

# *Ocean and atmosphere feedbacks affecting AMOC hysteresis in a GCM*

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1 **Ocean and Atmosphere feedbacks affecting AMOC**  
2 **hysteresis in a GCM**

3 **L.C. Jackson · R.S. Smith · R.A. Wood**

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6 **Abstract** Theories suggest that the Atlantic Meridional Overturning Circu-  
7 lation (AMOC) can exhibit a hysteresis where, for a given input of fresh water  
8 into the north Atlantic, there are two possible states: one with a strong over-  
9 turning in the north Atlantic (on) and the other with a reverse Atlantic cell  
10 (off). A previous study showed hysteresis of the AMOC for the first time in a  
11 coupled general circulation model (Hawkins et al, 2011).

12 In this study we show that the hysteresis found by Hawkins et al (2011)  
13 is sensitive to the method with which the fresh water input is compensated.  
14 If this compensation is applied throughout the volume of the global ocean,  
15 rather than at the surface, the region of hysteresis is narrower and the off  
16 states are very different: when the compensation is applied at the surface,  
17 a strong Pacific overturning cell and a strong Atlantic reverse cell develops;  
18 when the compensation is applied throughout the volume there is little change  
19 in the Pacific and only a weak Atlantic reverse cell develops.

20 We investigate the mechanisms behind the transitions between the on and  
21 off states in the two experiments, and find that the difference in hysteresis  
22 is due to the different off states. We find that the development of the Pacific  
23 overturning cell results in greater atmospheric moisture transport into the  
24 North Atlantic, and also is likely responsible for a stronger Atlantic reverse  
25 cell. These both act to stabilize the off state of the Atlantic overturning.

26 **Keywords** MOC · THC · hysteresis

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## 1 Introduction

One of the open questions in climate studies is whether the Atlantic Meridional Overturning Circulation (AMOC) has the potential to collapse in present day and future climates. Paleoclimate studies (Rahmstorf, 2002; McManus et al, 2004; Clement and Peterson, 2008; McNeall et al, 2011) have shown that rapid changes in surface climate have occurred in the past and that these may have been caused by a switch from a vigorous Atlantic overturning ('on' state) to a weak or reversed overturning ('off' state). Although a collapse of the AMOC has been judged to be very unlikely within the 21st century based on projections of future climate change by current general circulation models (GCMs) (Collins et al, 2013), such a collapse would have large impacts on the climate (Vellinga and Wood, 2008; Kuhlbrodt et al, 2009; Jackson et al, 2015). Hence it is important to understand what determines the stability of the AMOC and the processes behind a collapse in order to make an assessment of the likelihood of a collapse occurring in the future.

Simple box models of the overturning circulation have shown that there are theoretical reasons for believing that rapid shifts between MOC states are possible (Stommel, 1961; Rahmstorf, 1996). These models show that for a range of additional fresh water input into the sinking regions (as might occur from melting ice sheets, or from an increased hydrological cycle) there are two possible stable states (bistability) for the AMOC. This results in potentially irreversible transitions (hysteresis) between overturning states when the climate is altered. The process responsible for this bistability is a positive salt advection feedback whereby a decrease in the AMOC strength results in less northwards transport of salt and therefore a freshening of the North Atlantic and a further weakening of the AMOC. In more complex ocean and coupled climate models this feedback is expected to still play a role, however biases in salinity can remove, or even reverse this feedback and other feedbacks can also be important (Schiller et al, 1997; Vellinga et al, 2002; de Vries and Weber, 2005; Jackson, 2013). Many GCMs previously had biases that did not allow for a positive salt advection feedback (Drijfhout et al, 2011), however this bias has been removed in some current GCMs (Weaver et al, 2012).

Many studies (for example Rahmstorf et al, 2005; Hofmann and Rahmstorf, 2009; Weber and Drijfhout, 2007; Cimatoribus et al, 2012) have shown that the hysteresis and bistability shown in the box models still exists in more complex climate models with dynamic oceans (either forced ocean only models, Earth System Models of Intermediate Complexity (EMICs) or simpler models), and in a coupled Atmosphere-Ocean general circulation model (Hawkins et al, 2011, discussed below). The range of fresh water input for which there are bistable states has been found to be model dependent due to factors including mixing strengths and parameterizations, wind stress, model biases and atmospheric feedbacks (Rahmstorf et al, 2005; Hofmann and Rahmstorf, 2009; Sévellec and Fedorov, 2011).

There are substantial differences in AMOC off states between models. There are theoretical reasons to expect that wind-driven upwelling in the



72 Southern Ocean should be balanced globally by sinking somewhere when  
73 in a steady state (Kuhlbrodt et al, 2007; de Boer et al, 2008), however the  
74 wind-driven upwelling can be counteracted by eddy-induced transports in the  
75 Southern Ocean, eliminating or reducing the requirement for high latitude  
76 deep water formation (Johnson et al, 2007). Some model studies have found  
77 off states with no northern hemispheric sinking and with reversed overturn-  
78 ing cells in the Atlantic (Marotzke and Willebrand, 1991; Manabe and Stouffer,  
79 1999; Gregory et al, 2003). Others have found deep water being formed instead  
80 in the Pacific forming a Pacific Meridional Overturning Circulation (PMOC)  
81 cell (Marotzke and Willebrand, 1991; Saenko et al, 2004).

82 Saenko et al (2004) describe an 'Atlantic-Pacific seesaw'. They used an  
83 EMIC and found that by adding fresh water to the Atlantic they caused a  
84 shutdown of the AMOC and a more gradual strengthening of the PMOC.  
85 They also showed that they could make the AMOC collapse by removing fresh  
86 water from the Pacific which caused a more rapid strengthening of the PMOC.  
87 The link between the two basins was suggested to be an advective feedback of  
88 salinity. Other studies have also found a strengthening of the PMOC following  
89 reduction or cessation of the AMOC. Mikolajewicz et al (1997) found that a  
90 reduction of the AMOC caused cooling of the North Pacific from a reduced  
91 northwards Atlantic ocean heat transport. This together with wind shifts over  
92 the North Pacific resulted in increased convection and the formation of North  
93 Pacific intermediate water. Okazaki et al (2010) also found that a shutdown  
94 of the AMOC caused changes in surface fresh water fluxes in the Pacific,  
95 with a northwards shift of the Intertropical Convergence Zone (ITCZ) and  
96 reductions in tropical atmospheric water transport from the Atlantic to Pacific  
97 resulting in a more saline North Pacific and deep water formation in the North  
98 Pacific. Sinha et al (2012) showed that the switch between Atlantic and Pacific  
99 overturning can also be achieved by changes in the atmospheric transport of  
100 fresh water. They conducted an experiment with an EMIC with no mountains  
101 in North America, resulting in a greater fraction of the atmospheric fresh water  
102 originating from the Pacific falling as precipitation in the Atlantic, rather than  
103 over mountain ranges and being returned to the Pacific as river runoff. The  
104 result was a fresher Atlantic, saltier Pacific and overturning predominantly in  
105 the Pacific.

106 The existence of the Atlantic-Pacific seesaw may be sensitive to the geo-  
107 graphic representation however. Hu et al (2012) showed that adding freshwater  
108 to the Atlantic caused a decrease in the the AMOC and a strengthening of the  
109 PMOC in a GCM. However they also found that there was much less response  
110 of the PMOC to an AMOC shutdown when the Bering Straits was open rather  
111 than closed. This is because an open Bering Straits allows a pathway for fresh  
112 North Atlantic/Arctic water to reach the Pacific and reinforce the halocline.  
113 Paleoclimate data studies have suggested that there may have been various  
114 periods in the past where the PMOC was stronger than currently (Thomas  
115 et al, 2008; Holbourn et al, 2013; Menviel et al, 2014) including Okazaki et al  
116 (2010) who suggested that there was a shift between the AMOC and PMOC  
117 during the Last Glacial Termination.

118 Hawkins et al (2011) conducted the first hysteresis experiment using a  
119 coupled GCM (FAMOUS, Smith et al, 2008). They first conducted transient  
120 experiments, where fresh water hosing into the Atlantic increased and then de-  
121 creased linearly, which showed a hysteresis (different values during the ramp up  
122 and down of hosing). They then spun off a few experiments with constant hos-  
123 ing values to identify equilibrium states (Fig 1a). This showed a narrower range  
124 of hosing values with bistability (two different stable states) of the AMOC (Fig  
125 2). In those experiments the Atlantic hosing was compensated by a uniform  
126 removal of fresh water from the surface over the rest of the ocean. We will  
127 refer to this set of experiments as SCOMP. Using an alternative experimen-  
128 tal design (VCOMP, in which the hosing compensation was applied over the  
129 full ocean volume) there is a much narrower hysteresis loop and no evidence  
130 of bistability (Fig 1b). (Note that in this study we will use hysteresis to re-  
131 fer to the different AMOC strengths during the transient experiment where  
132 hosing is increased and decreased, and reserve bistability for the discussion  
133 of equilibrium states.) The hosing is the same in both experiments with the  
134 only difference being the way in which the compensation to the hosing is ap-  
135 plied, hence the difference must be ultimately caused by the different hosing  
136 compensation strategies. There is also a fundamental difference in the way in  
137 which the Pacific responds. In SCOMP an increase in hosing results in a strong  
138 Pacific Meridional Overturning Circulation (PMOC) which also exhibits both  
139 hysteresis and bistability (Fig 1c), however there is very little response of the  
140 PMOC in VCOMP (Fig 1d).

141 This raises several questions which will be addressed here. After presenting  
142 the methods (section 2) we will investigate the overturning in more detail  
143 (section 3). We will then investigate why the hysteresis loop is wider in SCOMP  
144 than VCOMP which can be broken down into two questions: why does the  
145 AMOC in SCOMP stay strong for longer when hosing is increased (black lines  
146 in Fig 2; discussed in section 4)?; and why does the AMOC in SCOMP stay  
147 weak for longer when hosing is decreased (gray lines in Fig 2; discussed in  
148 section 5)? We do not directly investigate the difference in bistability of the  
149 two experiments, but hypothesize that the mechanisms that result in the on  
150 and off states of the AMOC in SCOMP being more resistant to change during  
151 the hysteresis, are also important in maintaining the stable on and off states.  
152 We will also investigate why there is a strengthening of the PMOC in SCOMP  
153 but not VCOMP and show that this is connected to the different behavior of  
154 the AMOC (section 6), and discuss the role played by atmospheric transports  
155 (section 7). Conclusions are presented in section 8.

## 156 2 Methods

157 We analyze several experiments using the FAMOUS (Smith et al, 2008) GCM.  
158 FAMOUS is a low resolution, retuned version of the third Met Office Hadley  
159 Centre GCM (HadCM3) (Gordon et al, 2000) The atmospheric component

160 has a horizontal resolution of  $5^\circ \times 7.5^\circ$ , with 11 vertical levels, and the ocean  
161 component has a horizontal resolution of  $2.5^\circ \times 3.75^\circ$ , with 20 vertical levels.

162 Both this study and Hawkins et al (2011) use version XDBUA of FAMOUS.  
163 Amongst other factors, FAMOUS differs from HadCM3 in that it does not  
164 use the deeper overflow channels created in HadCM3 to increase the flow of  
165 dense water through the Denmark Straits, and uses the local surface salinity  
166 to transform surface freshwater forcing into the virtual salt flux required by  
167 its rigid lid ocean formulations. Although the Bering Straits are open in the  
168 model, the configuration of the rigid lid enforces zero net mass flux through  
169 them, significantly restricting the tracer transport that can occur through the  
170 Straits. FAMOUS does not require flux adjustments for stability, but a time-  
171 invariant pattern of freshwater flux is added to the ocean which represents  
172 iceberg melting to compensate for the perennial build up of snow on land in  
173 the polar regions at a rate diagnosed from a control run.

174 Analysis is performed on two experiments, including one which was carried  
175 out as part of the study by Hawkins et al (2011), where fresh water flux  
176 (hosing) was applied over the North Atlantic ( $20\text{-}50^\circ\text{N}$ ). To prevent salinity  
177 drift over long timescales, fresh water must be conserved within the ocean. This  
178 was done by two different methods: firstly SCOMP uses a surface compensation  
179 where fresh water is removed over the ocean surface outside of the hosing  
180 region; secondly VCOMP uses a volume compensation where the compensation  
181 for the hosing is applied over the whole volume of the ocean by removing  
182 fresh water from each grid point. For both designs there were initial transient  
183 experiments where the magnitude of the hosing flux was ramped up slowly  
184 (increasing linearly from 0 to 1 Sv over 2000 years, ie  $5\text{e-}4$  Sv/yr) and then  
185 ramped down at the same rate to 0 Sv (see Fig. 1). Hawkins et al (2011) found  
186 in SCOMP that there are a range of values of the hosing where there were both  
187 on and off AMOC states. Constant hosing experiments (where the fresh water  
188 flux was kept constant for a number of years) were then spun off from both on  
189 and off states to find the 'equilibrium' states. Hawkins et al (2011) describe  
190 the initial hysteresis experiment and spin off equilibrium experiments in more  
191 detail.

192 The MOC in the Atlantic and Indo-Pacific are measured at  $26^\circ\text{N}$ , 798m  
193 though these indices are representative of the changes over the MOC cell.  
194 Anomalies are taken with respect to the on state (time mean of the first 200  
195 years of the hosing ramp up experiment) or to the off state (time mean of the  
196 first 200 years of the hosing ramp down experiment) as indicated.

### 197 **3 The global overturning circulation**

198 The initial state (before hosing is applied) in SCOMP and VCOMP consists of  
199 a strong Atlantic Meridional Overturning Circulation (AMOC), characterized  
200 by sinking of North Atlantic Deep Water (NADW), and a weak Antarctic  
201 bottom water (AABW) cell where bottom waters produced in the Antarctic  
202 mix with the NADW cell and are returned at a shallower depth (Fig 3a). In the

203 Pacific there is a strong cell upwelling bottom water, but there is no Pacific  
204 Meridional Overturning Circulation (PMOC) with sinking of North Pacific  
205 deep water analogous to that in the North Atlantic (Fig 3b).

206 As shown in Hawkins et al (2011), after 2000 years of hosing in SCOMP  
207 the AMOC cell has vanished and been replaced by a strengthened AABW cell  
208 (Fig 3c). There are also indications of a shallow (upper 1000m) Antarctic In-  
209 termediate water (AAIW) cell. The circulation has also changed in the Pacific,  
210 with the formation of a vigorous PMOC cell (Fig 3d). Both the overturning  
211 in depth and density space (Fig 3d,4e) show the circulation weakening and  
212 becoming shallower/less dense as it moves southwards, suggesting diffusive  
213 upwelling. The greater upwelling in the Pacific basin may be because there is  
214 a greater area of the ocean over which diffusive upwelling can occur.

215 The final state in VCOMP is very different from that in SCOMP, despite  
216 experiencing the same rate and length of hosing. Although the AMOC has  
217 disappeared and there is a reverse AAIW cell, the off state consists of a much  
218 weaker reverse cell (Fig 3e). The Pacific state is also very different with no  
219 deep sinking occurring in the North Pacific and only a weak, shallow PMOC  
220 cell, although the upwelling of Pacific bottom water is weaker (Fig 3f).

221 The mechanisms behind the collapse of the AMOC and strengthening of  
222 the PMOC are discussed in future sections, however there is less known about  
223 what controls the reverse cells. In steady state the formation of dense water  
224 must be balanced globally by upwelling/lightening of water elsewhere. This  
225 occurs through diapycnal mixing and through a wind-driven upwelling in the  
226 southern ocean that is at least partially compensated by eddies (Kuhlbrodt  
227 et al, 2007; Johnson et al, 2007; de Boer et al, 2008). It appears plausible that  
228 the water upwelled by the reverse PMOC/AMOC cells could be the return  
229 branch of the strong AMOC/PMOC cells, however when regarding these cells  
230 in density space (Fig 4) it can be seen that the waters upwelled in the reverse  
231 cells are denser than the deep waters leaving the other basin. The water being  
232 upwelled is therefore AABW and forms an AABW cell. The strength of this  
233 cell globally is similar in both on and off states of SCOMP (Fig 4c,f) however  
234 the partition between the upwelling in the Atlantic and Pacific basins changes,  
235 with greater upwelling in the basin without a vigorous overturning circulation.  
236 The presence of dense water formed in the North Atlantic or Pacific reduces  
237 the meridional density gradient at depth in that basin. We hypothesize that  
238 this density gradient impedes the northward transport of AABW, resulting in  
239 greater upwelling in the basin without deep water formation. In VCOMP once  
240 the AMOC has collapsed there is no deep water formed in the North Pacific  
241 allowing a greater upwelling there. The strength of the AABW cell is reduced,  
242 which is possibly due to an increase in stratification in the Southern Ocean.  
243 This increase in stratification in VCOMP is caused by a freshening in surface  
244 layers and salinification in the deep ocean, likely as a result of adding fresh  
245 water to the surface north Atlantic and removing it throughout the depth of  
246 the ocean.

247 Although the overturning circulations change substantially in both basins,  
248 the global overturning at 30°S remains very similar (Fig 3g,h). This suggests

249 that the global overturning is set by some other constraint, such as the wind-  
250 driven upwelling in southern ocean. This is consistent with the results from  
251 Schewe and Levermann (2010) who found that the total volume export from  
252 the Atlantic and Pacific below 780m was controlled by the southern ocean  
253 wind stress, but that the split between the Atlantic and Pacific was controlled  
254 by regional densities. Our experiments and those of Schewe and Levermann  
255 (2010) all use resolutions at which eddies must be parameterized (with the  
256 Gent-McWilliams scheme in FAMOUS; Gent and McWilliams, 1990), how-  
257 ever an eddy resolving model might experience larger changes in eddy-driven  
258 compensation in the southern ocean which would change the total wind-driven  
259 upwelling there.

### 260 3.1 Controls on the AMOC and PMOC

261 Previous studies have shown that the AMOC strength can be related to a  
262 meridional density gradient in the Atlantic (Thorpe et al, 2001; Schewe and  
263 Levermann, 2010; Roberts et al, 2013). Fig 5a shows that this holds in these  
264 experiments and that the relationship between meridional density gradient and  
265 the AMOC is the same across all the experiments. A similar relationship is also  
266 found between the PMOC and a meridional density gradient in the Pacific (Fig  
267 5c). The density changes mainly occur in the North Atlantic and Pacific, with  
268 little density change in the southern boxes. The meridional density difference  
269 changes by  $0.3 \text{ kg/m}^3$  between the MOC on and off states in the Atlantic, and  
270 by  $0.2 \text{ kg/m}^3$  in the Pacific. These density changes can be explained by the  
271 salinity-driven changes in the northern boxes only (Fig 5b,d). There are smaller  
272 temperature-driven density changes, particularly in the Pacific, however these  
273 tend to offset the salinity-driven changes.

274 These relationships between the overturning circulations and the salinities  
275 in the northern Atlantic and Pacific, suggests that the key to understanding  
276 the behavior of the overturning circulation is to investigate the evolution of  
277 the salinity in the two basins.

## 278 4 The AMOC 'on' branch

279 To investigate why there is a greater hysteresis in SCOMP we first examine  
280 the ramp up experiment, where the hosing is increased slowly from 0-1Sv.  
281 In SCOMP the AMOC decreases little until a hosing value of  $\approx 0.45 \text{ Sv}$   
282 is reached. Then there is a more rapid decrease of the AMOC (Fig 2, 6a). In  
283 contrast the AMOC in VCOMP starts decreasing earlier and decreases more  
284 steadily. Both, however, reach a state where the AMOC is off at about the  
285 same hosing value of  $0.55 \text{ Sv}$ .

286 To understand this difference in the behavior, we show the budget terms  
287 for the North Atlantic ( $40-90^\circ\text{N}$ ) salinity in Fig 6. This salinity behaves in the  
288 same way as the AMOC with that for VCOMP decreasing more initially, fol-  
289 lowed by an accelerated decrease in SCOMP (Fig 6b,c). The budget comprises

290 surface fluxes into the north Atlantic (which freshen the region) and advective  
 291 fluxes (which salinify the region). The advection can be split into components  
 292 due to the overturning circulation and the horizontal or gyre circulation, as  
 293 well as parameterized mixing.

294 In both SCOMP and VCOMP there is a freshening from hosing over the  
 295 first 800 years (seen in the surface fluxes, Fig 6d) which is partly compen-  
 296 sated by increasing advection from the gyre component (cyan line in Fig 6e).  
 297 VCOMP freshens more because it experiences a greater reduction in advection  
 298 of salt from the overturning circulation (green lines in Fig 6e,f). A decomposi-  
 299 tion of the overturning component into contributions from changing salinities  
 300 and changing velocities shows that both contribute for the first 650 years,  
 301 with the latter taking over from years 650-800. The greater contribution from  
 302 velocity changes can be explained by the greater weakening of the AMOC in  
 303 VCOMP, however this does not identify a cause for the greater weakening.  
 304 The salinity contribution, on the other hand, can be explained by the differ-  
 305 ence in experimental design. In SCOMP, the compensation to the hosing (ie  
 306 removal of fresh water) is applied to the surface ocean, whereas in VCOMP  
 307 it is applied throughout the ocean volume. This results in increasingly saline  
 308 water in the surface ocean outside the hosing region in SCOMP (Fig 7). This  
 309 more saline water is advected into the hosing region by the mean circulation  
 310 and retards the AMOC reduction.

311 Although the AMOC in SCOMP stays relatively stable for the first 800  
 312 years, there is then an accelerated decrease (Fig 2a). This initially occurs  
 313 because of an accelerated freshening from increased fresh water input from  
 314 surface fluxes (red lines, Fig 6d,f) followed by positive advective feedback as the  
 315 circulation changes (green lines, Fig 6e,f). The additional changes to surface  
 316 freshwater fluxes will be shown (Section 7) to be from increased precipitation  
 317 over the subpolar and polar north Atlantic and to be associated with the  
 318 strengthening of the PMOC in SCOMP.

319 In summary the salinity, and therefore the AMOC, in VCOMP has an accel-  
 320 erated decrease because of an advective feedback (the weakening AMOC trans-  
 321 ports less salt into the convection region which further weakens the AMOC).  
 322 In SCOMP, on the other hand, this feedback is inhibited by the increasing  
 323 salinity of the surface water (from the surface hosing compensation) advected  
 324 northwards, until an increased fresh water input from precipitation from year  
 325 800. Hence the cause of the AMOC staying stronger for longer in SCOMP than  
 326 VCOMP is the form of the hosing compensation. However since the AMOC  
 327 reaches an off state at similar values of hosing in SCOMP and VCOMP, the  
 328 difference in width of the hysteresis loop is more strongly dependent on the  
 329 AMOC recovery.

## 330 5 The AMOC 'off' branch

331 When reducing the hosing the AMOC stays in its off state until the hosing  
 332 reaches 0.5 and 0.3 Sv for VCOMP and SCOMP respectively (Fig 2). Since

333 the AMOC stays off longer in SCOMP the hysteresis curve is wider. Before  
334 the AMOC starts increasing there is a reduction in the AAIW cell (measured  
335 as the minimum streamfunction at 30°S over 200-800m depth), with the dis-  
336 appearance of the AAIW cell occurring at the same time as the AMOC starts  
337 increasing (Fig 8a). The AABW cell (measured as the minimum streamfunc-  
338 tion at 30°S below 3000m depth) changes little in VCOMP and weakens in  
339 SCOMP only when the AMOC starts recovering.

340 Reducing the hosing results in an increase in salinity and hence density  
341 of the north Atlantic in both experiments, with the AMOC only starting to  
342 increase once the densities of the north and south Atlantic boxes become  
343 comparable (Fig 8b). The reversal of the meridional density gradient and hence  
344 recovery of the AMOC happens earlier in VCOMP because the salinity of its  
345 northern box increases faster, particularly over the first 1200 years. Saline  
346 anomalies (relative to the very fresh North Atlantic water in the off state)  
347 form over the hosing region (20-50°N). This region is also the source of the  
348 difference in salinity between the two experiments. Hence we extend our region  
349 for the budget analysis to 20-90°N, although results with the original region  
350 (40-90°N) are similar.

351 There are differences between the off state budgets (Fig 8): In SCOMP  
352 there is a greater surface input of fresh water into the North Atlantic than in  
353 VCOMP, and this is balanced by a greater export of fresh water, which is due  
354 to a greater export by the stronger reverse Atlantic cell (Fig 3). A contribution  
355 to the greater surface input of fresh water will be shown (Section 7) to be from  
356 more precipitation and associated with the PMOC cell in SCOMP.

357 As the hosing reduces, the North Atlantic in both experiments becomes  
358 more saline, although this is partly mitigated by a reduction in the export  
359 of fresh water. VCOMP, however, has a faster salinification (Fig 8c). The  
360 reduction in hosing occurs at the same rate in the two experiments and there  
361 is little change in the net precipitation (precipitation minus evaporation) over  
362 the first 1200 years, so the difference in surface fluxes remains relatively stable  
363 (red lines in Fig 8d,f). The greater salinification instead occurs because the  
364 export of fresh water in VCOMP decreases more slowly than the export of  
365 fresh water in SCOMP (blue lines in Fig 8e,f). In particular the differences in  
366 advection come from the different advection of salinity anomalies by the off  
367 state overturning circulation (green dashed line in Fig 8f).

368 The key to understanding the different salinity recovery lies in the different  
369 off states. In SCOMP there is a greater input of fresh water from surface  
370 fluxes, balanced by a greater export of fresh water by the stronger overturning  
371 circulation. When the hosing, and therefore the fresh water input from surface  
372 fluxes decreases, this results in a saline anomaly relative to the previously very  
373 fresh surface Atlantic water. The stronger overturning circulation in SCOMP  
374 is more effective than that in VCOMP at removing this anomaly and hence  
375 retaining the fresh surface waters. Hence the surface salinity in the North  
376 Atlantic increases faster in VCOMP than SCOMP. This can be understood  
377 more clearly with the aid of a simple box model as shown in the Appendix.

378 The increased surface salinity reduces stratification and encourages deep  
379 convection and the recovery of the AMOC. Once the AMOC starts increasing  
380 there is a positive advective feedback whereby more saline water is advected  
381 into the region by the AMOC (green lines in Fig 8e), further salinifying the  
382 North Atlantic. A study of the decrease and resumption of the Atlantic over-  
383 turning found that these positive advective and convective feedbacks can cause  
384 a rapid increase in the AMOC strength and even an overshoot (Jackson et al,  
385 2013). Fig 2 shows some overshoot of the AMOC as it recovers in both exper-  
386 iments.

387 In summary, the two models respond differently to reducing the hosing  
388 because of their different off states. SCOMP has a stronger reverse cell which  
389 is more efficient at exporting salinity anomalies, and hence is more stable than  
390 that in VCOMP. This results in a wider hysteresis curve.

## 391 6 The PMOC

392 The overturning circulation in the Pacific behaves very differently in the two  
393 experiments, with SCOMP developing a strong Pacific Meridional Overturning  
394 Circulation (PMOC), whereas no such circulation develops in VCOMP (Fig  
395 2,3 and 4). In SCOMP the PMOC starts increasing properly around year 800  
396 (Fig 9), however there is a slight increase in both the north Pacific salinity and  
397 the PMOC prior to this. Since this salinity increase is predominantly in the  
398 surface Pacific (Fig 7c), the volume average salinity change is very small and  
399 difficult to attribute using a budget analysis (not shown). Once the PMOC  
400 starts increasing there are feedbacks that result in the salinity and PMOC  
401 increasing more rapidly (Fig 9a,b). It is the initial salinification in the surface  
402 Pacific, however, that triggers the increase of the PMOC.

403 The salinification of the North Pacific can be attributed to the surface  
404 compensation of the hosing flux. Although the fresh water removed from the  
405 North Pacific is an order of magnitude smaller than the fresh water added in  
406 the North Atlantic, it can still have a significant impact on the Pacific. After  
407 500 years there has been a total hosing input of 62.5 Sv yr into the surface  
408 Atlantic and an equivalent removal of fresh water from the compensation ev-  
409 erywhere else. Applying this compensation over the upper 1000m would result  
410 in an increase in salinity of approximately 0.2 PSU, consistent with the salinity  
411 change seen in the upper waters of the North Pacific in SCOMP (Fig 7).

412 The salinification of the surface North Pacific erodes the halocline there,  
413 making the water column less stable and encouraging deep convection. Various  
414 studies have found that removing fresh water from the surface North Pacific  
415 can result in a strengthening of the PMOC (Saenko et al, 2004; Men-  
416 viel et al, 2012). Fig 9d shows the increasing salinity of the upper North Pacific over the  
417 first 800 years. Towards the end of this period there are indications of increased  
418 vertical mixing as the subsurface warm layer (200-800m) cools and the water  
419 above and below warms (Fig 9c,10a) . This becomes more prominent after  
420 year 800 indicating a large increase in deep convection which brings warm,



421 salty, subsurface water to the surface. Also the strengthening PMOC advects  
422 more warm and salty water from the tropics (not shown). These both result  
423 in the increased salinification and warming of the North Pacific and further  
424 intensification of the PMOC.

425 In summary, the PMOC becomes strong in SCOMP because the fresh  
426 water removal by the hosing compensation reduces the stratification in the  
427 Pacific and can encourage deep convection to start. It should be noted that  
428 the Pacific in FAMOUS contains biases that could affect these processes. In  
429 particular the subsurface warm and salty water seen in the unperturbed model  
430 state is not present in the present day climatology (see Fig. 10). This means  
431 that the Pacific in our model experiments is more sensitive to changes that  
432 can initiate the convective feedbacks and cause an increase in PMOC strength  
433 than the present day climate.

## 434 7 An atmospheric bridge

435 In VCOMP, where the AMOC is reduced but PMOC changes little, the im-  
436 pacts on surface fresh water fluxes are similar to previous studies where the  
437 AMOC is reduced (Vellinga et al, 2002; Krebs and Timmermann, 2007; Yin  
438 et al, 2006). In particular the reduced northwards heat transport in the At-  
439 lantic results in colder temperatures in the North Atlantic and warmer in  
440 the South Atlantic. This reduces (increases) the evaporation over the North  
441 (South) Atlantic, resulting in less (more) atmospheric moisture and therefore  
442 less (more) precipitation (Fig 11e,h). The reduction in northwards heat trans-  
443 port also moves the latitude of maximum sea surface temperature southwards,  
444 resulting in increased (decreased) precipitation south (north) of the equator  
445 in the Atlantic (a southwards shift of the Atlantic Inter-tropical Convergence  
446 Zone; ITCZ).

447 We might expect that a strengthening of the PMOC would have the op-  
448 posite results in the Pacific. Comparing SCOMP (which has a strong PMOC)  
449 with VCOMP (which does not) we indeed see increased evaporation and pre-  
450 cipitation over the North Pacific (consistent with the warmer temperatures  
451 seen in Fig 9c) and a northwards shift of the Pacific ITCZ (Fig 11f,i). This  
452 is also consistent with the study of Lenton et al (2007) which found similar  
453 impacts from the appearance of a PMOC cell. The presence of the PMOC has  
454 effects outside the Pacific alone. In particular there is increased precipitation  
455 throughout the North Atlantic and Arctic in SCOMP compared to VCOMP  
456 (Fig 11f). We suggest that this increased precipitation is a result of increased  
457 transport of atmospheric moisture from the Pacific (where there is greater  
458 evaporation because of the strong PMOC in SCOMP, Fig 11i).

459 Fig 12 shows the vertically integrated atmospheric moisture fluxes along  
460 with their divergences. The divergences show the gain of fresh water by the  
461 atmosphere (assuming little storage in the atmosphere) and hence the fresh  
462 water loss by the ocean, and are multiplied by -1 to compare with the net  
463 flux into the ocean. When the AMOC has collapsed (and PMOC strength-

ened in SCOMP) there is a greater transport of atmospheric moisture across North America in SCOMP than VCOMP (Fig 12c,d). Moisture from the more strongly evaporative North Pacific is transported northeastwards, with much falling over Canada and Alaska (with some draining into the Arctic and Atlantic), and some crossing the continent to the subpolar North Atlantic and Arctic. There is also a greater transport of fresh water across the southern USA.

The budget for the North Atlantic salinity also showed an increased input of fresh water whilst the AMOC was collapsing in SCOMP (Section 4, Fig 6). This input of fresh water accelerated the salinity, and AMOC, decrease. Time series of fresh water fluxes over a similar Atlantic region (Fig 12e) also shows the increase in fresh water input from year 800. In both SCOMP and VCOMP we see the reduction in evaporation and precipitation as the AMOC decreases as previously discussed. In SCOMP, however, the precipitation first increases at year 800 before decreasing, resulting in more fresh water input than VCOMP for the rest of the simulation. This increase in precipitation occurs at the same time as the increase in evaporation in the Pacific (Fig 12f). Atmospheric moisture fluxes also show a path from the evaporative region in the North Pacific to the subpolar North Atlantic at this time (Fig 12c). This all suggests that the strengthening of the PMOC at year 800 (discussed in Section 6) causes a greater transport of fresh water to the North Atlantic via an atmospheric bridge between the Pacific and Atlantic. It is this fresh water input that then initiates the accelerated AMOC decrease seen in SCOMP (discussed in Section 4).

In Section 5 it was shown that the two off states differ with SCOMP having a greater input of fresh water into the Atlantic, which is balanced by a greater export of fresh water from the stronger reverse cell. This greater fresh water input is mainly from greater precipitation (Fig 12e) with a contribution from the greater transport of moisture from the North Pacific to the North Atlantic by the atmosphere (Fig 12d). This shows one way in which the Atlantic state is connected to the Pacific state.

In summary, a increase of the PMOC results in greater northwards heat transport and hence greater evaporation in the North Pacific. There is evidence for this resulting in a greater atmospheric transport of moisture from the North Pacific to North Atlantic and Arctic basins, and hence causing a freshening of the North Atlantic. It should be noted that there is a bias in the atmospheric moisture transport in FAMOUS, which has a greater transport across North America than in the ERA interim reanalysis Dee et al (2011) (Fig 12a,b). Hence this atmospheric link between the two basins could be weaker than found in this model, nevertheless it does show the potential for the Pacific MOC to influence the Atlantic MOC via an atmospheric bridge.

## 505 8 Conclusions

506 Two different hysteresis experiments (where an additional fresh water flux  
507 in the North Atlantic is gradually increased and then decreased) show very  
508 different impacts on the overturning circulation, particularly in the Pacific.  
509 When the fresh water addition is compensated by removing fresh water from  
510 the global ocean surface (SCOMP), the overturning circulation responds with  
511 the formation of a deep overturning cell in the Pacific and a strong reverse  
512 cell upwelling in the North Atlantic. On the other hand, when the fresh water  
513 addition is compensated throughout the ocean volume (VCOMP), there is  
514 little response in the Pacific, and the reverse cell in the Atlantic is much  
515 weaker. In SCOMP a greater reduction of fresh water input is required before  
516 the AMOC recovers to its original state, so the hysteresis is wider. This is  
517 shown to be caused by the differences in the reverse Atlantic cell which is  
518 stronger in SCOMP than in VCOMP.

519 The ultimate reason for the two experiments having different off states,  
520 however, is the way in which the compensation is applied. In SCOMP, the  
521 compensation makes the surface Pacific more saline, decreasing stratification  
522 and encouraging deep convection. This results in the development of a Pacific  
523 overturning cell, which has two impacts on the Atlantic. Firstly the Pacific  
524 overturning warms the surface Pacific, resulting in increased evaporation, an  
525 increased atmospheric moisture transport across North America, and greater  
526 precipitation in the North Atlantic. This fresh water input into the North  
527 Atlantic impedes the formation of deep water and helps to maintain an AMOC  
528 off state. Also, greater sinking in the North Pacific may result in less transport  
529 of AABW into the Pacific and hence a greater upwelling of AABW in the  
530 Atlantic, resulting in the stronger Atlantic reverse cell. This reverse cell also  
531 helps to maintain an AMOC off state by being more stable to salinity changes.

532 The markedly different results when using different hosing compensations  
533 raises the question of which is the best to use. Surface compensation is the most  
534 realistic if the scenario considered is that where surface fluxes are changing,  
535 although these are unlikely to be evenly distributed in reality. The addition of  
536 fresh water into the north Atlantic is normally considered to be an idealization  
537 of fresh water input from melting ice sheets. In reality that would require no  
538 compensation, but would require an increase in global mean sea level and a  
539 reduction in global mean salinity. Since most general circulation models cannot  
540 simulate an increase in the volume of the ocean, volume compensation could be  
541 justified in that it has the least impact on the salinity distribution elsewhere,  
542 and might be the most appropriate compensation to use when equilibrium  
543 solutions (where the global mean salinity is not changing) are sought.

544 The results presented here show that the nature of the off state reached  
545 (eg the presence of a Pacific overturning, the nature of the Atlantic reverse  
546 cell, atmospheric teleconnections) can be very important in determining the  
547 hysteresis. We hypothesize that the mechanisms that control the differences  
548 in AMOC collapse and recovery during the transient hysteresis experiments  
549 are also important in determining the relative stability of the equilibrium on

550 and off states. Hence the nature of the off state reached may have important  
 551 implications for the presence of bistability.

552 Previous studies (Marotzke and Willebrand, 1991; Manabe and Stouffer,  
 553 1999; Gregory et al, 2003; Saenko et al, 2004) have found hysteresis and bista-  
 554 bility in other models with different off states (including without a Pacific  
 555 overturning cell), hence the nature of the stable off state might be model de-  
 556 pendent. It is unclear whether the different results found in FAMOUS are a  
 557 result of its greater complexity, or due to model biases or the hosing method-  
 558 ology, however we note that simple models which do not allow changing atmo-  
 559 spheric fresh water transports between the Atlantic and Pacific would not be  
 560 able to reproduce the results found in SCOMP. It also is possible that these  
 561 results might be resolution dependent; Mecking et al (2016) found that fresh-  
 562 water advection by eddies in an eddy-permitting model can be important in  
 563 the AMOC recovery. Despite the possible model dependence of these results,  
 564 they do suggest that it is not sufficient to have no AMOC cell for a stable off  
 565 state, and that the presence of a strong reverse Atlantic cell and a PMOC cell  
 566 can help to stabilise the off state.

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 570 Service in 2009.

## 571 9 Appendix: Box model of AMOC recovery

When the hosing is reduced over the North Atlantic, in both SCOMP and  
 VCOMP the salinity recovers from that in the AMOC off state, with saline  
 (less fresh) anomalies appearing in upper 500m of the region in which the  
 hosing is applied. The salinity in VCOMP recovers faster. To illustrate why  
 we consider a simple model where the upper North Atlantic is represented by  
 a box with volume  $V$  ( $\text{m}^3$ ) and salinity  $S$  (PSU). A circulation of strength  
 $Q$  ( $\text{m}^3/\text{s}$ ), representing the reverse overturning cell, imports water of salinity  
 $S_0 > S$  (PSU). There is a surface fresh water flux  $F$  ( $\text{m}^3/\text{s}$  of fresh water)  
 from precipitation minus evaporation plus hosing. Hence the salinity budget  
 of the box can be written

$$V \frac{dS}{dt} = Q(S_0 - S) - FS.$$

In steady state

$$\bar{Q}(S_0 - \bar{S}) = \bar{F} \bar{S}.$$

572 Now as the hosing input decreases, so does  $F$ , so we set  $F = \bar{F} - h$  where  $h$   
 573 represents the hosing decrease. The salinity in the box increases from that in  
 574 the off state as  $S = \bar{S} + \sigma$  and the circulation changes  $Q = \bar{Q} - q$  where we  
 575 make the assumption that the circulation decreases as the salinity in the box  
 576 (and hence density in the north Atlantic) increases (such as in Fig 8b), so that

577  $q = \beta\sigma$ . Hence we have (assuming that the changes in salinity are small and  
 578 hence neglecting  $\sigma^2$  and  $\sigma h$  terms)

$$V \frac{d\sigma}{dt} = -(\overline{Q} + \overline{F} + \beta(S_0 - \overline{S}))\sigma + h\overline{S}$$

or

$$\frac{d\sigma}{dt} = -\frac{1}{\tau}\sigma + H$$

579 where  $\tau = V/(\overline{Q} + \overline{F} + \beta(S_0 - \overline{S}))$  and  $H = h\overline{S}/V$ . The timescale  $\tau$  can be  
 580 thought of as a residence time for salinity anomalies within the region.

The solution for this with  $H = \lambda t$  (the hosing reducing linearly with time) using  $\sigma = 0$  at  $t = 0$  is

$$\sigma = \lambda\tau^2 \left( e^{-t/\tau} - 1 + t/\tau \right)$$

581 To compare this to our model experiments we need to calculate the timescale  
 582  $\tau$  and hosing reduction  $\lambda$  for both SCOMP and VCOMP. We assume that the  
 583 changes in advection are dominated by the advection of salinity anomalies by  
 584 the mean flow so that  $\tau = V/(\overline{Q} + \overline{F})$ . This is true initially in experiments  
 585 (Fig 8f), however we note that allowing the reverse cell to decrease would  
 586 reduce the timescale. We also ignore the contribution of advection by a gyre  
 587 circulation which would increase the value of  $\overline{Q}$  and hence also reduce the  
 588 timescale. These assumptions are made to allow a comparison with the model  
 589 and to illustrate the impact of the different off states on the salinification of  
 590 the North Atlantic.

591 Using a box from 20-60°N and up to 500m deep we calculate the volume  
 592  $V = 3.5 \times 10^{15} \text{m}^3$  and the salinification by surface fluxes  $\overline{F}$  to be  $6.6 \times 10^5$   
 593 and  $7.0 \times 10^5 \text{m}^3/\text{s}$  for VCOMP and SCOMP respectively. We also estimate  $\overline{Q}$   
 594 from the overturning cell strength at 20°N to be  $3.0 \times 10^6$  and  $4.0 \times 10^6 \text{m}^3/\text{s}$   
 595 respectively (Fig 3). This gives a timescale  $\tau$  of 31 years for VCOMP and  
 596 24 years for SCOMP. The hosing decreases by 500  $\text{m}^3/\text{s}$  every year, giving  
 597  $\lambda = 1.4 \times 10^{-19} \text{PSU}/\text{s}^2$  for both experiments

598 The predicted salinity change from this very simple model is shown in Fig  
 599 13 along with the actual salinity increase. The predicted salinity increases are  
 600 of a similar order of magnitude to that in the FAMOUS experiments and show  
 601 the salinity in VCOMP increasing faster than that in SCOMP. This can be  
 602 traced to the difference in circulation strength between the two experiments  
 603 which changes the timescale of adjustment. Since SCOMP has a stronger re-  
 604 verse circulation than VCOMP, the residence timescale in the region is smaller  
 605 and the salinity initially increases more slowly.

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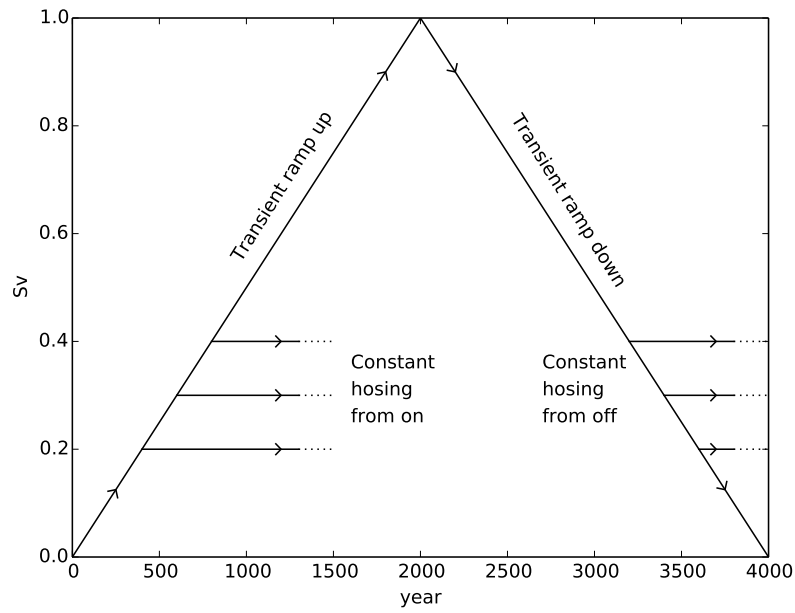
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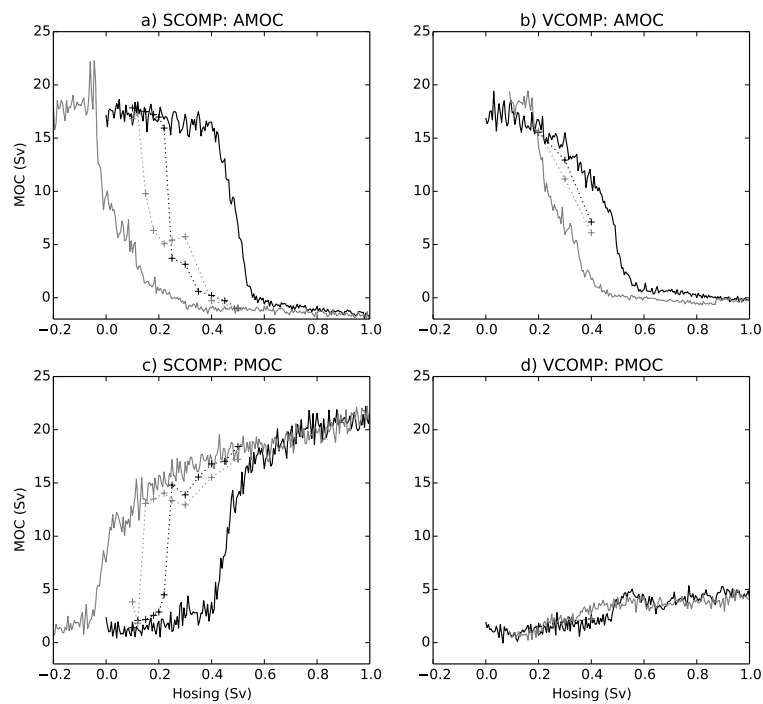
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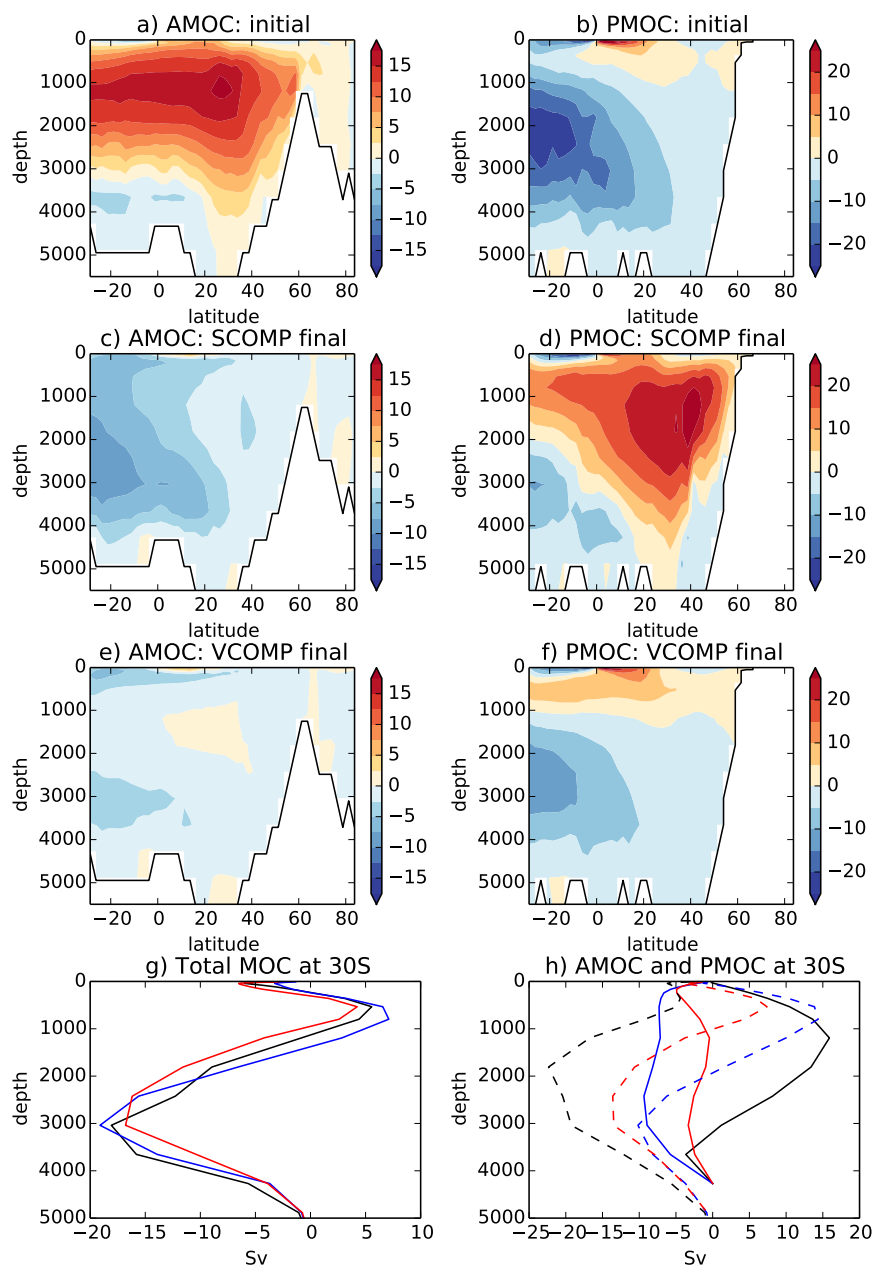
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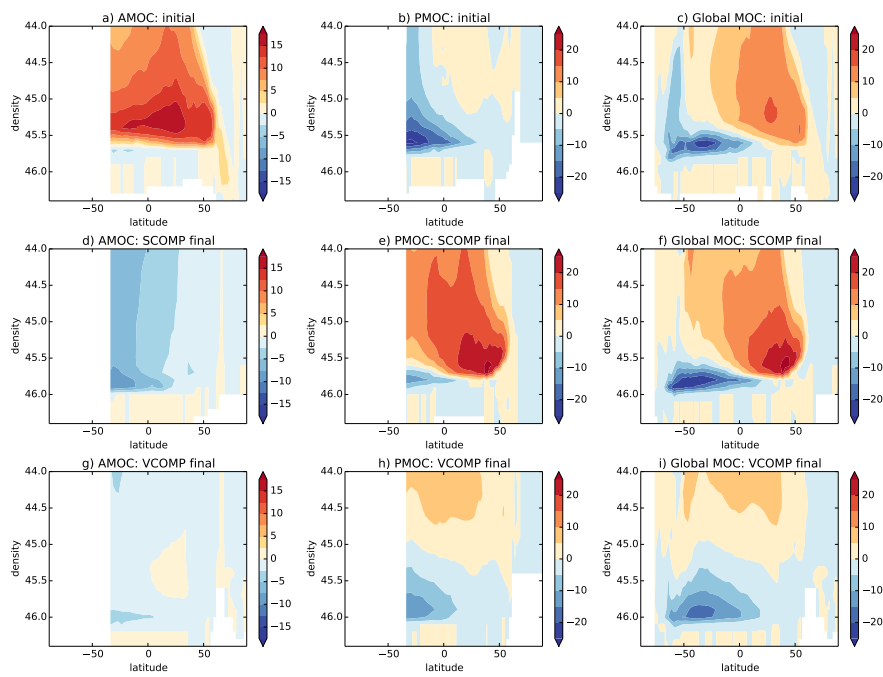
**Fig. 1** Schematic of fresh water hosing applied over the North Atlantic in the transient hysteresis experiments (diagonal lines) and the equilibrium experiments with constant fluxes (horizontal lines). Experiments are described in Hawkins et al (2011).



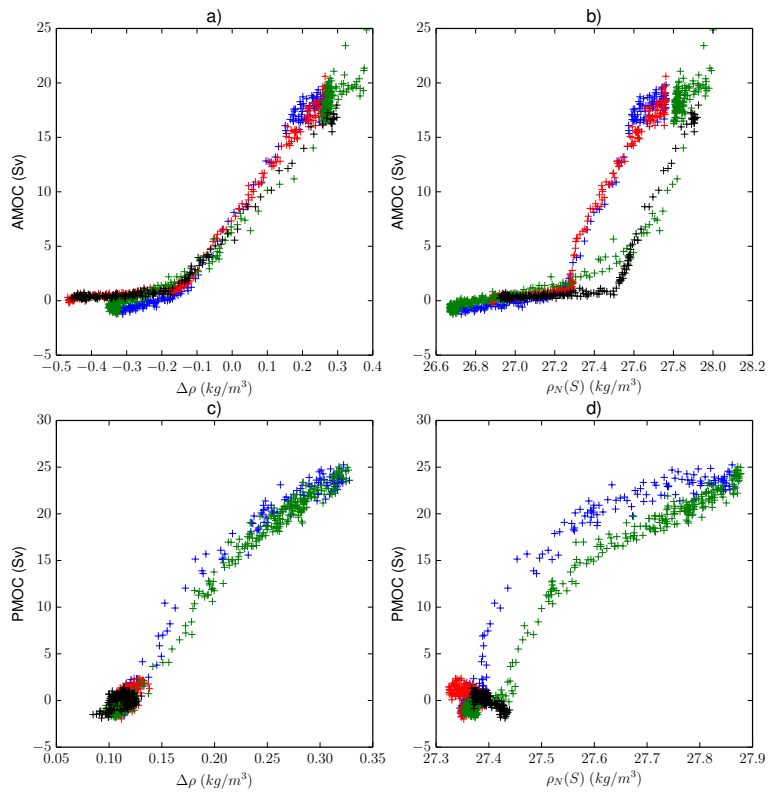
**Fig. 2** Indices of AMOC (a,b) and PMOC (c,d) strength against hosing flux added to the North Atlantic for SCOMP (a,c) and VCOMP (b,d) experiments. Solid lines are the transient experiments and dotted lines with crosses show the final states of the constant hosing experiments. Black lines show experiments where hosing is ramped up, and gray lines show experiments where hosing is ramped down.



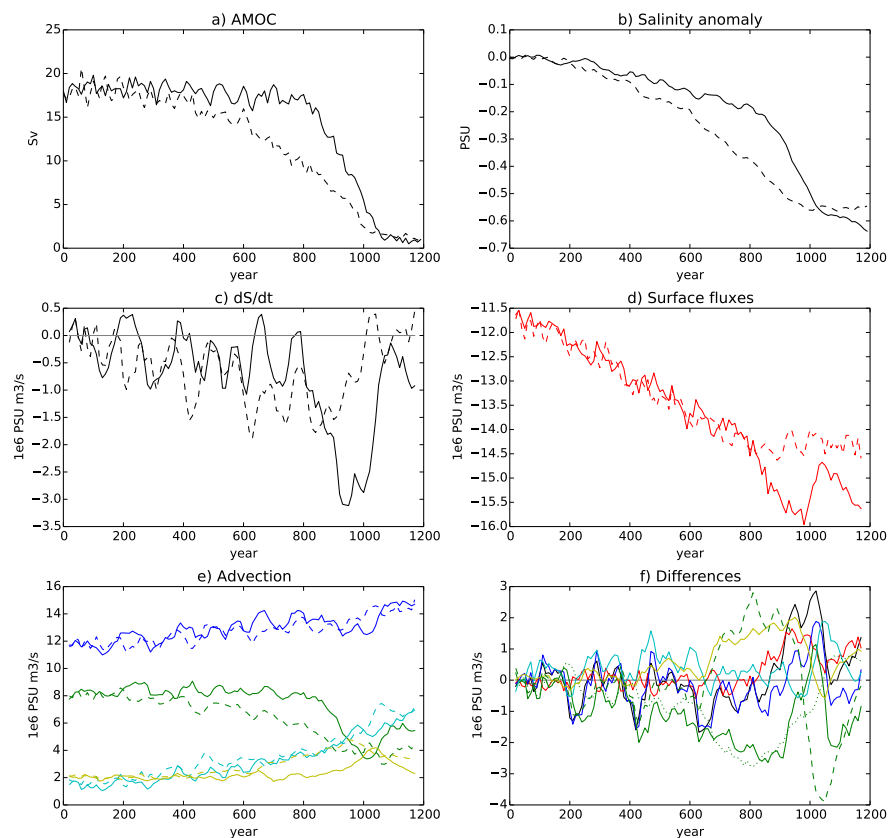
**Fig. 3** Time mean overturning streamfunctions (Sv) for the Atlantic (a,c,e) and Indo-Pacific (b,d,f) for the SCOMP on state (year 0-200, a,b), the SCOMP off state (year 1800-2000, c,d) and the VCOMP off state (year 1800-2000, e,f). g) The global MOC (Atlantic plus Indo-Pacific) at 30°S for the SCOMP on state (black), the SCOMP off state (blue) and the VCOMP off state (red). h) As (g) except showing the values for the AMOC (solid lines) and PMOC (dashed lines).



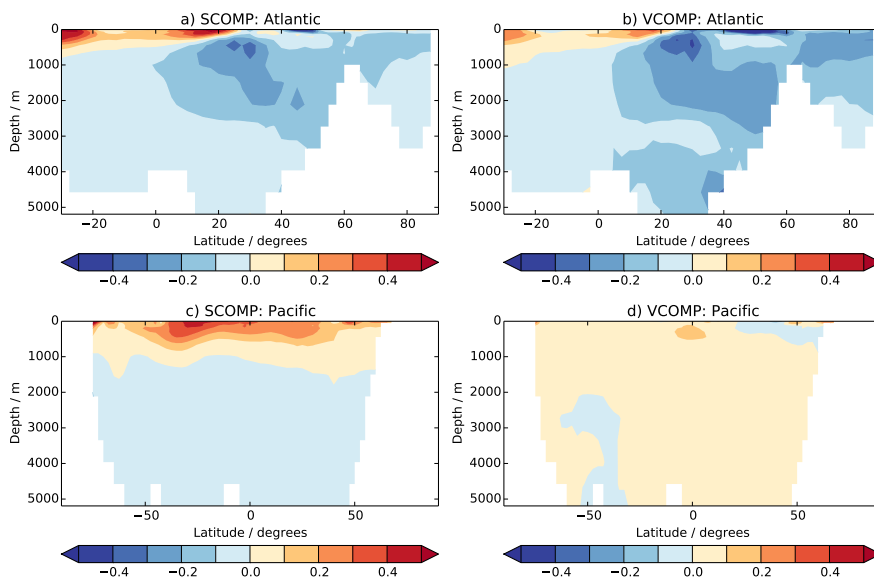
**Fig. 4** Time mean overturning streamfunctions ( $Sv$ ) for the Atlantic (a,d,g), Indo-Pacific (b,e,h) and globally (c,f,i) for the SCOMP on state (year 0-200, a,b,c), the SCOMP off state (year 1800-2000, d,e,f) and the VCOMP off state (year 1800-2000, g,h,i).



**Fig. 5** Scatter plots of decadal mean MOC strength against (a,c) meridional density difference and (b,d) density in the north box due to salinity changes only. The regions used are (a,b) the Atlantic (density regions used are  $40\text{-}90^\circ\text{N}$  and  $20\text{-}35^\circ\text{S}$ ) and (c,d) the Pacific (density regions used are  $45\text{-}65^\circ\text{N}$  and  $20\text{-}35^\circ\text{S}$ ). Colors used are for the different experiments: SCOMP ramp up (blue), SCOMP ramp down (green), VCOMP ramp up (red) and VCOMP ramp down (black).

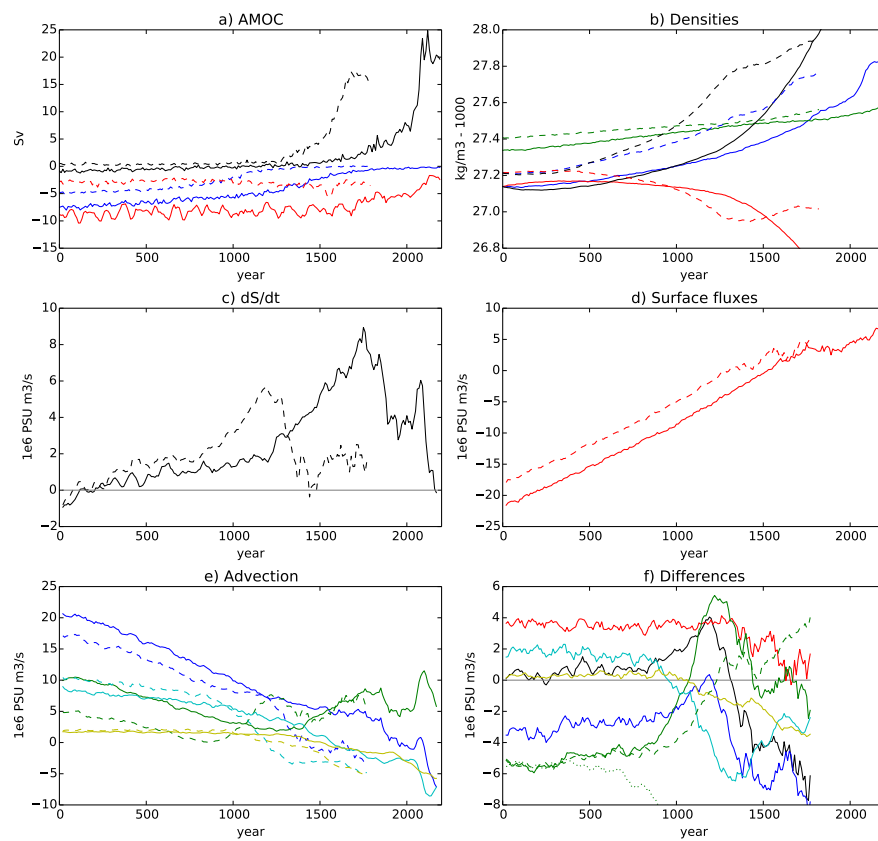


**Fig. 6** Salinity budget of the North Atlantic during ramp up experiments with SCOMP (solid) and VCOMP (dashed). a) AMOC indices. b) salinity anomalies in the north Atlantic ( $40\text{-}90^\circ\text{N}$ ). c) rate of change of salinity (black). d) surface fluxes including hosing (red). e) advection including total advection (blue), that from the overturning (green), that from the gyre circulation (cyan) and that from diffusion (yellow). f) budget terms in VCOMP minus those in SCOMP. The green dotted lines are the differences from advection of initial salinities by the anomalous overturning and the green dashed lines from advection of anomalous salinities by the initial overturning. Initial salinities and overturning are those from the on state (start of the ramp up experiment). Colors are as for the other panels. The black dotted lines in panels show the position of the x axis.

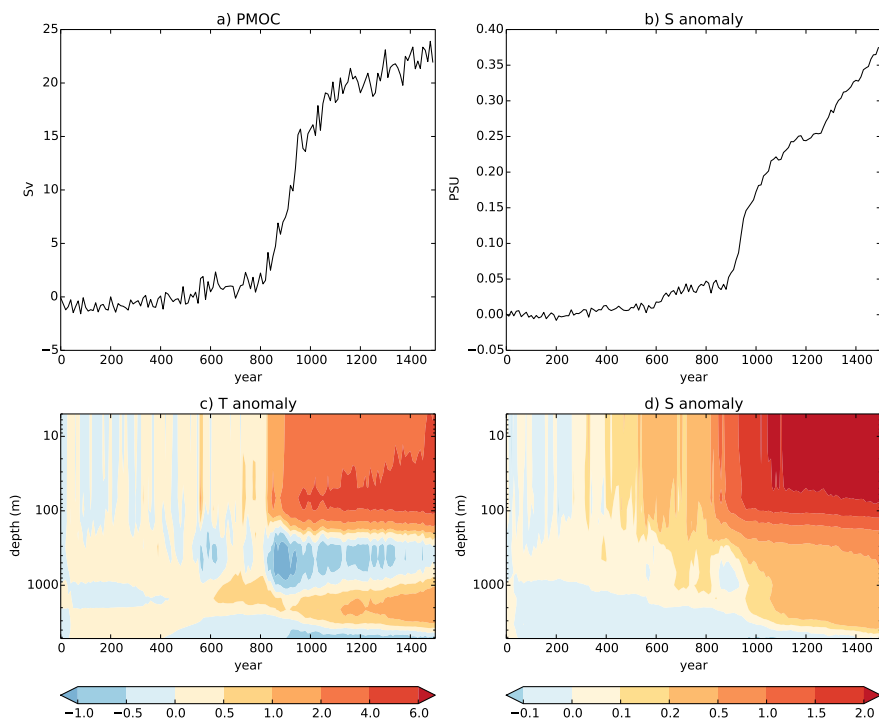


**Fig. 7** Zonal mean sections of salinity anomalies in SCOMP (a,c) and VCOMP (b,d) in years 500-600 with respect to years 0-100 of the ramp up experiments for the Atlantic (a,b) and Pacific (c,d).

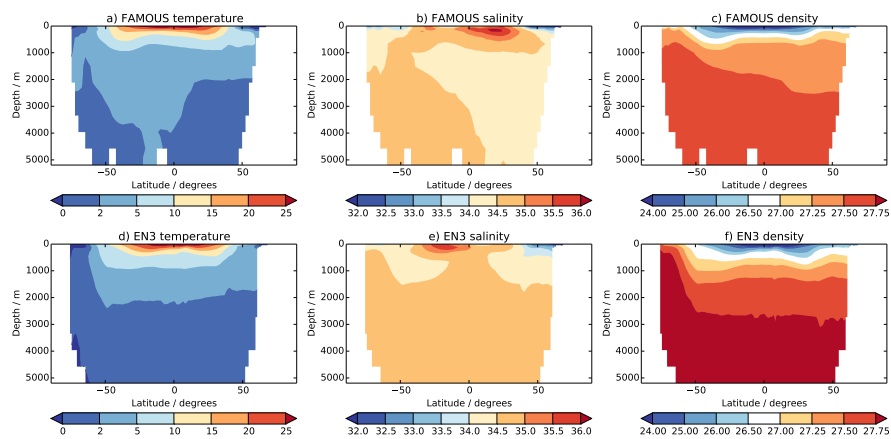




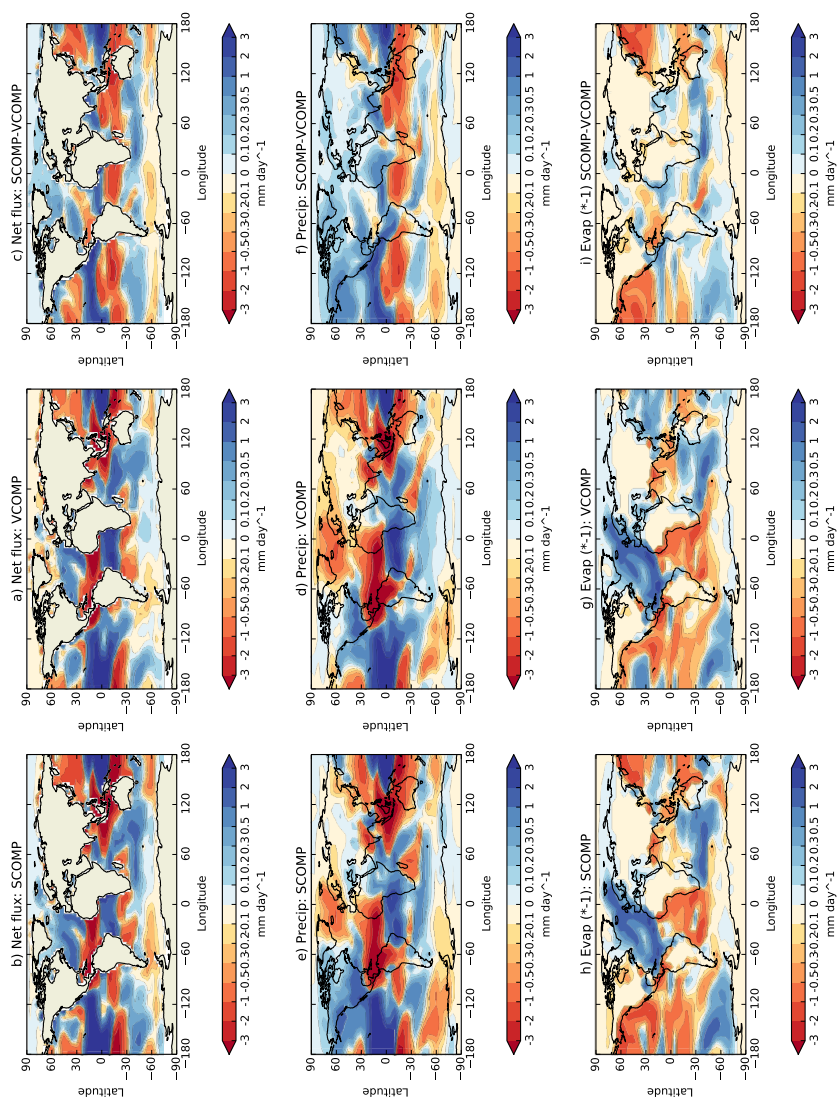
**Fig. 8** Salinity budget of the North Atlantic during ramp down experiments with SCOMP (solid) and VCOMP (dashed). a) AMOC (black), AAIW (blue) and AABW (red) indices. b) densities in the north Atlantic (20-90°N, blue) and south Atlantic (20-35°S, green). Also shown are north Atlantic densities calculated with a time-evolving salinity and off state temperature (black) or time-evolving temperature and off state salinity (red). c) rate of change of salinity (black). d) surface fluxes including hosing (red). e) advection including total advection (blue), that from the overturning (green), that from the gyre circulation (cyan) and that from diffusion (yellow). f) budget terms in VCOMP minus those in SCOMP. The green dotted lines are the differences from advection of initial salinities by the anomalous overturning circulation and the green dashed lines from advection of anomalous salinities by the initial overturning circulation. 'Initial' salinities and overturning are those from the off state (start of the ramp down experiment). Colors are as for the other panels. The black dotted lines in panels show the position of the x axis.



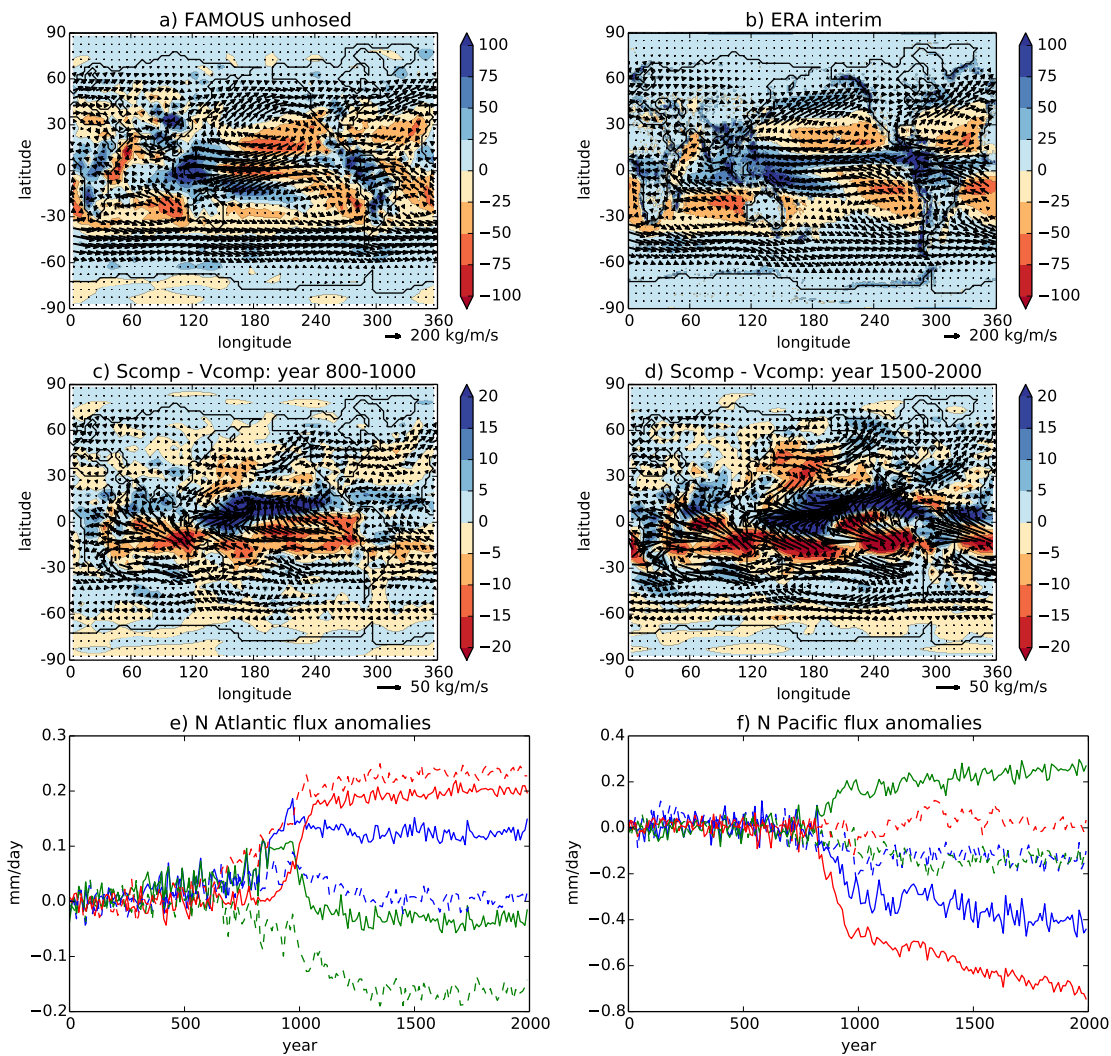
**Fig. 9** a) The PMOC in SCOMP (Sv). b) The volume averaged salinity anomaly over the north Pacific box ( $45\text{--}65^\circ\text{N}$ , PSU). c) Temperature ( $^\circ\text{C}$ ) anomalies (relative to years 0-100) area averaged over the north Pacific box. d) As c but for salinity anomalies (PSU). Note the nonlinear depth and contour scales.



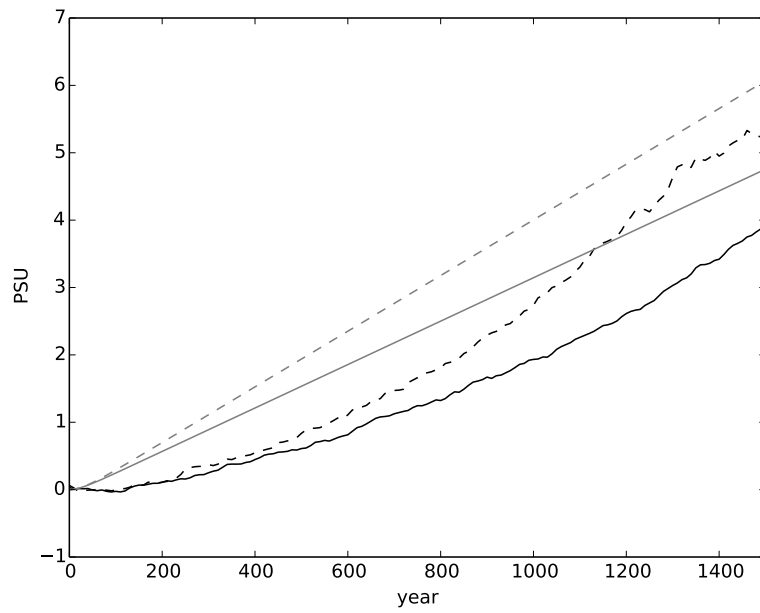
**Fig. 10** Zonal mean sections of (a,d) temperature ( $^{\circ}C$ ), (b,e) salinity (PSU) and (c,f) density ( $kg/m^3 \cdot 1000$ ) in the Pacific. (a,b,c) The fields from the initial model state (average of years 0-100 of SCOMP) and (d,e,f) values from the EN3 climatology (Ingleby and Huddleston, 2007)



**Fig. 11** Surface fresh water flux anomalies (years 1500-2000 average minus years 0-100 average) for SCOMP (a,d,g), VCOMP (b,e,h) and SCOMP-VCOMP (c,f,i). Shown are net flux into the ocean (precipitation - evaporation + runoff, a,b,c), precipitation (d,e,f) and  $(-1) \times$  evaporation (g,h,i). Blue regions represent freshening by fluxes, and red regions represent salinification.



**Fig. 12** Vertically integrated atmospheric moisture transport (arrows, in  $kg/m/s$ ) overlying  $(-1 \times 10^6)$  moisture transport divergence ( $kg/m^2/s$ ). a) moisture transport for the model initially (average of years 0-100 in SCOMP). b) Values from ERA interim. c) Difference between SCOMP and VCOMP in the years 800-1000. d) Difference between SCOMP and VCOMP when the AMOC is off (years 1500-2000). e) Time series of precipitation (green),  $(-1)$  evaporation (red), net flux (precipitation-evaporation+runoff, blue) for SCOMP (solid) and VCOMP (dashed). Values are means over the North Atlantic (north of 65°N and 40-65°N, 90°W-50°E). f) As in the bottom left but for the North Pacific (30-65°N, 110-250°E).



**Fig. 13** Fig A1. Evolution of salinity anomalies in the upper North Atlantic over the region 0-500m, 20-60°N from the AMOC off state. Shown are anomalies from the model experiments (black) and from the simple box model described in the Appendix (gray) for SCOMP (solid lines) and VCOMP (dashed lines).