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Promoted Exchange Reaction Between Alkanethiolate Self-Assembled Monolayers and an Azide-Bearing Substituent

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6 **Promoted Exchange Reaction Between Alkanethiolate Self-Assembled Monolayers and**
7 **an Azide-Bearing Substituent**
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Abstract

The possibility of ultraviolet (UV) light promoted exchange reaction (UVPER) between the primary alkanethiolate (AT) self-assembled monolayers (SAM) and an azide-functionalized substituent (12-Azido-1-dodecanethiol, C12N3), capable of click reaction with ethynyl-bearing species, is demonstrated. This reaction resulted in the mixed AT/C12N3 films, with the portion of C12N3 precisely controlled by selection of a suitable UV dose. As the primary matrix, either non-substituted or oligo(ethylene glycole) (OEG) substituted AT SAMs were used, targeting mixed SAMs of chemical and biological significance. To demonstrate the flexibility of the approach, UV light with two different wavelengths (254 and 375 nm) was used, applied to the non-substituted and OEG-substituted AT SAMs, respectively. The surface density of the chemically active azide groups embedded in the non-reactive primary matrix could be varied according to the composition of the mixed SAMs, as demonstrated by the subsequent click reaction between these SAMs and several representative functional moieties bearing a suitable group for the click reaction with azide. For the OEG-AT/C12N3 films, this resulted in the preparation of templates for specific protein adsorption, comprising biotin-bearing moieties embedded in the protein-repelling OEG-AT matrix. The density of the biotin receptors was varied according to the density of the C12N3 moieties. The templates exhibited much higher affinity to the specific protein (avidin) as compared to a non-specific one. The surface density of avidin could be varied in accordance with the density of the biotin receptors, i.e. directly controlled by the UV dose within the UVPER procedure. The entire approach was extended to lithography, relying on a commercial maskless UV lithography setup. Representative gradient patterns of specifically attached avidin in the protein-repelling OEG-AT matrix were fabricated.

1. Introduction

Self-assembled monolayers (SAMs) have since long become a valuable part of modern nanotechnology.¹⁻³ One of the major advantages of these systems is the possibility of precise control of chemical composition of surfaces and interfaces. This is generally achieved by selection of a proper terminal tail group which, provided a contamination-free character and a dense molecular packing of the monolayer, comprises the SAM-ambient interface, redefining the chemical properties of the entire system. The family of potential tail groups is very broad, ranging from small moieties such as methyl or hydroxyl to larger species of electrochemical (e.g., ferrocene), nanotechnological (e.g., azobenzene) or biological (e.g., biotin) significance.³

Along with one-component SAMs bearing a specific tail group, mixed monolayers comprising of molecules with different tail groups can be formed. Such monolayers have several advantages as compared to the single-component films. First, the variety of possible chemistries can be significantly extended. Second, chemical composition of the SAM-ambient interface can be precisely tuned to achieve a particular property or to serve a particular application. Third, the surface density of a particular tail group can be precisely varied, with the second tail group serving as a passive matrix.

The most popular way to prepare mixed monolayer is coadsorption, starting from a mixed solution of both SAM precursors within the standard immersion procedure. The resulting surface composition can, however, differ noticeably or even drastically from the solution composition. An even more important constraint is a possible phase separation in the mixed SAMs, following a thermodynamical drive for aggregation of similar species. Such a phase separation can affect the properties of the mixed films, especially at the nanoscale, resulting in failing performance of the entire system. An alternative approach is a backfilling procedure, starting from a low-density film of one component and filling the residual space with the second component, until a dense molecular film is formed. This procedure can, however, be only applied to certain molecular precursors, differing significantly in their SAM building ability. Finally, there is a substitution procedure, relying on the substitution reaction between the primary, single-component monolayer and a second SAM precursor bearing a different tail

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3 group. Such a procedure works, however, for certain combinations of molecules only. In
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5 addition, the substitution reaction can take very long and occur to a limited extent only.
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8 Recently, we have suggested a way to avoid the limitations of the standard substitution
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10 reaction promoting it by electron irradiation of the primary, single-component SAM or by its
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12 exposure to ultraviolet (UV) light.⁴⁻⁸ Such a pretreatment creates subtle defects in the primary
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14 monolayer, which, subsequently, enables effective exchange reaction between the
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16 defect-affected molecules in the primary film and potential substituents in solution. As a result,
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18 the kinetics of the substitution process can be significantly accelerated and the composition of
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20 the resulting mixed SAMs can be varied in a broad range by adjusting either irradiation dose
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22 or duration of the exchange reaction.⁵ Limitations related to the specific SAM precursors can
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24 be released as well, so that a very broad variety of different molecules can be mixed, starting,
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26 most frequently, from a primary SAM of non-substituted or specifically substituted
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28 alkanethiolates (AT) on gold. Finally, the promoted exchange reaction can be applied
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30 lithographically, resulting in quite sophisticated chemical and biological patterns.^{9,10}

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32 A useful extension of the above approach can be a use of a substituent bearing a tail group
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34 capable of further modification of subsequent attachment chemistry. A perspective group in
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36 this regard is azide ($-N=N^+=N^-$) which is capable of click reaction with ethynyl, enabling
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38 attachment of a broad variety of species having the respective substitution.¹¹⁻¹⁶ Accordingly,
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40 in the present study we tested the possibility to use azide-substituted ATs as substituents in
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42 promoted exchange reaction. As primary matrix we utilized either non-substituted or
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44 oligo(ethylene glycol) (OEG) substituted ATs, targeting mixed SAMs of chemical and
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46 biological significance. It is well-known that properly designed OEG substituted monolayers
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48 exhibit pronounced protein-repelling properties, building therefore an ideal, biologically-inert
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50 matrix for specific biological receptors.¹⁷⁻²² As a promoting tool for the substitution reaction
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52 we used UV light which has several essential advantages over electron irradiation since the
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54 exposure of SAMs to UV light does not require vacuum and can be performed under standard
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56 laboratory conditions^{7,23,24} and even in solution²⁵.

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58 To demonstrate the flexibility of the approach we used the light with two different
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60 wavelengths, viz. 254 nm and 375 nm, applying it to the non-substituted and OEG-substituted

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3 AT SAMs, respectively. As shown in our previous studies,^{7,23} UV light can be applied in a
4 broad wavelength range within the UVPER approach but the efficiency of this reaction
5 decreases significantly with increasing wavelength of UV light, following the behavior of the
6 cross-sections of the UV induced damage. Consequently, a major advantage of UVPER at 254
7 nm is its high efficiency while UV light with a longer wavelength has more potential for
8 lithography, in particular because of the availability of respective, commercial lithographic
9 setups. Note, however, that UV lithography with SAM-like resists and templates can be
10 performed at shorter wavelengths as well but requires quite sophisticated, custom-designed
11 experimental setups.²⁶⁻²⁸
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22 2. Experimental

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24 **Materials and Chemicals.** All solvents were purchased from Sigma-Aldrich and used as
25 received. The SAM precursors used in this study, viz. HS-(C₂H₂)₁₁-CH₃ (C12),
26 HS-(CH₂)₁₁(OCH₂CH₂)_n-OH (EGn; n = 3 and 6), and 12-Azido-1-dodecanethiol (C12N3)
27 are shown in Schema 1. The C12 and EGn compounds were purchased from Sigma-Aldrich
28 and ProChimia Surfaces, respectively, and used as received. The C12N3 compound was
29 custom-synthesized. The description of the syntheses procedure and the respective
30 spectroscopic data be found in the Supporting Information.
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38 The gold substrates were purchased from Georg Albert PVD-Beschichtungen and used as
39 received. They were prepared by thermal evaporation of gold (30-100 nm thickness, 99.99%
40 purity) onto polished single-crystal silicon (100) wafers (Silicon Sense) that had been
41 precoated with a 5 nm titanium adhesion layer. The films were polycrystalline, exposing
42 preferably (111) orientated surfaces of individual crystallites.
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48 The compounds for the click reaction included 1-ethynyl-3,5-bis(trifluoromethyl)benzene
49 (EFB, 630241), dibenzocyclooctyne-PEG4-biotin conjugate (DBPB), and biotin-PEG4-alkyne
50 (BPA). These compounds were purchased from Sigma-Aldrich and are shown in Schema 2.
51 Further compounds and biomolecules used in this study include (+)-sodium L-ascorbate
52 (A7631) and copper(II) sulfate pentahydrate (209198), avidin (A9275), and bovine serum
53 albumin (BSA, A7638). All these compounds were purchased from Sigma-Aldrich.
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3 **SAM Preparation.** The primary SAMs were fabricated by immersion of the freshly prepared
4 substrates in 1 mmol solutions of either C12 or EGn compounds in absolute ethanol for 24 h
5 at room temperature. After immersion, the films were rinsed with ethanol and blown dry with
6 argon. Extensive characterization showed no evidence of impurities or oxidative degradation
7 products.
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12 **General Comments:** The entire procedure, including UV irradiation, promoted exchange
13 reaction, subsequent click reaction, and attachment of proteins (for the EGn case only) is
14 illustrated in Figure 1. The fabricated films were characterized by contact angle goniometry,
15 X-ray photoelectron spectroscopy (XPS), and near-edge fine structure absorption (NEXAFS)
16 spectroscopy. The fabricated protein patterns (see below) were imaged by secondary electron
17 microscopy (SEM).
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25 **UV-Irradiation:** The UV irradiation treatment was performed under ambient conditions. UV
26 light with two different wavelengths, viz. 254 and 375 nm, was used, applied to the C12 and
27 EGn films, respectively. The 254 nm light was provided by short-wave (UVC) Hg vapor
28 lamps (Benda Konrad Laborgeräte). The 375 nm light was provided by a combination of the
29 V4100 - DLP Discovery™ Production Bundles and the High-power UV LED Optical
30 Module for DLP Discovery™ 0.7 XGA DMD purchased from Texas Instruments Inc. via
31 ViALUX GmbH, Germany. The DMD chip contains 1024 × 768 individually controlled
32 micromirrors, with 13.6 μm pitch. The high power UV LED Optical Module consists of a 10
33 W UV LED source (375 nm) with the respective illumination and projection optics. The LED
34 source was operated at 80% power. See ref 24 for details of this experimental setup.
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44 Along with homogeneous irradiation, the DLP setup was used to fabricate lithographic
45 patterns. The patterns were designed with Microsoft Paint and fed as JPEG files to the DMD
46 controller.
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50 **Exchange Reaction.** The pristine and UV-light-treated C12 and EGn SAMs were immersed
51 into 1mg/ml C12N3 solution in ethanol for 2h. After immersion, the films were rinsed with
52 ethanol and blown dry with argon.
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57 **Click Reaction.** Click reaction was performed in either catalyst-mediated or catalyst-free
58 fashion. CuSO₄ was reduced by sodium ascorbate at RT to get Cu⁺ as catalyst. Subsequently,
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3 the C12/C12N3 films were immersed into mixed solution of Cu^+ and EFB at RT for 72h.
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5 Similarly, the EGn/C12N3 films were immersed into mixed solution of Cu^+ and BPA at RT
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7 for 72h (catalyst-mediated click reaction). In addition, catalyst-free click reaction was
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9 performed, by immersing the EGn/C12N3 films into solution of DBPB at RT for 72h. The
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11 results of the latter reaction are described in detail, while the results of the catalyst-mediated
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13 click reaction with BPA, which was efficient as well, will be presented elsewhere.

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15 **Protein Adsorption.** BSA and avidin were used to test non-specific and specific protein
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17 affinity of the DBPB- and BPA-functionalized EGn/C12N3 templates. The protein adsorption
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19 was carried out from a 0.1 mg/ml solution of the respective protein in PBS (pH = 7.4 at 25°C).
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21 The samples were washed in Millipore water and dried under argon flow.

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23 **Contact Angle Goniometry.** The single-component and mixed monolayers were
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25 characterized by contact angle goniometry. Advancing contact angles of Millipore water were
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27 measured on freshly prepared samples with a Kruss goniometer Model G1. The measurements
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29 were performed under ambient conditions with the needle tip in contact with the drop. The
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31 drop volume was about 2 μL . At least three measurements at different locations on each
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33 sample were made. The averaged values are reported. Deviations from the average were less
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35 than 2°.

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37 **XPS.** For the XPS characterization, a dedicated spectrometer (MAX200, Leybold-Heraeus)
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39 equipped with an Mg $K\alpha$ X-ray source (1253.6 eV; 200 W) and a hemispherical analyser was
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41 used. The X-ray source was positioned ~1.5 cm away from the sample. The spectra were
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43 recorded in normal emission geometry with an energy resolution of ~0.9 eV. The recorded
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45 spectra were divided by the spectrometer transmission function and the binding energy (BE)
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47 scale was referenced to the Au $4f_{7/2}$ peak of clean gold at 84.0 eV.²⁹ Apart from the
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49 characterization of the SAMs, XPS was also used to monitor the protein adsorption, which
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51 was performed on the basis of the characteristic N 1s signal following the methodology of our
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53 previous publications.^{7,8,23}

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55 **NEXAFS Spectroscopy.** NEXAFS spectroscopy measurements were performed at the
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57 bending magnet HE-SGM beamline of the synchrotron storage ring BESSY II
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59 (Helmloltz-Zentrum-Berlin). A dedicated experimental station was used.³⁰ The spectra
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61 acquisition was carried out at the carbon and nitrogen K-edges in the partial electron yield

(PEY) mode with retarding voltages of -150 V and -300 V, respectively. As the primary X-ray source, linearly polarized synchrotron light with a polarization factor of $\sim 91\%$ was used. The incidence angle of the X-rays was varied in some cases following the standard approach.³¹ The energy resolution was ~ 0.3 eV at the C K-edge and ~ 0.5 eV at the N K-edge. The photon energy (PE) scale was referenced to the pronounced π^* resonance of highly oriented pyrolytic graphite at 285.38 eV.³² Raw C-K-edge NEXAFS spectra were normalized to the incident photon flux by division through a spectrum of a clean, freshly sputtered gold sample. Subsequently, these spectra were reduced to the standard form by subtracting a linear pre-edge background and normalizing to the unity edge jump (determined by a nearly horizontal plateau 40 - 50 eV above the respective absorption edges). The N K-edge spectra are presented as measured, after a suitable normalization procedure.

SEM. The fabricated protein patterns were imaged using a Leo 1530 Gemini SEM device (Zeiss, Germany). The images were recorded at an acceleration voltage of 5 kV. The residual gas pressure was ca. 5×10^{-6} mbar.

3. Results and Discussion

3.1. C12/C12N3 Monolayers

The results of UVPER for the C12 templates were monitored by contact angle goniometry, XPS, and NEXAFS spectroscopy. Advancing water contact angle and derived portion of C12N3 for the C12/C12N3 SAMs fabricated by UVPER (step 1 in Figure 1a) are presented in Figure 2 as functions of UV dose. The portion of C12N3 was calculated according to the Cassie law, viz. $\cos \theta_M = f \cos \theta_1 + (1-f) \cos \theta_2$, where θ_M is the contact angle of the mixed C12/C12N3 monolayer and θ_1 and θ_2 are the contact angles of the reference, single-component C12N3 and C12 SAMs, respectively. The latter values were estimated at 71° (C12N3) and 110° (C12). According to Figure 2, the contact angle decreases gradually with the increasing UV dose, manifesting the efficient exchange reaction with the extent controlled by the dose. According to the evaluation, the portion of C12N3 in the C12/C12N3 monolayers can be varied from $\sim 20\%$ to $\sim 90\%$ upon the dose variation from 0 to 10 J/cm². Significantly, the exchange reaction occurs to some extent even without UV irradiation (at the given

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3 conditions), which limits slightly the dynamical range of UVPER and diminishes contrast of
4 potential chemical patterns prepared by UVPER based lithography.
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8 The above results were supported by the XPS data. The N 1s XPS spectra of the C12 SAMs
9 after the non-promoted and promoted exchange reaction with C12N3 are shown in Figure 3
10 for several selected doses, along with the spectrum of the single-component C12N3
11 monolayer taken as a reference. The latter spectrum exhibits characteristic emissions of the
12 azide group at BEs of 400.7 and 404.1 eV, corresponding to the nitrogen atoms within the
13 azide group which are richer and poorer in electrons.^{12,16,33} The spectrum agrees well with the
14 literature data,³⁴ including the intensity relation between the both components, and exhibits no
15 trace of X-ray induced damage, which is of particular importance for azide-containing
16 systems because of their sensitivity to ionizing radiation.³⁴ The spectra of the films prepared
17 by UVPER exhibit the same emission structure, establishing the formation of mixed
18 C12/C12N3 monolayers and intact character of the azide groups in these films. The intensity
19 of the characteristic emissions increases with increasing dose, in accordance with the data in
20 Figure 2, establishing once more that the portion of C12N3 in the mixed C12/C12N3 SAMs
21 can be precisely controlled by UV dose. The spectrum of the film prepared by non-promoted
22 exchange reaction (0 J/cm^2) exhibits no characteristic features of the azide group. This can be,
23 however, related to the noisy character of this spectra associated with the comparably low
24 photoionization cross-section of nitrogen and the limited time for the spectra acquisition.
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26 Consequently, a certain extent of non-promoted exchange reaction cannot be excluded, above
27 all in view of the contact angle data (Figure 2).
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44 The NEXAFS data for the C12 SAMs after promoted (variable dose) exchange reaction with
45 C12N3 are presented in Figure 4, along with the spectra of the single-component C12N3 and
46 C12 monolayers taken as references. Two kinds of spectra are shown, viz. the spectra
47 acquired at an X-ray incidence angle of 55° , which are exclusively representative of the
48 electronic structure of the films, and the difference between the spectra collected under the
49 normal (90°) and grazing (20°) incidence geometry, which is representative of the molecular
50 orientation in the monolayers.³¹ The C K-edge spectrum of the single-component C12 SAM
51 in Figure 4a exhibits the characteristic absorption resonances of alkyl chain, viz. a most
52 prominent feature at $\sim 287.7 \text{ eV}$ (**1**) associated frequently with predominantly Rydberg states³⁵
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3 (see ref 5 for a discussion regarding the alternative assignments) as well as two broader
4 resonances at ~ 293.4 eV (**2**) and ~ 301.6 eV (**3**) related to valence, antibonding C–C σ^* and
5 C–C' σ^* orbitals, respectively. These resonances exhibit pronounced linear dichroism, i.e.
6 intensity dependence on X-ray incidence angle, as evidenced by the appearance of the intense
7 peaks at the positions of these resonances in the difference spectra in Figure 4c. This suggests,
8 as expected, a high orientational order in the C12 monolayers, with an upright orientation of
9 the molecular chains, as follows from the specific signs of the difference peaks in the 90° - 20°
10 spectra.⁵

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19 The C K-edge spectra of the single-component C12N3 SAMs and the monolayers prepared by
20 UVPER exhibit the same absorption structure as in the C12/Au case (Figure 4a),
21 corresponding to the aliphatic backbone. The 90° - 20° spectra of these films exhibit
22 pronounced linear dichroism, with the similar signs of the difference peaks as for C12/Au
23 (Figure 4c). This suggests that (i) azide substitution does not result in significant disordering
24 of the resulting AT monolayers and (ii) the molecular order is still persistent upon the mixture
25 of C12 and C12N3. Note, however, that the lower intensities of the difference peaks in the
26 spectra of C12N3 and C12/C12N3 SAMs suggest a low extent of the orientational order in
27 these systems as compared to C12/Au. This is expectable since an ω -substitution usually has
28 such an effect (C12N3/Au) and the mixing can be associated with a certain degree of disorder
29 (C12/C12N3 SAMs).⁵ Quantitative evaluation of the C K-edge NEXAFS data within the
30 standard procedure,^{31,36} relying on the intensity of the R* resonance, gives average tilt angles
31 of the alkyl backbones of 34° , 37° , 40° , and 37° for C12/Au, C12N3/Au and C12/C12N3
32 SAMs prepared at 5 and 10 J/cm², respectively (with a standard accuracy of such an
33 evaluation, $\pm 3^\circ$). The former value is very close to the literature one^{37,38} while the latter values
34 are only slightly higher, supporting the above conclusion regarding a minor effect of the azide
35 substitution on the molecular orientation and establishing that the orientational order of the
36 C12/C12N3 SAMs is close to that of C12N3/Au.

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53 The N K-edge spectrum of the single-component C12N3 SAM in Figure 4c exhibits the
54 characteristic absorption resonances of the azide group at photon energies of 399.7 eV (**1**),
55 400.9 eV (**2**), 402.6 eV (**3**), and 404.0 eV (**4**). Both the positions of these resonances and their
56 relative intensities agree well with the previous work.³⁹ The two former resonances (**1** and **2**)
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3 are associated with the terminal nitrogen atoms of the azide group and correspond to electron
4 transitions from the N 1s state to two different π^* orbitals.³⁹ The two latter resonances (3 and
5 4) are related to the positively charged, middle nitrogen atom of the azide group and
6 correspond to electron transitions from the respective N 1s state to two different π^* orbitals.³⁹
7 Similar to the C K-edge case, the N K-edge spectra of C12N3/Au exhibit pronounced linear
8 dichroism (Figure 4d), suggesting that the azide groups at the SAM-ambient interface are well
9 ordered. The positive sign of the π^* difference peaks in the 90°-20° spectrum of C12N3/Au
10 suggests an upright orientation of the azide groups, in view of the perpendicular orientation of
11 the π^* orbitals with respect to the group backbone.
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21 The N K-edge spectra of the films prepared by UVPER in Figure 4b mimic the absorption
22 structure of the C12N3/Au case, establishing, in agreement with the contact angle and XPS
23 data, the formation of mixed C12/C12N3 monolayers and intact character of the azide groups
24 in these films. The intensity of the characteristic absorption features increases with increasing
25 dose, in accordance with the contact angle and XPS data, establishing once more that the
26 portion of C12N3 in the mixed C12/C12N3 SAMs can be precisely controlled by UV dose.
27 The 90°-20° N K-edge spectra of these films exhibit pronounced linear dichroism, with the
28 similar signs of the difference peaks as for C12N3/Au (Figure 4d). This suggests, in
29 agreement with the C K-edge data that (i) azide substitution does not result in disordering of
30 the resulting AT monolayers and (ii) the molecular order is persistent upon the mixture of C12
31 and C12N3. Note that in the given case, the lower intensities of the difference peaks in the
32 90°-20° spectra of the C12/C12N3 SAMs do not necessary suggest a lower extent of the
33 orientational order in these systems as compared to C12/Au, since these intensities should be
34 "normalized" to those in the 55° spectra (Figure 4b). Quantitative evaluation of the N K-edge
35 NEXAFS data within the standard procedure,^{31,36} relying on the intensity of the π^* resonances,
36 gives average tilt angles of the azide backbones of 28°, 29°, and 30° for C12N3/Au and
37 C12/C12N3 SAMs prepared at 5 and 10 J/cm², respectively (with a standard accuracy of such
38 an evaluation, $\pm 3^\circ$). So, it seems the azide groups are well-ordered and oriented upright, in
39 agreement with the orientation of the alkyl backbones. Also, the values support the conclusion
40 that the orientational order of the C12/C12N3 SAMs is close to that of C12N3/Au, even
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3 Note that the results of the numerical evaluation of the N 1s XPS and N K-edge NEXAFS
4 spectra of the mixed C12/C12N3 monolayers prepared by UVPER in terms of the signal
5 intensity, taking the spectra of C12N3/Au as the references, agree well with the results of the
6 contact angle measurements (Figure 2). In particular, the portion of C12N3 in the C12/C12N3
7 films were estimated at 80% by XPS and 93% by the NEXAFS spectroscopy at a dose of 10
8 J/cm², which is in excellent agreement with the value of 91% determined on the basis of the
9 contact angle data (Figure 2).
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17 The next step of the experimental procedure for the C12 template was the click reaction with
18 EFB (step 2 in Figure 2a). The results of this step were monitored by XPS. The N 1s (a) and F
19 1s (b) XPS spectra acquired after the click reaction are presented in Figures 5a and 5b,
20 respectively, including the data for both C12/C12N3 SAMs and reference C12N3 monolayers.
21 The presence of the EFB-characteristic F 1s emission in the spectra of all samples (Figure 5b)
22 establishes an efficient click reaction. Significantly, the character of the N 1s spectra changed
23 completely as compared to the situation before the click reaction: instead of two emissions at
24 BEs of 400.7 and 404.1 eV (Figure 3), there is only one emission at a BE of 400.1 eV (Figure
25 5a). Consequently, the yield of the click reaction should be close to 100%. According to this
26 assumption, the dependence of the N 1s and F 1s intensities on UV dose after the click
27 reaction in Figure 5c mimics the curve for the portion of C12N3 before the click reaction in
28 Figure 2. The data in Figure 5c are an additional evidence that the mixed C12/C12N3 SAMs
29 can be prepared successfully by UVPER, in a broad range of compositions precisely
30 controlled by UV dose, along with the finding that these mixed SAMs are highly efficient
31 with respect to the click reaction, similar to the one-component C12N3 monolayer.
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47 **3.2. EGn/C12N3 Monolayers**

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49 The results of UVPER for the EGn templates (step 1 in Figure 1b) were monitored by contact
50 angle goniometry, XPS, and NEXAFS spectroscopy. In the case of the goniometry, the portion
51 of C12N3 in the EG3/C12N3 SAMs was evaluated on the basis of the Cassie law, in the same
52 way as for the C12/C12N3 films, with the contact angles of the single-component films taking
53 as references. In the case of XPS, the intensity of the N 1s signal characteristic of the azide
54 group was evaluated, again - in the same way as for the C12/C12N3 films, with the intensity
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3 of the N 1s signal for C12N3/Au taking as reference. The results of the above evaluation are
4 presented in Figure 6, with the goniometry- and XPS-derived values being in good agreement
5 with each other (Figures 6a and 6b, respectively). According to these results, the portion of
6 C12N3 in the mixed EG3/C12N3 films prepared by UVPER can be varied from ~10% to
7 60-70% upon UV dose variation from 0 to 411 J/cm². In the EG6/C12N3 case, the portion of
8 C12N3 can be varied within a shorter range, from ~5% to 42-44%, which is understandable
9 considering that the efficiency of promoted exchange reaction decreases with increasing
10 molecular length of the primary template.^{5,7} The higher doses required for the efficient
11 exchange as compared to the C12/C12N3 case (Figure 2) are solely explained by the lower
12 efficiency of UV light at a longer wavelength (see Section 1).^{7,23}

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23 The portions of DBPB after the respective catalyst-free click reaction (step 2 in Figure 1b) for
24 the EG3/C12N3 and EG6/C12N3 SAMs are given in Figure 7 as functions of UV dose within
25 UVPER. These portions, determined on the basis of the N 1s data, could be varied from ~10
26 to 50-60%, depending on the UV dose. The portions of specifically (avidin) and
27 non-specifically (BSA) attached proteins after the exposure of the DBPB-functionalized
28 EG3/C12N3 and EG6/C12N3 SAMs to the respective protein solutions (step 3 in Figure 1b)
29 are presented in Figure 8 as functions of UV dose. The values were derived from the N 1s
30 XPS spectra and normalized to those for the DBPB-functionalized single-component C12N3
31 monolayer undergone the same procedure. As seen in this figure, the attachment of avidin,
32 relying on the presence of the specific biotin receptors, is always superior as compared to that
33 of the non-specific protein (BSA). The coverage of avidin could be controlled by the density
34 of the specific receptors, determined in their turn, by the UV dose applied within the UVPER
35 procedure. The lower avidin coverage in the case of the EG6/C12N3 templates is well
36 explained by the lower density of the C12N3 moieties (Figure 6) and, subsequently, biotin
37 docking sites (Figure 7) in the given case as compared to the EG3/C12N3 system. The
38 non-specific adsorption could be regrettably not completely avoided, which is presumably
39 related to the non-complete exchange of the UV-damaged molecules. Consequently, the
40 procedure can be further optimized.

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The entire experimental procedure in the case of the EGN templates was also monitored by the
NEXAFS spectroscopy. The respective data are presented in Figure 9, for the EG6 case.

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3 These data include 55° N K-edge NEXAFS spectra of the pristine EG6 and C12N3 SAMs,
4 EG6 monolayers after the non-promoted (0 J/cm²) and promoted (411 J/cm² as a
5 representative dose) exchange reaction with C12N3 (step 1 in Figure 1b), the resulting
6 EG6/C12N3 films after the catalyst-free click reaction with DBPB (step 2 in Figure 1b) and
7 after the subsequent exposure to BSA and avidine (step 3 in Figure 1b). As expected, no
8 absorption structure at the N K-edge was observed for the nitrogen-free EG6 template,
9 whereas the C12N3 reference exhibited a clear signature of the azide groups (see discussion
10 related to Figure 4b). Tiny features at the positions of the respective resonances could be
11 found in the spectrum of EG6 monolayers after the non-promoted (0 J/cm²) exchange reaction,
12 in agreement with the data in Figure 6. The characteristic signal of the azide group is,
13 however, well perceptible in the case of UVPER (411 J/cm²), being also quantitatively well in
14 agreement with the analogous values derived from the contact angle and XPS data (Figure 6).
15 The click reaction with DBPB results in significant modification of the NEXAFS spectra, as
16 can be expected. Further, the exposure of the DBPB functionalized EG3/EG6 films to BSA
17 (non-specific) results in only a slight enhancement of the absorption structure, in accordance
18 with low extent of non-specific adsorption (Figure 8). In contrast, the exposure of the same
19 template to avidine results in much stronger signal, corresponding to the specific attachment
20 of this protein to the biotine binding sites. Note that the NEXAFS spectra of proteins are only
21 weakly sensitive to their composition because of the superposition of the contributions from
22 individual amino acids.⁴⁰

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42 The UVPER-based experimental procedures, illustrated in Figure 1, can also be applied in the
43 lithographic fashion, relying e.g. on proximity printing lithography^{7,8,23} or existing
44 experimental setup employing scanning near field optical microscope^{26,27} or interferometric
45 technique²⁸. Note, however, that the last two setups are custom-designed and employ UV light
46 with quite a short wavelength (254 nm). In contrast, as an example, we performed UVPER
47 lithography with the entirely commercial setup described in Section 2 and, in detail, in ref 24.
48 The key element of this setup is a computer-controlled digital micromirror device (DMD)
49 chip combined with a suitable optics and a powerful light-emitting diode (LED) UV source.²⁴
50 Representative SEM images of specific protein patterns prepared on the DBPB-functionalized
51 EG3/C12N3 and EG6/C12N3 templates, fabricated by a combination of UVPER-based
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3 lithography and subsequent catalyst-free click reaction with DBPB, are shown in Figure 10.
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5 The patterns represent an array of circular spots generated in the computer by a standard
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7 graphical software (see Section 2). The protein coverage was varied between the successive
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9 spot rows in a gradient, step-like fashion by selection of a proper UV dose within the UVPER
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11 procedure (step 1 in Figure 1b). Four different doses were applied, resulting in distinct protein
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13 adsorption contrast between four successive spot rows. Note that the patterning is possible not
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15 only under ambient conditions (given case) but also in aqueous environment.²⁵
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18 **4. Conclusions**

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20 In the given study, we demonstrated the possibility of UV light promoted exchange reaction
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22 (UVPER) between the primary AT SAM templates and an azide-bearing substituent (C12N3),
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24 capable of click reaction with ethynyl-bearing species. This reaction resulted in the mixed
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26 AT/C12N3 monolayers. As primary matrix we used either non-substituted (C12) or
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28 OEG-substituted (EG3 and EG6) AT SAMs, targeting mixed SAMs of chemical and
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30 biological significance. To demonstrate the flexibility of the approach we used UV light with
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32 two different wavelengths, viz. 254 nm and 375 nm, applying it to the non-substituted and
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34 OEG-substituted AT SAMs, respectively. Individual steps of the experimental procedure were
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36 monitored by contact angle goniometry, XPS, and NEXAFS spectroscopy.
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38 In all cases, we were able to vary the portion of the C12N3 moieties in the mixed C12/C12N3
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40 and C12/EGn monolayers in controlled fashion by selection of a suitable UV dose within the
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42 UVPER procedure. This portion could be varied from 10-20% to 90% in the case of the C12
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44 template and from 0-10% to 40-70% in the case of the EG3 and EG6 templates. The surface
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46 density of the chemically active azide groups embedded in the non-reactive primary matrix
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48 could be varied accordingly, as demonstrated by the subsequent click reaction between the
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50 C12/C12N3 templates and EFB as well as by the catalyst-mediated and catalyst-free click
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52 reactions between the EGn/C12N3 templates and BPA and DBPB, respectively. The latter
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54 reactions resulted in the preparation of templates for specific protein adsorption, comprising
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56 of biotin-bearing moieties embedded in the protein-repelling EGn matrix. The density of the
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58 biotin receptors was varied according to the density of the C12N3 moieties in the EGn/C12N3
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60 films, i.e. directly controlled by the UV dose within the UVPER procedure. The templates

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3 exhibited much higher affinity to the specific protein (avidin) as compared to non-specific one
4 (BSA). The surface density of the specifically bound proteins could be varied in accordance
5 with the density of the biotin receptors, i.e. directly controlled by the UV dose within the
6 UVPER procedure. The entire approach was extended to lithography, relying on a commercial,
7 maskless UV lithography setup. Representative gradient patterns of specifically attached
8 avidin in the protein-repelling EGn matrix were fabricated.

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11 The procedures described in this study are not limited to the representative moieties (EFB,
12 DBPB, and BPA) used for the click reaction in the given case but generally applicable to a
13 broad variety of functional molecules bearing a suitable group for the click reaction with
14 azide. Also, the combination of these procedures with lithography can be performed in
15 different fashions, relying on particular lithographic setups. Significantly, the fabrication of
16 both mixed SAMs and the related lithographic patterns can be performed in a broad range of
17 wavelengths, as demonstrated by utilizing UV light with wavelengths of 254 and 375 nm.

28 29 30 **Associated content**

31 32 **Supporting Information**

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35 The Supporting Information is available free of charge on the ACS Publication website at
36 DOI:

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39 Description of the synthesis procedure for C12N3 along with the respective ¹H-, ¹³C-NMR
40 and MS spectra (PDF).

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50 51 52 **Notes**

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54
55 The authors declare no competing financial interest.

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Figure captions

Schema 1. SAM precursors and substituent of this study, along with the respective abbreviations.

Schema 2. Compounds for the click reaction with the azide group, along with the respective abbreviations.

Figure 1. Schematic of the experimental procedure. The procedure includes the exposure of the primary C12 (a) and EGn (b) SAMs to UV light with a wavelength of either 254 nm (C12) or 375 nm (EGn), promoted exchange reaction with C12N₃, catalyst-mediated click reaction with EFB (C12/C12N₃) and BPA (EGn/ C12N₃) as well as catalyst-free click reaction with DBPB (EGn/C12N₃), and test for non-specific and specific protein adhesion (for EGn/C12N₃ only). The individual steps are numbered. They were monitored by several complementary experimental techniques, as described in the text.

Figure 2. Advancing water contact angle (black squares and solid line) and portion of C12N₃ (blue circles and solid line) for the C12/C12N₃ SAMs fabricated by UVPER (step 1 in Figure 1a). The UV dose was varied. The portions of C12N₃ are given with respect to the single-component C12N₃ monolayer taken as a reference.

Figure 3. N 1s XPS spectra of the C12 SAMs after non-promoted (0 J/cm²) and promoted (variable dose) exchange reaction with C12N₃ (step 1 in Figure 1a), along with the spectrum of the single-component C12N₃ monolayer taken as a reference (bottom curve). The doses are marked at the spectra.

Figure 4. C (a,c) and N (b,d) K-edge NEXAFS spectra of the C12 SAMs after promoted (variable dose) exchange reaction with C12N₃ (step 1 in Figure 1a), along with the spectra of the single-component C12N₃ and C12 monolayers taken as references (bottom curves). The doses are marked at the spectra. Two kinds of spectra are presented, viz. the spectra acquired at an X-ray incidence angle of 55° (a,b), and the difference between the spectra collected under the normal (90°) and grazing (20°) incidence geometry (c,d). Individual absorption resonances are marked by numbers (see text for the assignments).

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3 **Figure 5.** N 1s (a) and F 1s (b) XPS spectra of the mixed C12/C12N3 SAMs and the
4 single-component C12N3 monolayer (reference) after the click reaction with EFB (step 2 in
5 Figure 1a). The mixed SAMs were prepared by UVPER (variable dose as marked at the
6 spectra). (c) Portion of EFB derived from the N 1s data (blue circles and solid line) and F 1s
7 data (red squares and solid line) as function of UV dose. The values were normalized to that
8 for the single-component C12N3 monolayer.

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11 **Figure 6.** Portions of C12N3 in the EG3/C12N3 (black squares and solid line) and
12 EG6/C12N3 (red circles and solid line) SAMs fabricated by UVPER (step 1 in Figure 1b) as
13 functions of UV dose. The values were calculated on the basis of advancing water contact
14 angle (a) and intensity of the characteristic N 1s emissions (b). The portions of C12N3 are
15 given with respect to the single-component C12N3 monolayer taken as a reference.

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18 **Figure 7.** Portions of DBPB after the respective catalyst-free click reaction (step 2 in Figure
19 1b) for the EG3/C12N3 (black squares and solid line) and EG6/C12N3 (red circles and solid
20 line) SAMs as functions of UV dose within UVPER. The values were normalized to those for
21 the single-component C12N3 monolayer undergone the same procedure.

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24 **Figure 8.** Portion of avidin after the exposure of the DBPB-functionalized EG3/C12N3 (black
25 filled squares and solid line) and EG6/C12N3 (red filled circles and solid line) SAMs to a
26 solution of this protein (step 3 in Figure 1b) as functions of UV dose. Portion of BSA after the
27 exposure of the DBPB-functionalized EG3/C12N3 (gray open squares and solid line) and
28 EG6/C12N3 (rose open circles and solid line) SAMs to a solution of this protein (step 3 in
29 Figure 1b) as functions of UV dose. The portions were derived from the N 1s XPS spectra.
30 The values were normalized to those for the DBPB-functionalized, single-component C12N3
31 monolayer undergone the same procedure.

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34 **Figure 9.** 55° N K-edge NEXAFS spectra of the pristine EG6 and C12N3 SAMs, EG6
35 monolayers after the non-promoted (0 J/cm^2) and promoted (411 J/cm^2) exchange reaction
36 with C12N3 (step 1 in Figure 1b), the resulting EG6/C12N3 films after the catalyst-free click
37 reaction with DBPB (step 2 in Figure 1b), and after the subsequent exposure of the DBPB
38 functionalized EG3/C12N3 films to BSA and avidine (step 3 in Figure 1b).

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3 **Figure 10.** SEM images of specific protein patterns prepared on the DBPB-functionalized
4 EG6/C12N3 (a) and EG3/C12N3 (b) templates fabricated by a combination of UVPER-based
5 lithography and subsequent catalyst-free click reaction with DBPB. The patterns represent an
6 array of circular spots. The protein coverage was varied between the spot rows in a gradient
7 fashion by selection of a proper UV dose within the UVPER procedure. The protein coverage
8 variation can be traced directly in the images by the different contrast of the spots. The doses
9 for the four successive rows (from top to bottom) were 685, 411, 137, and 68 J/cm²; the
10 respective coverages can be derived from Figure 9, with the value for 685 J/cm² being
11 extrapolated. The scale bars in all images are 200 μm. Black spots in the middle of the
12 patterns are imaging artifacts (SEM aberrations because of a large field of view).
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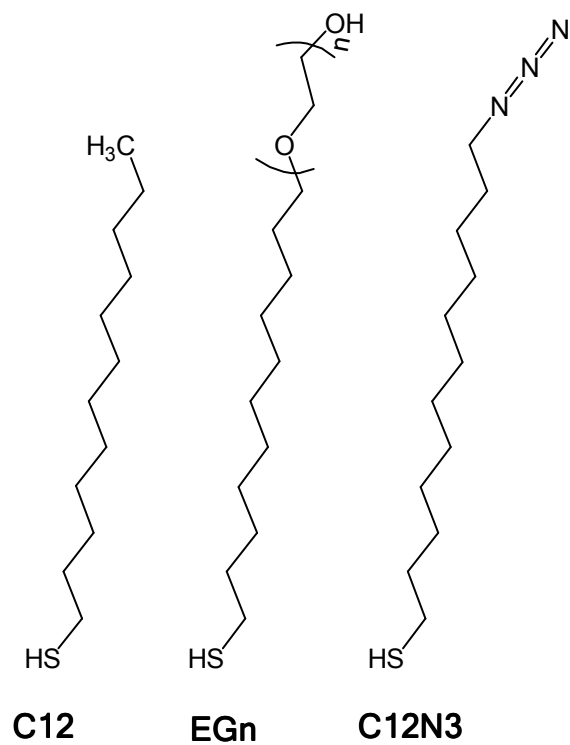
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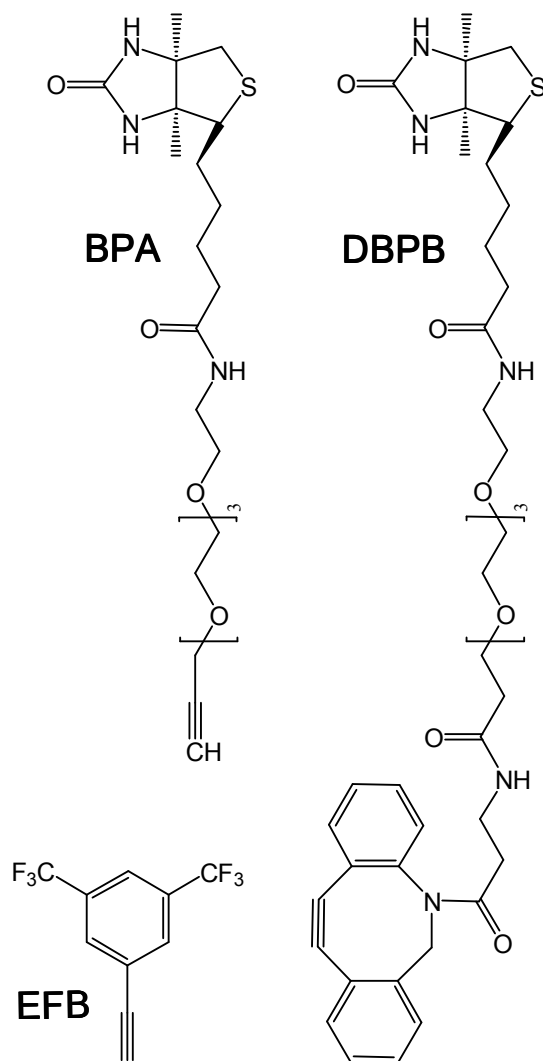
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Schema 1



Schema 2

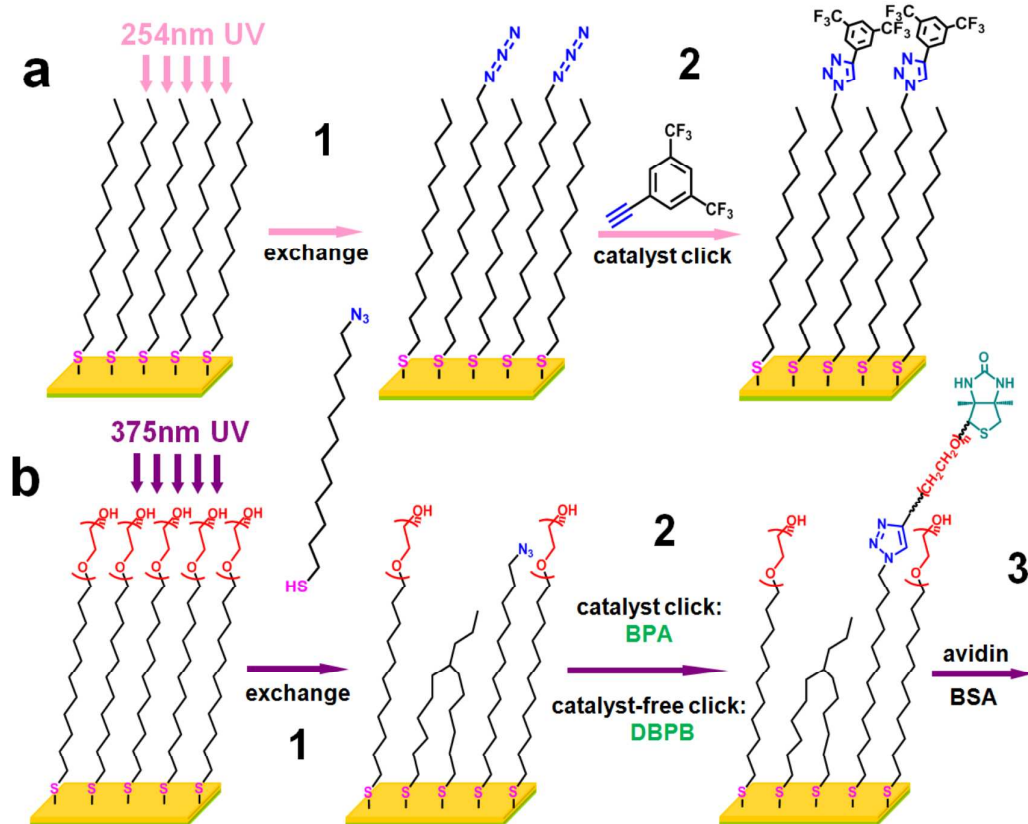


Figure 1

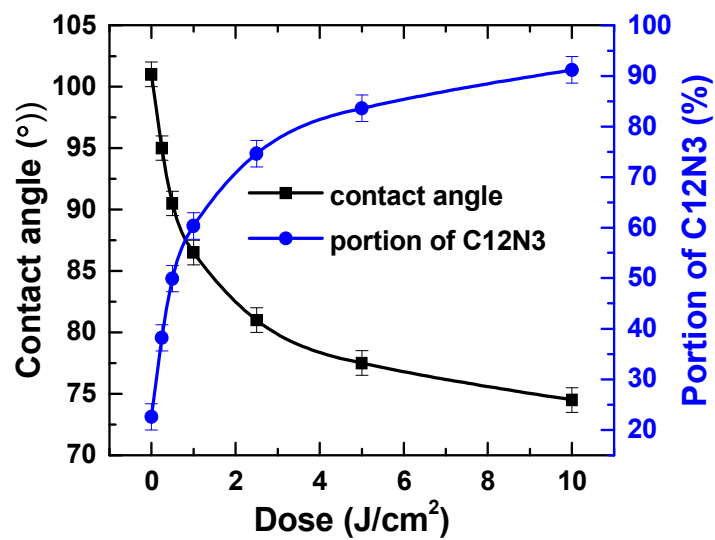


Figure 2

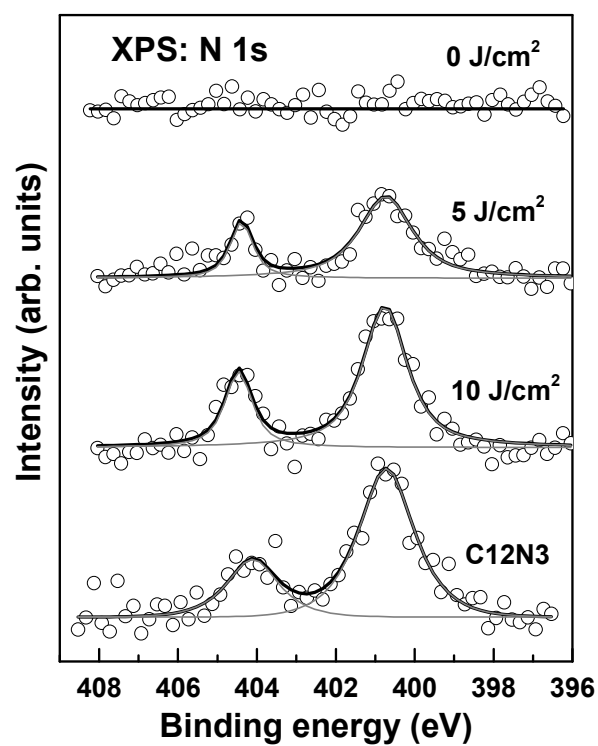


Figure 3

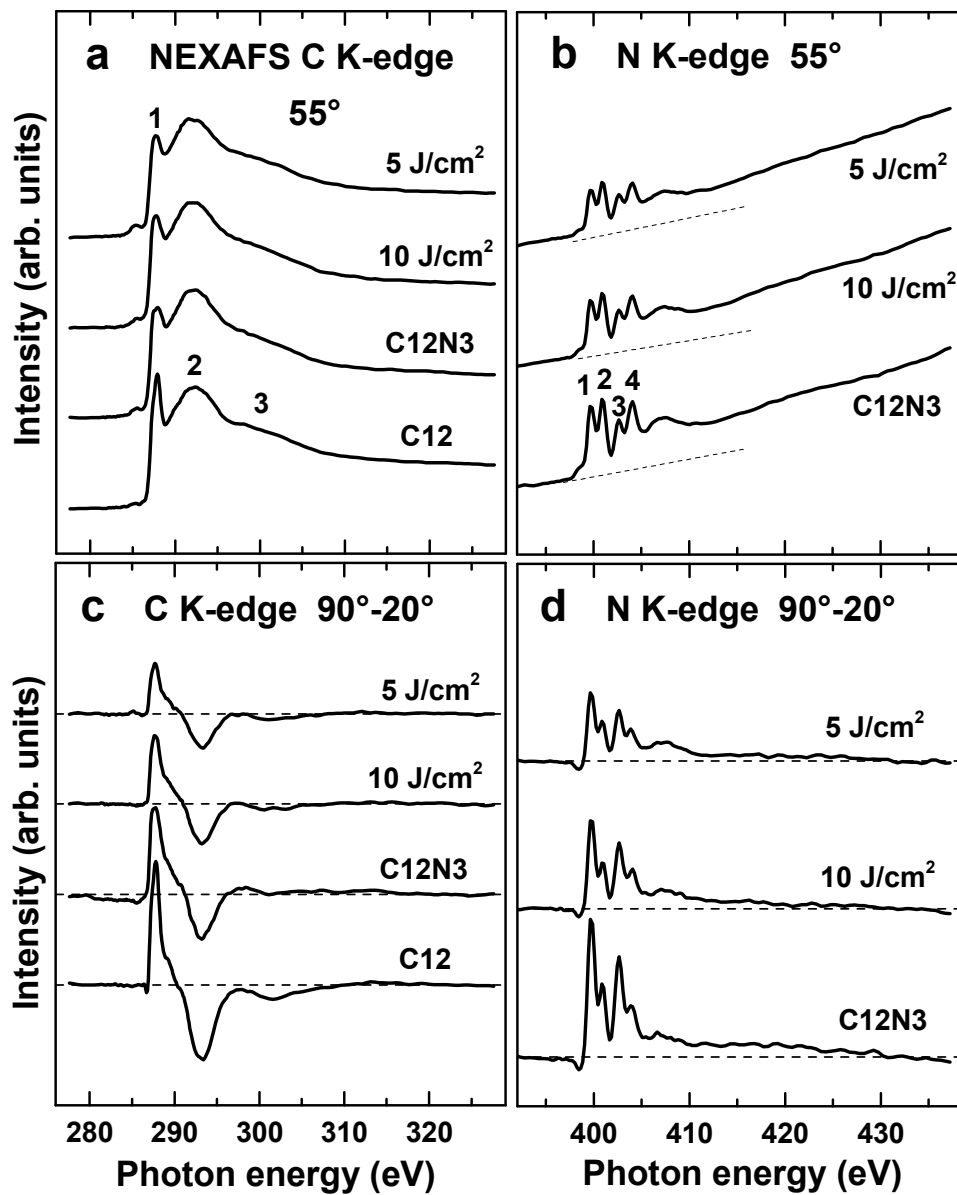


Figure 4

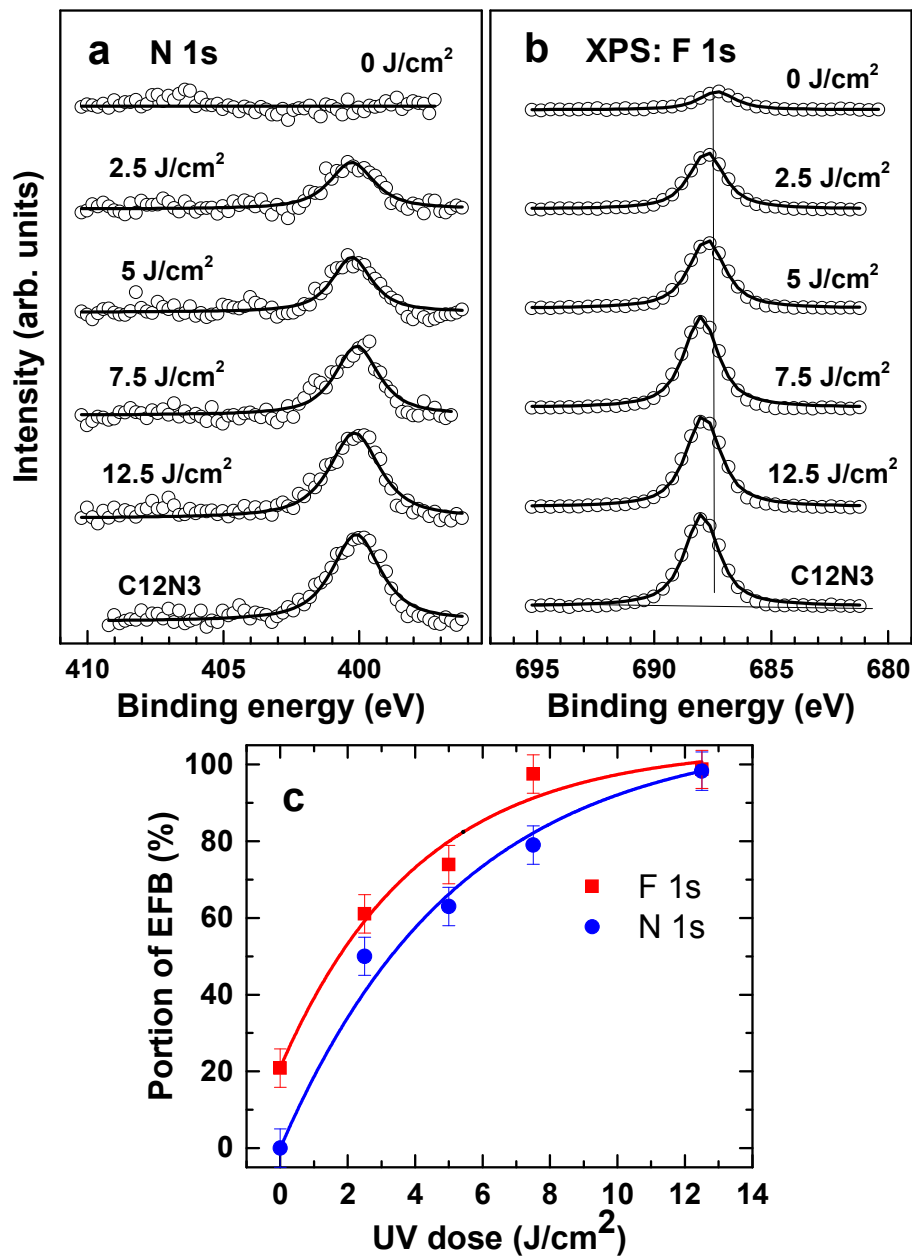


Figure 5

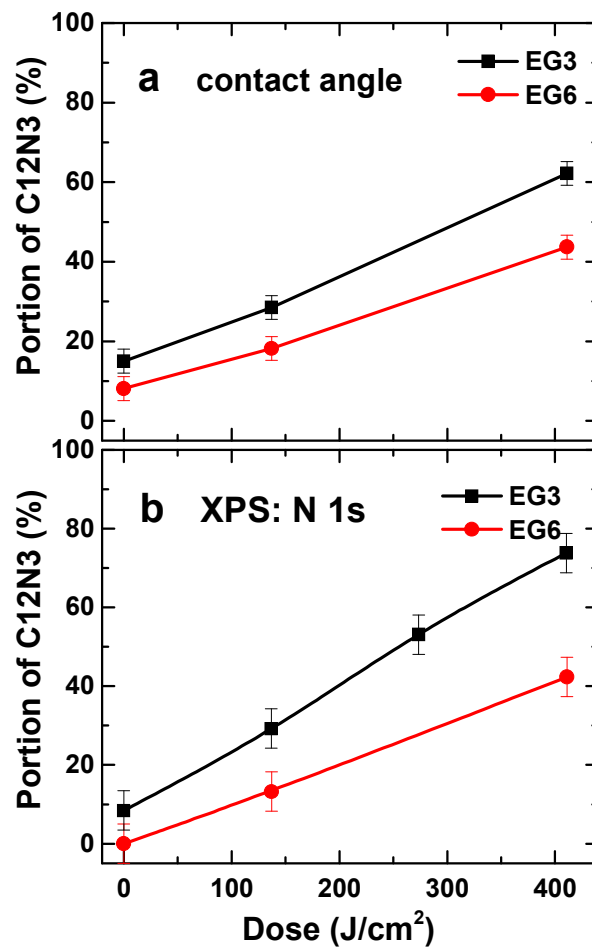


Figure 6

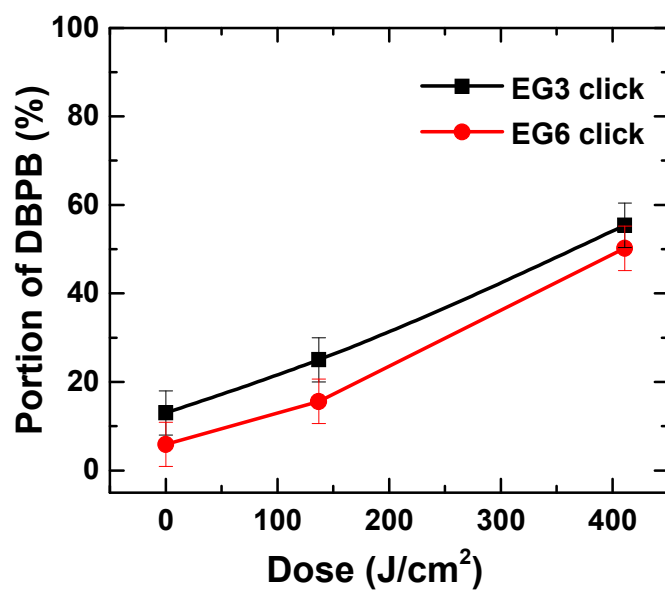


Figure 7

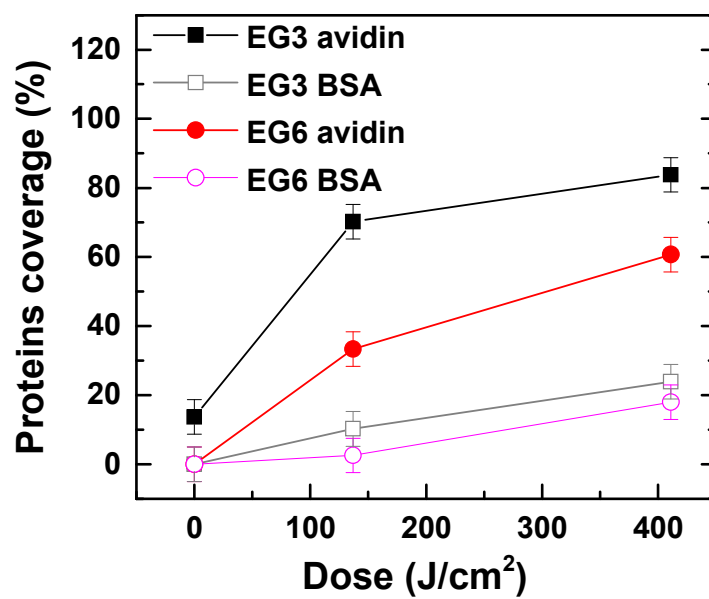


Figure 8

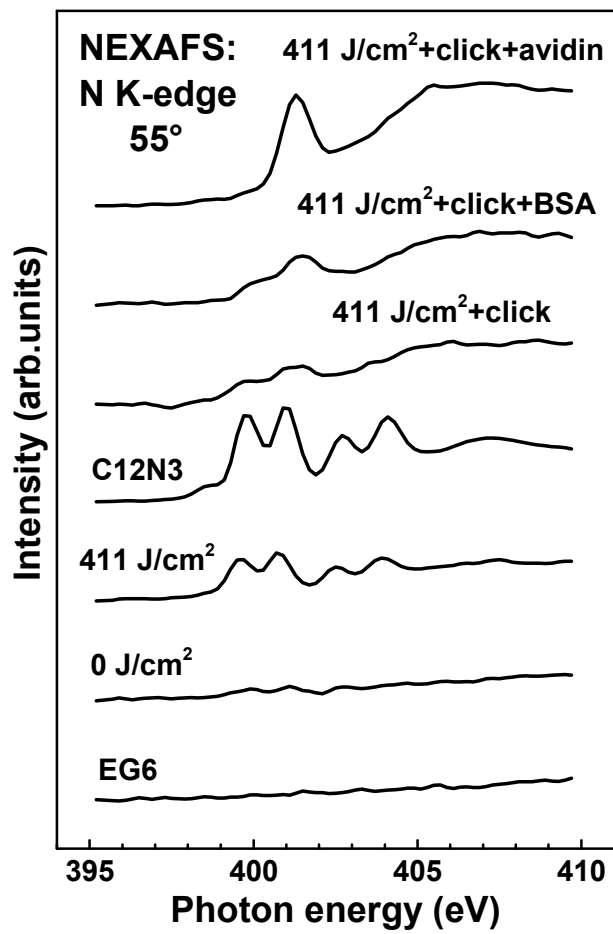


Figure 9

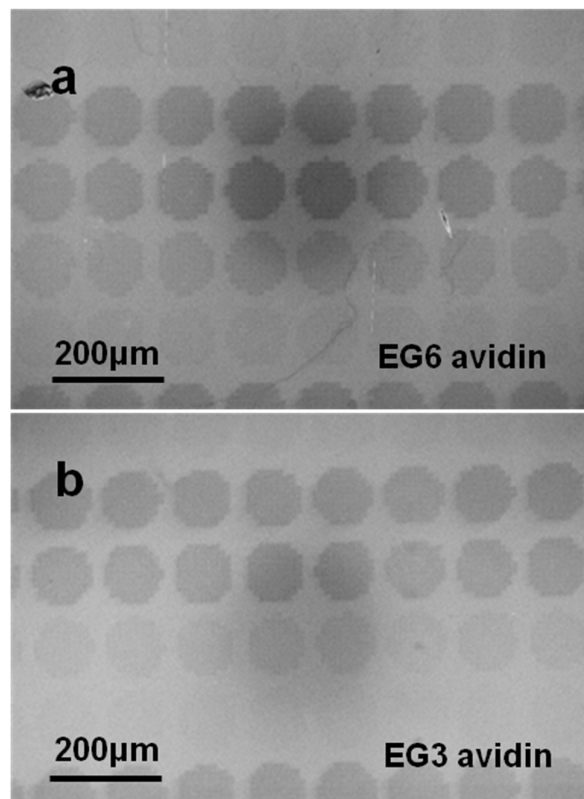


Figure 10

TOC image

