

Perfusive and diffuse oxygen transport in skeletal muscle during incremental handgrip exercise

by

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## Abstract

Limb blood flow increases linearly with exercise intensity; however, invasive measurements of microvascular muscle blood flow during incremental exercise have demonstrated submaximal plateaus. Diffuse correlation spectroscopy (DCS) noninvasively quantifies relative changes in microvascular blood flow at rest via a blood flow index (BFI). The purpose of this study was to quantify relative changes in tissue blood flow during exercise using DCS, compare the BFI of the flexor digitorum superficialis ( $BFI_{FDS}$ ) muscle to brachial artery blood flow ( $\dot{Q}_{BA}$ ) measured via Doppler ultrasound, and employ near infrared spectroscopy (NIRS) alongside DCS to simultaneously measure perfusive and diffusive oxygen transport within a single volume of exercising skeletal muscle tissue. We hypothesized  $\dot{Q}_{BA}$  would increase with increasing exercise intensity until task failure,  $BFI_{FDS}$  would plateau at a submaximal work rate, and muscle oxygenation characteristics (total-[heme], deoxy-[heme], and % saturation) measured with NIRS would demonstrate a plateau at a similar work rate as  $BFI_{FDS}$ . Sixteen subjects ( $23.3 \pm 3.9$  yrs;  $170.8 \pm 1.9$  cm;  $72.8 \pm 3.4$  kg) participated in this study. Peak power ( $P_{peak}$ ) was determined for each subject ( $6.2 \pm 1.4$ W) via an incremental handgrip exercise test to task failure. Measurements of  $\dot{Q}_{BA}$ ,  $BFI_{FDS}$ , total-[heme], deoxy-[heme], and % saturation were made during each stage of the incremental exercise test.  $\dot{Q}_{BA}$  increased with exercise intensity until the final work rate transition ( $p < 0.05$ ). No increases in  $BFI_{FDS}$  or muscle oxygenation characteristics were observed at exercise intensities greater than  $51.5 \pm 22.9\%$  of  $P_{peak}$  and were measured simultaneously in a single volume of exercising skeletal muscle tissue. Differences in muscle recruitment amongst muscles of the whole limb may explain the discrepancies observed in  $\dot{Q}_{BA}$  and  $BFI_{FDS}$  responses during incremental exercise and should be further investigated.

# Table of Contents

List of Figures .....	v
Acknowledgements.....	vi
Dedication .....	vii
Chapter 1 - Introduction.....	1
Chapter 2 - Methods.....	5
<i>Experimental design</i> .....	5
<i>Measurements</i> .....	6
<i>Doppler ultrasound</i> .....	6
<i>Near-infrared and diffuse correlation spectroscopies</i> .....	7
<i>Statistical analysis</i> .....	9
Chapter 3 - Results.....	10
Chapter 4 - Discussion .....	17
<i>Diffuse correlation spectroscopy signal analysis</i> .....	17
<i>Comparison of hemodynamic responses to exercise</i> .....	18
<i>Noninvasive oxygen transport measurements</i> .....	20
<i>Limitations</i> .....	21
<i>Conclusions</i> .....	24
References.....	26

## List of Figures

Figure 1. Raw diffuse correlation and near-infrared spectroscopy signals.....	12
Figure 2. Detailed diffuse correlation and near-infrared spectroscopy signals .....	13
Figure 3. Bulk conduit artery and microvascular hemodynamic responses .....	14
Figure 4. Percent change in conduit artery and microvascular hemodynamics.....	15
Figure 5. Muscle oxygenation responses .....	16

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Finally, I would like to thank all of my incredible friends (you know who you are). You have made this experience truly great. I love you all.

## **Dedication**

This project is dedicated to my wife, Sarah, and to my daughter, Scarlett.

Sarah,

The sacrifices you have made to enable me to pursue my dreams are countless. I am forever grateful for your belief in me and endless support and encouragement.

I love you.

Scarlett,

I hope that one day you might read this and be proud of me. So much of what I do is for you.

I love you.

## Chapter 1 - Introduction

A wealth of evidence exists demonstrating that bulk limb blood flow is tightly coupled to exercise intensity (2, 67). Measurements of bulk limb blood flow have been combined with blood gas measurements and estimates of fractional oxygen extraction to estimate muscle oxygen consumption during exercise using the Fick principle (2, 20, 36, 38). While informative, these measurements may not be indicative of tissue oxygen consumption and hemodynamic relationships at the level of gas exchange, i.e., tissue microvasculature.

Microvascular hemodynamics and muscle oxygen pressure dynamics following the onset of, and in recovery from, muscle contractions have been independently observed in *in situ* rat spinotrapezius muscle preparations (7, 35, 49). These observations were later combined to estimate muscle oxygen uptake dynamics following the onset of, and in recovery from, muscle contractions (5, 6). While these studies provide valuable insight into the mechanisms behind skeletal muscle oxygen uptake, limitations exist. The measurements of microvascular hemodynamics and oxygen pressure were collected at a single, relatively low, contraction intensity and were unable to be collected simultaneously in the same muscle preparation, or at moderate-to-severe contraction intensities. Further, the invasive nature of these techniques does not allow them to be directly translated into a human research model.

Near-infrared spectroscopy (NIRS) has been used to noninvasively observe the oxygenation characteristics of exercising skeletal muscle (9, 19, 20, 23, 27, 28, 36, 37, 42, 72). Plateaus in muscle oxygenation measurements using NIRS have been observed during incremental cycling exercise (13, 24, 50). The lack evidence for increases in measurements related to determinants of perfusive and diffusive oxygen delivery suggests an upper limit to



oxygen delivery prior to a maximal work rate (13). Plateaus in muscle oxygenation also appear to be muscle specific, as it has been observed to occur in some, but not all, of muscle in the quadriceps (24). These differences in muscle oxygenation patterns among muscles have been related to differences in fiber-type recruitment patterns (24, 50).

More recently, NIRS has been used in combination with a tracer dye, indocyanine green (ICG), to quantify muscle microvascular blood flow during exercise in humans (15-17, 41, 76). In order to calculate absolute tissue blood flow, NIRS-ICG methodology requires arterial blood sampling for the continuous measurement of ICG concentration (15-17). To circumvent this invasive requirement, an algorithm has recently been developed to estimate relative tissue perfusion and calculate a blood flow index (ICG-BFI) using only the appearance of ICG as detected by NIRS following the venous injection, making the technique less invasive (41). In contrast to the well-established linear relationship between limb blood flow and exercise intensity, both absolute and relative NIRS-ICG methods have demonstrated plateaus in tissue microvascular blood flow at submaximal work intensities (15, 41, 76). The occurrence of a plateau in tissue blood flow would potentially have significant consequence in our current paradigm of oxygen delivery and exercise tolerance at higher exercise intensities.

The physical properties of light employed by NIRS technology to assess tissue oxygenation have been utilized in the development of diffuse correlation spectroscopy (DCS) (11, 12, 31). The movement of light scatterers cause temporal intensity fluctuations in reflected speckle patterns of coherent light sources. DCS measures these temporal intensity fluctuations within reflected near-infrared light patterns caused specifically by the movement of RBCs within tissue (11, 12, 31, 79). As such, DCS provides a noninvasive estimate of tissue microvascular blood flow as a blood flow index (BFI). DCS measurements of BFI have been validated with

several well established methods for measuring blood flow including laser Doppler flowmetry (30), Doppler ultrasound (21, 69), arterial-spin labeled (22, 80) and phase contrast MRI (46), and fluorescent microsphere (81) measurements at rest in both cerebral and skeletal muscle microcirculations. Further, cerebral blood flow measurements made with DCS have demonstrated a strong correlation, and a near 1-to-1 ratio, with measurements made with the NIRS-ICG technique (29). These studies demonstrate that BFI measured using DCS is reliable at rest; however, DCS is highly susceptible to artifact which poses a challenge to its application to skeletal muscle during exercise (10, 71). Still, the potential noninvasive assessment of skeletal muscle tissue blood flow warrants further investigation into the feasibility of using this technology during exercise.

The noninvasive simultaneous measurement of tissue oxygenation (NIRS) and microvascular hemodynamics (DCS) during exercise would provide unique insight into the mechanisms which determine tissue oxygen delivery and utilization. To our knowledge, only one previous study combined NIRS and DCS measurements during exercise, however movement artifacts led to unrealistic blood flow estimates (79). Therefore, the aims of this study were to 1) develop an exercise protocol in which movement artifact was minimized so as to determine if DCS could be used to quantify relative changes in tissue blood flow during exercise intensities ranging from rest to peak work rate, 2) compare DCS-derived BFI of the flexor digitorum superficialis ( $BFI_{FDS}$ ) muscle to brachial artery blood flow ( $\dot{Q}_{BA}$ ) measured via Doppler ultrasound, and 3) simultaneously measure perfusive and diffusive oxygen transport within a single volume of exercising skeletal muscle tissue. We hypothesized that 1) exercising  $\dot{Q}_{BA}$  would increase with increasing exercise intensity until task failure, but 2) based on data from NIRS- ICG protocols, the DCS-derived  $BFI_{FDS}$  would plateau at a submaximal work rate. In

addition, we hypothesized that 3) muscle oxygenation characteristics measured with NIRS would demonstrate a plateau at a similar work rate to the hypothesized plateau in  $BFI_{FDS}$ . We chose to test these hypotheses in a forearm model of incremental exercise to minimize the relative contribution of subcutaneous adipose tissue and cutaneous circulation to the NIRS and DCS signals.

## Chapter 2 - Methods

Twelve men and four women (Mean  $\pm$  SD; 23.3  $\pm$  3.9 yrs; 170.8  $\pm$  1.9 cm; 72.8  $\pm$  3.4 kg) volunteered to participate in this study. No attempt was made to control for stages of the menstrual cycle in the women. Previous studies showed that muscle blood flow during exercise is not modulated by the menstrual cycle (52, 73). A medical health history evaluation was completed by each subject to confirm the absence of any known cardiovascular or metabolic disease. All experimental procedures were approved by the Institutional Review Board of Kansas State University and conformed to the standards set forth by the Declaration of Helsinki. Subjects were informed of all testing procedures and potential risks of participation prior to providing written informed consent. Subjects were instructed to abstain from vigorous activity 24 h prior, and caffeine or food consumption 2 h prior, to the scheduled testing time.

### *Experimental design*

Each subject performed an incremental handgrip exercise test to task failure on a previously described, custom-built handgrip ergometer (20), which had been modified for single-arm exercise. Briefly, the handle of the ergometer was attached to a pneumatic cylinder by a cable and allowed a fixed linear displacement of 4 cm. Resistance was set by pressurizing the pneumatic cylinder and work was accomplished by compressing the air within the cylinder when the handle was moved. Power output was calculated as  $P = Rdf \cdot k^{-1}$ , where  $P$  is power in Watts (W),  $R$  is resistance in kg,  $d$  is displacement in meters,  $f$  is contraction frequency, and  $k$  is the constant 6.12 for the conversion of  $\text{kg} \cdot \text{m} \cdot \text{min}^{-1}$  to W. Alterations in power output were accomplished via alterations in resistance (air pressure), as  $d$  and  $f$  were held constant.

Subjects lay in the supine position with the exercising arm outstretched perpendicular to the body. Two minutes of rest were followed by a three minute warm-up at 1W to establish an exercising baseline. Each stage thereafter, the power was increased by 1 W every two minutes until task failure. Task failure was determined as the inability of the subject to displace the handle of the ergometer the full 4 cm for three consecutive contraction cycles. Peak power ( $P_{\text{peak}}$ ) was determined as the power output during the final stage of exercise lasting a minimum 30s in duration. To eliminate any motion artifact within the DCS measurements, subjects were instructed to remain completely still during the final 10 s of each exercising stage. Previous observations by Lutjemeier et al. (55) found no differences between limb blood flow during exercise and during the first four cardiac cycles following the final contraction of exercise. These findings were subsequently confirmed in the current study. Exercise was performed using a 50% contraction duty cycle (1.5 s contraction: 1.5 s relaxation) at a rate of 20 contractions per minute. An audio recording provided feedback to the subject so that contraction and relaxation timing remained consistent.

## ***Measurements***

### *Doppler ultrasound*

Brachial artery mean blood velocity ( $V_{\text{mean}}$ ) and vessel diameter were measured simultaneously using a two-dimensional Doppler ultrasound system (Logiq S8; GE Medical Systems, Milwaukee, WI). The ultrasound system was operated in duplex mode with a phased linear array transducer probe operated at an imaging frequency of 10.0 MHz. To avoid the bifurcation of the brachial artery, all measurements were made 2-5 cm proximal to the antecubital fossa (1). All Doppler velocity measurements were performed at a Doppler frequency

of 4.0 MHz and corrected for an angle of insonation less than 60°.  $V_{\text{mean}}$  was defined as the time-averaged mean velocity across each complete cardiac cycle. Brachial artery vessel diameter was analyzed at a perpendicular angle along the central axis of the vessel. Cross-sectional area (CSA) of the vessel was then calculated as  $CSA = \pi r^2$ . Limb blood flow ( $\dot{Q}_{\text{BA}}$ ) was calculated during the 10s end-stage resting period during the protocol as the product of CSA and  $V_{\text{mean}}$ .

#### *Near-infrared and diffuse correlation spectroscopies*

A system equipped to simultaneously employ frequency-domain multidistance NIRS and DCS was used to measure oxygenation characteristics and  $\text{BFI}_{\text{FDS}}$  during handgrip exercise (MetaOx, ISS, Champaign, IL, USA). A NIRS system (Oxiplex TS, ISS, Champaign, IL, USA) has been utilized previously by our laboratory to characterize the oxygenation of skeletal muscle (19, 20, 34, 36, 72). This previously used system consisted of eight laser diodes operating at wavelengths of 690 and 830 nm (4 laser diodes per wavelength) with one detector fiber bundle and detector separation distances of 2.0, 2.5, 3.0, and 3.5 cm. The NIRS component of the dual spectroscopy (NIRS and DCS) system used in the current study consisted of eight laser diodes operating at wavelengths of 660, 690, 705, 730, 760, 785, 810, 830 nm with power outputs of 5-9 mW. Four detector fiber bundles were positioned with detector separation distances of 2.5, 3.0, 3.5, and 4.0 cm, allowing for an estimated tissue penetration depth of 2.0 cm, approximately half of the maximal separation distance.

The NIRS system measures and incorporates the dynamic reduced scattering coefficients ( $\mu_s'$ ) to provide absolute concentrations ( $\mu\text{M}$ ) for deoxygenated [Hb+Mb] (deoxy-[Hb+Mb]), oxygenated [Hb+Mb] (oxy-[Hb+Mb]), total-[Hb+Mb], and % saturation (oxy-[Hb+Mb] $\times 100/\text{total-[Hb+Mb]}$ ). Changes in total-[Hb+Mb] are thought to reflect changes in microvascular hematocrit (an index of diffusive conductance) (25, 62). Changes in deoxy-

[Hb+Mb] concentrations have been used to estimate fractional oxygen extraction (an index of perfusive conductance) (19, 20, 26-28, 33, 34, 37, 56). The principles and algorithms of the NIRS technology have been reviewed by Gratton et al. (39) and were previously described by Ferreira et al. (34). The original deoxy-[Hb+Mb], oxy-[Hb+Mb], and total-[Hb+Mb] concentrations were multiplied by a factor of four to convert from units of hemoglobin concentration into units of heme concentrations and are hereby denoted as deoxy-[heme], oxy-[heme], and total-[heme], respectively.

DCS measures temporal intensity fluctuations in a reflected near-infrared light pattern, caused primarily by moving RBCs (11, 12, 79), to provide a microvascular BFI in units of  $\text{cm}^2/\text{s}$ . The correlation equations and algorithms used for DCS have been described in detail by Durduran et al. (31). Relative changes in BFI have been used to quantify relative changes in cerebral and skeletal muscle tissue blood flow (29, 32, 46, 53, 79-81). The DCS module of the dual spectroscopy system used in the current study was comprised of four photon-counting photodiode detectors located 3.1 cm from a single, long coherence length, laser diode operating at a wavelength of 850 nm with an intensity of 50 mW. Tissue penetration depth of the DCS probe is estimated as half of the laser-detector separation distance ( $\sim 1.5$  cm). All components of the NIRS and DCS system were housed within the same sensor so that measures of tissue oxygenation and BFI could be made of the same volume of tissue.

The belly of the FDS was located using electromyography (EMG) and tissue palpations as previously described (20). The NIRS/DCS sensor was secured over the belly of the FDS and wrapped with an elastic bandage to prevent movement of the sensor and to prevent any ambient light from reaching the detectors. NIRS and DCS measurements were collected at 10 Hz during the entire experimental protocol. Tissue oxygenation measurements using NIRS were averaged

during resting baseline and the final 10s of exercise during each stage of the protocol.  $BFI_{FDS}$  measurements were averaged during the 10s end-stage resting period i.e., during the 10s subsequent to the 10s window used for NIRS measurements (Figure 2).

### *Statistical analysis*

Statistical analysis was performed using SigmaStat (Systat Software, Point Richmond, CA). Data are expressed as mean  $\pm$  SD unless otherwise noted.  $\dot{Q}_{BA}$  and the NIRS/DCS variables (BFI, total-[heme], deoxy-[heme], and % saturation) were compared across work rates using a one-way repeated measures analysis of variance (ANOVA). To identify differences between  $\dot{Q}_{BA}$  and  $BFI_{FDS}$ , data were expressed as a percent change from resting baseline and compared using a two-way repeated measures ANOVA (measurement  $\times$  work rate). When a significant overall effect was detected, a Tukey's post hoc analysis was performed to determine where significant differences existed. Statistical significance was defined as  $p < 0.05$  for all analyses. Finally, a plateau was considered present in a response when a minimum of two consecutive work rate transitions elicited no significant increase and the value of the signal was not different than at  $P_{peak}$ .



## Chapter 3 - Results

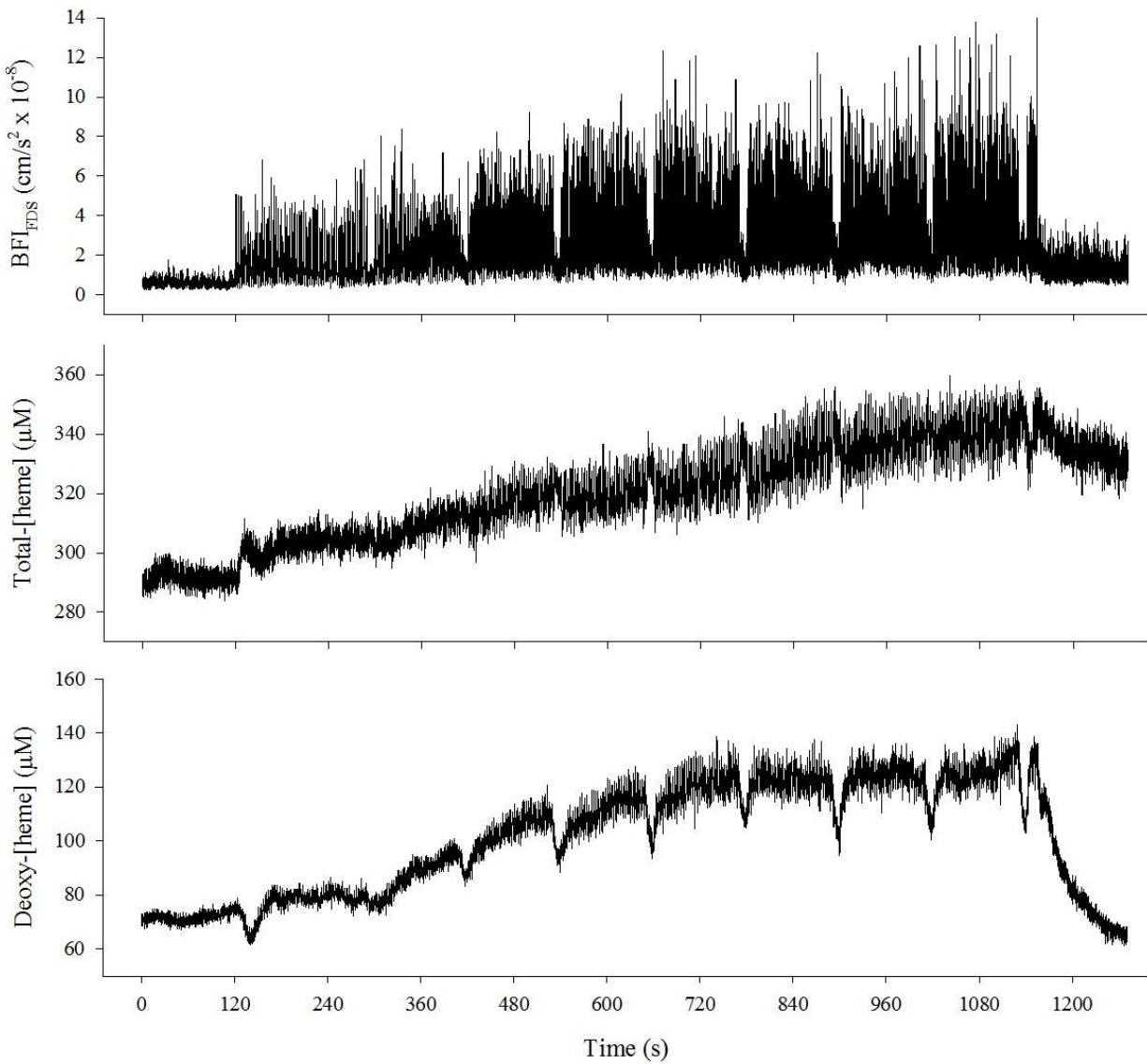
The average  $P_{\text{peak}}$  achieved by subjects was  $6.2 \pm 1.4\text{W}$  with  $P_{\text{peak}}$  ranging from 4 to 8W. Figure 1 provides the raw signals of  $\text{BFI}_{\text{FDS}}$ , total-[heme], and deoxy-[heme] measured using the combined NIRS/DCS system of a single representative subject during the entire experimental protocol. Figure 2 provides detail of the raw  $\text{BFI}_{\text{FDS}}$ , total-[heme], and deoxy-[heme] signals of the same representative subject during an exercise-rest-exercise transition during the experimental protocol.

The responses of  $\dot{Q}_{\text{BA}}$  and  $\text{BFI}_{\text{FDS}}$  from rest to 3W and at  $P_{\text{peak}}$  are illustrated in Figures 3A and 3C, respectively.  $\dot{Q}_{\text{BA}}$  was greater at  $P_{\text{peak}}$  ( $617 \pm 271 \text{ ml/min}$ ) than at work rates from rest to 3W ( $p < 0.001$ ). Likewise,  $\text{BFI}_{\text{FDS}}$  was greater at  $P_{\text{peak}}$  ( $1.91 \pm 1.42 \text{ cm}^2/\text{s} \times 10^{-8}$ ) than at work rates from rest to 3W ( $p < 0.05$ ). However, the wide range in  $P_{\text{peak}}$  among subjects resulted in a discrepancy in the submaximal-to-maximal work rate difference from which end-exercise comparisons were made. For example, a subject having achieved the highest observed  $P_{\text{peak}}$  (8W) demonstrates a 5W difference between  $P_{\text{peak}}$  and the highest submaximal work rate achieved by all subjects (3W). A subject having achieved the lowest observed  $P_{\text{peak}}$  (4W) demonstrates only a 1W difference between  $P_{\text{peak}}$  and 3W. Therefore, in order to compare any changes in  $\dot{Q}_{\text{BA}}$  or  $\text{BFI}_{\text{FDS}}$  between work rates immediately preceding the final stage of exercise, the 3 work rates preceding  $P_{\text{peak}}$  ( $P_{\text{peak}-3}$ ,  $P_{\text{peak}-2}$ ,  $P_{\text{peak}-1}$ ) as well as  $P_{\text{peak}}$  were compared and presented in Figures 3B and 3D.

The average exercise intensity at  $P_{\text{peak}-2}$  was  $65.8 \pm 8.26\%$  of  $P_{\text{peak}}$ .  $\dot{Q}_{\text{BA}}$  increased with increases in work rate from  $P_{\text{peak}-3}$  to  $P_{\text{peak}-1}$  ( $p < 0.05$ ). However, no significant difference was detected in  $\dot{Q}_{\text{BA}}$  between  $P_{\text{peak}-1}$  and  $P_{\text{peak}}$  ( $p = 0.38$ ).  $\text{BFI}_{\text{FDS}}$  did not increase with work rate at

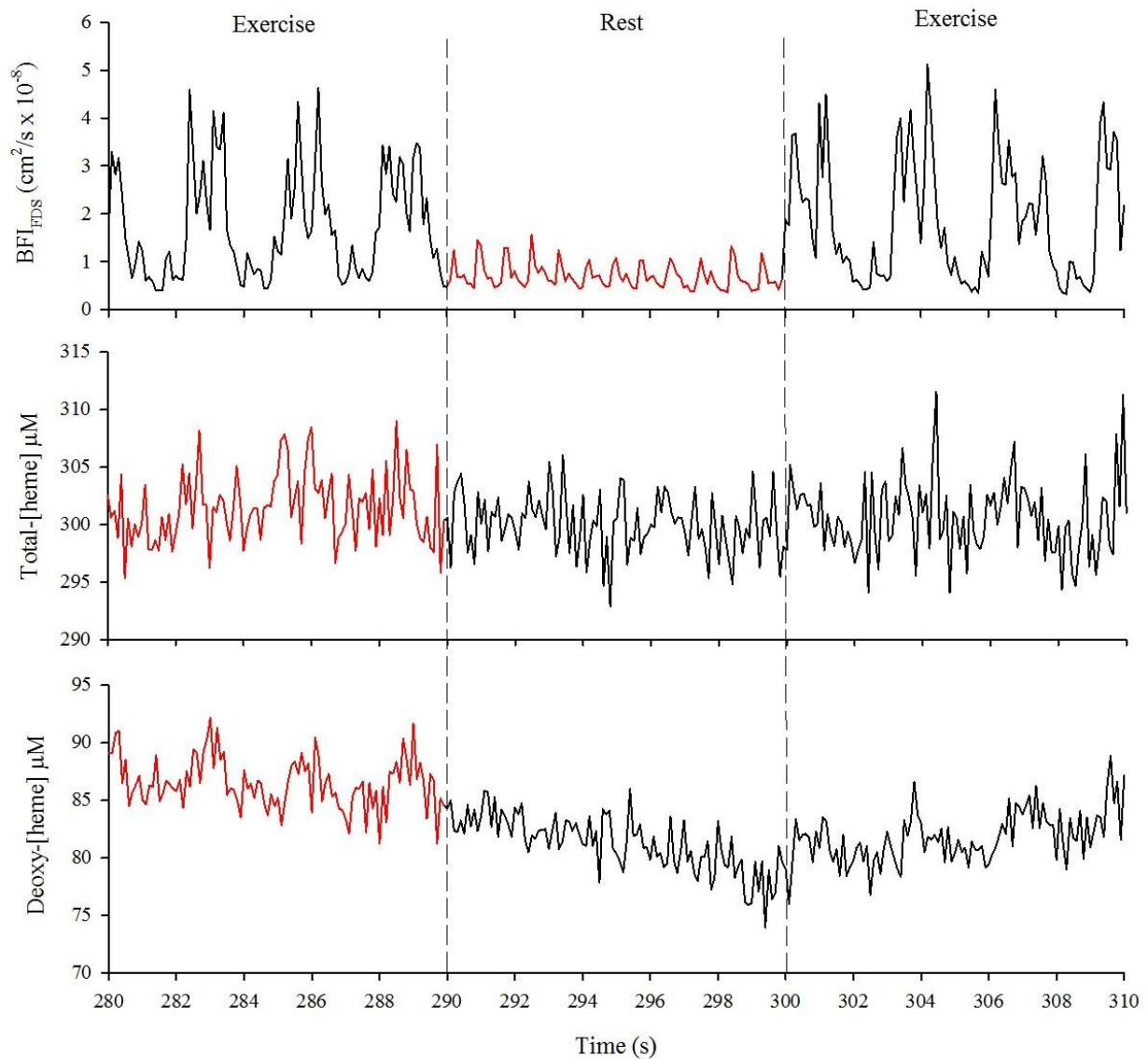
any intensity greater than  $P_{\text{peak-2}}$ , demonstrating a submaximal plateau (Figure 3D), in contrast to the visual implication inferred in Figure 3C. In addition, the percent increase from rest in  $\dot{Q}_{\text{BA}}$  was greater than the percent increase from rest in  $\text{BFI}_{\text{FDS}}$  at  $P_{\text{peak-1}}$  and  $P_{\text{peak}}$  ( $p < 0.05$ ) (Figure 4).

The average changes in total-[heme], deoxy-[heme], and % saturation from rest to 3W and at  $P_{\text{peak}}$  are illustrated in Figures 5A-5C. Total-[heme] and deoxy-[heme] concentrations increased with exercise intensity ( $p < 0.001$ ) while % saturation decreased with increases in exercise intensity ( $p < 0.001$ ). As described previously with regard to  $\dot{Q}_{\text{BA}}$  and  $\text{BFI}_{\text{FDS}}$ , average total-[heme], deoxy-[heme], and % saturation for the 3 work rates preceding  $P_{\text{peak}}$  ( $P_{\text{peak-3}}$ ,  $P_{\text{peak-2}}$ ,  $P_{\text{peak-1}}$ ) as well as  $P_{\text{peak}}$  were compared and presented in Figures 5D-5F. Total-[heme] at  $P_{\text{peak}}$  and  $P_{\text{peak-1}}$  was greater than total-[heme] at  $P_{\text{peak-3}}$  ( $p < 0.001$ ). Deoxy-[heme] and % saturation were greater at  $P_{\text{peak}}$  than at  $P_{\text{peak-3}}$  ( $p < 0.05$ ). However, there were no differences in total-[heme], deoxy-[heme], or % saturation between succeeding exercise intensities from  $P_{\text{peak-2}}$  to  $P_{\text{peak}}$  suggesting a plateau in the responses.



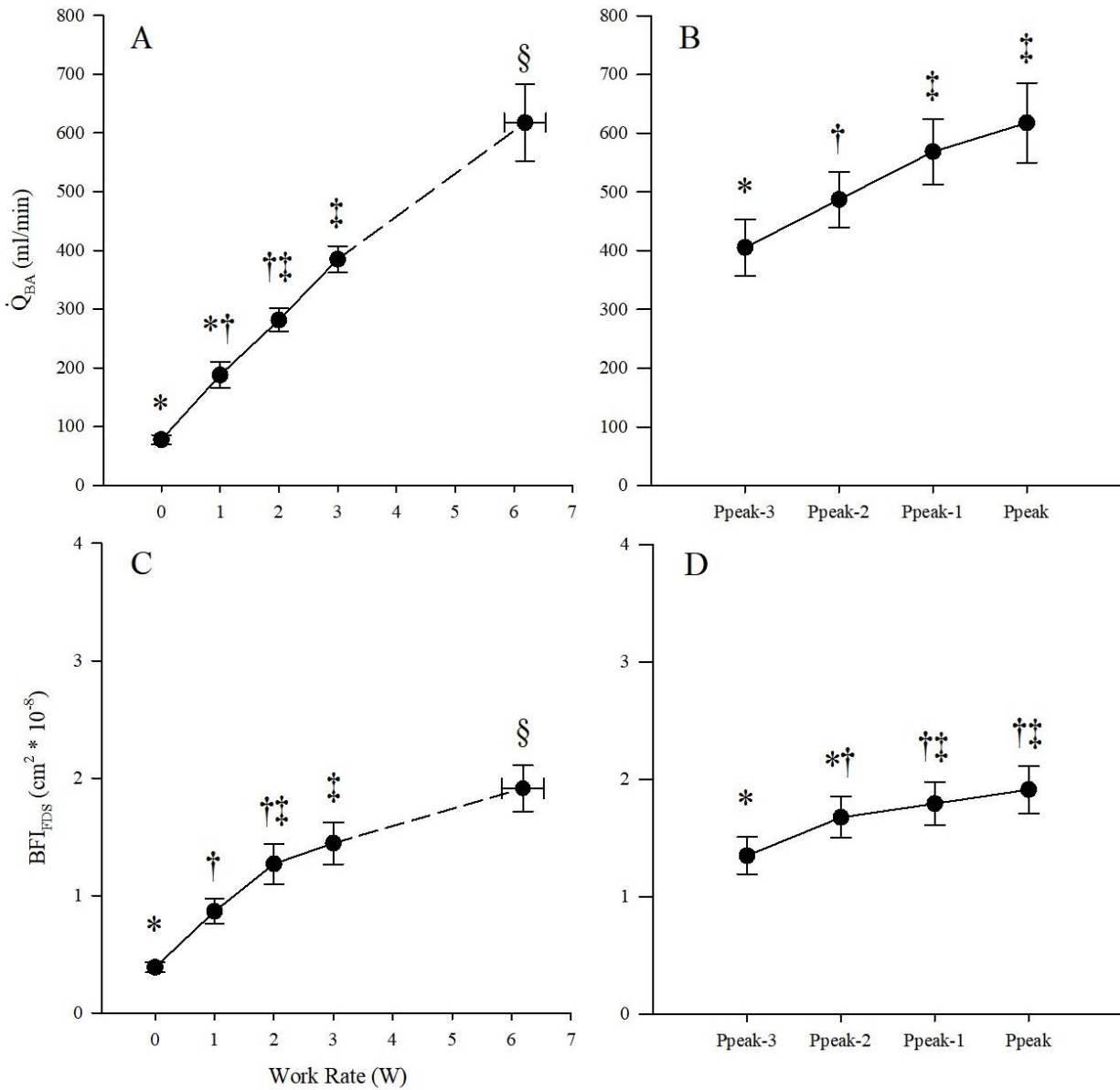
**Figure 1. Raw diffuse correlation and near-infrared spectroscopy signals**

$BFI_{FDS}$  (top), total-[heme] (middle), and deoxy-[heme] (bottom) signals of a single representative subject through the entire incremental handgrip exercise test performed to task failure. Note the large amount of motion artifact in the  $BFI_{FDS}$  signal during muscular contractions (top).



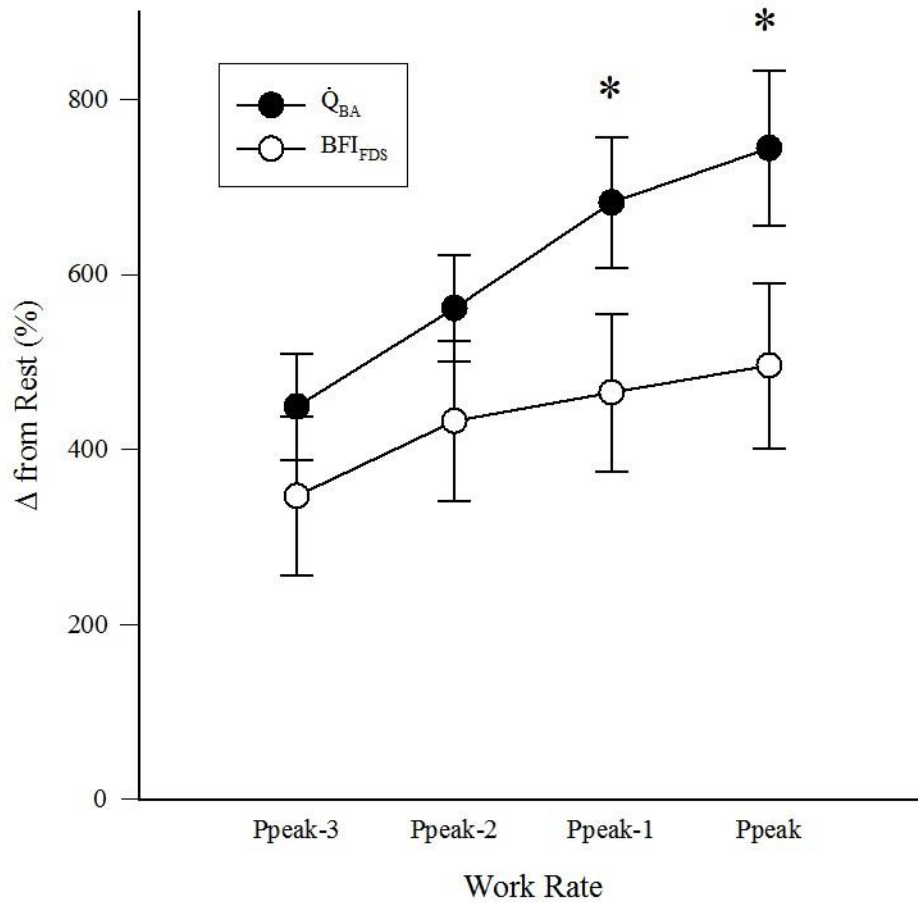
**Figure 2. Detailed diffuse correlation and near-infrared spectroscopy signals**

Detailed figure of the raw  $BFI_{FDS}$ , total-[heme], and deoxy-[heme] signals during an exercise-rest-exercise transition of the same subject represented in Figure 1. Highlighted portions in red indicate the time interval of data analysis for each respective signal. Note the lack of reduction in the  $BFI_{FDS}$  signal between the relaxation phases of contractions and the 10s end-stage resting period.



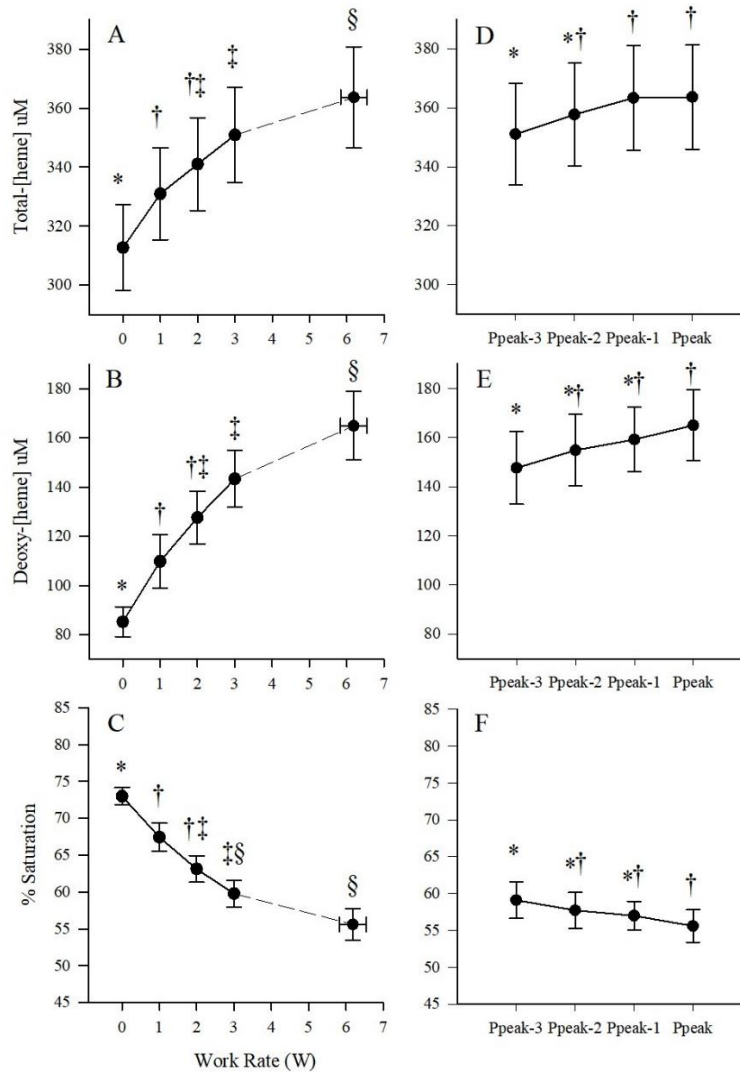
**Figure 3. Bulk conduit artery and microvascular hemodynamic responses**

Mean responses for  $\dot{Q}_{BA}$  (top) and  $BFI_{FDS}$  (bottom) from rest to  $P_{peak}$  (left) and from  $P_{peak-3}$  to  $P_{peak}$  (right) during the incremental hand grip exercise test performed until task failure. Shared symbols indicate no significant difference between/among work rates ( $p > 0.05$ ). Note that no increase was observed in  $BFI_{FDS}$  beyond  $P_{peak-2}$  (D).



**Figure 4. Percent change in conduit artery and microvascular hemodynamics**

Bulk conduit artery and microvascular hemodynamic responses to the incremental hand grip exercise as a percent change from rest. The mean percent change from rest for  $\dot{Q}_{BA}$  (●) and  $BFI_{FDS}$  (○) from  $P_{peak-3}$  to  $P_{peak}$ . \*Significantly greater than  $BFI_{FDS}$  ( $p < 0.05$ ).



**Figure 5. Muscle oxygenation responses**

The mean responses for total-[heme] (top), deoxy-[heme] (middle), and % saturation (bottom) from rest to P<sub>peak</sub> (left) and from P<sub>peak-3</sub> to P<sub>peak</sub> (right) during the incremental hand grip exercise test performed until task failure. Shared symbols indicate no significant difference between/among work rates ( $p > 0.05$ ). Note that no increases were observed in any of the NIRS measurements beyond P<sub>peak-2</sub>.

## Chapter 4 - Discussion

This study examined the responses of conduit artery blood flow and skeletal muscle hemodynamics during incremental forearm exercise. As has been demonstrated previously (2, 67), and in agreement with our first hypothesis,  $\dot{Q}_{BA}$  increased with exercise intensity until the final work rate transition (Figure 3B). In contrast,  $BFI_{FDS}$  plateaued at a submaximal work rate ( $P_{peak-2}$ ) (Figure 3D), consistent with previous findings using NIRS-ICG (15, 41, 76) and our second hypothesis. In addition, muscle oxygenation characteristics (total-[heme], deoxy-[heme], and % saturation) measured with NIRS demonstrated a plateau at the same work rate as  $BFI_{FDS}$  measured with DCS (Figures 5D-5F). Importantly, the perfusive ( $BFI_{FDS}$  and deoxy-[heme]) and diffusive (total-[heme]) measurements of oxygen transport were collected simultaneously during exercise within the same volume of tissue.

### *Diffuse correlation spectroscopy signal analysis*

A considerable amount of motion artifact existed in the  $BFI_{FDS}$  signal during exercise (Figure 1). The protocol implemented during the present study allowed  $BFI_{FDS}$  to be collected with little to no motion artifact present, without compromising the integrity of the measurement, i.e., no reductions in the  $BFI_{FDS}$  signal were observed between the final relaxation phases of contractions and the 10s end-stage resting periods (Figure 2). This observation allowed for confidence in the BFI measurements of the current study, specifically, to their physiological significance to microvascular hemodynamics during exercise. Further, this observation is consistent with the findings of Lutjemeier et al. (55) who found no differences between limb



blood flow during exercise and in the first four cardiac cycles of early recovery and provides detailed rationale for this approach.

### ***Comparison of hemodynamic responses to exercise***

Increases in exercise intensity were met with appropriate increases in  $\dot{Q}_{BA}$  (Figures 3A and 3B) to increase oxygen supply to the working skeletal muscles. However,  $BFI_{FDS}$  did not increase beyond  $P_{peak-2}$  (~66% of  $P_{peak}$  on average) (Figure 3D). These findings are consistent with studies using the NIRS-ICG methods for muscle blood flow assessment during incremental exercise in muscles of the thigh (15, 41, 76). Although NIRS-ICG and DCS share the employment of near-infrared light, these techniques determine muscle blood flow through measurement of very different physiological phenomena.

ICG is a fluorescent dye that binds to plasma proteins and is used as an additional light absorber within the microcirculation. The appearance of a reduction in the NIRS signal can be attributed to the movement of ICG through the microcirculation allowing for the calculation of tissue blood flow. In contrast to the NIRS-ICG technique, DCS measures temporal intensity fluctuations in near-infrared light caused directly by the movement of light scatterers (primarily RBCs) (11, 12, 79). Changes in the frequency and amplitude of intensity fluctuations can be used to quantify relative changes in microvascular blood flow as a BFI. Thus, the observation of a submaximal plateau in microvascular muscle blood flow using two unique measurement techniques, which reflect different components of whole blood, provides additional confidence as to its occurrence. Further, the submaximal plateau in microvascular muscle blood flow has now been observed in muscles of the forearm (present study) and of the thigh (15, 41, 76), suggesting a common physiological phenomenon across muscle groups.

We speculate the disconnect between increases in  $\dot{Q}_{BA}$  and  $BFI_{FDS}$  can be explained by differences in muscle activation, and therefore oxygen demand, among finger and wrist flexor skeletal muscles as exercise intensity was increased. It is likely that the FDS, the muscle primarily responsible for finger flexion, is recruited proportionally more during handgrip exercise performed at lower work rates; hence the initial matching of  $BFI_{FDS}$  to increases in work rate (Figure 3C). However, as exercise intensity increases, other finger and wrist flexor muscles were likely recruited to meet the force requirements of the exercise. The recruitment of additional muscles or greater activation of already contributing ones, would require an increase in  $\dot{Q}_{BA}$  to increase total oxygen supply to the whole limb.

Heterogeneity of muscle blood flow within and among exercising skeletal muscles has been demonstrated numerous times in both animals (3, 45, 50, 51, 60, 61, 64) and humans (43, 44, 47, 48, 50). The distribution of blood flow within and among synergistic muscle groups permits closer matching of oxygen delivery to the specific oxygen demands of individual motor units. Closer matching of oxygen supply and demand facilitates the maintenance of a sufficient oxygen pressure gradient required for diffusive oxygen delivery from the microvasculature to the muscle (58, 77, 78). As exercise intensity increases, blood flow heterogeneity within a muscle group decreases (44). This observation reflects the distribution of increases in blood flow toward newly recruited motor units as exercise intensity progresses (66). Thus, if the FDS was maximally activated at submaximal work rates, we would not expect to see an increase in oxygen delivery to that muscle with further increases in work rate.

### *Noninvasive oxygen transport measurements*

In the present study, optical spectroscopy techniques employing near infrared light allowed both perfusive ( $BFI_{FDS}$  and deoxy-[heme]) and diffusive (total-[heme]) oxygen transport to be measured noninvasively in a single volume of tissue during exercise (Figures 1 & 2). These measurements are required, in concert, to assess muscle oxygen consumption. Many innovative techniques for measuring individual components of oxygen delivery have been previously developed for use in animal models; notably, microscopy (49, 68, 70) and radiolabeled microspheres (3, 4, 45, 51) for measurements of microvascular hemodynamics and tissue blood flow, respectively, and phosphorescent quenching for measurements of changes in microvascular oxygen pressures (indicative of oxygen extraction) (7, 8, 57). These techniques have provided fundamental insights into muscle metabolism during rest and exercise but are extremely invasive and can be used with only mild contraction intensities, and thus are not suited for research in humans.

In humans, NIRS has allowed for noninvasive measurement of diffusive (total-[Hb+Mb]) (25, 62) and perfusive oxygen conductance (deoxy-[Hb+Mb]) (19, 20, 27, 28, 36) within skeletal muscle. However, measurement of blood flow at the level of tissue gas exchange has remained fairly evasive. The combination of DCS and NIRS, as employed by this study, to measure perfusive and diffuse oxygen transport across exercise intensities, is a unique approach to potentially assess oxygen consumption of exercising skeletal muscles. Importantly, this technique is completely noninvasive and allows for measurements to be made simultaneously during exercise.

Plateaus in the NIRS signals observed during this study have been reported previously during cycling exercise (13, 63, 74). Changes in total-[heme] are reflective of changes in

microvascular hematocrit, a primary determinant of diffusive microvascular oxygen delivery (25, 62). Changes in deoxy-[heme] are reflective of microvascular oxygen extraction and indicate changes in perfusive microvascular oxygen delivery (19, 20, 27, 28, 36). The observation of plateaus in  $BFI_{FDS}$  and deoxy-[heme] (indices of perfusive oxygen conductance), and total-[heme] (an index of diffusive oxygen conductance) at the same submaximal work rate ( $P_{peak-2}$ ) suggests similar mechanisms leading to a potential upper limit in both the diffusive capacity and delivery for microvascular oxygen uptake. Further, the identification of discrepancies in the patterns of increase in muscle oxygenation characteristics (total-[Hb+Mb] and deoxy-[Hb+Mb]) among muscles within the quadriceps during incremental cycling exercise (24, 63) emphasizes the need for further investigation of oxygen characteristics as they relate to muscle activation patterns during incremental exercise.

Laughlin and Armstrong observed differences in the blood flow responses among, and within, the exercising skeletal muscles of rats during treadmill running (51). The variability in blood flow responses were attributed, in part, to differences in muscle fiber type and recruitment patterns. Additionally, it was noted that differences in blood flow responses among, and within, muscles were magnified with increased exercise intensity. These observations compliment the findings of the current study in that plateaus were similarly observed in individual muscles of a limb while exercise intensity was increased.

### ***Limitations***

Appropriate interpretation of the data from this study requires the acknowledgement of several limitations. The NIRS/DCS probe was placed on the forearm prior to exercise and was not removed until exercise had ceased. While this was advantageous in assuring a single volume

of tissue was interrogated during the testing protocol, it presents the question of reproducibility of the NIRS/DCS signals. Location of the FDS muscle was confirmed using EMG, as has been done previously in our lab (19, 20). However, it is possible that other finger and wrist flexor muscles contributed to the overall NIRS-DCS signals, especially at higher power outputs. Contributions from muscles other than the FDS to the power output of the whole limb would pose a challenge to the interpretation of both the  $BFI_{FDS}$  signal and any NIRS-derived measurements.

EMG was not collected during the exercise protocol. The single-arm handgrip mode of exercise only allowed for placement of one measurement probe (NIRS/DCS) over the targeted area of the forearm (FDS). Therefore, conclusions made of relative changes in tissue blood flow during incremental exercise related to muscle recruitment patterns are only speculative. However, subjects were observed engaging wrist flexion during the later stages of the exercise test, as opposed to finger flexion alone. This observation requires confirmation with EMG. However, it has been demonstrated that muscles are recruited in a non-linear fashion during incremental forearm exercise (59). Further, during incremental cycling exercise, muscles of the quadriceps demonstrated markedly different deoxy-[Hb+Mb] (an index of perfusive oxygen delivery) responses which were directly related to differences in muscle recruitment patterns (24). These differences in muscle contribution between work rates could be due to differences in fiber-type recruitment patterns and mechanical efficiencies among these muscles.

DCS and NIRS measurements are entirely noninvasive. The indirect nature of these measurements permits influence, to some degree, by other tissues such as the skin and subcutaneous adipose tissue. In particular, the strength of the optical spectroscopic signals are influenced by adipose tissue thickness and accuracy of probe placement (14, 18, 75). Further, the

relative contribution of arterioles, venules and capillaries to the optical signals across exercise intensities is not clear and pose question to their validity as measures of purely microvascular oxygen transport. However, capillaries comprise the majority of the muscle microvascular volume (65) and, therefore, changes in the optical signals likely represent changes in the respective oxygen transportation measurements at this level of the microcirculation (34, 54).

Previously, absolute values of muscle oxygen uptake ( $m\dot{V}O_2$ ) have been estimated using  $\dot{Q}_{BA}$  and deoxy-[Hb+Mb] during handgrip exercise (20). However, the DCS technique does not provide absolute quantification of tissue blood flow as has been accomplished through several other more invasive techniques such as radiolabeled microspheres (3, 4, 45, 51) or microscopy (49, 68, 70) in animals and NIRS-ICG (15, 17, 41, 76) in humans. Therefore, at the present time, changes in BFI can only be interpreted as relative changes in tissue blood flow from a resting baseline signal. As such, estimates of absolute  $m\dot{V}O_2$  using purely microvascular oxygen delivery measurements were unable to be made.

The BFI signal is a result of the movement of RBCs relative to the DCS light detector. At rest, the detector remains still, therefore, any signal is the result of RBC movement in the field of view of the DCS probe. Note the fidelity of the BFI signal at rest (Figure 2), even to the point of demonstrating a notch in the waveform similar to the dicrotic notch observed in the arterial pressure waveform. However, during exercise movement of the probe in relation to tissues below it causes artifact in the BFI signal. Thus, muscle contraction itself produces substantial noise in the BFI signal and must be accounted for during analysis. Previously, motion artifact in DCS data was successfully reduced during single-intensity dynamic exercise by analyzing DCS data only during the relaxation phase of muscular contraction cycles, through the employment of gating algorithms (40, 71). However, substantial variability in individual responses suggests

some degree of motion artifact remained in the analyzed signals. Further, DCS measurements were constrained to short collection time windows due to either low DCS sampling frequencies (71) or relatively short relaxation times (40).

The protocol of the current study allowed for motion-artifact-free DCS measurements by only analyzing the signals during the 10s end-stage resting period (Figure 2). This approach allowed for a much longer window of DCS data collection while assuring minimal introduction of motion artifact. It should be noted that the signal analysis of NIRS and DCS measurements were conducted during different time intervals of the protocol (Figure 2). Thus, any conclusions made by the combination of perfusive ( $BFI_{FDS}$  and deoxy-[heme]) and diffusive (total-[heme]) oxygen transport measurements may require additional caution. However, these measurements were each analyzed at time intervals that were only 10s apart and which most accurately reflected their values observed during exercise while permitting signals uncompromised by limb movement, i.e., motion-artifact-free  $BFI_{FDS}$  data.

## ***Conclusions***

DCS was demonstrated to be a powerful, noninvasive tool for quantifying relative changes in microvascular skeletal muscle blood flow during incremental handgrip exercise. Strategic experimental methodology was incorporated to minimize complications due to the susceptibility of DCS for motion artifact. While  $\dot{Q}_{BA}$  increased linearly with exercise intensity, perfusive ( $BFI_{FDS}$  and deoxy-[heme]) and diffusive (total-[heme]) oxygen transport measurements of the FDS plateaued at a common submaximal work rate. Further studies are required to investigate whether muscle recruitment differences amongst muscles of the whole limb can explain the discrepancies observed in  $\dot{Q}_{BA}$  and  $BFI_{FDS}$  responses during incremental

exercise. Finally, future studies employing DCS should aim to challenge oxygen delivery to skeletal muscles to further solidify the utility of DCS for robust investigation of muscle microvascular blood flow responses to exercise.



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