

# **Plasma-induced physicochemical effects on a poly(amide) thin-film composite membrane**

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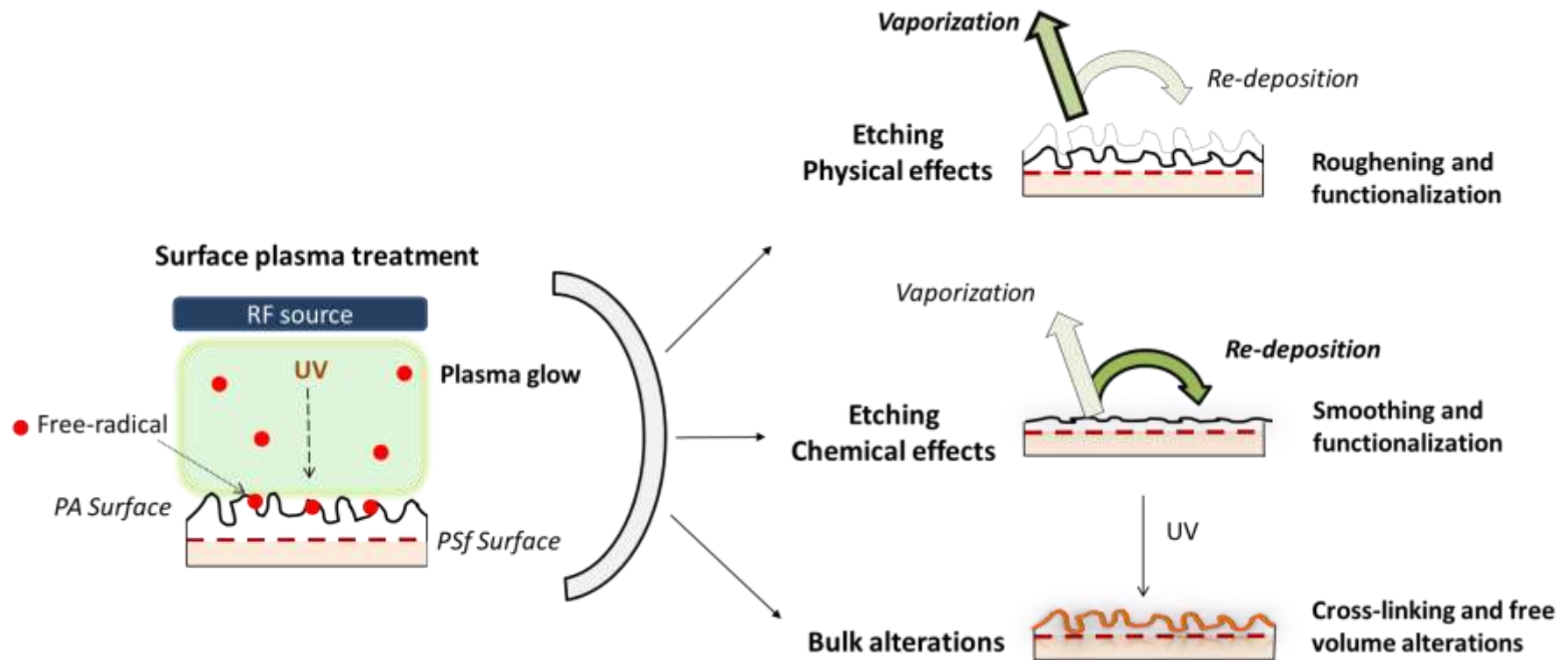
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**Abstract:** Helium and water gas plasma were used to modify the surface morphology and chemistry of commercial thin film composite membranes. Such surface treatment is a convenient tool to alter performance of the membranes and subsequently reduce interactions with contaminants in solution. Plasma reactions such as etching and re-deposition resulted in surface functionalization and texturation which were correlated with membrane flux and salt rejection changes. Investigations conducted using atomic force microscopy revealed morphology alterations which were apparent as either smoother surfaces or rougher surfaces. While the smoothing was attributed to a re-deposition of polymer material, which originated most likely from vaporization of surface polymer, the roughening was a result from balanced plasma surface reactions. The beneficial etching can occur under certain conditions when helium is used in conjunction with low excitation power, which resulted in flux enhancements up to 66% (i.e. from 30 L.m<sup>-2</sup>.h<sup>-1</sup> to 50 L.m<sup>-2</sup>.h<sup>-1</sup>) with 98 % rejection. The hydrophilicity was dramatically increased from 46.6° to as low as 8.9° after 5 min of helium plasma duration. The impact of plasma treatments have on the nascent structure was discussed in order to highlight its application as a convenient tool to functionalize the surface of thin film composite membranes.

**Keywords:** Plasma gas surface modification, hydrophilicity control, surface texturation, flux enhancement, thin film composite membrane

## Graphical abstract



## 1 **1. Introduction**

2

3 Thin film composite (TFC) membranes have become benchmark materials for applications  
4 in nano-filtration (NF) or reverse osmosis (RO) technologies. These membranes represent a  
5 performance-breakthrough with the combination of flux and selectivity in desalination  
6 membranes. However, despite such phenomenal industry take-up over the last 30 years,  
7 membrane damage incurred by fouling and bio-fouling still remains a key challenge [1, 2].  
8 These issues are limiting membrane performance and lifespan due to the varying water  
9 quality which impacts negatively on operating costs of desalination plants. The design of  
10 advanced surfaces is therefore a key to sustaining long term performance during operation  
11 and to managing the adverse and unavoidable effects of fouling [3]. Surface modifications of  
12 TFC membranes are viable routes to custom design TFC membranes. Chemical routes have  
13 been mainly targeted towards controlling surface hydrophobicity and roughness through  
14 chemical grafting and surface coating [4-7]. Increasing the hydrophilicity of the surface will  
15 reduce its affinity with organic foulants [8, 9], while reducing surface roughness can  
16 significantly decrease physical adhesion of foulants and suppress solid deposition on the  
17 surface [10, 11]. Although chemical grafting and surface coating were shown to reduce  
18 fouling, in practice such conventional chemical techniques add to operating costs due to the  
19 use of large amount of chemicals and additional treatment steps [12-14]. Novel cost-effective  
20 routes are therefore sought to manufacture surface energy tunable materials with enhanced  
21 performance.

22 Plasma chemistry is a rapidly developing technique with low environmental impact [15-  
23 17]. This versatile technique uses reactants in the form of gases or vapors to create distinct  
24 and systematic alterations of material surface properties from short treatment times [15]. High  
25 frequency plasmas operating at 13.56 MHz and low pressures ( $\leq 100$  Pa) are particularly well

26 suited for treating thin membrane structures due to excellent uniformity of the resulting  
27 treatment and the absence of hot arcs, which can burn holes [18, 19]. Most investigations  
28 involving plasma treatments have focused on modification of ultra- or micro-filtration  
29 membranes [20-22] and utilized a range of reactant gases. In this regard, water (H<sub>2</sub>O) and  
30 helium (He) plasmas are cost effective reactants for tuning the membrane surface morphology  
31 and graft favorable bonding functionality for subsequent processing [23, 24]. Although some  
32 benefits of plasma in membrane technology are well established, only few studies have  
33 explored applying such plasma treatments to poly(amide) (PA) TFC membranes [25-27]. The  
34 PA chemical structure is by nature a highly cross-linked and hierarchical material which  
35 therefore several synergistic effects work in unison to achieving an exemplary desalination  
36 performance. Experimental parameters (i.e. reactant gas, working pressure and excitation  
37 power) are sought to be adjusted considering the specific nature of PA membrane structure in  
38 order to achieve an optimal balance of surface modifications without compromising  
39 performance [28].

40 Some plasma reactions may cause different impacts on PA structure which can be  
41 beneficial if parameters are well controlled. For instance, electron-initiated reactions from RF  
42 plasmas may activate and simultaneously generate free-radicals from reactants as well as  
43 from polymer material of the treated substrate. Exposure to air after plasma treatment in  
44 general creates more hydrophilic surfaces by incorporation of low-molecular weight oxidized  
45 structures and subsequently improving anti-fouling properties [29, 30]. In addition, some  
46 plasma treatments of polymeric membranes have been demonstrated to simultaneously  
47 increase surface hydrophilicity and roughness which potentially contributed to flux  
48 enhancement during organic fouling operation tests [25, 31, 32]. Collisions of electrons at  
49 certain angles to the material surface also cause bond scissions, which remove material from  
50 the vicinity of the surface via a process known as ‘etching’ [28]. Simultaneously, as materials

51 are removed from the surface, re-deposition of the same materials in vaporized phase may  
52 occur [33, 34]. On the other hand, intense plasma conditions, such as high excitation power  
53 and long duration, may favour some undesired reactions which can suppress functionalization  
54 mechanisms. Therefore the adjustment of the excitation power is critical to controlling the  
55 extent of etching on the surface. Such mechanisms are governed by UV emissions present in  
56 RF plasma, and therefore the treated material's inherent UV absorption capacity may strongly  
57 facilitate such reactions [35]. For example, UV absorption in PA occurs mainly in the vicinity  
58 of 300 nm wavelength [36]. Intense UV absorption may lead to excessive re-deposition,  
59 cross-linking and branching of macromolecules which can potentially penetrate as far as 200  
60 nm into the PA layer. The resultant process may reduce surface area [37] and create  
61 membrane resistance, a similar issue also found in surface coating modifications [4, 13, 38]  
62 which can also impact on membrane flux [15, 39].

63 In this work, for the first time, commercial TFC membranes were treated with pure He and  
64 H<sub>2</sub>O gas plasma for a broad range of excitation power and exposure durations. The plasma  
65 powers were therefore chosen to cover a broad range of potential plasma excitation energies,  
66 as well as different levels of emitted UV light, from the plasma glows. The investigation also  
67 sought to evaluate their impact on the material's morphology, surface energy and  
68 microstructure, being parameters known to affect membrane performance. This study gives  
69 in-depth insights into membrane performance associated with plasma surface reactions  
70 contrasted by two divergent gas reactants. The modified membranes were characterized and  
71 potential practical improvements for desalination performance were discussed.

72

## 73 **2. Experimental Section**

### 74 **2.1 Reagents and materials**

75 BW30 TFC membranes were purchased from Dow Filmtec Corp. (IMCD limited  
76 Australia). The TFC RO membrane is a multilayer material composed of an extremely thin  
77 active (~100-200 nm) and semi-dense layer of PA. This layer is deposited on top of a thick  
78 porous support substrate (e.g. poly(sulfone) (PSf)) coated on to a nonwoven (e.g. poly(ester)  
79 (PES)) fabric structure [2]. Membranes were conditioned prior to plasma processing by  
80 immersing them in deionized (DI) water for 5 hours, dried in air and then rinsed with ethanol  
81 prior to permeation. This procedure represents the common activation process for new  
82 membranes of this type.

### 83 **2.2 Plasma treatments**

84 Plasma treatments were performed using a low pressure plasma system from Diener  
85 Plasma Surface Technology, model Pico-RF-PC and operating in the high frequency band at  
86 13.56 MHz. Reactants were water H<sub>2</sub>O and He, which were injected into a 7.7 L stainless-  
87 steel chamber at a constant pressure of 0.2 mbar and exposed to either 10 W or 80 W  
88 excitation power for a duration of 1, 2 or 5 min. Immediately after plasma treatment the  
89 membranes were purged in nitrogen for 20 seconds in order to avoid oxidation in air and  
90 better preserve the chemical modification.

### 91 **2.3 Characterization techniques**

#### 92 **2.3.1 Scanning Electron Micrographs (SEM)**

93 SEM images were obtained from a Quanta dual beam Gallium (Ga) Focus Ion Beam (FIB)  
94 Scanning Electron Microscope from FEI and samples were coated with carbon prior to image

95 analysis. Images were collected at 20 keV acceleration voltage with a working distance of 10  
96 mm.

### 97 **2.3.2 Atomic Force Microscopy (AFM)**

98 Atomic force microscopy (AFM) analysis was performed in tapping mode using a Bruker  
99 model Nanoscope V with multimode scanning capability and equipped with a microscope  
100 camera 10xA Nikon series 110422 to monitor the scanning area. The resonance frequency  
101 and force constant of the cantilever probe was 300 kHz ( $\pm 100$  kHz) and 40 N/m, respectively.  
102 Data was collected using Nanoscope 8.4 software, providing a scan window size of 7  $\mu\text{m}$ .  
103 Images were subsequently evaluated using Gwyddion<sup>36</sup> data analysis software. The  
104 accuracy of plotted data sets was within a 95% confidence interval of the true value.

### 105 **2.3.3 Contact angle**

106 Contact angle measurements were performed with a Biolin Scientific goniometer to map  
107 differences in hydrophobicity across the membrane surface. Contact angle measurements  
108 were performed 1 hour after plasma treatment. Each test involves applying 4  $\mu\text{L}$  of de-ionized  
109 water in the form of drops in three different spots on the membrane surface. Images were  
110 acquired 5 seconds after application of the water drop and contact angles were calculated by  
111 fitting the image of the drops using the Young-Laplace algorithm from the optical tensiometer  
112 software. Plotted data lies within the 95% confidence interval of the true value.

### 113 **2.3.4 Attenuated Total Reflection-Fourier Transform Infrared Spectroscopy (ATR- 114 FTIR)**

115 Analysis of surface functional groups created by the plasma treatments was performed  
116 using a Perkin Elmer infrared spectrometer, model Frontier with KBr beam splitter and  
117 diamond ATR crystal. All spectra were collected across a wavenumber range of 4000-850



118  $\text{cm}^{-1}$ , 8 spectra were averaged at resolution of  $4 \text{ cm}^{-1}$  and analyzed with OPUS 7.2 software  
119 from Bruker Corporation.

### 120 **2.3.5 X ray photoelectron spectroscopy (XPS)**

121 X-ray photoelectron spectroscopy (XPS) was utilized for surface and interface  
122 characterization. XPS was performed using a Thermo Scientific™ K-Alpha+™ X-ray  
123 photoelectron spectrometer. The photon energy was 1253.6 eV, line width 0.7 eV and Mg  
124  $K_{\alpha}$   $h\nu=486.7 \text{ nm}$  filament. A quantitative elemental composition of the modified PA was  
125 provided for a surface depth of 1–5 nm and detection limit of 0.1% of the bulk material. The  
126 peak position was calibrated using the C1s peak at 284.6 eV.

### 127 **2.4 Membrane performance**

128 Salt rejection and water permeation performance were tested with a laboratory-scale cross-  
129 flow filtration system model CF042 from Sterlitech Corp., WA, USA. The circulating feed  
130 stream contained 2,000 mg/L of sodium chloride (NaCl) from Sigma Aldrich (99% purity) at  
131  $25^{\circ}\text{C}$ . The effective membrane area was  $42 \text{ cm}^2$  and the trans-membrane pressure was  
132 monitored and maintained at the target working pressure of 15 bar within +/- 2% accuracy.  
133 The circulating permeate flow at the outlet was collected after 2 h and salt rejection  
134 conductivity measured immediately on completion of the test. Salt concentration was  
135 determined using an electrical conductivity meter (Hach HQ40d) and permeate mass was  
136 measured using a balance with a resolution of 0.001 grams. Data acquisition software was  
137 used to record the permeate flux for a 1 min interval. Each desalination test was replicated  
138 twice and error bars of about 7% were obtained after considering the estimated standard error  
139 of the mean values.

140

141

142 Salt rejection  $R$  (%) was calculated according to:

$$143 \quad R = 1 - \left( \frac{C_p}{C_f} \right) \times 100\% \quad (1)$$

144 where  $C_p$  and  $C_f$  are measured conductivities of the collected permeate and initial feed (in  
145  $\mu\text{S}/\text{cm}$ ), respectively, which represent the corresponding salt concentrations, assuming that  
146 the conversion between conductivity and concentration is linear within corresponding  
147 concentration ranges. Total permeate flux  $J_w$  ( $\text{L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}$ ) was calculated by:

$$148 \quad J_w = \frac{V}{A \times t} \quad (2)$$

149 where  $V$  is volume of the permeate (L),  $A$  is effective membrane area ( $\text{m}^2$ ) and  $t$  is time in  
150 hours over which the volume  $V$  was collected.

### 151 **3. Results and Discussion**

#### 152 **3.1 The impact of plasma treatments on membrane performance**

153 The impact of various plasma treatment conditions on membrane performance, including  
154 the total flux and salt rejection, were investigated first. The total water flux and salt rejection  
155 of various treated membranes, as measured in a cross-flow set up, is displayed in Figure 1 as  
156 a function of the nature of etchant gas, excitation power and process duration. The use of  
157 NaCl solution is a standard procedure to investigate the integrity of the PA layer after plasma  
158 modification. Although other salts may have been selected, the small kinetic diameter of  $\text{Na}^+$   
159 ions as well as the single charge on both ions is pertinent properties for the testing of the  
160 integrity of the material. Flux for the untreated reference membrane was  $30 \text{ L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}$ , and is  
161 indicated as the first bar on each of the sub-figures. NaCl salt rejection was 97%. These levels  
162 of flux and rejection are typical for state of the art brackish water TFC membranes provided  
163 by the supplier [40].

164 The flux through the membranes after H<sub>2</sub>O plasma treatment at 0.2 mbar and 10 W was  
165 found to be statistically similar to that of the reference membranes at any plasma treatment  
166 duration with no significant loss in salt rejection, as shown in Figure 1. At 80 W, however,  
167 the flux was significantly reduced after 2 min of plasma treatment, while rejection remained  
168 unaffected in comparison to the control membrane. At the longer treatment time of 5 min, a  
169 sharp loss in salt rejection was observed, declining to 84%. Conversely, the permeation of He  
170 plasma treated membranes at 10 W showed systematic flux increases as a function of the  
171 exposure duration while simultaneously exhibiting slight rejection loss. The flux for the 1 and  
172 2 min treated samples improved by up to 17%, and up to 66% for the 5 min treated  
173 membrane. At 80 W of plasma excitation power the flux of He plasma treated membranes  
174 declined, while rejection remained approximately constant at  $98.8 \pm 0.5 \%$ .

175 Resultant processes produced vastly different outcomes as a function of both exposure  
176 duration and excitation power. In order to understand the effect of plasma treatments on the  
177 modified surface, further physico-chemical characterization of modified membrane surface is  
178 required to explain the observed increases in permeation.

### 179 **3.2 Membrane characterization**

180 Competitive plasma surface reactions arise from the etching processes, which can lead to  
181 differing degrees of surface functionalization and roughening [41]. If plasma conditions are  
182 intensified, a net re-deposition of vaporized materials can result in the surface becoming  
183 smoother by cross-linking and free volume densification [33].

184 Etching promotes bond scission processes [42] and simultaneously, chemical bond  
185 reformation may lead to texturation and roughness changes on the membrane surface. Bond  
186 reformation may be confined to the outermost PA layer and present as temporary surface  
187 functional groups. However, with increasing penetration depth within the PA layer, and

188 further stimulated by UV light from the plasma, the process can result in internal PA cross-  
189 linking [33, 34]. Chemical effects from etching, in conjunction with re-deposited, vaporized  
190 materials, may also occur as a result of high excitation power and long exposure time, which  
191 leads to reduced surface roughness. Oxidative gases can especially promote aromatic rings  
192 scissions [43, 44] and lead to severe PA degradation due to potential polymer backbone bond  
193 scissions [45].

194 The interplay of these competing effects is believed to be at the core of experimental  
195 observations made and is discussed in the following in terms of physical and chemical  
196 changes occurring on the membrane.

### 197 **3.2.1 Morphology characterization of the plasma modified membranes**

198 Initial investigations of morphology changes were performed by acquiring SEM images of  
199 the membrane surfaces as a function of the treatment conditions. Figure 2a shows the SEM of  
200 the control membrane [46]. As shown in Figure 3, the surface of the H<sub>2</sub>O treated membranes  
201 was significantly smoothed with increasing treatment duration, with the effect intensified for  
202 higher excitation power. In contrast, the topographical SEM analysis for He plasma in Figure  
203 4 shows that modified membranes at 10 W were slightly smoothed for short plasma  
204 treatments, while roughening occurred for a treatment time of 5 min. The same effect was  
205 also found at 80 W.

206 In order to confirm topology variations found by SEM, the average roughness (Ra) for  
207 the modified membranes was measured by AFM and compared with a control membrane with  
208 Ra of 63 nm (Figure 2b). As shown in Figure 5 and Table S1, H<sub>2</sub>O treated membranes at 10  
209 W showed reduced Ra from 63 nm (control) down to  $43 \pm 2.6$  nm after only 1 min of plasma  
210 treatment. Beyond 1 min, the roughness values increased back up to  $Ra 57 \pm 5.0$  nm between  
211 2 and 5 min. At 80 W increased plasma durations led to increased smoothing effect with Ra

212 reduced down to  $57 \pm 2.5$  nm at 1 min, to  $36 \pm 2.2$  nm at 2 min, and then  $47 \pm 4.3$  nm at 5  
213 min. Previous studies on CO<sub>2</sub> plasma treatment of poly(ether sulfone) (PES) membrane also  
214 demonstrated that smoothed morphologies were achieved for the treated surfaces [47]. The  
215 SEMs showed that between 1 to 5 min of plasma treatment pores were gradually reduced in  
216 diameter and progressively disappeared. However, beyond 5 min, the pore size started to  
217 increase again and to reappear across the surface. The alterations of pore diameters were  
218 attributed to etching and re-depositions on the surface. Interestingly, the etching rate was  
219 found to be largely depending on the power density than the re-deposition rate for this  
220 material.

221 Here, the smoothing was not consistent with He plasma treatment, as shown in Figure  
222 6 and Table S2. At 10 W there was a progressive roughening trend, similar to that reported  
223 for the SEM analysis. For shorter treatment durations, between 1 and 2 min, the roughness  
224 was slightly reduced to  $60 \pm 0.5$  nm and  $58 \pm 1.2$  nm. However, a significant roughening  
225 effect was observed with longer plasma treatment, with Ra increasing to  $70 \pm 1.5$  nm at 5 min  
226 which is in good agreement with previously reported study [48]. A similar roughening effect  
227 was observed with helium plasma (25 W) treatment on PES membranes. Significantly  
228 increased roughness values were measured from 11 nm (control) to 39 nm after 30 s of  
229 plasma treatment duration. Although these roughening mechanisms may be attributed to  
230 fragments of re-deposited materials, the exact mechanisms involved were not conclusive to  
231 date [48]. He plasma at 80 W, however, showed a smoothing trend, stronger than observed  
232 for water plasma, with Ra reduced to around  $40 \pm 2.7$  nm for all durations which therefore  
233 may be attributed to re-deposition of molecular fragments of removed materials.

234 Increased resistance to water flux through the PA layer promoted by H<sub>2</sub>O plasma (i.e.  
235 decreased water fluxes observed in Figure 1) may be due to a potential densification of the  
236 free-volume in the selective layer [49, 50]. A similar mechanism may have occurred for He

237 plasma at 80 W. In this regard, the use of He at 10 W appeared to provide successful etching  
238 with balanced plasma conditions [45] resulting in increased surface area, which contributed to  
239 the flux enhancement shown in Figure 1. Material hydrophobicity also plays a role in altered  
240 membrane performance [51, 52] and further chemical analysis is needed.

### 241 **3.2.2 Chemical characterization of the plasma modified membranes**

242 Investigation of the chemical functional group changes across the membranes was  
243 performed by ATR-FTIR analysis. Variations in absorbance from studied frequencies  
244 (described in Table S3) showed potential degradation and functionalization of aromatic rings  
245 in the polymer backbone.

246 The analysis of the FTIR spectra (Figure 7) for H<sub>2</sub>O plasma modified membranes showed  
247 that absorbance decreased with the broadening of the band at 3330 cm<sup>-1</sup>, corresponding to N-  
248 H and OH groups stretching vibration, for both 10 W and 80 W. This effect is an indication of  
249 chemical degradation commonly found with oxygen containing plasmas [41]. Although a  
250 broadening peak was observed at both power intensities, possible etching at 80 W suggests an  
251 intensified physical erosive behavior, confirmed with significant salt rejection loss with only  
252 84 % after 5 min of treatment (Figure 1). The bands at 1663, 1609 and 1541 cm<sup>-1</sup> generally  
253 showed similar absorbance patterns for both 10 and 80 W. However, a functionalization  
254 reaction at longer exposure time (5 min at 80 W, Figure 7) showed a new carbonyl band  
255 around 1734 cm<sup>-1</sup>, attributed to post-plasma reactions with unreacted radicals or peroxide  
256 radical [41]. These absorbance alterations suggest an ageing process due to a large amount of  
257 free-radicals with high excitation power, which can potentially contribute to a hydrophilicity  
258 increase.

259 For He plasma modified membranes, the bands at 3330 cm<sup>-1</sup> (Figure 8) increased in  
260 intensity and some shifted frequencies. At 10 W, the significant increase in intensity for the 5

261 min duration may indicate functionalization in the amide groups with OH groups [53]. At 80  
262 W, the band shifted to  $3400\text{ cm}^{-1}$ , which suggests changes to the neighboring hydrogen  
263 bonding [53, 54]. The bands at  $1663$ ,  $1609$  and  $1541\text{ cm}^{-1}$  followed similar absorbance  
264 patterns for the He plasma at 10W. However, stronger absorbance variations were found at 80  
265 W, which may indicate a potential increase in the etching effect. Therefore, functionalization  
266 reactions were more likely to be found with He plasma at low power.

267 In order to investigate functionalization at the molecular level, an XPS elemental survey  
268 analyzed the N/C and O/C ratio. The O/C ratio for H<sub>2</sub>O (Table S4) plasma varied slightly,  
269 ranging between 0.2 and 0.4. The O/C ratio for H<sub>2</sub>O plasma (Table S5) was stable, and may  
270 be related to the nature of PA. As the material already has nitrogen and oxygen functional  
271 groups in the polymer structure, the incorporation of more of them via plasma treatment will  
272 make them very much less visible than with other polymers treated in similar conditions [55].  
273 The N/C ratio increased from 0.03 (control) [56] to 0.1 for both He and H<sub>2</sub>O plasmas, with  
274 increased excitation power and duration. The nitrogen source is potentially N<sub>2</sub><sup>+</sup> metastable  
275 species in the gaseous mixture from residual nitrogen, introduced as purge gas after plasma  
276 treatment, since minimal nitrogen concentrations (> 1%) can easily react with aromatic  
277 structures due to the inherent electron delocalization from aromatics[57]. Therefore, the  
278 increased ratio suggests strong correlations with functionalization, promoted by plasma  
279 activation. Details from potential reaction mechanisms were not conclusive.

280 Functionalization was also investigated using contact angle analysis. Resultant  
281 hydrophilicity or hydrophilicity of the outer modified layers can be achieved with  
282 morphology [58] or chemical changes [59]. As expected, the contact angle for all plasma  
283 modified membranes was significantly reduced (Figure 9). He plasma treatment resulted in  
284 consistently lower contact angles for all duration times and power levels compared with H<sub>2</sub>O.  
285 He plasma also produced more stable contact angle trends.

286 Competitive processes between functionalization and re-deposition have led to  
287 hydrophilicity and morphological alteration, which were correlated with roughness and  
288 contact angle. The increased wettability found for membranes treated with H<sub>2</sub>O plasma at 10  
289 W showed that with 1 min of plasma treatment the contact angle was well correlated with the  
290 decreased surface roughness. After 1 min the resultant hydrophilicity is potentially correlated  
291 with the chemical nature of the modified surface, potentially caused by re-deposition of  
292 removed materials. Conversely, He plasma at 10 W showed that decreased contact angles  
293 were more correlated with reduced roughness for 1 and 2 min durations, with stronger  
294 functionalization processes occurring after 2 min. The optimum experimental conditions  
295 obtained with He plasma at 5 min duration at 10W suggest a potential treatment for designing  
296 membranes with more resistance to protein adhesion (fouling), since hydrophilicity is an  
297 important index for antifouling properties of membranes [3, 26, 47, 60, 61]. Intense re-  
298 deposition (Figure 9) was more significant at 80 W, where hydrophilicity was better  
299 correlated with morphology once roughness was significantly reduced, as well as contact  
300 angle. On the other hand, despite the resultant rough surface morphology of the He plasma  
301 treated sample and particularly for the 5 min and 10 W treated sample, a significantly reduced  
302 contact angle ( $10.1 \pm 2.0^\circ$ ) was measured compared to the control membrane ( $46.6 \pm 3.0^\circ$ ).  
303 This lower contact angle may be predominantly related to the re-deposition of etched material  
304 on the surface of the membrane. These reconfigured etched materials are very likely to be  
305 hydrophilic given the nature and chemistry of the initial membrane material. Therefore, the  
306 rougher aspect combined with the functionalization achieved with low contact angles, have  
307 preferred water transport for modified membranes. These results are in agreement with other  
308 surface modification techniques, such as plasma polymerization and chemical grafting of  
309 hydrophilic moieties that increased roughness upon surface hydrophilization with reduced  
310 contact angle, which resulted in flux enhancement [34, 62, 63].



311 **4. Conclusions**

312 The impact of H<sub>2</sub>O and He plasma on PA surfaces have been investigated. He plasma  
313 increased membrane flux by 66% with a 5 min treatment at the lower excitation power of 10  
314 W, without significant loss in salt rejection. At higher power for both gases there was reduced  
315 membrane flux, and for H<sub>2</sub>O plasma there were physical changes on the surface leading to  
316 loss of salt rejection with exposure time. Surface smoothing has been shown to increase the  
317 mass transfer resistance of membranes, while significant roughening was achieved with He at  
318 10 W, 5 min, suggesting that plasma under these conditions provided well balanced reactions.  
319 Inert gas plasma has been shown to be beneficial in modifying PA material structure. Future  
320 work with other inert gases could be explored towards surface tuning and anti-fouling  
321 properties.

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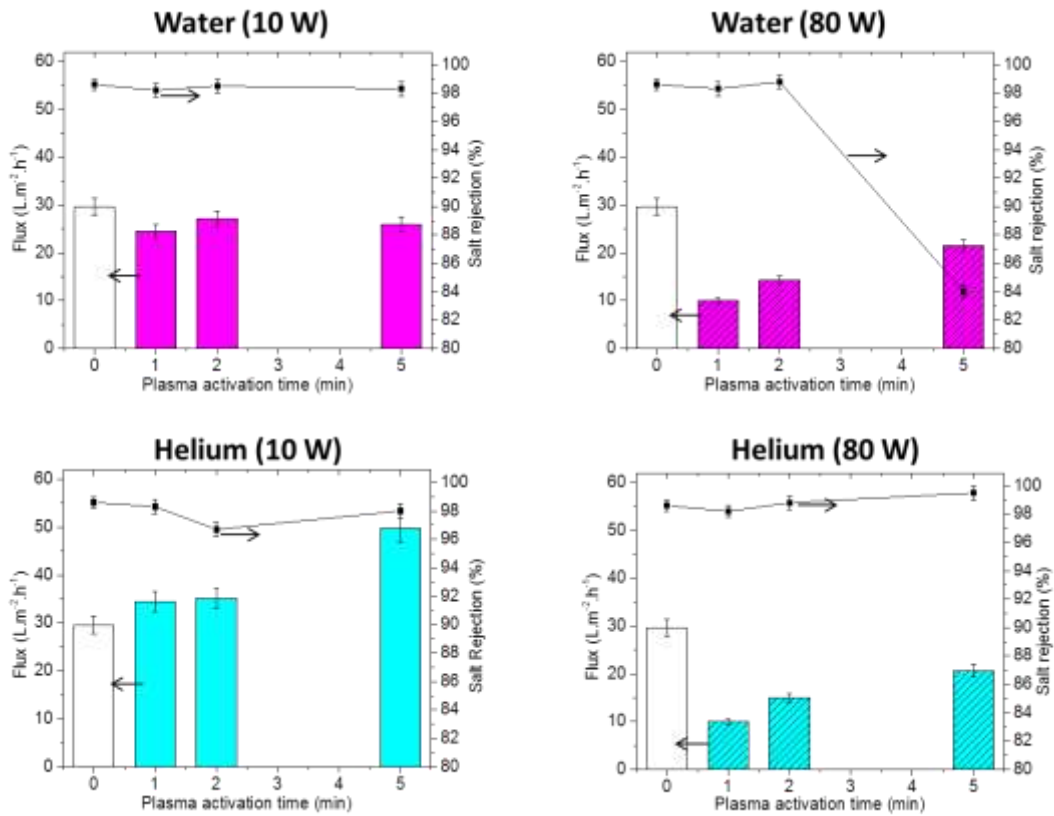
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324 **Acknowledgment**

325

326 The authors would like to acknowledge a PhD stipend and project funding from the  
327 Collaborative Research Network initiative of the Australian Department of Industry. RR  
328 acknowledges the postgraduate top up scholarship from the National Centre of Excellence in  
329 Desalination Australia, funded by the Australian Government through the National Urban  
330 Water and Desalination Plan. Dr. Ludovic Dumée's Alfred Deakin Post-Doctoral Fellowship  
331 and Deakin University and the Institute for Frontier Materials Electron Microscopy units.

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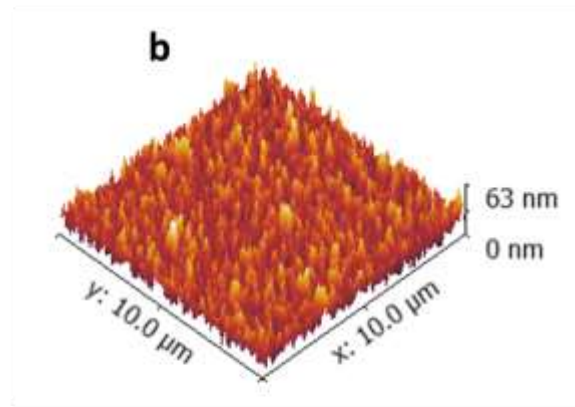
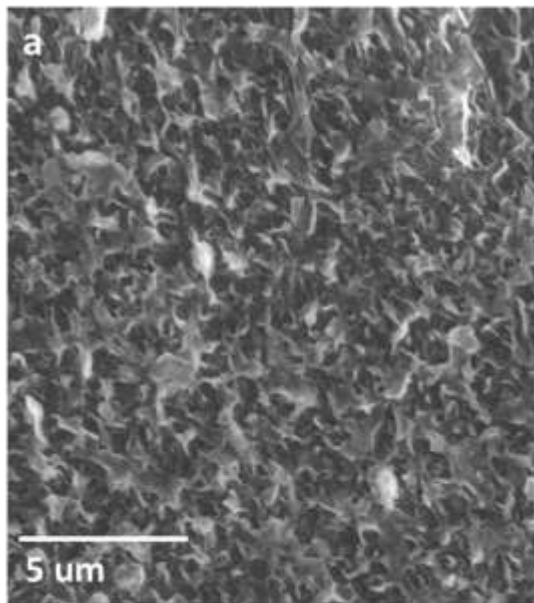


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334 **Figure 1:** Membrane flux and salt rejection measured after 2 h of testing with 2,000 ppm of  
335 NaCl in water at 15 bar feed pressure after plasma modification at 0.2 mbar.

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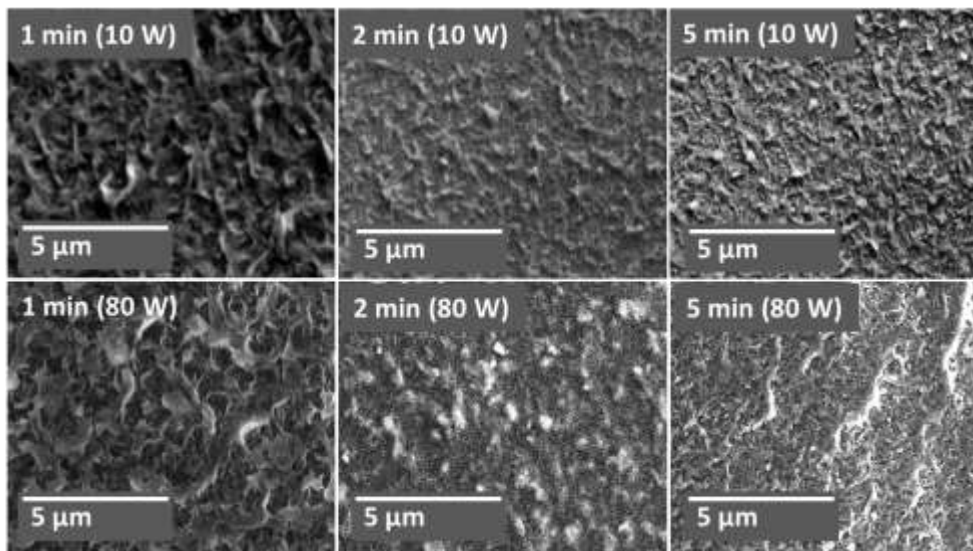
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**Figure 2:** a) SEM image of control membrane and b) AFM analysis of control membrane with Ra 63 nm.

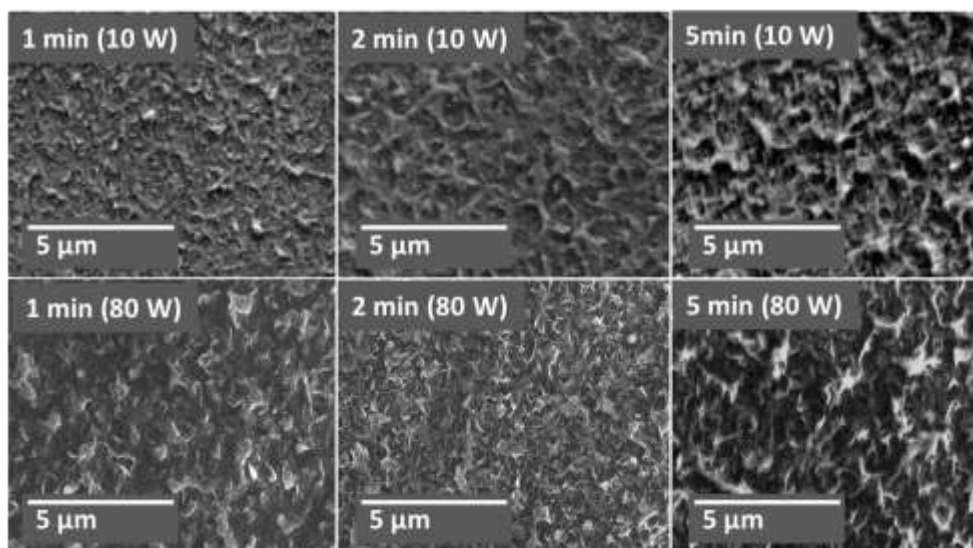
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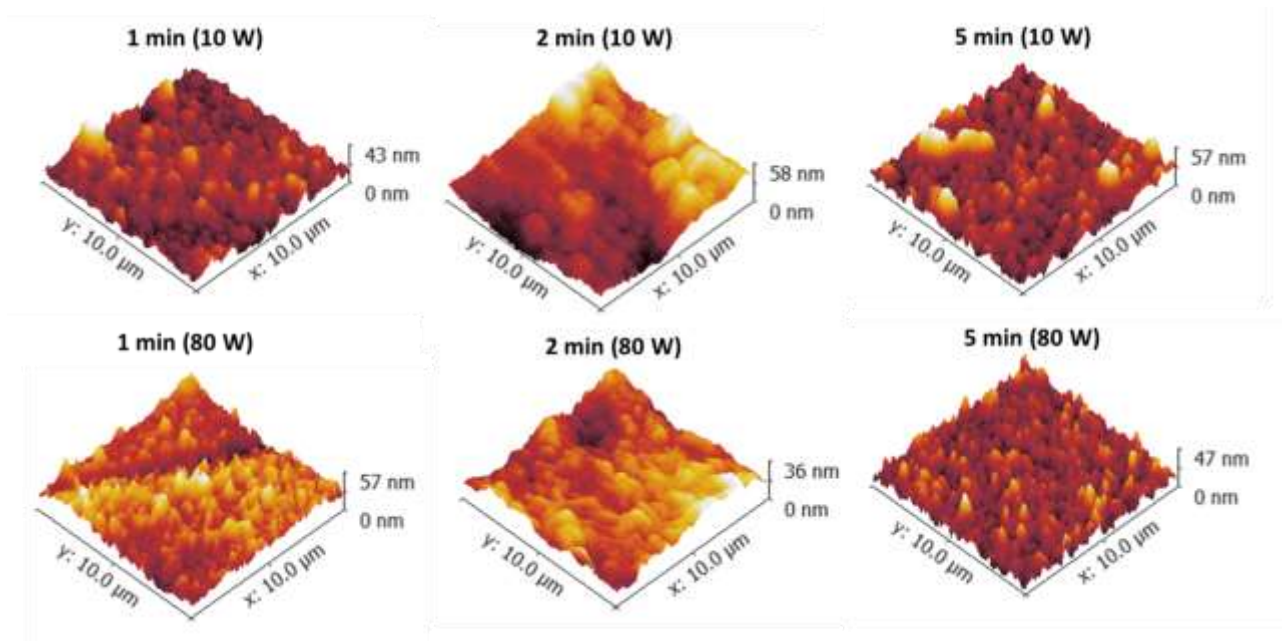
344 **Figure 3:** SEM images from H<sub>2</sub>O plasma modified membranes.

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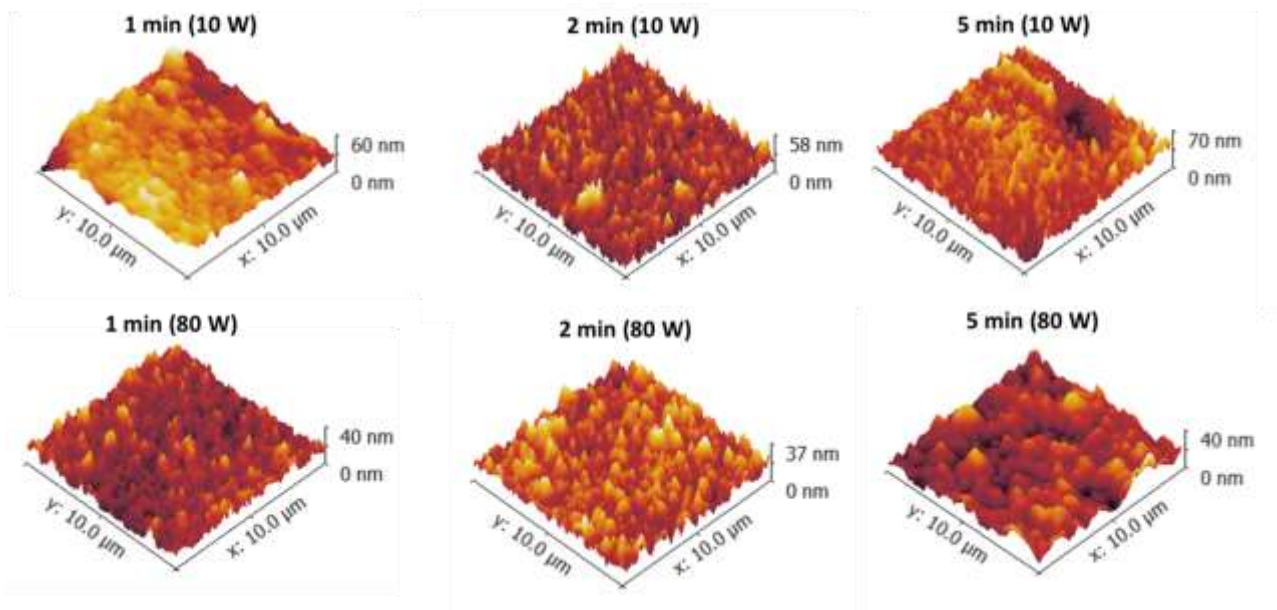


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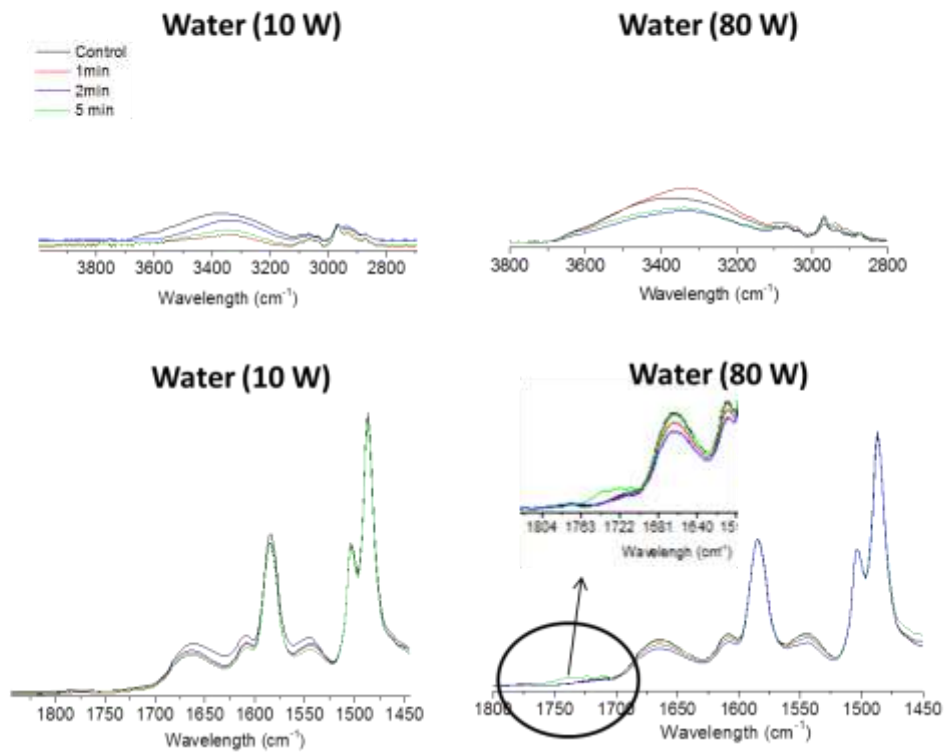
347 **Figure 4:** SEM images from He plasma modified membranes.



**Figure 5:** AFM topography mapping H<sub>2</sub>O plasma modified membranes.

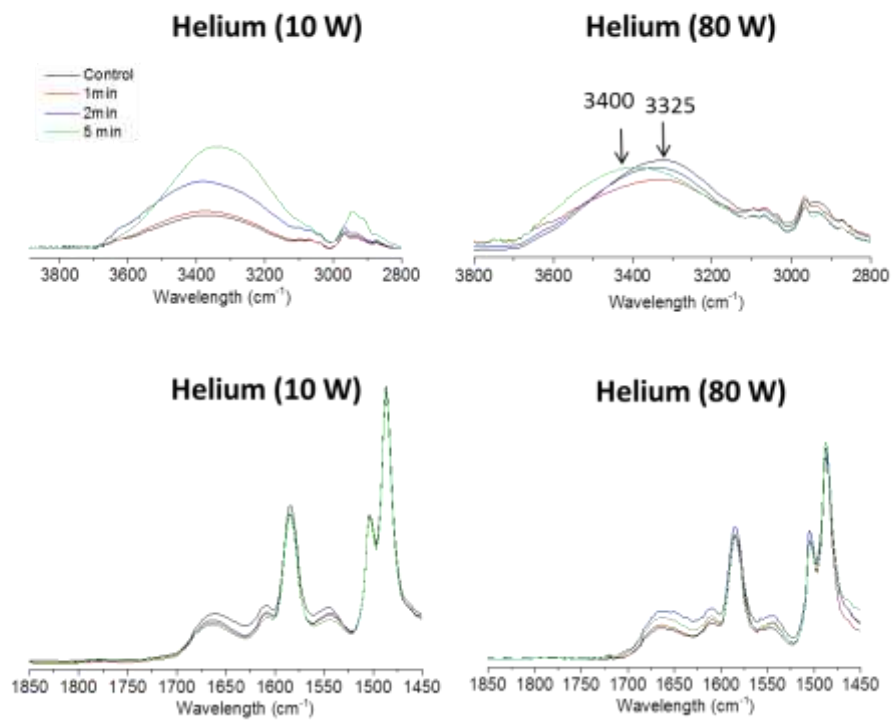


**Figure 6:** AFM topology mapping for He plasma modified membranes.

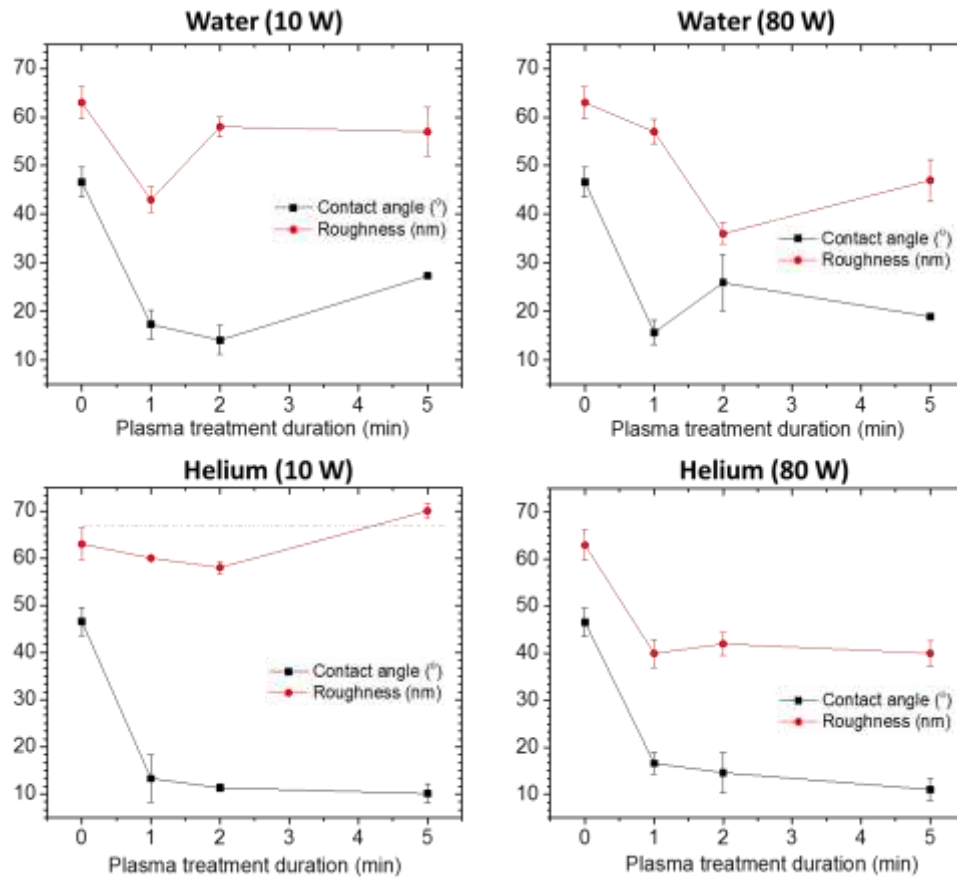


**Figure 7:** FTIR analysis from H<sub>2</sub>O plasma modified membranes: on the top, analysis of N-H and O-H bands at 3330  $\text{cm}^{-1}$  for modified membranes at 10 W and 80 W; on the bottom, analysis of amide bands from 1663 to 1541  $\text{cm}^{-1}$  for modified membranes at 10 W and 80 W.





**Figure 8:** FTIR analysis from He plasma modified membranes: on the top, analysis of N-H and O-H bands at  $3330\text{ cm}^{-1}$  for modified membranes at 10 W and 80 W; on the bottom, analysis of amide bands from  $1663$  to  $1541\text{ cm}^{-1}$  for modified membranes at 10 W and 80 W



**Figure 9:** Comparison of contact angle and roughness with increasing time. Each value from contact angle and roughness represents the mean of three measurements in the sample associated with their estimated standard error.

## Supplement Material

**Table S1:** Influence of H<sub>2</sub>O plasma on surface roughness and flux

<b>Power density</b>	<b>Membranes</b>	<b>Roughness (nm)</b>	<b>Flux (L.m<sup>-2</sup>.h<sup>-1</sup>)</b>
-	Control	63.0	30.0
	H <sub>2</sub> O 1min	43.0	24.0
<b>10W</b>	H <sub>2</sub> O 2min	58.0	27.0
	H <sub>2</sub> O 5 min	57.0	26.0
	H <sub>2</sub> O 1min	57.0	10.0
<b>80W</b>	H <sub>2</sub> O 2min	36.0	14.0
	H <sub>2</sub> O 5 min	47.0	21.0

**Table S2:** Influence of He plasma on surface roughness and flux

<b>Power density</b>	<b>Membranes</b>	<b>Roughness (nm)</b>	<b>Flux (L.m<sup>-2</sup>.h<sup>-1</sup>)</b>
	He 1 min	60.0	34.0
<b>10W</b>	He 2 min	58.0	35.0
	He 5 min	70.0	49.8
	He 1 min	40.0	10.0
<b>80W</b>	He 2 min	42.0	15.0
	He 5 min	40.0	20.7

**Table S3:** FTIR and poly (amide) studied frequencies

FTIR frequency (cm <sup>-1</sup> )	Description
3330	N-H groups and O-H groups stretching vibration[61]
1663	Amide I band: stretching vibration from the C=O and C-C-N deformation vibration [11]
1609	Aromatic amide N-H deformation vibration or C=C ring stretching vibration [11]
1541	Amide II band: N-H in-plane bending and N-C stretching vibration of a -CO-NH- [53]

**Table S4:** Elemental XPS analysis from H<sub>2</sub>O plasma with increasing N/C ratio [61]

<b>Power density</b>	<b>Membranes</b>	<b>C (at%)</b>	<b>N (at%)</b>	<b>O (at%)</b>	<b>N/C</b>	<b>O/C</b>
<b>10W</b>	Control	77.5	2.2	20.3	0.03	0.3
	H <sub>2</sub> O 1 min	80.6	3.3	16.1	0.04	0.2
	H <sub>2</sub> O 2 min	84.9	2.1	13.0	0.02	0.4
	H <sub>2</sub> O 5 min	72.5	8.1	19.4	0.1	0.3
<b>80W</b>	H <sub>2</sub> O 1 min	79.1	5.0	15.9	0.1	0.2
	H <sub>2</sub> O 2 min	74.6	6.9	18.5	0.1	0.2
	H <sub>2</sub> O 5 min	72.6	8.8	18.6	0.1	0.2

**Table S5:** Elemental XPS analysis from He plasma with increasing N/C ratio [61]

<b>Power density</b>	<b>Membranes</b>	<b>C (at%)</b>	<b>N (at%)</b>	<b>O (at%)</b>	<b>N/C</b>	<b>O/C</b>
	Control	77.5	2.2	20.3	0.03	0.3
<b>10W</b>	He 2 min	73.3	6.7	20.0	0.1	0.3
<b>80 W</b>	He 5 min	73.8	6.3	19.9	0.1	0.3

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