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Case Study of Quantifying Energy Loss through Ceiling-Attic Recessed Lighting Fixtures through 3D Numerical Simulation

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1 **A Case Study of Quantifying Energy Loss through Ceiling-Attic Recessed**
2 **Lighting Fixtures through 3D Numerical Simulation**

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8 **Abstract:** Air leakage through improperly installed recessed lighting fixtures has been
9 identified as a common issue causing extra energy consumption of residential buildings.
10 However, little quantitative study was found in this area. In this paper, a preliminary
11 evaluation of the magnitude of such energy loss was conducted by numerical
12 simulations using 3D transient CFD model. A typical layout of recessed lighting
13 fixtures was used in this case study with boundary conditions in four different seasons,
14 which were obtained from past measured roof/attic temperature data sets. The results
15 of the numerical simulations indicate that leakage of recessed lighting fixtures could be
16 a very significant channel of energy loss in attic related residential buildings, especially
17 in summer and winter time.

18 **Keywords:** energy loss; air leakage; recessed lighting fixtures; attic, CFD

19 **Introduction**

20 Unintended air leakage through buildings' envelopes is one of the common issues
21 negatively affecting the energy performance of residential buildings in the U.S. Air
22 leakage through improperly installed/designed recessed lighting fixtures (RLF) is one
23 of such leakage channels. With understanding the issue Washington State firstly revised
24 its building codes and required all recessed lighting to be strictly air tight (Washington
25 State Building Code Council 2009). The code demanded that air leakage rate of RLF
26 should not exceed 2 CFM (Cubic feet per minute) at 75 Pascals pressure difference,
27 which provided the manufacturers with needed references. Later on, International
28 Energy Conservation Code (IECC) and California also enacted a series of
29 corresponding provisions to regulate the industry standards (International code council
30 2012; California Energy Commission 2013). Although retrofit projects are not required
31 to comply with all the new provisions, implementing these requirements in existing
32 homes is recommended.

33 Through physical tests, such as the blower door test and infrared camera techniques,
34 the leaking areas can be detected. However, it is difficult to estimate the quantities of
35 the energy loss through RLF, especially for RLFs mounted underneath the ventilated
36 attics, due to the lack of understanding how airflow works under such conditions. As a
37 result, little quantitative studies were found on even ball-park estimate of energy loss
38 through the improperly installed or designed RLF.

39 The goal of this research is to provide a quantitative evaluation of the impact of air
40 leakage through RLFs on the energy performance of residential buildings through a

41 case study. For simplicity, the case study only considers the situations in which the light
42 bulbs are not on. But as the authors understand that due to chimney effect when light
43 bulbs are on air flow mass will increase significantly, which is considered as a worse
44 case scenario. The light-bulb-on cases can be to be studied in the further research.

45 In this paper 3D numerical models were used to simulate the air leakage under
46 different weather conditions, and to provide a rough estimate of the energy loss caused
47 by air leakage through non-IC (Insulation Contact) rated RLFs in terms of magnitude
48 in four different seasons in the Mixed-Humid zone in the U.S. The selection of studying
49 non-IC rated RLFs is due to its large amount in US housing inventory, especially in
50 older houses. The temperature boundary conditions of attics used in this study were
51 provided by USDA's Forest Products Lab (Winandy et. al 2000).

52 **Related Studies**

53 The airtightness is often a stringent requirement for building construction. In an
54 investigation of the air leakage problem in existing buildings, Persily (2004) evaluated
55 a set of 209 dwellings that represent 80% of U.S housing stock to generate frequency
56 distributions of residential infiltration rates. The result of the study indicates that in the
57 U.S residential buildings have become more air tight since 1940. Similarly, Chan et al.
58 (2003) found that the older and smaller houses commonly have higher normalized
59 leakage areas compared to newer and larger ones. The impact of air leakage on heating
60 and cooling loads is significant (Younes, et al, 2011; Jokisalo, J. et. al 2008), especially
61 during winter and summer, when building envelope leakage of residential building

62 could lead to a increases as much as 30-40% of the heating loads and 10-15% of the
63 cooling loads (Emmerich, S. J. 2005).

64 One common air leakage area is from indoor space to attic space though the canister
65 vents of RLFs, even when the lighting trim is properly sealed (Baker, J., and Lugano,
66 F. 1999; Plympton, P. C et. al 2007; Savers, E. 2006; Van der Meer, 2002). Some
67 serious leakage could even cause ice dam issue. (Armando, L and. McCarthy, S., 2000).
68 In most studies, the potential air leakage areas of the building were usually detected
69 using an infrared thermographic technique during the blower door test (Sherman and
70 Dickerhoff 1998; Balaras and Argiriou 2002). However, very limited quantitative
71 studies was found on evaluating the impact of air leakage from RLF on buildings'
72 energy performance. Numerical methods such as Computational Fluid Dynamics (CFD)
73 became practical in simulating the airflow behavior in both residential and commercial
74 applications (Younes et al., 2012) due to the significant improvement of computation
75 technology from both hardware and software. Compared to experimental study, CFD
76 methods exhibited many advantages in solving air distribution and ventilation related
77 problems in the attic l (Wang, S.et al. 2012; Wang, S. and Shen, Z. 2012^{a, b}).

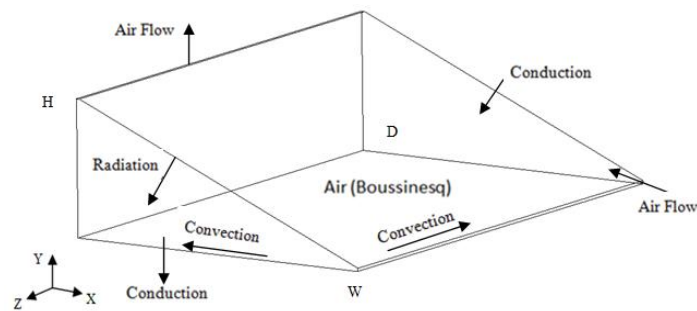
78 **Methodology**

79 *Numerical model*

80 A 3D transient CFD model using commercial software ANSYS Fluent 13.0 was
81 built with boundary conditions from four different seasons. Although the 3D attic

82 geometry model is hypothetical, the weather and temperature boundary conditions are
83 from the actual recorded data by Mississippi Forest Products Laboratory in Starkville,
84 Mississippi in 1999. With these boundary conditions, energy exchange within this attic
85 due to these RLFs was simulated in this case study.

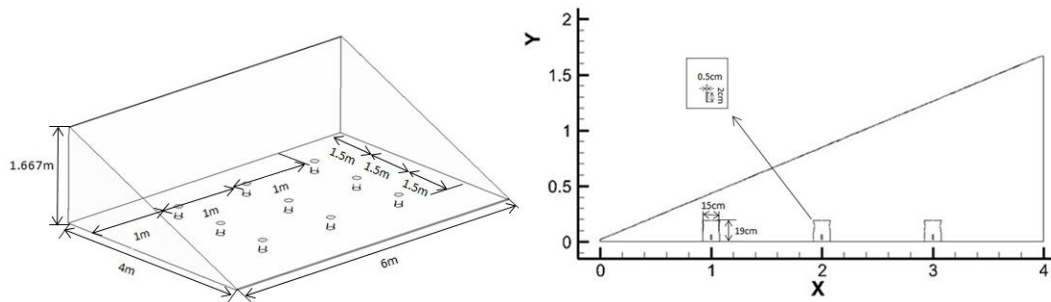
86 Owing to the buoyancy stableness of the attic ventilation, as well as the
87 symmetrical nature in both geometry and boundary conditions, only a quarter of attic
88 with 9 RLFs is assumed as the computational domain to reduce computational time.
89 The schematic of heat transfer mechanisms in ventilation attic is shown in Fig. 1. Both
90 the convection and radiation are considered in the simulation. To simplify the
91 simulation, the computational domain is only occupied by air, which is assumed to be
92 a Boussinesq fluid with a reference temperature T_0 (specified as the outdoor ambient
93 air temperature to adjust the buoyancy effects in the simulation). The pressures at the
94 soffit inlets and ridge vent outlet are specified to be zero gauge (no wind effect).
95 Therefore, the obtained air flow is purely driven by the thermally induced buoyancy
96 forces, i.e., the stack effect. At the soffit inlet, the air is assumed to be ambient air and
97 a turbulent intensity level of 1% (Wang et al. 2012; Wang and Shen 2012^{a,b}).



98

99 **Fig. 1.** Schematic of the heat transfer mechanisms in the ventilated attic

100 The detailed schematic diagram of the RLFs is shown in Fig. 2. The length, width,
101 and height of the attic simulated in the model are 6 m, 4 m, 1.677 m respectively,
102 corresponding to a roof pitch value of 5/12. There are 9 RLFs in the simulated model,
103 which is in accordance with the RFL installation guide from Home Depot (Home Depot
104 2015). All the recessed light cans are assumed to be non-IC rated to meet the goal of
105 this investigation. The dimensions of the cans were obtained from an actual non-IC
106 rated product bought from Home Depot. The can have four 0.5 cm x 2 cm openings of
107 air leak surround the recessed lighting can (Fig. 3) for heat dissipation generated by the
108 lighting bulb when it is on. The diameter of each lighting can is 15 cm. As a result, the
109 ratio of canister areas V.S. total ceiling area is 0.68: 100. The roof and vertical wall are
110 assumed to be made of 3cm thick ply wood while the ceiling is assumed to be 0.27 cm
111 thick gypsum board covered with 15 cm thick fiber insulation.



112

113 **Fig. 2.** Geometry of an attic with recessed lighting



114

115 **Fig. 3.** The sample recessed lighting can

116 Natural ventilation through the attic is assumed in this case. Ventilation ratio refers
 117 to the net free area, such as soffit and ridge vent regions, divided by the deck area of
 118 the attic (Wang et al., 2012). In this case, the ventilation ratio is set to be 1/200. The
 119 insulation level represented by R-value as well as emissivity is also considered in this
 120 model as shown in Table 2. All the bounding surfaces in the attics are subjected to the
 121 conduction heat transfer. Besides, convection-type boundary conditions are applied to
 122 ceiling, roof and vertical wall. In addition, surface-to-surface type radiation boundary
 123 conditions are applied to both roof and vertical wall.

124 **Table 2.** Boundary conditions

	Thermal Conductivity	Emissivity
Roof	R-1.2 (4.733W/m ² K)	0.85
Vertical Wall	R-1.2 (4.733W/m ² K)	0.85
Ceiling	R-20 (0.284W/m ² K)	/

125 In the attic space, every surface exchanges heat with every other surface through
 126 radiation. The energy reflected from surface k is

127
$$q_{out,k} = \varepsilon_k \sigma T_k^4 + (1 - \varepsilon_k) q_{in,k} \quad (1)$$

128 where $q_{out,k}$ is the energy flux leaving the surface; ε_k is the emissivity; σ is
 129 Boltzmann's constant; $q_{in,k}$ is the energy flux incident on the surface from the
 130 surroundings.

131 The amount of incident energy upon a surface from another surface is the direct
 132 function of the surface-to-surface view factor. The view factor F_{ij} between two finite
 133 surfaces i and j is given by:

$$134 \quad F_{ij} = \frac{1}{A_i} \int_{A_i} \int_{A_j} \frac{\cos \theta_i \cos \theta_j}{\pi r^2} \delta_{ij} dA_i dA_j \quad (2)$$

135 where δ_{ij} is determined by the visibility of dA_j to dA_i . $\delta_{ij}=1$ if dA_j is visible to dA_i
 136 and 0 otherwise.

137 From the view factor reciprocity relationship, the energy flux incident on the surface
 138 from the surroundings can be expressed as

$$139 \quad q_{in,k} = \sum_{j=1}^N F_{kj} q_{out,j} \quad (3)$$

140 Therefore,

$$141 \quad q_{out,k} = \varepsilon_k \sigma T_k^4 + (1 - \varepsilon_k) \sum_{j=1}^N F_{kj} q_{out,j} \quad (4)$$

142 The air flow dynamics was governed by the momentum and mass conservation
 143 equations as below.

$$144 \quad \text{Mass:} \quad \rho_f \Delta \cdot \mathbf{v} = 0 \quad (5)$$

$$145 \quad \text{Momentum:} \quad \rho_f \frac{\partial \mathbf{v}}{\partial t} + \rho_f ((\mathbf{v} - \dot{\mathbf{d}}_f) \cdot \nabla) \mathbf{v} = \nabla \cdot \boldsymbol{\tau}_f + \mathbf{f}_f^B \quad (6)$$

146 where ρ_f is the fluid density, $\boldsymbol{\tau}_f$ is the fluid stress tensor, \mathbf{f}_f^B are the body forces
 147 per unit volume, \mathbf{v} is the fluid velocity vector, $\dot{\mathbf{d}}_f$ is the moving coordinate velocity

148 and $\mathbf{v} - \dot{\mathbf{d}}_f$ is the relative velocity of the fluid with respect to the moving coordinate
149 velocity.

150 The turbulence model employed in this study is *k-kl- ω* transition model (Walter
151 and Cokljat 2008), which is an eddy-viscosity turbulence model based on the k- ω
152 framework and includes laminar kinetic energy to represent the pre-transitional
153 fluctuations in boundary layers. The pressure and velocity coupling is solved by the
154 coupled algorithm with the second order scheme of pressure. The third-order MUSL
155 scheme is adopted for the discretization of all the variables other than pressure. The *k-*
156 *kl- ω* model was validated in similar attic settings by Wang et al. (2012)

157 The 3D model consists of about 200,000 to 600,000 hex elements owing to the
158 geometry size difference in each model. A refined boundary layer consists of four layer
159 elements is added at the bottom side of roof. All the calculations start from initial
160 conditions of zero velocity and uniform temperature. The time step size is 1s with 20
161 iterations in each step. Numerical experiments show that decreasing the time step to 0.5
162 s or requiring 40 iterations in each time step generate negligible difference in solutions.
163 The simulation converges with energy residual less than 10e-6 after about 3500 time
164 steps.

165 ***Roof temperature collection***

166 The roof and ambient temperatures employed in the case study refer to the
167 temperature data recorded by Mississippi Forest Products Laboratory in Starkville,
168 Mississippi, in 1999 (Winandy, Barnes, and Hatfield, 2000). According to Building

169 America climate zone divisions, Starkville is located in the Mixed-Humid zone and has
170 relatively moderate weather conditions in winter and hot weather conditions in summer.

171 To reduce the computational cost, only one typical day for each season is selected
172 from the temperature observation period. According to the climate statistics, the coldest
173 and hottest days of the year usually appear in January and July in this region. Data in
174 this two months was used to represent the winter and summer seasons in this study.
175 Meanwhile, April and October, which have more mild temperatures, represent the
176 spring and fall. The variances of temperature data in each hour of the four months are
177 calculated and summarized. The smallest daily total variances are identified for each
178 month. The days which had the closest daily temperatures to their monthly average
179 were January 7th, April 28th, July 10th, and October 29th respectively in 1999. Thus
180 these four days are selected to be a representative day of the corresponding season. And
181 the temperature profile of roof and ambient temperature in the days was employed as
182 the boundary conditions in the 3D CFD model.

183 In order to find the time series approximate functions of the 24-hour temperature
184 profiles, the recorded roof and ambient temperature data in the four selected days are
185 fitted into a four series Gaussian function respectively as listed in (7) using nonlinear
186 least square fit method in Matlab. The R-squares are all above 0.99 which indicate a
187 good match between the recorded data and the fitted data. The fitted functions then
188 were applied to the 3D model as the boundary conditions.

$$f(x) = a_1 e^{-\left[\frac{(x-b_1)}{c_1}\right]^2} + a_2 e^{-\left[\frac{(x-b_2)}{c_2}\right]^2} + a_3 e^{-\left[\frac{(x-b_3)}{c_3}\right]^2} + a_4 e^{-\left[\frac{(x-b_4)}{c_4}\right]^2} \quad (7)$$

where x is time (s) and starts from 14400 s for each condition, to allow four hours before the start of each day are taken into account in the function fitting so as to improve the accuracy of the results; $f(x)$ is in terms of “K”; $a_1, a_2, a_3, b_1, b_2, b_3, c_1, c_2, c_3$ are constants, where $a, b,$ and c represent amplitude, centroid and peak width respectively of the temperature curves. The results of the fitting are in Table 1.

Table 1. Value of constants in the fitted temperature functions

	7-Jan		28-Apr		10-Jul		29-Oct	
	Roof	Ambient	Roof	Ambient	Roof	Ambient	Roof	Ambient
a₁	13.51	252	10.87	301.4	51.63	196.8	22.69	289.8
a₂	285.9	217	11140	132.3	314.9	261.2	291.5	237
a₃	35.8	98.37	13.7	49.89	-34.07	228	35.13	14.13
a₄	4.43	230.1	39.41	9.165	271.4	53.88	42.58	132.6
b₁	61780	55930	51610	63310	59380	99630	51560	64130
b₂	91740	-13690	-12610000	-13270	115100	-9025	-40010	-14710
b₃	-16730	18630	94350	111300	102400	53250	105600	22340
b₄	26920	116900	61620	24230	-8697	109000	64120	115500
c₁	10750	44380	4127	90510	18140	35930	8581	56780
c₂	250200	27790	6614000	31750	73740	44910	371500	40910
c₃	35550	25010	24550	21120	23980	42200	33230	15760
c₄	20280	39890	14190	14000	72400	13210	13000	26740

196 *Data processing*

197 The mass flow rates in the recessed lighting and the heat transfer rates in the ceiling
 198 are calculated in CFD based on equation (5, 6) and exported from the simulation results.
 199 Then by using equations (8, 9), the energy loss rate of RLFs can be estimated.

$$200 \quad m = V_m \Delta t \quad (8)$$

201
$$q_r = \frac{cm\Delta T}{\Delta t} = cV_m\Delta T_1 \quad (9)$$

202 where c is the specific heat capacity constant (J/kg•K) which equals to 1006 for the air;
 203 m is mass (kg); and ΔT_1 is the indoor and outdoor air temperature difference (K); Indoor
 204 air temperature equals to 293 K in winter, 297 K in summer, and 295 K in spring and
 205 fall; Δt is the duration of the energy loss(s); V_m is the mass flow rate of air (kg/s); q_r is
 206 the heat transfer rate of the RLFs(W);

207 The conduction heat transfer rate of ceiling can be calculated by the following
 208 equation:

209
$$q_c = h_c A \Delta T_2 \quad (10)$$

210 where q_c is the heat transfer rate of ceiling (W); A is the heat transfer area of the surface
 211 (m^2); h_c is convective heat transfer coefficient of the process which equals to 0.284
 212 (W/m^2K); ΔT_2 is temperature difference between the surface and the bulk fluid (K).

213 The energy loss from the RLFs and the ceiling can be estimated by the following
 214 equation:

215
$$Q_r = \frac{q_r \times \Delta t}{1055 J/BTU} \quad (11)$$

216
$$Q_c = \frac{q_c \times \Delta t}{1055 J/BTU} \quad (12)$$

217 Where Q_r is the energy loss from the RLFs (BTU); Q_c is the energy loss from the ceiling
 218 (BTU)

219 While the percentage of energy loss from RLFs can be calculated by

220
$$RLFs \% = \frac{Q_r}{Q_c + Q_r} \quad (13)$$

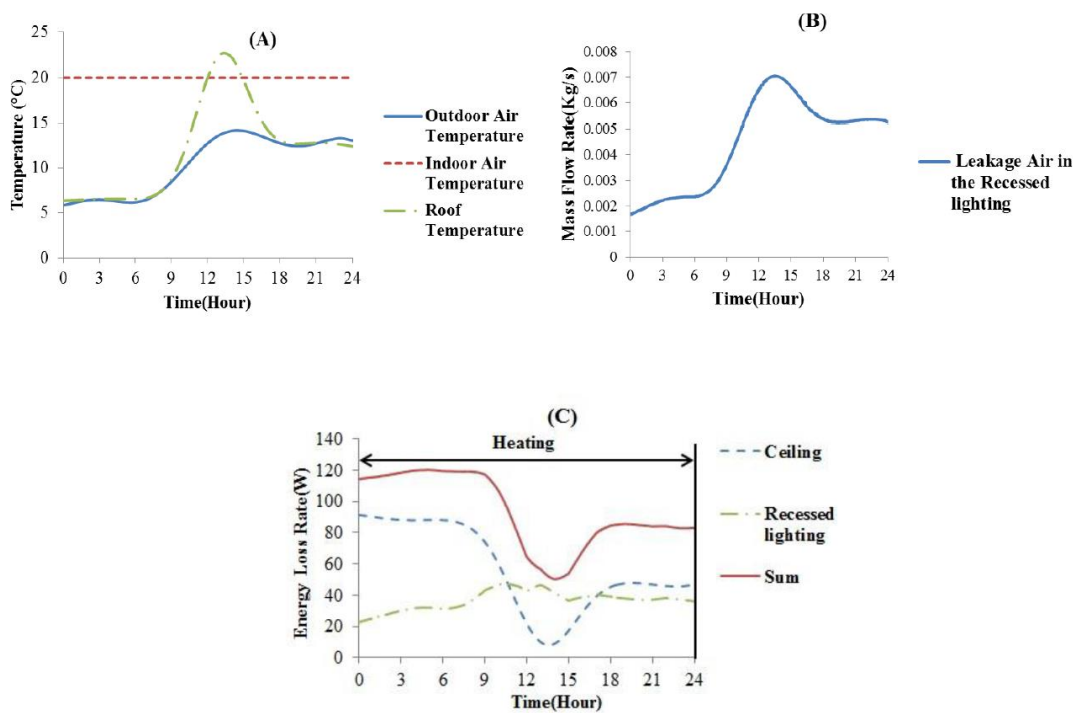
221 **Results and discussion**

222 *Winter condition*

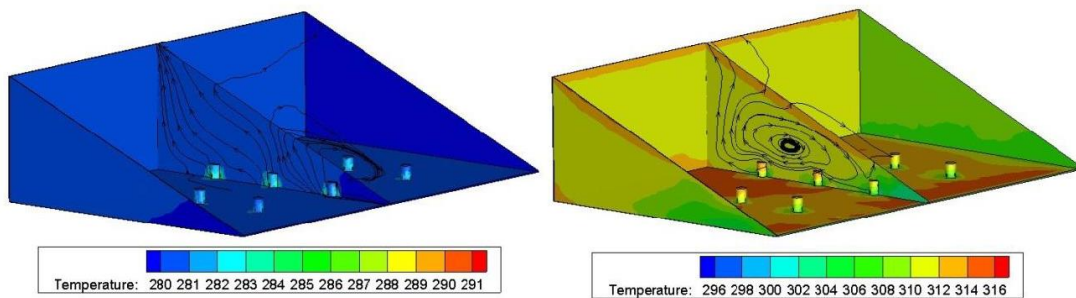
223 The 24 hour attic energy loss shows different characteristics in each season. As
224 listed in equation (10), the energy loss rate of ceiling in this case study is determined
225 by the temperature gradient at two sides of ceiling multiplied by two constant values
226 for this case study. The temperature of the lower side of the ceiling is a constant value
227 (293K), while the temperature of the upper side of the ceiling is determined by both
228 radiation and air convection. In the nighttime, as shown in Fig. 4-A, the roof
229 temperature has very small discrepancy with the outdoor temperature which results in
230 a very low radiation effect. Thus, the temperature of upper side of the ceiling is mainly
231 dominated by air convection. Since the difference between the outdoor and indoor air
232 temperature is bigger in the nighttime, the energy loss from the ceiling exhibits a
233 relatively high value (Fig. 4-C). In the daytime, as the roof temperature becomes higher
234 than the outdoor temperature (Fig. 4-A), the radiation from the roof gradually increases.
235 In the meantime, the difference between the indoor air and outdoor air temperature
236 decreases. As a result, the energy loss from the ceiling decreases to a relatively low
237 value in the daytime.

238 However, as shown in Fig. 4-C, the energy loss rate of recessed lighting has an
239 adverse effect. As listed in equation (9), the energy loss from the recess lighting is
240 determined by the mass flow rate of the air leakage which is calculated by equation (5,

241 6), as well as the indoor and outdoor air temperature difference. Although the
 242 temperature difference is smaller in the daytime, the energy loss rate of recess lighting
 243 is larger than that in the nighttime. This is due to the fact that the rise of the roof
 244 temperature intensifies the soffit-ridge ventilation which results in the increase of the
 245 mass flow rate of the leakage air in the recess lighting, as shown in Fig. 4-B. The
 246 streamlines and contour of temperature of the recessed lighting are shown in Fig. 5.



247
 248 **Fig. 4.** Temperature data (A), mass flow rate of the leakage air in recessed lighting (B),
 249 and 24 hour attic energy loss (C) on 1/7/1999

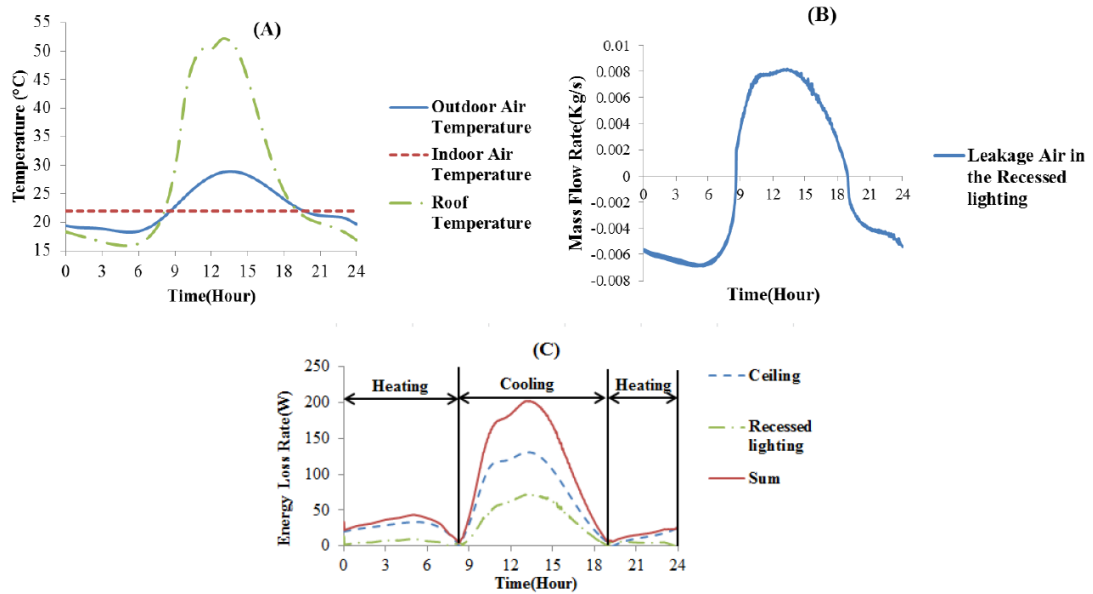


250

251 **Fig. 5.** Streamlines and contour of temperature in the nighttime (left) and in the daytime
252 (right) on 1/7/1999

253 *Spring condition*

254 In spring, the energy consumption for maintaining the indoor air temperature is
255 from both the heating load and the cooling load (Fig. 6-C). Other than the mass flow
256 rate in January, which is positive anytime, the mass flow rate in April appears negative
257 in the nighttime, as shown in Fig. 6-B. This means that rather than in January when the
258 indoor air enters through the bottom of the canister, rises up and goes out from the ridge
259 vent (Fig. 5), the air flow is in an opposite directions during the nighttime in spring (Fig.
260 6-A). The primary cause of the phenomena is that the roof temperature becomes lower
261 than the outdoor temperature. Compared with the heating effect of the ceiling, the
262 cooling effect of the roof is more intense. As a cold source, the roof reduces the outdoor
263 ambient air temperature, and increases the density of the air, which makes the outdoor
264 air flow into the attic and then leaks into the home from recessed lightings. However,
265 the outdoor air and roof temperature difference is relatively small, thus the energy loss
266 amount from the recessed lighting is small during this time compared to winter time.

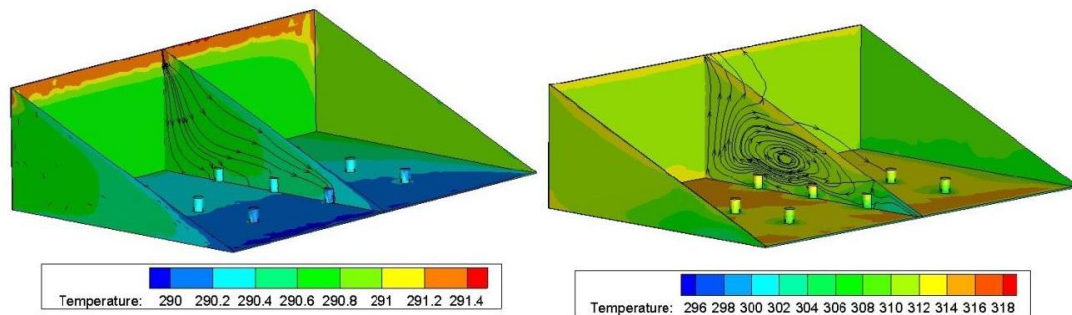


267

268 **Fig. 6.** Temperature data (A), mass flow rate of the leakage air in recessed lighting (B),

269 and 24 hour attic energy loss (C) on 4/28/1999

270



271

272 **Fig. 7.** Streamlines and contour of temperature in the nighttime (left) and in the daytime

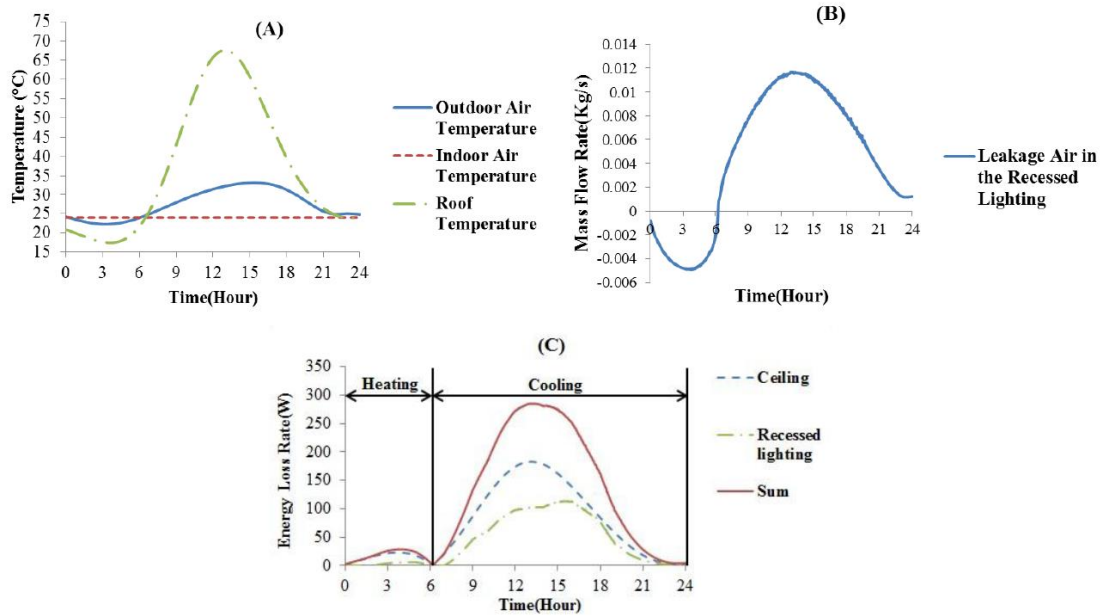
273 (right) on 4/28/1999

274 In the daytime, as the roof temperature rises higher than the outdoor temperature,
 275 the roof becomes a heating source which increases the temperature of the air in the attic
 276 and decreases the air density. This makes the indoor air rise, and leak into the attic and

277 form a convection cell, as shown in Fig. 7 (Right). In addition, other than in January,
278 the energy loss from the ceiling becomes more significant in the daytime in April (Fig.
279 6-C), which is due to the bigger indoor and outdoor temperature difference (Fig. 6-A).

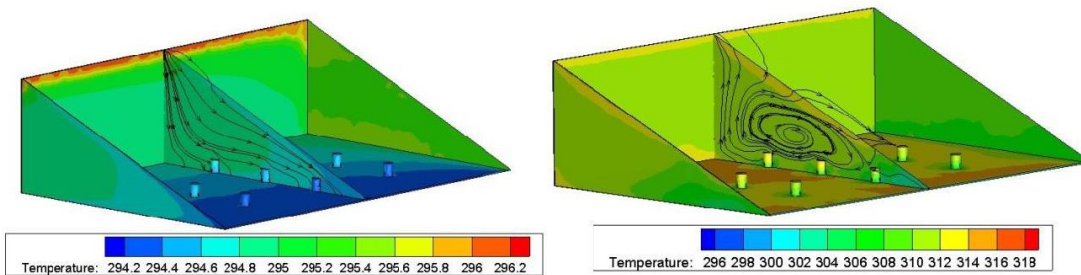
280 *Summer condition*

281 Due to the warm climate in the Mixed-Humid zone, the feature of the energy loss
282 in summer is generally similar to that in spring. However, the energy loss rate for both
283 ceiling and recessed lighting becomes bigger. In addition, the duration of the heating
284 time is shorter. Even though the indoor air temperature set in the case study is only a
285 little higher than the outdoor air temperature from 12 a.m. to 6 a.m. as shown in Fig. 8-
286 A, this temperature difference can result in the emergence of the heating load (Fig. 8-
287 C). As the outdoor air and roof temperatures gradually fall down after 1 p.m., the
288 cooling load also begins to reduce. When close to 12 p.m., as the outdoor and roof
289 temperature approach the indoor temperature, the energy loss rate of both ceiling and
290 recessed lighting, becomes close to zero. Thus, the heating load appears when the
291 outdoor air and roof temperatures are lower than the indoor temperature even though it
292 is in summer.



293

294 **Fig. 8.** Temperature data (A), mass flow rate of the leakage air in recessed lighting
 295 (B), and 24 hour attic energy loss (C) on 7/10/1999



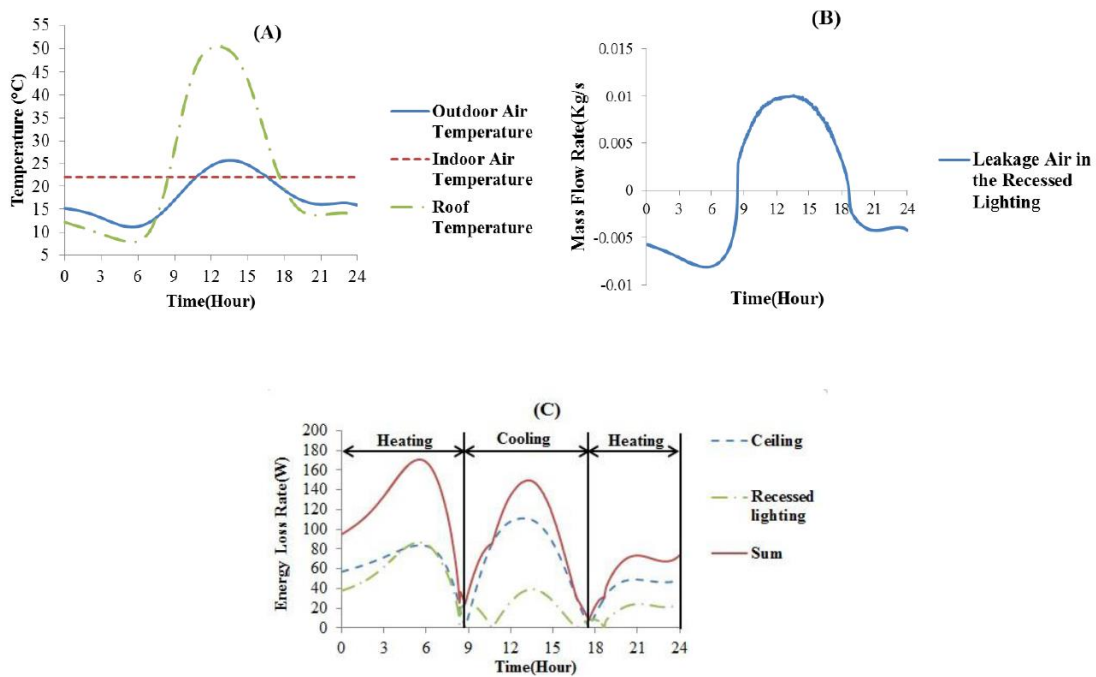
296

297 **Fig. 9.** Streamlines and contour of temperature in the nighttime (left) and in the
 298 daytime (right) on 7/10/1999

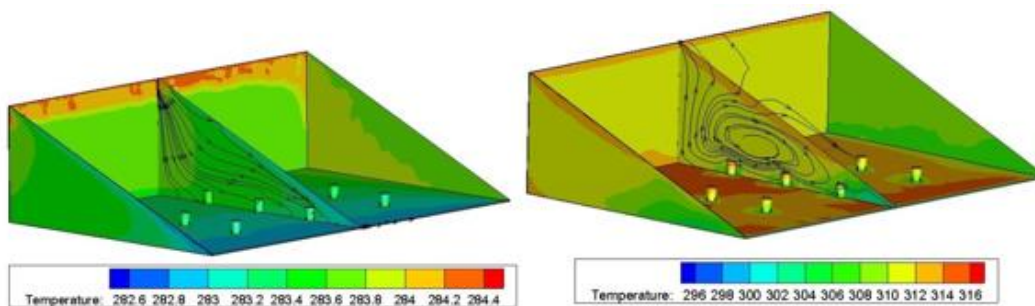
299 ***Fall condition***

300 As shown in Fig. 10-C, the curve of the energy loss rate of the recessed lighting
 301 shows more fluctuations than that in the other seasons. This is due to the fact that the
 302 outdoor air and the roof temperatures reach the value of the indoor air temperature at

303 different times respectively. As the mass flow rate of the leakage air in the recess
 304 lighting approaches zero, the energy loss from the recessed lighting tends to be zero at
 305 the first time. In addition, when the other energy loss determinant, the indoor and
 306 outdoor air temperature difference, as listed in Equation (6), reaches zero around 10:30
 307 a.m., the energy loss from the recessed lighting falls to zero at the second time.



308
 309 **Fig. 10.** Temperature data (A), Mass Flow Rate of the Leakage Air in Recessed
 310 Lighting (B), and 24 Hour Attic Energy Loss (C) on 10/29/1999



311

312 **Fig. 11.** Streamlines and contour of temperature in the nighttime (left) and in the
313 Daytime (right) on 10/29/1999

314 The 24 hour energy losses from the nine RLFs and the ceiling in each season that
315 are calculated by equation (11, 12), and the percentages of energy loss from the RLFs
316 which are calculated by equation (13) are summarized in Table 3. As shown in the table,
317 the energy losses caused by the RLFs in the four seasons are all significant. In winter
318 and summer, under the impacts of the energy loss from the recessed lighting and ceiling,
319 the heating load and cooling load reach their highest points respectively (Fig. 4-C, Fig.
320 8-C). Even though in spring and fall when the climate in the Mixed-Humid zone is
321 relatively moderate, the energy loss from the recessed lighting is still considerable. As
322 shown in Fig. 12, the percentages of the energy loss from recessed lighting are all above
323 thirty percent all over the year and as high as eighty percent in winter, which
324 numerically verifies the energy impact of the air leakage problem in the recessed
325 lighting.

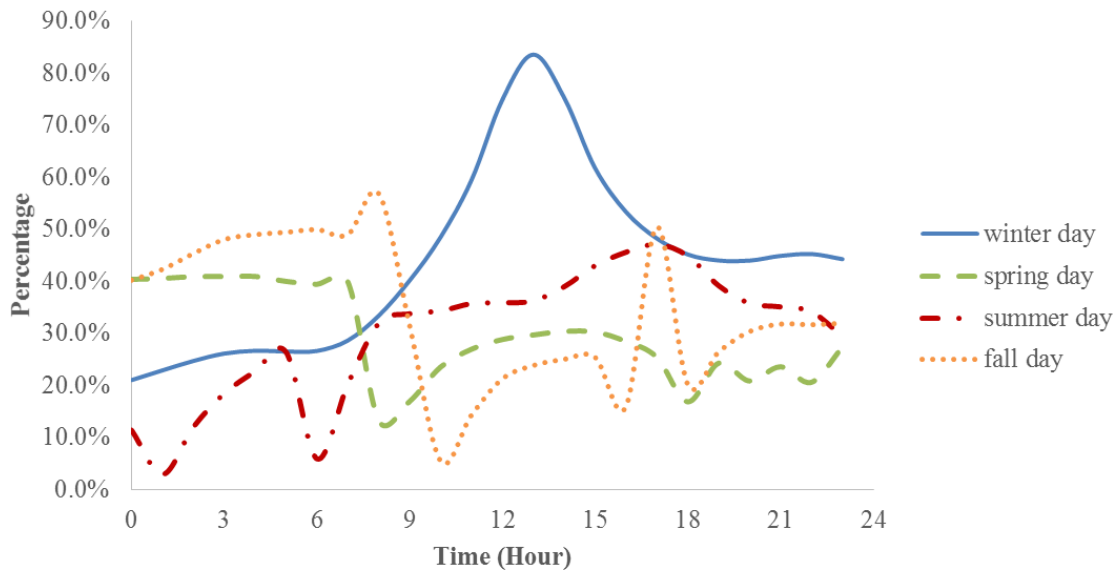
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Table 2. Hourly Energy Loss from the RLFs, Total Energy Loss through the Attic, and RLF Loss Percentage

	Hourly Energy Loss (BTU)											
	1/7/1999			4/28/1999			7/10/1999			10/29/1999		
	RLF	Attic	RLF%	RLF	Attic	RLF%	RLF	Attic	RLF%	RLF	Attic	RLF%
0	82.3	391.7	21.0%	50.9	126.0	40.4%	2.4	21.6	11.3%	136.2	338.2	40.3%
1	90.5	395.7	22.9%	58.3	143.7	40.6%	1.3	46.5	2.8%	159.6	376.8	42.3%
2	98.9	400.7	24.7%	65.3	159.4	40.9%	9.1	76.0	11.9%	195.5	430.7	45.4%
3	106.	406.1	26.1%	71.5	174.7	40.9%	17.5	95.4	18.4%	236.9	492.7	48.1%
4	109.	409.1	26.7%	77.1	188.2	41.0%	20.8	92.0	22.6%	265.0	540.4	49.0%
5	108.	408.6	26.5%	75.1	187.8	40.0%	13.8	52.6	26.3%	279.5	565.0	49.5%
6	108.	406.6	26.6%	64.1	162.5	39.5%	1.9	33.4	5.8%	270.1	540.2	50.0%
7	116.	406.0	28.6%	38.4	96.3	39.9%	31.6	157.1	20.1%	193.7	394.9	49.1%
8	134.	403.5	33.4%	6.2	46.8	13.2%	111.	349.4	31.9%	75.3	132.4	56.9%
9	153.	382.9	40.2%	42.1	248.6	16.9%	182.	541.1	33.7%	59.3	188.5	31.5%
10	160.	331.5	48.5%	113.	482.7	23.5%	245.	717.8	34.2%	15.6	286.5	5.4%
11	153.	258.1	59.4%	149.	555.4	26.9%	311.	873.3	35.6%	58.3	407.2	14.3%
12	153.	204.5	74.9%	174.	605.1	28.8%	341.	953.8	35.8%	101.8	478.1	21.3%
13	150.	179.8	83.5%	186.	627.3	29.7%	349.	968.0	36.1%	116.7	489.2	23.9%
14	132.	176.3	75.2%	173.	571.1	30.4%	369.	951.3	38.9%	110.3	440.6	25.0%
15	129.	209.0	61.7%	135.	447.6	30.3%	385.	897.8	42.9%	82.8	325.5	25.4%
16	135.	253.9	53.3%	83.6	294.0	28.4%	355.	780.7	45.5%	23.7	148.6	16.0%
17	135.	281.5	48.2%	39.3	154.8	25.4%	294.	626.9	47.0%	28.5	56.5	50.5%
18	131.	290.4	45.1%	8.5	50.7	16.8%	195.	436.8	44.8%	23.2	115.0	20.2%
19	128.	290.9	44.0%	3.0	12.3	24.3%	101.	259.9	39.1%	53.4	202.0	26.5%
20	126.	288.1	44.0%	7.5	35.9	20.8%	50.1	140.6	35.7%	72.6	238.8	30.4%
21	128.	286.8	44.9%	12.7	53.8	23.6%	22.9	65.5	35.0%	77.0	242.1	31.8%
22	128.	284.4	45.2%	13.9	67.5	20.6%	8.9	26.1	34.1%	74.0	233.6	31.7%
23	125.	282.7	44.2%	27.7	101.5	27.3%	4.5	15.3	29.4%	75.8	237.0	32.0%
	3026	7628.7	39.7%	1677	5593.9	30.0%	3427	9179.0	37.3%	2784.	7900.4	35.2%

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Fig. 12. Percentage of the energy loss from recessed lighting

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Estimate of the energy loss from recessed lighting in the mixed-humid zone

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Considering different climate regions and different roof/attic configurations we

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understand that the energy loss per household presented in this study is just a rough

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estimate in terms of magnitude. Twenty to forty RLFs per household are used in the

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estimate, according to the related literature (Van der Meer, 2002). The two cases are

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considered in the estimation: in first case, all the twenty to forty RLFs are assumed on

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the attic ceiling, while in the second case only half of the RLFs (10~20) are assumed

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on the attic. No matter which case, as shown in Table 4, the annually energy loss from

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RLF is considerable. Considering that the number of the homes that have unfinished

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attics without air conditioning in the Mixed-Humid climate zone is 10.2 million (U.S

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EIA 2009), the monthly energy loss from the recessed lighting in the whole region is

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quite substantial.

345 **Table 4.** The Energy Loss from Recessed Lighting per Household in the Case Study

	24 Hour Energy Loss	Monthly Energy Loss				Seasonal Energy Loss	
Per Household in the Mixed-humid Zone							
	Per RLF (BTU)	(MBTU)					
		10-20 RLFs	20-40 RLFs	10-20 RLFs	20-40 RLFs	10-20 RLFs	20-40 RLFs
Jan	336	3.3-6.7	6.7~1.3	104.2~208.5	208.5~416.9	416.9~833.8	833.8~1,667.7
Apr	186	1.9-3.7	3.7~7.4	55.9~111.9	111.9~223.7	223.7~447.4	447.4~894.8
Jul	381	3.8-7.6	7.6~15.2	118.1~236.1	236.1~472.3	472.2~944.5	944.5~1,889.1
Oct	309	3.1-6.1	6.2~12.3	95.9~191.8	191.8~383.7	383.6~767.3	767.3~1,534.7
Annual Sum						1,496.6~2,993.2	2,993.2~5,986.5

346 **Conclusions**

347 In this paper the authors presented a quantitatively investigation of the energy loss
 348 due to the air leakage through the RLFs. Even though the climate is relatively moderate
 349 in the Mixed-Humid zone, the results of the simulations indicate RLFs can still be a
 350 very significant source of energy loss all over the year, which indicates that in
 351 residential buildings significant amount of energy is wasted due to the leakage through
 352 RLFs.

353 Though there are a lot of limitations in this study (such as not considering light-on
 354 case, moisture and vapor, wind effects, non-vented attics and etc.), which makes it only
 355 a rough estimate, the results of the case study still provide some evidence for the
 356 significant energy waste due to the air leakage through RLFs. The study suggests that

357 systematic approach is needed to improve the RLF design and construction practice to
358 reduce or remove the RLF's negative impact on energy loss of residential buildings.

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363 Engineered Composites Science Research Work Unit at the lab in 2008.

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375 **Notation**

376 The following symbols are used in this paper:

A = the heat transfer area of the surface (m^2);

$a_1, a_2, a_3, b_1, b_2, b_3, c_1, c_2, c_3$ = constants;

c = the specific heat capacity constant ($\text{J} / \text{kg} \cdot \text{K}$);

\dot{d}_f = Moving coordinate velocity;

F_{ij} = the view factor;

$f(x)$ = the roof or outdoor ambient air temperatures (K);

f_f^B = Body forces perunit volume;

h_c = convective heat transfer coefficient of the process ($\text{W} / \text{m}^2\text{K}$);

i = finite surface;

j = finite surface;

k = finite surface;

m = mass (kg);

Q = the energy loss from the RLFs (J);

$q_{\text{out},k}$ = the energy flux leaving the surface k (W);

$q_{\text{out},j}$ = the energy flux leaving the surface j (W);

$q_{\text{in},k}$ = the energy flux incident on the surface from the surroundings (W);

q_r = the heat transfer rate of the RLFs (W);

q_c = the heat transfer rate of ceiling (W);

T_k = the temperature at the surface k (K)

ΔT_1 = the indoor and outdoor air temperature difference (K);

ΔT_2 = temperature difference between the surface and the bulk fluid (K);

Δt = the duration of the energy loss (s);

V_m = the mass flow rate of air (kg / s);

\mathbf{v} = Fluid velocity vector;

x = time (s)

δ_{ij} = Kronecker delta

ϵ_k = Emissivity

ρ_f = Fluid density

377 σ = Boltzmann constant

$\boldsymbol{\tau}_f$ = Fluid stress tensor

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