

## ACCEPTED MANUSCRIPT

1     **Selection and technological potential of *Lactobacillus plantarum* bacteria suitable for wine**  
2                                   **malolactic fermentation and grape aroma release**

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13    **Running title:** Selection and technological potential of wine *L. plantarum* bacteria

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16

17 **Abstract**

18 *Lactobacillus plantarum* strains have resistance mechanisms that enable them to survive and  
19 proliferate in wine, which makes them potential malolactic fermentation (MLF) starter cultures.  
20 This work focused on the technological characterization of 11 *L.plantarum* strains isolated from  
21 Southern Italian wines that undergo spontaneous MLF, and proposes a selection of new  
22 *L.plantarum* malolactic starters. These strains were characterized according to their oenological  
23 characteristics, their ability to produce biogenic amines and bacteriocins, their response to the  
24 presence of phenolic compounds, their enzymatic activities and their ability to produce wine  
25 odorant aglycones from odourless grape glycosidic aroma precursors. Finally, the malolactic  
26 activity of one selected strain was assessed in Cabernet Sauvignon wine, using two inoculation  
27 methods. *L. plantarum* strains tested were not producers of biogenic amines. In particular, the M10  
28 strain showed a good resistance to high levels of ethanol and low pH, it has a good malolactic  
29 performance and  $\beta$ -glucosidase activity, this last one demonstrated both directly through the  
30 measurement of this enzymatic activity and indirectly by following the release of volatile aglycones  
31 from commercial and natural grape glycosidic odourless precursors. These results demonstrated the  
32 potential applicability of M10 as a new MLF starter culture, especially for high-ethanol wines.

33

34 **Keywords:** *Lactobacillus plantarum*, wine malolactic fermentation, functional starter culture, co-  
35 inoculation, grape aroma hydrolytic activity

## 36 1. Introduction

37 Malolactic fermentation (MLF) plays an important role in the production of wine, especially red  
38 wines, resulting in microbial stability, biological deacidification, as well as contributing to the  
39 aroma profile (Moreno-Arribas and Polo, 2005; Bartowsky *et al.*, 2008). Nowadays, the use of  
40 lactic acid bacteria (LAB) strains as malolactic starter cultures to improve wine quality is a common  
41 winemaking practice.

42 Spontaneous MLF is often unpredictable. It may occur during, or many months after the completion  
43 of alcoholic fermentation (Wibowo *et al.*, 1985; Henschke, 1993), and it may also fail because of  
44 very harsh environmental conditions in the wine, impeding bacterial survival and growth, such as  
45 low pH, high alcohol content, high SO<sub>2</sub> concentrations and low temperatures (Lafon-Lafourcade *et*  
46 *al.*, 1983; Wibowo *et al.*, 1988). Moreover, some LAB have also undesirable effects on wine  
47 quality, because they produce off-flavours, a reduction in colour (Liu and Pilone, 2000) and the  
48 formation of biogenic amines (Moreno-Arribas *et al.*, 2003). The overall effects of MLF are largely  
49 dependent on the strains that carry out the process and on the type of wine being manufactured.  
50 *Oenococcus oeni* is the major bacterial species found in wines during spontaneous MLF, as it is  
51 well adapted to the low pH and high ethanol concentration of wine. However, *O. oeni* can also be  
52 detected with other LAB, mainly *Lactobacillus* spp., and in particular *L. plantarum* species  
53 (Lonvaud-Funel, 2001; Lerm *et al.*, 2011; Bravo-Ferrada *et al.*, 2013). In 1988 the potential of *L.*  
54 *plantarum* as a malolactic starter culture was realised by Prah (1988) with the first freeze-dried  
55 culture being released. Today there are a few *L. plantarum* strains commercially available as MLF  
56 starter cultures (Fumi *et al.*, 2010; Lerm *et al.*, 2011). Some relevant characteristics of *L. plantarum*,  
57 such as the ability to function well at low pH conditions, the tolerance of ethanol up to 14%, has a  
58 similar SO<sub>2</sub> tolerance to *O. oeni*, and it has a more diverse array of enzymes that could lead to more  
59 aroma compounds being produced, all contribute to making *L. plantarum* as the up-to-date  
60 generation wine MLF starter cultures (Spano *et al.*, 2002; Du Toit *et al.*, 2011; Lerm *et al.*, 2011).  
61 The selection criteria for enological malolactic starters should include: (i) technological challenges

62 (resistance to the main wine parameters and withstanding the production processes); (ii) malolactic  
63 performance and flavour production (malic acid degradation; impact on wine aroma); (iii)  
64 production of ensured enhancement of the wholesomeness of wine (no production of biogenic  
65 amines) (Du Toit, 2012). A minor but also important aspect to be considered is the susceptibility of  
66 LAB to polyphenols, which are one of the most abundant groups of chemical compounds in wine  
67 (and in red wines in particular) and can have an extremely important impact on wine sensorial  
68 characteristics. Several studies have shown different effects of wine polyphenols on the growth and  
69 metabolism of enological LAB (García-Ruiz *et al.*, 2008; García-Ruiz *et al.*, 2013a; Campos *et al.*,  
70 2016). Particularly *O. oeni* and *L. plantarum* may be inhibited by tannins and phenolic acids, and so  
71 they have a negative impact on the development of malolactic fermentation, while anthocyanins and  
72 gallic acid seem to have a stimulatory effect (Reguant *et al.* 2000; Alberto *et al.*, 2001; Campos *et*  
73 *al.*, 2009).

74 Recently, some authors have evidenced that the *L. plantarum* species shows a different enzymatic  
75 profile to other LAB species, which could play an important role in the wine aroma profile  
76 (Swiegers *et al.*, 2005; Lerm *et al.*, 2011). The use of malolactic starter cultures has become  
77 widespread to control the MLF process and to prevent the production of off-flavours. However, the  
78 induction of malolactic fermentation by use of commercially available strains is not always  
79 successful. Several reports have shown that the success of MLF starters depends of the strain and is  
80 influenced by several factors, including geographical origin and adaptation to the winemaking  
81 conditions of each wine (Ruiz *et al.*, 2010; Testa *et al.*, 2014; Valdés la Hens *et al.*, 2015). Because  
82 the resistance to wine conditions is strictly strain-dependent, the development of new malolactic  
83 starters is a multiphasic approach, whose identification and oenological characterization of *L.*  
84 *plantarum* strains naturally occurring in wines that have undergone spontaneous MLF are relevant  
85 steps.

86 With the final aim of proposing a selection of potential *L. plantarum* malolactic fermentation starter  
87 cultures, this study was focused on the oenological characterization of 11 *L. plantarum* strains

88 previously isolated from Southern Italian red wines. The first objective was to characterize the  
89 isolates by assessing their capacity to survive at low pH and high alcohol content, and their malic  
90 acid degradation performance in synthetic wine. Also, the production of bacteriocins and biogenic  
91 amines was examined, as well as the production of enzymatic activities that play a role in wine  
92 production; furthermore, the transformation of odourless glycosidic aroma precursors into odorant  
93 aglycones was investigated. The second objective was to evaluate the malolactic activity of one  
94 selected strain in a Cabernet Sauvignon wine using two inoculation methods: co-inoculation with  
95 yeast and sequential inoculum at the end of alcoholic fermentation.

96

## 97 **2. Materials and Methods**

### 98 *2.1 Microorganisms and starters preparation*

99 *L. plantarum* V22 (Lallemand Inc., Montreal, Canada) and 11 strains of *L. plantarum*, selected from  
100 southern Italian wines (Testa *et al.*, 2014), were used in the characterization tests of MLF, after a  
101 first screening including 58 *L. plantarum* strains isolated from these wines. A commercial strain of  
102 *Saccharomyces cerevisiae* AM37 (Enobitech, Novara Italy) was used to carry out the alcoholic  
103 fermentation. The AM37 and V22 strains were rehydrated according to the manufacturer's  
104 specifications before use.

105 At time of use, the strains of *L. plantarum*, were propagated overnight in Man, Rogosa and Sharpe  
106 (MRS) medium (Oxoid Ltd., UK) at 30 °C, reinoculated into a new MRS medium and incubated  
107 until the exponential phase growth was reached. The cells were pelleted by centrifugation at 10,000  
108 rpm for 15 minutes at 4 °C, washed twice with sterile water and resuspended in must at a  
109 concentration of 10<sup>8</sup> CFU/mL (colony-forming units per millilitre).

110

### 111 *2.2 Characterization of the L. plantarum strains in synthetic wine medium*

112 In the first test, 58 *L. plantarum* strains were screened in synthetic wine (SW) media [4 g/L yeast  
113 extract, 2 g/L glycerol, 6 g/L D,L-malic acid] (Carreté *et al.*, 2002). The pH was adjusted to 3.5

114 with 4N NaOH and the ethanol concentration to 14% (v/v). Cells grown at exponential phase on  
115 MRS (Oxoid Ltd., UK) for 48 h at 28 °C were washed with physiological solution and resuspended  
116 in SW at a final concentration of  $10^8$  CFU/ml. The viable cell number was measured by plating  
117 diluted SW aliquots on MRS agar at different times on days 5, 10, 15 of incubation at 30 °C under  
118 anaerobic conditions.

119 The second screening panel was performed on the selection of strains (11 *L. plantarum*). Their  
120 capacity to grow in SW with the following combination of pH and ethanol concentrations was  
121 evaluated: a) pH 3.5 and 11% (v/v) ethanol; b) pH 3.5 and 13% ethanol; c) pH 3.2 and 11% ethanol;  
122 d) pH 3.2 and 13% ethanol; e) pH 3.0 and 10% ethanol; each medium was incubated at 24 °C for 15  
123 days. The cell counts were monitored at four different stages during MLF (0, 10, 15 days) by  
124 conducting plate counts on MRS agar plates incubated at 30 °C in anaerobic conditions. The L-  
125 malic acid concentration was determined with a malic acid enzymatic assay (Steroglass, San  
126 Martino in Campo, Italy) at different times (0, 5, 15 days).

127

### 128 2.3 Multi-enzymatic activities

129 The strains used in this study were assayed for their enzymatic activities using the Api-Zym  
130 galleries (BioMérieux, Montalieu-Vercieu, France) as described by the manufacturer. Rapid semi-  
131 quantitative evaluation of 19 hydrolytic enzymes was carried out. The colour that developed in each  
132 enzymatic reaction was graded from (+) positive to (-) negative and (W) weakly positive by the  
133 API-ZYM colour reaction chart.

134

### 135 2.4 Odourless glycosidic aroma precursor transformation by *L. plantarum* strains

136 As an indirect measurement of  $\beta$ -D-glucosidase activity in *L. plantarum*, each of the strains tested  
137 in this study was first incubated with a commercial glucoside (Octyl- $\beta$ -D-glucoopyranoside) (Sigma-  
138 Aldrich, St. Louis, MO, USA) and then with a natural odourless glycosidic aroma precursor extract,  
139 which can better represent the ability of these microorganisms to release positive aromatic notes in

140 wines. The natural aroma precursor extract was obtained from white grapes using methodologies  
141 based on the protocol already published by Rodríguez-Bencomo *et al.* (2013). The incubation  
142 procedure was one described elsewhere (Muñoz-González *et al.*, 2015) with slight modifications.  
143 Briefly, strains were inoculated in 10 mL of MRS broth (Oxoid Ltd., UK) and incubated in the  
144 presence of each of the glycosidic aroma precursors at 30°C. In addition, a control without bacteria  
145 was prepared, confirming that the release of volatile compounds was due to the presence of *L.*  
146 *plantarum*. The analysis of free volatile compounds released from the glycosides was carried out by  
147 headspace solid phase microextraction coupled to gas chromatography mass spectrometry (HS-  
148 SPME-GC-MS) at 0 h, 2 h and 24 h of incubation. Preliminary tests were performed in order to  
149 establish whether the glycoside concentration employed in these experiments might inhibit the  
150 bacterial growth, concluding that none of the bacteria assayed were inhibited by the glycosidic  
151 extract at the assayed concentration (data not shown).

152 For this study, two wine LAB strains, *L. paracasei* CIAL-94 and the *Pediococcus pentosaceus*  
153 CIAL-85, exhibiting weak enzymatic activity (unpublished results), were used as reference strains.  
154 All the experiments were performed in duplicate.

155

### 156 2.5 Bacteriocins production

157 The production of bacteriocins by *L. plantarum* strains was investigated by matrix-assisted laser  
158 desorption ionization time-of-flight mass spectrometry (MALDI-TOF/TOF) using a Bruker  
159 Daltoniks instrument provided by a Bruker MALDI Biotyper 3.0 system. The strains were  
160 inoculated in MRS agar and incubated overnight at 30 °C in anaerobic conditions. A colony, for  
161 each strain, was spotted onto the MALDI-TOF/TOF target. The spectra obtained by the strains  
162 tested were compared with the spectral fingerprints of *Lactococcus lactis* CECT (producer of  
163 Lacticin 3147) and IFPL 105-3 (not a producer of Lacticin 3147) (Martínez-Cuesta *et al.*, 2000).

164

### 165 2.6 Determination of biogenic amine-forming capacity

166 Qualitative detection of amine formation in decarboxylase assay medium was tested by inoculating  
167 each strain in the decarboxylase medium described by Bover-Cid and Holzapfel (1999). The  
168 medium contained the corresponding precursor amino acid at 0.5% final concentration (L-histidine  
169 monohydrochloride, tyrosine di-sodium salt, L-ornithine monohydrochloride and L-arginine  
170 monohydrochloride), pyridoxal-5-phosphate, growth factors, buffer compounds and purple  
171 bromocresol as pH indicator. The pH was adjusted to 5.3 and the medium was autoclaved. The  
172 precursor amino acids were purchased from Sigma (St. Louis, MO, USA). A bacterial suspension  
173 ( $10^9$  CFU/mL) was made from a plate culture in decarboxylase medium without amino acids. An  
174 aliquot of the suspension (0.2 mL) was inoculated into 2 mL of the same medium with and without  
175 amino acids (as control). After 7 days incubation at 30 °C under anaerobic conditions, the medium  
176 was centrifuged and the supernatant was kept at -20 °C until biogenic amines analysis.

177 For quantitative determination of biogenic amine producers, cells grown at exponential phase on  
178 MRS broth overnight at 30 °C were suspended in MRS broth, containing 0.1% of the corresponding  
179 amino acid precursor (L-histidine monohydrochloride, tyrosine di-sodium salt and L-ornithine  
180 monohydrochloride), pyridoxal-5'-phosphate (Sigma) and growing factors, previously described in  
181 Moreno-Arribas *et al.*, (2003). The pH was adjusted to 5.3 and the medium was autoclaved. The  
182 precursor amino acids were purchased from Sigma (St. Louis, MO, USA). Samples were incubated  
183 at 30 °C for 7 days with stirring at 80 rpm. Two mL of culture were taken and centrifuged at 4000  
184 rpm for 10 minutes at 5 °C; 1mL of supernatant was filtered through a 0.22 µm filter and placed in  
185 vials for HPLC. Biogenic amines were analysed by RP-HPLC according to the method described by  
186 Marcobal *et al.* (2005), using a liquid chromatograph consisting of a Waters 600 controller  
187 programmable solvent module (Waters, Milford, MA, USA), a WISP 710B autosampler (Waters,  
188 Milford, MA, USA) and an HP 1046-A fluorescence detector (Hewlett-Packard). Chromatographic  
189 data were collected and analysed with a Millennium 32 system (Waters, Milford, MA, USA). The  
190 separations were performed on a Waters Nova-Pak C18 (150 x 3.9 mm i.d., 60 Å, 4 µm) column,  
191 with a matching guard cartridge of the same type. Samples were submitted to an automatic pre-



192 column derivatization reaction with *o*-phthaldialdehyde (OPA) prior to injection. Derivatized  
193 amines were detected using the fluorescence detector (excitation wavelength of 340 nm, and  
194 emission wavelength of 425 nm).

195  
196 *2.7 Effects of malvidin 3,5-diglucoside on the growth of L. plantarum*

197 The *L. plantarum* strains were cultured at 37 °C in MRS broth (pH 3.5) to obtain overnight cultures.  
198 The effect of malvidin 3,5-diglucoside on the growth of these strains was evaluated following the  
199 protocol described by Tabasco *et al.* (2011). Growth was performed in triplicate in sterile 96-well  
200 microplates with lid (Sarstedt Inc., Newton, USA). Wells containing 300  $\mu$ L of ZMB1 medium in  
201 the absence (control) and in the presence of malvidin 3,5-diglucoside (0.500, 0.250 and 0.125  
202 mg/mL), were inoculated (1%) with an overnight culture of each strain. Bacteria growth for 48 h at  
203 37 °C under aerobic conditions was monitored at 60 min intervals (preceded by 15 s of shaking at  
204 variable speed) by assessing optical density (OD) at 600 nm (OD<sub>600</sub>) using an automated  
205 microplate reader (Varioskan Flash, Thermo Electron Corporation, Vantaa, Finland). The effect  
206 (inhibition/stimulation) of malvidin 3,5-diglucoside on the bacteria growth was calculated from the  
207 data at 48 h as: % Inhibition =  $(Abs_{\text{sample}} - Abs_{\text{control}}) / Abs_{\text{control}}$ .

208  
209 *2.8 Malolactic fermentation (co-inoculation and sequential inoculum) in small-scale vinification*  
210 *procedures*

211 Vinifications were conducted at the Giagnacovo winery (San Biase of Molise, Italy) using red  
212 grapes of the Cabernet Sauvignon variety. The must showed the following chemical composition:  
213 pH 3.37, titratable acidity 8.61 g/L tartaric acid, L-malic acid 5.0 g/L, L-lactic acid 0.05 g/L, D-  
214 lactic acid 0.01 g/L, acetic acid 0.01 g/L and sugar content 21.7 °Brix. The chemical–physical  
215 analyses were performed according to EC Official Methods (1999).

216 Fermentations were carried out in five stainless steel tanks of 10 hL each with the addition of 50  
217 mg/L of K<sub>2</sub>S<sub>2</sub>O<sub>5</sub>. The alcoholic fermentation was conducted at 22 °C in the presence of grape skins,

218 seeds and stalks, until the residual reducing sugar content was less than 2 g/L, with an inoculum of a  
219 commercial *S. cerevisiae* strain AM37. For each experiment, the wine samples were collected at  
220 different times and subjected to microbiological analysis.

221 Malolactic fermentation was performed using two different procedures, co-inoculation and  
222 sequential inoculum, using the *L. plantarum* M10 strain (Testa *et al.* 2014) and *L. plantarum* V22  
223 commercial strain (Lallemand).

224 The alcoholic fermentation was carried out in 25 hL of Cabernet Sauvignon grape juice by the  
225 addition of *S. cerevisiae* AM37 and divided equally into five stainless steel tanks (A, B, C, D, E).

226 The tanks A and B, after 12 h, were inoculated, respectively, with *L. plantarum* M10 and *L.*  
227 *plantarum* V22 (co-inoculum). The tanks C and D, after the alcoholic fermentation, were  
228 inoculated, respectively, with *L. plantarum* M10 and *L. plantarum* V22 (sequential inoculum). Tank  
229 E represents a control, inoculated only with the *S. cerevisiae* AM37 strain.

230 The alcoholic fermentation was considered concluded when the reducing sugars level was below 2  
231 g/L. Malolactic fermentation was monitored up to 30 days of incubation at a temperature of 22 °C.

232 The L-malic acid degradation and the DL-lactic acid formation in all tanks were determined using  
233 enzymatic kits (Steroglass, Italy) from 0 days to up to 30 days of incubation.

234 The chemical–physical analyses were performed according to EC Official Methods (1999).

235

### 236 *2.9 Identification of L. plantarum strains during the malolactic fermentation*

237 Wine samples were serially diluted in sterile saline solution (9 g/L NaCl) and then plated in  
238 triplicate on MRS agar supplemented with 0.2 g/L sodium azide, as the selective medium for LAB  
239 medium. Plates were incubated at 30 °C under anaerobic conditions (GasPak, Oxoid Ltd., UK) at 48  
240 h, five colonies were randomly picked from plates at highest dilutions and identified by their  
241 morphology, Gram staining, catalase test, and PCR-DGGE and RAPD-PCR analysis (Testa *et al.*,  
242 2014).

243

## 244 2.10 Statistical analysis

245 All analytical assays were carried out in three replicates by determining the mean and standard  
246 deviation. Statistical analyses were performed using SPSS 13.0 software (SPSS, Inc., Chicago, IL,  
247 USA). Significant difference was evaluated using ANOVA LSD test at  $p < 0.01$ .

248

## 249 3. Results

### 250 3.1 Characterization of *L. plantarum* strains in synthetic wine

251 Fifty-eight *L. plantarum* strains were submitted at screening to evaluate the growth in synthetic  
252 wine (SW), with pH 3.5 and an ethanol content of 14% (v/v) (data not shown). Eleven *L. plantarum*  
253 strains were selected, and then submitted to a comparative assay of malolactic performance at  
254 laboratory scale, using SW medium at different pH and ethanol concentrations. Figure 1 shows the  
255 L-malic acid evolution in SW medium with different combinations of pH and ethanol content for  
256 the isolated strains tested and the commercial *L. plantarum* V22.

257 All 11 strains were able to consume the L-malic acid completely (respectively at pH 3.5 with 11%  
258 v/v ethanol, Fig. 1a, and pH 3.5 with 13% v/v ethanol, Fig. 1b) after five days, with the P5 strain  
259 being the exception. Three strains, P5, M26 and V22 were not able to consume L-malic acid in the  
260 SW medium at pH 3.2 with 11% v/v of ethanol (Fig. 1c). The SW medium pH 3.2 with 13% v/v of  
261 ethanol (Fig. 1d), was more selective for the majority of strains. Eight strains (A1, M17, M26, P9,  
262 P5, M22, M24, T13) and the commercial strain V22 were unable to deplete the L-malic acid during  
263 the 15 days of microvinification, as can be seen in figure 1d, whereas three strains (M10, R1 and  
264 P1) had degraded all L-malic acid in the medium within ten days. Moreover, only the A1, R1, P1,  
265 M10 and M26 strains consumed L-malic acid in SW medium at pH 3.0 with 10% v/v of ethanol, as  
266 shown in Fig. 1e.

267 The results demonstrate that a pH value of 3.5 provides the best conditions for survival for the  
268 tested strains, permitting total L-malic acid consumption, independently from an ethanol content of  
269 either 11% or 13% v/v.

270

271 *3.2. Production of enzymes of oenological interest*

272 Enzymatic activities correlated with carbohydrate catabolism,  $\alpha$ -galactosidase,  $\beta$ -glucuronidase,  $\alpha$ -  
273 mannosidase and  $\alpha$ -fucosidase were not observed in any of the strains tested (Table 1). However all  
274 the *L. plantarum* strains exhibited strong  $\beta$ -glucosidase and  $\alpha$ -glucosidase activities. For the  $\beta$ -  
275 galactosidase and N-acetyl- $\beta$ -glucosaminidase, strains showed weak activity, except the commercial  
276 V22 strain in which these enzymatic activities were not expressed.

277

278 *3.3. Ability of L.plantarum strains to release free volatiles from odourless glycosidic aroma*  
279 *precursors*

280 The ability of *L. plantarum* strains to release odorant aglycones from Octyl- $\beta$ -D-glucopyranoside is  
281 shown in Figure 2. As can be seen, all the strains assayed were able to hydrolyse the glycoside and  
282 to release different amounts of the aglycone 1-octanol (Figure 2). However, this ability was  
283 bacteria-dependent, and therefore different depending on the type of bacteria assayed. Interestingly,  
284 all the *L. plantarum* strains studied produced significantly higher amounts of the aglycone than the  
285 strains *L. paracasei* CIAL-94 and *P. pentosaceus* CIAL-85, which were the lowest producers. In  
286 particular the strains M17 and M10 were the major producers of 1-octanol, suggesting that these  
287 strains could be potentially responsible for the generation of a greater amount of free aroma  
288 compounds in wines.

289 Grape glycosides represent a natural reservoir of odorant molecules in wines that can be naturally  
290 and slowly released during wine aging, or intentionally released by using oenological enzymes  
291 during winemaking. In order to take a step forward in the ability of these bacteria to release aroma  
292 compounds in wines, a natural precursor extract obtained from white grapes was incubated in the  
293 presence of each of the strains. Table 2 shows these results. As can be seen in the table, all the  
294 strains were able to generate odorant aglycones belonging to different chemical families (terpenes,  
295 benzenic derivatives and C6-alcohols). But what it is more interesting is that *L. plantarum* M10

296 released a considerable amount of important odorant compounds with low odour thresholds and  
297 flowery-citric aroma nuances in wines, such as the terpenes limonene and linalool, among others  
298 (see Table 2). However, these results need to be validated by additional experiments carried out  
299 with real wines.

300

#### 301 3.4. Bacteriocins production

302 The inhibitory activity of *L. plantarum* strains which was not detected in peptide MALDI-  
303 TOF/TOF spectra obtained from *L. plantarum* strains, and the isogenic strains of *Lactococcus*  
304 *lactis*, which differ in the ability to produce lacticin 3147, was compared. The appearance of two  
305 peaks of molecular mass 2850 Da and 3300 Da in the spectra allowed us to detect this bacteriocin  
306 production by *L. lactis* strains (García-Cayuela, unpublished results), but not in any of the wine *L.*  
307 *plantarum* strains studied, suggesting that none of them were bacteriocin producers.

308

#### 309 3.5. Biogenic amines production

310 None of the strains tested produced biogenic amines, histamine, tyramine, cadaverine or putrescine  
311 when the modified decarboxylase screening media developed by Bover-Cid and Holzapfel (1999)  
312 was used. This medium was shown in a previous work to be suitable for the screening of wine lactic  
313 acid bacteria (Moreno-Arribas *et al.*, 2003). When these strains were analysed by HPLC, it was  
314 confirmed that these amines were not found to be produced by any of the bacterial strains studied  
315 under the conditions applied. Furthermore, the wines obtained after the inoculation experiments  
316 with the selected strain M10 were also analysed by RP-HPLC for the presence of the biogenic  
317 amines histamine, methylamine, ethylamine, tyramine, phenylethylamine, putrescine and  
318 cadaverine, concluding that none of these amines was detected in the final wines.

319

#### 320 3.6. Influence of malvidin 3,5-diglucoside on the growth of *L. plantarum* strains

321 The *L. plantarum* strains tested showed a different response to the presence of malvidin 3,5-  
322 diglucoside in the culture medium (Table 3). The growth of the strains R1 and M24 was clearly  
323 stimulated by the presence of the anthocyanin (% growth >58, compared to the control: ZMB1  
324 medium in the absence of malvidin 3,5-diglucoside) in a dose-dependent manner. Conversely,  
325 inhibition of bacteria growth was markedly observed for strain P5 (% growth < -40), and for the  
326 strains A1 and M17 to a lower extent (% growth < -10) for all the anthocyanin concentrations  
327 tested. The strains P9 and V22 showed certain stimulation on their growth (% growth ~ 20) only at  
328 the anthocyanin concentration of 0.5 mg/mL, but inhibitory effects (% growth  $\leq$  -20) at the lower  
329 concentration tested (0.125 mg/mL). Finally, the presence of malvidin 3,5-diglucoside at the  
330 concentrations tested seemed not to affect the growth of the rest of the bacteria (M10, M22, M26,  
331 P1 and T13).

### 333 3.7. Malolactic fermentation in wine

334 The Cabernet Sauvignon must, used in the study, had a high malic acid content, and in addition, in  
335 order to help the development of MLF, a low SO<sub>2</sub> concentration (50 mg/L of K<sub>2</sub>S<sub>2</sub>O<sub>5</sub>) was added  
336 during alcoholic fermentation. The malolactic bacteria, *L. plantarum* M10, which showed the best  
337 characteristics in the previous assays, was selected for small-scale malolactic fermentation  
338 procedures in wine. The commercial culture V22 (Lallemand) was inoculated to compare the  
339 fermentation performance of the selected strain with that of a commercial product. As reported in  
340 Figure 3, with the co-inoculation method (tanks A and B), L-malic acid was degraded completely in  
341 12 days. Moreover, there were no substantial differences among the inoculated wines with M10  
342 (tank A) and V22 (tank B) strains.

343 On the other hand, in the control tank (E), inoculated only with the yeast strain (AM37) (i.e. under  
344 spontaneous malolactic fermentation), the L-malic acid content did not change during this period,  
345 so the malolactic fermentation was never completed. In the sequential inoculation wines (tanks C  
346 and D), the complete degradation of L-malic acid had occurred after 30 days, as reported in Figure

347 3. The chemical characterization of the different wines is shown in Table 4. None of the inoculated  
348 wines resulted in volatile acidity concentrations exceeding the sensory threshold value of 0.7 g/L  
349 (Guth, 1997). The M10 *L. plantarum* strain shows similar final volatile acidity values to the  
350 commercial starter.

351 Microbiological control of the LAB population in the inoculated tanks (A, B, C and D) was  
352 performed by PCR-DGGE analysis and RAPD typing on five randomly selected colonies from  
353 plates at the highest dilution collected in the middle phase of MLF (data not shown). According to  
354 the DGGE profiles all the isolates belonged to *L. plantarum* species. Strain typing using the primer  
355 M13 showed that all the isolates had the same M13-RAPD profile as either strain M10 or V22,  
356 suggesting a good implantation of these two starters on the indigenous LAB population.

357

#### 358 4. Discussion

359 Given the economic importance of MLF, the development of new starter cultures is an interesting  
360 aim in oenology. In particular, the development of alternative malolactic starter cultures using  
361 species other than *O. oeni* has become one of the main challenges for oenological research in recent  
362 years (Lerm *et al.*, 2011; Bravo-Ferrada *et al.*, 2013). In this work, we proposed a selection plan for  
363 a new *L. plantarum* malolactic starter culture, through the technological/functional characterization  
364 of different native *L. plantarum* strains and an MLF test in synthetic wine and in a winery  
365 environment (microvinification), by testing two different inoculation scenarios (co-inoculation with  
366 yeast and sequential inoculum at the end of alcoholic fermentation).

367 The first assay of L-malic acid degradation in synthetic wine medium showed that low pH (3.0 and  
368 3.2) is a crucial parameter to limit *L. plantarum* growth in wine. In synthetic wine, the study of the  
369 combinations of pH and ethanol revealed that low pH values are the limiting feature of malolactic  
370 activity. Independently from the ethanol percentage, the condition of pH 3.5 allowed the  
371 accomplishment of MLF by ten out of the eleven *L. plantarum* strains analysed. However, pH  
372 values of 3.0 and 3.2 (combined with an ethanol content of 10% and 13% (v/v) respectively) were



373 prohibitive conditions for most strains. Previous results have proved the resilience of *L.plantarum*,  
374 especially for high-pH wines (Du Toit *et al.*, 2011; Lerm *et al.*, 2011); in our experiment it was  
375 established that wild *L. plantarum* strains can tolerate a combination of acid pH (pH 3.5) and  
376 ethanol concentration up to 13%, which are normal values in wines, and proliferate under  
377 conditions that are normally lethal for LAB.

378 Bacteriocins are antimicrobial peptides produced by certain bacteria with inhibitory activity against  
379 related species, including organisms involved in food-borne disease and food spoilage. Since  
380 bacteriocin-producing bacteria have a high technological potential, we tested if this characteristic  
381 was present in the strains studied as potential starter cultures. Taxonomic bacterial identification  
382 based on the peptide profile obtained by MALDI-TOF/TOF is an extremely fast, simple and reliable  
383 approach compared to other microbiological methods used (Ferreira *et al.*, 2010). Also, this  
384 technique can differentiate beyond the species level, characterizing strains in terms of functionality.  
385 Thus, MALDI-TOF/TOF has been used to detect the feature of bacteriocin production. As an  
386 example, production of bacteriocins in the *Lactococcus lactis* strain producer of Lacticin 3147 was  
387 confirmed by MALDI-TOF/TOF (García-Cayueta, unpublished results), demonstrating the utility of  
388 this technique not only for taxonomic identification but to facilitate the evaluation of the potential  
389 technological/functional application of LAB. Although previous studies showed that wine isolates  
390 from *L. paracasei*, *L.higardii* and *L. plantarum* produced bacteriocins (Rojo-Bezarez *et al.*, 2007;  
391 Knoll *et al.*, 2008), MALDI-TOF/TOF data obtained in our study suggested that none of the wine *L*  
392 *plantarum* strains selected was associated to bacteriocin production, probably because, as  
393 demonstrated, this property is strain-dependent, and the encoding-bacteriocin structural and  
394 transporter genes are expressed to varying degrees, depending on the wine media and fermentation  
395 conditions (Knoll *et al.*, 2008).

396 Another important characteristic for the oenological strain, used as starter culture is the inability to  
397 produce biogenic amines. These not only have an impact on wine wholesomeness, since they have



398 several health and commercial implications in wine, but some biogenic amines (i.e. putrescine) also  
399 impact on wine aroma (Shalaby, 1996; Álvarez and Moreno-Arribas, 2014). The major amines  
400 found in wine are histamine, tyramine, putrescine and cadaverine, and it is well known that the  
401 capability to produce amines might be strain-dependent rather than being related to specific species  
402 (Lonvaud-Funel, 2001; Moreno-Arribas *et al.*, 2000, 2003; Landete *et al.*, 2007). In our screening,  
403 none of the *L. plantarum* strains was identified as producing biogenic amines, either in an easy  
404 decarboxylase synthetic broth or in a quantitative method such as reversed-phase high performance  
405 liquid chromatography, used to ensure this. It is important to select strains that do not have this  
406 characteristic to minimize the risk of spoilage of wine. According to our results, the selected  
407 bacteria *L. plantarum* M10 did not produce biogenic amines, as demonstrated by the absence of  
408 these compounds in the malolactic fermentation wines obtained after inoculation with this strain.

409 Wine polyphenols are known to influence the growth of LAB and MLF performance. The study of  
410 malvidin 3,5-diglucoside, as representative of anthocyanins (considering the main phenolic  
411 compounds in red wines), was of interest because a potential limitation of the synthetic wine media  
412 is the lack of these wine components as well as other wine nutrients (aminoacids, vitamins, etc).  
413 Although malvidin 3,5-diglucoside was able to interact with the growth of the *L. plantarum* strains  
414 tested, the effect was variable, depending on the strain and the concentration, suggesting a high  
415 microbial diversity to wine phenolics, in agreement with previous studies (García-Ruiz *et al.*, 2008;  
416 Campos *et al.*, 2016).

417 In the selection of the strains of *L. plantarum* able to perform malolactic fermentation, the  
418 characteristic of the strain to supply  $\beta$ -glucosidase enzymes capable of influencing the flavours and  
419 of operating under the physicochemical conditions of wine is very important. All strains tested  
420 showed  $\beta$ -glucosidase activity, important because having the potential to release glycosidically  
421 bound flavour compounds influences the wine aroma profile (Boido *et al.*, 2002; D’Incecco *et al.*,  
422 2004; Matthews *et al.*, 2004; Spano *et al.*, 2005). Furthermore, the ability of the strains to hydrolyse

423 grape glycosides, releasing different types of aglycones belonging to different chemical families  
424 (terpenes, benzenic derivatives and C6-alcohols), was evaluated by using a commercial glycoside,  
425 and then confirmed using a precursor glycoside extract obtained from white grapes. On the basis of  
426 their aroma characteristics, some of the compounds generated by the strains studied might be  
427 relevant to aroma perception. For instance, terpenes are important odorant compounds that exhibit a  
428 very low odour threshold and flowery-citric aroma nuances in wines (Baumes, 2009). Linalool is  
429 one of the most common odorant aglycones released from some floral grape varieties, and it was  
430 found in all the strain cultures assayed. In addition, two benzenoid compounds (benzyl alcohol and  
431  $\beta$ -phenylethyl alcohol) were also identified. Among them,  $\beta$ -phenylethanol has been related to a  
432 rose-like odour (Botelho *et al.*, 2008). Furthermore, some lipid derivatives, such as C6-alcohols (1-  
433 hexanol), were identified in the strains cultures. Nonetheless, other typical wine aroma compounds  
434 from grape glycosidic aroma precursors, such as C-13 norisoprenoides, vanillins or volatile phenols  
435 (Baumes, 2009), were not detected in the cultures.

436 As can be seen in Table 2, the ability to hydrolyse and release the corresponding odorant aglycones  
437 was different depending on the type of bacteria assayed (bacteria-dependent). For example, the *L.*  
438 *plantarum* strain M10 was one of the major producers of limonene, linalool and its corresponding  
439 oxides, suggesting that this strain could be responsible for the generation of floral and flowery notes  
440 from grape glycosides.

441 Finally, the malolactic performance of a selected strain *L. plantarum* M10, which demonstrated the  
442 best activities, was then determined in small-scale winery conditions. Our work highlights that  
443 degradation of L-malic acid was successfully completed in wines inoculated with *L. plantarum* M10  
444 (in both the co-inoculation and the sequential inoculum fermentation procedures) but not in the non-  
445 inoculated wine. The evaluation of the LAB population inoculated in the two MLF inoculation  
446 experiments (tanks A, B, C and D), confirmed the dominance of the population of the *L. plantarum*  
447 species, ensuring the dominance of the selected strain M10 in tanks A and C, and of the commercial  
448 starter V22 in tanks B and D. In both MLF inoculation scenarios, the *L. plantarum* strain M10

449 selected and employed during the course of the industrial-scale fermentation seems to perform best  
450 and when wine was inoculated with the yeast strain *S. cerevisiae* AM37 was able to successfully  
451 complete MLF; in fact, no decrease in cell counts was observed after inoculation. Regarding the  
452 capacity of a selected strain to take over spontaneous LAB population, several works have  
453 evidenced that the dominance of the starter is not always guaranteed (Maicas *et al.*, 2000; Arnink  
454 and Henick-Kling, 2005). The growth of indigenous LAB and many technological factors can  
455 significantly affect the implantation capacity of the starter (Wibowo *et al.*, 1985). In our study,  
456 however, there was a good implantation of the selected strain and therefore a quick malolactic  
457 fermentation, confirmed by genetic analysis using PCR-DGGE and RAPD typing, in agreement  
458 with previous studies on the potential selection of *O. oeni* and *L. plantarum* South African wine  
459 isolates as malolactic starters (Lerm *et al.*, 2011).

460

## 461 **5. Conclusions**

462 In conclusion, a good understanding of MLF offers great potential in the manufacture of wine  
463 quality. In this study a new *L. plantarum* M10 strain was selected, able to degrade L-malic acid in  
464 synthetic media with a low pH and high alcohol content, and furthermore was also able to complete  
465 the MLF for co-inoculation in must in a short time without producing biogenic amines. *L.*  
466 *plantarum* M10 strain could be used as a starter for MLF co-inoculation in the must, at pH 3.5 and  
467 alcohol content of 12% v/v, enhancing the wine flavour by releasing different types of wine  
468 odorants. Further studies will be carried out to assess the influence of *L. plantarum* M10 strain on  
469 the aroma and sensorial characteristics of wines.

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475

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ACCEPTED MANUSCRIPT



600 **Figure Captions**

601 **Figure 1.** Evolution of L-malic acid concentration in synthetic wine inoculated with *L. plantarum*  
602 isolated strains (M10, A1, M17, M26, P9, P5, M24, M10, R1, P1, M22, T13) and commercial V22  
603 strain at: **a)** pH 3.5 and 11% (v/v) ethanol content; **b)** pH 3.5 and 13% (v/v) ethanol content; **c)** pH  
604 3.2 and 11% (v/v) ethanol content; **d)** pH 3.2 and 13% (v/v) ethanol content; **e)** pH 3.0 and 10%  
605 (v/v) ethanol content.

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607 **Figure 2.** 1-octanol production (absolute peak areas: apa) from Octyl- $\beta$ -D-glucopyranoside by the  
608 strains assayed in this study.

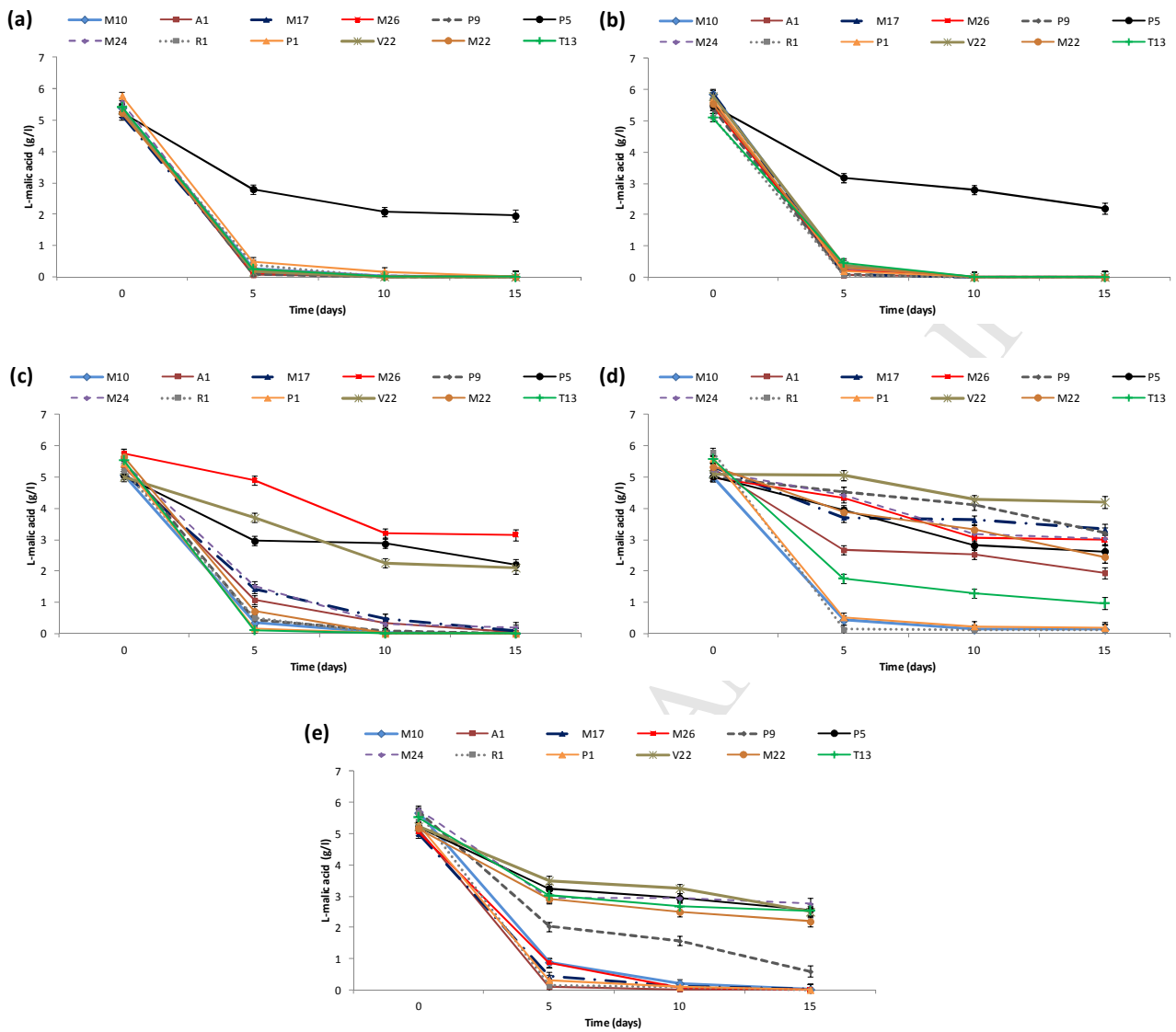
609

610 **Figure 3.** Evolution of L-malic acid concentration in Cabernet Sauvignon. Co-inoculum: A (*L.*  
611 *plantarum* M10 + *S. cerevisiae* AM37) and B (*L. plantarum* V22 + *S. cerevisiae* AM37). Sequential  
612 inoculum: C (*L. plantarum* M10) and D (*L. plantarum* V22). Control: E (*S. cerevisiae* AM37)

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Figure 1:

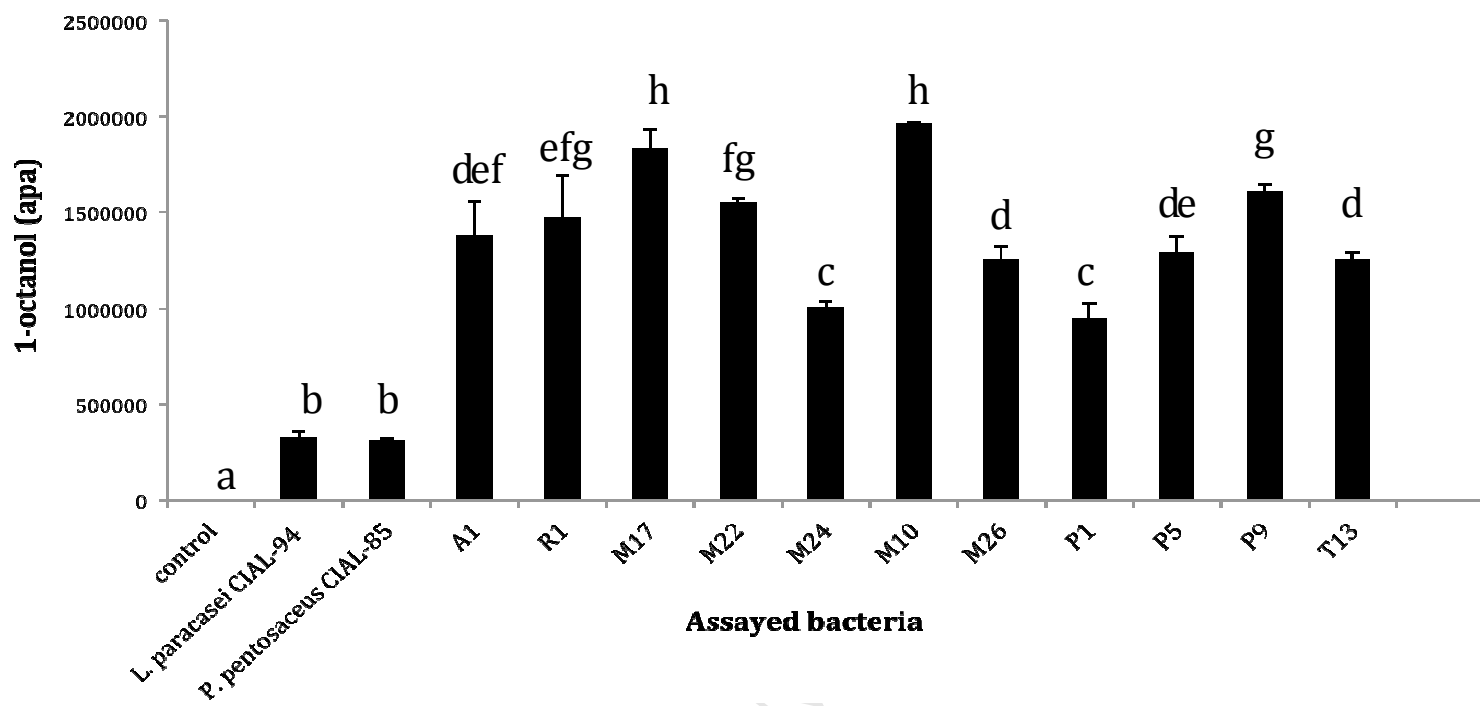


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**Figure 2:**

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Each value represents the mean  $\pm$  standard deviation of two independent replicates.

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Different letters within columns indicate significant differences ( $P < 0.05$ ).

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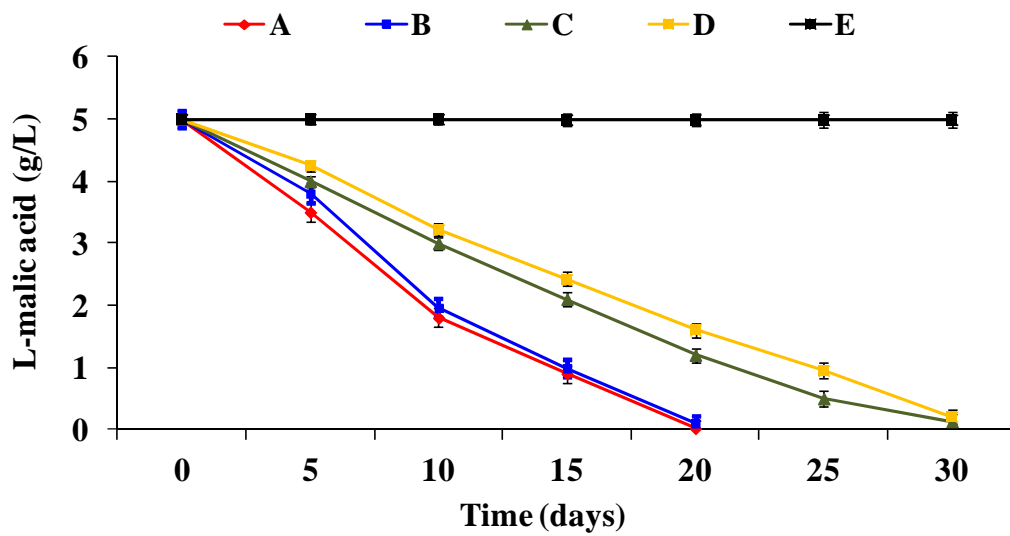
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649 **Figure 3:**

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668 **Table 1.** API-ZYM galleries of mean enzymatic activities corresponding to 12 *L. plantarum* strains

Enzymatic activities	Strains											
	M10	M17	M26	M22	V22	M24	T13	P1	P9	P5	A1	R1
Alkaline phosphatase	-	-	-	-	-	-	-	-	-	-	-	-
Esterase (C4)	-	-	-	-	-	-	-	-	-	-	-	-
Esterase lipase (C8)	-	-	-	-	-	-	-	-	-	-	-	-
Lipase (C14)	-	-	-	-	-	-	-	-	-	-	-	-
Leucine arylamidase	+	+	+	+	+	+	+	+	+	+	+	+
Valine arylamidase	W	W	W	W	W	W	W	W	W	W	W	W
Cystine arylamidase	-	-	-	-	-	-	-	-	-	-	-	-
Trypsin	-	-	-	-	-	-	-	-	-	-	-	-
$\alpha$ -hymotrypsin	-	-	-	-	-	-	-	-	-	-	-	-
Acid phosphatase	W	W	W	+	W	+	+	+	+	+	+	+
Naphthol-AS-BI-phosphohydrolase	+	+	+	W	+	W	W	W	W	W	W	W
$\alpha$ -galactosidase	-	-	-	-	-	-	-	-	-	-	-	-
$\beta$ -galactosidase	W	W	W	W	-	W	W	W	W	W	W	W
$\beta$ -glucuronidase	-	-	-	-	-	-	-	-	-	-	-	-
$\alpha$ -glucosidase	+	+	+	+	+	+	+	+	+	+	+	+
$\beta$ -glucosidase	+	+	+	+	+	+	+	+	+	+	+	+
N-acetyl- $\beta$ -glucosaminidase	W	W	W	W	-	W	W	W	W	W	W	W
$\alpha$ -mannosidase	-	-	-	-	-	-	-	-	-	-	-	-
$\alpha$ -fucosidase	-	-	-	-	-	-	-	-	-	-	-	-

669 Biochemical tests were performed using API-ZYM systems (BioMérieux). + Positive ; W weakly positive ; - negative.

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671

672 **Table 2.** Ability of *L. plantarum* strains to release aromatic aglycones from odourless grape glycosidic precursors. Data represent the comparison of  
 673 the same aroma compound determined in different microbial cultures and are expressed in percentage (considering the highest value of a specific  
 674 compound as 100% and comparing this value with the amounts of the same compound determined in the other microbial cultures).  
 675

	control	A1	R1	M17	M22	M24	M10	M26	P1	P5	P9	T13	V22
Terpenes													
Limonene	0	46.46	84.43	42.32	74.36	40.91	<b>100</b>	59.37	44.28	37.08	39.95	40.90	60.77
Linalool	0	98.94	81.06	86.22	88.09	91.54	99.10	92.15	94.11	70.26	83.05	83.78	<b>100</b>
Linalool oxide 1	0	88.91	74.25	76.22	84.53	71.51	<b>100</b>	84.02	78.33	69.27	62.12	90.07	98.48
beta-myrcene	0	85.02	85.44	88.15	<b>100</b>	86.65	87.32	85.02	90.40	66.22	80.31	84.13	99.02
Benzenic derivatives													
Benzyl alcohol	0	73.03	81.24	77.41	66.84	65.39	93.88	61.61	58.21	58.56	47.77	70.50	<b>100</b>
beta-phenylethyl alcohol	0	55.99	81.44	87.07	51.69	49.21	98.96	45.81	45.38	34.92	34.12	48.96	<b>100</b>
C6 Alcohols													
1-Hexanol	0	<b>100</b>	81.89	70.68	63.40	63.13	96.61	64.14	68.10	51.02	52.41	59.29	90.15
trans-2-hexen-1-ol	0	67.63	46.23	<b>100</b>	47.68	49.12	58.42	53.82	45.91	45.70	32.91	44.10	49.04

676 **Table 3.** Turbidity difference at 600 nm between cultures with or without malvidin 3,5-diglucoside

Strain	mg/mL malvidin 3,5-diglucoside		
	0.500	0.250	0.125
A1	-18.4 ± 6.0	-18.2 ± 8.4	-10.8 ± 8.4
R1	94.5a ± 11.2	75.6b ± 6.1	69.9b ± 1.1
M17	-25.3c ± 2.88	-21.4b ± 2.7	-17.3a ± 2.5
M10	-5.91 ± 1.36	-5.85 ± 1.59	-6.73 ± 1.13
M22	-6.48 ± 0.17	-7.78 ± 1.57	-6.94 ± 3.21
M24	95.1 ± 21.2	72.6 ± 11.7	58.2 ± 14.4
M26	-14.0b ± 1.6	-9.16ab ± 1.90	-4.13a ± 3.84
P1	-3.98 ± 2.49	-1.49 ± 0.96	-1.61 ± 0.79
P5	-55.5 ± 2.02	-50.2 ± 0.5	-42.5 ± 8.6
P9	19.9a ± 14.2	6.01a ± 10.90	-19.5b ± 4.0
T13	-9.52 ± 3.79	-15.6 ± 0.6	-10.9 ± 2.4
V22	20.0a ± 1.9	-10.7b ± 5.8	-28.9c ± 4.6

677 Each value represents the mean ± standard deviation standards of three independent replicates.

678 Different letters within columns indicate significant differences ( $P < 0.01$ ).

679 The effect of malvidin 3,5-diglucoside on the bacteria growth was expressed as % inhibition/stimulation.

680 Positive value: increased growth; Negative value: decreased growth.

681 Control: ZMB1 medium in the absence of malvidin 3,5-diglucoside.

682

683 **Table 4.** Physical-chemical analysis of wines obtained with co-inoculation (A, B) and sequential  
 684 inoculum (C, D) at the end of the malolactic fermentation. Tank E represents a control, wine  
 685 inoculated with the *S. cerevisiae* AM37 strain  
 686

	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
pH	3.54 ± 0.01	3.48 ± 0.04	3.38 ± 0.05	3.37 ± 0.06	3.38 ± 0.05
tritable acidity (g/L)	8.52 ± 0.05	8.31 ± 0.06	8.60 ± 0.04	8.62 ± 0.03	8.67 ± 0.04
SO <sub>2</sub> total (mg/L)	22.5 ± 0.01	12.5 ± 0.50	22.5 ± 0.01	19.5 ± 0.02	17.5 ± 0.05
L-malic acid (g/L)	0.02 ± 0.01	0.05 ± 0.01	0.05 ± 0.06	0.06 ± 0.08	4.99 ± 0.20
L-lactic acid (g/L)	3.11 ± 0.01	2.72 ± 0.05	3.11 ± 0.06	3.12 ± 0.08	0.09 ± 0.34
D-lactic acid (g/L)	3.17 ± 0.01	2.68 ± 0.03	2.23 ± 0.01	2.18 ± 0.04	0.41 ± 0.05
acetic acid (g/L)	0.11 ± 0.01	0.15 ± 0.01	0.11 ± 0.01	0.12 ± 0.01	0.10 ± 0.01
residual sugar (g/L)	0.66 ± 0.01	0.63 ± 0.01	0.65 ± 0.01	0.80 ± 0.04	1.66 ± 0.30
alcohol (% v/v)	12.4 ± 0.01	12.2 ± 0.01	12.2 ± 0.01	12.1 ± 0.01	12.0 ± 0.01

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Manuscript title: '**Selection and technological/functional potential of *Lactobacillus plantarum* bacteria suitable for wine malolactic fermentation and grape aroma release**'

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### **Highlights**

- Selection of new *L. plantarum* malolactic starters
- None of the strains tested produced biogenic amines
- First application of MALDI-TOF/TOF in the study of bacteriocin-producing wine lactic acid bacteria
- First evidence about the ability of wine *L. plantarum* to hydrolyse grape glycosides, releasing different types of odorant aglycones
- *L. plantarum* M10 strain was proposed for co-inoculation in must to complete the MLF