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# Static dielectric properties of an ensemble of restricted one-dimensional oscillators

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# STATIC DIELECTRIC PROPERTIES OF AN ENSEMBLE OF RESTRICTED ONE-DIMENSIONAL OSCILLATORS

by C BROTO TJIPTO MARGO

A Thesis Submitted in Partial Fulfillment of the Requirements for the DEGREE OF MASTER OF SCIENCE

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February, 1984

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#### ACKNOWLEDGEMENTS

I would like to express my gratitude to Dr. D.G.H. Frood who suggested this work, and who directed me through all its intricacies over an extended period.

I also wish to thank Dr. M.H. Hawton for her valuable advice regarding the computer analysis.

Finally, I wish to express my gratitude to Mrs. J. Boucher for her invaluable help in typing this thesis.

#### I. ABSTRACT

Deviations from constancy of the Clausius-Mossotti function,  $C_M$  with changes in density and temperature are considered on the basis of a one-dimensional oscillator model of the atom in which the valence electrons are assumed restricted by infinite potentials, but interact with all others in the medium through dipolar forces.

The density-dependence of C<sub>M</sub> is qualitatively in agreement with experiment, but the temperature-dependence is negligible as the excited states of an oscillator do not represent those of real atoms. In addition, the model does not permit the existence of the ionized state of the atom.

The analysis here suggest a more promising, threedimensional model which admits of realistic atomic potentials, dipolar interaction based on continuous dielectric surroundings and repulsive potentials which ensure the existence of delocalized electronic states with consequent screening of the dipolar forces.

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#### II. INTRODUCTION, BACKGROUND AND SUMMARY

It is well known for nonpolar materials that the static permittivity  $\varepsilon_s$  obeys fairly well the Clausius-Mossotti formula:

$$\frac{\varepsilon s^{-1}}{\varepsilon s^{+2}} = \frac{4\pi}{3} N_0 \alpha_0 \qquad (II:1)$$

where N<sub>O</sub> is the particle number density and  $\alpha_O$  is the polarizability of the isolated atom or molecule being considered. Since N<sub>O</sub> =  $\rho A/W$  where  $\rho$  is the mass density, W the molecular weight and A =  $6.02 \times 10^{23}$  is Avogadro's number, (II:1) indicates for a given nonpolar material that the Clausius-Mossotti function:<sup>†</sup>

$$C_{M} = \frac{W}{\rho} \left( \frac{\varepsilon_{s}^{-1}}{\varepsilon_{s}^{+2}} \right) = \left( \frac{4\pi A}{3} \right) \alpha_{O} \qquad (II:2)$$

should be a constant independent of density and temperature.  $C_{M}$  should also be independent of frequency up to the point where appreciable optical absorption by the material begins to occur, usually in the infrared region.

<sup>†</sup>In the literature  $C_M$  is sometimes defined by  $C_M = \frac{1}{\rho} \left( \frac{\varepsilon s^{-1}}{\varepsilon_s^{+2}} \right) = \frac{4\pi A}{3W} \alpha_0$ , but (II:2) is the more common definition. Density  $\rho$  is most frequently quoted in amagat units, but often in moles/ $\ell$ . Note that 1 mole/ $\ell$  = 22.39 amagats. One amagat is defined as the number of molecules per unit volume in a perfect gas at N.T.P., viz: 2.689x10<sup>19</sup> cm<sup>-3</sup>. At optical frequencies (II:1) is to be replaced by the Lorentz-Lorenz formula:

$$\frac{n^2(\omega)-1}{n^2(\omega)+2} = \frac{4\pi}{3} N_0^{\alpha}(\omega)$$
 (II:3)

where  $n(\omega)$  is the refractive index at the frequency and  $\alpha(\omega)$ is the polarizability of the isolated particle at that frequency. From (II:3) it then follows that at a given frequency,  $\omega$ , the Lorentz-Lorenz function:

$$\mathbf{L}(\omega) = \frac{W}{\rho} \left( \frac{n^2 - 1}{n^2 + 2} \right) = \left( \frac{4\pi A}{3} \right) \alpha(\omega)$$
 (II:4)

should also be a constant independent of density and temperature.

#### (a) Experimental Background

Experiments to test the constancy of  $C_M$  given by (II:2) for simple nonpolar gases such as Ar, Kr, He, Ne, N<sub>2</sub>, H<sub>2</sub>, CO<sub>2</sub> and CH<sub>4</sub> have been carried out since the early 1930's see, for example: Michels and Michels [1]; Michels, Jaspers and Saunders [2]; Michels, Saunders and Schipper [3]; Michels and Kleerekoper [4]; Michels, Ten Seldam and Overdijk [5]; Johnston, Outermans and Cole [6]; Johnston and Cole [7]; Orcutt and Cole [8], [9]. Most of the American work referred to here was painstakingly accurate but carried out only at low or modest densities (0  $\sim$  200 amagats, say), but the Dutch work quoted used densities as high as 600  $\sim$  800 amagats.

The results show that for most nonpolar gases  $C_M$  is not quite a constant, but rises as density is increased to a weak, broad maximum for densities in the range 200  $\sim$  300 amagats, after which it decreases slowly as density is further increased. Generally, it is also observed that  $C_M$  shows a weak temperature dependence at all densities.

Experimental data for argon taken from Michels, Ten Seldam and Overdijk [5] are shown in Fig. 1:a at temperatures of  $25^{\circ}$ C and  $100^{\circ}$ C. Naturally, the experimental error is largest at the lower densities since here  $\varepsilon - 1$  is very small. It may be noticed that over the whole range of density

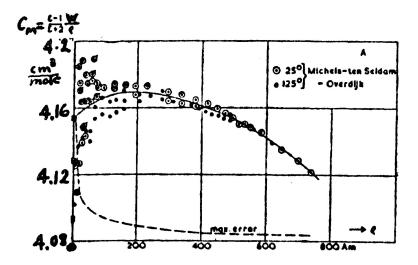


FIG. 1. Clausius-Mosotti function of argon (Ref. [5]).

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the variation of  $C_M$  is less than 1.6% in argon. Fig. 2 shows experimental results for  $CO_2$  taken from Michels and Kleerekoper [4], at temperatures of  $50^{\circ}C$  and  $100^{\circ}C$ . Here  $C_M$  varies by about 2.8% over the density range shown. The temperature dependence of  $C_M$  is also more pronounced than for argon. Again, of course, the measurement errors are largest at the lower densities.

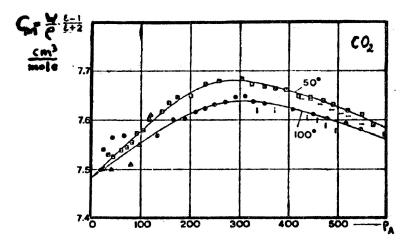


FIG. 2. Clausius-Mosotti function for carbon dioxide (Ref. [4]).

The results depicted for Ar and  $CO_2$  are fairly typical of those obtained for simple nonpolar atomic and molecular gases, respectively, but it may be mentioned that for the light atomic gases, He and Ne, the recent measurements by Vidal and Lallemand [10] indicate that  $C_M$ does *not* exhibit a maximum in the density range of approximately 100 ~ 900 amagats. In fact, in this range  $C_M$  is observed to decrease almost linearly with increasing density and the maximum, if it exists, must occur at well below 100 amagats. For both these gases the overall change in  $C_M$  is very small - less than 1%. Similarly for molecular hydrogen, Michels, Sanders and Schipper [3] found that within experimental error *no* change at all could be observed in  $C_M$  in the density range 10-1000 amagats.

Measurements of  $C_{M}$  are usually carried out at audio or radio frequencies and no frequency dependence of  $C_{M}$  has been reported at these very long wavelengths. However, at optical frequencies where  $L(\omega)$ , given by (II:4), should be

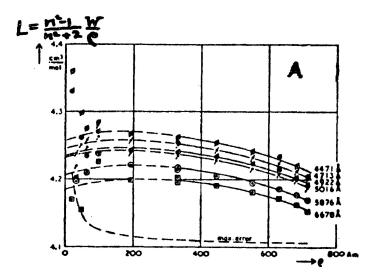


FIG. 3. Lorentz-Lorenz functions of argon at 25<sup>O</sup>C for various wavelengths (Ref. [11]).

independent of  $\rho$  and T, there also appears to be a paucity of experimental data. Fig. 3 shows the results for Ar given by Michels and Botzen [11] at various wavelengths in the range 4471°A-6678°A, all at 25°C. It may be observed that for a given wavelength the L <u>vs</u>.  $\rho$  curve has the same general shape as the C<sub>M</sub> <u>vs</u>.  $\rho$  shown in Fig. 1.

Measurements of  $L(\omega)$  <u>vs.</u>  $\rho$  for other gases, notably  $CO_2$ ,  $N_2$  and  $CH_4$  were carried out by Michels and Hamers [12], Michels, Lebesque and de Groot [13], and Michels, Botzen and de Groot [14], with essentially the same general results as for Ar; viz. an increase in  $L(\omega)$  to a maximum followed by a decrease in  $L(\omega)$  as density is further increased.

#### (b) Theoretical Background

The fact that  $C_M$  and  $L(\omega)$  are nearly constant with changes in  $\rho$  and T indicates that use of the Lorentz local field to calculate the moment induced into a given nonpolar molecule is indeed a very good approximation. It will be recalled from elementary dielectric theory, Fröhlich [15], that the Lorentz field is calculated as that existing inside a spherical specimen of radius so large that it has the macroscopic dielectric properties of the medium. This field arises from sources inside and outside the sphere and for a

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cubic lattice or a homogeneous, isotropic medium the former vanishes. However, the latter is given by the combined contributions of the macroscopic field, E less the self-field,  $E_s = -\frac{4\pi}{3} P$  of the homogeneously polarized sphere. The Lorentz local field is thus:

$$F_{\sim} = E_{\sim} + \frac{4\pi}{3} P_{\sim}$$
(II:5)

independent of the radius of the spherical specimen.

Equation (II:5) leads at once to the macroscopic, Clausius-Mossotti formula (II:1) but as pointed out by Fröhlich, [15], (App. 3), (II:1) may also be regarded as a molecular formula provided short-range (non-dipolar) forces are neglected and the molecular volume is chosen equal to the volume available per molecule, viz:

$$\frac{4\pi}{3} a^{3} = \frac{1}{N_{O}}$$
(II:6)

In this case (II:1) becomes the Clausius-Mossotti formula for a single molecule, viz:

$$\frac{\varepsilon_{\rm s}^{-1}}{\varepsilon_{\rm s}^{+2}} = \frac{\alpha_{\rm o}}{a^3} \tag{II:7}$$

Here a is the "dielectric" molecular radius. When (II:7) holds

it follows that  $\alpha_0/a^3$  is proportional to the density which may be varied by changing the external pressure.

Kirkwood [16] was the first to realize that Lorentz's calculation of the local field, while probably valid in a solid cubic lattice, is suspect for a gas or liquid since it takes no account of the comparatively large density fluctuations that occur in fluids. This means in a fluid that considering the medium surrounding the sphere (in Lorentz's theory) to be a homogeneous, isotropic continuum may be too strong an approximation and an approach based on a medium with particulate structure would be more satisfactory.

Kirkwood assumed only dipolar interparticle forces need be considered and that the particle polarizability,  $\alpha_0^{}$ was independent of density. Since his model was based entirely on a particle picture there was no need to introduce the ingeneous device of the "Lorentz sphere" at the outset. The moment induced into the i<sup>th</sup> nonpolar molecule is then:

$$p_{i} = \alpha_{o} F_{i} = \alpha_{o} (D - \sum_{j \neq i} T_{ij} p_{j})$$
(II:8)

where  $F_i$  is the local field and  $T_{ij} = r_{ij}^{-3} (I - r_{ij} r_{ij}^{2})$ is the dipole-dipole interaction tensor. The problem remaining

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is to find the average value  $\overline{p}_i$  of  $p_i$  using standard techniques of statistical physics. In this connection it may be noted, as shown by Kirkwood, that the calculation of  $\overline{T}_{ij}$  introduces in a natural way a small sphere of indefinite radius surrounding the i<sup>th</sup> molecule. This is equivalent to the Lorentz sphere introduced in the earlier theory.

At very low densities, where correlation between  $\overline{p}_{j}$  and  $\overline{T}_{ij}$  is negligible, the results show that the Clausius-Mossotti formula is recovered, but as the density increases, and correlation becomes more important,  $C_{M}$  rises to a very weak maximum usually at higher densities than observed experimentally.  $C_{M}$  then falls more slowly with increasing density than found experimentally. Kirkwood's [16] results for argon compared to the experimental results of Michels et al. [5] are shown in Fig. 4.

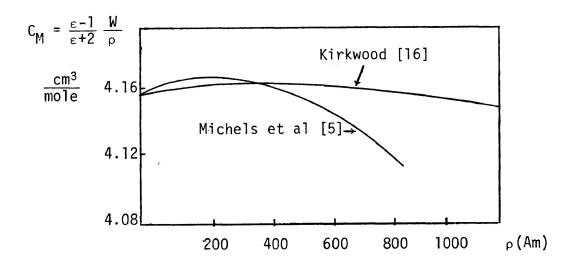


FIG. 4. Kirkwood's theory [16] for argon compared to experimental results of Michels et al. [5].

The fact that  $C_M$  calculated by Kirkwood rises more slowly with increasing density than indicated by experiment at low densities, and falls less rapidly at high density prompted other workers to examine the fluctuation theory more carefully. Thus Mandel and Mazur [17] took steps to remove the shape dependence of the dielectric sample in Kirkwood's theory. Earlier, de Boer, Vander Maesen and Ten Seldam [18], had introduced the effect of shortrange repulsive forces between particles by means of the Lennard-Jones and the Hertzfeld potentials. As expected their results show a more rapid decrease of  $C_M \underline{vs.} \rho$  at high densities, but too slow an increase of  $C_M$  with increasing  $\rho$ at low densities.

Jansen and Mazur [19], [20] were the first to treat the particulate theory using quantum mechanics. They limited themselves to spherical molecules undergoing dipolar interaction only and found for H and He that the initial increase of  $C_M$  with increasing density was of the same order of magnitude as predicted by Kirkwood's theory. It should be stressed that unlike Kirkwood's theory, Jansen and Mazur took account of the fact that the polarizability of the particle was density dependent, but as in Kirkwood's theory short-range repulsive forces were ignored. Later, Jansen and Salem [21] extended the above theory to include the first few low-order multipole

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moments for the rare gases and simple diatomic molcules, but finally Jansen [22] concluded that within the range of experimental error in the measurements this theory does *not* account for the observed results.

A somewhat different approach to the problem was taken by Michels, de Boer and Bijk [23]; de Groot and Ten Seldam [24], [25]; and Ten Seldam and de Groot [26], [27]. These authors concerned themselves with the change in energies and wave functions of atoms when the electrons are localized to a finite region of space surrounding the atom. This step essentially confines the electrons to a suitably shaped box at whose sides the potential becomes infinite and at which the wave function must vanish. Thus

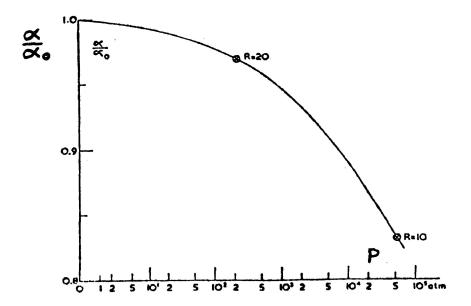


FIG. 5. Polarizability of helium as a function of pressure: Ten Seldam and de Groot (Ref. [27]).

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Ten Seldam and de Groot [27] considered an He atom confined to a sphere of radius  $r_0$  and used the change in energies and wave functions to find the change in polarizability of the confined He atom. As expected,  $\alpha/\alpha_0$  decreases smoothly with increasing density without sign of a maximum. The result is shown in Fig. 5.

It may be noted that, in a sense, this model represents a return to the Lorentz "continuum" model except that dipolar interaction between the caged atomic electrons and all others in the medium surrounding the sphere has been ignored. However, the repulsive forces, which tend to confine the electron to the sphere surrounding the nucleus, have been taken into account. Of course, density fluctuations in the dipolar interaction between the molecule and the surrounding medium are not considered in the Ten Seldam and de Groot model.

At this point it may be mentioned that in the area of a continuum theory for  $C_M$ , Bottcher [28], some years ago, proposed interpreting the Onsager formula for nonpolar materials in a certain way to try and explain the observed deviations from the Clausius-Mossotti formula.

It will be recalled for a nonpolar medium that Onsager's formula gives the permittivity from:

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$$\frac{(\varepsilon_{\rm s}^{-1})(2\varepsilon_{\rm s}^{+1})}{12\pi\varepsilon_{\rm s}} = \frac{N_{\rm o}^{\alpha}}{1-\alpha_{\rm o}^{\rm g}}$$
(II:9)

where  $N_{\mbox{\scriptsize O}}$  is the number density of particles of polarizability  $^{\alpha}{\mbox{\scriptsize O}}$  and

$$g = \frac{2(\varepsilon_{s}^{-1})}{2\varepsilon_{s}^{+1}} \cdot \frac{1}{a^{3}}$$
(II:10)

is the reaction field factor for molecules of radius a. It is usual in the Onsager theory to reduce (II:9) to the Clausius-Mossotti formula (II:1) by insisting that the particle volume equals the volume available to it according to (II:6), but Bottcher declined taking this step and instead treated  $u = \alpha_0/a^3$  as a *constant* independent of density for each particular substance. In this way he finds a modified  $C_M$  function:

$$C_{M} = C_{M}^{(0)} f(\varepsilon_{s})$$
 (II:11:a)

where  $C_{M_{/}}^{(0)} = 4\pi A\alpha_{0}^{/3}$  is the  $C_{M}^{}$  function at zero density and

$$f(\varepsilon_{s}) = \frac{9\varepsilon_{s}}{(\varepsilon_{s}+2)\left[(2\varepsilon_{s}+1)-2(\varepsilon_{s}-1)u\right]} \quad . \quad (II:II:b)$$

For  $\varepsilon_s = 1$  it is readily seen that  $C_M = C_M^{(o)}$ , but for other values of the permittivity  $f(\varepsilon_s)$  will at first increase to a maximum and then decrease as  $\varepsilon_s$  (and thus the density) is further increased. In this way, with appropriate choices for u, Bottcher obtains  $C_M \underline{vs}$ .  $\rho$  curves which fit the experimental data remarkably well for gases such as  $CO_2$ .

However, there is a fundamental difficulty in Bottcher's argument that  $\alpha_0/a^3$  is a constant independent of density for from (II:9) and (II:10) we may readily compute the quantity  $X = \frac{4\pi}{3} N_0 a^3$  to be:

$$X = \frac{\varepsilon_s^{-1}}{\varepsilon_s^{+2}} \left[ uf(\varepsilon_s) \right]^{-1} . \qquad (II:12)$$

In Onsager's theory X = 1 at all densities, but for Bottcher's idea we may always plot X <u>vs.</u>  $\rho$  using experimental results of  $\varepsilon_s \underline{vs.} \rho$ . For CO<sub>2</sub>, for example, it may be shown that X decreases very slowly and almost linearly with increasing density. Extrapolating this result to  $\rho = 0$  leads to a nonvanishing value  $X = X_0$  at zero density ( $X_0 = 1.55$  for CO<sub>2</sub>), and hence when  $N_0 = 0$ ,  $a^3 \longrightarrow \infty$ . It follows that at zero density  $\alpha_0$  must also be infinite, a result in conflict with the observations that the polarizability of an isolated atom or molecule is a *finite* quantity.

#### (c) The Present Theory

It is apparent from the above review that there have been two main approaches to a theory of the Clausius-Mossotti function,  $C_M(\rho,T)$  in dense gases. The first of these is the "density-fluctuation" theory initiated by Kirkwood (loc. cit.) and the second the "continuum" theory initiated by de Groot and Ten Seldam (loc. cit.). To date there appears to be no theory which unifies both approaches simultaneously. However, the continuum theory has the advantage of predicting results at high densities which are beyond the accessible range of calculation of fluctuation theories because of the need to take account of higher and higher orders of multipole interactions as the density rises. For this reason the present work favours the continuum approach.

It is clear that a major improvement to the de Groot and Ten Seldam theory would be to include the effect of longrange dipolar interaction between the caged nonpolar particle and the surrounding medium. The effect of this interaction would be to attract the electron away from the core and thus lower the effective oscillator frequency of the caged electron and hence increase the polarizability. This would be the predominant effect at low densities. On the other hand, at high densities, where each electronic oscillator finds itself increasingly restricted by a  $\delta$ -like potential at the surface

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of the cage as density is raised, the energy would tend to increase and result in a reduction of polarizability. Qualitatively, therefore, as density is increased the polarizability would at first increase, pass through a maximum and then decrease at higher densities in agreement with experimental observation.

For long wavelength, dipole oscillations, where the fields are essentially electrostatic, it is not difficult to formulate the above idea quantitatively since the general technique for finding the potentials associated with an extended, eccentric dipole in a spherical cavity were given some time ago by Frood and Dekker [29]. For example, in the present problem a straightforward calculation (Frood [30]) of the reaction field of an extended, electronic dipole in a sphere of radius "a" with a fixed, centralized core charge, +e leads to the self-energy of the dipole in its own reaction field, viz:

$$V_{\underline{\text{self}}} = -\frac{e^2}{a} \left(\frac{\varepsilon - 1}{2\varepsilon + 1}\right) \left(\frac{r}{a}\right)^2 \sum_{n=0}^{\infty} B_n(\varepsilon) \left(\frac{r}{a}\right)^{2n} \quad (\text{II:13})$$

where:

$$B_{n}(\varepsilon) = \frac{(n+2)(2\varepsilon+1)}{2[(n+2)\varepsilon+(n+1)]}$$

and where  $r \leq a$  is the position of the electron. The total potential in which the electron moves is then the sum of (II:13) and the atomic potential (-e<sup>2</sup>/r for hydrogen) which must then be inserted in the Schrödinger equation and the latter solved under the condition  $\psi(r = a) = 0$ .

Leaving aside for the moment the formidable mathematical difficulties consequent upon this step, it will be noticed that (II:13) depends explicitly on the quantity,  $\varepsilon$ which we desire to calculate. Thus, as used in the calculation of, say, a polarizability, (II:13) requires that the permittivity be calculated self-consistently with the result! A further difficulty inherent in (II:13) is that it diverges at r = a. For these reasons we do not pursue here a calculation for 3-dimensional atoms, but instead go directly to the more tractable case of a 1-dimensional model. As will be seen in Sec. III the self-energy of the 1-dimensional dipole in its own local field is then not explicitly dependent on  $\varepsilon$  except through the polarization, P, which is the quantity we wish to calculate. In addition, there is no divergence in this 1-dimensional self-energy.

In Sec. III:a we review the well known calculation of the Clausius-Mossotti formula from the Onsager point of view, and in Sec. III:b:(i) we introduce the 1-dimensional

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model and the corresponding 1-dimensional Clausius-Mossotti formula for an unrestricted oscillator experiencing dipolar interaction with its neighbors. In Sec. III:(b):(ii) we then restrict this oscillator by assuming short-range forces introduce a  $\delta$ -function potential which confines the oscillator to a finite slab of thickness  $2x_0$ . We treat this problem quantum mechanically and require the wave function to vanish at  $x = \pm x_0$ . Here the computer is a natural tool since the range of the independent variable is finite for a restricted oscillator, and it is easy to discretize the interval  $-x_0 \leq x \leq x_0$ .

In Sec. IV we compute the energies and wave functions of the restricted S.H.O. without dipolar interaction. The wave functions and energies so calculated are then used in perturbation theory to give the ground state polarizability as a function of density. As expected, the polarizability decreases smoothly as density increases in this model. In Sec. V we consider the same problem as in Sec. IV, but with dipolar interaction present and again compute the ground state polarizability. As expected, for this more realistic model there is a definite maximum in  $\alpha$  <u>vs</u>.  $\rho$  which occurs in the density range 200 ~ 300 am for Ar, Kr, CO<sub>2</sub> and N<sub>2</sub>.

In Sec. VI we calculate the polarizabilities of the excited states of a restricted 1-dimensional S.H.O. experiencing

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dipolar interaction with a view to investigating the temperature dependence of the  $C_{M}$  function. As may be expected, our model shows this effect to be negligible in view of the rather large energy gaps between the different states. However, here a surprising result occurs, namely, that at sufficiently high densities the polarizability of any excited state can become *negative*. This suggests that these states are those of free electrons rather than bound oscillators and provides, qualitatively, a suggestion for a completely different model in which a particle oscillator should, at the outset, be characterized as having a finite, density-dependent activation Furthermore its interaction with other oscillators in energy. the surrounded medium should occur through screened dipolar forces as suggested some time ago by Frood [31] for a closely related model. Discussion of this idea is considered in Sec. VIII, but in Sec. VII we compare our results for the dielectric constants of Ar, Kr,  $CO_2$  and  $N_2$  with experimental values and as will be seen there is fair agreement for comparison of experimental and theoretical  $\varepsilon$  vs.  $\rho$ , but only qualitative agreement for the same comparison of  $C_{M}$  functions.

The hard copy of the computer programmes which form Appendices A, B, C and D are printed as a separate document accompanying this thesis.

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#### III. THE MODEL

# (a) <u>3-Dimensional, Unrestricted Simple Harmonic Oscillator</u> with Dipolar Interaction.

Consider a point dipole  $\vec{m}$  at the centre of a sphere of radius a and dielectric constant  $\varepsilon_{i}$ . This sphere is surrounded by a medium of dielectric constant  $\varepsilon$ . The whole is in an electric field  $\vec{E}$  which is uniform at infinity and parallel to the dipole  $\vec{m}$ . The potential  $V_{0}$  outside the sphere satisfies Laplace's equation  $\nabla^{2}V_{0} = 0$ , since there are no free charges except those at great distance required to maintain the macroscopic electric field  $\vec{E}$ . The potential  $V_{i}$  inside the sphere consists of the potential of the source dipole  $\vec{m}$ and the potential  $\Phi_{i}$  which satisfies Laplace's equation  $\nabla^{2}\Phi_{i} = 0$ .

Let us set up a spherical coordinate system so that the Z-axis is parallel to  $\underline{E}$  (and  $\underline{m}$ ) with origin at the centre of the sphere (Fig. 6).

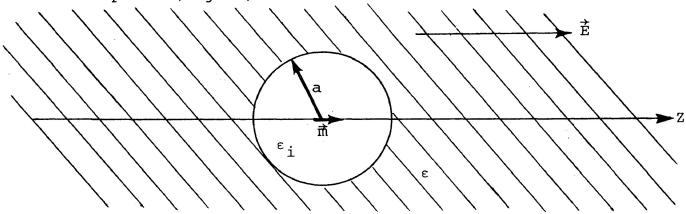


FIG. 6. Induced point dipole in spherical cavity.

A general solution of Laplace's equation in spherical coordinates r,  $\theta$  and  $\phi$  is:

$$V = \sum_{n=0}^{\infty} \sum_{n=0}^{\infty} \left( A_{mn} r^n + \frac{B_{mn}}{r^{n+1}} \right) P_n^m(\cos\theta) e^{im\phi} \qquad (III:1)$$

Since the system is spherically symmetrical, the potential both inside and outside are independent of the azimuthal angle. Thus the potential  $V_0$  outside the sphere is equal to:

$$V_{O}(\mathbf{r},\theta) = \sum_{n=0}^{\infty} \left( A_{n} \mathbf{r}^{n} + \frac{B_{n}}{\mathbf{r}^{n+1}} \right) P_{n}(\cos\theta) \qquad (III:2)$$

Because the potential must not have a singularity at the centre of the sphere (r=0), we require:

$$\Phi_{i} = \sum_{n=0}^{\infty} C_{n} r^{n} P_{n} (\cos \theta) \qquad (III:3)$$

and hence the potential  $V_i$  inside is:

$$V_{i}(r,\theta) = \Phi_{i} + V_{dipole} = \sum_{n=0}^{\infty} C_{n} r^{n} P_{n}(\cos\theta) + \frac{m}{\varepsilon_{i} r^{2}} \cos\theta \quad (\text{III:4})$$

The boundary conditions are:

At  $Z \longrightarrow \infty$  the potential outside must reduce to:

$$V_{\alpha}(r,\theta) = -EZ = -Er\cos\theta$$
 (III:5)

and

$$V_i(a,\theta) = V_o(a,\theta)$$
 (III:6)

since the potential is continuous across the boundary. Also:

$$\varepsilon_{i} \left( \frac{\partial V_{i}}{\partial r} \right)_{r=a} = \varepsilon \left( \frac{\partial V_{o}}{\partial r} \right)_{r=a}$$
(III:7)

because the normal component of  $\vec{D}$  must be continuous at the boundary.

Since the field at  $Z \longrightarrow \infty$  is  $\frac{\partial V_O}{\partial r} = -E$  then all the coefficients  $A_n$  are zero except  $A_1$ , which has the value  $A_1 = -E$ , and the potential  $V_O$  outside the sphere is therefore:

$$V_{O}(r,\theta) = -Er\cos\theta + \sum_{n=0}^{\infty} \frac{B_{n}}{r^{n+1}} P_{n}(\cos\theta) \qquad (III:8)$$

Applying the second and third boundary conditions to (III:4) and (III:8), and recalling the orthogonality properties of

Legendre's polynomials we easily obtain:

$$C_{n}a^{n} + \frac{m}{\varepsilon_{i}a^{2}}\delta_{n,1} = -Ea\delta_{n,1} + \frac{B_{n}}{a^{n+1}}$$
(III:9)

and:

$$n\varepsilon_{1}C_{n}a^{n-1} - \frac{2m}{a^{3}}\delta_{n,1} = -\varepsilon E\delta_{n,1} - \frac{\varepsilon(n+1)B_{n}}{a^{n+2}} \qquad (III:10)$$

For  $n \neq 1$ , these two equations become:

$$C_{n}a^{n} = \frac{B_{n}}{a^{n+1}}$$
 (III:11)

and

$$n\varepsilon_{i}C_{n}a^{n-1} = - \frac{\varepsilon^{(n+1)B}}{a^{n+2}}n \qquad (III:12)$$

From these last two equations it follows that  $B_n = 0$  and  $C_n = 0$  for all values of n except n = 1. When n = 1, we have:

$$C_1 + \frac{m}{\epsilon_i a^3} = -E + \frac{B_1}{a^3}$$
 (III:13)

and:

$$\varepsilon_{i}C_{1} - \frac{2m}{a^{3}} = -\varepsilon E - \frac{2\varepsilon B_{1}}{a^{3}}$$
(III:14)

and solving (III:13) and (III:14) for  $B_1$  and  $C_1$ , there results:

$$B_{1} = \frac{(\varepsilon_{1} - \varepsilon) a^{3}}{(2\varepsilon + \varepsilon_{1})} E + \frac{3m}{(2\varepsilon + \varepsilon_{1})}$$
(III:15)

$$C_{1} = - \frac{3\varepsilon}{(2\varepsilon + \varepsilon_{1})} E + \frac{2(\varepsilon_{1} - \varepsilon)}{\varepsilon_{1}(2\varepsilon + \varepsilon_{1})} \frac{m}{a^{3}}$$
(III:16)

## (i) Cavity Field

Suppose  $\vec{m} = 0$ , then we have a dielectric  $\varepsilon_i$  imbedded in a dielectric  $\varepsilon_0$ . For this case:

$$B_{1} = \frac{(\varepsilon_{1} - \varepsilon) a^{3}}{(2\varepsilon + \varepsilon_{1})} \quad E$$
 (III:17)

$$C_{1} = \frac{-3\varepsilon}{2\varepsilon + \varepsilon_{1}} \quad E \quad (III:18)$$

The field inside the sphere is thus homogeneous and in the same direction as E, and is given by:

$$-\frac{\partial V_{i}}{\partial Z} = \frac{3\varepsilon}{2\varepsilon + \varepsilon_{i}} \quad E \quad (III:19)$$

If in particular  $\varepsilon_i = 1$ , we have what is referred to as the "cavity field"

$$\vec{E}_{C} = \frac{3\varepsilon}{2\varepsilon+1} \vec{E}$$
 (III:20)

(ii) Reaction Field

Consider now  $\vec{E} = 0$  so that we have a point dipole at the centre of a sphere of dielectric constant  $\varepsilon_i$  embedded in the medium of dielectric constant  $\varepsilon$ . For this case the field inside the sphere is given by:

$$-\frac{\partial V_{i}}{\partial Z} = \frac{2(\varepsilon - \varepsilon_{i})}{\varepsilon_{i}(2\varepsilon + \varepsilon_{i})} \frac{m}{a^{3}} - \frac{m}{r^{3}} (1 - 3\cos^{2}\theta)$$
(III:21)

The second term of (III:21) is the Z-field of the point dipole  $\dot{\tilde{m}}$ . The first term of (III:21) is:

$$\vec{R} = \frac{2(\varepsilon - \varepsilon_{i})}{\varepsilon_{i}(2\varepsilon + \varepsilon_{i})} \quad \frac{\vec{m}}{a^{3}} \quad (III:22)$$

This is clearly the field inside the sphere due to the polarized charges on both the inside and outside surfaces of the sphere. These polarized charges arise, of course, from the "source" dipole  $\vec{m}$ . For  $\varepsilon_i = 1$ , we have what is called the "reaction field":

$$\vec{R} = \frac{2(\varepsilon-1)}{2\varepsilon+1} \quad \frac{\vec{m}}{a^3} \quad (III:23)$$

This field has the direction of  $\vec{m}$  and is due to the polarized charges on the outside surface of the sphere which are considered fixed before the dipole and the dielectric sphere are

removed. Note also for a central, point dipole that the reaction field is homogeneous. However, as discussed in Sec. III:(c), for an extended dipole or for a point dipole which is not located at the centre of the cavity, the reaction field is not homogeneous.

#### (iii) Local Field (Onsager Theory)

Suppose now we fix the state of polarization in the medium outside the sphere and remove the sphere and its polarization from the dielectric, what is the total field inside the cavity? Clearly the field is given by Onsager's expression F = (Cavity field) + (Reaction field of pointdipole), that is:

$$\vec{F} = \vec{E}_{c} + \vec{R}$$

$$= \frac{3\epsilon}{3\epsilon+1} \vec{E} + \frac{2(\epsilon-1)}{2\epsilon+1} \frac{\vec{m}}{a^{3}} \qquad (III:24)$$

But for a homogeneously polarized sphere:

$$\vec{m} = \frac{4\pi}{3} a^{3}\vec{P} \qquad (III:25)$$

where:

$$\vec{P} = \frac{\varepsilon - 1}{4 \pi} \vec{E}$$
 (III:26)

is the polarization of the medium. Substituting (III:26) into

(III:25) we get:

$$\frac{\vec{m}}{a^3} = \frac{\varepsilon - 1}{3} \quad \vec{E} \qquad (III:27)$$

and employing (III:27) in (III:24) there results:

$$\vec{F} = \vec{E} + \frac{4\pi}{3} \vec{P} = \frac{\varepsilon+2}{3} \vec{E}$$
 (III:28)

Eq. (III:28) is the well known Lorentz local field given earlier in (II:5). This field is independent of "a" the radius of the sphere.

The dipole moment can also be written as:

$$\vec{m} = \alpha_{O} \vec{F}$$
 (III:29)

where  $\alpha_0$  is the polarizability of the isolated molecule and  $\vec{F}$  is the local field.

Since the total moment per unit volume is:

$$\underline{P} = N_{O} \underline{m} = N_{O} \alpha \left(\frac{\varepsilon + 2}{3}\right) \underline{E}$$
(III:30)

we find using (III:30) in (III:26) that:

$$\frac{\varepsilon - 1}{\varepsilon + 2} = 4\pi N_0 \alpha_0 \qquad (III:31)$$

and (III:31) is just the Clausius-Mossotti formula for an assembly of nonpolar, spherical molecules as shown in Sec. I.

# (b) <u>1-Dimensional, Unrestricted and Restricted Simple</u> Harmonic Oscillators with Dipolar Interaction.

#### (i) Unrestricted Oscillator

Consider a dipole with the positive charge +q at the origin and the negative charge -q free to oscillate along the x-axis. The dipole is confined to a plane slab of thickness  $2x_0$  of dielectric constant unity which is sandwiched between two, plane semi-infinite media of permittivity  $\varepsilon$ . These represent the "surrounding medium." The whole is in an electric field, E, which is uniform at infinity and parallel to the dipole (Fig. 7).

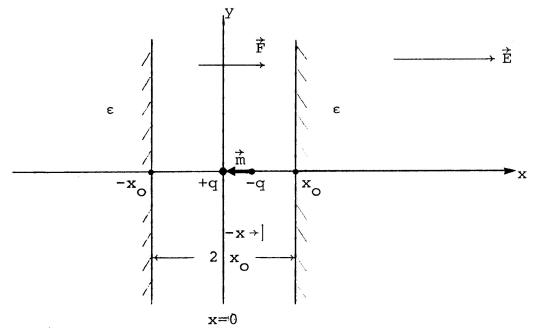


FIG. 7. Induced line dipolar in slab cavity.

To obtain the local field in this case by the Onsager method used in Sec. III: (a) is very difficult and tedious. The reaction field can be obtained using the method of images and results in a non-summable series of terms. The cavity field is equally difficult to compute, but bearing in mind that it is only the local field which is of interest, we may proceed in a straightforward manner as follows:

Because of the continuity of the normal displacement at the boundary, the local field,  $\underline{F}$  inside the cavity must be:

$$\vec{F} = \varepsilon \vec{E} = \vec{E} + 4\pi \vec{P} \qquad (III:32)$$

where  $\vec{E}$  is the macroscopic field and  $\vec{P}$  is the moment per unit volume which is also equal to:

$$P = -qN_{o}x \qquad (III:33)$$

Here  $N_0$  is the number density of molecules in the medium and x is the displacement of the charge -q. It should be noted that the local field (III:32) is homogeneous and independent of the length of the dipole. Making use of (III:32) and (III:33), the energy required to polarize the dipole is clearly:

$$W = q \int_{0}^{x} Fdx$$
  
=  $-qEx + 4\pi N_{0}q^{2} \left(\frac{x^{2}}{2}\right)$  (III:34)

$$= -qEx + \frac{1}{2} m_0 \omega_p^2 x^2$$
 (III:35)

where:

$$\omega_{\rm p} = \left(\frac{4\pi N_{\rm o} q^2}{m_{\rm o}}\right)^{\frac{1}{2}}$$
(III:36)

is the plasma frequency of the bound electrons in the medium. Thus, taking account of the back-reaction of the medium, the total potential energy of the system is:

$$V = \frac{1}{2} m_0 \omega_0^2 x^2 - \frac{1}{2} m_0 \omega_p^2 x^2 + qEx \qquad (III:37)$$

where  $\omega_0$  is the frequency of the isolated oscillator. The Lagrangian of the system is therefore:

$$L = T - V = \frac{1}{2} m_{o} \dot{x}^{2} - \frac{1}{2} m_{o} \omega_{o}^{2} x^{2} + \frac{1}{2} m_{o} \omega_{p}^{2} x^{2} - qEx$$
$$= \frac{1}{2} m_{o} \dot{x}^{2} - \frac{1}{2} m_{o} \omega_{eff}^{2} x^{2} - qEx \qquad (III:38)$$

where  $\omega_{\text{eff}} = (\omega_0^2 - \omega_p^2)^{\frac{1}{2}}$  is the density-dependent, effective

frequency of the oscillator in interaction with its surroundings. The first two terms of (III:38) represent the Lagrangian of a dipolar simple harmonic oscillator, and the last term in (III:38) is the negative of the interaction energy between the macroscopic field,  $\vec{E}$  and this dipole of moment m = -qx.

From classical mechanics the equation of motion of this oscillator is found from:

$$\frac{\partial}{\partial t} \left( \frac{\partial \mathbf{L}}{\partial \dot{\mathbf{x}}} \right) - \frac{\partial \mathbf{L}}{\partial \mathbf{x}} = 0 \qquad (III:39)$$

leading to:

$$\dot{\mathbf{x}} + \omega_{\text{eff}}^2 \dot{\mathbf{x}} = -\frac{q}{m_o} \mathbf{E}$$
 (III:40)

For an oscilllating field of the form:

$$\vec{E}(t) = \vec{E}_{o} e^{i\omega t}$$
 (III:41)

an immediate particular solution of (III:40) is:

$$\vec{x}(t) = -\frac{q}{m_o} \frac{\vec{E}_o e^{i\omega t}}{\omega_{eff}^2 - \omega^2}$$
(III:42)

Next using (III:42) in (III:33) the polarization becomes:

$$\vec{P}(t) = \frac{q^2 n_o}{m_o} \frac{\vec{E}_o e^{i\omega t}}{\omega_{eff}^2 - \omega^2}$$
(III:43)

leading at once to:

$$\frac{\varepsilon - 1}{4\pi} \stackrel{\neq}{E} = \frac{q^2 N}{m_0} \quad \frac{\stackrel{\neq}{E} e^{i\omega t}}{\stackrel{\omega^2}{eff}}$$
(III:44)

and using (III:41) in (III:44) the frequency dependent dielectric constant is thus:

$$\varepsilon(\omega) = 1 + \frac{\omega_p^2}{(\omega_o^2 - \omega_p^2) - \omega^2}$$
(III:45)

Hence:

$$\frac{\varepsilon(\omega)-1}{\varepsilon(\omega)} = \frac{\omega_p^2}{\omega_Q^2 - \omega^2}$$
(III:46)

For static fields ( $\omega=0$ ), (III:46) becomes:

$$\frac{\varepsilon_{\rm s}^{-1}}{3\varepsilon_{\rm s}} = \frac{\omega_{\rm p}^2}{3\omega_{\rm o}^2} = \frac{4\pi N_{\rm o}}{3} \alpha_{\rm o} \qquad (III:47)$$

where  $\alpha_0 = \frac{e^2}{m\omega_0^2}$  is the polarizability of the isolated simple harmonic oscillator.

Eq. (III:47) is just the Clausius-Mossotti formula for a 1-dimensional unrestricted simple harmonic oscillator interacting with dipolar forces with its neighbours. The factor 1/3 is introduced on both sides of (III:47) so that the right hand side corresponds with that for the 3-dimensional Clausius-Mossotti formula given by (I:1) and (III:31).

## (ii) Restricted Oscillator

Consider the system which is described in (III:b:i), but now the dipole is restricted by infinite potential barriers at  $x = \pm x_0$ . In other words, the dipole oscillates only in the region between  $-x_0$  and  $x_0$ . This potential barrier represents the effect of the repulsive forces between the charge -q and the "whole surrounding medium." The latter is considered to be a homogeneous, isotropic medium with dielectric constant  $\varepsilon$ (Fig. 7). Since the normal component of  $\vec{D}$  must be continuous at the boundary, the local field,  $\underline{F}$ , inside the cavity must still be given by (III:32), viz:

$$\vec{F} = \varepsilon \vec{E} = \vec{E} + 4\pi \vec{P} \qquad (III:48)$$

where  $\underline{E}$  is the macroscopic field and  $\underline{P}$  is the moment per unit volume.  $\underline{F}$  is also homogeneous and independent of the length of the dipole, provided this length is less than  $x_0$ . Comparing (III:32) with (III:48), we concluded that the local field,

-34-

E, for both unrestricted and restricted oscillators are the same. Thus, the energy required to polarize the dipole is given by (III:35). The classical Hamiltonian of the system is therefore:

$$H = \frac{p^2}{2m_0} + \frac{1}{2} m_0 \omega_{eff}^2 x^2 + qEx$$
 (III:49)

where  $p = m_0 \dot{x}$  is the momentum of the charge -q and  $\omega_{eff}^2 = (\omega_0^2 - \omega_p^2)$  is the density-dependent, effective frequency of the oscillator in interaction with its surroundings. The first two terms of (III:49) represent the Hamiltonian of a simple harmonic (dipole) oscillator, and the last term is the interaction energy between the dipole of moment m = -qx and the macroscopic field,  $\vec{E}$ . Since the charge -q is restricted by infinite potential barriers, its wave function must vanish for  $|x| \ge x_0$ . When we consider the quantum nature of this system, the Hamiltonian of interest is:

$$H = -\frac{\pi^2}{2m_0} \frac{d^2}{dx^2} + \frac{1}{2} m_0 \omega_{eff}^2 x^2 + qEx \qquad (III:50)$$

where  $m_0$  is the mass of the charge -q and  $\frac{1}{h}$  is Planck's constant. The Schrödinger equation of the system is thus:

$$-\frac{\hbar^{2}}{2m_{o}}\frac{d^{2}\Psi}{dx^{2}} + \frac{1}{2}m_{o}\omega_{eff}^{2}x^{2}\Psi + qEx\Psi = W\Psi \qquad (III:51)$$

and the wave function  $\Psi(\mathbf{x})$  is required to vanish at  $\mathbf{x} = \pm \mathbf{x}_0$ , i.e.

$$\Psi(-x_{o}) = \Psi(x_{o}) = 0$$
 (III:52)

It is usual to solve (III:51) by an exact method, that is, by introducing a new coordinate

$$\mathbf{x'} = \mathbf{x} + \frac{qE}{m_o \omega_{eff}^2}$$
(III:53)

then the wave equation becomes

$$-\frac{\hbar^2}{2m_0}\frac{d^2\Psi(\mathbf{x}')}{d\mathbf{x}'^2} + \frac{1}{2}m_0\omega_{\text{eff}}^2\mathbf{x}'^2\Psi(\mathbf{x}') = \left(\mathbf{W} + \frac{2q^2E^2}{m_0\omega_{\text{eff}}^2}\right)\Psi(\mathbf{x}')$$
(III:54)

but here the boundary conditions for the wave functions are:

$$\Psi\left(-\mathbf{x}_{O} + \frac{qE}{m_{O}\omega_{eff}^{2}}\right) = \Psi\left(\mathbf{x}_{O} + \frac{qE}{m_{O}\omega_{eff}^{2}}\right) = 0 \qquad (III:55)$$

Since the wave functions depend upon a new coordinate, x', which is itself dependent on the macroscopic field, E, the new energy W' = W +  $\frac{2q^2E^2}{m_o\omega_{eff}^2}$  and the wave function  $\Psi(x')$  are dependent on E in a more complicated way than for the unrestricted oscillator. We could in principle solve the Schrodinger equation numerically for a range of fields, E, and extrapolate our results to zero field, but this is clearly a complicated procedure and we elect instead to use a perturbation method described below:

Let us assume that the macroscopic field is so small that qEx can be treated as a perturbation, then the Schrödinger equation can be written:

$$(H_{O} + H')\Psi = W\Psi \qquad (III:56)$$

where

$$H = -\frac{\hbar^2}{2m_0} \frac{d^2}{dx^2} + \frac{1}{2} m_0 \omega_{eff}^2 x^2 \qquad (III:57)$$

is the unperturbed Hamiltonian and

$$H' = qEx$$
 (III:58)

is the perturbation potential energy.

The solution of the Schrodinger equation in the absence of both dipolar interaction ( $\omega_p = 0$ ) and a macroscopic field, E, but with a wave function which vanishes at  $|\mathbf{x}| = \mathbf{x}_0$  will be discussed in Sec. IV. Later, in Sec. V, the solution of the Schrödinger equation in the absence of a macroscopic field, E, but with dipolar interaction present and again with a wave function which vanishes at  $|\mathbf{x}| = \mathbf{x}_0$  will be discussed.

## IV. <u>COMPUTED ENERGIES</u>, WAVE FUNCTIONS AND STATIC POLARIZ-ABILITY OF THE RESTRICTED SIMPLE HARMONIC OSCILLATOR WITHOUT DIPOLAR INTERACTION

Consider a simple harmonic oscillator which is restricted at  $x = \pm x_0$  by infinite potential barriers. This implies that the wave functions vanish for  $|x| \ge x_0$ . The Schrödinger equation of this system is then:

$$-\frac{\pi^{2}}{2m_{O}} \frac{d^{2}\Psi}{dx^{2}} + \frac{1}{2} m_{O} \omega_{O}^{2} x^{2} \Psi = W \Psi$$
 (IV:1)

where  $m_0$  is the mass of the oscillating particle and  $\omega_0$  is the angular frequency of the isolated oscillator. The wave function  $\Psi(x)$  in (IV:1) is subjected to boundary conditions:

$$\Psi(-\mathbf{x}_{0}) = \Psi(\mathbf{x}_{0}) = 0 \qquad (IV:2)$$

To solve (IV:1), subject to condition (IV:2), we first transform the equation into a dimensionless form. Thus by letting:<sup>†</sup>

$$y = \sqrt{\frac{2m_o^{\omega}o}{\hbar}} x \qquad (IV:3)$$

<sup>†</sup>Note that the inverse scale length given by (IV:3) is  $\sqrt{2}$  times larger than usually defined in text books dealing with the S.H.O.

and

$$w = W/\hbar\omega \qquad (IV:4)$$

(IV:1) becomes

$$- \frac{d^2 \Psi}{dy^2} + V(y) \Psi = w \Psi \qquad (IV:5)$$

where:

$$V(y) = \left(\frac{y}{2}\right)^2 \qquad (IV:6)$$

To solve (IV:5) numerically, the difference-quotient approximation is to be used for approximating  $\frac{d^2\Psi}{dy^2}$  or  $\Psi$ "(y). To accomplish this, we select an integer N > 0 and divide the interval  $[-Y_0, Y_0]$  into N+1 equal subintervals whose end points are the meshpoints. Defining

$$Y_{o} = \sqrt{\frac{2m\omega_{o}}{\hbar}} x_{o} \qquad (IV:7)$$

we let:

$$y_i = -Y_0 + ih$$
, for i=0 to N+1 (IV:8)

where

$$h = \frac{Y_{O}^{-}(-Y_{O})}{N+1} = \frac{2Y_{O}}{N+1}$$
(IV:9)

At the interior meshpoints,  $y_i$ , l = 1, 2, ..., N, the differential equation to be approximated is

$$-\Psi''(\mathbf{y}_{i}) + V(\mathbf{y}_{i}) \Psi(\mathbf{y}_{i}) = w\Psi(\mathbf{y}_{i})$$
 (IV:10)

Now, expanding the wave function  $\Psi(y)$  up to cubic terms in a Taylor polynomial about the point  $y_i$  evaluated at  $y_{i+1}$  and  $y_{i-1}$ , we have:

$$\Psi(y_{i+1}) = \Psi(y_{i}+h) = \Psi(y_{i}) + h\Psi(y_{i}) + \frac{h^{2}}{2}\Psi''(y_{i}) + \frac{h^{3}}{6}\Psi^{3}(y_{i}) + \frac{h^{4}}{24}\Psi^{(4)}(y_{i})$$
(IV:11)

for some point  $\xi_{i}^{+}$ ,  $y_{i} < \xi_{i}^{+} < y_{i+1}$ , and

$$\Psi(\mathbf{y}_{i-1}) = \Psi(\mathbf{y}_{i}-\mathbf{h}) = \Psi(\mathbf{y}_{i}) - \mathbf{h}\Psi(\mathbf{y}_{i}) + \frac{\mathbf{h}^{2}}{2}\Psi''(\mathbf{y}_{i}) - \frac{\mathbf{h}^{3}}{6}\Psi^{3}(\mathbf{y}_{i}) + \frac{\mathbf{h}^{4}}{24}\Psi^{4}(\mathbf{y}_{i})$$
(IV:12)  
for some point  $\xi_{i}$ ,  $Y_{i-1} < \xi_{i} < Y_{i}$ .

If these two equations are added together, there results:

$$\Psi''(Y_{i}) = \frac{\Psi(Y_{i-1}) - 2\Psi(Y_{i}) + \Psi(Y_{i+1})}{h^{2}} - \frac{h^{2}}{24} \left[ \Psi(4)(\xi_{i}^{+}) + \Psi(4)(\xi_{i}^{-}) \right]$$
(IV:13)

Making use of Intermediate Value Theorem, the equation (IV:13) becomes

$$\Psi''(Y_{i}) = \frac{\Psi(Y_{i-1}) - 2\Psi(Y_{i}) + \Psi(Y_{i+1})}{h^{2}} - \frac{h^{2}}{12} \Psi^{(4)}(\xi_{i}) \quad (IV:14)$$

for some point  $\xi_i$ ,  $Y_{i-1} < \xi_i < Y_{i+1}$ .

Equation (IV:14) is called the Central Difference Formula for  $\Psi''(y_i)$ . Assuming that h is a small number such that the last term in Eq. (IV:14) can be neglected, and bearing in mind that the truncation error is of O(h<sup>2</sup>), we thus have:

$$\Psi(y_{i}) = \frac{\Psi(y_{i-1}) - 2\Psi(y_{i}) + \Psi(y_{i+1})}{h^{2}}$$
(IV:15)

Replacing  $\Psi''(y_i)$  in (IV:10) by (IV:15) the differential equation is approximated by the difference equation:

$$-\left[\frac{\Psi(y_{i-1}) - 2\Psi(y_{i}) + \Psi(y_{i+1})}{h^{2}}\right] + V(y_{i})\Psi(y_{i}) = W\Psi(y_{i}) \quad (IV:16)$$

for each i = 1,2,3,...,N, and subject to boundary conditions:

$$\Psi(Y_{0}) = \Psi(Y_{0}) = 0 \text{ or } \Psi(Y_{0}) = \Psi(Y_{n+1}) = 0$$
 (IV:17)

Equation (IV:16) can be rewritten as

$$-\frac{1}{h^{2}}\Psi(y_{0}) + \left\{\frac{2}{h^{2}} + V(y_{1})\right\}\Psi(y_{0}) - \frac{1}{h^{2}}\Psi(y_{2}) = w\Psi(y_{1})$$

$$-\frac{1}{h^{2}}\Psi(y_{1}) + \left\{\frac{2}{h^{2}} + V(y_{2})\right\}\Psi(y_{2}) - \frac{1}{h^{2}}\Psi(y_{3}) = w\Psi(y_{2})$$

$$\vdots$$

$$-\frac{1}{h^{2}} \Psi(y_{N-1}) + \left\{ \frac{2}{h^{2}} + V(y_{N}) \right\} \Psi(y_{N}) - \frac{1}{h^{2}} \Psi(y_{N+1}) = w\Psi(y_{N})$$
(IV:18)

Substituting (IV:17) into the first and the last equations of (IV:18) we get:

$$\left\{ \frac{2}{h^2} + V(y_1) \right\} \Psi(y_1) - \frac{1}{h^2} \Psi(y_2) = W\Psi(y_1)$$

$$- \frac{1}{h^2} \Psi(y_1) + \left\{ \frac{2}{h^2} + V(y_2) \right\} \Psi(y_2) - \frac{1}{h^2} \Psi(y_3) = W\Psi(y_2)$$

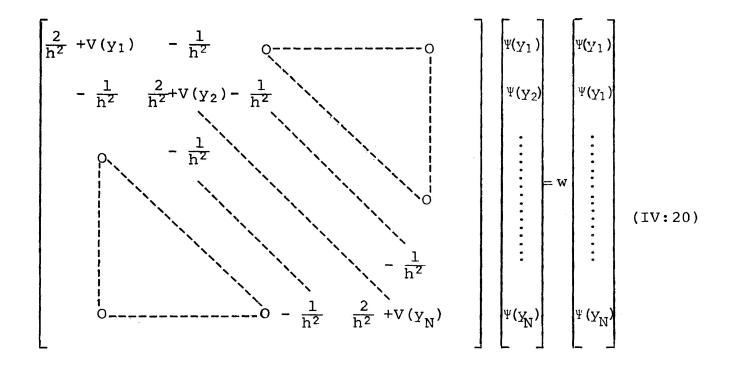
$$\vdots$$

$$\vdots$$

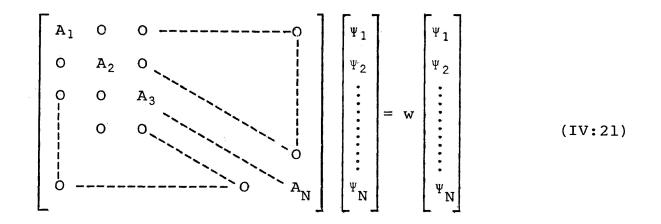
$$- \frac{1}{h^2} \Psi(y_{N-1}) + \left\{ \frac{2}{h^2} + V(y_N) \right\} \Psi(y_N) = W\Psi(y_N)$$

$$(IV:19)$$

Equation (IV:18) can be expressed in the tridiagonal N  $\times$  N-matrix form shown in Eq. (IV:20):



or  $W\Psi = W\Psi$  where the matrix A, shown in Eq. (IV:20) is also a a symmetrical. From mathematics, we know that it is possible to diagonalize the above matrix without changing its eigenvalues. The matrix which transforms A to diagonal form is called a similarity transformation. Here the process of diagonalization is done by computer. The computer program for this process is given in *Appendix A*. The well known QR algorithm for calculating the eigenvalues of the tridiagonal and symmetry matrix is used in this computation (see Burden et al. [32]). The diagonal matrix obtained above represents the eigenvalues (eigen-energies) of the matrix A, since  $A\Psi = w\Psi$  or



It should be noted that the size of the matrix is determined by the integer N, and N is selected so that the distance  $h = \frac{2Y_O}{N+1}$  between  $y_2$  and  $y_{i+1}$  is very small. Of course, this distance can be made as small as we wish. In other words, N can be chosen as large as we want. When N is large, the size of the matrix is also large, meaning more computation time is required to compute the eigenvalues of the matrix. In order to speed up the computation time, one has to choose N so that the distance  $h = \frac{2Y_O}{N+1}$  is reasonably small and the truncation error is also small. Of course, the larger the interval  $[-Y_O, Y_O]$ , the bigger the value of N to be chosen.

Now, we use the eigenvalue which is obtained by the QR algorithm to compute its corresponding eigenvector (wave function), of course, the wave function which is obtained above is not entirely accurate since its corresponding eigenvalue carries a rounding error which is due to iteration in the QR algorithm. To find the nearly exact eigenvalue and eigen-vector, we write a computer program in which we can calculate this nearly exact eigen-energy and wave function<sup>\*</sup>simultaneously. This program is given in Appendix B.

The computed energies and wave functions are shown in Figs. 8 to 14 for  $Y_0$  in the range 2 ~5. In argon this corresponds to the density range 802 am ( $Y_0=2$ ) to 51.3 am ( $Y_0=5$ ), with a similar density range for most other gases. Note here that energies are quoted in units of  $\pi_{\omega_0}$  and that the energy and wave functions of the isolated oscillator are shown as dashed lines.

As mentioned in Sec. III:b:ii, we are not able to solve the Schrödinger equation given by (III:51) in an exact manner. Instead we introduce perturbation theory, that is, we assume the macroscopic field, E, so small that qEx can be treated as a perturbation. Now, let us consider that the unperturbed system is a restricted simple harmonic oscillator without dipolar interaction with a wave equation given by (IV:1) and subject to boundary conditions. The

<sup>\*</sup>Appendix D gives a programme for checking the accuracy of the wave function and energy. The former is seen to be correct to within 1 part in  $10^6$ .

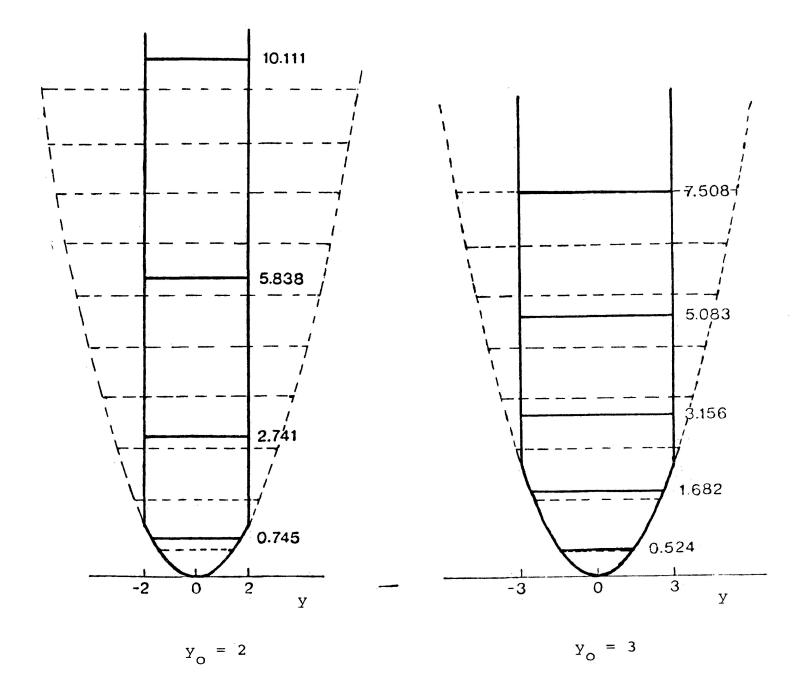


FIG. 8a: Energy levels of restricted S.H.O. for  $Y_0 = 2,3$ .

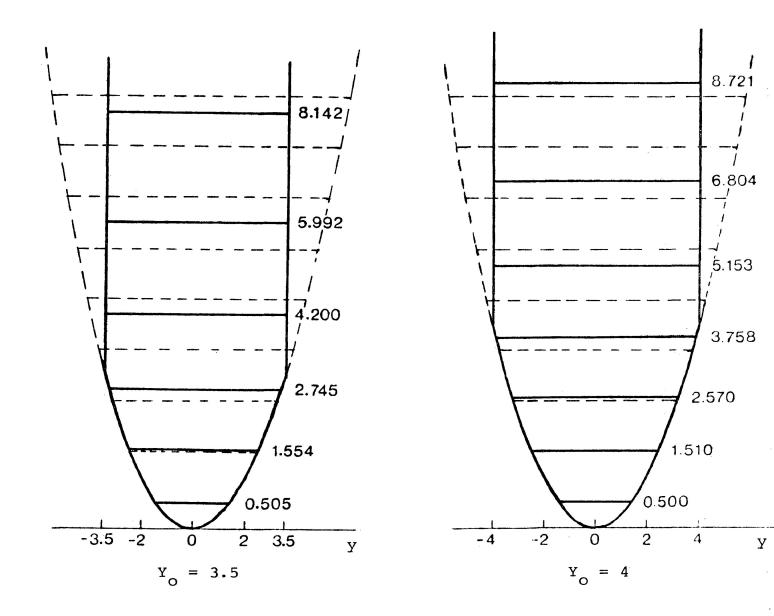
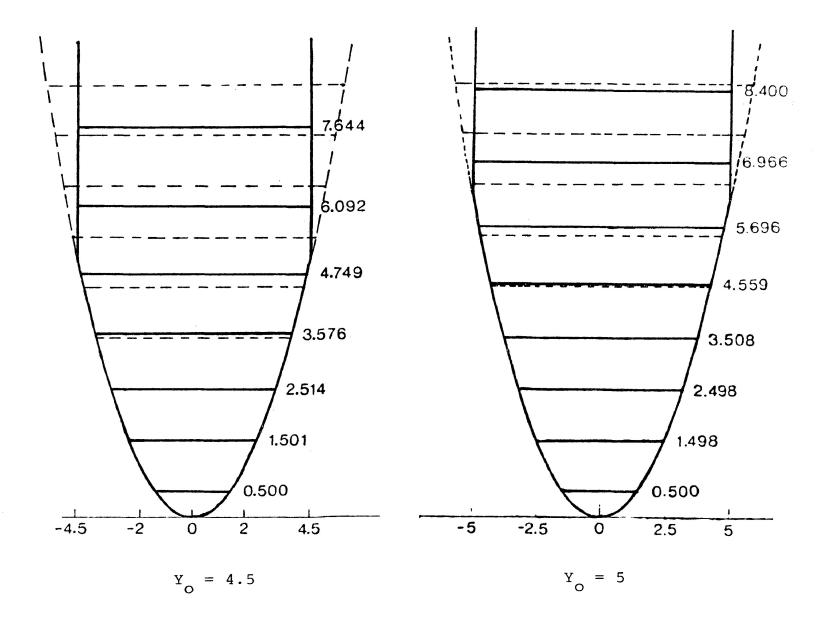
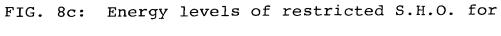


FIG. 8b: Energy levels of restricted S.H.O. for  $Y_0 = 3.5$  and 4.





$$Y_0 = 4.5 \text{ and } 5.$$

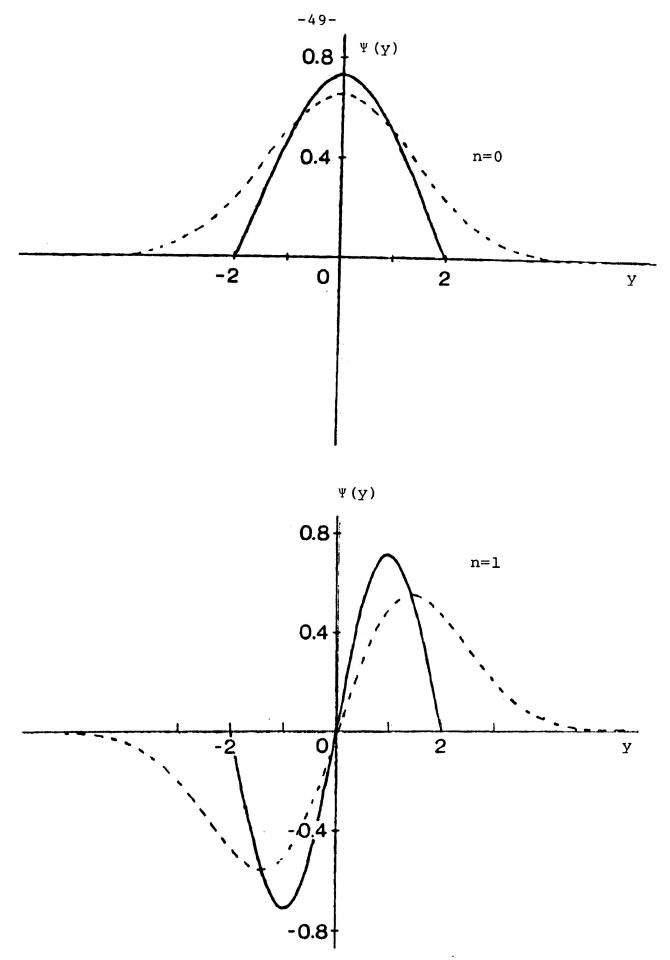


FIG. 9a: Wave functions of restricted S.H.O. for  $Y_0 = 2$ .

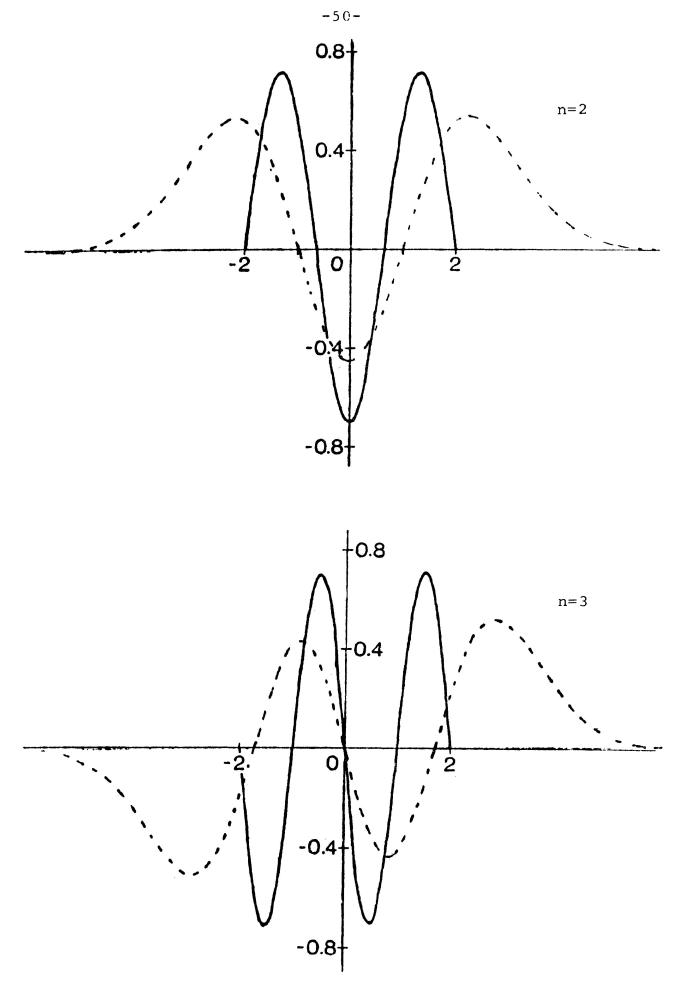


FIG. 9b: Wave functions of restricted S.H.O. for  $Y_0 = 2$ .

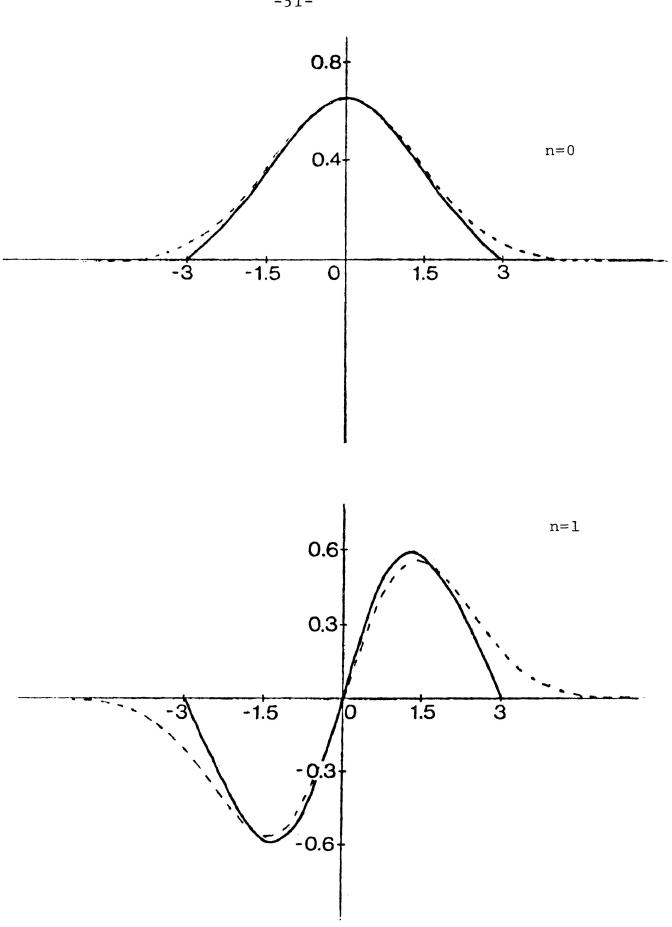


FIG. 10a: Wave functions of restricted S.H.O. for  $Y_0 = 3$ .

-51-

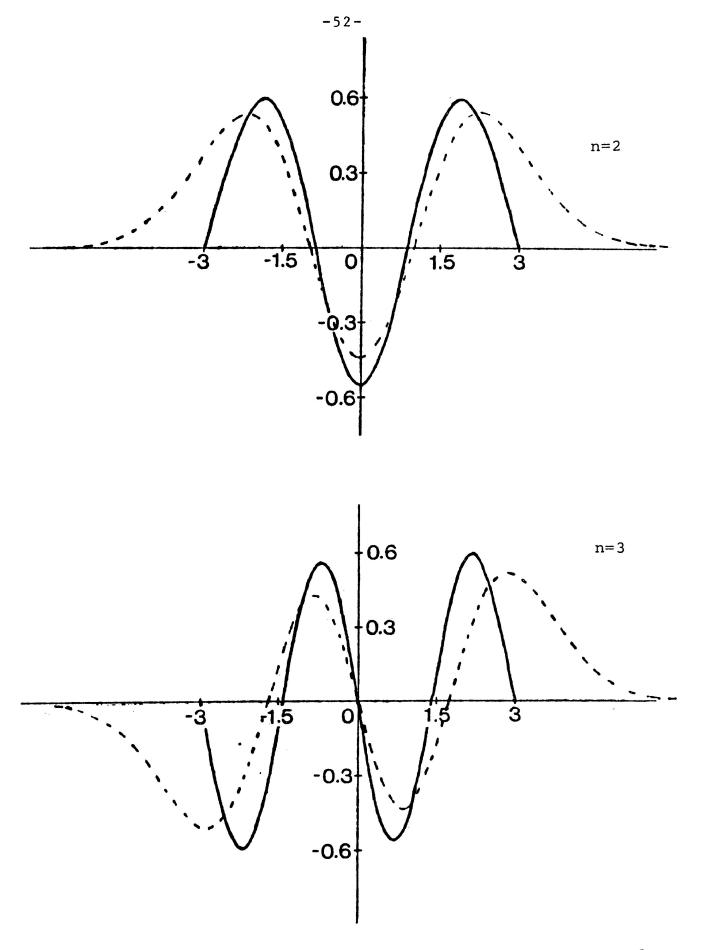


FIG. 10b : Wave functions of restricted S.H.O. for  $Y_0 = 3$ .

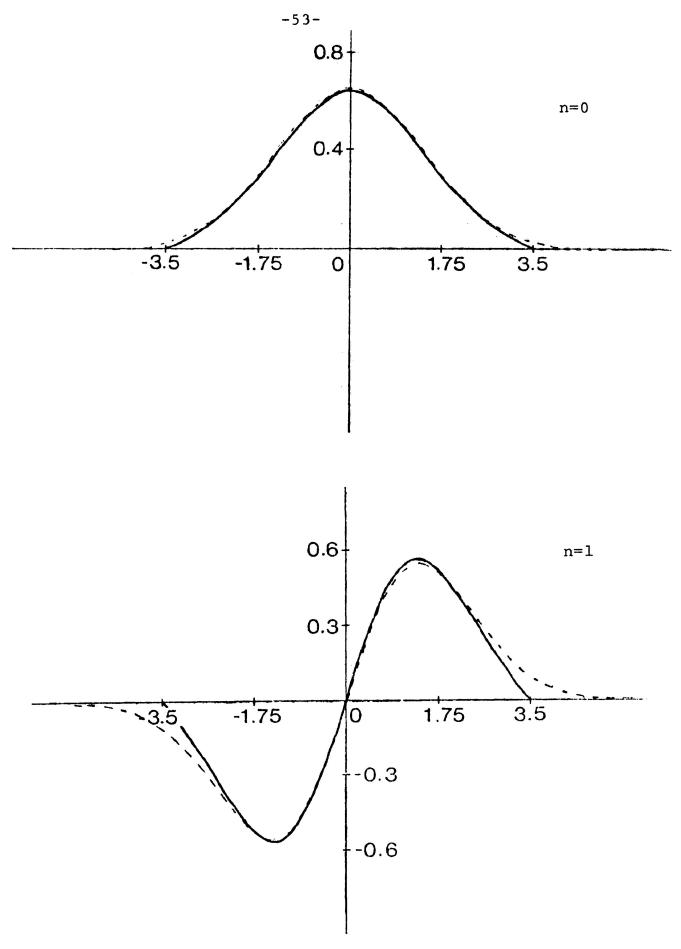


FIG. 11a: Wave functions of restricted S.H.O. for  $Y_0 = 3.5$ .

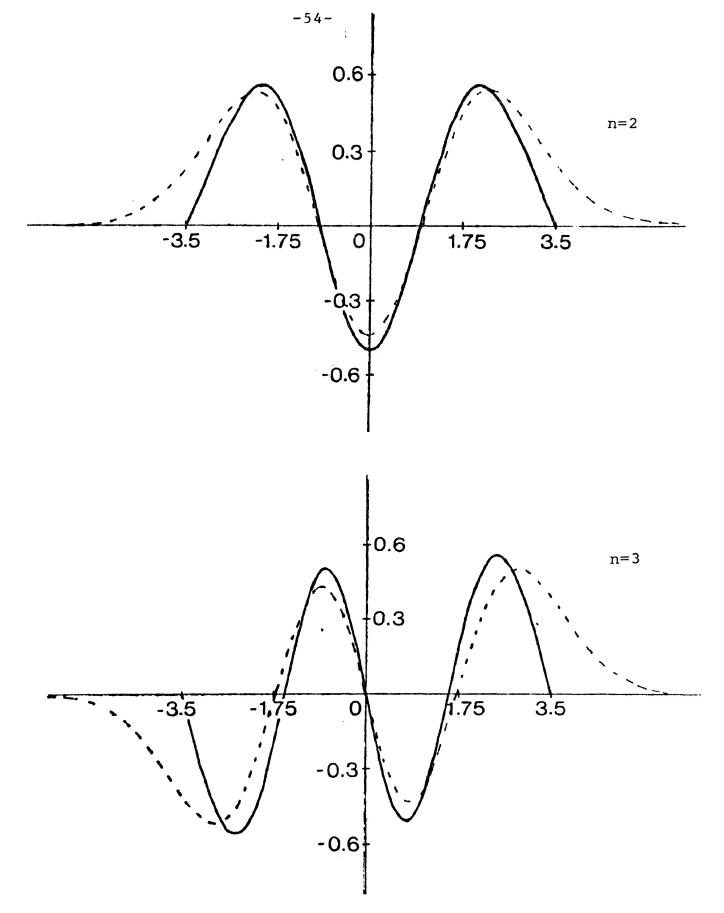


FIG. 11b: Wave functions of restricted S.H.O. for  $Y_0 = 3.5$ .

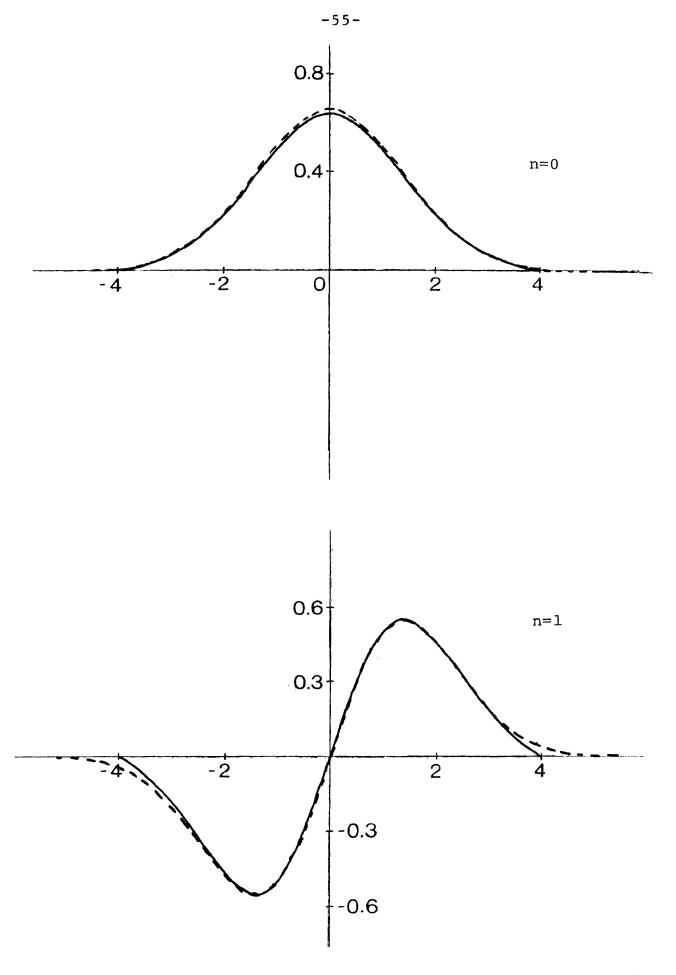


FIG. 12a: Wave functions of restricted S.H.O. for  $Y_0 = 4$ .

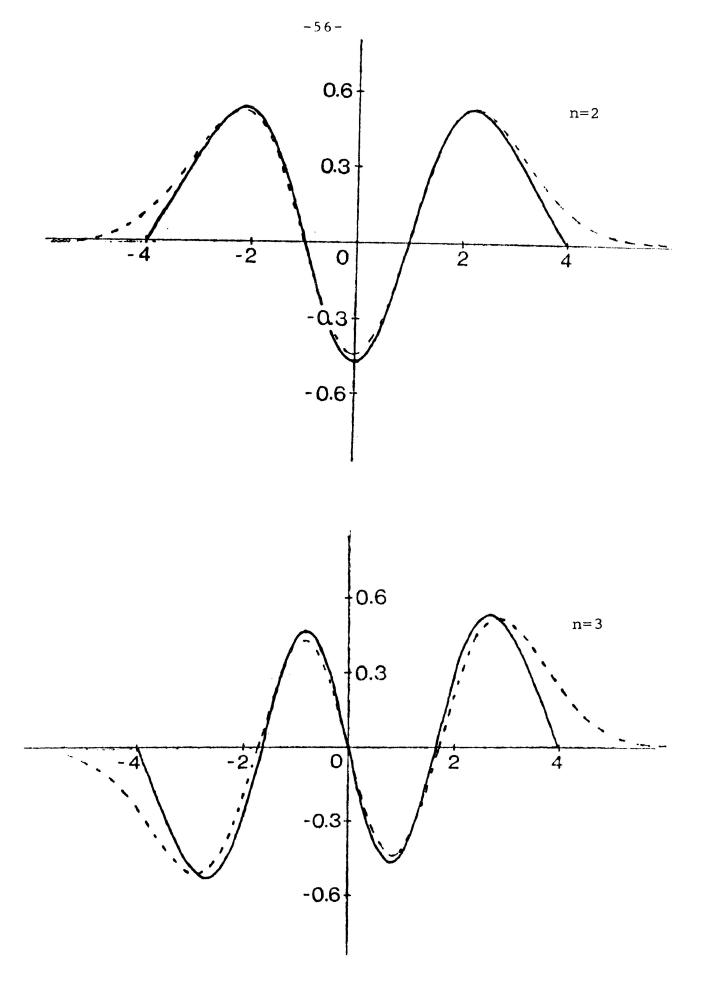


FIG. 12b: Wave functions of restricted S.H.O. for  $Y_0 = 4$ .

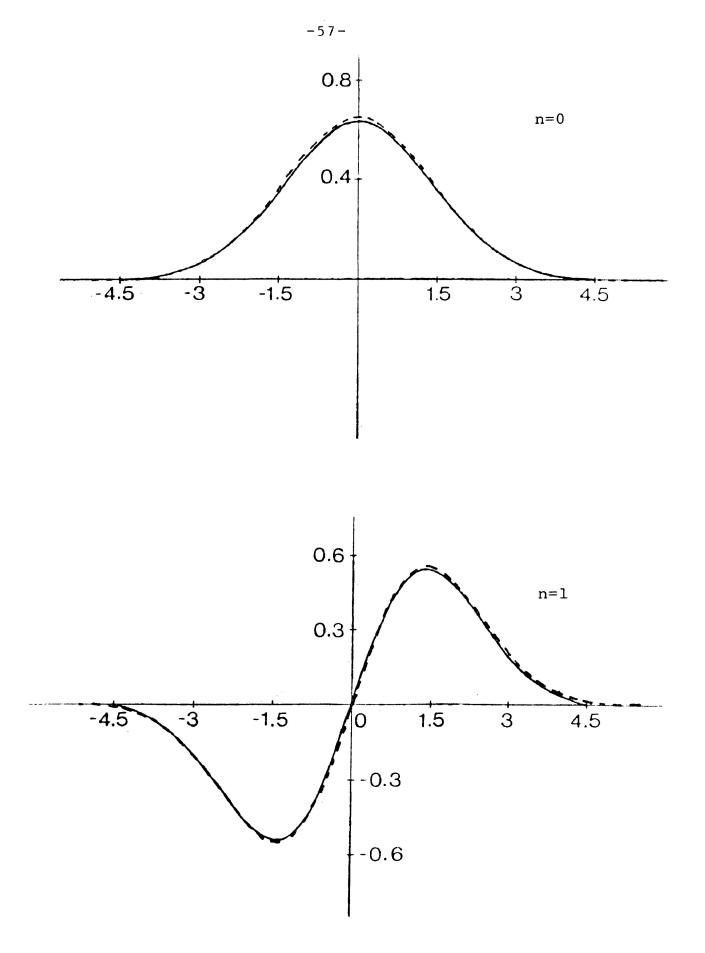


FIG. 13a: Wave functions of restricted S.H.O. for  $Y_0 = 4.5$ .

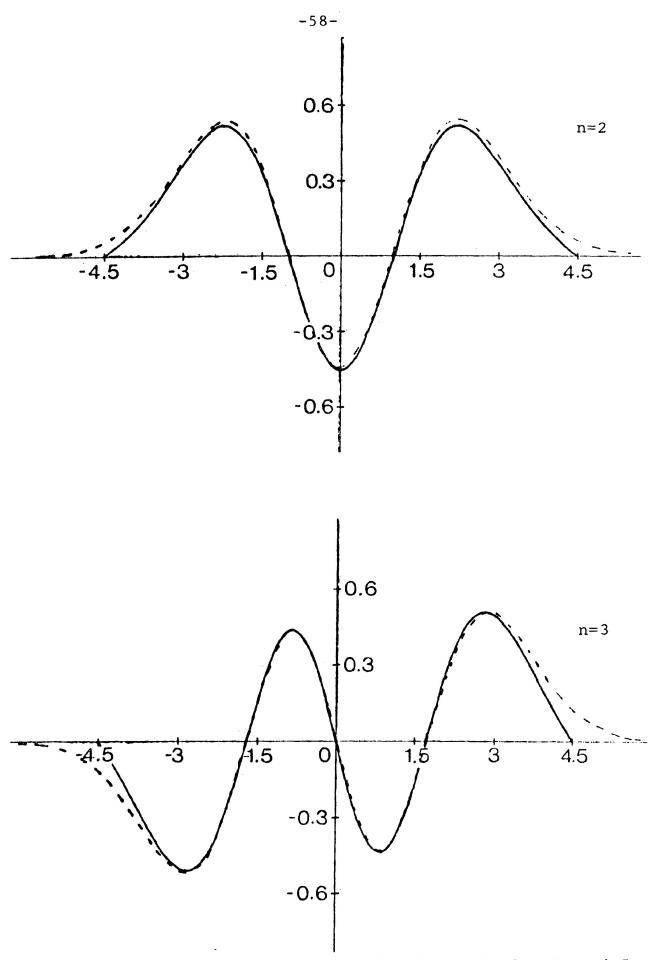
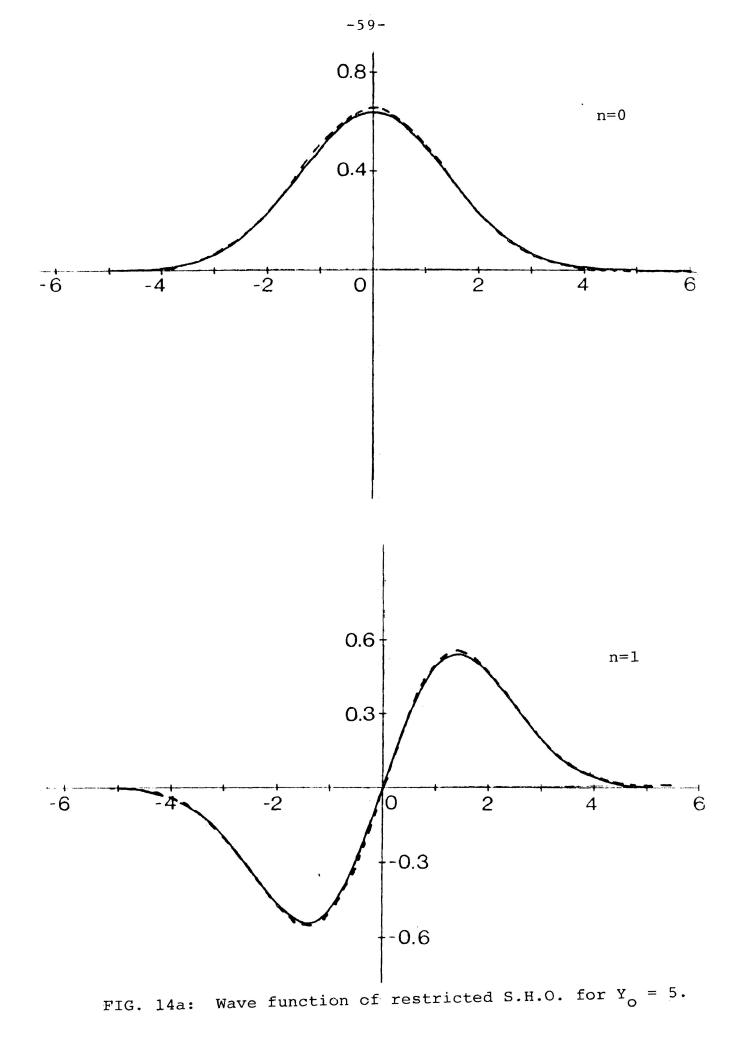


FIG. 13b: Wave function of restricted S.H.O. for  $Y_0 = 4.5$ .



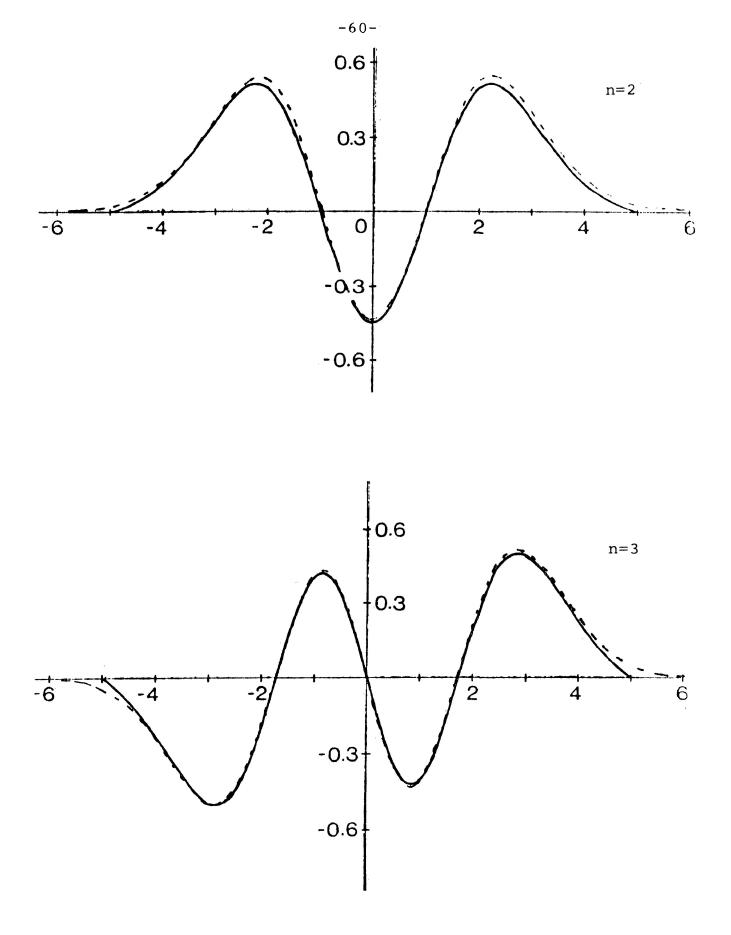


FIG. 14b: Wave function of restricted S.H.O. for  $Y_0 = 5$ .

Hamiltonian of this system will be:

$$H = -\frac{\pi^2}{2m_0}\frac{d^2}{dx^2} + \frac{1}{2}m_0\omega_0^2 x^2 - qEx = H_0qEx$$
 (IV:22)

According to the perturbation theory, the change in energy is given by::

$$W = W_{O} + qE < \Psi_{O} | x | \Psi_{O} > + \sum_{n \neq 0}^{\infty} \frac{| < \Psi_{n} | x | \Psi_{O} > |^{2}}{W_{O} - W_{n}} q^{2}E^{2}$$
(IV:23)

where  $W_n$  and  $\Psi_n(x)$  are the energy and the wave function of the unperturbed state of the restricted oscillator without dipolar interaction. The matrix element  $\langle \Psi_0 | x | \Psi_0 \rangle$  is equal to zero since  $\Psi_0(x)$  has even or odd parity. Therefore (IV:23) can be simplified. It becomes:

$$W = W_{O} - \sum_{n \neq 0}^{\infty} \frac{|\langle \Psi_{n}(\mathbf{x}) | \mathbf{x} | \Psi_{O}(\mathbf{x}) \rangle|^{2}}{W_{n} - W_{O}} q^{2} E^{2} \qquad (IV:23)$$

The static polarizability of the restricted oscillator without dipolar interaction is given by:

$$\alpha = -\frac{\partial^2 W}{\partial E^2} = 2q^2 \sum_{n \neq 0} \frac{|\langle \Psi_n(\mathbf{x}) | \mathbf{x} | \Psi_0(\mathbf{x}) |^2}{W_n - W_0}$$
(IV:25)

The wave functions, which are calculated by computer, are functions of y, where y is defined by (IV:3) The normalization of wave function  $\Psi(\mathbf{y})$  is done by computer (see Appendix B) and given by:

$$\int_{0}^{Y} (y) \Psi(y) dy = 1$$
 (IV:26)  
-Y<sub>0</sub>

However, the normalization of wave function  $\Psi(x)$  is

$$\int_{-x_0}^{x_0} \Psi(\mathbf{x}) \Psi(\mathbf{x}) d\mathbf{x} = 1$$
(IV:27)

Using (IV:3), (IV:27) becomes

$$\sqrt{\frac{\pi}{2m_{O}\omega_{O}}} \int^{Y_{O}} \Psi(x)\Psi(x) dy = 1 \qquad (IV:28)$$
$$-Y_{O}$$

Comparing (IV:26) with (IV:28) yields:

$$\Psi(\mathbf{x}) = \left(\frac{2m\omega}{n}\right)^{\frac{1}{4}} \Psi(\mathbf{y}) \qquad (IV:29)$$

Using (IV:29), (IV:3) and (IV:4) in (IV:25) the polarizability of a restricted oscillator without dipolar interaction then becomes:

$$\alpha = \frac{q^2}{m_0 \omega_0^2} \sum_{n=1}^{\infty} \frac{|\langle \Psi_n(y) | y | \Psi_0(y) \rangle|^2}{w_n^2 - w_0^2}$$
(IV:30)

Defining  $\alpha_0 = q^2/m_0 \omega_0^2$  (IV:30) gives the relative polarizability:

$$\frac{\alpha}{\alpha_{0}} = \sum_{n=1}^{\infty} \frac{|\langle \Psi_{n}(y) | y | \Psi_{0}(y) \rangle|^{2}}{W_{n} - W_{0}}$$
(IV:31)

Because the excited state wave functions have more nodes, the value of the matrix dipole element  $\langle \Psi_n | y | \Psi_0 \rangle$  is very small for n>1. Thus, the relative polarizability can be approximated and is given here for the first 8 terms only, viz:

$$\frac{\alpha}{\alpha_{O}} = \sum_{n=1}^{8} \frac{|\langle \Psi_{n}(y) | y | \Psi_{O}(y) \rangle|^{2}}{w_{n} - w_{O}}$$
(IV:32)

We compute (IV:32) using the energies  $w_n$  and wave functions  $\Psi_n(y)$  computed above. The program for this calculation is given in Appendix C. The relative polarizability of a restricted simple harmonic oscillator without dipolar interaction <u>vs</u>. density is shown in Fig. 15. Here the density is calculated assuming  $N_0 = (2x_0)^{-3}$ .

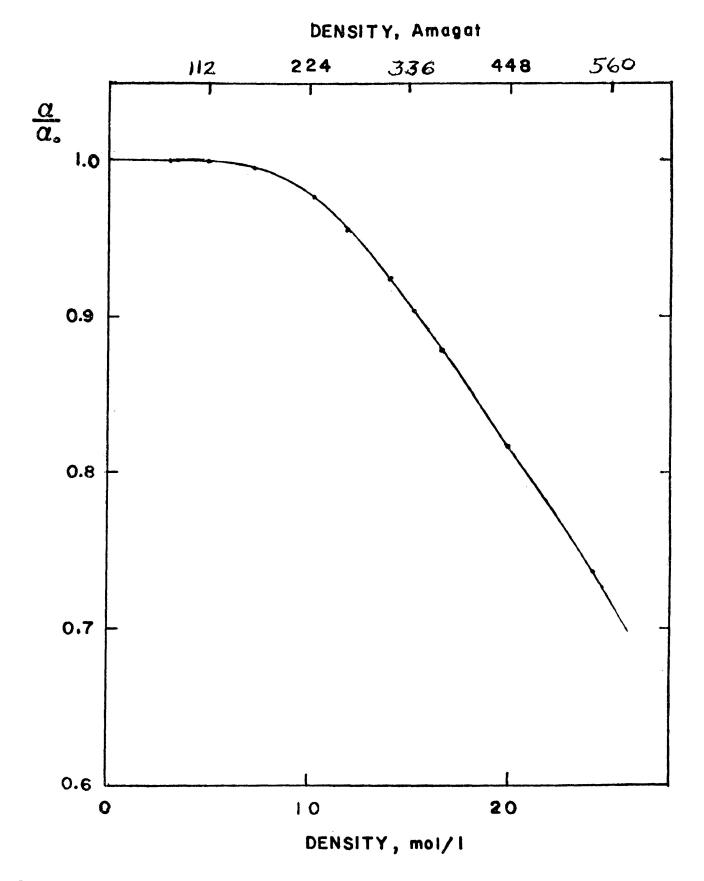


FIG. 15: Ground state polararization of restricted S.H.O. as a function of density.

## V. <u>COMPUTED ENERGIES, WAVE FUNCTIONS AND STATIC POLARIZ-</u> <u>ABILITY OF THE RESTRICTED SIMPLE HARMONIC OSCILLATOR</u> WITH DIPOLAR INTERACTION

The Hamiltonian of the restricted simple harmonic oscillator in the absence of macroscopic field, E, but with dipolar interaction present is given by:

$$H = -\frac{\pi^2}{2m_0}\frac{d^2}{dx^2} + \frac{1}{2}m_0\omega_{eff}^2x^2$$

and the Schrödinger equation of this system is thus:

$$\Psi\Psi = \Psi\Psi$$

or

$$-\frac{\hbar^2}{dm_0}\frac{d^2\Psi}{dx^2} + \frac{1}{2}m_0\omega_{eff}^2x^2\Psi = W\Psi \qquad (V:1)$$

or

$$-\frac{\pi^2}{2m_o}\frac{d^2\Psi}{dx^2} + \frac{1}{2}m_o\omega_o^2x^2\left(1 - \frac{\omega_p^2}{\omega_o^2}\right)\Psi = W\Psi \qquad (V:2)$$

Subjected to boundary condition:

$$\Psi(-\mathbf{x}_{O}) = \Psi(\mathbf{x}_{O}) = 0 \qquad (V:3)$$

Since we have basically the same problem as in Sec. IV, but with a different effective frequency in the potential, we use the same method of solution subject to boundary conditions (V:3). Now, let us introduce the dimensionless y and w given by (IV:3) and (IV:4), viz:

$$y = \sqrt{\frac{2m_{o}\omega_{o}}{\hbar}} x \qquad (V:4)$$

and

$$w = W/\hbar\omega \qquad (V:5)$$

(V:2) becomes

$$-\frac{d^2\Psi}{dy^2} + V(y)\Psi = w\Psi \qquad (V:6)$$

where now:

$$V(y) = \left(\frac{y}{2}\right)^{2} \left[1 - \frac{\omega_{p^{2}}}{\omega_{o}^{2}}\right] \qquad (V:7)$$

and the wave function  $\Psi(y)$  is still subject to the boundary conditions:

$$\Psi(-Y_{O}) = \Psi(Y_{O}) = 0 \qquad (V:8)$$

where

$$Y_{O} = \sqrt{\frac{2m_{O}\omega_{O}}{\hbar}} X_{O} \qquad (V:9)$$

Now, let us discretize (V:6) and replace  $\frac{d^2 \Psi}{dy^2}$  by (IV:14) and also bearing in mind that the truncation error is of O(h<sup>2</sup>). We thus have:

$$-\left[\frac{\Psi_{i-1}-2\Psi_{i}+\Psi_{i+1}}{h^{2}}\right] + V(Y_{i})\Psi_{i} = w\Psi_{i} \qquad (V:10)$$

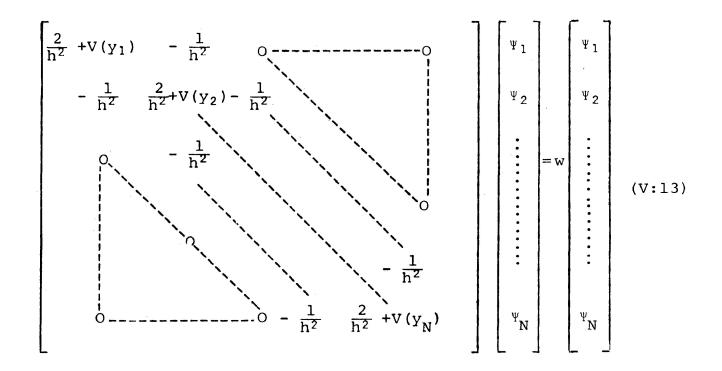
where:

$$h = \frac{2Y}{N+1}$$
(V:11)

and N is the number of interior points. Also:

$$y_i = Y_0 + i x h$$
 for  $i=1,2,3,...N$ . (V:12)

By imposing boundary conditions (V:8), (V:10) is written in the compact form shown in Eq. (V:13) below:



To diagonalize the above matrix using the well known QR algorithm, one has to know the value of  $V(y_i)$ , for i=1,2,3.. ...,N. According to (V:7),  $V(y_i)$  depends on  $\omega_p$  and  $\omega_o$ . From (III:36) we have:

$$\omega_{\rm p}^2 = \left(\frac{4\pi q^2 N_{\rm o}}{m_{\rm o}}\right) \tag{III:36}$$

Now assume that the number density,  $N_{O}$  is equal to:

$$N_{0} = \frac{1}{(2x_{0})^{3}}$$
 (V:14)

where the charge q is equal to e and the mass  $m_0$  is equal to electron rest mass  $m_0$ . Then (III:36) becomes

$$\omega_{\rm p}^2 = \frac{4\pi e^2}{m_{\rm e} (2x_{\rm o})^3}$$
(V:15)

Using (V:9) the quantity  $\frac{\omega_p^2}{\omega_Q^2}$  is then given by:

$$\frac{\omega_{p}^{2}}{\omega_{o}^{2}} = \frac{\pi e^{2}}{Y_{o}^{3}} \sqrt{\frac{2m_{e}}{\omega_{o}h^{3}}}$$
(V:16)

By assigning values to  $Y_{O}$  and using values of  $\omega_{O}$  which are obtained by extrapolating the experimental Clausius-Mossotti functions  $\frac{\varepsilon - 1}{\varepsilon + 2} \frac{w}{\rho} = \frac{4\pi}{3} A_{\alpha}_{O} = \frac{4\pi}{3} A \frac{e^2}{m_{O}\omega_{O}^2}$  to zero density, one can evaluate V( $y_i$ ) for i=1,2,...,N. Using this procedure we find the frequencies of the electron in the isolated atoms to be for Argon  $\omega_0 = 1.243 \times 10^{16} \text{ sec}^{-1}$ ; for Helium  $\omega_0 = 3.5115 \times 10^{16} \text{ sec}^{-1}$ ; for Krypton  $\omega_0 = 1.009 \times 10^{16} \text{ sec}^{-1}$ , while for CO<sub>2</sub>  $\omega_0 = 0.9245 \times 10^{16} \text{ sec}^{-1}$ .

Since we now know the value of  $V(y_i)$  the diagonalization of (V:13) can be performed. This process is, of course, done by computer. The program for assigning a value to  $Y_0$  and  $w_0$ , and calculating the quantity  $V(y_i)$ , and also diagonalizing the matrix which is given by (V:13) is given in Appendix A. As mentioned in Sec. IV, the eigen-energies computed above are not entirely accurate. This may be caused by the iteration process used in the calculating of the eigenvalues. To find the nearly exact eigenvalues and eigenvectors, we write a program which can compute the nearly exact eigenvalues and eigenvectors simulaneously. This program is listed in Appendix B.

The computed eigen-energies and wave functions of Ar gas are shown in Figs. 16 to 20. Note that the energies and wave functions of the isolated oscillator are shown as dashed lines in all figures. It should also be noted that we may not use the above method of calculation at very high densities, such that  $\omega_p^2 \simeq \omega_0^2$ , for then the particle is essentially free but localized to a thin slab.

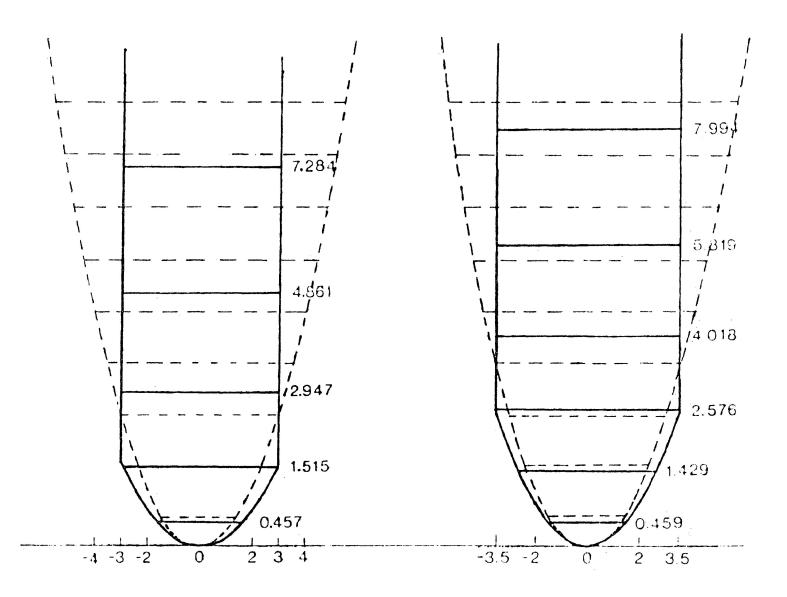


FIG. 16a: Energy levels in the unit of  $\pi_{\omega_O}$  of restricted oscillator with dipolar interaction for  $Y_O = 3$  and 3.5 for argon.

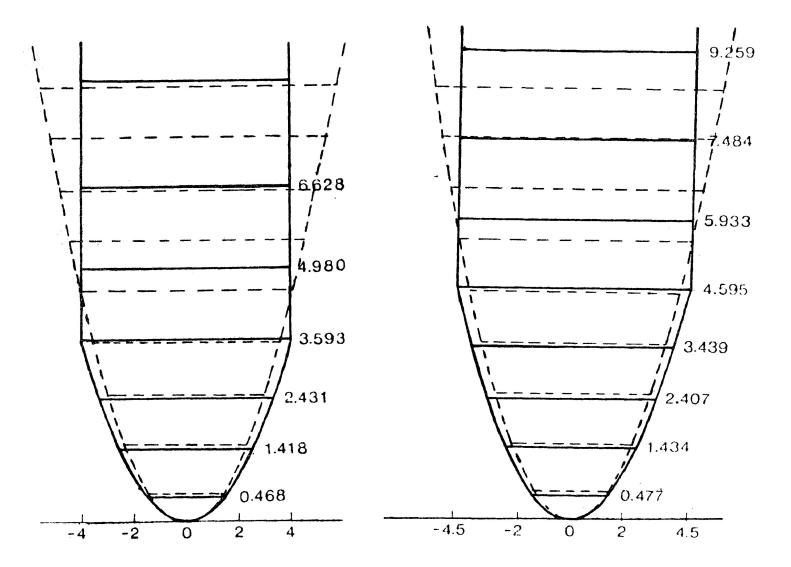


FIG. 16b: Energy levels of restricted oscillator with dipolar interaction for  $Y_0 = 4$  and 4.5 for argon.

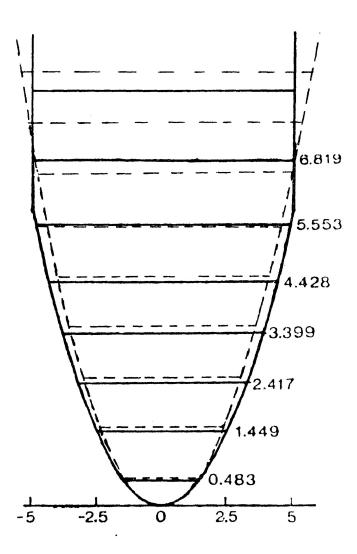


FIG. 16c: Energy levels in the unit of  $\hbar\omega_0$  of restricted oscillator with dipolar interaction for  $Y_0 = 5$  and for argon.

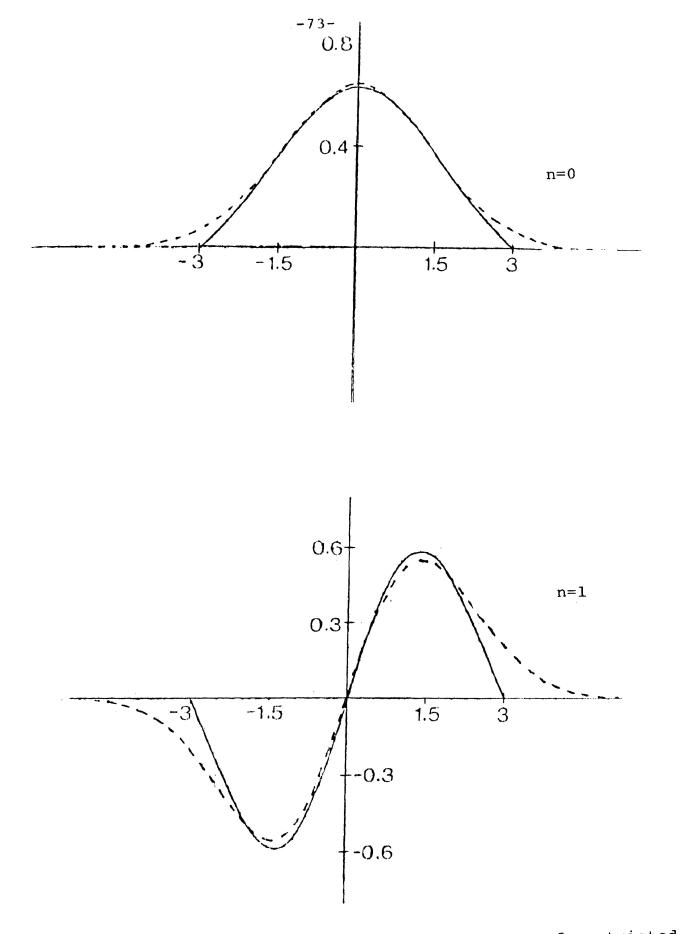
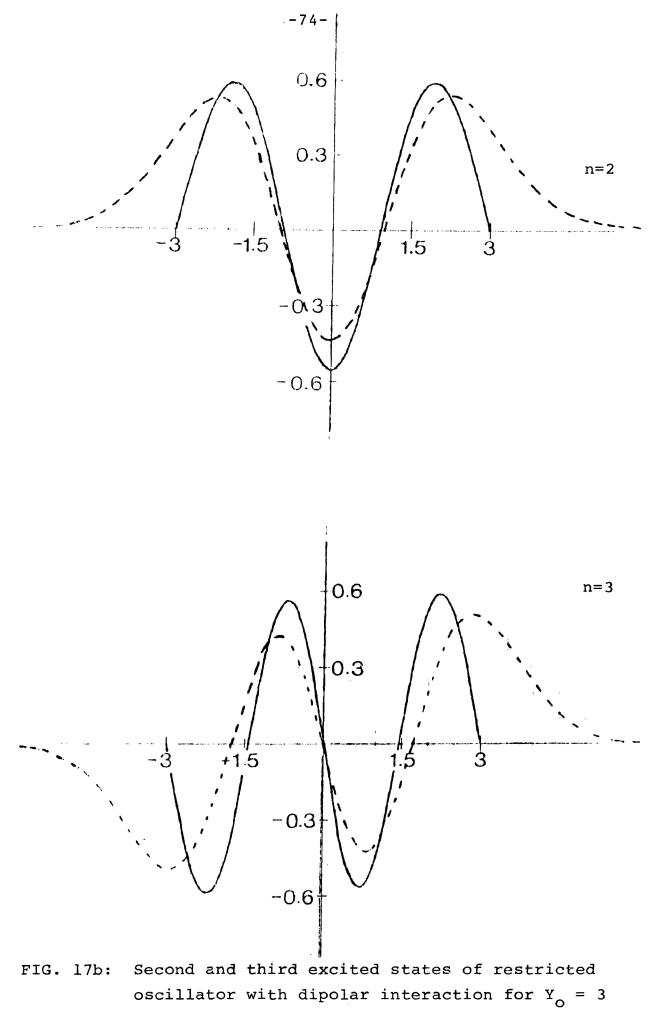


FIG. 17a: Ground state and first excited state of restricted oscillator with dipolar interaction for  $Y_0 = 3$  and for argon.



and for argon.

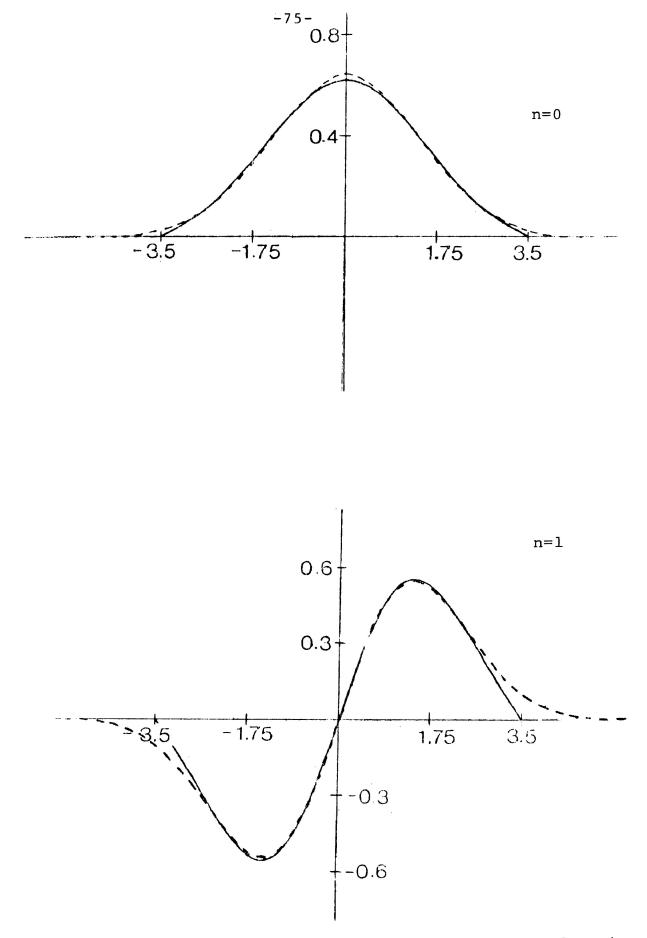


FIG. 18a: Ground state and first excited state wave function of restricted oscillator with dipolar interaction for  $Y_0 = 3.5$  for argon.

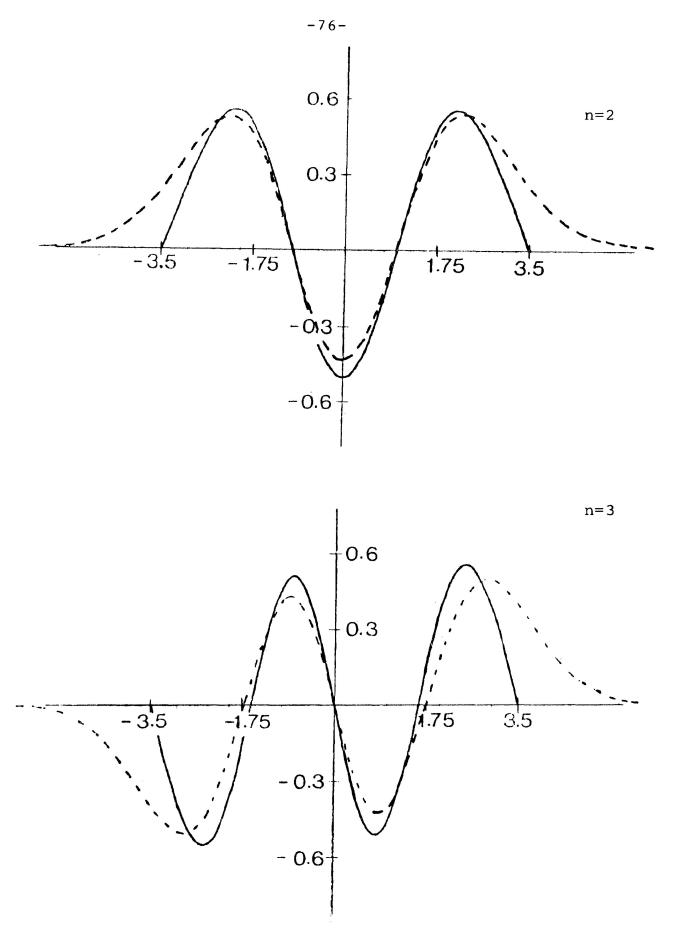


FIG. 18b: Second and third excited state of restricted oscillator with dipolar interaction for  $Y_0 = 3.5$  for argon.

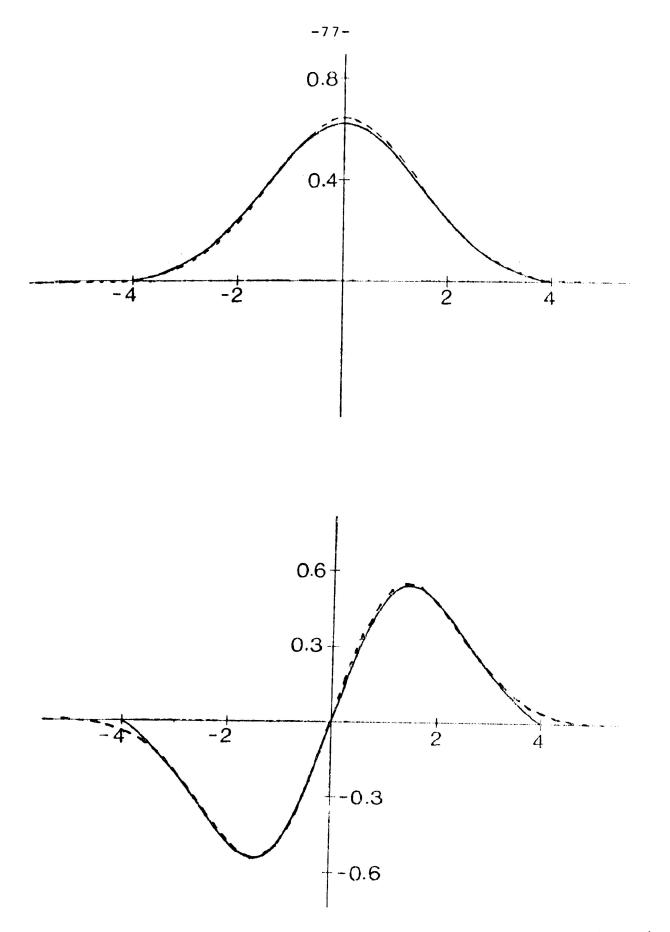


FIG. 19a: Ground state and first excited state wave functions of restricted oscillator with dipolar interaction for  $Y_0 = 4$  for argon.

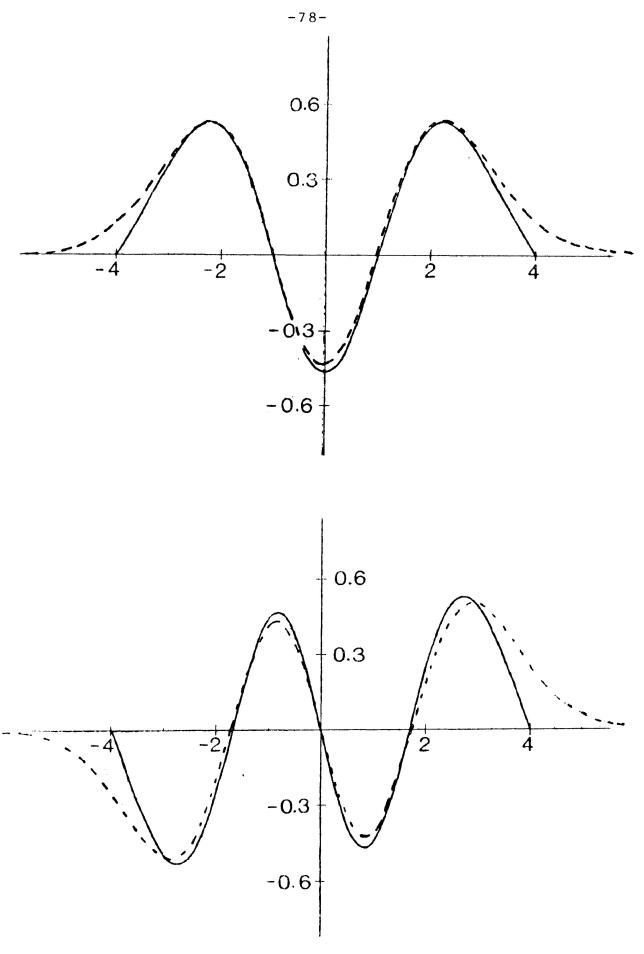


FIG. 19b: Second and third excited state wave functions of restricted oscillator with dipolar interaction for  $Y_0 = 4$  for argon.

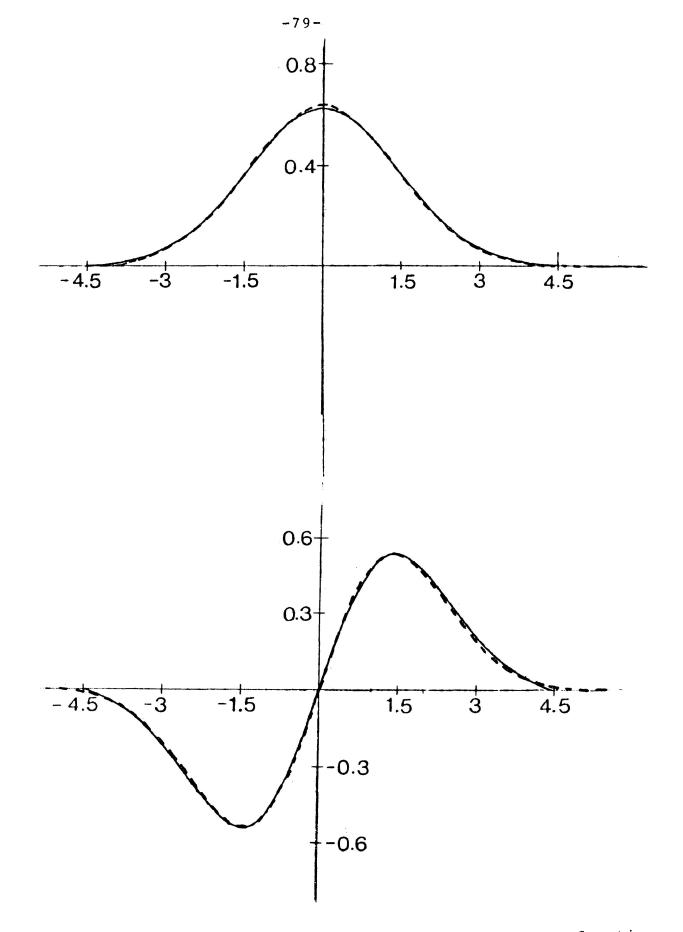


FIG. 19c: Ground state and first excited state wave functions of restricted oscillator with dipolar interaction for  $Y_0 = 4.5$  for argon.

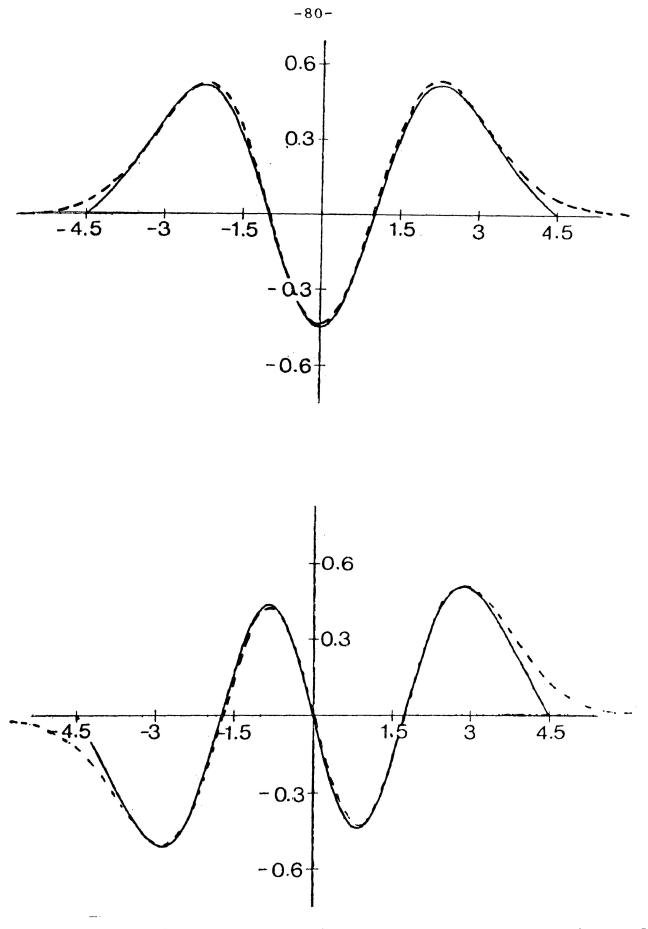


FIG. 20a: Second and third excited state wave functions of restricted oscillator with dipolar interaction for  $Y_0 = 4.5$  for argon.

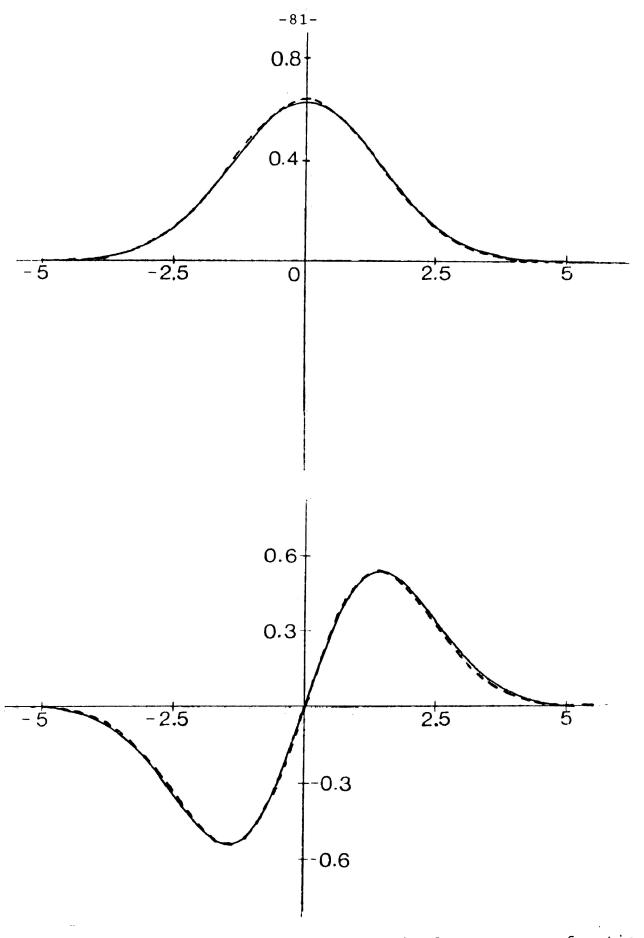


FIG. 20b: Ground state and first excited state wave functions of restricted oscillator with dipolar interaction for  $Y_0 = 5$  for argon.

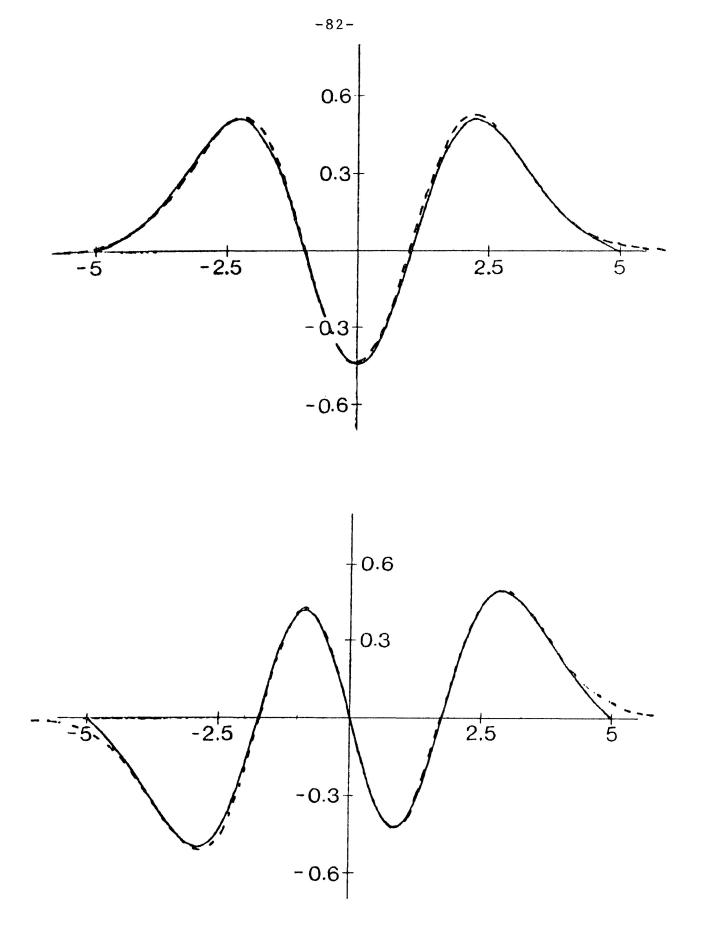


FIG. 20c: Second and third excited state wave function of restricted oscillator with dipolar interaction for  $Y_0 = 5$  for argon.

We mentioned in Sec. IV that it is difficult to solve exactly the Schrödinger equation given by (III:53). However, we suggested earlier that it might be easier to solve (III:53) by means of perturbation theory assuming that the macroscopic field E is so small that qEx can be treated as a perturbation. According to perturbation theory, the energy of the system is given by:

$$w = w_{o} + qE < \Psi_{o} |x| \Psi_{o} > + \sum_{n \neq 0}^{\infty} \frac{|\langle \Psi_{n} |x| \Psi_{o} > |^{2}q^{2}E^{2}}{W_{o} - W_{n}}$$
(V:16)

where, because of parity, the first order change on the right of (IV:16) vanishes. Thus the ground state polarizability of the restricted oscillator with dipolar interaction is equal to:

$$\alpha \approx 2q^{2} \sum_{n=0}^{\infty} \frac{|\langle \Psi_{n}(\mathbf{x}) | \mathbf{x} | \Psi_{0}(\mathbf{x}) \rangle|^{2}}{\Psi_{n} - \Psi_{0}}$$
(V:17)

where  $\Psi_n(x)$  and  $W_n$  are the wave function and the energy of the restricted oscillator with dipolar interaction. Both computed energies and wave functions are dimensionless quantities, and the relationships between dimensional and dimensionless quantities are given by (IV:3) and (IV:29), respectively. Using these in (V:17), the polarizability of the system becomes

$$\alpha = \frac{e^2}{m_e \omega_o^2} \sum_{n=1}^{\infty} \frac{|\langle \Psi_n(y) | y | \Psi_o(y) \rangle^2}{w_n^{-w_o}}$$
(V:18)

The relative polarizability of the restricted simple harmonic oscillator with dipolar interaction is thus:

$$\frac{\alpha}{\alpha_{O}} = \sum_{n=1}^{\infty} \frac{|\langle \Psi_{n}(y) | y | \Psi_{O}(y) \rangle|^{2}}{W_{n} - W_{O}}$$
(V:19)

where  $\alpha_0 = e^2/m_e \omega_0^2$  is the polarizability of the isolated molecule. Using the energies and wave functions calculated above, we compute the relative ground state polarizabilities of A, Kr, N<sub>2</sub><sup>\*</sup> and CO<sub>2</sub> and the results are shown in Fig. 21.

It is to be noted that  $\alpha/\alpha_0$  in Fig. 21 shows maxima for the restricted S.H.O. with dipolar interaction. These maxima occur in the range of a few hundred amagats in qualitative agreement with experiment. Here, of course, the one-dimensional  $C_M$  function is proportional to  $\alpha/\alpha_0$  and given by:

$$C_{M} = C_{M}^{(O)} (\alpha / \alpha_{O}) \qquad (V:20)$$

where  $C_{M}^{O} = \frac{4\pi}{3} A_{\alpha}$  is the  $C_{M}$  function at zero density.

However, our results for C<sub>M</sub> rise more rapidly with increasing density at low densities and fall off more rapidly with increasing density at high densities than do the experimental values. Possible reasons for this will be discussed in Secs. VII and VIII.

 $<sup>*</sup>_{\omega_{O}}$  for N<sub>2</sub> is found from the C<sub>M</sub> function given by Ely and Staty [33].

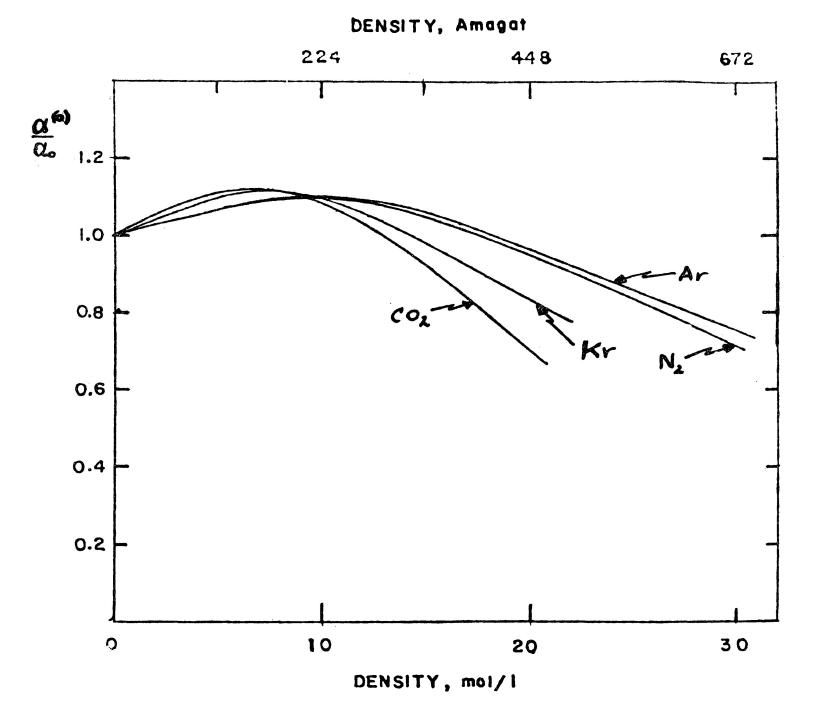


FIG. 21. The ground state polarizability of restricted oscillator with dipolar interaction as a function of density for various gases.

# VI. <u>POLARIZABILITIES OF EXCITED STATES AND TEMPERATURE</u> DEPENDENCE OF THE C<sub>M</sub> FUNCTION.

In Sections IV and V we derived the ground state polarizability of the restricted, simple harmonic oscillator both with and without dipolar interaction. At  $T = 0^{\circ}K$  this information is all we require to find the corresponding  $C_{M}$ function, but for  $T > {}^{\circ}K$  some oscillators will be in excited levels and to examine the temperature dependence of the permittivity we should take account of their contribution to the total polarization. Thus in principle both  $\varepsilon$  and  $C_{M}$  will exhibit temperature as well as density dependence. To discuss the former we first extend the computer calculation to find the polarizabilities of the excited states of the restricted simple harmonic oscillator experiencing dipolar interaction with its neighbours.

If  $\alpha_0 = e^2/m_0 \omega_0^2$  is the polarizability of the isolated oscillator, the relative polarizability,  $\alpha^{(n)}$  of the n<sup>th</sup> level is:

$$\alpha^{(n)} / \alpha_{O} = \sum_{m \neq n} \frac{|\langle \Psi_{m} | \Psi | \Psi_{n} \rangle|^{2}}{W_{m} - W_{n}}$$
(VI:1)

and in Appendix B we calculate (VI:1) directly from knowledge of  $w_n$  and  $\psi_n$  for the first 8 states. The results for Ar, Kr

 $CO_2$  and  $N_2$  are given in Tables I, II, III and IV, respectively. Plots of  $\alpha^{(n)}$  <u>vs</u>.  $\rho$  for these gases are also given in Figs. 22, 23, 24 and 25.

It is interesting to note from these results that at sufficiently high densities the polarizabilities of the excited levels can become *negative*, and in this connection it should be recalled that the polarizability of the *isolated* simple harmonic oscillator is the *same* in all states. Now in simple dielectric theory it is usual to associate negative polarizabilities with free or unbounded states of the electron and if we adopt this interpretation here we would conclude that oscillator states n having  $\alpha^{(n)} < 0$  do not exist!

Further, consequences of this idea will be discussed in Sec. VIII, but for the present we assume simply that at any given density there are a finite number, N of discrete levels possible where N is the largest quantum number possible for which  $\alpha^{(N)} \geq 0$ . Clearly N decreases as the density increases.

We also adopt a Clausius-Mossotti approach to the calculation of the permittivity. For  $T > 0^{\circ}K$ , the medium is now essentially like a mixture of nonpolar, one-dimensional atoms of polarizabilities  $\alpha^{(n)}$  and number densities:

$$N^{(n)} = \frac{N_{o}}{\sigma} e^{-\beta W_{n}}$$
(VI:2)

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where:

$$\sigma = \sum_{n=0}^{N} e^{-\beta W_n}$$
(VI:3)

is the partition function of the oscillator with N discrete levels. Here  $\beta = (kT)^{-1}$ .

Since the local field,  $\underline{F}$  is still given by (III:32) for all states, the polarization contributed by particles in the level n is:

$$P_{n} = \alpha^{(n)} N^{(n)} \left[ (E + 4\pi P) \right]$$
(VI:5)

Summing (VI:5) over allowed n values and solving for P leads to the dielectric constant:

$$\varepsilon - 1 = \frac{4\pi \sum_{0}^{N} \alpha^{(n)} N^{(n)}}{1 - 4\pi \sum_{0}^{N} \alpha^{(n)} N^{(n)}}$$
(VI:6)

and (VI:6) gives at once the Clausius-Mossotti function:

$$C_{M} = \frac{\varepsilon - 1}{3\varepsilon} \left( \frac{W}{\rho} \right) = \frac{4\pi A}{3} < \alpha (T) > \qquad (VI:7)$$

where, after use of (VI:2), the average polarizability at the temperature T is:

$$<\alpha (T) > = \frac{\sum_{0}^{N} \alpha(n) e^{-\beta (W_{n} - W_{0})}}{\sum_{0}^{N} e^{-\beta (W_{n} - W_{0})}}$$
(VI:8)

Apart from noting that  $\langle \alpha \rangle$ , and hence  $C_M$ , have (vanishingly weak) negative, temperature coefficients, we make no attempt to calculate (IV:8) since clearly in the onedimensional model the spacing of the energy levels is so great compared to kT that the exponential factors in (IV:8) are negligibly small for all  $n \geq 1$ . Thus, here,  $\langle \alpha \rangle$  reduces essentially to the polarizability,  $\alpha^{(O)}$  of the ground state.

In fact, however, we should not use this simple method for estimating effects associated with excited states for although real atoms in any state of excitation can be looked upon as oscillators, the fundamental frequencies associated with their excited levels decrease as the quantum numbers of the levels increase.<sup>\*</sup> Thus the extreme *concavity* of the restricted harmonic oscillator potential compared with the *convexity* of the potential in real atoms precludes use of

For example, in hydrogen, the polarizability of the n<sup>th</sup> level is  $\alpha^{(n)} = (n+1)^6 \alpha^{(o)}$  (large  $n \ge 0$ ) where  $\alpha^{(o)} \equiv e^2/m_0 \omega_0^2$  is the ground state polarizability. Letting  $\alpha^{(n)} \equiv e^2/m_0 \omega_n^2$ , the fundamental frequency  $\omega_n$  of the n<sup>th</sup> level compared to that of the ground state is seen to be:

$$\omega_n = \omega_0 / (n+1)^3 \tag{VI:9}$$

the former to predict any significant results associated with the excited states of real atoms. For this reason we cannot estimate the oscillatory contribution to the specific heat of the gas. However, it is possible to compute a densitydependent contribution to the equation of state arising from the internal, oscillatory motions in the atoms. At  $T = 0^{\circ}K$ the latter contribution arises purely from the densitydependence of the ground state energy of the restricted harmonic oscillator, either with or without dipolar interaction with its neighbours. Of course, for the isolated atom this contribution to the pressure is entirely absent.

# <u>TABLE 1</u>

### ARGON

HIGHER STATE

DENSITY

POLARIZABILITIES

Amagat	mc1/1	x (°)	X"	<u>x</u> a)	3)
			ng ayayy litalt attid Nadan Andro anna. Juur vite anas tayar anna anna Andro A		
67.7	3.024	1.03897	1.03878	1.03646	1.01955
117.0	5.225	1.06833	1.05290	0.95243	0.63241
132.2	5.906	1.07677	1.04518	0.87578	0.45321
150.2	6.710	1.08571	1.02493	0.75835	0.24869
160.5	7.167	1.09018	1.00743	0.67976	0.13619
171.7	7.667	1.09427	0.98472	0.60237	0.05773
197.4	8.816	1.10077	0.91659	0.41965	-0.09274
228.5	10.205	1.10240	0.81478	0.23217	-0.13909
266.5	11.903	1.09485	0.67934	0,06529	-0.23303
313.5	13.999	1.07307	0.53858	-0,06125	-0.23671
341.1	15.234	1.05502	0.43178	-0.10955	-0.23115
372.1	16.618	1.03164	0.34963	-0.13942	-0.21549
446.3	19.932	0.96662	0.18934	-0.17311	-0.18262
541.7	24.120	0.87714	0.05827	-0.17368	-0.14711
666.2	29.753	0.76653	-0.03426	-0.15438	-0.11400

# TABLE 2

### <u>K R Y P T O N</u>

HIGHER STATE

DENSITY

POLARIZABILITIES

Amagat	mc21/1	<u>ح</u> حر	<u>~</u> "`` ~	do do	<u>لانم،</u> لانم
49.5	2.212	1.04343	1.04084	1.04084	1.02344
64.J	2.872	1.05704	1.05495	1.03557	0.93621
85.6	3.823	1.07650	1.06040	0.95652	0.62897
96.8	4.321	1.08614	1.05308	0.87520	0.43470
109.9	4.910	1.09647	1.03272	0.75358	0,22646
125.6	5.610	1.10664	0.99154	0.59280	0.03492
144.4	6.450	1.11486	0.92168	0.40808	-0.10941
167.2	7.467	1.11825	0.81672	0.21786	-0.20273
195.0	8.709	1.11242	0.67711	0.05165	-0.24317
229.4	10.243	1.09191	0.51181	-0.07529	-0.24371
272.2	12.159	1.05121	0.33960	-0.14830	-0.21777
326.6	14.584	0.98592	0.17739	-0.17984	-0,18379
396.3	17.700	0.89517	0.04495	-0.17830	-0.14767

#### TABLE 3

#### NITROGEN

#### HIGHER STATE

POLARIZABILITIES

#### DENSITY

2 do d do Amagat mol/1 1.03939 1.03958 1.03706 1.02009 64.7 2.891 1.05191 84.0 3.753 1.04999 1.03107 0.93405 4.996 1.06947 1.05392 0.95300 0.63195 111.9 143.7 6.416 1.08722 1.02578 0.75404 0.23407 1.09601 7.331 0.98515 0.59623 0.04336 164.2 8.429 1.10272 188.7 0.91669 0.41441 -0.10143 0.67782 0.05965 -0.23837 254.8 11.381 1.09724 299.7 13.385 1.07557 0.51622 -0.06622 -0.24057 1.03429 0.34743 -0.14064 -0.21579355.8 15.889 426.7 19.057 0**.969**24 0.18774 -0.17404 -0.18278 -0.14719 517.9 23.129 0.87958 0.05648 -0.17432

# TABLE 4

### <u>CARBON DIOXIDE</u>

HIGHER STATE

. . . . .

· ; ; ;

DENSITY POLARIZABILITIES

Amagat	mc1/1	<u>~~)</u> ~	<u>~"</u> ~	$\frac{\chi^{(2)}}{\chi^{(2)}}$	x" do
a	1.940	1.04547	1.04526	1.04282	1.02597
43.4	1.740	1.04047	1.04020	1.04202	L a 1/21/17/
56.4	2.528	1.05975	1.05759	1.03822	0.94169
75.0	3.352	1.08022	1.06381	0.96011	0.63776
96.4	4.304	1.10135	1.03667	0.75772	0.23602
126.6	5.655	1.12130	0.92502	0.40902	-0.10619
146.6	6.546	1.12555	0.81914	0.21778	-0.20020
238.7	10.659	1.06028	0.33530	-0.15235	-0.21864
347.4	15.516	0.90355	0.03869	-0.18038	-0.14791

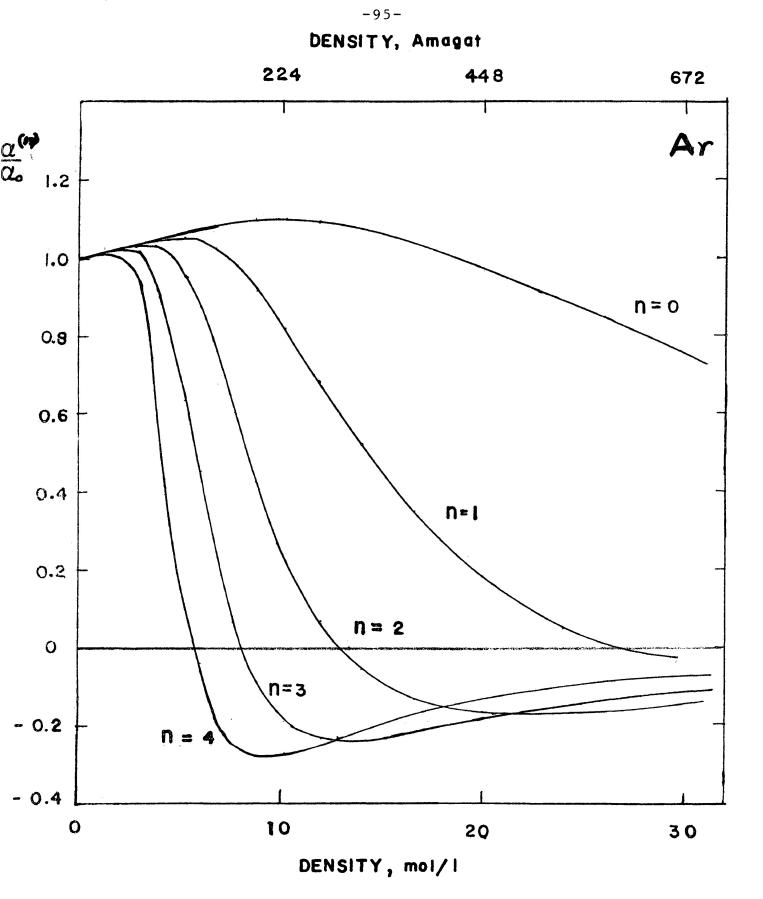


FIG. 22. Polarizabilities of excited states of restricted oscillator with dipolar interaction for Argon.

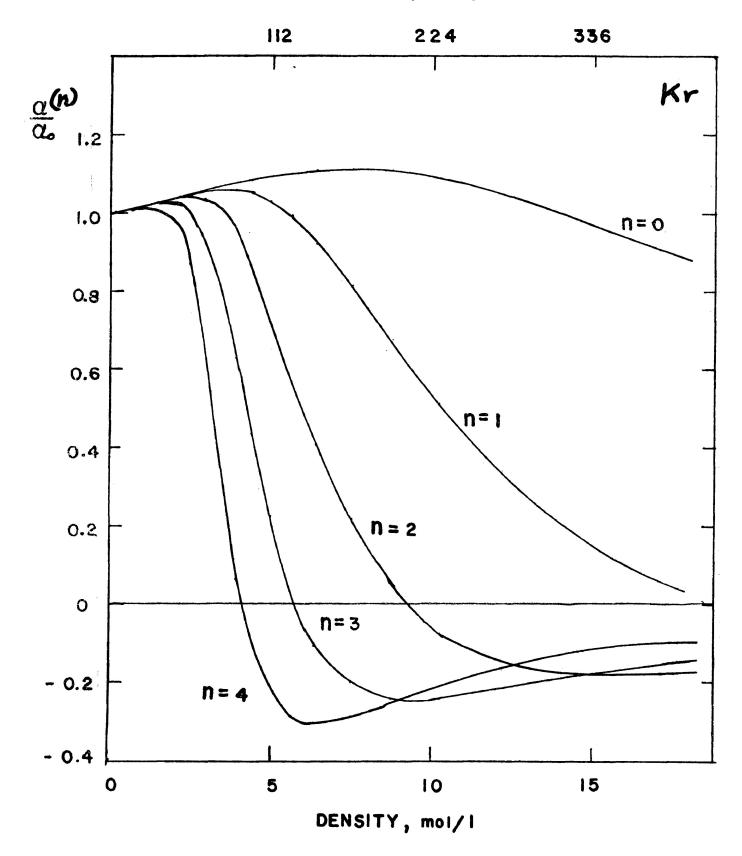


FIG. 23. Polarizabilities of excited states of restricted oscillator with dipolar interaction for Krypton.

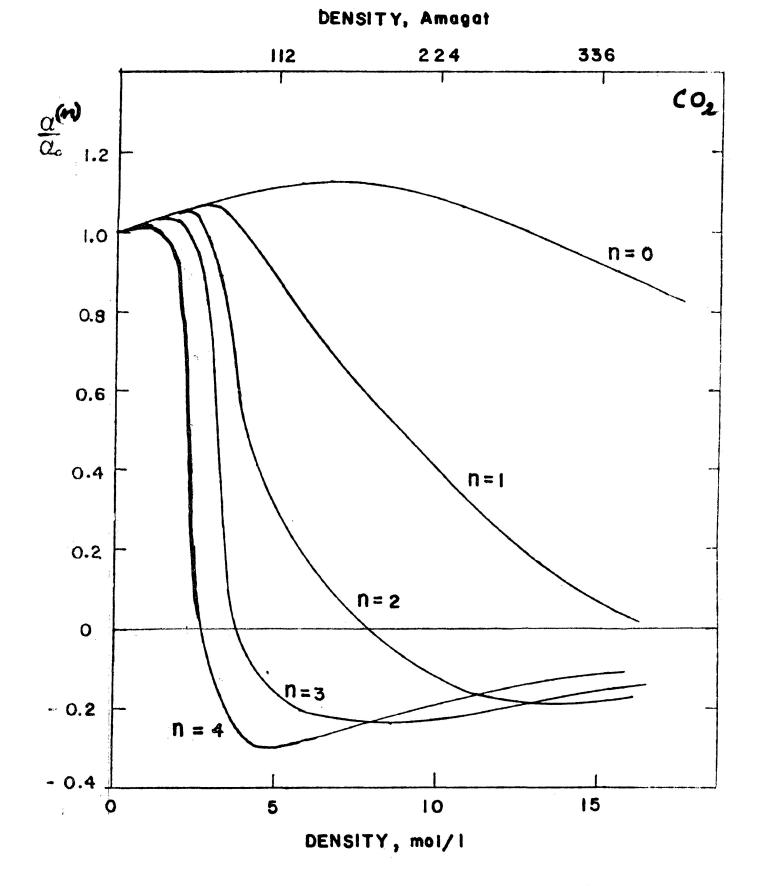


FIG. 24. Polarizabilities of excited states of restricted oscillator with dipolar interaction for  $CO_2$ .

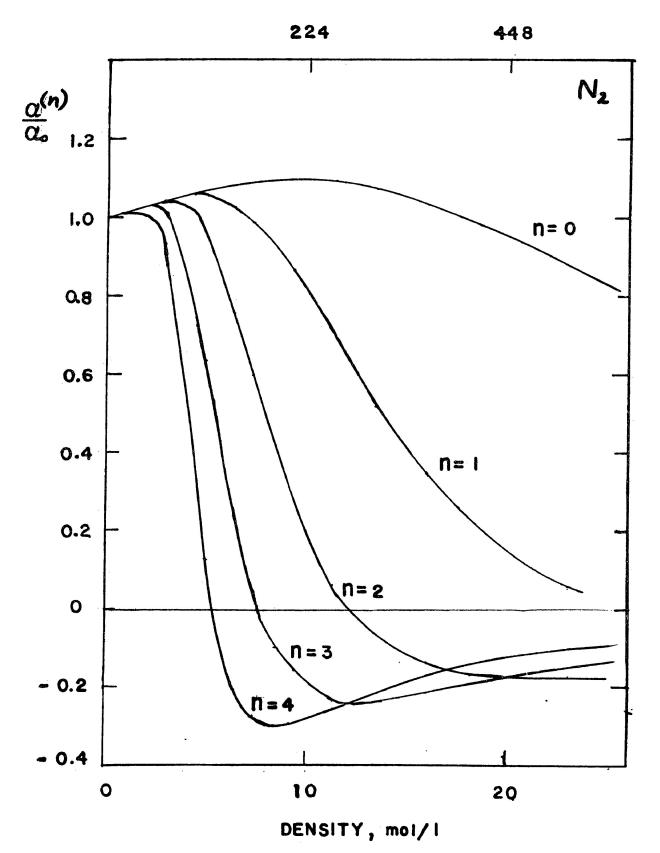


FIG. 25. Polarizabilities of excited states of restricted oscillator with dipolar interaction for Nitrogen.

#### VII. RESULTS

There are two ways of comparing the present theory with experiment.

#### (a) Comparison of Permittivities

In the first, we recognize that  $\varepsilon_{\rm s}$  refers to a common property in both the one-dimensional theory developed here and the three-dimensional experimental results. However, as seen by (III:31) and (III:47), the Clausius-Mossotti formulae differ for the two cases. If we now replace  $\alpha_{\rm o}$  in (III:31) and (III:47) by  $\alpha = \alpha_{\rm o}(\alpha/\alpha_{\rm o})$ , the three-dimensional and onedimensional  $C_{\rm M}$  functions (herein called  $C_{\rm M}^{(3)}$  and  $C_{\rm M}^{(1)}$ , respectively) become:

$$C_{M}^{(3)} = \frac{\varepsilon_{s}^{-1}}{\varepsilon_{s}^{+2}} \left(\frac{W}{\rho}\right) = \frac{4\pi A\alpha_{o}}{3} \left(\frac{\alpha}{\alpha_{o}}\right)$$
(VII:1)

$$C_{M}^{(1)} = \frac{\varepsilon_{s}^{-1}}{3\varepsilon_{s}} \left(\frac{W}{\rho}\right) = \frac{4\pi A\alpha_{o}}{3} \left(\frac{\alpha}{\alpha_{o}}\right)$$
(VII:2)

and from (VII:1) and (VII:2) the experimental and theoretical static dielectric constants are:

Expt.: 
$$\varepsilon_{s} = \frac{1+2\left(\frac{\rho}{W}\right)C_{M}^{(3)}}{1-2\left(\frac{\rho}{W}\right)C_{M}^{(3)}}$$
 (VII:3)

Theory: 
$$\varepsilon_{s} = \frac{1}{1-3\left(\frac{\rho}{W}\right)C_{M}^{(1)}}$$
 (VII:4)

Next, using experimental results for  $C_M^{(3)}$  and the calculated values of  $C_M^{(1)}$ , found using (V:19) and (V:20), we may plot  $\varepsilon_s \underline{vs}$ .  $\rho$  for both cases. The results for A, Kr, CO<sub>2</sub> and N<sub>2</sub> are shown in Figs. 26, 27, 28 and 29, respectively.

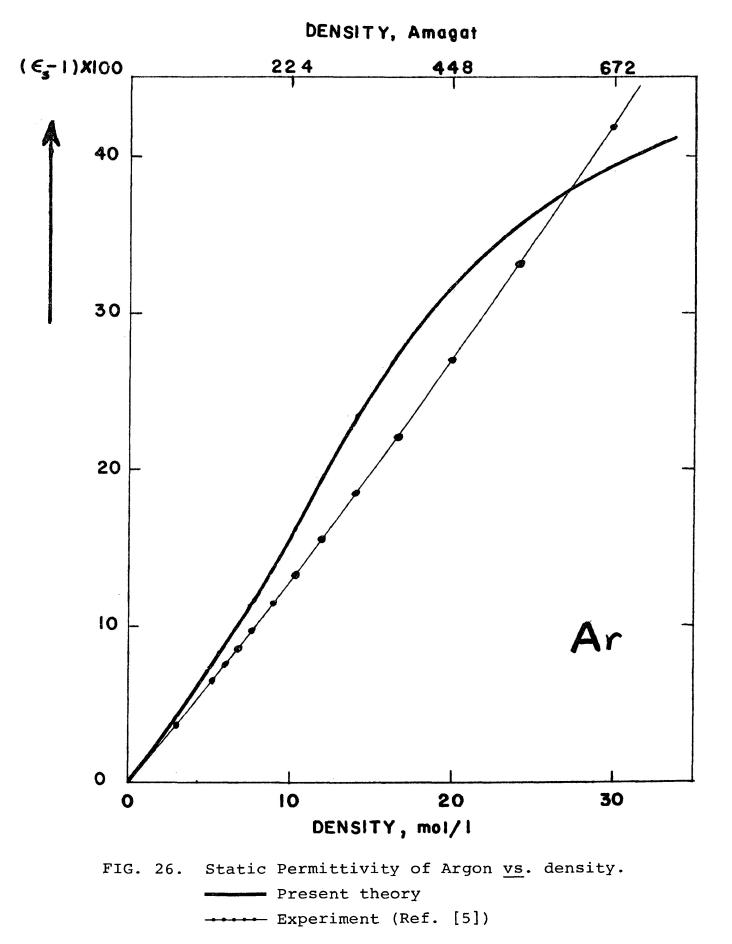
It may be noted that over most of the density range the theoretical results are slightly higher than the experimental ones by as much as 4% 5% in the mid-range of densities, but lower than the experimental values at high densities.

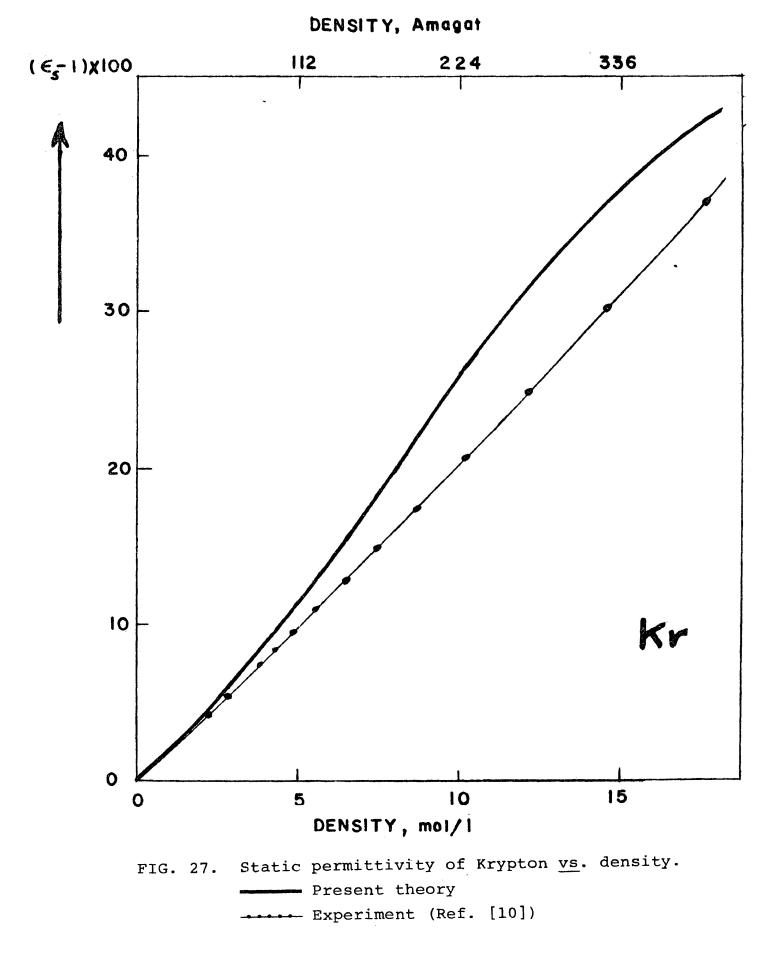
These results may be considered reasonably satisfactory, but they are, in fact, quite misleading in that we should really be comparing  $C_M^{(3)}$  from experiment with  $C_M^{(1)}$ from theory.

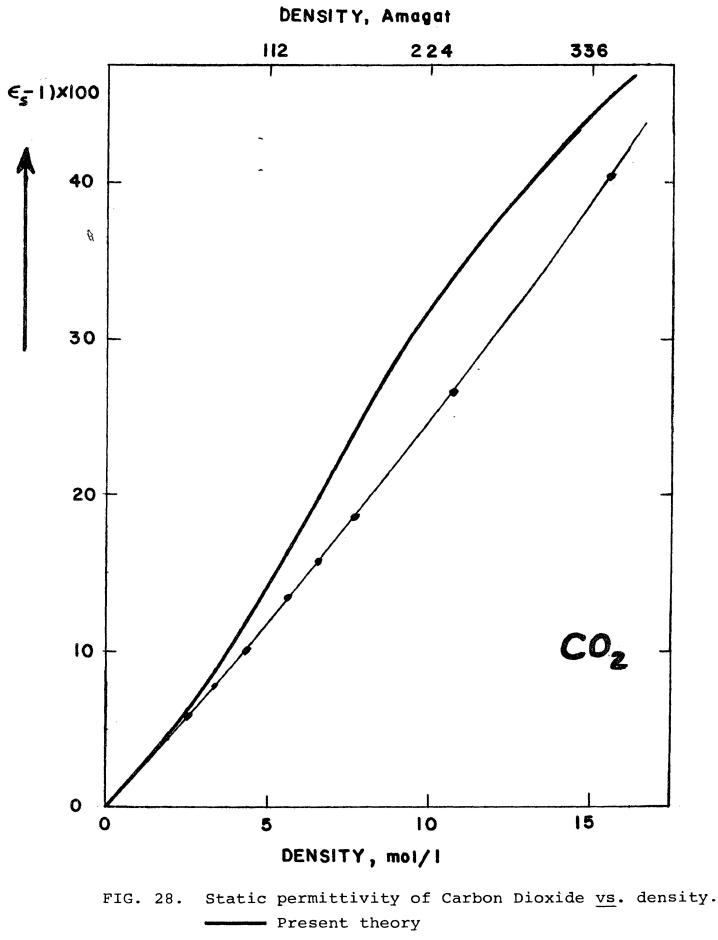
# (b) Comparison of $\boldsymbol{C}_{\underline{M}}$ Functions

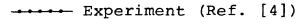
When this step is taken the results are far from satisfactory as indicated by Figs. 30 and 31 which compare the experimental  $(C_M^{(3)})$  and theoretical  $(C_M^{(1)})$  Clausius-Mossotti functions for argon and krypton, respectively. Similarly unsatisfactory results hold for other gases examined.

In all cases the theoretical  $C_M^{(1)}$  function achieves a maximum at roughly the same density as found for  $C_M^{(3)}$ 









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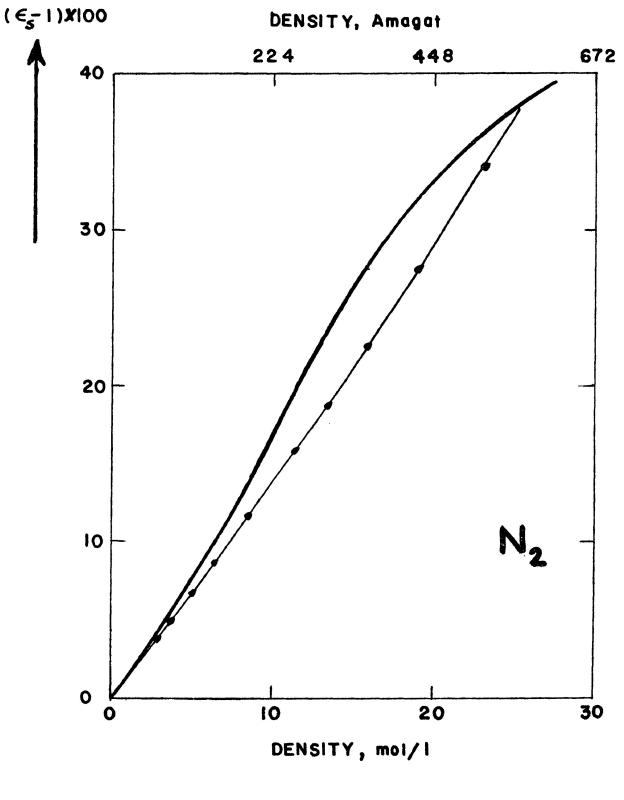
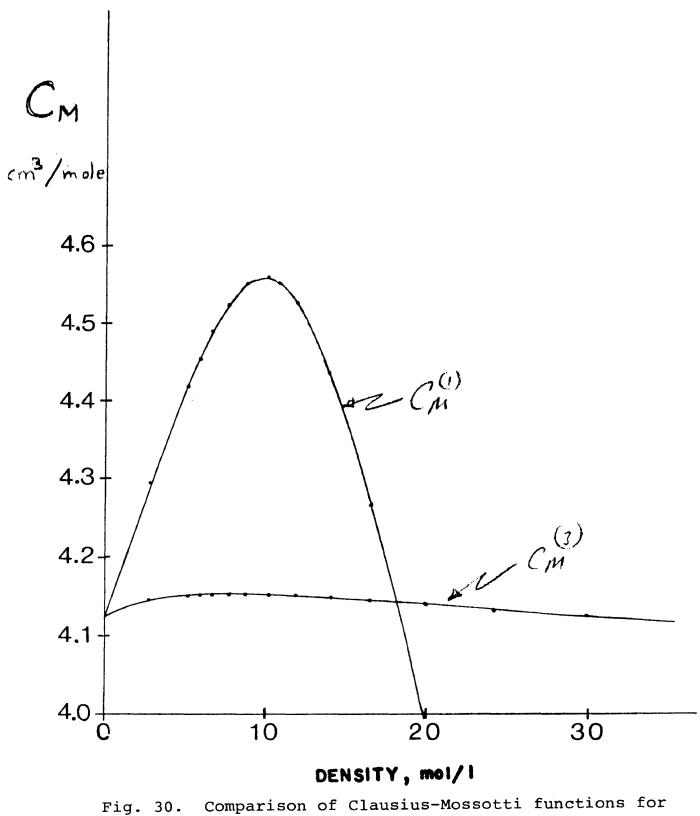
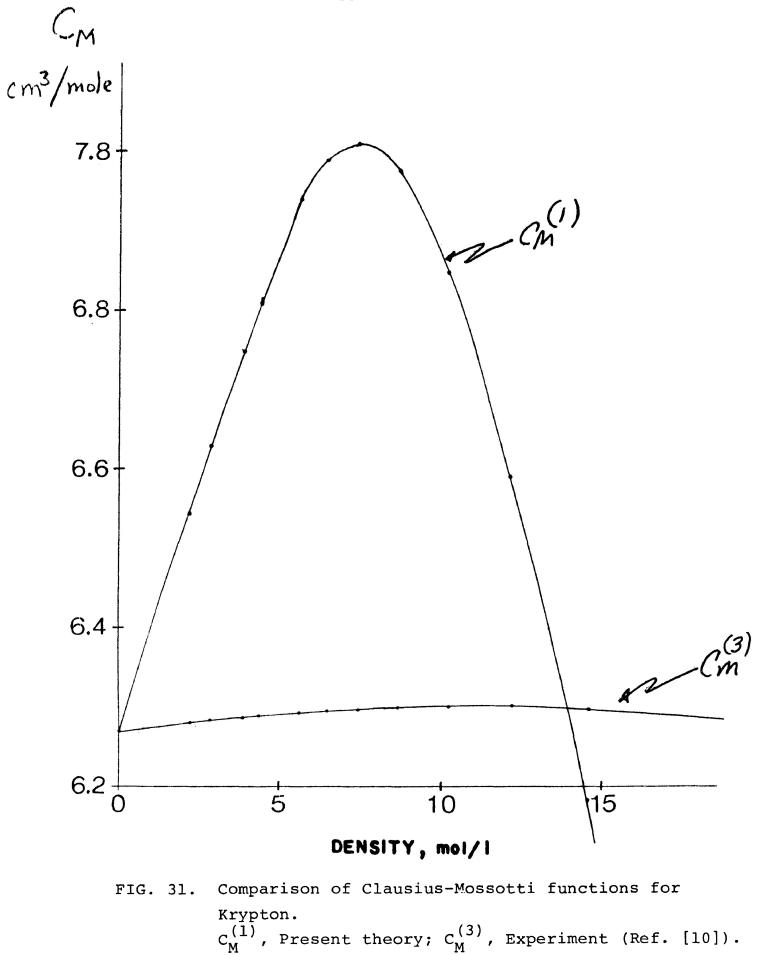


FIG. 29. Static permittivity of Nitrogen vs. density. ———— Present theory ———— Experiment (Ref. [33])



Argon.  $C_{M}^{(1)}$ , Present theory;  $C_{M}^{(3)}$ , Experiment (Ref. [5]).



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experimentally, but  $C_M^{(1)}$  rises too rapidly with increasing density at low densities and falls off too rapidly with density at high densities. It thus appears that the dipolar forces between a given molecule and all others in the medium are too strong at low densities and the repulsive forces (arising from the  $\delta$ -like potential at the "dielectric radius",  $x_0$ ) are too strong at high densities.

In Sec. VIII we discuss the present model and are led to suggest a new model which, we feel, should lead to a better "continuum-type" theory for the  $C_M$  function.

## VIII. DISCUSSION AND FUTURE PROPOSALS

Starting from a knowledge of the properties of an isolated, nonpolar atom (treated here as a simple harmonic oscillator), we have attempted in this thesis to discuss in a general way the forces acting on the valence electron(s) when the atom is surrounded by like particles at nonvanishing concentrations, as in a dense gas or fluid.

Our model is based on a "continuum" point of view in that the medium surrounding a given atom has been treated as a homogeneous, isotropic substance characterized by a certain dielectric constant,  $\varepsilon$ . We have recognized that the reaction potential of the instantaneous atomic dipole tends to attract the valence electrons away from the core while the repulsive potential, which is operative when these electrons are close to a nearest neighbour atom, tends to repel them back toward the core.

We argued that these two forces could account in a qualitative way for the density dependence of the Clausius-Mossotti function C<sub>M</sub>. However, on closer inspection, it was found difficult to formulate this approach quantitatively in three-dimensions (Sec. II) because of the *explicit* appearance in the self-energy of the dielectric constant, which is the very quantity we desire to calculate. A further difficulty was the divergence of the self-energy at the dielectric radius, a, of the sphere containing the atom.

## (a) The Present Model

These difficulties disappeared, however, when we considered the equivalent one-dimensional problem (Sec. III), for although the permittivity appeared in the back-reaction of the medium, it did so only *implicitly* through the polarization, P, the calculation of which is the main object of this thesis.

With the aid of a computer it was then possible to find the wave functions and energies of a one-dimensional, simple harmonic oscillator restricted by a  $\delta$ -like repulsive potential at its boundaries (Sec. IV). These same quantities were also calculated for the restricted, one-dimensional oscillator experiencing dipolar interaction with all others in the surrounding medium (Sec. V). The computer is a natural tool for both these cases since we are concerned with the motion of an electron confined to a finite region in space and it is easy to discretize the interval concerned.

Our main result has been the calculation of the ground state polarizability of the one-dimensional, restricted, simple harmonic oscillator interacting through dipolar forces with the surrounding medium (Sec. V), and here we achieved qualitative agreement with experiment in that the C<sub>M</sub>-function at first increased with increasing density at low densities, went through a maximum, and then decreased with further increase of density.

When we extended our calculation to try to predict the temperature dependence of the  $C_{M}$ -function (Sec. VI), difficulties occurred in the oscillator model since the spacing of the energy levels at any density is so large compared to kT that  $dC_{M}/dT$  was entirely negligible. The oscillator model thus precludes useful calculations of properties relating to excited states, such as, for example,  $C_{M}$ (T) or the oscillatory contribution to the specific heat of the medium.

The basic difficulty here is that oscillator-like potentials are too *concave* compared with the potentials of real atoms, which are *convex* for valence electrons in excited levels. For this reason not too much significance is to be attached to the calculations of the excited state polarizabilities,  $\alpha^{(n)}$  discussed in Sec. VI. However, relative errors in employing the oscillator model for the ground state must be small and should lead to reasonable values for  $\alpha^{(o)}$ .

An interesting feature of the computer calculation of  $\alpha^{(n)}$  has been the possibility of *negative* polarizabilities of excited levels at sufficiently high densities. This result is a direct consequence of the oscillator model which, as explained above, is not to be taken too seriously for the excited states. However, a negative value of  $\alpha^{(n)}$  does remind us of an important omission in this model, as well as in all others discussed in Sec. II. This omission concerns the lack of a continuum state for the electron and is a direct result of assuming an infinite potential barrier at the dielectric radius. Such a feature precludes the existence of fully delocalized electronic states in the medium and may be a serious oversight, particularly at high densities.

For any model there should be a *finite* potential barrier for the valence electron(s) and as density is increased we should expect the overlap of potentials of neighbouring atoms to decrease the barrier height. With a finite barrier in the one-dimensional, oscillator model, for example, the wave functions and energies would be different from those calculated here and consequently the polarizabilities would also differ from those found in Sec. VI. There would, in addition, be a finite number, N of excited states possible and one could argue that N and the barrier height should be chosen consistently with the *non*-appearance of negative  $\alpha^{(n)}$ for all  $1 \leq n \leq N$ . An additional effect in such a model would be that the dipolar forces between atoms are screened by the

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existence of a finite density of free electrons at any given temperature and particle density. Such screening would tend to decrease the dipolar interaction between atoms and could result in a slower increase of  $C_M$  with increasing density than found with the present model.

#### (b) Future Proposals

From what has been said above, it is clear that the model discussed here is unsatisfactory in that:

- (i) an oscillator-like potential is unsuitable for calculating properties associated with excited states,
- (ii) the model lacks a finite activation energy for the valence electron(s) (even at zero density), and thus does not permit the existence of the ionized state of the atom.

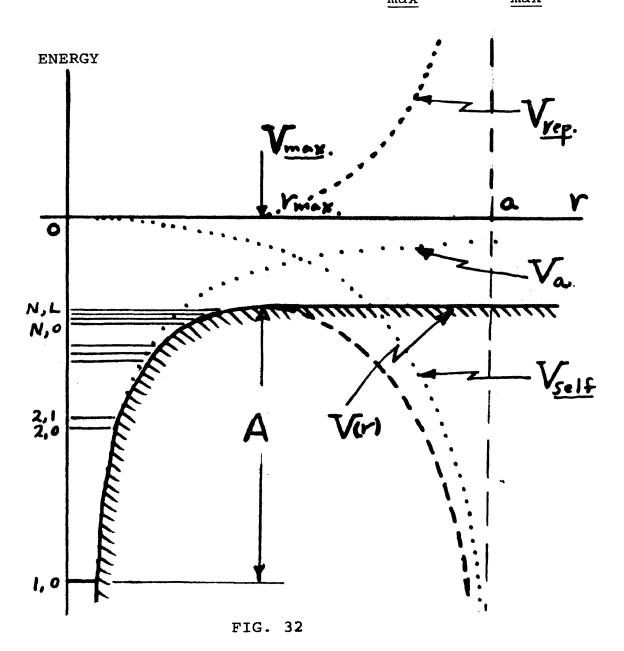
In a better model it is also desirable to work in three-dimensions and to this end we return to (II:13) and note firstly that the coefficients  $B_N(\varepsilon)$  in the self-energy of the valence electron in its own reaction field are very insensitive to the permittivity. Inspection shows that:

$$0.75 \leq B_n(\varepsilon) \leq 1$$

for all  $0 \le n \le \infty$  and all  $1 \le \varepsilon \le \infty$ . For this reason we may, as a reasonable approximation, select  $B_n(\varepsilon) \approx 1$  in which case (II:13) sums to give:

$$V_{\underline{\text{self}}} = -\frac{e^2}{a} \left( \frac{\varepsilon - 1}{2\varepsilon + 1} \right) \cdot \frac{(r/a)^2}{1 - (r/a)^2}$$
(VIII:1)

To (VIII:1) we must now add the atomic potential,  $V_a(r)$  of the valence electron in the isolated atom to give an effective potential when the atom is surrounded by others at finite concentration. The result is shown by the dashed curve of Fig. 32 for say  $V_a(r) = e^2/r$  ( $\equiv$  coulomb potential). There is clearly a maximum in this potential given by  $V_{max}$  at  $r = r_{max}$ .



The difficulty of the negative infinity at r = a could now be overcome by assuming the existence of a repulsive potential:

$$V_{\underline{rep}}(\mathbf{r}) = \begin{cases} 0 , & 0 \leq \mathbf{r} \leq \mathbf{r}_{\underline{max}} \\ -\left(V_{\underline{self}}(\mathbf{r}) - V_{\underline{max}}\right), & \mathbf{r}_{\underline{max}} \leq \mathbf{r} \leq \infty \end{cases}$$
(VIII:2)

and the final total potential of a valence electron would be:  $V(r) = \begin{cases} V_{a}(r) + V_{\underline{self}}(r) , & 0 \leq r \leq r_{\underline{max}} \\ V_{\underline{max}} & , & r_{\underline{max}} \leq r \leq \infty \end{cases}$ (VIII:3)

V(r) is indicated in Fig. 32 by the hatched line.

Fig. 32 may be taken to depict the situation at some intermediate density and to actually calculate the shape of V(r), given  $V_a(r)$ , we could employ as a first approximation a value for  $(\epsilon-1)/(2\epsilon+1)$ , occurring in (VIII:1), given by the unrefined Clausius-Mossotti formula (II:7) for a single molecule. In this way it may easily be seen that:

$$\frac{\varepsilon - 1}{2\varepsilon + 1} \simeq \frac{\alpha o^{/a^3}}{1 + \alpha o^{/a^3}}$$
(VIII:4)

At low densities  $V_{\underline{max}}$  will be small and  $r_{\underline{max}}(\leq a)$ will be large and there will be negligible error in assuming the activation energy, A to be that of the isolated atom. In this case there will be a large number of excited states and the polarizability of the atom will be only slightly higher than that of the isolated atom. Screening for this case would also be negligible as the activation energy is so large compared with kT that very few free electrons would exist at ordinary temperatures.

However, as the density increases  $V_{max}$  would increase and A and  $r_{max}$  would decrease and the energy levels would begin to rise above their values of the atom in isolation. There would thus be a general increase of the polarizability of the atom as density increases at low densities. For sufficiently low densities screening would still be unimportant, but as density is increased, and A continues to decrease, there will come a point (probably rather suddenly) at which sufficient free electrons will be present at ordinary temperatures that screening of the dipolar forces between atoms will become important. We might expect this point to be reached when the screening radius  $r_s = (kT/4\pi n_e e^2)^{\frac{1}{2}}$  is of the same order as the dielectric radius a. Here  $n_e(\rho,T)$  is the number density of free electrons. When screening is important the self-energy (VIII:1) would have to be modified as shown by Frood [31] and in this situation it might be expected that the number of excited states,  $N(\rho,T)$  will be rather small. The polarizability of the particle would then be smaller than its value for the isolated atom and would continue to decrease as density is further increased.

The above remarks outline qualitatively how  $\alpha(\rho,T)$  could change with density in a manner comparable to what is observed experimentally. A calculation based on the above ideas in which at every density quantities such as the activation energy,  $A(\rho,T)$ , the number of excited states,  $N(\rho,T)$ , the free electron density,  $n_e(\rho,T)$  and the permittivity,  $\epsilon(\rho,T)$  are all mutually self-consistent would be interesting to perform with the aid of a computer.

It may be noted here that the reduction in polarizability envisioned at high densities in this proposed model is directly connected with a reduction in the number of excited states possible as density increases - rather than through increasing confinement of the electron to a "cage" as assumed in the present theory, or those of Ten Seldam et al (loc. cit.).

A complication which must be taken into account is that the polarizability  $\alpha^{(n,\ell)}$  of an electron (with principal

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and orbital quantum numbers n and  $\ell$ ) together with the number density, N<sup>(n, $\ell$ )</sup> of atoms in that level must be non-catastrophic, i.e.

$$1 - \alpha^{(n,\ell)} g^{(n,\ell)} \ge 0 \qquad (VIII:5)$$

where

$$g^{(n,\ell)} = \frac{4\pi}{3} \frac{2(\varepsilon-1)}{2\varepsilon+1} N^{(n,\ell)}$$

is the reaction field factor for the level  $(n, \ell)$ . As shown by Frood (loc. cit.) in connection with impurity conduction, (VIII:5) is the condition which determines the maximum quantum numbers  $N(\rho,T)$  and  $L(\rho,T)$  which can exist at a given density and temperature.

The foregoing remarks are closely related with the possible appearance of the metallic state in a sufficiently dense medium. Experimentally, it may thus be of interest to examine dielectric and/or conduction losses in very dense nonpolar gases as well as the static or low frequency behaviour of the Clausius-Mossotti function  $C_{M}(\rho,T)$ .

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APPENDICES A, B, C AND D FOR THESIS:

STATIC DIELECTRIC PROPERTIES OF AN ENSEMBLE OF RESTRICTED ONE-DIMENSIONAL OSCILLATORS

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FEBRUARY 1984

# APPENDIX A

1000	REM	THIS PROGRAM IS WRITTEN IN MICROSOFT BASIC FOR NORTH STAR HORIZON COMPUTER, THIS PROGRAM RUN IN COMPILED BASIC.
1050	REM	
1100		PURPOSE : TO CALCULATE EIGEN-ENERGIES OF A BOUND STATE OF ONE DIMENSIONAL SCHRODINGER EQUATION, WITH THE CONDITION THAT THE WAVE FUNCTION VANISHES AT BOUNDARY POINTS.
1150		
1200		THE BOUNDARY POINTS WILL BE Y1 AND Y2.
1300		NOTE: THE POTENTIAL ENERGY FUNCTION MUST BE A
		CONTINUOUS FUNCTION (BOUND STATE).
1350	REM	THE DISCRETE EIGENVALUE CAN BE OBTAINED, IF
		IT IS A BOUND STATE SYSTEM.
1400		
1450		THE SCHRODINGER EQUATION OF ONE DIMENSIONAL CASE IS GIVEN BY:
1500		
1550		
1600		$-(h^2/2M) + d Z(X)/dX + V(X) + Z(X) = e + Z(X)$
1450 1700		Z(X) IS WAVE FUNCTION
1700		V(X) IS POTENTIAL ENERGY
1800		e IS EIGEN-ENERGY
1850		in an bard bara de bara de bara de 17 bara
1900		TO SOLVE THIS EQUATION WITH THE COMPUTER, WE HAVE TO TRANSFORM
		THE ABOVE EQUATION INTO DEMENSIONLESS DIFERENTIAL EQUATION.
1950		
2000	REM	NOW, CONSIDER A SYSTEM WHICH THE POTENTIAL ENERGY IS GIVEN BY,
		$V(X) = 0.5 * M * w^2 * X^2$ (A RESTRICTED OSCILLATOR)
2050	r. r	OR V(X)=0.5*M*w^2*x^2*[1-(wp/w)^2] ( A RESTRICTED OSCILLATOR WITH DIPOLAR INTERACTION )
2000		DIFUCHA INTERACTION /
2150		TO MAKE THE ABOVE EQUATION BECOMES DEMENSIONLESS DIFFERENTIAL
2200		EQUATION . WE LET,
2250		Y=X/(h/2Mw)^0.5
2300	REM	
2350		E=e/hw
2400		
2450		THEN THE DIFFERENTIAL EQUATION BECOMES,
2500		
2550 2600		2 2 -d Z/dY +V(Y)*Z=E*Z
2650		
2700		WHERE: V(Y)=(Y/2)^2 FOR A RESTRICTED OSCILLATOR, AND V(Y)=(Y/2)^2*[1-(wp/w)^2] FOR A RESTRICTED OSCILLATOR WITH DIPOLAR INTERACTION.
2750	REM	way on a find here the the term of the
		NOTE : IF V(Y)=(Y/2)^2 THEN THE STATEMENT # 4900 SHOULD BE ACTIVATED !!! ELSE THE STATEMENT # 4950 SHOULD BE ACTIVATED.
2800	PEM	TO SOLVE THIS EQUATION BY COMPUTER, WE FIRST DISCRETIZE THE
2850		DIFFERENTIAL EQUATION, THEN USE BOUNDARY CONDITION, THAT ARE
ain 1117 val 1117	7 X Ian I (1	Z=0 AT THE END POINTS(Y=Y1,LEFT END POINT,Y=Y2,RIGHT END POINT).
2900	REM	
		r
2950	REM	SINCE (wp/w)^2=9.0335506E08/[Y2^3*w^0.5]

THEREFORE. WE LET V(Y)=(Y/2)^2\*(1-903355060#/((Y2^3)\*w^.5)))) 3000 REM NOTE : THE ABOVE POTENTIAL IS FOR A RESTRICTED OSCILLATOR WITH 3050 REM DIPOLAR INTERACTION. 3100 REM 3150 REM THEN . 3200 REM  $-E((Zi-1)-(2*Zi)+(Zi+1))/H^2]+V(Yi)*Zi=E*Zi$ 3250 REM 3300 REM H=(Y2-Y1)/(N+1)AND 3350 REM 3400 REM WHERE, N IS THE NUMBER OF POINT BETWEEN TWO END POINTS. 3450 REM 3500 REM BY SETTING Z(O) = Z(N+1) = O . WE OBTAIN, 3550 REM [A] | Z>=E\* | Z> 3600 REM WHERE (Z> IS A EIGENVECTOR WITH ENTRIES Zi (i=0 to N) 3650 REM 3700 REM Aii=2/H $^2+V(Yi)$  ; i=1 to N 3750 REM 3800 REM Ai+1, i=Ai, i+1=-1/H $^2$ , i=1 to N-1 3850 REM AND OTHERS ARE ZEROS. 3900 REM 3950 REM 4000 DEFDEL A, B, C, S, R, Q, L, Z, M, T, V, H, Y, X, D, E, P, W :' DECLARE DOUBLE PRECISION. 4050 DEFINT I, J, K, N :REM DECLARE INTEGER. 4100 PRINT "THE NUMBER OF POINT MUST BE AN ODD NUMBER" 4150 INPUT "THE NUMBER OF FOINT IS";N :REM N IS THE NUMBER OF FOINT BETWEEN THE BOUNDARY POINTS 4200 INPUT "THE VALUE OF Y1";Y1 4250 INPUT "THE VALUE OF Y2"; Y2 4300 PRINT " IF THE SYSTEM IS RESTRICTED OSCILLATOR ONLY THEN THE SYSTEM " 4350 PRINT " IS INDEPENDENT OF ANGULAR FREQUENCY" 4400 INPUT "THE ANGULAR FREQUENCY";WO :REM w=WO 4450 PRINT 4500 PRINT "THE NUMBER OF POINT BETWEEN BOUNDARY POINTS IS" N 4550 PRINT 4600 PRINT "THE LEFT HAND SIDE LIMIT IS =" Y1 4650 PRINT 4700 PRINT "THE RIGHT HAND SIDE LIMIT IS =" Y2 4750 PRINT 4800 PRINT "THE ANGULAR FREQUENCY IS"; WO 4850 PRINT 4900 REM DEF FNV(Y)=(Y/2)^2 4950 DEF FNV(Y)=(.5\*Y)^2\*(1-(903355060#/((Y2^3)\*(W0^.5)))) 5000 NZ=N :REM H IS LENGTH BETWEEN YI AND YI+1. 5050 H=(Y2-1\*Y1)/(N+1) 5100 REM MATRIX LAJ IS A TRIDIAGONAL MATRIX, THEN WE CAN USE 3 VECTORS FOR REPRESENTING MATRIX [A]. 5150 DIM A(80), B(80), Q(80) :REM THIS LOOP IS USED TO FIND 5200 FOR I=1 TO N 5250 Y=Y1+I\*H :REM THE VALUES OF YI AND THE :REM ENTRIES OF Aii. 5300 VY=FNV(Y)

5350 A(I)=2/(H^2)+VY 5400 NEXT I 5450 B(1)=0 5500 Q(N) = 05550 FOR I=2 TO N 5600  $B(I) = -1/(H^2)$ :REM BI IS THE SUBDIAGONAL OF [A]. 5650 Q(I-1)=-1/(H^2) :REM Qi IS THE SUPERDIAGONAL OF [A]. 5700 NEXT I 5750 REM 5800 BZ=B(2) ñ 5850 REM 5900 FOR I=1 TO N 5950 PRINT B(I), A(I), Q(I) 6000 NEXT I 6050 REM FROM STATEMENT #6150-#10450 6100 REM AND THEIR SUB-PROGRAMS(GOSUB) REM IS A PROGRAM TO DIAGONALIZE MATRIX 6150 DIM C(80) 6200 DIM S(80) :REM [A] USING QR ALGORITHM (REF: PAGE 6250 DIM R(80) :REM 425-427 OF NUMERICAL ANALYSIS BY 6300 REM BURDEN ET-AL). 6350 REM 6400 REM THE OR PROGRAM THAT WE WROTE IS A SLIGHT MODIFICATION OF OR ALGORITHM (REFERENCE BOOK), THIS IS DUE TO THE NATURE OF OUR PROBLEM. 6450 IF N=2 GOTO 10350 GOSUB 11400 TO 11800 IS REFERRED TO STEP:3. 6500" REM 6550 GOSUB 11400 :REM FROM STATEMENT #6600-#6900 IS STEP:4 6600 FOR I=1 TO N 6650 A(I) = A(I) - LMD6700 C(I)=0 6750 S(I)=0 6800 R(I)=0 6850 Q(I)=0 6900 NEXT I 6950 PI=A(1) REM INITIALIZATION REM INITIALIZATION 7000 RD=B(2)7050 J=2 :REM REFER TO STEP:5. 7100 REM FROM STATEMENT #7150-7350 REFERRED TO 7150 A(J-1)=(PI^2+B(J)^2)^.5 :REM STEP:6. 7200 | C(J) = PI/A(J-1) $7250 \ S(J) = B(J) / A(J-1)$ 7300 Q(J-1)=C(J)\*RO+S(J)\*A(J) 7350 PI=-1\*S(J)\*R0+C(J)\*A(J) 7400 IF J=N GOTO 7650 :REM REFER TO STEP:7. :REM #7450-7500 REFER TO STEP:8 7450 R(J-1)=S(J)\*B(J+1):REM STEP:9 AND STEP:10 ARE INSIDE STEP:6. 7500 RO=C(J)\*B(J+1) 7550 J=J+1 :REM REFER TO STEP:11. 7600 IF J<=N GOTO 7150 :REM REFER TO STEP:12. 7650 A(N)=PI :REM THE LAST ENTRY OF VECTOR A 7700 DIM L(2,2), M(2,2), ML(2,2), Z(2,2), T(2,2) :REM FROM #7750-#9600 IS STEP:13 7750 J=2 REM THE MATRIX FORM UP TO STEP:12 IS REM UPPER TRIANGULAR MATRIX WITH VECTOR REM A,Q,R AS ITS ENTRIES. SINCE THE 7800 IF J=2 GOTO 8650 7850 L(1,1)=Q(J-2) 7900 L(1,2)=R(J-2):REM MATRIX IS REDUCED INTO DIAGONAL 7950 L(2,1)=0

8000 L(2,2)=0:REMMATRIX BY ORTHOGONAL TRANSFORMATION,8050 FOR I=1 TO 2:REMSO BY TAKING ADVANTAGE OF THE PROPERTY8100 FOR K=1 TO 2:REMOF ORTHOGONAL MATRICES WHICH ARE8150 ML(I,K)=L(I,K):REMDISCRIBED BY STEP:9(TEXT-BOOK),WE8200 NEXT K:REMCAN BREAK THESE ORTHOGONAL MATRICES8250 NEXT I:REMINTO PARTITION MATRICES AND THEN, B100Herry ReferenceB200NEXT KB250NEXT IB300Z(1,1)=C(J)B350Z(2,1)=S(J)B450Z(2,2)=C(J)B450Z(2,2)=C(J)B500GOSUB 10850B550Q(J-2)=T(1,1)B650REMB650M(1,1)=A(J-1)B700M(1,2)=Q(J-1)B700M(2,2)=A(J)B850FOR I=1B700FOR K=1B700FOR K=1B700< 8900 FOR K=1 TO 2 8950 ML(I,K) = M(I,K)9000 NEXT K 9050 NEXT I 9100 Z(1,1)=C(J)9150 Z(2, 1) = S(J)9200 Z(1,2) = -1 \* S(J)9250 Z(2,2)=C(J) 9300 GOSUB 10850 9350 A(J-1)=T(1,1)9400 B(J) = T(2, 1)9450 Q(J-1)=T(1,2) 9500 A(J) = T(2, 2)9550 J=J+1 9600 IF J<=N GOTO 7850 :REM FROM #9650-#9750 IS STEP:14 9650 FOR I=1 TO N 9700 A(I) = A(I) + LMD9750 NEXT I :REM FROM #9800-#10300 IS STEP:2 9800 REM THIS STEP IS NOT EXACTLY THE SAME AS 9850 REM STEP:2 IN THE TEXT BUT IT IS A SLIGHT 9900 REM 9950 REM DIFFERENT FROM TEXTBOOK, THIS DUES TO THE 10000 REM NATURE OF OUR PROBLEM. 10050 REM CHECK B(N) IS LESS THAN 1.E-10 10100 REM 10150 BN1=B(N-1) 10200 BN=B(N) 10250 IF ABS(BN) <=1E-10 THEN N=N-1 :GOTO 6400 10300 IF ABS(BN1)<=1E-10 THEN GOSUB 11400 :A(N)=XL1 :A(N-1)=XL2 :N=N-2 :GOTO 6400 ELSE GOTO 6400 10350 FOR I=1 TO NZ 10400 PRINT "THE VALUE OF DIAGONAL MATRIX IS =A("I")" A(I) 10450 NEXT I 10500 REM REM THE RESULT OF OR PROGRAM IS REM STORED IN THE DISC WITH THE 10550 OPEN "O",#1,"EIGENERG" 10600 FOR I=1 TO NZ :REM SEQUENTIAL FILE. 10650 PRINT#1, A(I)

1,37

10700 NEXT I 10750 CLOSE#1 10800 END 10850 FOR I=1 TO 2 :REM #10850-#11300 IS A SUBPROGRAM 10900 FDR J1=1 TO 2 10950 SUM=0 REM OF 2 BY 2 MATRIX MULTIPLICATION :REM OF MATRIX ML AND MATRIX Z. 11000FOR K=1 TO 2 SUM=SUM+ML(I,K)\*Z(K,J1) 11050 11100 NEXT K 11150 T(I, J1) = SUM11200 NEXT J1 11250 NEXT I 11300 RETURN 11350 REM FROM #11400 TO #11800 IS A SUBPROGRAM TO CALCULATE THE EIGENVALUES OF THE LAST 2 BY 2 MATRIX OF MATRIX [A]. SO THE EIGENVALUES WILL BE THE ROOTS OF QUADRATIC EQN. 11400 AN=A(N)+A(N-1):REM AN AND ABN IS THE COEFFICIENT OF THE 11450 ABN=A(N) \*A(N-1)-B(N) \*Q(N-1) :REM QUADRATIC EQN SUCH THAT X^2-AN\*X+ABN=0. 11500 RN=AN^2-4\*ABN :REM THEORITICALLY, RN>=0, AND COMPUTER DO APPROXIMATION, SO WE EXPECT RN<0, AND IT NEGLIGIBLE COMPARE TO THE ROOTS. 11550 REM 11600 IF RNKO THEN PRINT "THE D IS =" RN, "THE ROOT ="AN/2 :PRINT "B("N")=" B(N), "B("N-1")=" B(N-1) : IF ABS(RN)<.09 THEN LMD=AN/2 :GOTO 11800 

 11650 XL1=(AN+(RN^.5))/2
 :REM XL1 AND XL2 IS THE ROOTS

 11700 XL2=(AN-(RN^.5))/2
 :REM LMD IS THE NEW EIGENVALUE.

 f1750 IF ABS(A(N)-XL1)<=ABS(A(N)-XL2) THEN LMD=XL1 ELSE LMD=XL2</pre> 11800 RETURN

## APPENDIX B

1000 "话表表表作的表示表示的是一个,我们的这些,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们的是一个,我们就是一个,我们就是一个,我们就是一个,我们就是一个,我们就是一个,我们就是一个,我们就是一个,我们就是我们的,我们就是 1050 " THIS PROGRAM IS WRITTEN IN MICROSOFT BASIC FOR NORTH STAR 1100 ° HORIZON COMPUTER. THIS PROGRAM RUN IN COMPILED BASIC. 1200 ? 1250 \* THIS PROGRAM CALCULATES AND STORES THE FIRST EIGHT EIGEN-ENERGIES AND EIGEN-VECTORS OF THE RESTRICTED OSCILLATOR 1300 \* 1350 ' WITH OR WITHOUT DIPOLAR INTERACTION. 1400 \* 1450 ° ACTUALLY, FOR A GIVEN EIGEN-ENERGY, WE CAN CALCULATE THE CORRESPONDING EIGEN-VECTOR. HOWEVER, THE CALCULATED EIGEN-1500 ' ENERGIES FROM PROGRAM WHICH IS LISTED IN APPENDIX A ARE NOT 1550 ' ACCURATE, AND THE EXACT EIGEN-ENERGY WILL BE SOMEWHERE IN 1600 ' 1650 \* THE NEIGBOURHOOD OF THE CALCULATED EIGEN-ENERGY. 1700 " THUS. BY SHIFTING CALCULATED EIGEN-ENERGY TO LEFT AND RIGHT, 1750 " ONE CAN OBTAIN THE NEARLY EXACT EIGEN-ENERGY AND ITS 1800 ' CORRESPOND EIGEN-VECTOR. 1850 \* HERE, WE USE THE SAME MATRIX [A] AS USED IN THE PROGRAM WHICH 1900 \* IS LISTED IN APPENDIX A AND ALSO USE THE CALCULATED EIGEN-1950 \* ENERGY TO GET A BETTER APPROXIMATION OF EIGEN-ENERGY. 2000 1 2050 / NOTE: THIS PROGRAM IS INSEPERABLE FROM PROGRAM WHICH IS 2100 \* LISTED IN APPENDIX A. THAT IS, AFTER WE GET THE 2150 ° CALCULATED EIGEN-ENERGY FROM PROGRAM (APPENDIX A), 2200 \* WE USE THIS RESULT AS OUR INPUT IN THIS PROGRAM. 2250 \* 2300 REM 2350 DEFDBL A, B, C, E, Q, R, Y, W, D, V, X, H, M, S, Z 2400 DEFINT I.J.K.N :REM DECLARE INTEGER. 2450 PRINT "THE NUMBER OF POINT MUST BE AN ODD NUMBER" 2500 INPUT "THE NUMBER OF POINT IS";N :REM N IS THE NUMBER OF POINT BETWEEN THE BOUNDARY POINTS 2550 INPUT "THE VALUE OF Y1"; Y1 2600 INPUT "THE VALUE OF Y2";Y2 2650 PRINT "IF THE SYSTEM IS RESTRICTED OSCILLATOR WITHOUT DIPOLAR" 2700 FRINT "INTERACTION THEN THE STATEMENT #3450 SHOULD BE " 2750 PRINT "ACTIVATED AND #3500 SHOULD BE DEACTIVATED" 2800 PRINT "ALSO, THE DIMENSIONLESS POTENTIAL ENERGY INDEPENDENT" 2850 PRINT "OF FREQUENCY WO, SO WE CAN WRITE WO=SOME VALUE" 2900 INPUT "THE ANGULAR FREQUENCY IS"; WO :REM w=WO 2950 PRINT 3000 PRINT "THE NUMBER OF POINT BETWEEN BOUNDARY POINTS IS" N 3050 PRINT 3100 PRINT "THE LEFT HAND SIDE LIMIT IS =" Y1 3150 PRINT 3200 PRINT "THE RIGHT HAND SIDE LIMIT IS =" Y2 3250 PRINT 3300 PRINT "THE ANGULAR FREQUENCY IS"; WO 3350 PRINT 3400 PRINT 3450 REM DEF  $FNV(Y) = (Y/2)^2$ 3500 DEF FNV(Y)=(.5\*Y)^2\*(1-(903355060#/((Y2^3)\*(W0^.5)))) :REM NZ WILL BE USED LATER. 3550 NZ=N :REM H IS LENGTH BETWEEN YI AND YI+1. 3600 H=(Y2-1\*Y1)/(N+1)3650 REM

3700 REM MATRIX [A] IS A TRIDIAGONAL MATRIX, THEN WE CAN USE 3 VECTORS FO REPRESENTING MATRIX [A]. 3750 REM 3800 DIM A(80), B(80), Q(80), A1(80), A2(80), WF(80), WG(80), EG(10) 3850 DIM WN(80), DZ(80), DP(80), XZ(80), YZ(80), ZJ(10) 3900 FOR I=1 TO N :REM THIS LOOP IS USED TO FIND 3950 Y=Y1+I\*H :REM THE VALUES OF YI AND THE 4000 VY=FNV(Y) :REM ENTRIES OF Aii. 4050 A(I)=2/(H^2)+VY 4100 NEXT I 4150 B(1)=0 4200 Q(N)=0 4250 FOR I=2 TO N 4300 B(I)=-1/(H^2) -----:REM Bi IS THE SUBDIAGONAL OF [A].  $4350 \ Q(I-1) = -1/(H^2)$ :REM QI IS THE SUPERDIAGONAL OF [A]. 4400 NEXT I 4450 REM 4500 FOR I=1 TO NZ REM THIS A1(I) IS NEEDED LATER. IE, REM FOR CALCULATE THE WAVE FUNCTION 4550 A1(I)=A(I) 4600 NEXT I :REM AFTER THE EIGENVALUE ARE OBTAINED. 4650 HZ=H 4700 BZ=B(2) 4750 REM 4800 FOR I=1 TO N 4850 PRINT B(I), A(I), Q(I) 4900 NEXT I 4950 OPEN "I",#1,"EIGENERG"':REM ACCESS TO THE FILE WHICH CONTAINS5000 FOR I=1 TO N:' EIGEN-ENERGY. THIS EIGEN-ENERGY5050 INPUT#1,A(I):' WAS CALCULATED BY THE COMPUTER5100 NEXT I:' (SEE APPENDIX A) 5150 1=1 5200 GOSUB 11850 5250 IF IFLAG=1 GOTO 5400 5300 IF IND=0 THEN GOSUB 14350 ELSE GOSUB 17400 5350 IF IFLAG=0 THEN PRINT "NO RESULT" STOP 5400 FOR J=0 TO NZ+1 5450 WF(J) = WN(J)5500 NEXT J :' STORE NEARLY EXACT EIGENVALUE IN A(I) 5550 A(I)=ERG 5600 PRINT "THE VALUE OF I IS="I 5650 \* 5750 ' THIS SECTION STORES THE NEARLY EXACT GROUND STATE WAVE 5800 ' FUNCTION. 5850 ' 5900 OPEN "O", #2, "WAVEFCN1" 5950 FOR J=0 TO NZ+1 6000 PRINT #2, WF(J) 6050 NEXT J 6100 CLOSE #2 6150 \* 6250 " 6300 FOR I=2 TO NZ 6350 ITS1=I/2

```
6400 ITS2=2*ITS1
6450 IF I=ITS2 THEN GOSUB 12750 ELSE GOSUB 11850
6500 IF IFLAG=1 GOTO 6650
6550 IF IND=0 THEN GOSUB 14350 ELSE GOSUB 17400
6600 IF IFLAG=0 THEN PRINT "NO RESULT" :STOP
6650 FOR J=0 TO NZ+1
6700 \text{ WG}(J) = \text{WN}(J)
6750 NEXT J
6800 A(I)=ERG
                           : ' STORE EIGEN-ENERGY IN VECTOR A(I)
6850 PRINT "THE VALUE OF I IS="I
6900 IF I=2 THEN GOSUB 21000
6950 IF I=3 THEN GOSUB 21300
7000 IF I=4 THEN GOSUB 21600
7050 IF I=5 THEN GOSUB 21900
7100 IF I=6 THEN GOSUB 22200
7150 IF I=7 THEN GOSUB 22450
7200 IF I=8 THEN GOSUB 22750
7250 IF I=8 GOTO 7350
7300 NEXT I
7350 GOSUB 24200
7400 END
7500 '
         THIS SECTION CALCULATE THE UN-NORMALIZED EIGEN-VECTOR
7550 °
         BY ASSIGNING THE FIRST ENTRY OF EIGEN-VECTOR WN(1)=1 OR -1.
7600 *
        NOTE: WN(1)=1 WHEN THE WAVE FUNCTION HAS EVEN PARITY AND
7650 *
               WN(1) = -1 WHEN THE WAVE FUNCTION HAS ODD PARITY.
7700 *
7750 REM
7800 FOR K=1 TO NZ
7850 A2(K)=A1(K)-ERG
7900 NEXT K
7950 ITS1=I/2 ; ITS2=2*ITS1
8000 IF ITS2=I THEN S1=-1 :S2=A2(1)/BZ :GOTO 8150
8050 S1=1
8100 S2=-1*(A2(1)/BZ)
8150 WN(1)=S1 :WN(2)=S2
8200 K=2
8250 S3=-1*(BZ*S1+A2(K)*S2)/BZ
8300 WN(K+1)=83
8350 $1=$2
8400 $2=$3
8450 K=K+1
8500 IF K<=(NZ-1) GOTO 8250
8550 WN(0)=0 :WN(NZ+1)=0
8600 RETURN
8650 *
8750 '
8850 °
        THIS SECTION CALCULATE THE INTEGRAL USING SIMPSON'S RULE.
8900 *
8950 K=1
9000 AR=DZ(0)+DZ(NZ+1)+4*DZ(K)
9050 NN=(NZ+1)/2
9100 FOR K=2 TO NN
```

9150 KZ=2\*K 9200 ARH=4\*DZ(KZ-1)+2\*DZ(KZ-2) 9250 AR=AR+ARH 9300 NEXT K 9350 ARE=(HZ/3)\*AR 9400 RETURN 9450 ' 9550 REM 9650 7 THIS SECTION CALCULATE THE PROBABILITY DENSITY. 9700 REM 9750 FOR J=0 TO NZ+1 9800  $DZ(J) = WN(J) \times WN(J)$ 9850 NEXT J 9900 RETURN 9950 ' 10050 \* 10150 ' THIS SECTION CALCULATE THE NORMALIZED WAVE FUNCTION. 10200 \* 10250 ARA=ARE 10300 CN=ARA^-.5 :REM CN IS NORMALIZED CONSTANT 10350 FOR J=0 TO NZ+1 10400 WN(J) = CN \* WN(J)10450 YZ(J) = WN(J)10500 NEXT J 10550 RETURN 10600 10700 \* 10850 ' THIS SECTION DETERMINE WHEATHER THE NEARLY EXACT EIGEN-10900 ' ENERGY IS ON THE LEFT/RIGHT HAND SIDE OF THE CALCULATED 10950 \* EIGEN-ENERGY WHICH IS COMPUTED BY PREVIOUS PROGRAM 11000 \* (APPENDIX A). 11050 \* HERE, WE ASSUME THAT THE WAVE FUNCTION HAS SYMMETRY 11100 \* ABOUT THE ORIGIN. FOR EVEN FUNCTION, CP IS THE DIFFERENT 11150 \* OF THE WAVE FUNCTION WHICH ARE EVALUATED AT POINTS y1 AND yN. FOR ODD FUNCTION, CP IS THE WAVE FUNCTION WHICH 11200 ? 11250 ' IS EVALUATED AT THE ORIGIN. 11300 \* IFLAG AND IND ARE INDICATORS. 11350 \* WHEN IFLAG=1 INDICATES THAT THE NEARLY EXACT EIGEN-ENERGY 11400 \* AND ITS WAVE FUNCTION HAVE BEEN OBTAINED. 11450 \* WHEN IND=0 INDICATES THE EXACT EIGEN-ENERGY LIES ON THE 11500 ' LEFT HAND SIDE OF THE CALCULATED EIGEN-ENERGY (APPENDIX A). 11550 " WHEN IND=1 INDICATES THE EXACT EIGENVALUE LIES ON THE RIGHT 11600 \* HAND SIDE OF THE CALCULATED EIGEN-ENERGY (APPENDIX A). 11650 ' 11700 \*\*\*\*\* THIS SUBSECTION FOR THE WAVE FUNCTION HAS EVEN PARITY. 11750 ' 11800 ° 11850 IFLAG=0

11900 ERG=A(I) 11950 GOSUB 7800 :GOSUB 9750 :GOSUB 8950 :GOSUB 10250 12000 CP=ABS(WN(1)-WN(N))12050 IF CP<=1E-08 THEN IFLAG=1 :GOTO 12400 12100 CP1=CP 12150 ERG=ERG+.000005 12200 GOSUB 7800 :GOSUB 9750 :GOSUB 8950 :GOSUB 10250 12250 CP=ABS(WN(1)-WN(N)) 12300 IF CP<=1E-08 THEN IFLAG=1 :GOTO 12400 12350 IF CP>CP1 THEN IND=0 ELSE IND=1 12400 RETURN 12450 \*  $12550^{-2}$ THIS SUBSECTION FOR THE WAVE FUNCTION HAS ODD PARITY. 12650 ' 12700 " 12750 IFLAG=0 12800 ERG=A(I) 12850 GOSUB 7800 :GOSUB 9750 :GOSUB 8950 :GOSUB 10250 12900 N1 = (N+1)/212950 CP=WN(N1) 13000 IF ABS(CP)<=1E-08 THEN IFLAG=1 :GOTO 13500 13050 CP1=CP 13100 ERG=ERG+.000005 13150 GOSUB 7800 :GOSUB 9750 :GOSUB 8950 :GOSUB 10250 13200 CP=WN(N1) 13250 IF (CP<0) XOR (CP1<0) THEN IND=1 :GOTO 13500 13300 IF CP<0 GOTO 13450 13350 IF CP <CP1 THEN IND=1 ELSE IND=0 13400 GOTO 13500 13450 IF ABS(CP) < ABS(CP1) THEN IND=1 ELSE IND=0 13500 RETURN 13550 \* 13650 \* 13800 ' MOST OF THE CALCULATION OF FINDING THE NEARLY EXACT EIGEN-13850 ? VALUE AND ITS EIGEN-VECTOR ARE IN THIS SECTION. 13900 \* 13950 HERE, WE ASSUME THAT FOR A RANGE OF ENERGIES WHICH ARE IN 14000 ", THE NEGHBOURHOOD OF CALCULATED EIGENVALUE, THE CC'S OR CP'S 14050 ' VALUES FORM A CONCAVE CURVE. 14100 ' 14150 °\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* 14200 \* 14250 \* FOR IND=0 14300 ' 14350 IFLAG=0 14400 INC=0 14450 ITS1=1/2 14500 ITS2=2\*ITS1 14550 N1 = (N+1)/214600 IF Y2 > 3.6 GOTO 14850

```
14650 TT=.01
                                   a 7
4
6
7
14700 IF I=3 THEN TT=.0001
                                       TT IS A DE/INCREMENT.
14750 IF I>=4 THEN TT=.000001
                                        SINCE THE EIGEN-ENERGY
14800 GOTO 15250
                                    n / P
                                        WHICH CALCULATED BY
14850 IF Y2 > 5 GOTO 15100
                                    4 7
11
                                        PREVIOUS PROGRAM HAS
                                    n 7
4
14900 TT=.001
                                        BETTER ACCURACY AS I
                                   : INCREASES. THEREFORE. TT
14950 IF I=3 THEN TT=.00001
15000 IF I>=4 THEN TT=.000001
                                   BECOMES SMALLER AS I INCREASES.
15050 GOTO 15250
15100 TT=.0001
15150 IF I=3 THEN TT=.000001
15200 IF I>=4 THEN TT=.0000001
15250 EL=A(I)-TT
15300 EH=A(I)
15350 ERG=EL
15400 IF INC>1000 THEN PRINT "EXIT THE LIMIT" :STOP
15450 HE=(EH-EL)/10
                            · '.
15500 FOR J8=0 TO 10
15550 ERG=ERG+J8*HE
15600 EG(J8)=ERG
15650 GOSUB 7800 :GOSUB 9750 :GOSUB 8950 :GOSUB 10250
15700 IF I=ITS2 THEN GOSUB 23550 :GOTO 16000
15750 CP=ABS(WN(1)-WN(N))
15800 IF CP<=1E-08 THEN IFLAG=1 :GOTO 17000
15850 ZJ(J8) = CP
15900 PRINT "CP("J8")="ZJ(J8)
15950 GOTO 16200
16000 CC=WN(N1)
16050 IF ABS(CC)< 1E-08 THEN IFLAG=1 :GOTO 17000
16100 ZJ(J8) = ABS(CC)
16150 PRINT "CC("J8")="ZJ(J8)
16200 NEXT J8
16250 CMIN=ZJ(0)
16300 J1=0
16350 FOR K=1 TO 10
16400 IF ZJ(K) < CMIN THEN CMIN=ZJ(K) :J1=K
16450 NEXT K
16500 PRINT "INC IS="INC
16550 KW=J1
16600 KQ=KW-1
16650 KP=KW+1
16700 IF J1=0 THEN EH=EL :EL=EL-TT :INC=INC+1 :GOTO 15350
16750 EL=EG(KQ)
16800 EH=EG(KP)
16850 IF (EH-EL)<1E-15 THEN PRINT "THE ASSUMPTION IS WRONG" :STOP
16900 ERG=EL
16950 GOTO 15450
17000 RETURN
17050 *
17150 *
17250 *
                             FOR IND=1
17300 *
17350 ?
```

```
17400 INC=0
17450 IFLAG=0
17500 ITS1=1/2
17550 ITS2=2*ITS1
17600 N1=(N+1)/2
17650 IF Y2 > 3.6 GOTO 17900
17700 TT=.01
17750 IF I=3 THEN TT=.0001
17800 IF I>=4 THEN TT=.000001
17850 GOTO 18300 .
17900 IF Y2 > 5 GOTO 18150
17950 TT=:001
18000 IF I=3 THEN TT=.00001
18050 IF I>=4 THEN TT=.000001
18100 GOTO 18300
18150 TT=.0001
18200 IF I=3 THEN TT=.000001
18250 IF I>=4 THEN TT=.0000001
18300 EL=A(I)
18350 EH=A(I)+TT
18400 ERG=EL
18450 IF INC > 1000 THEN PRINT "EXIT THE LIMIT " :STOP
18500 HE=(EH-EL)/10
18550 FOR J7=0 TO 10
18600 ERG=ERG+J7*HE
18650 EG(J7)=ERG
18700 GOSUB 7800 :GOSUB 9750 :GOSUB 8950 :GOSUB 10250
18750 IF I=ITS2 THEN GOSUB 23550 :GOTD 19050
18800 CP=ABS(WN(1)-WN(N))
18850 IF CP<=1E-08 THEN IFLAG=1 :GOTO 20050
18900 ZJ(J7)=CP
18950 PRINT "CP("J7")="ZJ(J7)
19000 GOTO 19250
19050 CC=WN(N1)
19100 IF ABS(CC) < 1E-08 THEN IFLAG=1 :60T0 20050
19150 ZJ(J7)=ABS(CC)
19200 PRINT "CC("J7")="ZJ(J7)
19250 NEXT J7
19300 CMIN=ZJ(0)
19350 FOR K=1 TO 10
19400 IF ZJ(K) < CMIN THEN CMIN=ZJ(K) : J1=K
19450 NEXT K
19500 PRINT "INC IS="INC
19550 KA=J1-1
19600 KB=J1+1
19650 IF J1=10 THEN EL=EH :EH=EH+TT :INC=INC+1 :GOTO 18400
19700 -
19750 EL=EG(KA)
19800 EH=EG(KB)
19850 IF (EH-EL)<1E-15 THEN PRINT "NEED SMALLER TOLERANCE":STOP
19900 ERG=EL
19950 GOTO 18500
20000 *
20050 RETURN
20100 '
```

20200 1 20350 ' 20450 20500 ' THIS SECTION STORES THE NEARLY EXACT WAVE FUNCTIONS 20550 \* 20600 ' 20650 ' 20700 ' 20750 ' 20800 ' 20600 " THE 1'ST EXCITED STATE EIGENVECTOR STORED IN WAVEFCN2 FILE'S THE 2'ND EXCITED STATE EIGENVECTOR STORED IN WAVEFON3 FILE'S THE 3'TH EXCITED STATE EIGENVECTOR STORED IN WAVEFON4 FILE'S THE 4'TH EXCITED STATE EIGENVECTOR STORED IN WAVEFONS FILE'S THE 5'TH EXCITED STATE EIGENVECTOR STORED IN WAVEFCN6 FILE'S THE 6'TH EXCITED STATE EIGENVECTOR STORED IN WAVEFON7 FILE'S 20850 ' 20900 \* THE 7'TH EXCITED STATE EIGENVECTOR STORED IN WAVEFONS FILE'S 20950 ' 21000 OPEN "0",#3; "WAVEFEN2" 21050 FOR K=0 TO NZ+1 21100 PRINT #3, WG(K) 21150 NEXT K 21200 CLOSE #3 21250 RETURN 21300 OPEN "O",#4, "WAVEFCN3" 21350 FOR K=0 TO NZ+1 21400 PRINT #4, WG(K) 21450 NEXT K 21500 CLOSE #4 21550 RETURN 21600 OPEN "O", #5, "WAVEFON4" 21650 FOR K=0 TO NZ+1 21700 PRINT #5,WG(K) 21750 NEXT K 21800 CLOSE #5 21850 RETURN 21900 OPEN "O", #6, "WAVEFCN5" 21950 FOR K=0 TO NZ+1 22000 PRINT #6, WG(K) 22050 NEXT K 22100 CLOSE #6 22150 RETURN 22200 OPEN "O", #7, "WAVEFCN6" 22250 FOR K=0 TO NZ+1 22300 PRINT #7, WG(K) 22350 NEXT K 22400 RETURN 22450 OPEN "O", #8, "WAVEFCN7" 22500 FOR K=0 TO NZ+1 22550 PRINT #8, WG(K) 22600 NEXT K 22650 CLOSE #8 22700 RETURN 22750 OPEN "O", #9, "WAVEFCN8" 22800 FOR K=0 TO NZ+1 22850 PRINT #9, WG(K)

```
22900 NEXT K
22950 CLOSE #9
23000 RETURN
23050 *
23150 *
÷.
23250 *
23300 *
       SINCE THE ODD FARITY WAVE-FUNCTION IS ODD FUNCTION THEN
23350 '
       , IN ORDER TO COMPARE THE ENTRIES OF THE EIGENVECTOR, WE
       NEED TO NEGATE THE HALF ENTRIES OF THE EIGEN-VECTOR.
23400 '
       THIS PROCESS IS DONE IN THIS SECTION
23450 *
23500 2
23550 NP1=N+1
23600 NH=NP1/2
23650 FOR K=1 TO NH
23700 WF(NP1-K)=-1*WF(NP1-K)
23750 NEXT K
23800 RETURN
23850 '
24000 *
      THIS SECTION STORE THE NEARLY EXACT EIGEN-ENERGY IN NEWERG
24050 '
24100 '
      FILE.
24150 *
24200 OPEN "O",#10,"NEWERG"
24250 FOR K=1 TO 8
24300 PRINT #10, A(K)
24350 NEXT K
24400 CLOSE #10
24450 RETURN
24500 *
```

# APPENDIX C

1

1000 \* 1050 \* THIS PROGRAM CALCULATES THE RELATIVE POLARIZABILITIES 1100 \* OF GROUND STATE AND HIGHER STATES OF THE RESTRICTED 1150 \* OSCILLATORS WITH/WITHOUT DIPOLAR INTERACTION. 1200 \* 1250 \* THIS PROGRAM IS WRITTEN IN MICROSOFT BASIC AND MUST BE 1300 " COMPILED IN ORDER TO SPEED UP THE CALCULATION. 1350 \* 1400 ° THIS RELATIVE POLARIZABILITY IS DEFINED BY EQUATION (IV:1) 1450 ' IN THE THESIS. WHERE n=0 IS THE GROUND STATE POLARIZABILITY. 1500 \* 1550 " n=1 IS THE FIRST EXCITED STATE POLARIZABILITY....ETC. 1600 \* WE, OF COURSE, USE THE CALCULATED EIGENVALUES AND EIGEN-1650 \* VECTORS WHICH ARE COMPUTED BY COMPUTER ( REFER TO APPENDIX A 1700 ' AND B) TO CALCULATE THE RELATIVE POLARIZABILITY. 1750 " 1800 \* 1850 \* 1900 LPRINT 1950 REM 2000 DEFDBL A, D, H, M, W, Y 2050 DEFSNG B, P 2100 DEFINT I, J, K, N :REM DECLARE INTEGER. 2150 PRINT "THE NUMBER OF POINT MUST BE AN ODD NUMBER" 2200 INPUT "THE NUMBER OF POINT IS";N :REM N IS THE NUMBER OF POINT BETWEEN THE BOUNDARY POINTS 2250 2 :REM Y1 IS REFERRED TO -YO 2300 INPUT "THE VALUE OF Y1"; Y1 2350 INPUT "THE VALUE OF Y2";Y2 :REM\_ Y2 IS REFERRED TO +Y0 2400 LPRINT 2450 LPRINT "THE NUMBER OF POINT BETWEEN BOUNDARY POINTS IS" N 2500 LPRINT 2550 LPRINT "THE LEFT HAND SIDE LIMIT IS " Y1 2600 LPRINT 2650 LPRINT "THE RIGHT HAND SIDE LIMIT IS " Y2 2700 LPRINT 2750 LPRINT 2800 NZ=N REM NZ WILL BE USED LATER. 2850 H=(Y2-1\*Y1)/(N+1) :REM H IS LENGTH BETWEEN YI n 7 AND Yi+1. 2900 HZ=H 2950 REM 3000 DIM A(10),MD(10),DP(80),DZ(80),WF(80),WG(80),B(10) 3050 GOSUB 18300 3100 LPRINT THIS SECTION CALCULATE THE RELATIVE POLARIZABILITY OF 3200 7 3250 ° GROUND STATE. 3300 ° 3350 GOSUB 13100 : GOSUB 18850 3400 FOR I=2 TO 8 3450 IF I=2 THEN GOSUB 13750 3500 IF I=3 THEN GOSUB 14400 3550 IF I=4 THEN GOSUB 15050 3600 IF I=5 THEN GOSUB 15700 3650 IF I=6 THEN GOSUB 16350

3700 IF I=7 THEN GOSUB 17000 3750 IF I=8 THEN GOSUB 17650 3800 FOR J=0 TO NZ+1 3850 DP(J)=WG(J)\*WF(J)\*(Y1+J\*HZ) :REM CALCULATE THE INTEGRAND OF  $3900^{\circ} DZ(J) = DP(J)$ :REM DIPOLE MATRIX. 3950 NEXT J 4000 GOSUB 12250 4050 MD(I)=ARE :REM MD(I) IS A MATRIX DIPOLE. 4100 NEXT I 4150 GOSUB 18300 4200 MD(1)=0 :MTX=04250 FOR K=2 TO 8 REM MTX IN HERE IS THE RELATIVE 4300 MTX=MTX+(MD(K))^2/(A(K)-A(1)) POLARIZABILITY OF GROUND a 7 8 P -4350 NEXT K STATE. 4400 P=MTX 4450 LPRINT 4500 LPRINT "THE RELATIVE POLARIZABILITY AT T=0 KELVIN IS=" P 4550 LPRINT 4600 LPRINT "THE REL POL IN DOUBLE PRECISION IS="MTX 4650 " 4750 ' 4850 ' THIS SECTION CALCULATES THE RELATIVE POLARIZABILITY OF 4900 FIRST EXCITED STATE. 4950 5000 LPRINT 5050 GOSUB 13750 : GOSUB 18850 5100 FOR I=1 TO 8 5150 IF I=1 THEN GOSUB 13100 5200 IF I=2 THEN I=I+1 5250 IF I=3 THEN GOSUB 14400 5300 IF I=4 THEN GOSUB 15050 5350 IF I=5 THEN GOSUB 15700 5400 IF I=6 THEN GOSUB 16350 5450 IF I=7 THEN GOSUB 17000 5500 IF I=8 THEN GOSUB 17650 5550 FOR J=0 TO NZ+1 5600 DP(J)=WG(J)\*WF(J)\*(Y1+J\*HZ) REM CALCULATE THE INTEGRAND OF :REM MATRIX DIPOLE. 5650 DZ(J) = DP(J)5700 NEXT J 5750 GOSUB 12250 5800 MD(I)=ARE :REM MD(I) IS A MATRIX DIPOLE. 5850 NEXT I 5900 GOSUB 18300 5950 MD(2)=0 :MTX=0 6000 FOR K=1 TO 8 REM MTX IN HERE IS THE RELATIVE n 7 6050 IF K=2 GOTO 6150 POLARIZABILITY OF THE FIRST 6100 MTX=MTX+(MD(K))^2/(A(K)-A(2)) :' EXCITED STATE. 6150 NEXT K 6200 P=MTX 6250 LPRINT 6300 LPRINT 6350 LPRINT "RELATIVE POLARIZABILITY OF THE 1'ST EXCITED STATE IS=" P 6400 LPRINT

6450 LPRINT "THE REL POL OF ABOVE IN DOUBLE PRECISION IS="MTX 6500 LPRINT 6550 \* 6650 . 6750 7 THIS SECTION CALCULATE THE RELATIVE POLARIZABILITY OF 6800 \* SECOND EXCITED STATE. 6850 6900 GOSUB 14400 : GOSUB 18850 6950 FOR I=1 TO 8 7000 IF I=1 THEN GOSUB 13100 7050 IF I=2 THEN GOSUB 13750 7100 IF I=3 THEN I=I+1 7150 IF I=4 THEN GOSUB 15050 7200 IF I=5 THEN GOSUB 15700 7250 IF I=6 THEN GOSUB 16350 7300 IF I=7 THEN GOSUB 17000 7350 IF I=8 THEN GOSUB 17650 7400 FOR J=0 TO NZ+1 :REM THIS LOOP CALCULATE THE :REM INTEGRAND OF DIPOLE MATRIX. 7450 DP(J) = WG(J) \* WF(J) \* (Y1+J\*HZ)7500 DZ(J) = DP(J)7550 NEXT J 7600 GOSUB 12250 7650 MD(I)=ARE :REM MD(I) IS THE DIPOLE MATRIX. 7700 NEXT I 7750 GOSUB 18300 7800 MD(3)=0 :MTX=0 7850 FOR K=1 TO 8 :REM MTX IN HERE IS THE RELATIVE a 3 8 POLARIZABILITY OF THE SECOND 7900 IF K=3 GOTO 8000 7950 MTX=MTX+(MD(K))^2/(A(K)-A(3)) : ' EXCITED STATE. 8000 NEXT K 8050 P=MTX 8100 LPRINT 8150 LPRINT "RELATIVE POLARIZABILITY OF THE 2'ND EXCITED STATE IS=" P 8200 LPRINT 8250 LPRINT "THE REL POL OF ABOVE IN DOUBLE PRECISION IS="MTX 8300 LPRINT \* \* \* \* \* 8350 ' 8450 3 8550 ' THIS SECTION CALCULATE THE RELATIVE POLARIZABILITY OF 8600 \* THIRD EXCITED STATE. 8650 ' 8700 GOSUB 15050 : GOSUB 18850 8750 FOR I=1 TO 8 8800 IF I=1 THEN GOSUB 13100 8850 IF I=2 THEN GOSUB 13750 8900 IF I=3 THEN GOSUB 14400 8950 IF I=4 THEN I=I+1 9000 IF I=5 THEN GOSUB 15700 9050 IF I=6 THEN GOSUB 16350 9100 IF I=7 THEN GOSUB 17000 9150 IF I=8 THEN GOSUB 17650

9200 FOR J=0 TO NZ+1:REM THIS LOOP CALCULATES THE9250 DP(J)=WG(J)\*WF(J)\*(Y1+J\*HZ):" INTEGRAND OF DIPOLE MATE: INTEGRAND OF DIPOLE MATRIX. 9300 DZ(J) = DP(J)9350 NEXT J 9400 GOSUB 12250 9450 MD(I)=ARE :REM MD(I) IS THE DIPOLE MATRIX. 9500 NEXT I 9550 GOSUB 18300 9600 MD(4)=0 :MTX=0 9650 FOR K=1 TO 8 . REM MTX IN HERE IS THE RELATIVE 9700 IF K=4 GOTO 9800 :' POLARIZABILITY OF THIRD 9750 MTX=MTX+(MD(K))^2/(A(K)-A(4)) :' EXCITED STATE. 9800 NEXT K 9850 P=MTX 9900 LPRINT 9950 LPRINT "RELATIVE POLARIZABILITY OF THE 3'RD EXCITED STATE IS=" P 10000 LPRINT 10050 LPRINT "THE REL POL OF ABOVE IN DOUBLE PRECISION IS="MTX 10100 \* 10200 2 10300 ' THIS SECTION CALCULATE THE RELATIVE POLARIZABILITY OF 10350 ' FOURTH EXCITED STATE. 10400 ' 10450 GOSUB 15700 : GOSUB 18850 10500 FOR I=1 TO 8 10550 IF I=1 THEN GOSUB 13100 10600 IF I=2 THEN GOSUB 13750 10650 IF I=3 THEN GOSUB 14400 10700 IF I=4 THEN GOSUB 15050 10750 IF I=5 THEN I=I+1 10800 IF I=6 THEN GOSUB 16350 10850 IF I=7 THEN GOSUB 17000 10900 IF I=8 THEN GOSUB 17650 10950 FOR J=0 TO NZ+1 REM THIS LOOP CALCULATE THE 11000 DP(J)=WG(J)\*WF(J)\*(Y1+J\*HZ) : INTEGRAND OF DIPOLE MATRIX. 11050 DZ(J) = DP(J)11100 NEXT J 11150 GOSUB 12250 :REM MD(I) IS THE DIPOLE MATRIX. 11200 MD(I)=ARE 11250 NEXT I 11300 GOSUB 18300 11350 MD(5)=0 :MTX=0 11400 FOR K=1 TO 8 : REM MTX IN HERE IS THE RELATIVE 11450 IF K=5 GOTO 11550 : POLARIZABILITY OF FOURTH 11500 MTX=MTX+(MD(K))^2/(A(K)-A(5)) :' EXCITED STATE. 11550 NEXT K 11600 P=MTX 11650 LPRINT 11700 LPRINT "RELATIVE POLARIZABILITY OF THE 4'TH EXCITED STATE IS=" P 11750 LPRINT 11800 LPRINT "THE REL POL OF ABOVE IN DOUBLE PRECISION IS="MTX 11850 ' 

11950 END 12000 REM 12050 \* THIS SECTION CALCULATE THE INTEGRAL USING SIMPSON'S RULE. 12150 7 12200 \* 12250 K=1 12300 AR=DZ(0)+DZ(NZ+1)+4\*DZ(K) :REM DZ(I) IS THE INTEGRAND :' AT POINT Yi. 12350 NN=(NZ+1)/2 12400 FOR K=2 TO NN 12450 KZ=2\*K 12500 ARH=4\*DZ(KZ-1)+2\*DZ(KZ-2) 12550 AR=AR+ARH 12600 NEXT K 12650 ARE=(HZ/3)\*AR 12700 RETURN 12750 \* 12850 ' 12900 "http://www.line.com/ THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE GROUND 12950 " 13000 \* STATE EIGEN-VECTOR. 13050 " 13100 OPEN "I", #2, "WAVEFCN1" 13150 FOR K=0 TO NZ+1 13200 INPUT #2,WG(K) 13250 NEXT K 13300 CLOSE #2 13350 RETURN 13400 " 13500 ° THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE FIRST 13600 ' 13650 ' EXCITED STATE EIGEN-VECTOR. 13700 " 13750 OPEN "I",#3,"WAVEFCN2" 13800 FOR K=0 TO NZ+1 13850 INPUT #3,WG(K) 13900 NEXT K 13950 CLOSE #3 14000 RETURN 14050 \* 14150 ' 14250 ' THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE SECOND 14300 ' EXCITED STATE EIGEN-VECTOR. 14350 ' 14400 OPEN "1", #4, "WAVEFCN3" 14450 FOR K=0 TO NZ+1 14500 INPUT #4.WG(K) 14550 NEXT K 14600 CLOSE #4 14650 RETURN

14700 1 14800 \* 14900 THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE THIRD 14950 " EXCITED STATE EIGEN-VECTOR. 15000 \* 15050 OPEN "I", #5, "WAVEFCN4" 15100 FOR K=0 TO NZ+1 15150 INPUT #5,WG(K) 15200 NEXT K 15250 CLOSE #5 15300 RETURN 15350 / 15450 ' THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE FOURTH 15550 ' 15600 \* EXCITED STATE EIGEN-VECTOR. 15650 ' 15700 OPEN "I",#6,"WAVEFCN5" 15750 FOR K=0 TO NZ+1 15800 INPUT #6,WG(K) 15850 NEXT K 15900 CLOSE #6 15950 RETURN 16000 \* 16100 ' THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE FIFTH 16200 \* 16250 " EXCITED STATE EIGEN-VECTOR. 16300 ' 16350 OPEN "I", #7, "WAVEFCN6" 16400 FOR K=0 TO NZ+1 16450 INPUT #7,WG(K) 16500 NEXT K 16550 CLOSE #7 -16600 RETURN 16650 \* 16750 \* THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE SIXTH 16850 ' EXCITED STATE EIGEN-VECTOR. 16900 \* 16950 ' 17000 OPEN "I", #8, "WAVEFCN7" 17050 FOR K=0 TO NZ+1 17100 INPUT #8.WG(K) 17150 NEXT K 17200 CLOSE #8 17250 RETURN 17300 \* 17400 '

1.7450 - \* 完秀常常常常常常常常常常常常常常常的,我们的意志,我们的是一个,我们的是一个,我们的是一个,我们的问题,我们的是一个,我们的问题,我们的问题,我们的我们的,我们就是我们的问题,我们 17500 \* THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE SEVENTH 17550 " EXCITED STATE EIGEN-VECTOR. 17600 \* 17650 OPEN "I", #9, "WAVEFCN8" 17700 FOR K=0 TO NZ+1 17750 INPUT #9,W0(K) 17800 NEXT K 17850 CLOSE #9 17900 RETURN 17950 \* 18050 ' 18150 ' THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE 18200 \* CALCULATED EIGEN-ENERGIES. 18250 ' 18300 OPEN "I", #10, "NEWERG" 18350 FOR K=1 TO 8 18400 INPUT #10, A(K) 18450 NEXT K 18500 CLOSE #10 18550 RETURN 18650 \* THIS SECTION ASSIGNS WF(K)=WG(K) for k=0 to n+1 {EIGEN 18750 ' 18800 \* -VECTOR}. 18850 FOR K=0 TO NZ+1 18900 WF(K)=WG(K) 18950 NEXT K 19000 RETURN 

## APPENDIX D

THIS PROGRAM IS WRITTEN IN MICROSOFT BASIC FOR NORTH STAR 1050 ? 1100 " HORIZON COMPUTER. THIS PROGRAM RUN IN COMPILED BASIC. 1200 \* 1250 \* PURPOSE : TO CHECK THE COMPUTED EIGEN-ENERGY 1300 \* AND EIGEN FUNCTION ARE CORRECT OR NOT ( WITHIN 1350 \* THE LIMIT ). 1400 \* 1450 " 1500 \* 1550 DEFDBL A, B, E, Y, W, D, H, Z 1600 DEFINT I, J, K, N :REM DECLARE INTEGER. 1650 REM 1700 PRINT "THE NUMBER OF POINT MUST BE AN ODD NUMBER". 1750 PRINT 1800 INPUT "THE NUMBER OF POINT IS";N :REM N IS THE NUMBER OF POINT BETWEEN THE BOUNDARY POINTS 1850 INPUT "THE VALUE OF Y1"; Y1 1900 INPUT "THE VALUE OF Y2";Y2 1950 PRINT "IF THE SYSTEM IS A RESTRICTED OSCILLATOR ONLY THEN THE" 2000 PRINT "SYSTEM IS INDEPENDENT OF ANGULAR FREQUENCY" 2050 PRINT · • ...... 2100 INPUT "THE ANGULAR FREQUENCY IS"; WO 2150 PRINT 2200 PRINT "THE NUMBER OF POINT BETWEEN BOUNDARY POINTS IS" N 2250 PRINT 2300 PRINT "THE LEFT HAND SIDE LIMIT IS =" Y1 2350 PRINT 2400 PRINT "THE RIGHT HAND SIDE LIMIT IS =" Y2 2450 PRINT 2500 PRINT "THE ANGULAR FREQUENCY IS"; WO 2550 PRINT 2600 ' DEF FNV(Y)=(.5\*Y)^2 :REM POTENTIAL ENERGY FUNCTION. 2650 DEF FNV(Y)=(Y/2)^2\*(1-(903355060#/((Y2^3)\*(W0^.5)))) 2700 ' IF THE SYSTEM IS RESTRICTED OSCILLATOR WITHOUT DIPOLAR INTERACTION 2750 ' THEN #2600 SHOULD BE ACTIVATED ELSE #2650 SHOULD BE ACTIVATED. 2800 " 2850 NZ=N :REM NZ WILL BE USED LATER. 2900 H = (Y2 - 1 + Y1) / (N + 1):REM H IS LENGTH BETWEEN Yi AND Yi+1. 2950 REM 3000 DIM A(80), WF(80), E(10), Z(80) 3050 \* MATRIX [A] IS A TRIDIAGONAL MATRIX, THEN WE CAN USE 3 VECTORS FOR 3100 REM REPRESENTING MATRIX (A). 3150 REM 3200 FOR I=1 TO N :REM THIS LOOP IS USED TO FIND 3250 Y=Y1+I\*H :REM THE VALUES OF YI AND THE :REM ENTRIES OF Aii. 3300 VY=FNV(Y) 3350 A(I)=2/(H^2)+VY 3400 NEXT I :REM BI IS THE SUBDIAGONAL OF [A]. 3450 B=-1/(H^2) 3500 GOSUB 9200 3550 FOR I=1 TO 8 3600 IF I=1 THEN GOSUB 5600

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3650 IF I=2 THEN GOSUB 6250
3700 IF I=3 THEN GOSUB 6900
3750 IF I=4 THEN GOSUB 7550
3800 IF I=5 THEN GOSUB 7950
3850 IF I=6 THEN GOSUB 8250
3900 IF I=7 THEN GOSUB 8550
3950 IF I=8 THEN GOSUB 8850
4000 °
4100 *
         IN THIS SECTION, WE FIRST MULTIPLY THE MATRIX A WITH
4150 *
         VECTOR WF. THIS YIELDS A VECTOR Z. ( [Aij]*WFj = Zj )
4200 *
         NOW, DIVIDE THE VECTOR Z WITH SOME COMMON NUMBER,
4250 "
         IN HERE, WE CHOOSE ENERGY E(I), WE GET A NEW VECTOR Z.
4300 *
         BY COMPARING THIS VECTOR WITH EIGEN-VECTOR, AND IF THE
4350 '
         DIFFERENT (DFR) IS A SMALL NUMBER THEN THE EIGEN-VECTOR
4400 "
         WE AND EIGEN-ENERGY E CAN BE THOUGHT AS A NEARLY EXACT
4450 "
         SOLUTION OF THIS EIGENVALUE PROBLEM.
4500 *
4550 Z(0)=0
4600 Z(1)=A(1)*WF(1)+B*WF(2)
4650 FOR J=2 TO N-1
4700 Z(J)=B*WF(J-1)+A(J)*WF(J)+B*WF(J+1)
4750 NEXT J
4800 Z(N) = B * WF(N-1) + A(N) * WF(N)
4850 Z(N+1)=0
4900 FOR J=0 TO N+1
4950 Z (J) = Z (J) / E (I)
                       DIVIDE EACH ENTRY OF VECTOR Z BY A NUMBER,
                  : REM
                       FOR CONVENIIENT, WE CHOOSE ENERGY E(I).
5000 \text{ DFR}=ABS(Z(J)-WF(J))
5050 IF DFR > .00001 THEN PRINT "THE DIFFERENCE OF ITS COORDINATE IS"; DFR
                 ELSE PRINT "Z("J")-WF("J")=";DFR
5100 NEXT J
5150 "
5250 NEXT I
5300 END
5350 "
5450 °
       THIS SECTION ACCESSES THE FILES WHICH CONTAINS THE
5500 "
         GROUND STATE EIGEN-VECTOR.
5550 '
5600 OPEN "I", #2, "WAVEFCN1"
5650 FOR K=0 TO NZ+1
5700 INPUT #2, WF (K)
5750 NEXT K
5800 CLOSE #2
5850 RETURN
5900 1
6000 *
6100 '
         THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE
6150 -
        FIRST EXCITED STATE EIGEN-VECTOR.
6200 *
6250 OPEN "I", #3, "WAVEFCN2"
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6300 FOR K=0 TO NZ+1
6350 INPUT #3.WF(K)
6400 NEXT K
6450 CLOSE #3
6500 RETURN
6550 *
6650 "
6750 '
        THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE
6800 °
        SECOND EXCITED STATE EIGEN-VECTOR.
6850 7
6900 OPEN "I",#4, "WAVEFCN3"
6950 FOR K=0 TO NZ+1
7000 INPUT #4,WF(K)
7050 NEXT K
7100 CLOSE #4
7150 RETURN
7200 *
7300 - 2
7400 "
       THIS SECTION ACCESSES THE FILE WHICH CONTAINS THE
7450 °
        THIRD EXCITED STATE EIGEN-VECTOR.
7500 1
7550 OPEN "I", #5, "WAVEFCN4"
7600 FOR K=0 TO NZ+1
7650 INPUT #5.WF(K)
7700 NEXT K
7750 CLOSE #5
7800 RETURN
7850 *
7950 OPEN "I", #6, "WAVEFCN5"
8000 FOR K=0 TO NZ+1
8050 INPUT #6.WF(K)
8100 NEXT K
8150 CLOSE #6
8200 RETURN
8250 OPEN "I", #7, "WAVEFCN6"
8300 FOR K≈0 TO NZ+1
8350 INPUT #7, WF(K)
8400 NEXT K
8450 CLOSE #7
8500 RETURN
8550 OPEN "I", #8, "WAVEFCN7"
8600 FOR K=0 TO NZ+1
8650 INPUT #8,WF(K)
8700 NEXT K
8750 CLOSE #8
8800 RETURN
8850 OPEN "1", #9, "WAVEFCN8"
8900 FOR K=0 TO NZ+1
8950 INPUT #9,WF(K)
9000 NEXT K
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9050 CLOSE #9 9100 RETURN 9150 " 9200 OPEN "I",#10,"NEWERG" 9250 FOR K=1 TO 8 9300 INPUT #10,E(K) 9350 NEXT K 9400 CLOSE #10 9450 RETURN