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Methods and baseline characteristics of a randomized trial treating early childhood obesity: The Positive Lifestyles for Active Youngsters (Team PLAY) trial

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Abstract

There are few effective obesity interventions directed towards younger children, particularly young minority children. This paper describes the design, intervention, recruitment methods, and baseline data of the ongoing Positive Lifestyles for Active Youngsters (Team PLAY) study. This randomized controlled trial is designed to test the efficacy of a 6-month, moderately intense, primary care feasible, family-based behavioral intervention, targeting both young children and their parent, in promoting healthy weight change.

Participants are 270 overweight and obese children (ages 4 to 7 years) and their parent, who were recruited from a primarily African American urban population. Parents and children were instructed in proven cognitive behavioral techniques (e.g. goal setting, self-talk, stimulus control and reinforcement) designed to encourage healthier food choices (more whole grains, fruits and vegetables, and less concentrated fats and sugar), reduce portion sizes, decrease sweetened beverages and increase moderate to vigorous physical activity engagement. The main outcome of this study is change in BMI at two years post enrollment.

Recruitment using reactive methods (mailings, TV ads, pamphlets) was found to be more successful than using only a proactive approach (referral through physicians). At baseline, most children were very obese with an average BMI z-score of 2.6. Reported intake of fruits and vegetables and minutes of moderate to vigorous physical activity engagement did not meet national recommendations. If efficacious, Team PLAY would offer a model for obesity treatment directed at families with young children that could be tested and translated to both community and primary care settings.

Keywords

randomized controlled trial; children; obesity

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1. Introduction

Childhood obesity is a major public health concern recognized by the medical community as a serious chronic disease.[1–3] Treating childhood obesity is difficult and time-consuming for most health care providers, who cite lack of expertise and/or resources as reasons for not proactively addressing their patients' overweight or obesity.[4,5] Current recommendations for the identification, evaluation, and treatment of childhood obesity in primary care are based on a mix of evidence of varying quality and expert consensus.[6,7] These guidelines emphasize both parent and child behavioral changes that will result in healthful eating and an active lifestyle. Regular contact of parent and child with the clinician is also emphasized.

Family-based interventions for childhood obesity make intuitive sense, particularly for young children who spend significant time with their parents. Family-based interventions have been effective in the treatment of other childhood illnesses such as asthma.[8] Increasingly common, family-based interventions including children and their parent(s) have shown significant improvement in weight-related measures when compared to controls.[9] A 2009 Cochrane review on treatment of childhood obesity found that family-targeted behavioral lifestyle interventions were more successful in decreasing BMI than standard care at six months after follow-up.[10] Moreover, family-based interventions provide an opportunity to examine family functioning, an understudied factor relevant to success in childhood obesity treatment programs. Family relationships and interactional patterns affect not only how family members respond to the diagnosis of a child's health condition, but also influence subsequent health outcomes through their impact on disease management.[11–13] Families that function well as units are more likely to cope better with the demands of caring for an overweight child and institute the behavioral and environmental changes required for treatment. Preliminary data from this trial has already shown a positive relationship between better family functioning and greater intervention attendance.[14]

While research on weight management interventions for obese and overweight children has increased in the past several years, generalizable evidence-based approaches to evaluation and treatment remain limited, particularly in younger children.[15,16] Current 2010 United States Preventive Services Task Force recommendations [17], which differ from expert consensus [6,7], do not recommend screening for obesity in children less than 6 years given the lack of sufficient evidence for efficacious treatments directed towards younger children that are available to the primary care provider. A review of 31 family-based interventions published between 1977 and 2004 [18] showed only two studies that focused on very young children as their sample population [19,20], despite the high rates of overweight and obesity in children ages 5 and under.[21,22]

The majority of research in the field has been conducted in motivated, middle class, Caucasian populations [10], but there is some evidence that family-based interventions for childhood obesity are also effective with more diverse populations. For example, results of a recent meta-analysis suggested that comprehensive, lifestyle programs that include parental involvement were more efficacious among minority children.[23]

While comprehensive lifestyle interventions have had success in addressing overweight and obesity in children, the question of intensity (participant contact) and sustainability have not been adequately answered.[24] The USPTF review suggests that moderate to high intensity programs are most effective.[15] The recently published Bright Bodies randomized trial produced a long-term (2 years) treatment effect on anthropometric and metabolic markers after delivery of a family-based intervention in an inner-city ethnically diverse population of children ages 8 to 16 years.[25] However, while successful, this high-intensity (~98 hours of contact) program, which took place at a pediatric obesity clinic, would not be feasible in

general primary care settings. Additional studies are needed to determine if a less intense intervention, with less participant burden would be as efficacious and result in lower drop-out rates, given retention is a problem in many large randomized trials that focus on childhood overweight and obesity.[10]

The Positive Lifestyles for Active Youngsters (Team PLAY) trial seeks to address specific gaps in the childhood obesity treatment literature by providing a primary care feasible, moderately intense intervention, directed toward very young children and their parent(s) in a primarily African American urban population. The following presents an overview of the recruitment methods, intervention design, and baseline characteristics of the Team PLAY cohort.

2. Objectives of Team PLAY

The Team PLAY trial was designed to determine if a 6-month moderately intense, family-based behavioral intervention, targeting both child and parent in a primarily African American urban population (that has the potential to be implemented in primary care; e.g. primary care feasible), is superior to standard primary care in promoting healthy weight change in young overweight or obese children ages 4 to 7 years. The Team PLAY intervention focused on behavior change that would facilitate healthy eating patterns and increases in physical activity as a way to slow the rate of weight gain or promote weight maintenance. We hypothesize that lifestyle changes acquired during the Team PLAY intervention will result in a significant decrease in children's BMI at two years after enrollment, when compared to changes in BMI resulting from the usual care delivered by primary care providers for childhood overweight and obesity. Secondary aims include (a) examining changes in children's fat-free mass, waist circumference, dietary intake, physical activity, body esteem, child adjustment and parental perception of health provider's support with respect to the management of their children's food choices and physical activity behaviors, and (b) evaluating the effect of the intervention on family functioning and parent's BMI and waist circumference and (c) examining psychosocial measures as potential predictors, mediators, and moderators of change in the BMI, dietary and physical activity behaviors.

3. Study Design

3.1 General Design

Two hundred seventy overweight or obese children between the ages of 4 and 7 years and the child's parent or a regular caregiver (e.g. grandparent, aunt) were randomly assigned after a baseline assessment to the intervention or standard primary care arm of the study. Participants randomized to the intervention group received intense group-based counseling regarding developmentally appropriate physical activities, strategies for reducing sedentary behaviors, nutrition information, and behavioral counseling that included a self-management program to use at home. Both the intervention and standard primary care groups received usual care from their primary care provider. In an effort to somewhat standardize the usual care of local health care providers, physicians of the enrolled children were provided general written information about the evaluation and treatment of overweight children developed by local experts.[26,27] These included resources for physicians (e.g. evaluation forms, reimbursable ICD-9 codes for obesity, etc.) and patients (e.g., nutrition educational materials) to be used at primary care visits. Study visits consist of a comprehensive physical examination that included a medical history, anthropometric measures, body composition evaluation, nutritional assessment, measures of physical activity, and a behavioral/ psychosocial assessment at baseline, 6, 12, 18, and 24 months. Change in child Body Mass Index (BMI) is the primary outcome of the trial.

3.2 Study Population

Recruitment efforts were initially targeted to only local primary care community clinics that serve low-income, minority children. Recruitment strategies were later expanded to include the general population of the urban, racially diverse community in which the trial is being conducted. Given the demographic characteristics of this community we successfully enrolled high minority participation despite the change in recruitment strategies.

3.3 Inclusion Criteria

The study was open to all children 4 to 7 years of age, male or female, of any race who had a BMI   85% for age and gender.

3.4 Exclusion Criteria

Children were excluded from the study for the any of following: 1) history of diabetes mellitus; 2) history of significant renal, hepatic, cardiovascular, or gastrointestinal disease; 3) receiving drugs known to alter glucose homeostasis; 4) physical disabilities that limit physical activity (i.e. orthopedic, congenital); 5) psychological disabilities that might limit participation; 6) lack of access to a telephone; 7) current participation in another clinical trial (current participation in an observational study is not an exclusion); 8) other medical or behavioral factors that, in the judgment of the Principal Investigator, may interfere with study participation or the ability to follow the intervention protocol; 9) inability to understand and speak English.

3.5 Parent Participation

A parent or legal guardian agreed to random placement into the intervention or standard primary care (control) group. At least one parent or regular caregiver (e.g. grandparent, aunt, etc.) agreed to attend the group sessions on a consistent basis if assigned to the intervention group. Siblings were not allowed to attend the group sessions. Neither childcare nor transportation to intervention sessions were provided.

3.6. Recruitment and Retention

Recruitment of minority and underserved families was a priority in this study since this group has some of the highest rates of childhood obesity [22] and because our community has a concentration of potentially eligible at risk participants.[28,29] Initial recruitment efforts were intentionally targeted only to local community clinics that serve mainly low-income minority children with clinic primary care physicians referring participants to the study (*Clinic Recruitment Phase*). However, because recruitment goals were not being met using this proactive approach only, recruitment was opened to pediatric private practices and direct mailings were sent to families with children in our target age range. In addition, a study website and local television spots featuring 1996 Olympic gold medalist, Rochelle Stevens, were utilized (*Community Recruitment Phase*). Throughout recruitment Team PLAY was described as a program to promote healthy growth in children through proper eating and physical activity, rather than as a weight loss program. This description was chosen to convey sensitivity about an often stigmatized condition and minimize the possibility of embarrassment or harm to self-esteem among the participants.[30]

Emphasis was placed on developing and using a sophisticated system for monitoring, scheduling, and tracking participants. Detailed appointment reminder cards and telephone follow-up are being utilized. Enrolled parents are mailed visit reminders and receive phone calls on the day prior to their scheduled assessment visits. All missed appointments are followed up within 24 hours by a call to the participant's family or to someone on the list of contacts the participant has provided. If the appointment has been missed, another

appointment is made promptly. Extensive efforts are made to achieve all appointment windows for outcome data collection. Additionally, at enrollment sufficient time was spent with each child and their family in order to instruct them about the study and what would be expected of them. After enrollment, to increase adherence, clinic personnel has strived to maintain the participants' interest and enthusiasm. Clinic personnel maintain close contact with participants and their families, make clinic visits pleasant and convenient, and provide clear written instructions to promote attendance at follow-up visits. Clinic staff makes efforts to eliminate barriers for continued follow-up. For example, if a participant has difficulty with transportation to attend their follow-up, clinic personnel would assist in arranging transportation for that individual. Incentives are being used as an aide to adherence for attending study visits. All participants are offered a fixed monetary compensation to offset transportation and childcare costs for each follow-up visit attended (this monetary compensation was not provided for intervention session attendance).

3.7 Screening for Eligibility

Forty-five percent of families who responded to recruitment efforts were enrolled in the study (Figure 1). Initial contact (pre-screening) with potential participants was conducted by telephone after which a preliminary Screening Visit was scheduled. The purpose of the Screening Visit was to assess eligibility, obtain informed consent, contact information, behavioral information, nutrition and physical activity questionnaires, and medical history. Of 602 respondents, overall 90% were judged eligible on the basis of their age, calculated BMI (from reported weight and height), medical history and ability to read and understand English. Only 3% of respondents were excluded because of a BMI less than the 85th percentile. However, of the eligible respondents, half were unwilling or unable to participate for other reasons (Figure 1, legend). Therefore, consent was obtained and screening performed on only 53% of initial respondents who were then scheduled for a Baseline Visit for completion of study measures and randomization to either standard primary care (control group) or intervention (treatment group). 85% of participants who attended the Screening Visit participated in a Baseline Visit and were randomized into the study.

3.8 Randomization

Our randomization strategy differed according to recruitment phase (*Clinic versus Community*). Initially, we intended to recruit entirely from ten clinics located in areas of severe poverty and other SES stressors (safety-net clinics). These clinics were matched based on race/ethnicity and number of children served, resulting in 5 pairs of clinics. One clinic within each of the five pairs was randomized to the intervention and one was randomized to the standard primary care condition. It was planned after recruiting 10 to 15 participants from each clinic that paired clinics would switch their treatment status. However, we experienced difficulty meeting our recruitment goals using this cross over approach. The low number of participants being recruited during standard primary care assignment led our team to suspect unmasking such that clinics recognized, through patient contact, which treatment status they were currently assigned and preferentially made referrals during intervention enrollment phases (e.g., referral bias). Upon further investigation, Team PLAY health care clinics/providers informed investigators that randomization into the standard primary care arm was a major deterrent to recruitment.

To overcome this barrier, we expanded our recruitment approach to include reactive strategies utilizing the entire community. During the *Community Recruitment Phase* we included participants from private pediatric primary care offices, utilized direct community mailings, along with use of internet and television advertising. An individual-level randomization scheme of 3 intervention to 1 control participant, stratified by clinic, was used, as this approach allowed us to effectively test our intervention while maintaining

sufficient statistical power. By opening recruitment to the entire community and using reactive strategies enrollment no longer depended exclusively on health care provider referrals, which markedly increased the number of clinics from which participants were recruited. Therefore, throughout the *Community Recruitment Phase*, fewer participants from each clinic were enrolled, many participants were now self-referred, therefore, health care providers were likely less aware of the number and randomization status of their patients enrolled in the Team PLAY study, eliminating the referral bias seen during the earlier *Clinic Recruitment Phase.*

3.9 Baseline and Follow-up Assessments

A schedule for data collection is presented in Table 1. At the Baseline Visit, informed consent and contact information was reviewed with parents. Anthropometric measures (height, weight, and waist and hip circumference) were obtained on both child and parent. BMI was calculated from weight and height measurements. Although parents completed questionnaires for their children, all other measurements pertained to child participants or their family.

A physical examination was conducted and children's blood pressure and resting pulse were obtained. Dual-energy X-ray absorptiometry (DXA) was performed and an accelerometer were placed. Dietary, physical activity and behavioral questionnaires were obtained and participants were randomized to intervention status. At the conclusion of this visit, all parent-child dyads met individually with an interventionist who reviewed and gave feedback and dietary recommendations based on their dietary questionnaire, along with general physical activity recommendations.

Follow-up assessments occur at 6, 12, 18 and 24 months after Baseline Visit. All follow-up visits include: child anthropometric measures, blood pressure, resting heart rate, dietary, physical and behavioral questionnaires as well as documentation of changes to contact information, interval medical history, assessment of any adverse events, and review of adherence. The 12 and 24 month follow-up visits also include collection of body composition by DXA, accelerometry placement, child tanner staging and parent anthropometric data.

3.10 Primary and Secondary Outcome Measures

Baseline measurements are complete. Follow up measurements are underway. Only staff blinded to treatment status perform post-randomization measurements. All staff were trained at the start of the trial using a common standardized protocol and were monitored for drift during follow up.

3.10.1 Socio-Demographic Characteristics—At their Screening Visit, parents provided social and demographic information that included race/ethnicity, number of family members, annual household income, highest educational attainment, and marital status.

3.10.2. Physical Characteristics

General health: To ensure the safety of the children who participated in the study, all children received a complete physical exam at their Baseline Visit by a board-certified pediatrician. This exam assessed all body systems and specifically attempted to identify physical findings that may be associated with overweight and obesity in children such as acanthosis nigricans, abdominal tenderness or hepatosplenomegaly, orthopedic anomalies and abnormal pubertal maturation.

Anthropometric measures: All anthropometric measurers were determined in accordance with the guidelines defined in the NHANES Anthropometric Procedures Manual.[31] Specifically, weight was measured on the Detecto Balance Beam Scale, which was calibrated with fixed known standard weights weekly and certified annually by the local Bureau of Weights and Measures, and measured in kilograms. Height was measured in centimeters as the distance from the soles of the feet to the top of the head with the participant standing erect and looking straight ahead, using a stadiometer attached to the wall. Body mass index (BMI) in kg/m^2, the primary outcome, was calculated from the measured weight and height. A standardized BMI score (BMI z-score) was calculated for each child participant following guidelines established by the CDC. Waist circumference, which can provide indirect information about visceral fat, cardiovascular risk, and insulin resistance [32–34], was measured in centimeters using a Grafco tape measure and was assessed at the smallest horizontal circumference in the area between the ribs and the iliac crest.

Body composition: Child body composition was assessed using dual-emission X-ray absorptiometry (DXA). Measurement of total mass, bone free lean mass (LM), fat mass (FM), bone area, bone mineral content (BMC) and bone density (BMD) of the whole body was performed using the Hologic Discovery A (Bedford, MA) software version 8.3 and analyzed using APEX software 2.3. A DXA-certified research assistant performed the DXA measurements using a standardized protocol. Individuals were scanned two times with body replacement after the first scan. The average of the two scans is reported. The coefficient variation was 0.24% for BMC, 0.02% for LM, 0.05% for FM, 0.04% for total mass, 0.03% for % FM and 0.06% for BMD. All DXA scans were reviewed for quality assurance by one of the study co-investigators (F.T.).

Cardiovascular function: Children's resting blood pressure and radial pulse was measured to determine whether the study intervention has an impact on these important physiologic parameters. Trained personnel utilizing the guidelines of the American Heart Association and the National Heart, Lung, and Blood Institute [35] obtained these measurements. Appropriate arm size was selected and both heart rate and blood pressure measurements were repeated 3 times with the mean of the 3 measurements recorded. At least 30 seconds elapsed between the three readings, and each time the cuff bladder was allowed to fully deflate.

3.10.3. Diet and Physical Activity

Dietary assessment: The Block Kid's FFQ, a food frequency questionnaire (www.nutritionquest.com) for 2–7 year olds was interviewer administered to the parents of each child participant. All interviewers were certified by a Registered Dietitian and re-certified every 6 months. The Block Kid's FFQ was developed for commercial use (Nutriquest.com) to assess food and nutrient intake in children across a wide range of nutrients and food items. The food list for this questionnaire was developed from the NHANES III dietary recall data. The nutrient database was developed from the USDA Nutrient Database for Standard Reference. The frequency of 90 food questions was obtained on a daily, weekly or monthly basis reflecting habits over the previous 6 months. While this tool has not specifically been validated for use by parents with children in our age range, other FFQ developed for use with parent report of children ages 1–10 have shown reasonable reliability and validity.[36,37] In children ages 3–5 the Block Kid's FFQ provide the same median intake as that obtained from a 3 day food record.[38–40]

Physical activity monitoring: The physical activity monitor each child was asked to wear was an ActiGraph GT1M (The ActiGraph, Fort Walton Beach, Florida). For the initial 86

participants the activity monitor was worn on the hip for seven consecutive days (except bathing). After 2 activity monitors were rendered irreparable due to nocturnal enuresis, the protocol was changed and participants were asked to wear the activity monitor only during waking hours. The ActiGraph has a built-in dual axis accelerometer designed to measure and record time varying accelerations ranging in magnitude from approximately 0.05 to 2 Gs. This small (2" × 1.5" × 0.6") monitor is placed on a belt and secured around the waist of the child and programmed to collect 60 sec epoch (intervals) motion counts. Accelerometry has been shown to provide valid estimates of physical activity among young children [41] and the ActiGraph has been used successfully by other investigators in children.[42]

Correlates of physical activity: The Amherst Health and Activity Survey, previously validated for uses as a proxy measure for reporting physical activity engagement [43], was used to assess correlates of physical activity in children.[44] Of particular interest in the current study were 6 questions that assess aspects of the neighborhood environment shown to promote or hinder physical activity [45], including neighborhood features (i.e., presence of sidewalks, enjoyable scenery, lack of high crime rates), availability of public parks and proximity to parks, and perceptions of neighborhood and park safety. The Amherst neighborhood environment variables have demonstrated acceptable test-retest reliability (ICCs range from .68 to .86).[44]

3.10.4 Child and Family Psychosocial Characteristics—Children and their parents completed a battery of psychosocial measures to evaluate potential predictors, mediators, and moderators of change in the primary study outcomes and specific target behaviors related to the intervention. These are described below.

Body esteem: The Revised Body-Esteem Scale was administered to assess children's attitudes and feelings about their body and physical appearance.[46] A 3-point response scale (1= no, 2= sometimes, and 3 = yes) was used to rate agreement with items rather than a yes/no response format in order to increase variability, as was done in previous studies with young children.[47] The total score on this 20-item questionnaire is calculated as the sum of individual items, and ranges from 20 to 60 with a higher score indicating better body esteem. Examination of this measure indicated that at baseline our 4-year-old participants were not able to provide reliable self-report data on body esteem, evidenced by a much lower internal consistency estimate when including these children (α = .60 in the full sample versus α = .83 after excluding 4-year-olds).

Child adjustment: The MacArthur Health and Behavior Questionnaire (HBQ) [48], a comprehensive caregiver-report questionnaire measuring caregiver perceptions of children's functioning across multiple domains, was used to assess aspects of children's mental health and social functioning. The HBQ mental health scales examined in the Team PLAY study contained 75 items scored on a 3-point Likert scale (0 = "rarely applies", 1 = "applies somewhat", 3 = "certainly applies"). These items provided three scales scores: Internalizing Symptoms, Externalizing Symptoms, and Attention-Deficit/Hyperactivity Disorder (ADHD) Symptoms. The social functioning scales contained 40 items scored using either a 3-point Likert scale (0 = "rarely applies", 1 = "applies somewhat", 3 = "certainly applies") or a 4-point Likert scale (1 = "not at all like", 1 = "very little like", 3 = "somewhat like", 4 = "very much like"). These items provided three scales scores: Peer Relations, Social Withdrawal, and Prosocial Behavior.

Evaluation of the HBQ's overall psychometric qualities revealed that this instrument has high test-retest reliability and cross-informant agreement, as well as strong predictive and discriminate validity.[48,49] Internal consistency estimates in the current sample for the three mental health symptom scales were acceptable (Internalizing α = .77, Externalizing α

= .79, and ADHD symptoms α = .83), as were alphas for the three social functioning scales (Peer Relations α = .80, Social Withdrawal α = .74, and Prosocial Behavior α = 90).

Family functioning: Family functioning was measured using the Family Adaptability and Cohesion Evaluation Scales (FACES-IV) [50], a self-report instrument designed to assess dimensions of cohesion and flexibility as outlined by the Circumplex Model of Marital and Family Systems.[51] Respondents are asked to rate the extent of their agreement with 42 statements using a 5-point Likert scale (1 = strongly disagree to 5 = strongly agree). Responses are used to create two balanced scales (Cohesion and Flexibility- higher scores indicate better functioning) and four unbalanced scales tapping the extremes of cohesion and flexibility (Disengaged, Enmeshed, Rigid, and Chaotic- higher scores on these scales reflect greater family dysfunction.). Scores on these six scales are calculated as the sum of responses on the 7 items comprising each scale. The FACES-IV also includes 20 additional items that can be used to assess respondents' perceptions of communication and satisfaction within the family. The Family Communication and Family Satisfaction scales are each comprised of ten items scored using a 5-point Likert scale (1 = strongly disagree to 5 = strongly agree).

Previous versions of the FACES have demonstrated acceptable reliability and validity, and available psychometric information for the FACES-IV suggests that this newer version has similar psychometric qualities.[52] In the present sample, internal consistency estimates for the six family functioning scales were as follows: Cohesion α = .76, Flexibility α = .66, Disengaged α = .80, Enmeshed α = .71, Chaotic α = .81, and Rigid α = .70). Coefficient alphas for Family Communication and Family Satisfaction were also acceptable (αs = .89 and .92, respectively).

Health care provider autonomy support: An adapted version of the Health Care Climate Questionnaire (HCCQ) [53] was used to assess parents' perceptions of the degree to which their child's health care providers are supportive with respect to the management of their children's food choices and physical activity behaviors. Specifically, this measure focused on parents' feelings of autonomy related to health care providers' encouragement of their questions, the provision of choices, level of understanding of the caregivers' perspective, and whether they convey confidence in caregivers' ability to manage their children's diet and physical activity habits (e.g., "My child's health care providers try to understand how I see my child's diet before suggesting any changes"; "My child's health care providers convey confidence in my ability to make changes regarding my child's physical activity").

Parents rated their level of agreement with 12 statements using a 7-point scale that ranged from 1=not at all true to 7=very true. A total score ranging from 12–84 was obtained by summing responses across all 12 items. The HCCQ demonstrated excellent internal consistency in the current sample (α = .96), similar to what has been reported in other studies using the original HCCQ.[54]

4. Intervention

4.1 Delivery of the Intervention

The dietary, physical activity and behavioral components of the intervention were delivered via 14 one-hour group sessions over a six-month period. Groups of 10 to 15 parent-child dyads met at community sites that were chosen for location and convenience (e.g. safe, accessible, and free parking), once a week for the initial 8 weeks, biweekly for 8 weeks, and thereafter monthly for 2 months. Parents and their children were instructed separately for the majority of the session time. Parent group sessions, instructed by a trained interventionist, co-occurred while the children were playing at a moderate to vigorous intensity and learning

about healthy foods. Given the young age of the children, the overall intervention mainly targeted the parent as the agent of change, however the children were also instructed in intervention content as described below. Each group session integrated dietary, physical activity, and behavioral modification instruction (Table 2). Parents were introduced to healthy eating using an adaption of the "We Can" moderately restrictive diet (described below), and were taught how to model age-appropriate physical activities and received instruction on utilizing common household items to engage their children in physical activity at home. Parents were supplied with an intervention resource guide, the Team PLAYbook, that contained all presented session materials, healthy habit monitoring worksheets for use by adults and children, healthy choice food substitution suggestions as well as recipes, serving size and physical activity guidelines.

While parents participated in adult group sessions, children were simultaneously led by study staff with a teaching or physical activity background, in age appropriate versions of the nutrition, physical activity and parts of the behavior modification content of the adult sessions. The physical activities were designed to engage them at a moderate to vigorous level for at least 50% of the session. The goal being to increase the children's MVPA minutes per week, as well as to improve motor skill development, both of which have been inversely linked to childhood obesity.[55] Specifically, the physical activity component of all intervention sessions taught children and caregivers how to efficiently perform individual motor skills (such as jumping, hoping, kicking or throwing), while utilizing various physical activity implements (such as ropes, hula hoops, bean bags or ribbon wands). Children's books and music, that contained healthy nutrition and physical activity themes, were provided to the families throughout the intervention to help reinforce the behavior change messages at home.

During the last 15 minutes of each group session, parents and children joined together and parents modeled for their children locomotor and object control skills, MVPA engagement and healthy choice behaviors taught in the sessions (e.g. throwing, jumping, hoping, identification of skim milk and water as healthy beverages choices and soda as an unhealthy choice). The unique design of this intervention model allows both parents and children to benefit personally from physical activity engagement, while building their dietary and physical activity skills. Moreover, self-regulatory skills delivered to parents during the adult sessions were integrated into combined sessions in order to promote understanding and to encourage application of dietary and physical activity behaviors within their home environments. Session content was delivered in an interactive format that encouraged discussion among participants and incorporated messages set to music for experiential learning and modeling of healthful eating and activity behaviors. Most session resources, including the PLAYbook, physical activity props and the music played during all group sessions, were sent home for playtime use. These resources served to reinforce key behavioral, nutrition, and physical activity messages practiced during the intervention sessions.

Since special efforts were made to recruit minority participants, we endeavored to make the interventions address the needs of this group. For example, the dietary component included cooking with foods readily available and used by African Americans and making healthier fast food choices. The music provided varied and was appropriate for young children of any racial background. The lyrics promoted and complemented the healthy behaviors being taught at the sessions. Healthy lifestyle changes that were modeled included dancing, singing and reading with parents (culturally- and topic-appropriate books were provided). There was a mix of ethnic and racial backgrounds among the interventionists.

Following the intensive intervention phase, a 6 month intervention follow-up is conducted. Each month families are mailed a newsletter ("Go Notes") containing general nutritional and physical activity information (that reinforces intervention session content) and are contacted by telephone to discuss problems or answer questions that may arise.

4.2. Dietary Intervention

The goal of the dietary component of the intervention was to promote healthful eating behaviors for healthy growth. It was modeled after the Traffic Light Diet [56] and modified for delivery to parents based on "Ways to Enhance Children's Activity and Nutrition at home (*We Can*)" as presented on the National Institute of Health (NIH) website (Website: http://www.nhlbi.nih.gov/health/public/heart/obesity/wecan/). *We Can* is a collaborative effort of the National Institutes of Heart, Blood and Lung; Diabetes and Digestive and Kidney Diseases; Child Health and Human Development and The National Cancer Institute. The *We Can* program is a basis for community and wide scale interventions. The materials are geared to parents of 8–13 year olds and were based on the results of the Child and Adolescent Trial for Cardiovascular Health (CATCH).[57] The intervention adopted the "Go, Slow, Whoa food system" as a way to encourage healthier foods choices, reduce portion sizes and decrease excess energy intake from high sugar/high fat food and beverages. The Go foods are lowest in fat and sugar, relatively low in calories, have higher nutrient density and are great to eat anytime. Examples of Go foods are fruits and vegetables, low fat dairy products, whole grains, lean meat, poultry and fish, beans, eggs and nuts. Slow foods are relatively higher in calories, fat and sugar are to be eaten sometimes/ less often than Go foods. Examples of Slow foods are canned fruit in syrup, high fat cheeses, and fruit juices. Whoa foods are highest in fat and calories and are to be consumed only once in a while on special occasions in small portions. Examples of Whoa foods include sweetened beverages, french fries, fruit pies, cakes, doughnuts and high fat meats or those fried in extra fat or breading. The Team PLAY dietary intervention modified the *We Can* materials to focus on ways to improve the eating habits and cooking practices of families residing in our predominantly African American, urban, Mid-South community. As part of the intervention, parents were provided with dietary goals to meet the energy and nutrient requirements for healthy growth based on their child's age. Recommendations for daily servings of each food groups were based on the USDA's MyPyramid guide for 4–8 year olds (Website: http://www.mypyramid.gov). Children's books and music, that contained healthy nutrition themes, were provided to the families throughout the intervention to help reinforce the nutrition messages at home.

4.3 Physical Activity Intervention

The physical activity component, adhering to the recommendations of the U.S. Surgeon General [58] and Healthy People 2010 and 2020 [59,60], was designed to instruct parents in ways to increase the total amount of time their children spend being physically active (e.g. counting daily steps with a pedometer) and increase the percentages of those total minutes the children spend engaged in MVPA. While parents were taught how to model the age-appropriate physical activities and received instruction on utilizing common household items to engage their children in physical activity, the children were led in age-appropriate physical activities at a moderate to vigorous level for at least 50% of the lesson time. During the last 15 minutes of each group session, parents modeled the home activities with their children and families were given simple physical activity implements, such as ropes, bean bags or ribbon wands, to enhance movement skills and the play experience at home.

4.4 Behavioral Intervention

Based on Social Cognitive Theory (SCT), parents and children were instructed in proven health behavior change techniques (e.g. stimulus control, contingency management, and

reinforcement) that were integrated into each session in order to help children successfully achieve the dietary and physical activity goals above. For instance, during all child sessions, in an effort to increase MVPA, each child wore a pedometer that the instructor referred to as a "happy feet counter." These were regularly checked and the child rewarded with a "Team PLAY ticket" when they achieved the desired counts. Physical activity (hoola hoops, balls, bean bags) and food (fruit/vegetable cutouts, serving containers) implements were used as cues to help prompt the children to learn about MVPA and Slow, Go, Whoa food choices. Additionally, session instructors gave frequent verbal feedback to encourage and reinforce the desired healthy behaviors. In order to encourage continued practice at home, each child/ parent dyad was given a session play pack (implements) that reinforced the skills performed during the session. Cognitive factors in the SCT model (e.g. self-efficacy and the self-regulatory skills; monitoring, goal-setting, and problem-solving), which are necessary for implementation of this intervention, were also addressed with parents but not children given their young age and age-related cognitive differences among youth.

The target behavioral goals for children were to consume nutrient dense foods as often as possible throughout the day by asking parents for these foods, and to increase play time that involves moderate to vigorous levels of physical activity for 60 minutes per day. Moving from SCT theory to intervention delivery was based on methods described by Bauman et al. [61] (Figure 2).

4.5 Standard Care (Control) Condition

Families assigned to the standard care condition will complete assessments at baseline, 6, 12, 18 and 24 months (Table 1). As previously noted, the child's primary care provider was sent general written information about the evaluation and treatment of overweight children developed by local experts.[26,27] These included resources for physicians (e.g. evaluation forms, reimbursable ICD-9 codes for obesity, etc.) and patients (nutrition educational materials) to be used at primary care visits. However, no intervention was offered to this group but rather they received routine care and follow-up that is typically prescribed by their primary care provider.

4.6 Interventionist Training

Intervention sessions were conducted by research study staff with a background in teaching, physical activity and/or nutrition and were trained by a study investigator (P.R.). Training included 4–6 hours of observation of sessions (led by P.R. or a trained intervention staff) and 3–4 hours of "hands on" training of specific session activities. Prior to leading a session, all intervention staff were required to demonstrate competency in the delivery of the adult and/or child session components. An elaborate interventionist instruction manual was developed that contained all the essential components of the intervention sessions and detailed scripts for training and delivery of the intervention. This manual will allow replication and translation of the intervention in the future. In order to maintain fidelity to the intervention, sessions were periodically videotaped and feedback was provided to the interventionists.

5. Statistical Approach

5.1 Sample Size

Power estimation assumed four clinics in both the intervention and standard primary and fifteen subjects within each clinic (*Clinic Recruitment Phase*). We expected at most a 20% dropout over the two years resulting in at least 12 subjects in each of the clinics per cycle. To estimate power associated with the original study design assumptions were made concerning intraclass correlation for the same subject over time (ICCS) of 0.20 (an estimate

for the points furthest apart −2 years), an intraclass correlation for a common group of subjects at a given time (ICCG1) of 0.10, and an intraclass correlation for a common group of subjects at different times (ICCG2) of 0.04. A difference of 2 kg/m² between the intervention and standard primary care groups was judged to be meaningful and achievable over a two year period. We estimated the standard deviation within clinic at a given time to be 6.7 kg/m², and targeted detecting a moderate interaction effect size of $f = .15$ given two-tailed alpha = .05.[63] Under these assumptions 240 subjects were required to produce power for detecting a significant interaction of intervention (yes or no) by time (five time points) of 86%.

5.2 Data Analysis

Treatment effects on BMI will be analyzed, based on intention to treat, via mixed effect covariance pattern models. Sex, race, and baseline age will be assessed as potential confounding or effect modifier variables. Since the original study design was modified in phase two of recruitment to no longer require clinics to be completely nested in treatment levels, a fixed effect capturing the effect of recruitment phase as well as a random effect for clinic will be incorporated into all analyses. The exponential covariance pattern will be adopted to reflect variation in covariance effects over time (measured continuously as elapsed time since baseline visit) with visits that are closer together expected to have stronger BMI covariation. This pattern is more reasonable than the conventional assumption of compound symmetry common to classic repeated measures models and is expected to be more realistic for this study.

The test of intervention effectiveness will be assessed by evaluating the treatment (intervention versus standard primary care) by visit (baseline and four follow-ups) interaction effect. If treatment is effective, we expect an initial decrease in BMI followed by tapering further decrease (or even a flat line) in intervention subjects but a relatively flat line over time in BMI for subjects in the standard primary care condition.

The analytic process will incorporate initial examination of covariate slopes for heterogeneity of regression. If warranted, separate covariate slopes by treatment level will be retained for modeling purposes. When appropriate, slopes will be pooled for study covariates. Modeling will be accomplished using the SAS Proc Mixed procedure with omnibus hypothesis tests conducted at alpha of .05. Alpha levels for testing contrasts estimated to explain main effects for visit or interaction effects for visit X treatment will be adjusted for the number of contrasts to contain alpha inflation.

5.3 Safety Monitoring

Adverse events, including illnesses and injuries, and serious adverse events, including conditions that required hospitalization, were queried at baseline and at all subsequent follow-up visits. The child's caregiver was notified by the study pediatrician and study staff of any abnormal physical finding (e.g. a heart murmur, wheeze) or blood pressure measurement obtained outside norms for age, sex and height that were detected on baseline physical examination. An external data safety monitoring committee was also established prior to enrollment of participants in the study.

6. Results

A total of 270 (45% of those potentially eligible) children and one parent were assigned to either the active intervention group ($n = 202$) or the standard primary care group ($n = 68$). Baseline comparisons used independent samples t-tests for continuous variables and chi-square tests for categorical variables. Although no differences between the intervention and standard primary care groups were found with respect to child age ($M = 6.3$ years, SD = 1.1)

or race/ethnicity (79.9% minorities), there were significant group differences in the mean values and distributions for several of the other socio-demographic and psychosocial variables collected (see Table 3). Specifically, families in the intervention group included parents that had more education, reported higher total family income, more were more likely to be married and scored higher on the FACES-IV family functioning enmeshed and disengaged scales. However, results of subgroup analyses that tested for differences between the intervention and standard primary care participants recruited initially (*Clinic Recruitment Phase*, *n* = 98) as compared to those recruited subsequent to changing our recruitment approach which was implemented to eliminate referral bias (*Community Recruitment Phase*, *n* = 172) revealed that most group differences at baseline were among participants recruited initially during the clinic level approach. These analyses were performed in order to better understand the significant group differences described above. Randomization was successful following the changes in recruitment strategies, with all the differences between groups, with the exception of sweetened beverages, eliminated in the *Community Recruitment Phase* (see Table 4).

Children in the intervention and standard primary care groups did not differ with respect to physical measures (see Table 3). Most children recruited for this study were extremely overweight as demonstrated by the overall mean BMI z-score of 2.6. While children with BMIs > 85th percentile were eligible to participate, only 11% of participants had BMIs between the 85th and 95th percentiles, with the majority (88%) of participants having BMIs over the 97th percentile. Twenty-five percent of children had blood pressures in the hypertensive range based on sex, age and height, mean waist circumference was greater than the 90th percentile for 7 year olds [64], and 56% had acanthosis nigricans on physical exam. The average child BMI was 24.5 kg/m2 (SD = 4.1) and most children (88.9%) had a BMI percentile > 95th percentile. The average percent body fat, measured by DXA scan, was 39.3 (SD = 5.4).

Regarding dietary intake, we found no group differences with respect to children's daily consumption of fruits (M = 1.7 servings/day), vegetables (M = 1.1 servings/day), grains (M = 4.7 servings/day) or meats (M = 1.8 servings/day). Compared with children receiving standard primary care, children in the intervention group consumed significantly fewer daily calories (1797.3 kcal versus 2018.4 kcal), which may be attributed to a significantly lower number of servings of sweetened beverages (1.0 versus 1.7 servings/day). Both groups exceeded the daily caloric recommendations of the American Heart Association for this age group.[65]

No differences between the groups in minutes of MVPA were found. All children were extremely physically inactive, showing only 18.6 and 17.8 minutes of moderate to vigorous physical activity (MVPA) per day for intervention and standard primary care groups respectively, as compared to the national recommendations of 60 minutes per day.

7. Discussion

The Team PLAY trial was designed to test the efficacy of a 6-month moderately intense, family-based behavioral intervention, targeting the child-parent dyad, in a majority African American population. This study examines the feasibility of recruiting/enrolling high-risk participants, baseline characteristics of the sample, and the gap this intervention potentially fills.

Recruitment of underserved participants for this trial was more difficult than anticipated. Although effort was placed into recruitment advertisements that promoted healthy lifestyles and healthy growth as opposed to weight loss, there was still a lack of public interest in participating in this type of a program among families with younger (ages 4 to 7 years) and

minority children. Baseline results reveal that while overweight children were eligible to participate; almost 90% of the participants were obese with many of those in the severely obese category. It may be that parents of overweight children did not feel their child needed or would benefit from a weight intervention. Indeed, a study by West and colleagues reported parental perceptions of overweight, particularly in young and African American children, is low.[66] Additionally, among clinicians it is well documented that the use of BMI to assess childhood overweight is low compared to use in the diagnosis of obesity. [7,67] The high rate of those not interested, unable to reach, or who withdrew consent (45%) during screening (Figure 1) speaks to the challenges in treating obesity in at risk families.

Along with overcoming inherent difficulties in recruiting hard to reach populations [68–71], another unique challenge we faced was the initial recruitment approach that relied exclusively on "proactive" methods in off-site locations (*Clinic Recruitment Phase*).[69,72] Specifically, primary care providers located in participating clinics made referrals of their young obese patients during a regularly scheduled check-up. Perceived desire for obesity treatment at these regular doctor visits may have been lower than anticipated, as seen by the high rate of disinterest or inability to participate. Additionally, as described earlier, the initial group randomization scheme used in the *Clinic Recruitment Phase* appeared to lead to an allocation bias based on referrals whereby, despite efforts to maintain blinding, providers preferentially made referrals when their clinic was enrolling into the treatment condition (Intervention Arm). However, differences noted in the baseline characteristics between intervention versus standard primary care using a proactive recruitment virtually disappeared with adoption of the *Community Recruitment Phase* which used both proactive and reactive strategies.

There are several key strengths to this prospective behavioral trial. First, this study has a very young school age population, which represents an understudied subset of children at high risk for developing weight-related health problems. Specifically, we recruited a sample of underserved minority youngsters between the ages of 4 and 7 years, a population in which few intervention studies on healthy growth have been done.[15,16] Treatment to promote healthy growth in overweight and obese children at an early age is critical, as it may improve individuals' health across the life course by preventing obesity. Second, by including parents and family function in the assessment of outcomes, in addition to between-group comparisons of child weight change, we will be able to conduct within-group correlational analyses that may elucidate the relationship between family functioning and treatment outcomes.[14,18]

Another key strength is that most children receive their health care in a primary care setting. Thus, primary care providers are uniquely poised to intervene with efficacious interventions to prevent and treat childhood overweight and obesity. Team PLAY was designed for translation to the primary care setting. The parent and child group sessions could be performed in most healthcare offices. The group design allows more time for education and discussion than an individual office visit and is likely to be more cost-effective. This is supported by several studies that suggest that group well-child care is at least as good as traditional well-child care.[73–75] As previously described, an intervention manual with detailed instructions, including sample scripts, has been developed along with participant materials. While our interventionists included registered dieticians and research assistants with physical activity and teaching backgrounds, professional staff from a primary care setting would likely have the ability to conduct this type of program without extensive additional training.

Lastly, Team PLAY is a moderately intense intervention with a two year follow-up period. As mentioned previously, moderate to high intensity interventions have been found to

produce significant improvements in weight in overweight/obese children.[15] The Bright Bodies trial [25] experience, however, suggests the participation burden of a high intensity program may lead to a high drop out rate. Findings from Team PLAY will provide additional information regarding the intensity required in pediatric overweight and obesity treatment and long-term follow-up will add to the limited information about treatment sustainability in obese minority youngsters.

Limitations

Despite the numerous strengths of Team PLAY, there are also several limitations. Although there is growing evidence for the effectiveness and cost benefit of programs that intervene only on the parent [76,77], this evidence was limited at the conception of Team PLAY and we elected to have complimentary child sessions during the parent sessions. We hypothesized that the fun and interactive nature of the child sessions would appeal to the children who in turn would encourage their parents to attend the sessions and also provide a setting where the child/parent dyad could interact without the distraction of other siblings or family members. Furthermore, by including the children we were able to promote their participation in MVPA at the sessions and observe and instruct the parents as they modeled the physical activities with their children. However, the child sessions added significant cost to the intervention and determining if they contribute significantly to the outcomes (positive or negative) will be difficult. This may have important implications for future translation of Team PLAY.

Furthermore, although the intervention is an efficacy trial designed for translation to primary care, it is not being conducted in a primary care setting and therefore is not measuring feasibility and acceptability in that setting. It requires staffing, intervention resources and space that could limit its translatability to primary care and other settings. Moreover, some of the intervention's strengths could arguably be limitations. Children in the study are young (4–7 years) and the cohort is predominantly African American so the generalizability of the findings to other populations may be limited. The majority of participants are obese, limiting information on the treatment of overweight children. Finally, the intervention had a moderate degree of participant burden and as described in detail above, the difficulties encountered in recruiting minority, underserved, and very young overweight or obese children could make Team PLAY's approach of offering face-to-face family based lifestyle change unfeasible for retention to treatment in some of the most at risk population subgroups.

In summary, evidence is lacking regarding efficacious weight reduction and obesity prevention interventions aimed at young minority children. Data from this trial will provide information regarding factors important to successful implementation of such an intervention. If proven efficacious, Team PLAY would offer a treatment model for early intervention for obese children that might be translated to other settings such as medical, community, school, or faith-based locations.

Acknowledgments

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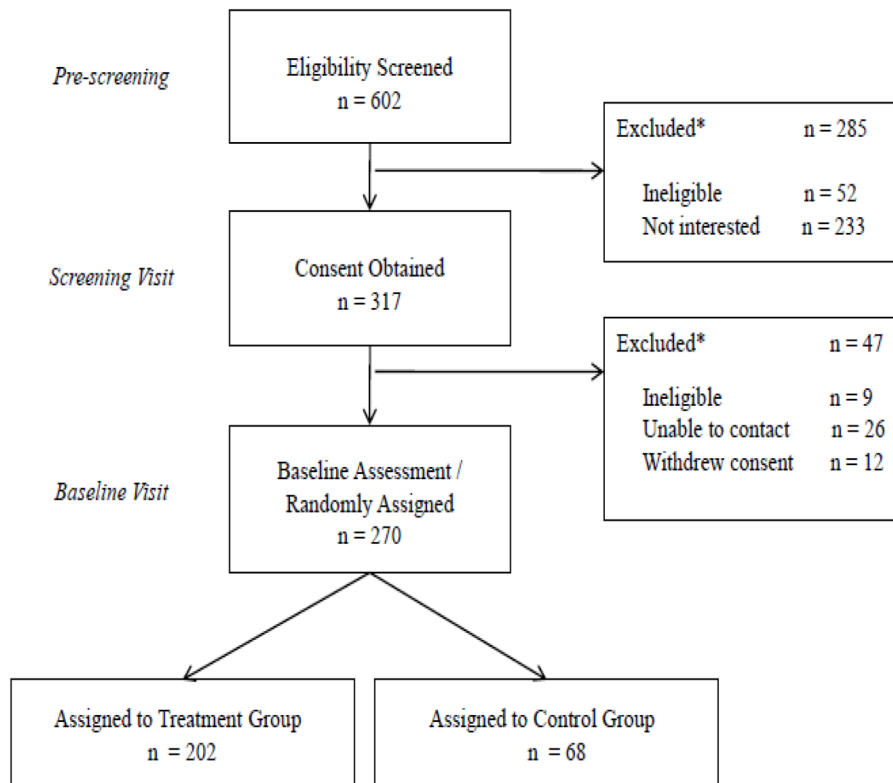
Figure 1.
*Specific reason for exclusions: 52 ineligible by criteria (28: age < 4 or > 7 years, 11: BMI < 85th percentile, 10: language barrier, 1: developmental disability, 1: physical disability, 1: diabetic on meds); 77: no contact; 49: missed multiple visits; 56: scheduling conflict; 10: didn't want to participate in research; 17: participation was too difficult, 16: wrong/bad telephone numbers provided; 8: parent decided that their child didn't need help. **Specific reasons for exclusions: 9 ineligible by criteria (8: BMI < 85th percentile, 1: developmental disability), 26: missed multiple clinic visits/unable to contact; 12 withdrew consent (3: parent/child was uncomfortable with study related activities; 2: moved out of regional area; 2: family issues; 2: scheduling conflict; 2: didn't want to participate in research; 1: child was not compliant)
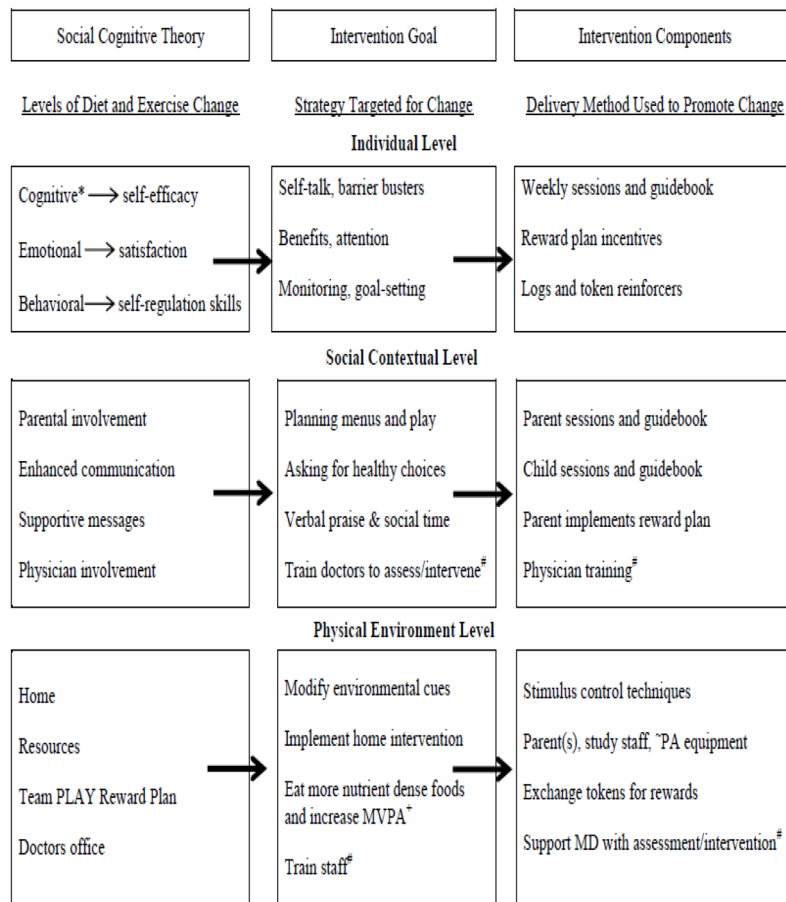
Figure 2.
*Targets change in parents only; #Not part of efficacy trial; – Translational phase only; and
+ Moderate to vigorous physical activity (modified Coday et al., 2002 [62]).

Table 1

Schedule of clinic activities

Activity	SV	BV	FU1	FU2	FU3	FU4
Eligibility assessed	x	x				
Informed consent obtained	x					
Contact information	x	x	x	x	x	x
Demographics	x					
Medical history	x					
Orientation to group assignment		x				
Physical activity questionnaire	x		x	x	x	x
Diet questionnaire	x		x	x	x	x
Psychosocial questionnaires	x		x	x	x	x
Vital signs	x	x	x	x	x	x
Weight/height	x	x	x	x	x	x
Waist and hip circumference	x	x	x	x	x	x
Physical exam		x				
Adherence			x	x	x	x
Interval medical history/adverse events assessed			x	x	x	x
DXA scan1			x	x		x
Accelerometer data collected			x	x	x	x
Parental measures[a]	x	x	x	x	x	x

Note. SV = screening visit. BV = baseline visit. FU = follow-up visits 1–4 1DXA scans included whole body. Females participants who have begun their menstrual cycle were asked to give a urine sample to test for pregnancy prior to scanning.

[a]Parental measures included height, weight, and waist circumference

Table 2

Team PLAY Parent/Child[*]Intervention Content

Session 1	Monitoring Eating and Activity Behaviors	
	•	Goal setting to change eating and activity behaviors
	•	Portion Distortion: Discusses the importance of being aware of the portion sizes their child eats and how to select foods lower in calories using the "Go, Slow, Whoa" system from *We Can*
	•	Introduction to slow and go movement–stabilizing actions and spatial awareness
Session 2	Setting Energy Balance Goals	
	•	Goal setting to change eating and activity behaviors
	•	Calorie balance: Uses Go, Slow, Whoa foods to ensure adequate food intake to meet caloric needs for healthy growth.
	•	Locomotor skills to increase MVPA
Session 3	Cues for Healthful Eating and Activity	
	•	Cues for change around your home
	•	Emphasizes fruit and vegetable consumption to cover the rainbow of colors to ensure intake of vitamins and minerals
	•	Hand-eye coordination in movement
Session 4	Road Map for Change	
	•	Contingency planning to reward healthy behaviors
	•	Fat and fat alone is not the problem: Emphasizes that foods with low nutrient density and high energy density often are foods with a relatively high sugar content
	•	Physical activity opportunities in the home
Session 5	Rewarding Activities	
	•	Rewards and reinforcers – finding what works for you
	•	Focus on Dairy: Emphasis is placed on choosing low fat dairy products such as 1% milk, non-fat yogurt and low fat cheeses.
	•	PLAY on the move-increasing MVPA with implements
Session 6	Family Round Table	
	•	Open communication during mealtime
	•	Going with grains: Emphasizes ways to provide healthy choices of grain and cereal products that have higher fiber, whole grain and lower sugar content.
	•	Large object manipulation
Session 7	Recipes for Success	
	•	Balancing choices to follow a calorie budget
	•	Making mixed foods count / Figuring out the food label: How to read a food label and how it can help to select combination foods that are lower in fat and sugar.
	•	Recipes for MVPA – active family games
Session 8	The Choice is Yours	
	•	Self-talk to facilitate making healthier choices
	•	Sensible snacking: Provides information on how to choose nutritious snacks and how consuming snacks can help to control excess food intake.
	•	Choosy play – setting up obstacle and station play at home
Session 9	Going Healthy Places	

- Environmental accessibility and opportunities in your neighborhood

- ating on the run: Suggests ways to eat sensibly while conducting a busy lifestyle.

- Movement on the go – discovering new physical activity opportunities

Session 10   Support for Change

- Social support from your family

- Shopping strategies: Provides strategies such as using grocery lists, and shopping while children are not hungry as a way to use to buy foods to enhance healthy eating

- Cooperative activities and family games

Session 11   Barrier Busting

- Problem solving barriers to change

- Meal planning: Parents are given meal planning skills as a way to provide a balanced diet that their family will eat and enjoy while controlling excess fat and sugar.

- Partner Activities

Session 12   Creating Confidence with Healthy Habits

- Self-esteem building strategies

- Cooking with class: Basic cooking strategies are presented to provide healthy foods while involving children in the cooking process.

- Rhythm/Balance/Creative Movement

Session 13   The Caution Zone

- Relapse Prevention for overeating and physical inactivity

- Avoiding the eating pitfalls: Covers the common pitfalls that lead to excess food consumption such as eating while watching TV, have family meals and defined times for eating snacks.

- Action concepts for integrated movement around the house

Session 14   Ways to Stay Motivated

- Enjoyment: from being active and eating healthy

- Maintaining the gain: Emphasizes ways to continue the progress made while in the intervention.

- Keep the PA in PLAY- Monitoring family activity

*Cognitive factors in the Social Cognitive Theory model were addressed with parents only

Table 3

Baseline characteristics of Team PLAY participants (mean [SD] or n [%]

Variable	Intervention	Standard Care	t or χ2	All participants
N	202	68		270
Socio-demographic measures				
Child's age in years	6.2 (1.1)	6.5 (1.1)	−1.35	6.3 (1.1)
Number children living in household	2.3 (1.2)	2.4 (1.3)	−0.77	2.3 (1.2)
Child sex, n (%)			5.65*	
Male	83 (41.1)	17 (25.0)		100 (37.0)
Female	119 (58.9)	51 (75.0)		170 (63.0)
Child race/ethnicity, n (%)			1.47	
Caucasian, non-Hispanic	44 (21.8)	10 (14.9)		54 (20.1)
Racial/ethnic minority[a]	158 (78.2)	57 (85.1)		215 (79.9)
Parent partnered status			7.66*	
Married or Living with partner	116 (57.4)	26 (38.2)		142 (52.6)
Single	63 (31.2)	32 (47.1)		95 (35.2)
Separated, Divorced, or Widowed	23 (11.4)	10 (14.7)		33 (12.2)
Parent educational attainment, n (%)			8.13*	
High school diploma or less	44 (21.8)	26 (38.2)		70 (25.9)
Associate's degree or Some college	87 (43.1)	25 (36.8)		112 (41.5)
College graduate	37 (18.3)	11 (16.2)		48 (17.8)
Post-college education	34 (16.8)	6 (8.8)		40 (14.8)
Annual household income, n (%)			10.67*	
< $10,000	41 (20.3)	23 (33.8)		64 (23.7)
$10,000–29,999	53 (26.2)	21 (30.9)		74 (27.4)
$30,000–49,999	40 (19.8)	14 (20.6)		54 (20.0)
$50,000	68 (33.7)	10 (14.7)		78 (28.9)
Physical measures				
% Body fat (DXA)[b]	39.1 (5.5)	39.9 (5.1)	−0.98	39.3 (5.4)
Waist circumference, cm	70.9 (9.0)	71.1 (8.3)	−0.14	71.0 (8.8)
Resting heart rate	84.3 (12.4)	84.1 (11.5)	0.12	84.3 (12.2)

Variable	Intervention	Standard Care	t or χ^2	All participants
N	202	68		270
Acanthosis nigricans, n (% yes)[b]	112 (56.7)	36 (53.7)	0.16	149 (56.0)
Systolic blood pressure, n (%)			0.23	
Normal	136 (68.0)	45 (66.2)		181 (67.5)
Prehypertensive	28 (14.0)	9 (13.2)		37 (12.7)
Hypertensive	36 (18.0)	14 (20.6)		50 (25.4)
Diastolic blood pressure, n (%)			0.40	
Normal	126 (63.0)	40 (58.8)		166 (61.9)
Prehypertensive	25 (12.5)	9 (13.2)		34 (12.7)
Hypertensive	49 (24.5)	19 (27.9)		68 (25.4)
Child BMI (z-score)	2.6 (0.6)	2.5 (0.5)	0.91	2.6 (0.6)
Child body mass index, kg/m^2	24.3 (4.0)	25.1 (4.4)	−1.35	24.5 (4.1)
Child BMI percentile, n (%)			1.69	
BMI 85th to 95th percentile	22 (10.9)	8 (11.8)		30 (11.11)
BMI >95th percentile	180 (89.1)	60 (88.2)		240 (88.9)
Parent body mass index, kg/m^2	36.9 (9.1)	38.6 (9.8)	−1.24	37.3 (9.3)
Dietary intake/day				
Energy, kcal	1797.3 (580.3)	2018.4 (735.5)	−2.19[*]	1852.8 (628.8)
Fruits, servings	1.7 (0.9)	1.6 (0.9)	0.05	1.7 (0.9)
Vegetables, servings	1.2 (0.5)	1.1 (0.6)	0.15	1.1 (0.5)
Dairy, servings	1.8 (0.9)	2.0 (1.0)	−1.67	1.8 (0.9)
Grains, servings	4.8 (2.0)	4.5 (1.9)	0.83	4.7 (2.0)
Meat, servings	1.8 (0.8)	1.9 (0.8)	−0.82	1.8 (0.8)
Sweetened beverages (frequency/day)	0.6 (0.4)	0.8 (0.5)	−3.38[***]	0.7 (0.5)
Sweetened beverages, including juice (frequency/day)	1.3 (0.7)	1.6 (0.8)	−2.33[*]	1.4 (0.8)
Physical activity				
Daily moderate-to-vigorous activity, min	18.6 (12.3)	17.8 (8.9)	−0.47	18.37 (11.51)
Amherst neighborhood environment				
Neighborhood characteristics	5.8 (1.5)	5.4 (1.5)	1.72	5.7 (1.5)
Neighborhood safety	2.6 (1.4)	2.4 (1.4)	0.78	2.5 (1.4)

Variable	Intervention	Standard Care	*t* or χ^2	All participants
N	202	68		270
Access to facilities	3.4 (1.5)	3.2 (1.7)	0.94	3.3 (1.6)
Park distance, miles	3.5 (3.2)	3.8 (4.2)	−0.53	3.5 (3.5)
Park safety	3.8 (1.2)	3.6 (1.2)	1.15	3.7 (1.2)
Park frequency	1.6 (1.0)	1.6 (1.0)	−0.03	1.6 (1.0)
Psychosocial characteristics				
Body Esteem[c]	44.9 (8.9)	45.2 (6.9)	−0.29	45.0 (8.4)
Health Care Climate[d]	56.3 (20.3)	61.8 (21.8)	−1.72	57.5 (20.7)
HBQ child psychosocial adjustment				
Internalizing	0.36 (0.25)	0.40 (0.23)	−1.21	0.37 (0.24)
Externalizing	0.29 (0.21)	0.33 (0.26)	−1.24	0.30 (0.23)
ADHD symptoms	0.71 (0.38)	0.73 (0.37)	−0.47	0.71 (0.38)
Peer relations	2.70 (0.34)	2.70 (0.27)	−0.03	2.70 (0.32)
Social withdrawal	0.51 (0.30)	0.59 (0.32)	−1.86	0.53 (0.33)
Prosocial behavior	1.31 (0.37)	1.33 (0.38)	−0.44	1.32 (0.38)
FACES-IV family functioning				
Cohesion	29.5 (4.4)	28.6 (4.2)	1.39	29.3 (4.3)
Flexibility	21.0 (5.0)	21.0 (4.4)	−0.03	21.0 (4.8)
Chaotic	13.4 (5.6)	14.1 (5.4)	−0.94	13.5 (5.6)
Enmeshed	12.0 (4.7)	14.1 (5.3)	−3.02[**]	12.5 (5.0)
Disengaged	11.3 (5.1)	13.3 (4.8)	−2.85[**]	11.8 (5.1)
Rigid	17.8 (4.9)	18.6 (5.8)	−1.16	17.9 (5.1)
Family communication	39.1 (7.4)	39.7 (5.9)	−0.62	39.3 (7.0)
Family satisfaction	37.0 (7.9)	37.0 (6.5)	−0.02	37.0 (7.5)

Note.

[*] $p < .05$,

[**] $p < .01$,

[***] $p < .001$.

[a]73.7% African American (199/270), 3.3% Hispanic (9/270), and 2.9% other (8/270).

[b]Missing data for 7 participants.

[c]Does not include 4-year-old participants.

[d]This measure was added after enrollment had begun, resulting in missing data for 36 participants.

Table 4

Baseline characteristics of Team PLAY participants (mean [SD]) or n [%], by recruitment site

Variable	Clinic ($n = 98$)			Community ($n = 172$)		
	Intervention ($n = 61$)	Standard Care ($n = 37$)	t or $\chi 2$	Intervention ($n = 141$)	Standard Care ($n = 31$)	t or $\chi 2$
Socio-demographic measures						
Child's age in years	5.9 (1.1)	6.6 (1.0)	-2.94^{**}	6.4 (1.1)	6.3 (1.1)	0.41
Child sex, n (%)			3.87			1.57
Male	25 (40.1)	8 (21.6)		58 (41.1)	9 (29.0)	
Female	36 (59.0)	29 (78.4)		83 (58.9)	22 (71.0)	
Parent partnered status			4.56			0.69
Married or Living with partner	28 (45.9)	9 (24.3)		88 (62.4)	17 (54.8)	
Single	27 (44.3)	23 (62.2)		36 (25.5)	9 (29.0)	
Separated, Divorced, or Widowed	6 (9.8)	5 (13.5)		17 (12.1)	5 (16.1)	
Parent education level, n (%)			10.91^{*}			1.16
High school diploma or less	18 (29.5)	22 (59.5)		26 (18.4)	4 (12.9)	
Associate's degree/Some college	26 (42.6)	12 (32.4)		61 (43.3)	13 (41.9)	
College graduate	11 (18.0)	3 (8.1)		26 (18.4)	8 (25.8)	
Post-college education	6 (9.8)	0 (0.0)		28 (19.9)	6 (19.4)	
Annual household income, n (%)			11.03^{*}			3.55
<$10,000	16 (26.2)	20 (54.1)		25 (17.7)	3 (9.7)	
$10,000–29,999	22 (36.1)	12 (32.4)		31 (22.0)	9 (29.0)	
$30,000–49,999	10 (16.4)	4 (10.8)		30 (21.3)	10 (32.3)	
$50,000	13 (21.3)	1 (2.7)		55 (39.0)	9 (29.0)	
Physical measures						
BMI z-score	2.8 (0.7)	2.5 (0.5)	2.65^{*}	2.5 (0.6)	2.5 (0.6)	-0.44
Dietary measures						
Energy, kcal	1981.0 (612.9)	2151.9 (822.9)	-1.13	1719.1 (549.9)	1867.2 (600.0)	-1.31
Sweetened beverages (frequency/day)	0.7 (0.4)	0.9 (0.5)	-2.18^{*}	0.6 (0.5)	0.8 (0.5)	$-1.94^{\dagger}$
Sweetened beverages, including juice (frequency/day)	1.4 (0.7)	1.7 (0.8)	$-1.80^{\dagger}$	1.3 (0.7)	1.4 (0.8)	-0.91
Psychosocial measures						

Variable	Clinic (n = 98)			Community (n = 172)		
	Intervention (n = 61)	Standard Care (n = 37)	t or χ2	Intervention (n = 141)	Standard Care (n = 31)	t or χ2
FACES-IV enmeshed scale	12.9 (5.1)	15.7 (5.5)	−2.63*	11.6 (4.6)	12.1 (4.3)	−0.51
FACES-IV disengaged scale	12.7 (6.1)	15.4 (4.7)	−2.25*	10.6 (4.5)	10.8 (3.7)	−0.19

Note.

†
p <.10,

*
p <.05,

**
p <.01.