experienced an RV-associated asthma exacerbation (Supplemental Figure 1). From the 21
41 subjects who experienced asymptomatic infections, we randomly selected 10 subjects for
42 analysis. Of note, one subject in the asthma exacerbation group was eliminated during analysis
43 due to insufficient DNA detection during sample processing.

44 **Symptom scoring and asthma diagnosis**

45 Children scored cold and asthma symptom severity based on a 4-point scoring system
46 (supplemental Table 2) (3, 4) Moderate asthma exacerbations were defined as at least moderate

47 asthma symptoms (score ≥ 2) and either a decrease in PEF of at least 20% or increased use of
48 albuterol ≥ 2 days, in accordance with NHLBI and ATS definitions.(1, 2) Current asthma was
49 diagnosed at study completion based on the above criteria.

50 The asthma status of each participant was reported by their parent upon enrollment. Then,
51 in the main RhinoGen study, we followed asthma symptoms and treatment over one year to
52 confirm asthma status. Current asthma was diagnosed at the end of the study period based on the
53 documented presence of one or more of the following characteristics in the previous year: (1) use
54 of albuterol for coughing or wheezing episodes (prescribed by physician), (2) use of a daily
55 controller medication, (3) step-up plan including use of albuterol or short-term use of inhaled
56 corticosteroids during illness, (4) use of prednisone for asthma exacerbation, and (5) reversibility
57 of pulmonary function tests after administration of a short-acting beta-agonist. Two separate
58 investigators, blinded to any antecedent histories concerning viral illnesses or patterns of
59 aeroallergen sensitization, independently evaluated each subject for the presence or absence of
60 asthma based on the above criteria.

61 Sample Analysis

62 DNA was extracted from nasal samples using the BiOstic Bacteremia DNA Isolation Kit
63 (Mo BIO laboratories, Carlsbad, California). Specimens were multiplexed using the 515f/806r
64 primer set that amplifies the V4–V5 region of the 16S rRNA gene (5, 6). The primers contain
65 the appropriate Illumina adapters and the reverse primer contains a 12-base error-correcting
66 barcode unique to each sample (7). DNA was amplified in triplicate PCR reactions using
67 TaKaRa ExTaq enzyme mixture (Clontech). The PCR protocol was: 1 cycle of 10 minutes at 95°
68 C followed by 30 cycles of 95° C for 30 seconds, 55° C for 1 minute, 72 °C for 1 minute and a
69 final elongation at 72° C for 10 minutes (8). The resulting amplicons were purified with

70 UltraClean PCR Clean-Up Kit (MO BIO) and the triplicate reactions were pooled together in
71 equimolar concentrations (7).

72 Sequencing was performed on an Illumina MiSeq (5). The resulting sequence reads were
73 de-multiplexed using CASAVA software installed on the MiSeq Illumina sequencer producing
74 6,042,668 sequencing tags. Separate pairs of fastq files were generated for each specimen. The
75 splicing of forward and reverse fastq files produced an average of $100,710 \pm 48,567$ tags per
76 specimen.

77 Sequence Quality Analysis

78 16S rRNA sequence processing and analysis was performed utilizing Mothur (v.1.33.3)
79 software (9, 10). Raw paired-end fastq sequences of each sample were combined into contigs
80 using make.contigs from the Mothur package which scans across the alignment and identifies
81 any positions where the two reads disagree. To improve the quality of our data we excluded the
82 following: 1) bases with quality score less than 25; 2) sequences with ambiguous bases; 3)
83 sequences with a read length longer than 275 bp; and 4) duplicated sequences. SILVA-based
84 bacterial reference alignment (release 119) was used to align the processed reads (11). Maximum
85 homopolymer length was set to 8 and the gap characters in alignment were removed to improve
86 the overall alignment quality. Within the Mothur package, we used the UCHIME algorithm to
87 detect and remove chimera sequences.

88 Operational Taxonomic Unit (OTU) clustering

89 For fragment quality control, we trimmed off both the undesirable 18s fragments, and the
90 16s fragments from Archaea, chloroplasts, and mitochondria. Using the dist.seqs command,
91 uncorrected pairwise distances between aligned DNA sequences were calculated and stored in
92 the column formatted distance matrix. To assign sequences to respective OTUs, clustering was

93 performed using the average neighbor method at a 99% identity cut-off level. Finally,
94 taxonomical classification for each OTU was obtained by using the classify.seqs command
95 within the Mothur software package (10).

96 Sequence Analysis

97 Rarefaction curves describing the number of OTUs observed as a function of sampling
98 effort were generated using the sobs calculator in Mothur. Random sub-sampling was performed
99 to address concerns of different sequencing depths across samples, affecting the rarefaction
100 curves. To calculate significance between pre and post infection, Pearson's Chi-squared test was
101 used. Finally, Shannon diversity and evenness and Simpson diversity and evenness indices were
102 calculated from the sub-sampled OTU abundance data.

103 To identify if the presence of OTUs differed significantly between the subject groups,
104 Fisher's exact test was performed. The Unifrac and Bray-Curtis distances were calculated
105 between the community structures of the RV subjects for variation analysis. Principal
106 coordinates (PCoA), which employs an eigenvector-based approach, was performed with the
107 Mothur package to represent the multidimensional data of OTU abundance in three dimensions.
108 Species-axes correlations were obtained by using the corr.axes command with the Mothur
109 package.

110 Rhinovirus abundance and microbial association analysis

111 For association analysis, individual OTUs were assigned to the lowest available
112 taxonomy of bacteria, and OTUs not present in at least 4 samples were not included. Next, both
113 negative (Spearman's $\rho < -0.5$, P-value < 0.05) and positive (Spearman's $\rho > 0.5$, P-value < 0.05)
114 Spearman rank-order correlations were calculated between OTU abundance and RV abundance.

115

116 Supplemental Table I: Demographics between subjects included in this study and the other
 117 RhinoGen participants with asthma. *Race/ethnicity: subjects may select more than one category.*

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	Children with Asymptomatic RV Infection	Children with RV-Induced Exacerbation of Asthma	Other RhinoGen Participants with Asthma	P-value
Number of subjects	10	7	150	
Age (y)	8.0 [8.0, 8.7]	6.8 [5.8, 8.1]	8.4 [6.8, 9.6]	0.23
Gender	2 F, 8 M	1 F, 6 M	52 F, 98 M	0.45
Race/ethnicity:				
White	100%	100%	87%	0.58
Black	0%	14%	13%	0.70
Hispanic or Latino	10%	0%	7%	0.74
Asian	0%	0%	4%	1.00
American Indian or Alaskan native	0%	0%	1%	1.00
Other	0%	0%	2%	1.00
Pacific Islander or Hawaiian	0%	0%	1%	1.00
Aeroallergen sensitization	70%	57%	61%	0.85
Asthma	100%	100%	100%	NA
FeNO	8.3 [7.4, 30.7]	19.2 [8.5, 41.6]	13.8 [8.0, 26.2]	0.87
Total IgE	318 [32, 497]	146 [96, 262]	125 [37, 388]	0.91

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121 Supplemental Table II. Definition of Cold and Asthma Scores

		Cold Symptoms	Asthma Symptoms
0	Absent	None	None
1	Mild	Mild stuffy or runny nose but does not affect daily activity	Occasional cough or wheeze but does not affect daily activity
2	Moderate	Moderate stuffy or runny nose and reduced activity but does not affect sleep	Frequent cough or wheeze with some shortness of breath and reduced activity but not affecting sleep
3	Severe	Cannot breathe through the nose and not able to sleep well because of symptoms	Unable to sleep well because of symptoms

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126 Supplemental Figure 1: Subject Inclusion

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129 Supplemental Figure 2: Relative abundance at the Phylum and Genera level between RV-
130 negative and RV-positive samples. Firmicutes q -value= 7.62×10^{-6} ; *Dolosigranulum* q -
131 value= 1.13×10^{-8} ; *Moraxella* q -value= 5.5×10^{-7} ; and unclassified OTU #1 q -value= 1×10^{-24} .

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134 Supplemental Figure 3. Microbial composition of individual samples. First bar in each pair is
135 uninfected, second bar is RV infected.

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138 Supplemental Figure 4: Association networks to examine if a relationship exists between viral
139 load and bacterial abundance. Each line represents an OTU. Green line = increase in bacterial
140 abundance as viral load increases. Red line = decrease in abundance as viral load increases. Size
141 of circle represents the number of sequences associated with that OTU. Node color represents the
142 phyla associated with that OTU. Increasing viral load is associated with decreases in
143 *Dolosigranulum*, *Corynebacterium*, *Prevotella*, *Actinomyces* and some OTUs of *Streptococcus*
144 and *Moraxella*. However, increased viral shedding is also associated with increases in
145 *Haemophilus* and other OTUs of *Streptococcus* and *Moraxella*. Readers should note the
146 following: 1) the position of each node in the network is user-defined, and 2) the structure of the
147 network does not represent any biological functions.

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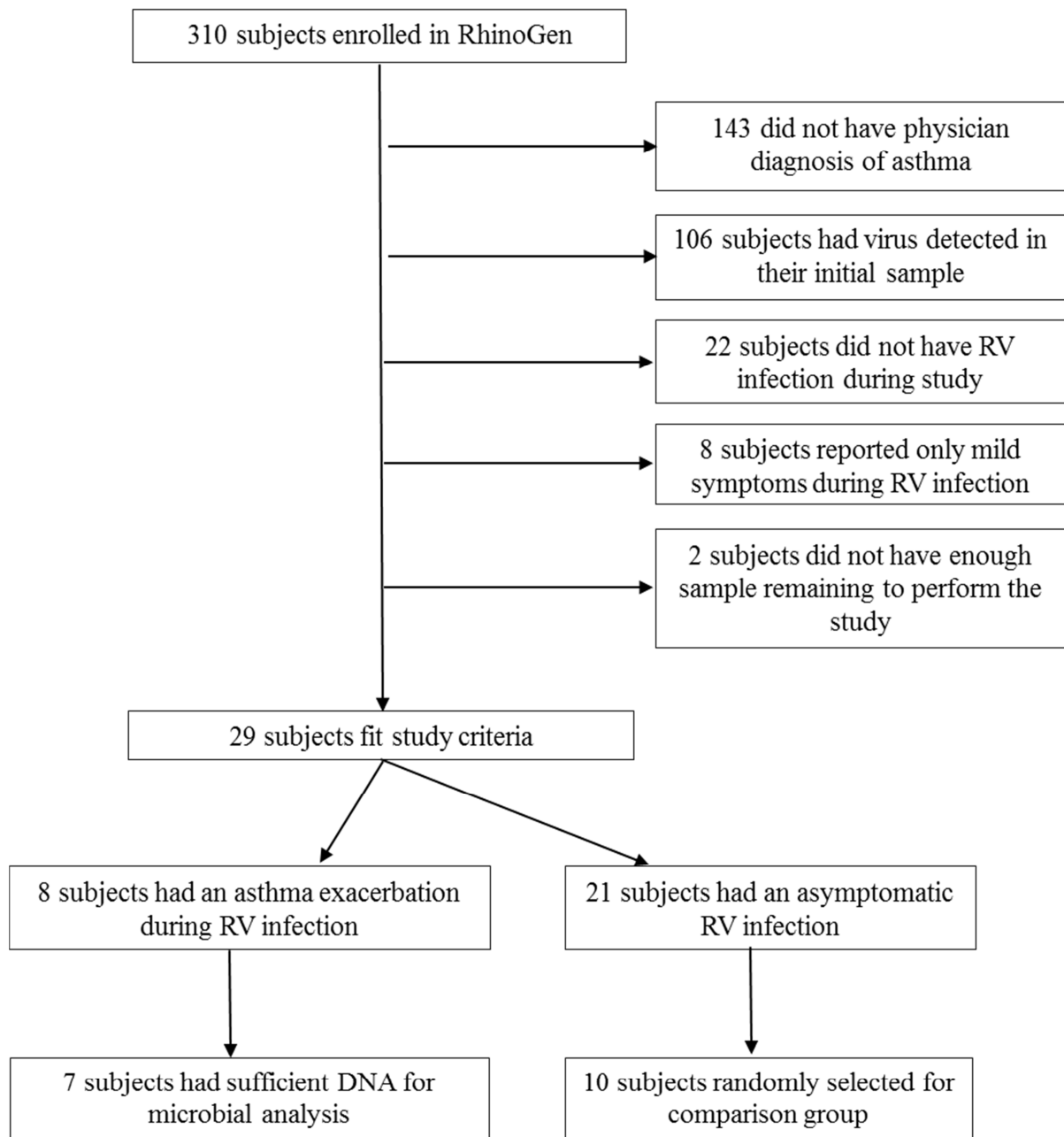
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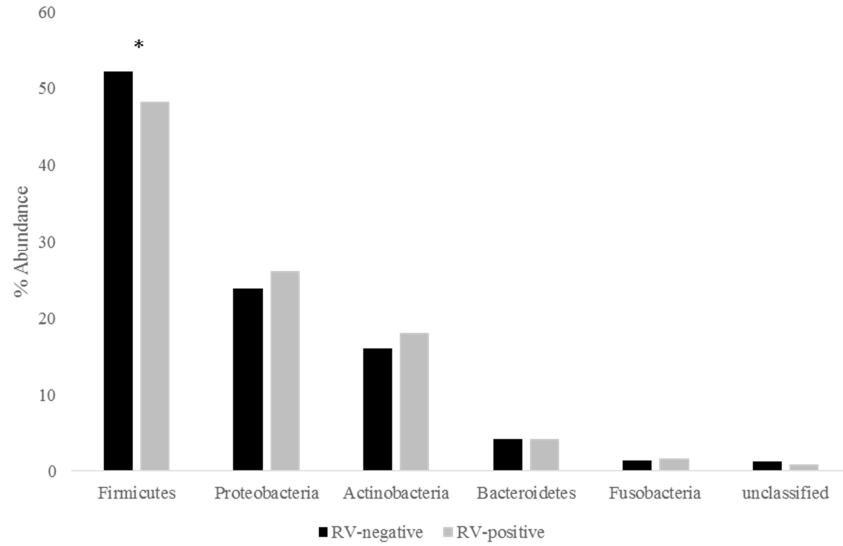
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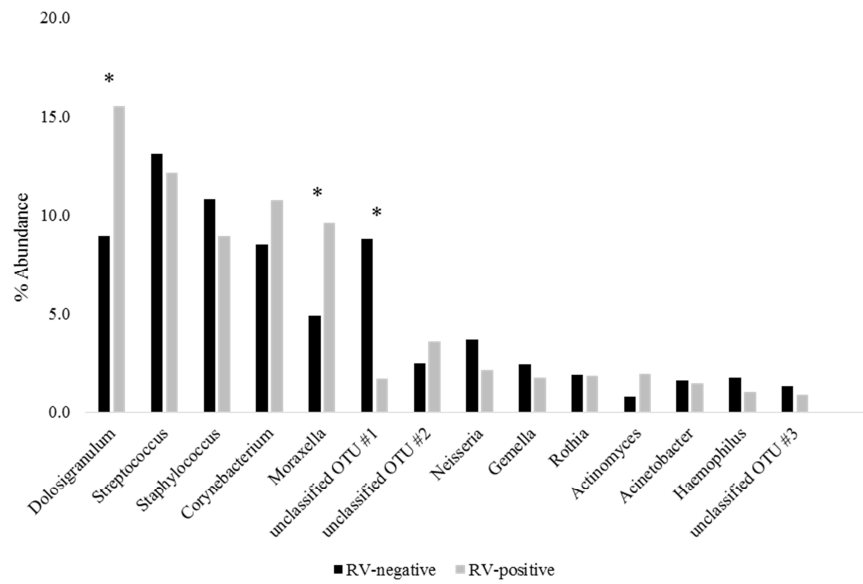
Supplemental Figure 1

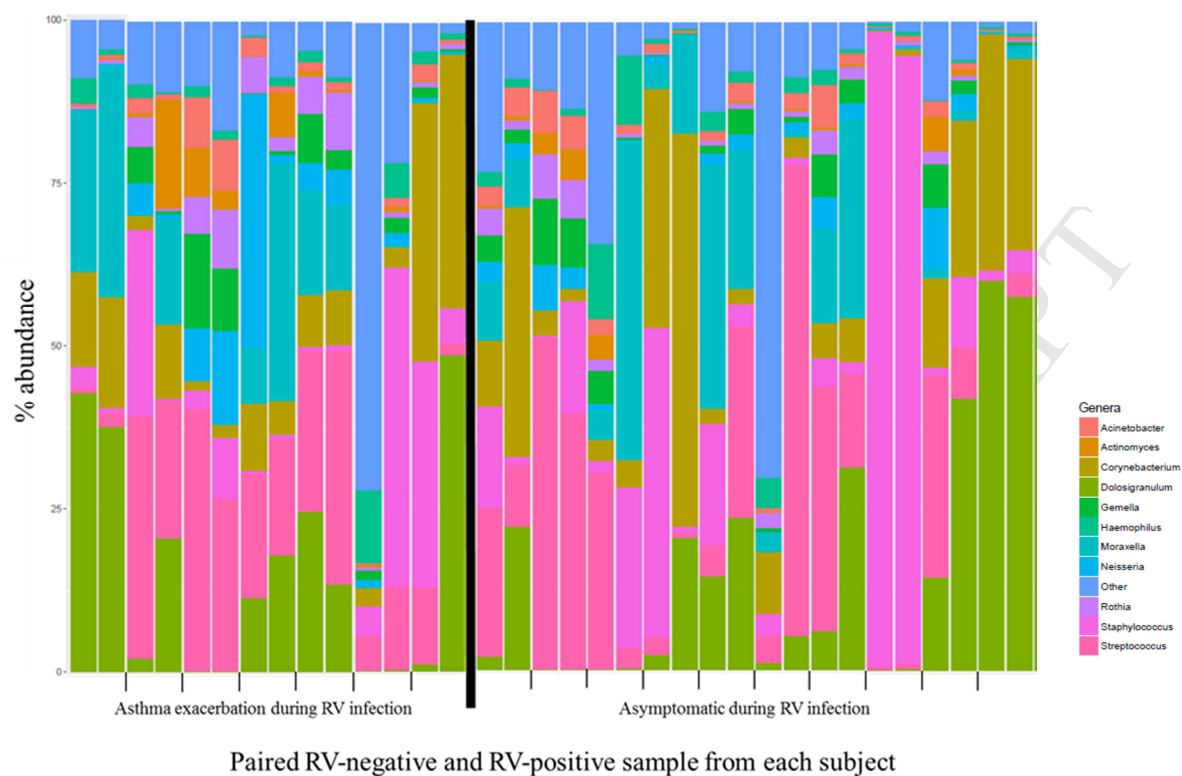


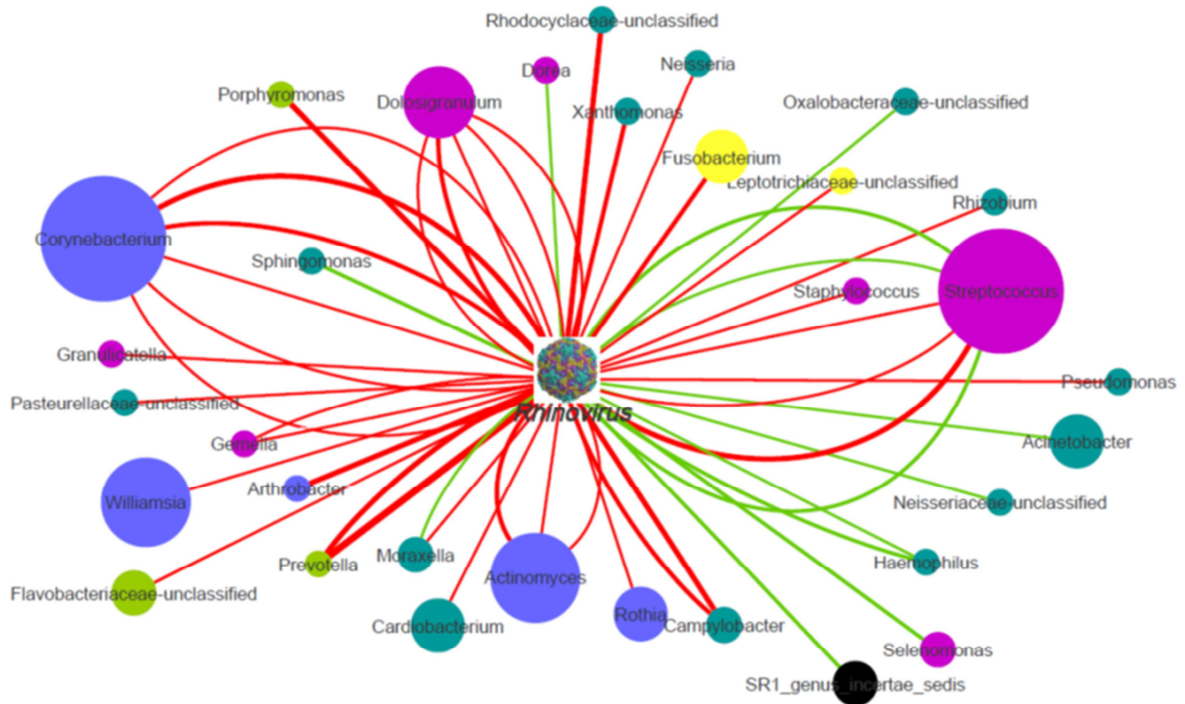
Phyla level analysis: RV-negative versus RV-positive



Genera Analysis: RV-negative vs. RV-positive







	Children with Asymptomatic RV Infection	Children with RV-Induced Exacerbation of Asthma	Other RhinoGen Participants with Asthma	P-value
Number of subjects	10	7	150	
Age (y)	8.0 [8.0, 8.7]	6.8 [5.8, 8.1]	8.4 [6.8, 9.6]	0.23
Gender	2 F, 8 M	1 F, 6 M	52 F, 98 M	0.45
Race/ethnicity:				
White	100%	100%	87%	0.58
Black	0%	14%	13%	0.70
Hispanic or Latino	10%	0%	7%	0.74
Asian	0%	0%	4%	1.00
American Indian or Alaskan native	0%	0%	1%	1.00
Other	0%	0%	2%	1.00
Pacific Islander or Hawaiian	0%	0%	1%	1.00
Aeroallergen sensitization	70%	57%	61%	0.85
Asthma	100%	100%	100%	NA
FeNO	8.3 [7.4, 30.7]	19.2 [8.5, 41.6]	13.8 [8.0, 26.2]	0.87
Total IgE	318 [32, 497]	146 [96, 262]	125 [37, 388]	0.91

		Cold Symptoms	Asthma Symptoms
0	Absent	None	None
1	Mild	Mild stuffy or runny nose but does not affect daily activity	Occasional cough or wheeze but does not affect daily activity
2	Moderate	Moderate stuffy or runny nose and reduced activity but does not affect sleep	Frequent cough or wheeze with some shortness of breath and reduced activity but not affecting sleep
3	Severe	Cannot breathe through the nose and not able to sleep well because of symptoms	Unable to sleep well because of symptoms