

# **Young children's tool innovation across culture: Affordance visibility matters.**

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**Abstract**

Young children typically demonstrate low rates of tool innovation. However, previous studies have limited children's performance by presenting tools with opaque affordances. In an attempt to scaffold children's understanding of what constitutes an appropriate tool within an innovation task we compared tools in which the focal affordance was visible to those in which it was opaque. To evaluate possible cultural specificity, data collection was undertaken in a Western urban population and a remote Indigenous community. As expected affordance visibility altered innovation rates: young children were more likely to innovate on a tool that had visible affordances than one with concealed affordances. Furthermore, innovation rates were higher than those reported in previous innovation studies. Cultural background did not affect children's rates of tool innovation. It is suggested that new methods for testing tool innovation in children must be developed in order to broaden our knowledge of young children's tool innovation capabilities.

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*Keywords:* cross-cultural, tool manufacture, tool innovation, innovation, affordance, cognitive development

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The extent to which humans innovate with tools remains unparalleled within the animal kingdom (Carr, Kendal, & Flynn, 2016; Vaesen, 2012). Yet the capacity for tool innovation appears curiously absent in young children, with multiple studies showing that prior to 8 years of age children struggle to innovate even simple tools on their own (Beck, Apperly, Chappell, Guthrie, & Cutting, 2011; Beck, Williams, Cutting, Apperly, & Chappell, 2016; Cutting, 2013; Cutting, Apperly, Chappell, & Beck, 2014; Nielsen, 2013). This is curious, as from a young age children are adept tool users (Brown, 1990; Connolly & Dalgleish, 1989; Harris, 2005). However, previous studies may have limited children's performance by presenting tools with opaque affordances. In addition, the vast majority of testing to date has been conducted using the same methodology, and tested almost exclusively children from Western cultural backgrounds (Nielsen, Tomaselli, Mushin, & Whiten, 2014). These factors may individually or in combination lead to apparent tool innovation failure that may not accurately portray children's true capacities.

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Children are driven to explore and utilize the material world around them (Bakeman, Adamson, Konner, & Barr, 1990; Bock, 2005; Gaskins, 2000; Kaye, 1982; Keller et al., 2009; Little, Carver, & Legare, 2016; Piaget & Cook, 1952; Rogoff et al., 1993). By the age of four months, infants from Western and traditional societies demonstrate a sustained interest in objects, and by 8-11 months begin to engage in relational play with objects (Belsky & Most, 1981; Bjorklund & Gardiner, 2011; Bourgeois, Khawar, Neal, & Lockman, 2005; Konner, 1976). This interest persists well into the early childhood years, manifesting as object play, construction and manipulation (Bakeman et al., 1990; Belsky & Most, 1981; Bock & Johnson, 2004;

27 Little et al., 2016; Smith & Simon, 1984), as children examine the causal relationships  
28 existing between objects and the environment (Bjorklund & Gardiner, 2011;  
29 Lockman, 2000; Pepler & Rubin, 1982; Piaget & Cook, 1952). At the age of nine  
30 months children begin to use tools to reach for objects far away from them (Willatts,  
31 1984), and by two years they can competently use tools such as spoons and rakes  
32 (Brown, 1990; Connolly & Dalgleish, 1989; Harris, 2005; McCarty, Clifton, &  
33 Collard, 2001). They can even invent simple tool-use behaviours independently by  
34 three years (Reindl, Beck, Apperly, & Tennie, 2016). Young children are also capable  
35 of tool manufacture: constructing or modifying tools after watching an adult  
36 manipulate relevant materials (Barr & Hayne, 1999; Bauer, Hertsgaard, & Wewerka,  
37 1995; Beck et al., 2011; Cutting, Apperly, & Beck, 2011). While tool manufacture  
38 occurs following observation or instruction on how to make the ideal tool (Cutting et  
39 al., 2011; Shumaker, Walkup, & Beck, 2011), tool innovation necessitates the  
40 construction of a novel tool that is designed by the individual without previously  
41 witnessing a demonstration of the means to do so (Cutting et al., 2011). This is a  
42 cognitively demanding feat: first the child must generate an ideal tool shape that  
43 might solve a task, then they must develop an action plan for creating that ideal tool  
44 shape, and finally execute that to an adequate degree to ensure success. It is perhaps  
45 unsurprising, then, that children of 4 to 5 years of age struggle to innovate new tools  
46 (Beck et al., 2011; Cutting, 2013; Cutting et al., 2014).

47       However, by this age children demonstrate developing capabilities in means-end  
48 reasoning, working memory, inhibitory control and causal understanding, which are  
49 purported to be involved in such multi-step problem solving (Bechtel, Jeschonek, &  
50 Pauen, 2013; Brown, 1990; Chappell, Cutting, Apperly, & Beck, 2013; Chappell et  
51 al., 2015; Gardiner, Bjorklund, Greif, & Gray, 2012; Geron, Bryson, & Smith, 2008;

52 Miyake et al., 2000; Pauen & Bechtel-Kuehne, 2016; Pauen & Wilkening, 1997;  
53 Reader, Morand-Ferron, & Flynn, 2016; although see Beck et al., 2016 for a lack of  
54 relationship between tool innovation and executive function). They have an  
55 appreciation of affordances: the relation between an object and an actor, and object  
56 and the environment, which provides the actor with an opportunity to perform an  
57 action, should they recognise it (Gibson, 1969, 1979; Norman, 2013). This begins in  
58 infancy with an exploration of object properties such as pliability, flexibility and  
59 rigidity (Bourgeois et al., 2005; Fontenelle, Kahrs, Neal, Newton, & Lockman, 2007;  
60 Geary, 2005), and progresses to investigations into object relations between form and  
61 function in the second year (Bjorklund & Gardiner, 2011; Brown, 1990; Madole,  
62 Oakes, & Cohen, 1993; Pauen & Bechtel-Kuehne, 2016). In this way children learn  
63 that an object's form affords action: a spoon affords scooping, and a hook affords  
64 pulling (Bjorklund & Gardiner, 2011; Gibson, 1969). Given the sophisticated  
65 cognitive toolkit young children are developing, it is reasonable to expect them to be  
66 better at tool innovation, yet they appear not to be.

67 To date, almost all studies examining children's tool innovation have employed  
68 the same basic methodology. The task, which was first administered to New  
69 Caledonian crows (Weir, Chappell, & Kacelnik, 2002), involves retrieving a bucket  
70 and reward from a long, vertical tube using some form of pliable material. For  
71 children, the reward consists of a toy and sticker, which are placed into the bucket,  
72 and lowered to the base of the narrow tube. Children are presented with a straight  
73 pipecleaner and some distractor items (e.g., a string and some match sticks), and told  
74 that these things might help them in retrieving the toy from the tube. Children are then  
75 given one minute to retrieve the toy. In order to be successful on the task, children  
76 must innovate a novel tool from the materials provided. Without seeing a

77 demonstration of how to do so, they must select the straight pipecleaner and bend its  
78 end into a hook-shape, so that it may be placed down the tube and hooked onto the  
79 bucket's handle to lift it up.

80 Young children find this task extremely challenging: Across a number of studies,  
81 only 8-20% of 4-5 year-olds spontaneously make a hook with the pipecleaner (Beck  
82 et al., 2011; Chappell et al., 2013; Cutting et al., 2014; although see Sheridan,  
83 Konopasky, Kirkwood, & Defeyter, 2016 for performance of 44% in 4-5 year-olds). It  
84 is only at about 8-9 years of age that 60-65% of children innovate the ideal hooked  
85 tool (Beck et al., 2011). When compared with high innovation rates of over 90% in  
86 adult samples, it appears that young children are particularly poor at innovating in this  
87 task.

88 What, then, might make this task so difficult for young children? One reason may  
89 be its "ill-structured" nature (Chappell et al., 2013). In ill-structured problems, key  
90 information necessary for the successful solving of the problem is omitted from the  
91 available stimuli (Goel & Grafman, 2000; Wood, 1983). This information must  
92 therefore be internally generated by the individual in order for the task to be solved.  
93 For example, in the pipecleaner task previously described, children are provided with  
94 information about the starting material state (use a pipecleaner, string or matchstick),  
95 and the goal state (retrieve the bucket from the tube), but no information is given  
96 about how the starting materials might be transformed in order to successfully achieve  
97 this end. Instead, the child must independently determine two things: an ideal tool  
98 shape to use on the task (a hooked tool), and a strategy on how to construct that shape  
99 from the available materials (bend the pipecleaner; Bongers, Smitsman, & Michaels,  
100 2003; Cox & Smitsman, 2006).

101           Consequently, one reason why children may fail to generate the ideal tool  
102 shape is because they may not detect the appropriate affordance existing within the  
103 material. There is much evidence to show that perceptual information incongruent  
104 with the causal properties of a tool will lower overall tool performance (Bates,  
105 Carlson-Luden, & Bretherton, 1980; Gardiner et al., 2012; Gentner & Markman,  
106 1997; Pierce & Gholson, 1994; Rattermann & Gentner, 1998; Winner, Rosenstiel, &  
107 Gardner, 1976). A hooked pipecleaner has a “visible” affordance: its ability to  
108 complete the action of ‘hooking’ onto the bucket is perceptually obvious. In contrast,  
109 in the classic tube problem, the straight pipecleaner offered has a “hidden”  
110 affordance: although it has the potential to be bent into a hook, this cannot be  
111 perceived in its current state. By providing a hooked pipecleaner, children are given  
112 clear information about how the tool might effectively be used to achieve the goal of  
113 retrieving the bucket. The straight pipecleaner, however, could have any number of  
114 uses or the potential for multiple transformations within the task, and success relies on  
115 the child arriving on this hook shape on his or her own in order for it to be used  
116 effectively.

117           Similarly, children perform best at tool-use tasks when the causal link between  
118 a tool’s form and its function is highlighted (Bechtel et al., 2013; Gardiner et al.,  
119 2012; Goswami & Brown, 1990; Pierce & Gholson, 1994; Winner et al., 1976).  
120 Indeed, children’s success on the pipecleaner task elevates if they are given an  
121 indication of the ideal tool shape required. Beck and colleagues (2011) gave children  
122 the choice between using a hooked pipecleaner or a straight pipecleaner, and children  
123 reliably selected the hooked pipecleaner and used it on the task. This suggests that  
124 children can recognise a hook-shape as providing the necessary affordance needed to  
125 solve the task, but that they struggle to generate this tool shape on their own.

126           Alternatively, children might be able to generate the idea of a hooked tool, but  
127 struggle to develop an action plan that will transform the straight pipecleaner into that  
128 ideal tool (Bjorklund & Gardiner, 2011). Indeed, children will readily copy an adult’s  
129 demonstration of how to make a hook – once they see how to bend the pipecleaner’s  
130 end upwards, they copy this action and swiftly apply it to the tube problem (Beck et  
131 al., 2011; Cutting et al., 2011). This suggests again that children are able to recognise  
132 the value of a hooked tool and can readily map the action plan they observed onto  
133 their physical materials to create an adequate tool themselves.

134           Although such scaffolding procedures are valuable in verifying some of the  
135 cognitions that underlie children’s tool use, by providing a hooked tool template, they  
136 also remove the ‘innovative’ element of the task. These studies have reduced the tube  
137 problem from one requiring tool innovation, in which no example of an ideal tool is  
138 provided, to one requiring tool manufacture (where a template tool is constructed for  
139 the child to copy) or tool use (where the appropriate tool must be selected from an  
140 array). It is still unknown whether adding information about the ideal tool shape  
141 needed *without* providing an example of the exemplar tool might see equal  
142 improvement in children’s performance on the task.

143           The current study thus aimed to examine whether providing a pipecleaner that  
144 had its hooked affordance visible, but required innovation in another form, would see  
145 children’s performance improve on the tube problem. We provided a hooked  
146 pipecleaner that had the non-hook end curled over and hence required unbending in  
147 order to create the ideal tool. Children thus needed to innovate on the non-hook end of  
148 the tool to make it long and straight. This was compared to the performance of  
149 children who received the straight pipecleaner as per the classic task, which required



150 one end to be bent into a hook.<sup>1</sup> It was hypothesised that children provided with the  
151 focal affordance of the target tool (the hook shape) would select this tool more often  
152 against a distractor and correctly innovate on the tool at higher rates than children for  
153 whom the affordances remained invisible. Providing visual information would reduce  
154 the cognitive load inherent in the task, because children would only be required to  
155 recognize, rather than generate, the appropriate affordance (and therefore function) of  
156 the tool for the task.

157 Further, calls remain strong for data collection in psychology to move away from  
158 reliance on homogenous samples, and specifically those that are Westernised,  
159 Educated, Industrialised, Rich, and Democratic (WEIRD; Henrich, Heine, &  
160 Norenzayan, 2010; Legare & Harris, 2016; Legare & Nielsen, 2015; Nielsen & Haun,  
161 2016; Rowley & Camacho, 2015). This issue is particularly pertinent here with only  
162 one study to date examining a non-WEIRD sample, finding poor tool innovation in  
163 Southern African Bushman children similar to that of Western children (Nielsen et al.,  
164 2014). However, a child's detection or interpretation of object affordances will be  
165 influenced by how they see others interacting with similar objects, and so may be  
166 culturally defined (Bakeman et al., 1990; Flynn, 2008; Little et al., 2016; Tennie,  
167 Call, & Tomasello, 2009; Tomasello & Call, 1997; Whiten & Flynn, 2010). The  
168 extent to which children's poor innovation capabilities are culturally-dependent or  
169 biologically universal thus remains largely uncharted. We therefore undertook data  
170 collection in two distinct cultural samples – children living in a typical WEIRD city

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<sup>1</sup> Previous research examining children's tendency to bend and unbend materials in an innovation task found no difference in their ability to perform such actions (Cutting, 2013). Both actions are considered to fall under the manufacture mode of 'reshaping', and thus should be represented similarly (Kacelnik, Chappell, Weir, & Kenward, 2006). It is unlikely then that any elevated performance seen on the Hook Visible pipecleaner would be due to a difference in the difficulty required to construct the ideal tool shape.

171 and children living in a remote, Indigenous Australian community. Following recent  
172 approaches (Little et al., 2016), our goal here was not to emphasize the dichotomy  
173 between Western and Non-Western populations but rather to enable better articulation  
174 of the universality of young children's tool innovation abilities. Hence no hypotheses  
175 regarding potential differences between these two communities were generated.

176 Method

177 *Participants*

178 Thirty Indigenous Australian children (16 male, 14 female) aged between 3  
179 and 5 years ( $M = 4$  years 3 months, range = 3 years 2 months to 5 years 10 months)  
180 participated in this experiment. Four additional children were tested, but their data  
181 was excluded due to excessive shyness ( $N = 3$ ) or recording failure ( $N = 1$ ). These  
182 children were residents of the Borrooloola and Robinson River Aboriginal  
183 communities in Northern Australia. Borrooloola is a remote town of roughly 1500  
184 inhabitants, with a predominantly Aboriginal population. Robinson River is situated  
185 approximately 150 kms Southeast of Borrooloola, and consists of an Aboriginal  
186 community of about 250 residents. Aboriginal residents of Borrooloola mostly identify  
187 as one of four language groups - Garrwa, Yanyuwa, Mara and Gudanji – while the  
188 residents of Robinson River are majorly Garrwa. These groups have co-existed for  
189 many generations, predating European incursion (Mushin, 2012a, 2012b). Both came  
190 into contact with European settlers in the late 19<sup>th</sup> century when the country was taken  
191 for cattle pasture. Many groups were decimated by this event, through disease,  
192 starvation and violence (Roberts, 2005). Over the first half of the 20<sup>th</sup> century, many  
193 of the residents worked on cattle stations as domestic workers and stockmen. Today,  
194 residents live in extended family groups in houses, but much of everyday life occurs  
195 outside in public areas (Baker, 1999). Traditional practices of hunting or foraging for

196 traditional foods, as well as ceremonial rites such as initiation, still occur. Children  
197 have access to public schooling and preschools, playgroups and crèches. Here  
198 children interact with non-indigenous people and are taught the English language.  
199 However, everyday conversations between the children use a local vernacular  
200 language (a creole). Apart from Westernised schooling and exposure to television,  
201 children and the larger community have little contact with Western society.

202         Thirty children from Brisbane also participated in this study. They were  
203 matched for age and gender with the Aboriginal children to be within three months of  
204 their matched counterpart ( $N = 30$ , 16 male, 14 female,  $M = 4$  years 4 months, range =  
205 3 years 5 months to 5 years 6 months). The majority were Caucasian and from  
206 middle-class socioeconomic backgrounds. Brisbane is Australia's third most populous  
207 city, with a population of 2 million. Education is compulsory until the age of 15 years,  
208 and public and private schooling is available. The predominant language spoken is  
209 English. All children in both locations were presented with a thank you gift and  
210 certificate for their participation.

#### 211 *Materials/Apparatus*

212         Children were presented with a vertical plexiglass tube (22 cm height x 5 cm  
213 width) that was positioned on a wooden base. A small toy figurine and a sticker were  
214 placed inside a small plastic bucket with a wire handle. This bucket was lowered into  
215 the vertical tube by the experimenter. The tube was presented alongside two materials  
216 acting as potential tools for each condition: a thin rope (35 cm length), and a  
217 pipecleaner (30 cm in length). The rope was the same in each condition, and served as  
218 a distractor material that would not be effective in the tool innovation task. Only the  
219 pipecleaner served as an effective material in both conditions. In the Hook Visible  
220 condition, the pipecleaner was presented with a hook bent into one end, and its other

221 end rounded over into a loop. This rendered it too short and wide to fit into the tube in  
 222 its current state. The ideal action required to innovate this material effectively was to  
 223 unbend the looped end to create a long and straight hooked tool (see Figure 1a). In the  
 224 Hook Not Visible condition, the pipecleaner was presented straight (see Figure 1b).  
 225 The ideal action required to innovate this material into an effective tool was to bend  
 226 its end into a hook. Children in each sample were assigned to the Hook Visible ( $N=$   
 227 16) or the Hook Not Visible ( $N= 14$ ) condition.

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a.

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231 *Figure 1.* The a) Hook Visible and b) Hook Not Visible stimuli set, and c) the  
 232 tube apparatus with the ideal tool shape displayed.

### 233 *Procedure*

234 The Borroloola children were recruited from playgroups, crèches or the public  
 235 school. Parental permission was obtained through consent forms requesting a  
 236 signature. Children were tested individually out of the view of other children, and  
 237 were seated on a play mat directly across from an experimenter. The experimenter  
 238 warmed children up to the testing scenario by playing another unrelated game with

239 them before commencing the task. Children were often tested in the presence of a  
240 parent or teacher aide.

241 The Brisbane children were recruited from a database managed by the  
242 university. Children were first brought into a child-friendly warm-up room of the  
243 university for playtime with some unrelated toys. Then, children were tested in a  
244 child-friendly room of the university on a play mat on the floor, with the child facing  
245 the experimenter. Brisbane children were always tested in the presence of a parent.

246 *Test phase.* Children were shown a ‘monster’ figurine that was placed into a  
247 bucket ‘spaceship’. Children were told that while the monster was going on an  
248 adventure, his spaceship fell down a well and got stuck. The experimenter then  
249 dropped the bucket and monster into the vertical tube out of the child’s view. The  
250 experimenter brought the tube out to the front of the child, and told them that she  
251 didn’t know how to get the monster out, and asked if the child could help her rescue  
252 him. The experimenter then presented the pipecleaner and rope materials and stated,  
253 “maybe these things could help you get the monster out”. The child was given one  
254 minute to complete this goal to remain consistent with other innovation paradigms.  
255 The experimenter gave neutral encouragement such as “you can try anything” or  
256 “keep trying” if children hesitated on the task, but did not give any direct instruction  
257 on how to use the materials.

258 *Demonstration phase.* If children did not retrieve the toy within the time  
259 frame, the experimenter engaged in a tool-making demonstration. She pulled out  
260 another pipecleaner in the same state as that the child had received, and demonstrated  
261 the required action needed for that condition. In the Hook Visible condition, she  
262 unbent the loop to create a long, straight tool, leaving the hook present. In the Hook  
263 Not Visible condition, she bent the bottom end of the pipecleaner into a hook. She

264 then inserted the tool into the tube, but did not scoop up the bucket. She removed this  
265 tool from the child and gave them another pipecleaner in its original form, and said,  
266 “you can have a turn”. Children were given another 30 seconds to retrieve the  
267 figurine. If they were still unsuccessful, the experimenter assisted them by modifying  
268 their tool and helping them hook it onto the bucket. All children received praise when  
269 they retrieved the toy from the tube.

### 270 *Coding*

271 All coding of responses occurred from video. Our coding scheme differed  
272 slightly from that of previous innovation experiments (Beck et al., 2011; Cutting et  
273 al., 2011; Cutting et al., 2014). In previous experiments, success was coded as a  
274 correct innovation plus subsequent retrieval of the toy using the innovated tool.  
275 However we wished to separate this measure into two critical parts – first, to examine  
276 whether children actively made the ideal innovation on the tool, and separately,  
277 whether they successfully retrieved the toy from the tube. This is because we consider  
278 the construction of the novel tool to be the key measure indicating insightful  
279 innovation, and recognise that this could occur even without successful application of  
280 it to the task. We also included a measure of any innovations made by children, which  
281 was defined as any alteration in any material’s form or structure occurring by  
282 deliberate action (ie. by curling or scrunching the pipecleaner, or tying the rope in  
283 knots or forming a circle with it). This was to ensure we had a measure of children’s  
284 attempts to alter the states of both materials, as they may have arrived at alternative  
285 solutions than the hook to solve the task. Thus, the dependent variables recorded  
286 included: (1) which material was first touched by the child; (2) which material was  
287 first inserted into the tube; (3) whether the material was innovated upon in any way  
288 (4) whether the ideal innovation was done on the pipecleaner (bending it into a hook

289 in the Hook Not Visible condition or unbending the loop to straighten it in the Hook  
290 Visible condition); (5) whether the ideal innovation occurred before the initial  
291 insertion into the tube or after and (6) success at retrieving the toy from the tube  
292 (either before or after a demonstration).

293 Inter-rater reliability tests were conducted on 10% of the videos, which were  
294 also coded by a second rater blind to the study aims and hypotheses. Coders reached  
295 agreement above .86 across all dependent measures (Cohen's kappa).

296 *Baseline condition.*

297 As part of the review process we were requested to provide a baseline condition to  
298 ensure any action on the test apparatus was unlikely to be attributable to children's  
299 spontaneous, non-goal-directed explorations. Thus a second sample of children who  
300 had not participated in the experiment was collected from the same Indigenous  
301 communities the following year ( $N = 18$ , 13 male, 5 female,  $M = 6$  years 8 months,  
302 range = 4 years 10 months to 8 years 9 months), and an age-matched sample of  
303 children from the Brisbane community ( $N = 18$ , 13 male, 5 female,  $M = 6$  years 8  
304 months, range = 5 years 0 months to 8 years 0 months). Children were of an older age  
305 group because only the Borroloola school and preschool, but not the crèche, were  
306 available for recruiting at the time. Children received the Hook Not Visible or the  
307 Hook Visible pipecleaner alongside the rope from the experimental study. Children  
308 were simply asked to generate all the things they could do with 'these things' within a  
309 one-minute duration. Following this, they were presented with the other pipecleaner  
310 alongside the rope in a counterbalanced order for the same time.

311 The purpose of this condition was to examine what deliberate actions children  
312 would engage in on each material, and what overall shapes they would generate, when  
313 no direct goal was presented. This served to check whether children made the same

314 actions on the materials habitually as they might do deliberately in an innovation task:  
315 1) bending a hook into the Hook Not Visible pipecleaner or 2) straightening out the  
316 curled end of the Hook Visible pipecleaner and 3) straightening out the hook in the  
317 Hook Visible pipecleaner. Similarly it was recorded if 4) children would create the  
318 overall ideal Hook Not Visible (bending a hook only) and Hook Visible tool shapes  
319 (straightening out the curl while not straightening out the hook) in the absence of a  
320 goal. If children made these ideal shapes at similar rates in the baseline conditions,  
321 then the creation of these shapes could not be considered 'innovative' or goal-directed  
322 within the experimental conditions. However, if children made more ideal shapes in  
323 the experimental conditions than those made in the baseline condition, this would  
324 provide reassurance that children were making insightful innovations in the  
325 experimental task in order to achieve the goal.

326

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## Results

328 Chi-square tests were employed for all statistical comparisons between the  
329 Hook Visible and Hook Not Visible conditions. In comparisons with low expected  
330 cell frequencies, Fisher's exact tests were run and are reported instead. Exact  
331 McNemar tests were used to compare between children's use of each material, and  
332 binomial tests were used to assess the frequency of use against chance levels. Chance  
333 here was defined as 50% because there were only two binary outcomes available for  
334 these measures (ie. top end or bottom end of pipecleaner).

335 *Test phase*

336 *Material choice:* Children were just as likely to select and touch the  
337 pipecleaner first as the rope in both cultural groups (Borrooloola:  $p = .099$ ; Brisbane:  $p$   
338  $= .585$ , exact McNemar tests), and this did not differ between conditions (Borrooloola:



339  $\chi^2(1) = .07, p = .796$ , Brisbane:  $\chi^2(1) = .48, p = .491$ ; see Table 1). Similarly,  
 340 children were just as likely to insert the pipecleaner into the tube first as the rope in  
 341 each culture (Borrooloola:  $p = .856$ ; Brisbane:  $p = 1.000$ ; exact McNemar tests)  
 342 indicating there was no immediate preference for either material in the task regardless  
 343 of condition (Borrooloola:  $\chi^2(1) = 1.16, p = .282$ , Brisbane:  $\chi^2(1) = .54, p = .464$ ). The  
 344 majority of children utilized both materials in the task during the one-minute test  
 345 duration (77% of Borrooloola children and 83% of Brisbane children). Therefore,  
 346 perseveration with one material was rare for children of both cultures. Instead,  
 347 children acted resourcefully, choosing to utilize all available materials when  
 348 attempting to solve the task.

349 *Tool innovation:* Children in both cultures were more likely to innovate upon  
 350 the pipecleaner than the rope by attempting to change its overall structure  
 351 (Borrooloola:  $p = .004$ ; Brisbane:  $p = .004$ ; exact McNemar tests), and this was the  
 352 same regardless of condition (Borrooloola:  $\chi^2(1) = 1.16, p = .282$ , Brisbane:  $\chi^2(1) =$   
 353  $.62, p = .431$ ). Notably, some children innovated the materials by combining them  
 354 both together (20% of Borrooloola children and 16% of Brisbane children).

355 *Ideal Innovations:* When looking at the ideal innovations required for the task:  
 356 unbending the curled end of the Hook Visible pipecleaner or bending the Hook Not  
 357 Visible pipecleaner, the Borrooloola children were significantly more likely to make  
 358 the ideal innovation on the Hook Visible pipecleaner by straightening it out than on  
 359 the Hook Not Visible pipecleaner by bending it into a hook,  $\chi^2(1) = 5.64, p = .042$   
 360 (see Table 1). A non-significant trend was observed in the Brisbane children,  $\chi^2(1) =$   
 361  $4.29, p = .058$ , Fisher's exact test.

362 Because the pattern of ideal innovation rates between each cultural group was  
 363 statistically the same ( $p = .633$ , Fisher's exact test), and because sample sizes for each

364 condition in each culture were relatively small, children's ideal tool innovation rates  
365 were compared collapsed across cultural groups. Within the combined sample,  
366 children were significantly more likely to correctly innovate on the Hook Visible  
367 pipecleaner than the Hook Not Visible pipecleaner,  $\chi^2(1) = 9.39, p = .002$ . An odds  
368 ratio indicated that the probability of children correctly innovating a tool in the Hook  
369 Visible condition ( $n = 13$ ) was 9.4 times higher than in the Hook Not Visible  
370 condition ( $n = 2$ ). This indicates that children were much more effective at making an  
371 ideal innovation if that innovation occurred on a tool that had its hooked affordance  
372 visible than one that did not. Further, most children that innovated the ideal tool in  
373 either condition were more likely to place it in the correct orientation (hook-end  
374 down) than not, binomial test:  $13/17, p = .018$ .

375 *First insertions.* In both cultural groups, a number of children made the ideal  
376 innovation on their pipecleaner before inserting it into the tube for the first time,  
377 however this only occurred in the Hook Visible condition within each sample  
378 (Borrooloola:  $2/5 = 40\%$ ; Brisbane:  $4/8 = 50\%$ ; refer Table 1). This indicates that some  
379 children could innovate in this condition from observation of the materials alone,  
380 without requiring haptic experience.

381 *Success:* Although the rate of ideal innovations made by children differed  
382 between conditions, successful retrieval of the toy and bucket by children in the test  
383 phase was similarly very low (Borrooloola:  $p = 1.000$ , Fisher's exact test; Brisbane:  $p =$   
384  $1.000$ ). In both the Borrooloola and Brisbane groups, 6% of children (1 out of 16) were  
385 able to retrieve the toy independently from the Hook Visible condition, and no child  
386 successfully retrieved the tool from the Hook Not Visible condition in either cultural  
387 group (see Table 1).

388 **Table 1**  
 389 Tool innovation as a function of cultural group and condition  
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Cultural Group	Condition	<i>n</i>	First Material Touched		First Material Inserted		Innovation		Ideal Innovation on Pipecleaner (%) <sup>b</sup>			Ideal Innovation Before First Insert		Success (%)		
			Pipecleaner	Rope	Pipecleaner	Rope	Pipecleaner	Rope	Before Demonstration	After Demonstration	With Assistance	Yes	No	Before demonstration	After Demonstration	With Assistance
Borroloola	Hook Visible	16 <sup>a</sup>	11	5	10	6	10	3	5 (33%)	9 (60%)	1 (6%)	2	3	1 (6%)	5 (31%)	10 (63%)
	Hook Not Visible	14	9	5	6	8	6	3	0 (0%)	13 (93%)	1 (7%)	-	-	0 (0%)	8 (57%)	6 (43%)
Brisbane	Hook Visible	16	6	10	9	7	8	2	8 (50%)	7 (44%)	1 (6%)	4	4	1 (6%)	7 (44%)	8 (50%)
	Hook Not Visible	14	7	7	6	8	5	2	2 (14%)	12 (86%)	-	0	2	0 (0%)	7 (50%)	7 (50%)

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<sup>a</sup> The Hook Visible condition in the Borroloola sample  $n = 16$  for all measures except the Ideal Innovation and Ideal Innovation Before First Insert conditions ( $n = 15$ ) due to loss of visibility in video for one participant.

<sup>b</sup> Hook Visible pipecleaner unbent at its top end; Hook Not Visible pipecleaner bent into a hook at its bottom end.

410 *Demonstration phase*

411           The children that progressed to the demonstration phase reliably imitated the  
 412 experimenter's actions and constructed an ideal tool shape with the pipecleaner  
 413 (Borrooloola: 90%, Brisbane: 93%, collapsed across condition). This was no longer  
 414 classed as an innovation but a modification, as it occurred following a demonstration.  
 415 However, often this modified tool was not able to effectively reach all the way down  
 416 the tube – either the tool was not straightened out to its full extent, or the hook that  
 417 was bent into it was too wide to fit. Of the 14 Borrooloola children and 15 Brisbane  
 418 children who made these errors, 21% of Borrooloola children and 58% of Brisbane  
 419 children adjusted their modification by reconstructing it. Children in both cultures  
 420 inserted their modified tools in the correct manner (hooked-end down) at rates  
 421 significantly higher than would be expected by chance (Borrooloola: 13/14,  $p = .002$ ,  
 422 binomial test; Brisbane: 11/12,  $p < .001$ , binomial test).

423           Related to these difficulties, only 41% of Borrooloola children, and 48% of  
 424 Brisbane children who saw a demonstration successfully retrieved the bucket without  
 425 aid from the demonstrator. This level of success did not differ between the conditions,  
 426 Borrooloola:  $\chi^2(1) = 1.80$ ,  $p = .180$ ; Brisbane:  $\chi^2(1) = .032$ ,  $p = .858$ . It appears then  
 427 that young children found retrieving the toy and bucket using an ideal tool  
 428 considerably difficult, both before and following a demonstration.

429 *Baseline condition*

430           *Actions:* The presence or absence of a goal within the task had no significant  
 431 effect on how often children bent a hook into the Hook Not Visible pipecleaner for  
 432 either culture, indicating that children can engage in bending actions regardless of  
 433 whether they have a goal or not (Borrooloola:  $p = .238$ ; Brisbane:  $p = .183$ ; Fisher's  
 434 exact tests; see Table 2). Similarly, children in both cultures were just as likely to

435 unbend the curl in the Hook Visible pipecleaner in the experimental and baseline  
436 conditions (Borrooloola:  $p = .418$ ; Brisbane:  $p = .291$ ; Fisher's exact tests), and  
437 straighten out the hook (Borrooloola:  $p = .607$ ; Brisbane:  $p = .180$ ; Fisher's exact  
438 tests), indicating that straightening actions occur regardless of whether a specific goal  
439 is present or not.

440 *Ideal shapes:* Children in both cultures were equally likely to create the ideal  
441 tool shape in the Hook Not Visible pipecleaner regardless of whether they were  
442 assigned to the baseline or experimental condition, indicating that the presence or  
443 absence of a goal was not important for its creation (Borrooloola:  $p = 1.000$ ; Brisbane:  
444  $p = .183$ ; Fisher's exact tests). However, this occurred at low rates in both conditions,  
445 making comparisons difficult (see Table 2).

446 In contrast, the presence or absence of a goal was significantly associated with  
447 how often children generated the ideal tool shape in the Hook Visible pipecleaner,  
448 with both cultural samples producing more ideal tools in the experimental condition  
449 (Borrooloola:  $p = .013$ ; Brisbane:  $p = .001$ ; Fisher's exact tests). This suggests that this  
450 ideal tool shape was created most when the task required a tool to extract a bucket  
451 from a tube, compared to when no direct goal was provided.

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459 **Table 2**  
 460 Actions and shapes constructed on the materials as a function of cultural group and condition  
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Cultural Group	Condition	<i>n</i>	Hook Not Visible Pipecleaner				Hook Visible Pipecleaner					
			Bend Hook?		Make Ideal Shape (%)		Unbend Curl?		Unbend Hook?		Make Ideal Shape (%)	
			Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
Borroloola	Baseline	18	3	15	1 (6%)	17 (94%)	3	15	3	15	0 (0%)	18 (100%)
	Experimental	14 <sup>a</sup> , 15 <sup>b</sup>	0	14	0 (0%)	14 (100%)	5	10	1	14	5 (33%)	10 (67%)
Brisbane	Baseline	18	0	18	0 (0%)	18 (100%)	5	13	5	13	0 (0%)	18 (100%)
	Experimental	14 <sup>a</sup> , 16 <sup>c</sup>	2	12	2 (14%)	12 (86%)	8	8	1	15	8 (50%)	8 (50%)

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<sup>a</sup> Total *n* for the Hook Not Visible condition for both cultural samples.

<sup>b</sup> Total *n* for the Hook Visible condition for the Borroloola sample.

<sup>c</sup> Total *n* for the Hook Visible condition for the Brisbane sample.

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## Discussion

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Previous research has highlighted the difficulties young children experience when innovating novel tools. While children are extremely good at copying the tool-making actions they see (Beck et al., 2011; Cutting et al., 2011), or selecting adequate tools for a task (Beck et al., 2011), they struggle to design and make tools on their own (Beck et al., 2011; Beck et al., 2016; Cutting, 2013; Cutting et al., 2014). The current study sought to examine whether young children could perform better on the tube problem if they were provided with a tool that had its focal hooked affordance visible, but still required another innovation before it could become an effective tool. By comparing the performance of children who received the Hook Visible pipecleaner to those who received the Hook Not Visible pipecleaner, inferences could be made about whether children's particular struggle in such tasks originate from difficulties in generating the idea of a hooked tool. It was predicted that providing visual information about the tool's affordance would reduce the overall cognitive load involved in the problem-solving process, as children would only be required to recognize, rather than generate, the appropriate affordance required. This would lead to greater innovation performance. In addition, by testing children from two distinct cultural backgrounds, more information could be gained about the universality or specificity of children's tool innovation capacities.

The results of the current study support the notion that young children are better innovators when using tools with visible affordances. Children were nine times more likely to correctly innovate on a pipecleaner when its hook shape was made visible, compared to when it was not visible. Furthermore, the rate at which they did so was higher than in previous studies – increasing to 45% of 3-5 year-olds in

506 comparison to typical rates of below 10% (Beck et al., 2011; Cutting et al., 2011;  
507 Cutting et al., 2014) and that of the 14% who did so in the Hook Not Visible  
508 condition. In addition, just under half of the children that received this pipecleaner  
509 were able to make the ideal innovation on it before their first insertion of it into the  
510 tube, while no child did so in the Hook Not Visible condition. This indicates that  
511 some children could perceive the affordances inherent in this material from  
512 observation alone, without requiring haptic feedback from the tool's interaction with  
513 the object before arriving on the innovation solution. This suggests that children gain  
514 greater insight into how a tool can be innovated upon to solve a task if they are  
515 provided with information on how it could be used effectively. This finding supports  
516 past research demonstrating that providing a template of the ideal hook-shape elevates  
517 children's performance (Beck et al., 2011; Cutting et al., 2014), and that children are  
518 best at problem-solving with tools that have congruent perceptual and causal  
519 information (Bechtel et al., 2013; Gardiner et al., 2012; Goswami & Brown, 1990;  
520 Pierce & Gholson, 1994; Winner et al., 1976). Conversely, children's struggle on tool  
521 innovation tasks appears to be in part due to the need to generate the ideal tool shape,  
522 and confirms that children determine the affordances of objects in part from the  
523 physical properties they can perceive (Brown, 1990; Gardiner et al., 2012; van  
524 Leeuwen, Smitsman, & van Leeuwen, 1994; Vingerhoets, Vandamme, &  
525 Vercammen, 2009).

526         Furthermore, this pattern of results persisted across two diverse cultural  
527 samples. Children from a rural, Indigenous community, and those from an urban,  
528 Western sample, benefitted equally from being shown a hooked tool that required  
529 innovation over a non-hooked tool that required innovation. Despite distinct  
530 differences in the family structure, cultural activities and socioeconomic standing of



531 each community, the children's performance was similar. This provides additional  
532 support for the notion that young children, regardless of cultural upbringing, can share  
533 similar tool-related innovation abilities (Nielsen et al., 2014).

534 While significantly more children did recognize that the Hook Visible  
535 pipecleaner was the appropriate tool to use in the current task, this recognition was  
536 not immediate. In contrast with hypotheses, children did not select the hooked  
537 pipecleaner at higher rates than the rope in the first instance. This suggests that  
538 children may not have been perceptive to how the hooked affordance could solve the  
539 task on first presentation. Nielsen and colleagues (2014) also reported that children  
540 did not select a hooked pipecleaner over a straight pipecleaner when placed amongst  
541 multiple distractor materials. These findings contrast that of the original tool  
542 innovation study, where children chose a hooked pipecleaner significantly more often  
543 amongst two distractors (Beck et al., 2011). It is possible that children learned over  
544 the task's duration that the more pliable pipecleaner was better suited for use in the  
545 task, but that this could only occur with the experience of manipulating the materials  
546 during the task (Vaesen, 2012). Indeed, previous research has demonstrated that  
547 children's performance on such tasks is enhanced if they are shown the properties of  
548 the materials beforehand (such as their malleability; Bechtel et al., 2013; Cutting et  
549 al., 2014). This is because this provides functional information about how the material  
550 might provide the means to achieve the goal (Bechtel et al., 2013). Future research  
551 could provide children with free time to play with either pipecleaners or distractor  
552 materials before beginning the innovation task to see just how much individual  
553 exploration of the material might elevate performance.

554 It is curious that despite children's marked improvement in innovating ideal  
555 tools in the Hook Visible condition, their success rates at retrieving the toy were not

556 similarly elevated. It is possible that children were not modifying the Hook Visible  
557 pipecleaner insightfully. Perhaps their straightening out of the curled end of the  
558 pipecleaner was a habitual action that they performed without thinking of how it  
559 might apply to the problem, and thus their creation of the ideal tool did not  
560 correspond with an understanding of how to solve the task. However, the baseline  
561 condition demonstrates that while children will habitually engage in the same actions  
562 of straightening either end of the Hook Visible pipecleaner in the absence of a goal,  
563 they do not habitually create the same ideal tool that children do in an innovation task  
564 – indicating that when children focus on innovating on the curled end of the hooked  
565 pipecleaner while keeping the hook intact, they are doing so insightfully and in a  
566 goal-directed manner. Furthermore, in the experimental conditions children were  
567 more likely to insert their straightened out pipecleaner in the correct orientation  
568 needed for success, with the hook component down, than not. These findings suggest  
569 children were applying their innovated tool to the task appropriately in an active  
570 attempt to retrieve the toy.

571         Instead, it appears that children’s success suffered due to a failure to integrate  
572 the perceptual feedback of their tool manipulation to the target object (Gardiner et al.,  
573 2012; van Leeuwen et al., 1994), or a lack of fine motor skills (Bechtel et al., 2013),  
574 rather than due to a limitation of their affordance understanding. This reflects  
575 previous research demonstrating that young children are better at selecting or  
576 constructing a tool appropriate for a task than they are at successfully using it to  
577 achieve a goal (Gardiner et al., 2012; Remigereau et al., 2016). Many children on the  
578 current task made an ideal innovation on their pipecleaner, but often this action was  
579 not finalized to an adequate degree to make it long enough or straight enough to go  
580 cleanly down the tube and reach the bucket. Although a fair portion of children

581 attempted to recalibrate their innovation, often this did not lead to subsequent success.  
582 Even following the demonstration phase, when children saw exactly how to execute  
583 an ideal innovation, less than half of children who attempted to construct the template  
584 tool did so to an appropriate degree that they succeeded. The other half required  
585 assistance from the experimenter to ensure their constructed tool could lift the bucket  
586 out of the tube. This may be in part due to the highly malleable properties of the  
587 pipecleaner: very deliberate action was required in order to construct and maintain a  
588 rigid shape within it. Perhaps then future innovation tasks could utilise a paradigm  
589 containing rigid materials more suited to young children's current level of dexterity.  
590 Similarly, a longer test time may allow children to further explore the material, and  
591 success rates may elevate as a result.

592         While children's innovation on tools with visible affordances was markedly  
593 higher than previously reported for 3-5 year-olds on tools with invisible affordances,  
594 their performance did not reach rates typical of 8-9 year olds (65% of children), nor  
595 the ceiling rates observed in adults (Beck et al., 2011). Just under half of the 3-5 year-  
596 olds were able to generate the solution of straightening out the hooked pipecleaner for  
597 use. This suggests that while innovative ability is certainly present in 3-5 year olds, it  
598 is still developing. It emphasizes that innovation is a skill that is refined throughout  
599 childhood and on into adulthood (Beck et al., 2011; Carr et al., 2016; Chappell et al.,  
600 2013; Cutting et al., 2011; Cutting et al., 2014; Nielsen et al., 2014). While this  
601 certainly occurs due to improvements in executive functioning and causal reasoning  
602 (Beck et al., 2016; Cutting et al., 2011; Gardiner et al., 2012; Miyake et al., 2000;  
603 Monsell, 1996), it may also occur due to increased experience in using tools and  
604 exploring their affordances first-hand. Nevertheless, the fact that innovation is  
605 inherently an ill-structured problem, in which an individual must generate and execute

606 a method for transforming an incomplete material into an effective tool  
607 independently, means it remains a particularly challenging problem to solve (Chappell  
608 et al., 2013).

609 The results from the current study are encouraging, because they highlight that  
610 young children may have a greater understanding of how materials can be innovated  
611 upon to solve new problems than current literature suggests. They suggest that  
612 children's ability to innovate may indeed span across cultures (Nielsen et al., 2014).  
613 However, they also demonstrate how task difficulty may mask or limit children's  
614 intrinsic tool innovation abilities. There is great need for the development of new  
615 paradigms for testing children's tool innovation across diverse contexts (Caldwell,  
616 Cornish, & Kandler, 2016; Carr et al., 2016). Moving away from tasks that omit key  
617 information about focal tool shape, and towards tasks that provide tools with clear  
618 affordances, is one such way in which future research could provide a favorable  
619 platform for children to display innovative behavior. Similarly, providing children  
620 with opportunities to explore the materials' affordances beforehand, with longer test  
621 times, might see their performance improve. The settings in which human adults have  
622 demonstrated and implemented tool innovations are boundless. It seems at odds then  
623 that our investigation into this critical ability in development has thus far been  
624 restricted to just a couple of paradigms.

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