

# **Fourier transform infrared spectroscopy analysis of physicochemical changes in UHT milk during accelerated storage**

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## Abstract

Ultra high temperature (UHT) treated milk undergoes substantial physicochemical changes during processing and storage which may lead to sedimentation. Physio-chemical changes leading to sedimentation primarily involve changes in secondary structure of proteins, protein-protein and protein-fat interactions. The study explored feasibility of FTIR to detect these changes. Moreover, accelerated shelf life testing method involving use of high storage temperature was used as an alternative to long realtime analysis to monitor these changes. UHT whole and skim milk were stored at 20, 30, 40 and 50 °C for a period of 28 days. FTIR was able to detect changes leading to increased sedimentation in skim milk and whole milk at higher temperatures ( $\geq 40$  °C) in a short period of 14 days. Milk samples stored at 40 and 50 °C showed marked changes in the bands corresponding to confirmation of milk lipids and formation of intermolecular  $\beta$  sheet, suggesting protein-lipid interactions and aggregation. Dried sediment contained fat, further evidencing protein-lipid involvement in sedimentation. However, FTIR was not able to detect changes that led to increased sedimentation in skim milk at temperatures lower than 40 °C. A full length study at 20 and 30 °C might enable detection of changes leading to increase in sedimentation at these temperatures. Furthermore, more extensive analysis will be required to establish marker changes in FTIR spectra which could be used for rapid prediction of shelf life of UHT milk.

**Keywords:** UHT milk, FTIR, structural changes, interactions, aggregation, sedimentation

## 1. Introduction

25 UHT treatment of milk (130-140 °C for 3 - 5 seconds) followed by aseptic packaging enables storage of UHT milk at room temperature up to 9 months (Holland, Gupta, Deeth, & Alewood, 2011). Long shelf life at room temperature has made UHT milk an important food product from a nutritional, technological and economical point of view. However, high temperature treatment of milk induces certain chemical and physical changes which may lead  
30 to storage instabilities involving formation of proteinaceous sediment at the bottom of the storage container (sedimentation) or a gel throughout the milk (gelation) (Dalgleish, 1992; McMahon, 1996; Ramsey & Swartzel, 1984). Sedimentation or gelation limits shelf life and market potential of UHT milk. Thus, the changes resulting in these instabilities are of concern for the dairy industry.

35 Physico-chemical changes leading to sedimentation or gelation during storage primarily involve aggregation of milk proteins. Proteins aggregate via crosslinking following different pathways. The most assessed change is mediated by denaturation of whey proteins as a result of UHT treatment (Singh, 1991). Denaturation exposes a free sulfhydryl group of  $\beta$ -lactoglobulin ( $\beta$ -Lg), which is involved in intramolecular and intermolecular thiol-disulfide  
40 exchange reactions with other whey proteins and caseins (kappa-casein ( $\kappa$ -CN) and  $\alpha_{s2}$ -casein ( $\alpha_{s2}$ -CN)) present on the surface of the casein micelles and homogenized fat globules (Sharma & Dalgleish, 1993). Additional crosslinking can also occur via dehydroalanine, deamidated amino acid residues and advanced Maillard products (Holland, et al., 2011).

Aggregation of milk proteins progresses at a slow rate during storage at room  
45 temperatures. Studying these changes at room temperature would thus be resource and time consuming. Accelerated shelf life testing methods may be used as an alternative to long realtime analysis as storage temperature accelerates aggregation of milk proteins. However, in our previous study (Grewal, Chandrapala, Donkor, Apostolopoulos, & Vasiljevic, 2016),

protein interactions and especially covalent crosslinking was accelerated at higher storage  
50 temperatures but the rate and type of these reactions were very different from those at lower  
temperatures and was presumably affected by numerous factors due to complex nature of  
milk. However, acquired knowledge of involvement of individual proteins and kinetics of  
these changes may assist in identifying structural changes that may be determined by a  
spectral analysis such as FTIR.

55           Development and use of proteomic analysis have added information about different  
types of prospective crosslinking during storage of UHT milk, but these techniques are still  
limited in elucidating about the related changes in structure and interactions of proteins  
(Holland, et al., 2011). Furthermore, the sample preparation is fairly laborous accompanied  
with a complex analysis, all of which require highly trained experts, limiting the application.  
60 FTIR spectroscopy could prove to be a simple and fast alternative with minimum sample  
preparation. FTIR is sensitive to changes in covalent bonding including disulphide linkages,  
and, non-covalent electrostatic and hydrophobic interactions, which are hallmarks of thermal  
treatment and subsequent storage. This method has been successfully used to study ageing of  
pharmaceuticals (Masmoudi, Dréau, Piccerelle, & Kister, 2005) and oil in water emulsions  
65 (Whittinghill, Norton, & Proctor, 1999) by determination of changes in molecular structure  
and interactions, but has not been used in UHT milk. Hence, the study herein aimed to  
determine the feasibility of FTIR technique to detect physico-chemical changes leading to  
sedimentation or gelation under accelerated storage temperatures in UHT milk. Besides  
storage temperature, fat content of milk also influences certain heat-induced modifications in  
70 UHT milk (De Koning, Badings, Van der Pol, Kaper, & Vos-Klomp maker, 1990; Pellegrino,  
1994) and extent of proteolysis during storage (López-Fandiño, Olano, Corzo, & Ramos,  
1993). Thus, the effect of milk fat was also demonstrated on these changes leading to  
development of storage instabilities.

## 2. Materials and methods

### 75 2.1. Materials

Commercial UHT full cream (whole) (WM) and skim milk (SM) were kindly provided by a local manufacturer (Murray Goulburn Co-operative Co. Ltd., Victoria, Australia). All milk packs originated from the same batch. The packs were produced on the same day using an established process on an indirect tubular processor (SPX Flow  
80 Technology, Mulgrave, Australia) with a 9,000 l/hour capacity at 138°C for 6 s. The composition of WM as reported by the manufacturer per litre of milk was 33 g protein, 34 g fat and 53 g sugars, 0.55 g Na and 1.2 g Ca. SM had 34 g protein, 1 g fat and 53 g, 0.55 g Na and 1.2 g Ca per litre of milk. The UHT milk packs were stored at 4 different temperatures, 20, 30, 40 and 50 °C for 28 days in incubators (Thermoline, Scientific Pty Ltd, Smithfield,  
85 NSW, Australia). The first 3 temperatures (20, 30, 40 °C) implied storage of UHT milk packs under ambient conditions in cold and relatively hot areas. Storage temperature 50 °C was chosen to accelerate the development of storage instabilities. Milk packs were analyzed on the first day of delivery (day 0), and then at two fortnightly intervals (14 and 28 days) during storage.

### 90 2.2. Measurement of sediment

Only sedimentation was observed in samples during storage period. Sedimentation was measured by a centrifugation method as previously described (Boumpa, Tsioulpas, Grandison, & Lewis, 2008) with slight modifications. The milk packs were well shaken and approximately 40 g was accurately weighed in a centrifuge tube and centrifuged at 4000 rpm  
95 (2760 g) for 15 min (Beckman Coulter Avanti J-26S XP Centrifuge, CA, USA). The sediment was then oven-dried at 50 °C for 48 hours to a constant weight which was then expressed as the amount of sediment (mg/100g of milk).

### 2.3. Fourier Transform Infrared Spectroscopy (FTIR)

Three milk packs corresponding to each storage time and temperature were placed at  
100 room temperature (20 °C) and equilibrated for six hours. From each pack after shaking the  
contents, 0.5 ml of sample was pipetted out on Attenuated Total reflectance (ATR) cell.  
Sample spectra were acquired using PerkinElmer Frontier FTIR spectrometer (PerkinElmer,  
MA, USA) in the range of 4000-600  $\text{cm}^{-1}$  with a resolution on 4  $\text{cm}^{-1}$  and by averaging 16  
105 scans of each spectrum. The background spectrum was scanned at the beginning of the  
measurement with a blank Diamond ATR cell using same instrumental conditions as for  
sample spectra acquisition. The spectra of three sub samples of each sample were taken by  
refilling the ATR cell. Spectra of dried sediment corresponding to day 0 and 28<sup>th</sup> of storage  
for SM and WM were acquired to estimate composition of sediment.

### 2.4. Data analysis

110 The FTIR spectra of all samples were exported to the Unscrambler software (version  
9.8; CAMO AS, Trondheim, Norway). The quality of the spectra was checked using their  
descriptive statistics (Jaiswal, et al., 2015) and visual inspection. This helped to decide  
suitable pre-processing treatment to remove variation due to baseline shifts, sample  
concentration on the cell or any factor other than intrinsic variation in the sample.  
115 Multivariate analysis technique, Principal Component Analysis (PCA) was then employed to  
observe classification in UHT milk samples due to storage time and temperature. PCA  
technique gives an overview of all the information in the data set by generating a new set of  
fewer coordinate axes called principal components (PCs) with minimum loss of information.  
PCA was applied before and after different pre-processing treatments to test their  
120 effectiveness and detect any outliers.

The sediment dry weight data corresponding to all determinations was analysed using a GLM (Generalized Linear Model) procedure of SAS software (SAS Institute, 1996). The effects of main factors (type of milk, storage time and temperature) and their interactions were analyzed in a split plot in time design at pre-set level of significance  $P < 0.05$ . The design was replicated 3 times.

### 3. Results and Discussion

UHT treatment induces structural modifications in milk fat globules and proteins. These modifications lead to change in protein-protein and protein-lipid associations and hence crosslinking during subsequent storage (Corredig & Dalgleish, 1996). This crosslinking may result in formation of aggregates, which remain in milk solution as suspended aggregates until sizeable enough to sediment as insoluble precipitates.

#### 3.1. Sediment formation

Fat content along with storage temperature influenced the extent of sediment formed. In WM, the amount of formed sediment did not increase significantly at and below 30 °C, whereas after 28 days of storage at 40 and 50 °C sediment surged by 28.6 % and 41.3 %, respectively, and was significantly ( $P < 0.5$ ) higher than SM (Table 1). However in SM, besides starting with lower amount of sediment than WM on day 0 ( $P < 0.5$ ), sedimentation increased significantly during 28 days storage irrespective of storage temperature.

Substantial increase in the amount of sediment in WM at elevated temperatures (40 and 50 °C) was accompanied by an increase in appearance of high molecular mass aggregates observed in reducing SDS-PAGE patterns in our previous study (Grewal, et al., 2016). We attributed this primarily to change in protein-lipid interactions as a result of melting of milk fat at 40 °C. Change of physical state of milk lipids results in changed conformation and

packing of constituent phospholipid and triglyceride acyl chains (Boubellouta & Dufour, 145 2012; Karoui, Mazerolles, & Dufour, 2003), which might have enhanced protein-lipid association in milk at higher storage temperatures resulting in aggregate formation. Presence of lipid absorbance regions in FTIR spectra ( $3000-2800\text{ cm}^{-1}$ ,  $1800-1700\text{ cm}^{-1}$ ) of sediment in WM (Fig.1B) evidenced that protein-lipid interactions participated in the formation of sediment. Furthermore, increase in intensity of lipid region in dried sediments of WM 150 samples stored at  $50\text{ }^{\circ}\text{C}$  compared to those at  $20\text{ }^{\circ}\text{C}$  indicated that protein-lipid associations were enhanced by increase in storage temperature. In addition to enhanced protein-lipid interactions, increase in the amount of aggregates in WM at higher temperatures in previous study (Grewal, et al., 2016) was also attributed to protein crosslinking via lipid oxidation products, advanced Maillard products, deamidated and dephosphorylated residues.

155 Similarly, rise in the amount of sediment in SM at higher temperature could also be associated with protein-lipid association as evidenced by absorbance regions of fat in FTIR spectra of sediment (Fig.1A). However, noticeable changes in the sediment content at storage temperature below  $40\text{ }^{\circ}\text{C}$  point at pronounced protein-protein interactions compared to WM. Comparably weak association of denatured whey proteins to the casein micelle surface 160 observed in SM (Garcia-Risco, Ramos, & Lopez-Fandino, 1999) increases their availability for crosslinking reactions taking place in the solution. Whey proteins exhibit greater reactivity than caseins in formation of crosslinks via non-covalent, disulphide and non-disulphide covalent bonding. Apparent fast disappearance of whey proteins in PAGE patterns observed previously (Grewal, et al., 2016) further supports this observation. Enhanced 165 reactivity and disappearance of whey proteins was likely influenced by their denaturation as a consequence of heat treatment resulting in exposure of hydrophobic regions, free thiol groups and preferable amino acid residues like lysine, asparagine, glutamine, which subsequently participated in lactosylation, deamidation and linking via dehydroalanine to caseins



(Andrews, 1975; Donato & Guyomarc'h, 2009; Henle, Schwarzenbolz, & Klostermeyer,  
170 1996; Holland, et al., 2011).

From observations above it appears that changes in protein-lipid and protein-protein interactions during storage govern sediment formation within SM and WM milk samples during storage. Precise identification and prediction of these changes in a shorter time under accelerated conditions could save substantial waiting time to establish sediment formation  
175 visually. Therefore, feasibility of FTIR to detect these changes was assessed.

### 3.2. FTIR

Spectra of SM and WM were predominated by absorption bands of water in regions 3500-3000  $\text{cm}^{-1}$  (H-O stretching) and 1730-1600  $\text{cm}^{-1}$  (H-O-H bending vibration) (Iñón, Garrigues, & de la Guardia, 2004). This was overcome by subtraction of spectra of water  
180 from milk spectra after correcting for baseline offsets. Important spectral regions of milk spectra after water subtraction were 3700-2800  $\text{cm}^{-1}$  (Region I), 1800-1700  $\text{cm}^{-1}$  (Region II), 1700-1500  $\text{cm}^{-1}$  (Region III), 1500-1200  $\text{cm}^{-1}$  (Region IV) and 1200-900  $\text{cm}^{-1}$  (Region V) (Fig. 2). These regions have been previously attributed to different milk components (Table 2). Multivariate analysis (PCA) was then employed to establish whether FTIR spectra could  
185 detect changes that lead to formation of the sediment at different temperatures during storage of SM and WM.

### 3.3. Principal component analysis

PCA was performed in the regions 4000-600  $\text{cm}^{-1}$  (full range) separately for SM and WM samples. In both SM (Fig. 3A1) and WM (Fig. 4A1), first two principal components  
190 explained 98% of the variance and classified samples into three separate groups, named A, B and C. Group A comprised of day 28 samples stored at 40 and 50 °C, group B comprised of

day 14 samples stored at 40 and 50 °C and group C constituted samples of day 0 (stored at 20 °C), day 14 and day 28 stored at 20 and 30 °C. Classification of UHT milk samples at and above 40 °C in different groups on the basis of storage time implied that FTIR was able to  
195 detect progression of definite changes which resulted in enhanced sedimentation in SM and WM at higher storage temperature (Table 1). However, grouping of SM samples stored at 20 and 30 °C with day 0 samples implied that FTIR was not able to detect such changes at temperatures below 40 °C.

All prominent regions observed in milk spectra (Fig. 2) contributed to the  
200 classification of samples into three groups according to the loading plot (Fig. 3A2 & Fig. 4A2). To further investigate specific wavenumbers in these regions and associated changes, PCA was performed independently for regions I-V.

### 3.3.1. Region I – 3700-2800 $\text{cm}^{-1}$

This region registered substantial changes and was able to classify samples into three  
205 different groups in both SM (Fig. 3A3) and WM (Fig. 4A3). According to the loading plot (Fig. 3A4 & Fig. 4A4), milk samples were separated along PC1 with high loading for 3594  $\text{cm}^{-1}$  and 3193-3194  $\text{cm}^{-1}$  (hydrogen bonded O-H stretching), 2926  $\text{cm}^{-1}$  (asymmetric stretching modes of  $\text{CH}_2$  groups of acyl chains of milk lipids) and 2857  $\text{cm}^{-1}$  (symmetric stretching modes of  $\text{CH}_2$  groups of acyl chains of triglycerides and phospholipids). The  
210 presence of group B (day 14, 40 and 50 °C samples) in-between group C and group A indicated progression of intensity changes of above wavenumbers with storage time.

In samples stored above 40 °C, a shift was observed in a band corresponding to O-H stretch to higher wavenumbers resulting in depression around 3194  $\text{cm}^{-1}$  in spectra upon water subtraction (Fig. 5A). Extent of hydrogen bonding (water related) decreases with  
215 increase in storage temperature which shifts the O-H stretching frequency to higher

wavenumbers (Choperena & Painter, 2009). On same note, higher negative loading for 3594  $\text{cm}^{-1}$  was concomitant with less positive loading at 3194  $\text{cm}^{-1}$  in group A samples compared to group C.

Group A also experienced decrease in loadings for 2926 and 2857  $\text{cm}^{-1}$  with storage  
220 time (Fig 4A4). The shift in these wavenumbers has been used previously to study phase transition of phospholipids (sol to gel state transition) with increase in temperature (Dufour, et al., 2000). Milk fat melts around 40 °C which in turn results in prominent structural changes and interactions of milk lipids (Dufour, et al., 2000). These changes in turn lead to protein-lipid interactions indicated by reduction in the absorbance intensities (Fig 5A) and  
225 lower loading for group A as compared to group C samples (Fig. 4A4). Even though, the peaks corresponding to wavenumbers 2926 and 2857  $\text{cm}^{-1}$  were not visible in loading plot of region I in SM (Fig. 3A4), the fat B region (3000-2800 $\text{cm}^{-1}$ ) was independently able to classify samples into three groups A, B and C. Therefore, enhanced protein-lipid associations may also account for greater sedimentation observed at higher storage temperatures in both  
230 SM and WM (Table 1).

### 3.3.2 Region II 1800-1700 $\text{cm}^{-1}$

Region II, attributed to milk fat A, classified storage samples into three groups in both SM (Fig. 3A5) and WM (Fig. 4A5). In WM, according to loading plot (Fig. 4A6), principal component 2 separated group B samples from group C whereas Group A samples were  
235 further separated from group B samples by principal component 1. Samples stored above 40 °C after 14 days had higher loading mainly for 1754, 1749  $\text{cm}^{-1}$ , 1736  $\text{cm}^{-1}$ , 1719  $\text{cm}^{-1}$ , 1714  $\text{cm}^{-1}$  and 1704  $\text{cm}^{-1}$ . Loading plot of principal component 1 revealed further increase in above mentioned wavenumbers for samples stored above 40 °C after 28 days. In SM, group A, B and C were separated along principal component 2. The loading plot of PC 2 in SM

240 (Fig.3A6) indicated higher loading for group A samples for same wavenumbers as in WM  
however with lower absorbance intensities due to lower fat content.

The flattening of peak around  $1754\text{ cm}^{-1}$  (Fig 5B) corresponds to changes in C=O stretching vibrations of ester linkage pertaining to triglycerides (Karoui, et al., 2003). Changes in physical state of lipids at and above  $40\text{ }^{\circ}\text{C}$  also affected carbonyl stretching  
245 vibrations of ester linkage of triglycerides. Changes in stretching of ester linkage might be also due to protein-lipid association via its carbonyl groups (Surewicz, Moscarello, & Mantsch, 1987). Higher loading around  $1720\text{ cm}^{-1}$  had been associated previously with presence of hydrogen bonded carbonyl groups of esters (Dufour & Riaublanc, 1997). In addition, wavenumbers  $1720$  and  $1715\text{ cm}^{-1}$  had also been assigned to C=O stretching  
250 vibration of aldehydes and ketones respectively. Advanced Maillard products (AMP) and lipid oxidation products were noted previously as participants in crosslinking of proteins at higher temperatures due to presence of aldehyde and ketone functional groups (Hm-Major, 1997). Thus, higher loading for  $1720$  and  $1715\text{ cm}^{-1}$  for group A and B implies an elevated content of AMP and oxidation products in samples stored at higher temperatures with storage  
255 time, which in addition to enhanced lipid-protein associations could further influence the rate of sedimentation at higher temperatures.

### *3.3.3 Region III Protein (Amide I and Amide II) 1700-1500 $\text{cm}^{-1}$*

Classification of storage samples into groups A, B and C by this region in both SM (Fig. 3A7) and WM (Fig.4A7) implies changes in protein secondary structure, protein-protein  
260 and protein-lipid interactions at elevated storage temperature (Karoui, et al., 2003; Mendelsohn, Mao, & Flach, 2010; Sankaram & Marsh, 1993). The region III has two main parts namely amide I ( $1700\text{-}1600\text{ cm}^{-1}$ ) corresponding to C=O stretching vibrations of the peptide bonds and amide II ( $1600\text{-}1500\text{ cm}^{-1}$ ) attributed to C-N stretching vibrations in

combination with N-H bending (Kher, Udabage, McKinnon, McNaughton, & Augustin,  
265 2007).

In WM, group A and B samples separated from group C samples due to increase in loading principally for 1696, 1686, 1653, 1647, 1634, 1628 and 1622  $\text{cm}^{-1}$  (amide I), 1578, 1571, 1558, 1539, 1531, 1522, 1515 and 1506  $\text{cm}^{-1}$  (amide II) (Fig. 4A8). However in SM, samples group A and B separated due to increase in almost similar wavenumbers apart from a  
270 shift in 1628  $\text{cm}^{-1}$  and amide II wavenumbers (Fig. 3A8). This shift was also observed in spectra of this region for SM (Fig. 5A3).

Increase in loading at 1697, 1686 and 1618  $\text{cm}^{-1}$  with storage at higher temperature suggests formation of aggregates consisting of intermolecular  $\beta$  sheet (Mendelsohn & Flach, 2002; Mendelsohn, et al., 2010; Ngarize, Herman, Adams, & Howell, 2004). In addition,  
275 surge in loading around 1620-1618  $\text{cm}^{-1}$  had also been attributed to disruption of intramolecular hydrogen bonds within a secondary structure, leading to formation of new stronger intermolecular hydrogen bonds (Ngarize, et al., 2004). However, unlike previously reported (Murphy, Fenelon, Roos, & Hogan, 2014), increase in intermolecular  $\beta$  sheet (1686 and 1618  $\text{cm}^{-1}$ ) in the current study was not followed with concomitant decline in  $\alpha$ -helix  
280 (1653  $\text{cm}^{-1}$ ) and intramolecular  $\beta$  sheet structure (1630–1627  $\text{cm}^{-1}$ ). It appeared that though there was an enhancement in intermolecular interactions leading to the aggregation, it was not at expense of intramolecular interactions. Otherwise, it can also be argued that amide I vibration could not distinguish clearly between  $\alpha$ -helical and random-coil structures (Anderle & Mendelsohn, 1987), and thus, increase at 1653  $\text{cm}^{-1}$  might also correspond to increase in  
285 random coil structure. Moreover, increase in random coil (1645  $\text{cm}^{-1}$ ) and turns (1676  $\text{cm}^{-1}$ ) could also be associated with increased participation of caseins in formation of aggregates. In addition to increase in protein-protein interactions at higher storage temperature, protein-lipid

interactions, initiated by changed physical state, had also been reported to induce the formation of intermolecular hydrogen bonded  $\beta$  sheets resulting in protein aggregation  
290 (Sankaram & Marsh, 1993).

Schiff base intermediates formed during the course of Maillard browning reactions have been reported to absorb at  $1647\text{ cm}^{-1}$  (Murphy, et al., 2014). Increase in intensity at  $1647\text{ cm}^{-1}$  in both SM and WM in Group A and B samples suggests that lactosylation could be one of the confounding factors (Fig. 3A8 & 4A8). Interestingly, absorbance at  $1647\text{ cm}^{-1}$  was  
295 higher for SM than WM supporting higher rate of Maillard reaction in former as suggested in our previous study (Grewal, et al., 2016).

In amide II region, a band around  $1575\text{ cm}^{-1}$  (Fig. 3A8 & 4A8) has been used previously as a marker for start of acidification in cheese ripening process with formation of lactates (Mazerolles, et al., 2001). In the current study, a band appearing at  $1577\text{ cm}^{-1}$  may be  
300 caused by a decline in pH with storage (data not shown). Peaks at  $1569\text{ cm}^{-1}$  arise from the  $\text{COO}^-$  asymmetric stretching vibration of Asp and Glu residues, and increase in its loading might be due to deamidation of amino acid residues observed during storage at elevated temperatures in UHT milk. Deamidated residues are also known to serve as protein crosslinkers and hence may play a role in formation of aggregates (Holland, et al., 2011).  
305 Higher loading for  $1569\text{ cm}^{-1}$  in group A samples in WM compared to those in SM (Fig. 3A8 & Fig. 4A8) also confirms our previous observation (Grewal, et al., 2016) that deamidation was a contributing factor in formation of aggregates in WM. Loading peak at  $1515\text{ cm}^{-1}$  indicates changes in ring-stretching vibration of the tyrosine residues which could be further related to their oxidation and crosslinking. Loadings for wavenumbers in  $1578\text{-}1555$ ,  $1555\text{-}$   
310  $1543$  and  $1542\text{-}1525\text{ cm}^{-1}$  region had also been attributed to changes in amount of  $\beta$  turns, alpha helices/ loops and  $\beta$  sheets, respectively (Curley, Kumosinski, Unruh, & Farrell, 1998).

### 3.3.4 Region IV Protein (Amide III) 1500-1200 $\text{cm}^{-1}$

Amide III spectral region also corresponds to secondary structure of proteins. Although, having relatively weak signals in contrast to most commonly used amide I region (1700–1600  $\text{cm}^{-1}$ ), amide III does not suffer from several limitations including a strong interference from water vibrational band, relatively unstructured spectral contour and overlap of revolved bands correspondingly to various secondary structures (Fu, Deoliveira, Trumble, Sarkar, & Singh, 1994). The peaks in this region correspond to N-H bending and C-N stretching vibrations (Jaiswal, et al., 2015) and also to  $\text{CH}_2$  scissoring of acyl chains of lipids (Mendelsohn, et al., 2010).

The region classified samples into three groups A, B and C only in WM samples (Fig. 4A9). In SM, only group A was separated from the combined cluster consisting of group B and C (Fig.3A9). This could imply that milk fat and its content play an important role storage stability of UHT milk. Highest loading for the secondary structure frequency windows (unordered, 1288–1256  $\text{cm}^{-1}$ ; and  $\beta$ -sheets, 1255–1224  $\text{cm}^{-1}$ ) for group A samples in both SM and WM (Fig.3A10 & Fig. 4A10) further stressed on formation of  $\beta$  sheet and greater participation of caseins in protein aggregation and consequently sedimentation. In spite of lower loading for group A samples for  $\alpha$ -helix (1328–1289  $\text{cm}^{-1}$ ), it still could not be certainly said that determined whether increase in  $\beta$ -sheet conformation related to protein aggregation was concomitant with previously reported decline in  $\alpha$ -helical structure (Murphy, et al., 2014; QI, et al., 1997). Furthermore, presence of a large number of observed bands in the loading plot obscured finding a particular difference between SM and WM. Furthermore, slight variations in helical geometry, symmetry, or interactions result in changed amide III frequencies, so that simple correlations between narrow frequency ranges and secondary structures may not be applicable for this mode (Anderle & Mendelsohn, 1987).

However, lower loadings for CH<sub>2</sub> scissoring of acyl chains of lipids (1500-1400 cm<sup>-1</sup>). (Fig. 3A10 & 4A10) in group A samples could be assigned to enhanced association between protein and lipids as a result of changed physical state of lipids at higher temperatures. Furthermore, due to diminished amount of fat in SM, this may be a major factor  
340 substantiating the classification of samples into 2 groups only and not distinctively into 3 groups like in WM.

### 3.3.5 Region V (1200-900 cm<sup>-1</sup>)

This region was able to classify samples into two groups (A and combined B & C) only in WM (Fig 4A11). In SM it was not able separate samples into different groups.  
345 However, when PCA was applied for combined Region IV and V in SM, it clearly separated samples into three groups A, B and C (Fig 3A11).

Loading plot in WM indicated high loading for 1176, 1148, 1143, 1115, 1058, 1046, 1014 and 997 cm<sup>-1</sup> which separated group A samples from the remaining two groups (Fig. 4A12). In this region, a peak around 1159 cm<sup>-1</sup> has been previously associated with C-O  
350 vibrations of milk fat (Zhou, et al., 2006). As discussed earlier, the milk fat was sensitive to high storage temperatures governing the extent of protein-lipid associations which resulted in greater sedimentation for WM. Low fat content might have reduced the signal which could have resulted in no separation in SM when only 1200-900 cm<sup>-1</sup> was considered.

The area between 800 and 1250 cm<sup>-1</sup> embodies characteristic peaks of various C-O  
355 vibrations in carbohydrates, mainly lactose (Zhou, et al., 2006). It was expected from earlier observations and suggestions that due to higher amount of AMP in SM, the chemical changes accompanying the Maillard reaction would lead to several changes in its mid-infrared spectrum. However, inability of region to classify samples in SM implied that changes as a result of Maillard reactions were not intense enough to be detected by FTIR. Maillard



360 reactions principally involve the consumption of  $\text{NH}_2$  functional groups typically from lysine  
with the appearance of other new functional groups such as the Amadori compound ( $\text{C}=\text{O}$ ),  
Schiff base ( $\text{C}=\text{N}$ ), and pyrazines ( $\text{C}-\text{N}$ ). Even though lactose is present in a high  
concentration, it is only depleted by a small margin via the Maillard reactions due to absence  
of sufficient reactive amino groups. Lysine, one of the predominant reacting amino function  
365 in proteins because of the reactivity and availability of its  $\alpha$ -amino group, comprises less than  
0.25% by mass of SM. Subsequently, the concentration of the reaction products would be  
relatively low. In addition, reactants and products would share many functional groups, thus  
any changes may not be detectable (Turner, et al., 2002).

Bands around  $995$  and  $987\text{ cm}^{-1}$  suggested changes in stretching vibrations of  $-\text{PO}_3^{2-}$   
370 moiety of the serine-phosphate residue. The appearance of the two bands had been earlier  
related to release of CCP particles from the phosphate residues by pressure and its  
dissociation into  $\text{Ca}^{2+}$  and  $\text{HPO}_4^{2-}$ , resulting in an increased negative charge of the casein  
molecules (Gebhardt, Takeda, Kulozik, & Doster, 2011). In our study, this may be related to  
heat- induced elimination of phosphate from phosphoserine residues in caseins producing  
375 dehydroalanine, which subsequently reacts with amino group of lysine residues, imidazole  
group of histidine or thiol group of cysteine resulting in intra or intermolecular lysinoalanine,  
histidinoalanine or lanthionine crosslinks, respectively (Holland, et al., 2011). It may also  
imply dissociation of CCP and increase in ionic calcium which was also observed during  
storage (data not shown).

#### 380 **4. Conclusions**

FTIR was able to detect changes in samples stored at and above  $40\text{ }^\circ\text{C}$  in 14 days.  
This suggested that it may take even less than 14 days to detect significant changes using  
FTIR spectroscopy when using accelerated storage temperatures. In addition, it appeared that

changes in structure and interaction of milk lipids propelled the separation of samples  
385 according to storage temperatures and time in both SM and WM. Next major contribution to  
the classification of storage samples was from changes in protein structure and interactions.  
Increase in sediment was correlated with increase in intermolecular  $\beta$  sheet. In addition  
changes in proteins, changes in carbohydrates due Maillard reaction were present but were  
less intense may be due to the duration of storage study. In addition, inability to detect  
390 changes in SM at 20 and 30 °C which resulted in increased sediment might require a longer  
duration study. Furthermore, longer study will also enable to detect more specific markers  
used to predict the shelf life of UHT milk.

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## Figure Legends

**Fig.1.** FTIR of dried sediment of UHT on day 0 (0d) and 28<sup>th</sup> day (28d) stored at 20 °C and 50 °C (A) skim milk (SM) and (B) whole milk (WM).

**Fig.2** (a) FTIR spectra of UHT whole milk on day 0 of storage in the range 4000-600 cm<sup>-1</sup> with different regions of interest (I-V) marked on it

**Fig.3** Principal component scores and loading plots of spectra acquired at 0, 14 and 28<sup>th</sup> day of UHT skim milk packs stored at 20 °C, 30 °C, 40 °C and 50 °C for spectral range (A 1-2) 4000-600 cm<sup>-1</sup>, (A 3-4) region I - 3700-2800 cm<sup>-1</sup>, (A 5-6) region II- 1800-1700 cm<sup>-1</sup>, (A 7-8) region III-1700-1500cm<sup>-1</sup>, (A 9-10) region IV 1500-1200 cm<sup>-1</sup> and (A 11-12) region V 1500-900 cm<sup>-1</sup>. Group A comprising of 28<sup>th</sup> day samples stored at 40 °C and 50 °C, Group B comprising of 14<sup>th</sup> day samples stored at 40 and 50 °C and Group C constituting 0 day, 14<sup>th</sup> day (20 °C and 30 °C) and 28<sup>th</sup> day (20 °C and 30 °C).

**Fig.4** Principal component scores and loading plots of spectra acquired at 0, 14 and 28<sup>th</sup> day of UHT whole milk packs stored at 20 °C, 30 °C, 40 °C and 50 °C for spectral range (A 1-2) 4000-600 cm<sup>-1</sup>, (A 3-4) region I - 3700-2800 cm<sup>-1</sup>, (A 5-6) region II- 1800-1700 cm<sup>-1</sup>, (A 7-8) region III-1700-1500cm<sup>-1</sup>, (A 9-10) region IV 1500-1200 cm<sup>-1</sup> and (A 11-12)region V 1200-900 cm<sup>-1</sup>. Group A comprising of 28<sup>th</sup> day samples stored at 40 and 50 °C, Group B comprising of 14<sup>th</sup> day samples stored at 40 °C and 50 °C and Group C constituting 0 day, 14<sup>th</sup> day (20 °C and 30 °C) and 28<sup>th</sup> day (20 °C and 30 °C).

**Fig.5** FTIR spectra of UHT whole milk in the region 3700-2800 cm<sup>-1</sup> (A1) and 1800-1700 cm<sup>-1</sup> (A2) and UHT skim milk in the region 1700-1500 cm<sup>-1</sup>.

**Table 1.**

Effect of type of milk (skim or whole milk), time and temperature on amount of sediment (insoluble precipitates) formed in UHT

Sediment, mg/100g of milk									
Skim milk					Whole milk				
Storage time, day									
Temperature (° C)	0	7	21	28	0	7	21	28	
20	52.5 <sup>a</sup>	68.8 <sup>bA</sup>	90.1 <sup>cA</sup>	96.8 <sup>deA</sup>	93.6 <sup>ecd</sup>	105.4 <sup>deA</sup>	99.9 <sup>cdeA</sup>	105.6 <sup>cdeA</sup>	
30	-	63.1 <sup>bA</sup>	95.0 <sup>cA</sup>	99.4 <sup>dA</sup>	-	99.4 <sup>ecdA</sup>	92.0 <sup>ecdA</sup>	104.3 <sup>ecdA</sup>	
40	-	67.1 <sup>bA</sup>	93.1 <sup>cA</sup>	92.4 <sup>dA</sup>	-	108.9 <sup>eA</sup>	101.2 <sup>ecdA</sup>	120.4 <sup>fB</sup>	
50	-	69.4 <sup>bA</sup>	106.4 <sup>cB</sup>	101.7 <sup>cA</sup>	-	122.5 <sup>dB</sup>	119.7 <sup>dB</sup>	132.3 <sup>eB</sup>	
SEM	4.32								

\* Means in the same row that do not share the same small letters differ ( $P < 0.05$ )

\*\* Means in the same column that do not share the same capital letters differ ( $P < 0.05$ )

**Table 2.**

Milk components attributed to different regions of FTIR spectra

IR region (cm <sup>-1</sup> )	Milk component	Reference
3000-2800	Milk lipids (Fat B)	Iñón, et al. (2004)
1800-1700	Milk lipids (Fat A)	Iñón, et al. (2004)
1700-1600	Milk proteins-amide I	Karoui, et al. (2003); Murphy, et al. (2014)
1600-1500	Milk proteins-amide II	Karoui, et al. (2003)
1500-1200	Milk proteins-amide III, interactions between different milk components (Fingerprint region)	Anderle and Mendelsohn (1987); (Kher, et al., 2007)
1200-900	Milk minerals; milk fat, lactose (Fingerprint region)	Zhou, et al. (2006); Gebhardt, et al. (2011); Kher, et al. (2007)

Fig. 1.

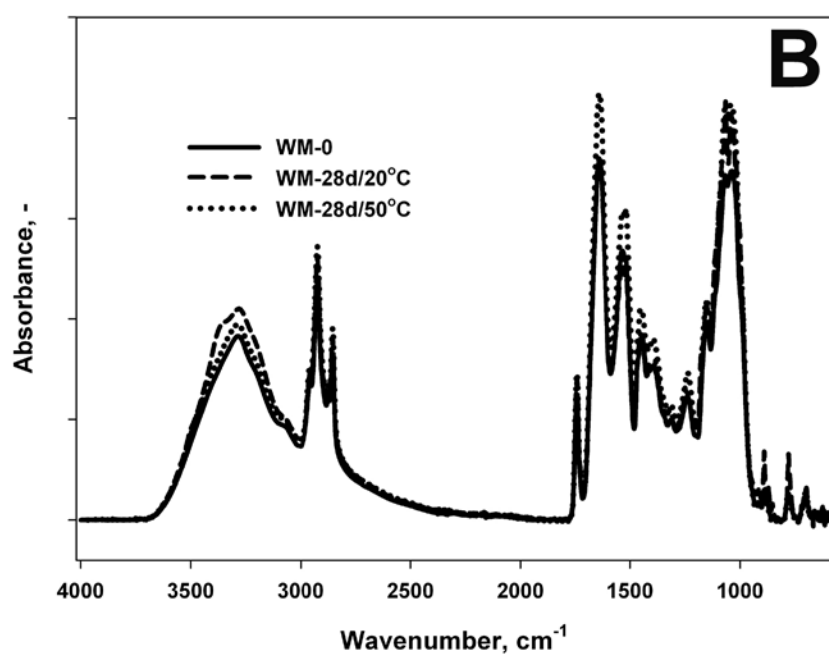
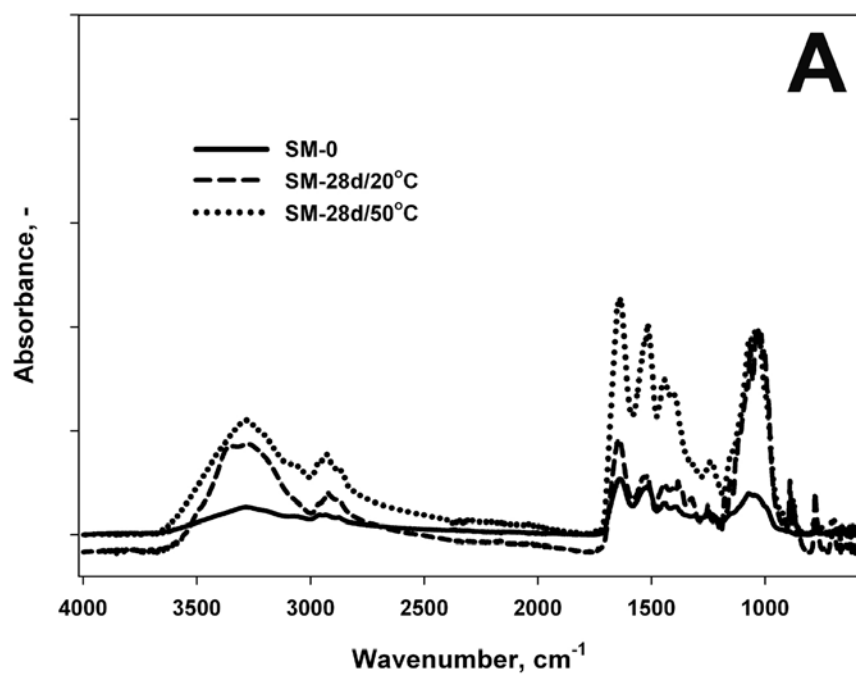


Fig. 2.

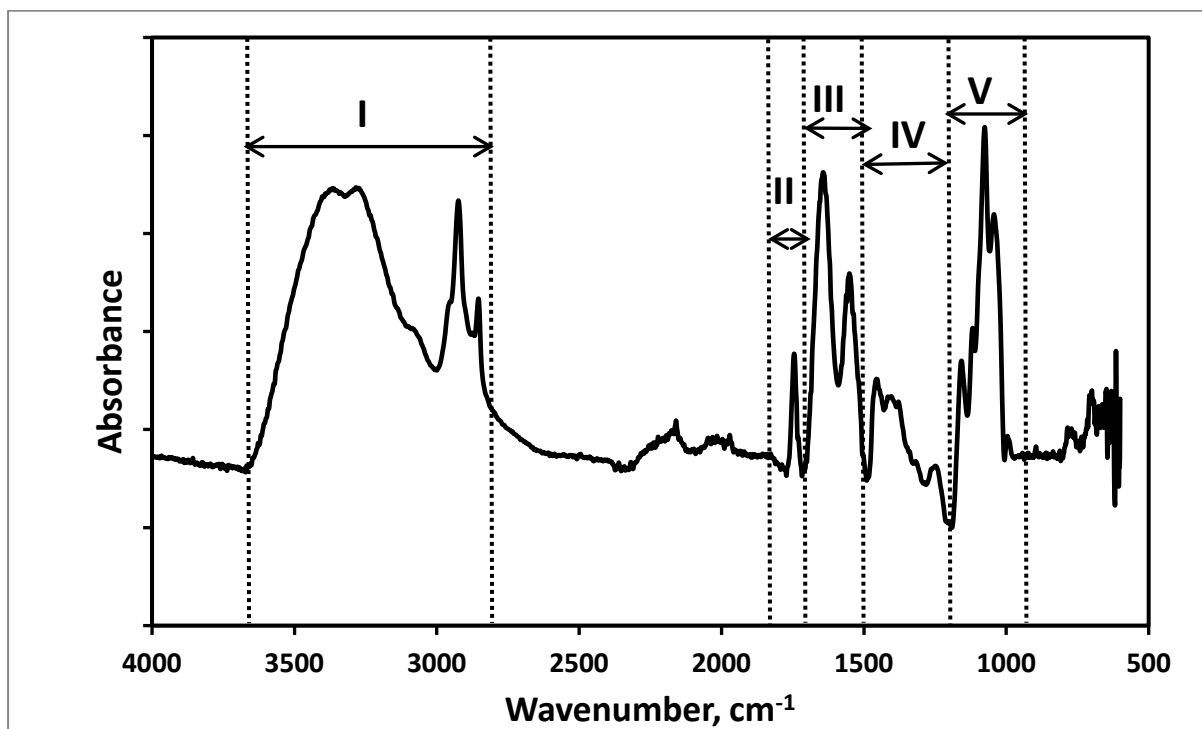
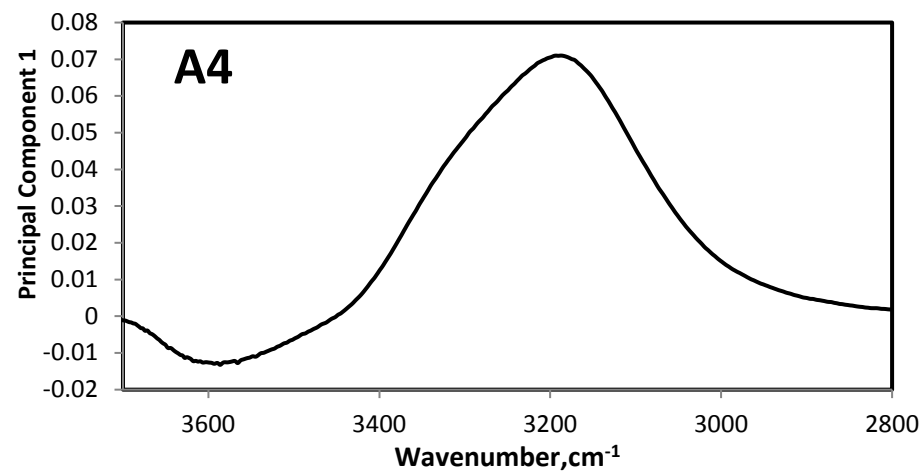
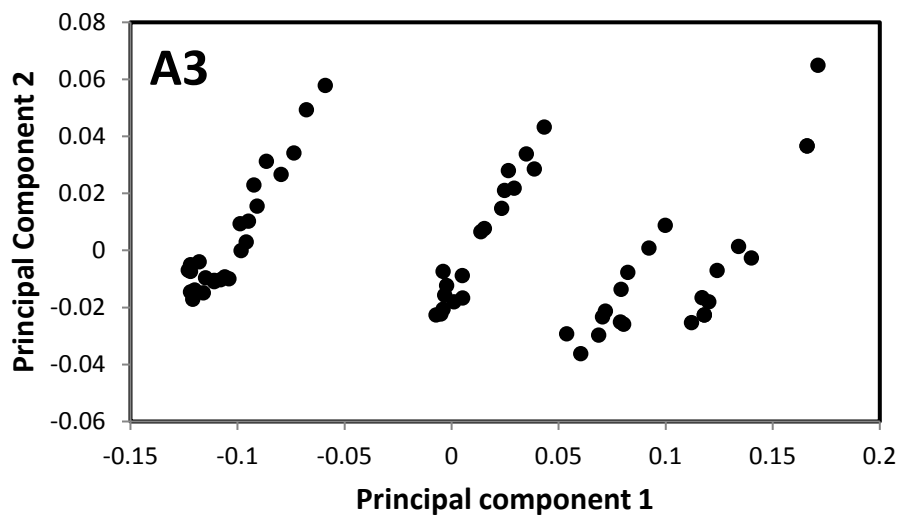
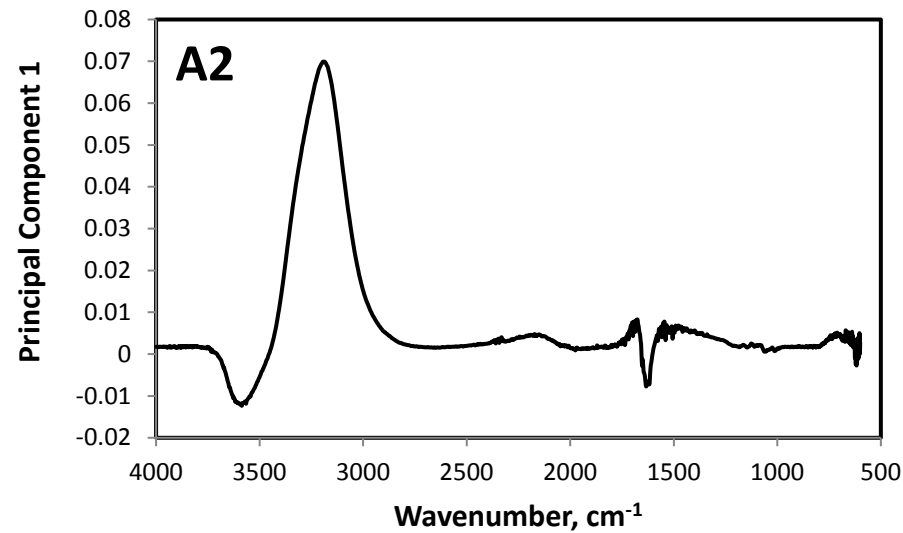
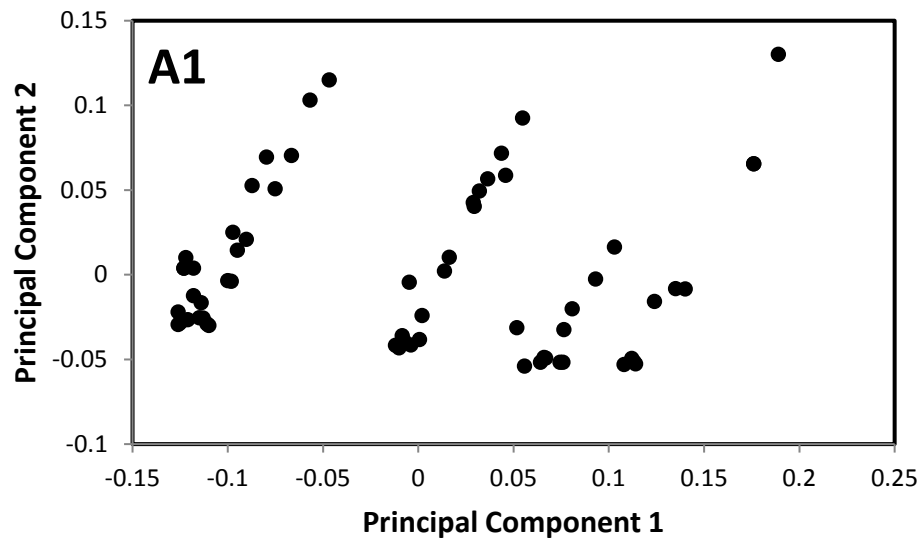
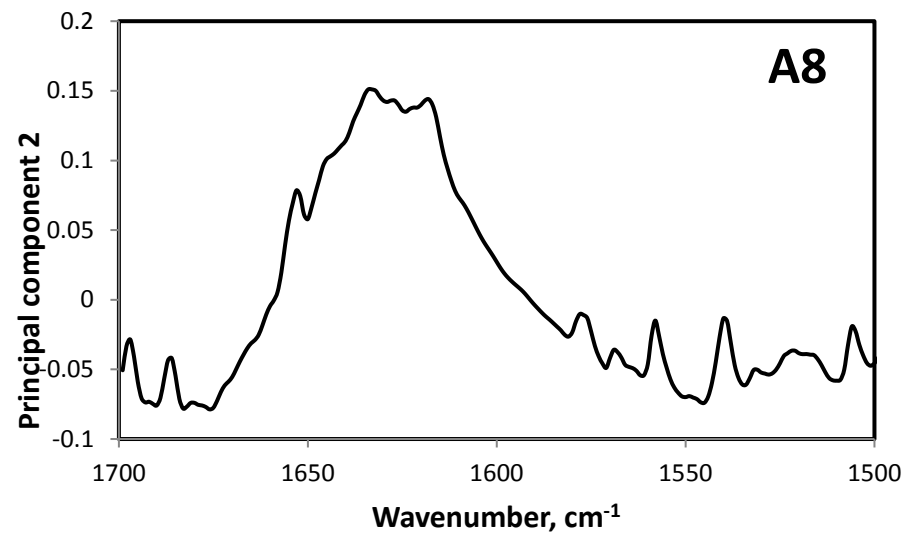
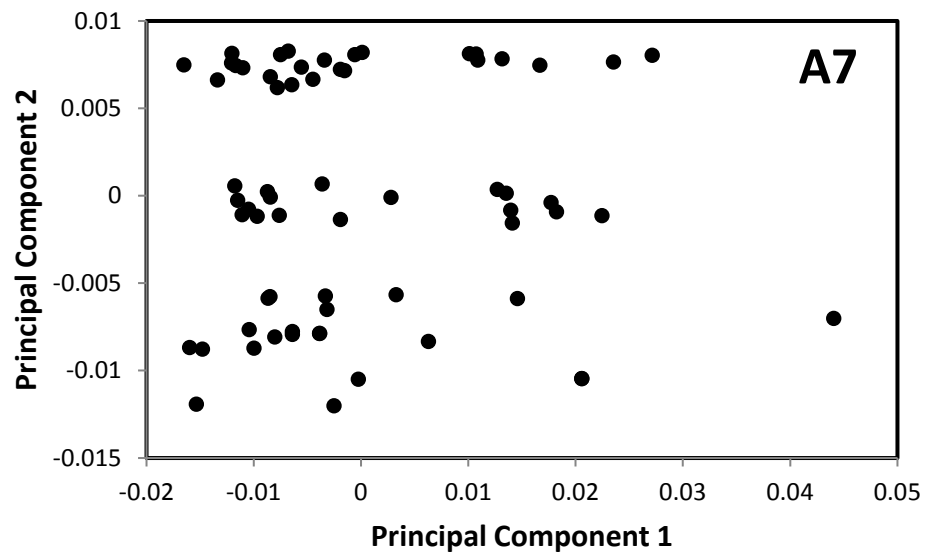
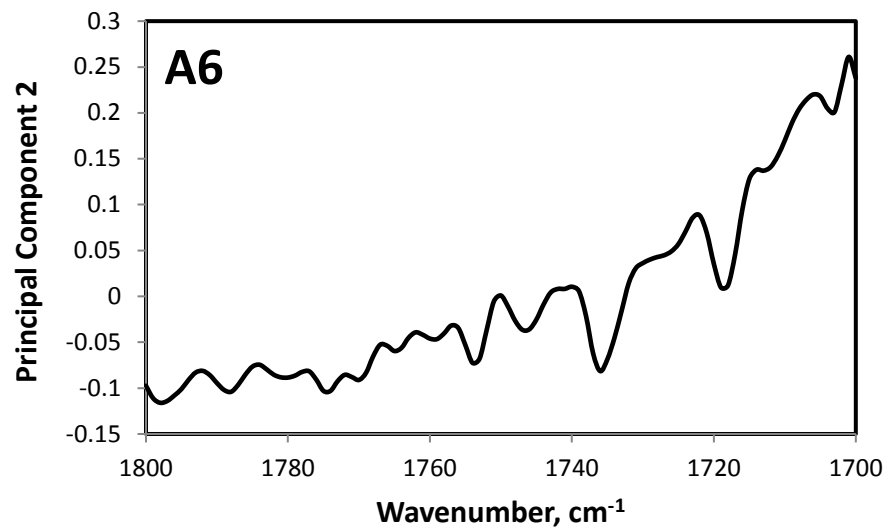
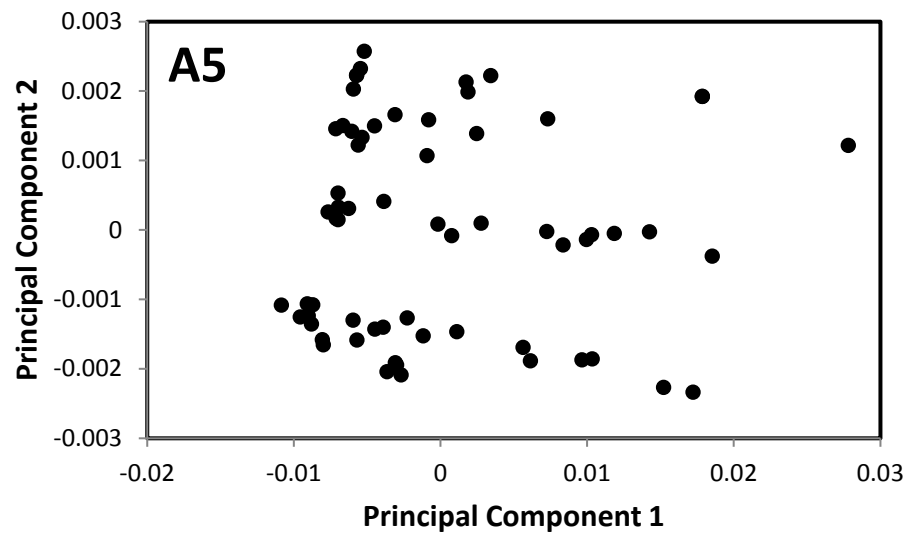
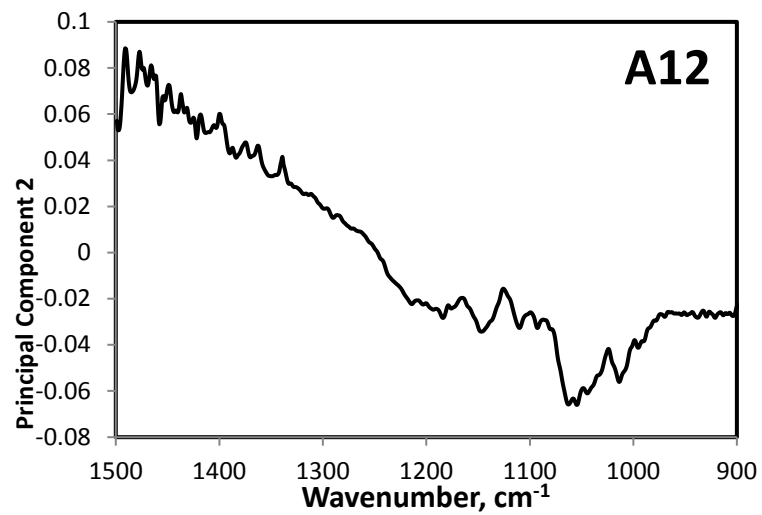
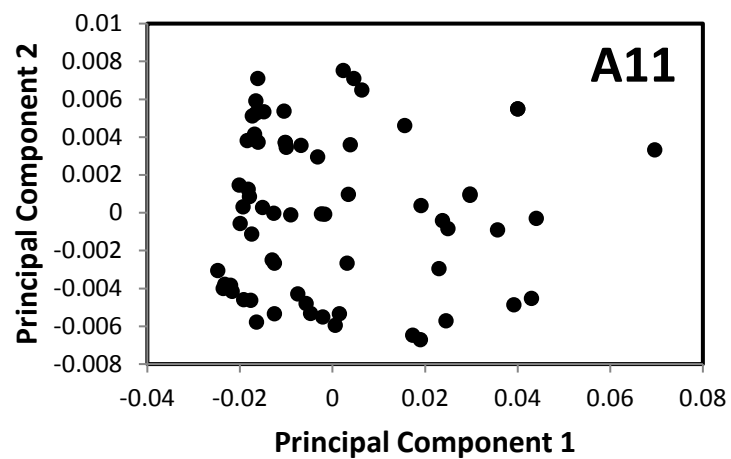
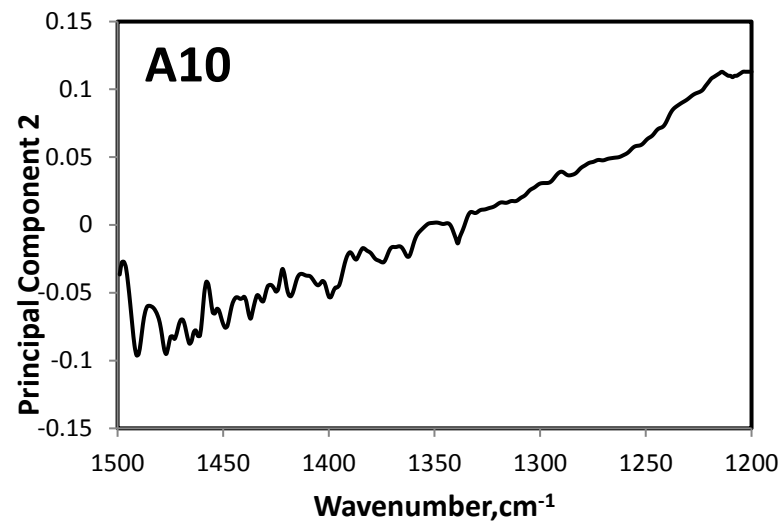
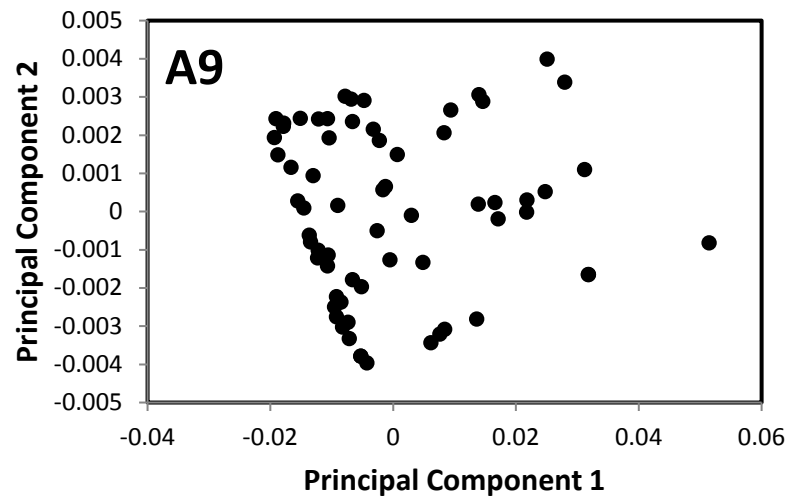


Fig 3. SM

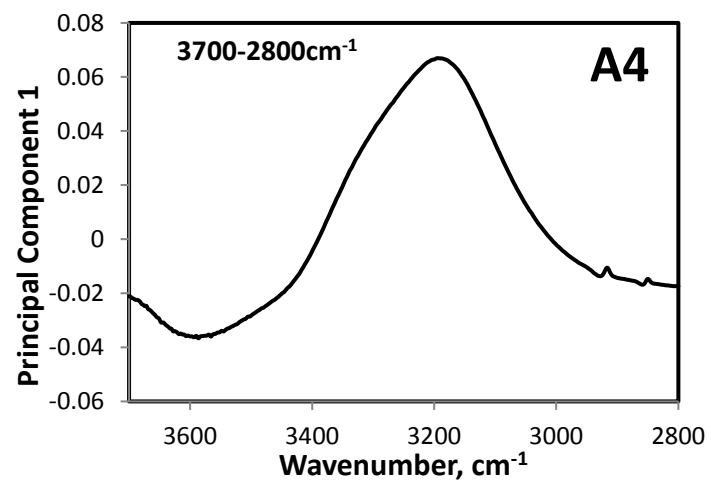
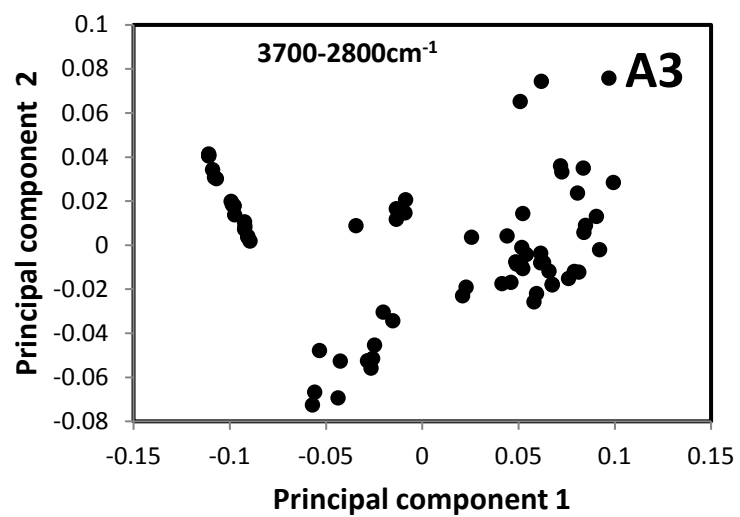
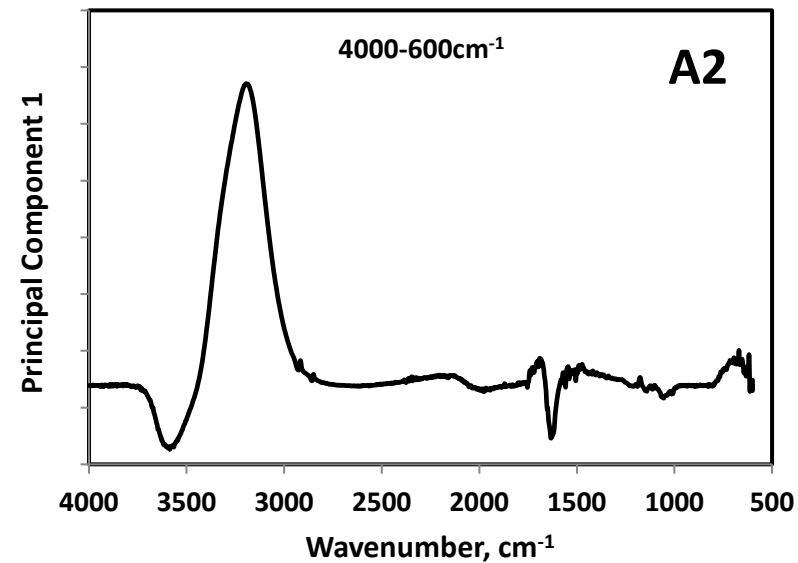
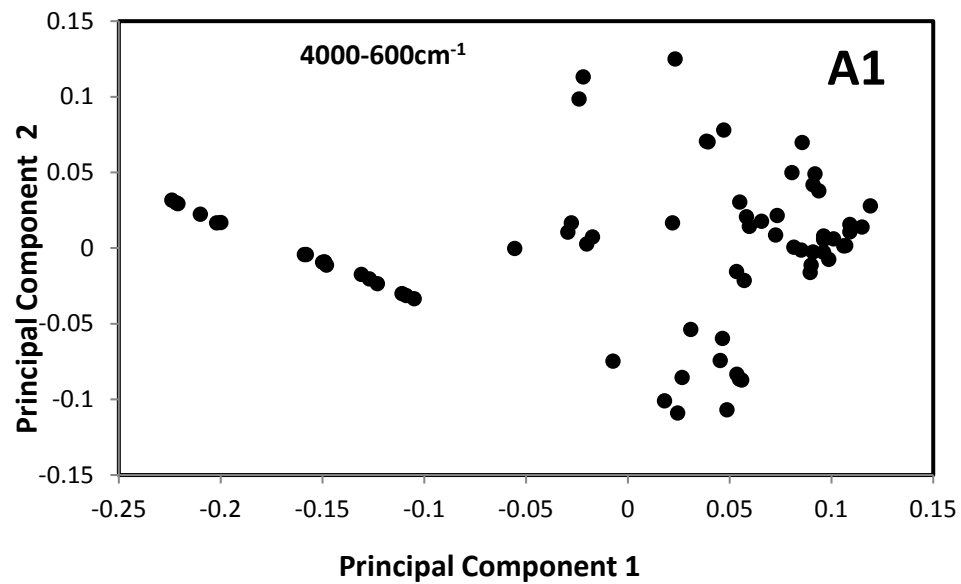


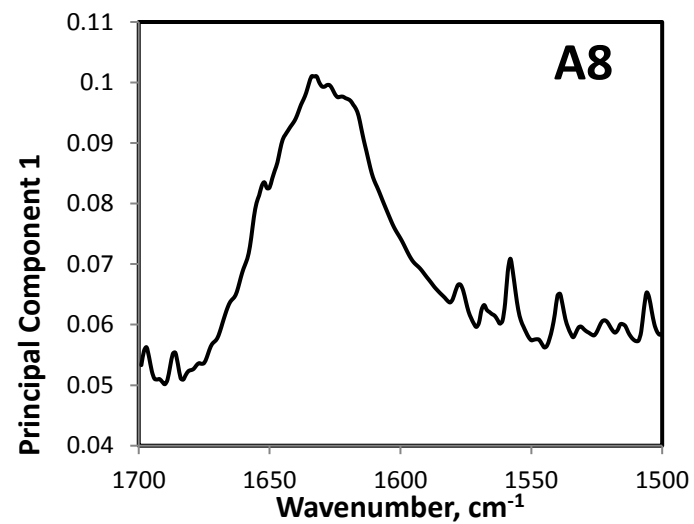
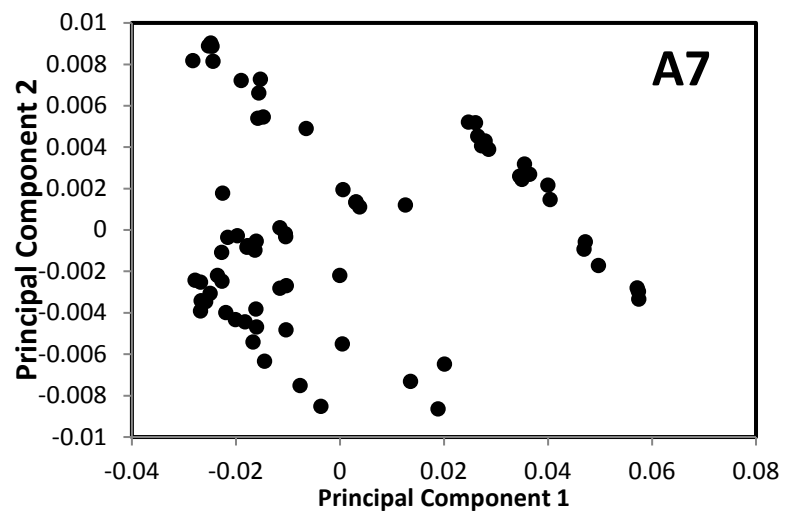
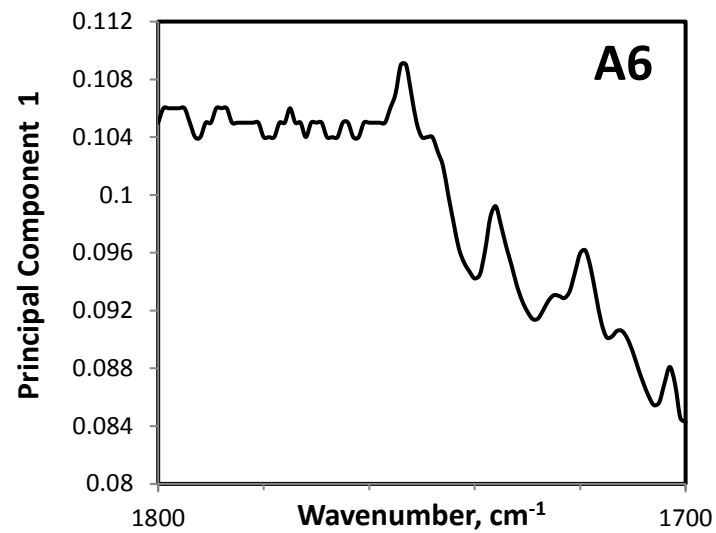
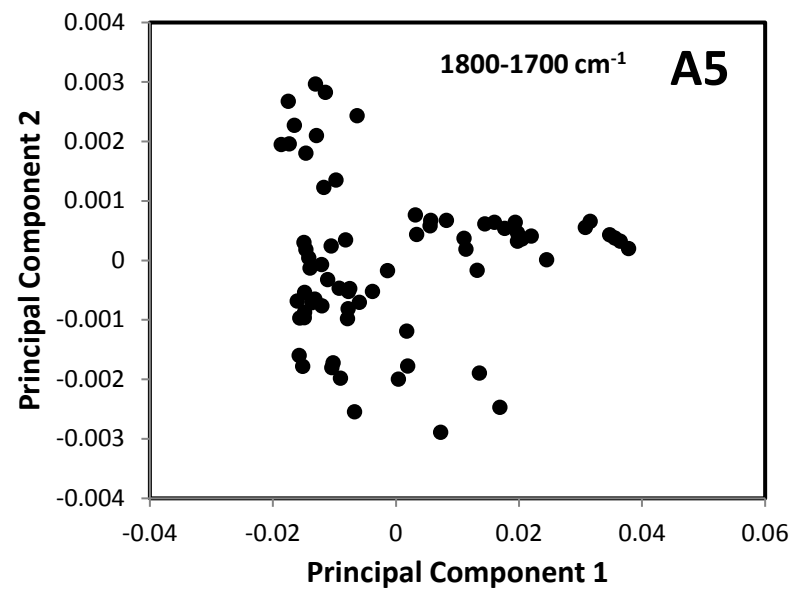






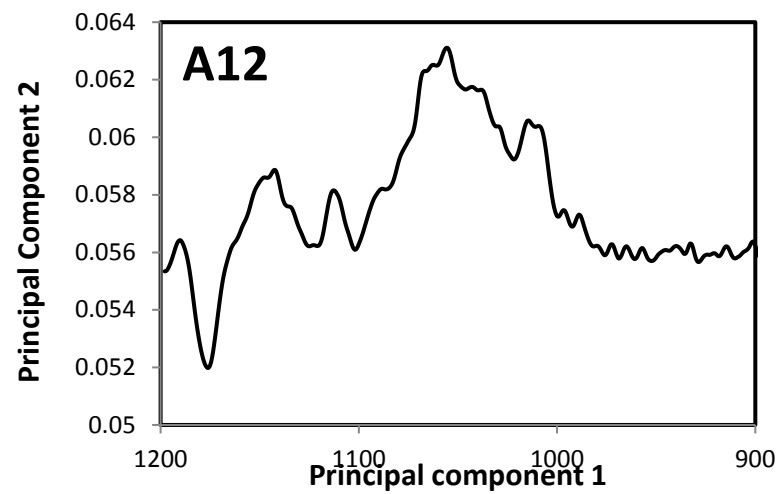
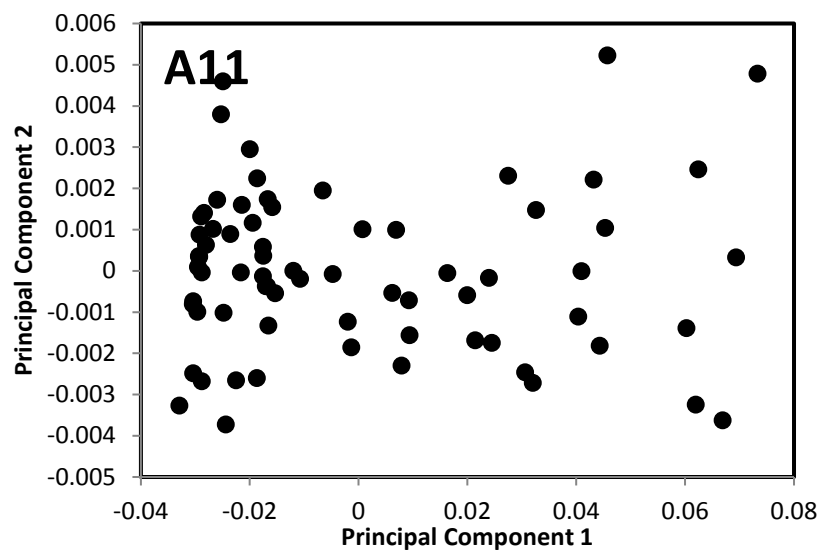
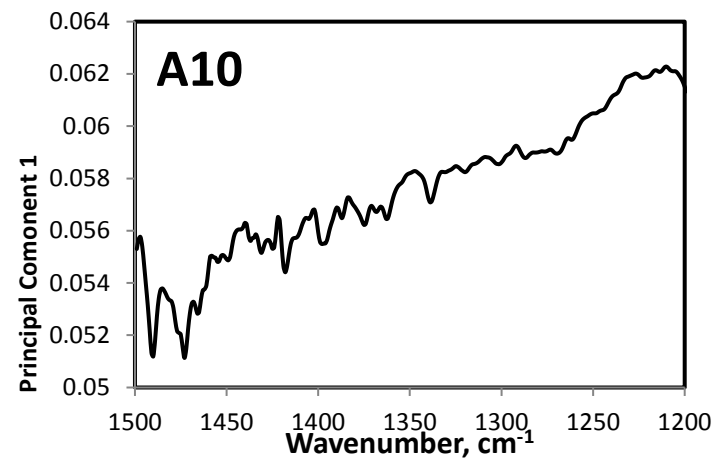
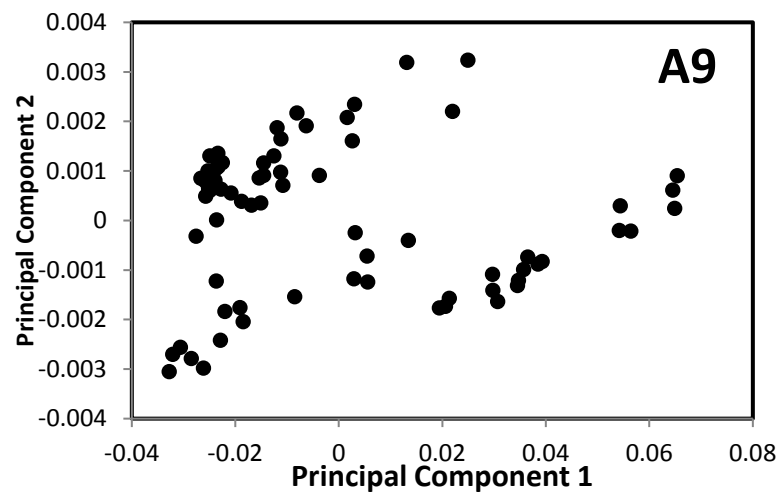
**Fig. 4 WM**



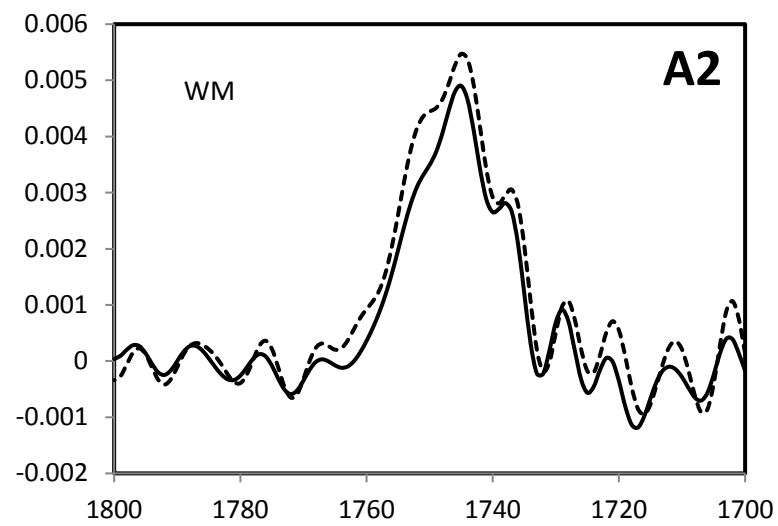
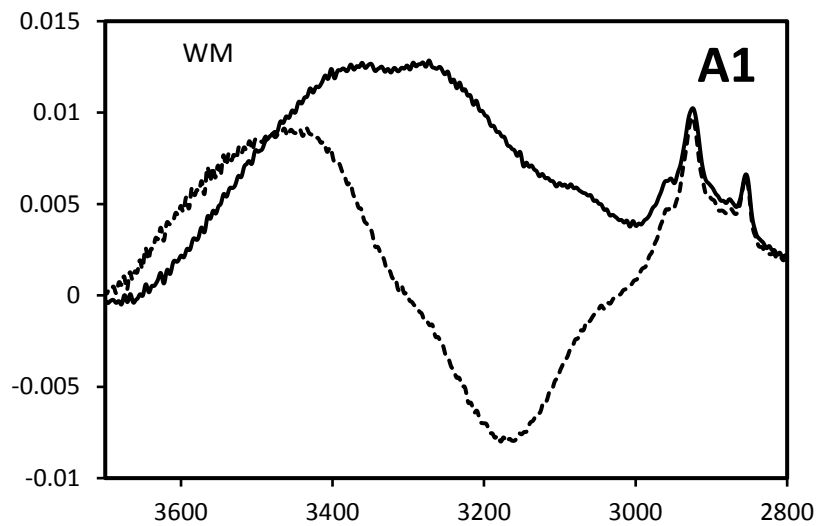


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531 **Fig.5**



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