

1 **Urbanisation impacts on storm runoff along a rural-urban**
2 **gradient**

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9 **1 Introduction**

10 Urban development brings an increase in impervious surfaces that reduces rainfall infiltration to
11 underlying soils and surface storage capacity (Booth, 1991) with a concomitant rise in the degree of
12 artificial drainage that acts to convey runoff through more efficient pathways (Boyd et al., 1994). The
13 combined effects include an increase in storm runoff (Burn and Boorman, 1993) and volume (Kjeldsen et
14 al., 2013), reduction in baseflows (Simmons and Reynolds, 2013) and shortening of catchment response
15 times (Smith et al., 2005; Anderson, 1970) resulting in a more flashy response (Baker et al., 2004).
16 Urbanisation thus presents a particular challenge to planners as the development of previously rural or
17 low urban density catchments will potentially alter the rainfall-runoff response and require careful
18 planning to manage the changes in the timing and quantity of water moving through the catchment.
19 Coupled with projected increased frequency of extreme rainfall events as a result of climate change, this
20 poses a significant environmental risk in the form of pluvial and fluvial flooding (Bell et al., 2012;
21 Eigenbrod et al., 2011; Poelmans et al., 2011).

22 Many studies on the hydrological impacts of urbanisation have been based on field observations (e.g.
23 Hood et al., 2007; Kauffman et al., 2009; Sheeder et al., 2003) and increasingly utilise models calibrated
24 to observations (Bach et al., 2014). In both cases, suitable hydrological metrics are required to quantify
25 hydrological response and subsequently attribute response to differences in land use. Arbitrary flow
26 statistics are not always suitable for quantifying the hydrological impacts of land-use change (LUC)
27 (Mcintyre et al., 2013) and for urban storm events, Braud et al. (2013) show the storm hydrograph
28 provides the most suitable means for comparing hydrological response. In addition, relevant
29 information describing how the catchment differs from a control or baseline condition is required. LUC
30 in urban areas is highly complex and as such the diversity of the urban fabric is generally represented by
31 either: urban land-use type (e.g. urban/suburban: Morton et al., 2011), density of urban development

32 (e.g. dwelling units per acre: Jacob and Lopez, 2009), and most generally imperviousness (Arnold and
33 Gibbons, 1996; Dams et al., 2013).

34 While impervious surfaces are important for driving urban runoff, permeable surfaces still have an
35 important role in urban catchments (Berthier et al., 2004) and can make up a considerable portion of
36 the catchment area. In UK cities, gardens alone account for between 22% and 27% of city area (Loram et
37 al., 2007). The partitioning of precipitation between runoff and infiltration on pervious soils is affected
38 by soil type (Boorman et al., 1995) and the soil-moisture state of the soil (Brady, 1984), but in urban
39 areas factors such as compaction have also been shown to significantly alter the hydrological response
40 (Yang and Zhang, 2011). Antecedent soil moisture has been shown to have variable impacts upon runoff
41 across different urban surfaces and in different soil-moisture states (Hollis and Ovensen, 1988; Hood et
42 al., 2007; Smith et al., 2013; Ragab et al., 2003) leading to considerable uncertainty when modelling the
43 hydrological response of mixed urban-rural catchments (Kjeldsen et al., 2013). Given the current interest
44 in the role of soils in urban catchments as part of green infrastructure to control storm runoff and
45 reduce flooding (Kelly, 2016; POST, 2016) this uncertainty highlights a pressing need to better
46 understand the role of soil moisture in urban soils in altering the impacts of urbanisation on runoff from
47 storm events.

48 The relationship between urbanisation and storm runoff on the basis of change in impervious area has
49 become generalized in lumped hydrological model structures (e.g. ReFH: Kjeldsen, 2007) to characterise
50 the urban environment (Salvadore et al. 2015). However, despite early indications that impervious area
51 alone is insufficient to explain catchment response (Hall, 1977), there has been limited empirical
52 research (e.g. Braud et al. 2013; Sillanpää and Koivusalo, 2015) on the link between urbanisation and
53 storm runoff across a suitable range of hydrological metrics. While there have been a number of studies
54 investigating ecological diversity along an rural-urban gradient (e.g. McDonnell et al., 1997; Clergeau et
55 al., 1998; Kroll et al., 2012) few have investigated hydrological response along an rural-urban gradient

56 (e.g. Schoonover and Lockaby, 2006). The objectives of this study, therefore, are to assess: (i) whether a
57 lumped-catchment spatial measure of urbanisation can explain the observed variability in catchment
58 response to storm events along a rural-urban gradient; and (ii) the extent to which antecedent soil
59 moisture conditions modify that relationship. These objectives provide the structural sub-headings used
60 the following Methods, Results and Discussions sections.

61 **2 Study Sites**

62 The Thames basin in southern England (Fig. 1) is the largest drainage basin in the UK (Crooks and Kay,
63 2015) and has a temperate mid-latitude climate. The basin contains the rapidly urbanising towns of
64 Swindon (Population 210,000) and Bracknell (Population 77,000). Both are located in low-lying river
65 catchments gauged by the Environment Agency (EA) at Water Eaton (station number 39087) and
66 Binfield (station number 39052) respectively. High spatial and temporal resolution monitoring of flow
67 and precipitation was undertaken over a four year period from May 2011 to October 2015 across eight
68 independent sub-catchments within these two river catchments (Fig. 1; Table 1).

69

70 FIGURE 1: EA catchments at Swindon and Bracknell, showing study catchments, monitoring locations
71 and land cover. Inset shows EA catchment locations within Thames basin and the United Kingdom.

72

73 **3 Methods**

74 3.1 Hydro-meteorological urban monitoring networks

75 Precipitation was monitored at 8 locations (shown as Raingauge in Fig. 1) at a 15 min resolution with
76 tipping bucket raingauges (Casella TBRG), with network design following BSI (2012a). Data were quality
77 controlled for errors relating to low/high intensity, missing data, and synchronization between sensors,

78 following national (BSI, 2012b) and international guidelines (WMO, 1994; WMO, 2008). Additional 15
79 min rainfall data from tipping bucket raingauges located within the catchment at Swindon (R249744)
80 and close to the catchment boundary at Bracknell (R274918), were provided by the EA (shown as EA
81 raingauge in Fig. 1). These are quality controlled and in-filled using observations from a national
82 network, and provided a continuous and robust source of data for in-filling and calibration of monitoring
83 raingauge observations when data were missing or erroneous. Estimates of areal rainfall for both
84 catchments were obtained using arithmetic and Thiessen polygon weighting methods (BSI, 2012b). The
85 Thiessen polygon approach, widely used in urban hydrological studies (e.g. Blume et al., 2007; Yue and
86 Hashino, 2000), was found suitable for Swindon due to the distribution of monitoring raingauges and
87 central location of the EA gauge relative to the study-sub-catchments. For Bracknell the arithmetic mean
88 was judged to be more appropriate due a number of factors including: i) the relative size of the study
89 area and overall distribution of observation gauges across the catchment (BSI, 2012b), ii) recurring
90 issues of under-catch or tampering for observation gauges; and iii) the overall effect of a low weight
91 applied to the EA gauge if the Thiessen polygon approach was used (being located outside of the study
92 sub-catchments – see Fig. 1) which significantly reduced observation accuracy relative to this gauge.

93 Discharge was monitored at 5 min resolution using ultrasonic Doppler shift instruments (Unidata
94 Starflow 6526H), with a velocity and depth accuracy of $\pm 2\%$ and $\pm 0.25\%$ respectively, mounted to the
95 bed of suitable hydraulic structures according to ISO (2010). Depth and velocity data were quality
96 controlled, and processed using measured cross sections to derive flow using the methods outlined by
97 Blake and Packman (2008). Ratings developed from spot-gaugings of depth and flow (SonTek
98 FlowTracker) were used to calibrate observations of depth and velocity across the channel cross section,
99 and increase accuracy. Additional concurrent flow data at a 15 minute resolution for each catchment
100 outlet EA gauging station (39087, 39052: Fig. 1) were provided by the EA.

101 3.2 Objective 1: Hydrological response along a rural-urban gradient

102 3.2.1 Catchment characterization

103 Catchment descriptors (Table 2) for the EA catchments and the selected study catchments were
104 obtained from the UK Flood Estimation Handbook (FEH) web service (<https://fehweb.ceh.ac.uk/>). These
105 indicate that the catchments are sufficiently similar in altitude (ALTBAR), climate (SAAR; RMED-1H), soil
106 (SPRHOST, PROPWET), and baseflow indices (BFIHOST) to allow comparison among the study sub-
107 catchments. Catchment area was determined using a combination of a 10 m resolution digital terrain
108 model (DTM) and storm drainage mapping to accurately identify catchment boundaries as these can be
109 altered by urban development and artificial drainage (Braud et al., 2013). The study catchments differ
110 geomorphically in area (AREA), slope (DPSBAR) and mean drainage path length (DPLBAR), while the
111 predominant difference in land use was in terms of urban extent (URBEXT). Although the Bracknell study
112 catchments have slightly higher levels of pond/reservoir attenuation (FARL), they are all >0.9 which is
113 not considered to have a significant effect on high flows (Bayliss, 1999).

114 URBEXT provides a readily available index of UK catchment urban land cover for use in hydrological
115 applications and is a key catchment descriptor used in flood estimation procedures in the UK (IH, 1999).
116 URBEXT is a weighted fraction of Urban and Suburban land cover (Bayliss, 1999: Eq.1) and is derived
117 here for 2015 from contemporary mapping of land cover mapping products (Morton et al., 2011).
118 “Suburban” is defined as mixed development and green space, while “Urban” areas contain near
119 continuous development with few green spaces (Fuller et al., 2002). URBEXT is used here to identify the
120 relative extent of urban development and impervious surfaces within catchments and has been shown
121 by Miller & Grebby (2013) to provide a robust measure of imperviousness for catchment scales. For the
122 study catchments the URBEXT ranges from 0.06 for a predominantly rural study catchment to 0.60 for a
123 well-developed town centre study catchment containing mixed urban land cover (Table 2).

$$URBEXT = Urban + 0.5 Suburban \quad 1)$$

124

125 3.2.2 Event identification

126 A wide range of methods exist to select storm events based on either identifying a rainfall event (Hollis
127 & Ovenden, 1988), isolating peak runoff values in a series (Smith et al. 2013), or a combination of the
128 two (Burns et al. 2005). Events were selected across the eight catchments (Table 2) using a set of pre-
129 defined criteria applied in sequence (Table 3). Hydrograph separation, event window definitions and
130 time-based metric definitions are shown in Figure 2. The first stage involved identifying isolated rainfall
131 events based upon exceedance of a pre-defined value. The second stage utilised an automated baseflow
132 separation technique that drew upon a combination of methods reviewed in study of published event-
133 based hydrograph separation methods by Blume et al. (2007). This identified the starting point in the
134 hydrograph rising limb and applied a linear interpolation to the point at which the hydrograph recession
135 meets baseflow – defined as the minimum value within a baseflow-end ‘window’. Finally visual analysis
136 of rainfall-runoff plots was used to filter out erroneous or multiple events.

137

138 FIG 2: Hydrograph separation with event instants used to select independent events and time instants
139 used to derive time-based metrics of storm events

140

141 3.2.3 Metrics of hydrological response

142 A number of hydrological response metrics were identified to be important in quantifying storm runoff
143 in urban catchments. Following correlation analysis seven, independent, volume- and time-based
144 hydrograph metrics were selected (Table 4: Fig. 2). Volume-based metrics facilitate comparison in the

145 quantity of storm runoff between the study catchments. Time-based metrics aid comparison of shape
146 and duration based elements of hydrological response to rainfall events.

147 Peak flow (QMAX) and direct runoff (DR) provide a measure of runoff response during an event, while
148 the percentage runoff (PR) expresses the conversion of rainfall to runoff. Time-to-peak (T_p), also known
149 as time-of-rise, indicates catchment responsiveness on the rising limb of the hydrograph (McDonnell et
150 al., 1990). Flood duration (Θ) provides an indication of overall hydrograph shape relative to direct runoff
151 duration and indicates the ‘flashiness’ or kurtosis of catchment response to runoff (Braud et al., 2013).
152 Lag-time provides a measure of the duration between rainfall and runoff and was calculated using two
153 methods reported by Dingman (1994) (Fig. 2). As study catchments varied by both area and to a lesser
154 degree slope (Table 1), hydrograph metrics must therefore be scaled to account for geomorphic
155 differences. While volume-based metrics can be converted to specific discharge using study catchment
156 area (runoff per unit area), it can be more difficult to compare time-based metrics. Lag-time, for
157 example, has been shown to be a function of both area and slope (Watt and Chow, 1985).

158 Flood duration has been shown by Robson & Reed (1999) to be a function of T_p :

$$\Theta = 2.99 T_p^{0.77} \quad 2)$$

159

160 while T_p itself has been shown by Kjeldsen (2007) to be a function of a number of FEH catchment
161 descriptors ($r^2 = 0.74$):

$$T_p = PROPWET^{-1.09} DPLBAR^{0.6} (1 + URBEXT)^{-3.34} DPSBAR^{-0.28} \quad 3)$$

162

163 The descriptor PROPWET does not differ significantly between catchments and URBEXT is used to define
 164 the urban gradient, leaving the remaining parameters DPLBAR and DPLBAR to scale T_p and Θ for each
 165 catchment so that standardised values (T_{PS} and Θ_s) are available for direct comparison:

$$T_{PS} = \frac{T_P}{DPLBAR^{0.60} DPSBAR^{-0.28}} \quad 4)$$

166

$$\Theta_S = \frac{\Theta}{DPLBAR^{0.60} DPSBAR^{-0.28}} \quad 5)$$

167

168 Catchment lag-time is related to the ratio L/\sqrt{S} , where L is basin length and S is slope, and that the ratio
 169 provides a means of comparing lag-times between catchments of different area and slope (Anderson,
 170 1970; Laenen, 1983). Slope is taken from the FEH catchment descriptor DPSBAR (Bayliss, 1999) while
 171 length is estimated from mapping (Table 1). Scaled T_{LC} and T_{LPP} are thus standardised to T_{LCS} and T_{LPPS} :

$$T_{LCS} = \frac{T_{LC}}{L/\sqrt{S}} \quad 6)$$

172

$$T_{LPPS} = \frac{T_{LPP}}{L/\sqrt{S}} \quad 7)$$

173

174 Data normality was tested using the Shapiro-Wilk statistic and subsequently transformed if found to be
 175 non-normal ($p < 0.05$) using the Box-Cox transformation (Box and Cox, 1964). Thyer et al. (2002) indicate
 176 that the Box-Cox transformation is widely used for transforming hydrological data to a normal, or
 177 Gaussian, distribution, as required for parametric tests such as ANOVA. Where metric values could take
 178 a zero, a minor positive offset was applied prior to transformation, with any constant subtracted from
 179 later analyses. All response metrics required transformation as data was highly non-normal. Log

180 transformation of each metric provided some improvement but subsequent step-wise Box-Cox
181 transformation (2 decimal places) with power parameter values (λ) to reduce the Shapiro-Wilk p statistic
182 was undertaken using an optimization routine for each metric and proved more effective. Independent
183 testing of the transformation on each sites data distribution was undertaken to ascertain that the result
184 was a normal distribution for each study catchment, and not simply the dataset as a whole. Shapiro-Wilk
185 p statistics values for independent sites were found to be significantly higher than the un-transformed
186 site values and dataset as a whole, and histograms became more normal in appearance. This validated
187 the use of the applied Box-Cox transformation λ values. It was not possible to transform URBEXT as it's
188 bounded, while the distribution of SMD is heavily skewed towards zero for long periods limiting any
189 transformation to a normal distribution. Statistical analysis for difference in geometric means between
190 study catchments and along the urban gradient utilised analysis of variance (ANOVA). Tukey's 'Honest
191 Significance Difference' (HSD) function was utilised to confidence intervals on the means of each site
192 and was found suitable as it incorporates an adjustment for sample size to counter the potential bias
193 towards sites with more data. The resulting values were recorded for each site to identify significant
194 differences between study catchments and between soil moisture conditions.

195 3.3 Objective 2: Role of antecedent soil moisture

196 Antecedent soil moisture conditions have been shown to affect the responsiveness of a catchment to
197 rainfall (Penna et al., 2011) and are considered important initial conditions in a range of hydrological
198 models that seek to model storm runoff generation (e.g. TOPMODEL: Quinn and Beven, 1993; ReFH:
199 Kjeldsen, 2007). Soil moisture deficit (SMD) defines the amount of amount of water required for a soil to
200 reach field capacity and provides an indication of antecedent soil moisture, shown to affect high flow
201 generation (Michele and Salvadori, 2002). SMD was obtained for the EA catchments from the relevant
202 40 km x 40 km grid squares of the UK Meteorological Office rainfall and evaporation system (MORECS)
203 (Hough and Jones, 1997).

204 To classify the antecedent condition Meyles et al. (2003) have shown that a classification of preferred
205 states in soil moisture applied in Australia by Grayson et al. (1997) holds true for the UK, whereby 'wet'
206 soils with a value at or around field capacity (SMD = 0) will generate more runoff while 'dry' soils with
207 higher SMD generate less runoff. We defined a wet catchment as one near to field capacity and used
208 observed data to identify the value at which conditions could be classed as wet and more conducive to
209 runoff generation. To determine a suitable break in SMD with which to classify soils as either wet or dry
210 we used MORECS SMD data and peak flow data to identify a value indicative of a seasonal change that
211 has observable impacts on runoff generation from the two least urban catchments (S2, B1: Table 2). The
212 variable response of catchments under wet and dry conditions was tested statistically to ascertain if the
213 antecedent soil moisture of catchments play a contributory role in determining the response of
214 catchments along the urban gradient.

215 **4 Results**

216 4.1 Objective 1: Hydrological response along a rural-urban gradient

217 4.1.1 Hydrological summary

218 Rainfall data over this period highlight two important periods (Fig. 3). First the relatively low rainfalls
219 experienced during the winter of 2011/12 in contrast to the following wet spring and winter of 2012/13,
220 (Parry et al., 2013). Second, the winter storms of 2013/14 during which the UK endured its wettest
221 winter on record and suffered considerable widespread flooding (Muchan et al., 2015). Event rarity was
222 assessed using the updated FEH 2013 DDF model (Stewart et al. 2015) available from the FEH Web
223 Service (fehweb.ceh.ac.uk). Storms were generally found to not be extreme, with a summer storm on
224 29/07/2015 (29 mm in 6 hours: return period, $T = 4.5$ years) being the only event exceeding a return
225 period of 2 years, and the largest storm occurring on 23/12/2013 (32 mm in 23 hours: $T = 1.6$ years).
226 Flows show a similar monthly pattern but were higher at all times in Swindon than at Bracknell,

227 primarily a result of the large baseflow contribution from the sewage treatment works within the
228 catchment. In the Swindon catchment there were some gaps in the flow data (Fig. 3) during summer
229 2014 due to a recording malfunction.

230

231 FIGURE 3: Monthly rainfall and flow for Environment Agency rainfall and gauging stations at Swindon
232 (39087) and Bracknell (39052). The blue upper envelope marks the long-term maximum monthly rainfall
233 for Swindon.

234

235 4.1.2 Selected events

236 Figure 4 shows a breakdown of the selected 336 useable events by catchment and season – with
237 summer defined as April to September. The mean number of useable events per season at all sites was
238 21, and variability in the number of events at each sites primarily reflects the length of monitoring data
239 available but also the quality of data at sites and periods of equipment malfunction. The data indicates
240 that study catchments with lower levels of urbanisation ($URBEXT \leq 0.26$) exhibit more winter than
241 summer events compared to the study catchments with higher urbanisation levels where summer
242 events are dominant.

243

244 FIGURE 4: Histogram of storm events by site and season (summer defined as April to September) for
245 each sub-catchment with mean frequency of all study catchments indicated by dashed red line.

246

247 4.1.3 Standardizing time-based metrics

248 Across the eight sub-catchments, Pearson's product moment of coefficient of correlation (ρ) revealed
249 AREA to be highly correlated with mean and maximum drainage path length (DPLBAR: $\rho = 0.99$; LDP: $\rho =$
250 0.96) but not with slope (DPSBAR: $\rho = -0.11$). URBEXT was not correlated with other catchment
251 descriptors ($\rho < 0.3$). To assess the effectiveness of the scaling on removing the effects of area (AREA)
252 and slope (DPSBAR) the relationships between both descriptors and time-based metrics - before and
253 with the resulting scaling applied - are assessed and illustrated in in Figure 5.

254

255 FIGURE 5: Time-based hydrograph metrics against AREA and DPSBAR before (a, b) and after (a_s , b_s)
256 scaling (eqs. 4 – 7). Data are fitted with a linear model fitted with significance (p) of fitted model slope (*
257 denotes $p < 0.05$) and model equation reported. Grey shading shows the 95% confidence interval.

258

259 Prior to scaling, the clear relationship between AREA and time-based metrics is evident (Fig. 5a), with
260 the relationship being both positive and significant ($p < 0.05$). Following scaling (Fig 5a_s) the effect of
261 AREA has been removed, with a near zero and non-significant slope ($p > 0.05$). Scaling has the effect of
262 increasing metric values in the smaller study catchments (below 5km²), and having little impact on the
263 larger study catchments – with some minor variability due to slope. DPSBAR is also shown to have a
264 significant effect upon all four metrics ($p < 0.05$) (Fig. 5b) however the relationship is negative. Scaling
265 (Fig 5b_s) results in a near zero regression slope for all time-based metrics, primarily through increases to
266 values in the steeper catchments, and significantly reduces the relationship except T_{LCS} . In summary, the
267 scaling methods have proved effective at removing the effects of catchment size and slope.

268 4.1.4 Analysis of storm hydrographs along rural-urban gradient

269 The variability in response among study catchments along the rural-urban gradient is illustrated in
270 Figure 6, showing the area weighted event hydrographs for each study catchment. Some general
271 patterns can be observed as URBEXT increases tenfold from S2 (0.06) to S3 (0.60).

- 272 ▪ Baseflow is clearly a higher proportion of flow in the less urban study catchments, and while it
273 generally drops with increasing urbanisation, there is clear inter-catchment variability.
- 274 ▪ Variability in hydrograph shape across the selected events (grey) compared to the mean (red)
275 generally decreases with urbanisation.
- 276 ▪ The mean hydrograph peak is significantly lower than the largest event, particularly in the more
277 rural catchments ($URBEXT \leq 0.14$).
- 278 ▪ For study catchments with $URBEXT \geq 0.26$ the hydrograph becomes flashier but there is clear
279 inter-catchment variability that does not follow the urban gradient.

280

281 FIGURE 6: Comparison of area weighted event hydrographs (grey) and mean hydrograph (red) among
282 study catchments (Table 1, Fig. 1) with catchment URBEXT in brackets (ordered top left to bottom right
283 by URBEXT)

284

285 The hydrographs in Figure 6 demonstrate some of the generalised observations that are applied to
286 urban catchments reported in the literature, but also indicate that there are inter-catchment differences
287 that do not fit such generalizations. Table 5 and Figures 7 and 8 outline statistical analyses of how the
288 metrics vary along the urban gradient of catchments studied.

289

290 FIGURE 7: Boxplots of normalised peak flow (Q_{max}), storm runoff (DR), and percentage runoff (PR) across
291 the study catchments – URBEXT in brackets. Box-plots sharing the same letter have means that are not
292 significantly different.

293

294 An analysis of the volume-based metrics (Fig. 7) reveals significant increases in peak flows (Q_{max})
295 between the less urban ($URBEXT \leq 0.14$) and more urban ($URBEXT \geq 0.26$) catchments. The pattern is
296 less clear for PR, and DR does not become significantly higher until URBEXT reaches 0.42 (S4). There is
297 an apparent increase in the means along the urban gradient (Table 5), however there is no consistent
298 trend and few significant differences between the more urban study catchments despite very different
299 levels of urbanisation (0.26 – 0.6). The only significant difference observed is a higher Q_{max} at S5.

300

301 FIGURE 8: Box-plots of scaled and normalised time-to-peak (T_{PS}), flood duration (Θ_s), time lag-to-peak
302 (T_{LPPS}), and time lag-to-centroid (T_{LCS}) across study catchments – URBEXT in brackets. Box-plots sharing
303 the same letter have means that are not significantly different.

304

305 The time-based metrics (Fig. 8) show an overall reduction in all metrics along the urban gradient but
306 with significant inter-catchment variability. There are differences between the less urban study
307 catchments ($URBEXT \leq 0.14$) and most metrics suggest longer response times for these compared to
308 shorter times in more urban study catchments ($URBEXT \geq 0.26$). The pattern in the more urban study
309 catchments varies between metrics, with Θ_s showing the greatest variability between study catchments
310 and highlighting a significantly shorter flood duration (1.6 h) at S5 (Table 5) than all other study
311 catchments. The differences between B2 and S1, both of similar URBEXT, and the lack of difference

312 between S1 and S4, despite a large difference in URBEXT, both suggest controls being in place that alter
313 the response time. Taken together the time-based metrics demonstrate that while there is a drop in
314 response times between the less urban and more urban study catchments, there is no clear urban
315 gradient among the more heavily urbanised study catchments and that URBEXT is a poor indicator of
316 catchment response time in such heavily modified catchments.

317 4.2 Objective 2: Role of antecedent soil moisture

318 A value of 7.6 mm was identified as being the value separating a seasonal change from typically wet soils
319 during winter (October – March) to dry soils during summer (April – September). To validate this we also
320 assessed flow data and observed that the value was also indicative of a change in runoff response as
321 evinced in peak flows from the two least urban catchments (S2, B1: Fig. 9). The value is close to the
322 6 mm value used in the UK flood estimation methods to distinguish between a wet and dry catchment
323 (Bayliss, 1999).

324 Plots of antecedent soil moisture deficit versus each of the metrics (Fig. 9) provide an indication of the
325 relationship between antecedent soil moisture and runoff response. For all volume-based metrics,
326 broadly similar relationships between SMD and storm response are observed within catchments of
327 similar URBEXT. The least urban study catchments (S2 and B1) show similarly rapid decrease in PR, DR
328 and Q_{MAX} with increasing SMD. For the study catchments with an URBEXT of 0.26 only S1 shows a
329 consistently negative relationship with SMD. For the more heavily urban study catchments
330 ($URBEXT \geq 0.42$) little or no change in metric values with increasing SMD is demonstrated, except a
331 positive relationship with Q_{max} at site S5.

332

333 FIGURE 9: Change in metrics (Table 4) with SMD by catchment with linear fit and 95% confidence
334 intervals shown in grey. (Y axis is log scale)

335

336 The time-based metrics reveal less significant and less consistent changes along the urban gradient,
337 compared to the volume-based metrics (Fig. 9) reflecting the increased variability observed in Figure 8.
338 The relationship between SMD and response time for the less urban study catchments is not significant,
339 while for those at URBEXT 0.26 the relationship is consistently negative, in particular showing that at S1,
340 increasingly dry conditions result in a rapid drop in T_{PS} and Θ_s . The heavily urban study catchments
341 ($URBEXT \geq 0.44$) are not significantly affected by SMD, although there is a weak positive relationship
342 between T_{LPPS} and SMD in S5.

343 The interaction between site and soil moisture has been shown to be significant ($p < 0.05$) across all
344 selected metrics and Table 6 reports the differences between study catchments under dry and wet
345 antecedent conditions. Antecedent soil moisture was found to significantly reduce all volume-based
346 metrics in dry conditions for study catchments with an URBEXT of 0.06 and 0.14, but not the majority of
347 more urban study catchments ($URBEXT \geq 0.26$). This was particularly evident at S2 where Q_{MAX} (74.3 l s^{-1}
348 km^{-2}), DR (2.4 mm) and PR (17.2%) under wet conditions were between 750% and 1200% higher than in
349 a dry state ($9.8 \text{ l s}^{-1} \text{ km}^{-2}$, 0.2 mm, and 2% respectively), reflecting the large range of values recorded as
350 shown in Figure 8. The exception was found comparing DR and PR at S1 where values in dry (0.9 mm and
351 7.2%) were significantly less than wet conditions (8.6 mm and 53.9%), explaining the large ranges shown
352 in Figure 8. Except S1 the results suggest antecedent soil moisture does not significantly affect the
353 volume of runoff generated during storm events or the variability along the urban gradient between the
354 more urban study catchments.

355 Despite a large range of T_{PS} and Θ_s values (Fig. 8) and clear effects upon volume-based metrics (Table 5)
356 no significant difference has been shown in the response time of the least urban S2 and B1 under drier
357 conditions for any metric (Table 6). While response time values decrease under drier conditions the lack

358 of a significant reduction in response times is reflected in all study catchments except S1 (URBEXT=0.26)
359 and to a lesser degree catchment B3 where only T_{PS} is reduced when dry. No substantial change is
360 observed in the pattern of T_{LPPS} along the urban gradient. In summary, there is no consistent pattern of
361 antecedent soil moisture affecting the timing of runoff along the urban gradient, with only site S1
362 exhibiting consistent impacts across the applied metrics.

363 **5 Discussion**

364 5.1 Objective 1: Hydrological response along a rural-urban gradient

365 This study builds upon early and contemporary empirical studies into the impacts of urbanisation on
366 runoff (e.g. Hall, 1977; Boyd, 1995; Roy and Shuster, 2009; Zhang and Shuster, 2014) to determine if a
367 lumped-catchment spatial measure of urbanisation explains variability in catchment response to
368 observed storm events along a rural-urban gradient.

369 The volume-based metrics (Fig. 7) show an increase in urbanisation between an URBEXT of 0.14 and
370 0.26 acts to increase peak flow generation, while the increase in storm runoff and percentage runoff is
371 more gradual. While no specific threshold value is provided with which to identify at what level the
372 effects of urbanisation on storm runoff become apparent, the ranges identified adds to the evidence of
373 there being a gradual change in behaviour along an urban gradient between more rural and more urban
374 catchments (Shuster et al., 2005; USGS, 2003; Sillanpää and Koivusalo, 2015; Mejía et al., 2015) and fit
375 within the range of reported threshold values of between 5% (Kjeldsen, 2010), to around 20-25% (Brun
376 and Band, 2000). An increase in the volume of runoff with increasing urbanisation is a common finding
377 from urban hydrological studies (Leopold, 1968; Jacobson, 2011; McGrane, 2015), particularly for less
378 extreme storms (Hollis, 1975). Our observation of no systematic increases in runoff volume metrics
379 across the more urban catchments (URBEXT \geq 0.26) is however, not well reflected in the wider
380 literature. The results could indicate that either: i) the volume of runoff is not affected by changes in

381 urban extent within this range, or ii) there exist differences between the catchments that act to render
382 them similar in volume of response. The former theory is substantiated by observations from Hammer
383 (1972) and Miller et al. (2014) who found the impacts of progressive urban expansion would be more
384 extreme at lower levels of development in smaller catchments, but there is little similar evidence to
385 support the lack of variability in more heavily modified catchments. The data is perhaps also suggestive
386 of a threshold being crossed and the catchments passing into such an altered state in which pervious
387 areas are so fragmented and altered as to effect no significant change in the volume of runoff with
388 increasing urbanisation, agreeing with the 'stressed' ecosystem classification proposed by Schueler
389 (2000) for catchments with 26-100% impervious cover. Explanations for the latter could include
390 variability in the actual imperviousness of urban surfaces, as no surface is truly 100% impervious (Hollis,
391 1988) and imperviousness varies over time, with season, and by surface type (Redfern et al., 2016).
392 There is also the role that distribution and connectivity of pervious and impervious surfaces relative to a
393 catchment outlet and storm drainage will play in making such truly effective impervious area (Shuster et
394 al., 2005; Graf, 1977). Other contributory factors include observations that impacts of urban land cover
395 vary with rainfall magnitude (Gallo et al., 2013b) and that rural contributions become increasingly
396 important with greater storm magnitude (Sheeder et al., 2003).

397 Reduction in catchment response time with urbanisation is another common finding from urban studies
398 (Fletcher et al., 2013; McGrane, 2015) and while there were more significant reductions in time-based
399 metrics along the rural-urban gradient compared to volume metrics, the pattern between the more
400 urban catchments ($URBEXT \geq 0.26$) was highly variable and requires consideration of drivers other than
401 urban extent. That significant differences were observed between the less urban study catchments
402 ($URBEXT \leq 0.14$) compared to more urban study catchments fits well with observations from reported
403 literature that urbanisation generally will reduce time-to-peak (Williams, 1976; Sillanpää and Koivusalo,
404 2014), flood duration (Braud et al., 2013) and lag-time (Anderson, 1970). What is clear however from

405 the more urban study catchments ($URBEXT \geq 0.26$) is that once catchments become more heavily
406 modified other processes not represented by URBEXT start to significantly affect the conveyance time of
407 runoff.

408 The observations reported here are of international interest as empirical observations in small urban
409 catchments are limited and imperviousness is widely used in catchment scale studies. The limitations of
410 spatial measures of urbanisation such as imperviousness for attribution and modelling are increasingly
411 being identified in international studies, particularly where stormwater infrastructure is present
412 (Meierdiercks et al. 2010) and when considering high flows (Ogden et al. 2011; Braud et al. 2013).
413 Runoff timing in particular has been shown to be more a function of stormwater infrastructure than land
414 use (Smith et al. 2013). Accordingly there is growing interest in the application of alternative measures
415 of urbanisation such as methods to characterize urban form using landscape metrics (Jiao, 2015).

416 5.2 Objective 2: Role of antecedent soil moisture

417 We found antecedent soil moisture to affect the quantity of runoff generated in storm events for some
418 of the study catchments but to have little effect on the more urbanised study catchments
419 ($URBEXT \geq 0.42$). The clear relationship between soil moisture and runoff volume in catchments with
420 large rural areas is demonstrative of significant correlations between runoff and antecedent soil
421 moisture reported in the literature (Meyles et al., 2003; Penna et al., 2011; Zhang et al., 2011). The
422 diminished role of soil moisture in more urban catchments is less clear, some evidence suggesting
423 wetter soils cause higher runoff (Ragab et al., 2003) and other studies finding antecedent soil moisture
424 does not significantly impact storm hydrological response (Smith et al., 2013). The latter view, as found
425 here, supports the view of Shuster et al. (2005) who surmised a reduction in soil water storage potential
426 with increased impervious area, as shown by Booth et al. (2002), correspondingly decreases the
427 importance of antecedent soil moisture in runoff.

428 The lack of an observed relationship between SMD and time-based metrics suggests that soil moisture
429 does not generally control how quickly catchments respond to storm events, the flashiness of the
430 response, or the lag-time between the rainfall and runoff. That no differences were observed in the least
431 urban catchments was surprising as studies under more natural catchments show that antecedent
432 conditions can affect catchment response times (Penna et al., 2011; Haga et al., 2005). Similarly there is
433 evidence from more urban studies that under drier conditions lag-times are increased in locations with
434 more green space (Hood et al., 2007), but again this was not replicated in this study.

435 The combined results from both volume- and time-based metrics suggest some evidence for SMD
436 affecting runoff volume in less urban catchments but not the timing of storm runoff. This suggests that
437 in rural catchments a reduced runoff volume in drier conditions is not accompanied by a significant
438 decrease in catchment response time. The lack of any consistent impact of SMD on either volume of
439 timing of runoff in the more urban catchments ($URBEXT \geq 0.26$), except S1, suggests it does not play a
440 role in runoff generation when developed areas begin to dominate the catchment land cover. The
441 significant reductions in both volume- and time-based metrics at S1 under drier conditions is further
442 evidence of this, whereby despite a high URBEXT the dominant land cover is Rural (64.5%: Table 1).
443 Under such conditions it is likely to be effectively reducing the contributing area of storm runoff as the
444 majority of rainfall infiltrates into the previous soil storage space.

445 The role of soil moisture in runoff generating processes remains uncertain in urban environments with
446 mixed pervious and impervious surfaces (McGrane, 2015) and requires further study considering the
447 current international research interest into the role that urban green spaces and SuDS are in controlling
448 flooding (Palla and Gnecco, 2015) and their value in terms of ecosystem services (Duku et al. 2015).

449 5.3 Contributing urban factors not covered by URBEXT or imperviousness

450 The limitations of using a lumped spatial measure of urbanisation such as URBEXT or imperviousness are
451 particularly evident in observations from: i) catchments with similar levels of URBEXT but accompanied
452 by highly divergent responses to storm events; and ii) catchments with similar responses but different
453 levels of URBEXT. The response of the study catchments could be explained by a number of potential
454 factors explored within the wider international literature,

455 *Urban drainage* - Evidence from other studies suggests a combination of increased peak flows
456 and reduced response times may be a result of storm drainage systems that act to speed up the
457 conveyance of runoff and increase peak flow (Roy and Shuster, 2009) especially when the
458 connectivity of these systems is high (Shuster et al., 2005). Events from S5 (0.46) would seem to
459 be indicative of such a catchment, and the catchment drainage is dominated by artificial
460 drainage. It has been shown that for larger catchments impervious area and road density are
461 good explanatory variables for lag-times (McEnroe and Zhao, 2001) but at smaller scales it
462 becomes necessary to consider the effective impervious area (EIA) (Booth and Jackson, 1997).
463 This is the hydraulically connected impervious area where runoff travels over impervious
464 surfaces directly to storm drainage (Han and Burian, 2009). This has been shown to vary
465 considerably between development types (Roy and Shuster, 2009) and be potentially much less
466 than total impervious area (TIA) (Ebrahimian et al., 2016). A number of studies have sought to
467 relate TIA to EIA, however low fits of linear relationships between the two measures are
468 reported, with variations according to age of developments, local topography, ownership, and
469 regulations. (Alley and Veenhuis, 1983; Wenger et al., 2008; Roy and Shuster, 2009). A paired
470 catchment study by Hood et al. (2007) provides a particularly relevant example of how variable
471 the response of a similarly urban catchment can be due to the drainage layout and connectivity.
472 Clearly URBEXT or imperviousness alone cannot provide this level of information, highlighting

473 the need for ancillary information on urban drainage and its connectivity, particularly in smaller
474 urban catchments.

475 *Soils* - S1 (0.26) had reductions in both volume- and time-based metrics with drier conditions,
476 while other study catchments with large rural fractions (S2, B1) only had decreases in runoff
477 volume, and the similarly urban B2 (0.26) was unaffected by SMD. This is indicative of a seasonal
478 or soil-moisture related control mechanism independent of URBEXT that is controlled by the
479 high relative non-urban fraction, as previously discussed. It suggests that while catchments S1
480 and B2 have a similar URBEXT and level of pervious surfaces, the fragmented pervious 'urban'
481 soils in the mainly Suburban B2 do not respond in the same way as the continuous 'rural' soils.
482 This highlights the need to consider the relative extent of undeveloped areas surfaces, not just
483 pervious and impervious surfaces, as urban soils may not behave like more natural rural soils.

484 *Urban distribution* - Distribution of urban area towards the outlet can lead to a flashier response
485 (Zhang and Shuster, 2014) possibly explaining the particularly fast response at B2 whereby
486 urbanisation appears concentrated towards the monitoring point. A measure of location of
487 impervious surfaces relative to the catchment outlet would provide some clear measure of such
488 a factor. Such a measure is already available as a catchment descriptor in the UK (URBLOC:
489 Bayliss, 2000) but has not to date been used in flood estimation, primarily as the focus has been
490 upon larger less urban catchments.

491 *Artificial attenuation* – Despite being significantly more urban, the adjacent B3 (URBEXT = 0.44;
492 Urban = 16%: Table 1) and B2 (URBEXT = 0.26; Urban = 3.5%) have surprisingly similar responses
493 as measured by both volume and time-based metrics. Both are highly modified with large scale
494 drainage systems, but the wider literature suggests that in B3 the presence of retention ponds
495 have which have been noted are likely to have some form of artificial control that act to slow

496 down the movement of water and reduce flood peaks, and (Table 1). Such impacts are
497 supported from wide variety of observations comparing catchments with and without
498 stormwater controls (Hood et al., 2007) or the impacts of implementing SuDS (Palla and Gnecco,
499 2015) and form a key element of sustainable flood management in urban areas (Defra, 2014). A
500 catchment measure of artificial attenuation from SuDS features would complement catchment
501 descriptors for urban drainage in cases where the former is designed to cancel out the latter,
502 and be additional to natural attenuation.

503 *Natural attenuation* – S4 (0.42) has response times similar to a catchment that is less urbanised
504 (S1: 0.26) but no indication of seasonal SMD control, and longer times than catchments of
505 similar URBEXT (B3: 0.44, S5:0.46). This is perhaps indicative of features that act to attenuate
506 the runoff response such as sustainable urban drainage systems (SuDS) (Jarden et al., 2015)
507 which have been noted as only isolated instances within the catchment (Table 1). More likely,
508 given its size and location, is that flows are attenuated by a large area of natural green space
509 (Fig. 1) that has been observed to frequently flood, a solution often outlined in literature on
510 urban flood management to attenuate peak flows (Wilby, 2007, Hamel et al., 2013; CIWEM,
511 2010). These surfaces are not currently included in the natural attenuation index used here
512 (FARL) that covers only rivers and lakes but are considered in a more recent descriptor for flood
513 plan extent (FPEXT) (Kjeldsen et al., 2008). The FEH FPEXT values for S4 are however low (0.077)
514 but another FEH index of location (FPLOC) (0.74) indicates this area is located such that is has a
515 large contributing area and could play a greater role in attenuating upstream flows. Such
516 indexes when combined with more information on the spatial distribution of impervious
517 surfaces and storm drainage could be of particular use in attributing the for the reduced
518 response times of urban catchments with such large continuous features of green space
519 downstream of urban areas.

520 *Urban soils and soil moisture* - While the observations of the role of SMD in urban storm runoff
521 are valuable given the paucity of studies on urban soil hydrology (Ossola et al., 2015) a degree of
522 caution must be attached in that SMD here is derived from MORECS and is not from measured
523 data within the urban catchments. Given urban soils can be highly modified and compacted,
524 with resulting reduced water holding capacity (Chen et al., 2014) in-situ SMD could be highly
525 divergent from MORECS values and infiltration potential reduced, resulting in runoff more
526 typical of impervious surfaces (Redfern et al., 2016). Shuster et al. (2005) note that the hysteric
527 behaviour of soils could also be changed and alter the lag-times of runoff. More detailed
528 information on local soils, their state, and local soil moisture could provide a better picture on
529 the overall level of perviousness and the role of soils in small urban catchments. This could
530 involve some resampling of local soils and tests to ascertain compaction, with results used to
531 alter catchment soil indexes such as HOST used here.

532 Further investigation would be required to define more hydrologically relevant measures of land use
533 and antecedent conditions and to determine whether they improve attribution of storm runoff in small
534 urban catchments. Additionally, the practical implications for implementation in methods such as the
535 FEH require additional assessment, as there are limited gauged sites in small urban catchments
536 (Faulkner et al. 2012) and benefits might only occur at certain scales.

537 5.4 Study limitations

538 This study has been based upon using high-resolution monitoring equipment to study detailed rainfall-
539 runoff processes at the resolutions and locations necessary to better understand the impacts of
540 urbanisation on both the volume and timing of runoff, but has a number of limitations that could be
541 improved in further research:

- 542 - While data availability over the monitoring period is variable between study catchments this
543 reflects the real-world constraints of urban hydrological monitoring and difficulties of working
544 with high-resolution data (Hutchins et al., 2016).
- 545 - Errors and uncertainty occur in data, but by following standard guidance on data collection and
546 quality control, and using modern monitoring technology, these have been minimised.
- 547 - Event lag-times of were calculated from areal rainfall, and this could affect the reported lag-
548 times accuracy, particularly in small catchments. This was minimised by having a good coverage
549 of observation gauges (Fig. 1). Further research could focus on spatial variability of rainfall and
550 storm type relationships with observed response.
- 551 - For the more urban study catchments ($URBEXT \geq 0.42$) there was a bias towards more summer
552 events (Fig. 2), however this could simply reflect the lack of significant runoff being generated
553 during summer in more rural catchments.
- 554 - SMD was derived for a large area which, given the scale and variability of land use within the
555 catchments studied may be unrepresentative. In addition, Hess et al. (2016) have shown that
556 the spatial variability of evapotranspiration is low in this region.
- 557 - Study locations are in a temperate climate and results may not be transferrable to semi-arid
558 (Hawley and Bledsoe, 2011) or cold climates (Sillanpää and Koivusalo, 2015).

559 **6 Conclusion**

560 This study used high-resolution rainfall-runoff data from 8 small catchments at varying levels of
561 urbanisation, in order to determine if a spatial measure of urbanisation can explain variability in
562 catchment response to storm events along a rural-urban gradient and whether antecedent soil moisture
563 modifies the relationship between urbanisation and storm runoff. The results suggest that generalised
564 relationships between urbanisation and storm runoff, whereby increased urbanisation leads to higher
565 peak flows and increased runoff, along with reduced catchment response times, are not well

566 represented in real-world data. The observations showed that runoff volume per unit area has little
567 variation once catchments become significantly urbanised ($URBEXT \geq 0.42$), and that the both volume
568 and timing of runoff in particular are likely to be affected by other factors in addition to urban extent or
569 impervious cover. Analysis of antecedent soil moisture and hydrological metrics suggest that SMD only
570 affects runoff volume in catchments dominated by “Rural” (non-urban) land cover, and runoff timing
571 does not follow any clear rural-urban gradient. Taken together the results suggest only minor
572 improvements could be gained in attribution of storm runoff through refined estimates of impervious
573 surfaces at such scales, and that further work is required to determine what contributing factors are
574 causing the observed variability in timing of runoff along the rural-urban gradient.

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855

856 TABLE 1: Land cover and hydrologically relevant features of the Study catchments (B1 – B3 Bracknell, S1 – S5 Swindon)

Study catchment	Land cover (%)			Catchment land cover and hydrological description
	Urban	Suburban	Rural	
B1	0.7	27.1	72.2	Mixed farmland with low density housing development in upper reaches. Natural drainage channel with large inline water body in upper reach.
B2	3.5	44.4	52.1	Suburban high-density housing with woodland. Natural drainage channel with inline retention features and STW outfall in upper reaches that imports waste-water from outside of catchments.
B3	16	55.5	28.4	Town centre with mixed housing, industry and commercial with forested areas and green spaces. Highly modified drainage channel passing mostly underground and through storm retention ponds.
S1	19	16.5	64.5	Town centre commercial, housing and industry with grazing farmland in upper reaches. Natural drainage channel with large number of storm drainage inflows.
S2	0	12.1	87.9	Predominantly rural grazing farmland with pockets of housing. Natural drainage channel with floodplain and small ponds.
S3	31.4	57.1	11.5	Town centre with mixed housing, industry and commercial with green spaces along stream corridor. Predominantly natural drainage channel with significant storm drainage inflows and some channelisation in upper reaches.
S4	1.3	80.7	18	High-density peri-urban housing and commerce with large central green space. Natural drainage channel with storm drainage inflows, isolated SuDS, and natural catchment area reduced due to storm-drainage in S5.
S5	16.3	59.7	24.1	High-density peri-urban housing and commercial development with isolated green spaces. Fully artificial storm drainage with isolated SuDS.

857

858

859 Table 2: Catchment flow data records and FEH catchment descriptors (* HOST refers to the Hydrology Of Soil Type classification used in the UK
 860 (Boorman et al., 1995), ** indicates derived values)

	EA_39052	B1	B2	B3	EA_39087	S1	S2	S4	S4	S5
AREA** (km²)	51.96	18.37	12.49	12.55	82.5	28.97	3.24	5.98	3.09	2.18
Data start	10/1987	10/2013	10/2013	11/2014	10/1987	11/2013	11/2013	05/2011	04/2011	04/2011
ALTBAR - Mean catchment altitude										
(mASL)	75	72	84	80	109	121	122	102	110	110
BFIHOST - Base flow index derived										
from HOST*	0.36	0.29	0.51	0.43	0.39	0.38	0.67	0.32	0.43	0.43
SPRHOST - Standard HOST*										
percentage runoff	41.5	44.7	34.6	38.2	42.6	42.5	25.5	46.6	40.2	40.2
DPLBAR** - Mean drainage path										
length (km)	7.46	4.77	3.9	3.75	9.31	5.82	2.12	2.84	2.11	1.79
Length - Maximum catchment										
length from outlet (km)	8.56	5.31	6.08	6.26	15.03	6.69	3.07	4.08	3.14	2.44
DPSBAR - Catchment steepness										
(m/km)	24.7	17.9	25.8	30.2	27.4	35.8	33.8	14	33.7	40.61
FARL - Index of flood attenuation										
from reservoirs and lakes	0.94	0.93	0.98	0.96	0.99	1	0.94	1	1	1
PROPWET - Index of proportion of										
time soils are wet	0.29	0.29	0.29	0.29	0.34	0.34	0.34	0.34	0.34	0.34
RMED-1H - Median annual max 1	12.6	12.6	12.7	12.6	9.6	9.6	9.7	9.4	9.6	9.6

hour rainfall (mm)

SAAR - 1961-90 standard-period

average annual rainfall (mm)	676	679	686	672	698	707	712	683	688	688
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URBEXT₂₀₁₅** - Fractional urban

extent in 2015	0.24	0.14	0.26	0.44	0.26	0.26	0.06	0.6	0.42	0.46
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861

862 TABLE 3: Event selection criteria (illustrated in Figure 2).

<p>Stage 1 - Rainfall</p>	<ul style="list-style-type: none"> - Minimum 2mm rainfall in 4 hours to define rainfall event (0.5mm/hr) - Events separated by period defined by baseflow window (Bf.window) - No rain exceeding 0.5 mm occurs during pre-event period (Ev.pre - Ev.start) and zero rainfall 2 hours prior to event start - No rain exceeding 0.2 mm following event end (Ev.end) - No gaps between rainfall 'spikes' during event window (Ev.start – Ev.end) exceeding 3 hours
<p>Stage 2 – Storm runoff and baseflow</p>	<ul style="list-style-type: none"> - Only single event hydrographs - Baseflow calculated for event runoff
<p>Stage 3 - Rainfall-runoff</p>	<ul style="list-style-type: none"> - User selection of timing for periods defining post event window (Ev.post) and baseflow window (Bf.window) based on catchment size and hydrograph - No significant increase in flow before rainfall event start (Ev.start) - No rainfall driving runoff post event recession (Ev.post) - No mistiming in response – e.g. significant delay between rainfall and runoff

863

864 TABLE 4: Selected volume- and time-based hydrograph metrics used to quantifying storm runoff

Hydrograph metric	Description	Reference application
<i>Volume-based</i>		
Q _{max} (l/s/km ²)	Peak flow during a storm event - expressed over a unit of catchment area	Hollis & Ovensden (1998)
PR (%)	Measure of the percentage of rainfall generating direct runoff	Burn & Boorman (1993)
DR (mm)	Stormflow over and above baseflow occurring if storm did not occur	Shaw et al. (2011)
<i>Time-based</i>		
T _P (h)	Time to peak flow from start of storm runoff	Gallo et al. (2013); IH (1999)
	Flood duration of event hydrograph corresponding to Q/Q _{max} = 0.5 in	
Θ (h)	median hydrograph	Braud et al. (2013)
T _{LPP} (h)	Lag time between peak rainfall intensity and peak hydrograph flow	Scheeder et al. (2003)
T _{LC} (h)	Lag time between event centroid of rainfall and centroid of hydrograph	Hall (1984)

865

866 Table 5: Mean values for each selected metric across the study catchments, in order of URBEXT. Means with the same letter across study
 867 catchments are not significantly different to each other.

Catchment	S2	B1	B2	S1	S4	B3	S5	S3
URBEXT	0.06	0.14	0.26	0.26	0.42	0.44	0.46	0.6
n	36	38	26	26	85	11	50	64
Qmax (l s ⁻¹ km ⁻²)	47.5 ^c	33.7 ^c	105.2 ^{ab}	95.4 ^b	141.6 ^a	192.4 ^a	719.4 ^d	116.9 ^{ab}
DR (mm)	1.5 ^c	1.7 ^{bc}	1.9 ^{ab}	4.5 ^{ab}	2.8 ^a	3.3 ^a	3.2 ^a	2.6 ^a
PR (%)	10.9 ^d	12.5 ^{bd}	16.6 ^{ab}	28.8 ^{ac}	23.6 ^{ac}	26.6 ^{ac}	28.2 ^c	24.8 ^{ac}
T _{PS} (h)	13.3 ^d	8.7 ^{cd}	4.1 ^{ab}	7.1 ^{ac}	8.2 ^c	4.9 ^{ac}	2.7 ^b	4.6 ^a
Θ _S (h)	39.0 ^e	15.2 ^f	4.8 ^a	11.2 ^{cd}	9.6 ^d	4.4 ^{ab}	1.6 ^f	6.8 ^{bc}
T _{LPPS} (h)	21.0 ^e	10.9 ^f	4.8 ^a	7.5 ^{bd}	9.0 ^d	3.6 ^{abc}	3.4 ^{ac}	4.8 ^{bc}
T _{LCS} (h)	15.1 ^d	8.2 ^e	1.2 ^a	4.7 ^c	5.8 ^c	1.5 ^{ab}	2.0 ^b	2.3 ^a

868

869 Table 6: Mean metric values for each study site under wet and dry conditions. Values sharing the same superscript letter are not significantly
 870 different, while highlighted values indicates catchment means that are significantly different between wet and dry conditions as defined using
 871 soil moisture deficit (SMD).

Catchment	Wet (SMD ≤ 7.6mm)								Dry (SMD > 7.6mm)							
	S2	B1	B2	S1	S4	B3	S5	S3	S2	B1	B2	S1	S4	B3	S5	S3
URBEXT	0.06	0.14	0.26	0.26	0.42	0.44	0.46	0.6	0.06	0.14	0.26	0.26	0.42	0.44	0.46	0.6
n	21	17	10	12	35	5	23	24	15	21	16	14	50	6	27	40
SMD	1.7	0.6	0.3	0.8	1.3	0.0	1.1	0.9	64.6	61.6	59.2	64.7	59.3	83.9	57.0	63.6
Qmax (l s ⁻¹ km ⁻²)	74.3 ^{bc}	57.8 ^c	102.8 ^{abc}	152.4 ^{ab}	149.1 ^a	154.5 ^{ab}	667.1 ^d	118.6 ^{ab}	9.8^c	14.1^c	106.7 ^{ab}	46.4 ^b	136.4 ^a	224.1 ^a	763.9 ^d	115.8 ^a
DR (mm)	2.4 ^a	3.1 ^a	2.5 ^a	8.6 ^b	3.2 ^a	3.0 ^{ab}	3.8 ^{ab}	2.7 ^a	0.2^d	0.6^{cd}	1.5 ^{ab}	0.9^{bc}	2.6 ^a	3.6 ^a	2.6 ^a	2.5 ^a
PR (%)	17.2 ^a	21.9 ^a	19.8 ^{ab}	53.9 ^c	26.4 ^{ab}	27.5 ^{ab}	34.0 ^b	29.4 ^{ab}	2.0^e	4.9^{de}	14.7 ^{ab}	7.2^{bd}	21.5 ^c	25.9 ^{ac}	23.1 ^{ac}	22.1 ^c
T _{PS} (h)	15.1 ^d	9.8 ^{cd}	5.0 ^{ab}	11.4 ^{ab}	8.5 ^{cd}	5.9 ^{abc}	3.0 ^b	4.7 ^a	10.7 ^e	7.8 ^{de}	3.2 ^{abc}	3.3^{de}	8.0 ^{bd}	4.0^{abcd}	2.4 ^c	4.5 ^a
Θ _s (h)	43.7 ^c	16.1 ^b	6.1 ^a	18.3 ^b	10.0 ^a	4.8 ^a	2.1 ^d	7.5 ^a	32.4 ^c	14.5 ^f	3.9 ^a	5.1^{ab}	9.2 ^d	4.0 ^{ab}	1.2 ^e	6.4 ^b
T _{LPPS} (h)	21.1 ^c	10.7 ^{bc}	5.6 ^a	10.1 ^b	9.3 ^b	4.1 ^a	3.8 ^a	4.9 ^a	20.7 ^c	11.0 ^d	4.2 ^a	5.2 ^a	8.8 ^d	3.2 ^{ab}	3.1 ^{ab}	4.7 ^b
T _{LCS} (h)	15.9 ^d	8.4 ^c	1.5 ^a	8.1 ^c	5.7 ^c	1.4 ^{ab}	1.7 ^b	2.2 ^a	14.0 ^c	8.0 ^e	1.0 ^a	1.7^a	5.7 ^d	1.6 ^{ab}	2.1 ^b	2.4 ^a

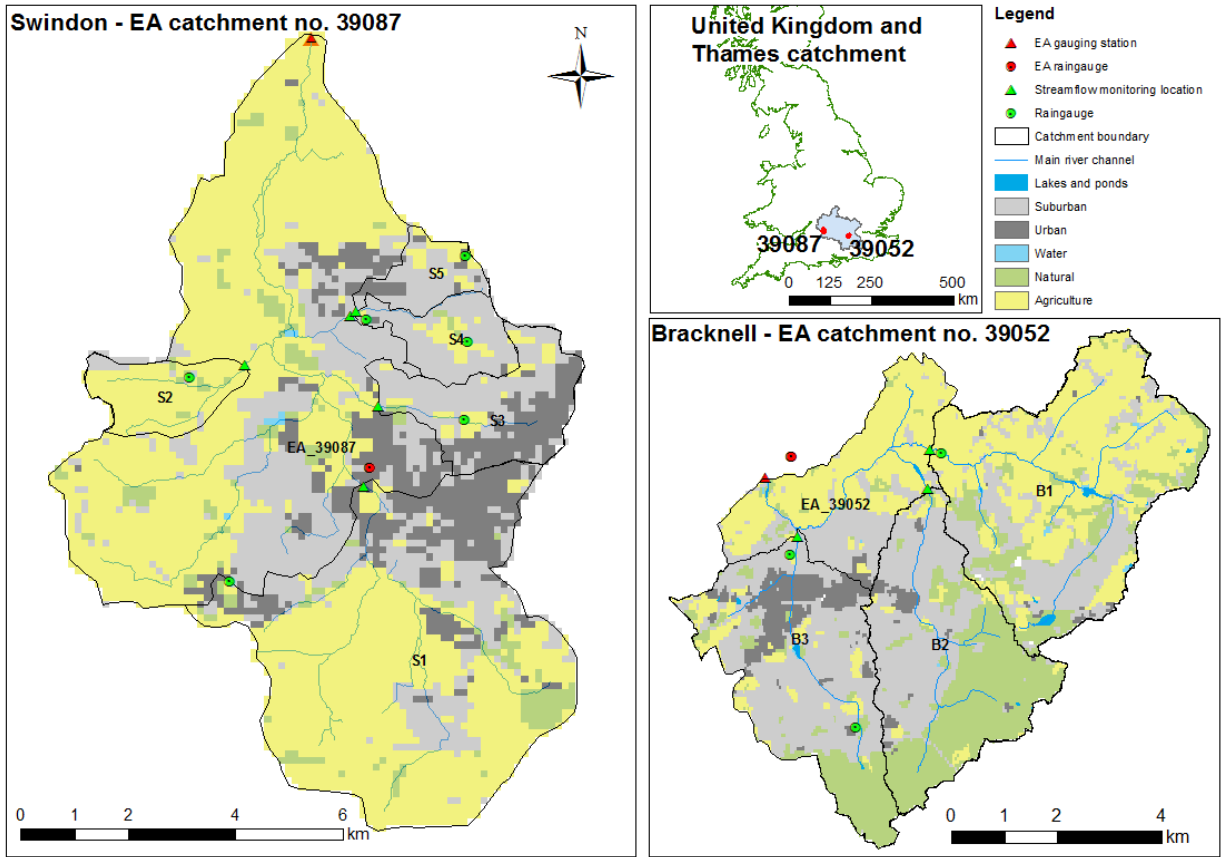
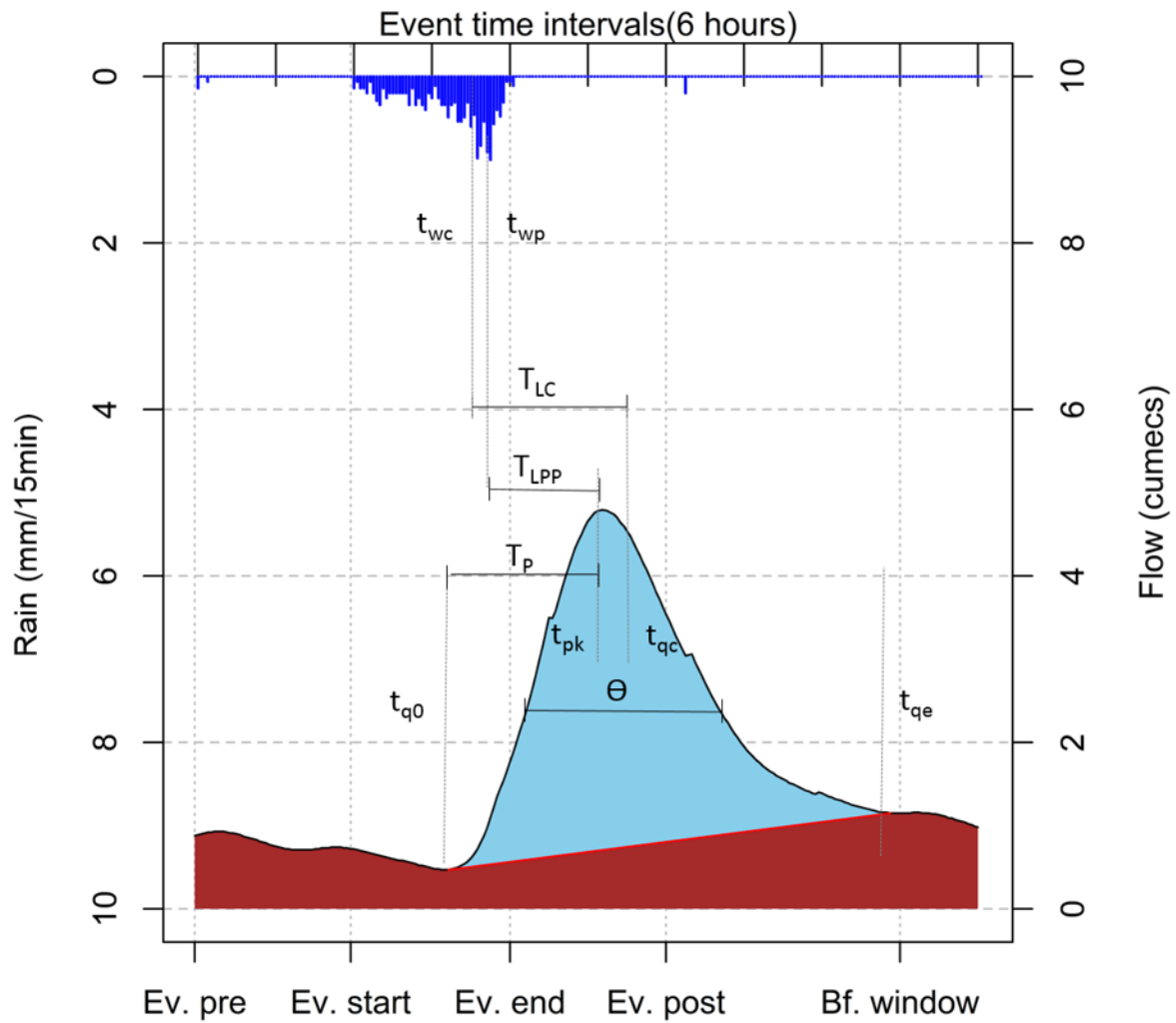


FIGURE 1: EA catchments at Swindon and Bracknell, showing study catchments, monitoring locations and land cover. Inset shows EA catchment locations within Thames basin and the United Kingdom.



Time instants

- t_{wc} = centroid of precipitation
- t_{wp} = peak of precipitation
- t_{q0} = start of stormflow
- t_{pk} = peak stormflow
- t_{qc} = centroid of stormflow
- t_{qe} = end of stormflow

Time metrics

- T_p = time-to-peak
- T_{LC} = centroid lag time
- T_{LPP} = peak lag time
- θ = flood duration

Event instants

- Ev.pre = pre-event window
- Ev.start = event rainfall start
- Ev.end = event rainfall end
- Ev.post = post event window
- Bf.window = baseflow window

FIG 2: Hydrograph separation with event instants used to select independent events and time instants used to derive time-based metrics of storm events

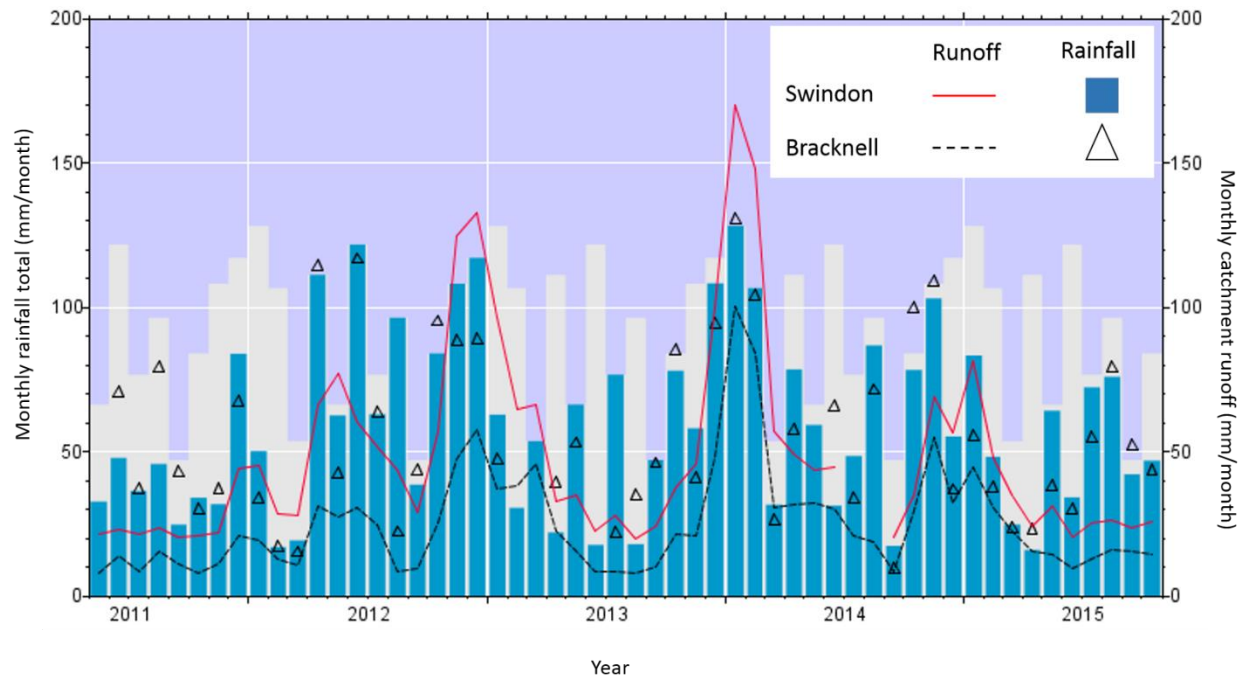


FIGURE 3: Monthly rainfall and flow for Environment Agency rainfall and gauging stations at Swindon (39087) and Bracknell (39052). The blue upper envelope marks the long-term maximum monthly rainfall for Swindon.

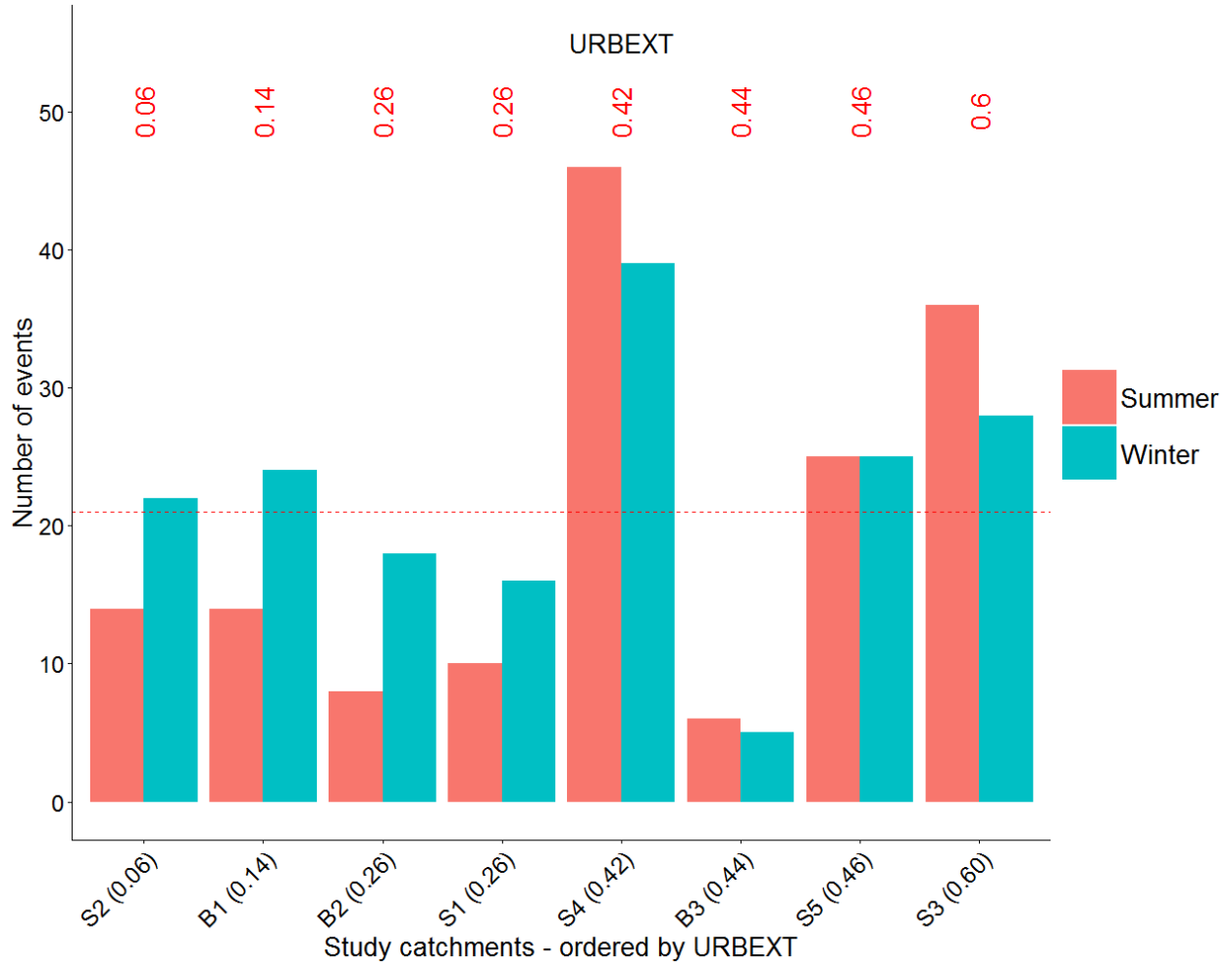


FIGURE 4: Histogram of storm events by site and season (summer defined as April to September) for each sub-catchment with mean frequency of all study catchments indicated by dashed red line.

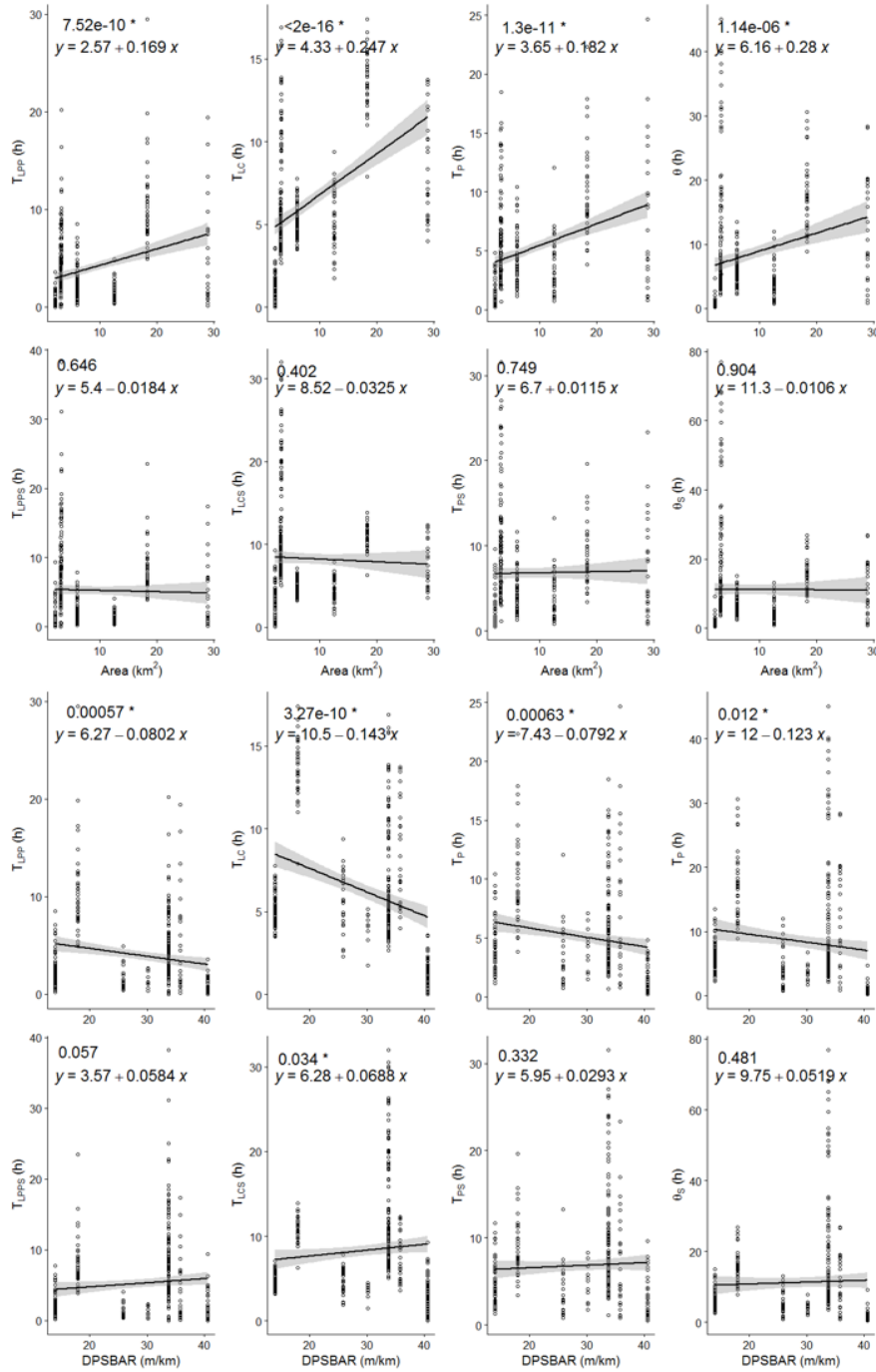


FIGURE 5: Time-based hydrograph metrics (Table 4) against AREA and DPSBAR before (a, b) and after (a_s, b_s) scaling (eqs. 4 – 7). Data are fitted with a linear model fitted with significance (p) of fitted model slope (* denotes p < 0.05) and model equation reported. Grey shading shows the 95% confidence interval.

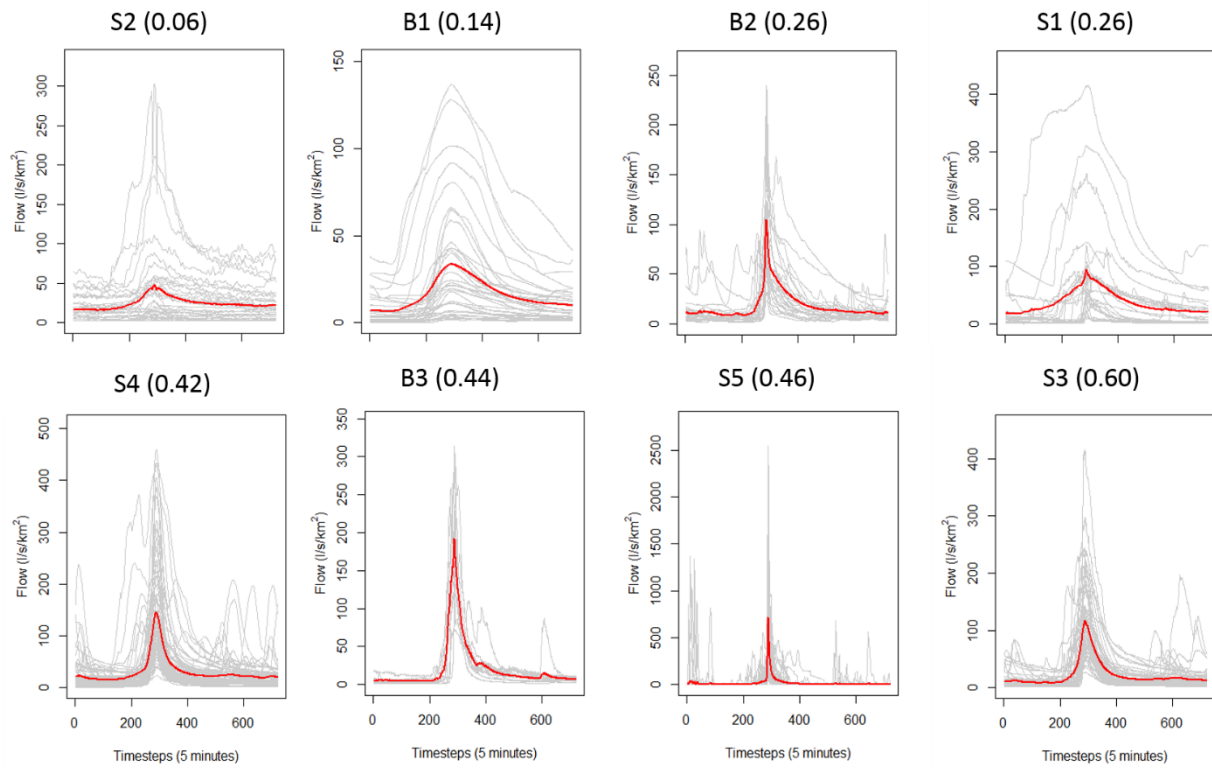


FIGURE 6: Comparison of area weighted event hydrographs (grey) and mean hydrograph (red) among study catchments (Table 1, Fig. 1) with catchment URBEXT in brackets (ordered top left to bottom right by URBEXT)

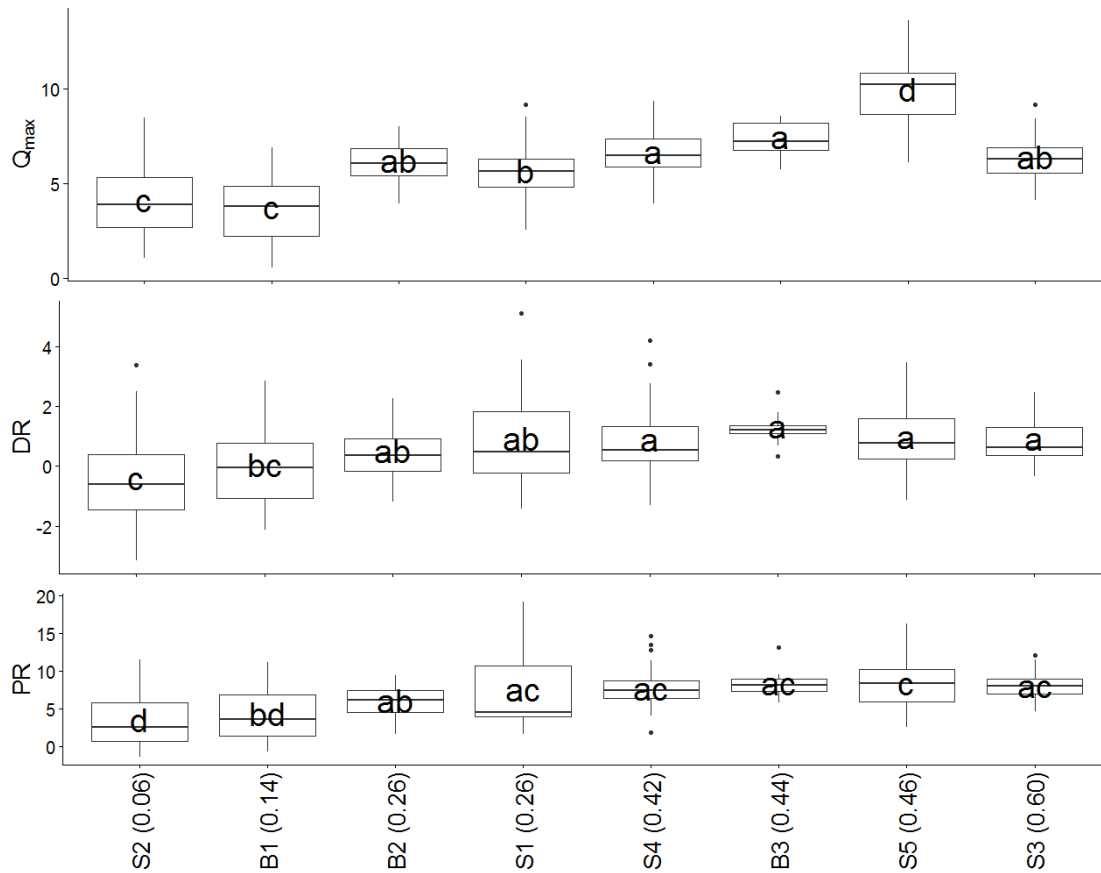


FIGURE 7: Boxplots of normalised peak flow (Q_{max}), storm runoff (DR), and percentage runoff (PR) across the study catchments – URBEXT in brackets. Box-plots sharing the same letter have means that are not significantly different.

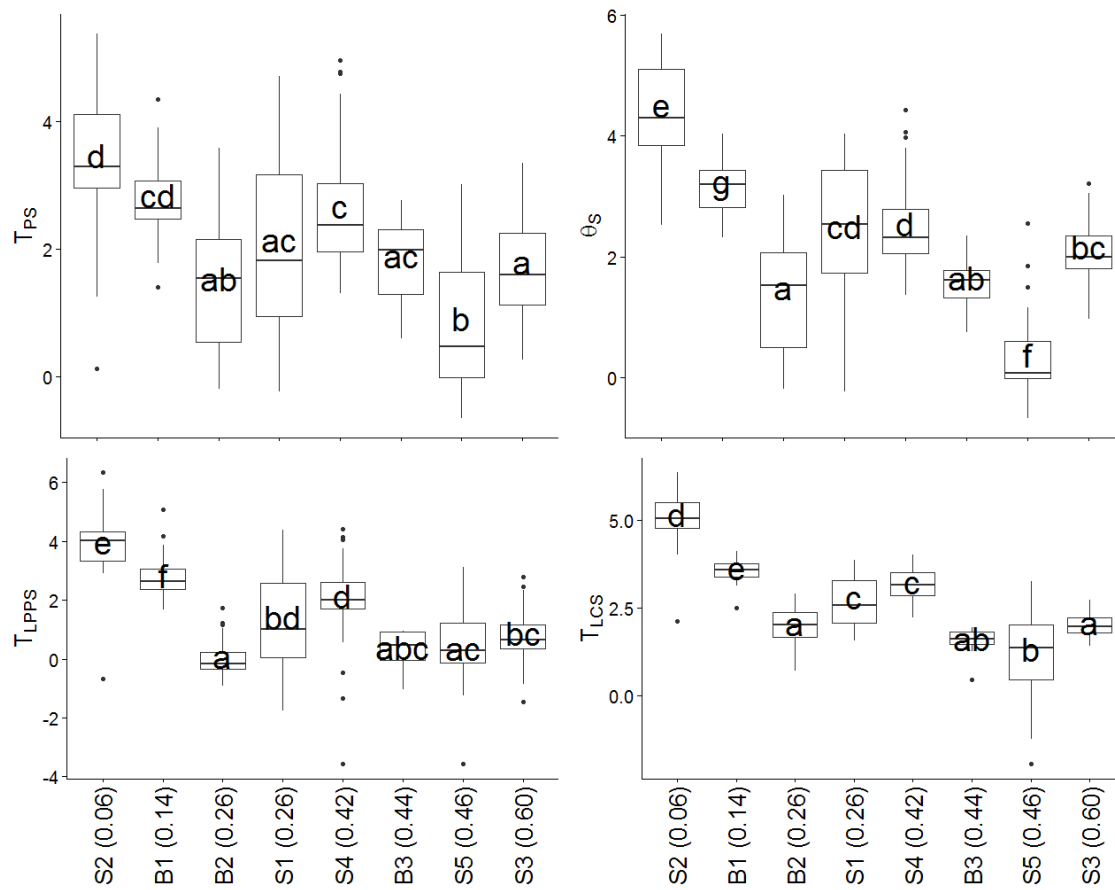


FIGURE 8: Box-plots of scaled and normalised time-to-peak (T_{PS}), flood duration (θ_S), time lag-to-peak (T_{LPPS}), and time lag-to-centroid (T_{LCS}) across study catchments – URBEXT in brackets. Box-plots sharing the same letter have means that are not significantly different.

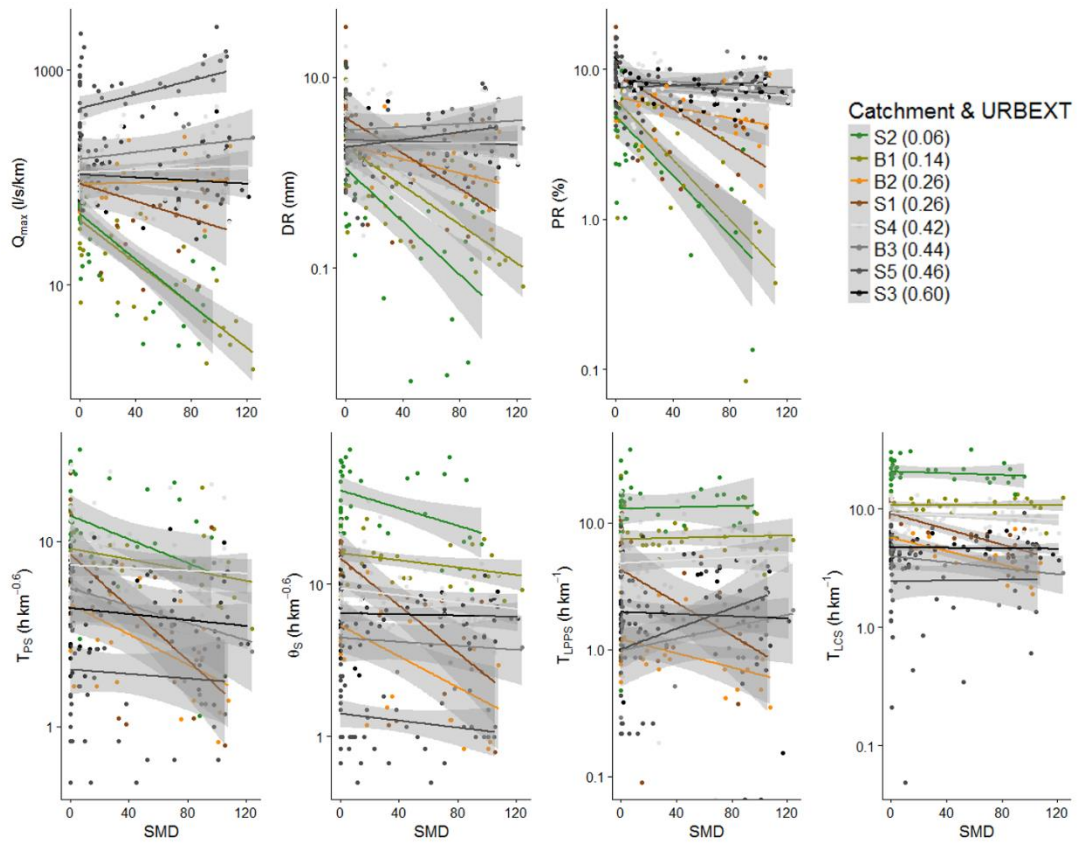


FIGURE 9: Change in metrics (Table 4) with SMD by catchment with linear fit and 95% confidence intervals shown in grey. (Y axis is log scale)