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Ashworth, E.C., Hesp, S.A. and Hall, N.G. (2017) A new proportionality-based back-calculation approach, which employs traditional forms of growth equations, improves estimates of length at age. Canadian Journal of Fisheries and Aquatic Sciences, 74 (7). pp. 1088-1099.

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**A new proportionality-based back-calculation approach,
which employs traditional forms of growth equations,
improves estimates of length at age**

Journal:	<i>Canadian Journal of Fisheries and Aquatic Sciences</i>
Manuscript ID	cjfas-2016-0409.R1
Manuscript Type:	Article
Date Submitted by the Author:	26-Nov-2016
Complete List of Authors:	Ashworth, Eloise; Murdoch University, School of Veterinary and Life Sciences Hesp, Alex; Western Australian Fisheries and Marine Research Laboratories, Department of Fisheries Hall, Norman; Western Australia Fisheries and Marine Research Laboratories, Department of Fisheries
Keyword:	Cross-validation, biological intercept, fish length-otolith radius relationship, bivariate growth model

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12 *Abstract*

13 The performance of a new proportionality-based back calculation approach, describing the
14 relationship between length, otolith size and age using traditional growth curves and
15 assuming a bivariate distribution of deviations from those curves, was evaluated. Cross-
16 validation was used for six teleost species to compare predictions of expected lengths or
17 otolith sizes at age, given otolith size or length, respectively, with those of other
18 proportionality-based approaches that incorporate age. For four species, and particularly
19 *Acanthopagrus butcheri* when using a biological intercept, better estimates were produced
20 using the new model than were produced using the regression equations in the other back-
21 calculation approaches. Back-calculated lengths for *A. butcheri* estimated using this model
22 were more consistent with observed lengths, particularly when employing a biological
23 intercept, than those obtained using other proportionality-based approaches and also a
24 constraint-based approach known to produce reliable estimates. By selecting somatic and
25 otolith growth curves from a suite of alternatives to better describe the relationships between
26 length, otolith size, and age, the new approach is likely to produce more reliable estimates of
27 back-calculated length for other species.

28 *Résumé*

29 La performance d'une nouvelle approche de rétro-calcul à base proportionnelle, décrivant la
30 relation entre la longueur des poissons, la taille des otolithes et l'âge à l'aide de courbes de
31 croissance traditionnelles et en supposant une distribution bivariée des déviations de ces
32 courbes, a été évaluée. La validation croisée a été utilisée, pour six espèces de téléostéens,
33 afin de comparer les prédictions de longueurs de poissons ou tailles des otolithes attendues à
34 l'âge, compte tenu de la taille des otolithes ou de la longueur des poissons, respectivement,
35 avec celles d'autres approches à base proportionnelle qui incorporent l'âge. Pour quatre

36 espèces, et en particulier pour *Acanthopagrus butcheri* lors de l'utilisation d'un intercept
37 biologique, les estimations produites à l'aide du nouveau modèle étaient meilleures que celles
38 produites par les régressions des autres approches de rétro-calcul. Les longueurs obtenues par
39 rétro-calcul pour *A. butcheri* à l'aide du nouveau modèle étaient plus compatibles avec les
40 longueurs observées, particulièrement lorsqu'un intercept biologique était employé, que
41 celles obtenues en utilisant d'autres approches à base proportionnelle ou qu'une approche à
42 base de contraintes connue pour ses estimations fiables. En sélectionnant des courbes de
43 croissance somatiques et des otolithes à partir d'une suite de courbes alternatives pour mieux
44 décrire les relations entre la longueur des poissons, la taille des otolithes et l'âge, la nouvelle
45 approche est susceptible de produire des estimations de rétro-calcul de longueur plus fiables
46 pour d'autres espèces de poissons.

47 ***Keywords***

48 Cross-validation; biological intercept; fish length-otolith radius relationship; bivariate
49 growth model

50 *Introduction*

51 Back-calculation is an invaluable tool used by fisheries scientists around the world for
52 reconstructing individual growth histories of fish from the microstructures present within
53 their hard body parts, such as otoliths (e.g., Campana 1990, 2005; Vigliola and Meekan
54 2009). The development of a back-calculation model is a two-step process, which involves 1)
55 fitting an appropriate regression equation to describe the relationship between fish length,
56 otolith size and, in some recent approaches, age (Morita and Matsuishi 2001; Finstad 2003),
57 and 2) developing a back-calculation formula which, using the results of the regression
58 analysis, may be used to estimate the lengths of individual fish at a given age (Francis 1990;
59 Vigliola and Meekan 2009). If a proportionality-based back-calculation approach is to
60 produce reliable back-calculated estimates of length, the regression equations, fitted in the
61 first of these two steps, must produce accurate estimates of the expected length or otolith
62 radius for a fish, given observed values for its independent variables. Although several
63 studies have attempted to validate the final lengths estimated using various back-calculation
64 formulae (e.g., see Table 2 in Vigliola and Meekan 2009), apparently none has used cross-
65 validation to directly explore the accuracy and precision of estimates of fish length and
66 otolith radius at capture predicted by the regression equations fitted to those variables and
67 age, prior to employing those equations in the back-calculation formulae.

68 Two main back-calculation methods, which have led to the development of different
69 back-calculation formulae, emerge from the literature. The first approach assumes that,
70 throughout the life of a fish, particular measurements of somatic and otolith size retain
71 constant proportionality with respect to the values expected for fish within the population
72 (e.g., Whitney and Carlander 1956; Francis 1990). The second approach constrains the
73 equation relating length and otolith size for individual fish to pass through one or more

74 points, e.g., a common intercept (e.g., Campana 1990; Vigliola and Meekan 2009). More
75 recently-developed proportionality-based back-calculation approaches, such as those of
76 Morita and Matsuishi (2001) and Finstad (2003), also recognise the influence of age in the
77 relationship between fish length and otolith size. Constraint-based back-calculation
78 approaches, such as the ‘biological intercept’ method proposed by Campana (1990), were
79 developed to improve the accuracy of predicted lengths for younger fish and to reduce the
80 influence on the reliability of lengths estimates of variation in somatic growth rate, where
81 slow-growing fish have larger otoliths than faster growing fish of the same size, i.e., the
82 growth effect. The biological intercept method assumes a common fish length and otolith size
83 for fish at “the initiation of proportionality between fish and otolith growth” and, when
84 employed in back-calculation, is typically taken to be the length and otolith size of newly-
85 hatched fish.

86 In their recent review, based on theoretical considerations and on the results of a
87 comparative study by Wilson et al. (2009), Vigliola and Meekan (2009) recommended use of
88 the constraint-based approach of Fry (1943), as modified by Vigliola et al. (2000) and which
89 uses the Biological Intercept, as this better accommodated possible allometry of fish length
90 and otolith size than the Biological Intercept model of Campana (1990). Theoretically,
91 Vigliola and Meekan (2009) based their decision on a requirement that the back-calculation
92 formula must (1) assume proportionality of otolith-fish growth, and (2) generate realistic
93 estimates of sizes at age (through use of a biological intercept). The former requirement,
94 which was expressed mathematically as

$$\frac{d(L - a)}{(L - a)dt} = c \frac{dR}{Rdt},$$

95 where L and R represent the length and otolith radius of a fish, a is the body length when
96 $R = 0$, and c is the constant of proportionality, is more restrictive than either of the

97 assumptions of the Scale and Body Proportional Hypotheses (SPH or BPH). These last
98 hypotheses describe the relationships between the particular measurements of length or
99 otolith size for individual fish and the average values of expected length or otolith size for
100 fish within the population given the observed values of the other variables, i.e., otolith size
101 and fish length, respectively. They impose no specific constraint on the mathematical form of
102 the equation(s) relating fish length and otolith size throughout the lives of the fish in the
103 population, leaving this to be determined by the mathematical forms of the regression
104 equations used to represent the relationships between those variables. In contrast, the criterion
105 of Vigliola and Meekan (2009), as expressed in the above equation, specifies not only the
106 proportionality between measures for each fish and those expected for the population but also
107 the form of the relationship between the expected values of variables over the lives of the fish
108 in the population. As the processes of fish and otolith growth differ, with the latter also
109 involving the physico-chemical process of accretion of material on the surfaces of the
110 otoliths, the relationship between the relative growth rates of the fish and their otoliths is
111 likely to vary throughout life. It is thus suggested that it is the extent to which the regression
112 equations accurately describe the relationships between fish and otolith size that should be
113 the criterion for acceptance rather than the strict requirement that those regression equations
114 are consistent with above differential equation of Vigliola and Meekan (2009).

115 Although models based on the SPH or BPH do not constrain the trajectories of length
116 and otolith radius for individual fish such that these pass through a specific, pre-determined
117 biological intercept, the functions describing the relationships between expected length and
118 otolith radius and covariates can be constrained to pass through such an intercept. This would
119 reduce the influence of the growth effect on estimates of back-calculated length, thereby
120 addressing the second requirement of Vigliola and Meekan (2009), i.e., that realistic
121 estimates of sizes at age are produced by the approach. The introduction of such constraints

122 into the regression equations of the proportionality-based back-calculation approaches
123 requires further exploration, however, as it will affect the estimates of the expected values of
124 fish length and otolith size produced by those equations.

125 All back-calculation formulae assume a relationship between fish and otolith growth
126 (Vigliola and Meekan 2009), typically describing that relationship by a function that directly
127 relates those variables. None of the regression equations employed in existing back-
128 calculation approaches appears to recognise explicitly, however, that the allometric
129 relationship between fish lengths and otolith sizes for individual fish is the result of the
130 somatic and otolith growth that those fish have experienced. Note also that, although otolith
131 growth is essentially a physico-chemical process, it is partially governed by fish metabolism
132 and thus the two growth curves are not independent. Through explicit incorporation of these
133 growth equations in the descriptions of fish length-otolith size allometry, it will be possible to
134 draw on the very considerable body of knowledge of somatic growth, and factors that affect
135 this, to improve back-calculation approaches and better inform growth studies. A bivariate
136 growth model developed by Ashworth et al. (in press), which links the predictions of somatic
137 and otolith growth curves for fish of the same age, offers an opportunity to explore how such
138 a model might be extended for use in a proportionality-based back-calculation approach and
139 to examine how its estimates compare with those of various existing approaches.

140 The overall objective of this study was to develop a proportionality-based back-
141 calculation approach based on the bivariate growth model (Ashworth et al., in press), and to
142 assess whether, for one selected species, the back-calculated estimates of length produced by
143 this model are equally reliable, or more reliable, than those produced by other contemporary
144 back-calculation approaches. Firstly, the bivariate growth model and the regression models
145 described by Morita and Matsuishi (2001) and Finstad (2003), which also included age when
146 predicting expected otolith size, were fitted to fish lengths and otolith sizes at capture for

147 individuals from each of six fish species with differing biological characteristics. Cross-
148 validation was then employed to compare the accuracy and precision of estimates of expected
149 length and otolith radius at capture calculated using the different fitted models when applied
150 to data for fish that had been excluded when fitting those models. Secondly, data for one
151 species (*Acanthopagrus butcheri*) were used to test, using cross-validation, whether
152 constraining the various models to pass through a biological intercept improved their
153 predictive performance in estimating lengths and otolith radii at capture, and ascertained
154 whether the bivariate growth model performed better than other approaches. Finally, the three
155 proportionality-based back-calculation approaches, i.e., the fitted bivariate growth model and
156 the approaches of Morita and Matsuishi (2001) and Finstad (2003), both with and without
157 constraining the curves to a biological intercept, and the constraint-based approach of
158 Vigliola et al. (2000), were used to estimate lengths at ages associated with opaque zones
159 delineated prior to the age at capture (subsequently termed ‘age at zone’) for *A. butcheri*,
160 which were then compared.

161 ***Material and Methods***

162 ***1.1 The six study species***

163 Data for six fish species from different families and environments, and with varying life
164 cycle characteristics were used for this study. The species, which were studied, were the
165 sparid Black Bream, *Acanthopagrus butcheri* (Munro 1949); the sciaenid Mulloway,
166 *Argyrosomus japonicus* (Temminck and Schlegel 1843); the labrid Foxfish, *Bodianus*
167 *frenchii* (Klunzinger 1880); the serranids Breaksea Cod, *Epinephelides armatus* (Castelnau
168 1875), and Goldspotted Rockcod, *Epinephelus coioides* (Hamilton-Buchanan 1822); and the
169 glaucosomatid West Australian Dhufish, *Glaucosoma hebraicum* Richardson, 1845.
170 Maximum ages and total lengths of these species ranged from ~ 19 to 78 years and from

171 ~ 480 to 2 000 mm, respectively, with habitats extending from temperate estuaries to tropical
172 marine waters¹.

173 During the present study, 128 *A. butcheri* were collected in May 2013 from the Wellstead
174 Estuary at 34°50'S latitude and 118°60'E longitude on the south coast of Western Australia.
175 This study was conducted in accordance with conditions in permit R2561/13 issued by the
176 Murdoch University Animal Ethics Committee. For the five other species, permits were
177 issued during earlier studies by the Murdoch University Animal Ethics Committee. Details of
178 date and location of capture for each of these five species can be found in Table S2.

179 ***1.2 Fish processing and otolith measurements for six species, and biological***
180 ***intercept for Acanthopagrus butcheri***

181 The total length (TL) of each *A. butcheri* was measured to the nearest 1 mm and its two
182 sagittal otoliths removed and stored. High-contrast digital images of the sectioned otoliths
183 (i.e., the left sagittal otolith) of each individual were taken under reflected light and analysed
184 using the computer imaging package Leica Application Suite version 3.6.0 (Leica
185 Microsystems Ltd. 2001) (for details regarding the sectioning of otoliths refer to Ashworth et
186 al. (in press)). The ages for *A. butcheri* were determined for each fish from the number of
187 opaque zones in a section from its otolith, its date of capture, and the birth date assigned to
188 *A. butcheri* in the Wellstead Estuary (corresponding to the approximate mid-point of the
189 spawning season) (Sarre and Potter 2000). Opaque zones in otoliths of *A. butcheri* were
190 counted independently and on different occasions by E. Ashworth and P. Coulson. On the
191 few occasions when the counts of these two readers differed (< 4%), the two readers
192 discussed the basis for the discrepancy and determined a mutually agreed value which was
193 used in following analyses.

¹ Tables S1 and S2

194 Sectioned otoliths from 50 individuals of each of the other five species were randomly
195 selected from the otoliths collected in previous studies in Western Australia by staff and
196 research students at Murdoch University². Preparation of these sections had followed the
197 same procedure as that used for *A. butcheri*. The lengths recorded for, and ages assigned to,
198 the individuals of each species in those earlier studies were accepted for use in the current
199 study. Note that a common sample size of 50 randomly-selected fish of each species, other
200 than *A. butcheri*, was used to facilitate comparability among results.

201 For all species, the ‘radius’ of each otolith, i.e., the distance between the primordium and
202 the outer edge of the sectioned otolith, was measured under reflected light on three occasions
203 to the nearest 0.1 μm along a line perpendicular to the opaque zones near the posterior edge
204 of the sulcus acusticus of the otolith. The mean of these three measurements for each otolith
205 was used as the radius of that otolith in subsequent analyses. In the case of *A. butcheri*, the
206 distance along the same axis from the primordium of each otolith to the outside edge of the
207 first opaque zone and the increments between the outside edges of successive opaque zones
208 were also measured. For this species, the relative distinctness of the opaque zones in its
209 otoliths made it possible to accurately measure the widths of increments between the outer
210 margins of successive opaque zones.

211 For *A. butcheri*, eggs from the Australian Centre for Applied Aquaculture Research
212 (ACAAR, Challenger Institute of Technology, Western Australia) were hatched overnight in
213 the laboratory at Murdoch University to provide data to be used when employing a biological
214 intercept (BI) in back-calculation models. Two days after hatching, the TLs of thirty
215 randomly-selected larvae were measured to the nearest 0.01 mm under transmitted light. The
216 left sagittal otolith of each larvae was collected and measured under a high-resolution digital
217 microscope camera Leica DFC 425 (Leica Microsystems Ltd. 2001) mounted on a high-

² Table S2

218 performance dissecting microscope Leica MZ7.5 (7.9:1 zoom). The radii of these whole
 219 otoliths were measured to the nearest 0.1 μm under transmitted light using the computer
 220 imaging package Leica Application Suite version 3.6.0.

221 ***1.3 Bivariate growth model and associated back-calculation approach***

222 The bivariate growth model (Ashworth et al. (in press)) employed in this study,
 223 comprising both somatic and otolith growth curves and a bivariate statistical distribution of
 224 deviations, was fitted using an objective function written for Template Model Builder
 225 (package ‘TMB’, Kristensen 2015), in combination with the function ‘nlminb’, within R (R
 226 Development Core Team 2011) as described in Ashworth et al. (in press). For both fish
 227 lengths and otolith radii, the expected size at age t , i.e., $S(t)$, is represented in this model by
 228 either a modified version of the von Bertalanffy equation with an oblique linear asymptote or
 229 a form of the versatile growth curve described by Schnute (1981).

230 The modified von Bertalanffy equation is:

$$S(t) = g_S(t | S_{\tau_1}, S_{\tau_2}, a_S, b_S) = c\{1 - \exp[-a_S(t - b_S)]\} + d(t - b_S),$$

231 where

$$c = \frac{y_2/(\tau_2 - b_S) - y_1/(\tau_1 - b_S)}{\{1 - \exp[-a_S(\tau_2 - b_S)]\}/(\tau_2 - b_S) - \{1 - \exp[-a_S(\tau_1 - b_S)]\}/(\tau_1 - b_S)},$$

232 and

$$d = \frac{y_1 - c\{1 - \exp[-a_S(\tau_1 - b_S)]\}}{\tau_1 - b_S}.$$

233 The Schnute (1981) model, which comprises the following four equations, is:

$$S(t) = g_S(t | S_{\tau_1}, S_{\tau_2}, a_S, b_S) = \begin{cases} \left[S_{\tau_1}^b + (S_{\tau_2}^b - S_{\tau_1}^b) \frac{1 - e^{-a(t-\tau_1)}}{1 - e^{-a(\tau_2-\tau_1)}} \right]^{b^{-1}} & \text{if } a_S \neq 0, b_S \neq 0 \\ S_{\tau_1} \exp \left[\ln \left(\frac{S_{\tau_2}}{S_{\tau_1}} \right) \left(\frac{1 - e^{-a(t-\tau_1)}}{1 - e^{-a(\tau_2-\tau_1)}} \right) \right] & \text{if } a_S \neq 0, b_S = 0 \\ \left[S_{\tau_1}^b + (S_{\tau_2}^b - S_{\tau_1}^b) \left(\frac{t - \tau_1}{\tau_2 - \tau_1} \right) \right]^{b^{-1}} & \text{if } a_S = 0, b_S \neq 0 \\ S_{\tau_1} \exp \left[\ln \left(\frac{S_{\tau_2}}{S_{\tau_1}} \right) \left(\frac{t - \tau_1}{\tau_2 - \tau_1} \right) \right] & \text{if } a_S = 0, b_S = 0 \end{cases}$$

234 where S_{τ_1} and S_{τ_2} are the expected sizes at two specified reference ages τ_1 and τ_2 , and a_S and
 235 b_S are parameters that determine the shape of the curve. The minimum and maximum ages at
 236 capture of each species were used as the reference ages τ_1 and τ_2 in this study.

237 For each of the analyses undertaken in this study, the data were separated into two
 238 subsets, the first of which was used when fitting the bivariate growth or regression models,
 239 and the second for which expected lengths of the fish, given their observed values of otolith
 240 radii at given ages, were predicted using the fitted models. The bivariate growth model was
 241 fitted simultaneously to length and otolith size at capture, using age as an explanatory
 242 variable, to obtain estimates of the parameters of the somatic and otolith growth curves
 243 (Ashworth et al. (in press)). Deviations of observed fish lengths and associated otolith radii at
 244 capture from those respective growth curves were assumed to possess a bivariate normal,
 245 normal-lognormal, lognormal-normal, or bivariate lognormal distribution (Appendix S3 in
 246 Ashworth et al. (in press)).

247 When predicting the expected length of each fish at age using the bivariate growth model,
 248 the estimate calculated using the somatic growth curve was adjusted using information from
 249 the deviation of the observed from the predicted radius of the otolith. For this, the length at

250 age predicted using the somatic growth curve was adjusted to the mean of the conditional
251 distribution of the lengths at that age given the deviation between the observed and predicted
252 otolith radii and the bivariate distribution of the deviations of lengths and otolith radii
253 (equations for calculating the conditional distribution of each of the two variables of the
254 various bivariate statistical distributions are presented in Ashworth et al. (in press)).
255 Likewise, the estimates of expected otolith radii at age were obtained by adjusting the values
256 predicted using the otolith growth curve to the values of the conditional means of the radii at
257 age given the deviations between the observed lengths at age and the expected lengths at age
258 predicted using the somatic growth curve. The resulting estimates of the lengths at age t given
259 the observed otolith radii at those ages, i.e., $\hat{L}_{t|R_t=R^*}$, and otolith radii at age given the
260 observed fish lengths at those ages, i.e., $\hat{R}_{t|L_t=L^*}$, were then used in the subsequent cross-
261 validation and back-calculation sections of this study. Observed and expected lengths and
262 otolith radii at age t are denoted by L_t , \hat{L}_t , R_t , and \hat{R}_t , respectively, and particular observed
263 values of length and radius by L^* and R^* , respectively.

264 A modified form of the bivariate growth model, in which somatic and otolith growth
265 curves were constrained to pass through the biological intercept, was also fitted to the lengths
266 and otolith radii at capture for *A. butcheri*. For this, τ_1 was set to the age of the fish used
267 when calculating the biological intercept, and L_{τ_1} and R_{τ_1} were set to the total fish length and
268 otolith radius at the biological intercept, thereby reducing the number of parameters to be
269 estimated when fitting the bivariate model.

270 For back-calculation of lengths of *A. butcheri* using the bivariate growth model, it was
271 assumed that the proportional deviation of fish length at capture from the expected length
272 given the observed otolith radius at that age remained constant throughout life. Accordingly,
273 an estimate of the length of fish j with age at zone t_k , i.e., L_{j,t_k} , was calculated as

$$L_{j,t_k} = \frac{\hat{L}_{t_k|R_{t_k}=R_{j,t_k}}}{\hat{L}_{t_c|R_{t_c}=R_{j,t_c}}} L_{j,t_c},$$

274 where L_{j,t_c} and R_{j,t_c} are the length and otolith radius at age t_c when the fish was caught, and
275 R_{j,t_k} is the otolith radius at the edge of opaque zone k .

276 ***1.4 Proportionality-based and constraint-based back-calculation approaches***

277 The accuracy and precision of lengths and otolith radii predicted using the above
278 bivariate growth model were compared with those predicted using the regression models (or
279 derived from or based on those models) of the proportionality-based back-calculation
280 approaches described by Morita and Matsuishi (2001) and Finstad (2003) (Table 1). The
281 Morita and Matsuishi (2001) ‘age effect’ (AE) model employs age at capture in the
282 relationship between otolith size and fish length, while the ‘interaction term’ (IT) model of
283 Finstad (2003) extends the Morita and Matsuishi (2001) model by incorporating an
284 interaction between fish length and age at capture. Vigliola and Meekan (2009) reported a
285 modified form of the ‘age effect’ model that employs fish length and otolith size as the
286 dependent and independent variable, respectively, terming this the ‘age effect Body
287 Proportional Hypothesis’ or AEBPH model. For this study, the ‘interaction term’ model was
288 re-arranged and an analogous form of model developed to express fish length in terms of
289 otolith size (Table 1). These have been termed a ‘re-arranged interaction term’ (RIT) model,
290 and an ‘analogous interaction term’ (AIT) model, respectively. The regression equations of
291 the proportionality-based back-calculation approaches of Morita and Matsuishi (2001) and
292 Finstad (2003), and those derived from or based on those regression equations, were also re-
293 written as equations constrained to pass through the biological intercept (Tables 1 and 2).

294 A further analysis compared back-calculated estimates of lengths produced for
295 *A. butcheri* using the proportionality-based back-calculation approach developed using the

296 bivariate growth model with those calculated using the approaches described by Morita and
 297 Matsuishi (2001) and Finstad (2003), and the constraint-based back-calculation model
 298 described by Vigliola et al. (2000). Only published versions of these traditional back-
 299 calculation formulae, and versions of these constrained to pass through the biological
 300 intercept, were used. Details of the various back-calculation approaches are presented below.

301 The back-calculation formula (with modified notation) of the ‘age effect’ model of
 302 Morita and Matsuishi (2001), which employs the parameters (i.e., α , β and γ) estimated
 303 using the regression equation Eq. 1 (Table 1), is

$$(11) \quad L_{j,k}^* = -\frac{\alpha}{\beta} + \left(L_{c,k} + \frac{\alpha}{\beta} + \frac{\gamma}{\beta} t_{c,k} \right) \frac{R_{j,k}}{R_{c,k}} - \frac{\gamma}{\beta} t_j,$$

304 while that of Finstad (2003), i.e., the ‘interaction term’ model, which uses the parameters
 305 (i.e., α , β , γ , and δ) as estimated by fitting Eq. 2 (Table 1), is

$$(12) \quad L_{j,k}^* = \frac{\left[(\alpha + \beta L_{c,k} + \gamma t_{c,k} + \delta L_{c,k} t_{c,k}) \frac{R_{j,k}}{R_{c,k}} - \alpha - \gamma t_j \right]}{\beta + \delta t_j}.$$

306 $L_{j,k}^*$ is the back-calculated length of fish k with age at zone t_j , $L_{c,k}$ is the observed length of
 307 fish k at capture, i.e., at age $t_{c,k}$, $R_{j,k}$ is the observed radius of the otolith of fish k at age t_j ,
 308 and $R_{c,k}$ is the observed radius of the otolith of fish k at capture.

309 The regression models employed by Morita and Matsuishi (2001) and Finstad (2003)
 310 were constrained to pass through the biological intercept by rewriting α as a function of the
 311 length, radius and age at that intercept using equations 1 and 2 (Table 1). The re-
 312 parameterised back-calculation formulae become

$$(13) \quad L_{j,k}^* = -\frac{R_{BI} - \beta L_{BI} - \gamma t_{BI}}{\beta} + \left(L_{c,k} + \frac{R_{BI} - \beta L_{BI} - \gamma t_{BI}}{\beta} + \frac{\gamma}{\beta} t_{c,k} \right) \frac{R_{j,k}}{R_{c,k}} - \frac{\gamma}{\beta} t_j$$

313 and

$$(14) \quad L_{j,k}^* = \frac{\left\{ \frac{[R_{BI} + \beta(L_{c,k} - L_{BI}) + \gamma(t_{c,k} - t_{BI}) + \delta(L_{c,k}t_{c,k} - L_{BI}t_{BI})]^{R_{j,k}}}{R_{c,k}} \right\}}{\beta + \delta t_j},$$

314 where R_{BI} = otolith radius at biological intercept (μm), L_{BI} = total length (mm) at biological
 315 intercept, and t_{BI} = age (years) associated with the biological intercept, and where the values
 316 of the parameters in Eq. 13 are obtained by fitting the regression model described by Eq. 6
 317 (Table 2), and those in Eq. 14 by fitting the regression model of Eq. 7 (Table 2).

318 The back-calculation formula, i.e., modified Fry model, of Vigliola et al. (2000) is

$$(15) \quad L_{j,k}^* = \varphi + \exp \left[\ln(L_{BI} - \varphi) + \frac{[\ln(L_{c,k} - \varphi) - \ln(L_{BI} - \varphi)] \times [\ln(R_{j,k}) - \ln(R_{BI})]}{[\ln(R_{c,k}) - \ln(R_{BI})]} \right]$$

319 where φ is the fish body length at otolith formation, with $\varphi = \frac{\varphi_1 + \varphi_2}{2}$, $\varphi_1 = L_{BI} - \beta_1 R_{BI}^{\gamma_1}$, and
 320 $\varphi_2 = L_{BI} - \beta_2 R_{BI}^{\gamma_2}$, and where γ_1 , β_1 , γ_2 , and β_2 are parameters estimated by fitting the
 321 following regression equations (Vigliola and Meekan 2009) to observed fish lengths and
 322 otolith radii at capture.

$$(16) \quad L = L_{BI} - \beta_1 R_{BI}^{\gamma_1} + \beta_1 R^{\gamma_1}$$

$$(17) \quad R = \left(\frac{L - L_{BI} + \beta_2 R_{BI}^{\gamma_2}}{\beta_2} \right)^{\frac{1}{\gamma_2}}$$

323 **1.5 Analyses**

324 All analyses were undertaken using R (R Development Core Team 2011).

325 **1.5.1 Ten-fold cross-validation and hold-out validation**

326 Two cross-validation methods, i.e., a ten-fold cross-validation and a hold-out cross-
 327 validation (Kohavi 1995; Then et al. 2015), were employed in this study to assess the

328 predictive performance of the regression equations of the different proportionality-based
329 back-calculation approaches. That is, they were used to assess the ability of those equations
330 to produce predictions of length and otolith radius at capture that matched the measured
331 values for fish, data for which had been excluded when fitting the regression equations. Such
332 comparison differs from comparisons that employ likelihood ratios or AICs, which assess the
333 extent to which predicted values match observed values of a dependent variable for data
334 included when fitting the model. Note that cross-validation was employed only to assess the
335 ability of the regression equations of the back-calculation approaches to produce accurate
336 predictions of expected lengths and otolith radii, given known values of the independent
337 variables of the regression equation, but not to assess the validity of the back-calculation
338 approach in predicting the lengths of individual fish at ages prior to their capture.

339 For the ten-fold cross-validation for each species, i.e., *A. butcheri*, *A. japonicus*,
340 *B. frenchii*, *E. armatus*, *E. coioides* and *G. hebraicum*, the 50 fish in the sample used for the
341 study described in Ashworth et al. (in press) were assigned randomly to ten groups. For each
342 of the proportionality-based back-calculation approaches, the following analysis was
343 undertaken using firstly the equation relating fish length to otolith radius and age at capture,
344 and subsequently the equation for the relationship between otolith radius and fish length and
345 age at capture. Excluding each of the ten groups in turn, the regression model was fitted to
346 the data from the other nine groups and used to calculate the expected value of the dependent
347 variable for each fish in the excluded group. Following Then et al. (2015), the Root Mean
348 Square Error (RMSE) of the resulting predicted values of fish lengths and otolith radii from
349 the corresponding observed values of those variables was employed as an overall measure of
350 the predictive ability of the regression equation. This was calculated as $RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n e_i^2}$,
351 where e_i is the difference between the observed and predicted values of the dependent

352 variable for observation i ($i = 1, 2, \dots, n$) and n is the number of observations (Dunn et al.
353 2002; Chai and Daxler 2014). A measure of the bias of the predicted values from the
354 corresponding observed values for the excluded fish was obtained by calculating the overall
355 mean of the differences between the observed and expected values, i.e., the Mean Error
356 $ME = \frac{1}{n} \sum_{i=1}^n e_i$ (Walther and Moore 2005).

357 The above cross-validation analysis was undertaken separately for the bivariate growth
358 model and each of the alternative proportionality-based back-calculation approaches, i.e., the
359 ‘age effect’, ‘interaction term’, AEBPH, ‘re-arranged interaction term’, and ‘analogous
360 interaction term’ models (Tables 1 and 2). The difference between the RMSE calculated for
361 each alternative model and that for the bivariate growth model, expressed as a percentage of
362 the latter, was calculated as

$$363 \quad \% \Delta RMSE = 100 (RMSE - RMSE_{bivariate\ model}) / RMSE_{bivariate\ model}.$$

364 Ten-fold cross-validation was also undertaken, as described above for the samples of 50
365 fish from each species, using lengths and otolith radii for 120 individuals of *A. butcheri*,
366 which had been randomly selected from the sample of 128 fish collected for this species. This
367 procedure was repeated while constraining the length-otolith radius relationships to pass
368 through the biological intercept, noting that *A. butcheri* was the only one of the six studied
369 species for which such an intercept was available.

370 For *A. butcheri*, for which fish lengths and otolith radii and ages at capture had been
371 recorded for 128 fish, the above ten-fold cross-validation was complemented with a hold-out
372 validation (Kohavi 1995). This was undertaken by fitting each regression equation of the
373 different back-calculation models to the data for the 50 *A. butcheri* used in the first of the ten-
374 fold cross-validations, i.e., the 50 fish that had been used in the study reported in Ashworth et
375 al. (in press), and using the resultant fitted equation to calculate the expected values of the

376 dependent variables for the remaining 78 fish and, from these, the RMSE and ME of those
377 deviations. As in the ten-fold cross-validation for the 120 fish, the holdout validation analyses
378 were repeated for *A. butcheri* using the version of the bivariate growth model for which the
379 somatic and otolith growth curves had been constrained to pass through the biological
380 intercept, together with the regression equations of the other proportionality-based back-
381 calculation approaches that had been similarly modified.

382 **1.5.2 Back-calculation**

383 Estimates of lengths at ages at zones were calculated for *A. butcheri* using the various
384 proportionality-based and the constraint-based back-calculation formulae for both the case
385 when the biological intercept was not included and the case when the model was constrained
386 to pass through the biological intercept. For this, the bivariate growth model and various
387 regression models of the different back-calculation approaches were fitted to the fish lengths,
388 otolith radii and ages at capture for the 50 fish that had been used for the study reported in
389 Ashworth et al. (in press). Using the resulting parameters, the back-calculation formulae of
390 the different approaches were used to produce estimates of the length at each age at zone for
391 each of the 78 *A. butcheri* that had been excluded in the preceding step when fitting the
392 models, which were then compared. Mean lengths of *A. butcheri* within each age class of the
393 sample were also calculated and compared with the means of the back-calculated lengths at
394 the ages at zones bounding the otolith radii for those age classes.

395 **Results**

396 ***Accuracy and precision of length and otolith radius estimates for six species***

397 Based on the results of the ten-fold cross-validation for 50 fish of each of the six
398 species, the bivariate growth model produced lower estimates of RMSE for fish length than

399 were obtained using the other models and thus improved prediction performance for all six
400 species (Table 3a). In contrast, the re-arranged form of the interaction term model generally
401 produced an estimate of RMSE (i.e., between 26 and 284) for fish length, which was greater
402 (i.e., predictions with greater error) than was produced by either the bivariate growth model
403 (RMSE = 17 to 87) or the Age Effect Body Proportional Hypothesis model (RMSE = 23 to
404 174) across all species. This was particularly the case for *E. coioides* and *G. hebraicum* for
405 which the percentages by which the RMSEs of the re-arranged form of the interaction term
406 model exceeded those of the bivariate growth model by as much as 241 and 353%,
407 respectively. These large RMSEs appear to be due to occasional very small values of the
408 denominator in Eq. 4 (Table 1), suggesting that this form of model may be sensitive to such
409 values and, if employed in future studies, should be used with caution. Note that in the cases
410 of *A. butcheri* and *G. hebraicum*, the analogous form of the interaction term model produced
411 an estimate of RMSE for the length predictions similar (i.e., differing by only ~ 4%) to that
412 obtained using the bivariate growth model (Table 3a). The bivariate growth model produced
413 low values of positive and negative bias, and particularly in the cases of *A. butcheri* and
414 *E. coioides* for which the model produced the lowest estimates of ME and observed lengths
415 were either slightly overestimated or underestimated, respectively.

416 The bivariate growth model also produced better predictions of otolith radius for four
417 of the six species, i.e., *A. butcheri*, *A. japonicus*, *E. armatus* and *G. hebraicum*, by providing
418 values of RMSE lower than those calculated using the age effect and interaction term models
419 (Table 3b). The predictions from the age effect model, in the case of *A. butcheri*, were nearly
420 equal to those of the new model (differing by only ~ 1%) and provided as good a fit. The
421 interaction term model, however, produced estimates of otolith radius for *B. frenchii* and
422 *E. coioides* with lower (by ~ 5% and ~ 4%, respectively) RMSEs than those of the bivariate
423 growth model. Although estimates of the ME were always very low and marginally different,

424 the bivariate growth model did not produce the most accurate observed otolith radii compared
425 with the age effect and interaction term models.

426 ***Accuracy and precision of length and otolith radius estimates for *Acanthopagrus****
427 ***butcheri, with and without a biological intercept***

428 For the holdout validation for *A. butcheri*, the re-arranged form of the interaction term
429 model produced length estimates with lower RMSEs (differing by ~ 2%) than were obtained
430 using the bivariate growth model (Table 4a). In the case of the ten-fold cross-validation of
431 120 individuals of *A. butcheri*, however, the re-arranged form of the interaction term model
432 was only marginally better (by < 1%) than the new bivariate growth model. When the models
433 were constrained by the biological intercept, the bivariate growth model provided better
434 predictions of lengths of *A. butcheri* for both the holdout (RMSE = 17) and the ten-fold cross-
435 validation with 120 fish (RMSE = 17, Table 4a). Overall, when constraining the models to
436 pass through the biological intercept, there was a slight decrease in the quality of the length
437 predictions produced by the Age Effect Body Proportional Hypothesis, the re-arranged and
438 the analogous forms of the interaction term models, i.e., for all cases other than that for the
439 bivariate growth model with ten-fold cross-validation (Table 4a). The RMSE for predictions
440 of length calculated using the bivariate growth model only improved slightly, i.e., by < 1%,
441 when the biological intercept was imposed. In terms of accuracy, estimates of the ME
442 matched those of the RMSE, such that the re-arranged form of the interaction term model and
443 the bivariate growth model, which both produced lower RMSEs than the other models, also
444 produced the most accurate observed lengths.

445 Low values of the RMSEs for the predictions of the otolith radii, with or without the
446 biological intercept, indicated that the bivariate growth model was best for both the holdout
447 and for the ten-fold cross-validation using 128 and 120 fish, respectively (Table 4b).

448 Although inclusion of the biological intercept slightly increased (by ~ 11%) the RMSEs of
449 predicted otolith radii for the age effect model, a much greater (~ 8-fold) increase was
450 produced in the RMSEs calculated using the interaction term model, suggesting that this
451 latter model may occasionally be less robust depending on the data (or species). In the case of
452 the holdout validation, the age effect model constrained to pass through the biological
453 intercept produced estimates of predicted otolith radii that were least biased compared with
454 predicted values produced by the other models, whereas, in the case of the ten-fold cross-
455 validation with 120 fish, the interaction term model without the constraint of the biological
456 intercept produced the most accurate estimates.

457 ***Comparison of length predictions for *Acanthopagrus butcheri* from different back-***
458 ***calculation approaches***

459 Means of back-calculated lengths calculated using all of the proportionality-based
460 approaches (without biological intercept) underestimated the mean observed lengths at age
461 for the younger *A. butcheri* collected from the Wellstead Estuary (Table 5; Fig. 1). Thus, the
462 means of the back-calculated total lengths of fish with age at zone = 3 years, which ranged
463 from 161 (for the bivariate growth model) to 170 mm (for the interaction term model), were
464 less than the mean observed length, i.e., 171 mm, for the fish with ages of 2.6 years at the
465 date on which the sample was collected (May 2013). Although fish with ages between 3 and
466 6 years were not available in the sample, the bivariate growth model produced mean back-
467 calculated estimates of length that were consistent with the means of the observed lengths for
468 fish with ages > 6 years. The age effect model, however, only produced estimates of back-
469 calculated length that were consistent with the observed lengths for fish with ages between 6
470 and 8 years, and > 10 years, the lengths for other ages were underestimated. The interaction
471 term model only produced consistent estimates for fish aged from 6 to 8 years,

472 underestimating the lengths of older fish. The modified Fry model produced estimates of
473 back-calculated lengths that underestimated the means of the observed lengths for fish of all
474 ages within the sample (Table 5).

475 The consistency of estimates of back-calculated lengths at the ages at each of the
476 zones with means of observed lengths for fish with ages lying between the ages at those
477 zones was improved by imposing the biological intercept as a constraint (Table 5; Fig. 1). In
478 the case of the bivariate growth model, the means of the back-calculated estimates were
479 consistent with the means of the observed lengths for *A. butcheri* of all observed ages. The
480 estimates of the lengths calculated using the age effect back-calculation model were
481 consistent with observed lengths for fish for all ages except 9 to 10 years, which were
482 underestimated. Consistent length estimates were produced by the interaction term model
483 only for fish with ages up to 7 years, but, for older fish, lengths were underestimated.

484 The age effect model produced estimates of lengths which were generally more
485 similar to those calculated using the bivariate growth model than those obtained using the
486 interaction term and modified Fry models, particularly at older ages (Fig. 1a and b). At young
487 ages, however, back-calculated lengths estimated using the bivariate growth model (with
488 biological intercept) were more comparable to those calculated using the modified Fry model
489 (Table 5; Fig. 1e).

490 ***Discussion***

491 ***Cross-validation of estimates derived from relationship between length, otolith*** 492 ***radius and age***

493 At the outset, note that it was not possible to apply the holdout or ten-fold cross-
494 validation approaches to the modified Fry back-calculation formula of Vigliola et al. (2000).

495 This model assumes that, for an individual fish, the curve relating fish lengths and otolith
496 radii passes through both the biological intercept and the length and otolith radius of the fish
497 at capture.

498 Although the bivariate growth model is likely to produce more reliable predictions of
499 length or otolith radius than the regression equations of the proportionality-based back-
500 calculation approaches considered in this study, results are species-dependent. Evidence for
501 this is provided by the finding that, although lengths at capture predicted by the bivariate
502 growth model from otolith sizes and ages at capture for individuals of six fish species were
503 more reliable than those produced using the regression equations of the back-calculation
504 approaches, the model produced the most reliable predictions of otolith radii from fish
505 lengths and ages at capture for only four of the six species. For the other two species, the
506 regression equation of the interaction term back-calculation produced the lowest estimates of
507 RMSE.

508 Cross-validation using 50 individuals, holdout validation using 128 individuals, and ten-
509 fold cross-validation using 120 individuals of *A. butcheri* from the Wellstead Estuary
510 demonstrated that, given fish lengths and ages, the most reliable estimates of otolith radii for
511 this species were produced by the bivariate growth model, regardless of whether or not that
512 model was constrained to pass through the biological intercept. Using the bivariate growth
513 model with the biological intercept constraint, a similar result was obtained for estimates of
514 fish length when ten-fold cross-validation was applied to the 50 randomly-selected
515 individuals from the sample of 128 fish and when the larger datasets of 128 and 120 fish for
516 were analysed using holdout and ten-fold cross-validation, respectively. When not
517 constrained by the biological intercept, however, the regression equation of the re-arranged
518 interaction term model produced the most reliable estimates of length for the holdout

519 validation using 128 fish and the ten-fold cross-validation using 120 fish. Thus, without the
520 constraint of the biological intercept, the results for the smaller sample were influenced by
521 sample size. Given such inconsistency, it would therefore be appropriate to base predictions
522 for *A. butcheri* on analyses employing models fitted to the larger of these datasets, i.e., the
523 holdout cross-validation employing 128 fish or the ten-fold cross-validation using 120
524 individuals of this species. For this cross-validation, the most reliable estimates of fish
525 lengths of *A. butcheri* given ages and otolith radii were obtained using the version of bivariate
526 growth model that employed the biological intercept, while equally reliable estimates of
527 otolith radii given ages and fish lengths were produced using the bivariate growth model with
528 and without the biological intercept.

529 It was concluded above that, when constrained to pass through the biological intercept,
530 the bivariate growth model is likely to provide the most reliable estimates of both fish lengths
531 and otolith radii. This may be due to the considerable flexibility of the bivariate growth
532 model, whereby the forms of the curves used to represent somatic and otolith growth are
533 selected from the wide range of alternative forms described by the Schnute (1981) or
534 modified von Bertalanffy growth models, and from alternative forms of bivariate statistical
535 distributions for the distribution of deviations from those growth curves. This flexibility
536 allows it to account for the particular characteristics of the relationships exhibited between
537 observed fish lengths, otolith sizes and ages at capture of the individuals in the samples of the
538 different species. In contrast, the linear regression equations, and the nonlinear regression
539 equations produced by incorporating an interaction term or by re-arranging the linear
540 equations are far more prescriptive. Although those latter models provide good descriptions
541 of the allometric relationships between fish lengths, otolith sizes, and take into account the
542 ages at capture for the sampled fish of the different species, their ability to adjust to the
543 characteristics of the data are constrained by their fixed functional forms.

544 Prior to adopting a particular form of somatic growth curve, Katsanevakis and
545 Maravelias (2008) recommended that a broad range of alternative growth curves should be
546 explored when fitting to lengths at ages. The different approaches described in various back-
547 calculation studies (e.g., Campana 1990; Francis 1990; Sirois et al. 1998; Vigliola et al. 2000;
548 Morita and Matsuishi 2001), each employing slightly different equations to describe the
549 relationships between length and otolith size (and, in some approaches, age at capture),
550 reflect an awareness that the extent to which the different regression equations describe the
551 data varies among samples from different species. The report by Vigliola and Meekan (2009)
552 that as many as 22 different back-calculation approaches have been proposed suggests that a
553 flexible approach, which identifies the most suitable form of regression equation, such as that
554 used when fitting the bivariate growth model, is required to ensure that exploration of
555 alternative model forms is undertaken using a systematic, well-defined procedure with
556 explicit criteria for model selection.

557 The current study is apparently the first to employ cross-validation to explore the
558 reliability of the values of fish length or otolith size predicted by the regression equations
559 used in the different back-calculation approaches. Most earlier studies have only explored the
560 extent to which predicted fish lengths associated with the various growth zones matched the
561 means of the observed lengths of fish from the different age classes (e.g., Pierce et al. 1996;
562 Sirois et al. 1998; Pajuelo and Lorenzo 2003; Zengin et al. 2006) or, in the few cases when
563 recaptured tagged and otolith-marked individuals were available, the extent to which back-
564 calculated estimates of length matched the lengths of the fish at release (e.g., Panfili and
565 Tomás 2001; Roemer and Oliveira 2007; Li et al. 2008; Michaletz et al. 2009). These latter
566 approaches are of considerable value, particularly those that validate back-calculated length
567 estimates by comparison with lengths at tagging of recaptured, otolith-marked fish. Although
568 cross-validation is unable to test the reliability of the final back-calculated estimates of

569 lengths of individual fish, it offers the benefit to proportionality-based back-calculation
570 approaches of elucidating the reliability of the expected lengths predicted for fish by the
571 regression equations, on which the estimates of back-calculated lengths of individual fish
572 rely.

573 *Comparison of length predictions between back-calculation approaches*

574 Back-calculated lengths at ages estimated for individuals of *A. butcheri* using the
575 proportionality-based approach developed for the bivariate growth model were found to be
576 very similar to those calculated using the three alternative traditional back-calculation
577 formulae, i.e., the age effect, interaction term and modified Fry models. Overall, however,
578 and particularly when using a biological intercept, length estimates produced using the
579 bivariate growth model were more consistent with the observed mean lengths at age at
580 capture than those based on the other approaches. These results suggest that, for some species
581 other than *A. butcheri*, the proportionality-based bivariate growth approach developed in the
582 current study will produce estimates of length at age that are more accurate than those
583 produced by back-calculated traditional approaches.

584 To address potential bias resulting from continued increase in otolith size despite the
585 reducing rate of somatic growth as fish age, the back-calculation approach developed by
586 Morita and Matsuishi (2001) was the first to incorporate age as a predictor variable. Although
587 this model produces less biased length estimates than earlier proportionality-based
588 approaches for which growth rates of slow-growing fish were overestimated, it is also the
589 least precise model (Morita and Matsuishi 2001). Indeed, large errors in predicted size
590 produced by the age effect model were reported by Wilson et al. (2009), confirming the age
591 effect model's sensitivity to growth effects and to the accuracy and precision of the
592 regression fitted to the relationship between fish length, otolith radius and age. Finstad (2003)

593 found that incorporation of the interaction term into the age effect model contributed
594 significantly to the quality of the fit of the length and otolith radius to age relationship, with
595 difference between the two models being most pronounced in the youngest age classes. The
596 results of the current study demonstrate that inclusion of the biological intercept improved the
597 consistency of back-calculated length estimates with mean observed lengths for younger fish
598 for both the age effect and interaction term models, thus overcoming to some extent the bias
599 in predicted size introduced by these models (e.g., Vigliola and Meekan 2009; Wilson et al.
600 2009).

601 Wilson et al. (2009), who were the first to validate modern back-calculation models
602 using longitudinal data collected and analysed at the individual level from multiple internal
603 and external tagging trials, showed that, for two marine cleaning gobies *Elacatinus evelynae*
604 and *Elacatinus prochilos*, the modified Fry model provided the most accurate (and least
605 biased) size at age estimates despite the presence of age, growth and time-varying growth
606 effects in the dataset. The better performance of the modified Fry model was explained by the
607 allometric nature of the relationship between fish length and otolith size at the individual
608 level, and that the model is constrained to biological intercepts (Vigliola et al. 2000; Wilson
609 et al. 2009). Despite its complex form, the approach preferred by Vigliola and Meekan (2009)
610 for use in routine back-calculation was the modified Fry model (Vigliola et al. 2000; Wilson
611 et al. 2009). In the present study, however, back-calculated estimates of length at age from
612 the bivariate growth model with the biological intercept constraint were closer to mean
613 observed lengths at age than those produced by the modified Fry model, suggesting that, at
614 least for *A. butcheri* from the Wellstead Estuary, the new approach may produce more
615 accurate back-calculated estimates of fish length than the modified Fry approach.

616 The allometric relationship between fish length and otolith radius is formed by the
617 changes in the sizes of these variables with age, throughout the life of the fish (Xiao 1996).

618 That is, the allometric relationship used in traditional back-calculation approaches integrates
619 the effects of the growth of both variables. The bivariate growth model proposed in this
620 study, on the other hand, explicitly describes the growth of each of these variables, offering
621 greater opportunity to investigate the factors affecting that growth and thus, indirectly, the
622 form of the relationship between length and otolith radius. The bivariate growth model may
623 thus represent a valuable alternative to the modified Fry model as it provides a useful link
624 between somatic and otolith growth models and back-calculation approaches, and a more
625 realistic representation of the relationship between these variables, and age, through the life
626 of fish.

627 For this study, as with the majority of other back-calculation studies, data for tagged and
628 marked fish were not available. There would be value in comparing the performance of the
629 proportionality-based bivariate growth approach against that of other back-calculation
630 approaches using recaptures from an appropriate tagging study in which otoliths of
631 individually-tagged fish have been chemically marked prior to their release, similar to the
632 methods carried out by Panfili and Tomás (2001) and Li et al. (2008). It has been suggested
633 that such tagging studies provide the most suitable data to validate the performance of a back-
634 calculation formula (Casselman 1983; Vigliola and Meekan 2009).

635 To summarise and based on cross-validation results across a range of fish species, the
636 RMSEs of predictions of expected fish length and otolith size produced by the new bivariate
637 growth model were found typically to be equal to or better than those produced using the
638 regression equations employed by the selected traditional back-calculation approaches
639 considered in this study. The results of the analyses suggest that, for *A. butcheri* from the
640 Wellstead Estuary, the expected length predicted for an individual fish based on its age and
641 otolith size using the bivariate growth model is likely to be more reliable than those estimates

642 produced using those other regression models, and thus likely to lead to more reliable
643 estimates of back-calculated length. The proportionality-based back-calculation approach
644 developed using the bivariate growth model, when constrained to pass through the biological
645 intercept for this species, produced mean back-calculated length estimates that were more
646 consistent with the mean observed lengths at age than those of other traditional back-
647 calculation approaches. The results of this study strongly support the conclusion that back-
648 calculated lengths calculated for *A. butcheri* in the Wellstead Estuary using the
649 proportionality-based bivariate growth approach, and employing the biological intercept, are
650 more reliable than those produced by the alternative back-calculation approaches that were
651 considered. The approach is likely to be of value for other back-calculation studies, and may,
652 as in the case of *A. butcheri*, provide estimates of back-calculated length that improve on
653 those produced by traditional approaches.

654 **Acknowledgements**

655 This research was sponsored by Murdoch University and Recfishwest. Gratitude is
656 expressed to Murdoch University for logistical support whilst in the field, P. Coulson for his
657 skilled assistance in the laboratory and as second reader of otolith zones counts,
658 G. Thompson for his microscopy expertise and for helping detect the otoliths of *A. butcheri*
659 larvae, and J. Williams and D. Yeoh for assisting in the collection of *A. butcheri* for this
660 study. We also thank Michaël Manca for his advice and Bruce Ginboy at the Australian
661 Centre for Applied Aquaculture Research, Challenger, Institute of Technology (Fremantle),
662 for providing black bream eggs for the purpose of determining the biological intercept used in
663 this study.

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747 **Table 1.** Regression equations of the back-calculation approaches described by Morita and
 748 Matsuishi (2001), Finstad (2003) and Vigliola and Meekan (2009), or derived from those
 749 equations.

Eq.	Regression equation	Source
(1)	$R = \alpha + \beta L + \gamma t$	Morita and Matsuishi (2001)
(2)	$R = \alpha + \beta L + \gamma t + \delta L t$	Finstad (2003)
(3)	$L = \alpha + \beta R + \gamma t$	Re-arranged version of eq. 1 (AEBPH in Vigliola and Meekan 2009)
(4)	$L = (R + \alpha + \gamma t) / (\beta + \delta t)$	Re-arranged from eq. 2
(5)	$L = \alpha + \beta R + \gamma t + \delta R t$	Analogous linear form of eq. 2

750 **Note.** R = otolith radius (μm); L = total length (mm); t = age (years); α , β , γ , and δ =
 751 parameters of regression equation. AEBPH = Age Effect Body Proportional Hypothesis.

752 **Table 2.** Regression equations, which pass through the biological intercept, modified (or
 753 developed) from the equations of Morita and Matsuishi (2001), Finstad (2003) and Vigliola
 754 and Meekan (2009).

Eq.	Regression equation	Source
(6)	$R = R_{BI} + \beta(L - L_{BI}) + \gamma(t - t_{BI})$	Modified from eq. 1
(7)	$R = R_{BI} + \beta(L - L_{BI}) + \gamma(t - t_{BI}) + \delta(Lt - L_{BI}t_{BI})$	Modified from eq. 2
(8)	$L = L_{BI} + \beta(R - R_{BI}) + \gamma(t - t_{BI})$	Modified from eq. 3
(9)	$L = ([L_{BI}(\beta + \delta t_{BI}) - R_{BI} - \gamma t_{BI}] + R + \gamma t) / (\beta + \delta t)$	Modified from eq. 4
(10)	$L = L_{BI} + \beta(R - R_{BI}) + \gamma(t - t_{BI}) + \delta(Rt - R_{BI}t_{BI})$	Modified from eq. 5

755 **Note.** R_{BI} = otolith radius at biological intercept (μm); L_{BI} = total length (mm) at biological
 756 intercept; t_{BI} = age (years) associated with the biological intercept; R = otolith radius (μm);
 757 L = total length (mm); t = age (years); α , β , γ , and δ = parameters of regression equation.

758 **Table 3a.** Root Mean Square Error (RMSE) and mean error (ME) of total length estimates
 759 for the bivariate growth model and models derived from the Morita and Matshuishi (2001)
 760 and Finstad (2003) models calculated using ten-fold cross-validations for samples of 50 fish
 761 for each of *Acanthopagrus butcheri*, *Argyrosomus japonicus*, *Bodianus frenchii*,
 762 *Epinephelides armatus*, *Epinephelus coioides*, and *Glaucosoma hebraicum*. The percentages
 763 by which the RMSE of the alternative models differ from that of the bivariate growth model
 764 are presented in parentheses.

Species	Accuracy and precision measures	BG	AEBPH	RIT	AIT
<i>Acanthopagrus butcheri</i>	RMSE	16.97	22.90 (34.96)	29.53 (74.04)	17.69 (4.26)
	ME	0.08	-0.22	-2.98	0.25
<i>Argyrosomus japonicus</i>	RMSE	87.36	173.62 (98.74)	144.62 (65.54)	123.60 (41.48)
	ME	-2.35	-3.30	28.35	-3.28
<i>Bodianus frenchii</i>	RMSE	22.49	37.97 (68.80)	26.53 (17.94)	32.21 (43.22)
	ME	0.50	0.14	0.06	1.51
<i>Epinephelides armatus</i>	RMSE	38.66	44.29 (14.57)	62.06 (60.54)	42.90 (10.97)
	ME	2.26	0.11	5.84	0.37
<i>Epinephelus coioides</i>	RMSE	55.97	60.20 (7.57)	190.64 (240.61)	58.82 (5.09)
	ME	-0.37	-0.35	-23.09	-0.25
<i>Glaucosoma hebraicum</i>	RMSE	62.67	68.52 (9.30)	284.27 (353.47)	65.26 (4.11)
	ME	2.23	-0.83	-40.61	-0.85

765 **Note.** Bold font identifies the minimum RMSE for each species. BG = Bivariate Growth
 766 model; AEBPH = Age Effect Body Proportional Hypothesis; RIT = the re-arranged form of
 767 the regression equation used by Finstad (2003); AIT = the analogous form of the regression
 768 equation used by Finstad (2003).

769 **Table 3b.** Root Mean Square Error (RMSE) and mean error (ME) of otolith radius estimates
 770 for the bivariate growth model and for the Morita and Matshuishi (2001) and Finstad (2003)
 771 models calculated using ten-fold cross-validations for samples of 50 fish for each of
 772 *Acanthopagrus butcheri*, *Argyrosomus japonicus*, *Bodianus frenchii*, *Epinephelides armatus*,
 773 *Epinephelus coioides*, and *Glaucosoma hebraicum*. The percentages by which the RMSE of
 774 the alternative models differ from that of the bivariate growth model are presented in
 775 parentheses.

Species	Accuracy and precision measures	BG	AE	IT
<i>Acanthopagrus butcheri</i>	RMSE	0.069	0.070 (1.10)	0.072 (3.78)
	ME	0.003	< -0.001	0.001
<i>Argyrosomus japonicus</i>	RMSE	0.484	0.519 (7.28)	0.548 (13.19)
	ME	0.025	0.009	-0.035
<i>Bodianus frenchii</i>	RMSE	0.108	0.105 (-2.72)	0.103 (-4.72)
	ME	0.001	-0.001	< 0.001
<i>Epinephelides armatus</i>	RMSE	0.095	0.101 (6.61)	0.100 (4.99)
	ME	0.006	< 0.001	0.001
<i>Epinephelus coioides</i>	RMSE	0.097	0.106 (8.98)	0.094 (-3.55)
	ME	0.001	-0.001	0.001
<i>Glaucosoma hebraicum</i>	RMSE	0.176	0.199 (13.14)	0.191 (8.54)
	ME	0.004	-0.002	< 0.001

776 **Note.** Bold font identifies the minimum RMSE for each species. BG = Bivariate Growth
 777 model; AE = Age Effect model; IT = Interaction Term model.

778 **Table 4a.** Root Mean Square Error (RMSE) and mean error (ME) of total length estimates
 779 for the bivariate growth model and for models derived from the Morita and Matshuishi
 780 (2001) and Finstad (2003) models calculated using holdout and ten-fold cross-validations
 781 with and without the biological intercept for samples of *Acanthopagrus butcheri*. The
 782 percentages by which the RMSE of the alternative models differ from that of the bivariate
 783 growth model are presented in parentheses.

Method	Accuracy and precision measures	Number of fish	Without the Biological Intercept				With the Biological Intercept			
			BG	AEBPH	RIT	AIT	BG	AEBPH	RIT	AIT
Holdout validation	RMSE	N = 128	17.14	21.52	16.84	17.36	17.34	22.58	21.34	18.08
				(25.56)	(-1.72)	(1.28)		(30.22)	(23.05)	(4.27)
	ME		2.44	3.59	2.23	2.34	2.76	5.63	3.58	3.18
Ten-fold cross-validation	RMSE	N = 120	16.90	21.74	16.84	17.46	16.79	22.23	17.89	18.11
				(28.64)	(-0.37)	(3.34)		(32.43)	(6.52)	(7.85)
	ME		0.02	-0.39	-0.01	-0.03	0.03	1.03	1.31	1.08

784 **Note.** Bold font identifies the minimum RMSE for each species. BG = Bivariate Growth
 785 model; AEBPH = Age Effect Body Proportional Hypothesis; RIT = the re-arranged form of
 786 the regression equation used by Finstad (2003); AIT = the analogous form of the regression
 787 equation used by Finstad (2003). The holdout validation approach used 128 fish, 50 of which
 788 were employed when calculating the parameters of the models and 78 of which were held
 789 outside the fitting process for use in testing the accuracy and precision of model predictions.
 790 The ten-fold cross-validation involved the use of 120 fish, with each prediction based on a
 791 model fitted to data for 108 fish and predictions calculated for the other 12 fish on each pass
 792 through of the approach.

793 **Table 4b.** Root Mean Square Error (RMSE) and mean error (ME) of total length estimates
 794 for the bivariate growth model and the Morita and Matshuishi (2001) and the Finstad (2003)
 795 models calculated using holdout and ten-fold cross-validations with and without the
 796 biological intercept for samples of *Acanthopagrus butcheri*. The percentages by which the
 797 RMSE of the alternative models differ from that of the bivariate growth model are presented
 798 in parentheses.

Method	Accuracy and precision measures	Number of fish	Without the Biological Intercept			With the Biological Intercept		
			BG	AE	IT	BG	AE	IT
Holdout validation	RMSE	N = 128	0.070	0.076 (8.46)	0.072 (3.28)	0.071	0.084 (18.75)	0.570 (702.21)
	ME		-0.016	-0.019	-0.018	-0.016	-0.006	0.559
Ten-fold cross-validation	RMSE	N = 120	0.070	0.074 (6.28)	0.072 (2.95)	0.070	0.082 (16.13)	0.563 (700.32)
	ME		0.002	-0.001	< -0.001	0.002	0.007	0.550

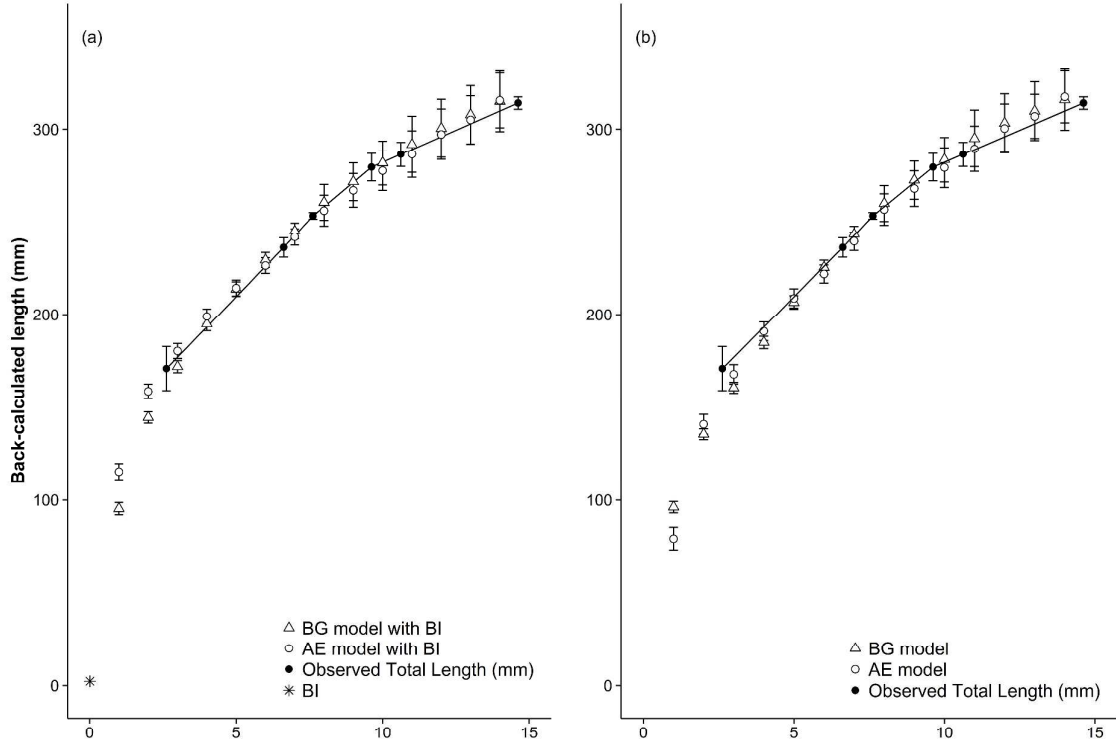
799 **Note.** Bold font identifies the minimum RMSE for each species. BG = Bivariate Growth
 800 model; AE = Age Effect model; IT = Interaction Term model. The holdout validation
 801 approach used 128 fish, 50 of which were employed when calculating the parameters of the
 802 models and 78 of which were held outside the fitting process for use in testing the accuracy
 803 and precision of model predictions. The ten-fold cross-validation involved the use of 120
 804 fish, with each prediction based on a model fitted to data for 108 fish and predictions
 805 calculated for the other 12 fish on each pass through of the approach.

806 **Table 5.** Mean observed total length (mm) and mean age of fish within each age class in the 2013 sample of *Acanthopagrus butcheri*, together
 807 with mean back-calculated total lengths (mm) for fish at ages at zones bounding the age classes, calculated using different back-calculation
 808 approaches.

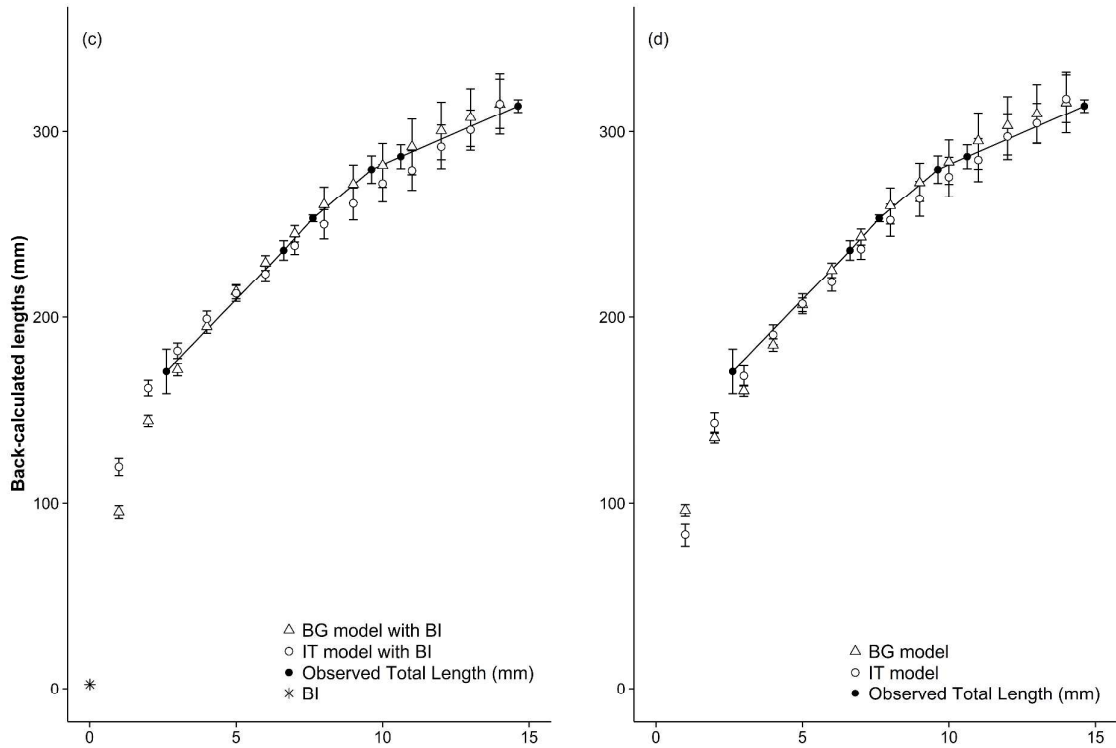
Mean age of fish in age class at capture* and at zone (years)													
	2	2.62*	3	6	6.62*	7	7.62*	8	9	9.62*	10	10.62*	11
Observed TL (mm)		170.80 (5.27)			236.00 (2.58)		253.15 (0.94)			279.50 (3.52)		286.50 (3.26)	
Mean of back-calculated lengths for fish of different ages at zones													
BG model	136.43 (2.57)		161.32 (2.11)	226.79 (1.24)		244.16 (0.83)		259.60 (1.40)	272.01 (1.78)		282.97 (3.01)		295.01
BG model with BI	144.67 (1.93)		173.23 (2.78)	231.37 (2.05)		246.77 (1.29)		260.64 (0.84)	271.65 (1.08)		281.50 (1.51)		291.84
AE model	138.44 (3.51)		169.12 (5.95)	227.00 (3.79)		241.68 (2.91)		256.83 (2.91)	267.60 (2.86)		278.64 (3.13)		289.41
AE model with BI	156.25 (3.03)		181.27 (4.29)	229.76 (3.00)		243.43 (2.65)		256.93 (1.56)	267.61 (1.72)		277.52 (0.46)		286.54
IT model	140.20 (3.62)		169.57 (6.43)	224.58 (4.14)		238.43 (4.13)		253.29 (2.04)	264.15 (2.86)		275.16 (1.77)		284.67
IT model with BI	158.77 (3.40)		182.38 (4.90)	226.97 (3.54)		239.44 (4.35)		252.23 (3.16)	262.99 (2.90)		272.46 (2.18)		279.06
MF model	145.36 (2.03)		168.54 (3.87)	221.81 (3.15)		235.98 (4.71)		248.97 (4.65)	262.00 (4.41)		271.55 (4.87)		277.82

809 **Note.** Standard errors are indicated between parentheses. Bold font indicates the range of back-calculated mean lengths at ages at zone between
810 which mean observed total length (TL) falls for the corresponding age at capture (*). BI = biological intercept; BG = Bivariate Growth model;
811 AE = Age Effect model described by Morita and Matsuishi (2001); IT = Interaction Term model described by Finstad (2003); MF = Modified
812 Fry model described by Vigliola et al. (2000).

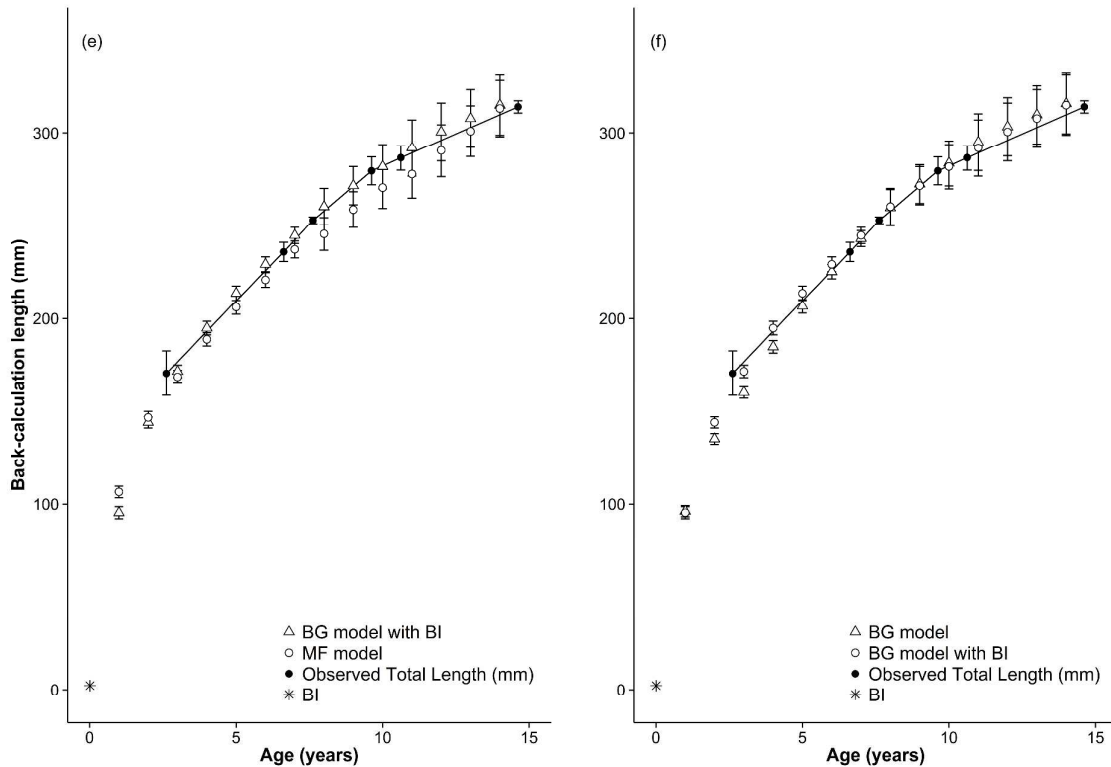
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816 **Figure 1.** Comparison of mean observed total length (mm) versus mean age (years) of fish
 817 within each age class in the 2013 sample of *Acanthopagrus butcheri*, with the means of the
 818 total lengths (mm) at different ages at zones calculated using the different back-calculation
 819 approaches, i.e., the Bivariate Growth model (BG), the Age Effect model (AE) (i.e., the
 820 model described by Morita and Matsuishi (2001)), the Interaction Term model (IT) (i.e.,
 821 model described by Finstad (2003)) and the Modified Fry model (MF) (i.e., model described
 822 by Vigliola et al. (2000)) with and without constraining the data through a biological
 823 intercept (BI) for *Acanthopagrus butcheri*. 95% confidence intervals are represented as error
 824 bars. Note that data for the single fish at 21 years of age was excluded.

1 **Supplemental materials for Ashworth *et al.* CJFAS**

2 **Table S1.** Maximum ages and total lengths (TL), sexuality, and habitats of *Acanthopagrus*
 3 *butcheri*, *Argyrosomus japonicus*, *Bodianus frenchii*, *Epinephelides armatus*, *Epinephelus*
 4 *coioides* and *Glaucosoma hebraicum*.

Species	Max. age (years)	Max. TL (mm)	Sexuality	Habitat	References
<i>Acanthopagrus butcheri</i>	31	530	Gonochorist	Temperate estuaries	Sarre and Potter (2000) Jenkins et al. (2006) Potter et al. (2008)
<i>Argyrosomus japonicus</i>	31	2000	Gonochorist	Coastal marine waters, seasonally entering estuaries	Farmer et al. (2005) Gomon et al. (2008)
<i>Bodianus frenchii</i>	78	480	Protogynous hermaphrodite	Over and around coastal temperate reefs	Gomon et al. (2008) Cossington et al. (2010) Platell et al. (2010)
<i>Epinephelides armatus</i>	19	510	Gonochorist	Over and around coastal temperate reefs	Moore et al. (2007) Gomon et al. (2008) Platell et al. (2010)
<i>Epinephelus coioides</i>	22	1110	Protogynous hermaphrodite	Subtropical/tropical mangrove nursery habitats and coastal reefs	Heemstra and Randall (1993) Heemstra (1995) Pember et al. (2005)
<i>Glaucosoma hebraicum</i>	41	1120	Gonochorist	Temperate coastal marine waters, around reefs	Hesp et al. (2002) Lenanton et al. (2009) Platell et al. (2010)

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54 **Table S2.** Location and sampling regimes for *Acanthopagrus butcheri*, *Argyrosomus*
 55 *japonicus*, *Bodianus frenchii*, *Epinephelides armatus*, *Epinephelus coioides* and *Glaucosoma*
 56 *hebraicum* in estuarine and coastal waters along the western coast of Australia.

Species	Location	Method	References
<i>Acanthopagrus butcheri</i>	Wellstead Estuary (34°50'S, 118°60'E)	Seine and gill netting	Present study
<i>Argyrosomus japonicus</i>	Coastal waters between Carnarvon (24°53'S, 113°39'E) and Augusta (34°19'S, 115°10'E)	Gill netting Rod and line angling	Farmer et al. (2005)
<i>Bodianus frenchii</i>	Coastal marine waters along the lower west coast (between 30°18'S, 115°02'E and 32°30'S, 115°42'E)	Gill netting Rod and line angling Spear fishing	Cossington et al. (2010)
<i>Epinephelides armatus</i>	Coastal marine waters off the lower west coast of Australia (between 30°18'S, 115°02'E and 32°30'S, 115°42'E) (Murray Reef, Rottneest Island)	Fish traps Rod and line angling	Moore et al. (2007)
<i>Epinephelus coioides</i>	Kimberley and Pilbara coast (between 16°00'S, 126°00'E and 21°00'S, 119°00'E)	Fish traps Rod and line angling Trawl	Pember et al. (2005)
<i>Glaucosoma hebraicum</i>	Lower west coast of Australia between Mandurah (32°32'S) and the Houtman Abroholos (28°35'S)	Rod and line angling Spear fishing Trawl	Hesp et al. (2002)

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