1	New perspectives on the development of extrahepatic portosystemic shunts
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3	Robert N. White
4	School of Veterinary Medicine and Science, University of Nottingham, Sutton Bonington
5	Campus, Leicestershire LE12 5RD
6	
7	Chris Shales
8	Willows Referral Service, Highlands Road, Shirley, Solihull, West Midlands B90 4NH
9	
10	Andrew Parry
11	Willows Referral Service, Highlands Road, Shirley, Solihull, West Midlands B90 4NH
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13	STRUCTURED SUMMARY
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15	Objective: To develop a hypothesis for the developmental modality of extrahepatic
16	portosystemic shunts.
17	Methods: A retrospective review of a series of dogs and cats managed for congenital
18	portosystemic shunts. Using these data a hypothesis for the role of preferential venous blood
19	flow in the development of common extrahepatic PSSs was postulated. In addition, an online
20	literature search was used to retrieve peer-reviewed data describing the detailed anatomy of
21	shunts in dogs and cats. A systematic review of these data was used as a preliminary test of the
22	hypothesis.
23	Results: In total 50 dogs and 10 cats met the inclusion criteria revealing five common and
24	distinct shunt types. In the dog, these were spleno-caval, left gastro-phrenic, left gastro-azygos
25	and those involving the right gastric vein. The online search confirmed that these were

responsible for 94% of extrahepatic shunts described in this species. In the cat, the four shunt types observed were spleno-caval, left gastro-phrenic, left gastro-caval and left gastro-azygos. Excluding the left gastro-azygos, which from the online search was not described in the cat, the spleno-caval, left gastro-phrenic and left gastro-caval were responsible for 92% of extrahepatic shunts in this species. These data were used to develop, propose and provisionally test a hypothesis for the development of extrahepatic portosystemic shunts.

32 **Clinical Significance:** We hypothesise that it is the presence of preferential blood flow that 33 influences the subsequent formation of one of a number of defined and consistent congenital 34 extrahepatic portosystemic shunts in dogs and cats.

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36 **KEYWORDS** - soft tissue-cardiovascular-portosystemic shunt

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38 INTRODUCTION

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40 Recently, the morphology of common extrahepatic portosystemic shunts (EHPPSs) have been 41 independently described in detail using a combination of computed tomography angiography 42 (CTA), intra-operative mesenteric portovenography (IOMP) and gross anatomical findings 43 (White & Parry 2013, 2015, 2016a). Although these common shunts types were found to 44 involve a number of vessels such as the caudal vena cava and the azygos, right gastric, left 45 phrenic and splenic veins, all three studies concluded that it was, in fact, the left gastric vein 46 that represented the anomalous vessel (shunt) that communicated with the systemic vein (White 47 & Parry 2013, 2015, 2016a). In addition, the morphology of each shunt type described appeared to result consistently from two main factors; an abnormal communication between 48 49 the left gastric vein and a systemic vein, and the subsequent development of preferential blood 50 flow through an essentially normal portal venous system. It is well recognized that the portal vein in adult humans is without venous valves in its larger channels (Douglass *et al.* 1950, Gabella 1995, Burroughs 2011). Such a valveless portal venous system would allow for potential blood flow in either hepatopetal (normal blood flow towards the liver) or hepatofugal (abnormal blood flow away from the liver) directions and the actual direction of blood flow would be governed solely by the venous pressure gradient between the splanchnic and hepatic capillary networks (White and Parry 2015).

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58 The purpose of this study was to explore the role of preferential flow in the formation of 59 EHPSSs in more detail and, in addition, to develop a hypothesis for the mode of development 60 of the more common extrahepatic PSSs in dogs and cats.

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62 MATERIALS AND METHODS

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This retrospective study reviewed dogs and cats seen by the authors between 2009 and 2015 for the investigation and management of congenital PSS. The main inclusion criterion was that all cases must have a congenital EHPSS, have undergone preoperative CTA, recorded IOMP and direct gross observations at the time of surgery.

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69 CTA was performed using a 16 slice multidetector unit (Brightspeed, General Electric Medical 70 Systems, Milwaukee) as described previously (White & Parry 2013, 2015). Studies were 71 assessed in their native format, using multiplanar reconstruction and using surface shaded 72 volume rendering. Vascular maps were obtained and post processing was limited to removal 73 of arterial vessels and unnecessary portions of the caudal vena cava (CVC) from the maps. All 74 CTA studies were reviewed by the authors and special emphasis was placed on assessment for 75 the presence or absence of venous valves within the left gastric vein and its tributaries. In addition, a number of normal CTA studies in dogs and cats were reviewed for the purposes ofcross-reference.

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79 IOMP was carried out during surgery by using a mobile image intensification unit to obtain 80 ventrodorsal images of the cranial abdomen (White *et al.* 2003, White & Parry 2015). Images 81 were obtained before the manipulation of the shunt and during the temporary full ligation of 82 the shunting vessel. Angiograms were recorded digitally and were reviewed by the authors.

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Data on the type of portosystemic shunt were collected and reviewed. On the basis of the combined data of CTA, IOMP and the normal anatomy of the portal venous system, a hypothesis for the role of preferential venous blood flow in the development of these common and consistent EHPSSs was postulated. An online literature search using PubMed Central® was used to retrieve any peer-reviewed published data providing an anatomical description of an EHPSS in either the dog and the cat which was more detailed than that of just *porto-caval*, *porto-phrenic* or *porto-azygos*. A systematic review of this data was used to test the hypothesis.

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92 **RESULTS**

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In total, 50 dogs and 10 cats met the inclusion criteria. Of these 50 dogs, 23 (46%) were found to have a left gastric vein shunt entering the left phrenic vein (left gastro-phrenic shunt), 13 (26%) had a shunt involving the right gastric vein (type Ai, Aii, Aiii or type B shunt), 9 (18%) had a shunt involving the splenic and left gastric veins entering the caudal vena cava at the level of the epiploic foramen (spleno-caval shunt) and 5 (10%) had a left gastric vein entering the azygos vein (left gastro-azygos shunt).

Of the 10 cats, 6 (60%) were found to have a left gastric vein shunt entering the left phrenic
vein (left gastro-phrenic shunt), 2 (20%) had a shunt involving the splenic and left gastric veins
entering the caudal vena cava at the level of the epiploic foramen (spleno-caval shunt), 1 (10%)
had a left gastric vein entering the azygos vein (left gastro-azygos shunt) and 1 (10%) had a
left gastric vein entering the post-hepatic CVC (left gastro-caval).

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107 In both the dog and cat, results confirmed that in these four common EHPSS types the veins 108 involved in the shunting of blood were essentially normal portal tributaries within the portal 109 system. In all cases, regardless of the shunt type, the abnormal communication (shunt) between 110 the portal system and the systemic venous system was via the left gastric vein. Results of 111 preoperative CTA, recorded IOMP and direct gross observations at the time of surgery 112 indicated that blood flow through many of the vessels making up the shunt was in an abnormal hepatofugal direction. Preoperative CTA and intraoperative gross examination of these vessels 113 114 showed no evidence of venous valves within the left gastric vein and its tributaries; there was 115 a complete lack of any nodular dilatations, a finding associated with the presence of a vein 116 valve within the peripheral venous system.

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118	Hypothesis
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Using these findings, we postulate a potential role for the presence of portal venous valves andpreferential venous blood flow in the development of common EHPSSs:

- The presence of portal vein valves within a portal tributary vein would dictate the
 direction of blood flow within that tributary vessel
- The presence of portal vein valves would induce predominantly hepatopetal blood flow
 within the associated portal tributary vessel.

The absence of portal vein valves would allow both hepatopetal and hepatofugal blood
 flow within the associated portal tributary vessel.

- The distribution of portal vein valves within the portal tributary veins would therefore
 dictate which vessels were capable of showing predominantly hepatopetal blood flow
 or those which could show both hepatopetal and hepatofugal blood flow.
- The presence of a communication between a branch of the left gastric vein and a systemic vein (CVC, azygos or left phrenic vein) would allow for an abnormal venous blood flow due to a change in the venous pressure gradient within the portal system.
- If the combination of an aberrant communication between a branch of the left gastric
 vein and a systemic vein, and a lack of venous valves in this vessel and its tributaries,
 were present in the same individual then there would be the potential for an abnormal
 venous pressure gradient leading to the development of hepatofugal flow towards the
 abnormal communication (shunt).
- This new, preferential blood flow (including an increased, abnormal volume) would
 lead to the distension/dilatation of the 'shunting' vessels.
- The presence and distribution of venous valves would determine in which of the
 tributary portal vessels this abnormal 'preferential' blood flow would develop.
- Since this preferential flow was predominantly through an essentially normal vasculature, the distribution of venous valves and the predictable sites of communication (shunt) between the left gastric vein and a systemic vein would result in the development of a defined number of specific types of congenital PSS.
- 147
- 148 Online systematic literature review
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150 The online literature search using PubMed Central® found nine publications which provided 151 a detailed description EHPSS anatomy in the dog and the cat beyond that of simply *porto*-152 caval, porto-phrenic or porto-azygos (Seguin et al. 1999, Szatmári et al. 2004a, Nelson & 153 Nelson 2011, White & Parry 2013, Kraun et al. 2014, Fukushima et al. 2014, White & Parry 2015, 2016a, 2016b). In total, these publications described 520 EHPSSs. Of the 50 dogs and 154 155 10 cats which met the inclusion criteria of the initial part of this current study, 41 dogs and 7 156 cats were also included in the online literature search from previously published studies by the 157 authors (White & Parry 2013, 2015, 2016a).

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159 Eleven of the shunts found from the literature search were described as either porto-caval (n =160 5) or porto-azygos (n = 6) and were, therefore, excluded from further analysis. Of the remaining 161 509 shunts, 470 were described in the dog and 39 in the cat. Of the 470 described in the dog, 162 the following shunt types were defined; 160 spleno-caval, 105 left gastro-phrenic, 100 shunts 163 involving the right gastric vein and CVC, 75 left gastro-azygos, 10 left gastro-caval, 10 left 164 colic vein, 6 right gastro-phrenic, 3 right gastro-azygos (type Aiv) and 1 complex spleno-165 phrenic and azygos. Only a single publication classified shunts involving the right gastric vein 166 and the CVC (so-called right gastro-caval shunts) into their more detailed further subdivisions 167 of type Ai (n = 4), Aii (n = 12) and Aiii (n = 4) and type B (n = 2) (White & Parry 2015). Rather 168 than exclude these shunts (n = 78) due to the weakness of their classification, it was considered 169 appropriate to include them because, in total, they represented a significant number of the 170 extrahepatic shunts described. In the dog, therefore, four distinct shunts were responsible for 171 94% of the shunt types described; spleno-caval (34%), left gastro-phrenic (22%), shunts involving the right gastric vein and CVC (21%) and left gastro-azygos (16%). Similarly, of the 172 173 39 described in the cat, the following shunt types were defined; 19 left gastro-phrenic, 9 left 174 gastro-caval, 8 spleno-caval, and 3 left colic vein. In the cat, therefore, three distinct shunts accounted for 92% of the shunt types described; left gastro-phrenic (49%), left gastro-caval
(23%) and spleno-caval (20%).

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Postulated role of preferential flow in the development of the four most commonly reported
extrahepatic shunt types

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The following diagrams show our postulated role of preferential venous flow within the portal system in the development of the four most commonly reported extrahepatic shunts types defined from both the current study and the online literature search (Seguin *et al.* 1999, Szatmári *et al.* 2004, Nelson & Nelson 2011, White & Parry 2013, Kraun *et al.* 2014, Fukushima *et al.* 2014, White & Parry 2015, 2016a,). Figure 1 shows a diagram of a normal portal vasculature with normal hepatopetal portal blood flow for cross-reference.

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188 The left gastro-phrenic shunt (Figures 2A-E)

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190 Figure 2A shows the communication (shunt) between the left gastric vein and the left phrenic 191 vein. Figure 2B shows the affect that such a shunt has on the portal blood flow by creating 192 preferential hepatofugal blood flow within a number of the portal tributary vessels. Figure 2C 193 shows the affect that this preferential blood flow has on the distension/dilatation of the 194 'shunting' vessels. Figure 2D shows the resultant classic left gastro-phrenic shunt type 195 produced by such preferential blood flow. Figure 2E shows an example IOMP of a left gastro-196 phrenic EHPSS in a six-month-old female Irish Setter. This IOMP also shows the presence of 197 concurrent hepatic portal arborisation.

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199 Shunts involving the right gastric vein and CVC – types Ai, Aii, Aiii and B (Figures 3A-E)

The development of the type Aii shunt is used as an exemplar. Figure 3A shows the communication (shunt) between the left gastric vein and the pre-hepatic CVC. Figures 3B-D show the affect that such a shunt and a certain configuration of portal venous valves has on the creation of preferential hepatofugal blood flow, the distension/dilatation of the 'shunting' vessels and the resultant development of the type Aii shunt involving the right gastric vein. Figure 3E shows an example IOMP of this type of shunt in a 13-month-old female Shetland sheepdog.

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209 The spleno-caval shunt (Figures 4A-E)

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Figure 4A shows the communication (shunt) between the left gastric vein and the pre-hepatic CVC (it should be noted that this is the same site of communication as described for shunt involving the right gastric vein). Figures 4B-D show the affect that such a shunt and an alternative configuration of venous valves has on the creation of preferential hepatofugal blood flow, the distension/dilatation of the 'shunting' vessels and the resultant development of the classic spleno-caval shunt. Figure 4E shows an example IOMP of a spleno-caval EHPSS in an 11-month-old male Cairn terrier.

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219 The left gastro-azygos shunt (Figures 5A-D)

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Figure 5A shows the communication (shunt) between the left gastric vein and the azygos vein. Figures 5B-C show the affect that such a shunt has on the creation of preferential hepatofugal blood flow, the distension/dilatation of the 'shunting' vessels and the resultant development of the classic left gastro-azygos shunt. Figure 5D shows an example IOMP of a left gastro-azygos EHPSS in a one-year-two-month-old entire male crossbred. This IOMP also shows the presence of concurrent hepatic portal arborisation.

227

228 **DISCUSSION**

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Our proposed hypothesis for the role of preferential portal blood flow in the development of congenital EHPSSs is dependent on a number of suppositions. These, along with their supportive evidence, are as follows.

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234 1) The presence and variable distribution of venous valves within the portal system of the235 dog and the cat.

236 Standard and classic references for dog and cat anatomy either fail to describe (Schummer et 237 al. 1981, Dyce et al. 2010), or so poorly describe (Getty 1975, Bezuidenhout 2013), the 238 presence of valves in the portal system that most investigators assume that this system is 239 valveless. In fact, this is not the case and the occurrence and distribution of valves within the 240 portal system of the adult dog has been described previously using corrosion casting, gross 241 observations and histology (Dawson et al. 1988). This study demonstrated the presence of 242 bicuspid valves in almost every tributary vessel draining a splenic segment although the splenic 243 vein itself demonstrated a complete lack of valves in all specimens examined (Dawson et al. 244 1988). The study, unfortunately, did not describe the presence or distribution of valves within 245 either the left or right gastric veins. Regardless, the study concluded that valves within the 246 portal system were relatively common, being most abundantly found in veins closest the organ 247 they drained and at the confluence of two or more veins. The study also concluded that the 248 actual distribution of valves was highly inconsistent between individuals (Dawson et al. 1988). 249 In adult humans, it is concluded that the portal vein and its tributaries have no valves, although 250 in the foetus, and for a short postnatal period, valves are demonstrable in the tributaries, usually 251 atrophying but occasionally persisting in a degenerate form (Okudaira 1991, Gabella 1995). 252 There appear to be no studies available regarding the presence of valves within the portal 253 system of the puppy or the cat (both adult or kitten). In respect of the mode of development of 254 EHPSSs, it would be interesting to know if portal venous valves existed in the puppy or kitten 255 and, if they did, whether the structures persisted into adult life or whether they were agedependent, atrophying in a similar fashion to that of man. Furthermore, if venous valves do 256 257 exist in puppies and kittens, are there differences in their presence and distribution in individuals with or without congenital EHPSSs. Further studies are required to investigate 258 259 these issues and what relationship they might have to the development of congenital EHPSSs 260 in dogs and cats.

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2) The possibility of hepatofugal blood flow within valveless portions of the portal 263 tributary vessels in the dog and the cat.

264 Hepatofugal portal blood flow is well recognized in both the dog and the cat and is commonly 265 demonstrated in individuals suffering from arterioportal fistulae, portal hypertension and congenital EHPSSs (Lamb 1996, Wachsberg et al. 2002, Szatmári et al. 2004b, Szatmári et al. 266 267 2004c, Szatmári & Rothuizen 2006). Despite a significant number of reports describing hepatofugal portal blood flow, there appear to be no studies discussing a relationship between 268 269 such a blood flow and the presence of absence of portal venous valves. Presumably, this is 270 because imaging of vessels showing hepatofugal blood flow consistently fails to demonstrate 271 the presence of venous valves within such affected veins.

273 3) The anatomy of the portal vasculature in dogs and cats with congenital EHPSSs is 274 essentially normal apart from the anomalous connection (shunt) between the portal 275 venous system and the systemic venous system.

276 A number of recent studies involving the use of CTA to accurately characterize the anatomy 277 of the portal vasculature have concluded that in the four most common EHPSS types seen the 278 veins involved in the portosystemic shunting were essentially normal vessels within the portal 279 venous system (Nelson & Nelson 2011, White & Parry 2013, Fukushima et al. 2014, White & 280 Parry 2015, 2016a). The shunt was represented by a connection between a portion of one of 281 these normal portal vessels and an adjacent systemic vein (White & Parry 2013, 2015, 2016a). 282 For example, a number of consistent and defined shunt types involving the right gastric vein 283 have been described; type Ai, Aii, Aiii, Aiv and type B (Nelson & Nelson 2011, White & Parry 284 2015). In each case, the basic normal portal vasculature is present and, in three types (Ai, Aii 285 and Aiii), the site of connection (shunt) between this portal vasculature and systemic 286 vasculature is the same. As such, it might be expected that these shunts should have the same 287 morphology. This is clearly not the case and we hypothesise that it might be the presence (or 288 absence) and the position of any portal tributary venous valves that dictates the formation of 289 preferential blood flow leading to the development of a relatively small number of consistent 290 and reproducible shunt types involving blood flow through the right gastric vein (White & 291 Parry 2015).

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293 4) In the most commonly observed congenital EHPSSs, the formation of the abnormal communication (shunt) between the portal circulation and the systemic circulation 295 involves only the left gastric vein.

296 Recent studies using CTA, IOMP and gross findings at the time of surgery have also concluded that in the four most common EHPSS types seen the abnormal communication (shunt) between 297

the portal system and the systemic venous system was through the left gastric vein (White &
Parry 2013, 2015, 2016a). This conclusion is also supported by the portosystemic shunt
morphology data published by Nelson and Nelson (2011) and Fukushima *et al.* (2014).

301

302 5) The abnormal communication between the portal vessel (left gastric vein) and the 303 systemic venous system only occurs between vessels that are adjacent embryologically. 304 The embryological development of extrahepatic portosystemic shunts remains poorly 305 described in the veterinary literature (Noden & de Lahunta 1985, Payne et al. 1990, Hunt et al. 306 1998). The portal vein, of which the left gastric vein is part, develops from the vitelline system. 307 The abdominal CVC, although ultimately a single continuous vessel, develops in five segments 308 (pre-renal, renal, prehepatic, hepatic and posthepatic) from initially discontinuous portions of 309 the supracardinal, subcardinal and vitelline veins (Marks 1969, Hunt et al. 1998). The 310 prehepatic CVC (subcardinal system) is programmed to anastomose with the hepatic CVC 311 (vitelline system). An inappropriate anastomosis between the prehepatic CVC and the portal 312 vein (left gastric vein) is considered unsurprising because of the predisposition of the 313 prehepatic CVC to anastomose with veins of the vitelline system (Payne et al. 1990, Hunt et 314 al. 1998). Embryologically, the mechanism for development of a shunt between the portal vein 315 and the azygos vein (supracardinal system) remains less clear; the supracardinal and vitelline 316 systems are not programmed to anastomose during the development of the embryo (Marks 317 1969). Similarly, there is no clear embryologically mechanism for the development of the left 318 gastro-phrenic shunt. Presumably, it would be reasonable to conclude that an inappropriate 319 connection between the left gastric vein and the phrenic or azygos veins was at least in some 320 part related to their anatomical proximity within the embryo.

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6) If the hypothesis is correct then there should only be a defined number of discrete congenital EHPSSs that are actually observed in affected dogs and cats.

324 Reviewing the majority of published literature describing EHPSSs in both dogs and cats 325 confirms the limited classification to either porto-caval or porto-azygos in the majority of reports. Reasons for this lack of detailed description relate predominantly to the method by 326 327 which the shunt was imaged. Additional recent studies utilizing more robust methods of shunt imaging (for example, CTA and examination of corrosion casts made *post mortem*) have 328 329 confirmed that the morphology of the majority of congenital EHPSSs fit a defined number of 330 discrete anatomical conformations (Seguin et al. 1999, Szatmári et al. 2004a, Nelson & Nelson 331 2011, White & Parry 2013, Kraun et al. 2014, Fukushima et al. 2014, White & Parry 2015, 332 2016a, 2016b). In the dog, it appears that four distinct shunts types (spleno-caval, left gastro-333 phrenic, right gastro-caval and left gastro-azygos) are responsible for 94% of EHPSSs 334 described. Similarly, in the cat, three distinct shunts types (spleno-caval, left gastro-phrenic 335 and left gastro-caval) appear to be responsible for 92% of EHPSSs described.

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Although the current study has concentrated on the four most commonly recognized EHPSSs,
a further five shunt types (left gastro-caval, left colic vein, right gastro-phrenic, right gastroazygos and complex spleno-phrenic and azygos) that involved 30 individuals were described
specifically in the published literature. Future studies will aim to test our hypothesis on these
less common but no less relevant shunt types.

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We conclude that in dogs and cats with an abnormal communication (shunt) between the left gastric vein (or one of its tributaries) and a systemic vein, it might be the presence or absence of venous valves that dictates the development of preferential venous blood flow and the

346	subsequent formation of one of a number of specific and defined EHPSSs. Such EHPSSs
347	develop from what is essentially a normal portal vasculature.
348	
349	Conflict of interest
350	
351	None of the authors of this article has a financial or personal relationship with other people or
352	organisations that could inappropriately influence or bias the content of the paper.
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