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1 **Impact of Climate Change on Land, Water and Ecosystem Quality in Polar and**
2 **Mountainous Regions: Gaps in our knowledge**

3
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14
15 **Abstract**

16 Nowhere are the effects of climate change more visible than in polar and mountainous
17 regions. To initiate the Interregional Technical Co-operation Project INT/5/153 (2014-18) on
18 Assessing the Impact of Climate Change on Land-Water-Ecosystem Quality in Polar and
19 Mountainous Regions (funded by the International Atomic Energy Agency and supported by
20 the Joint FAO/IAEA Division of Nuclear Techniques in Food and Agriculture), we built a
21 database containing 769 of the most significant journal papers on the effects of climate
22 change in polar and mountainous regions between 2000-2014 (up until the Fifth IPCC
23 Assessment). Using the number of paper citations per year (CPY) we derive the top fifty
24 most cited journal papers published in the 15-year period. Analysis of the focus of these 'top
25 fifty' papers is compared to the IPCC Fifth Assessment (AR5) Report (IPCC, 2013) and the

26 full database. Five categories emerged, and by combining the number of papers in each
27 category with the average CPY for the category, research on the impacts of climate change
28 on terrestrial ecosystems (E) in polar and mountainous regions dominated, research on the
29 impact on water resources (W) was second, the impact on people's livelihood (P) third, with
30 ice and snow (I) fourth and landscape (L) fifth. Landscape (L), in our view, appears to be
31 under researched and is presumably included in the IPCC Terrestrial Ecosystems category.
32 We propose that policy makers should note this under-representation of high impact
33 research into landscape processes (erosion and deposition processes), which needs to be
34 addressed in future.

35

36 **Key words:** citation analysis; literature review; climate change impacts; polar
37 regions; mountainous regions; livelihood adaptation; soil-water-ecosystem quality

38 **Introduction**

39 Modern climate change has been described as ‘the defining human development challenge
40 of the 21st century’ (United Nations Intellectual History Project, 2011). Model projections
41 suggest that global surface temperature change for the end of the 21st century is likely to
42 exceed 1.5°C relative to 1850 to 1900 for all Representative Concentration Pathways
43 adopted by the Intergovernmental Panel Climate Change (except RCP2.6) (IPCC, 2013).
44 Indeed, average global warming in the period 1990-2100 is expected to be between 1.1 and
45 6.4°C depending on the global release of greenhouse gas emissions (Kohler and Maselli,
46 2009). This warming will not be uniform, but in general will be greater over land and in high
47 latitudes.

48 Nowhere are its effects already more visible than in the polar and mountainous regions.
49 Climate change is progressing at a rate several times the global average in Western
50 Antarctica for example. The Antarctic Peninsula region has experienced a rise of ca. 3°C for
51 surface air temperature over the last 50 years (Bromwich *et al.*, 2013), and 87% of 244
52 glaciers along the west coast of the Antarctic Peninsula (AP) have retreated in the last fifty
53 years (Cook & Vaughan, 2010). Higher elevation sites in the Rocky Mountains have
54 experienced a threefold increase in warming compared to the global average during the last
55 few decades (Kohler and Maselli, 2009). In the European Alps regional climate projections
56 indicate warming of about 1.5 times the global average, with greater warming in summer
57 (FAO, 2015). Precipitation is projected to decrease in summer and in an annual average,
58 and to increase in winter, although Giorgi *et al.* (2016) used an ensemble of global climate
59 model simulations to conclude that while broad-scale summer precipitation reduction is
60 projected, the regional models simulate an increase in precipitation over the high Alpine
61 elevations that is not present in the global simulations. This finding challenges the picture of
62 a ubiquitous decrease of summer precipitation over the Alps found in coarse-scale
63 projections. General warming is predicted to result in an upward shift of the glacier
64 equilibrium line by between 60 to 140 m per degreeC temperature increase (Oerlemans,

65 2003), along with a substantial glacier retreat during the 21st century. The duration of snow
66 cover is expected to decrease by several weeks for each degree C of warming at middle
67 elevations in the Alpine region.

68 The 1992 United Nations Framework Convention on Climate Change recognized that
69 “developing countries with fragile mountainous ecosystems are particularly vulnerable to the
70 adverse effects of climate change” (United Nations, 1992). Agenda 21 (Chapter 13)
71 identified the need to generate and strengthen knowledge about the ecology and sustainable
72 development of mountain ecosystems, and the Rio+20 United Nations Conference on
73 Sustainable Development in 2012 called for long-term vision and a holistic approach to
74 sustainable mountain development.

75 Examining the impacts of climate change in Antarctic and Arctic landscapes can be
76 particularly useful for a better understanding of the future impacts of climate change on
77 landscape dynamics (including land degradation and resulting changes in land, water and
78 ecosystem quality) in mountainous regions across the world. Mountains cover around 25%
79 of the global land surface and are home to 10% of the world’s population. An estimated 40%
80 of mountain populations are located in developing countries and nearly 300 million mountain
81 people are food insecure with half suffering from chronic hunger (Kohler and Maselli, 2009).
82 Furthermore, it is estimated that mountains provide freshwater to half of the world’s
83 population. Climate change will affect the availability of water, and combined with increasing
84 temperatures can make farming communities in some countries, such as those in the Andes
85 in South America or in the Himalaya, shift to higher altitudes, often in more fragile
86 ecosystems, where slopes which are no longer supported by glaciers become unstable
87 leading to landslides, mass movements and related hazards which can result in severe land
88 degradation and undermine food security. Zhang *et al.* (1997) working on the North Slope,
89 Alaska, reported that the thickness of the active layer increased from the Arctic coast to the
90 foothills of the Brooks Range and is directly proportional to summer air temperatures and
91 thawing index. Increasing air temperature will therefore seem to result in continuously or

92 seasonally frozen soils releasing more greenhouse gases into the atmosphere, but the
93 magnitude of this effect remains highly uncertain (UNEP/WGMS, 2008).

94 The United Nations Environment Programme (UNEP) reports indicate the need for getting
95 better access to existing data, better knowledge of the data quality and the generation of
96 new data in a manner that allows data sharing among researchers (UNEP, 1992).

97 **Aims and objectives**

98 This paper aims to identify and discuss the top fifty most cited (and therefore, arguably the
99 highest impact) journal papers published in the 15-year period 2000-2014 which relate to the
100 issue of climate change impact in polar and mountainous regions. Analysis of the focus of
101 these 'top fifty' papers will be compared to the IPCC Fifth Assessment (AR5) Report (IPCC,
102 2013) and a wider database of 800 journal articles and key reports. The intention is that this
103 analysis will highlight where we have gaps in our knowledge and therefore serve to help
104 policy makers and funders of research to plug these knowledge gaps.

105 This analysis was carried out in the frame of Interregional Technical Co-operation Project
106 INT/5/153 (2014-18) on Assessing the Impact of Climate Change on Land-Water-Ecosystem
107 Quality in Polar and Mountainous Regions, which is organized and funded by the
108 International Atomic Energy Agency and supported by the Joint FAO/IAEA Division of
109 Nuclear Techniques in Food and Agriculture. The project involved scientists from twenty-
110 three countries representing thirteen benchmark research sites (Fig. 1) designed to assess
111 the impact of climate change on land-water-ecosystem quality in polar and mountainous
112 regions. The overall objective of the project is to improve the understanding of the impact of
113 climate change on fragile polar and mountainous ecosystems at the local and global scale
114 for their better management and conservation.



115

116 Figure 1: World map to show Benchmark sites of the INT 5153 project

117 The project expects to have the following outcomes: (1) improved understanding of the
 118 impact of climate change on the cryosphere, and its effects on land-water-ecosystem quality
 119 at local and global scale in polar and mountainous ecosystems, (2) recommendations for
 120 improvement of regional policies for soil and agricultural water management, conservation,
 121 and environmental protection in polar and mountainous regions.

122

123

124 **Methods**

125 ***Developing a literature database***

126

127 It was decided in the planning stage for the INT5153 project that a database should be
128 developed which should:

- 129 1. Be easily accessible to, and updatable by, all members of the project, project
130 partners and managers,
- 131 2. Focus on peer reviewed scientific literature
- 132 3. Be searchable by key words, date, journal and benchmark site,
- 133 4. Focus on research produced in the last TWO IPCC Assessment Reports (Four and
134 Five), i.e. since the year 2000.
- 135 5. Perform a gap analysis that should prepare the ground for the scientific approach
136 and training and highlight where we have gaps in our knowledge and therefore serve
137 to help policy makers and funders of research to plug these knowledge gaps.

138 After some discussion with a core team, it was decided that Microsoft EXCEL would meet
139 the criteria as a widely available platform in which to build the database. The following
140 stages were completed to populate the database:

- 141 1. Google Scholar (<http://scholar.google.co.uk/>) was used and search terms were input
142 as follows: "climate change *King George Island*" etc with the benchmark site (*italics*)
143 changed for each of 13 searches (one search for each of the benchmarks sites
144 shown in Fig. 1). Between 10-13 key journal papers were then selected from the first
145 20 search hits and entered into the database. This generated around 150 entries.
- 146 2. All project members were invited by email to contribute additional literature relating to
147 their benchmark sites by email. This generated approximately 200 further entries for
148 the database.

- 149 3. Following the First Project Co-ordination meeting in Vienna in June 2014, scientists
150 representing benchmark sites forwarded further scientific papers arising from
151 research undertaken at/around their benchmark site/research station and a further
152 248 papers were subsequently added to the database bringing the total number of
153 entries to around 550.
- 154 4. Next the top 35 academic journals which most frequently appeared in the database
155 were assigned an impact factor (Garfield, 1999).
- 156 5. The journal impact factor was then used to calculate the proportion of the remaining
157 entries to the database which were to come from each of the top 35 journals.
- 158 6. Each of the 35 journals was searched in turn, normally using the Science Direct
159 online database or google scholar to find references relevant to the project using the
160 terms: 'climate', 'change', 'impact', 'polar', 'mountainous', 'regions'.
- 161 7. It was intended to stop searching when the database reached 800, but uncovering
162 new papers became increasingly difficult and searching stopped at 769 entries.
- 163 8. The number of citations for each paper (found from Google Scholar) was entered into
164 a new column in the database. The number of citations the paper had was then
165 divided by the age (the number of years it had been published prior to 2014) of the
166 publication to derive the average number of citations per year, CPY.
- 167 9. The database entries were then ranked from highest to lowest based on the CPY.
- 168 10. The top fifty ranked papers were then selected for content analysis and compared
169 with findings from IPCC and the full database

170

171 **Findings**

172 ***Summary of database content***

173

174 The distribution of database entries by year of publication (Fig. 2) shows that all years 2000-
175 2014 were represented in the database, though the higher numbers in the second half of the
176 period correspond with the statement made by IPCC (2014) “The number of scientific
177 publications available for assessing climate change impacts, adaptation, and vulnerability
178 more than doubled between 2005 and 2010, with especially rapid increases in publications
179 related to adaptation” (IPCC, 2014).

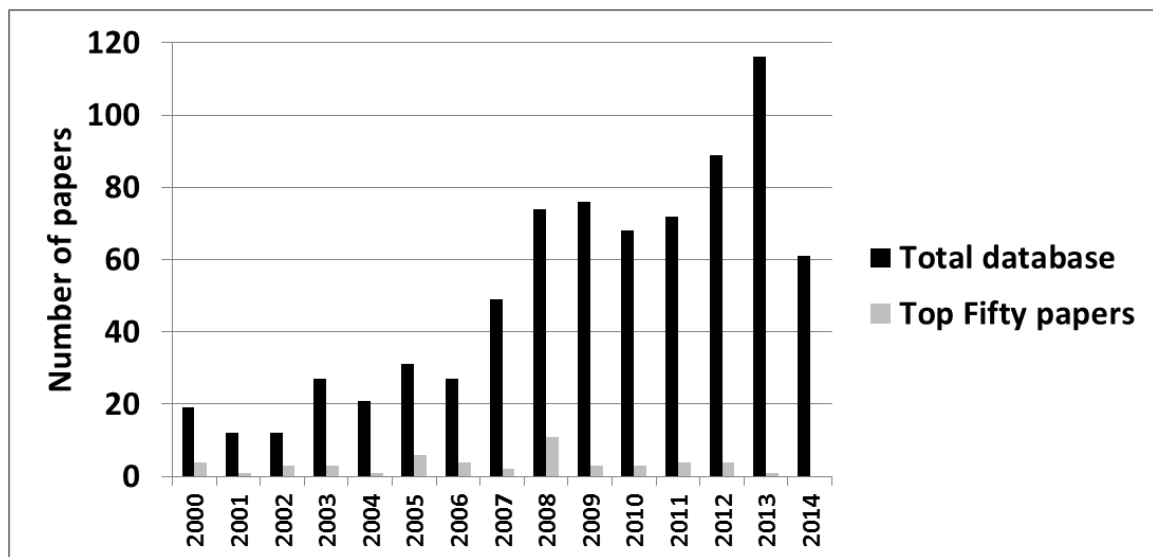


Fig. 2: Distribution of database entries by year of publication.

180 The fifty papers with the highest average citations per year (hereafter simply referred to as
181 the ‘top fifty’) are also drawn from all years except 2014. That none of the top fifty papers
182 were published in 2014 (and only one in 2013) may reflect the fact that these top papers
183 need some time to gather citations and therefore work their way into this top fifty. Typical
184 journal paper publication times are 6-18 months so it is unlikely that a high impact paper
185 published in 2014 would have had enough time to be cited by other authors and therefore
186 gain enough citations to reach this top fifty.

187 The 769 entries in the database included 31 reports, 31 book chapters, 18
188 Conference/Symposium Proceedings, 21 web news articles, 5 books and 191 different
189 journals. (Table 1).

190

191

192 Table 1: Titles of journals (with number of entries) used in database

Journal	No	Journal	No	Journal	No	Journal	No
Acta Bot. Boreal.-Occident. Sin	1	Earth System Science Data	2	International Journal of Water Resources Development	1	Polar Science	1
Acta Ecologica Sinica	1	Ecological Applications	1	Journal of Agronomy and Crop Science	1	Polish Polar Research	1
Acta Geológica Hispánica	1	Ecological Economics	1	Journal of African Earth Sciences	1	POLISH JOURNAL OF ECOLOGY	1
Acta Pedologica Sinica	1	Ecology	2	Journal of Arid Environments	2	Problems of Arctic and Antarctic	3
Acta Societatis Botanicorum Poloniae	2	Ecological Monographs	1	Journal of Asian Earth Sciences	1	Proceedings of the National Academy of Sciences	1
Advances in Agronomy	1	Ecology and Society	1	Journal of Biogeography	2	Progress in Development Studies	1
Advances in Climate Research	1	Ecology Letters	2	Journal of China University of Geosciences	2	Progress in Physical Geography	1
Advances in Ecological Research	13	Ecosystems	1	Journal of Climate	1	Quaternary International	9
Advances in Geosciences	3	Emotion, Space & Society	1	Journal of Climatology	1	Quaternary Research	1
Agricultural and Forest Meteorology	1	Energy Policy	2	Journal of Food, Agriculture & Environment	1	Quaternary Science	1
Agricultural Systems	1	Engineering Geology	1	Journal of Environmental	2	Quaternary Science Reviews	13

				Radioactivity			
Agriculture, Ecosystems and Environment	1	Environmental and Resource Economics	1	Journal of Environmental Management	1	Regional Environmental Change	1
Agricultural Water Management	1	Environmental Conservation	1	Journal of Geophysical Research	9	Radiation and Environmental Biophysics	1
Ambio	7	Environmental Development	1	Journal of Glaciology	14	Remote Sensing Letters	1
American Scientist	1	Environmental Management	1	Journal of Glaciology and Geocryology	2	Remote Sensing of the Environment	16
Annals of Botany	1	Environmental Pollution	1	Journal of Historical Geography	1	Renewable and Sustainable Energy Reviews	1
Annals of Glaciology	8	Environmental Research Letters	4	Journal of Hydrology	12	Report	30
Annals of the Association of American Geographers	3	Environmental Science and Policy	5	Journal of Hydrometeorology	1	Report in Spanish	1
Annals of Tourism Research	1	EOS	2	Journal of Integrated Disaster Risk Management	1	Resources Science	1
Antarctic Science	1	Forest Ecology and Management	3	Journal of Mountain Science	4	Resource and environment in the Yangtse basin	1
Applied Soil Ecology	2	Forest Policy and Economics	1	Journal of Paleolimnology	1	Reviews of Geophysics	1
Arctic, Antarctic, and Alpine Research	12	Forestry Studies in China	2	Journal of Plant Nutrition and Soil Science	1	Revista Brasileira de Geomorfologia	3
Atmospheric Chemistry & Physics	1	Freshwater Biology	1	Journal of Quaternary Science	2	Revista de la Asociación Geológica Argentina	1
Austrian Journal of Earth Sciences	2	Fungal Ecology	1	Landslides	2	Scandinavian Journal of Forest Research	2
Biodiversity	1	Geochimica et Cosmochimica Acta	1	Marine Geology	1	Science	44

Biogeochemistry	1	Geoderma	3	Microbes and Infection	1	Science China Earth Sciences	1
Biogeosciences	2	Geografiska Annaler: Series A, Physical Geography	5	Mountain Research and Development	6	Science of the Total Environment	4
Bioscience	3	Geographica Helvetica	1	Mycorrhiza	1	Silva Fennica	1
Book	5	Geology	1	Natural Hazards	5	Soil Biology and Biogeochemistry	2
Book chapter	31	Geology Today	1	Natural Hazards and Earth System Sciences	3	Soil Science Society of America Journal	1
Canadian Water Resources Journal	1	Geomorphology	16	Nature	34	Soils	1
Catena	2	Geophysical Research Abstracts	2	Nature Climate Change	28	Spanish Journal of Agricultural Research	1
CECNet	1	Geophysical Research Letters	7	Nature Geoscience	35	State of Antarctic environment Quarterly Bulletin	2
Central Asia and the Caucasus	1	Global and Planetary Change	21	Norsk Geografisk Tidsskrift	1	Surveys in Geophysics	1
Chinese Journal of Plant Ecology	1	Global Biogeochemical Cycles	2	Oceanography-Oceanography Society	1	Sustainable Development	1
Climate Change	11	Global Change Biology	15	Organic Geochemistry	1	Technological Forecasting and Social Change	1
Climate Dynamics	1	Global climate change and cold regions ecosystems	1	PAGES News	1	Tellus	3
Climate of the Past	1	Global Ecology and Biogeography	2	Palaeogeography, Palaeoclimatology, Palaeoecology	7	The Holocene	1
Conference/Symposium Proceedings	18	Global Environmental Change	10	Permafrost and Periglacial Processes	4	The Lancet	1
Cryosphere	12	Hydrological Processes	15	Perspectives in Plant Ecology, Evolution and Systematics	1	Theoretical and Applied Climatology	3

Current Biology	1	Hydrological Sciences Journal	2	Pesquisa Antártica Brasileira	1	The Review of Economics and Statistics	2
Current Opinon in Environmental Sustainability	2	Hydrology and Earth System Sciences	6	PhD Thesis	3	Tourism Management	1
Danish Journal of Geography	1	IAHS Symposuin Proceedings	2	Philosophical Transactions of the Royal Society A	1	Transactions American Geophysical Union	2
Discussion paper	2	Ice and snow	2	Photogrammetric Engineering and Remote Sensing	1	Tree Physiology	1
Earth and Planetary Science Letters	2	International Journal of Climatology	2	Plant and Soil	1	Trees	1
Earth Surface Processes and Landforms	2	International Journal of Environmental Protection	1	PloS one	5	Water International	1
Earth-Science Reviews	15	International Journal of Remote Sensing	1	Polar biology	5	Water Science and Technology	1
		International Journal of Sustainable Society	1	Polar Geography	1	Zeitschrift für Geomorphologie	1

193

194 Science, Nature Geoscience and Nature were the top three of the most popular journals with entries of three or more (Fig. 3).

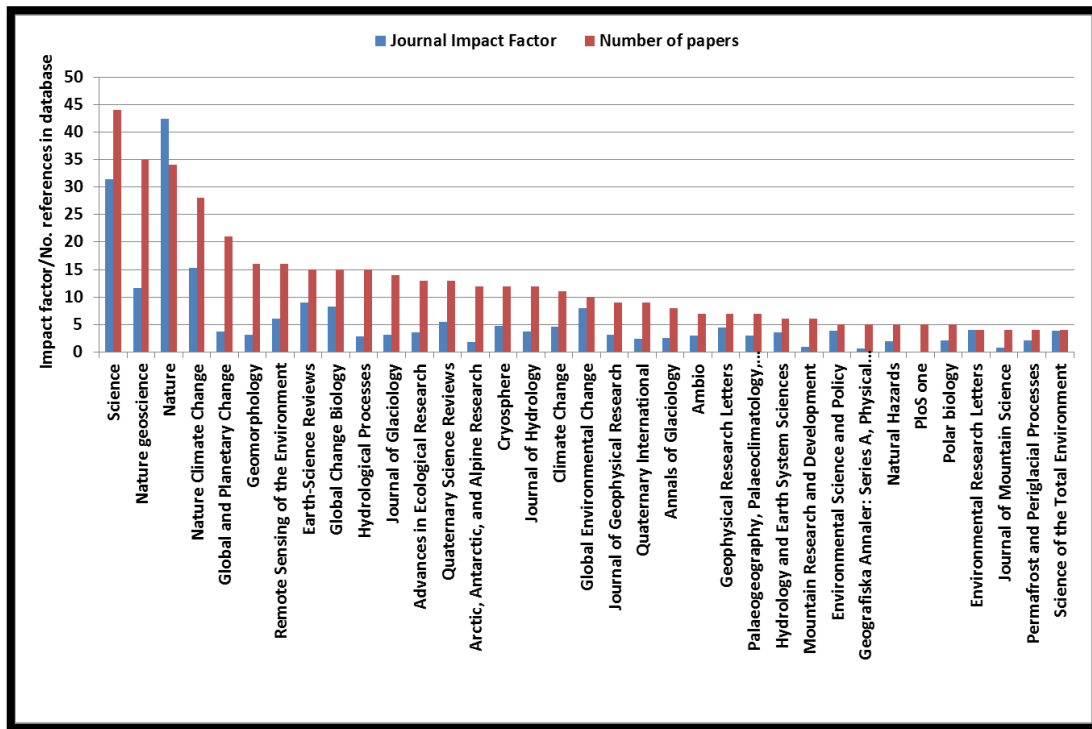


Fig. 3: Most popular journals in database (with three or more entries)

196

197 The top 35 journals (based on impact factor) and the number of entries in the database for
 198 each journal is given in Table 2.

199

200

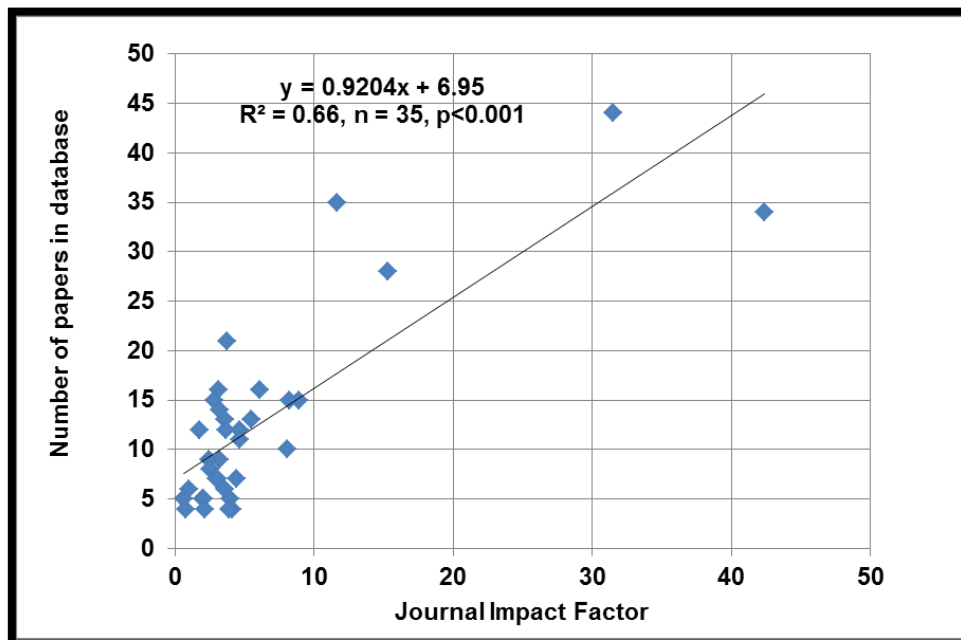
201 Table 2: List of the top 35 highest impact academic journals used to search for material
 202 relevant to climate change impacts in polar and mountainous regions to supplement the
 203 papers provided by benchmark site scientists.

		30/05/2014	IMPACT FACTOR	% of total	Search target	02/09/2014
	Journal Title	Number	13-Aug-14	Impact	Number	Number
1	Science	8	31.477	15	44	44
2	Nature Geoscience	1	11.668	5	16	35
3	Nature	10	42.351	20	60	34
4	Nature Climate Change	3	15.295	7	22	28
5	Global and Planetary Change	16	3.707	2	5	21
6	Geomorphology	6	3.167	1	4	16
7	Remote Sensing of the Environment	5	6.065	3	9	16
8	Earth-Science Reviews	2	8.95	4	13	15
9	Global Change Biology	1	8.22			15
10	Hydrological Processes	7	2.81	1	4	15
11	Journal of Glaciology	9	3.213	2	5	14
12	Advances in Ecological Research	4	3.59	2	5	13
13	Quaternary Science Reviews	5	5.463	3	8	13
14	Arctic, Antarctic, and Alpine Research	8	1.78	1	3	12
15	Cryosphere	2	4.684	2	7	12
16	Journal of Hydrology	3	3.678	2	5	12
17	Climate Change	3	4.622	2	6	11
18	Global Environmental Change	1	8.05			10
19	Journal of Geophysical Research	5	3.174	1	4	9
20	Quaternary International	2	2.446	1	3	9
21	Annals of Glaciology	9	2.524	1	4	8
22	Ambio	7	2.973	1	4	7
23	Geophysical Research Letters	5	4.456	2	6	7
24	Palaeogeography, Palaeoclimatology, Palaeoecology	5	3.035	1	4	7
25	Hydrology and Earth System Sciences	5	3.59	2	5	6
26	Mountain Research and Development	3	0.989	0	1	6
27	Environmental Science and Policy	1	3.948	2	6	5
28	Geografiska Annaler: Series A, Physical Geography	5	0.659	0	1	5

29	Natural Hazards	4	1.958	1	3	5
30	PloS one	1				5
31	Polar biology	2	2.071	1	3	5
32	Environmental Research Letters	3	4.09	2	6	4
33	Journal of Mountain Science	2	0.763	0	1	4
34	Permafrost and Periglacial Processes	1	2.177	1	3	4
35	Science of the Total Environment	2	3.906	2	5	4

204

205 There was a significant relationship between the number of journal references added to the
 206 database and the impact factor, i.e. the higher the journal impact factor, the more papers
 207 from that journal that were searched and added (Fig. 4). This approach was adopted so that
 208 the database contained a significant proportion (164 or 21.3%) of high impact research
 209 papers drawn from the top 35 impact factor journals shown in Table 2 which are not
 210 specifically linked to benchmark sites for the INT 5153 project. This, we believe,
 211 strengthened the validity of the database and added to the 512 entries which are specifically
 212 linked to the benchmark sites or regions chosen for this project.



213

214 Fig. 4: Relationship between number of papers and journal impact factor.

215 The key words (up to three) which were entered next to each entry in the database (Table
 216 3). In total, 1113 key words were entered next to database entries and these were assigned
 217 to five categories based on emerging themes (Maykut and Morehouse, 1994) which are
 218 colour coded in Table 3. Keywords associated with glacier/recession/melt/mass balance
 219 had the highest count of 208.

220 Table 3: Keywords (with number of entries) used in database. Note that colour coding key at
 221 top of table corresponds to categories.

KEY WORDS & THEIR CLASSIFICATION			
COLOUR KEY			
Impacts on Snow, Glaciers, Ice Caps and Ice Sheets		Impacts on Livelihoods: Agriculture, Food, Water Security and Hydropower	Impacts on Landscape, Geomorphology and Slope Stability
Impacts on Terrestrial Ecosystems: Soil, Biodiversity, Greenhouse gases and Feedback systems		Impacts on Water and Water Resources	Non-coloured = Not assigned
Keywords	TOTAL		
Abrupt climate change	1	Feedback	6
Accimatisation capacity	1	Food security/production	5
Adaptation	33	Frost	6
Afforestation	1	Fungi	1
African drought	0	Geomorphology	1
Aerial photos	3	GHGs/emissions	6
Airborne laser	1	Glacier/recession/melt/mass balance	5
Agriculture/Crops/Biofuels	24	Glacial lakes/lakes/proglacial lakes	208
Agroforestry	1	GLOF/jokulhauip	14
Animals/Fauna	6	Governance	22
Annual Production/Biomass	2	Grassland/grazing	1
Arctic tundra/fen	8	Hazard management	4
Biodiversity	5	HEP	10
Biogeochemical cycling/response	3	Human/adaptaton/response	5
Biomass burning/wildfire	3	Hydrology/irrigation	10
Black carbon	3	Ice sheet/mass/core	46
Carbon/cycle/CO2	49	Isotope	13
Catastrophic soil erosion	1	Lake/Lake ice	5
Climate /change/extremes/hazard	78	Land/land-use/cover	16
Crops/yields	8	Landslide/mass movement/slope failure	9
Crustal uplift	4	Management	26
Cryosphere	5	Mapping	2
Cultural dimension	1	Methane/emissions/flux	57
Damage costs/disease/disaster	4	Nutrients/	13
Dating	1	Organic matter/carbon	4
Dams	1	Organisations	7
Debris flow	4	Palaeoclimate	7
Dendrochronology	1	Peat/decomposition	7
Dust	1	Periglacial/permafrost/active layer	2
Early warning system (landslide)	1	Phosphorus	52
Ecology/Ecosystems	3	Pine beetle	2
Economics/impacts	25	Plant/ecology/herbivore interaction	5
Energy/budgets/HEP	8	Planation	1
Forests	8	Policy/political	9
		Quinoa	6
		Rainfall	3
		Range shifts	3
		Rockfall	4
		Remote sensing	7
		Respiration	3
		Risk	1
		River discharge/runoff	20
		Rock glacier	2
		Sea level/rise	4
		Sediment	1
		Ski industry	2
		Slope failure	6
		Snow cover/melt/avalanche/pack	31
		Socio-economic/vulnerability/limts	34
		Soil carbon/respiration/moisture/sequester	29
		Soil erosion	1
		Species	3
		Sub-marine	1
		Suspended sediment	5
		Technology	1
		Terrestrial ecosystem/cabon cycle	4
		Tropical	6
		Thawing	6
		Trees/tree-line/tree rings	13
		Vegetation	12
		Villages	1
		Vulnerability	3
		Water resources/water	48
		Weathering	1
		Weeds	1
		Wetlands	2
		TOTAL	1113

222

223 Looking at the years when journals papers in the database were published, there is a
 224 tendency towards a normal distribution, but it is skewed towards the second half of the

225 period searched which indicates that the number of research papers concerned with the
226 impacts of climate change on land-water-ecosystem quality is on the increase. After
227 checking and cleaning the database (for example, some repeat entries were noted and
228 removed) there was a final total of 720 entries which included 615 journal papers (from 191
229 different journals), 31 reports, 31 book chapters, 19 Conference/Symposium Proceedings,
230 18 web news articles, 6 books.

231 Four of the five categories which emerged from the analysis of the 1015 key words
232 corresponded to the four used by IPCC (2014): Snow and Ice (termed Ice in this study,
233 abbreviated to I); Rivers and Lakes (termed Water in this study, abbreviated to W);
234 Terrestrial Ecosystems (termed Ecosystems in this study, abbreviated to E) and Food
235 Production & Livelihoods (termed People in this study, abbreviated to P) plus a new
236 Landscape category (abbreviated to L). The five categories into which the keywords were
237 grouped, the number of keywords associated with each group, and the percentage of the
238 papers in the database which were in each group are given in Table 4.

239

240 Table 4: Number of key words and % of total in five categories (rank order)

	TOTAL KEYWORDS	%
Impacts on Snow & Ice (I) glacier, glacier recession, glacier melt/ablation, glacier mass balance, glacier mapping/inventory; ice sheet, ice mass, ice core; periglacial, permafrost, active layer; cryosphere; snow cover, snow melt, snow pack, snow avalanche	366	36.1
Impacts on Terrestrial Ecosystems (E) agriculture, crops, yields, biofuels; animals, fauna; biomass, biodiversity; biogeochemical cycling/response; biomass burning, wildfires; black carbon, carbon, carbon cycle, carbon dioxide; ecology, ecosystem; forests, feedback; greenhouse gases; emissions; grassland, grazing; land use, land cover; methane, methane emissions/flux; nutrients, organic matter; peat decomposition; plants, herbivores; species; range shift; respiration; sequestration; trees, vegetation, weeds; wetland/fen	260	25.6
Impacts on Water (W) water, water resources; lakes, glacial lakes, proglacial lakes, lake ice; hydrology; irrigation; rainfall; river discharge; runoff; sea level	173	17.0
Impacts on People's Livelihoods (P)	146	14.4

acclimatisation capacity, adaptation; cultural dimension; damage costs, disease, disaster; economic impacts; energy, hydropower; food security, food production; governance; hazard management; risk, human adaptation, human response; migration; management, organisations, policy, political; ski industry, tourism; socio-economic; technology; vulnerability		
Impacts on Landscape (L)	70	6.9
Soil erosion; debris flow; geomorphology; landslide, mass movement, slope failure, rockfall; sediment, suspended sediment; weathering		
TOTAL	1015	100

241

242 Using the five categories in Table 4, all database entries were assigned to one or more of
243 these, leaving 721 entries which had citations assigned. On closer inspection it was found
244 that 58 of the journal paper entries were only concerned with climate change and not the
245 impact of climate change on polar and mountainous regions. These papers were assigned
246 the category C (for Climate) and thereafter removed from the analysis, leaving 663 journal
247 articles remaining. However, sometimes research papers were concerned with more than
248 one category. For example, if the paper was concerned with glacier recession (I) and
249 changes in runoff (W), then it was assigned IW (eg. a study of glacial lake outburst floods).
250 157 of the journal papers were assigned two categories and 13 were assigned three
251 categories. When the total number of papers in each category was calculated, a paper with
252 two categories, such as IW, would be assigned 0.5 to I and 0.5 to W. For three categories
253 such as IEW, then each category would be assigned 0.33. Table 5 shows the results for all
254 papers in database (columns 2-3) and top 50 only (columns 6-7) and the last row shows the
255 ranking of the five categories. Columns 4 and 8 in Table 5 show the average number of
256 citations per year (CPY) for each category and columns 5 and 9 gives the product of the

257 number of papers multiplied by the average CPY. This measure, we believe, gives the most
 258 robust weighting for each category. The final row in Table 5 summarises the ranks with E >
 259 W > P > I > L being the order for all papers in the database and for the Top Fifty.

260 Table 5: Comparison of all papers in database vs top 50 only.

Category	All Papers in Database				Top 50 papers			
	No. papers in database	% of papers in database	Average CPY*	No. papers x Ave CPY*	No. papers in top 50	% of papers in top 50	Average CPY*	No. papers x Ave CPY*
I	213	33.7	31	6609	3.5	7.0	315.3	1104
E	194	30.6	121	23457	28.5	57.0	376.6	10733
W	97	15.3	103	9968	7.5	15.0	282.6	2120
P	74	11.6	94	6915	10.0	20.0	287.5	2875
L	55	8.7	40	2238	0.5	1.0	49.9	25
TOT	633	100.0			50	100		
RANKS	I > E > W > P > L		E > W > P > I > L		E > P > W > I > L		E > W > P > I > L	

261 * CPY = citations per year.

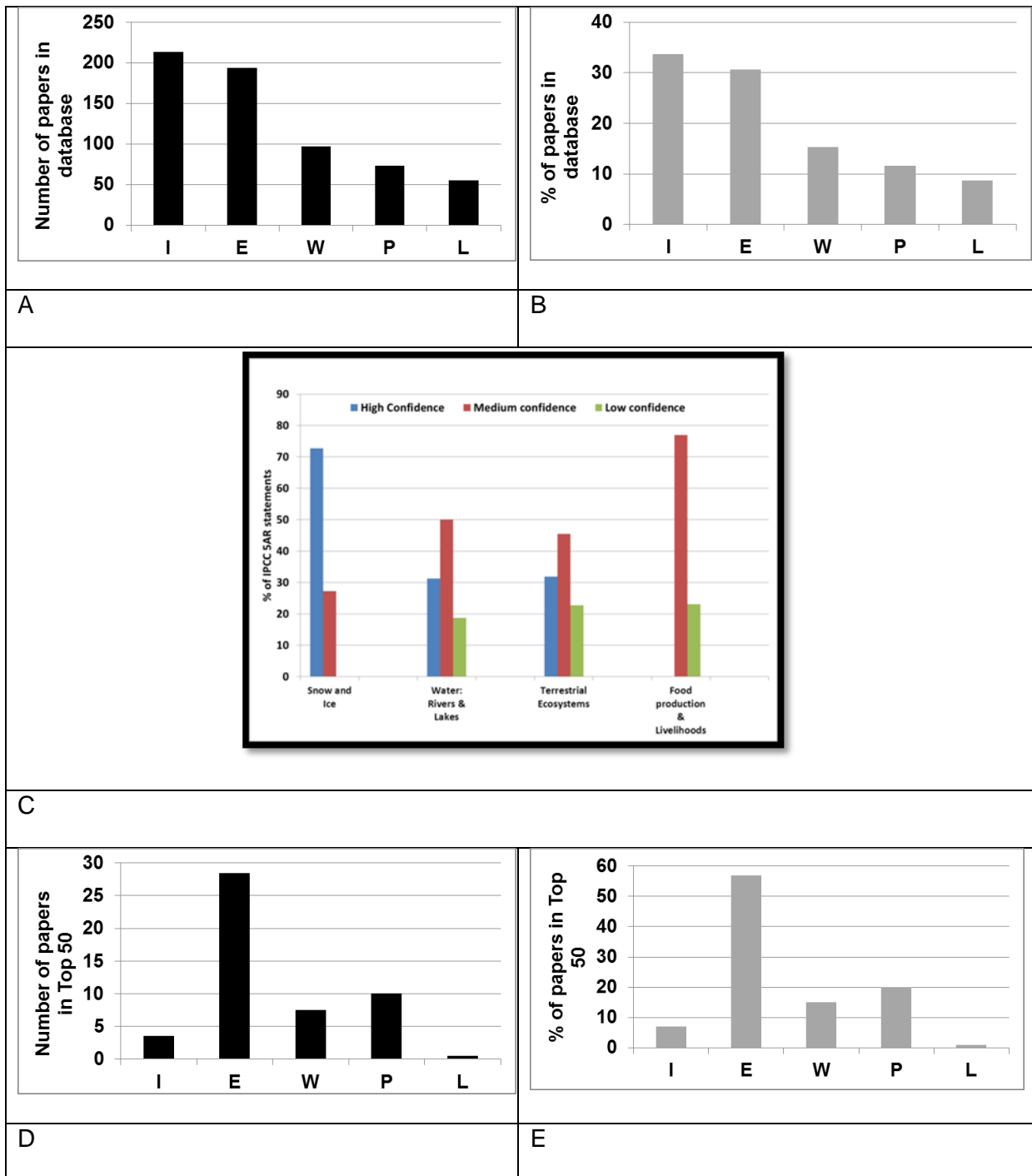
262 Most papers published in the last 15 years concerned with the impact of climate change on
 263 polar and mountainous regions are classified as I. There are 213 papers in this category
 264 which represents 33.7% of the total. There are 194 papers in the E category which
 265 represents 30.6% of the total. The third category, the impact of climate change on the water
 266 (rivers and lakes) (W) contained 97 papers (15.3% of the total). The fourth category, climate
 267 change impacts on people and livelihoods (P) contained 74 papers (11.6% of the total). The
 268 fifth category, landscape (L) contained 55 papers (8.7% of the total).

269 These distributions can be compared with the IPCC (2014) confidence levels of knowledge
 270 in each of the first four categories (I-E-W-P) (see Fig. 5). The fifth category (L) has been

271 created by us through the key word analysis (Table 3). However, the IPCC (2014) deals
272 with the levels of confidence that the 5AR has in making statements about the impact of
273 climate change on snow and ice (I); rivers and lakes (W); terrestrial ecosystems (E) and food
274 production/livelihoods (P). Figs. 5A and B show the number and percentage of the research
275 papers in these categories, and includes the fifth category, landscape (L) which, in our view,
276 appears to be under researched and is presumably included in the IPCC Terrestrial
277 Ecosystems category. We feel that policy makers should note this under-representation of
278 research, or at least high impact research, on landscape processes which we discuss in
279 more detail later.

280

281 Fig. 5. A: Number of papers in whole database in the five categories (n = 633), B:
 282 Percentages of papers in whole database in the five categories, C: Confidence levels in
 283 statements made by IPCC (2014) in four areas which are being impacted by climate change
 284 (IPCC, 2014), D: Number of papers in Top 50 (by CPY) in the five categories (n = 50), E:
 285 Percentages of papers in Top 50 (by CPY) in the five categories

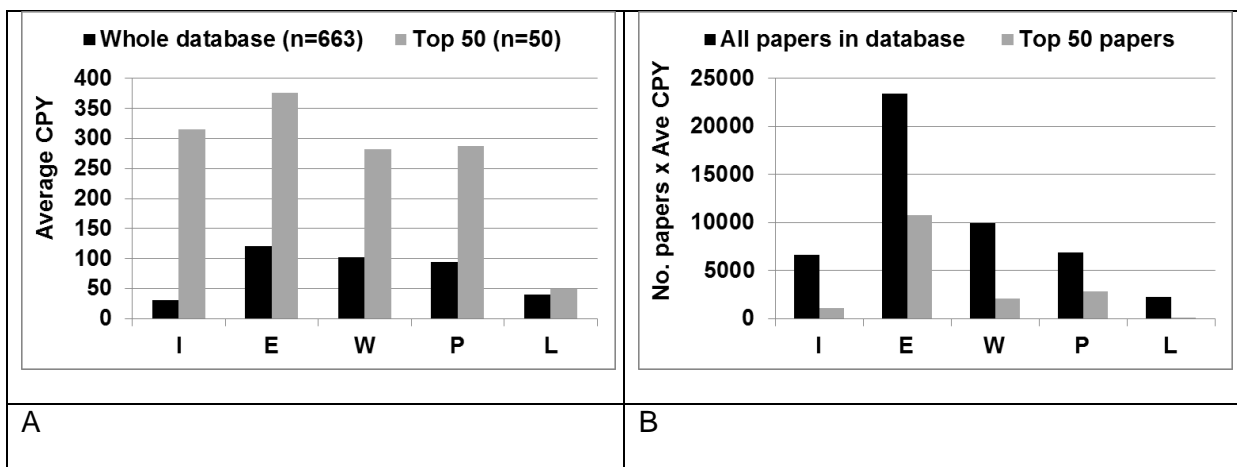


286

287 Figs. 5D and E present the distribution for just the Top Fifty - the fifty papers in the database
 288 with this highest number of citations per year (CPY). There is a clear mis-match between
 289 the nature of the research coming from the larger database (of 663 papers) and those in the
 290 Top Fifty. The rank order has changed from I > E > W > P > L to E > P > W > I > L. In other
 291 words, the number of research papers in I reaching the top 50 has declined hugely, from
 292 33.7 in the whole database to just 7.0% in the top 50 while research on terrestrial
 293 ecosystems (E) has increased from 30.6% in the whole database to 57.0% in the top 50. P
 294 has moved up from fourth to second rank of the five categories (increased from 11.6% in the
 295 whole database to 20.0% in the top 50), and water (W) has remained in third place (changed
 296 15.3% in the whole database to 15.0% in the top 50). Landscape research (L) remains in
 297 fifth place (decreased from 8.7% in the whole database to just 1.0% in the top 50).

298 The average citations per year (CPY) for whole database and the Top 50 papers and the
 299 number of papers x average citations per year (CPY) for whole database and the Top 50
 300 papers is shown in Fig. 6.

301 Figure 6. A: Average citations per year (CPY) for whole database and the Top 50 papers, B:
 302 Number of papers x average citations per year (CPY) for whole database and the Top 50
 303 papers.



304

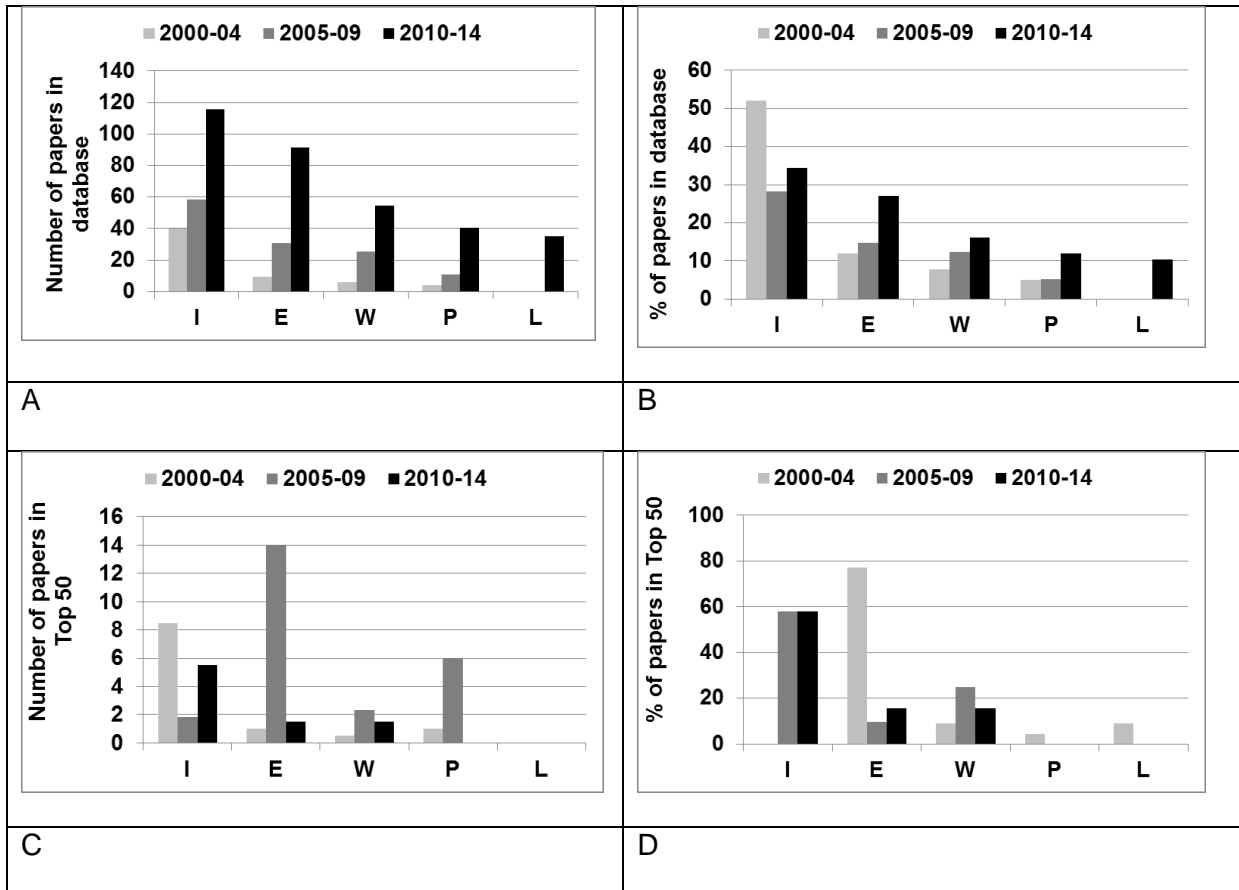
305 Fig. 6A indicates that when considering citations per year (CPY) as a measure of impact or
306 importance to the scientific community, the rank order of categories is $E > W > P > L > I$ for
307 the whole database but becomes $E > I > P > W > L$ for the top 50 papers. It would therefore
308 seem sensible to combine the number of papers in each category by the average citations
309 per year (CPY) for the category. This results in Fig. 6B which gives the rank order for the
310 whole database as $E > W > P > I > L$ and the ranks for the top 50 papers are the same.

311 So, to conclude this section, research concerned with the impacts of climate change on
312 terrestrial ecosystems (E) in polar and mountainous regions dominates, with papers on the
313 impact on water resources (W) being second and a very close third the impact on people's
314 livelihood (P), with I fourth and L fifth. The distribution for the Top Fifty highest impact
315 papers closely mirrors the distribution of papers in the larger database of 663 journal papers.
316 Therefore, a more detailed examination of the research undertaken in the Top Fifty highest
317 impact papers is proposed for the discussion of this paper.

318 In order to assess the changes over the 15 year (2000-14) period, it was decided to split the
319 database into three five year time periods: 2000-04; 2005-09; 2010-14 (Fig. 7), which shows
320 how the number of papers (Fig. 7A) and the percentage of papers (Fig. 7B) in each of the
321 five categories changed through the three time periods. Fig. 7A shows that the number of
322 papers increased in each category from 2000-04 to 2005-09 and again to 2010-14.

323

324 Figure 7. A: Changes in the number of papers in each category over time (n = 663), B:
 325 Changes in the percentage of papers in each category over time (n = 663), C: Changes in
 326 the number of papers in each category over time in Top 50 (n = 50), D: Changes in the
 327 percentage of papers in each category over time in Top 50 (n = 50).



328
 329 On examining the Top 50 papers (Figs. 7C and D), it is difficult to see any obvious pattern
 330 over the 15 year period.

331

332 **Discussion**

333 *Content of the Top Fifty Highest Impact papers*

334 A closer examination of these Top Fifty papers was made. The topic of the research was
 335 noted and Table 6 shows the most popular research topics in the Top Fifty papers.

336 Table 6: Most popular research topics in the Top Fifty papers

Category	Topic	No. of papers	Authors, Year and Impact Rank
E	Species distribution change, biodiversity	10	Parmesan & Yohe, 2003 (2); Walther <i>et al.</i> , 2002 (3); Sala <i>et al.</i> , 2000 (5); Chen <i>et al.</i> , 2011 (10); Dawson <i>et al.</i> , 2011 (27); Lenoir <i>et al.</i> , 2008 (36); Schröter <i>et al.</i> , 2005 (40); Stenseth <i>et al.</i> , 2002 (41); Davis & Shaw, 2001 (44); Post <i>et al.</i> , 2009 (48).
EP/P/W	Agriculture and food production, water resources	6	Lal, 2004 (24); Lobell <i>et al.</i> , 2011 (12); Lobell <i>et al.</i> , 2008 (16); Piao <i>et al.</i> , 2010 (31); Shindell <i>et al.</i> , 2012 (28); Asseng <i>et al.</i> , 2013 (46).
E/EP	Greenhouse gases, feedback mechanisms	6	Searchinger <i>et al.</i> , 2008 (1); Fargione <i>et al.</i> , 2008 (4); Westerling <i>et al.</i> , 2006 (6); Cox <i>et al.</i> , 2000 (13); Bonan, 2008 (9); Cramer <i>et al.</i> , 2001 (34).
E/EP	Soil and forest carbon, carbon cycle	6	Davidson & Janssens, 2006 (7); Lal, 2004 (8); Kurz <i>et al.</i> , 2008 (22); Van der Werf <i>et al.</i> , 2009 (39); Donato <i>et al.</i> , 2011 (42); Bond-Lamberty & Thomson, 2010 (45).
P	Human health, social limits, adaptation	5	Adger <i>et al.</i> , 2009 (18); McMichael <i>et al.</i> , 2006 (23); Patz <i>et al.</i> , 2005 (26); Shindell <i>et al.</i> , 2012 (28); Adger <i>et al.</i> , 2003 (49).
W	Water resources	4	Barnet <i>et al.</i> , 2005 (19); Piao <i>et al.</i> , 2010 (31); Vörösmarty <i>et al.</i> , 2000 (25); Immerzeel <i>et al.</i> , 2010 (30).
I	Himalayan, Antarctic, Patagonian glaciers	2	Bolch <i>et al.</i> , 2012 (37); Schaefer <i>et al.</i> , 2013 (11).

W/IW	Sea level rise	2	Jacob <i>et al.</i> , 2012 (33); Nicholls & Cazenave, 2010 (29).
E/EP	Land use change, forest management	1	Canadell & Raupach, 2008 (50).
E	Primary production	1	Nemani <i>et al.</i> , 2003 (21).
E	Disease risk	1	Harvell <i>et al.</i> , 2002 (32).
E	Deforestation	1	Malhi <i>et al.</i> , 2008 (35).
EW	Soil moisture	1	Seneviratne <i>et al.</i> , 2010 (20).
I	Black carbon	1	Ramanathan & Carmichael, 2008 (15).
IE	Permafrost thaw	1	Hinzman <i>et al.</i> , 2005 (47).
IE	Carbon dioxide sinks	1	Le Quéré <i>et al.</i> , 2009 (14).
P	Sustainable Development-equity	1	Smit & Pilifosova, 2003 (43).
P	Economics of climate change	1	Weitzman, 2009 (17).
LW	Sediment flux to oceans	1	Syvitski <i>et al.</i> , 2006 (38).

337

338 The most researched topics in the Top Fifty papers were concerned with the impact of
339 climate change on species distribution and biodiversity and 10 of the papers (20%)
340 addressed this. Six papers (12%) addressed the impact of climate change on agriculture and
341 food production, six papers (12%) were about greenhouse gases/feedback mechanisms and
342 a further 6 papers (12%) were on the subject of soil and forest carbon/carbon cycle. Five
343 papers (10%) addressed human health/social limits/adaptation. Next, water resources had
344 four papers (8%) dealing with that topic.

345 Two papers (6%) were on the impacts on Himalayan, Antarctic and Patagonian glaciers;
346 Two papers (10%) were concerned with sea level rise and the remaining 11 papers (2%
347 each) were each concerned with a range of topics as outlined in Table 6. Inevitably, there is
348 scope for some overlap, where for example, the paper by Searchinger *et al.*, (2008) found

349 that the use of US croplands for biofuels increases greenhouse gases through emissions
 350 from land-use change, and so bridges the agriculture and greenhouse gas topics.

351

352 *Ecosystems*

353 The highest impact paper found in this whole study concerned with ecosystems was by
 354 Searchinger *et al.* (2008) (Table 7), who reported that the use of US croplands for biofuels
 355 increases greenhouse gases through emissions from land use change.

356 Table 7: Top Ten Highest Cited Papers in the Ecosystems (E) Category

Rank	Publication	Year	Journal	Impact Factor	No. citations	Age of paper	No. Citations per year (CPY)
1	Searchinger, T., Heimlich, R., Houghton, R. A., Dong, F., Elobeid, A., Fabiosa, J., ... & Yu, T. H. (2008). Use of US croplands for biofuels increases greenhouse gases through emissions from land-use change. <i>Science</i> , 319(5867), 1238-1240.	2008	Science	34.4	3255	7	465.0
2	Parnesan, C., & Yohe, G. (2003). A globally coherent fingerprint of climate change impacts across natural systems. <i>Nature</i> , 421(6918), 37-42.	2003	Nature	42.351	5230	12	435.8
3	Walther, G. R., Post, E., Convey, P., Menzel, A., Parmesan, C., Beebee, T. J., ... & Bairlein, F. (2002). Ecological responses to recent climate change. <i>Nature</i> , 416(6879), 389-395.	2002	Nature	42.351	5249	13	403.8
4	Fargione, J., Hill, J., Tilman, D., Polasky, S., & Hawthorne, P. (2008). Land clearing and the biofuel carbon debt. <i>Science</i> , 319(5867), 1235-1238.	2008	Science	34.4	2785	7	397.9
5	Sala, O. E., Chapin, F. S., Armesto, J. J., Berlow, E., Bloomfield, J., Dirzo, R., ... & Wall, D. H. (2000). Global biodiversity scenarios for the year 2100. <i>science</i> , 287(5459), 1770-1774.	2000	Science	34.4	4657	15	310.5
6	Westerling, A. L., Hidalgo, H. G., Cayan, D. R., & Swetnam, T. W. (2006). Warming and earlier spring increase western US forest wildfire activity. <i>science</i> , 313(5789), 940-943.	2006	Science	34.4	2338	9	259.8
7	Davidson, E. A., & Janssens, I. A. (2006). Temperature sensitivity of soil carbon decomposition and feedbacks to climate change. <i>Nature</i> , 440(7081), 165-173.	2006	Nature	42.351	2228	9	247.6
8	Lal, R. (2004). Soil carbon sequestration impacts on global climate change and food security. <i>science</i> , 304(5677), 1623-1627.	2004	Science	34.4	2512	11	228.4

9	Bonan, G. B. (2008). Forests and climate change: forcings, feedbacks, and the climate benefits of forests. <i>science</i> , 320(5882), 1444-1449.	2008	Science	34.4	1442	7	206.0
10	Chen, I. C., Hill, J. K., Ohlemüller, R., Roy, D. B., & Thomas, C. D. (2011). Rapid range shifts of species associated with high levels of climate warming. <i>Science</i> , 333(6045), 1024-1026.	2011	Science	34.4	781	4	195.3

357

358 Fargione *et al.* (2008) pointed out that converting rainforests, peatlands, savannas, or
359 grasslands to produce food crop-based biofuels in Brazil, Southeast Asia, and the United
360 States created a “biofuel carbon debt”. Parmesan & Yohe (2003) reported that attributing the
361 causes of recent biological trends to climate change is complicated because non-climatic
362 influences dominate local, short-term biological changes. Walther *et al.* (2002) claimed that
363 there was ample evidence of the ecological impacts of recent climate change, from polar
364 terrestrial to tropical marine environments and their review exposed a coherent pattern of
365 ecological change across systems. Sala *et al.* (2000) claimed that scenarios of changes in
366 biodiversity for the year 2100 could be developed based on scenarios of changes in
367 atmospheric carbon dioxide, climate, vegetation, and land use and the known sensitivity of
368 biodiversity to these changes. Davidson & Janssens’ (2006) key paper points out that
369 despite much research, a consensus has not yet emerged on the temperature sensitivity of
370 soil carbon decomposition and feedbacks to climate change. Lal (2004) estimated that the
371 carbon sink capacity of the world's agricultural and degraded soils is 50 to 66% of the
372 historic carbon loss of 42 to 78 gigatons of carbon.

373 Westerling *et al.* (2006) showed that large wildfire activity in the US increased suddenly and
374 markedly in the mid-1980s, with higher large-wildfire frequency, longer wildfire durations,
375 and longer wildfire seasons. Bonan (2008) illustrated how the world's forests influence
376 climate through physical, chemical, and biological processes that affect planetary energetics,
377 the hydrologic cycle, and atmospheric composition. Chen *et al.*, 2011 identified rapid shifts of
378 species associated with high levels of climate warming.

379

380 *People and Livelihoods*

381 Investments aimed at improving agricultural adaptation to climate change inevitably favour
 382 some crops and regions over others. Lobell *et al.* (2008) were ranked highest in the Top Ten
 383 Highest Cited Papers in the People and Livelihoods (P) Category (Table 8). They performed
 384 an analysis of climate risks for crops in 12 food-insecure regions to identify adaptation
 385 priorities, based on statistical crop models and climate projections for 2030 from 20 general
 386 circulation models.

387

388 Table 8: Top Ten Highest Cited Papers in the People and Livelihoods (P) Category

Rank	Publication	Year	Journal	Impact Factor	No. citations	Age of paper	No. Citations per year (CPY)
1	Lobell, D. B., Burke, M. B., Tebaldi, C., Mastrandrea, M. D., Falcon, W. P., & Naylor, R. L. (2008). Prioritizing climate change adaptation needs for food security in 2030. <i>Science</i> , 319(5863), 607-610.	2008	Science	34.4	1195	7	170.7
2	Weitzman, M. L. (2009). On modeling and interpreting the economics of catastrophic climate change. <i>The Review of Economics and Statistics</i> , 91(1), 1-19.	2009	The Review of Economics and Statistics	2.718	971	6	161.8
3	Adger, W. N., Dessai, S., Goulden, M., Hulme, M., Lorenzoni, I., Nelson, D. R., ... & Wreford, A. (2009). Are there social limits to adaptation to climate change?. <i>Climatic change</i> , 93(3-4), 335-354.	2009	Climate Change	4.622	959	6	159.8
4	McMichael, A. J., Woodruff, R. E., & Hales, S. (2006). Climate change and human health: present and future risks. <i>The Lancet</i> , 367(9513), 859-869.	2006	The Lancet	45.217	1153	9	128.1
5	Patz, J. A., Campbell-Lendrum, D., Holloway, T., & Foley, J. A. (2005). Impact of regional climate change on human health. <i>Nature</i> , 438(7066), 310-317.	2005	Nature	42.351	1261	10	126.1
6	Shindell, D., Kuylensstierna, J. C., Vignati, E., van Dingenen, R., Amann, M., Klimont, Z., ... & Fowler, D. (2012). Simultaneously mitigating near-term climate change and improving human health and food security.	2012	Science	34.4	364	3	121.3

	Science, 335(6065), 183-189.						
7	Smit, B., & Pilifosova, O. (2003). Adaptation to climate change in the context of sustainable development and equity. <i>Sustainable Development</i> , 8(9), 9.	2003	Sustainable Development	1.242	1051	12	87.6
8	Adger, W. N., Huq, S., Brown, K., Conway, D., & Hulme, M. (2003). Adaptation to climate change in the developing world. <i>Progress in development studies</i> , 3(3), 179-195.	2003	Progress in Development Studies	0.789	874	12	72.8
9	Hsiang, S. M., Meng, K. C., & Cane, M. A. (2011). Civil conflicts are associated with the global climate. <i>Nature</i> , 476(7361), 438-441.	2011	Nature	42.351	243	4	60.8
10	Adger, W. N., Barnett, J., Brown, K., Marshall, N., & O'Brien, K. (2013). Cultural dimensions of climate change impacts and adaptation. <i>Nature Climate Change</i> , 3(2), 112-117.	2013	Nature Climate Change	15.295	109	2	54.5

389

390 Weitzman (2009) analyzed the implications of structural uncertainty for the economics of
391 low-probability, high-impact catastrophes. Adger *et al.* (2009) contended that limits to
392 adaptation are endogenous to society and hence contingent on ethics, knowledge, attitudes
393 to risk and culture. McMichael *et al.* (2006) summarised the epidemiological evidence of how
394 climate variations and trends affect various health outcomes. Patz *et al.* (2005) argued that
395 many prevalent human diseases are linked to climate fluctuations, from cardiovascular
396 mortality and respiratory illnesses due to heatwaves, to altered transmission of infectious
397 diseases and malnutrition from crop failures.

398 Shindell *et al.* (2012) considered ~400 emission control measures to reduce pollutants by
399 using current technology and experience. Smit & Pilifosova (2003) examined adaptation to
400 climate change in the context of sustainable development and equity, while Adger *et al.*
401 (2003) reported on adaptation to climate change in the developing world. Hsiang *et al.*
402 (2011) wrote about how civil conflicts are associated with the global climate. Adger *et al.*
403 (2013) analysed new research across the social sciences to show that climate change

404 threatens cultural dimensions of lives and livelihoods that include the material and lived
 405 aspects of culture, identity, community cohesion and sense of place.

406

407

408 *Water*

409 Barnett *et al.* (2005) were ranked highest in the Top Ten Highest Cited Papers in the Water
 410 (W) Category (Table 9). They reported on the potential impacts of a warming climate on
 411 water availability in snow-dominated regions.

412 Table 9: Top Ten Highest Cited Papers in the Water (W) Category

Rank	Publication	Year	Journal	Impact Factor	No. citations	Age of paper	No. Citations per year (CPY)
1	Barnett, T. P., Adam, J. C., & Lettenmaier, D. P. (2005). Potential impacts of a warming climate on water availability in snow-dominated regions. <i>Nature</i> , 438(7066), 303-309.	2005	Nature	42.351	1506	10	150.6
2	Vörösmarty, C. J., Green, P., Salisbury, J., & Lammers, R. B. (2000). Global water resources: vulnerability from climate change and population growth. <i>Science</i> , 289(5477), 284-288.	2000	Science	34.4	1894	15	126.3
3	Nicholls, R. J., & Cazenave, A. (2010). Sea-level rise and its impact on coastal zones. <i>Science</i> , 328(5985), 1517-1520.	2010	Science	34.4	605	5	121.0
4	Immerzeel, W. W., Van Beek, L. P., & Bierkens, M. F. (2010). Climate change will affect the Asian water towers. <i>Science</i> , 328(5984), 1382-1385.	2010	Science	34.4	586	5	117.2
5	Piao, S., Ciais, P., Huang, Y., Shen, Z., Peng, S., Li, J., ... & Fang, J. (2010). The impacts of climate change on water resources and agriculture in China. <i>Nature</i> , 467(7311), 43-51.	2010	Nature	42.351	577	5	115.4
6	Taylor, R. G., Scanlon, B., Döll, P., Rodell, M., Van Beek, R., Wada, Y., ... & Treidel, H. (2013). Ground water and climate change. <i>Nature Climate Change</i> , 3(4), 322-329.	2013	Nature Climate Change	15.295	129	2	64.5

7	García-Ruiz, J. M., López-Moreno, J. I., Vicente-Serrano, S. M., Lasanta-Martínez, T., & Beguería, S. (2011). Mediterranean water resources in a global change scenario. <i>Earth-Science Reviews</i> , 105(3), 121-139.	2011	Earth Science Reviews	8.95	227	4	56.8
8	Fischer, G., Tubiello, F. N., Van Velthuizen, H., & Wiberg, D. A. (2007). Climate change impacts on irrigation water requirements: effects of mitigation, 1990–2080. <i>Technological Forecasting and Social Change</i> , 74(7), 1083-1107.	2007	Technological Forecasting and Social Change	1.274	255	8	31.9
9	Wang, G. Y., Shen, Y. P., Su, H. C., WANG, J., MAO, W. Y., GAO, Q. Z., & WANG, S. D. (2008). Runoff changes in Aksu River Basin during 1956–2006 and their impacts on water availability for Tarim River. <i>Journal of Glaciology and Geocryology</i> , 30(4), 562-568.	2008	Journal of Glaciology and Geocryology	0	168	7	24.0
10	Petra Schmocker-Fackel, Felix Naef, More frequent flooding? Changes in flood frequency in Switzerland since 1850, <i>Journal of Hydrology</i> , 381 (1–2), 1-8.	2010	Journal of Hydrology	3.678	73	5	14.6

413

414 Vörösmarty et al. (2000) examined global water resources and their vulnerability from
415 climate change and population growth. Nicholls & Cazenave (2010) claimed that global sea
416 level rise through the 20th century will almost certainly accelerate through the 21st century
417 and beyond because of global warming, but its magnitude remains uncertain. Immerzeel *et*
418 *al.* (2010) investigated how climate change will affect the Asian water towers - more than 1.4
419 billion people depend on water from the Indus, Ganges, Brahmaputra, Yangtze, and Yellow
420 rivers. Piao *et al.* (2010) examined the impacts of climate change on water resources and
421 agriculture in China, the world's most populous country and a major emitter of greenhouse
422 gases. Taylor *et al.* (2013) studied groundwater and climate change, groundwater being the
423 world's largest distributed store of fresh water, which plays a central part in sustaining
424 ecosystems and enabling human adaptation to climate variability and change. García-Ruiz
425 *et al.* (2011) reported that Mediterranean areas of both southern Europe and North Africa
426 were subject to dramatic changes that would affect the sustainability, quantity, quality, and
427 management of water resources. Fischer *et al.* (2007) investigated potential changes in
428 global and regional agricultural water demand for irrigation within a new socio-economic

429 scenario with and without climate change. Wang *et al.* (2008) analysed runoff changes in
 430 Aksu River Basin during 1956–2006 and their impacts on water availability for Tarim River.
 431 Schmocker-Fackel & Naef (2010) examined changes in flood frequency in Switzerland since
 432 1850 and postulated on more frequent flooding.

433

434 *Ice and Snow*

435 Schaefer *et al.* (2013) were ranked highest in the Top Ten Highest Cited Papers in the Ice
 436 and Snow (I) Category (Table 10). They generated digital elevation models of the Northern
 437 and Southern Patagonia Icefields of South America from the 2000 Shuttle Radar
 438 Topography Mission. Which were compared with earlier cartography to estimate the volume
 439 change of the largest 63 glaciers. Table 10 shows the Top Ten Highest Cited Papers in the
 440 Ice and Snow (I) Category

441 Table 10: Top Ten Highest Cited Papers in the Ice and Snow (I) Category

Rank	Publication	Year	Journal	Impact Factor	No. citations	Age of paper	No. Citations per year (CPY)
1	Schaefer, M., H. Machgut, M. Falvey and G. Casassa. 2013. Modeling the mass balance of the Northern Patagonia Icefield. <i>Journal of Geophysical Research, Earth Surface</i> , 118(1-18), doi:10.1002/jgrf.20038.	2013	Journal of Geophysical Research	3.426	368	2	184.0
2	Ramanathan, V., & Carmichael, G. (2008). Global and regional climate changes due to black carbon. <i>Nature Geoscience</i> , 1(4), 221-227.	2008	Nature Geoscience	11.668	1222	7	174.6
3	Rabatel, A., Francou, B., Soruco, A., Gomez, J., Cáceres, B., Ceballos, J. L., Basantes, R., Vuille, M., Sicart, J.-E., Huggel, C., Scheel, M., Lejeune, Y., Arnaud, Y., Collet, M., Condom, T., Consoli, G., Favier, V., Jomelli, V., Galarraga, R., Ginot, P., Maisincho, L., Mendoza, J., Ménégou, M., Ramirez, E., Ribstein, P., Suarez, W., Villacis, M. & Wagnon, P. (2013): Current state of glaciers in the tropical Andes: a multi-century perspective on glacier evolution and climate change. <i>The Cryosphere</i> 7:	2013	Cryosphere	5.51	105	2	52.5

	81-102						
4	Vuille, M., Francou, B., Wagnon, P., Juen, I., Kaser, G., Mark, B. G., & Bradley, R. S. (2008). Climate change and tropical Andean glaciers: Past, present and future. <i>Earth-Science Reviews</i> , 89(3), 79-96.	2008	Earth Science Reviews		296	7	42.3
5	Rignot, E., Koppes, M., & Velicogna, I. (2010). Rapid submarine melting of the calving faces of West Greenland glaciers. <i>Nature Geoscience</i> , 3(3), 187-191.	2010	Nature Geoscience	11.668	187	5	37.4
6	Harris, C., Arenson, L. U., Christiansen, H. H., Etzelmüller, B., Frauenfelder, R., Gruber, S., ... & Vonder Mühl, D. (2009). Permafrost and climate in Europe: Monitoring and modelling thermal, geomorphological and geotechnical responses. <i>Earth-Science Reviews</i> , 92(3), 117-171.	2009	Earth Science Reviews	8.95	221	6	36.8
7	Brown, R., and P. Mote, 2009: The response of Northern Hemisphere snow cover to a changing climate. <i>J. Clim.</i> , doi:10.1175/2008JCLI2665.1, 2124–2145.	2009	International Journal of Climatology	3.517	186	6	31.0
8	Christiansen, H. H., Etzelmüller, B., Isaksen, K., Juliussen, H., Farbro, H., Humlum, O., Johansson, M., Ingeman-Nielsen, T., Kristensen, J., Hjort, J., Holmlund, P., Sannel, A.B.K., Sigsgaard, C., Åkerman, H. J., Foged, N., Blikra, L. H., Pernosky, M. A. and Ødegård, R. S. 2010: The thermal state of permafrost in the Nordic area during the international polar year 2007-2009. <i>Permafrost and Periglacial Processes</i> 21:156-181.	2010	Permafrost and Periglacial Processes	2.119	131	5	26.2
9	Moholdt, G., Christopher Nuth, Jon Ove Hagen, Jack Kohler, Recent elevation changes of Svalbard glaciers derived from ICES at laser altimetry, <i>Remote Sensing of Environment</i> , 114 (11), 2756-2767.	2010	Remote Sensing of Environment	6.065	117	5	23.4
10	Racoviteanu, A. E., Arnaud, Y., Williams, M. W., & Ordonez, J. (2008). Decadal changes in glacier parameters in the Cordillera Blanca, Peru, derived from remote sensing. <i>Journal of Glaciology</i> , 54(186), 499-510.	2008	Journal of Glaciology	3.213	150	7	21.4

442

443 Ramanathan & Carmichael (2008) reported on global and regional climate changes due to
444 the deposition of black carbon which darkens snow and ice surfaces and can contribute to
445 melting, in particular of Arctic sea ice. Rabatel *et al.* (2013) reported that the glacier retreat

446 in the tropical Andes over the last three decades was unprecedented since the maximum
 447 extension of the Little Ice Age (LIA, mid-17th–early 18th century). Vuille *et al.* (2008)
 448 reviewed climate change and tropical Andean glaciers. Rignot *et al.* (2010) observed
 449 widespread glacier acceleration in Greenland which they associated with the thinning of the
 450 lower reaches of the glaciers as they terminate in the ocean.

451 Harris *et al.* (2009) presented a review of the changing state of European permafrost within a
 452 spatial zone that included the continuous high latitude arctic permafrost of Svalbard and the
 453 discontinuous high altitude mountain permafrost of Iceland, Fennoscandia and the Alps.
 454 Brown and Mote (2009) examined the response of Northern Hemisphere snow cover to a
 455 changing climate, and Christiansen *et al.* (2010) reported on the thermal state of permafrost
 456 in the Nordic area during the international polar year 2007-2009.

457 Moholdt *et al.* (2010) tested three methods for estimating 2003–2008 elevation changes of
 458 Svalbard glaciers from multi-temporal ICESat laser altimetry, and Racoviteanu *et al.* (2008)
 459 measured decadal changes in glacier parameters in the Cordillera Blanca, Peru, derived
 460 from remote sensing.

461

462 *Landscape*

463 Syvitski *et al.* (2005) were ranked highest in the Top Ten Highest Cited Papers in the
 464 Landscape (L) Category (Table 11). They provided global estimates of the seasonal flux of
 465 sediment, on a river-by-river basis, under modern and pre-human conditions.

466

467 Table 11: Top Ten Highest Cited Papers in the Landscape (L) Category

Rank	Publication	Year	Journal	Impact Factor	No. citations	Age of paper	No. Citations per year (CPY)
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1	Syvitski, J. P., Vörösmarty, C. J., Kettner, A. J., & Green, P. (2005). Impact of humans on the flux of terrestrial sediment to the global coastal ocean. <i>Science</i> , 308(5720), 376-380.	2005	Science	34.4	998	10	99.8
2	Guzzetti, F., Mondini, A. C., Cardinali, M., Fiorucci, F., Santangelo, M., & Chang, K. T. (2012). Landslide inventory maps: New tools for an old problem. <i>Earth-Science Reviews</i> , 112(1), 42-66.	2012	Earth Science Reviews	8.95	195	3	65.0
3	Prospero, J. M., & Lamb, P. J. (2003). African droughts and dust transport to the Caribbean: Climate change implications. <i>Science</i> , 302(5647), 1024-1027.	2003	Science	34.4	593	12	49.4
4	Huggel, C., Clague, J. J., & Korup, O. (2012). Is climate change responsible for changing landslide activity in high mountains? <i>Earth Surface Processes and Landforms</i> , 37(1), 77-91.	2012	Earth Surface Processes and Landforms	2.845	58	3	19.3
5	Stoffel, M., & Huggel, C. (2012). Effects of climate change on mass movements in mountain environments. <i>Progress in Physical Geography</i> , 36(3), 421-439.	2012	Progress in Physical Geography	2.612	58	3	19.3
6	Crozier M.J. (2010) Deciphering the effect of climate change on landslide activity: A review. <i>Geomorphology</i> 124 (3-4): 364-369.	2010	Geomorphology	3.167	79	5	15.8
7	Kääb, A., Frauenfelder, R., and Roer, I. (2007). On the response of rock glacier creep to surface temperature increase. <i>Global and Planetary Change</i> , 56(1), 172-187.	2007	Global and Planetary Change	3.155	119	8	14.9
8	Huggel, C., Salzmann, N, Allen, S., Caplan-Auerbach, J., Fischer, L., Haeberli, W., Larsen, C., Schneider, D., and Wessels, R. (2010): Recent and future warm extreme events and high-mountain slope failures. <i>Philosophical Transactions of the Royal Society A</i> , 368, 2435-2459.	2010	Philosophical Transactions of the Royal Society A	2.147	68	5	13.6
9	Huggel, C. (2009). Recent extreme slope failures in glacial environments: effects of thermal perturbation. <i>Quaternary Science Reviews</i> , 28(11), 1119-1130.	2009	Quaternary Science Reviews	4.572	74	6	12.3
10	Mabit, L., M. Benmansour, J.M. Abril, D.E. Walling, K. Meusburger, A.R. Iurian, C. Bernard, S. Tarján, P.N. Owens, W.H. Blake, C. Alewell. (2014) Fallout ²¹⁰ Pb as a soil and sediment tracer in catchment sediment budget investigations: A review, <i>Earth-Science Reviews</i> , Available online 3 July 2014, ISSN 0012-8252, http://dx.doi.org/10.1016/j.earscirev.2014.0	2014	Earth Science Reviews	8.95	12	1	12.0

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469 Prospero & Lamb (2003) reported that great quantities of African dust are carried over large
470 areas of the Atlantic and to the Caribbean during much of the year. Landslides are present
471 in all continents, and play an important role in the evolution of landscapes. Climate change,
472 manifested by an increase in mean, minimum, and maximum temperatures and by more
473 intense rainstorms, is becoming more evident in many regions. An important consequence of
474 these changes may be an increase in landslides in high mountains. They also represent a
475 serious hazard in many areas of the world. Despite their importance, Guzzetti *et al.* (2012)
476 estimated that landslide maps covered less than 1% of the slopes in the landmasses, and
477 systematic information on the type, abundance, and distribution of landslides was lacking.
478 Huggel *et al.* (2012) analyzed a series of catastrophic slope failures that occurred in the
479 mountains of Europe, the Americas, and the Caucasus since the end of the 1990s and
480 distinguished between rock and ice avalanches, debris flows from de-glaciated areas, and
481 landslides that involved dynamic interactions with glacial and river processes. Stoffel &
482 Huggel (2012) reported that changes in mass-movement activity could hardly be detected in
483 observational records. They documented the role of climate variability and change on mass-
484 movement processes in mountains through the description and analysis of selected, recent
485 mass movements where effects of global warming and the occurrence of heavy precipitation
486 were thought to have contributed to, or triggered, events. Crozier (2010) identified the
487 mechanisms by which climate can induce land sliding and examined the manner in which
488 these mechanisms may respond to changes in a range of climatic parameters. Using a one-
489 dimensional thermo-mechanically coupled numerical model, Kääh *et al.* (2007) simulated the
490 potential response of rock glacier creep to a change in surface temperature.

491 Huggel *et al.* (2010) reported on recent and future warm extreme events and high-mountain
492 slope failures, and Huggel (2009) described exceptional slope failures in high-mountain,

493 glacial environments: the 2002 Kolka–Karmadon rock–ice avalanche in the Caucasus, a
494 series of ice–rock avalanches on Iliamna Volcano, Alaska, the 2005 Mt. Steller rock–ice
495 avalanche in Alaska, and ice and rock avalanches at Monte Rosa, Italy in 2005 and 2007.
496 Increasing anthropogenic pressures coupled with climate change impacts on natural
497 resources have promoted a quest for innovative tracing techniques for understanding soil
498 redistribution processes and assessing the environmental status of soil resources. Mabit *et*
499 *al.* (2014) provided a comprehensive evaluation and discussion of the various applications of
500 ²¹⁰Pb_{ex} as a tracer in terrestrial and aquatic environments, with particular emphasis on
501 catchment sediment budget investigations. Their paper summarizes the state-of-the-art
502 related to the use of this tracer, the main assumptions, the requirements (including the need
503 for accurate analytical measurements and for parallel validation), and the limitations which
504 must be recognised when using this fallout radionuclide as a soil and sediment tracer.

505

506 **Conclusion**

507 In this paper we describe the building and subsequent analysis of a database containing 769
508 of the most significant journal papers on the effects of climate change in polar and
509 mountainous regions between 2000-2014 (up until the Fifth IPCC Assessment). Using the
510 number of paper citations per year to derive the top fifty most cited journal papers published
511 in the 15-year period, an analysis of the topic of these ‘top fifty’ papers is compared to the
512 IPCC Fifth Assessment (AR5) Report (IPCC, 2013) and the wider database of 769 entries.

513 By number, most papers published in the last 15 years concerned with the impact of climate
514 change on polar and mountainous regions are classified as I (ice and snow). There are 213
515 papers in this category which represents 33.7% of the total. There are 194 papers in the E
516 (terrestrial ecosystems) category which represents 30.6% of the total. The third category,
517 the impact of climate change on the water (rivers and lakes) (W) contained 97 papers

518 (15.3% of the total). The fourth category, climate change impacts on people and livelihoods
519 (P) contained 74 papers (11.6% of the total). The fifth category, landscape (L) contained 55
520 papers (8.7% of the total). So, in rank order by numbers of papers the categories are: I > E >
521 W > P > L. These distributions can be compared with the IPCC (2014) confidence levels of
522 knowledge in each of the first four categories (I >E>W>P also), the fifth category (L) has
523 been created by us through the key word analysis. However, the IPCC (2014) deals with the
524 levels of confidence that the 5AR has in making statements about the impact of climate
525 change on snow and ice (I); rivers and lakes (W); terrestrial ecosystems (E) and food
526 production/livelihoods (P).

527 However, when only considering the Top 50 papers (ranked by highest number of citations
528 per year, CPY), there is a clear mis-match between the nature of the research coming from
529 the larger database (of 663 papers) and those in the Top Fifty. The rank order has changed
530 from I > E > W > P > L in the whole database to E > P > W > I > L in the Top 50. In other
531 words, the number of research papers in I reaching the top 50 has declined hugely, from
532 33.7 in the whole database to just 7.0% in the top 50 while research on terrestrial
533 ecosystems (E) has increased from 30.6% in the whole database to 57.0% in the top 50. P
534 has moved up from fourth to second rank of the five categories (increased from 11.6% in the
535 whole database to 20.0% in the top 50), and water (W) has remained in third place (changed
536 15.3% in the whole database to 15.0% in the top 50). Landscape research (L) remains in
537 fifth place (decreased from 8.7% in the whole database to just 1.0% in the top 50).

538 By considering citations per year (CPY) as a measure of impact or importance to the
539 scientific community, the rank order of categories is E > W > P > L > I for the whole
540 database but becomes E > I > P > W > L for the top 50 papers. By then combining the
541 number of papers in each category with the average citations per year (CPY) for the
542 category, this gives the rank order for the whole database as E>W>P>I>L and the ranks for
543 the top 50 papers are the same.

544 So, in summary, research concerned with the impacts of climate change on terrestrial
545 ecosystems (E) in polar and mountainous regions dominates, with papers on the impact on
546 water resources (W) being second and a very close third the impact on people's livelihood
547 (P), with I fourth and L fifth. Landscape (L), in our view, appears to be under researched and
548 is presumably included in the IPCC Terrestrial Ecosystems category. We feel that policy
549 makers should note this under-representation of high impact research into landscape
550 processes (erosion and deposition processes), which needs to be addressed in future. The
551 Interregional Technical Co-operation Project INT/5/153 (2014-18) on Assessing the Impact
552 of Climate Change on Land-Water-Ecosystem Quality in Polar and Mountainous Regions,
553 organized and funded by the International Atomic Energy Agency and supported by the Joint
554 FAO/IAEA Division of Nuclear Techniques in Food and Agriculture, will address this gap to
555 some extent.

556

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559 Technical Co-operation Project INT/5/153 (2014-18) on Assessing the Impact of Climate
560 Change on Land-Water-Ecosystem Quality in Polar and Mountainous Regions, organized
561 and funded by the International Atomic Energy Agency and supported by the Joint
562 FAO/IAEA Division of Nuclear Techniques in Food and Agriculture,

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