Norovirus epidemiology in South African children <5 years hospitalized for

diarrhoeal illness between 2009 and 2013

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## Summary

Public health interest in norovirus (NoV) has increased in recent years following improved diagnostics, global burden estimates and the development of NoV vaccine candidates. This study aimed to describe the detection rate, clinical characteristics and environmental features associated with NoV detection in hospitalized children <5 years with diarrhoea in South Africa (SA). Between 2009 and 2013, prospective diarrhoeal surveillance was conducted at four sites in SA. Stool specimens were collected and screened for NoVs and other enteric pathogens using molecular and serological assays. Epidemiological and clinical data were compared in patients with or without detection of NoV. The study detected NoV in 15% (452/3103) of hospitalized children <5 years with diarrhoea with the majority of disease in children <2 years (92%; 417/452). Norovirus positive children were more likely to present with diarrhoea and vomiting (odds ratio (OR)=1.3; 95% CI 1.1-1.7; p=0.011) with none to mild dehydration (adjusted OR=0.5; 95% CI 0.3-0.7) compared to NoV negative children. Amongst children testing NoV positive, HIV-infected children were more likely to have prolonged hospitalization and increased mortality compared to HIV-uninfected children. Continued surveillance will be important to consider the epidemic trends and estimate the burden and risk of NoV infection in SA.

#### Introduction

Globally, norovirus (NoV) are a common cause of viral diarrhoea and vomiting in children <5 years [1]. Awareness of NoV has heightened with the improvement of diagnostics to detect the virus and the identification of NoV as an important cause of food-borne illness globally [2,3]. A systematic review of NoV in sporadic gastroenteritis cases conducted in 2014 estimated that NoV was responsible for 18% (95% confidence interval (CI) 15%-20%) of gastroenteritis among children <5 years [1]. A previous review in 2008 estimated that NoV was responsible for 12% (95% CI 10%-15%) of severe diarrhoea in children <5 years and projected 218 000 deaths in children <5 years in developing countries annually [4].

While NoV infections are generally self-limiting, outcomes can be severe in individuals with comorbidities, extremes of age (<5 and >65 years) or following the emergence of new strains [5,6]. A study conducted in the United States demonstrated that children <5 years have the highest rates of NoV associated health care visits and adults ≥65 years have the greatest risk of death due to NoV [7]. Norovirus disease in children is generally mild, however, severe outcomes do occur with hospitalization rates between 7.2 and 16 hospitalizations/10 000 population <5 and an estimated 9.9-12% of diarrhoeal deaths annually [7,8].

Mans and colleagues recently conducted a review of NoV epidemiology in Africa [9]. While data on NoV prevalence in patients with diarrhoea in sub-Saharan Africa are available, most of these studies have duration of less than two years or less than 300 specimens available for evaluation. Despite these limitations, the review revealed overall NoV prevalence in individuals with diarrhoea of 13.5% (961/7141; 95% CI 12.7-14.3), with children <1 year mainly affected in African settings [9].

Research on NoV in South Africa dates back to 1993 [10] and originally focused on seroprevalence rates in all age groups which ranged from >50% to >90% [11,12]. An early virus detection study

applied a combination of electron microscopy and recombinant enzyme immunoassays against Norwalk (NV)-like and Mexico (MX)-like NoVs and reverse transcription-polymerase chain reaction (RT-PCR) for the detection of caliciviruses in paediatric stool specimens collected between 1991 and 1995 [13]. Norwalk-like and MX-like NoVs were detected in 0.2% (3/1296) and 2.2% (29/1296) specimens, respectively [13].

Between November 2009 and February 2012, the multisite birth cohort study investigating pathogen-specific burdens of community diarrhoea (MAL-ED) included a site in Venda, SA [14]. Norovirus incidence at this site was 9.52 (95% CI 7.06-12.83) NoV GII detected per 100 child-months and 5.09 NoV GI detected per 100 child-months [14]. Severity of NoV diarrhoea was comparable to other enteric pathogens detected, except RV, and undernutrition was identified as a risk factor for NoV disease [14]. Norovirus infections peaked in children 6-11 months of age [14].

In immunocompromised hosts, NoV infections are reported to be more severe with prolonged symptoms and viral shedding [6]. However, the majority of these studies have been conducted in transplant recipients with limited information in HIV-infected individuals [6]. Persistent diarrhoea, lasting 7 months, was described in an HIV-infected adult patient with poor compliance to antiretroviral therapy and a chronic NoV infection [15]. Noroviruses were detected more often in HIV-infected compared to HIV-uninfected children [16,17].

In April 2009, a prospective sentinel surveillance system was established to monitor diarrhoeal disease in hospitalized children <5 years of age in SA. We aimed to describe the epidemiology of NoV between 2009 and 2013.

### **Materials and Methods**

Study participants and sites

Hospitalized children <5 years with acute diarrhoea were enrolled in the sentinel diarrhoea surveillance study. Acute diarrhoea was defined according to the World Health Organization (WHO) definition of "three looser than normal stools within a 24 hour period". The sentinel sites, located in three provinces, included: Chris Hani Baragwanath Academic Hospital (CHBAH; 2009-2013), Mapulaneng Hospital (MPH; 2009-2013), Matikwane Hospital (MKH; 2009-2013) and Edendale Hospital (EDH; 2010-2013).

# Study enrolment and data collection

Written informed consent was obtained from the parents of patients prior to enrolment. Systematic sampling was used to enrol patients on a daily basis from Monday to Friday between 8am and 5pm. Patients who refused to participate in the study were noted but no additional information was collected. Surveillance officers collected demographic, socio-economic and risk factor data from the parents by interview and additional clinical data from medical records on standardised questionnaires. Data on HIV status of the mother and child was obtained during the interview or review of the medical records. If the status of the child was unknown and the parent gave consent, a dried blood spot was collected for anonymised HIV testing.

### Laboratory screening

A stool specimen was collected for enteric pathogen detection. Nucleic acids were extracted from 10% stool suspensions using the QIAamp® Viral RNA Mini Kit (Qiagen Inc., Valencia, CA) according to the manufacturer's instructions and eluted in 60µl RNase-free water. Briefly, 10µl extracted RNA was reverse transcribed with random primers using the Transcriptor First Strand cDNA Synthesis Kit (Roche, Mannheim, Germany) according to the manufacturers' instructions. Samples were screened for NoV GI and GII in monoplex reactions using 5µl cDNA, LightCycler® 480 Probe Master Kit (Roche)

and primers and probes from published methods [18-20]. Screening of other enteric viruses, bacteria and parasites has been described elsewhere [21,22].

A fully screened specimen was defined as a specimen where all viral, bacterial and parasite testing had been performed (n=1354).

Dried blood spots were screened using the AmpliPrep® (Roche) for automated nucleic acid extraction and COBAS® TagMan® (Roche) for automated real-time detection of HIV-1.

### Data analysis

Norovirus detection rate was calculated using data from years with 12 months of surveillance (2010-2013). Demographic data, clinical characteristics and environmental features associated with NoV detection were compared in patients with or without NoV, genogroup GI or GII using Stata12 (StataCorp LP, College Station TX). In addition, the characteristics of HIV-infected and HIV-uninfected children were compared in NoV positive cases. Norovirus negative cases were defined as cases where neither NoV GI nor GII strains were detected. Mixed infections were defined as detection of NoV GI or GII strains with any of the following pathogens: RV, human adenovirus (any group), astrovirus, sapovirus (SaV), bocavirus, bacteria (*Campylobacter* spp., diffusely adherent *Escherichia coli* (*E. coli*), enteroaggregative *E. coli*, enteroinvasive *E. coli*, enteropathogenic *E. coli*, enterotoxigenic *E. coli*, *Salmonella* spp. and *Shigella* spp.) and parasites (*Ascaris lumbricoides*, *Cryptosporidium* spp., *Entamoeba coli*, *Giardia lamblia* and *Isospora belli*).

Chi-squared tests were used to determine statistical significance (p≤0.05) of categorical data while t-tests with unequal variance and Welch's approximation were used for means. A Wilcoxon rank-sum test was used to assess differences between medians. Univariate analysis and stepwise multivariable logistic regression analysis was performed to identify environmental features associated with NoV detection in patients with diarrhoea and a separate analysis restricted to NoV positive cases compared the characteristics of HIV-infected and HIV-uninfected children. Variables that did not

yield statistically significant p-values on univariate analysis were not included in the multivariable models or reported in the tables.

### **Results**

Between 20 April 2009 and 31 December 2013, 3103 children <5 years provided stool samples and were included in the surveillance study. All samples were screened for NoV GI and GII, SaV, human adenovirus, astrovirus and bocavirus. In addition, specimens were screened for RV (99.7%; 3093/3103), bacteria (88.6%; 2748/3103) and parasites (48.5%; 1508/3103).

Overall, 2.6% (79/3019) of children admitted to the sentinel hospitals for the treatment of diarrhoea died over the study period. While 10% (8/79) of these children had NoV detected, only two children had NoV GII strains as the sole pathogen detected. Of the eight children that died, four were HIV-infected.

### Norovirus detection

Between 2009 and 2013, NoV was detected in 452/3103 (14.6%) of specimens. The detection rate when only years with 12 months of data were included was 16% (396/2468; 2010-2013). Norovirus genogroup II strains were detected in 13.4% (330/2468; 95% CI 12.1-14.8%) of specimens and NoV GI in 3.4% (84/2468; 95% CI 2.4-3.6%) of specimens between 2010 and 2013. An additional 47 GII and 10 GI cases were detected between April and December 2009, resulting in 377 NoV GII and 94 NoV GI cases available for analysis (Supplementary table 1 and 2). In fully screened NoV positive specimens, NoV GII strains were detected as the sole pathogen in 35.4% (61/172) and GI in 23.4% (11/47) of cases. Mixed GII and GI NoV detected in 19 (0.6%; 19/3103) diarrhoea cases.

The median cycle threshold (Ct) values in these specimens were compared in single and mixed pathogen NoV cases. The median Ct values of single (Ct=27.1; IQR 18.6-31.1) pathogen GII cases

were significantly lower than mixed (Ct=30.6; IQR 22.0-33.4) pathogen NoV GII cases (p=0.005). Similarly, the median Ct values of single pathogen GI cases were significantly lower than mixed pathogen NoV GI cases (n=47; single: Ct=27.2 (IQR 21.0-29.0); mixed: Ct=32.0 (IQR 28.0-33.9); p=0.008).

# Norovirus epidemiology

Norovirus detection was higher in children 0-6 months and 7-12 months compared to children older than 24 months (0-6 months adjusted odds ratio (aOR)=2.2; 95% CI 1.4-3.6; p=0.001; 7-12 months aOR=2.2 95% CI 1.3-3.5; p=0.002; Table 1). A similar age trend was noted in NoV GII cases (Supplementary table 1). Unlike NoV GII, the odds of NoV GI detection were higher in the second year of life (19-24 months; aOR=2.3; 95% CI 1.2-4.5; p=0.011; Supplementary Table 2) compared to the 0-6 month age group (Supplementary table 2).

Norovirus strains were frequently detected in 2010 and 2011 compared to 2013 (2010; aOR=2.2 (95% CI 1.5-3.1); p<0.001 and 2011; aOR=2.1 (95%CI 1.4-3.0); p<0.001; Table 1). This result was echoed in the individual analyses of GII and GI strains although the result was not statistically significant in multivariable analysis of GI (Supplementary Table 1 and 2). The NoV detection rate by sentinel site was similar ranging from 10.0% in Mapulaneng Hospital (MPH) to 16.1% in Edendale Hospital (EDH) over the study period (Table 1).

Norovirus GII were frequently detected from September to December each year (Figure 1). The percentage positive NoV GII during this period was 20.5% (163/797) compared to the rest of the year (9.3%; 214/2306; p<0.001). The monthly detection rate of NoV GI strains in SA was less pronounced than NoV GII although the average detection in late summer (January to April) was 4.3% (43/1009) compared to 2.4% for the rest of the year (May – December; 51/2094; p=0.01; Figure 1).

Table 1. Univariate and multivariable analysis of demographic data, clinical characteristics and environmental features associated with norovirus detection (n=452).

Daramatar	Norovirus detection	Univariate analysis		Multivariable analysis				
Parameter	n/N (%)	OR (95% CI)	p-value	OR (95% CI)	p-value			
Demographic characteristics								
Age (in months)								
>24	29/302 (9.6%)	Ref		Ref				
19-24	38/232 (16.4%)	1.8 (1.1-3.1)	0.020	1.8 (1.0-3.3)	0.051			
13-18	56/459 (12.2%)	1.3 (0.8-2.1)	0.267	1.7 (1.0-2.9)	0.063			
7-12	149/915 (16.3%)	1.8 (1.2-2.8)	0.005	2.2 (1.3-3.5)	0.002			
0-6	179/1191 (15.0%)	1.7 (1.1-2.5)	0.016	2.2 (1.4-3.6)	0.001			
Year								
2013	56/537 (10.4%)	Ref		Ref				
2012	56/459 (12.2%)	1.2 (0.8-1.8)	0.378	1.2 (0.8-1.9)	0.343			
2011	101/553 (18.3%)	1.9 (1.4-2.7)	<0.001	2.1 (1.4-3.0)	<0.001			
2010	183/919 (19.9%)	2.1 (1.5-2.9)	<0.001	2.2 (1.5-3.1)	<0.001			
Sentinel site								
СНВН	274/1764 (15.5%)	Ref						
EDH	50/310 (16.1%)	1.0 (0.8-1.5)	0.790					
MPH	36/359 (10.0%)	0.6 (0.4-0.9)	0.008					
MKH	92/670 (13.7%)	0.9 (0.7-1.1)	0.267					
Clinical character	ristics							
Diarrhoea duration	on (in days)							
≥6	34/203 (16.8%)	Ref						
5	31/260 (11.9%)	0.6 (0.4-1.1)	0.140					
1-4	375/2571 (14.6%)	0.8 (0.6-1.2)	0.403					
Vomiting								
No	119/981 (12.1%)	Ref		Not included in	model			
Yes	324/2079 (15.6%)	1.3 (1.1-1.7)	0.011	Not included if	illouei			
Vomiting duratio	n (in days)							
0	119/981 (12.1%)	Ref		Ref				
1	67/437 (15.3%)	1.3 (0.9-1.8)	0.100	1.3 (0.9-1.8)	0.228			
2	111/655 (17.0%)	1.4 (1.1-2.0)	0.006	1.4 (1.0-1.9)	0.041			
≥3	143/971 (14.7%)	1.3 (1.0-1.6)	0.093	1.1 (0.8-1.5)	0.540			
Maximum number	er of vomits per 24 h	ours						
0	119/981 (12.1%)	Ref						
1	39/337 (11.5%)	0.9 (0.6-1.4)	0.786	Not included in model				
2-4	221/1410 (15.7%)	1.3 (1.1-1.7)	0.015	Not included if	illouei			
≥5	45/233 (19.3%)	1.7 (1.2-2.5)	0.004					
Admission temper	erature (°C)							
≤37.0°C	151/942(16.0%)	Ref						
37.1-38.4°C	90/588 (15.3%)	0.9 (0.7-1.3)	0.705					
38.5-38.9°C	18/82 (22.0%)	1.5 (0.8-2.6)	0.168					
≥39.0°C	19/92 (20.7%)	1.4 (0.8-2.3)	0.255					
Dehydration (as assessed by a clinician)								
None	84/414 (20.1%)	Ref		Ref				
1-5% (Mild)	244/1658 (14.7%)	0.7 (0.5-0.9)	0.006	0.7 (0.5-0.9)	0.009			

		0.004	0 = (0 0 0 7)	0.004
79/649 (12.2%)	0.5 (0.4-0.8)	<0.001	0.5 (0.3-0.7)	0.001
274/2467/15 20/\	Pof			
		0.150		
	0.8 (0.6-1.1)	0.159		
		1		
260/1693 (15.4%)	Ref			
128/924 (13.9%)	0.9 (0.7-1.1)	0.301		
	0.8 (0.6-1.1)	0.144		
_				
190/1559 (12.2%)	Ref			
248/1465 (16.9%)	1.5 (1.2-1.8)	<0.001	1.3 (1.0-1.7)	0.023
nt				
263/1548 (17.0%)	Ref			
36/303 (11.9%)	0.7 (0.5-1.0)	0.028		
tures associated wi	th norovirus detect	tion		
355/2316 (15.3%)	Ref			
85/736 (11.6%)	0.7 (0.6-0.9)	0.011	0.7 (0.5-1.0)	0.021
225/1414 (15.9%)	Ref			
217/1637 (13.3%)	0.8 (0.7-1.0)	0.038		
				· I
253/1591 (15.9%)	Ref		Ref	
	0.8 (0.6-1.0)	0.023		
nixed pathogen infec	tions			· I
	Ref			
	1.8 (1.3-2.6)	0.001		
• • •		_	Not included in	i model
,	,			
100/895 (11.2%)	0.7 (0.5-0.8)	0.001		
		0.082		
. , ,	, ,			
45/214 (21.0%)	1.6 (1.1-2.3)	0.006		
, , , , , , , , , , , , , , , , , , , ,	- 1			
	263/1548 (17.0%) 36/303 (11.9%) atures associated wi 355/2316 (15.3%) 85/736 (11.6%)  225/1414 (15.9%) 217/1637 (13.3%)  253/1591 (15.9%) 190/1462 (13.0%)	A4/357 (12.3%)   0.8 (0.6-1.1)	128/924 (13.9%)   0.8 (0.6-1.1)   0.159	44/357 (12.3%)         0.8 (0.6-1.1)         0.159           Ire         260/1693 (15.4%)         Ref           128/924 (13.9%)         0.9 (0.7-1.1)         0.301           44/357 (12.3%)         0.8 (0.6-1.1)         0.144           dmitted to hospital         190/1559 (12.2%)         Ref           248/1465 (16.9%)         1.5 (1.2-1.8)         <0.001

CHBH – Chris Hani Baragwanath Hospital, EDH – Edendale Hospital, MPH – Mapulaneng Hospital,

MKH – Matikwane Hospital, HIV – human immunodeficiency virus.

Only variables with p-values <0.2 in the univariate analysis were reported in the table and included in the multivariable model.

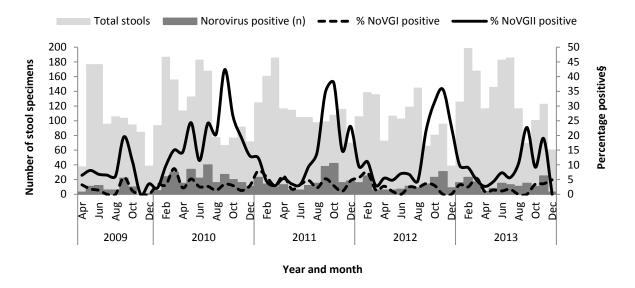
Table 2. Univariate and multivariable analysis of demographic data, clinical characteristics and environmental features associated with norovirus detection in HIV-infected and HIV-uninfected children (n=418).

			Univariate analys	sis	Multivariable analysis	
Parameter	HIV-infected n/N (%)	HIV-uninfected n/N (%)	Odds Ratio (OR; 95% Confidence Interval (CI))	p-value	Adjusted OR (aOR; 95% CI)	p-value
Gender			·			
Male	20/44 (45.5%)	218/374 (58.3%)	Ref			
Female	24/44 (54.5%)	156/374 (41.7%)	1.7 (0.9-3.1)	0.107		
Sentinel site			·			
СНВН	16/44 (36.4%)	232/374 (62.0%)	Ref			
EDH	5/44 (11.4%)	42/374 (11.2%)	1.7 (0.6-5.0)	0.311		
MKH	19/44 (43.2%)	69/374 (18.5%)	4.0 (1.9-8.2)	<0.001		
MPH	4/44 (9.1%)	31/374 (8.3%)	1.9 (0.6-6.0)	0.289		
Year			_	•		1
2013	3/44 (6.8%)	51/374 (13.6%)	Ref			
2012	6/44 (13.6%)	46/374 (13.6%)	2.2 (0.5-9.4)	0.279		
2011	7/44 (15.9%)	92/374 (24.6%)	1.3 (0.3-5.2)	0.718		
2010	25/44 (56.8%)	140/374 (37.4%)	3.0 (0.9-10.5)	0.079		
2009	3/44 (6.8%)	45/374 (12.0%)	1.1 (0.2-5.9)	0.882		
Diarrhoea duration	on (in days)					
≤2	12/44 (27.3%)	170/369 (46.1%)	Ref		Ref	
≥3	32/44 (72.7%)	199/369 (53.9%)	2.3 (1.1-4.6)	0.020	2.4 (1.2-4.9)	0.018
Vomiting duratio	n (in days)					
≤2	25/44 (56.8%)	261/372 (70.2%)	Ref			
≥3	19/44 (43.2%)	111/372 (29.8%)	1.8 (0.9-3.4)	0.074		
Dehydration (as a	assessed by a clinicia	n)		<u> </u>		•
None – Mild (1- 5%)	27/40 (67.5%)	280/344 (81.4%)	Ref			
≥6% (Moderate	13/40 (32.5%)	64/344 (18.6%)	2.1 (1.0-4.3)	0.041		

/ severe)						
Bloody stools				<u> </u>		
No	36/44 (81.8%)	333/370 (90.0%)	Ref			
Yes	8/44 (18.2%)	37/370 (10.0%)	2.0 (0.9-4.6)	0.105		
Number of day	s admitted to hospital					
≤2	11/43 (25.6%)	221/368 (60.1%)	Ref		Ref	
≥3	32/43 (74.4%)	147/368 (39.9%)	4.4 (2.1-9.0)	<0.001	4.3 (2.1-8.9)	<0.001
Number of inha	abitants living in house					
≤6	31/35 (88.6%)	268/283 (94.7%)	Ref			
≥7	4/35 (11.4%)	15/283 (5.3%)	2.3 (0.7-7.4)	0.160		
Sanitation type	1					
Flush toilet	14/44 (31.8%)	222/372 (59.7%)	Ref			
Other	30/44 (68.2%)	150/372 (40.3%)	3.2 (1.6-6.2)	0.001		
Outcome						
Discharged	39/43 (90.7%)	365/369 (98.9%)	Ref		Ref	
Died	4/43 (9.3%)	4/369 (1.0%)	9.4 (2.3-38.9)	0.002	6.9 (1.5-31.9)	0.013
Norovirus geno	group II mixed pathog	en infections				
NoVGII +	5/44 (11.4%)	89/372 (23.9%)	0.4 (0.2-1.1)	0.067		
Rotavirus						

CHBH – Chris Hani Baragwanath Hospital, EDH – Edendale Hospital, MKH – Matikwane Hospital, MPH – Mapulaneng Hospital, HIV – human immunodeficiency virus

Only variables with p-values <0.2 in the univariate analysis were reported in the table and included in the multivariable model.



<sup>&</sup>lt;sup>§</sup>Percentage positive NoV GII or GI = number of NoV GII or GI positive cases per month/ total number of specimens screened per month\*100; secondary axis scale drawn to 50%.

Figure 1. Total stools tested, number of norovirus positive specimens and the percentage positive for norovirus GI and GII by month between 2009 and 2013.

## Clinical characteristics of NoV infections

Vomiting was more frequently identified in NoV positive compared to NoV negative children (OR=1.3; 95% CI 1.1-1.7; p=0.011; Table 1). Norovirus GII positive patients were more likely to report five or more vomiting episodes per day (OR=1.9; 95% CI 1.2-2.8; p=0.003) and vomiting duration of up to two days (aOR=1.8; 95% CI 1.2-2.7; p=0.005) compared to NoV negative patients (Supplementary 1able 1). However, NoV positive cases were less likely to be dehydrated when compared to NoV negative cases (aOR=0.7-0.5; 95% CI 0.3-0.9; p=0.009; Table 1). Children with NoV detected were admitted to hospital for a median of two days (IQR 1-4) compared to three days (IQR 1-6) in children without NoV detected (p<0.001). Low weight at birth (aOR=0.6; 95% CI 0.4-0.9; p=0.017) compared to normal weight at birth was significantly less common in NoV GII positive compared to NoV GII negative patients (Supplementary table 1).

## Environmental features associated with NoV detection

Informal housing compared to brick houses (aOR=0.7; 95% CI 0.5-1.0; p=0.021; Table 1) was significantly less common in NoV positive than NoV negative cases. Water from outdoor taps and boreholes (aOR=0.7; 95% CI 0.5-1.0; p=0.045) compared to indoor taps and mixed infections with RV (aOR=0.6; 95% CI 0.4-0.8; p=0.002) and human adenovirus (aOR=0.3; 95% CI 0.2-0.6; p<0.001) were all significantly less common in NoV GII positive than NoV GII negative patients (Supplementary table 1). No statistically significant environmental or behavioural features were associated with NoV GI detection (Supplementary table 2).

Compared to NoV negative cases, mixed pathogen infections were frequently associated with NoV detection (NoV GII + one other pathogen (OR=1.8; 95% CI 1.3-2.6; p=0.001) and NoV GII +  $\geq$ 2 other pathogens (OR=5.2; 95% CI 3.5-7.7; p<0.001) compared to NoV only; Table 1). These results were also significant when noroviruses were stratified according to genogroup (Supplementary table 1 and 2).

The odds of detecting NoV GI strains increased 2.0 times when NoV GII strains were present (95% CI 1.2-3.3) and 2.0 times when SaV was present (95% CI 1.1-3.7; Supplementary Table 2) compared to single NoV GI cases. The association between NoV GI and SaV was maintained when adjusted for month of collection (aOR=1.9 (95% CI 1.0-3.5); p=0.041). Similarly, the NoV GI / NoV GII association also maintained statistical significance when adjusted for month of collection (aOR=1.9 (95% CI 1.1-3.3); p=0.017).

# Norovirus infection in HIV-infected and HIV-uninfected children

The HIV status was available for 91% (2824/3103) of the children enrolled and for 96% (2974/3103) of mothers. The HIV status was missing in 7% (26/377) of the NoV GII cases and 9% (8/94) of the NoV GI cases. Mixed GII and GI infections were noted in 19 cases with 418 cases available for analysis.

The detection of single (11% versus 10%) pathogen NoV GII cases was similar in HIV-infected and HIV-uninfected children (p=1.0). The detection of mixed pathogen NoV GII cases was lower in HIV-infected (9%; 26/278) compared to HIV-uninfected children (14%; 267/1954; p=0.046). There were no differences in the median NoV GII Ct values in HIV-infected (Ct=27.9; IQR 21.4-32.0) compared to HIV-uninfected children (Ct=26.1; IQR 19.7-32.0; p=0.45).

The detection of single pathogen NoV GI infections was higher in HIV-infected (9%; 6/67) compared to HIV-uninfected (1%; 4/410; p<0.001) children while the detection of mixed pathogen NoV GI infections was lower in HIV-infected (2%; 5/257) compared to HIV-uninfected children (4%; 71/1758 p=115). Univariate analysis indicated that the odds of detecting single pathogen NoV GI in HIV-infected children were 10.0 times greater (95% CI 2.7-36.4) compared to HIV-uninfected children (p<0.001). In addition, the median NoV GI Ct values in HIV-infected children were significantly lower (Ct=28.0; IQR 24.4-31.1) compared to HIV-uninfected children (Ct=32.0; IQR 26.9-34.2; p=0.05) on univariate analysis.

Amongst children with NoV detected, HIV-infected children displayed a higher case fatality rate (9.3% versus 1.0%; aOR=6.9; 95% CI 1.5-31.9; p=0.013) and longer hospitalizations (≥3 days 74.4% versus 39.9%; aOR=4.3; 95% CI 2.1-8.9; p<0.001; Table 2) than HIV-uninfected children on multivariable analysis. In addition, HIV-infected children with NoV had 2.4 times greater odds than HIV-uninfected children of experiencing diarrhoea duration of 3 days or more compared to 2 days or less (95% CI 1.2-4.9; p=0.018; Table 2).

#### Discussion

The study provides epidemiological features of NoV infection in hospitalized children <5 years in a setting with high HIV prevalence. The study demonstrated the importance of NoV in hospitalized children aged <2 years and identified diarrhoea and vomiting with limited dehydration as prominent clinical characteristics in NoV cases. Amongst NoV infected children, HIV-infection was associated

with prolonged hospitalization and increased mortality. It is the first study of its kind in SA that investigates NoV detection in HIV-infected children and NoV diarrhoea post rotavirus vaccine introduction. The study also spans more than 12 months, includes more than one hospital and geographic area and assesses data on clinical characteristics and the environmental features associated with NoV detection in children <5 years.

An earlier study in SA in 2008 detected NoV in 14% of hospitalized children <2 years [23]. Previous studies in Africa have also identified NoV in an average of 13.5% of diarrhoea cases [9]. Similarly, a worldwide systematic review of studies conducted between 1990 and 2008 estimated that NoV was responsible for 12% of severe diarrhoea cases in children <5 years [3]. These results are comparable to the current study findings with NoV detection (GI and GII strains) at 15% in hospitalized South African children.

Norovirus has often been described as "winter vomiting disease" due to the winter seasonality and occurrence of vomiting symptoms [6]. Globally, NoV infections seem to be more common during the winter months [24,25]. However, reports from Spain in 2001 and the United Kingdom in 2002 show that summer peaks are occasionally present [26,27]. In SA, NoVs were detected throughout the year with detection increasing during the warmer spring and summer months in SA (between September and November). The results obtained in this study are similar to those obtained by Mans and colleagues at two hospitals in Gauteng Province in 2008 [23].

During 2010, NoV GII strains were detected at levels above 20% for five months (May and July-October), with an uncharacteristic autumn / winter predominance. Whether or not this increase was related to increased tourist activity and the introduction of new GII and GI strains during the Soccer World Cup in SA in June and July of 2010 or the decrease in rotavirus due to the introduction of the rotavirus vaccine in 2009 is unknown. Since the New Orleans 2009 GII.4 variant was first detected in

SA in 2008 and was regularly detected from April 2009 to December 2012, the increase is unlikely to be related to changes in the dominant GII.4 variant [30].

Norovirus GII strains identified in a 2008 study in Pretoria indicated that eight GII (GII.1, GII.4, GII.6, GII.7, GII.10, GII.13, GII.14, GII.16) strains were circulating [23]. While genotyping data from 2010 revealed that GII.2, GII.3, GII.4, GII.12 and GII.17 strains were circulating [28], only limited typing was performed on the 2010 GII strains (39/216; 18%). Therefore, with the current data available, the introduction of new virus genotypes or variants as the cause of the increased detection of NoV GII and GI infections in 2010 could not be established. Continued surveillance and genotyping of NoV strains is recommended to identify the introduction of new variants or types in the South African paediatric population.

In agreement with recent NoV data [6, 29], vomiting was a significant symptom associated with NoV detection in South African children hospitalized for diarrhoea. However, children with NoV were not dehydrated and admitted for a median of two days. These results suggest that children with NoV detected are probably brought to the hospital for the treatment of numerous vomiting episodes rather than severe dehydration or diarrhoea. In NoV challenge studies, vomiting was more prevalent than diarrhoea and nearly half of the participants who experienced vomiting did not report diarrhoea symptoms [29]. Based on the surveillance case definition, NoV cases presenting as only vomiting or treated in the outpatients department will have been missed. Furthermore, a large proportion of children with NoV may not have required medical treatment resulting in an under estimation of the true prevalence of NoV diarrhoea in children <5 years in South Africa.

Norovirus GI strains have been detected at similar levels to GIIs in river water in SA [30] but were not seen at comparable levels in hospitalized patients (12% versus 3%). These results suggest that either NoV GI strains survive longer in the environment or patients shed NoV GI strains at higher titres [31]

or NoV GI strains cause less severe or asymptomatic infections. Furthermore, these cases may be treated at home or at a clinic level which means that they would not be captured by the study surveillance system.

The exception to the perceived mild nature of GI infections may be in HIV-infected children. The current study indicated increased detection of single GI infections in HIV-infected children compared to HIV-uninfected children (9% versus 1%) in children admitted for the treatment of diarrhoea. In addition, the median NoV GI Ct values in HIV-infected children were also significantly lower compared to HIV-uninfected children (p=0.05). These results combined could be used as an indicator of NoV GI disease severity in HIV-infected children. Similar differences in disease severity in RV and NoV GII infections in HIV-infected and HIV-uninfected patients have not been reported. However, this may be due to our inability to distinguish subtle differences in clinical severity between the two populations rather than the absence i.e. diarrhoea infections associated with RV and NoV GII strains are severe regardless of immune status.

A study by Groome and colleagues [32] estimated that 26% of children admitted with acute gastroenteritis to CHBAH, Johannesburg between March 1998 and October 2000 were HIV-infected, based on the prevalence rate in women attending antenatal clinics in the area. While the study did not detect more frequent RV infections in HIV-infected children, the absolute burden of disease in these children was twofold higher than in HIV-uninfected children [32]. In addition, HIV-infected children were more likely to be hospitalized for a longer period and had a higher case fatality rate [32]. A similar trend was noted in the current study where NoV strains were detected at similar levels in HIV-infected and HIV-uninfected children. However, NoVs were associated with a higher case fatality rate in HIV-infected children compared to HIV-uninfected children.

Population denominators were unavailable for the surveillance sites and; therefore, the incidence and increased risk of NoV associated with HIV infections could not be calculated. However, the mean HIV prevalence among children 0-4 years with NoV detected was 9.7% (43/443) between 2009 and 2013 while the HIV prevalence among children 0-4 years in the general population was 3.3% in 2008 [33], a threefold increase. These results suggest that HIV infection may be associated with hospitalization of NoV cases in children and further study may be warranted.

Analysis of the environmental features associated with NoV detection found that NoVs were less common in diarrhoeal patients living in informal housing (aOR=0.7; p=0.021) compared to brick housing or using external water sources (aOR=0.7; p=0.045) compared to indoor water. The role of continuous environmental exposure to NoV strains in these settings is unclear. Future study including control groups from the same community without diarrhoea would be required to interrogate these findings further.

The study has several limitations that should be considered when evaluating the findings. Missing data was dealt with by pairwise deletion and information selection bias may be present affecting the estimates and associations observed. The comparison groups used for the analysis were not strict control groups with the absence of diarrhoea and may have resulted in an underestimation of the clinical and environmental features associated with NoV infections. While patients who refused to participate in the study were noted, no additional information was gathered from these patients and, therefore, there may be non-participation biases unaccounted for in the analysis. The study enrolled children who were admitted to hospital overnight and was limited to moderate to severe diarrhoea. Therefore, any findings are restricted to this category of diarrhoeal infections and should be extrapolated to less severe outcomes with care. Not all the participants included in the study had specimens screened for all enteric pathogens. Limited clinical specimen volumes resulted in reduced screening for parasites. This may have resulted in an underestimation of mixed infections and affected the analysis of single pathogen infections.

Asymptomatic infections and prolonged shedding of enteric pathogens complicates epidemiological evaluations when trying to establish whether a pathogen is associated with an illness. Asymptomatic NoV infections have been described in paediatric patients at frequencies between 11.6-49.2% in a recent review [6]. An Australian study recorded NoV GII shedding in seven young children for 2-100 days [34]. In addition, NoV shedding in immunocompromised patients has been recorded for up to 898 days [35]. The current study did not have any data on asymptomatic NoV infections or duration of NoV shedding and the frequency of mixed pathogen NoV cases ranged from 77-66%.

However, efforts have been made to translate the faecal NoV viral load measurements or Ct values as a proxy measure into disease-attribution cut-offs [36]. An English study calculated an optimal Ct cut-off for children <5 of 30 [36]. As no South African Ct-disease-attribution analysis of the NoV real-time detection assay has been performed, the current study included all specimens positive for NoV irrespective of Ct value. An interesting finding was the statistically significant difference in the median Ct values between sole pathogen and mixed pathogen cases (27.2 versus 32.0; p=0.006). Additional research establishing the Ct-disease-attribution cut-off and investigating NoV shedding in SA should be considered.

The study determined the detection rate, clinical characteristics and environmental features associated with NoV detection in hospitalized children <5 years in SA. Furthermore, the study identified NoV GI strains as a potentially serious pathogen in vulnerable HIV-infected patients and demonstrated an association between NoV detection and mortality in this group. Future monitoring of NoV detection rates and variants circulating in the South African population may aid in enumerating diarrhoea burden due to the introduction of new NoV strains.

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#### **Ethical standards**

The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional committees on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008. Ethical approval for the study was obtained from the Human Research Ethics Committee (Medical), University of Witwatersrand (M091018), the Biomedical Research Ethics Committee, University of Kwa-Zulu Natal (BF074/09) and the Faculty of Health Sciences Research Ethics Committee, University of Pretoria (278/2015).

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Supplementary Table 1. Univariate and multivariable analysis of demographic data, clinical characteristics and environmental features associated with norovirus genogroup II detection (n=377).

	Norovirus	Univariate analysis		Multivariable analysis		
Parameter	genogroup II prevalence n/N (%)	Odds Ratio (OR; 95% Confidence Interval (CI))	p-value	Adjusted OR (aOR; 95% CI)	p-value	
Demographic cha	aracteristics				_	
Age (in months)						
>24	21/294 (7.1%)	Ref		Ref		
19-24	27/221 (12.2%)	1.8 (1.0-3.3)	0.052	1.6 (0.7-3.9)	0.289	
13-18	44/447 (9.8%)	1.4 (0.8-2.4)	0.205	1.6 (0.7-3.6)	0.224	
7-12	129/895 (14.4%)	2.2 (1.4-3.5)	0.001	2.9 (1.4-5.9)	0.003	
0-6	156/1168 (13.4%)	2.0 (1.2-3.2)	0.004	2.5 (1.2-5.0)	0.010	
Year	, , ,	, ,	1	, ,	1	
2013	47/528 (8.9%)	Ref		Ref		
2012	44/447 (9.8%)	1.1 (0.7-1.7)	0.615	0.9 (0.6-1.5)	0.702	
2011	82/534 (15.4%)	1.9 (1.3-2.7)	0.001	1.7 (1.1-2.5)	0.017	
2010	157/893 (17.6%)	2.2 (1.5-3.1)	<0.001	2.5 (1.6-3.7)	<0.001	
Sentinel site		_:_ (=:0 0:=)				
СНВН	238/1728 (13.8%)	Ref				
EDH	42/302 (13.9%)	1.0 (0.7-1.4)	1.0			
MKH	68/646 (10.5%)	0.7 (0.6-1.0)	0.036			
MPH	29/352 (8.2%)	0.6 (0.4-0.8)	0.005			
Clinical character		(3.1. 3.2)	,		J	
Diarrhoea duration						
1-4	28/197 (14.2%)	Ref				
5	25/254 (9.8%)	0.7 (0.4-1.2)	0.155			
≥6	313/2509 (12.5%)	0.9 (0.6-1.3)	0.479			
Vomiting		(			1	
No	93/955 (9.7%)	Ref				
Yes	276/2031 (13.6%)	1.5 (1.1-1.9)	0.003	Not included in	model	
Vomiting duratio			0.000			
0	93/955 (9.7%)	Ref		Ref		
1	57/427 (13.4%)	1.4 (1.0-2.0)	0.047	1.4 (0.9-2.3)	0.136	
2	97/641 (15.1%)	1.7 (1.2-2.2)	0.001	1.8 (1.2-2.7)	0.005	
≥3	121/949 (12.8%)	1.4 (1.0-1.8)	0.038	1.2 (0.8-1.8)	0.414	
	er of vomits in 24 ho			(,		
0	93/955 (9.7%)	Ref				
1	35/333 (10.5%)	1.1 (0.7-1.6)	0.685			
2-4	187/1376 (13.6%)	1.5 (1.1-1.9)	0.005	Not included in model		
≥5	38/226 (16.8%)	1.9 (1.2-2.8)	0.003			
	assessed by a clinicia					
None	79/409 (19.3%)	Ref		Ref		
1-5% (Mild)	196/1610 (12.2%)	0.6 (0.4-0.8)	<0.001	0.5 (0.3-0.7)	<0.001	
≥6% (Moderate	66/636 (10.4%)	0.5 (0.3-0.7)	<0.001	0.3 (0.2-0.5)	<0.001	
/ severe)	, , , , , , , , , , , , , , , , , , , ,					

Number of days	admitted to hospital				
≥3	155/1524 (10.2%)	Ref			
≤2	210/1427 (14.7%)	1.5 (1.2-1.9)	<0.001		
HIV status	, , , , , ,	,	1		
Negative	318/2411 (13.2%)	Ref			
Positive	33/346 (9.5%)	0.7 (0.5-1.0)	0.058		
HIV exposure sta		,	1		
Uninfected,	223/1656 (13.5%)	Ref			
Unexposed					
Uninfected,	105/901 (11.7%)	0.8 (0.7-1.1)	0.191		
Exposed					
Infected	33/346 (9.5%)	0.7 (0.5-1.0)	0.048		
Birth weight					•
NBW (>2.5kg)	223/1508 (14.8%)	Ref		Ref	
LBW (≤2.5kg)	29/296 (9.8%)	0.6 (0.4-0.9)	0.025	0.6 (0.4-0.9)	0.017
Environmental fe	eatures associated wit	h norovirus GII det	ection		•
Housing materia					
Brick	294/2255 (13.0%)	Ref			
Informal	73/724 (10.1%)	0.7 (0.6-1.0)	0.036		
Heating source			·		
Electricity	238/1674 (14.2%)	Ref			
Other	29/275 (10.6%)	0.7 (0.5-1.1)	0.102		
Water source			·		
In-door tap	190/1379 (13.8%)	Ref		Ref	
Other	178/1598 (11.1%)	0.8 (0.6-1.0)	0.029	0.7 (0.5-1.0)	0.045
Sanitation type					
Flush toilet	223/1561 (14.3%)	Ref			
Other	146/1418 (10.3%)	0.7 (0.6-0.9)	0.001		
Norovirus genog	roup II (NoV GII) mixe	d pathogen infection	ons		
NoVGII only	64/662 (9.7%)	Ref			
NoVGII +1	63/358 (17.6%)	2.0 (1.4-2.9)	<0.001	Not included in	n model
NoVGII + 2 or	55/150 (36.7%)	5.4 (3.6-8.2)	<0.001	Not included if	illouei
more					
NoVGII +	79/874 (9.0%)	0.6 (0.5-0.8)	<0.001	0.6 (0.4-0.8)	0.002
Rotavirus					
NoVGII +	52/560 (9.3%)	0.7 (0.5-09)	0.012	0.3 (0.2-0.6)	<0.001
Adenovirus					
NoVGII +NoVGI	19/94 (20.2%)	1.9 (1.1-3.1)	0.017		
NoVGII +	38/207 (18.4%)	1.6 (1.1-2.4)	0.008		
Astrovirus					
NoVGII +	14/186 (7.5%)	0.5 (0.3-1.0)	0.034		
Parasites					<u> </u>

CHBH – Chris Hani Baragwanath Hospital, EDH – Edendale Hospital, MKH – Matikwane Hospital, MPH – Mapulaneng Hospital, HIV – human immunodeficiency virus, NBW – normal birth weight, LBW – low birth weight

Only variables with p-values <0.2 in the univariate analysis were reported in the table and included in the multivariable model.

Supplementary Table 2. Univariate and multivariable analysis of demographic data, clinical characteristics and environmental features associated with norovirus genogroup I detection (n=94).

Parameter	Norovirus genogroup I prevalence n/N (%)	Univariate ana	Univariate analysis		Multivariate analysis		
		Odds Ratio (OR; 95% Confidence Interval (CI))	p-value	Adjusted OR (aOR; 95% CI)	p-value		
Age (in months)							
0-6	31/1043 (3.0%)	Ref		Ref			
7-12	25/791 (3.2%)	1.1 (0.6-1.8)	0.816	1.0 (0.6-1.7)	0.972		
13-18	14/417 (3.4%)	1.1 (0.6-2.2)	0.701	1.2 (0.6-2.2)	0.661		
19-24	14/208 (6.7%)	2.4 (1.2-4.5)	0.010	2.3 (1.2-4.5)	0.011		
>24	9/282 (3.2%)	1.1 (0.5-2.3)	0.849	1.2 (0.6-2.5)	0.668		
Gender							
Male	50/1174 (4.3%)	1.5 (1.0-2.3)	0.040	1.6 (1.1-2.5)	0.018		
Year							
2013	11/492 (2.2%)	Ref		Ref			
2012	13/416 (3.1%)	1.4 (0.6-3.2)	0.407				
2011	22/474 (4.6%)	2.1 (1.0-4.4)	0.044				
2010	38/774 (4.9%)	2.6 (1.1-4.7)	0.019				
Feeding (first 4 mon	iths)						
Breast	42/1026 (4.1%)	Ref					
Other	19/735 (2.6%)	0.6 (0.4-1.1)	0.091				
Feeding (after 4 mor	nths)						
Breast	14/592 (2.4%)	Ref					
Other	58/1447 (4.0%)	1.7 (1.0-3.1)	0.071				
Housing material							
Brick	76/2037 (3.7%)	Ref					
Informal	16/667 (2.4%)	0.6 (0.4-1.1)	0.102				
Norovirus genogrou	p I mixed pathogen inf	ections					
NoVGI only	11/47 (23.47%)	Ref		Not included	in model		
NoVGI + 1	14/47 (29.8%)	2.2 (1.0-5.0)	0.048				
NoVGI + 2 or more	22/47 (30.0%)	10.8 (5.1-23.3)	<0.001				
NoVGI + NoVGII	19/94 (20.2%)	1.9 (1.1-3.1)	0.017	2.0 (1.2-3.3)	0.012		
NoVGI + Sapovirus	13/94 (13.8%)	2.0 (1.1-3.7)	0.024	2.0 (1.1-3.7)	0.025		
NoVGI + Astrovirus	10/94 (10.6%)	1.7 (0.9-3.4)	0.104				

Only variables with p-values <0.2 in the univariate analysis were reported in the table and included in the multivariable model.