# Identifying the main drivers for the production and maturation of Scots pine tracheids along a temperature gradient

4	Liisa Kulmala <sup>c,1,2</sup> , Jesse Read <sup>a,b,2</sup> , Pekka Nöjd <sup>d</sup> , Cyrille. B. K. Rathgeber <sup>e</sup> , Henri E.
5	Cuny <sup>f</sup> , Jaakko Hollmén <sup>a</sup> , Harri Mäkinen <sup>d</sup>

 <sup>a</sup>Department of Computer Science, Aalto University and HIIT, P.O. Box 15400, FI-00076 Aalto, Helsinki, Finland

 <sup>b</sup>Computer Science and Networks Dept., Télécom ParisTech, 46 Rue de Barrault, 75013 Paris, France
 <sup>c</sup>Department of Forest Sciences, University of Helsinki, P.O. Box 27, FI-00014 University of Helsinki, Finland

<sup>d</sup>Natural Resources Institute Finland, Jokiniemenkuja 1, FI-01370, Vantaa, Finland

<sup>e</sup>LERFOB, INRA, AgroParisTech, UMR 1092 LERFOB, F-54000 Nancy, France

<sup>f</sup>Swiss Federal Research Institute WSL, Zürcherstrasse 111, CH-8903 Birmensdorf, Switzerland

## 14 Abstract

2

3

11

12

13

Even though studies monitoring the phenology and seasonal dynamics of the wood formation have accumulated for several conifer species across the Northern Hemisphere, the environmental control of tracheid production and differentiation is still fragmentary. With microcore and environmental data from six stands in Finland and France, we built auto-calibrated data-driven black box models for analyzing the most important factors controlling the tracheid production and maturation in Scots pine stem. In the best models, estimation was accurate to within a fraction of a tracheid per week. We compared the relative results of models built using different predictors, and found that the rate of tracheid production was partly regular but current and previous air temperature had influence on the sites in the middle of the temperature range and photosynthetic production in the coldest ones. The rate of mature cell production was included in all successful models.

- <sup>15</sup> Keywords: micro-core measurements; xylogenesis; diameter growth; gross primary
- <sup>16</sup> production; black box modeling; predictive models

Preprint submitted to Agricultural and Forest Meteorology

#### 17 1. Introduction

In extra-tropical areas, trees seasonally produce new wood (i.e., xylem), which serves 18 as mechanical support, water and nutrients conduction, and storage of carbohydrates, 19 water and defensive compounds. In conifers, the xylem mainly consists of one type 20 of cells called tracheids. New tracheids are produced by cell division in the cambium, 21 after which they follow a differentiation program involving enlargement, secondary wall 22 formation, lignification, and programmed cell death. The regulation of tracheid formation 23 is dependent on both endogenous factors, such as genotype and hormonal signalling, and 24 exogenous factors such as the environment (Fritts, 1976; Plomion et al., 2001; Rossi 25 et al., 2006; Vaganov et al., 2006). The phenology and intra-annual dynamics of the 26 xylem production and maturation has already been accurately quantified for several 27 species (Rossi et al., 2013; Cuny et al., 2014), but our knowledge of the influence of 28 environmental factors on these processes is fragmentary (Vaganov et al., 2006; Delpierre 29 et al., 2016b). 30

The importance of air temperature, especially in the onset of xylem growth, has been 31 widely reported. Rossi et al. (2008) observed that the onset of xylogenesis occurred 32 with daily average temperature of  $8-9^{\circ}C$ . An earlier onset and later ending of cell di-33 vision cause a longer duration of xylem formation at higher temperatures (Rossi et al., 34 2011). Also a temperature sum approach has been used for modelling the onset of xylem 35 formation (Seo et al., 2008; Swidrak et al., 2011; Jyske et al., 2014; de Lis et al., 2015). 36 In addition to air temperature, photoperiod has been reported to affect growth in 37 many species (Partanen et al., 2001; Seo et al., 2011; Cuny et al., 2015), and the cell 38 division rate has been found to decline after summer solstice (Rossi et al., 2006; Cuny 39 et al., 2015). Zhai et al. (2012) found positive correlations between the minimum and 40 mean air and soil temperature and tracheid formation in Jack pine (Pinus banksiana 41 L.) stem. Oberhuber et al. (2014) found a negative relationship between vapour pres-42

 $<sup>^1\</sup>mathrm{Corresponding}$  author, +358 2941 57981, liisa.kulmala@helsinki.fi

<sup>&</sup>lt;sup>2</sup>Equal collaboration of the first two authors

<sup>43</sup> sure deficit (VPD) and tree ring increment indicating that high VPD and the resulting <sup>44</sup> high evaporative demand reduces turgor pressure in cells, as well as cell division and <sup>45</sup> enlargement. Also in drought-prone areas, water deficits in late spring and summer play <sup>46</sup> a critical role in the onset of xylogenesis and xylem cell production (Kalliokoski et al., <sup>47</sup> 2012; Ren et al., 2015; Oberhuber et al., 2014; Lempereur et al., 2015). The role of dif-<sup>48</sup> ferent environmental factors controlling intra-annual growth dynamics most likely vary <sup>49</sup> depending on growing environment but this is still not clearly understood.

Photosynthesis provides material for the growth and wood formation. A positive 50 connection between annual ring width and net ecosystem productivity (NEP) or gross 51 primary production (GPP) has been reported (Ohtsuka et al., 2009; Babst et al., 2014; 52 Gea-Izquierdo et al., 2014; Schiestl-Aalto et al., 2015). On the other hand, Delpierre et al. 53 (2016a) demonstrated that soil water and VPD are more important variables than carbon 54 fluxes in determining weekly rates of wood formation in a temperate Oak. Zweifel et al. 55 (2010) found a close relationship between stem radius changes and monthly and half-56 hourly NEP and monthly GPP but their study was based on stem radius measurements, 57 which also include the swelling and shrinking of stems due to changes in water balance 58 as well as the growth and regeneration of the phloem. 59

A detailed view on the importance of different environmental factors and photosyn-60 thetic production may help us perceive the effects of changing climate on secondary 61 growth and the acclimation capacity of trees. The aim of this study is to examine which 62 climatic and ecophysiological factors explain best the intra-annual dynamics of cell pro-63 duction and maturation in Scots pines (*Pinus sylvestris* L.) in different environments. 64 Thus, we selected six Scots pine stands (three in Finland along a latitudinal gradient and 65 three in France along an altitudinal gradient) from which we have three to four years 66 (depending on the stand) of wood formation monitoring and environmental data, includ-67 ing measured daily average of temperature, radiation, and precipitation, air humidity as 68 VPD, and modelled soil moisture and GPP. The total number of tracheids at different 69 stages of cell differentiation and the number of mature tracheids (i.e., tracheids that had 70

<sup>71</sup> completed differentiation) were obtained from microcore samplings.

We used machine-learning as a tool for modelling the intra-annual tracheid production 72 and maturation dynamics from environmental data. As opposed to traditional statistical 73 analysis, advanced machine learning methods learn quickly and automatically, poten-74 tially with very large numbers of variables and samples. Similar to traditional statistical 75 analysis, results can help understanding biological mechanisms. In practice, we employed 76 black box models, a tool that offers great flexibility with regard to modelling. Although 77 black box models cannot always be interpreted at the coefficient level - there may in 78 fact be no coefficients, e.g., decision tree and nearest-neighbour methods (Hastie et al., 79 2001) - the results obtained can be interpreted by way of relative performance evaluation: 80 comparing the performance of the models using different input data. For example, if soil 81 moisture as an input predictor leads to excellent prediction of growth at one site, but poor 82 prediction at another site, it suggests that this particular environmental measurement is 83 more relevant to the trees under the conditions of the first site. 84

## <sup>85</sup> 2. Materials and Methods

#### 86 2.1. Study Sites

The studied Scots pines grew on six sites in Finland and France (Figure 1) where 87 mean annual air temperature ranged from  $0.8^{\circ}C$  to  $10.0^{\circ}C$  (Table 1). Finnish sites lay 88 in the boreal zone and French sites in the temperate zone. Sites 1 and 2 are Scots pine 89 monocultures, site 3 a mixture of Scots pine and Norway spruce (*Picea abies* (L.) Karst.) 90 and the French sites (4-6) are mixtures of Scots pine, Norway spruce and silver fir (Abies 91 alba Mill.). The studied pines in boreal sites were middle-aged whereas the ones in the 92 temperate sites were clearly more aged (Table 1). The sites 1 and 2 are introduced in 93 detail by Hari et al. (1994) and Hari and Kulmala (2005), respectively, and sites 4-6 in 94 Cuny et al. (2015). 95

The air temperature (T), precipitation (P) and air relative humidity (RH) were measured at each site except for Ruotsinkylä (site 3). Solar radiation (I) were measured at



Figure 1: Site map.

SMEARI and SMEARII whereas the solar radiation at the French sites was measured at a nearby meteorological station and used for all the sites. For Ruotsinkylä, T, RH, P and I were attained from the nearby (5 km) weather station maintained by the Finnish Meteorological Institute. Special weather events such as drought, heavy winds etc. were not recorded in the study sites during the measured years.

<sup>103</sup> Vapour Pressure Deficit (VPD, Pa) was computed as

$$V = v - RH \frac{v}{100},\tag{1}$$

where RH (%) is relative humidity, and v (Pa) the saturated water pressure,

$$v = e^{77.345 - 7235.42/T - 8.2\log(T) + 5.7113T/1000}$$
(2)

<sup>105</sup> where temperature (T) is in Kelvins.

### 106 2.2. GPP estimates

We predicted daily gross primary production (GPP) and soil water content (S) using an empirical model PRELES (Peltoniemi et al., 2015). The GPP section of the model has been validated using measurements from seven pine and spruce stands located between latitudes 44°27′ and 67°22′ (Mäkelä et al., 2008). In the model, soil water content (S) is

Table 1: Sites, and their mean annual temperature (T,  $^{\circ}$ C), with mean average temperatures from the coldest and the warmest month in parenthesis, precipitation (P, mm/year), mean VPD (kPa) with mean average VPD of the month with highest VPD in parenthesis, altitude (m), latitude (N), mean tree age (years), height (H, m), diameter at 1.3 m (D, cm), number of stems (N,  $ha^{-1}$ ), and data range. The first three sites are in Finland, and the other three sites are in France.

	name	T (min:max)	Р	VPD	alt.	lat.	age	Η	D	Ν	data range
1	SMEARI	0.8(-11:12)	580	0.16(0.44)	390	$67^{\circ}5$	90	9	14	770	2007-2009
2	SMEARII	4.3(-8:17)	590	$0.31 \ (0.75)$	181	$61^{\circ}9$	46	16	18	755	2007-2010
3	Ruotsinkylä	5.9(-9:19)	703	0.28(0.74)	60	$60^{\circ}2$	38	18	18	1002	2007-2010
4	Grandfontaine	8.6(1:16)	1520	0.24(0.45)	650	$48^{\circ}6$	119	27	53	431	2007-2009
5	Abreschviller	9.2(1:17)	1190	0.22(0.39)	430	$48^{\circ}6$	162	36	33	253	2007-2009
6	Walscheid	10(1:19)	900	$0.36\ (0.68)$	370	$48^{\circ}5$	95	31	52	189	2007-2010

<sup>111</sup> calculated using a bucket model using precipitation as an inflow and evapotranspiration

and runoff as outflows. We simplified the calculation of evapotranspiration (E) as follows:

$$E = \beta_E G \frac{V}{V^{\kappa_E}} + \alpha_E (1 - f_{APAR}) PAR f_{W,E}, \qquad (3)$$

where G is GPP, V is VPD, PAR is the daily sum of photosynthetic photon flux density,  $\beta_E, \kappa_E, \alpha_E$ , and  $f_{APAR}$  parameters and  $f_{W,E}$  a soil water modifier as in Peltoniemi et al. (2015). The chosen soil water model is parametrized at site 2 leading there to similar results with the original model but with less complexity.

Briefly, PRELES predicts the GPP as a product of 1) potential daily light use ef-117 ficiency (LUE), 2) fraction of absorbed photosynthetically active radiation ( $f_{APAR}$ ) de-118 scribing the photosynthesising leaf area, 3) photosynthetically active radiation (PAR), 119 and 4) modifying factors that in suitable conditions result in 1 and in unsuitable con-120 ditions to less than one decreasing the potential GPP. The modifying factors are four 121 independent functions with PAR, temperature history, VPD and relative extractable soil 122 water (REW) as determinants. We used the same model parameters for each site (Ta-123 ble A.7) using the values that Peltoniemi et al. (2015) have estimated and tested for 124 SMEARII (site 2). The leaf area increases in early season and decreases in late season 125 but we treated it as a constant since the changes in it are partly reflected in the factor of 126 temperature history. The acceptable performance against empirical data (Mäkelä et al., 127

<sup>128</sup> 2008) allows such simplification to decrease the complexity of the model. Since we are <sup>129</sup> not interested in the overall level of GPP in the stand but the interannual variation in <sup>130</sup> the studied Scots pines, we did not include the exact stand characteristics in the model <sup>131</sup> (e.g. maximum LAI). Thus, we treated GPP as a relative value describing the daily <sup>132</sup> photosynthesis of Scots pines during a growing season inside a stand.

### 133 2.3. Sample Collection and Preprocessing

Xylem formation in stems was monitored by repeatedly collecting microcores at a 134 height of 1.3 m. They were collected from each site from four to five randomly selected 135 dominant trees once or twice a week in spring and early summer, and once a week in late 136 summer and autumn. The first samples were taken between early April and mid-May and 137 the sampling continued to mid-September in Finland and to late November in France. 138 From the images taken of the current-year ring samples, the number and diameters of 139 tracheids in different tracheid formation phases were measured along one representative 140 tracheid row. The details of the sampling and the laboratory analyses are described by 141 Kalliokoski et al. (2012), Jyske et al. (2014) and Cuny et al. (2012, 2014). In order to 142 study the rate of differentiating tracheid production (RDTP) and the rate of mature cell 143 production (RMTP), we recorded both the total number of tracheids (i.e., the sum of 144 tracheids in all formation phases) and the mature tracheids (i.e., tracheids which have 145 completed the cell formation and entered the mature stage). 146

Noise is unavoidable in this kind of data, and we took a number of steps to produce 147 reasonable rates for RDTP and RMTP from the measurements. The procedure is exem-148 plified in Figure 2. As a first step, we considered a time scale of weeks, rather than days; 149 averaging by week provides at least one measurement for most weeks during the growing 150 season. We considered week t = 1 of the year as the first to seventh day inclusive, and 151 so on. In the cases where measurements for more than one tree were available, we used 152 the average number of cells of all trees for each week, since we were interested in the 153 relative differences in the rates among particular sites rather than individual trees. We 154 assume zero new tracheids on all weeks prior to the first measurement and posterior to 155

Table 2: Sites and the number of missing values which are smoothed over. All site-years not appearing had complete data time series.

	Site	Year (Num. Missing)
1	SMEARI	2008(1), 2009(6)
2	SMEARII	2007(11)
4	Grandfontaine	2007(5), 2008(4), 2009(6)
5	Abreschviller	2007(9), 2008(1), 2009(1)
6	Walscheid	2007(9), 2008(1), 2009(5)

Table 3: Summary of notation.  $\mathbf{x}_t$  and  $y_t$  can be considered general inputs and output, respectively.

variable	range	symbol
t	$\in \{1, \ldots, 52\}$	time index (week number)
$\mathbf{x}_t = [x_1, \dots, x_p]$	$\in \mathbb{R}^p$	data input for week $t$ , of $p$ variables
$y_t$	$\in \mathbb{R}$	growth at week $t$ (number of cells)
$\hat{y}_t$	$\in \mathbb{R}$	estimated growth given input, with black box model
T(t)	$\in \mathbb{R}$	avg. air temperature week $t$

the last measurement of each year (i.e., outside of the growing season). For all other weeks (i.e., during the growing season), we plugged in a value from a linear fit between the surrounding values. For example, if  $\tilde{y}_t$  is the average number of measured tracheids at week t, and we have  $\tilde{y}_{23} = 12$  and  $\tilde{y}_{26} = 18$  but weeks 24 and 25 are missing, then we plugged in values  $\tilde{y}_{24} = 14$  and  $\tilde{y}_{25} = 16$ . The number of values affected by this operation is signaled in Table 2. We smoothed these measurements with a weighted average,

$$\bar{y}_t \leftarrow 0.05 \tilde{y}_{t-2} + 0.25 \tilde{y}_{t-1} + 0.40 \tilde{y}_t + 0.25 \tilde{y}_{t+1} + 0.05 \tilde{y}_{t+2} \tag{4}$$

and furthermore capped this value such that  $\bar{y}_t \leftarrow \max(\bar{y}_t, \bar{y}_{t-1})$ . This ensured that RDTP and RMTP are never negative. Since we already smoothed (averaged) by week as an initial step, more advanced smoothing was not needed.

In the final step, we converted the running cumulative sum of total number of cells and the number of mature cells into a rate (of new tracheids/week); as we were primarily interested in modelling the week-to-week rate of tracheid production, indicated henceforth as  $y_t$ .



Figure 2: Example of preprocessing: for SMEARI in the year 2007. Raw tracheid-counts (total) have been averaged by week, smoothed, capped to prevent negative growth, and then a differential is taken to represent week-by-week growth (RDTP).

169 2.4. Black Box Models

A black box refers to a data driven model where inputs and outputs are the focus, as opposed to the internal mechanism. Thus, the mechanism of mapping inputs to outputs is not designed by domain knowledge, but rather constructed automatically from data. The chosen algorithm is of a lesser importance than its predictive performance, and the focus is on interpreting relative results.

Instances of explanatory variables (i.e., inputs), each associated with a target variable 175 (i.e., output), are used as training data to build a model. In our case, input attributes 176 were environmental data and the week number, and the target variable was the rate of 177 tracheid production/maturation (RDTP/RMTP) for a given week; See Table 3. These 178 instances were fed into an off-the-shelf learner along with the target variable, and a model 179 was built. With this model, the target RDTP and RMTP (output) for any particular 180 week can be estimated automatically from environmental records on and prior to this 181 week (input). 182

After an empirical trial of some popular learning algorithms, we chose ridge regression (Hastie et al., 2001) to use as a black box. This kind of model extends ordinary least squares regression with a penalty regularization term on the coefficients to avoid overfitting (i.e., avoid excessively large coefficients), such that the error function for MSE to be minimized is

$$E(\boldsymbol{\beta}) = \sum_{t=1}^{52} (\boldsymbol{\beta}^{\top} \mathbf{x}_t - y_t)^2 + \frac{1}{\lambda} \boldsymbol{\beta}^{\top} \boldsymbol{\beta}$$
(5)

$$=\sum_{t=1}^{52} (\hat{y}_t - y_t)^2 + \frac{1}{\lambda} \beta^\top \beta$$
 (6)

where  $\beta$  is the vector of coefficients, and  $\lambda$  is a parameter on the penalty, that is automatically tuned via internal cross validation. Essentially, it is MSE plus a penalty term. We used the Python programming language, and in particular the scikit learn package<sup>3</sup> for the ridge regression implementation. Although we found that ridge regression provided good results on our data, any predictive regression model can be used (e.g., ordinary linear regression, decision tree regressors, k-nearest neighbours).

## <sup>194</sup> 2.5. Variables for the Black Box

Given an algorithm, and a chosen output variable (RDTP and RMTP in our case) the remaining task is the configuration of input, i.e., choosing and/or creating transformations of explanatory variables. From a knowledge-blind point of view, all predictive power will come from some time horizon of the input (environmental measurements) up to the current week t; as well as the week number t. In other words, the week number t, and a time horizon of environmental measurements is provided to the black box, to output an estimate at week t.

Regarding the length of time horizon, we conducted a pilot study on the effect of different horizons on predictive accuracy based on models of multiple environmental

<sup>&</sup>lt;sup>3</sup>http://scikit-learn.org



Figure 3: Figure 3a represents a simple linear model in graphical form, and Figure 3b shows the general concept of an inner layer to provide non-linearity. This layer  $(z_1, \ldots, z_4)$  can either be viewed as part of a (non-linear) black box, or as a different configuration of the input to a (linear) black box.

measurements, and found that in general, accuracy improved for most sites up until 204 including about 10 weeks of environmental history. For other sites (whose model did 205 not benefit from such a long horizon) the longer time horizon still did no harm, since 206 an appropriately regularized black box model (as ridge regression that we chose) learns 207 coefficients close (or at) zero for the variables corresponding to the oldest weeks. There-208 fore we used a time horizon of 10 weeks for all models. In practice this means that the 209 model makes an estimation of growth (in number of tracheids) at the current week, as 210 a function of environmental measurements at the present week and all measurements of 211 the prior 10 weeks. 212

A *linear* model of input is often insufficient for most predictive variables. For example, the week number t correlates to low/zero RDTP/RMTP for both low values (beginning of the year) and high values (end of the year). Similarly, although basic temperature variables such as the *sum* and *average* can be modeled by a simple linear combination of variables, the relationship of temperature to tracheid formation is in practice non-linear. A well-known way to allow non-linearity in statistical models is via basis functions, for example a polynomial transformation Hastie et al. (2001).

The basis functions can be viewed as an inner layer that provides non-linear predictive power (Figure 3). This strategy is used by a plethora of approaches including neural networks and latent variable models (Hastie et al., 2001). As well as automatic/blind variable transforms, we looked at two expert functions. First, as a short term response to environmental drivers, we used

$$D(T(t);c) = 1_{T(t)>c} \left[ \frac{1}{1 + \exp\left(-0.1T(t)\right)} \right]$$
(7)

as inspired by Schiestl-Aalto et al. (2015), except that we did not sum cumulatively. Es-225 sentially this function acts as switch which yields zero whenever the average temperature 226 is at or below a threshold  $c^{\circ}C$ , and otherwise returns a value between 0 and 1 representing 227 the weekly temperature (closer to 1 represents higher temperature, Figure 4). We set pa-228 rameters  $c = \{0, 5, 10\}$ , i.e., we include three variables, D(T(t); 0), D(T(t); 5), D(T(t); 10), D(T(t); 1229 in the same model. Unlike Schiestl-Aalto et al. (2015) we average by week (rather than 230 by day) and consider the tracheid production/maturation rate rather than cumulative 231 number. Note that D = 0 corresponds to zero *change* in a cumulative temperature sum. 232 Including D over a time horizon in the model (as we did) offers approximate predictive 233 power to a cumulative sum inside D itself (depending on the length of the time horizon). 234 Secondly, we used a timing variable also inspired from (Schiestl-Aalto et al., 2015): 235

$$O(t;t_o,o,T(\ldots,t)) = \max\left(0,4\frac{\left[o\sqrt{t'}-t'\right]}{o^2}\right)$$
(8)

$$t' = \max(0, t - t_o, t - \min(T(t) > 0))$$
(9)

where t' begins counting at week number  $t_o$  unless the temperature is still below zero, 236 in which case it begins on the first week of average temperature above  $0^{\circ}C$ . In other 237 words: the signal of this function begins (raises above 0) at the first instance when the 238 week number is at least  $t_o$  and temperature is above 0. It also yields a value  $O \in$ 239 [0,1] increasing sharply at first and decreasing gradually after that (Figure 4). There is 240 empirical evidence suggesting that trees in the cold northern Finland start to grow at 241 lower temperatures (Jyske et al., 2014). Therefore, to attain a reasonable fit, we set o =242  $\{4.5, 5.0\}$  for Finnish sites and  $o = \{5.0, 6.0\}$  for French sites; and  $t_o = \{11, 12, 13, 14\}$ . 243 12



Figure 4: Illustrations of the shapes of short-term response to temperature (D) and growth timing with (O). The horizontal axis is time (in weeks). Note that for purposes of illustration we have chosen the temperature data from Site 1 (SMEARI) during 2007.

 $_{244}$  In other words, we included a total of eight O variables per model.

Therefore, rather than manual calibration of a single function, we used several different calibrations which the black box automatically calibrates with additional parameters (e.g., coefficients). Therefore in-depth domain knowledge is not required and the model is still heavily data driven, even though in this particular case, the functions we used were derived from experts. This could be called a 'grey box'.

Lupi et al. (2010) show that the timing of cell maturation (hence possibly the rate of mature tracheid production) is dependent on the number of tracheids produced earlier in the season. Including the measured number of tracheids early in the season in the model detracts from the applicability of a model, since it requires measurements in order to run the model. Instead, we also consider as a variable the *predicted* number of tracheids over the time horizon as an additional variable for predicting the number of mature tracheids (denoted P).

An overview of variables used in the model is given in Figure 5. In the results we experiment with different combinations of variables, for example  $(D.O.G)^5$  means that we used D and O and G variables, via polynomial transformation of degree 5.



Figure 5: Model variables. Shaded nodes represent environmental measurements (temperature T, relative humidity RH, and solar radiation I) and the week number (t). Nodes with a dashed outline were used only indirectly. Second-level nodes represent higher-level variables (V=VPD, G=GPP, S=soil moisture, the growth-timing variable O, and the short term response to environmental drivers D). The direction of arrows represent flow of information, e.g., the week number and mean weekly temperature were used in the calculation of growth timing factor O. For brevity, we excluded nodes and connections used in the estimation of the soil moisture variable, the polynomial transformations, and the growth-prediction variable used only for prediction of mature tracheids (P).

## 260 2.6. Evaluation

As we built models separately for each site, we also evaluated them on a per-site basis, in order to compare and contrast the predictive power of different inputs in different temperature environments. For a given site, and a given year, we scored a model with the *mean squared error* (MSE).

We built the models on data from all available years (52 data points per year) except the final year, which we held aside for testing the model. We repeated this procedure but instead holding aside the penultimate year, and then again for the ante-penultimate year of measurements for evaluation. The results of the three years were then averaged together. This procedure is similar to hold-one-out cross validation (except that the number of years varies per site).

#### 271 2.7. Interpretation: Opening the Black Box

The large number of variables created in the black box corresponds to an equally large number of coefficients in the regression model that we used, and makes it difficult to analyze the model. For the purpose of interpretation, we additionally run the models with decision-tree regressors. These are powerful non-linear models, that are relatively easy to interpret (Hastie et al., 2001). On account of the inherent non-linearity, there was no need for basis transformations, which reduces the number of variables. Furthermore, in this case since the intention is for interpretation rather than accuracy evaluation, we trained the models on all available data for each site. In each case, we selected the best set of predictors (as determined by the standard black-box evaluation) for the model, with a minimum of 10 samples per leaf and a maximum depth of 5, to enforce a parsimonious (more easily interpretable) model.

The decision-tree models can be interpreted as follows. First note that for clarity 283 and simplicity we have simply denoted the six different parameterizations of the growth-284 timing function as  $a, \ldots, f$  (refer to Eq. (8) for the full form). For example, O(a)[-3] in 285 Figure A.8a is short-form for  $O(t-3; 5, 11, T(\dots, t-3))$ . If this function produces a value 286 greater than 0.85, and (passing then to the right branch) the value of D(T(t-9); 0) is not 287 more than 0.57 (branching to the left), then growth at the current week t is projected to 288 be 2.46 cells. The value 2.46 was average growth of the 11 different weeks that met this 289 criterion over all three years of data. When building the model, each criterion is chosen 290 greedily based on the MSE. An MSE values in the diagrams refer to the error under each 291 particular criteria. Exactly as in Table A.6, this MSE value may be generally higher for 292 some sites, such as Site 3 Ruotsinkylä. Finally, note that we have de-standardized data 203 for interpretation, thus  $T[-1] \leq 10.06$  (for example, Figure A.9a) actually refers to the 294 temperature one week ago not being more than  $10.06^{\circ}C$  (therefore, it makes sense that 295 for this site, growth should be coming to a stop, and hence the left side showing lower 296 values). 297

## 298 3. Results

#### 299 3.1. The Rate of Differentiating Tracheid Production (RDTP)

The *timing of growth* variable (O, Eq. (8)) showed in general the lowest MSE values and had the best average rank explaining the rate of new tracheid production (RDTP, Table 4a) when all available variables were tested as input separately. However, it was the best factor only at the two warmest sites while elsewhere mainly air temperature as a polynomial function or as a growth-response variable (D, Eq. (7)) was the best single predictor for RDTP.

When different variables were combined to predict RDTP, the combination of week number and temperature resulted in the best average rank (Table 5a). However, *O* alone resulted in lower MSE value (Table A.6a,c) than any of the combinations at the two warmest sites (5,6) where it mostly succeeded to predict the onset and cessation of RDTP but failed to predict the observed dynamics in-between with satisfactory manner (Figure 6e,f).

At the sites 3 and 4, the lowest MSE values resulted from models including either 312 temperature as the only variable or combined with the week number (t) (Table 5a, 313 Table A.6a,c). However, the inclusion of t lowered MSE value only a little in site 3 314 (Table A.6) and it was pruned from the most topmost variables in the decision-tree 315 (Figure A.8c, Figure A.9a) indicating that at these sites (3,4), the observed changes in 316 RDTP were mainly connected to the changes in air temperature. The models with lowest 317 MSE values for these sites succeeded to predict some of the intra-annual dynamics of 318 RDTP (Figure 6c,d). The decision tree analysis revealed that with high temperatures 319 (i.e., in the middle of the growing season), current temperature was the most important 320 variable but also temperatures from 9-10 weeks earlier were important for estimation 321 (Figure A.8c, Figure A.9a). 322

The best RDTP models at the coldest sites (1,2) included O and GPP (Table 5a). 323 For site 2, the decision tree models revealed that with small O values current GPP 324 mattered whereas GPP earlier in the season seemed to be important with higher O325 values (Figure A.8b). In addition to O and GPP, temperature in the form of D and 326 VPD were included in the best model for the coldest site (1). The decision tree model 327 showed that O was again the most important variable while the timing of most important 328 temperatures (as D) occurred 8-9 weeks earlier the actual growth. GPP and VPD were 329 pruned away, indicating their lesser importance (Figure A.8a). 330

## 331 3.2. The Rate of Mature Tracheid Production (RMTP)

Overall, the expert *timing of growth* variable (O, Eq. (8)) was the best single variable to predict RMTP even it was not the best one at any of the sites (Table 4b). The week number was the best single variable at the two warmest sites (5,6). In the chilliest site (1), D was the best single variable. GPP was the best predictor for the chilliest French and warmest Finnish sites (sites 2-4).

The combination of O and GPP resulted in the best average rank when predicting 337 RMTP (Table 5b). However, week number (t) alone at the warmest site 6 and D at the 338 chilliest site 1 showed the lowest MSE values for RMTP (Table A.6b,d) but the model 339 fits were poor (Figure A.7a,f). At all other sites, GPP was included in the best models 340 to predict RMTP. In addition, the inclusion of O and T at site 5, T at site 4, and O at 341 site 2 improved the model performance (Table 5b, Table A.6d). In general, the model fits 342 for RMTP (Figure A.7) were poorer as for RDTP (Figure 6). Inclusion of the predicted 343 number of cells did not result to lower MSE values at any site even it resulted into a high 344 rank at sites 3 and 4 (Table 5b). 345

The detailed view on model behaviour at site 2 illustrated that with low O values, previous GPP mattered whereas with higher O values, the important GPP rised from four weeks earlier (Figure A.10b). At the site 4, GPP was most important but if it had been high, then also T was involved (Figure A.11a). At site 5, GPP was most important in small O values whereas in high O, temperature was more important than GPP. The decision tree analysis revealed that overall, the most important O values have occurred already 4-10 weeks earlier in the sites where it was included in the best models.

## 353 4. Discussion

We applied fundamental computational methods for gaining new insights into relationships between intra-annual dynamics of tree growth and environment. We built black box models on several years of environmental measurements associated with rates of tracheid production and maturation in Scots pine stems, for six sites spread along an

Table 4: Results with individual variables: week of the year (t), air temperature (T), soil moisture (S), VPD (V), GPP (G) and the expert variables of environmental drivers D, and growth timing O. A superscript indicates the degree of polynomial on the variable, e.g.,  $(T)^5$  implies that variables  $T, T^2, \ldots, T^5$  were used. The per-site ranking of each of the seven black box models is shown with respect to the other models (only the top 3 rankings are displayed for clarity). This ranking is based on an average error over three years (Table A.6).

Dataset	$(t)^{5}$	$(T)^5$	$(S)^5$	$(V)^5$	$(G)^5$	D	0			
SMEARI					2	1	3			
SMEARII	1	3					2			
Ruotsinkylä	2	1					3			
Grandfontaine		1				2	3			
Abreschviller	2	3					1			
Walscheid	2	3					1			
avg rank	2.67	2.50	7.00	5.83	4.33	3.50	2.17			
(b) Ranks – Rate of mature tracheid production (RMTP)										
(b) Ranks	– Rate	of matu	re trach	eid prod	uction (	RMTP)				
(b) Ranks Dataset	$-$ Rate $(t)^5$	of matu $(T)^5$	re trach $(S)^5$	eid prod $(V)^5$	uction ( $(G)^5$	$\frac{\text{RMTP}}{D}$	0			
(b) Ranks Dataset SMEARI	$-$ Rate $(t)^5$	of matu $\frac{(T)^5}{3}$	re trach $(S)^5$	eid prod $(V)^5$	uction ( $(G)^5$	$\frac{\text{RMTP}}{D}$	0 2			
(b) Ranks Dataset SMEARI SMEARII	$-$ Rate $(t)^5$	of matu $\frac{(T)^5}{3}$	re trach $(S)^5$	eid prod $(V)^5$	$\frac{(G)^5}{1}$	$\frac{\text{RMTP})}{\frac{D}{1}}$	0 2 3			
(b) Ranks Dataset SMEARI SMEARII Ruotsinkylä	$-$ Rate $(t)^5$	of matu $(T)^5$	re trach $(S)^5$	eid prod $(V)^5$	$(G)^{5}$	$\frac{D}{1}$	<i>O</i> 2 3 3			
(b) Ranks Dataset SMEARI SMEARII Ruotsinkylä Grandfontaine	$-$ Rate $(t)^5$	of matu $(T)^5$	re trach $(S)^5$	eid prod $(V)^5$	uction ( $(G)^5$	RMTP) <u>D</u> 1 2 2	O 2 3 3 3			
(b) Ranks Dataset SMEARI SMEARII Ruotsinkylä Grandfontaine Abreschviller	$\frac{1}{(t)^5}$	of matu $ (T)^5 3$	re trach $(S)^5$	eid prod $(V)^5$	$\frac{(G)^5}{1}$	RMTP) <u>D</u> 1 2 2	O 2 3 3 3 3 2			
(b) Ranks Dataset SMEARI SMEARII Ruotsinkylä Grandfontaine Abreschviller Walscheid	$-\operatorname{Rate} = \frac{(t)^5}{2}$	of matu $(T)^{5}$ 3	re trach $(S)^5$	eid prod $(V)^5$	$\frac{\operatorname{uction}\left(\overline{G}\right)^{5}}{1}$ $1$ $1$ $3$	RMTP) <u>D</u> 1 2 2	$\begin{array}{c} O\\ 2\\ 3\\ 3\\ 3\\ 2\\ 2\\ 2 \end{array}$			

(a) Ranks – Rate of tracheid production (RDTP)



Figure 6: Measured (y) and estimated  $(\hat{y}_t)$  total new tracheids formed per week (vertical axis) of the black box model for all years tested. The variables of the model were those which obtained the top rank in Table 5 (each site modeled separately).

Table 5: Results with combinations of variables, calculated and displayed similarly to Table 4. Note that predicted number of tracheids P is only used as a variable for number of mature tracheids (in  $(P.T.G)^5$ , 5b); in 5a we instead include the  $(D.O.G.)^5$  variable set.

Dataset	$(T.t)^{5}$	$(T.G)^{5}$	$(D.O.G.V)^{5}$	$(O.G)^{5}$	$(O.T.G)^{5}$	$(D.O.G)^{5}$				
SMEARI		2	1			3				
SMEARII	3			1		2				
Ruotsinkylä	1			3		2				
Grandfontaine	1	3	2							
Abreschviller	3			2		1				
Walscheid	1		3			2				
avg rank	2.33	4.67	3.67	3.67	4.33	2.33				
(b) Ranks – Rate of mature tracheid production (RMTP)										
Dataset	$(T.t)^{5}$	$(T.G)^{5}$	$(D.O.G.V)^{5}$	$(O.G)^{5}$	$(O.T.G)^{5}$	$(P.T.G)^{5}$				
SMEARI	1	2				3				
SMEARII		3		1	2					
Ruotsinkylä		3		1		2				
Grandfontaine		1	3			2				
Abreschviller			2	3	1					
Walscheid			2	1	3					
ave rank	4.83	3.00	3 50	2.50	3 50	3.67				

(a) Ranks – Rate of tracheid production (RDTP)

altitude gradient in France and along a latitude gradient in Finland. Given environmental data over a time horizon of 10 weeks, the models were able to estimate the weekly
rate of tracheid production to within a fraction of a tracheid on average.

A prominent result related to models including the week number, either as such or 361 embedded in the timing of growth variable O, indicate that tracheid production and 362 maturation had a regular pattern in all environments, especially in warm sites. Day 363 length follows the week number but the light hours are not totally responsible for the 364 beginning and cessation of growth demonstrated by the applicability of variable O, which 365 in general resulted to better performance than solely week number. In this variable, the 366 timing was triggered by the week number but tracheid production activated earlier (or 367 later) if the spring temperature increased early (or late) following thereafter a regular 368 pattern. Regarding the connection between week number and daylight hours, it may 369 be worth noting that day length varies most greatly in the northern sites, and that the 370 northernmost site has constant daylight (24 hours) for several weeks around the summer 371

372 solstice.

The high predictive power of the timing of growth (O) stresses the weather-independent 373 part of new tracheid production even at the most temperature limited site of this study 374 where mean annual temperature is barely over  $0^{\circ}C$ . This supports the developmental 375 control of xylogenesis (Cuny and Rathgeber, 2016) and the significance of photoperiod as 376 a driver also there. See et al. (2011) studied Scots pine stands in northern Finland and 377 found that 2/3 of radial growth took place within four weeks of midsummer regardless 378 of the beginning of the growing season, probably because cambial activity needs to end 379 early for the produced tracheids to maturate during favorable weather. Also at the warm 380 environment, the maximum rate of tracheid production is reported occurring around the 381 time of maximum day length and not during the warmest period (Rossi et al., 2006; 382 Cuny et al., 2012). 383

The extended period of tracheid formation in warm climates seen in this study is 384 widely known and reported also by other studies. The earlier onset of new tracheid 385 formation increases the number of tracheids and the maturation of tracheids ends later 386 (Lupi et al., 2010; Rossi et al., 2011). The important role of temperature to determine the 387 rate of tracheid production at several sites in this study (sites 1, 3 and 4) stress the role 388 of air temperature as a regulator for the timing of wood formation and therefore support 389 the earlier findings (Swidrak et al., 2011; Zhai et al., 2012; Jyske et al., 2014). The 390 allocation of assimilated carbon during tracheid production and differentiation requires 391 daily minimum temperatures above  $5^{\circ}C$  (Rossi et al., 2008; Körner, 2015) and possibly 392 explains the strong temperature dependency of the production of mature tracheids at 393 the northernmost site. 394

Carbohydrates are needed to supply energy for cell division, to generate turgor pressure during cell expansion and to produce polysaccharides during cell-wall formation (Muller et al., 2011). GPP was included in the best combinations predicting the rate of tracheid production at the two northernmost sites. In addition, it was the best predictor alone or it was included in a combination for the production of mature tracheids at all

sites where the model prediction was acceptable (sites 2-4, Table 4b). This is in line 400 with Chan et al. (2015) who showed that recently photosynthesized carbon correlates 401 even with daily growth in southern Finland. Also Schiestl-Aalto et al. (2015) found that 402 GPP accelerates the sink activity, i.e., tracheid formation. Zweifel et al. (2010) found a 403 close relationship between stem radius changes and monthly GPP but the relationship 404 was even stronger with net ecosystem production (NEP). Simultaneous photosynthetic 405 production seemed not to limit or accelerate the rate of mature tracheid production in 406 very warm or cold areas of Scots pines but since the model performance was poor in 407 these sites, the drivers for the maturation can not be stated. Nevertheless, GPP is not 408 necessarily limiting growth at temperate sites (Delpierre et al., 2016a). In addition, the 409 model for GPP was parameterized in Southern Finland and it might be less accurate 410 in very cold or warm environments. It must be also taken into consideration that the 411 GPP model was a simplification without e.g., a module for the interannual changes in 412 leaf area, a factor that differs especially between the Northern and the Southern sites of 413 this study. Also the simplified model for soil water dynamics is insufficiently evaluated 414 for different sites but during the study years, the sites did notably not suffer from soil 415 water deficit as suggested also by the model. Low soil moisture most probably influences 416 the inter-annual dynamics of tracheid production and maturation but such conditions 417 did not occur during these study years. 418

Low tree water status causes reduction in the turgor, enlargement, and division of 419 tracheids, and correspondingly a reduction in diameter increment (Eilmann et al., 2011; 420 Oberhuber et al., 2014). Even if the sites of this study did not suffer from low soil mois-421 ture, the northernmost site surprisingly indicated sensitivity to air humidity as VPD was 422 included in the best combination of variables to model the tracheid production (Table 1). 423 It was also relatively competitive even as a single variable. The reason for this remains 424 unknown but possibly the trees there have not prioritized the investments in water trans-425 port system that is weaker for evaporative demand than the trees in warmer boreal and 426 temperate environments. This indicates that even if there is water available, the trees 427

fail to transport enough water from soil causing a decrease in tree water potential, turgor pressure and optimal rate of tracheid production as found also in temperate trees (Delpierre et al., 2016a). Nevertheless, the studied trees at the different sites varied a little in size and age and thus they have differences for example, in their water storage capacity that might influence further their environmental responses.

It is perhaps worth remarking that the week number corresponds not only directly to 433 day length, but also indirectly to all other variables. Mathematically, temperature can 434 be viewed as a function of the week number:  $T(t) = f(t) + \epsilon(t)$  where f(t) is the expected 435 (average) temperature at week t and  $\epsilon(t)$  is some quantity reflecting the variation from 436 that expected value. Measuring temperature directly 'adjusts' the function by precision 437  $\epsilon(t)$ , but the underlying relationship between week number and temperature (f(t)) re-438 mains and can be inferred by our data-driven model even without current temperature 439 measurements. All other environmental predictors are similarly related to t. This helps 440 explain why appropriate functions of the week number (such as the polynomials and 441 the growth timing function, Eq. (8)) were such powerful predictors. On the other hand, 442 since the decision-tree model (Figure A.8c) ignored t, this indicates that temperature 443 still plays a dominant role. 444

We selected ridge regression as the underlying black box model because it performed best overall in terms of MSE in our initial empirical trials. However, to obtain this performance it was essential to include the polynomial basis functions to obtain a nonlinear decision boundary. The relative benefits of using decision tree models are the inherent non-linearity, leading to fewer variables, and overall they offer some additional interpretation of the underlying process. Both methods have been a staple of the machine learning community for some years.

The idea of trying more complex models is tempting. However, the target attribute (rates of tracheid production and maturation, measured by microcoring) is noisy. This is certainly the main limitation in obtaining any further explanatory power from the model. More frequent measurements over several years could help to improve the model's

performance and thus give reliable view on the important variables. However, microcores 456 are always taken from a slightly different spot, and the stem is not regular, and therefore 457 it is not possible to eliminate noise completely. A general disadvantage of the black box 458 model is that it requires significant investment in data gathering, and its performance 459 is heavily dependent on both the quality and quantity of data, and the year-to-year 460 variability of a particular site. On the other hand, decision trees models are unstable 461 in the sense that quite different models can be created for relatively small variations in 462 the training data. In particular, obtaining microcore data is labour intensive. With an 463 increasing amount of data available, that disadvantage is being gradually mitigated. 464

The actual response functions of the main variables, i.e., their exact effect on tracheid production and maturation, is difficult to isolate from the black box models, but the decision tree analysis revealed some of the important periods at each study site. Nevertheless, the detailed understanding on the time horizons, significant periods and causal effect of the important variables to wood formation requires further ecophsysiological studies in the framework of the whole tree water and carbon balance but the current study assists to utilize the main environmental drivers.

#### 472 **5.** Conclusions

Our novel application of machine learning tools to analyze tracheid production showed 473 that the most important environmental factors affecting the intra-annual dynamics of 474 differentiating and mature tracheid production in Scots pine stems vary under different 475 climates. The formation of new tracheids was partly weather-independent, especially 476 at the warm temperate environments, but GPP 0-10 week earlier played a role in the 477 coolest boreal sites. In sites where mean temperatures were between these outer bound-478 aries, current and previous temperature was the most influential environmental factor. 479 GPP and its history was on average the best single predictor for the rate of mature 480 tracheid production and it was included as a predictor in the most accurate models. Our 481 findings identifying the most important variables for growth can be used in building up 482

detailed physiological theories on the production and maturation of Scots pine tracheids
in different climates.

### 485 Acknowledgements

This study was supported by the Academy of Finland (257641, 277623), the Academy
of Finland Finnish Centre of Excellence Program (272041) and COST action FP1106.
We also thank Annikki Mäkelä for the help in GPP modelling.

#### 489 References

- Babst, F., Bouriaud, O., Papale, D., Gielen, B., Janssens, I.A., Nikinmaa, E., Ibrom, A., Wu, J.,
  Bernhofer, C., Koestner, B., Gruenwald, T., Seufert, G., Ciais, P., Frank, D., 2014. Above-ground
  woody carbon sequestration measured from tree rings is coherent with net ecosystem productivity at
  five eddy-covariance sites. New Phytologist 201, 1289–1303. PT: J; TC: 7; UT: WOS:000338510200024.
  Chan, T., Hölttä, T., Berninger, F., Mäkinen, H., Nöjd, P., Mencuccini, M., Nikinmaa, E., 2015. Separating water-potential induced swelling and shrinking from measured radial stem variations reveals a
  cambial growth and osmotic concentration signal. Plant, Cell & Environment , In Press.
- Cuny, H., Rathgeber, C.B., 2016. Xylogenesis: "coniferous trees of temperate forests are listening to
  the climate tale during the growing season, but only remember the last words!". Plant Physiology
  doi:{10.1104/pp.16.00037}.
- <sup>500</sup> Cuny, H.E., Rathgeber, C.B.K., Frank, D., Fonti, P., Fournier, M., 2014. Kinetics of tracheid develop <sup>501</sup> ment explain conifer tree-ring structure. NEW PHYTOLOGIST 203, 1231–1241. doi:{10.1111/nph.
   <sup>502</sup> 12871}.
- <sup>503</sup> Cuny, H.E., Rathgeber, C.B.K., Frank, D., Fonti, P., Makinen, H., Prislan, P., Rossi, S., del Castillo,
  <sup>504</sup> E.M., Campelo, F., Vavrcik, H., Camarero, J.J., Bryukhanova, M.V., Jyske, T., Gricar, J., Gryc, V.,
  <sup>505</sup> Luis, M.D., Vieira, J., Cufar, K., Kirdyanov, A.V., Oberhuber, W., Treml, V., Huang, J.G., Li, X.,
- Swidrak, I., Deslauriers, A., Liang, E., Nojd, P., Gruber, A., Nabais, C., Morin, H., Krause, C., King,
  G., Fournier, M., 2015. Woody biomass production lags stem-girth increase by over one month in
- <sup>508</sup> coniferous forests. Nature Plants 1, 15160. PT: J; TC: 1; UT: WOS:000364417300001.
- <sup>509</sup> Cuny, H.E., Rathgeber, C.B.K., Lebourgeois, F., Fortin, M., Fournier, M., 2012. Life strategies in
   <sup>510</sup> intra-annual dynamics of wood formation: example of three conifer species in a temperate forest in
   <sup>511</sup> north-east France. TREE PHYSIOLOGY 32, 612–625. doi:{10.1093/treephys/tps039}.
- 512 Delpierre, N., Berveiller, D., Granda, E., Dufrêne, E., 2016a. Wood phenology, not carbon input, controls
- the interannual variability of wood growth in a temperate oak forest. New Phytologist 210, 459–470.

- 514 Delpierre, N., Vitasse, Y., Chuine, I., Guillemot, J., Bazot, S., Rutishauser, T., Rathgeber, C.B.K.,
- 2016b. Temperate and boreal forest tree phenology: from organ-scale processes to terrestrial ecosystem
  models. Annals of Forest Science 73, 5–25. PT: J; TC: 3; UT: WOS:000370753300002.
- 517 Eilmann, B., Zweifel, R., Buchmann, N., Pannatier, E.G., Rigling, A., 2011. Drought alters timing,
- quantity, and quality of wood formation in scots pine. Journal of experimental botany 62, 2763–2771.
- <sup>519</sup> PT: J; TC: 45; UT: WOS:000290813300024.
- 520 Fritts, H.C., 1976. Tree Rings and Climate. Academic Press, New York.
- 521 Gea-Izquierdo, G., Bergeron, Y., Huang, J.G., Lapointe-Garant, M.P., Grace, J., Berninger, F., 2014.
- The relationship between productivity and tree-ring growth in boreal coniferous forests. Boreal Environment Research 19, 363–378. PT: J; TC: 0; UT: WOS:000345732000003.
- Hari, P., Kulmala, M., 2005. Station for measuring ecosystem-atmosphere relations (smear ii). Boreal
  Environment Research 10, 315–322. PT: J; TC: 231; UT: WOS:000233128500001.
- 456 Hari, P., Kulmala, M., Pohja, T., Lahti, T., Siivola, E., Palva, L., Aalto, P., Hämeri, K., Vesala, T.,
- Luoma, S., Pulliainen, E., 1994. Air pollution in eastern lapland : challenge for an environmental measurement station. Silva Fennica 28, 29–39.
- Hastie, T., Tibshirani, R., Friedman, J., 2001. The Elements of Statistical Learning. Springer Series in
  Statistics, Springer New York Inc., New York, NY, USA.
- Jyske, T., Makinen, H., Kalliokoski, T., Nojd, P., 2014. Intra-annual tracheid production of norway
   spruce and scots pine across a latitudinal gradient in finland. Agricultural and Forest Meteorology
- <sup>533</sup> 194, 241–254. PT: J; TC: 1; UT: WOS:000339131400022.
- Kalliokoski, T., Reza, M., Jyske, T., Makinen, H., Nojd, P., 2012. Intra-annual tracheid formation of
- norway spruce provenances in southern finland. Trees-Structure and Function 26, 543–555. PT: J;
- TC: 3; UT: WOS:000301779200024.
- Körner, C., 2015. Paradigm shift in plant growth control. Current opinion in plant biology 25, 107–114.
  PT: J; TC: 4; UT: WOS:000359889900015.
- 539 Lempereur, M., Martin-StPaul, N.K., Damesin, C., Joffre, R., Ourcival, J.M., Rocheteau, A., Rambal, S.,
- 2015. Growth duration is a better predictor of stem increment than carbon supply in a mediterranean
  oak forest: implications for assessing forest productivity under climate change. New Phytologist 207,
- 542 579–590. PT: J; TC: 2; UT: WOS:000357824400013.
- de Lis, G.P., Rossi, S., Vázquez-Ruiz, R.A., Rozas, V., García-González, I., 2015. Do changes in spring
  phenology affect earlywood vessels? perspective from the xylogenesis monitoring of two sympatric
- <sup>545</sup> ring-porous oaks. New Phytologist , n/a–n/a.
- 546 Lupi, C., Morin, H., Deslauriers, A., Rossi, S., 2010. Xylem phenology and wood production: resolv-
- ing the chicken-or-egg dilemma. Plant Cell and Environment 33, 1721–1730. PT: J; TC: 50; UT:
- 548 WOS:000281638000010.

Mäkelä, A., Pulkkinen, M., Kolari, P., Lagergren, F., Berbigier, P., Lindroth, A., Loustau, D., Nikinmaa, 549 E., Vesala, T., Hari, P., 2008. Developing an empirical model of stand gpp with the lue approach: 550 analysis of eddy covariance data at five contrasting conifer sites in europe. Global Change Biology 551

14, 92-108. PT: J; TC: 49; UT: WOS:000251415000008. 552

- Muller, B., Pantin, F., Genard, M., Turc, O., Freixes, S., Piques, M., Gibon, Y., 2011. Water deficits 553
- uncouple growth from photosynthesis, increase c content, and modify the relationships between c 554 and growth in sink organs. Journal of experimental botany 62, 1715–1729. PT: J; TC: 109; UT: 555
- WOS:000288553000002. 556
- Oberhuber, W., Gruber, A., Kofler, W., Swidrak, I., 2014. Radial stem growth in response to microcli-557 mate and soil moisture in a drought-prone mixed coniferous forest at an inner alpine site. European 558 Journal of Forest Research 133, 467-479. PT: J; TC: 0; UT: WOS:000333425500006. 559
- Ohtsuka, T., Saigusa, N., Koizumi, H., 2009. On linking multiyear biometric measurements of tree 560 growth with eddy covariance-based net ecosystem production. Global Change Biology 15, 1015–1024. 561 PT: J; UT: WOS:000263752300019. 562
- Partanen, J., Leinonen, I., Repo, T., 2001. Effect of accumulated duration of the light period on 563 bud burst in norway spruce (picea abies) of varying ages. Silva Fennica 35, 111–117. PT: J; UT: 564 WOS:000167986300010. 565
- Peltoniemi, M., Markkanen, T., Harkonen, S., Muukkonen, P., Thum, T., Aalto, T., Makela, A., 2015. 566 Consistent estimates of gross primary production of finnish forests - comparison of estimates of two 567 process models. Boreal Environment Research 20, 196-212. PT: J; TC: 4; UT: WOS:000353934400005. 568

Plomion, C., Leprovost, G., Stokes, A., 2001. Wood formation in trees. Plant Physiology 127, 1513–1523. 569

PT: J; TC: 278; UT: WOS:000172824500029. 570

577

Ren, P., Rossi, S., Gricar, J., Liang, E., Cufar, K., 2015. Is precipitation a trigger for the onset of 571 xylogenesis in juniperus przewalskii on the north-eastern tibetan plateau? Annals of Botany 115, 572 629-639. PT: J; TC: 3; UT: WOS:000354066600007. 573

- Rossi, S., Anfodillo, T., Cufar, K., Cuny, H.E., Deslauriers, A., Fonti, P., Frank, D., Gricar, J., Gruber, 574
- A., King, G.M., Krause, C., Morin, H., Oberhuber, W., Prislan, P., Rathgeber, C.B.K., 2013. A 575 meta-analysis of cambium phenology and growth: linear and non-linear patterns in conifers of the 576
- northern hemisphere. Annals of Botany 112, 1911–1920. PT: J; TC: 5; UT: WOS:000327717100021. Rossi, S., Deslauriers, A., Anfodillo, T., Morin, H., Saracino, A., Motta, R., Borghetti, M., 2006. Conifers 578
- in cold environments synchronize maximum growth rate of tree-ring formation with day length. New 579
- Phytologist 170, 301-310. PT: J; TC: 132; UT: WOS:000236248200012. 580
- Rossi, S., Deslauriers, A., Gricar, J., Seo, J.W., Rathgeber, C.B.K., Anfodillo, T., Morin, H., Levanic, 581
- T., Oven, P., Jalkanen, R., 2008. Critical temperatures for xylogenesis in conifers of cold climates. 582
- Global Ecology and Biogeography 17, 696–707. PT: J; TC: 110; UT: WOS:000260114200003. 583

- Rossi, S., Morin, H., Deslauriers, A., Plourde, P.Y., 2011. Predicting xylem phenology in black spruce un der climate warming. Global Change Biology 17, 614–625. PT: J; TC: 26; UT: WOS:000284851500049.
- Schiestl-Aalto, P., Kulmala, L., Mäkinen, H., Nikinmaa, E., Mäkela, A., 2015. Cassia a dynamic
- model for predicting intra-annual sink demand and interannual growth variation in scots pine. New
  Phytologist 206, 647–659. PT: J; TC: 0; UT: WOS:000351742300019.
- 589 Seo, J.W., Eckstein, D., Jalkanen, R., Rickebusch, S., Schmitt, U., 2008. Estimating the onset of cambial
- activity in scots pine in northern finland by means of the heat-sum approach. Tree physiology 28,
   105-112. PT: J; TC: 56; UT: WOS:000252571800012.
- Seo, J.W., Eckstein, D., Jalkanen, R., Schmitt, U., 2011. Climatic control of intra- and inter-annual
  wood-formation dynamics of scots pine in northern finland. Environmental and experimental botany
  72, 422–431. PT: J; SI: SI; TC: 19; UT: WOS:000293810300011.
- Swidrak, I., Gruber, A., Kofler, W., Oberhuber, W., 2011. Effects of environmental conditions on onset
   of xylem growth in pinus sylvestris under drought. Tree physiology 31, 483–493. PT: J; NR: 71; TC:
- <sup>597</sup> 19; J9: TREE PHYSIOL; PG: 11; GA: 780XF; UT: WOS:000291893600003.
- Vaganov, E.A., Hughes, M.K., Shashkin, A.V., 2006. Growth Dynamics of Conifer Tree Rings. volume
   XIV. Springer Heidelberg.
- Zhai, L., Bergeron, Y., Huang, J.G., Berninger, F., 2012. Variation in intra-annual wood formation,
   and foliage and shoot development of three major canadian boreal tree species. American Journal of
   Botany 99, 827–837. PT: J; TC: 6; UT: WOS:000303665300015.
- Zweifel, R., Eugster, W., Etzold, S., Dobbertin, M., Buchmann, N., Haesler, R., 2010. Link between
   continuous stem radius changes and net ecosystem productivity of a subalpine norway spruce forest
- in the swiss alps. New Phytologist 187, 819–830. PT: J; TC: 18; UT: WOS:000280122500023.

### 606 Appendix A. Individual MSE Results

Table A.6: *Total* error accumulated over three years.

(a) MSE – Individual variables – Tracheid production (RDTP)

Dataset	$(t)^{5}$	$(T)^5$	$(S)^5$	$(V)^5$	$(G)^{5}$	D	0
SMEARI	0.791(5)	0.750(4)	1.939(7)	0.840(6)	0.564(2)	0.516(1)	0.666(3)
SMEARII	0.711(1)	0.736(3)	1.867(7)	1.166(6)	0.759(4)	0.816(5)	0.718(2)
Ruotsinkylä	1.619(2)	1.607(1)	2.863(7)	2.340(6)	2.138(5)	1.821(4)	1.697(3)
Grandfontaine	0.135(4)	0.082(1)	0.439(7)	0.181(6)	0.174(5)	0.113(2)	0.115(3)
Abreschviller	0.227(2)	0.261(3)	0.935(7)	0.372~(6)	0.278(4)	0.290(5)	0.207(1)
Walscheid	1.089(2)	1.325(3)	2.883(7)	1.490(5)	1.561~(6)	1.476(4)	1.087(1)
avg rank	2.67	2.50	7.00	5.83	4.33	3.50	2.17

(b) MSE – Individual variables – Mature tracheid production (RMTP)

Dataset	$(t)^{5}$	$(T)^{5}$	$(S)^{5}$	$(V)^{5}$	$(G)^{5}$	D	0
SMEARI	0.977(4)	0.880(3)	1.935(7)	1.050(5)	1.096(6)	0.784(1)	0.866(2)
SMEARII	0.597(4)	0.639(5)	1.239(7)	1.095(6)	0.445(1)	0.567(2)	0.596(3)
Ruotsinkylä	2.244(2)	3.509(6)	2.479(4)	3.971(7)	2.170(1)	2.903(5)	2.275(3)
Grandfontaine	0.226(4)	0.229(5)	0.422(7)	0.273~(6)	0.188(1)	0.212(2)	0.216(3)
Abreschviller	0.265(1)	0.285(3)	0.675(7)	0.285(4)	0.298~(6)	0.286(5)	0.284(2)
Walscheid	1.954(1)	2.556(5)	2.886(7)	2.857(6)	2.325(3)	2.429(4)	1.978(2)
avg rank	2.67	4.50	6.50	5.67	3.00	3.17	2.50

(c) MSE – Combinations	s of variables – RDTP
------------------------	-----------------------

	(-)					
Dataset	$(T.t)^{5}$	$(T.G)^{5}$	$(D.O.G.V)^{5}$	$(O.G)^{5}$	$(O.T.G)^{5}$	$(D.O.G)^{5}$
SMEARI	0.755(5)	0.573(2)	0.131(1)	0.821(6)	0.631(4)	0.604(3)
SMEARII	0.738(3)	0.949(6)	0.808(5)	0.625(1)	0.745(4)	0.657(2)
Ruotsinkylä	1.606(1)	2.142(6)	2.059(5)	1.880(3)	1.925(4)	1.862(2)
Grandfontaine	0.086(1)	0.104(3)	0.091(2)	0.139(6)	0.114(5)	0.105(4)
Abreschviller	0.264(3)	0.281(5)	0.299~(6)	0.256(2)	0.272(4)	0.238(1)
Walscheid	1.325(1)	1.424(6)	1.329(3)	1.332(4)	1.339(5)	1.328(2)
avg val.	0.80	0.91	0.79	0.84	0.84	0.80
avg rank	2.33	4.67	3.67	3.67	4.33	2.33

(d)  $\mathsf{MSE}$  – Combinations of variables –  $\mathrm{RMTP}$ 

Dataset	$(T.t)^{5}$	$(T.G)^{5}$	$(D.O.G.V)^{5}$	$(O.G)^{5}$	$(O.T.G)^{5}$	$(P.T.G)^{5}$
SMEARI	0.874(1)	0.882(2)	1.047(5)	0.936(4)	1.175(6)	0.897(3)
SMEARII	0.638(6)	0.530(3)	0.630(5)	0.396(1)	0.470(2)	0.536(4)
Ruotsinkylä	3.489(6)	2.973(3)	3.370(4)	2.779(1)	3.449(5)	2.932(2)
Grandfontaine	0.225~(6)	0.177(1)	0.185(3)	0.188(5)	0.185(4)	0.178(2)
Abreschviller	0.288~(6)	0.284(4)	0.254(2)	0.256(3)	0.252(1)	0.286(5)
Walscheid	2.566(4)	2.629(5)	2.517(2)	2.346(1)	2.526(3)	2.639(6)
avg val.	1.35	1.25	1.33	1.15	1.34	1.24
avg rank	4.83	3.00	3.50	2.50	3.50	3.67



Figure A.7: Measured (y) and estimated  $(\hat{y}_t)$  RMTP (vertical axis) of the black box model for all years tested. The variables of the model were those which obtained the lowest MSE values (Table A.6) (each site modeled separately). No measurements for Ruotsinkylä available for the first year.

Table A.7: Parameters of the GPP model. In addition, we used soil depth  $(L_S)$  of 470 mm for the Boreal (Finnish) sites and of 1000 mm for the Temperate (French) ones. The temperature measured on January 1st was used as the priori estimate for the state of temperature acclimation (X). The model, modifiers and parameters are introduced in detail by Peltoniemi et al. (2015).

	$\operatorname{symbol}$	value	unit
Coefficient for temperature dependence of	m	2.5	$^{\circ}C^{-1}d^{-1}$
Showment rate	_	11 7	
Delay parameter for the response of temperature	T	11.(	—
acclimation state to the changes in ambient tem-			
Delay parameter of drainage	$ au_F$	1	_
Effective field capacity	$ heta_{FC}$	0.448	mm
Effective wilting point	$\theta_{WP}$	0.118	mm
Evaporation parameter	$\chi$	0.0551	$mmmol^{-1}$
Light modifier parameter for saturation with ir-	$\gamma$	0.0351	$mol^{-1}m^{-2}$
radiance			
Potential light use efficiency	$\beta_P$	0.777	$gCmol^{-1}m^{-2}$
Sensitivity parameter of $f_D$ to VPD	$\kappa$	-0.174	$kPa^{-1}$
Threshold above which the state of acclimation	$X_0$	-2.6	$^{\circ}C$
increases			
Threshold at which the acclimation modifier	$S_{max}$	17.5	$^{\circ}C$
reaches its maximum			
Threshold for W effect on P in modifier $f_{W,P}$	$ ho_P$	0.422	_
Threshold for W effect on evaporation in modifier	$ ho_E$	0.717	_
$f_{W,E}$			
Fraction of absorbed photosynthetically active	$f_{APAR}$	0.81	_
radiation			
Transpiration parameter	$\beta_E$	0.4	$(gCm^{-2})^{-1}$
Parameter adjusting transpiration with VPD	$\alpha_E$	0.822	_



Figure A.8: Decision-tree models for the rate of tracheid production (RDTP) in the Finnish sites (Sites 1-3) trained on all years, pruned to a maximum depth of 5 and minimum of 10 samples per leaf. The higher predictors are in the graph the better predictors the more important they are. The value indicates the predicted value (in number of tracheids); (x) indicates one of the different parameterizations  $(x = {}^{\circ}C$  in the case of D(x)); [-t] signifies that the measurement comes from t weeks in the past. The instructions to interpret the figure is given in the section 2.7.



Figure A.9: Decision-tree models for the rate of tracheid production (RDTP) as in Figure A.8 but for the French sites (4-6)).



Figure A.10: As in Figure A.8 but for the rate of mature tracheid production (RMTP) for the Finnish sites (1-3).



Figure A.11: As in Figure A.8 but for the rate of mature tracheid production (RMTP) for the French sites (4-6).