

# **DEVELOPMENT OF EFFICIENT SOFT SWITCHING SYNCHRONOUS BUCK CONVERTER TOPOLOGIES FOR LOW VOLTAGE HIGH CURRENT APPLICATIONS**

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*by*

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### **Supervisor's Certificate**

This is to certify that the work presented in this dissertation entitled “*Development of efficient soft switching synchronous buck converter topologies for low voltage high current applications*” by “*S.Shiva Kumar*”, Roll Number: 512EE1014, is a record of original research carried out by him under my supervision and guidance in partial fulfilment of the requirements of the degree of *Doctor of Philosophy in Electrical Engineering*. Neither this dissertation nor any part of it has been submitted for any degree or diploma to any institute or university in India or abroad.

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# Declaration of Originality

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I, S. Shiva Kumar, Roll Number 512EE1014 hereby declare that this dissertation entitled “*Development of efficient soft switching synchronous buck converter topologies for low voltage high current applications*” represents my original work carried out as a doctoral student of NIT Rourkela and, to the best of my knowledge, it contains no material previously published or written by another person, nor any material presented for the award of any other degree or diploma of NIT Rourkela or any other institution. Any contribution made to this research by others, with whom I have worked at NIT Rourkela or elsewhere, is explicitly acknowledged in the dissertation. The works of other authors cited in this dissertation have been duly acknowledged under the section "Bibliography". I have also submitted my original research records to the doctoral scrutiny committee for evaluation of my dissertation.

I am fully aware that in case of any non-compliance detected in the future, the Senate of NIT Rourkela may withdraw the degree awarded to me on the basis of the present dissertation.

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# Abstract

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Switched mode power supplies (SMPS) have emerged as the popular candidate in all the power processing applications. The demand is soaring to design high power density converters. For reducing the size, weight, it is imperative to channelize the power at high switching frequency. High switching frequency converters insist upon soft switching techniques to curtail the switching losses. Several soft switching topologies have been evolved in the recent years.

Nowadays, the soft switching converters are vastly applied modules and the demand is increasing for high power density and high efficiency modules by minimizing the conduction and switching losses. These modules are generally observed in many applications such as laptops, desktop processors for the enhancement of the battery life time. Apart from these applications, solar and spacecraft applications demand is increasing progressively for stressless and more efficient modules for maximizing the storage capacity which in turn enhances the power density that improves the battery life to supply in the uneven times.

Modern trends in the consumer electronic market focus increases in the demand of lower voltage supplies. Conduction losses are significantly reduced by synchronous rectifiers i.e., MOSFET's are essentially used in many of the low voltage power supplies. Active and passive auxiliary circuits are used in tandem with synchronous rectifier to diminish the crucial loss i.e., switching loss and also it minimizes the voltage and current stresses of the semiconductor devices.

The rapid progress in the technology and emerging portable applications poses serious challenges to power supply design engineers for an efficient power converter design at high power density. The primary aim is to design and develop high efficiency, high power density topologies like: buck, synchronous buck and multiphase buck converters with the integration of soft switching techniques to minimize conduction and switching losses sustaining the voltage and current stresses within the tolerable range.

In this work, two ZVT-ZCT PWM synchronous buck converters are introduced, one with active auxiliary circuit and the other one with passive auxiliary circuit. The operating principle and comprehensive steady state analysis of the ZVT-ZCT PWM synchronous buck converters are presented. The converters are designed to have high efficiency and low voltage that is suitable for high power density application. The semiconductor devices used in the topologies in addition to the main switch operate with soft switching conditions. The

topologies proposed render a large overall efficiency in contrast to the contemporary topologies. In addition the circuit's size is less, reliable and have high performance-cost ratio.

The new generation microprocessor demands the features such as low voltage, high current, high power density and high efficiency etc., in the design of power supplies. The supply voltage for the future generation microprocessors must be low, in order to decrease the power consumption. The voltage levels are dripping to a level even less than 0.7V, and the power consumption increases as there is an increase in the current requirement for the processor. In order to meet the demands of the new generation microprocessor power supply, a soft switching multiphase PWM synchronous buck converter is proposed. The losses in the proposed topology due to increasing components are pared down by the proposed soft switching technique.

The proposed converters in this research work are precisely described by the mathematical modelling and their operational modes. The practicality of the proposed converters for different applications is authenticated by their simulation and experimental results.

***Keywords: Soft switching; Zero Voltage Transition; Zero Current Transition; Pulse-width modulation; Synchronous Buck Converter; Multiphase Buck Converter.***

# Notations

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$R_{\text{dson}}$	-On resistance of MOSFET
$C_{\text{oss}}$	-MOSFET Output Capacitance
$V_{\text{phase}}$	-Instantaneous voltage at output
$I_{\text{L}}$	-Load current
$V_{\text{D}}$	-Drain to source voltage in MOSFET
$P_{\text{c}}$	-Conduction loss
$P_{\text{BD}}$	-Body diode power loss
$I_{\text{SD}}$	-Body diode current
$t_{\text{D}}$	-Body diode on time
$T_{\text{Fall}}$	-Fall time
$T_{\text{rise}}$	-Rise time
$f_{\text{SW}}$	-Switching frequency
$Q_{\text{oss}}$	-Output charge of MOSFET
$Q_{\text{rr}}$	-Reverse recovery charge
$P_{\text{MOSFET}}$	-Total loss in MOSFET
$P_{\text{Switching}}$	-Switching loss in MOSFET
$P_{\text{conduction}}$	-Conduction loss
$L_{\text{r}}$	-Resonant Inductor
$C_{\text{r}}$	-Resonant Capacitor
$L_{\text{b}}$	-Auxiliary Inductor
$C_{\text{s1}}$	-Auxiliary Capacitor
$L_0$	-Filter Inductor
$C_0$	-Filter Capacitor
$D_1, D_2, D_3$	-Auxiliary circuit diodes
$V_{\text{in}}$	-Input Voltage
$V_0$	-Output Voltage
$I_0$	-Output Load current
$R_0$	-Output load resistance
$V_{\text{g}}$	-Gate pulse voltage
$V_{\text{g(aux)}}$	-Gate pulse voltage for auxiliary switch
$I_{\text{Lr}}$	-Current through resonant inductor

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$V_{Cs1}$	-Voltage across the capacitor $C_{s1}$
$V_{cr}$	-Voltage across the resonant capacitor $C_r$
$D_{min}$	-Minimum duty cycle
$I_{0max}$	-Maximum current of inductor L
$\Delta p$	-Energy stored in each cycle in the resonant capacitor $C_r$
$L_b$	-Auxiliary circuit buffer inductor
$C_b$	-Auxiliary circuit buffer capacitor
$D_1, D_2$	-Schottky diodes in the auxiliary circuit
$D_{s1}, D_{s2}$	-Body diodes of the MOSFET switches $S_1, S_2$ .
$t_{01}, t_{12}, \text{etc}$	-Time interval between modes
$I_{0(avg)}$	-Average output current
$\tau$	-Time period of one switching cycle
$I_{Lmax}$	-Maximum inductor current
$V_{Crmax}$	-Maximum voltage across capacitor $C_r$
$\omega$	-Resonant frequency
$Z$	-Characteristic Impedance
$t_1, t_2, \text{etc.}$	-Instants of Time in different modes
$L_1, L_2, L_3$	-Filter Inductors
$i_{resonant}$	-Resonant current
$S_1, S_2, S_3 \text{ etc.,}$	-MOSFET switches
$C_{s1}, C_{s3}, C_{s5}$	-Auxiliary circuit capacitors
$R$	-Body diode resistance

# Abbreviations

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SMPS	-Switch mode power supplies
BJT	-Bipolar junction transistor
IGBT	-Insulated -gate bipolar transistor
MOSFET	-Metal-Oxide-Semiconductor Field-Effect-Transistor
PWM	- Pulse Width Modulation
AMD	- Advanced Micro Devices
VR	- Voltage Regulator
VRM	- Voltage Regulator Module
POL	- Point of load
DC-DC	- Direct Current – Direct Current
RR	- Reverse recovery
SR	- Synchronous Rectifier
ZVT	- Zero Voltage Transition
ZCT	- Zero Current Transition
ZCZV	-Zero current Zero voltage
ZVS	- Zero Voltage Switching
ZCS	- Zero Current Switching
EMI	- Electromagnetic Interference
MRC	-Multi-resonant converter
QRC	- Quasi Resonant Converter
QSC	- Quasi-Square-wave Converters
DSP	- Digital Signal Processors
PSIM	- Power Simulation
SBC	- Synchronous Buck Converter
PCB	- Printed Circuit Board

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# Chapter 1

## INTRODUCTION



# CHAPTER 1: INTRODUCTION

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## 1.1 Introduction

Switched mode power supplies (SMPS) have become standard candidate in most of the power processing applications. The design demand is forever moving towards higher power densities. The designer has to find the right tradeoff among efficiency, size, weight, thermal design, EMI issues and cost. Higher power density requires higher switching frequency. Higher switching frequency leads to high switching loss and associated problems. The concept of soft switching addresses these issues. The analysis and design methodologies of hard switched converters matured in 1970's and 1980's [1]. Good analytical circuit models have come into use out of these efforts [2] - [5]. In contrast to hard switching converters, the soft switching converters offer several advantages. Several families of soft switching converters emerged in the past few decades [6] - [44]. Analysis and modelling methods have been proposed in relation with these topologies [45] - [48]. Different degrees of efficiency improvement and increase in switching frequency have been obtained. As a result, there has been a constant increase in the power density of SMPS in the past few decades.

Resonant switching techniques reduce the switching losses to practically zero; the switching frequency then may be increased to hundreds of kHz to achieve higher power densities. Such converters in general are classified as 'Soft switching converters' [6]. In these converters, the switching transitions occur with zero loss. Exploitation of resonant transitions in power conversion is not new. Resonant circuits were used to provide forced commutation in the thyristor era. The use of such techniques diminished with the introduction of fully controlled switches such as BJTs, MOSFETS and IGBTs. With the demand for higher power density and lower switching loss, there is a renewed interest in the resonant switching techniques. The switching techniques in the resonant converter employ zero voltage switching and/or zero current switching. Soft switching is also referred to as Zero current switching (ZCS) or Zero voltage switching (ZVS) in the literature [6]. In zero current switching, the device turns-on with zero current and turns-off after the current drops to zero. In zero voltage switching, the switch turns-off at zero voltage and turns-on after the device voltage drops to zero.

The concept of resonant switch was first proposed in [12]. The basic resonant switches are 'Zero current switch' and 'Zero voltage switch', shown in fig. 1.1. The 'Resonant switch converters' are obtained by replacing the controllable switches in PWM converters with the 'Resonant Switch'. The resonant switch is the combination of a switch and reactance's. The switch has a series inductance to achieve ZCS or a shunt capacitance to achieve ZVS. The

family of DC-DC converters with resonant switches are known as 'Quasi-resonant converters' (QRC's) [12] - [14].

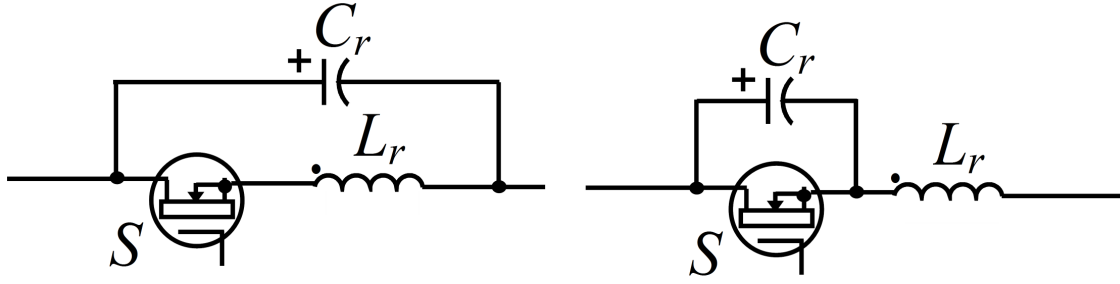


Fig. 1.1: Basic resonant switches (a) Zero current resonant switch (b) Zero voltage resonant switch

Resonant switch converters, employing fig. 1.1 (a) are ZCS QRC's - The current in the switch starts from zero at turn-on. The turn-off of the device is when the current through the device has come down to zero. The devices are designed for high peak current. Conduction loss is high and practically independent of the load current. The loss due to the discharge of the parasitic junction capacitance of the device during turn-on is significant at switching frequencies above 1MHz. Hence, at higher switching frequencies, resonant switch converters employing fig. 1.1 (a) is preferred to ZCS QRC's. Resonant switch converters, employing fig. 1.1 (b) are ZVS QRC's - The turn-on of the device is while the body diode is conducting. During turn-off, the shunt capacitor constraints the device voltage to increase slowly. The active switch in ZVS QRC's is subjected to relatively low current stress. However, the active switch suffers from excessive voltage stress. Quasi-resonant converters are available in a wide variety of topologies [15].

New families of converters called multi-resonant converters (MRC's) were reported in [16]-[17]. The ZVS multi-resonant converter technique uses all parasitics of the power stage. All devices operate with ZVS. This substantially reduces the switching losses. Both active and passive switches suffer from voltage and current stress, higher than in PWM counterparts. This leads to a substantial increase in the conduction loss. A new family of converters called 'Quasi-Square-wave Converters' (QSC) were reported in [18]. QSC's had reduced voltage stresses and operated with improved efficiency. However, the switches suffered from higher current stress, twice that in the PWM counterpart. In resonant load and resonant switch converters, switching losses are reduced at the cost of conduction losses of the main switch. The output voltage is controlled by varying the switching frequency. When the switching frequency varies, the EMI filters become heavier; this puts additional size penalty on the converter.

In the above converters, the resonant elements handle many times the load current and the circuit voltage. This may be overcome if the resonant elements are not in the direct path of the power flow. Later developments in ZVS/ZCS converters adopted a different strategy- the

resonant elements were away from the main path of the power flow. The resonant elements shaped the switch voltage/current only during the switch transitions. When the switching transitions are over, the circuit reverts back to the PWM mode. The converter achieves soft switching while preserving the characteristics of the PWM converter. In recent years, various soft transition techniques have been proposed to reduce the switching losses. The converters employing soft transition techniques (Zero voltage transition (ZVT) or Zero current transition (ZCT)) are called 'Soft transition converters [19]. These converters achieve soft switching (ZVS or ZCS) through an active auxiliary circuit. This auxiliary circuit becomes active only during the switching transitions. The ZVT technique forces the switch voltage to zero, before the switch is driven on. The ZCT forces the current through the switch to zero, before the switch is driven off.

The main power is not processed in the auxiliary circuit. A good soft transition scheme will have the following features:

- Lower switching losses
- Reduction of switch Current/Voltage
- Soft recovery for the freewheeling diode

These features contribute to higher power density and improvement in the efficiency.

A number of soft transition converters employing ZVT/ZCT have been reported [20] - [34]. All these converters employ an auxiliary network to obtain soft switching for the main switch. These converters achieve ZVS for both the active and the passive switches. The VA ratings of the main switch are same as that of the source voltage and load current. In these converters, the main switch transitions are lossless; auxiliary switch turn-off transition is lossy.

The demands for processing power have been observed in the companies such as Intel and AMD repeatedly self-obsolete themselves with powerful and faster processor chips. These advancements have been made by the increase in the transistor density, which can be fabricated in a particular area of silicon. The processor current requirement increases exponentially over a few decades and in future it may exceed 100A in the different processor applications. The Moore's law which state, "transistor density doubles every twelve months" is successful in the prediction of evolution of microprocessors. Currently, there are millions of transistors and by 2018 there will be billions of transistors on a particular chip. A converter that supplies 120A/0.8V is in need by the year 2018 [185-186].

The power management techniques are introduced in a microprocessor, for modules of transistors in the recent years. The decline of microprocessor supply voltage is one of the solutions. Many company's microprocessors including Intel uses a non-standard power

supply of less than 5V and these voltages is continuously getting decreased. Furthermore, the transistors number increases in the microprocessor that leads to increase in the microprocessor current demand. The low voltage, high current and tight voltage regulation inflict challenges for power supply design of microprocessors. Fig. 1.2 shows the voltage and current variation as per the current demands of the Intel's processors.

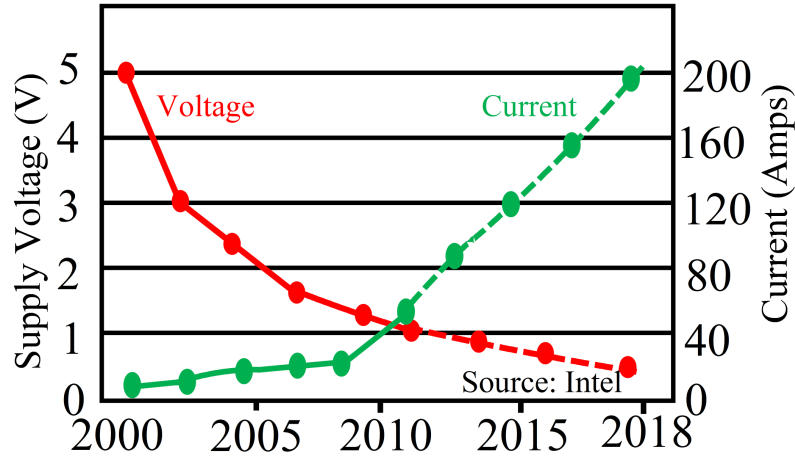


Fig. 1.2: Intel's road map for the processors required current and voltage

## 1.2 Losses in converters

In general losses are bound to be present in the elements of the converter. The loss of each element depends on its operational characteristics. The loss distribution varies widely, but the switching elements play a significant role. The other component losses also cannot be neglected. MOSFET's are well-known switching elements which are the cause for switching and conduction loss. The power loss of the MOSFET is categorized as conduction and switching losses. The calculations applied are approximate, as the losses are neglected during the operation. Conduction loss is the product of current square times the resistance in the switch during conduction, whereas the switching loss is considered to be the overlap of the current and voltage of the switching element. Switching loss is obtained as the product of half the drain voltage and the inductor current during the switching transition time (fall and rise times) [81-83]. Output capacitance  $C_{oss}$ , body diode conduction loss is also other elements which cause the losses in the converter. Output capacitance  $C_{oss}$  loss is calculated as the product of half the  $C_{oss}$  times the square of the input voltage and multiplied by the switching frequency [84-88]. Calculation of output capacitor  $C_{oss}$  loss has been introduced on the basis of a basic energy concept in [87-89]. The output capacitor  $C_{oss}$  is located in between the drain-source and drain-gate, its value is obtained from the datasheet of the MOSFET. Calculation of each element loss is required in order to minimize the respective losses to enhance the overall efficiency. The usage of synchronous rectifier results in the reduction of

the conduction loss, but develops supplemental switching losses. This effect is reduced by the addition of the resonant tank in the converter.

The two basic terms, overlap VI loss and  $C_{oss}$  loss have a relation between  $C_{oss}$  and switching loss obtained as a ratio that can't be segregated. Switching loss is the combination of total switching and  $C_{oss}$  losses i.e.,  $0.5I_L V_D \cdot (T_{fall} + T_{rise})$  [45].  $C_{oss}$  loss has an indirect effect as it affects the rise and fall time period, which inturn affects the switching loss.

The power loss of the MOSFET is given by:

$$P_{MOSFET} = P_{Switching} + P_{Conduction}$$

Conduction losses are calculated as

$$P_{Conduction} = I_{rms}^2 \cdot R_{dsON}$$

Where  $I_{rms}$  is the current through the MOSFET,

$R_{dsON}$  is ON state resistance,

A specific dead time is allowed between two synchronous MOSFET's to avoid current shoot through. This results in the current commutation from MOSFET channel to its body diode, where a negative voltage drop develops between drain-source channels. This time is considered as body diode ON time  $t_D$ . The calculation of body diode loss is obtained by the parameters as follows; the forward voltage drop of body diode  $V_D$ , source to drain body diode current  $I_{sD}$ , the body diode ON time  $t_D$  and the switching frequency  $f_{sw}$ .

$$P_{BD} = V_D I_{sD} t_D f_{sw}$$

The switching loss at the instant of OFF time is calculated by the output charge  $Q_{oss}$  and the reverse recovery charge  $Q_{rr}$  that build the losses during the transition of turning OFF the synchronous rectifier MOSFET. It is given by

$$P_s = V_T \left( \frac{1}{2} Q_{oss} + Q_{rr} \right) f_{sw}$$

$V_T$  is the instantaneous voltage of MOSFET.

Therefore, the losses of the synchronous rectifier are expressed as:

$$P_{SR} = P_C + P_s + P_{BD}$$

Application of soft switching enhances the overall efficiency by the reduction of inevitable losses as shown in fig. 1.3. Many converter topologies are proposed for enhancing the efficiency [91-95].

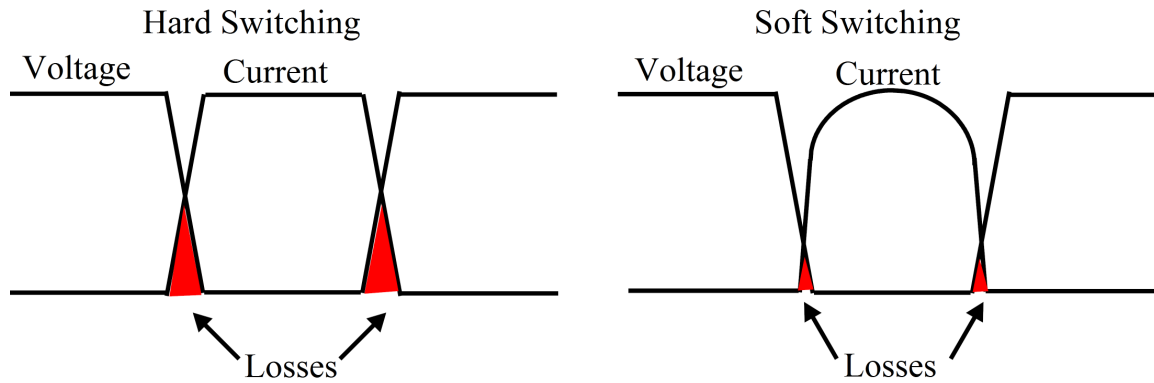


Fig. 1.3: Switching losses in the conventional and resonant converter

### 1.3 Contrast between different resonant converters

Table 1.1 Comparison between the soft switching techniques

Switching technique	Advantage	Drawback	Operating condition
Quasi resonant	decrease the switching stress	Current is discontinuous	Works with variable frequency
Resonant	Operates at zero voltage and zero current to minimize the losses	High voltage and current peaks with greater complexity	Applicable for converters operating under 100 kHz frequency.
Zero voltage Transition (ZVT)	Operates at high frequency and minimizes the parasitic effects	Losses occur due to $C \frac{dv}{dt}$ across the gate are not minimized	During the transition times it works as a resonant converter and rest it operates as a conventional PWM converter.
Zero Current Transition (ZCT)	Minimizes the turn-OFF loss	Losses in the junction capacitance	Operates at the instant of switch, turn-OFF time.
Zero voltage Transition (ZVT) - Zero Current Transition (ZCT)	Eliminates both turn-ON and turn-OFF losses		Operates on both the instances of turn-ON and turn-OFF; acts as a traditional PWM converter for the remaining period.



Research is still in demand to introduce novel topologies, in the recent years the converters embedded with quasi-resonant, resonant and ZVT-ZCT technique is in use [96].

Each soft switching technique has its own significance as per the application. In a resonant converter, a regular PWM converter is added by a resonant element switch network. The converter which emerges has both the properties of the resonant switch network and PWM converter.

## 1.4 Motivation

The huge demand of power converters for portable electronic circuitry under various power ratings constitutes solid tests for power supply designers. Increased current demand, low operating voltages and the state of the microprocessor based or microcontroller based systems develops new opportunities for power distribution and management. High power density, high efficiency and proper voltage regulation are the issues which become critical if conventional converters are used for low operating voltage. Due to advances in power electronics converter design and control methods, the electrical energy conversion from one form to another with enhancing efficiency and low cost is being made possible. But a tradeoff is considered for some issues such as size, cost, weight and power density, etc., in modern day power electronic circuitry. The advantages in cost, size and performance endorses the power electronic applications broadly in many fields like industrial, residential, aerospace and military in the recent years. According to Moore's law, the number of transistors on an IC has doubled every year. To attain high power density with a large rate of increase in the number of transistors appeals rise in the switching frequency that induces the switching losses.

The increase in the acclaim of low power portable equipment asserts for efficient and high power density converter. The power density of a converter is enhanced by reducing the losses of size of components. The switching frequency is a prime factor for the reduction of the size of the components. The switching frequency is a prime factor for the reduction of the size of the components to a certain extend. Quasi-resonant, resonant, ZVT-PWM, ZCT-PWM, ZVT-ZCT-PWM converter topologies have been proposed in the recent years, in order to reduce the losses. The resonant converter experiences the high current peaks and high voltage across the switching devices. ZVT-ZCT PWM converters are in huge demand since they provide lower voltage and current stresses. This inturn affects the ratings of the device to be higher than the conventional converters. The enhanced efficiency can be accomplished by using the proper topology that alleviates the conduction and switching loss. The modern power electronic converter topologies insist on high efficiency, low cost power supply, high power density for portable applications motivate to design and develop ZVT-ZCT PWM

synchronous buck converters with the addition of active and passive auxiliary circuits at different operating conditions. ZVT-ZCT PWM synchronous buck converters attain high efficiency by the reduction of losses. They also attain low cost and low power rating elements in the converter by reducing voltage and current stresses.

Programmable devices such as microprocessors and DSP's are extensively used in many commercial and industrial applications. In order to meet the demands of these programmable devices, there should be declined in the operating voltage without changing the power consumption, while sustaining the higher operating switching frequency. These demands can be achieved by the use of multiphase synchronous buck converter. Increase in the number of components and switches results in the lower efficiency. Reduction of losses in the multiphase buck converter to accomplish the demand of high efficiency is now a challenging task. These demands motivate to employ the soft switching techniques into the synchronous buck converter to attain high power density with an enhanced efficiency.

## 1.5 Objectives

From the above discussions the thesis objectives are as follows:

- To employ the soft switching techniques in the synchronous buck converter topology using an active auxiliary circuit. The proposed topology should enhance the efficiency for high power density applications. The main aim is to reduce the voltage and current stresses using the auxiliary circuit.
- To implement the soft switching into the synchronous buck converter embedding the passive snubber circuit. A passive auxiliary circuit in the synchronous buck converter should fulfill the demands of high efficiency, high power density maintaining high operating switching frequency. It will also accomplish the objective of reducing high voltage and current stresses.
- To design and develop multiphase synchronous buck converter for modern generation microprocessor power supply unit for point of load (POL) applications at low operating output voltage and high load current by reducing switching losses, voltage and current stresses.

## 1.6 Organisation of the thesis

**Chapter I** presents the background of the work. The significance of loss analysis to measure the degree of performance of a topology is discussed. Comparisons between different soft switching techniques are included. Finally, factors for motivation and objectives have been discussed.

**Chapter II** includes the design and implementation of ZVT-ZCT soft switching technique in the synchronous buck converter to accomplish high efficiency for higher power density applications. An active auxiliary circuit is incorporated in the conventional PWM synchronous buck converter which makes the main switch to operate with ZVS at turn-ON and ZCS at turn OFF. The principle of operation and steady state analysis of the proposed converter has been discussed. The simulation and experimental results that validate the performance of the proposed converter have been presented. To mitigate the disadvantages of active auxiliary circuit such as surplus switching loss and its complex circuitry the active auxiliary circuit is substituted with a simple passive auxiliary circuit.

In **chapter III**, a passive auxiliary circuit introduced into the conventional synchronous buck converter is discussed. The detailed steady state analysis and operating principle are presented. The design details of the auxiliary circuit components are included and a prototype has been built in the laboratory. The performance of the proposed converter is verified by the simulation and experimental results.

**Chapter IV** introduces the implementation of the soft switching technique into the multiphase synchronous buck converter incorporated with an auxiliary circuit for reduction of switching losses. The steady state analysis of the proposed converter has been explained comprehensively. The design and fabrication of the proposed converter have been executed in the simulation and experimental results.

**Chapter V** deals with summary and future work of the buck converters.



## **Chapter 2**

### **PERFORMANCE ENHANCEMENT OF SYNCHRONOUS BUCK CONVERTER WITH INTEGRATION OF ACTIVE AUXILIARY CIRCUIT**

# **CHAPTER 2: PERFORMANCE ENHANCEMENT OF SYNCHRONOUS BUCK CONVERTER WITH INTEGRATION OF ACTIVE AUXILIARY CIRCUIT**

---

## **2.1 Introduction**

In the recent times, the Zero Voltage Transition-Zero Current Transition (ZVT-ZCT) technique applied to the synchronous buck converter emanates as a prior converter that maintains voltage and current stresses within tolerable limits. These modules are found immensely in the high- power applications. In addition to that, the solar and spacecraft applications demanding efficient DC-DC modules for improving the storage capacity which is to be used in intermittent times.

The Zero Voltage Transition (ZVT) – Zero Current Transition (ZCT) technique applied to synchronous buck converter (SBC) reduces the conduction and switching losses which inturn enhances the efficiency. The voltage and current mode soft switching method that has drawn attention in the recent times is Zero Voltage Transition (ZVT) – Zero Current Transition (ZCT) [67, 100-108]. The demand boosts as its operation is close to the PWM converters and in addition to that, provides low conduction and switching losses. The auxiliary circuit of the Zero Voltage Transition (ZVT) – Zero Current Transition (ZCT) converters is activated just before the main switch is made active and culminates after it is accomplished. The ratings of auxiliary circuit components are lower than those in main power circuit as the auxiliary circuit is activated for a small fraction of the switching cycle; this makes a provision for the converter's to shrink its size and cost.

The converters proposed in [102, 105, 109-110]; suffer from hard switching turn OFF that leads to increase in switching losses. The auxiliary switch is turned-off while it is conducting that causes switching losses and electromagnetic inference (EMI) to appear that offsets the advantage of using the auxiliary circuit. The converters proposed in [108-111, 105] have very high current stresses on the main switch. The main converter switch operates with a higher peak current stress and with the more circulating current which results in the need for a higher current rated switch that increases conduction losses. Reduction of switching losses for low power circuits such as synchronous buck is not presented in the [112-127].

Switching losses are reduced by inculcating soft switching function into the standard PWM-converters utilizing the Zero Voltage Transition (ZVT) – Zero Current Transition (ZCT) technique. The auxiliary circuit proposed transfers energy from input voltage source to

output, suitable for high power applications. It also shares the output current stress between the main switch and auxiliary switch. There is no additional voltage and current stress on the main switch and the semiconductor devices used are soft switched.

The various other proposed ZVT-ZCT PWM converters have one of the following flaws:

1. During current conduction through the auxiliary switch, it is turned OFF. This leads to switching losses and EMI to occur that cancel out the advantages using the auxiliary switch. The converters proposed in [102, 110, 187, 188] experience hard-switching turn OFF.
2. The auxiliary circuit affects the main switch of the converter to operate at high peak current stress and more circulating current. This causes to use higher current rating switching device for the main switch that also increases the conduction losses. The converters that are proposed in [25, 32, 44, 49, 98, and 105] suffer with high current stress on the main switch.
3. The components used for auxiliary circuit in the proposed converters [102, 26, 29, 32] contain high voltage and current stresses. The converters proposed in [108, 111] have low current stress on the main switch, but the circuit is complex.

This chapter is organised as follows: section 2.2 describes about the proposed topology. Section 2.3 explains the principle of operation and its operating modes. Section 2.4 provides the design procedure of magnetic elements used. Section 2.5 includes the simulation and experimental results that exposes the features of the proposed converter. In section 2.6, efficiency curve is shown that explains the operation of the converter over wide range of load, also the efficiency curve is compared with conventional and contemporary topologies. Section 2.7 summarises the important features.

## 2.2 Topology description

The circuit scheme of the proposed ZVT-ZCT synchronous buck converter with active auxiliary circuit is shown in Fig. 1. The converter comprises of main switch  $S_1$ , synchronous switch  $S_2$ , filter capacitor  $C_o$ , and filter inductor  $L_o$ . The proposed auxiliary circuit includes an auxiliary switch  $S_3$ , auxiliary inductors  $L_b$  and  $L_r$ , diodes  $D_1$ ,  $D_2$ ,  $D_3$  and a capacitor  $C_r$ .

To simplify the analysis the following conditions are assumed in a switching cycle.

1. The auxiliary capacitor is charged to  $2V_{in}$  and the synchronous switch is conducting before mode 1.
2. Inductor current  $I_{L0}$  is constant and equal to  $I_o$
3.  $L_b$  is much larger than  $L_r$ .

4. All circuit elements are ideal.

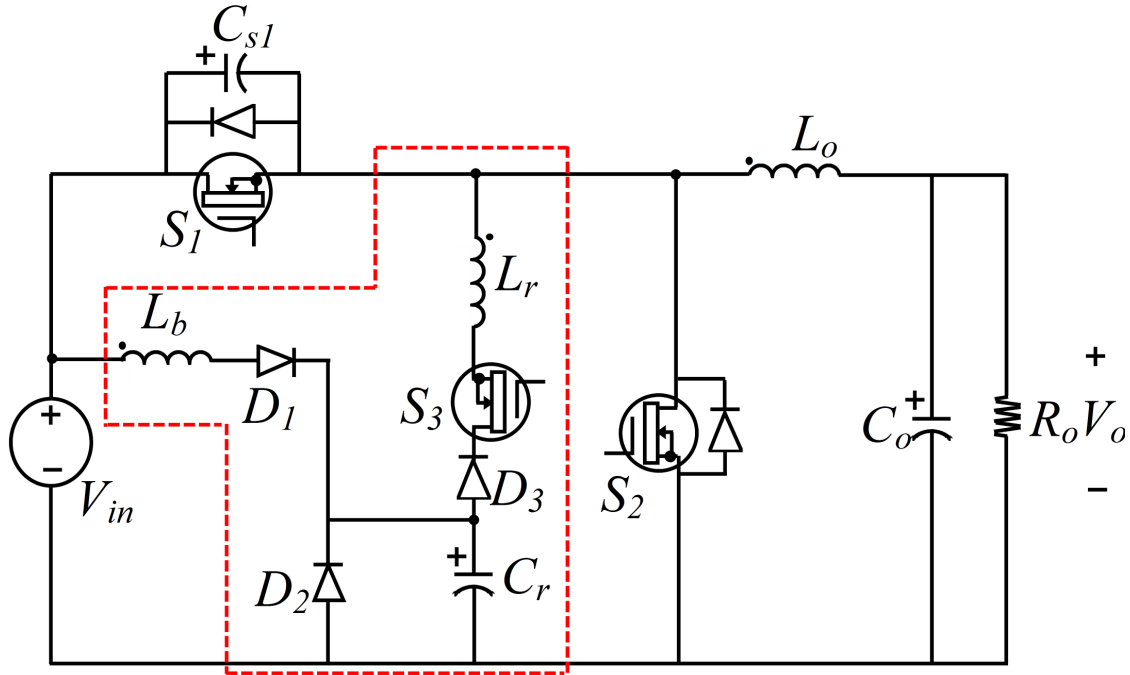


Fig. 2.1: Proposed ZVT-ZCT PWM Synchronous buck converter with active auxiliary circuit

## 2.3 Operational modes

In this section, the operating modes of the proposed converter are comprised of 14 states, considering the different current paths of the elements and switch voltages. The waveforms are presented in fig. 2.2, and the operating mode analysis is explained by the current paths shown in fig. 2.3.

**Mode 1 ( $t_0 - t_1$ ):** In mode 1 auxiliary switch is turned ON, that causes resonance between the auxiliary capacitor  $C_r$  and the auxiliary inductor  $L_r$ . The current of  $L_r$  increases during the resonant period. When  $L_r$  current becomes equal to the output current, the synchronous switch body diode turns OFF under ZCZV condition. Snubber capacitor voltage is constant that is charged to  $V_{in}$ , which causes ZV condition. The  $L_r$  current and  $C_r$  voltage expressions for this mode are:

$$I_{L_r} = \frac{2V_{in}}{z_1} \sin(\omega_1(t - t_0)) \quad (2.1)$$

$$V_{C_r} = 2V_{in} \cos(\omega_1(t - t_0)) \quad (2.2)$$

Where

$$\omega_1 = \frac{1}{\sqrt{L_r C_r}} \quad (2.3)$$



$$Z_1 = \sqrt{\frac{L_r}{C_r}} \quad (2.4)$$

This mode ends when  $L_r$  is equal to the output current. The duration of this mode and capacitor voltage  $C_r$  are given by

$$t_1 - t_0 = \frac{\sin^{-1}\left(\frac{I_o Z_1}{2V_{in}}\right)}{\omega_1} = \Delta t_1 \quad (2.5)$$

$$V_{Cr} = 2V_{in} \cos(\omega_1 \Delta t_1) \quad (2.6)$$

**Mode 2 ( $t_1 - t_2$ ):** In this mode, resonance occurs between  $L_r$ ,  $C_r$  and  $C_{s1}$ . The snubber capacitor discharges during the resonance. In this mode, some part of the energy is transferred to the output. At the end of the mode voltage across  $C_r$  becomes equal to zero.

$$I_{L_r} = \left( I_0 - \frac{I_0}{I_{L_0} C_{s1} \omega_2^2} \right) \cos(\omega_2 (t - t_1)) + \frac{V_{Cr}}{L_r \omega_2} \sin(\omega_2 (t - t_1)) + \frac{I_0}{I_{L_0} C_{s1} \omega_2^2} \quad (2.7)$$

$$V_{C_{s1}} = V_{in} - \frac{1}{C_{s1}} \int_{t_1}^{t_2} (I_{L_r} - I_0) dt \quad (2.8)$$

$$V_{Cr} = V_{Cr} - \frac{1}{C_{s1}} \int_{t_1}^{t_2} (I_{L_r}) dt \quad (2.9)$$

$$\omega_2 = \frac{1}{\sqrt{\frac{L_r C_{s1} C_r}{C_r + C_{s1}}}} \quad (2.10)$$

Calculation the time interval of this mode is complex from (7) and (8). In order to simplify the analysis, the auxiliary capacitor  $C_r$  and snubber capacitor  $C_{s1}$  are assumed to be equal. Therefore

$$C_r = C_{s1} = C \quad (2.11)$$

$$\omega = \frac{1}{\sqrt{L_r C_r}} = \omega_1 = \frac{\omega_2}{\sqrt{2}} \quad (2.12)$$

$$Z = \sqrt{\frac{L_r}{C}} = Z_1 \quad (2.13)$$

$$L_r C \omega_2^2 = 2 \quad (2.14)$$

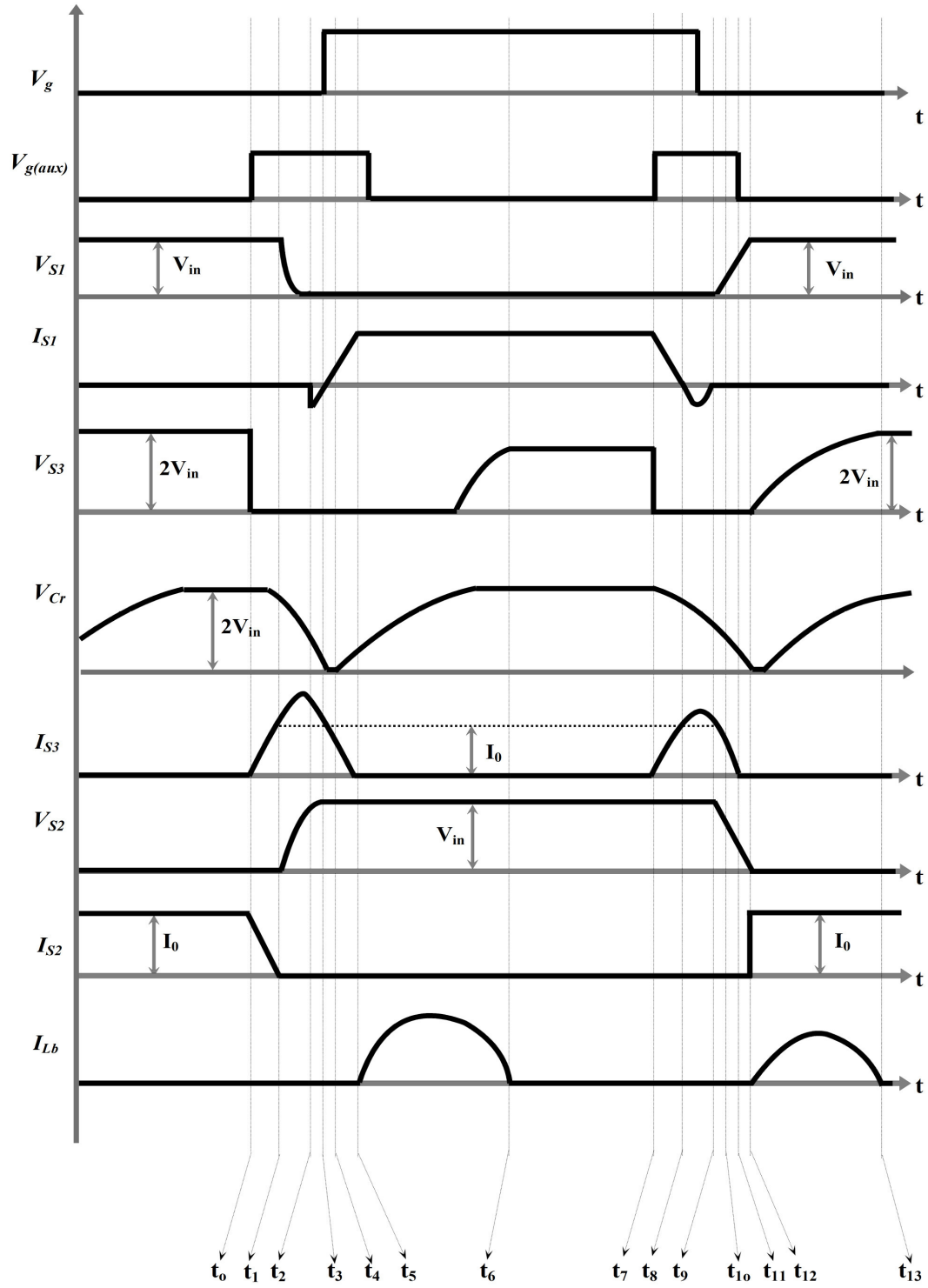
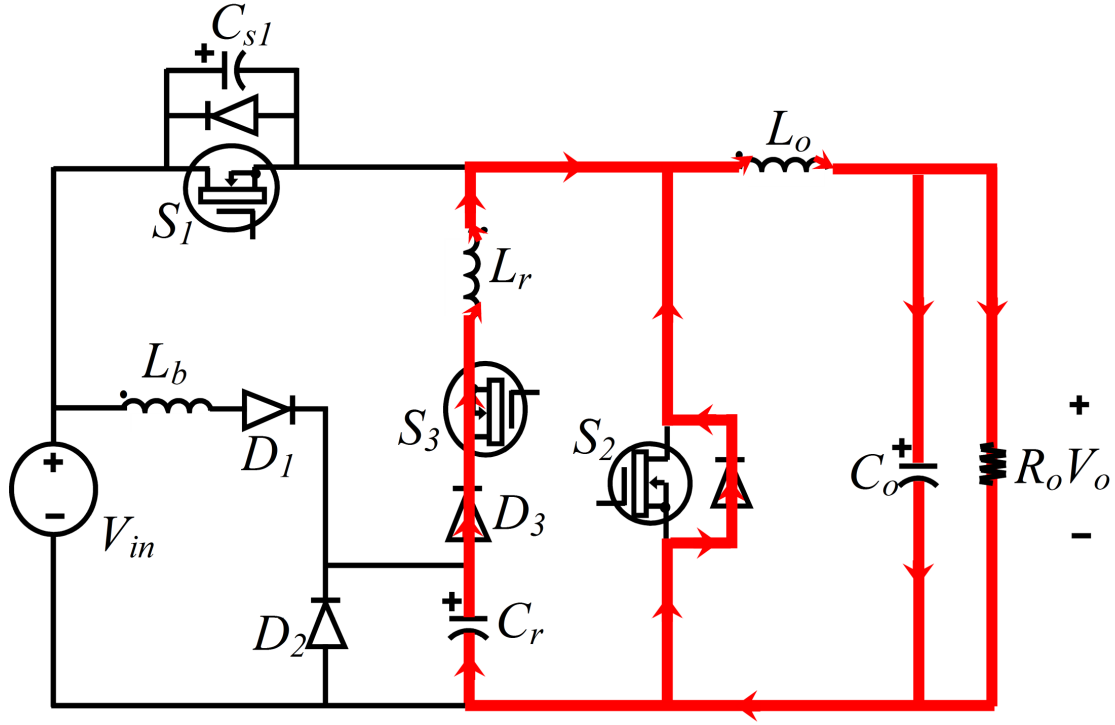
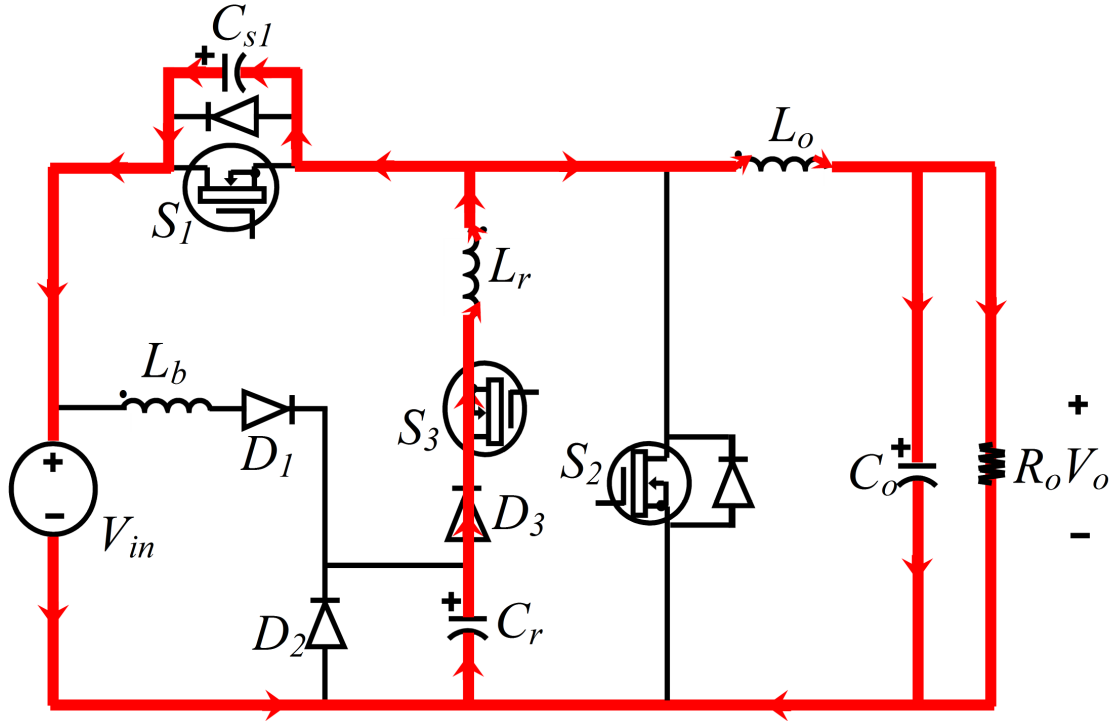


Fig. 2.2: Essential theoretical waveforms of proposed converter

Fig. 2.3 (a): Modes of operation: Mode 1 ( $t_0 — t_1$ )Fig. 2.3 (b): Modes of operation: Mode 2 ( $t_1 — t_2$ )

$$\frac{Z}{L_r \omega_2} = \frac{1}{\sqrt{2}} \quad (2.15)$$

$$\frac{I_0}{C\omega} = I_0 Z = \frac{V_{in}}{1.2} \quad (2.16)$$

The equations (6) and (7) are simplified as follows:

$$V_{Cr} = 1.82V_{in} \quad (2.17)$$

$$\begin{aligned} I_{L_r} &= \left( I_0 - \frac{I_0}{2} \right) \cos(\omega_2(t-t_1)) + \frac{1.82 * 1.2 Z_1 I_0}{L_r \omega_2} \sin(\omega_2(t-t_1)) + \frac{I_0}{2} \\ &= \left[ \frac{1}{2} \cos(\omega_2(t-t_1)) + \frac{1.82 * 1.2}{\sqrt{2}} \sin(\omega_2(t-t_1)) + \frac{1}{2} \right] (I_0) \end{aligned} \quad (2.18)$$

The duration of this interval is obtained as  $0.55\pi/\omega_2$  and also from (8) and (18), the inductor current  $L_r$  and the snubber capacitor  $C_r$  voltage  $1.96I_0$  and  $0.18V_{in}$  respectively. Another resonance between  $L_b$  and  $C_r$  occurs when the voltage of capacitor  $C_r$  falls below  $V_{in}$ .  $L_b$  is assumed to be larger than  $L_r$ ,  $L_b$  and  $C_r$  are under resonance which is very slow and hence its effect can be negligible until the sixth mode.

**Mode 3 ( $t_2 - t_3$ ):** In this mode,  $D_1$  gets forward bias and the resonance between  $C_r$  and  $L_r$  will continue. This mode ends when  $C_r$  is discharged and due to this the main switch body diode conducts. The inductor  $L_r$  current and capacitor  $C_r$  voltage is given by:

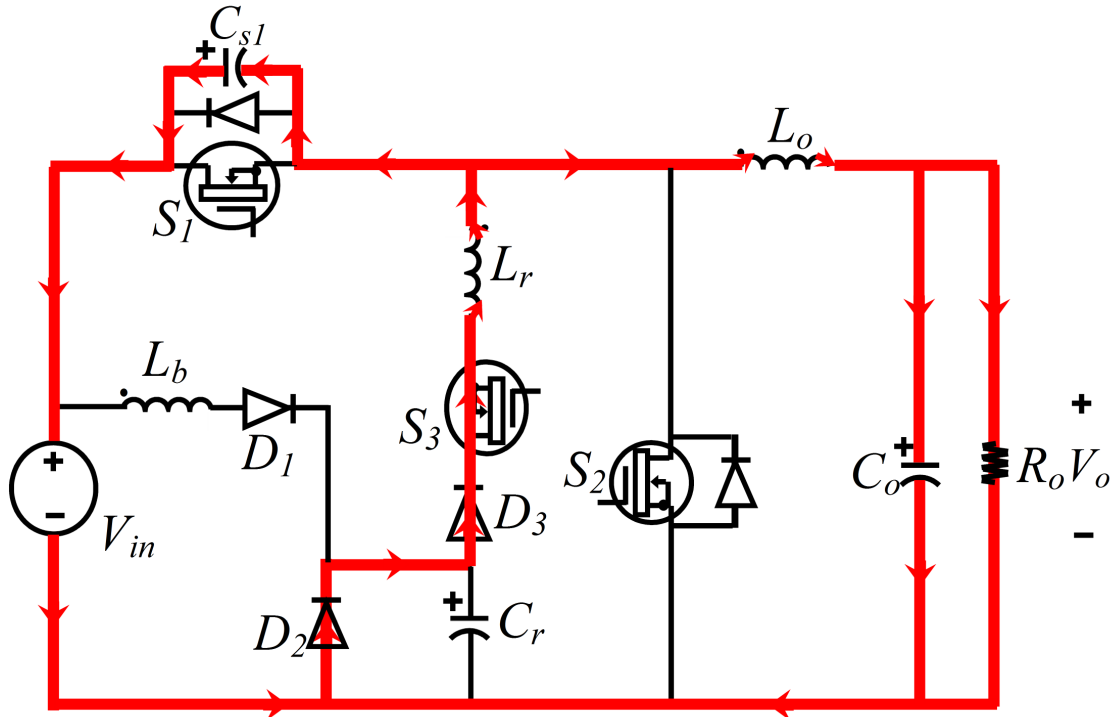
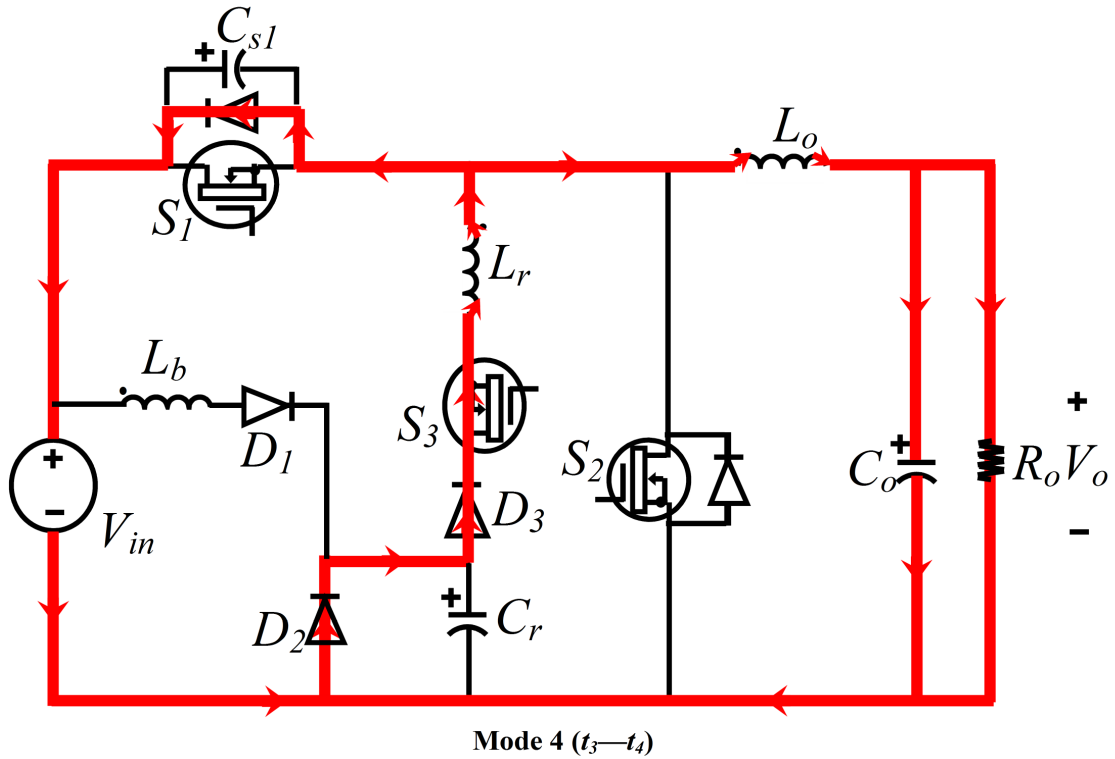
$$I_{L_r} = (1.96 - 1) \cos(\omega_2(t-t_2)) + (0.18 - 1) \sin(\omega_2(t-t_2) + 1) I_0 \quad (2.19)$$

$$V_{Cs1} = 0.18V_{in} - \frac{1}{C_{s1}} \int_{t_2}^{t_3} (I_{L_r} - I_0) dt \quad (2.20)$$

The resonant inductor  $L_r$  current at the end of this mode is  $1.65I_0$  and duration of this mode is given by  $0.99\pi/\omega$ .

**Mode 4 ( $t_3 - t_4$ ):** When the snubber capacitor is discharged, the body diode of the main switch starts conducting and  $L_r$  current decreases linearly. The main switch turns ON at the ZCZV condition before the inductor  $L_r$  current becomes lesser than the output current. The duration of the mode is given by:

$$t_4 - t_3 = \frac{0.65L_r I_0}{V_{in}} = \Delta t_4 \quad (2.21)$$

Fig. 2.3 (c): Modes of operation: Mode 3 ( $t_2 - t_3$ )Fig. 2.3 (d): Modes of operation: Mode 4 ( $t_3 - t_4$ )

**Mode 5 ( $t_4 - t_5$ ):** In this mode main switch is turned ON and the voltage across  $L_r$  is  $V_{in}$  and its current decreases linearly to zero. The main switch current increase linearly from

zero to output current  $I_0$ . At the end of this mode due to ZC condition the auxiliary switch is turned OFF as its current is reduces to zero. The duration of this mode is given by:

$$t_5 - t_4 = \frac{L_r I_0}{V_{in}} = \Delta t_5 \quad (2.22)$$

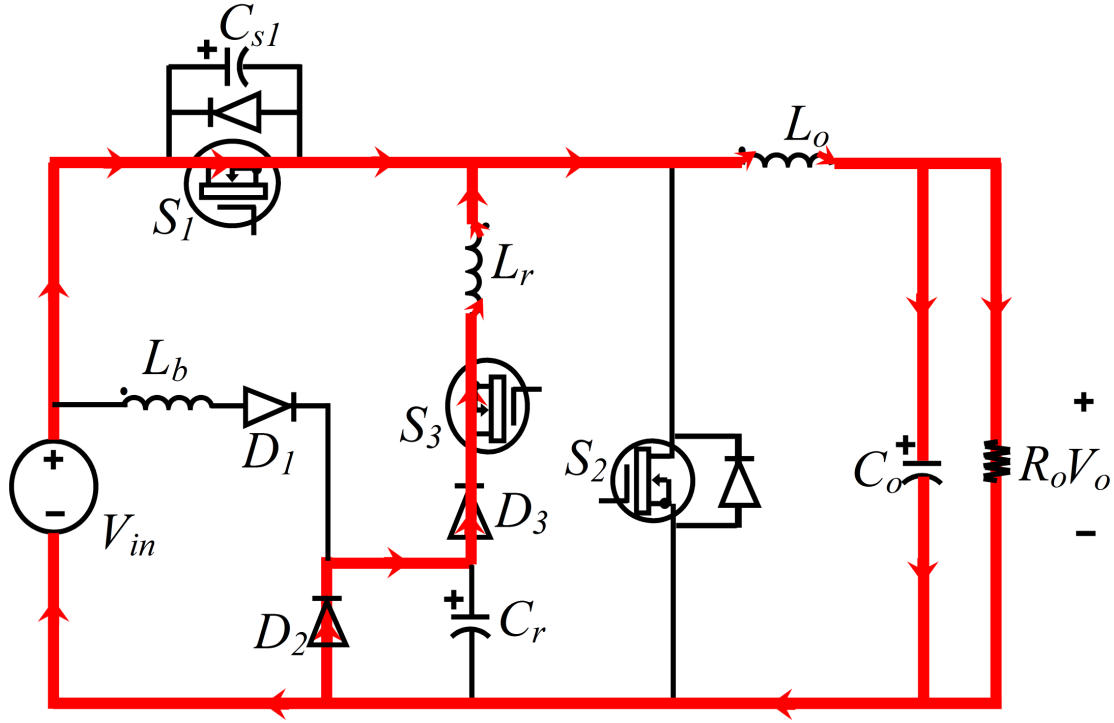


Fig. 2.3 (e): Modes of operation: Mode 5 ( $t_4 — t_5$ )

**Mode 6 ( $t_5 — t_6$ ):** In this mode due to the slow resonance of the inductor  $L_b$  and capacitor  $C_r$  the auxiliary capacitor is charged to  $2V_{in}$ . The inductor current  $I_{Lb}$  and capacitor voltage  $V_{Cr}$  are given by:

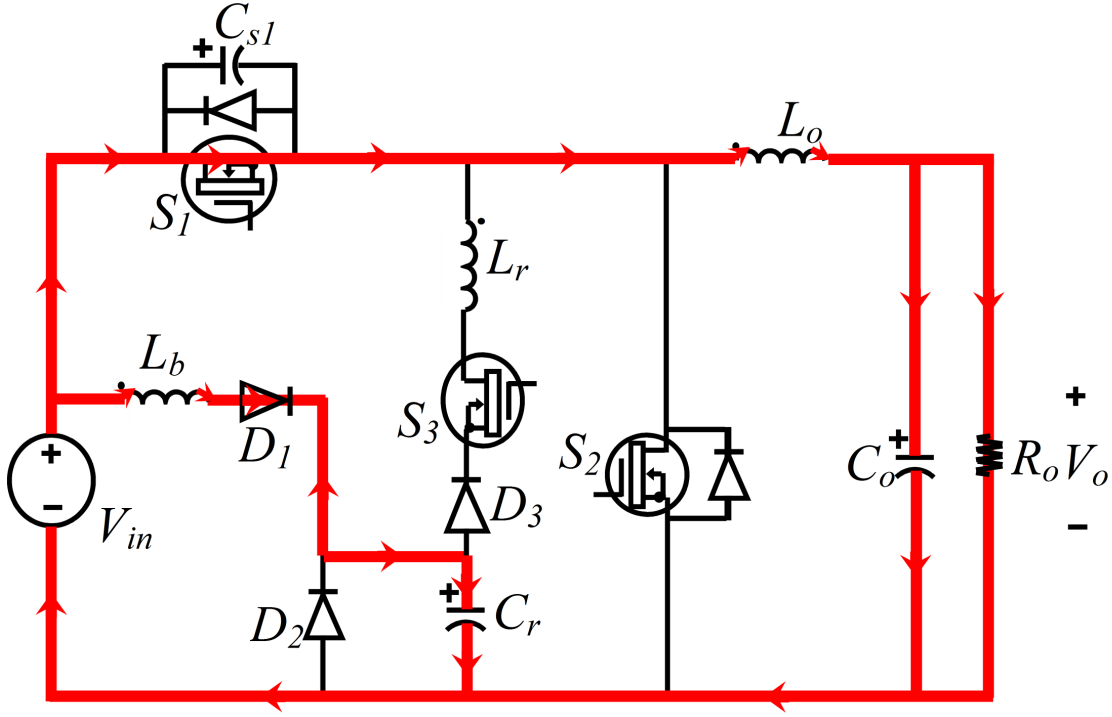
$$I_{L_b} = \frac{V_{in}}{Z_3} \sin(\omega_3 (t - t_4)) \quad (2.23)$$

$$V_{C_r} = V_{in} (1 - \cos(\omega_3 (t - t_4))) \quad (2.24)$$

Where,

$$\omega_3 = \frac{1}{\sqrt{L_b C_r}} \quad (2.25)$$

$$Z_3 = \sqrt{\frac{L_b}{C_r}} \quad (2.26)$$

Fig. 2.3 (f): Modes of operation: Mode 6 ( $t_5 - t_6$ )

Now  $C_r$  is charged to  $2V_{in}$  so that the duty cycle of the converter is reduced to:

$$\frac{D_{\min}}{f} = \pi \sqrt{L_b C_r} \quad (2.27)$$

**Mode 7 ( $t_6 - t_7$ ):** In this mode the converter operates as a regular PWM synchronous buck converter as the resonance between  $L_b$  and  $C_r$  comes to an end.

**Mode 8 ( $t_7 - t_8$ ):** In this mode the auxiliary switch is turned ON and the resonance occurs between  $L_r$  and  $C_r$ . As  $L_r$  is in series with the switch  $S_3$  it is turned ON under ZC condition. The inductor current  $L_r$  increases and the main switch current decreases in this duration of resonance. The equations in this mode are:

$$I_s = I_0 - I_{L_r} = I_0 - \frac{V_{in}}{Z} \sin(\omega(t - t_6)) \quad (2.28)$$

$$V_{C_r} = V_{in} (1 + \cos(\omega(t - t_6))) \quad (2.29)$$

It can be seen that from (2.28) the ZCZV condition for the main switch turn OFF is achieved if:

$$\frac{V_{in}}{Z} \geq I_0 \quad (2.30)$$

By taking into account the 20% over design

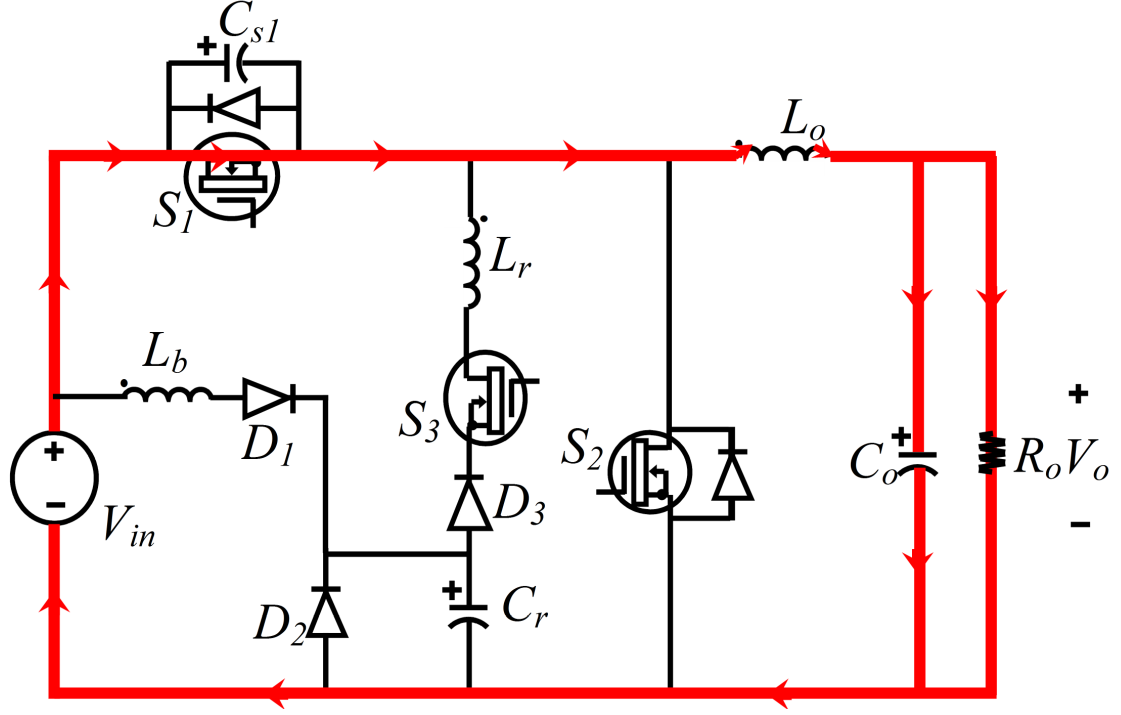


Fig. 2.3 (g): Modes of operation: Mode 7 ( $t_6 — t_7$ )

$$\frac{V_{in}}{Z} = 1.2I_{0max} \quad (2.31)$$

Where  $I_{0max}$  is maximum current of the inductor  $L_0$  at the switch turn OFF. The inductor current  $I_{L0}$  is assumed constant, so  $I_0$  is substituted in place of  $I_{0max}$ .

At the end of this mode the inductor current  $L_r$  reaches  $I_0$  and the duration of this mode is given by  $0.31\pi/\omega$ .

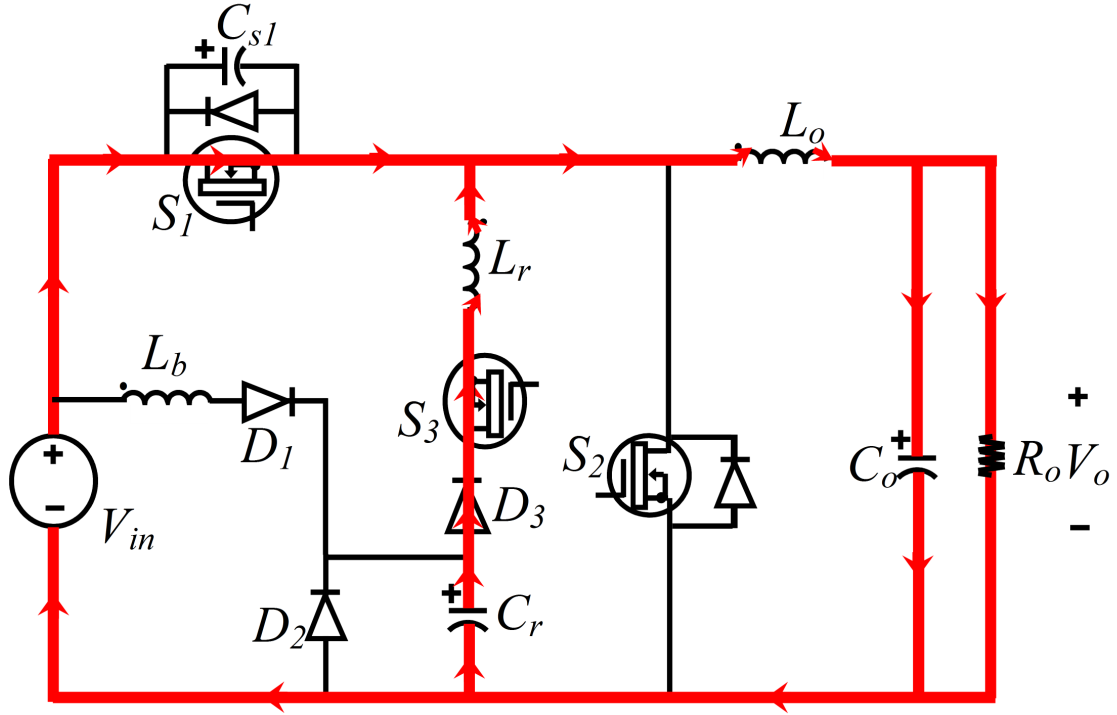
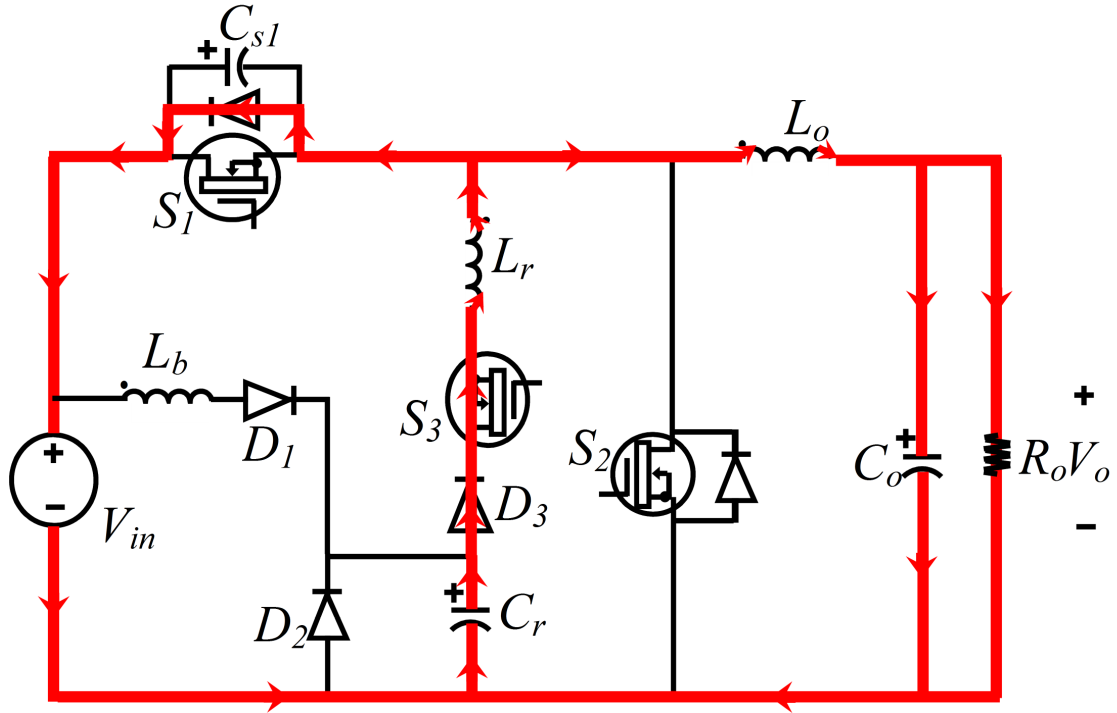
**Mode 9 ( $t_8 — t_9$ ):** In this mode the main switch is turned OFF under ZCZV condition as the body diode of the main switch conducts. The inductor  $L_r$  current declines from its peak value to  $I_0$ . From (2.28), (2.29), and (2.31) the period of this mode is computed as  $0.37\pi/\omega$  and voltage across capacitor  $C_r$  becomes equal to  $0.45V_{in}$ .

**Mode 10 ( $t_9 — t_{10}$ ):** In this mode, the resonance occurs between  $C_r$ ,  $L_r$  and  $C_{s1}$ . The equations of this mode are given by;

$$I_{Lr} = \left( I_0 - \frac{I_0}{I_{Lr}C_{s1}\omega_2^2} \right) \cos(\omega_2(t-t_9)) + \frac{(1-0.45)V_{in}}{L_r\omega_2} \sin(\omega_2(t-t_9)) + \frac{I_0}{L_rC_{s1}\omega_2^2} \quad (2.32)$$

$$V_{Cr} = 0.45V_{in} - \frac{1}{C_r} \int_{t_9}^{t_{10}} (I_{Lr}) dt \quad (2.33)$$



Fig. 2.3 (h): Modes of operation: Mode 8 ( $t_7 — t_8$ )Fig. 2.3 (i): Modes of operation: Mode 9 ( $t_8 — t_9$ )

$$V_{Cs1} = \frac{1}{C_{s1}} \int_{t_9}^{t_{10}} (I_{Lr} - I_0) dt \quad (2.34)$$

At the end of this mode the capacitor  $C_r$  is completely discharged and  $D_2$  is forward biased. The period of this mode is given by  $0.39\pi/\omega_2$ . The inductor  $L_r$  current is  $0.23I_0$  and the voltage across capacitor  $C_{s1}$  is obtained as  $0.25V_{in}$ .

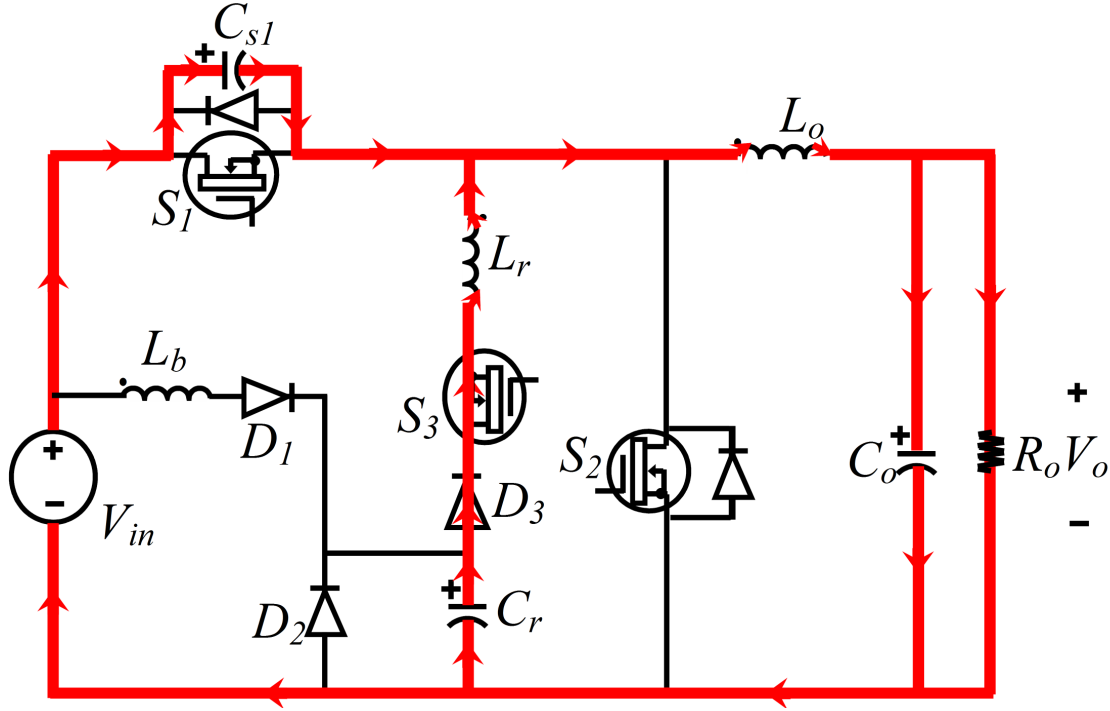


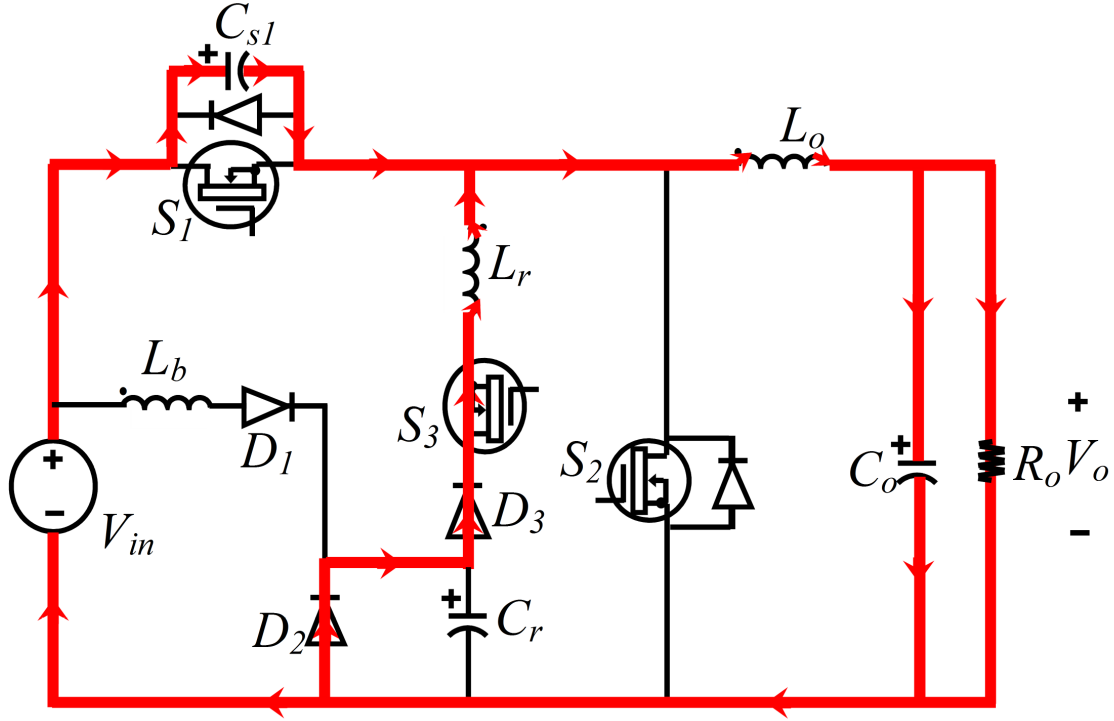
Fig. 2.3 (j): Modes of operation: Mode 10 ( $t_9 - t_{10}$ )

**Mode 11 ( $t_{10} - t_{11}$ ):** In this mode diode  $D_2$  is conducting; the resonance takes place between  $L_r$  and  $C_{s1}$ . At the end of this mode inductor  $L_r$  current becomes zero, the auxiliary switch is turned OFF under ZC condition.

$$I_{Lr} = (1 - 0.23) \cos(\omega(t - t_{10})) + ((1 - 0.25)(1.2) \sin(\omega(t - t_{10})) + 1) \cdot I_0 \quad (2.35)$$

$$V_{Cs1} = 0.25V_{in} - \frac{1}{C_{s1}} \int_{t_{10}}^{t_{11}} (I_{Lr} - I_0) dt \quad (2.36)$$

At the end of this mode the period of this mode is  $0.1\pi/\omega$  and the voltage across  $C_{s1}$  is given as  $0.6V_{in}$ .

Fig. 2.3 (k): Modes of operation: Mode 11 ( $t_{10} — t_{11}$ )

**Mode 12** ( $t_{11} — t_{12}$ ): Inductor  $L_r$  charges  $C_{s1}$  with a constant current to  $V_{in}$ , due to this the body diode of switch  $S_2$  conducts. The period of this mode is given by

$$t_{12} - t_{11} = \frac{(1 - 0.6)V_{in}C_{s1}}{I_0} \quad (2.37)$$

**Mode 13** ( $t_{12} — t_{13}$ ): The synchronous switch is turned ON under ZV condition and due to the resonance between  $C_r$  and  $L_r$ ,  $C_r$  is charged to  $2V_{in}$ . The equations in this period are similar to the mode 5. Prior to turn ON the main switch the  $C_r$  should be charged to  $2V_{in}$ , the minimum switch OFF time is given by:

$$t_{off} = \pi\sqrt{L_b C_r} \quad (2.38)$$

**Mode 14** ( $t_{13} — t_{14}$ ): In this mode, the synchronous switch continues to conduct and the converter operates similar to conventional PWM synchronous buck converter.

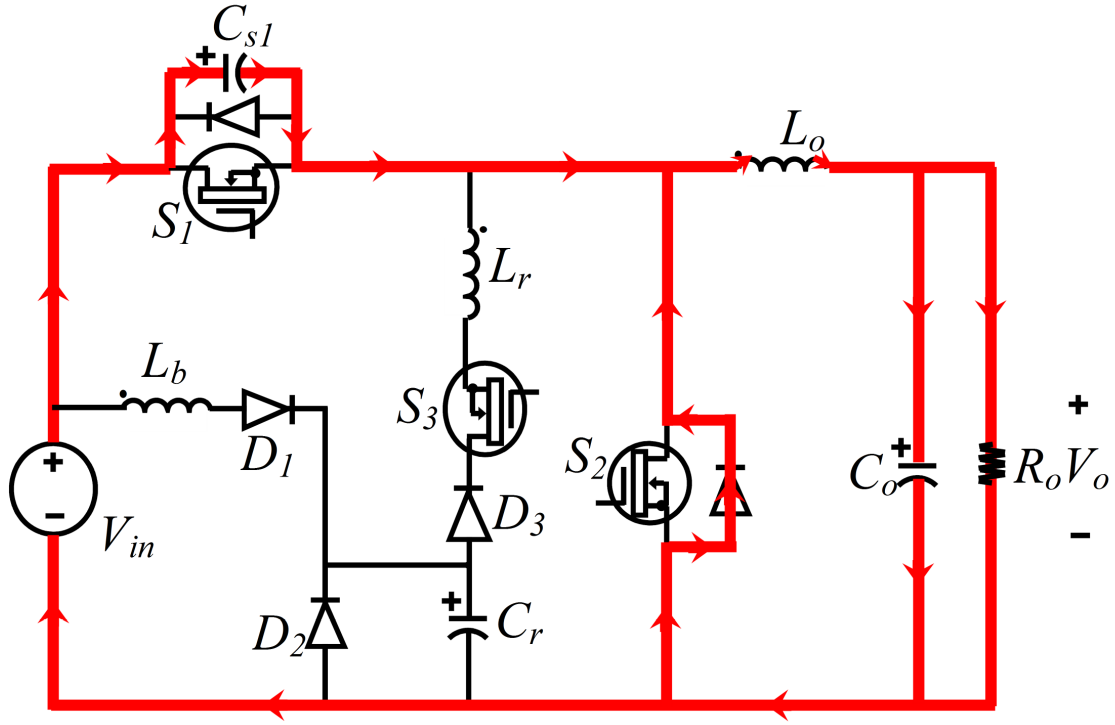


Fig. 2.3 (l): Modes of operation: Mode 12 ( $t_{11} - t_{12}$ )

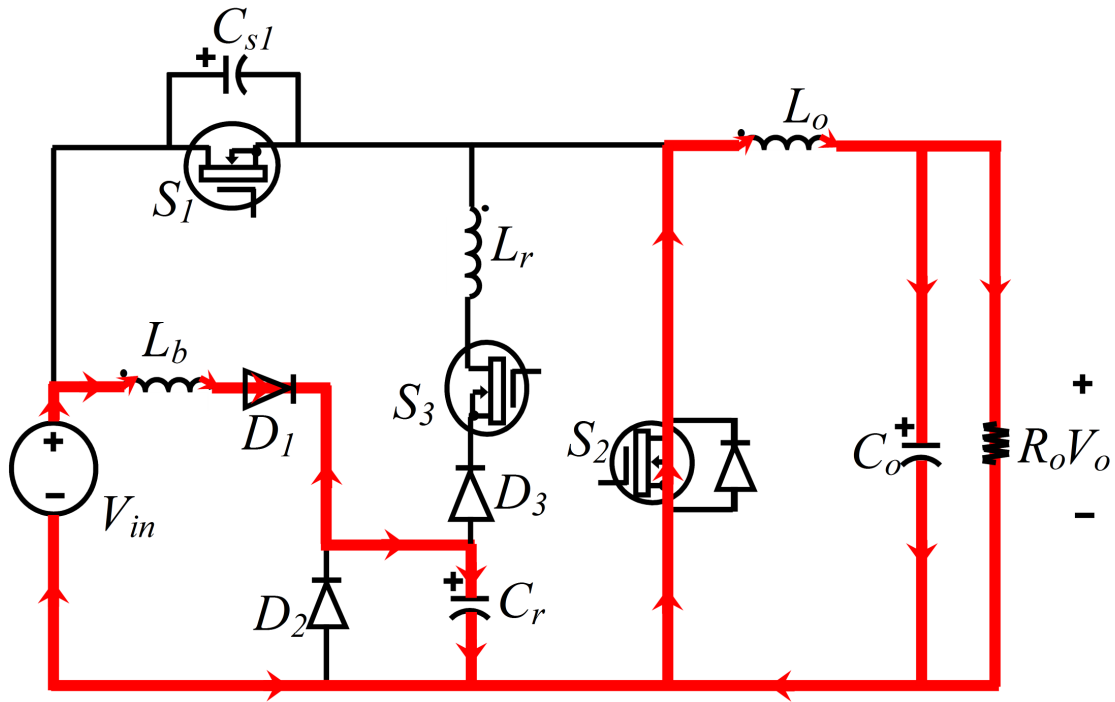
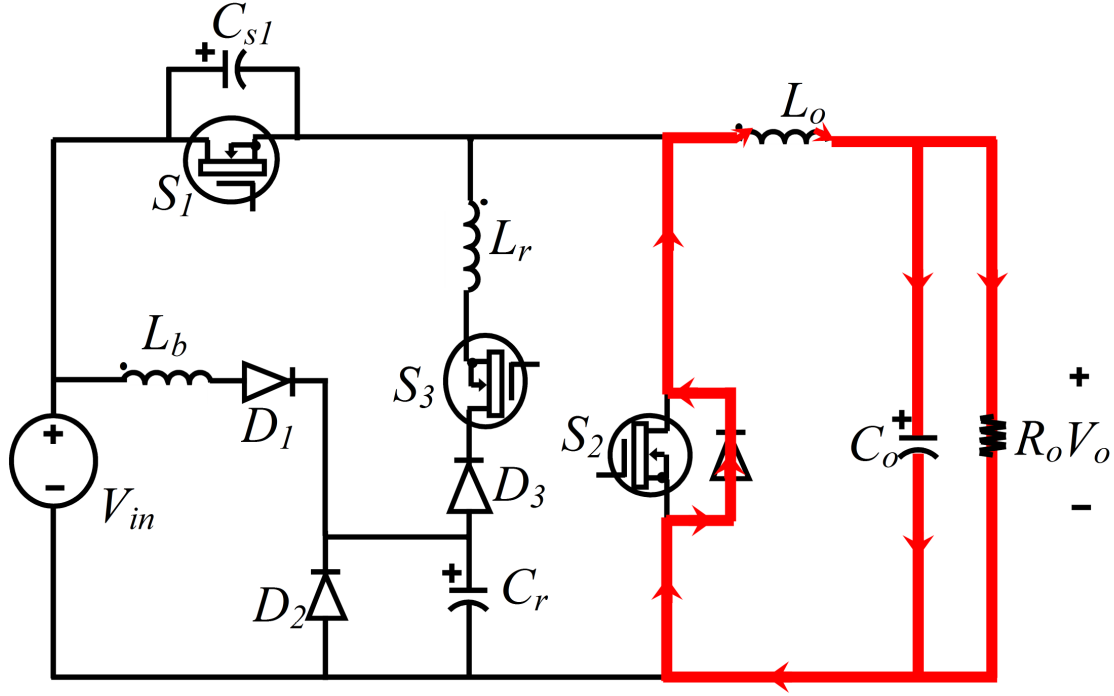


Fig. 2.3 (m): Modes of operation: Mode 13 ( $t_{12} - t_{13}$ )

Fig. 2.3 (n): Modes of operation: Mode 14 ( $t_{13} — t_{14}$ )

## 2.4 Design Procedure

The conventional PWM converter's design is well-known and extensively presented in the literatures. Now, it is time to focus on eloquent aspects of designing the auxiliary circuits.

The snubber capacitor  $C_{s1}$ , the resonant capacitor  $C_r$ , the inductors  $L_r$  and  $L_b$  are the essential components while designing the auxiliary circuit.

### 2.4.1 Snubber Capacitor $C_{s1}$ , Resonant Capacitor $C_r$ and Inductor $L_r$

Snubber capacitor  $C_{s1}$  is chosen to be equal to  $C_r$  and  $L_r$  is chosen to satisfy (2.31).  $C_{s1}$  is not completely discharged when  $C_{s1}$  is selected as greater than  $C_r$  and the soft switching to turn ON the main switch is not achieved. The auxiliary switch, turn OFF condition in the mode11 is not satisfied if  $C_{s1}$  is chosen lesser than  $C_r$ . The effective duty cycle of the auxiliary switch increases as in each cycle some of the energy stored in  $C_r$  is transferred to the output in contrast with the traditional synchronous buck converter. The energy stored in each cycle in  $C_r$  is transferred to the output, exempting some of the parts which are recuperated to the input voltage source while discharging  $C_{s1}$ . This part is computed as

$$\Delta p = \left( \frac{1}{2} C_{s1} V_{in}^2 + \frac{1}{2} L_r (1.65 - 1) I_0^2 + \frac{1}{2} C_r (1.55 - 0.45) V_{in}^2 \right) f \quad (2.39)$$

where the first term is the energy recuperated to the input voltage source in the mode 2 and mode 3, the second exists due to the fourth mode and the third term because of the ninth mode. The remaining energy in the output is given by

$$\Delta p_0 = 2 \cdot \frac{1}{2} C_r \cdot (2V_{in})^2 \cdot f - \Delta p \quad (2.40)$$

The  $C_{s1}$  is designed by using the equation (2.31) i.e.,

$$\frac{V_{in}}{Z} = 1.2 I_{0max} \text{ where } I_{0max} = 15A \text{ (approx.)}, V_{in} = 12V$$

$$Z = \sqrt{\frac{L_r}{C}} \text{ where } C = C_r = C_{s1}.$$

By the above equation either  $L_r$  or  $C$  has to be chosen value. Based on the simulation, experimental study and analysis of the converter  $L_r$  is chosen as  $4\mu H$ . Substituting  $L_r = 4\mu H$  we get  $C_{s1} = C_r = 18nF$  which is adjusted to  $22nF$  for getting satisfactory operating analysis and efficiency enhancement.

## 2.4.2 Inductor $L_b$

$L_b$  should be greater than  $L_r$  is assumed in the theoretical analysis. The simulation results of the converter to operate according to the theoretical analysis as predicted,  $L_b$  is chosen as follows:

$$L_b \geq 5L_r \quad (2.41)$$

## 2.5 Simulation and Experimental Results

The proposed active auxiliary circuit is incorporated with synchronous buck converter with an input voltage  $V_{in} = 12V$ , output current  $I_o = 15A$ , output voltage  $V_o = 5V$ , switching frequency at 100 kHz has been implemented and validated with experimental results. The operating characteristics of the proposed converter are shown by simulation using PSIM co-simulated with MATLAB/SIMULINK environment. Table 2.1 shows the major parameters and components used in the power circuit of proposed converter. Fig. 2.4 (a)-(e) shows the simulation results of the proposed converter and Fig. 2.5 (a)-(e) presents the experimental results that validate the operation of proposed converter. The Fig. 2.7 shows the experimental setup of the proposed ZVT-ZCT PWM SBC.

TABLE 2.1  
COMPONENTS USED FOR PROPOSED CONVERTER

Component	Value/Model
Main switch $S_1$	IRF640
Synchronous Switch $S_2$	IRF640
Auxiliary Switch $S_3$	IRF640
Schottky diode, $D_1$	BYV28-200
Schottky diode, $D_2$	BYV28-200
Schottky diode, $D_3$	BYV28-200
Inductor, $L_r$	4 $\mu$ H
Inductor, $L_b$	50 $\mu$ H
Capacitor, $C_r$	22nF
Output Inductor, $L_o$	100 $\mu$ H
Output Capacitor, $C_o$	100 $\mu$ F

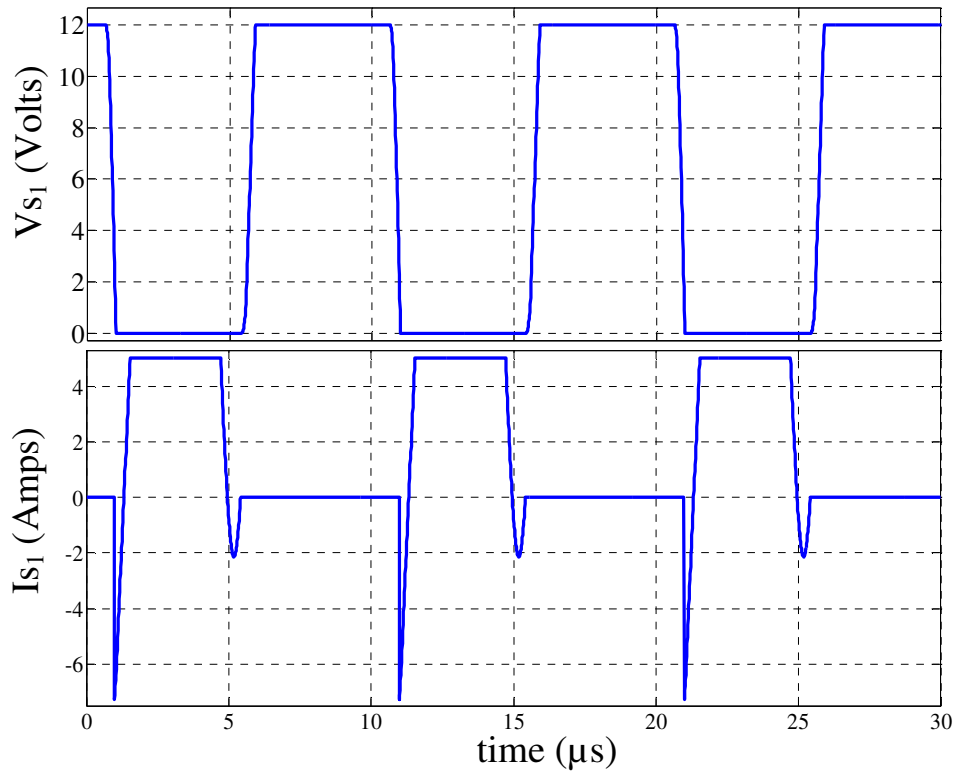


Fig. 2.4 (a): Simulated voltage and current waveforms of main switch  $S_1$ :  $V_{s1}$  in Volts and  $I_{s1}$  in Amps.

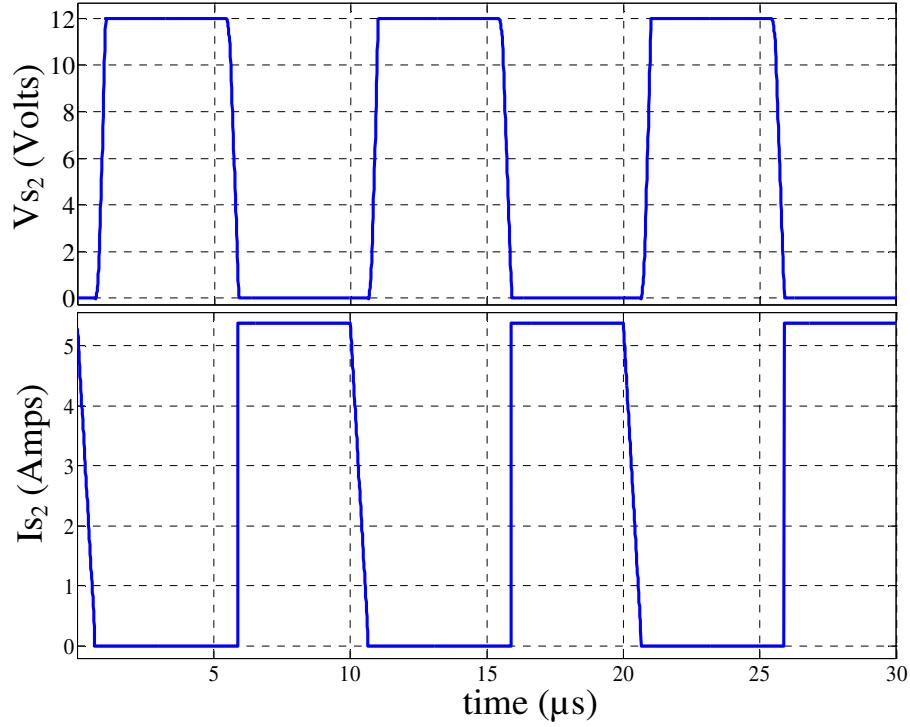


Fig. 2.4 (b): Simulated voltage and current waveforms of synchronous switch  $S_2$ :  $V_{s2}$  in Volts and  $I_{s2}$  in Amps.

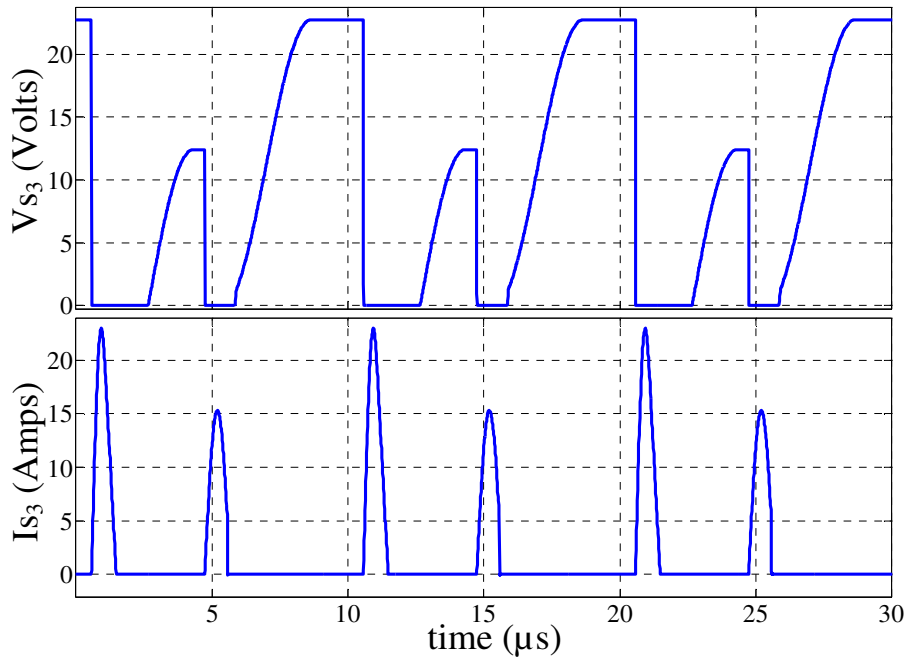


Fig. 2.4 (c): Simulated voltage and current waveforms of auxiliary switch  $S_3$ :  $V_{s3}$  in Volts and  $I_{s3}$  in Amps.



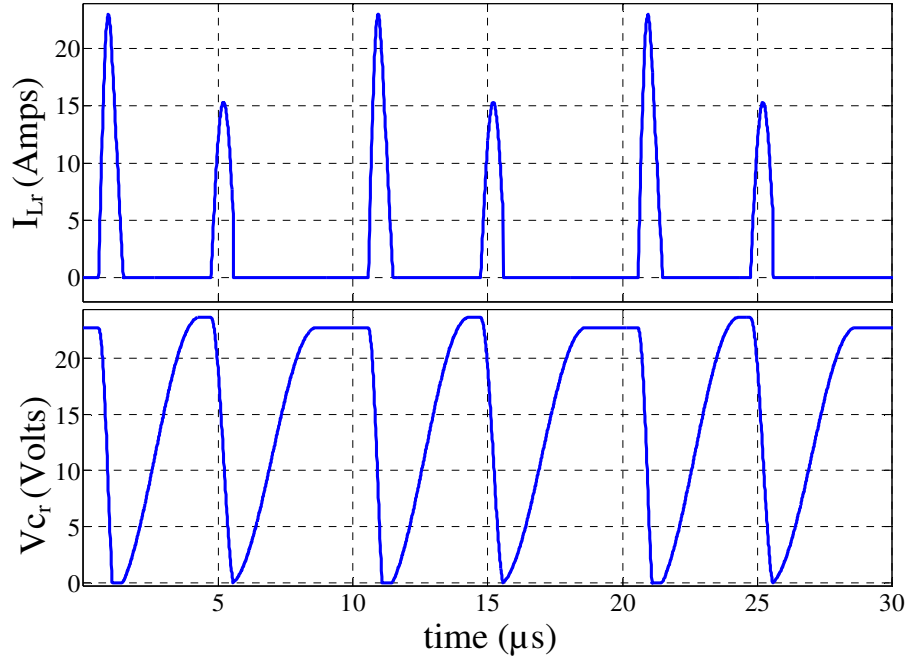


Fig. 2.4 (d): Simulated current and voltage waveforms of inductor  $L_r$  and resonant capacitor  $C_r$ :  $I_{Lr}$  in Amps and  $V_{Cr}$  in Volts.

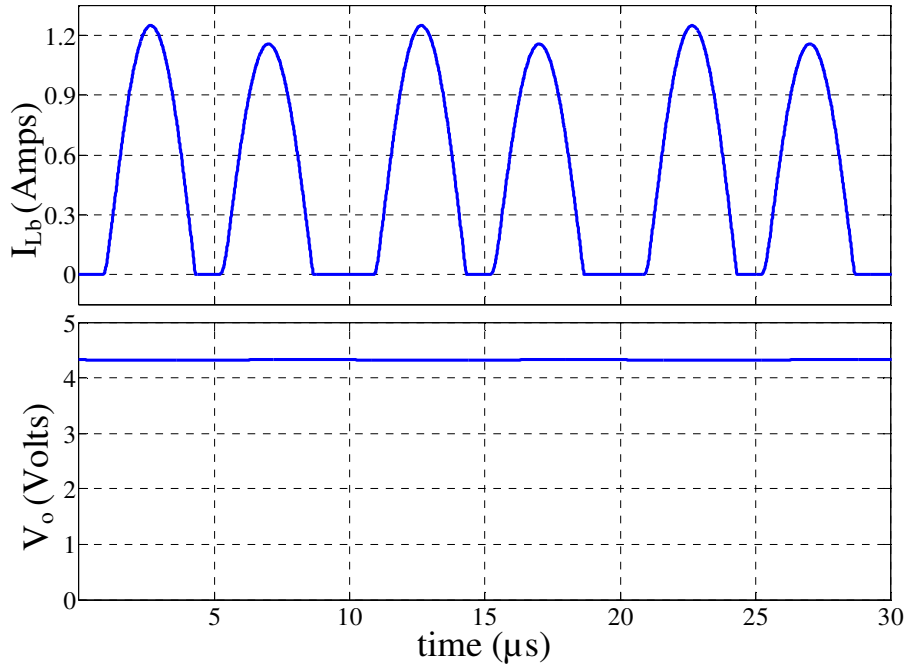


Fig. 2.4 (e): Simulated current and voltage waveforms of inductor  $L_b$  and output capacitor  $C_o$ :  $I_{Lb}$  in Amps and  $V_o$  in Volts.

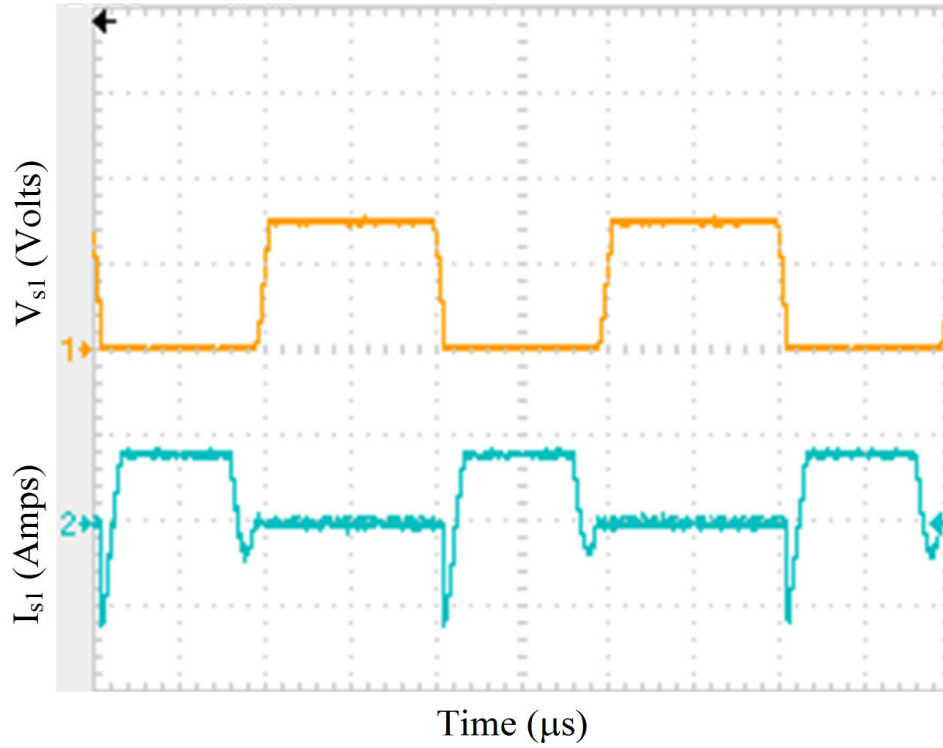


Fig. 2.5 (a): Experimental voltage and current waveform of Main switch  $S_1$ : [ $V_{s1}$ : 8V/Div;  $I_{s1}$ : 5A/Div; time: 2.5 $\mu$ s/Div]

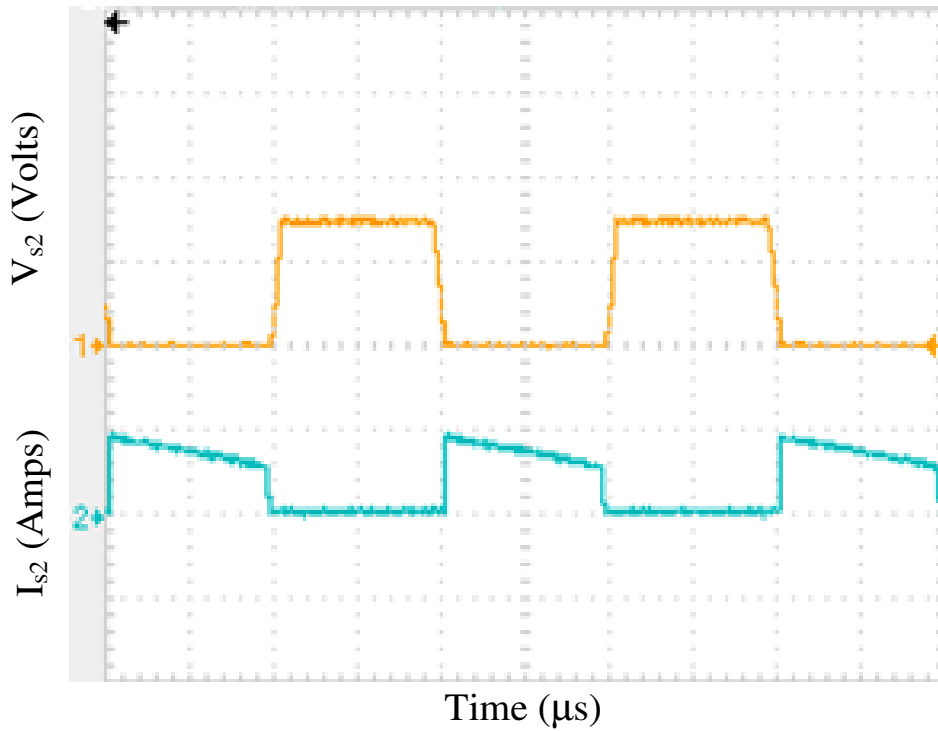


Fig. 2.5 (b): Experimental voltage and current waveform of synchronous switch  $S_2$ : [ $V_{s2}$ : 8V/Div;  $I_{s2}$ : 5A/Div; time: 2.5 $\mu$ s/Div]

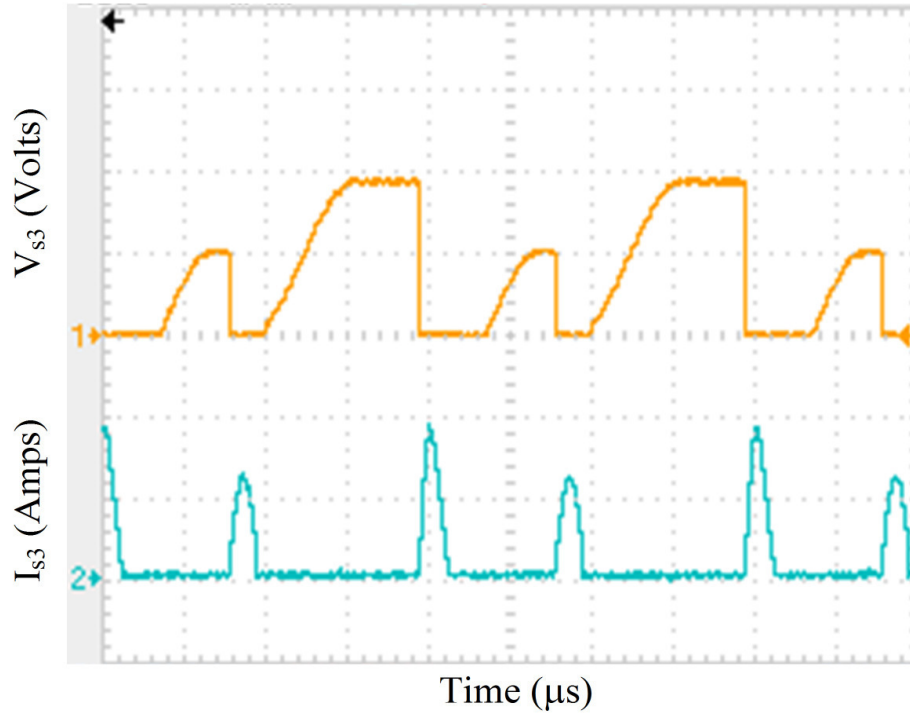


Fig. 2.5 (c): Experimental voltage and current waveform of auxiliary switch  $S_3$ : [ $V_{s3}$ : 12V/Div;  $I_{s3}$ : 12A/Div; time: 2.5 $\mu$ s/Div]

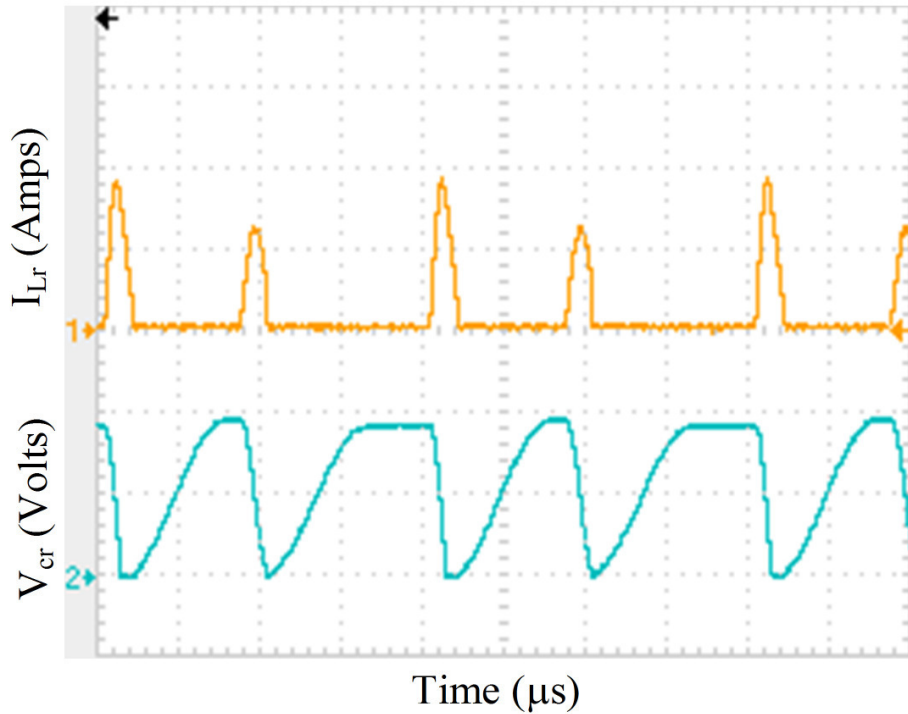


Fig. 2.5 (d): Experimental current and voltage waveform of inductor  $L_r$  and resonant capacitor  $C_r$ : [ $I_{Lr}$ : 12A/Div;  $V_{cr}$ : 15V/Div; time: 2.5 $\mu$ s/Div]

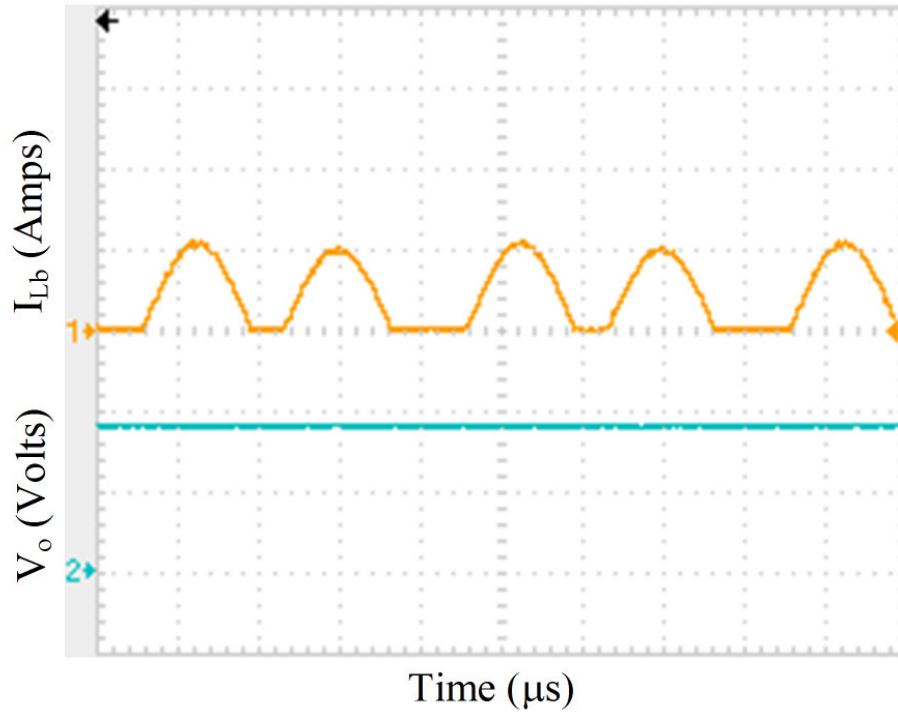


Fig. 2.5 (e): Experimental current and voltage waveform of inductor  $L_b$  and output capacitor  $C_o$ : [ $I_{Lb}$ : 1.5A/Div;  $V_o$ : 4V/Div; time: 2.5us/Div]

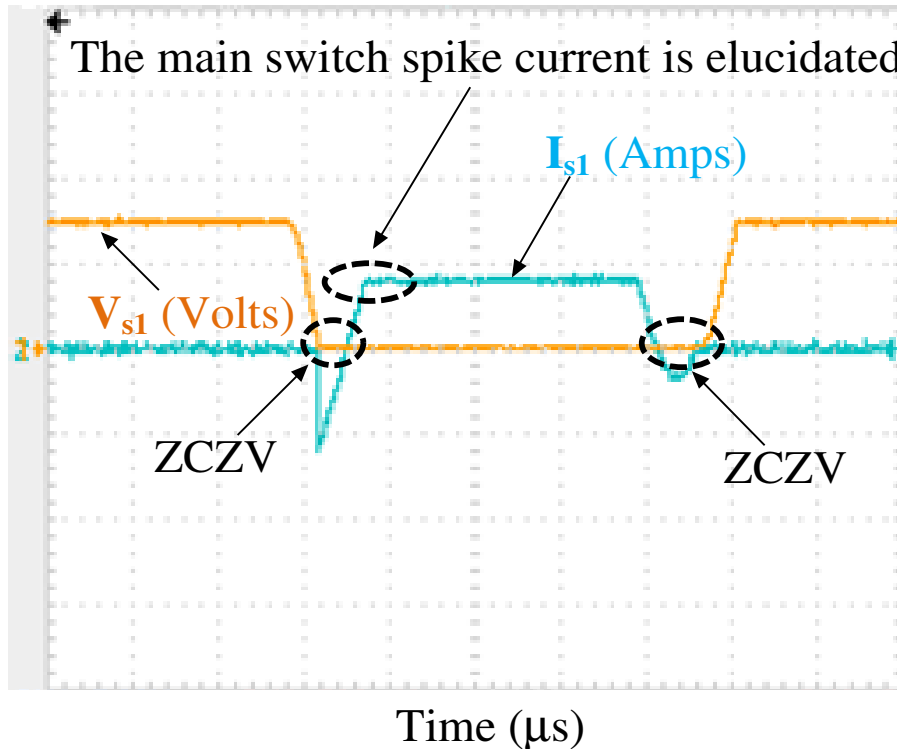


Fig. 2.6 (a): Experimental voltage and current waveforms of main switch  $S_1$  exhibits soft switching conditions [ $V_{s1}$ : 8 V/Div;  $I_{s1}$ : 5A/Div; time: 0.1 $\mu s$ /Div].

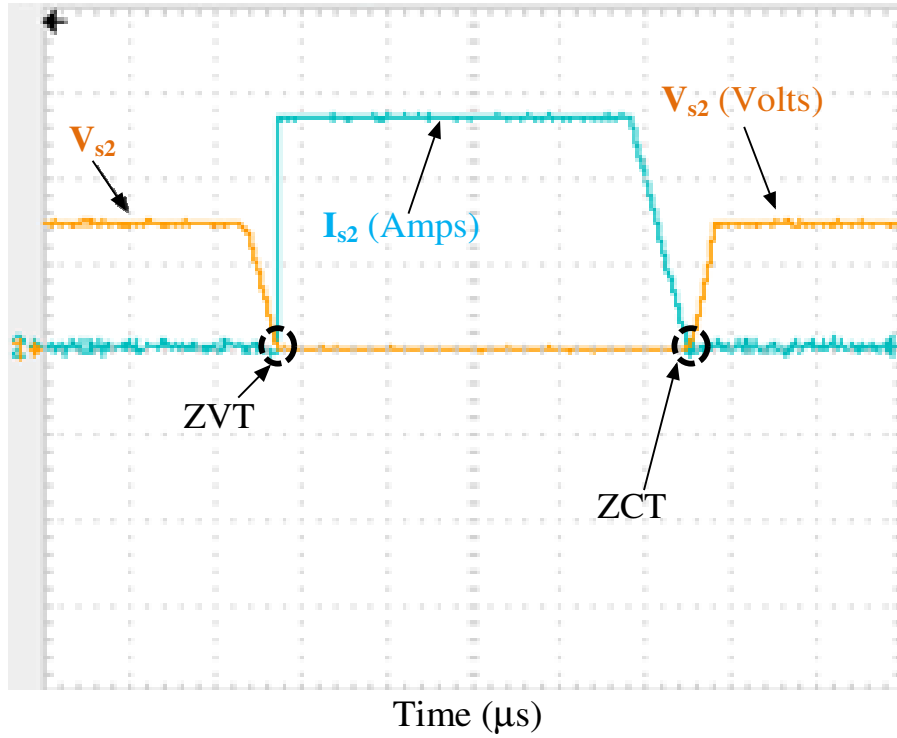


Fig. 2.6 (b): Experimental voltage and current waveforms of synchronous switch  $S_2$  exhibits soft switching conditions [ $V_{s2}$ :8V/Div;  $I_{s2}$ :5A/Div; time: 0.1 $\mu$ s/Div].

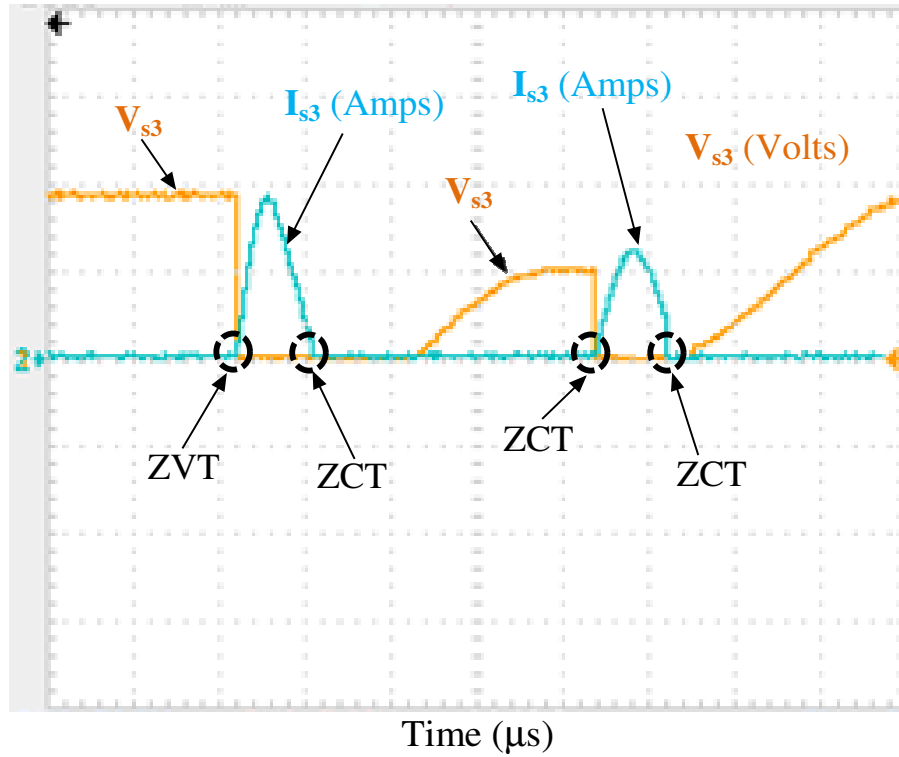


Fig.2.6 (c): Experimental voltage and current waveforms of auxiliary switch  $S_3$  exemplifying soft switching conditions [ $V_{s3}$ :12V/Div;  $I_{s3}$ :12A/Div; time: 0.1 $\mu$ s/Div].



From Fig's. 2.4 (a), 2.5 (a) and 2.6 (a) that the main switch  $S_1$  is turned ON under ZCZV condition when the inductor current  $I_{Lr}$  reaches above the output current and turned OFF under ZCZV condition when  $I_{Lr}$  falls below the output current. Fig. 2.6 (a) signifies that the main switch  $S_1$  spike current fall significantly; the waveform replicates the traditional buck converter. Therefore, the rating of the main switch required for the buck converter is economized by the introduction of ZVT-ZCT operation.

From Figs. 2.4 (b), 2.5 (b) and 2.6 (b) the synchronous switch  $S_2$  is turned ON under ZV condition when resonant capacitor is charged to  $2V_{in}$  during the resonance with  $L_b$  and turned OFF under ZCZV condition when  $L_r$  current become equal to the output current. The synchronous switch has low stress and operates within the tolerable limits.

It is noted from Figs. (2.4 (c), 2.5 (c) and 2.6 (c) the auxiliary switch  $S_3$  operates under soft switching conditions. In the proposed auxiliary circuit the switch conducts twice in one switching cycle. Initially the switch conducts under ZV condition and turns OFF under ZC condition. Later, as the inductor  $L_r$  is in series with switch  $S_3$  it operates under ZC condition when it is turned ON and it is turned OFF under ZC condition when the inductor  $L_r$  current falls to zero. The voltages of main switch  $S_1$  and synchronous switch  $S_2$  are stressless while auxiliary switch  $S_3$  has small overshoot compared to the traditional buck converter.

Fig. 2.8 shows the efficiency comparison of the proposed converter with the traditional buck converter at the same circuit conditions. The proposed converter has the efficiency of

96% at maximum load in contrast to the conventional buck converter. At light loads, the efficiency is increased to 90% compared to the traditional buck converter. From fig. 7 it can be seen that efficiency values of the proposed converter are comparatively higher than the traditional buck converter at different loads.

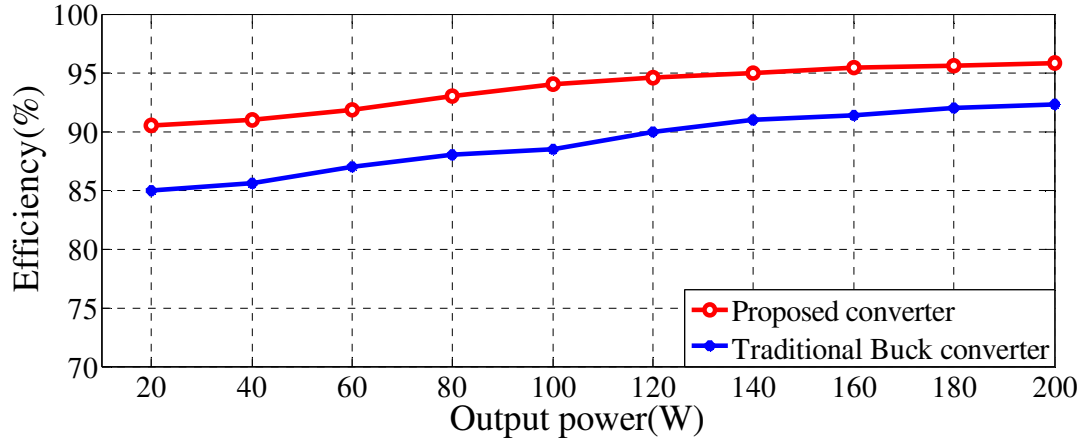


Fig. 2.8: Efficiency curve of the proposed converter in comparison with traditional buck converter

### 2.6.1 Contrast with contemporary topologies

Table 2.2 shows the comparison of recent topological circuits with relatively same operating conditions in comparison to the proposed circuit. As shown in the Table 2.2, the circuits are contemporary ZVT-ZCT PWM topologies [116, 117] are prominent in curtailing the spike of the main switch and diminishing the reverse recovery (RR) problem of the synchronous switch body diode. The RR problem of main diode is eliminated. In [116] the coupled inductor is used in the auxiliary circuits which inturn expands the volume of the total circuit. Compared to [116, 117] the stresses on the main and synchronous switches are negligible. The proposed auxiliary circuit not only exceeds the advantages of the contemporary circuits, but also obliges the switches to operate under soft switching conditions. The RR problem compared to conventional circuits is largely enhanced, besides the efficiency is improved at maximum. Efficiency enhancement relative to the hard-switching converter is shown in fig. 2.8. Fig. 2.11 presents that the efficiency of the proposed ZVT-ZCT SBC is comparatively high with reference to the contemporary topologies. At low loads, the proposed converter and the converters of [116], [117] attaining equivalent performance, but as load increases the performance of proposed ZVT-ZCT SBC is superior to the contemporary topologies. The Table 2.2 and the results proclaim that the proposed auxiliary circuit has accomplished comparable efficiency enhancement over the hard switching circuit to a decent value. The voltage stresses from the results, it is evident that

they are almost equal to the input voltage ( $V_{in}$ ) except the auxiliary switch which is twice the input voltage ( $2V_{in}$ ) though it is outweighed by the performance enhancement of the converter. The proposed converter doesn't use the coupled inductor as [116] and also it has a simple design and is easy to control.

### 2.6.2 Overview of the contemporary topologies [116] and [117]

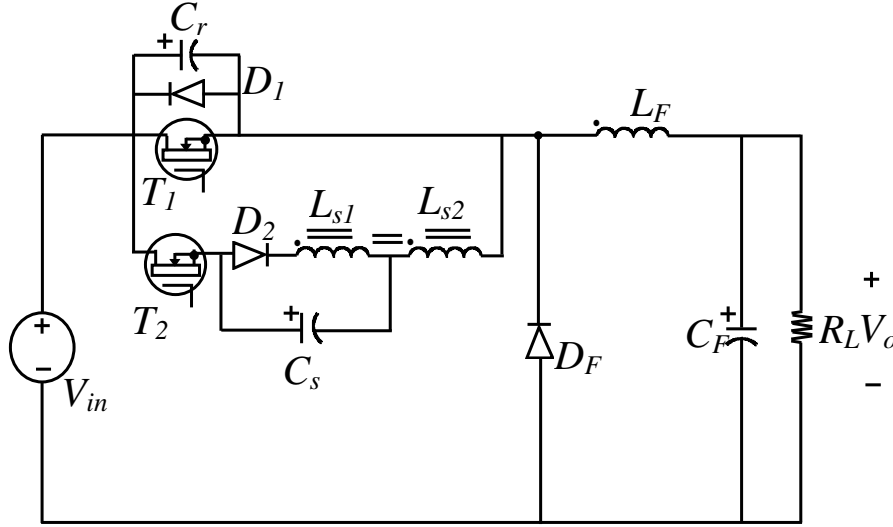


Fig. 2.9: Circuit diagram of the topology proposed by S. Urgan [116]

S. Urgan [116] has proposed a new ZVT-ZCT quasi-resonant buck converter, which ensures soft switching at the zero crossings that provides ZVT turn-ON and ZCT turn-OFF together for the main switch of active snubber cell presented in [116]. The circuit combines most of the advantages similar to the previous published works such as the semiconductor devices used operate under soft switching conditions. The soft switching operation of the new converter is maintained for the whole line and load ranges. The circuit scheme of [116] is shown in the fig 2.9. The active snubber cell consists of a centre tapped and a magnetically coupled snubber inductor ( $L_{s1}$  and  $L_{s2}$ ), snubber capacitor ( $C_s$ ), a main switch ( $T_1$ ), an auxiliary switch ( $T_2$ ), the output filter capacitor ( $C_F$ ), main inductor ( $L_F$ ) and two auxiliary diodes  $D_1$  and  $D_2$ .  $C_r$  is considered to be a parasitic capacitor. The circuit is designed for 200W and 100 kHz frequency. From fig 2.11 it can be seen that at full load the overall efficiency is 96%.

Hong Tzer Yang [117] has proposed a new dual resonant tank circuit for high frequency ZVT PWM DC-DC converters with synchronous rectification. The significance of the circuit is that not only the switching loss of the main switch is reduced but also the switching losses incurred by the synchronous rectifier (SR) are minimized. Further, the SR can be turned-on



with ZVS condition by the proposed resonant tank. The advantages of using SR are thus retained to reduce the conduction loss in place of the diode in the original circuit. The diodes and auxiliary switch in the proposed resonant tank circuit operates with soft switching condition. The circuit of the [117] is shown in the fig. 2.10. The circuit consists of a filter inductor  $L_m$ , main switch  $S_1$  and SR switch  $S_{SR}$ , the dual resonant tank is formed by resonant inductors  $L_{r1}$ ,  $L_{r2}$ , resonant capacitor  $C_r$ , auxiliary switch  $S_a$  and auxiliary diodes  $D_1$ ,  $D_2$  and  $D_3$ . The circuit is designed for 200W, 100 kHz frequency and the efficiency at full load is achieved as 95%.

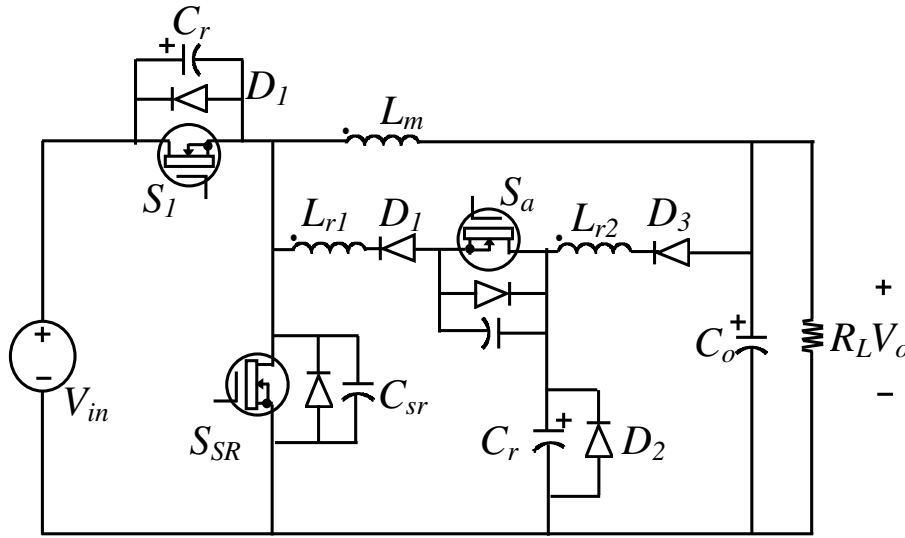


Fig. 2.10: Circuit diagram of the topology proposed by Hong Tzer Yang [117]

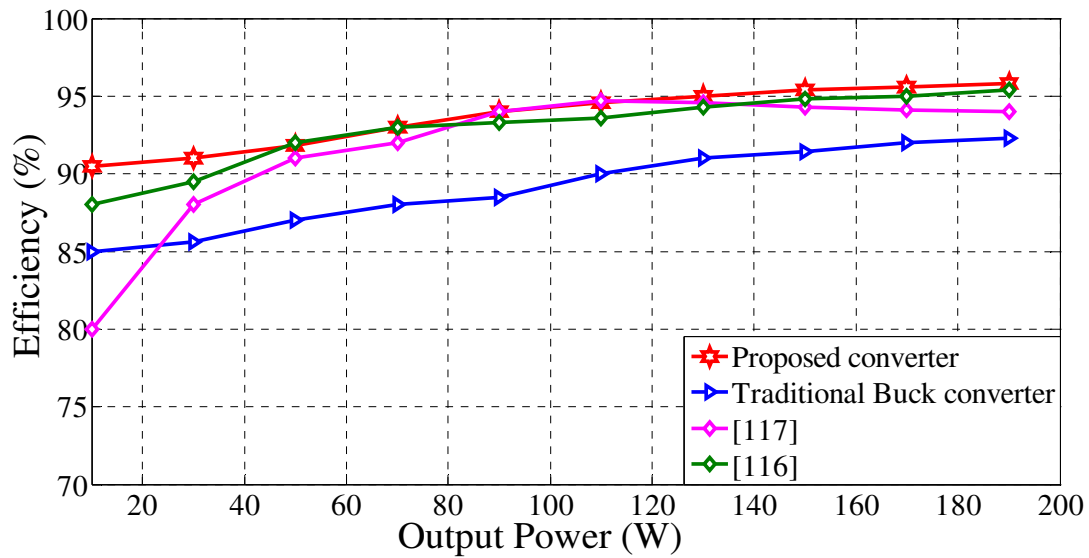


Fig. 2.11: Efficiency curve of the proposed converter in contrast with contemporary topologies

TABLE 2.2  
Contrast with contemporary topologies

	Converter proposed by S. Urgan [116]	Converter proposed by Hong-Tzer yang [117]	Proposed ZVT-ZCT PWM SBC converter
Stresses on main switch (spike current, spike voltage)	Low	Low	Very low
Stresses on synchronous switch (spike current, spike voltage)	Low	Low	Eliminated
Reverse recovery of main diode	Eliminated	Replaced by SR	Replaced by SR
Reverse recovery of SR diode	Eliminated	Eliminated	Eliminated
Overhead of auxiliary circuit			
1. Switch	1	1	1
2. Diode	2	3	3
3. Inductor	0	2	2
4. Capacitor	1	1	1
5. Coupled inductor	1	0	0
Maximum Efficiency improvement over hard switching circuit	4.5	5	7

## 2.7 Summary

A new active auxiliary circuit is incorporated with the synchronous buck converter. The auxiliary circuit provides zero current and zero voltage condition for the main switch while zero current switching condition for auxiliary switch. Due to the embodiment of the auxiliary circuit the voltage and current stresses on the main and the synchronous switch of the converter get pacified as it is evident from the fig. 2.4 (a) and 2.5 (a). The main feature of ZVT-ZCT (Zero-Voltage-Transition- Zero Current Transition) in the proposed converter is attained by the inclusion of auxiliary circuit which is responsible for the reduction of switching losses that inturn enhances the performance of the converter. In addition to that, the voltage and current stresses of the switches are at tolerable limits. The efficiency of the converter is enhanced in contrast with the conventional PWM synchronous buck converter. The performance of the proposed converter is superior to the contemporary topologies as the load increases which depicts from fig. 2.11. The theoretical analysis of the proposed converter is validated by simulation and experimental results.

## **Chapter 3**

**A PASSIVE AUXILIARY CIRCUIT INTEGRATED WITH  
SYNCHRONOUS BUCK CONVERTER FOR PERFORMANCE  
ENHANCEMENT**



# **CHAPTER 3: A PASSIVE AUXILIARY CIRCUIT INTEGRATED WITH SYNCHRONOUS BUCK CONVERTER FOR HIGH CURRENT APPLICATION**

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## **3.1 Introduction**

The previous chapter presents the active auxiliary circuit in a synchronous buck converter that provides soft switching for reducing the switching losses. The soft switching with active auxiliary circuit enhances the performance of the converter, but an additional switch is used in active auxiliary circuit which leads to a complex control and increase in the cost. To overcome such limitations a simple auxiliary circuit consisting of a combination of a diode, a capacitor and an inductor is built.

In the recent years, the synchronous rectifiers are playing a prominent role in reducing the losses in conduction, as MOSFETs are employed for low-voltage applications [99-100, 128-131]. In earlier days, it was difficult to operate the converter above 1MHz, but by the advent of resonant switching, the dominant switching frequency loss is reduced. The inclusion of passive auxiliary circuits in synchronous rectifiers for reduction of switching losses which imposes a tolerable voltage and current stresses on the power switches including alleviating the problem of EMI in the converter.

A great deal of research has been done using passive snubber circuitry in many DC-DC topologies for improving the efficiency, reducing of the losses in switching and conduction to recuperate the energy [132-136]. By the inclusion of passive circuits, the converters tend to shrink in terms of cost, size, and also they are proving to be consistent modules having an eminent performance ratio than the active circuits [137-144].

The proposed circuit in this chapter greatly pacifies the reverse recovery peak current through the diode, turn ON and turn OFF loss of the switch. The demand of higher input voltage, lower output voltages, and inturn higher output currents lead to very low duty cycles and mounting the switching losses, thereby resulting in falling off the conversion efficiency. Thus, the efficiency of SBC is optimized by annihilating the switching losses using a soft switching technique with the assistance of a passive snubber. The operation of ZVT-ZCT converters almost replicate with the PWM converters having low switching and conduction losses have allured the attention in the recent times [90, 113, 116-117, 145-148].

The proposed auxiliary circuit has reduced ratings than the main power circuitry as it is activated for a small segment of time during the switching cycle, which leads to a minimized

switching loss in the auxiliary circuit and thereby improving the converter efficiency as switching losses gets diminished. Many other topologies are presented in the literature. Among them, the high-frequency transformers included in DC-DC converters have also proven to be well known topologies. However, in these converters by the inclusion of high-frequency isolation transformer, the usage of number of power switches increases, usually from four to nine, results in high switching and also conduction losses.

Lowering the switching losses for a low-voltage high-current application with the assistance of a simple passive auxiliary circuit as a snubber with low values of components was not present in the [96-100, 113-117, and 128-151]. Thus, this chapter presents a novel ZVT-ZCT PWM SBC by the addition of resonant auxiliary circuit in the proposed converter that exhibits ZVT, ZCT which curtails voltage and current stresses on the main switch and the synchronous switch.

This chapter is organised as follows: section 3.2 describes about the proposed topology. Section 3.3 explains the principle of operation and its operating modes. Section 3.4 shows the derivation of the output voltage. Section 3.5 provides the design procedure of auxiliary circuit elements used. Section 3.6 includes the simulation and experimental results that exposes the features of the proposed converter. In section 3.7, efficiency curve is shown that explains the operation of the converter over a wide range of load; also the efficiency curve is compared with conventional and contemporary topologies. Section 3.8 summarises the important features.

## 3.2 Topology description

The Fig.1 shows the proposed schematic circuit. The proposed converter is the embodiment of the traditional PWM synchronous buck converter and auxiliary snubber circuit proposed. The Proposed auxiliary circuit is comprised of a resonant inductor  $L_r$ , a resonant capacitor  $C_r$ , a buffer capacitor  $C_b$ , a buffer inductor  $L_b$  and auxiliary schottky diodes  $D_1$ ,  $D_2$ . The utilization of body diodes of  $S_1$ ,  $S_2$  is also done in the proposed converter.

To simplify the analysis of steady-state operations of the proposed converter, the following conditions are assumed in a switching cycle.

1. Output capacitor  $C_o$  and output inductor  $L_o$  are large.
2. Diode's reverse recovery time is negligible.
3. Energy storage components or elements are lossless.

4.  $L_o$  is very large than resonant inductor  $L_r$ .
5. The resonant components or circuits are ideal.

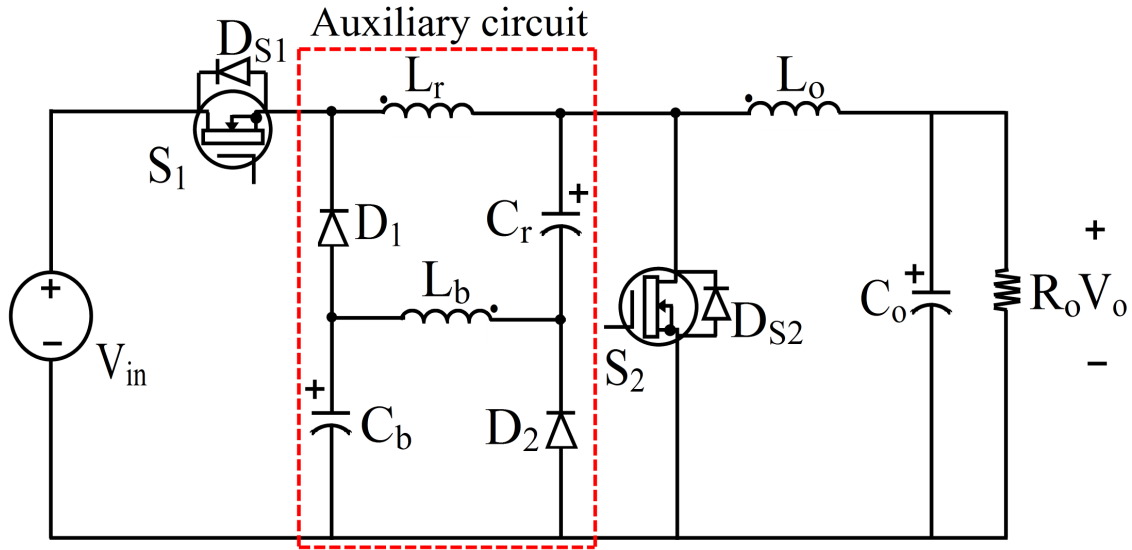


Fig.3.1: Proposed ZVT-ZCT PWM Synchronous buck converter with passive auxiliary circuit

### 3.3 Operational modes

In this section, the operating modes of the proposed converter are distinguished into six, considering the different current paths of the elements and switch voltages. The waveforms are presented in fig. 3.2, and the operating mode analysis is explained by the current paths shown in fig. 3.3.

**Mode 1 ( $t_0 - t_1$ ):** In this mode 1, main switch,  $S_1$  is switched ON. The current path is as shown in figure. At this stage, as the main switch is ON, it experiences zero current turn ON as it is in the series with resonant inductor  $L_r$ , the  $i_{L_r}$  current rises and  $i_{D_{S2}}$  current through the body diode of the switch  $S_2$  falls concurrently at the same instant of time. This mode ends at  $t = t_1$ ,  $i_{D_{S2}}$  becomes zero and  $i_{L_r}$  reaches  $I_{o(avg)}$ .

$$i_s = i_{L_r} = \frac{V_{in}}{L_r} (t - t_o) \quad (3.1)$$

$$i_{D_{S2}} = I_{o(avg)} - i_{L_r} = I_{o(avg)} - \frac{V_{in}}{L_r} (t - t_o) \quad (3.2)$$

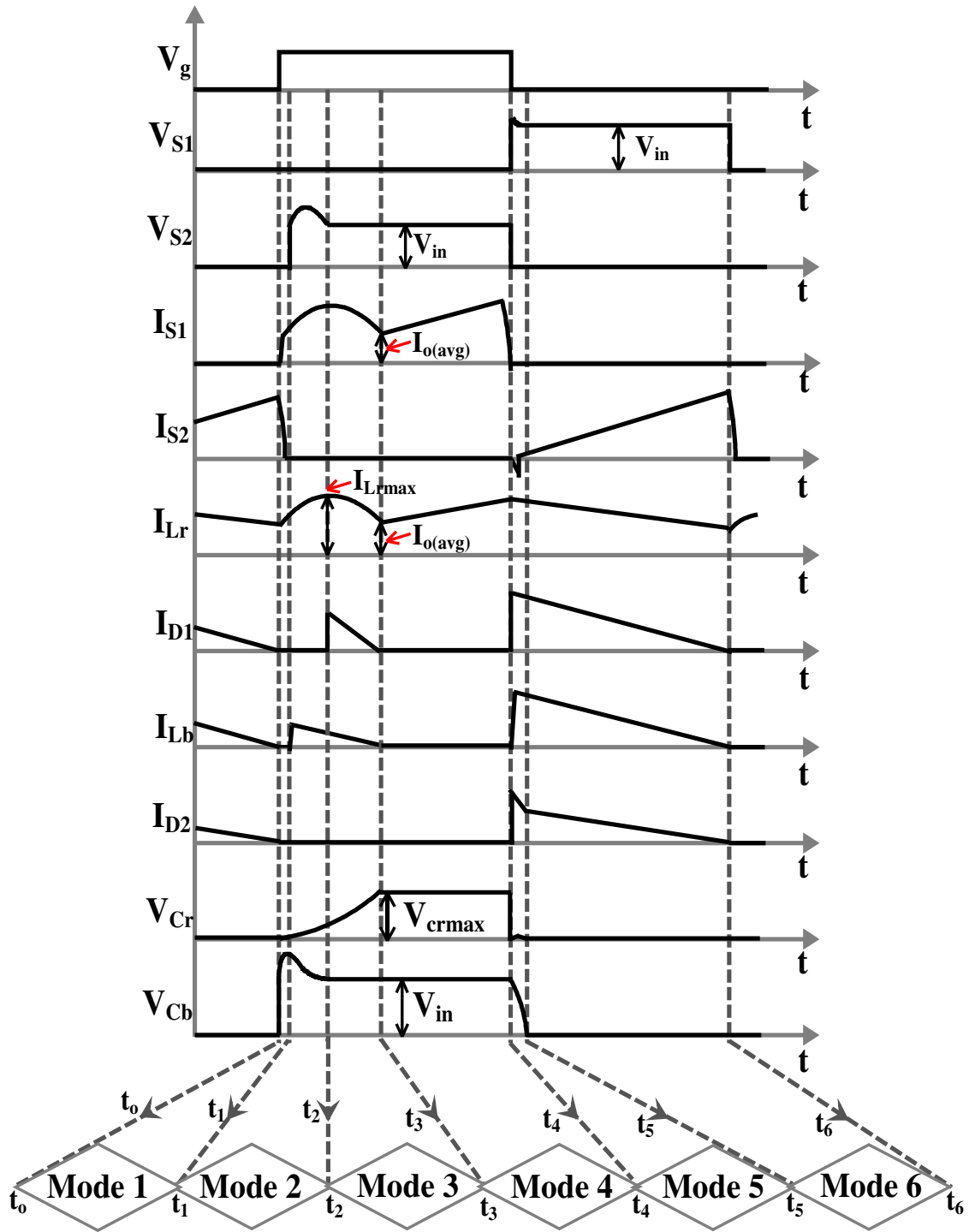


Fig. 3.2: Essential theoretical waveforms of proposed converter



$$t_{0I} = \frac{L_r}{V_{in}} * I_{o(avg)} \quad (3.3)$$

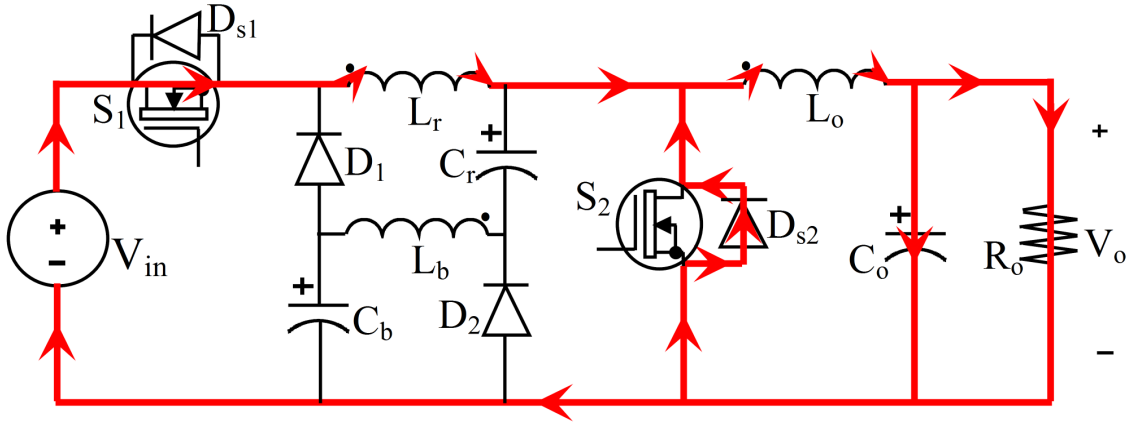


Fig. 3.3 (a): Modes of operation: Mode 1 ( $t_0 - t_1$ )

**Mode 2 ( $t_1 - t_2$ ):** At the instant when the body diode is OFF, the current passes through the resonant circuit forming  $L_r, C_r$  and buffer capacitor  $C_b$ . At  $t=t_1, i_s=i_{Lr}=I_o, i_{D2}=0, V_{cr}=0$  and  $V_{cb}=0$ . In this interval of time, resonance takes place with the inductors  $L_r, L_b$  and  $C_r, C_b$ . This mode ends with the  $C_b$  charged up to the input voltage.

$$i_{Lr}(t-t_1) = I_{o(avg)} + \frac{V_{in}}{Z_x} \sin \omega_x(t-t_1) \quad (3.4)$$

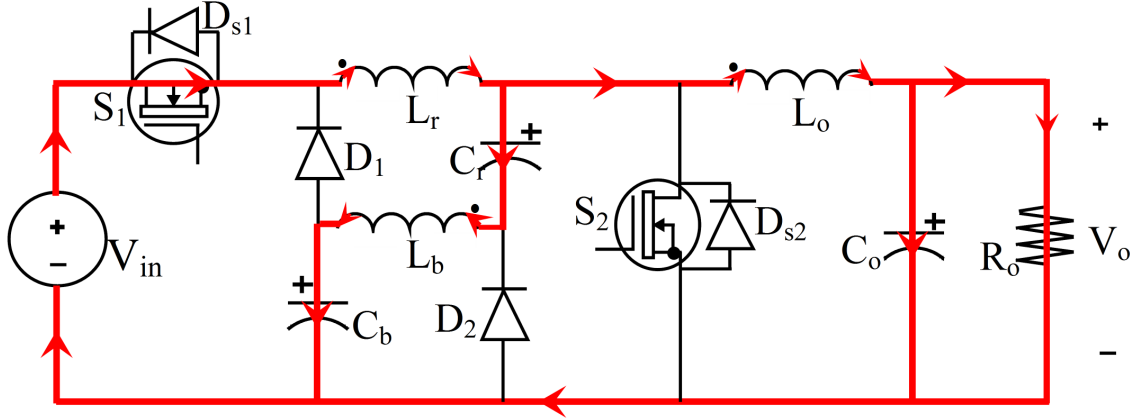
$$i_{Lb}(t-t_1) = i_{Lr} - I_{o(avg)} = \frac{V_{in}}{Z_x} \sin \omega_x(t-t_1) \quad (3.5)$$

$$V_{cr}(t-t_1) = \frac{C_e}{C_r} [-V_{in} \cos \omega_x(t-t_1) + V_{in}] \quad (3.6)$$

$$V_{cb}(t-t_1) = \frac{C_e}{C_b} [-V_{in} \cos \omega_x(t-t_1) + V_{in}] \quad (3.7)$$

Where,

$$C_e = \frac{C_r C_b}{C_r + C_b}, L_e = L_r + L_b, \omega_x = \frac{1}{\sqrt{L_e C_e}}, Z_x = \sqrt{\frac{L_e}{C_e}}$$

Fig. 3.3 (b): Modes of operation: Mode 2 ( $t_1 — t_2$ )

**Mode 3 ( $t_2 — t_3$ ):** In this mode diode,  $D_1$  gets conducted with ZVT at the instant, when  $V_{cb}$  equals to  $V_{in}$ . At  $t = t_2$ ,  $i_{s1} = i_{o(avg)}$ ,  $i_{Lr} = i_{Lr(max)}$ ,  $V_{cb} = V_{cb(max)} = V_{in}$ , and  $V_{Cr} = V_{Cr(x)}$  when  $D_1$  gets forward biased a new resonance takes place between  $L_r$ ,  $L_b$  and  $C_r$ . This stage ends when  $i_{Lr}$  reaches to load current  $I_{o(avg)}$  and  $C_r$  is charged to its maximum voltage  $V_{crm}$ .

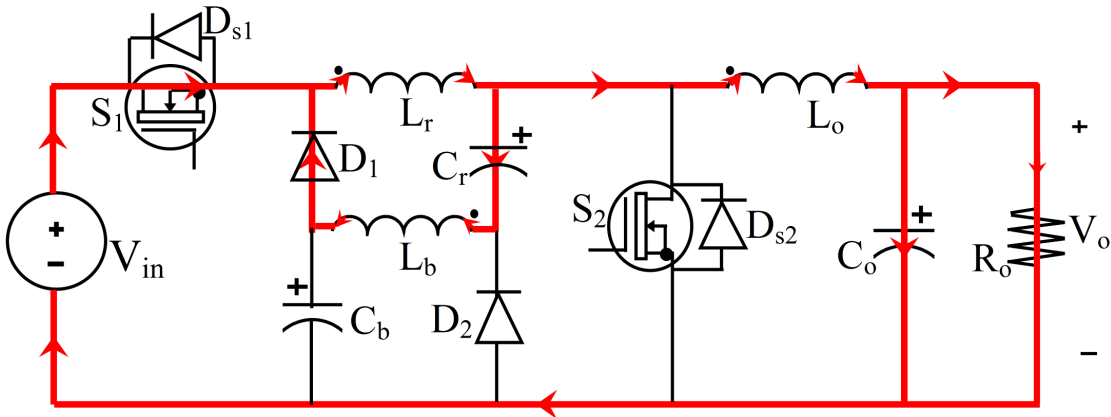
$$i_{Lr}(t-t_2) = (i_{Lr(max)} - I_{o(avg)}) \cos \omega_y(t-t_2) - \frac{V_{cm}}{Z_y} \sin \omega_y(t-t_2) + I_{o(avg)} \quad (3.8)$$

$$i_{Lb}(t-t_2) = i_{Lr}(t-t_2) - I_{o(avg)} = (I_{Lr(max)} - I_{o(avg)}) \cos \omega_y(t-t_2) - \frac{V_{cm}}{Z_y} \sin \omega_y(t-t_2) \quad (3.9)$$

$$V_{Cr}(t-t_2) = (I_{Lr(max)} - I_{o(avg)}) Z_y \sin \omega_y(t-t_2) + V_{cm} \cos \omega_y(t-t_2) \quad (3.10)$$

$$t_{23} = \frac{1}{\omega_y} \tan^{-1} \left( \frac{I_{Lr(max)} - I_{o(avg)}}{V_{crm}} \right) \quad (3.11)$$

$$\text{Where, } \omega_y = \frac{1}{\sqrt{(L_r + L_b)C_r}}, \quad Z_y = \sqrt{\frac{L_r + L_b}{C_r}}$$

Fig. 3.3 (c): Modes of operation: Mode 3 ( $t_2 — t_3$ )

**Mode 4 ( $t_3 — t_4$ ):** The diodes  $D_1$  and  $D_2$  are not in conduction mode only the main switch  $S_1$  and resonant inductor  $L_r$  are in conduction. This depicts no resonance at this stage, and now the operational circuit is equivalent to the traditional PWM buck topology.

$$i_s = i_{Lr} = \frac{V_{in}}{L_r} (t - t_3) \quad (3.12)$$

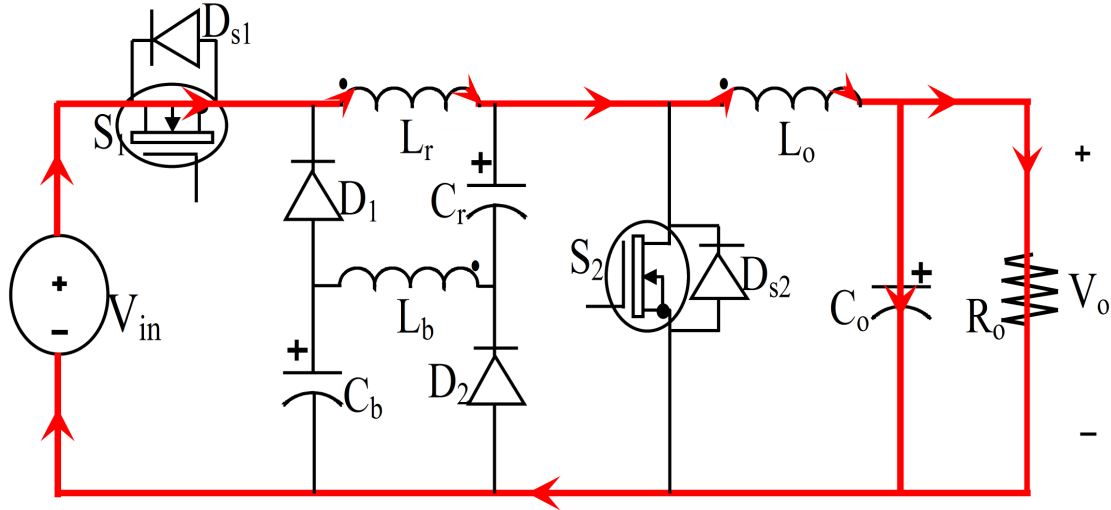


Fig. 3.3 (d): Modes of operation: Mode 4 ( $t_3 — t_4$ )

**Mode 5 ( $t_4 — t_5$ ):** In this mode, the main switch  $S_1$  is OFF under ZVT and at the same time synchronous switch  $S_2$  is ON under ZCT. As  $S_2$  is conducting, the voltage across the capacitor  $C_r$  is clenched to zero, now the resonance takes place with the parallel combination of  $L_r$ ,  $L_b$  and  $C_b$ .

$$V_{cr}(t - t_4) = 0$$

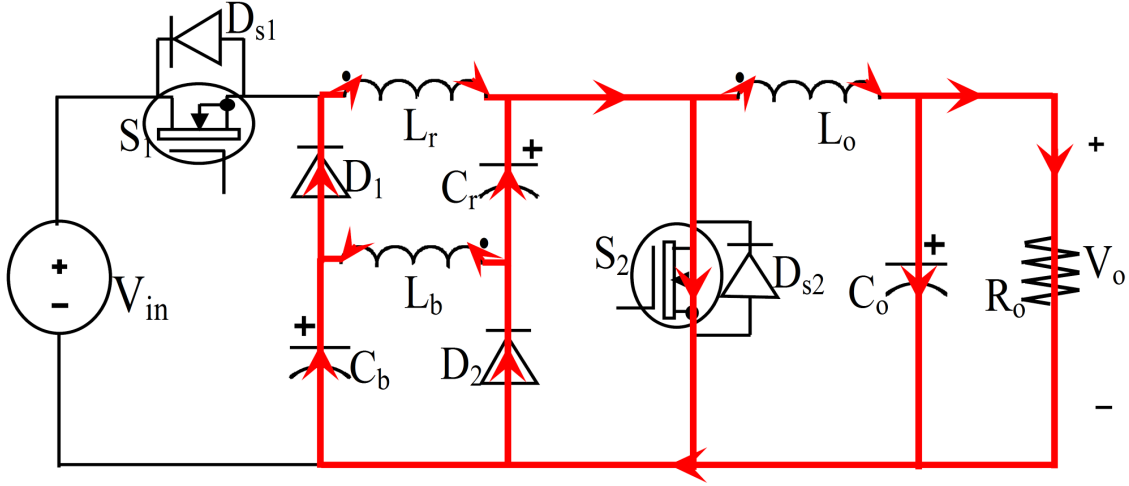
$$i_{Lr}(t - t_4) = I_{o(avg)} \cos \omega_z(t - t_4) - \frac{V_{in}}{Z_z} \sin \omega_z(t - t_4) \quad (3.13)$$

$$V_{cb}(t - t_4) = \frac{V_{in}}{Z_z} \cos \omega_z(t - t_4) - I_{o(avg)} Z_z \sin \omega_z(t - t_4) \quad (3.14)$$

$$i_{Lb}(t - t_4) = I_{o(avg)} + I_{Lr} \quad (3.15)$$

$$\text{Where, } \omega_z = \frac{1}{\sqrt{(L_r + L_b)C_b}}, \quad Z_z = \sqrt{\frac{(L_r + L_b)}{C_b}}$$

$$t_{45} = \frac{1}{\omega_z} \tan^{-1} \frac{V_{in}}{I_{o(avg)} Z_z} \quad (3.16)$$

Fig. 3.3 (e): Modes of operation: Mode 5 ( $t_4 — t_5$ )

**Mode 6 ( $t_5 — t_6$ ):** In this stage, at  $t = t_5$ ,  $i_{S1} = 0$ ,  $i_{Lr} = I_{o(avg)}$ ,  $V_{cr} = 0$  and  $V_{cb} = 0$  are the initial conditions for this mode. As  $i_{Lr}$  reaches  $I_{o(avg)}$ , the switch gets turned OFF under ZCT. The preserved energy of  $L_r$  and  $C_r$  is transferred to the load.

$$V_{cr}(t - t_5) = -\frac{I_o}{C_r}(t - t_5) \quad (3.17)$$

$$i_{Lr}(t - t_5) = -\frac{V_o}{L_r}(t - t_5) + I_{o(avg)} \quad (3.18)$$

In this mode,  $i_{Lr} = i_{Lb}$

This mode ends when the body diode of  $S_2$  gets reverse biased and ceases the current through it. The current  $i_{Lr}$  reaches minimum value and thereby  $S_1$  gets ON, and repetition of operation continues.

$$t_{56} = \frac{I_{o(avg)} L_r}{V_o} \quad (3.19)$$

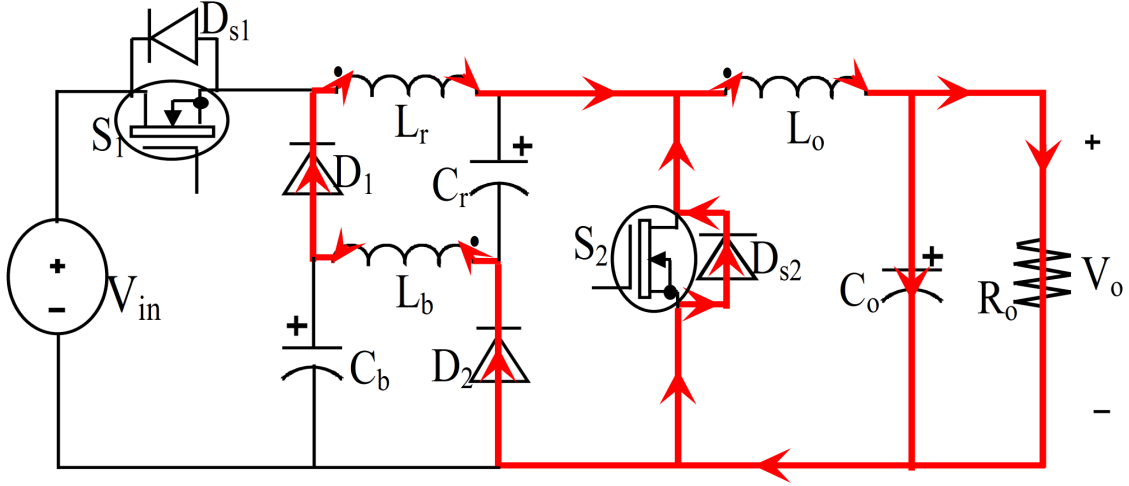


Fig. 3.3 (f): Modes of operation: Mode 6 ( $t_5 — t_6$ )

### 3.4 Output voltage

The output voltage can be evaluated by balancing the volt-Second relationship or by equating the energy relation i.e.,

$$V_o \tau = V_{in} \left[ \frac{1}{2} t_{01} + t_{12} + t_{23} + t_{34} + t_{45} + t_{56} \right]$$

$$V_o \tau = V_{in} \left( \frac{1}{2} \frac{I_{o( avg )} L_r}{V_{in}} + \frac{1}{\omega_x} \sin^{-1} \left( \frac{C_r}{C_e} - 1 \right) + \frac{1}{\omega_y} \tan^{-1} \left( \frac{I_{Lr(max)} - I_{o( avg )}}{V_{cr max}} \right) \right. \\ \left. + \frac{1}{\omega_z} \tan^{-1} \left( \frac{V_{cr max}}{I_{o( avg )} Z_z} \right) + \frac{1}{2} \frac{I_{o( avg )} L_r}{V_o} \right) \quad (3.20)$$

The  $t_{01}$  and  $t_{56}$  of modes 1 and 6 contains least values compared to other terms in the preceding expression, so they are neglected for making analysis simple.

The voltage conversion ratio will be.

$$\frac{V_o}{V_{in}} = \frac{1}{\tau} \left( \frac{1}{\omega_x} \sin^{-1} \left( \frac{C_r}{C_e} - 1 \right) + \frac{1}{\omega_y} \tan^{-1} \left( \frac{I_{Lr(max)} - I_{o( avg )}}{V_{cr max}} \right) + \frac{1}{\omega_z} \tan^{-1} \left( \frac{V_{cr max}}{I_{o( avg )} Z_z} \right) \right) \quad (3.21)$$

Where  $\tau = \frac{1}{f_s}$  and  $f_s$  = Switching frequency

From the aforementioned expression, it is evident that voltage conversion ratio relies upon switching frequency irrespective of the duty ratio.

### 3.5 Design Procedure

The traditional PWM converter's design is well-known and extensively presented in the literatures. Now, it is time to focus on eloquent aspects of designing the auxiliary circuits. The resonant inductor and capacitor design is the most significant part of designing the auxiliary circuit. The auxiliary resonant circuit which is proposed entrusts soft switching condition of the main switch. The design method is developed, by referring previous literatures [18].

#### 3.5.1 Resonant Inductor $L_r$

Resonant inductor  $L_r$  is chosen to allow maximum output current within  $t_{rise}$  during the ON time of the main switch.

In this case from equation (3.1)

$$\frac{V_{in}}{L_r} t_{rise} \leq I_{o max} \quad (3.22)$$

$t_{rise} \rightarrow$  Rise time of the main switch

The aforementioned equations assist the main switch with ZCS turn-ON, and ZVS turn-OFF of the synchronous switch body diode.

#### 3.5.2 Buffer Inductor $L_b$

Inductor  $L_b$  is selected to allow maximum inductor current  $i_{Lb}$  within  $t_{rise}$  during the ON time of the synchronous switch i.e.,  $\frac{V_{cb}}{L_b} t_{rise} \leq I_{Lb max}$  ;  $V_{cb} \cong V_{in}$  ;  $\frac{V_{in}}{L_b} t_{rise} \leq I_{Lb max}$  (3.23)

$t_{rise} \rightarrow$  Rise time of the inductor  $L_b$  current.

#### 3.5.3 Snubber Capacitor $C_b$

Snubber capacitor  $C_b$  is procured such that it discharges from  $V_{in}$  to zero with maximum current through it in the time period at the turn-OFF time of the main switch.

In this case, according to (3.14) and (3.16)

$$\frac{I}{I_{o\max}} Z_z V_{in} \geq t_{fall} \quad (3.24)$$

$$\text{Where } Z_z = \sqrt{\frac{L_r + L_b}{C_b}}$$

### 3.5.4 Resonant Capacitor $C_r$

Buffer capacitor  $C_r$  is selected such that it charges from zero to the value assumed as half of the input voltage. During the turn-OFF time, the buffer capacitor is feeded by the energies that are preserved in the snubber inductor and the accumulated charge on snubber capacitor.

The energy balance can be given as:

$$\frac{I}{2} C_b V_{in}^2 + \frac{I}{2} C_r V_{crm}^2 = \frac{I}{2} L_r I_{o\max}^2 \quad (3.25)$$

The rate of charging of the capacitor  $C_b$  is greater than the fall time of the main switch  $S_1$ , which is equal to the increase in the rate of change of current through the inductor  $L_r$ .

$$\frac{C_r V_{in}}{I_{Lr\max} - I_{o(avg)}} \geq t_{12} \quad (3.26)$$

From equation (3.7),  $t_{12}$  can be derived as,

$$t_{12} = \frac{I}{\omega_x} \sin^{-1} \left( \frac{C_b}{C_e} - 1 \right) \quad (3.27)$$

Solving the above two equations,  $C_r$  is derived as.

$$\sin \left( \frac{\omega_x C_r V_{in}}{I_{Lr\max} - I_{o(avg)}} \right) \geq \frac{C_b}{C_r} \quad (3.28)$$

From the aforementioned equations, it is clear that  $C_r$  is greater than  $C_b$ .

## 3.6 Simulation and Experimental Results

The Proposed converter functions with an input voltage  $V_{in}=12V$ , an output voltage  $V_o=4V$ , a load current of 15A and a switching frequency of 100 kHz. The functional characteristics of the proposed ZVT-ZCT SBC are executed by the simulation using PSIM 7.1 software co-simulated with MATLAB/SIMULINK. Fig. 3.4(a)—(e) shows the simulation results of the proposed converter. In Fig. 3.4 (a) the voltages of main switch  $S_1$  and synchronous switch  $S_2$  has an overshoot value of 0.5V compared to the traditional buck

converter which is a minor increase in terms of performance point of view. The waveforms which are shown depicts a time period of four switching cycles, which is 40 $\mu$ s in this particular case.

The synchronous buck converter integrated with the proposed passive auxiliary circuit has been built and substantiated with experimental results. However, there is a slight increase of voltage about 0.5V of main switch  $S_1$  and synchronous switch  $S_2$  as compared to the traditional buck converter. The experimental components employed in the proposed ZVT-ZCT SBC converter are tabulated in Table 1. The fig. 3.5 shows the hardware setup of the proposed ZVT-ZCT PWM SBC.

The fig. 3.6 (a) and fig. 3.6 (b) shows the voltage and current waveforms of main switch  $S_1$  and synchronous switch  $S_2$  depicting low stresses. The fig. 3.6 (c) shows the experimental voltage waveforms of capacitors  $C_r$ ,  $C_b$ . The fig. 3.6 (d) shows the experimental current waveforms of  $L_b$  and diode  $D_2$ . Fig. 3.6 (e) presents the experimental current waveforms of inductor  $L_r$ .

TABLE 3.1  
COMPONENTS USED FOR PROPOSED CONVERTER

Component	Value/Model
Main switch $S_1$	IRLR8721PbF
Synchronous Switch $S_2$	IRLR8721PbF
Schottky diode, $D_1$	MBRB4030
Schottky diode, $D_2$	MBRB4030
Resonant Inductor, $L_r$	100nH
Buffer Inductor, $L_b$	22nH
Resonant Capacitor, $C_r$	10nF
Buffer Capacitor, $C_b$	4.7nF
Output Inductor, $L_o$	15 $\mu$ H
Output Capacitor, $C_o$	15 $\mu$ F



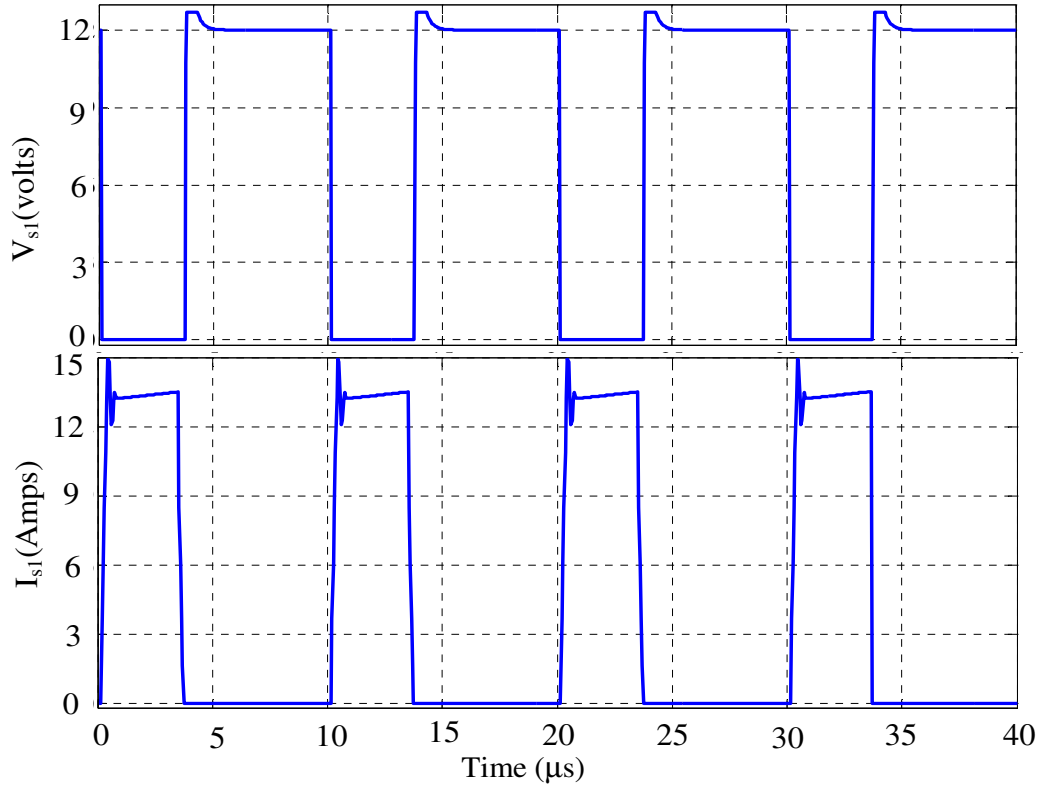


Fig. 3.4 (a): Simulated voltage and current waveforms of main switch  $S_1$ :  $V_{s1}$  in Volts and  $I_{s1}$  in Amps.

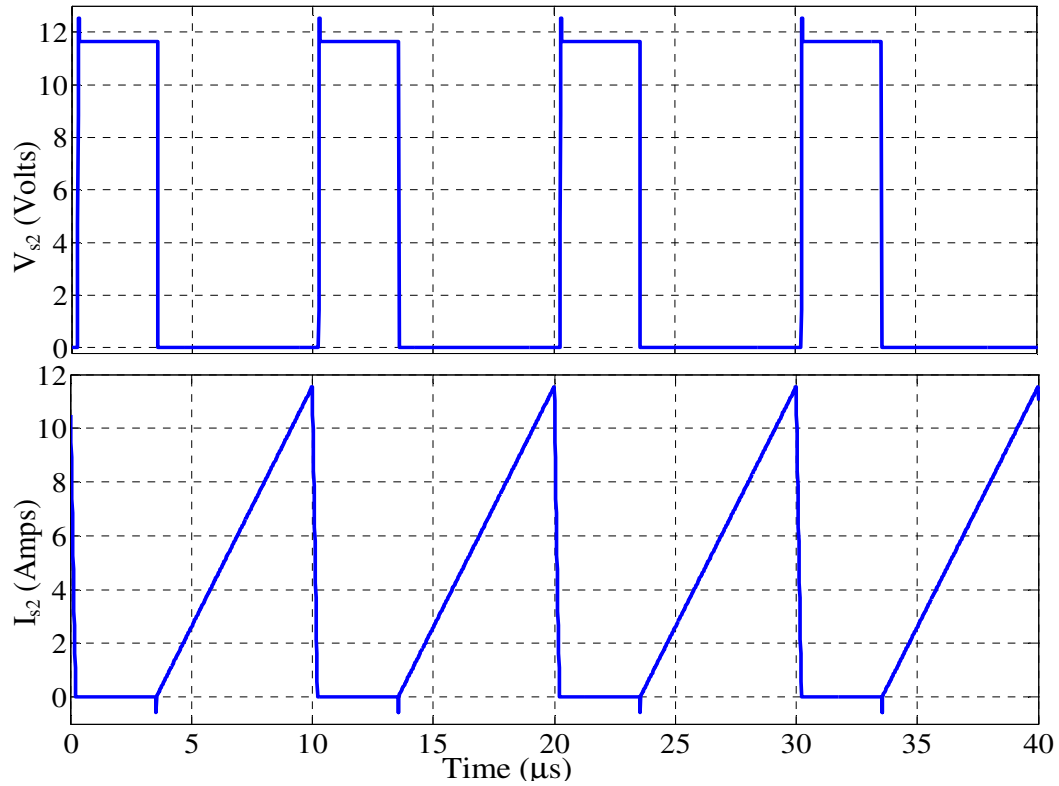


Fig. 3.4 (b): Simulated voltage and current waveforms of synchronous switch  $S_2$ :  $V_{s2}$  in Volts and  $I_{s2}$  in Amps.

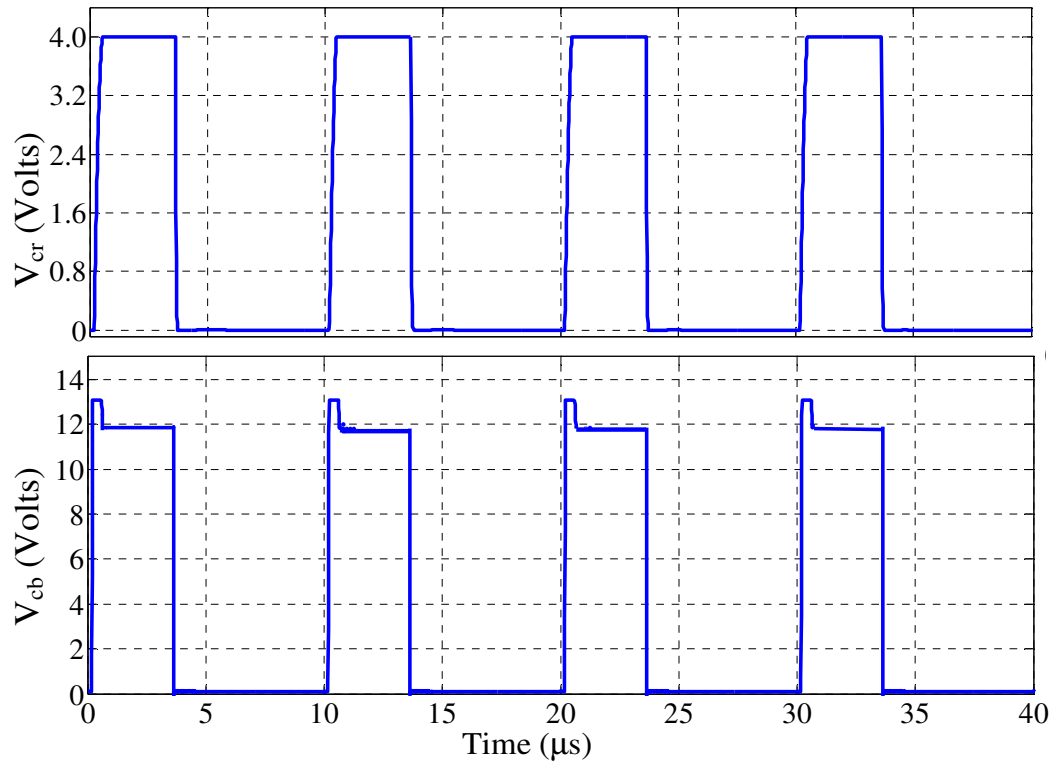


Fig. 3.4 (c): Simulated voltage waveforms of resonant capacitor and snubber capacitor  $C_r$  and  $C_b$ :  $V_{cr}$  and  $V_{cb}$  in Volts.

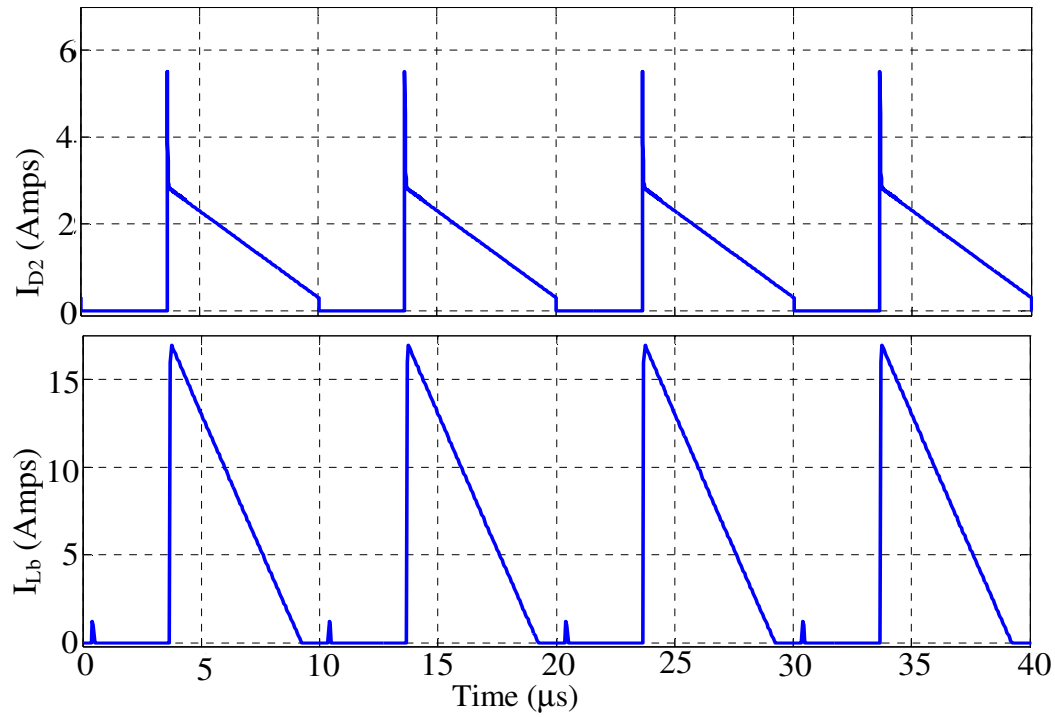


Fig. 3.4 (d): Simulated current waveforms of Diode  $D_2$  and inductor  $L_b$ :  $I_{D2}$  and  $I_{Lb}$  in Amps.

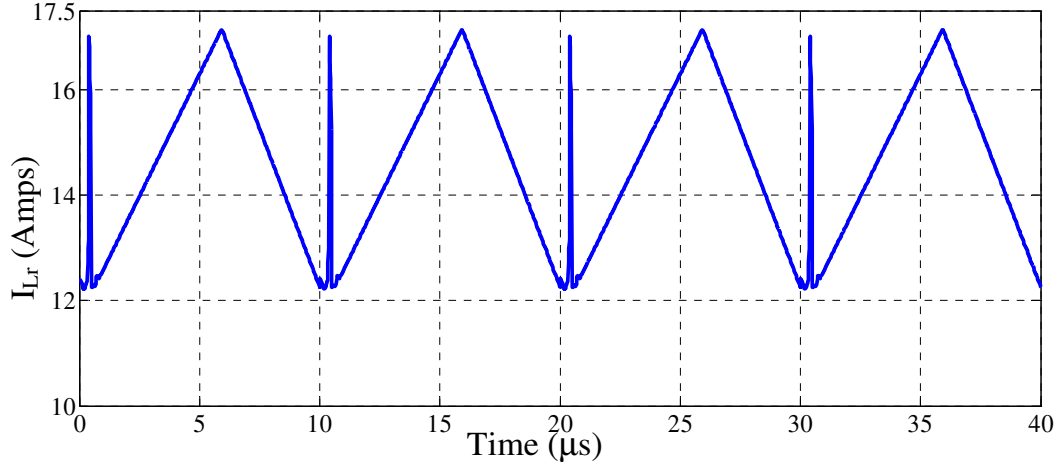


Fig. 3.4 (e): Simulated current waveform of resonant inductor  $L_r$ :  $I_{L_r}$  in Amps.

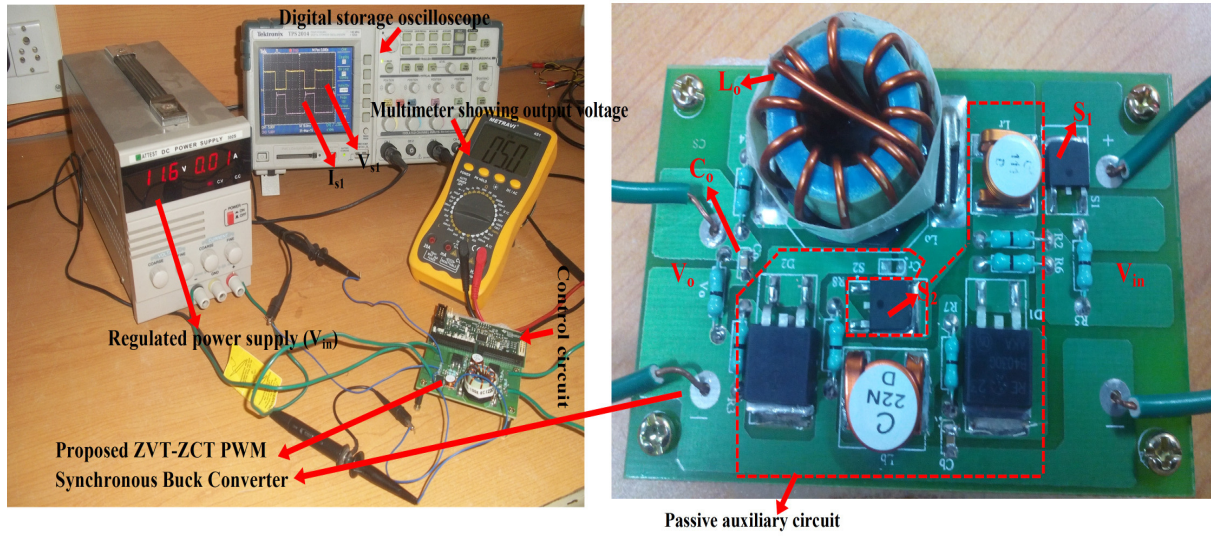


Fig. 3.5: Experimental setup of Proposed ZVT-ZCT PWM synchronous Buck Converter

The fig. 3.7 (a) signifies that the main switch  $S_1$  spike current is plummeted; the waveform is almost equivalent to the traditional buck converter. Consequently, the rating of the main switch required for the buck converter is economized by the introduction of ZVT-ZCT operation. The main switch is turned ON under ZCT and turned OFF with ZVT, due to which the mainstream switching losses got diminished. Fig. 3.7 (b) the synchronous switch  $S_2$  is turned OFF under ZVT and turned ON with ZCT operation. The reverse recovery (RR) effect due to the body diode of  $S_2$  is almost attenuated.

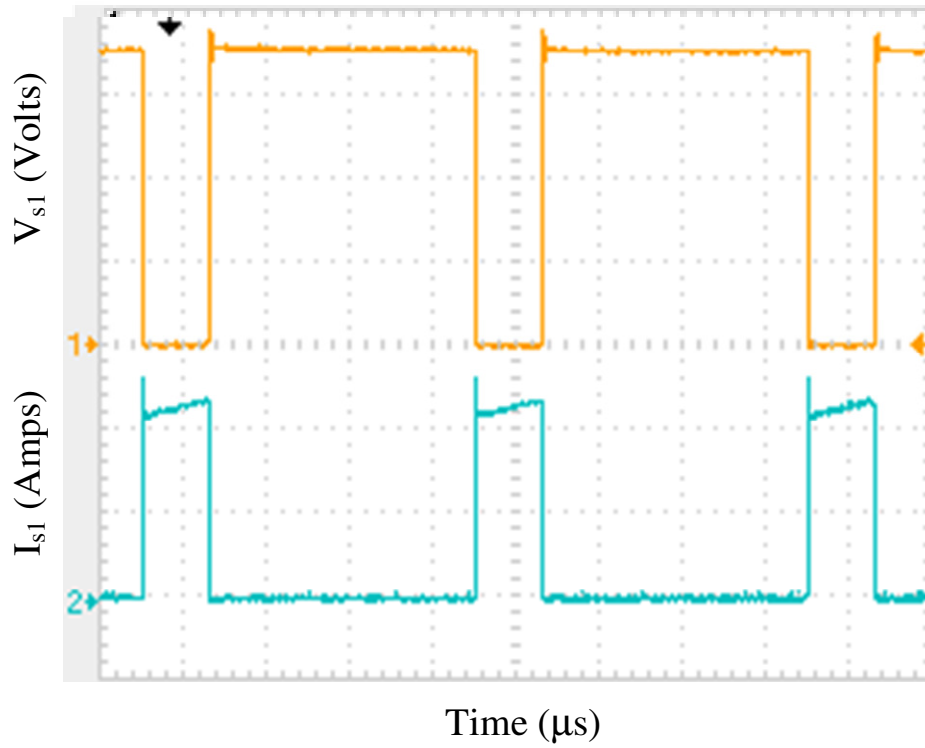


Fig. 3.6 (a): Experimental voltage and current waveform of Main switch  $S_1$ : [ $V_{s1}$ : 3.42V/Div;  $I_{s1}$ : 6A/Div; time: 2.5 $\mu s$ /Div]

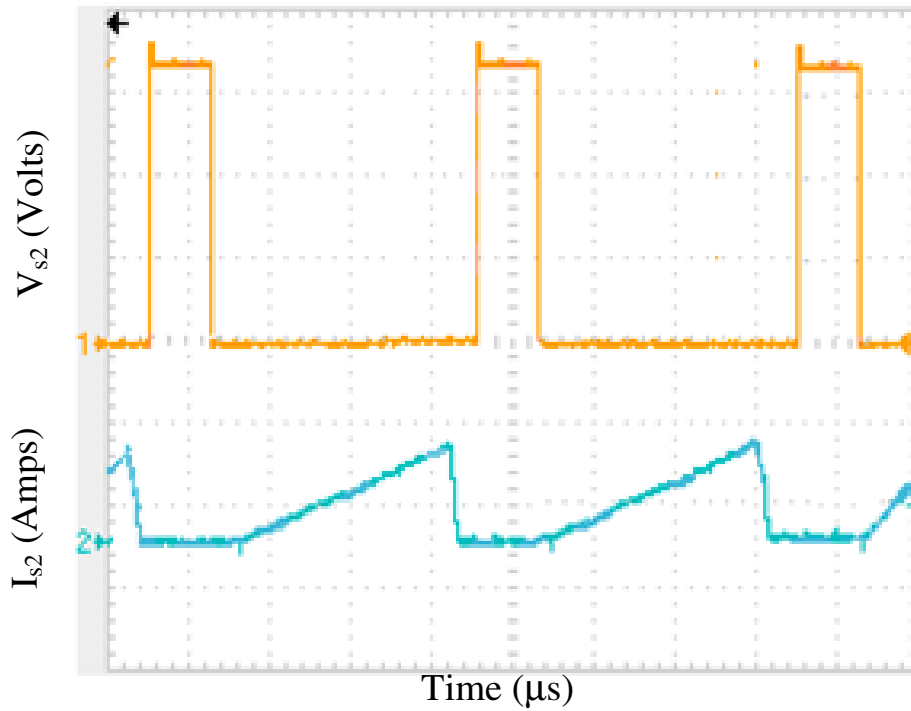


Fig. 3.6 (b): Experimental voltage and current waveform of synchronous switch  $S_2$ : [ $V_{s2}$ : 3.42V/Div;  $I_{s2}$ : 8A/Div; time: 2.5 $\mu s$ /Div]

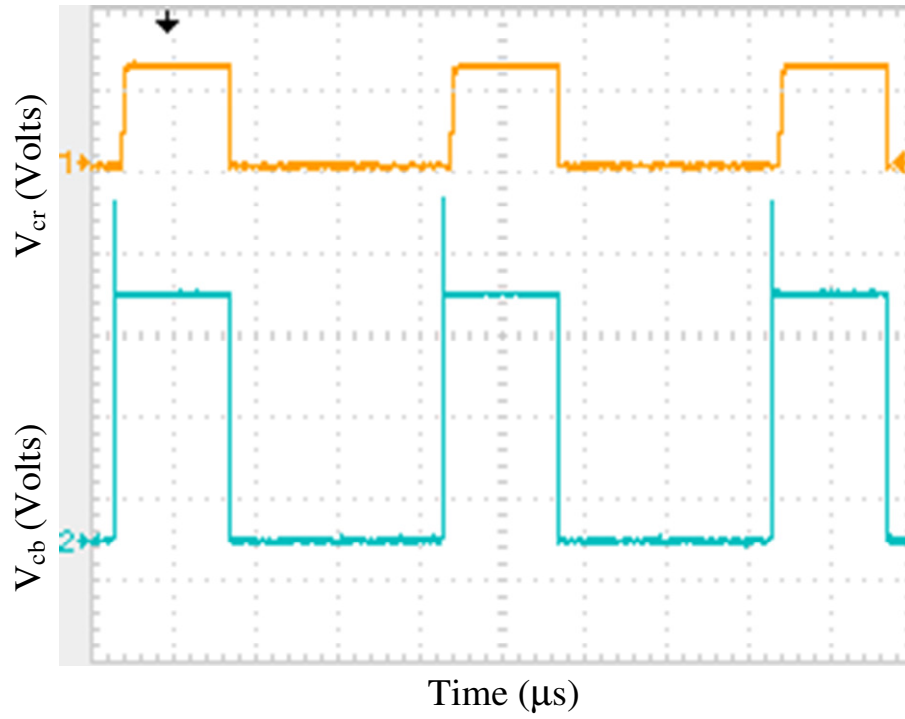


Fig. 3.6 (c): Experimental voltage waveforms of resonant capacitor  $C_r$  and buffer capacitor  $C_b$   
 $S_3$ : [ $V_{cr}$ : 3V/Div;  $V_{cb}$ : 4V/Div; time: 2.5 $\mu s$ /Div]

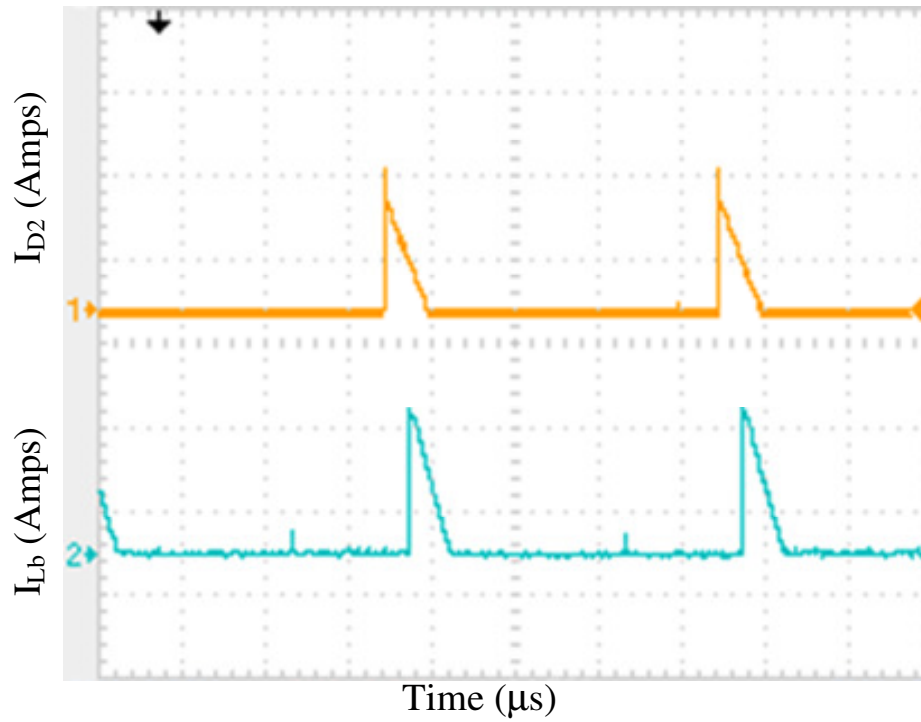


Fig. 3.6 (d): Experimental current waveforms of diode  $D_2$  and inductor  $L_b$ : [ $I_{Lb}$ : 10A/Div;  $I_{D2}$ : 3.5A/Div; time: 2.5 $\mu s$ /Div]

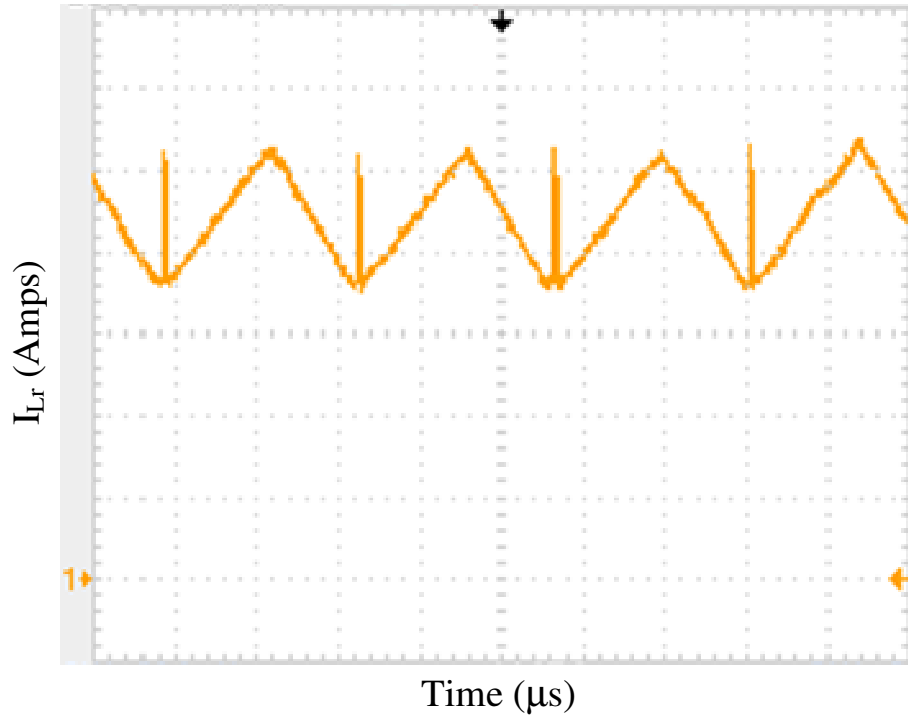


Fig. 3.6 (e): Experimental current waveform of resonant inductor  $L_r$ : [ $I_{Lr}$ : 3.5A/Div; time: 2.5 $\mu s$ /Div]

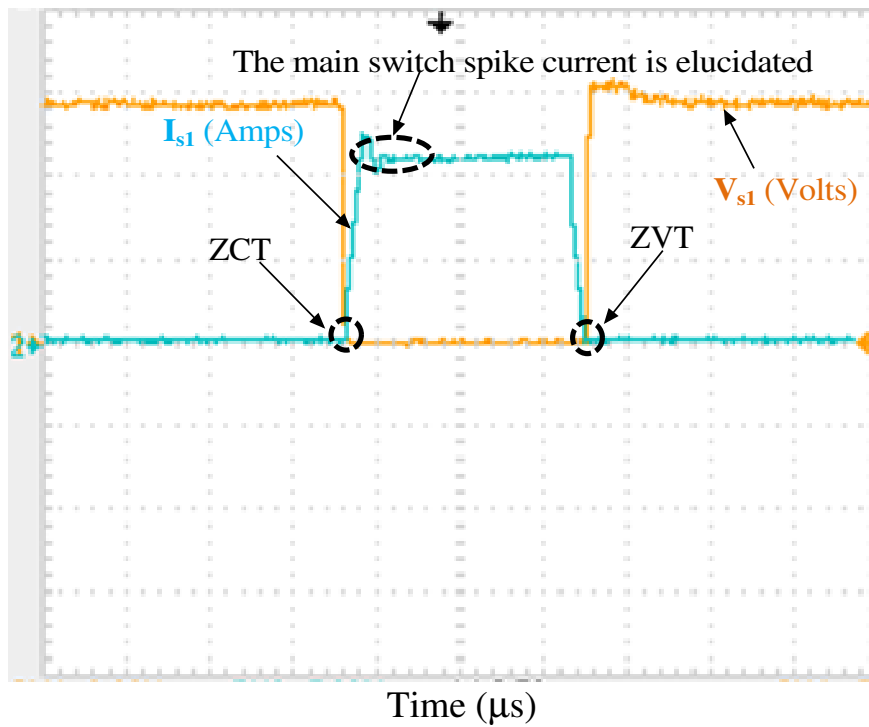


Fig. 3.7 (a): Experimental voltage and current waveforms of main switch  $S_1$  exhibits soft switching conditions [ $V_{s1}$ : 3.42V/Div;  $I_{s1}$ : 6A/Div; time: 0.1 $\mu s$ /Div].

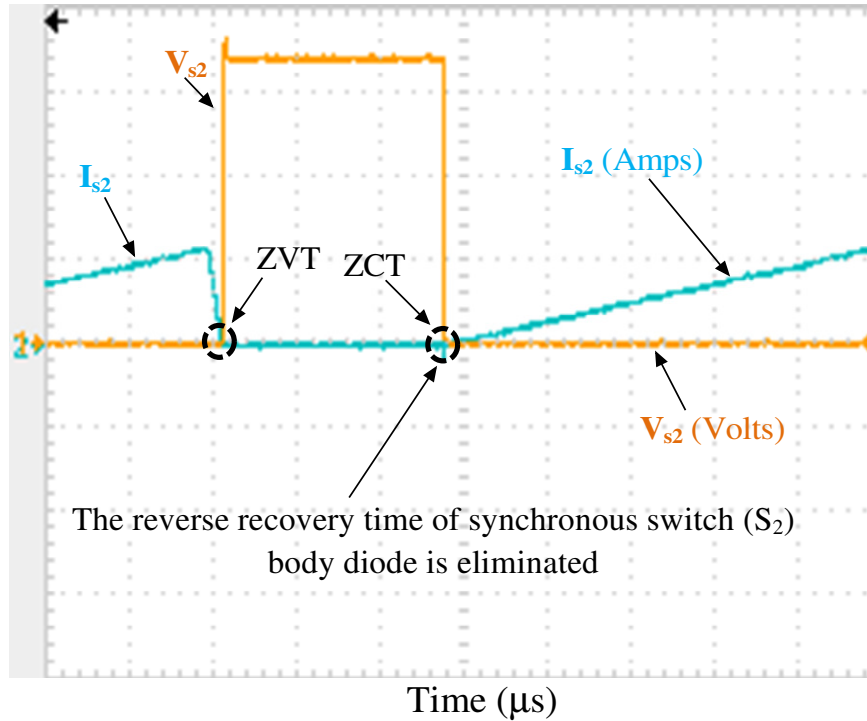


Fig. 3.7 (b): Experimental voltage and current waveforms of synchronous switch  $S_2$  exhibits soft switching conditions [ $V_{s2}$ : 3.42V/Div;  $I_{s2}$ : 10A/Div; time: 0.1 $\mu s$ /Div].

### 3.7 Efficiency curve

From fig. 3.8, it can be seen that efficiency values of the proposed converter are comparatively higher than the traditional converter with SR. At nearly 60% of output power, the efficiency of the proposed converter rises to about 98% when compared to the counterpart traditional converter whose efficiency is about 87%. The high efficiency of the proposed converter proves the definiteness of the design values.

#### 3.7.1 Contrast with contemporary topologies

Table 3.2 shows the comparison of recent topological circuits having close operating conditions with the proposed circuit. As shown in the Table 3.2, the circuits are soft switching PWM topologies [116, 189] that are prominent in curtailing the spike of the main switch and diminishing the reverse recovery (RR) problem of the synchronous switch body diode. The RR problem of main diode is eliminated. In [116] the coupled inductor is used in the auxiliary circuit to achieve soft switching of the switches and solve the RR problem. The coupled inductor used in [116] auxiliary circuit, suffers from the limitations of requiring larger volume, complication in design and manufacture, an increment in the ripple of the

output current. In [189], the active auxiliary circuit is used which results in complex control and more expensive than the passive auxiliary circuit.

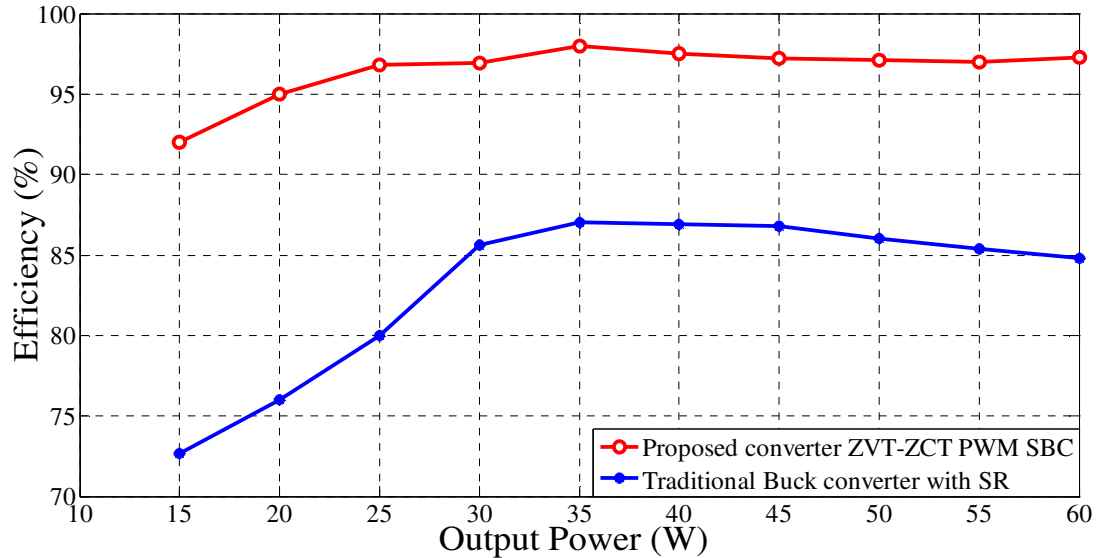


Fig. 3.8: Efficiency curve of proposed converter in comparison with traditional buck converter

The proposed auxiliary circuit not only exceeds the advantages of the contemporary circuits, but also obliges both switches to operate under soft switching conditions. The RR problem compared to conventional circuits is largely enhanced, besides the efficiency is improved at maximum. Efficiency enhancement relative to the contemporary topologies is shown in fig. 3.11. The Table 3.2 and the results claim that the proposed auxiliary circuit has achieved a comparable efficiency enhancement over the hard switching circuit to a decent value with a simple design and is easy to control.

### 3.7.2 Overview of the contemporary topologies [116] and [189]

S. Urgun [116] has proposed a new ZVT-ZCT quasi-resonant buck converter, which ensures soft switching at the zero crossings that provides ZVT turn-ON and ZCT turn-OFF together for the main switch of active snubber cell presented in [116]. The circuit combines most of the advantages similar to the previous published works such as the semiconductor devices used operate under soft switching conditions. The soft switching operation of the new converter is maintained for the whole line and load ranges. The circuit scheme of [116] is shown in the fig 2.9. The active snubber cell consists of a centre tapped and a magnetically coupled snubber inductor ( $L_{s1}$  and  $L_{s2}$ ), snubber capacitor ( $C_s$ ), a main switch ( $T_1$ ), an auxiliary switch ( $T_2$ ), the output filter capacitor ( $C_F$ ), main inductor ( $L_F$ ) and two auxiliary diodes  $D_1$  and  $D_2$ .  $C_r$  is considered to be a parasitic capacitor. The circuit is designed for



200W and 100 kHz frequency. From fig 2.11 it can be seen that at full load the overall efficiency is 96%.

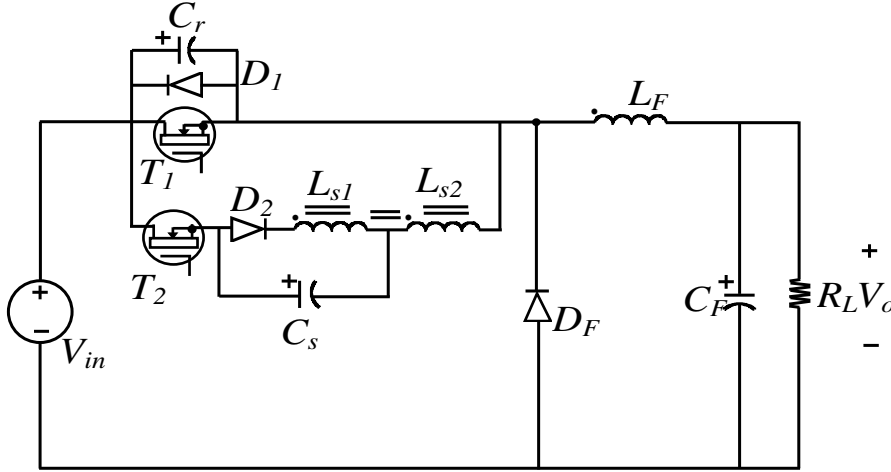


Fig. 3.9: Circuit diagram of the topology proposed by S. Urgun [116]

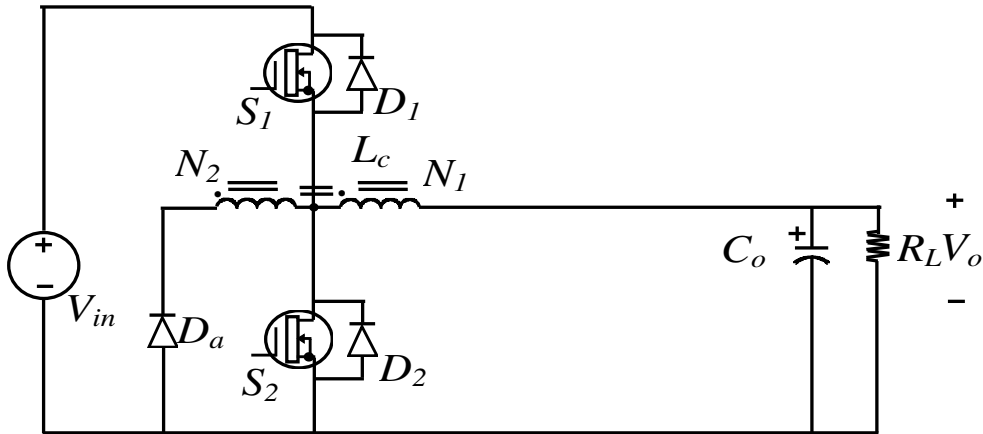


Fig. 3.10: Circuit diagram of the topology proposed by Hyun Lark Do [189]

Hyun Lark Do [189] proposed a zero voltage switching synchronous buck converter with a coupled inductor. An auxiliary circuit incorporated in the conventional synchronous buck converter allows power switches to operate with ZVS. Moreover, the reverse recovery problem associated with the body diode of the synchronous switch is solved. Fig 3.10 shows the circuit diagram of the topology proposed by Hyun Lark Do [189]. The circuit consists of a coupled inductor  $L_c$ , switches  $S_1$  and  $S_2$ , filter capacitor  $C_o$  and a diode  $D_a$ , the main and auxiliary switches consists of body diodes  $D_1$  and  $D_2$ . The circuit is designed for 115W and 100 kHz frequency. The ZVS synchronous buck converter exhibits 93% at full load condition.

TABLE 3.2

## CONTRAST WITH CONTEMPORARY TOPOLOGIES

	Converter proposed by Hyun Lark -Do [189]		Converter proposed by Hong Tzer Yang [117]		Proposed ZVT-ZCT PWM SBC converter	
Clamping method	Passive		Active		Passive	
Switching method	Turn ON	Turn OFF	Turn ON	Turn OFF	Turn ON	Turn OFF
	ZVS	Hard-Switching	ZVS	Hard-Switching	ZCS	ZVS
Stresses on main switch (spike current, spike voltage)	Low		Low		Very low	
Stresses on synchronous switch (spike current, spike voltage)	Low		Low		Eliminated	
Reverse recovery of main diode	Not negligible		Replaced by SR		Replaced by SR	
Reverse recovery of SR diode	Not negligible		Eliminated		Eliminated	
Overhead of auxiliary circuit						
1. Capacitor	2		1		2	
2. Inductor	2		2		2	
3. Diode	1		3		2	
4. Coupled Inductor	1		0		0	
5. Switch	0		1		0	
Maximum Efficiency Values at 70% of output power	92.5		94		98	
Maximum Efficiency improvement over hard switching circuit	4.5		6		10	

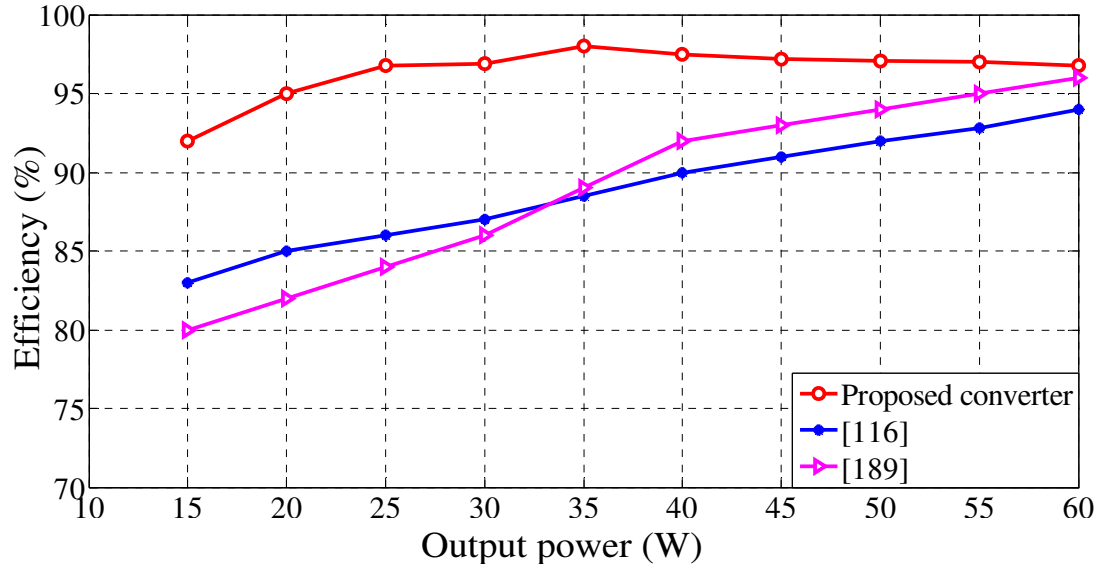


Fig. 3.11: Efficiency curve of proposed converter in contrast with contemporary topologies.

### 3.8 Summary

The switching and conduction losses in the SBC were minimized by incorporating the concept of ZVT-ZCT. Apart from the main switch which is turned OFF under ZVT and ON under ZCT, the same replicated on the synchronous switch which is also turned ON under ZCT and OFF under ZVT. The energy stored in the snubber is utilized to the load without using the path of the main switch which reduces the conduction loss. The SBC with active auxiliary circuit suffers with this additional conduction loss. The proposed auxiliary circuit integrated with SBC can also be applied to other contemporary circuits; it is proved that the proposed auxiliary circuit achieves ZVT-turn OFF and ZCT-turn ON to both the switches. Particularly, the RR effect of synchronous switch is diminished through the proposed auxiliary circuit, which in turn minimizes the switching losses as correlated with contemporary topologies. Therefore, the switching and conduction losses are diminished; the newly proposed ZVT-ZCT SBC is the most suitable converter for medium and high current applications than the traditional converter, as it has been observed from the efficiency curve and also contrasted with the contemporary topologies. In addition to this, current and voltage stresses on the main power circuit are reduced immensely, and the auxiliary elements designed such that they deal with permissible voltage and current values. Furthermore, the proposed converter structure is simple, low-cost and easily controllable.



## **Chapter 4**

**A NEW MULTIPHASE SYNCHRONOUS BUCK  
CONVERTER INTEGRATED WITH ACTIVE AUXILIARY  
CIRCUIT FOR PORTABLE APPLICATIONS**

# **CHAPTER 4: A NEW MULTIPHASE SYNCHRONOUS BUCK CONVERTER INTEGRATED WITH ACTIVE AUXILIARY CIRCUIT FOR PORTABLE APPLICATIONS**

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## **4.1 Introduction**

Chapters 2 and 3 explain the incorporation of the ZVZCT concept to the SBC, which facilitates the reduction of switching losses and also maintains the switching stresses under a tolerable limit. The ZVT-ZCT concept extending to multiphase SBC has emerged as a leading candidate for meeting the power requirement of the portable electronic systems. High current multiphase buck converters (MBC) are used in computing, graphics, and telecom applications. To achieve high power-density converters and high-performance, the switching frequency of the converters need to be increased. The traditional hard-switching pulse-width-modulation (PWM) converters operating at high frequency is limited because of substantial switching loss. A number of soft switching technologies have been proposed to reduce switching losses and most of the new soft switching converters reduce switching losses only at the expense of much-increased voltage/current stresses of the switches, which increases the conduction losses. Another way to achieve high-performance and high power density converters is adopting the multiphase conversion technique [51-61, 158–159]. With the interleaved operation, small size inductors can be used to keep low current ripple at the input and output capacitor filters, and high dynamic performance can be achieved since the operating frequency of input and output filter capacitors are increased by  $n$  times for  $n$ -phase converters. Higher dynamic performance and higher power-density power conversion can be achieved if both ZVT-ZCT and multiphase conversion techniques are combined.

With a duty cycle of less than 10 %, raising the switching frequency to multi-MHz level will reduce the efficiency to less than 80 % [66-80]. Because the switching frequency is equal to the inductor current ripple frequency, the switching frequency is limited between 300 kHz to 500 kHz [160-165]. When the inductor current slew rate increases by a smaller inductance value to improve the transient response, then the inductor current ripple also increases. It is not only a harmful action of the high-side switch due to larger turn-off loss, but also for the low-side switch due to a larger conduction loss. It also increases the inductor winding losses. This conflict limits the average inductor current in each channel [166-171]. Moreover, there is a tradeoff between efficiency and transient response. As a result, these technical conflicts not only increase the cost and sacrifice the power density, but also it is difficult to meet the

power requirements of future microprocessors before the technical conflicts are resolved [113, 146-147, 171-173]. Therefore, there is a need to increase the efficiency of the multiphase buck converter at a high operating frequency by reducing switching losses. In ZVT converters [116-117, 148,174] generally the auxiliary switch actuates just before the main switch is made active and culminates after it is executed. The converters proposed [175-184] either provide ZVT or ZCT soft switching condition, making some switches in the converter to operate with hard-switching that increases switching loss which affects the overall performance of the converter. Reducing the switching losses for a low voltage, high current application with the assistance of a simple active auxiliary circuit is not present in the literature [49-50, 113, 116-117, 146-147, and 152-184]. The industry standard voltage regulator (VR) topology used to deliver high current and low voltage is the multiphase synchronous buck converter [62-65].

In this chapter, the ZVT-ZCT multiphase synchronous buck converter is presented with the directive to improve its performance and alleviate the issues of the conventional multiphase synchronous buck converter. In contrast to the contemporary topologies the proposed novel topology resolves the issues of unbalance distribution of current, the high amount of losses in the converter, reduces the problem of EMI of the converter and operates with both soft switching conditions that enhances the performance of the converter. The proposed converter achieves ZVS and ZCS with the reduction in voltage and current stresses of the switches to improve the efficiency by minimizing the switching and conduction losses with a simple active auxiliary circuit. Here the proposed multiphase ZVT-ZCT PWM SBC is associated with active auxiliary circuit rather than passive auxiliary circuit because at the high load current passive auxiliary circuit will give high conduction losses.

This chapter is organised as follows: section 4.2 presents a description about the proposed topology. The principle of operation and its operating modes are explained in the section 4.3. Section 4.4 shows the derivation of the output voltage. Section 4.5 provides the design procedure of auxiliary circuit elements used. Section 4.6 explains the simulation and experimental results that exposes the features of the proposed converter. In section 4.7, efficiency curve is shown that explains the operation of the converter over wide range of load. Section 4.8 summarises the important features.

## 4.2 Topology description

The proposed multiphase converter is shown by Fig. 4.1. It is a combination of the proposed converter along with an active auxiliary circuit that facilitates reduction of

switching losses. The auxiliary circuit consists of inductor  $L_r$ , diode  $D_1$ , and MOSFET switches  $S_7$ ,  $S_8$ , and  $S_9$ . The number of auxiliary MOSFET switches depends on the number of phases. Body diodes of main switches  $S_1$ ,  $S_2$ , and  $S_3$  are utilized to provide zero voltage switching.

In order to analyze the steady-state operations of the proposed circuit, the following assumptions are made during one switching cycle.

1. The input voltage  $V_{in}$  is constant.
2. The output voltage  $V_o$  is constant or the output capacitor  $C_o$  is large enough.
3. The filter Inductors  $L_1$ ,  $L_2$ ,  $L_3$  are much larger than the resonant circuit inductor  $L_r$ .
4. The resonant circuits are ideal.
5. The reverse recovery time of the diode is ignored.

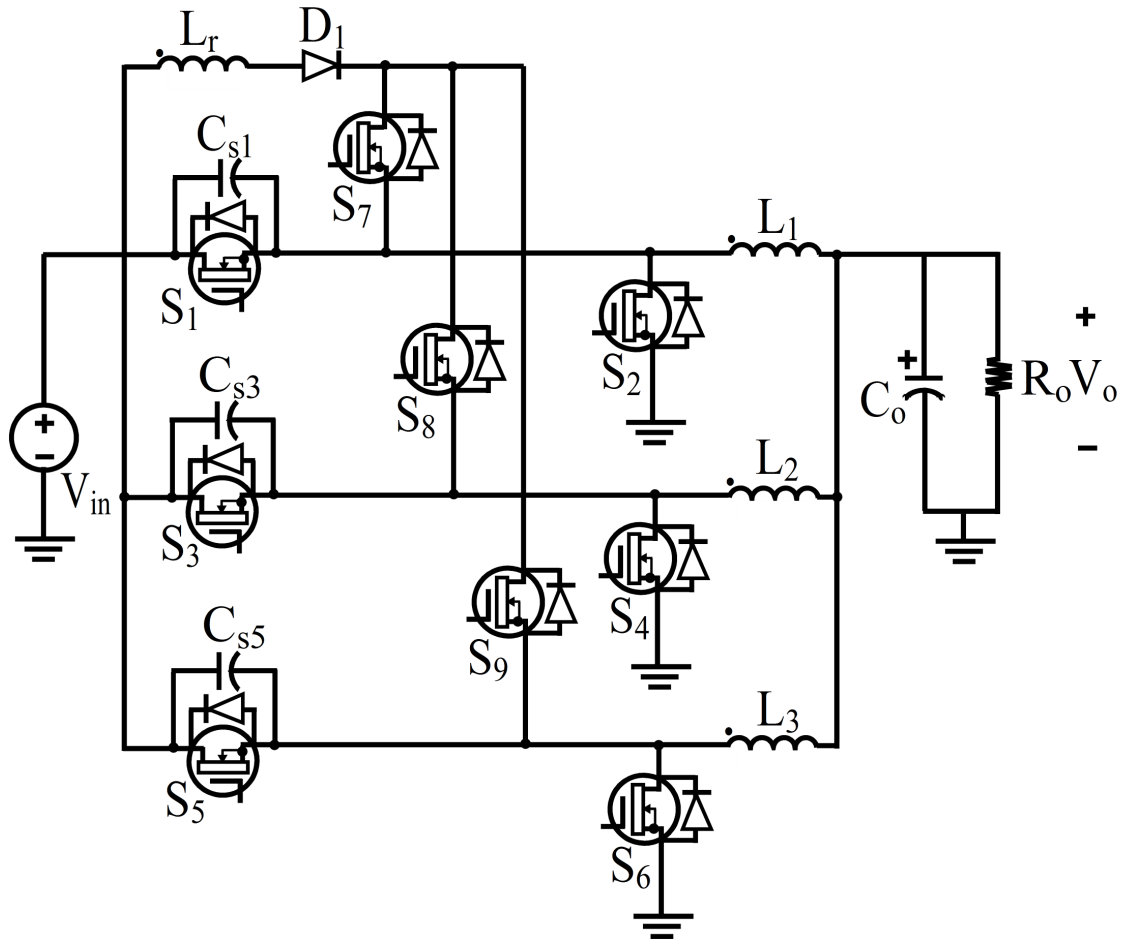


Fig.4.1: Proposed multiphase ZVT-ZCT PWM Synchronous buck converter with active auxiliary circuit



### 4.3 Operational modes

Based on these assumptions, circuit operations in one switching cycle can be divided into fifteen stages. The key waveforms of these stages are illustrated in Fig. 4.2 and the equivalent circuit schemes of the operation stages are given in Fig. 4.3. The detailed analysis of every stage is presented below:

**Mode 1 ( $t_0 — t_1$ ):** Prior to  $t = t_0$ , the body diode of switch  $S_2$  was conducting, while the main switch  $S_1$  is off. The equations are  $i_{S1} = 0$ ,  $i_{D4} = I_0/3$ ,  $i_{Lr} = 0$ , are valid at the beginning of this stage.

At  $t = t_0$ , the auxiliary switch  $S_7$  is turned on, which realizes zero-current turn-on as it is in series with the resonant inductor  $L_r$ . During this stage,  $i_{Lr}$  rises and current  $i_{Ds2}$  through the body diode of switch  $S_1$  falls simultaneously at the same rate. The resonance occurs between  $L_r$  and  $C_{s1}$ .

This mode ends at  $t = t_1$ , when  $i_{Lr}$  reaches  $I_0/3$ , and  $i_{Ds2}$  becomes zero. The body diode of switch  $S_2$  is turned off with ZCS.

The resonant current through inductor  $L_r$  is given by:

$$\frac{d^2 i_{resonant}(t)}{dt^2} + \frac{i_{resonant}(t)}{L_r C_{s1}} = 0$$

Solving the above instantaneous voltage equation the solution yields:

$$i_{resonant}(t) = \frac{C_{s1} V_{CS1} \omega \sin \omega t}{Z_1} \quad (4.1)$$

$$i_{Lr}(t) = \frac{V_i}{L_r} \times t \quad (4.2)$$

$$\text{Where } \omega = \frac{1}{\sqrt{L_r C_{s1}}}, \quad Z_1 = \sqrt{L_r / C_{s1}}$$

$$\text{At } t = t_1, i_{Lr}(t) = I_0 / 3,$$

$$\text{Therefore, } t_{01} = t_1 - t_0 = \frac{I_0 L_r}{3 V_{in}}.$$

**Mode 2 ( $t_1 — t_2$ ):** Since the inductor current  $i_{Lr}$  is increasing continuously beyond one third of load current, the exceeding current makes the diode  $D_{S1}$  to conduct. At  $t = t_1$ ,  $i_{S7} = i_{Lr} = I_0/3$ . After reaching the peak current  $I_{Lrmax}$ , the inductor current starts decreasing. This mode comes to an end when  $i_{Lr}$  becomes again equal to  $I_0/3$ . At this moment, the main switch is triggered to turn ON under zero voltage switching (ZVS).

The discharge current of capacitor  $C_{s1}$  through the body diode having a resistance  $R$  is given as:

$$RC_{s1} \frac{dv_{C_{s1}}}{dt} + v_{C_{s1}} = 0$$

Solving the above instantaneous voltage equation of capacitor  $C_{s1}$  the solution yields:

$$i_{C_{s1}} = \frac{V_{C_{s1}}}{R} e^{-t/RC_{s1}} \quad (4.3)$$

The inductor current  $i_{Lr}$  during this mode is given by:

$$L \frac{di_{Lr}(t)}{dt} + Ri_{Lr}(t) = 0 \quad \begin{cases} i_{Lr}(t = t_1) = \frac{I_o}{3} \\ i_{Lr}(t = t_2) = i_{Lrmax} \end{cases}$$

Solving the above equation for  $i_{Lr}$  the solution yields:

$$i_{Lr}(t) = \left( i_{Lrmax} - \frac{I_o}{3} \right) e^{\frac{-tR}{Lr}} \quad (4.4)$$

$$\text{At } t = t_1, i_{Lr}(t) = \frac{I_o}{3},$$

$$\text{Therefore, } t_{12} = t_2 - t_1 = \frac{2L_r}{R} \ln \frac{3I_{Lrmax}}{I_o}.$$

**Mode 3 ( $t_2 - t_3$ ):** At  $t = t_2$ , the main switch is turned on while the auxiliary switch is still in the ON state. Now the stored energy in inductor  $L_r$  will be transferred to the load at the same rate as the current increase through the main switch  $S_1$ . At  $t = t_2$ ,  $i_{Lr} = I_o/3$ .

This mode comes to end when the total energy of the resonant inductor will be transferred to the load. The auxiliary switch  $S_7$  will turn off under ZCS.

The inductor current  $i_{Lr}$  during this mode can be expressed as:

$$L \frac{di_{Lr}(t)}{dt} + Ri_{Lr}(t) = 0 \quad \begin{cases} i_{Lr}(t = t_2) = i_{Lrmax} \\ i_{Lr}(t = t_3) = 0 \end{cases}$$

Solving the above equation for  $i_{Lr}$  the solution yields:

$$i_{Lr}(t) = I_{Lrmax} e^{-tR_{dson}/Lr} \quad (4.5)$$

$$i_{S1} + i_{Lr} = \frac{I_o}{3} \quad (4.6)$$

At the end of this mode, at  $t = t_3$ .

$$i_{S1} = I_o / 3 \quad (4.7)$$

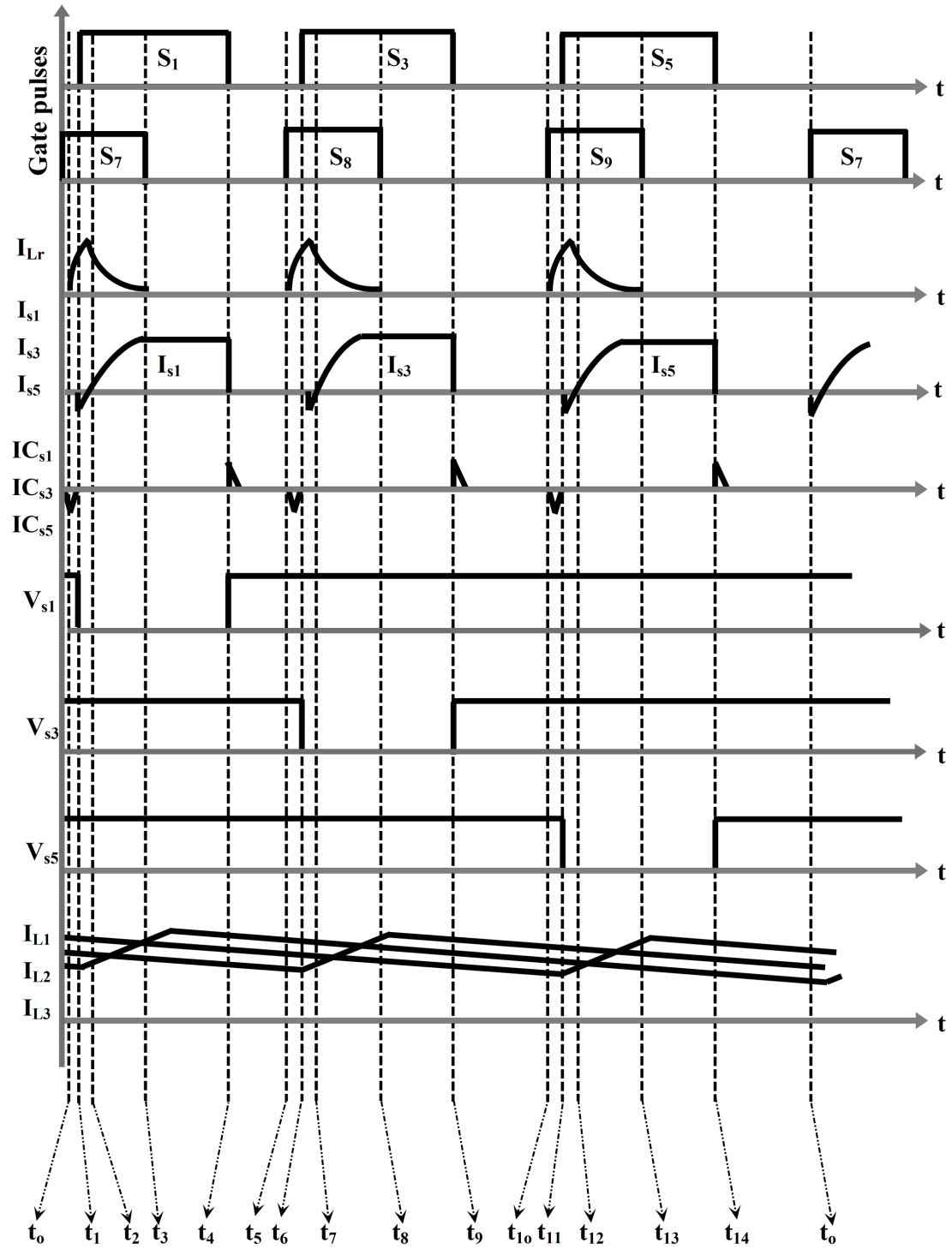


Fig. 4.2: Essential theoretical waveforms of proposed converter

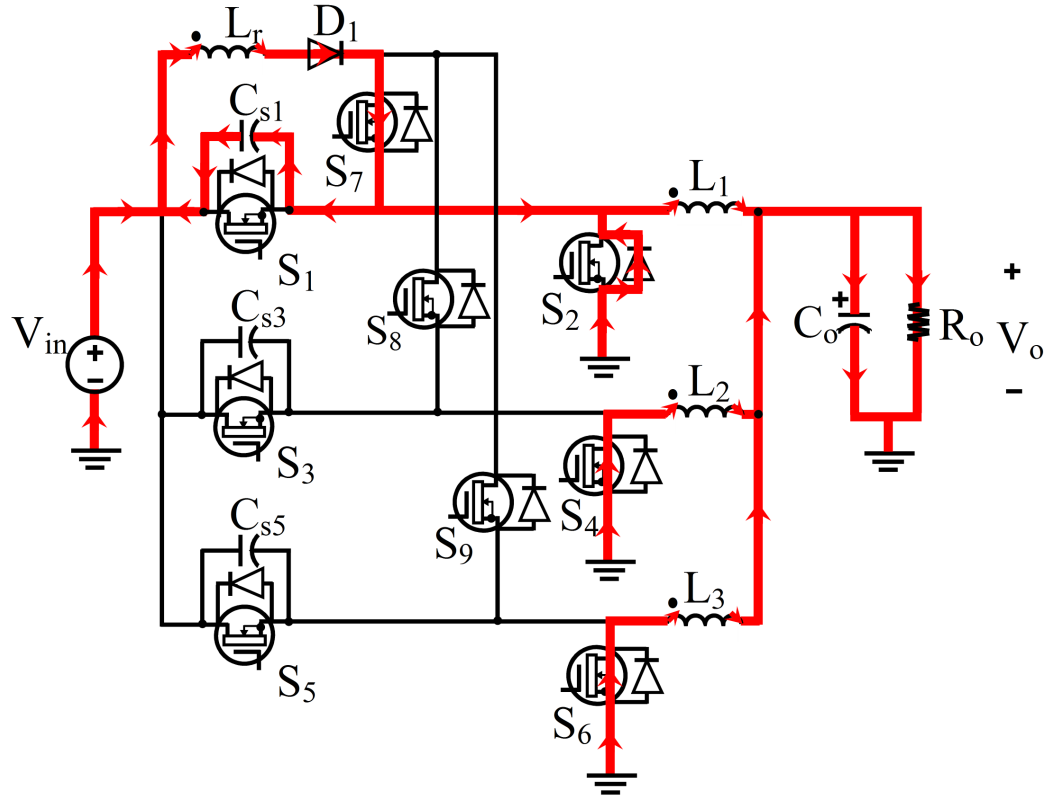


Fig. 4.3 (a): Modes of operation: Mode 1 ( $t_0 - t_1$ )

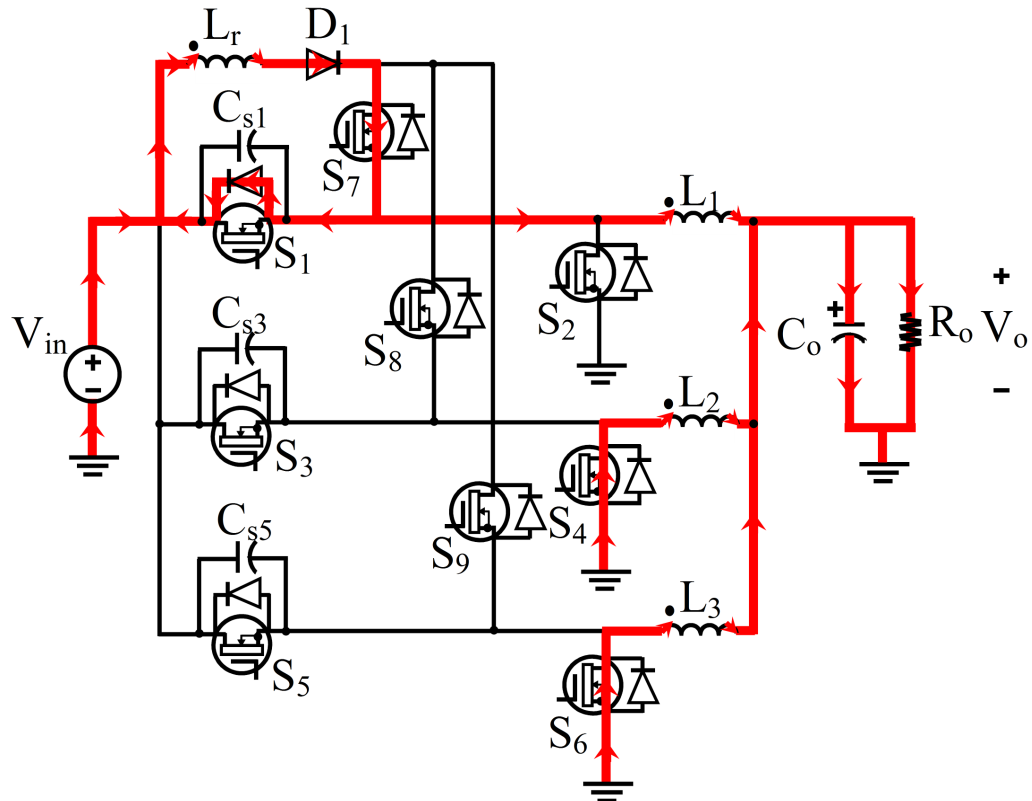
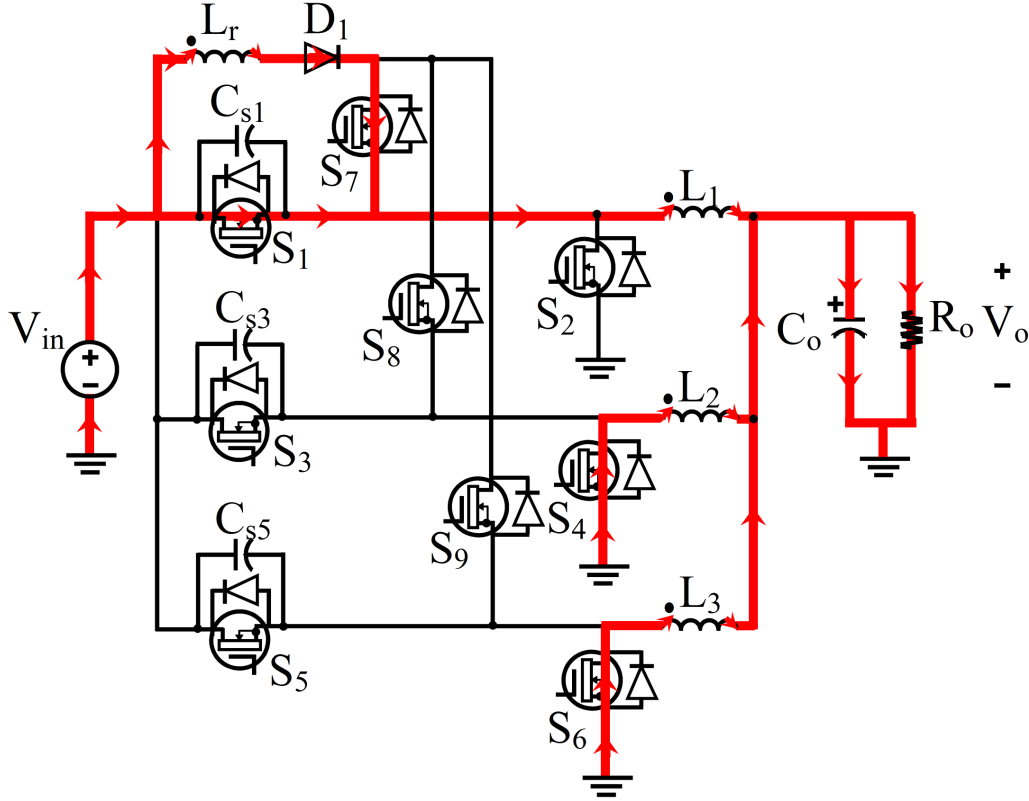


Fig. 4.3 (b): Modes of operation: Mode 2 ( $t_1 - t_2$ )

Fig. 4.3 (c): Modes of operation: Mode 3 ( $t_2 - t_3$ )

$$i_{Lr} = 0 \quad (4.8)$$

$$\text{Therefore, } t_{23} = t_3 - t_2 = \frac{2L_r}{R_{dson}} \ln \frac{3I_{Lrmax}}{I_0}.$$

**Mode 4 ( $t_3 - t_4$ ):** In this mode, the converter behaves as a conventional PWM converter. For the required output voltage, the turn on period of the main switch is decided. At the end of this mode, the main switch  $S_1$  is turned off under ZCS due to the existence of capacitor  $C_{S1}$  across it. The current expression for this mode can be expressed as:

$$i_{S1} = I_0 / 3 \quad (4.9)$$

**Mode 5 ( $t_4 - t_5$ ):** At  $t = t_4$ , the synchronous switch is turned on to provide a constant load current. At the end of this mode, the complete operation for one phase converter is completed and the second auxiliary switch  $S_8$  is turned on with a phase difference of  $360/n$ , where  $n$  is the number of phases, here  $n = 3$ . The same five modes will be repeated for each phase. So there are fifteen modes for this proposed multiphase converter. The current expression for this mode can be expressed as:

$$i_{S2} = I_o / 3 \quad (4.10)$$

The current through capacitor  $v_{Cs1}$  is given by:

$$RC_{s1} \frac{dv_{Cs1}}{dt} + v_{Cs1} = 0$$

Solving the above equation for  $v_{Cs1}$  the solution yields:

$$i_{Cs1} = \frac{V_{Cs1}}{R} e^{-t/RC_{s1}}$$

At the end of this mode, at  $t = t_5$ ,  $i_{Cs1} = 0$

Therefore,  $t_{45} = t_5 - t_4 = RC_{s1} \ln \frac{V_{Cs1}}{RC_{s1}}$ .

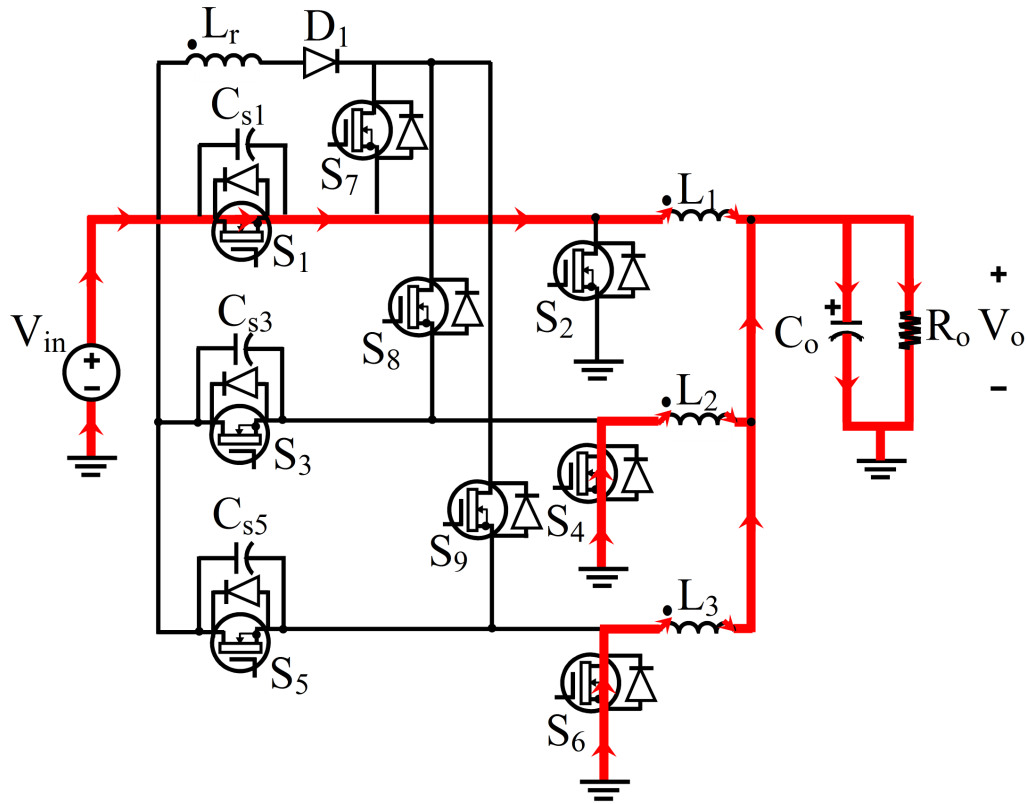
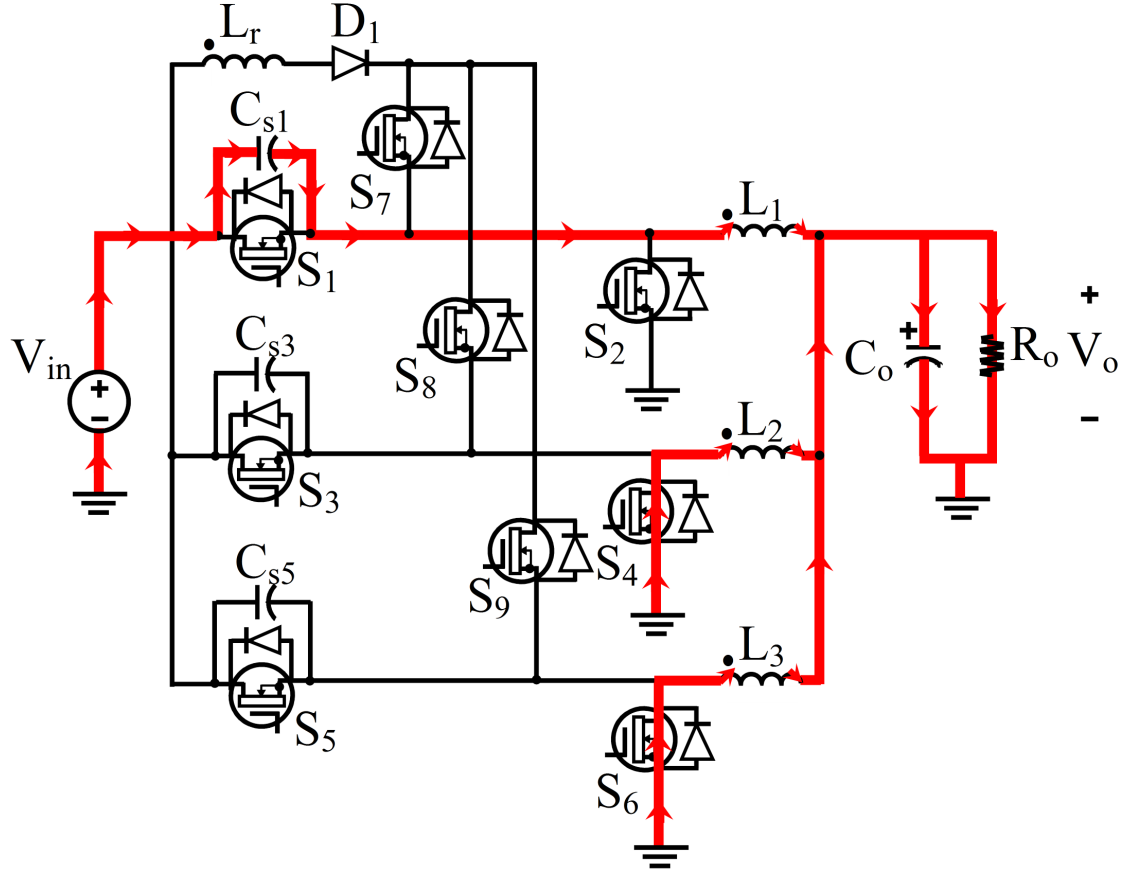


Fig. 4.3 (d): Modes of operation: Mode 4 ( $t_3 - t_4$ )

Fig. 4.3 (e): Modes of operation: Mode 5 ( $t_4 - t_5$ )

#### 4.4 Output voltage

The output voltage can be evaluated by balancing the volt-second relationship or by equating the energy relation i.e.,

$$V_o \tau = 3V_{in} [t_{01} + t_{12} + t_{23} + t_{34} + t_{45}]$$

$$V_o \tau = 3V_{in} \left[ \frac{I_0 L_r}{3V_{in}} + \frac{2L_r}{R} \ln \frac{3I_{Lrmax}}{I_0} + \frac{2L_r}{R_{on}} \ln \frac{3I_{Lrmax}}{I_0} + RC_{S1} \ln \frac{V_{CS1}}{RC_{S1}} \right] \quad (4.11)$$

Where  $\tau = \frac{1}{f_s}$  and  $f_s$  = Switching frequency.

From the above expression, it is noticeable that voltage conversion ratio depends upon switching frequency irrespective of the duty ratio.

## 4.5 Design Procedure

In order to use the proposed converter as an application of VRM, the auxiliary circuit parameters are chosen in an eloquent way. The design procedure of the auxiliary circuit components is as follows:

### 4.5.1 Resonant Capacitor $C_{s1}$

Resonant capacitor  $C_{s1}$  is selected to discharge from  $V_{in}$  to zero with the maximum output current over at least the time period  $t_{on}$  during the turn on of body diode. In this state, according to equations (4.3)

$$RC_{s1} \ln \frac{RI_0}{V_{CS1}} \geq t_{on} \quad (4.12)$$

### 4.5.2 Resonant Inductor $L_r$

Resonant inductor  $L_r$  is selected such that current through inductor can be reduced to zero from  $I_o/3$  in the same duration of rise in current from zero to  $I_o/3$  in main switch. In this case, from equation (4.5),

$$t_{23} = \frac{L_r}{R_{dson}} \quad (4.13)$$

## 4.6 Simulation and Experimental Results

The proposed converter is simulated using simulation software PSIM version 7.1 co-simulated with MATLAB/Simulink. The proposed converter works with an input voltage of  $V_{in}=12V$  and an output voltage of  $V_o=1V$ , a load current of 100A and a switching frequency of  $f_s=500$  kHz. Fig. 4.4 (a)—(k) shows the simulation results of the proposed converter.

Fig. 4.4 (a) – 4.4 (i) shows the voltage and current waveforms of the main, synchronous and auxiliary switches in the proposed ZVT-ZCT PWM SBC. Fig. 4.4 (j) and fig. 4.4 (k) presents the current waveform of resonant inductor  $L_r$  and shows the currents through filter inductors  $L_1$ ,  $L_2$ ,  $L_3$ . From the simulation results of the proposed converter which are shown in the fig. 4.4 (a)-4.4 (i), the voltage and current stresses are low as there are no rising and falling peaks during the turn ON and turn OFF of the switches because of the active auxiliary circuit incorporated with conventional multiphase synchronous buck converter which provides soft switching conditions for the switches. From the results, it is clear that the voltages of the switches are not greater than the input voltage and the currents through them



are not more than the average output current that eliminates the complication in switch selection. The simulation results of main, synchronous and auxiliary switches substantiate that the voltage and current stresses are extremely low. The uniform current distribution in each phase, which is a major problem in a multiphase synchronous buck converter, is elucidated in the proposed converter.

TABLE 4.1  
COMPONENTS USED FOR PROPOSED CONVERTER

Component	Experimental value/Model
Main Switches, $S_1, S_2, S_3$	IRLR8721PbF
Synchronous Switches, $S_4, S_5, S_6$	IRLR8721PbF
Auxiliary Switches, $S_7, S_8, S_9$	IRLR8721PbF
Diode $D_1$	MBRB4030
Resonant Inductor, $L_r$	22 nH
Capacitors $C_{s1}, C_{s2}, C_{s3}$	4.7 nF
Output Capacitor, $C_o$	20 $\mu$ F
Output Inductor, $L_1, L_2, L_3$	2 $\mu$ H

The multiphase ZVT-ZCT PWM synchronous buck converter integrated with active auxiliary circuit has been built and substantiated with experimental results. The experimental prototype built in the laboratory of the proposed converter is shown in fig. 4.5. Experimental results shown in fig. 4.6 depicts analogous to the simulation results. Fig. 4.6 (a), fig. 4.6 (c), fig. 4.6 (e) shows the voltage and current waveforms of main switches  $S_1, S_3, S_5$  wherein the voltage and current stresses of the main switches are imperceptible. Fig. 4.6 (b), fig. 4.6 (d), fig. 4.6 (f) presents the voltage and current waveforms of synchronous switches  $S_2, S_4, S_6$ , which shows minimal voltage and current stresses. Similarly fig. 4.6 (g)-4.6 (i) corroborates that the voltage and current stresses of auxiliary switches  $S_7, S_8, S_9$  are eliminated. Fig. 4.6 (j) presents the current waveform of resonant inductor  $L_r$ . Fig. 4.6 (k) depicts that the current through the filter inductors  $L_1, L_2, L_3$ , in each phase uniformly distributed that solves the issue of phase shedding. The experimental components employed in the proposed ZVT-ZCT multiphase SBC converter are tabulated in Table 4.1. Fig. 4.7 (a) signifies that the main switch  $S_1$  spike current is decimated; the waveform is almost equivalent to the traditional buck converter. Thus, the rating of the main switch required for the buck converter is retrenched by the introduction of ZVT-ZCT operation. The main switch is turned ON under

ZVT and turned OFF with ZCT, due to which the mainstream switching losses got diminished. It can be observed from Fig. 4.7 (b) that the synchronous switch  $S_2$  is turned OFF under ZCT and turned ON with ZVT operation. The reverse recovery (RR) effect due to the body diode of  $S_2$  is almost attenuated. The auxiliary switch in fig. 4.7 (c) is turned ON under ZVT and turned OFF with ZCT thereby reducing the voltage and current stresses on the switch. The simulation and experimental results affirms that the component's voltage and current ratings, and also the energy volumes of passive elements used are considerably reduced that enhances the performance of the proposed converter.

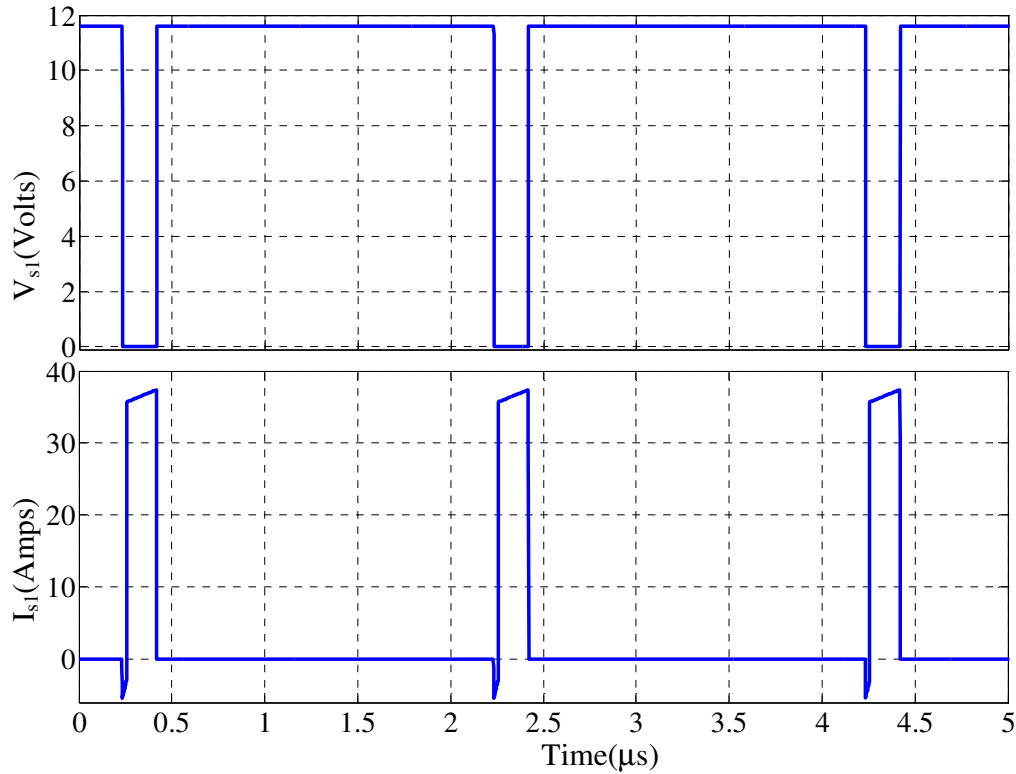


Fig. 4.4 (a): Simulated voltage and current waveforms of main switch  $S_1$ :  $V_{s1}$  in Volts and  $I_{s1}$  in Amps.

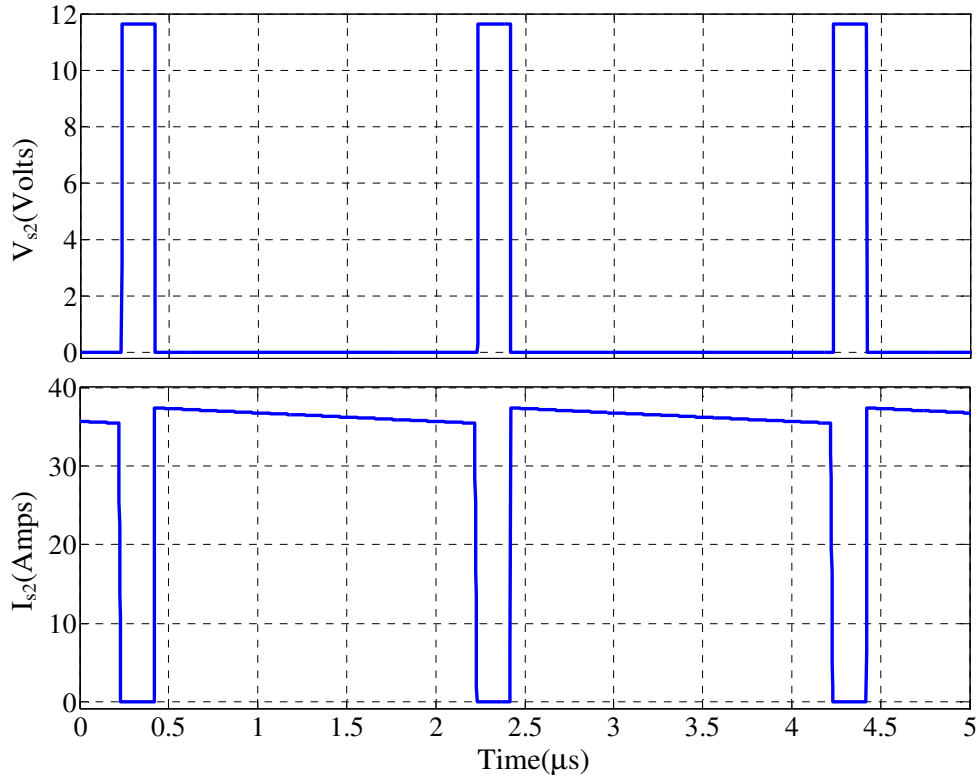


Fig. 4.4 (b): Simulated voltage and current waveforms of synchronous switch  $S_2$ :  $V_{s2}$  in Volts and  $I_{s2}$  in Amps.

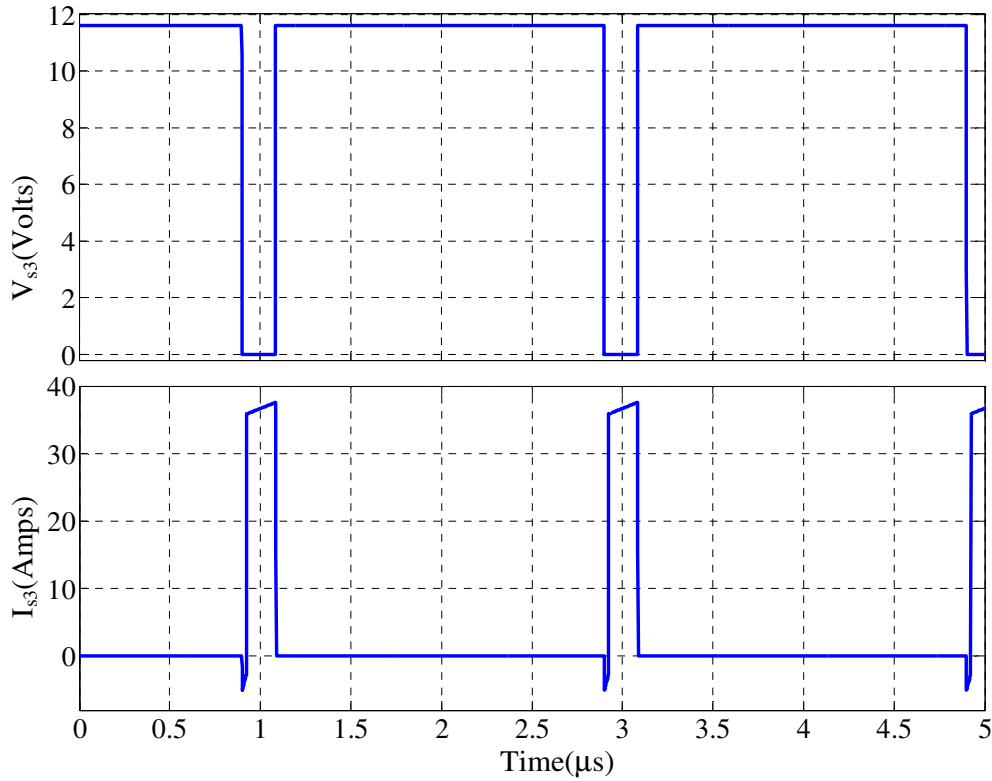


Fig. 4.4 (c): Simulated voltage and current waveforms of synchronous switch  $S_3$ :  $V_{s3}$  in Volts and  $I_{s3}$  in Amps.

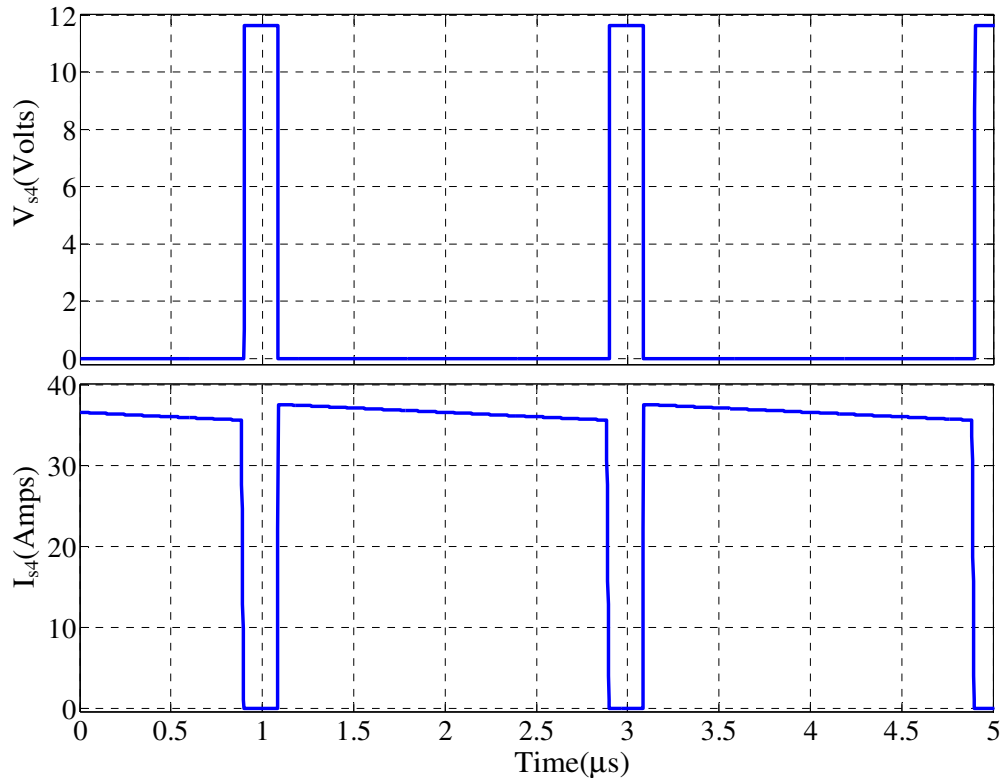


Fig. 4.4 (d): Simulated voltage and current waveforms of synchronous switch  $S_4$ :  $V_{s4}$  in Volts and  $I_{s4}$  in Amps.

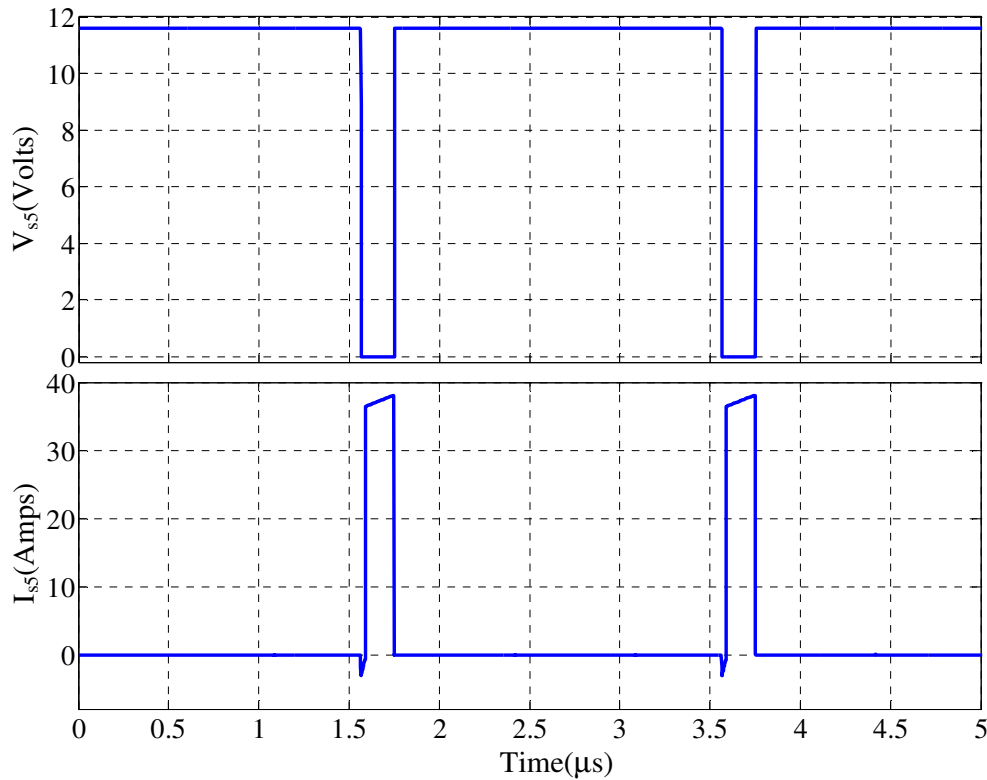


Fig. 4.4 (e): Simulated voltage and current waveforms of synchronous switch  $S_5$ :  $V_{s5}$  in Volts and  $I_{s5}$  in Amps.

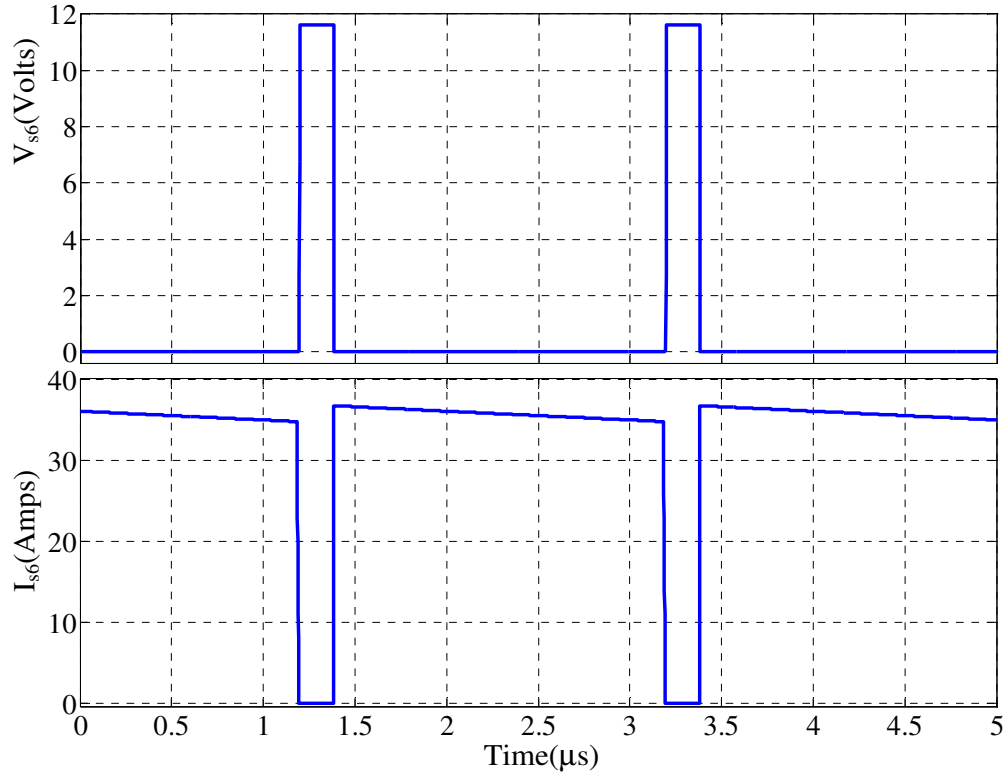


Fig. 4.4 (f): Simulated voltage and current waveforms of synchronous switch  $S_6$ :  $V_{s6}$  in Volts and  $I_{s6}$  in Amps.

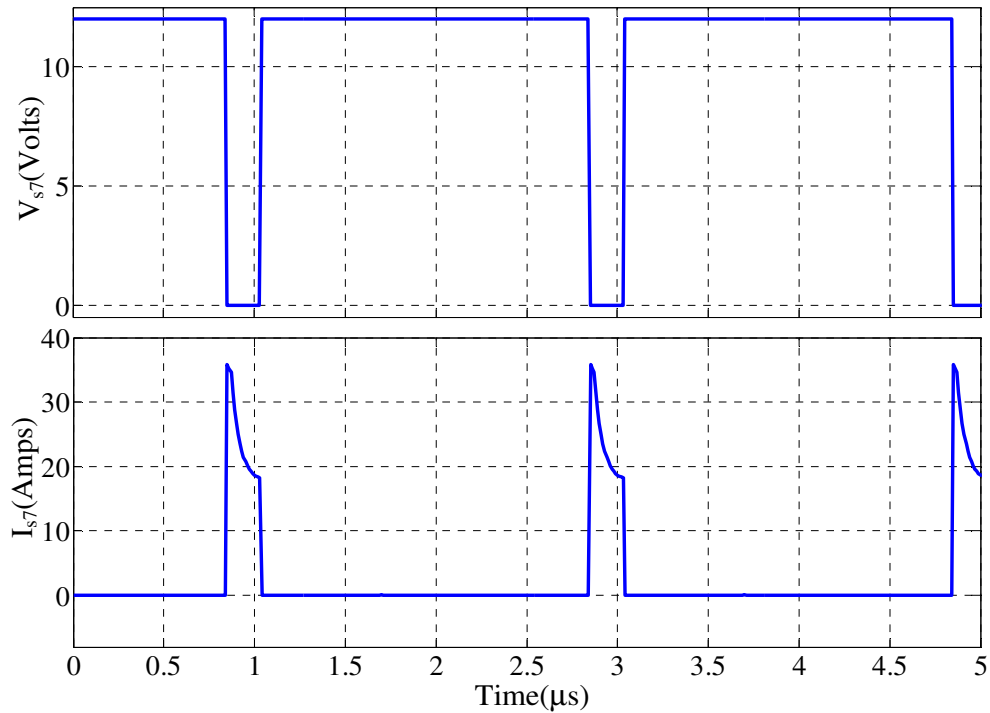


Fig. 4.4 (g): Simulated voltage and current waveforms of synchronous switch  $S_7$ :  $V_{s7}$  in Volts and  $I_{s7}$  in Amps.

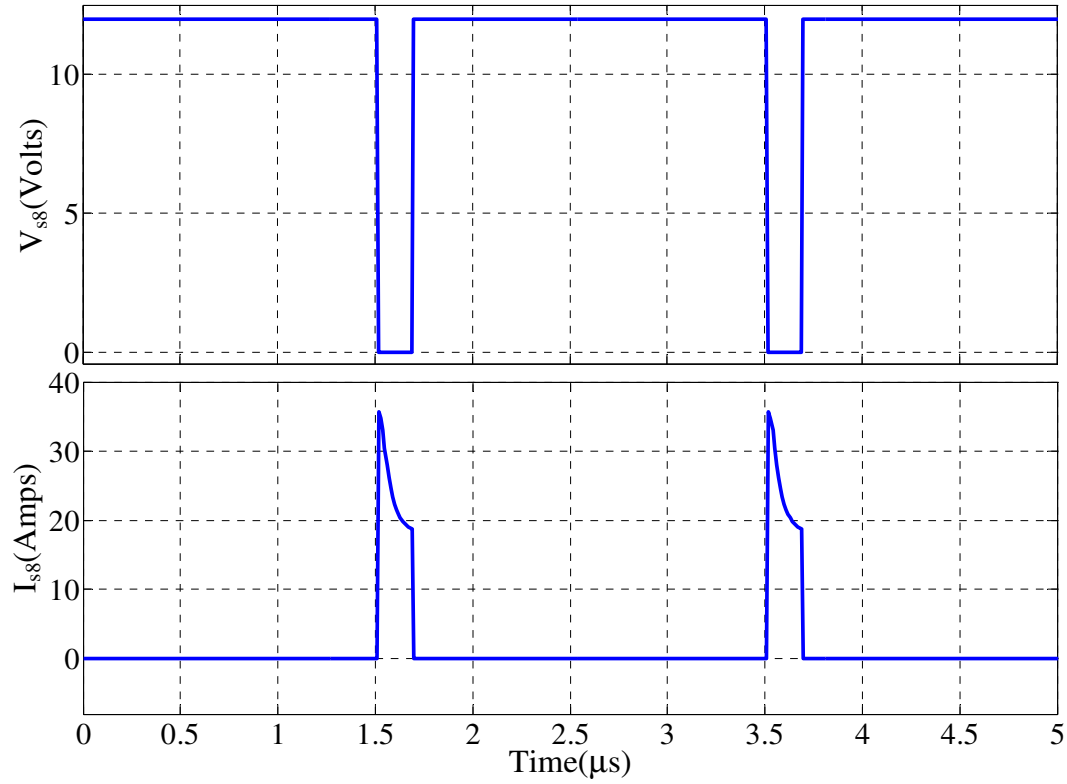


Fig. 4.4 (h): Simulated voltage and current waveforms of synchronous switch  $S_8$ :  $V_{s8}$  in Volts and  $I_{s8}$  in Amps.

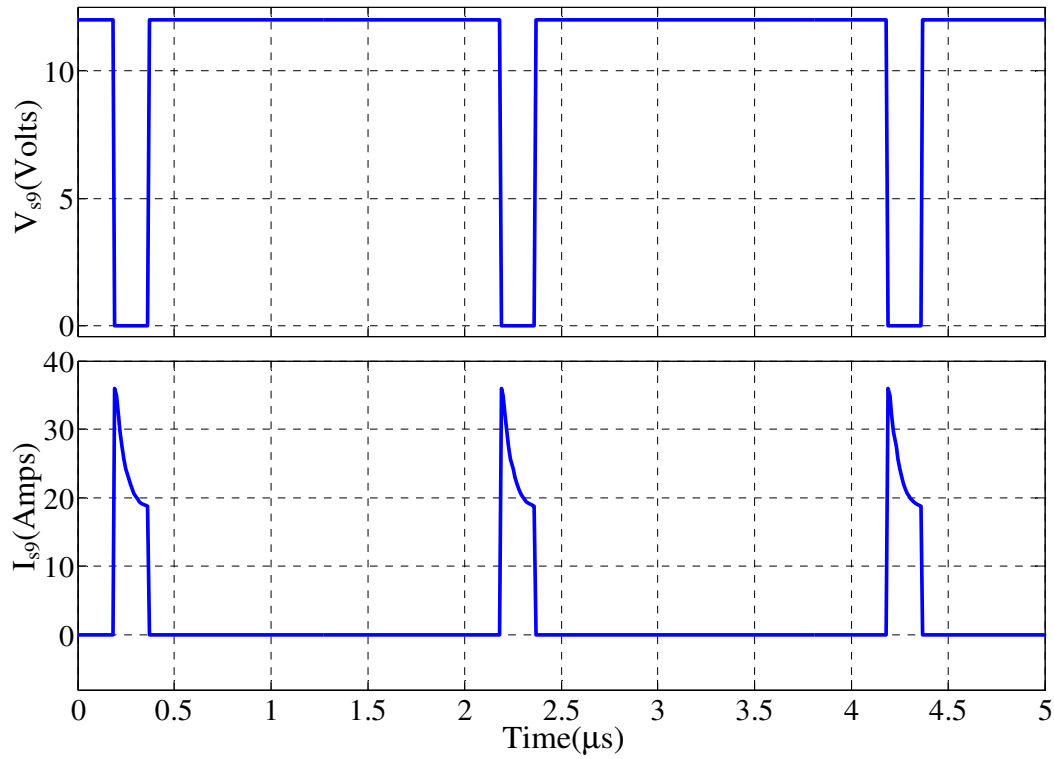


Fig. 4.4 (i): Simulated voltage and current waveforms of synchronous switch  $S_9$ :  $V_{s9}$  in Volts and  $I_{s9}$  in Amps.

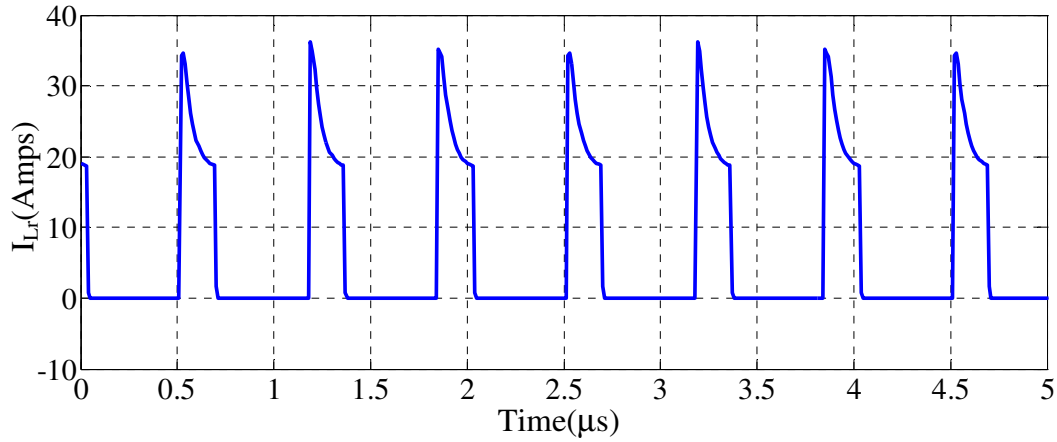
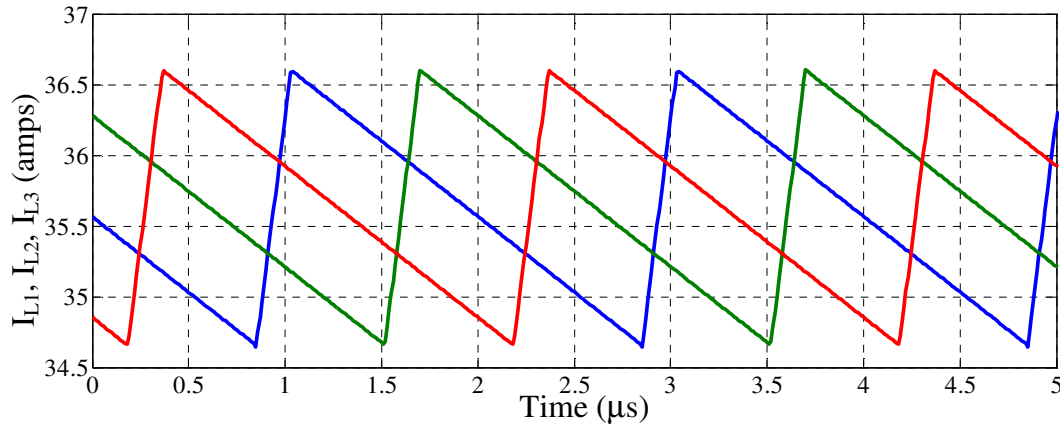
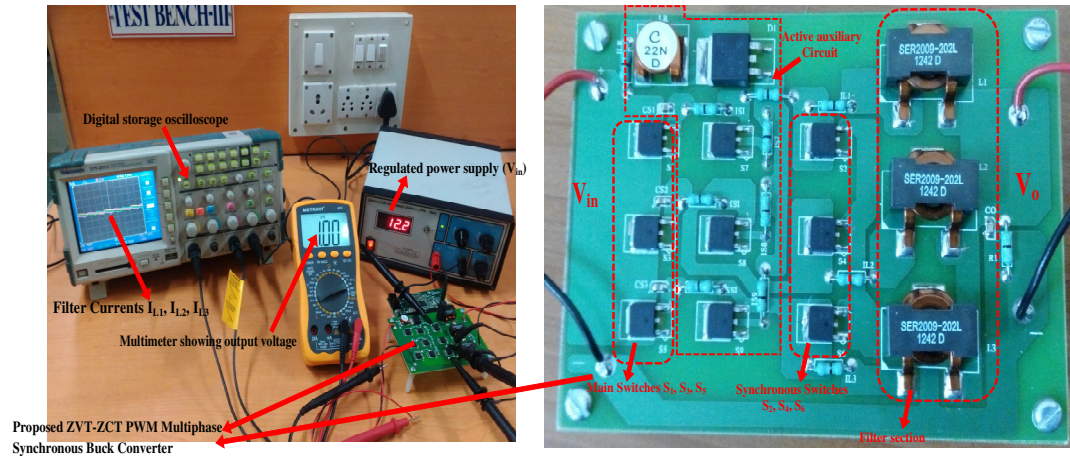
Fig. 4.4 (j): Simulated current waveform of resonant inductor:  $I_{Lr}$  in Amps.Fig. 4.4 (k): Simulated current waveforms of filter inductors:  $I_{L1}$ ,  $I_{L2}$ ,  $I_{L3}$  in Amps.

Fig. 4.5: Experimental setup of proposed multiphase ZVT-ZCT PWM synchronous Buck Converter

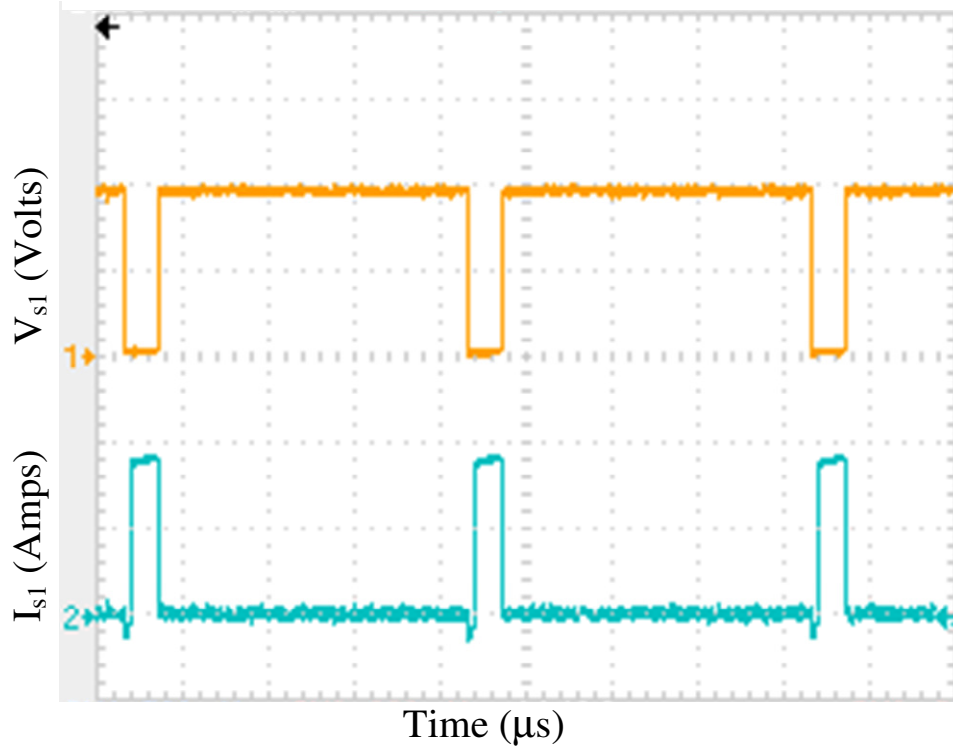


Fig. 4.6 (a): Experimental voltage and current waveform of Main switch  $S_1$ : [ $V_{s1}$ : 6V/Div;  $I_{s1}$ : 17.5A/Div; time: 0.5 $\mu$ s/Div]

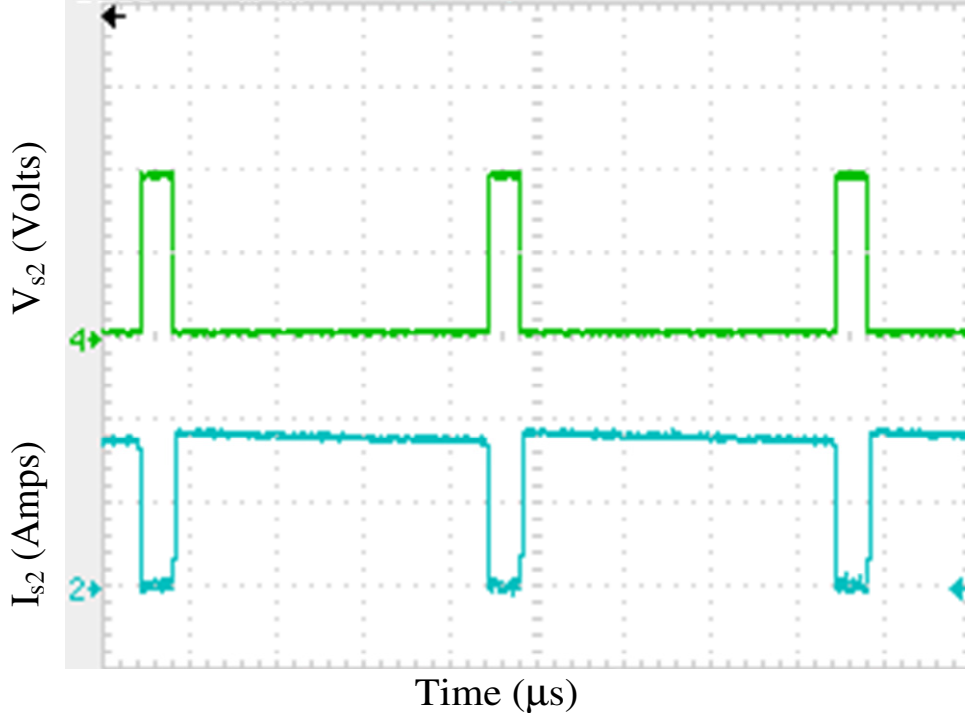


Fig. 4.6 (b): Experimental voltage and current waveform of synchronous switch  $S_2$ : [ $V_{s2}$ : 6V/Div;  $I_{s2}$ : 17.5A/Div; time: 0.5 $\mu$ s/Div]



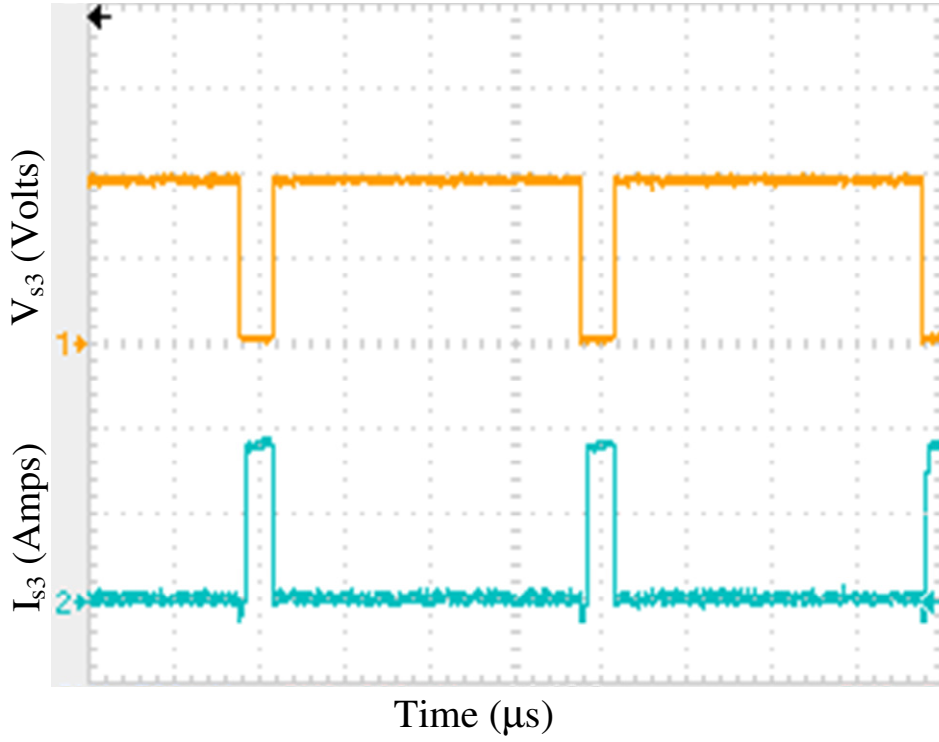


Fig. 4.6 (c): Experimental voltage and current waveform of synchronous switch  $S_3$ : [ $V_{s3}$ : 6V/Div;  $I_{s3}$ : 17.5A/Div; time: 0.5 $\mu s$ /Div]

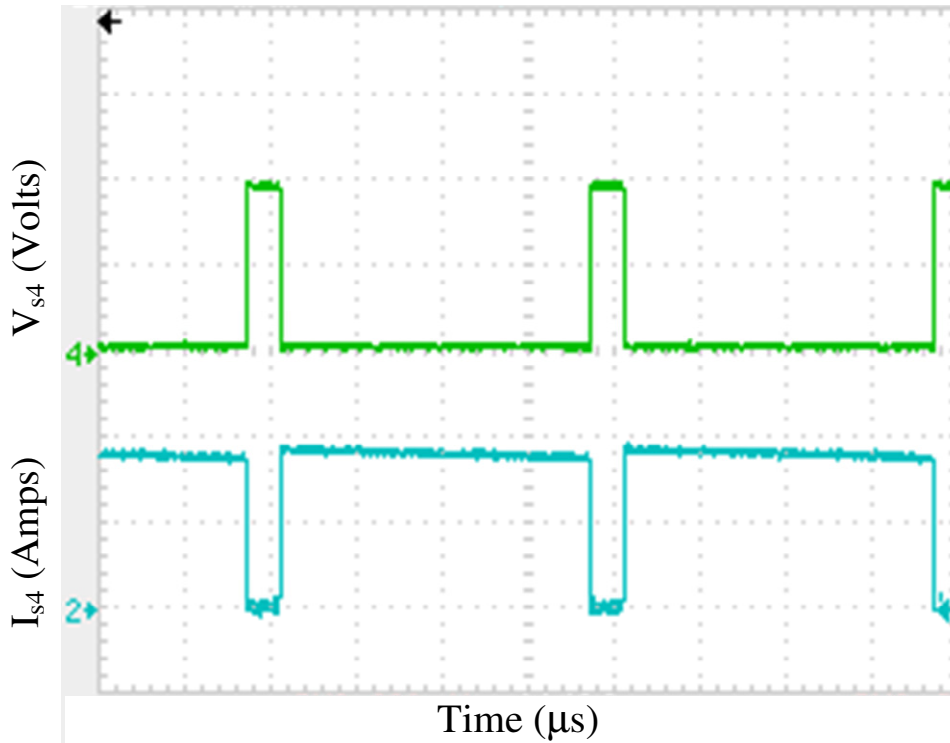


Fig. 4.6 (d): Experimental voltage and current waveform of synchronous switch  $S_4$ : [ $V_{s4}$ : 6V/Div;  $I_{s4}$ : 17.5A/Div; time: 0.5 $\mu s$ /Div]

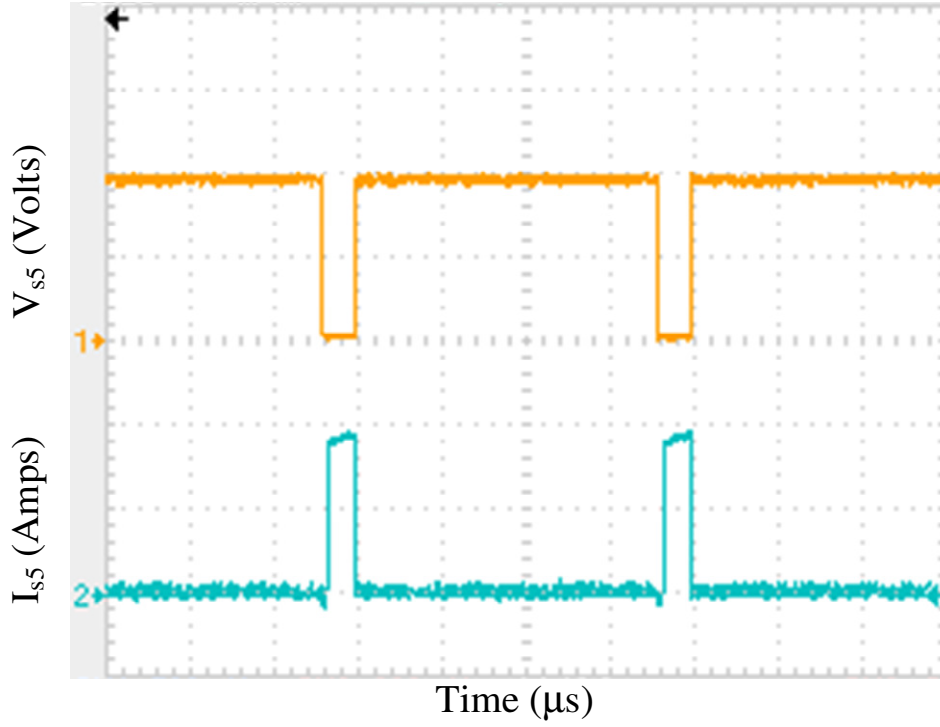


Fig. 4.6 (e): Experimental voltage and current waveform of synchronous switch  $S_5$ : [ $V_{s5}$ : 6V/Div;  $I_{s5}$ : 17.5A/Div; time: 0.5 $\mu$ s/Div]

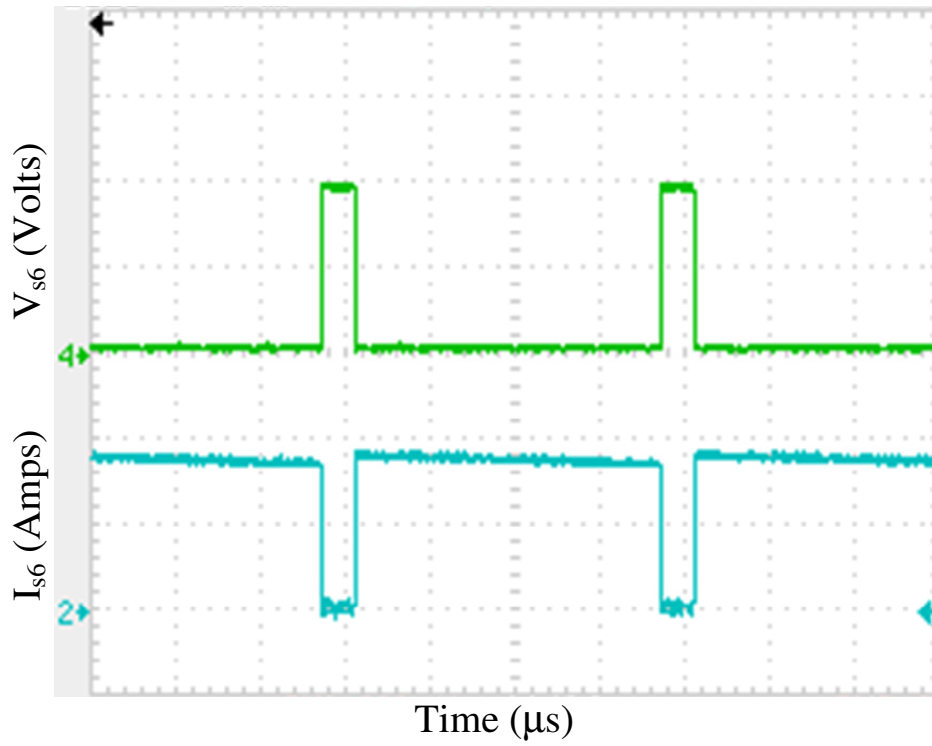


Fig. 4.6 (f): Experimental voltage and current waveform of synchronous switch  $S_6$ : [ $V_{s6}$ : 6V/Div;  $I_{s6}$ : 17.5A/Div; time: 0.5 $\mu$ s/Div]

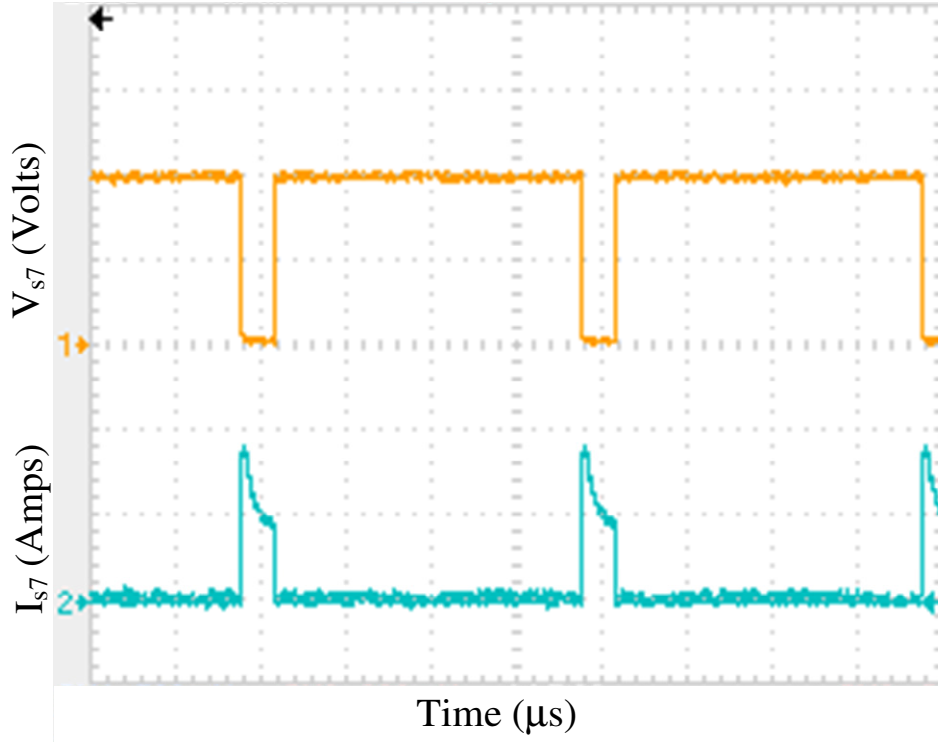


Fig. 4.6 (g): Experimental voltage and current waveform of synchronous switch  $S_7$ : [ $V_{s7}$ : 6V/Div;  $I_{s7}$ : 17.5A/Div; time: 0.5 $\mu$ s/Div]

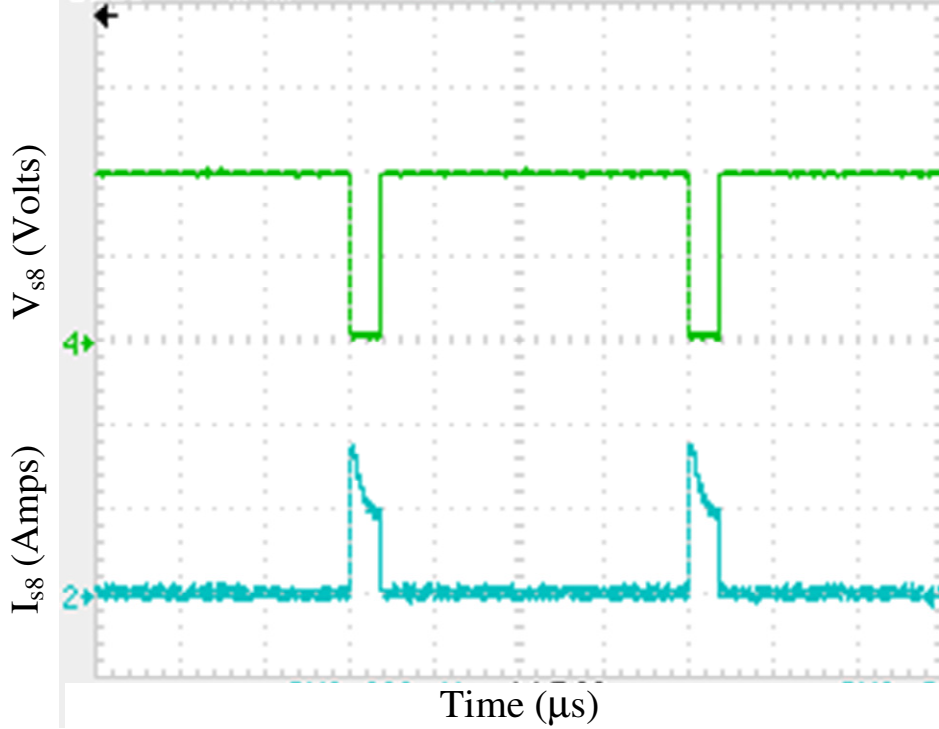


Fig. 4.6 (h): Experimental voltage and current waveform of synchronous switch  $S_8$ : [ $V_{s8}$ : 6V/Div;  $I_{s8}$ : 17.5A/Div; time: 0.5 $\mu$ s/Div]

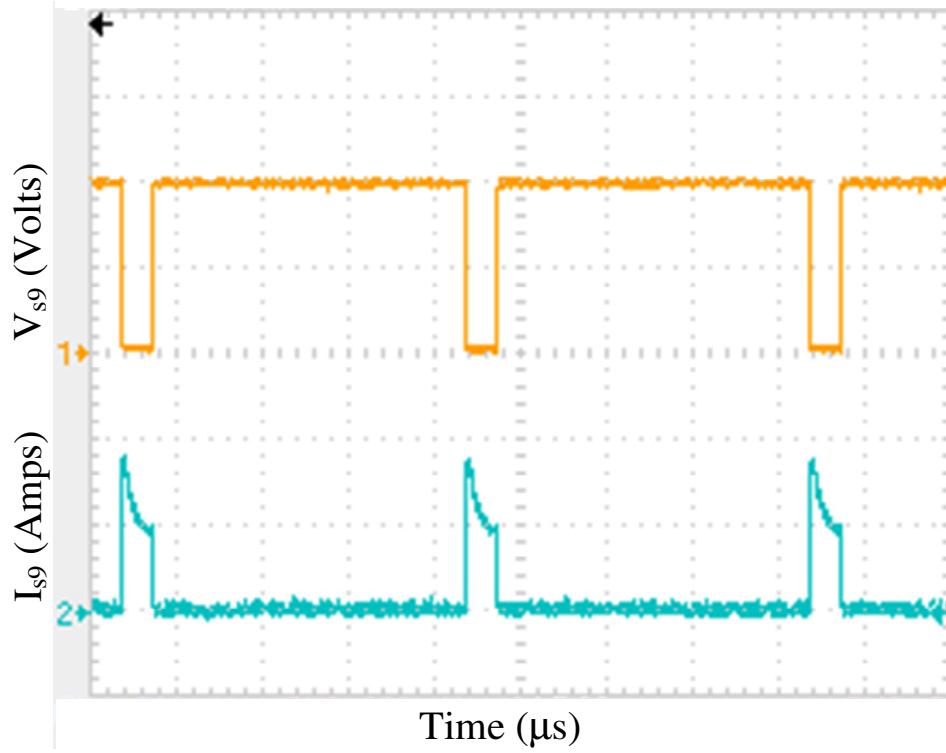


Fig. 4.6 (i): Experimental voltage and current waveform of synchronous switch  $S_9$ : [ $V_{s9}$ : 6V/Div;  $I_{s9}$ : 17.5A/Div; time: 0.5 $\mu$ s/Div]

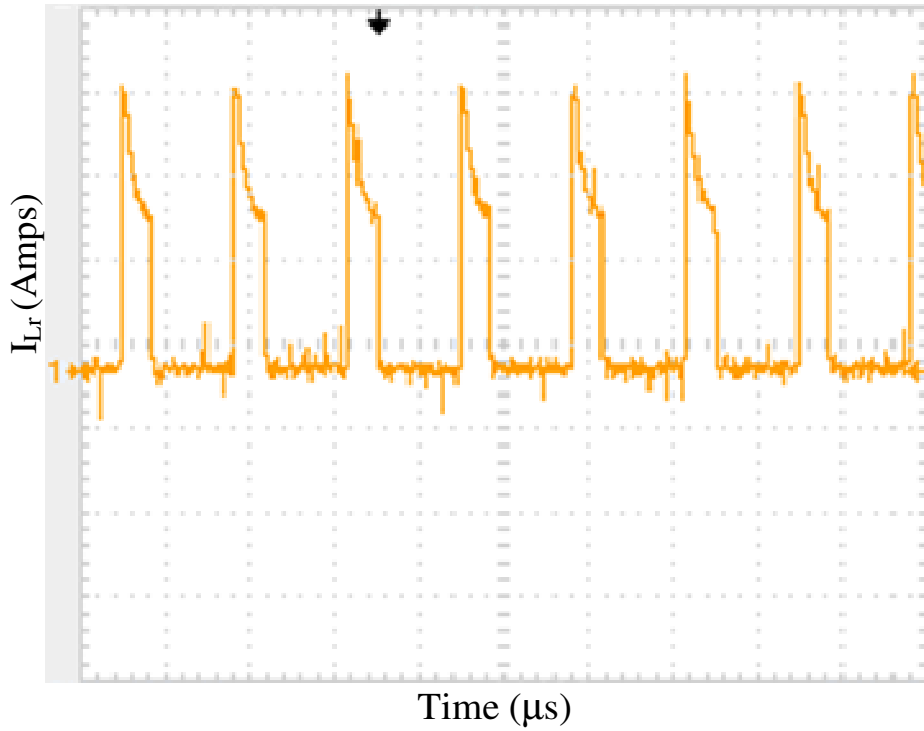


Fig. 4.6 (j): Experimental current waveform of resonant inductor  $L_r$ : [ $I_{Lr}$ : 10A/Div; time: 0.5 $\mu$ s/Div]

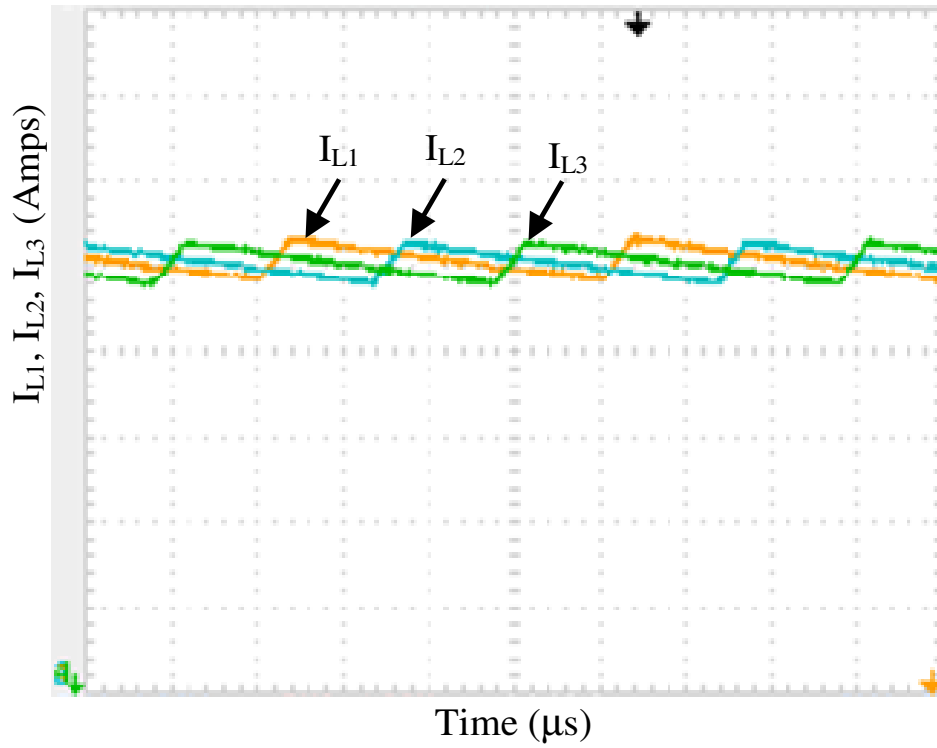


Fig. 4.6 (k): Experimental current waveform of filter inductors  $L_1$ ,  $L_2$ ,  $L_3$ : [ $I_{L1}$ ,  $I_{L2}$ ,  $I_{L3}$ :  $7 \text{ A}/\text{Div}$ ; time:  $0.5 \mu\text{s}/\text{Div}$ ]

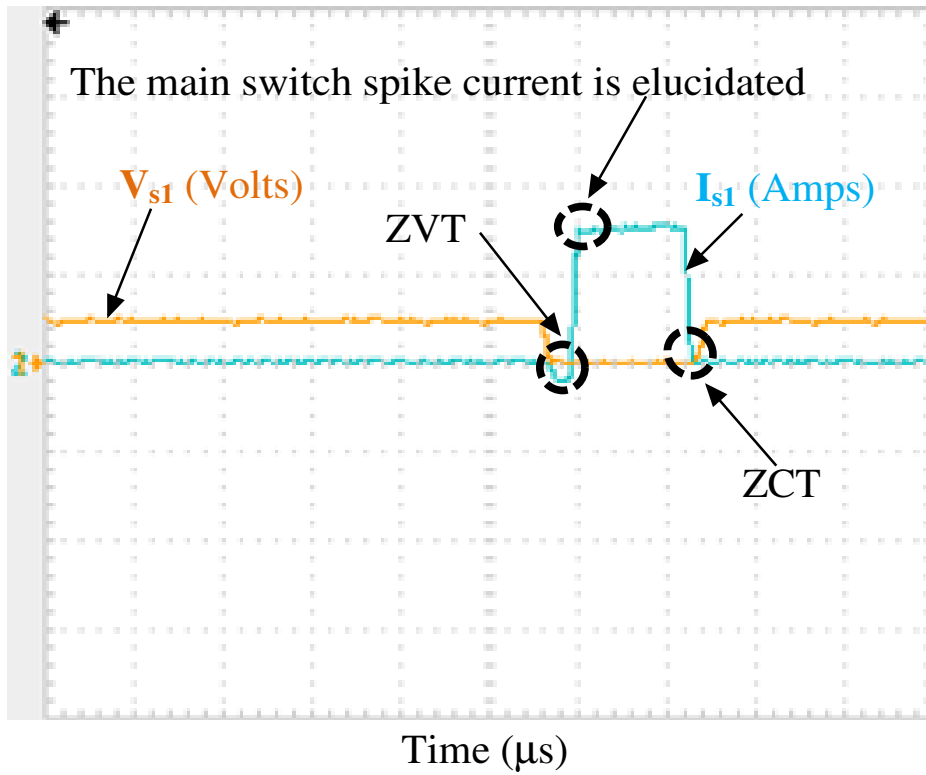


Fig. 4.7 (a): Experimental voltage and current waveforms of main switch  $S_1$  exhibits soft switching conditions [ $V_{s1}$ :  $24 \text{ V}/\text{Div}$ ;  $I_{s1}$ :  $20 \text{ A}/\text{Div}$ ; time:  $0.2 \mu\text{s}/\text{Div}$ ].

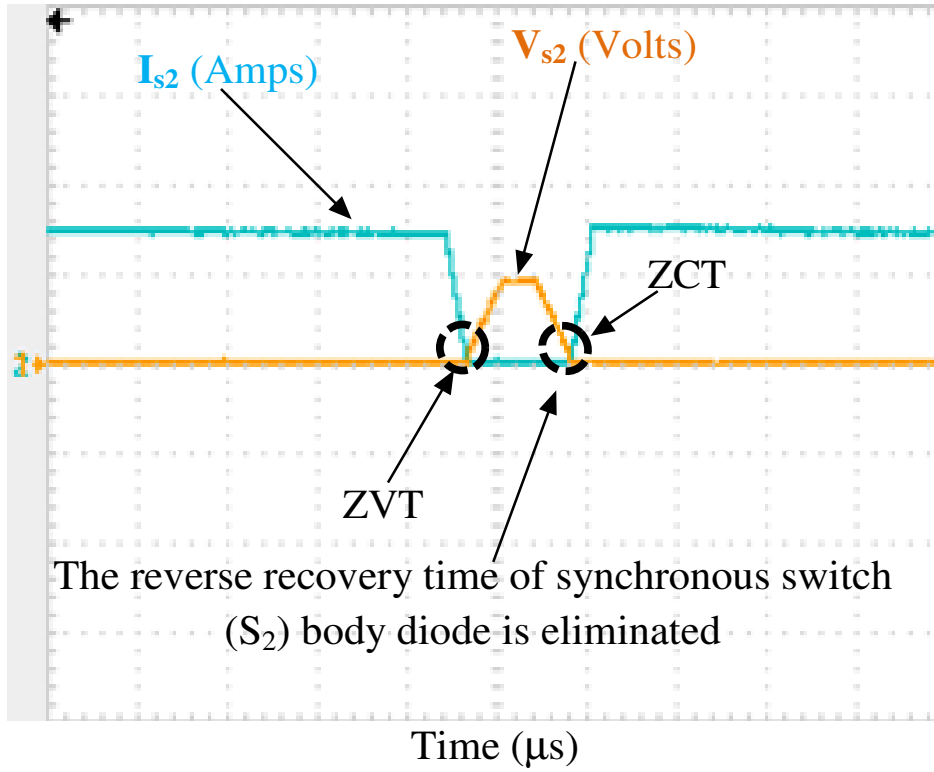


Fig. 4.7 (b): Experimental voltage and current waveforms of synchronous switch  $S_2$  exhibits soft switching conditions [ $V_{s2}$ : 24V/Div;  $I_{s2}$ : 20A/Div; time: 0.2 $\mu$ s/Div].

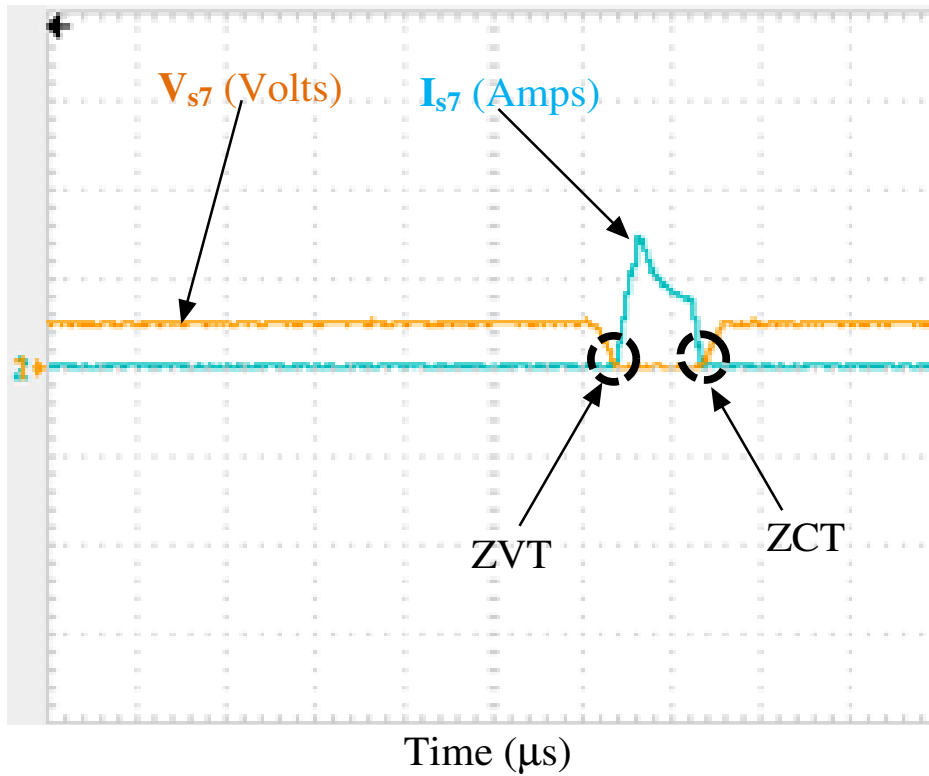


Fig. 4.7 (c): Experimental voltage and current waveforms of auxiliary switch  $S_7$  exhibits soft switching conditions [ $V_{s7}$ : 24V/Div;  $I_{s7}$ : 20A/Div; time: 0.2 $\mu$ s/Div].

## 4.7 Efficiency curve

From fig. 4.8, it can be seen that efficiency values of the proposed converter are comparatively higher than the traditional converter. The converter is designed for the maximum output current, and it is accustomed that towards minimum output power efficiency decreases. At nearly 70A of output current, the efficiency of the proposed converter rises to about 96% when compared to the counterpart traditional converter, whose efficiency is about 92%. The high efficiency of the proposed converter proves the definiteness of the design values.

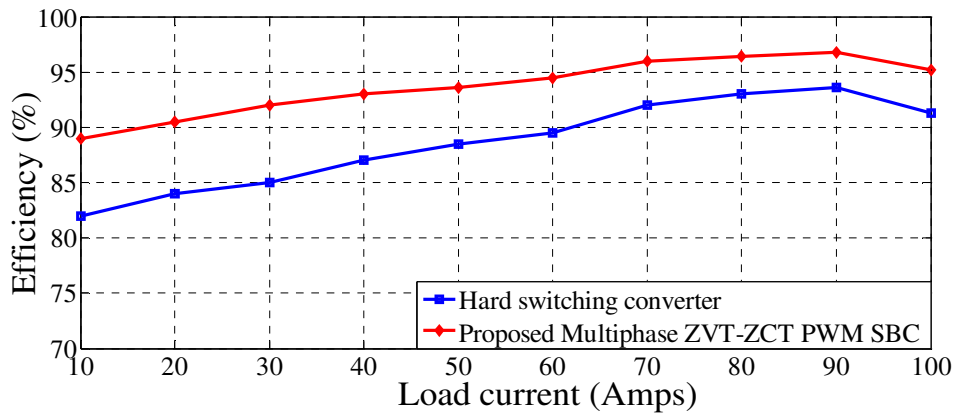


Fig. 4.8: Efficiency curve of proposed converter in comparison with traditional buck converter.

### 4.7.1 Contrast between the proposed converters

TABLE 4.2  
COMPARISON AMONG THE PROPOSED CONVERTERS

Synchronous buck converter with active auxiliary circuit	Passive auxiliary circuit integrated with synchronous buck converter	Multiphase synchronous buck converter with active auxiliary circuit
All semiconductor devices operate under soft switching condition. Main switch	All semiconductor devices operate under soft switching condition. Both the switches	The switches in the circuit operate under soft switching condition i.e., ZVS turn ON and

operates with ZCZVS and auxiliary switch turns ON with ZVT and turns OFF with ZCT	operate with ZVT-turn OFF and ZCT-turn ON.	ZCS turn OFF.
The auxiliary circuit consists of an extra switch, diodes $D_1$ , $D_2$ , $D_3$ , resonant inductors $L_r$ , $L_b$ and resonant capacitor $C_r$ . The extra switch increases the complexity of the control circuit; structure is complex and a little high cost.	The auxiliary circuit consists of a resonant inductors $L_r$ , $L_b$ , resonant capacitors $C_r$ , $C_b$ and diodes $D_1$ , $D_2$ . The circuit is easily controllable, simple and low cost.	The auxiliary circuit consists of a switch $S_7$ , a diode $D_1$ , resonant inductor $L_r$ and a resonant capacitor $C_{s1}$ for each phase. The switch in each phase adds control complexity and increases the cost but outweighs the other two converters in terms of application point of view.
The efficiency at maximum load is about 96%	Efficiency at maximum load is 97%	The efficiency at maximum load is 95%.
It is used for high density power applications.	Circuit is used for low power applications at high switching frequency.	Used for low voltage high current applications such as laptop and desktop processors.

Moreover, switching losses of the proposed converters and stresses of the switches are minimised by utilising their corresponding auxiliary circuits.

## 4.8 Summary

The concept of ZVT-ZCT is implemented in multiphase synchronous buck converter and it is shown that the switching losses in synchronous buck converter are eliminated. Significant efficiency improvement with soft switching as compared to hard-switching converter is achieved and it is evident from the efficiency curve. Both main switch and synchronous switches are turned-on and turned-off under ZVS and ZCS respectively. The auxiliary switches are turned-on and turned-off under ZVS and ZCS with tolerable voltage stresses across the switch. Hence, switching losses are reduced and the proposed multiphase synchronous buck converter is highly efficient than the conventional converter. In contrast to the conventional topology the proposed topology resolves the issues of unbalance distribution of current, the high amount of losses in the converter and operates with both soft switching conditions that enhance the performance of the converter. This proposed converter with a high switching frequency is designed for application in new generation microprocessor.



# Chapter 5

## CONCLUSIONS AND FUTURE SCOPE



## **CHAPTER 5: CONCLUSIONS AND FUTURE SCOPE**

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### **5.1 Conclusions**

The modern power electronic equipment demands high power density, high efficiency, low cost and reliability that creates different challenges to the power supply designer. A switchmode rectifier with enhanced performance should possess these demands. Design of a DC-DC buck converter with high efficiency should be made in viewpoint of contemporary topologies. Applications such as automotive power systems, industrial controls, distributed systems, desktop processors requires DC-DC converter with high step down voltage conversion, that results in high operating switching frequency. The converter operating at high frequency reduces the size of the converter and cost, but raises the frequency related losses i.e., switching loss.

The thesis focuses on finding the solution for high step down converters and low power converter with a high switching frequency. The traditional buck topology is unable to deal with low voltage conversion ratio, as it results in degradation of converter efficiency, lags in utilizing the components and hinders the transient response. The dissertation includes the topologies to overcome these drawbacks by applying the soft switching techniques into the synchronous buck converter.

To accomplish high power density, there is a need to increase switching frequency. The increase in switching frequency leads to rise in the switching loss. Hence, minimizing the loss issue is a prime factor which is achieved by soft switching techniques.

The present research unveils distinct topologies with enhanced performance DC-DC converter for various applications. The work presented in this thesis specifically contributed chapters from 2,3,4 can be summarised as follows:

1. For high density power applications a ZVT-ZCT PWM synchronous buck converter is proposed. The topology is incorporated with active auxiliary circuit and validated in the laboratory. The components used in the auxiliary circuit have lower ratings than the main power circuit; that reduces the loss as it is used for short duration in a switching cycle. The concept of ZVT-ZCT is applied in a synchronous buck converter in the high power conditions result in the decrease of switching loss. Simulation and experimental results show that the main switch turns ON and turns OFF with ZCZV condition, the synchronous switch turns ON with ZVT and turns OFF with ZCT whereas the auxiliary switch turns ON with ZVT and turns OFF with ZCT, so these results lead to the reduction in the switching loss of the proposed converter. The efficiency of the proposed converter is more in contrast to the conventional

synchronous buck converter and it is verified in the efficiency graph. The voltage and current stresses on the switches are low and maintained to be under tolerable values. The converter is structurally simple, cost effective and highly efficient.

2. A passive auxiliary circuit is employed into the synchronous buck converter to accomplish the soft switching, for low power applications at high switching frequency. The reverse recovery peak current of the diode and switching loss of the switches are reduced by a large amount, using a simple passive auxiliary circuit which recovers the energy during turn ON. Furthermore, it also offers ZVS and ZCS switching conditions to turn ON and turn OFF. The energy in the snubber is recovered to the load exempting the use of main switch path, thereby conduction loss is curtailed. But this extra conduction loss is available in synchronous buck converter with active auxiliary switch, as the stored energy is transferred to the load through the main switch path. Consequently, the synchronous buck converter that incorporates passive auxiliary circuit is more efficient. The circuit layout is simple as it uses a lower number of circuit components. Experimental results are analogous to the simulation results and the converter is developed in the laboratory. The voltage and current stresses on the main components are within admissible values.
3. The modern trend new generation microprocessor demands low voltage, high current application converter. In order to meet such demands, the multiphase buck converter is a suitable candidate. The ZVT-ZCT soft switching technique incorporated into the multiphase synchronous buck converter for high current application. The low duty cycle in the proposed converter facilitates to operate with a high switching frequency. High switching frequency leads to increase in switching loss. Therefore, concept of ZVT-ZCT employed into conventional multiphase synchronous buck converter to enhance the efficiency. An experimental setup is built in the laboratory and authenticated by the efficiency graph with conventional multiphase synchronous buck converter. The switches in the proposed converter turn ON under ZCS and turn OFF under ZVS which is shown by experimental and simulation results. The stresses on the devices are maintained under the tolerable range.

Switching loss in the converters and low duty cycle in low voltage, high current portable equipments are found to be a major concern. These problems in the converters are solved and solutions are established by the proposed soft switching topologies in the thesis.

## 5.2 Scope for Future Work

The dissertation has made an attempt to cross some technological barricades for the purpose of future power management. Research has been carried out to the maximum extent

and some solutions are found, but for the future research work some suggestions to enhance the quality of research in this area are as follows:

### **5.2.1 Control issues:**

The proposed topologies operate with open loop conditions. Many control strategies such as voltage mode control, hysteresis control, current mode control etc., can be used for the performance enhancement like transient response, line regulation etc.

### **5.2.2 Parasitic effects in the components:**

The parasitic capacitance is an inevitable and undesirable aspect that usually located between parts of the circuit and different electronic components which are in use as they are closely placed in the layout. The internal capacitance exists in different circuit elements such as inductors, transistors and diodes which cause to change their behaviour from ideal circuit element. Parasitic capacitance also exists in the wires and printed circuit board traces which are adjacently spaced conductors.

The inductor because of its orientation of close space winding, it often behaves as it consists of parallel capacitor. The potential difference present across coils is affected by the wires lying adjacent to them at different potentials produce electric field. This makes to act as plates of a capacitor that stores the charge. If the voltage across the coil is varied, it requires more current to charge and discharge the capacitors. In the low frequency circuits, of the voltage doesn't vary quickly, the excess current is negligible, but when the voltage varies abruptly the excess current is high and makes a significant impact on the operation of the circuit.

Thus, the low frequency circuitual parasitic capacitance effect is neglected, whereas in the high frequency circuit it causes a major problem. The gate-source parasitic capacitance in the MOSFET creates an inevitable conduction in the switch even when the gate pulse is not applied. Hence, proper design of the converter of high frequency minimizes the effect of parasitic capacitance.

### **5.2.3 Designing the converter at high switching frequency:**

In this dissertation, topologies are proposed and designed upto 500 kHz. The demand of high output power density with high frequency converter has been increased in the recent years. The output power density of the converter experiences untoward effects because of switching frequency. Therefore, there is a need to enhance the PCB layout design and analysis of the circuits that could constitute to improve the operating frequency. Despite, the issues arise from the high frequency converter design, PCB layout circuit design and power device

selection are the key factors to expand the ability of frequency-output product in high frequency converter application.

As frequency increases, the switches need to drive at high speed which is impractical.

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# Dissemination

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