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1	Barley genotypic $\beta$ -glucan variation combined with enzymatic modifications
2	direct its potential as a natural ingredient in a high fiber extract
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#### 24 ABSTRACT

25  $\beta$ -Glucan was extracted from eight different barley genotypes varying in  $\beta$ -glucan content and 26 molecular structure using Termamyl<sup>®</sup> SC (T), Attenuzyme<sup>®</sup> (A) and Attenuzyme<sup>®</sup> Flex (AF) 27 amylolytic enzymes in combinations. Extracts from barley lines Lys5f, KVL408, KVL1104 and 28 CDC Fibar exceeded 4 g  $\beta$ -glucan/l, providing European Food Safety Authority (EFSA) and U.S. 29 Food and Drug Administration (FDA) recommended amounts (3 g  $\beta$ -glucan/day) from three 30 portions. TAF extracts of Lys5f and KVL408 grains reached extraordinary high concentrations of 8-31 9 g  $\beta$ -glucan/l. The  $\beta$ -glucan molecular mass decreased with enzyme treatment T < TA < TAF due 32 to minor lichenase side activity. Extractability was generally higher and molecular mass lower for 33 barley lines low in triosyl/tetraosyl (DP3/DP4) ratios than for those high in DP3/DP4 ratios (Lys5f, 34 KVL408 and KVL1104). Overall, the higher  $\beta$ -glucan content and structural robustness in Lys5f 35 and KVL408 raw materials favor these in a  $\beta$ -glucan rich extract with potential for EFSA and FDA 36 health and nutrition claims.

- 37
- 38

39 Keywords

40 Barley beta-glucans; Extractability; Molecular structure; Enzymatic hydrolysis

#### 41 **1. Introduction**

42 The human population at large fails to consume adequate amounts of dietary fiber. Recently, 43 renewed interest in barley as a food grain has evolved due to its high content of physiologically 44 active soluble fibers, especially mixed linkage  $(1\rightarrow3, 1\rightarrow4)\beta$ -glucan (BG).

45 BG dietary fibers have a remarkable range of health benefits including the promotion of heart 46 health, stabilization of blood glucose levels, stimulation of immune responses, satiety increase and 47 maintenance of body weight (El et al., 2012; Mikkelsen et al., 2014; Wood, 2010). Scientific 48 evidence has led to the approval of barley BG with health claims by the United States Food and 49 Drug Administration (FDA, 2005) and the European Food Safety Authority (EFSA). EFSA has 50 authorized health claims for the ability of barley BG to maintain or reduce blood cholesterol levels 51 and reduce post-prandial glycemic responses (Harland, 2014). Furthermore, barley grain fibers are 52 approved by EFSA for contributing to an increase in fecal bulk, which relates to gut health. The recommended intake of BG is minimum 3 g per day and the health claims allow food producers to 53 54 label products containing at least 1 g BG per serving. In addition to the content of BG in the 55 products, also the physico-chemical properties of BG, which may be dependent on combinations of 56 molecular mass, solubility and viscosity, are essential for providing the health effects (Mikkelsen et 57 al., 2014; Wood, 2010). BG depolymerization, as might be imparted by food processing, typically 58 leads to reduction in BG viscosity. However, low molecular mass BGs have shown to form gel like 59 structures more readily than high molecular mass BGs (Wood et al., 2010), and low molecular mass 60 BGs might be physiologically as effective as those with high molecular mass provided that the 61 concentration or active dose estimated by increased releasability is sufficiently high (Frank et al., 62 2004; Naumann et al., 2006). Kerckhoffs et al. (2003) found that beneficial physiological effects of 63 BGs can be decreased when incorporated into solid foods such as bread and cookies. Liquid 64 matrices, such as beverages and soups, may therefore be preferable for inducing health effects from BG meals as the liquid state allows hydration and release of the BG before ingestion. Liquids 65

66 containing high levels of BGs are typically very viscous, which renders them less attractive as 67 beverages. Hence, there is an unmet need for methods and materials for preparing appealing and 68 functional extracts with high content of natural BG and there is sparse evidence of how combined 69 processing and genotypic variation in barley BG structure affect its major physical and potential 69 health beneficial properties. In the present study, we evaluate the extractability and quality of BG 71 liquid formulations from eight different barley lines using mashing protocols and selected 72 combinations of amylolytic enzymes.

73 In barley grains, BG is located in pericarp, scutellum, aleurone layer and starchy endosperm 74 as a cell wall component (Dornez et al., 2011). BG is a structural polysaccharide, but it also 75 provides glucose during grain germination (Burton et al., 2010). Barley is a genetically diverse 76 cereal crop and it is classified as spring or winter type, two- or six-rowed, hulled or hull-less, and 77 malting or feed by the end-use type. Based on grain composition, barley can be further classified as normal, waxy or high amylose starch types, high lysine and high BG. De-hulling and pearling of 78 79 barley grains reduces the contents of insoluble fiber, protein, ash and free lipids from the outer 80 layers including the hull (palea and lemma), bran (pericarp, testa, aleurone) and germ (embryo), and 81 increases the content of starch and soluble BG fiber originating from the endosperm (Baik and 82 Ullrich, 2008). BG content in barley grains typically ranges from 2.5% to 12% by weight 83 (Izydorczyk and Dexter, 2008), but extreme levels of 15-20% have been reported for the high 84 BG/low starch mutant line Lys5f (Munck et al., 2004). This mutant line along with its barley 85 mother line, Bomi, was included in the present study.

BGs are comprised of glucose units connected by  $\beta$  (1 $\rightarrow$ 4)-linkages (~70%) and  $\beta$  (1 $\rightarrow$ 3)linkages (~30%) in a linear manner (Mikkelsen et al., 2010). Blocks of  $\beta$  (1 $\rightarrow$ 4)-linked sequences, with cellotriose and cellotetraose units constituting ~90% of the molecule, are separated by single  $\beta$ (1 $\rightarrow$ 3)-linkages (Burton et al., 2010). The molar ratio of the cellotriosyl to cellotetraosyl units with degree of polymerization (DP) of 3 to 4, respectively, is referred to as the DP3/DP4 ratio and is

91 considered as a fingerprint of the individual BGs from various barley lines and tissues. Typically, 92 small amounts (~10%) of cellulosic oligosaccharides with DP5-15 are also present (Woodward et 93 al., 1988). DP3/DP4 ratios of barley BGs have generally been reported in the range of 1.8-3.5. 94 Lower ratios are typically found in the endosperm (2.7-3.2) tissue compared to pericarp (3.4-4.2)95 and aleurone (3.8-4.1) outer layers of the barley grain (Izydorczyk and Dexter, 2008). Both, linear 96 regions of repeated units of cellotriosyl or cellotetraosyl, as well as the long cellulosic oligomer 97 blocks, have been suggested to decrease BG solubility due to chain alignment and aggregation 98 (Burton et al., 2010; Woodward et al., 1988). Thus, the DP3/DP4 ratio provides an indication of 99 solubility and BGs with ratios close to 1:1 are found to be more soluble than BGs with either very 100 high or very low DP3/DP4 ratios having longer stretches with repetitive structures (Burton et al., 101 2010). Barley BGs typically comprise more than 1000 glucosyl residues and reported molecular 102 mass values range 130 to 2,500 kDa. The large variations reflect the diversity of genotypical 103 botanic origin, but also result from the methodology of extraction and molecular mass 104 determination (Irakli et al., 2004).

105 A wide range of laboratory and pilot scale BG extraction protocols have been reported 106 (Benito-Roman et al., 2011; Benito-Roman et al., 2014; Limberger-Bayer et al., 2014; Mikkelsen et 107 al., 2013; Wood, 2010). In brief, they involve milling, inactivation of endogenous hydrolytic 108 enzymes, extraction with hot water or alkaline solutions, removal of starch and protein using 109 hydrolytic enzymes and/or centrifugation, recovery of BG from the extract by ethanol precipitation 110 or cryogellation cycles and drying of the BG gum. The extractability of BG is influenced by process 111 parameters such as fineness of grind, temperature, ionic strength and pH of the solvent (Mikkelsen 112 et al., 2013).  $\beta$ -Glucanase activity and mechanical damage during extraction have been reported to 113 cause BG depolymerization which modify the BG molecular mass, extraction yield and rheological 114 behavior (Wood, 2010). Thus, valid evaluation of BG yield and quality from different barley 115 genotypes requires identical and standardized extraction conditions. Depending on the method used,

116 the extractability of barley BGs can vary between 33% and 87% (Izydorczyk and Dexter, 2008). 117 Benito-Román et al. (2011) found BG extraction yields of ~73% and ~62% for hulled and hulless 118 barley, respectively. Amylolytic enzymes are widely used for the degradation of starch in BG 119 extraction (Benito-Roman et al., 2014; Doehlert et al., 2012). Following thermal gelatinization the 120 starch is hydrolyzed into maltooligosaccharides, maltose and glucose by the action of endo- and 121 exo-glucanases such as  $\alpha$ - and  $\beta$ -amylases, glucoamylase and debranching pullulanase.  $\alpha$ -Amylase 122 (EC 3.2.1.1) and pullulanase (EC 3.2.1.41) act endo in a pseudo random mode in the amylose and 123 amylopectin polymer chains of starch and hydrolyze  $(1\rightarrow 4)-\alpha$ -D-glycosidic and  $(1\rightarrow 6)-\alpha$ -D-124 glycosidic linkages, respectively. At the non-reducing end of the starch polymer chains,  $\beta$ -Amylase 125 (EC 3.2.1.2) hydrolyses  $(1\rightarrow 4)-\alpha$ -D-glycosidic to liberate successive maltose, whereas 126 glucoamylase (EC 3.2.1.3) hydrolyses both  $(1\rightarrow 4)$  and  $(1\rightarrow 6)-\alpha$ -glycosidic linkages and produces 127 glucose (van Oort, 2010). For complete and efficient starch degradation, enzyme preparations with 128 specific and diverse functionalities are warranted. However, introducing more enzymes to a process 129 increases the risk of hydrolytic side-, or contaminating activities on BGs. Few studies using 130 enzymes as part of their BG isolation have investigated, if the amylolytic enzymes had any effect on 131 the BG itself (Benito-Roman et al., 2014; Doehlert et al., 2012). In the present study we screen 132 widely different barley genotypes for BG extraction yields. A hydrothermal, mechanical enzyme-133 assisted protocol was optimized and we assess the risk of BG degradation by trace contaminating  $\beta$ -134 glucanase side activity in commercial bulk enzyme preparations.

135

#### 136 **2. Materials and methods**

#### 137 2.1. Raw materials, experimental design and data analysis

138 Grains from eight two-rowed spring barley genotypes having vastly different BG content were 139 included in this work (Fig. 1). The grains of KVL408, KVL1104, CDC Fibar cv., Chameleon cv. 140 and Columbus cv. were provided by Carlsberg Research Laboratory (Denmark) whereas Lys5f and 141 Bomi cv. were from the University of Copenhagen (Denmark). The Lys5f, KVL1104 and KVL408 142 barley genotypes derive from a larger collection of barley mutants where KVL408 have been 143 mutated in Perga and Lys5f in Bomi standard malt barley (Di Fonzo and Stanca, 1977). KVL1104 144 derives from the crossing of Lys5f and Bomi. CDC Fibar is a high fiber, 0% amylose hulless barley 145 registered in Canada. Chameleon is a hulless and Columbus a hulled standard malt barley registered 146 in Denmark. Pearling of Columbus was performed on a vertical polishing BSPB (Bühler AG, 147 Switzerland) with pearling 11% of husk. In total, 48 samples were prepared from mashing grains 148 from the eight barley lines with three different enzyme combinations in replicate. Raw grains were 149 investigated for BG content and BG spatial distribution in the grain. BG extracts were analyzed for 150 viscosity, BG yield and molecular mass. Ethanol precipitated BGs from extracts were analyzed for 151 DP3/DP4 ratios. Commercial amylolytic enzymes were examined for BG hydrolytic side activity 152 (Fig. 1).

Data were mined by multivariate data analysis using principal component analysis (PCA) to visualize trends related to genotype, molecular structure and processing. PCA captures the major variation in a data set in a model ( $X = T \cdot P' + E$ ) where the two-dimensional data matrix (X) containing information about samples and variables is decomposed into systematic variation (T and P') and noise (E). The systematic variation is described by the calculated principal components (PCs) that represent the outer product of scores (containing information about the samples) and loadings (containing information about the variables) (Wold et al., 1987). PCA on the physico-

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160 chemical properties of the 48 BG extracts was performed using Latentix software (LatentiX<sup>™</sup> 2.12,

161 Latent5, Copenhagen, Denmark, www.latentix.com). Data were auto-scaled prior to analysis.

162

163 2.2. Microscopy

164 Barley grains were trimmed with a razor blade to aid diffusion of agents, fixed for 24 h in 165 Karnovsky's fixative (5% glutaraldehyde, 4% paraformaldehyde, 0.1 M sodium cacodylate buffer at 166 pH 7.3) including a 1 h vacuum treatment, and subsequently washed in cacodylate buffer and water. 167 Samples were dehydrated in a graded acetone series, infiltrated with increasing ratios of Spurr 168 resin:acetone and embedded in Spurr resin within flat molds. The resin was polymerized in an oven 169 at 60°C for 8 h. Semi-thin sections of 2 µm were cut with a histo-diamond knife on a Reichert-Jung 170 supernova ultramicrotome and stained for BGs with 0.01% (w/v) Calcofluor White M2R (Sigma-171 Aldrich) and imaged with UV excitation and blue emission. Other sections were stained with 172 Periodic Acid Sciffs's (PAS) and counterstained with Amido Black (AB). All images, apart from 173 the overview images, were taken from the central part of the starchy endosperm tissue. Sections 174 were viewed in a Nikon Eclipse 80i light and fluorescence microscope and images were recorded 175 with 4 x dry objective or 100 x objective using immersion oil. Final image processing, cropping and 176 mounting of the images were done with Adobe (San Jose, CA, US) Photoshop CS2 and Illustrator 177 CS2.

178

#### 179 2.3. Extraction and enzymatic treatment

BG extraction yield was optimized in a mashing pre-study with focus on milling particle size, solvent:flour ratio, pH, temperature and extraction time using the Lys5f line as model. Enzymes were dosed as suggested by the manufacturer (1.5% w/w, enzyme/flour). Production of high BG wort was performed using a Lochner electronic mashing device with 8 beakers. Barley grains were milled immediately before mashing with an EBC mill adjusted to 0.5 mm. The water:flour ratio was

185 1:15 per weight equivalent to 27 g barley flour mashed-in with 400 ml standard brewing water in a 186 500 ml metal beaker and the pH was adjusted to 5.5 with phosphoric acid. To facilitate starch 187 hydrolysis three different enzyme treatments were used: Termamyl<sup>®</sup> SC containing thermostable  $\alpha$ -188 mylase (T), a combination of Termamyl<sup>®</sup> SC and Attenuzyme<sup>®</sup>, the latter containing 189 glucoamylase (TA) or a combination of Termamyl<sup>®</sup> SC and Attenuzyme<sup>®</sup> Flex, the latter 190 containing glucoamylase and pullulanase (TAF). All enzymes were purchased from Novozymes 191 A/S, Denmark. Following 45 min incubation at  $65^{\circ}$ C, the temperature was linearly increased to 192 90°C for 25 min, and finally kept at 90°C for 30 minutes. The mash was centrifuged at 3500 rpm 193 for 10 min to remove insoluble spent grain material. From the 340 ml wort sample, 100 ml were 194 withdrawn and subjected to ethanol precipitation by adding 100 ml ethanol at room temperature, 195 incubating for precipitation 30 min and draining BG gums. The BG gums were lyophilized for 24 h 196 and ground prior to DP3/DP4 analysis of pure BG samples free of maltose and glucose. The 197 remaining wort was used directly for analyses of viscosity, BG content and molecular mass.

198

#### 199 2.4. β-Glucan content and viscosity

200 The content of BGs in wort and barley grains was measured by the fluorimetric calcofluor-method 201 (Brewing EBC standards, 1994). Calcofluor has the capacity to form fluorescent products with BGs 202 larger than approx. 10-30 kDa present in solution and its fluorescence proportionally increases with 203 the content of BG bound (Rieder et al., 2015). The instrument used was a BG Carlsberg System 204 5700 Analyzer with flow injection (Tecator, Sweden). The viscosity was measured by a viscometer 205 Vibro SV-10 (A&D Company Limited, Tokyo) at 20°C within 1 h after centrifugation of the wort. 206 The viscometer cup was filled up with 10 ml of wort and the viscosity recorded as mPas at 30 Hz 207 constant frequency and less than 1 mm amplitude.

208

#### 209 2.5. Molecular mass and oligomer block structure

210 The molecular mass analysis was conducted by size exclusion chromatography (SEC). Prior to 211 analysis the wort samples were heated at 80 °C for 30 min and diluted 1:4 in 50 mM ammonium 212 formate buffer of pH 5. The solutions were mixed, heated at 80°C for 30 min, centrifuged and 213 filtered (0.45  $\mu$ m) before injection of 50  $\mu$ l. Separation was performed using an Asahipak GS 214 520HQ (7.5  $\times$  300 mm) column (Shodex, US). The column was calibrated with five BG standards; 215 barley 650,000 kDa, oat 391,000 kDa, oat 265,000 kDa, barley 229,000 kDa, oat 70,600 kDa and 216 oat 35600 kDa (Megazyme, Ireland). Elutions were performed with 50 mM of ammonium formate 217 buffer (pH 5) and 0.01% NaN<sub>3</sub> at 60°C with a constant flow rate of 0.5 ml/min. The separation was 218 performed using a GPC system (viscotek 270max, Malvern) equipped with an online degasser, a 219 pump and a differential refractometer controlled at 40°C. Data for molecular mass determinations 220 was analyzed by Omnisec software (version 4.7.0.406, Malvern) based on conventional calibration 221 of homopolymers. Results are reported as weight average molecular mass  $(M_w)$ .

222 The DP3/DP4 analysis was based on lichenase digestion of BG precipitated from wort 223 prepared as described above. BG powder (2.5 mg) was wetted with 10 µl 50% EtOH, and the slurry 224 was suspended in 500 µl of 10 mM NaH<sub>2</sub>PO<sub>4</sub>/Na<sub>2</sub>HPO<sub>4</sub> buffer. The solution was heated at 96°C for 225 2 h, cooled to 60°C and 10 U of lichenase (Megazyme, Ireland) were added. The samples were 226 incubated with mixing overnight at 60°C. Subsequently, the enzyme was inactivated at 100°C for 227 30 min and the samples were lyophilized. The BG fragments were labeled with the fluorophore 228 Aminobenzamide (2-AB) by a reductive amination procedure (Walther et al., 2015). To the 229 lyophilized samples was added 150 µl of 1 M 2-AB in DMSO/AcOH (7:3) and 150 µl of 1 M 230 NaBH3CN in DMSO/AcOH (7:3). Samples were mixed and incubated for 4 h at 60°C, cooled to 231 room temperature, centrifuged and diluted 400-fold with a mixture of Milli Q water and acetonitrile 232 (22:78). The samples were directly analyzed by hydrophilic interaction liquid chromatography 233 (HILIC) using maltotriose and maltotetraose as standards. 5 µl aliquots were injected onto a Waters 234 Acquity UPLC System equipped with a fluorescence detector (excitation wavelength of 350 nm and

235	emission wavelength of 420 nm) and columns: a VanGuard BEH glycan 1.7 $\mu$ m, 2.1 $\times$ 5 mm pre-
236	column and an Acquity UPLC BEH glycan 1.7 $\mu m,2.1\times150$ mm column. Measurements were
237	performed at room temperature. Data were processed using MassLynx V4.1.

238

239 2.6. NMR spectroscopy

240 The possible presence of trace  $\beta$ -glucanase activity in the three different commercial enzyme 241 mixtures applied in the wort production was tested using medium viscosity barley BG (200 kDa, 242 Megazyme, Ireland). The BG was dissolved by gentle heating and whirl-mixing to concentrations 243 of 1 mg in 600 µl of 50 mM potassium phosphate buffer (pH 6) in D<sub>2</sub>O (Cambridge Isotope 244 Laboratories, Andover, MA, USA). Buffer of the desired pH had been prepared by lyophilization 245 and re-dissolution in  $D_2O$ . To three substrate samples 0.5 µl of enzyme solution (Termanyl<sup>®</sup> SC, 246 Attenuzyme<sup>®</sup>, or Attenuzyme<sup>®</sup> Flex) were added, respectively. Reactions were followed *in situ* by 247 high resolution nuclear magnetic resonance (NMR) spectroscopy for 240 min at 18°C to slow down 248 the reaction relative to process conditions. Spectra were acquired as a time series in situ by 249 sampling 16,384 complex data points during an acquisition time of 1.57 sec, summing 32 transients 250 and using a recycle delay of 2 sec.

251 The site-specific action of trace  $\beta$ -glucanase activity was investigated using a Lys5f BG 252 sample extracted solely by Termamyl® SC under real process conditions, i.e. at 65°C. 253 Homonuclear <sup>1</sup>H - <sup>1</sup>H DQF COSY spectra were recorded on enzyme-treated samples using a 800 254 MHz Bruker Avance II (Fällanden, Switzerland) NMR spectrometer equipped with a TCI 255 cryoprobe and 18.7 T magnet (Oxford Magnet Technology, Oxford, UK). Spectra were acquired as 256 a matrix of  $2048 \times 256$  complex data points sampling 512 ms  $\times$  64 ms in the two proton 257 dimensions. Spectra were processed with extensive zero filling in both dimensions. BG structures 258 formed by exposure to Attenuzyme® Flex were analyzed. Assignments of cleavage site signals 259 from intermediates and products were conducted through comparison of the 2D spectra using

- reference assignments from previous work (Petersen et al., 2013). All spectra were acquired,
  processed and analyzed using Topspin 2.1 (Bruker).
- 262

#### 263 **3. Results and discussion**

#### *3.1. Raw materials*

Barley raw materials showed significant variation in the content of BG (Fig. 1) with Lys5f and KVL408 ranging 11.8-15.3 % (dry mass, dm), KVL1104 and CDC Fibar ranging 8.0-8.9 % (dm) and Bomi, Chameleon, Columbus and Columbus pearled ranging 3.9-4.9 % (dm). Accordingly, the barley lines were categorized into high, medium or low BG genotypes. The pearling of Columbus grains increased the BG content from 3.9 to 4.5 % (dm).

270 The distribution and appearance of BG within the barley outer layers and starchy endosperm 271 was investigated by histochemical analyses of the eight genotypes (Fig. 2). Three kernels of each 272 genotype were prepared for light microscopy and the results shown are consistent for all repetitions. 273 BG is known to be the main cell wall constituent (70%) of barley starchy endosperm and is also part 274 (20%) of the aleurone layer, and can be stained with calcofluor. Calcofluor also labels cellulose, 275 which however only makes up 2% of the barley grain cell walls (Burton et al., 2010). As deduced 276 from the calcofluor fluorescence (Fig. 2), the cell walls in the starchy endosperm appear 277 significantly thicker in Lys5f and KVL408 (Fig. 2C, D) compared to the other lines. The cell wall 278 signal is also fairly strong in KVL1104, CDC Fibar and Bomi (Fig. 2E, F, G), compared with 279 Chameleon, Columbus and Columbus pearled (Fig. 2H-J). Thus, the visual appearance of the BG in 280 the microscope is consistent with the content of BG in the different lines. Microscope samples of 281 Lys5f and Bomi were furthermore stained for the presence of insoluble polysaccharides and counter 282 stained with a protein stain. Starch granules from Lys5f were of similar size and distribution as 283 those of Bomi however empty voids were frequently seen in the center of Lys5f starch granules (Fig

- 284 2K). No differences in protein amount could be noted. The empty center of starch granules in Lys5f
- 285 might be related to the general decreased starch content in this mutant line (Munck et al., 2004).
- 286

#### 287 *3.2.* β-Glucan extractability and oligomer block structure

288 To meet the requirements of the BG health claims of FDA and EFSA a BG extract should provide 3 289 g BG/day from at least 1 g/serving. This implies that a concentration of 4 g BG/l from  $3 \times 250$  ml 290 typical portion sizes sufficiently will supply the recommended dose. From Fig. 3A it can be seen 291 that Lys5f, KVL408, KVL1104 and CDC Fibar extracts exceed this threshold whereas Bomi, 292 Chameleon and Columbus extracts, regardless of the enzyme treatment, contain lower 293 concentrations. Benito-Roman et al. (2014) extracted 2-4 g BG/l (239 kDa) from barley bran using 294 ultrasound extraction, enzymatic starch hydrolysis and membrane filtration. In comparison, our 295 extraction process provides higher BG solution concentrations from simple hot water extraction, 296 enzymatic starch hydrolysis and centrifugation.

297 The effect of the different enzyme treatments was evaluated in terms of extractability defined 298 as the recovery of BG from the total amount found in the barley grain. For most barley lines the 299 intensification of enzyme treatment did not influence the genotypic BG extractability significantly. 300 The extractability for T, TA and TAF samples was in the range of 41-81 %, 47-79 % and 60-100 %, 301 respectively (Fig. 3B). These numbers are similar or slightly higher (for Lys5f and KVL408 TAF 302 extracts) compared to extraction yields reported elsewhere (Benito-Roman et al., 2011; Izydorczyk 303 and Dexter, 2008). Unlike Benito-Román et al. (2011), we did not find lower extractability for the 304 hull-less barley lines (CDC Fibar and Chameleon) and we did not see an increase in BG yield from 305 the pearling treatment of the Columbus grains, which have been indicated by others (Baik and 306 Ullrich, 2008). From Fig. 3B, a clear tendency of higher extractability from barley lines containing 307 BGs with low DP3/DP4 ratios can be seen. This is in good agreement with the general 308 understanding of the effect of BG non-repetitive oligomer block structure on polymer solubility

309 (Burton et al., 2010). For Lys5f, KVL408 and KVL1104 with high DP3/DP4 ratios of 3.8, 3.8 and 310 3.2, respectively, the T and TA BG yields reached only 41-68 % of the potential extraction levels 311 indicating a lower releasability of BG from these grains. Nevertheless, when comparing all barley 312 lines and enzyme treatments, the combination of either Lys5f or KVL408 high BG raw materials 313 with the TAF enzyme treatment resulted in the overall highest extractabilities. Thus, the Lys5f and 314 KVL408 TAF extractions meet important material and method requirements for preparing an 315 extract having a high content of natural BG.

316

317 3.3. Molecular mass and viscosity

318 The M<sub>w</sub> of extracted barley BGs calculated from equivalent external BG standards is shown in Fig. 319 3C. The highest Mw values were found for Lys5f, KVL408 and KVL1104 samples with values 320 ranging 570-580 kDa (T), 415-535 kDa (TA) and 40-110 kDa (TAF). In comparison, the CDC 321 Fibar, Bomi, Chameleon and Columbus BGs from T, TA, and TAF extractions showed significantly 322 lower M<sub>w</sub> values of 155-415 kDa, 130-270 kDa and 15-35 kDa, respectively. In a previous study 323 (Mikkelsen et al., 2013), we extracted Lys5f and Bomi BGs in large scale using thermostable  $\alpha$ -324 amylase, protease, wet milling, repeated heat cycles (up to 125°C), decanting plus centrifugation 325 and ethanol precipitation and found the BG  $M_w$  from the two barley lines to be similar (200-300 326 kDa). In the present study, the genotypic variation in BG  $M_w$  observed by others (Irakli et al., 2004) 327 is supposedly better preserved due to the more gentle extraction conditions. It is obvious that 328 extraction with some amylolytic enzyme preparations resulted in BG depolymerization (Fig. 3C). 329 This effect could either be due to contaminating activities in the commercial enzyme preparations or 330 due to amylolytic enzymes themselves exhibiting nonspecific activities toward the BG (Doehlert et 331 al., 2012). The degree of BG degradation followed the order T < TA < TAF, and a profound 332 reduction in  $M_w$  was especially found for the TAF combination, where  $\alpha$ -amylase is combined with 333 glucoamylase and pullulanase. The elution profiles of Lys5f and Bomi T, TA, and TAF samples

334 shown in Fig. 4 represent the general trend in sample polydispersity as affected by the different 335 enzyme combinations. In addition to peaks eluting in the order T > TA > TAF due to decreasing 336  $M_w$ , the polydispersity index ( $M_w/M_n$ ) increased in the order T < TA < TAF, indicating a 337 broadening of the molecular mass distribution in the samples as a result of intensified enzymatic 338 treatment. In a similar study Doehlert et al. (2012) found starch hydrolytic enzymes to have a large 339 effect on the M<sub>w</sub> and polydispersity when used for maximizing the extractability of oat BGs. Hence, 340 efficient BG extractability and recovery must be balanced against M<sub>w</sub> loss of the extracted BG 341 when using existing enzyme preparations. High extractability and low  $M_w$  is advantageous for 342 beverage applications since high viscosity is unsuited for these applications. The wort viscosity 343 generally followed the  $M_w$  of the samples (Fig. 3C). Thus, a significant drop in viscosity was seen 344 for TAF samples (2-12 mPas) as compared to T (5-296 mPas) and TA (4-216 mPas) samples, 345 respectively.

It should be noted that the calcofluor method for BG quantification, albeit being rapid and suitable for liquid samples, is not accurate for low molecular mass (<10-30 kDa) BGs. The assay problem implies that the BG content of the TAF samples, being generally low in BG M<sub>w</sub>, could be somewhat underestimated. This might be the reason why CDC Fibar, Bomi, Chameleon and Columbus TAF (17-35 kDa) extractabilities compared to Lys5f, KVL408 and KVL1104 (42-109 kDa) are relatively lower when compared internally to the T and TA extraction yields (Fig. 3B).

352

The BG extracts generally showed high variability in their physico-chemical properties (supplemental table S1) as illustrated by the PCA bi-plot (Fig. 5). The samples typically distribute along the diagonals of the plot according to high, medium and low BG barley lines or enzymatic treatment (T, TA, TAF). Inspection of the loadings (Fig. 5) shows that the main variance among samples (PC1, 53%) is explained both by differences in structural features like DP3/DP4 ratio and molecular mass and by BG content in the raw materials. Due to general viscosity dependence of  $M_w$ 

359 the clustering of these variables was expected and the apperent co-variance between high BG 360 content in raw materials and high DP3/DP4 ratios of the extracted BG has been suggested by others 361 (Burton et al., 2011). The main target of the Lys5f mutation is suggested to be in starch 362 biosynthesis, decreasing starch content in the endosperm (Patron et al., 2004) and the effects on BG 363 deposition have been explained as pleitropic. This implies that a redirection of glucose 364 incorporation into alternative carbohydrate biosynthesis pathways may take place. In addition to the 365 thicker cell walls found in Lys5f compared to its mother line Bomi (Fig. 2), more BGs were 366 allocated to the outer parts of the grain. Here, the more recalcitrant BGs with high DP3/DP4 ratio 367 are typically found (Izydorczyk and Dexter, 2008), which may explain the overall higher DP3/DP4 368 ratio found for the Lys5f BGs. The minor variance along PC2 (33%) explained by extractability and 369  $M_w$  is spanned by TAF and T/TA samples. This finding confirms that BG depolymerization events, 370 especially originating from the Attenuzyme® Flex enzyme side activity, modify the BG extractable 371 amount.

372

373 *3.4. Side activity from amylolytic enzymes* 

The molecular mass profiles of the BGs were affected by the amylolytic enzymes, especially by the Attenuzyme® Flex mixture. Hence, NMR spectroscopy was used to investigate the products generated by the  $\beta$ -glucanase activities in the commercial enzyme preparations. Using pure medium viscosity barley BG from Megazyme as the substrate, the highest  $\beta$ -glucanase side activity was found in Attenuzyme® Flex (Fig. 6A) followed by Attenuzyme®. No significant  $\beta$ -glucanase activity was observed in Termamyl® SC. Enzyme activity was judged by the emergence of reducing end signals other than glucose (present in the enzyme mixtures), as indicated in Fig. 6A.

381 The assignments in Fig. 6B show that the vastly predominating cleavage site signals can be 382 attributed to  $\beta$ -(1-3) reducing end signals, formed by cleavage with an *endo*-1,3-1,4- $\beta$ -D-glucanase 383 activity in Attenuzyme® Flex and Attenuzyme® preparations. The substrate sample (BG from

384 Lys5f) was largely devoid of pullulan and amylopectin substrates due to Termamyl<sup>®</sup> SC (amylase) 385 pretreatment and subsequent ethanol precipitation in the preparation of the dry BG powder fraction. 386 Thus, the use of high-resolution NMR spectroscopy provides atomic resolution to validate residual 387 β-glucanase activity in Attenuzyme® Flex and Attenuzyme® preparations, which can be, from 388 cleavage site structures (Petersen et al., 2013), identified as a Lichenase (EC 3.2.1.73) activity (Fig. 389 6C). The presence of this activity rationalizes the rapid decay of BG molecular masses due to its 390 endoglucanase activity. In this specific case, the presence of minor  $\beta$ -endoglucanase activity is 391 considered advantageous, since is permits a controlled minor degradation of the barley BGs to 392 increase extractability and decrease viscosity.

393

#### 394 **4.** Conclusion

395 The genotypic variation in barley grain BG content and molecular structure in combination with 396 enzymatic modifications directs its potential as an ingredient in a natural extract high in BG. Lys5f 397 and KVL408 high BG lines extracted with combined  $\alpha$ -amylase, glucoamylase and pullulanase 398 enzymes meet optimal material, methods and product requirements. The extracts demonstrate 399 extraordinary high BG yields meeting the criteria for the EFSA and FDA barley BG health claims, 400 low viscosity (~10 mPa s) and molecular mass values (~100 kDa) comparable to commercial barley 401 BG products. Lichenase side activity from the amylolytic enzymes cause controlled BG 402 degradation, which increases the extractable amount and provides desired viscosity.

403

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408

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## Fig. 1.



Fig.1. Study overview including eight barley genotypes, three enzyme combinations and six analysis

methods.

Fig .2.



**Fig. 2.** Histochemical analysis of the eight barley genotypes used for extraction experiments. A and B show half kernels of Lys5f and Bomi in low magnification. C-J show details from the starchy endosperm cell wall from all the genotypes. Note the thick and less dense cell walls in Lys5f and KVL408 (arrow). In K and L the differences in starch granule structure is shown for Lys5F and Bomi.

Note the empty voids in Lys5F (arrowhead). A-J are calcofluor stained, K and L are stained with PAS/AB. Bar =  $300 \ \mu m$  (A,B),  $10 \ \mu m$  (C-L).

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Fig. 3. (A) Potential and real extraction level of BG from different barley genotypes. Bar = 4 g BG/l. (B) Extractability (%) of BG from different barley genotypes in relation to oligomer block structure (DP3/DP4). (C) Molecular mass ( $M_w$ ) of BG from different wort in relation to wort viscosity. Mean values  $\pm$  SD, n = 2.





**Fig. 4.** The effect of T, TA and TAF amylolytic enzymes on Lys5f and Bomi BG molecular mass profiles as determined by size-exclusion chromatography. The peak widths calculated as polydispersibility indices,  $M_w/M_n$  are provided in the supplemental table S1.

**Fig. 5.** 



Fig. 5 PCA bi-plot based on the auto-scaled physico-chemical properties of the 48 BG extracts (supplemental table S1). The first two principal components explain 87% of the data variance. Samples cluster according to high, medium and low BG barley lines. DP3/DP4 = triosyl/tetraosyl molar ratio,  $M_w$  = Weight average molecular mass,  $M_n$  = Number average molecular mass,  $M_w/M_n$  = Polydispersibility index.



**Fig. 6.** 



**Fig. 6.** (A) End products of BG degradation at 18°C by Attenuzyme® Flex, Attenuzyme® and Termamyl® SC enzyme mixtures using medium viscosity barley BG from Megazyme as the substrate. (B) <sup>1</sup>H-<sup>1</sup>H COSY spectrum of Lys5f BG degraded by the Attenuzyme® Flex side activity at 65°C. (C) Lichenase cleavage pattern on mixed linkage BGs.

## Highlights

- Screening of barley genotypes for high  $\beta$ -glucan (BG) extraction yields
- High BG grain content correlate with high BG triosyl/tetraosyl (DP3/DP4) molar ratio
- Lichenase side activity from amylolytic enzymes cause controlled BG degradation
- Lys5f and KVL408 barley extracts meet EFSA and FDA BG health claim criteria

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