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Optical dating of loessic hillslope sediments constrains timing of prehistoric rockfalls, Christchurch, New Zealand

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Abstract

Developing a robust chronology for mass-movement events is of crucial importance to understanding triggering mechanisms and assessing hazards. We constrain the emplacement time of four palaeorockfall boulders near Christchurch, New Zealand, using optically stimulated luminescence (OSL) of quartz and infrared stimulated luminescence dating (IRSL) of K-feldspar from colluvial loess deposits underlying and upslope of individual boulders. The quartz OSL and K-feldspar pIRIR₂₉₀ ages are all consistent with the stratigraphy and in excellent agreement with each other, indicating that all the boulders that overlie the *in-situ* loess and oldest loess colluvium unit must have been emplaced < 13 ka ago. A comparison of luminescence ages with cosmogenic ³He surface-exposure ages from the surfaces of each boulder shows that two out of four boulders contain pre-deposition ³He inheritance. Overall, the optical ages are consistent with both a prehistoric rockfall event at ~8-6 ka and a possible preceding event at ~14-13 ka, although the temporal resolution of the time of emplacement of individual boulders is ca. 3-5 ka. This resolution is not limited by age uncertainties but rather by the stratigraphy. This study is the first to show a successful application of luminescence dating to New

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4 Zealand colluvium loess and demonstrates the great advantage of a multi-technique approach in mass-
5 movement dating.
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8 9 **1. Introduction**

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11 Mass movements such as rockfalls, landslides and debris flows pose a serious hazard to human
12 population and infrastructure in mountainous areas. At least 300 million people are exposed to these
13 events worldwide (Dilley et al., 2005) and every year there are tens of reports of major mass
14 movements around the world; these cause human fatalities (e.g. Bunce et al., 1998; Guzzetti et al.,
15 2000; Baillifard et al., 2003; Massey et al., 2014), destroy buildings (e.g. Evans and Hunger, 1993; Yin
16 et al., 2008) and damage transportation corridors (e.g. Hungr et al., 1999; Budetta, 2004). Determining
17 the return frequency of such events is central to understanding their driving mechanisms and estimating
18 their hazard. Earthquakes are one of the major causal mechanisms for slope failure, and rockfalls are, in
19 turn, the most abundant type of landslide induced by earthquakes (Keefer, 1984). Coseismic rockfalls
20 can thus be an indicator of past earthquakes and their timing may allow us to constrain earthquake
21 recurrence intervals (Bull, 1996; Matmon et al., 2005; Mackey and Quigley, 2014). Unfortunately,
22 establishing this timing is notoriously difficult due to the lack of reliable geochronological tools.
23 Different techniques have been developed with the object of providing a chronology for past rockfall
24 activity (Lang et al., 1999; Panek, 2014). These range from studying the degree of rock-surface
25 weathering (e.g. Nesje et al., 1994) to lichenometry (e.g. Bull et al., 1994; Luckman and Fiske, 1995;
26 Andre', 1997; McCarroll et al., 2001), dendrochronology (e.g. Stoffel, 2006), radiocarbon (^{14}C) dating
27 (e.g. Stout, 1969; Bertolini, 2007), optically stimulated luminescence (OSL) dating (e.g. Balescu et al.,
28 2007; Chapot et al., 2012) and cosmogenic nuclide (CN) surface-exposure dating (e.g. Rinat et al.,
29 2014; Stock et al., 2014; Mackey and Quigley, 2014). All these techniques suffer from major
30 uncertainties: most do not date the rockfall events directly and even CN dating cannot be easily applied
31 because of problems resulting from inheritance of pre-event cosmogenic nuclides. Modern
32 geochronology lays great emphasis on a multi-technique approach as this helps verify results from
33 different techniques and reduce ambiguity in chronology. For example, using a novel approach of OSL
34 dating directly applicable to rock surfaces, Chapot et al. (2012) determined the burial age of a fallen
35 boulder as well as the underlying sediment in order to date a rockfall event that removed parts of a
36 Barrier Canyon Style (BCS) rock art in southeastern Utah, USA. They supported the OSL ages by ^{14}C
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4 dating of a cottonwood leaf serendipitously found immediately between the boulder and underlying
5 sediment (Chapot et al., 2012). In another example, Matmon et al. (2005) determined the age of three
6 different rockfall events along the margins of the Dead Sea fault using a combination of CN dating of
7 the fallen boulders and OSL dating of underlying deposits.
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12 In South Island, New Zealand, earthquake-induced rockfalls are frequently embedded in loessic
13 sediments (Heron et al., 2014); direct CN dating (Mackey and Quigley, 2014) and robust chronologies
14 for loess accumulation and remobilization can thus be used to constrain the timing of these rockfalls.
15 Luminescence is widely used to date loessic materials around the world (Roberts, 2015 and references
16 therein) but luminescence dating of loess from South Island is perceived to be challenging (e.g.
17 Almond et al., 2007). This perception is mostly based on studies using thermoluminescence (TL) and
18 infrared stimulated luminescence (IRSL) of polymineral fine grain (i.e. 4-11 μm) fractions from loess
19 deposits in Westland, Southland and Canterbury (Berger et al., 2001a,b, 2002). The ages obtained have
20 been reported to be anomalously young or old with low precision and in some cases in stratigraphically
21 reversed sequence (Almond et al., 2001, 2007). The undesirable luminescence characteristics have been
22 attributed to the highly weathered nature of these sediments and the dominance of albites compared to
23 K-rich feldspars (Berger et al., 2001a; Almond et al., 2001). With technical and instrumental
24 developments in luminescence dating techniques, later studies have reported more reliable ages for
25 loessic material from the South Island even though some age inconsistencies, mainly attributed to
26 anomalous fading of IRSL signals from K-feldspars, still occurred (Litchfield and Lian, 2004; Preusser
27 et al., 2005). There have been few successful studies utilizing optically stimulated luminescence (OSL)
28 dating of quartz (Holdaway et al., 2002; Rowan et al., 2012), probably due to reports on low OSL
29 signal intensities and large changes in sensitivity (e.g. Preusser et al., 2006); none of these attempted to
30 date loess. We are unaware of any prior attempts to (i) use 'paired' quartz OSL and K-feldspar IRSL
31 dating to rigorously test the reliability of luminescence ages from New Zealand sediments, (ii) apply
32 luminescence dating to larger grain size (i.e. $>11 \mu\text{m}$) fractions of loess from the South Island, and (iii)
33 apply luminescence dating to a steep, high-energy hillslope containing both *in-situ* and colluvial
34 (reworked) loessic sediments.
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54 In this study, we use coarse-grained quartz OSL and K-rich feldspar IRSL signals to date loessic
55 deposits south of Christchurch, New Zealand (Fig. 1a). We show that these techniques provide
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5 consistent and robust sediment chronologies and give confidence in the results even in such challenging
6 depositional environments. These loess deposits host numerous palaeorockfall boulders that have
7 previously been dated by cosmogenic ^3He (Mackey and Quigley, 2014), enabling cross-validation of
8 our optical ages with independent age control. The new luminescence ages allow us to refine the timing
9 of palaeorockfall emplacement in an area of high rockfall hazard (Massey et al., 2014). This study and
10 that of Borella et al. (in review) represent the first use of luminescence dating to refine palaeorockfall
11 chronologies in New Zealand and one of the first to apply this technique globally (Matmon et al., 2005;
12 Chapot et al., 2012).

19 **2. Site description and geological context**

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22 The study site (Rapaki Study Site) is located in the Port Hills of southern Christchurch, nearby the
23 village of Rapaki on Banks Peninsula (Fig. 1a,b). It is a steep ($\sim 20\text{-}25^\circ$) grassy slope (Fig. 1b)
24 composed of *in-situ* loess deposits, overlying large basaltic rockfall boulders, and loessic colluvium
25 deposits that contain basaltic clasts. A large number of boulders (> 650) were dislodged from the steep
26 source cliff upslope of the Rapaki Study Site during moment magnitude 6.2 and 6.0 earthquakes in
27 Christchurch in 2011 (Fig. 1b). The palaeorockfall boulders identified on the same slope (Fig. 1b, c)
28 have the same morphology, lithology, and similar spatial distribution as modern boulders and imply
29 that similar earthquakes are likely to have been responsible for past rockfall activity (Mackey and
30 Quigley, 2014; Borella et al., 2016, in review).

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33 The loessic colluvium deposits have been remobilized by hillslope erosion and deposited downslope
34 (Fig. 1c). Stratigraphic boundaries between *in-situ* and colluvial units (Fig. 1c) have been distinguished
35 on the basis of textural, compositional, and grain-size variations (Borella et al., in review). Palaeosols
36 have been identified in the loess colluvium and the top of the most recent colluvium contains an A-
37 horizon (Borella et al., in review). The slope geomorphology on the interfluvial considered here consists
38 of numerous rills and channels (Fig. 1b) that primarily originate from collapsed tunnel gullies, and
39 sedimentary wedges formed upslope of boulders. More detailed geomorphic and stratigraphic data for
40 the study site are available in Borella et al. (2016, in review).

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4 The source of the loess has been attributed to the proximal floodplains of the Waimakariri River (Fig.
5 1a) (Griffiths, 1973), which primarily transports weathered Cretaceous greywacke from the Southern
6 Alps out into the Canterbury Basin (Villasenor et al., 2016). The basaltic source rock immediately
7 upslope of the Rapaki Study Site is not a likely source because of the absence of quartz and low
8 abundance of K-feldspar in the source rock.
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14 Constraints on the timing of regional loess accumulation consist of previous ^{14}C , optical dating, and
15 tephrochronology at other study sites on Banks Peninsula (Fig. 1a) (Griffiths, 1973; Almond et al.,
16 2007) and cosmogenic ^3He dating of palaeorockfall boulders overlying the *in-situ* loess (Mackey and
17 Quigley, 2014). *In-situ* loess at the Rapaki Study Site has been correlated to the Birdlings Flat loess
18 (Borella et al., in review). Intercalated volcanic ash within the Birdling's Flat loess (Fig. 1a) has been
19 attributed to the 26,500 cal. yr BP Kawakawa Tephra (Almond et al., 2007). Humic acid from the
20 uppermost identified palaeosol near the top of a section of correlative loessic units yielded a ^{14}C age of
21 $17,450 \pm 2,070$ cal. years B.P. (Griffiths, 1973). Almond et al. (2007) obtained a feldspar IRSL age of
22 $17,300 \pm 1,000$ cal. years B.P. from near the top of the sequence. They interpreted feldspar IRSL ages
23 to be underestimates, and suggested loess accumulation initiated prior to ca. 30,000 ^{14}C yr BP (ca.
24 35,000 cal. yr BP). Mackey and Quigley (2014) used cosmogenic ^3He surface-exposure dating to
25 determine the emplacement time of 19 prehistoric rockfall boulders overlying the *in-situ* loess. The
26 apparent surface-exposure ages ranged from 6 to 70 ka with most ages between 6 and 20 ka; age
27 concentrations at ca. 6-8 ka and ~12-14 ka were interpreted to reflect surface-exposure ages and older
28 ages were attributed to pre-depositional inheritance (Fig. 1d). Borella et al. (2016, in review) obtained
29 ^{14}C dates from charcoal within the uppermost layers of the reworked colluvial loess of ~1660-1880
30 A.D. (2σ). The *in-situ* loess at the Rapaki Study Site is thus conservatively attributed to deposition
31 beginning before ca. 30 ka and ending before ca. 6-13 ka, while the majority of reworked colluvial
32 loess is attributed to deposition after ca. 6-13 ka and prior to 1660-1880 A.D.
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49 **3. Sampling, laboratory preparation and analytical facilities**

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52 Sampling for optical dating was targeted at five palaeorockfall boulders; three with credible exposure
53 ages of < 13 ka in stratigraphic order with the expected post-LGM depositional age of 17-13 ka for the
54 underlying loess and two with exposure ages of > 20 ka. Thirteen OSL samples were collected by
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4 hammering metal tubes (5 cm diameter and 15 cm length) into freshly cleaned trench walls: (i) 8
5 samples from loess and loess colluvium deposits underlying the boulders, and (ii) 5 samples from
6 reworked loess colluvium that accumulated behind the boulders after their emplacement on the
7 hillslope (Fig. 1c, e). The samples underlying the boulders should predate their emplacement and thus
8 give a maximum boulder emplacement age, whereas the accumulated deposits upslope of the boulders
9 should postdate their deposition and so provide a minimum boulder emplacement age (Fig. 1e); by
10 dating these samples we should be able to constrain the time window during which each boulder must
11 have been put in place and thus determine the age of the responsible rockfall event.
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19 Sediment was removed from sampling tubes under low level orange light and potentially light-exposed
20 material from the outer ends of the tubes discarded; sediment from the middle of the tubes was then wet
21 sieved to 40-63 μm . The grains were treated with 10% HCl to remove carbonates and 10% H_2O_2 to
22 dissolve any reactive organic material. They were then etched with 10% HF for 40 min to remove any
23 alpha-irradiated surface layer and weathering products and coatings, followed by 10% HCl for 20 min
24 to remove any fluoride contamination. The K-rich feldspar fractions were then separated in a water-
25 based heavy liquid solution ($\rho = 2.58 \text{ g.cm}^{-3}$; Fastfloat). The quartz grains were further treated with
26 hydrofluorosilicic acid for 2 weeks, followed by 10% HCl.
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34 All luminescence measurements were carried out using a Risø TL/OSL reader (model TL-DA 20), with
35 blue light stimulation ($\lambda = 470 \text{ nm}$, $\sim 80 \text{ mW.cm}^{-2}$) and photon detection through a 7.5-mm Hoya U-340
36 glass filter for quartz, and infrared stimulation ($\lambda = 875 \text{ nm}$, $\sim 135 \text{ mW.cm}^{-2}$) and photon detection
37 through a Schott BG39/BG3 filter combination (2 and 3 mm, respectively) for K-feldspar (Bøtter-
38 Jensen et al., 2010). Beta irradiations used a $^{90}\text{Sr}/^{90}\text{Y}$ source mounted on the reader and calibrated for
39 both discs and cups using 180-250 μm calibration quartz grains (Hansen et al., 2015). Grains were
40 mounted as large ($\sim 9 \text{ mm}$ diameter for quartz) or medium ($\sim 4 \text{ mm}$ diameter for feldspar) aliquots in a
41 monolayer using silicone oil on 9-mm-diameter stainless steel discs (quartz) or cups (feldspar). The
42 heating rate was 5°C.s^{-1} throughout. All thermal treatments and stimulations at temperatures higher
43 than 200°C were carried out in nitrogen atmosphere, and a pause of 5 s was inserted before stimulation
44 to allow all grains to reach the measurement temperature. Five empty channels were inserted before
45 and after the stimulation to monitor any isothermal TL signals.
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4. Dosimetry

Radionuclide concentrations (^{238}U , ^{226}Ra , ^{232}Th and ^{40}K) were measured using high-resolution gamma spectrometry on sediment collected from around the OSL sample tube. Approximately 50 g of sediment was dried at 50°C, pulverized and homogenized, and then heated to 450°C for 24 h to remove any organic matter. The material was then cast in wax to prevent radon loss and to provide a reproducible counting geometry. Samples were stored for at least three weeks to allow ^{222}Rn to reach equilibrium with its parent ^{226}Ra before being measured on a high-purity Germanium detector for at least 24 h. Details of the gamma spectrometry calibration are given in Murray et al. (1987). The internal beta dose rate activity from ^{40}K was calculated based on an assumed effective potassium content of $12.5\pm 0.5\%$ (Huntley & Baril, 1997), and the beta contribution from ^{87}Rb was calculated assuming a ^{87}Rb content of 400 ± 100 ppm (Huntley & Hancock, 2001). For K-feldspar, a small internal alpha contribution of 0.10 ± 0.05 Gy ka^{-1} from internal ^{238}U and ^{232}Th was assumed included in the dose rates, based on from ^{238}U and ^{232}Th concentration measurements by Mejdahl (1987). For quartz, an internal dose rate of 0.010 ± 0.002 Gy ka^{-1} was assumed (Vandenberghé et al., 2008). The radionuclide concentrations were converted to dose rate data using the conversion factors from Guérin et al. (2011). The contribution from cosmic radiation to the dose rate was calculated following Prescott and Hutton (1994), assuming an uncertainty of 5%. The long-term water content (expressed as a percentage of dry weight) was assumed to be similar to the modern water content. Water content, radionuclide concentrations and dry, infinite-matrix beta and gamma dose rates are summarized in Table 1.

5. Luminescence characteristics

5.1. Quartz

Quartz is the most widely used dosimeter in luminescence dating and the reliability of the quartz OSL single-aliquot regenerative-dose (SAR) protocol (Murray and Wintle, 2000) for dose determination is well-established (e.g. Murray and Olley, 2002). All the OSL measurements were performed at 125°C for 40 s. A high-temperature blue-light stimulation at 280°C was also carried out for 40 s at the end of each cycle to minimize the residual signal transfer between different cycles (Murray and Wintle, 2003). Signal intensities were calculated using the initial 0.32 s of the signal, less an immediate background

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4 derived from the following 0.8 s. An early background subtraction was selected to minimize the
5 contribution of the more difficult to bleach and more thermally unstable medium and slow components
6 to the net signal (Jain et al., 2003; Li and Li, 2006; Cunningham and Wallinga, 2010).
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10 For all samples, the purity of quartz extracts was examined by measuring the OSL signal from three
11 aliquots from each sample with and without prior infrared stimulation at room temperature for 100 s.
12 The ratio of the two OSL signals, the so-called OSL infrared (IR) depletion ratio, was then calculated
13 for each aliquot (Duller et al., 2003). The resulting average OSL IR depletion ratio was 0.974 ± 0.012
14 ($n=39$), implying that any feldspar contamination of our quartz luminescence signals is negligible.
15 Quartz extracts from all the samples were sensitive and the OSL signal was dominated by fast
16 component (Fig. 2).
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24 The performance of our quartz OSL SAR protocol was verified using both natural and dose-recovery
25 preheat-plateau tests. The natural preheat-plateau test was carried out to investigate the dependence of
26 equivalent dose (D_e) on preheat temperature. Twenty-four aliquots of quartz from one of the samples
27 were sorted in groups of three. Each of the eight groups was then treated with a different preheat
28 temperature (between 160 and 300°C for 10 s, with temperature increasing in 20°C steps). The
29 temperature of the preheat treatment with immediate cooling after the test dose (the so called cut-heat
30 temperature) was chosen to be 20°C lower than the preheat temperature. From Fig. 3a it can be seen
31 that there is no obvious dependence of D_e on preheat temperature between 160 and 300°C.
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39 For the dose-recovery preheat-plateau test, twenty-four fresh aliquots were stimulated twice at room
40 temperature for 100 s using blue LEDs to fully reset the natural OSL signals. A pause of 1 ks was
41 inserted between the two stimulations to allow for any charge trapped in shallow refuge traps
42 (especially that associated with the 110°C TL peak) to decay and subsequently partly refill the OSL
43 trap prior to the second stimulation. The aliquots were then given a dose of ~36 Gy and measured in a
44 similar manner as in the natural preheat-plateau test. Fig. 3b summarizes the measured-to-given dose
45 ratios at different preheat temperatures. It appears that the dose recovery ratio is poor for low preheat
46 temperatures ($< 240^\circ\text{C}$) but is satisfactory (within 10% of unity) for the 240-280°C interval. The
47 closest ratio to unity is 0.98 ± 0.06 ($n=3$) at 260°C, showing that a known laboratory dose absorbed
48 before any thermal pretreatment can be accurately measured at this preheat temperature. Accordingly, a
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4 preheat temperature of 260°C (for 10 s) and a cut-heat temperature of 240°C for (0 s) were selected for
5 all quartz OSL D_e measurements. A summary of the D_e values and calculated ages is given in Table 1.
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8 9 **5.2. K-rich feldspar**

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11 K-feldspar is an alternative dosimeter in luminescence dating. However, its application has been
12 hampered because K-feldspar IRSL signals are usually not stable with time (e.g. Huntley and Lamothe,
13 2001; Spooner, 1994; Wallinga et al., 2007). It is now broadly accepted that this athermal loss of
14 signal, commonly called as anomalous fading, is due to the tunneling of electrons from thermally stable
15 traps to nearby recombination centers (Jain et al., 2015). The presence of anomalous fading results in
16 age underestimations (Aitken 1985), however the use of K-feldspar as dosimeter in luminescence
17 dating has been increasing rapidly over the last few years since the recognition of the more stable
18 infrared (IR) signals measured after a low temperature IR stimulation, the so-called post-IR IRSL
19 signals (pIRIR) (Thomsen et al., 2008; Buylaert et al., 2009). We used a pIRIR₂₉₀ SAR protocol to
20 measure the K-feldspar fractions from all the samples (Thiel et al., 2011). A preheat treatment of 320°C
21 was applied for 60 s after natural, regenerative and test doses. The first IR stimulation at 50°C (IR₅₀)
22 was followed by a second IR stimulation at 290°C (pIRIR₂₉₀). A high-temperature stimulation at 325°C
23 was also performed at the end of each SAR cycle to minimize signal carry-over to the next cycle. All
24 IR stimulations were carried out for 100 s and the full protocol is given in Table 2. The first second of
25 stimulation less a background from the last ten seconds was used for all calculations.
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39 In order to verify the reliability of the measurement protocol, a dose-recovery test was carried out by
40 adding different known laboratory doses to the natural dose of the youngest sample. The largest added
41 dose was selected in a way so that the total dose is close to the pIRIR₂₉₀ D_e of the oldest sample. As it
42 can be seen from Fig. 4, while the IR₅₀ dose recovery ratio is poor (~0.8), the pIRIR₂₉₀ dose recovery is
43 satisfactory (within 10% of unity) over the entire dose range in our samples (Fig. 4). Similar low dose
44 recovery ratios have been observed for the IR₅₀ signal measured as a part of the pIRIR₂₉₀ protocol (e.g.
45 Buylaert et al., 2012; Schatz et al., 2012; Tsukamoto et al., 2013; Murray et al., 2014). It has been
46 argued that this may be due to a trapping sensitivity change caused by the stringent preheating (here
47 320°C for 60 s) of the sample prior to measuring the natural IR₅₀ signal (Wallinga et al., 2000). Kars et
48 al. (2014a) show that this sensitivity change cannot be detected through test dose responses in the SAR
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4 protocol, and thus may result in an invalid equivalent dose estimation. We therefore do not use the IR₅₀
5 equivalent doses for age calculation. Instead, we only use these values in the discussion of incomplete
6 bleaching (see section 6).
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10 **5.2.1. Anomalous fading**

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12 To measure the stability of the IRSL signals in our samples, an anomalous fading test was carried out
13 on the same aliquots as used for D_e determination. Anomalous fading is usually quantified by the 'g'
14 value, which is the fractional loss of signal during a storage period of one decade of time, where the
15 storage periods are expressed as decades relative to the laboratory irradiation time (Aitken 1985:
16 appendix F). Three aliquots from each sample were measured to evaluate the 'g'-value using SAR
17 cycles (Table 2), following Auclair et al. (2003). Each aliquot was given a regenerative dose close to
18 the sample-averaged pIRIR₂₉₀ equivalent dose and a test dose equal to 50% of the regenerative dose
19 was used. The ratios of regenerated signals (L_x) to test dose signals (T_x) were measured repeatedly,
20 with time delays of ~0.22 h (IR₅₀) and ~0.27 h (pIRIR₂₉₀) for prompt measurements, and 12 h for delay
21 measurements. The 'g'-values were calculated using equation 4 of Huntley and Lamothe (2001) and
22 normalized to a measurement delay time (t_c) of 2 days after irradiation (Fig. 5).
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34 Fading rates showed no trends with depth or depositional environment (i.e. loess/(reworked) colluvium
35 loess). We therefore combined data of all the samples and obtained mean g_{2days} values of 0.7±0.8 and
36 0.9±0.3 %/decade (n=39) for the IR₅₀ and pIRIR₂₉₀ signals, respectively. Several studies have
37 documented similar fading rates of ~1%/decade for the pIRIR₂₉₀ signal, while the IR₅₀ signal usually
38 shows higher laboratory fading rates (e.g. Stevens et al., 2011; Roberts, 2012; Roskosch et al., 2012;
39 Tsukamoto et al., 2013; Schatz et al., 2012). It has been suggested that such low fading rates (i.e.
40 <1.5%/decade) are most likely an artefact of the measurement procedure and do not accurately reflect
41 the signal instability in nature (e.g. Thiel et al., 2011; Buylaert et al., 2012; Roberts, 2012). The
42 feldspar ages were not corrected for apparent laboratory fading.
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50 **5.2.2. Residual dose**

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52 Post-IR IRSL signals are known to be difficult to bleach as there remains a residual dose even after
53 prolonged exposure periods in daylight or solar simulator (e.g. Buylaert et al., 2011; Reimann and
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4 Tsukamoto, 2012; Lowick et al., 2012; Roberts, 2012; Li et al., 2013; Kars et al., 2014b). In order to
5 determine the size of this residual dose in our samples we followed two different approaches. In the
6 first method, 24 aliquots from the youngest sample were bleached in groups of three for different
7 lengths of time (from 1 to 512 h, increasing by powers of 2) using an artificial daylight spectrum
8 (Hönle SOL2 solar simulator) approximately six times more intense than full sunlight. The IR₅₀ and
9 pIRIR₂₉₀ residual doses were then measured in the usual manner (Table 2). For both signals the residual
10 doses decrease slowly with bleaching time and appear to reach a constant after 64 h of bleaching (Fig.
11 6). The average IR₅₀ and pIRIR₂₉₀ residual doses after an exposure time of 64 h are 1.24±0.14 Gy (n=6)
12 and 6.15±0.10 Gy (n=6), respectively.
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22 Sohbati et al. (2012) reported a correlation between the IR₅₀ and pIRIR₂₂₅ equivalent doses and their
23 corresponding residual doses (after laboratory bleaching) with finite intercepts on the residual dose
24 axes. They interpreted these intercepts as unbleachable residual doses that would have been present in
25 their samples, had they been fully bleached at the time of deposition. Similar observations have been
26 made by various workers for different pIRIR signals (e.g. Buylaert et al., 2012; Schatz et al., 2012;
27 Sohbati et al., 2013; Tsukamoto et al., 2013; Veit et al., 2014; Qiu and Zhou, 2015). In the second
28 method, we followed a similar approach; three aliquots per sample were first bleached for 4 h in a
29 Hönle SOL2 solar simulator. The IR₅₀ and pIRIR₂₂₅ residual doses were then measured and plotted
30 against the corresponding D_e values for each sample. As Fig. 7 shows there is a clear correlation
31 between the residual doses and the equivalent doses. The intercepts of the linear fits to the IR₅₀ and
32 pIRIR₂₉₀ data are 1.6±0.3 and 5.9±0.5 Gy, respectively. Interestingly, these values are comparable to
33 the residual doses observed in the youngest sample after 64 h of bleaching, and are similar to the values
34 reported in literature for the same signals (e.g. Buylaert et al., 2012; Schatz et al., 2012; Murray et al.,
35 2014; Kars et al., 2014b; Yi et al., 2015). It is not clear whether these residual doses originate from a
36 truly unbleachable IRSL component or arise from the transfer of charge from light-insensitive traps to
37 IR-sensitive trap(s) during preheating, so-called thermal transfer (e.g. Aitken, 1998; Buylaert et al.,
38 2011). In either case, they would correspond to residual doses present in a fully bleached, modern
39 sample and are likely to have been present in all our samples at the time of deposition. The resulting
40 IR₅₀ and pIRIR₂₉₀ D_e values after residual dose subtraction and the calculated pIRIR₂₉₀ ages are given
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6. Discussion

6.1. Reliability of the luminescence ages: were the samples well-bleached?

The laboratory behavior of the quartz OSL signals from these samples of New Zealand loess is satisfactory and the dose recovery for the sample tested under the chosen measurement conditions is consistent with unity (0.98 ± 0.06 ; $n=3$). Thus for these samples it appears that our measurement protocol is appropriate for the measurement of dose. As discussed in the Introduction, this is in contrast to earlier work on quartz from South Island. With the exception of Holdaway et al. (2002) and Rowan et al. (2012) previous luminescence studies have concluded that quartz was insensitive and unsuitable for dating (e.g. Preusser et al., 2006; Almond et al., 2007).

Similarly, our feldspar IRSL dosimetry signal is also well-behaved. The slope of the measured to given dose relationship for the pIRIR₂₉₀ signal is within 10% of unity, and laboratory fading rates are not considered significant ($g_{2\text{days}}$ values of 0.7 ± 0.8 and 0.9 ± 0.3 %/decade ($n=39$) for the IR₅₀ and pIRIR₂₉₀ signals, respectively). Previous work suggested that ages based on feldspar IRSL signals underestimated independent age control (presumably due to anomalous fading) and this was blamed on the apparent high degree of weathering (Almond et al., 2001).

A major challenge in luminescence dating of hillslope deposits is incomplete bleaching (Fuchs and Lang, 2009). The colluvial deposits accumulated behind the boulders were reworked by slope processes and thus, because of short transport distances and mixing during transportation, may not have been well-bleached. Fuchs and Lang (2009) point out that one way to identify insufficient bleaching may be to use luminescence signals of different bleaching characteristics. Several studies have shown that the quartz OSL signal resets faster than the K-feldspar IR₅₀ signal and that the IR₅₀ signal, in turn, bleaches more rapidly than the elevated temperature post-IR IRSL (pIRIR) signals (e.g. Godfrey-Smith et al., 1988; Thomsen et al., 2008; Murray et al., 2012; Kars et al., 2014b; Sugisaki et al., 2015; Colarossi et al., 2015; Möller and Murray, 2015). Based on this, Murray et al. (2012) suggested an approach to identify well-bleached quartz samples by comparing quartz and K-feldspar equivalent doses. Möller and Murray (2015) used this approach in a study of Swedish glaciofluvial samples and were able to conclude that the quartz OSL in about half of the samples was well-bleached at deposition (based on agreement with IR₅₀ and pIRIR signals). In our study, there is excellent agreement between

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4 the quartz OSL and K-feldspar pIRIR₂₉₀ ages for all the samples (Fig. 9). Given the well-known
5 difference in bleaching rates (see above), this similarity in ages strongly suggests that the quartz OSL
6 signals in our samples must have been well-bleached prior to final deposition (Fig. 9).
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11 In addition, Buylaert et al. (2013) proposed an independent method to identify poorly-bleached K-
12 feldspar samples by comparing the IR₅₀ to pIRIR₂₉₀ D_e ratios. Following a similar approach, we plotted
13 the IR₅₀ D_e value versus the corresponding pIRIR₂₉₀ equivalent dose for each sample (Fig. 8). First of
14 all, it is interesting to note that the value of intercept on the pIRIR₂₉₀ axis in Fig. 8 (i.e. 4.9 Gy), is
15 indistinguishable from the difference between the values of 1.6±0.3 and 5.9±0.5 Gy inferred as IR₅₀
16 and pIRIR₂₉₀ unbleachable residual doses, respectively, from the intercepts on the D_e axes in Fig. 7.
17 Secondly, all data points lie on the same curve, indicating a good correlation of doses over a wide dose
18 range (Fig. 8). Such smooth correlation implies that either all the samples were equally poorly-bleached
19 or they were well-bleached. Given the heterogeneous nature of the bleaching process in space and time,
20 the former seems unlikely. We conclude that these data suggest that both the feldspar signals from our
21 samples were sufficiently bleached before deposition. Note that the poor dose recovery for the IR₅₀
22 signals is most unlikely to perturb this conclusion. If poor dose recovery was to affect the conclusions
23 drawn from this correlation, it would have to increase the scatter around the fitted line in Fig. 8; clearly,
24 this cannot have happened to a significant degree. A similar conclusion was reached by Buylaert et al.
25 (2013).
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38 From the satisfactory dose recovery characteristics of the quartz OSL and K-feldspar pIRIR₂₉₀ signals
39 and the excellent agreement between ages based on these signals, we conclude that the internal
40 luminescence evidence strongly suggests that our quartz OSL ages are reliable and unlikely to have
41 been significantly affected by incomplete bleaching. Since the quartz signal is more readily reset, and
42 there are potential complications in the pIRIR₂₉₀ ages arising from signal instability and residual dose
43 subtraction, we use the quartz OSL ages in the next section.
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49 6.2. OSL-CN age comparison

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53 The quartz OSL ages are all concordant with the stratigraphy; they range from ~2 to 29 ka with the 11
54 (out of 13) OSL ages most closely related to the boulders consistently younger than ~13 ka. Fig. 10
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4 shows a schematic view of one of the boulders (PB-2) together with the OSL ages of the surrounding
5 loess colluvium deposits as well as the ^3He surface-exposure age from the top of the boulder. It can be
6 seen that the two samples collected below the boundary identified as the ground surface at the time of
7 boulder emplacement have ages of 12.5 ± 1.1 ka (ROSL-05) and 12.0 ± 1.4 ka (ROSL-06), the weighted
8 average of which provides a maximum age limit of 12.3 ± 1.0 ka for the boulder deposition.
9 Furthermore, sample ROSL-04, taken from the colluvial wedge upslope of the boulder and thus
10 deposited after the boulder, has an age of 7.7 ± 0.8 ka. These ages limit the boulder emplacement time to
11 between 12.3 ± 1.0 ka and 7.7 ± 0.8 ka. The maximum age limit of 12.3 ± 1.0 ka is indistinguishable from
12 the ^3He exposure age of 13 ± 2.3 ka from the top surface of the boulder. Our preferred interpretation is
13 that (i) PB-2 was emplaced at ca. 12-13 ka (Mackey and Quigley, 2014), (ii) ^3He pre-detachment
14 inheritance is negligible, and (iii) the boulder emplacement time is indistinguishable from that of the
15 underlying loess. In this instance, the possibility of significant ^3He inheritance is inconsistent with the
16 optical chronology, highlighting the importance of these complementary data.
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28 Maximum/minimum loess ages exist for only four of the boulders. Only one OSL sample (ROSL-02,
29 29 ± 2 ka) was taken in association with PB1 (from underneath) and there is no corresponding upslope
30 sample to provide post-depositional age limit. Fig. 11 summarizes the OSL age constraints and the ^3He
31 surface-exposure age for the other four boulders (PB2, 3, 4, 5). The OSL ages associated with PB-3
32 suggest an emplacement time between 2.9 ± 0.3 and 5.8 ± 0.5 ka, while the ^3He surface-exposure age
33 from the top surface of the boulder is 8 ± 2 ka. Again the boulder age is indistinguishable from that of
34 the underlying loess, suggesting that either boulder emplacement occurred at 5.8 ± 0.5 ka, or the boulder
35 was emplaced after 5.8 ± 0.5 ka (and contained some ^3He inheritance) but before 2.9 ± 0.3 ka.
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44 In contrast, PB4 and PB5 have ^3He surface-exposure ages that are significantly older than the ages of
45 the associated loess. PB4 has an exposure age of 26.9 ± 2.9 ka, while the OSL ages constrain the
46 emplacement time to between 4.2 ± 0.4 and 10.2 ± 1.1 ka. Similarly, the OSL ages associated with PB5
47 suggest that it must have been deposited between 1.7 ± 0.2 and 10.2 ± 0.8 ka, whereas the ^3He age
48 suggests an emplacement time of 15.7 ± 2.3 ka (Fig. 11). Given the internal stratigraphic consistency of
49 the quartz OSL ages and their agreement both with K-feldspar ages and with the regional climate
50 record (i.e. loess formation during the termination of LGM), we conclude that the discrepancy between
51 the OSL and ^3He ages for PB4 and PB5 is most likely due to pre-detachment ^3He inheritance.
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7. Conclusions

We successfully determined the emplacement time of palaeorockfall boulders by applying luminescence dating techniques to colluvial (reworked) loess deposits in South Island, New Zealand. The quartz OSL and K-feldspar pIRIR₂₉₀ ages are all consistent with stratigraphy and in excellent agreement with each other for all the samples. To our knowledge, these are amongst the first reliable loess luminescence ages from New Zealand, although a few earlier studies have reported reliable ages from non-loessic material (e.g. Holdaway et al., 2002; Rowan et al., 2012; Hornblow et al., 2014).

The correlation between the IR₅₀ and pIRIR₂₉₀ K-feldspar equivalent doses and the corresponding residual doses seems to provide a reliable method to determine the unbleachable residual dose present in the samples. The size of the unbleachable IR₅₀ and pIRIR₂₉₀ residual doses in our samples, as inferred from the intercepts on the residual dose axes, are 1.6 ± 0.3 and 5.9 ± 0.5 Gy, respectively.

Regardless of whether the IR₅₀ equivalent doses are reliable or not (due to poor dose recovery), the comparison between the IR₅₀ and pIRIR D_e values appears to provide a viable independent approach to identify well-bleached K-feldspar samples. The smooth correlation between the IR₅₀ and pIRIR₂₉₀ D_e values over a wide dose range, strongly suggests that all our samples were well-bleached prior to final deposition on the slope. This is confirmed by the agreement between the quartz OSL and K-feldspar pIRIR₂₉₀ ages.

Of the six loess colluvium samples immediately underlying the boulders the oldest has an age of ~ 13 ka, suggesting the deposition of loess colluvium after the termination of the LGM (Griffiths, 1973; Ives et al., 1973; Tonkin et al., 1974; Hughes et al., 2010). This implies that all the palaeorockfall boulders must have been emplaced after the loess colluvium deposition ~ 13 ka ago (Mackey and Quigley, 2014). The emplacement time of individual boulders was further constrained by luminescence dating of colluvial (reworked) loess deposits underlying and upslope of individual boulders. A comparison of luminescence ages with ^3He surface-exposure ages from the surfaces of each boulder shows that two out of four boulders (ignoring PB1 because of the poor age constraint) probably suffer from ^3He pre-detachment inheritance, as hypothesized by Mackey and Quigley (2014). Overall, the optical ages are consistent with both a prehistoric rockfall event at $\sim 8-6$ ka and the possible preceding event at $\sim 14-13$ ka hypothesized by Mackey and Quigley (2014), although the temporal resolution of the time of

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emplacement of individual boulders remains at ca. 3-5 ka. However, this temporal resolution is not limited by age uncertainties but rather by the stratigraphy; there was simply no material deposited closer to the time of emplacement. Nevertheless, we are able to convincingly identify two boulders containing significant cosmogenic ^3He inheritance and two for which the ^3He ages are probably accurate, demonstrating the great advantage of a multi-technique approach in geochronology.

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Table captions

Table 1) Summary of sample code, burial depth, radionuclide concentrations, measured water content, quartz OSL and K-feldspar IR₅₀ and pIRIR₂₉₀ equivalent doses and ages. Residual doses of 1.2 and 6 Gy were subtracted from the IR₅₀ and pIRIR₂₉₀ D_es, respectively. Feldspar dose rates assume a K concentration of 12.5±0.5% for K-feldspar (Huntley and Baril, 1997). An absolute error of 4% is assumed on the water content values.

Table 2) Outline of the quartz OSL (Murray and Wintle, 2003) and K-feldspar pIRIR₂₉₀ (Thiel et al., 2011) SAR protocols.

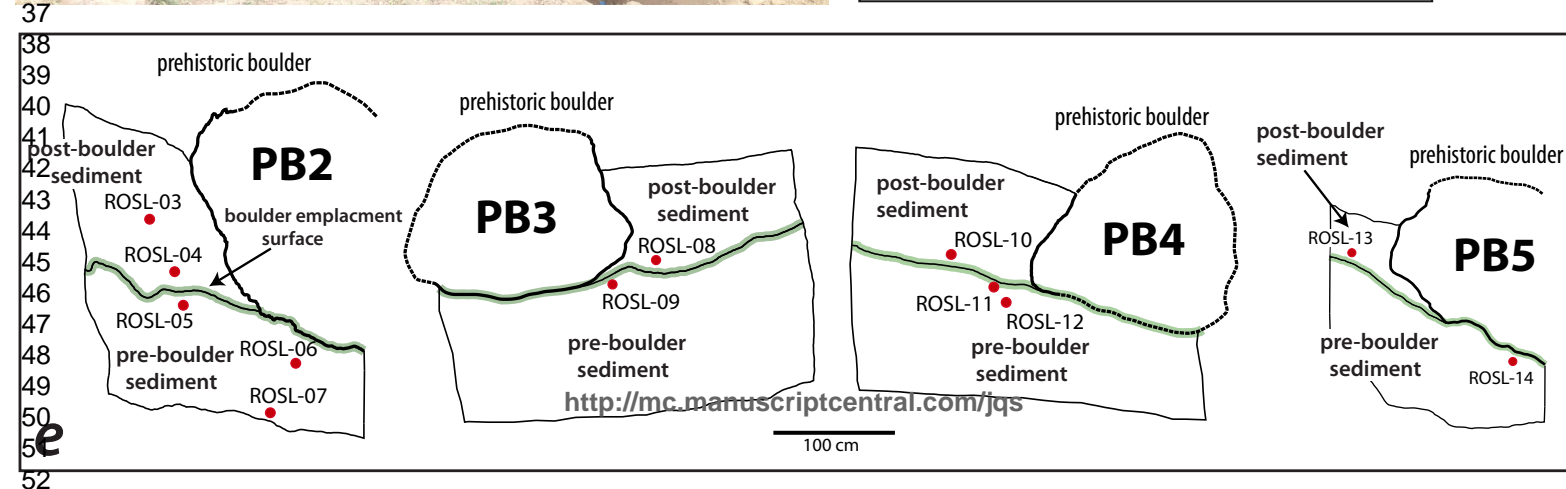
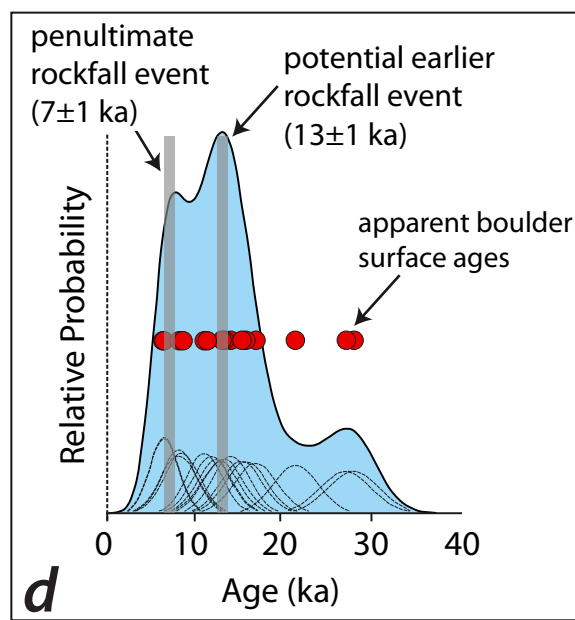
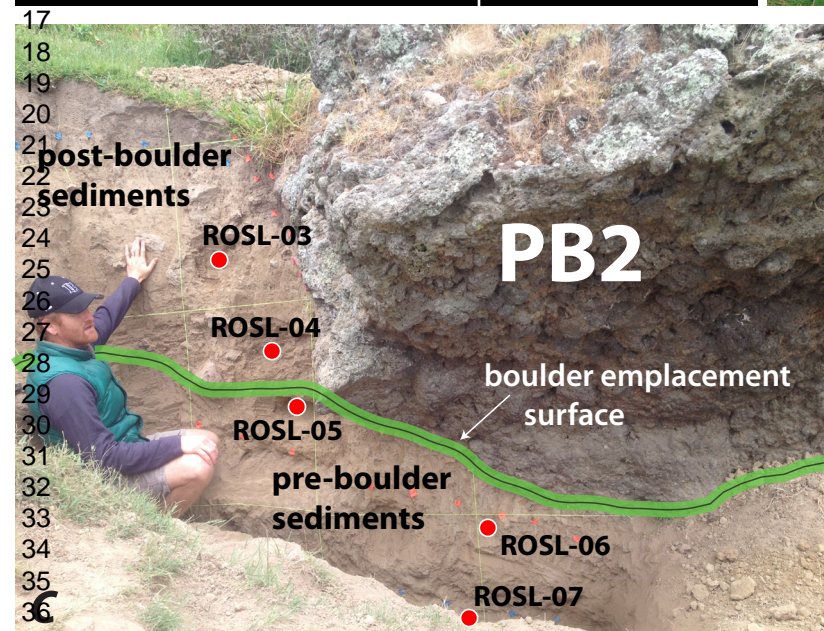
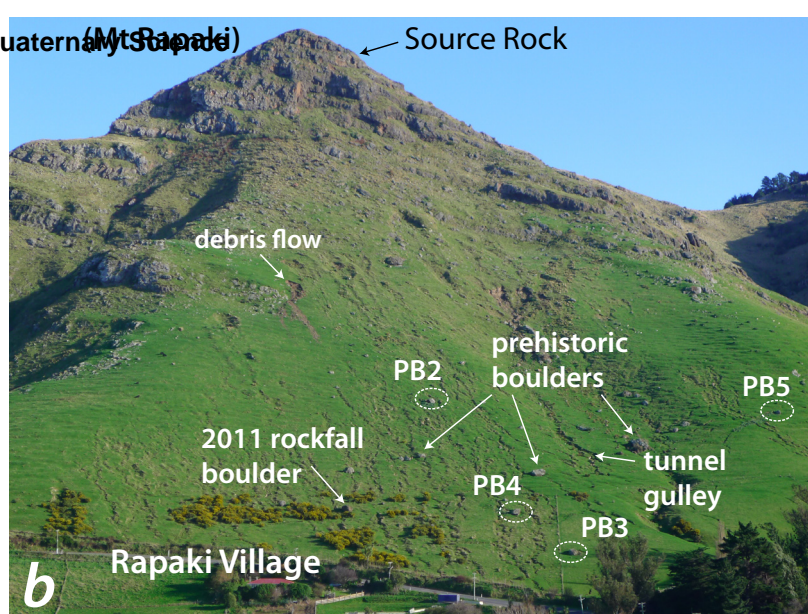
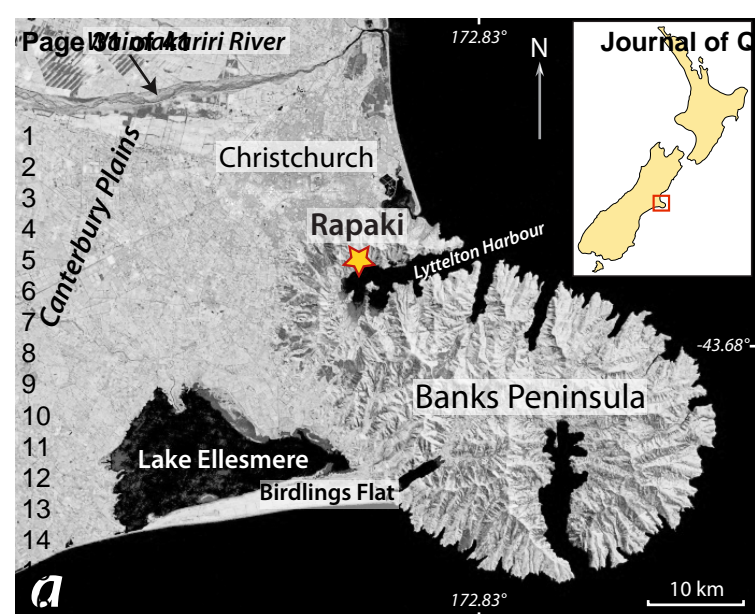
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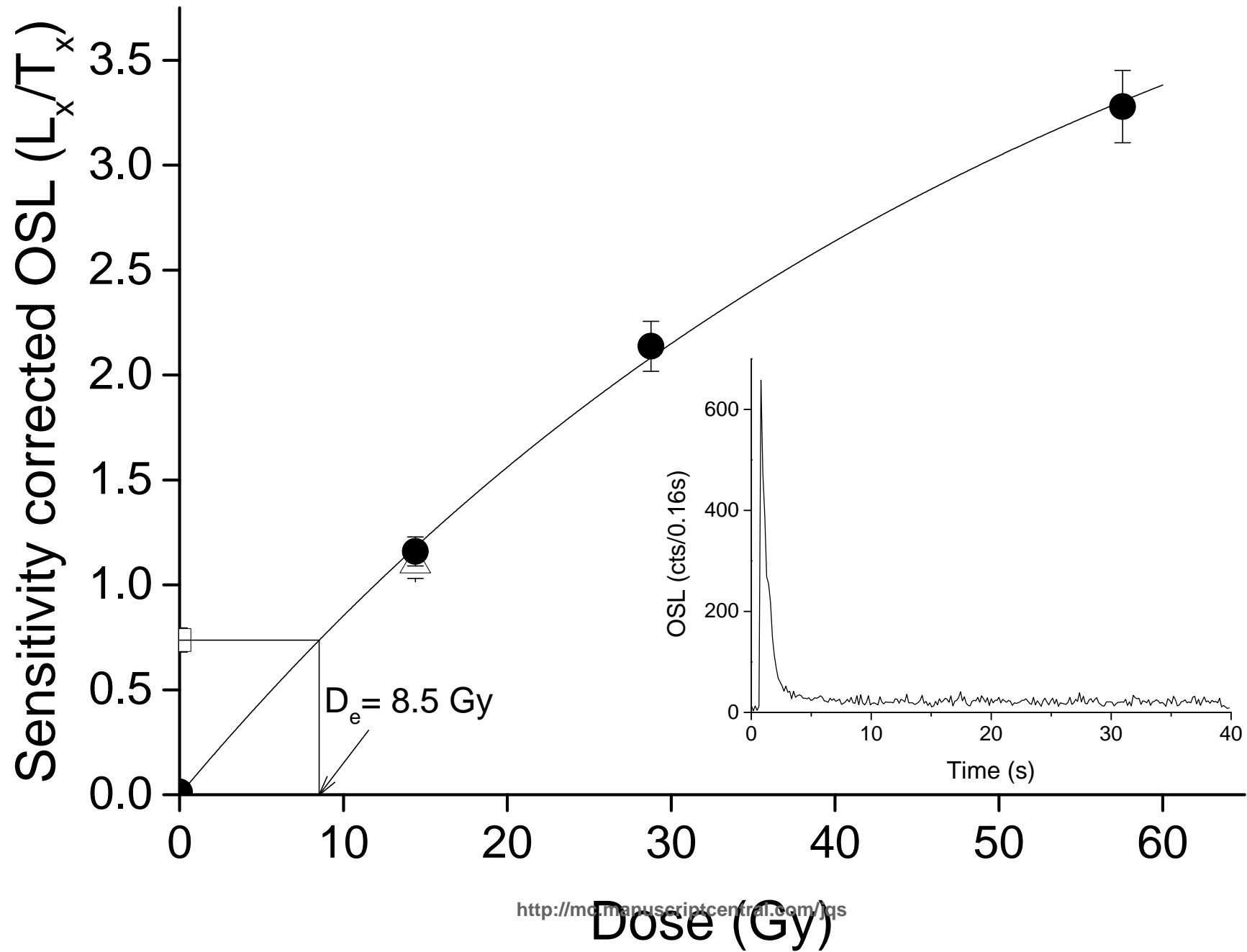
Sample code	Sample name	Depth (cm)	Water content (%)	²²⁶ Ra (Bq kg ⁻¹) ± se	²³² Th (Bq kg ⁻¹) ± se	⁴⁰ K (Bq kg ⁻¹) ± se	Total dose rate (Gy ka ⁻¹) ± se	Quartz OSL D _e (Gy) ± se	n	Quartz OSL age (ka) ± se	K-feldspar IR ₅₀ D _e (Gy) ± se	K-feldspar pIRIR ₂₉₀ D _e (Gy) ± se	n	K-feldspar pIRIR ₂₉₀ age (ka) ± se
146601	ROSL-02 (PB1)	247	10	30.14±1.08	40.07±1.16	502±16	2.65±0.13	78±5	17	29.3±2.5	44.24±1.14	84.3±1.8	12	28.5±1.6
146602	ROSL-08 (PB3)	81	14	35.8±0.9	42.3±0.9	554±16	2.85±0.12	8.14±0.66	18	2.9±0.3	4.07±0.30	8.4±0.4	12	2.6±0.2
146603	ROSL-09 (PB3)	170	6	33.38±1.14	38.5±1.2	446±16	2.76±0.14	15.9±1.2	18	5.8±0.5	11.8±0.6	19.9±0.6	12	6.5±0.4
146604	ROSL-10 (PB4)	93	11	32.7±0.9	41±1	473±12	2.66±0.12	11.0±0.9	18	4.2±0.4	7.4±0.5	11.4±0.5	12	3.8±0.2
146605	ROSL-11 (PB4)	120	8	38.6±1.9	42±2	440±30	2.55±0.15	26±2	18	10.25±1.07	16.5±0.5	29.8±1.0	12	10.4±0.7
146606	ROSL-12 (PB4)	131	7	33.8±1.6	42.5±1.7	510±20	2.87±0.16	38±3	18	13.4±1.2	23.7±0.6	40.4±0.6	12	12.7±0.7
146607	ROSL-13 (PB5)	31	4	36.2±1.7	42.8±1.8	520±20	3.18±0.17	5.5±0.4	22	1.7±0.2	3.8±0.3	6.8±0.3	12	1.94±0.14
146608	ROSL-14 (PB5)	110	8	35.9±1.7	43.5±1.9	460±30	2.94±0.16	30.0±1.7	24	10.2±0.8	22.7±0.7	40.7±0.9	12	12.6±0.8
146609	ROSL-03 (PB2)	70	12	32.6±1.2	39.877±1.114	470±20	2.69±0.13	7.6±0.7	24	2.8±0.3	4.5±0.3	7.4±0.3	12	2.46±0.15
146610	ROSL-06 (PB2)	87	7	31.9±1.5	43.2±1.4	490±30	2.89±0.15	35±4	24	12.0±1.4	18.5±0.4	32.7±0.7	12	10.2±0.6
146611	ROSL-07 (PB2)	171	4	31.5±1.6	49±1.8	440±20	2.93±0.16	80±8	22	27.2±3.0	39.2±1.4	70.5±1.9	12	21.8±1.4
146612	ROSL-04 (PB2)	99	12	35.8±1.5	40.3±1.4	460±20	2.47±0.13	19.0±1.5	23	7.7±0.8	11.13±0.35	19.2±0.3	12	6.9±0.4
146613	ROSL-05 (PB2)	116	9	34.6±1.6	40.4±1.7	480±20	2.79±0.15	35±2	17	12.47±1.06	19.0±0.3	33.7±0.4	12	10.8±0.6

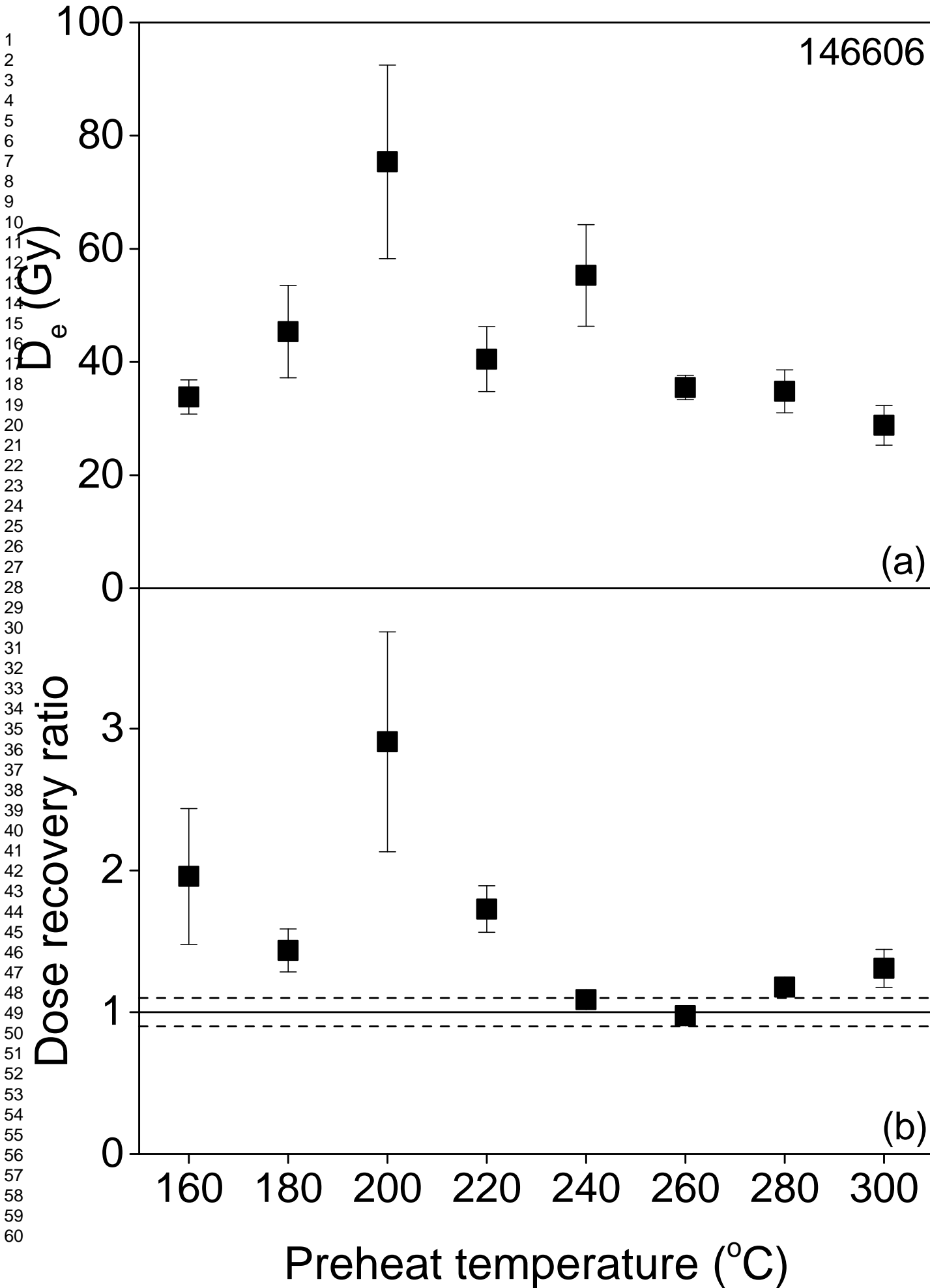
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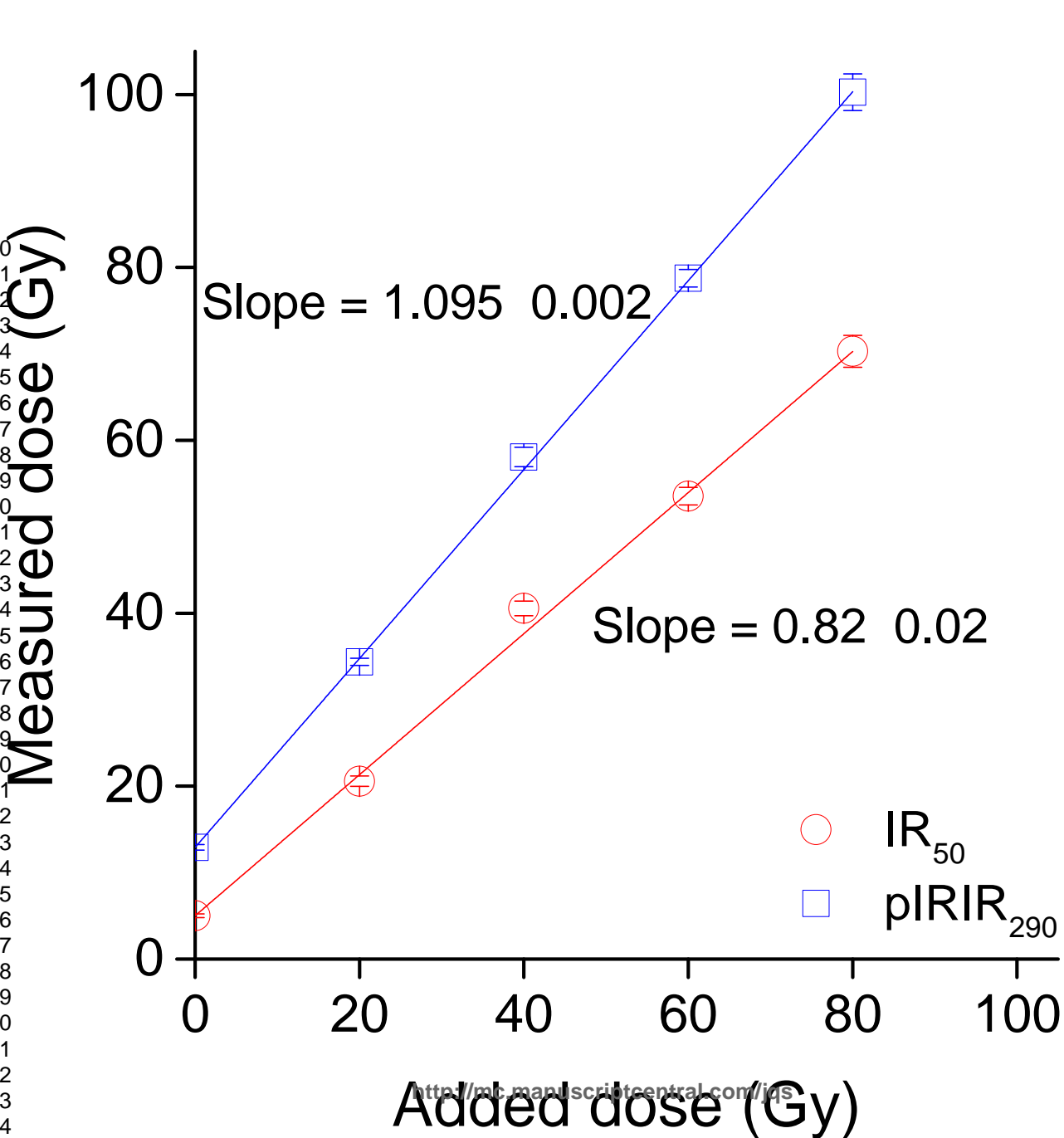
Step	Quartz		K-feldspar	
	Treatment	Observed	Treatment	Observed
1	Dose		Dose	
2	Preheat (260°C for 10 s)		Preheat (320°C for 60 s)	
3	Blue stimulation (125°C for 40 s)	L_x	Infrared stimulation (50°C for 100 s)	$L_{x, IR50}$
4	-----		Infrared stimulation (290°C for 100 s)	$L_{x, pIRIR290}$
5	Test dose		Test dose	
6	Cut heat (240°C)		Preheat (320°C for 60 s)	
7	Blue stimulation (125°C for 40 s)	T_x	Infrared stimulation (50°C for 100 s)	$T_{x, IR50}$
8	-----		Infrared stimulation (290°C for 100 s)	$T_{x, pIRIR290}$
9	Blue stimulation (280°C for 40 s)		Infrared stimulation (325°C for 100 s)	
10	Return to 1		Return to 1	

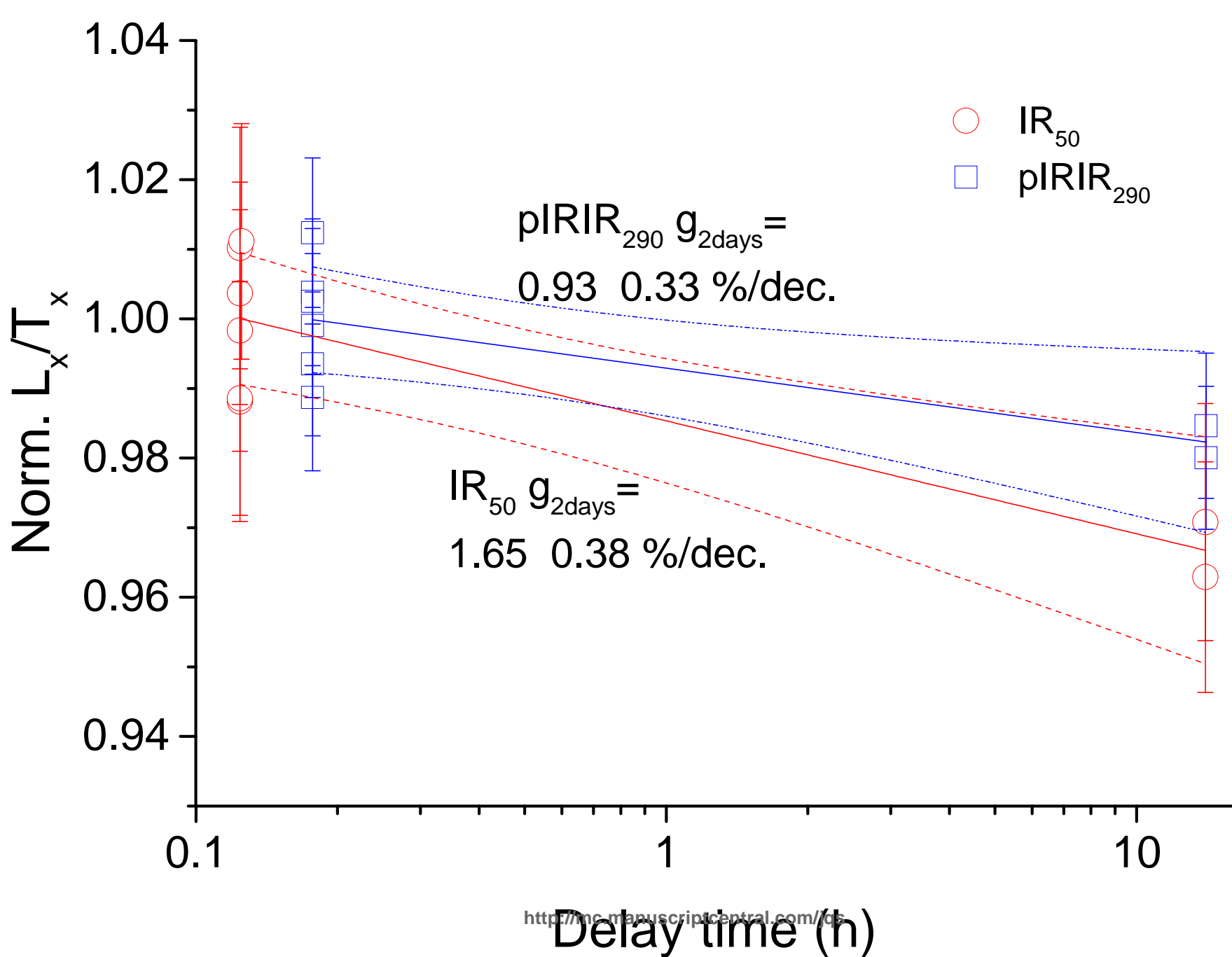
Table 2

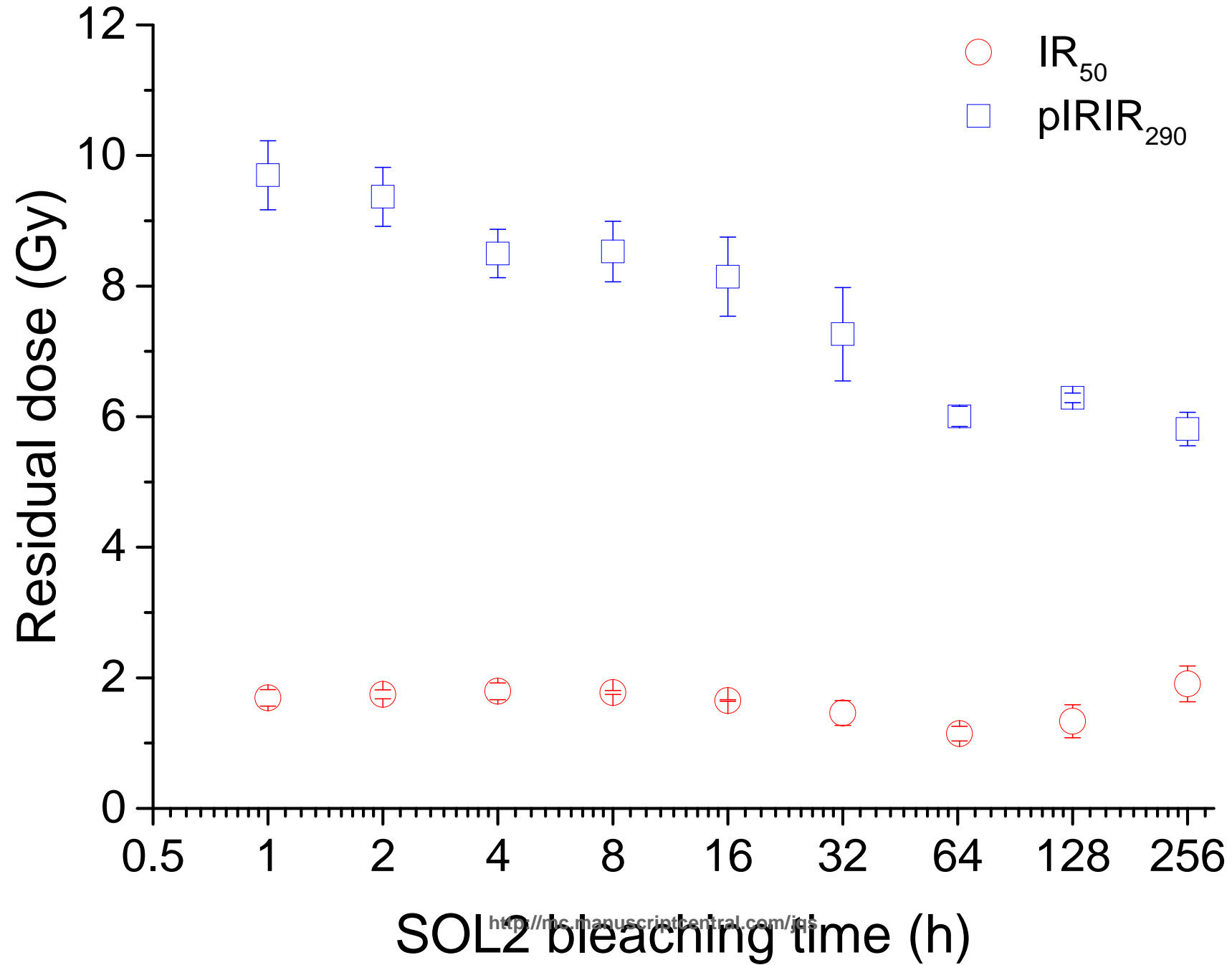


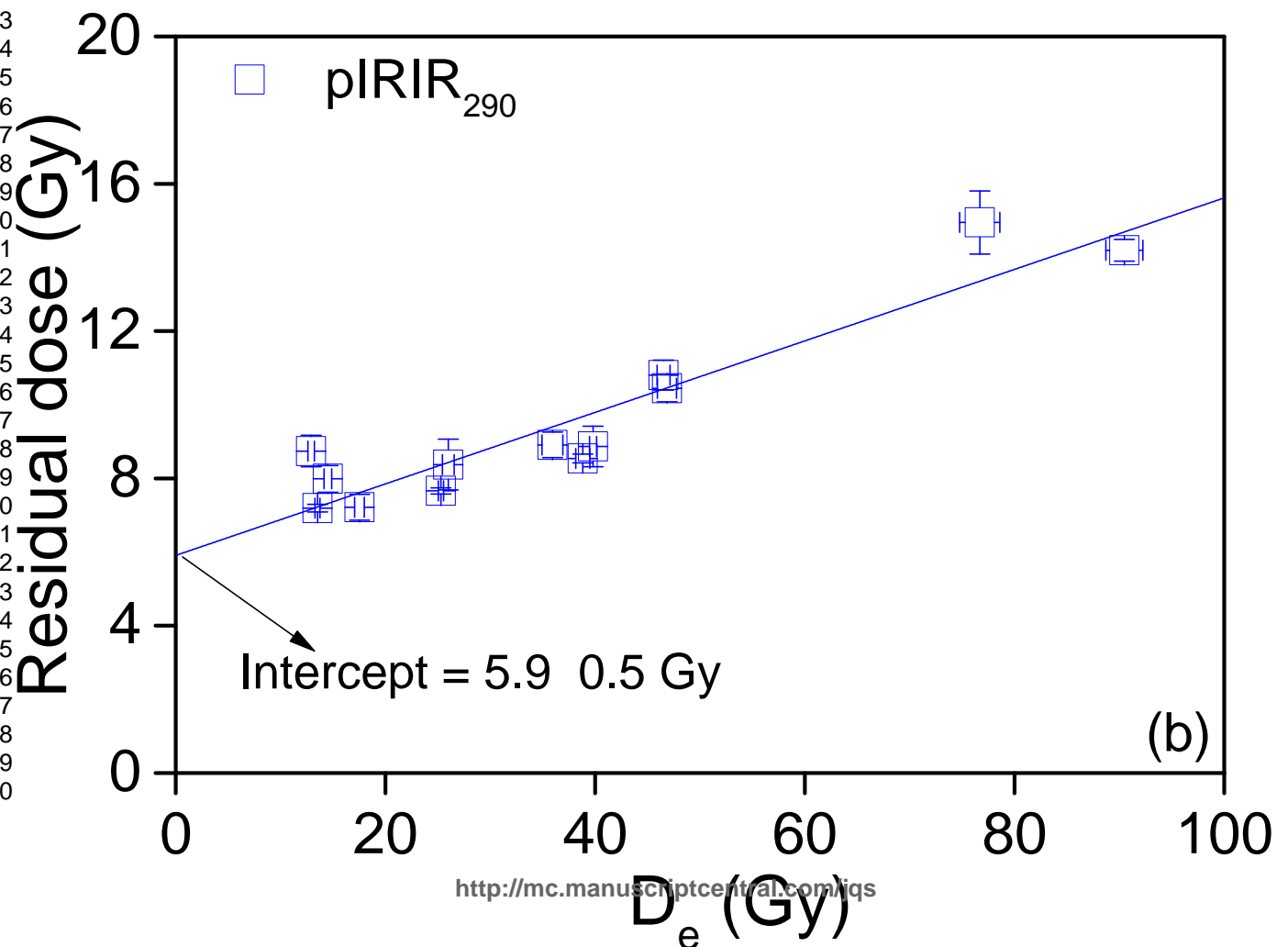
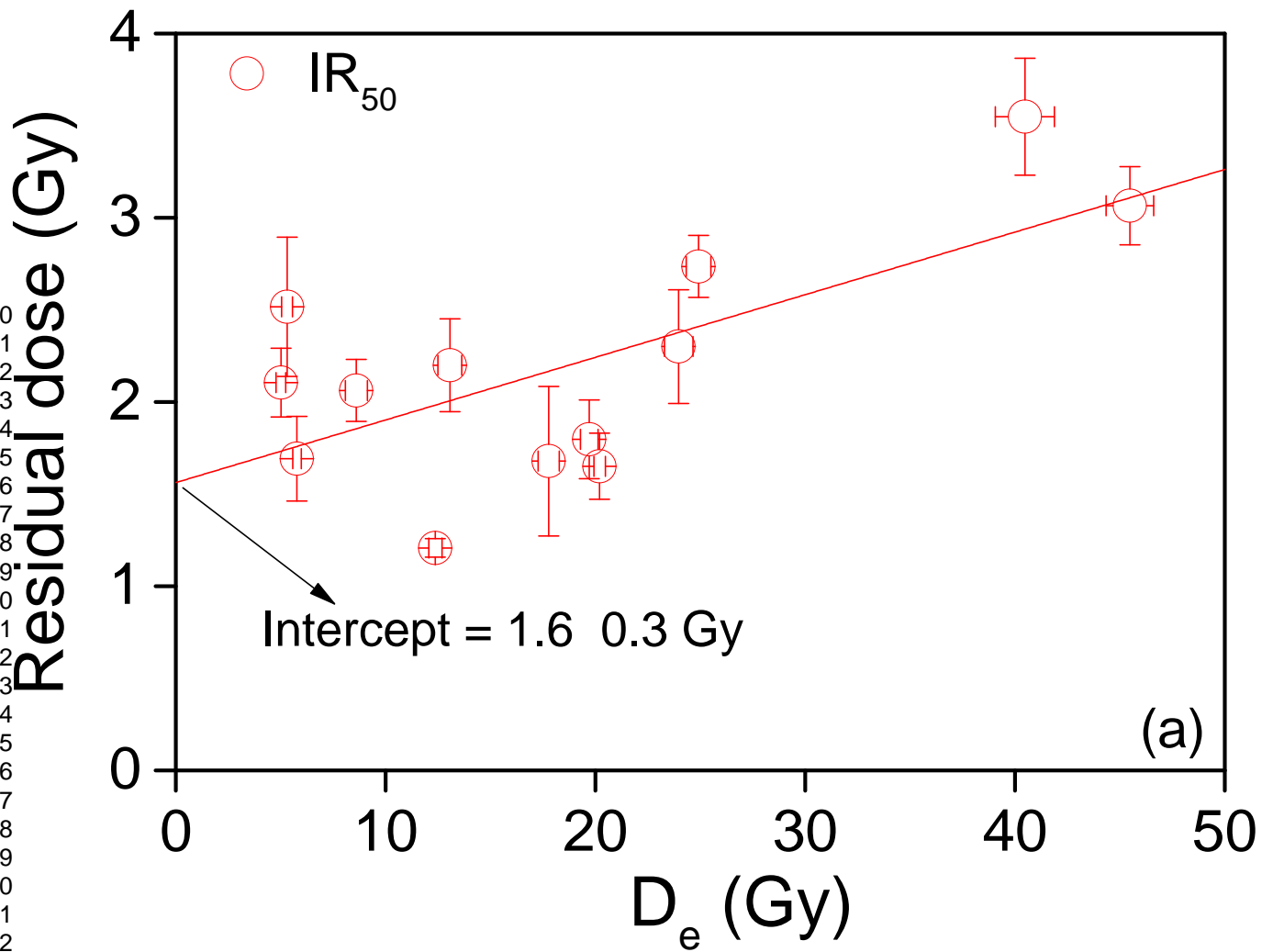




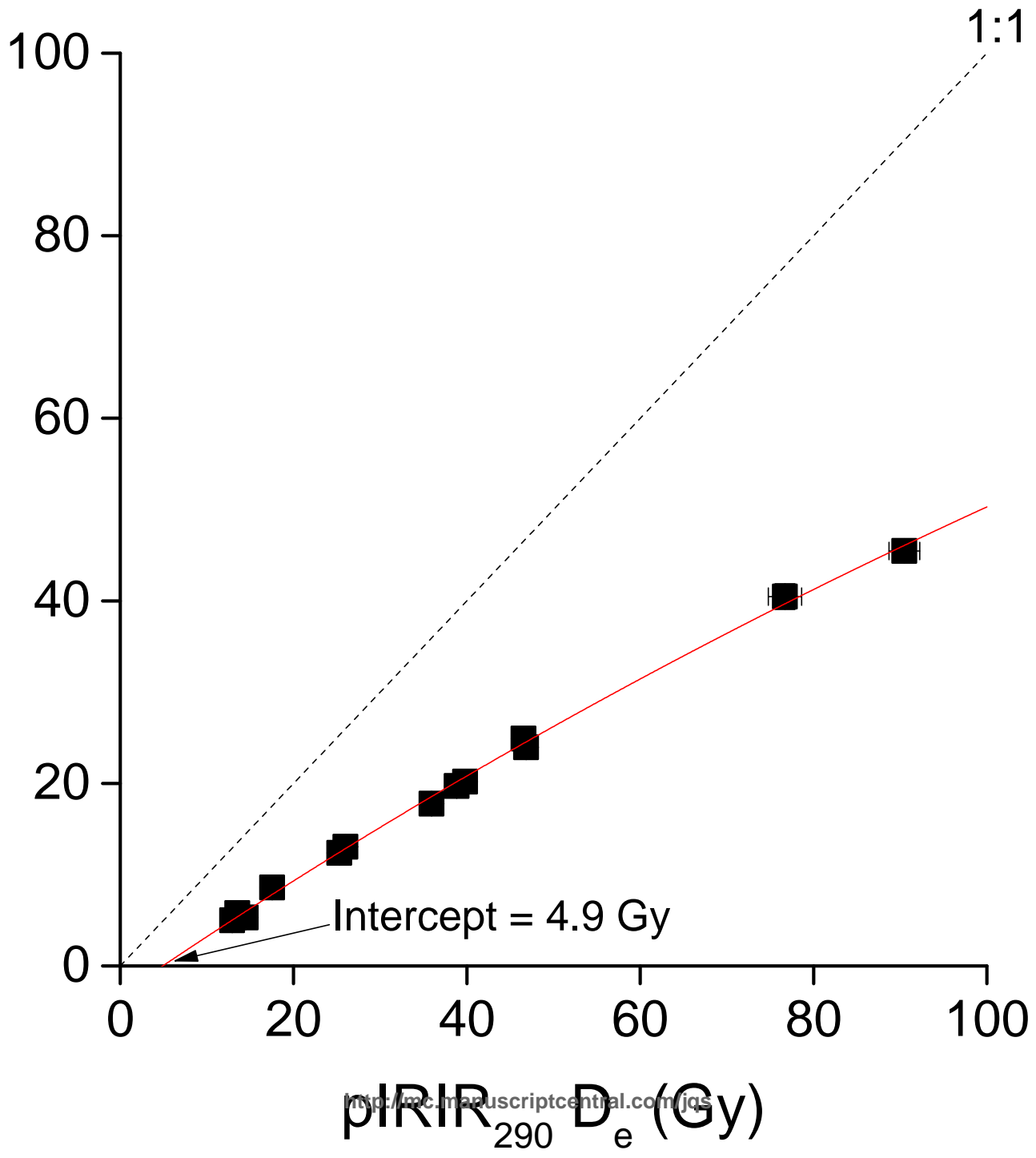






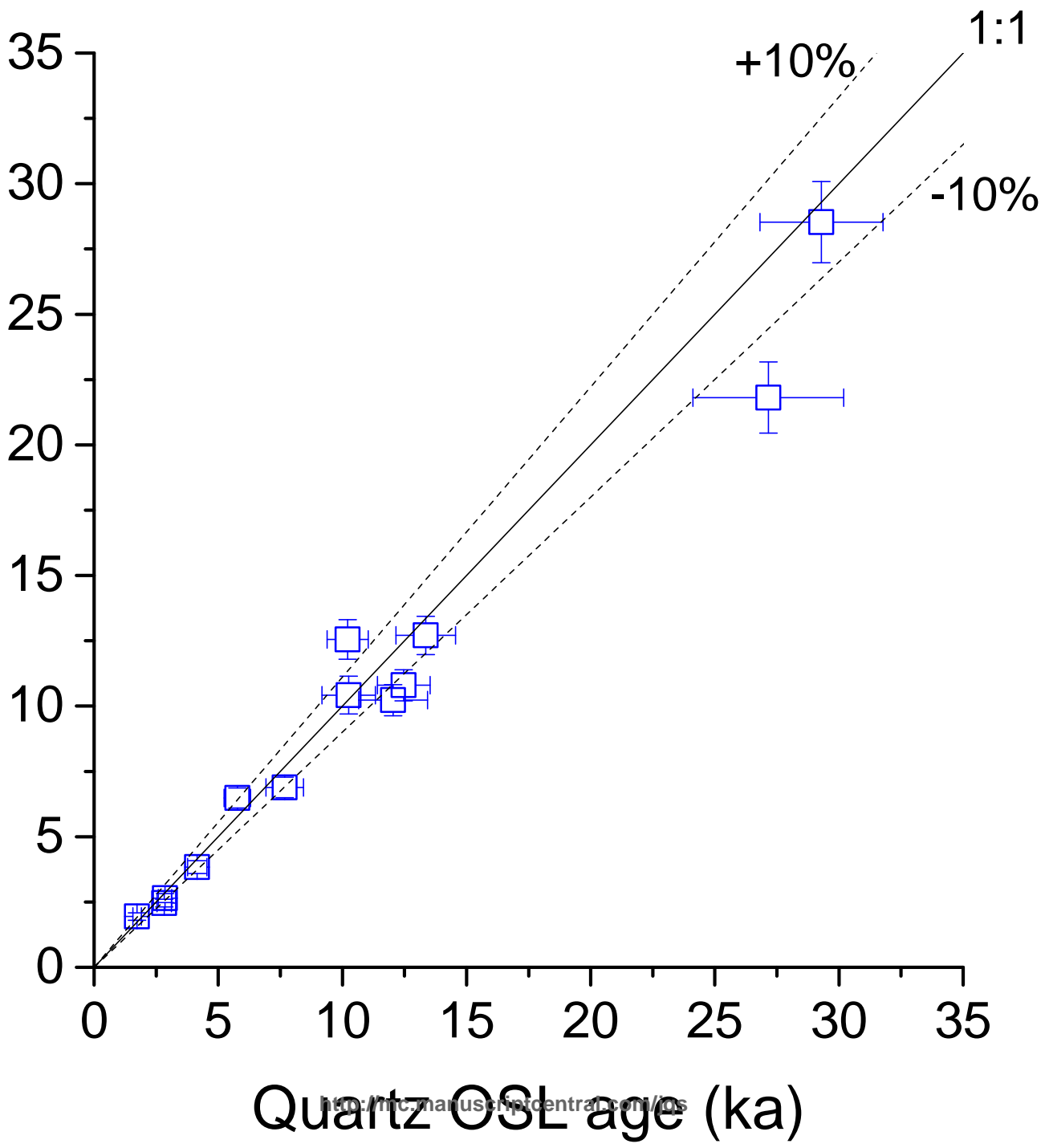


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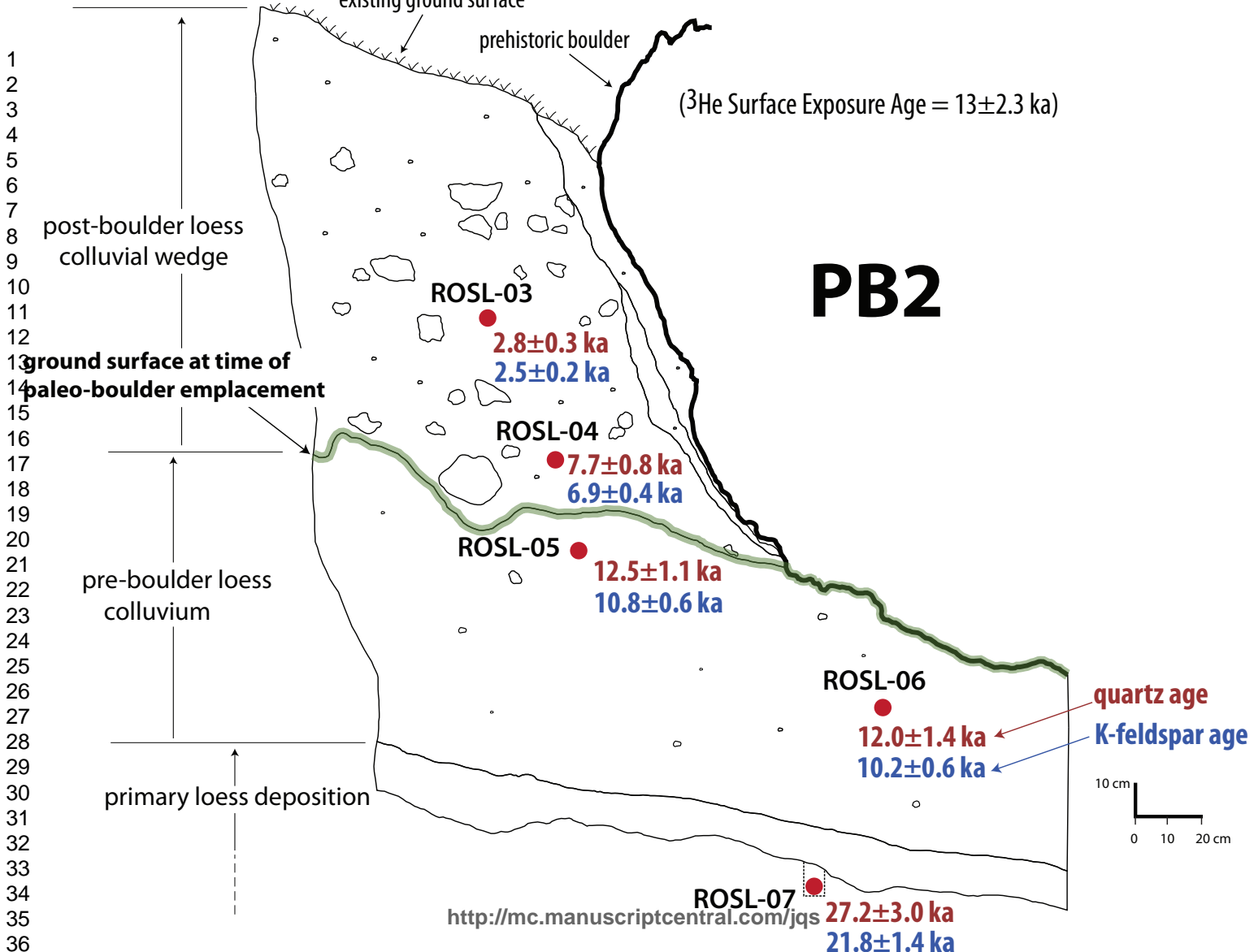
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K-feldspar pIR₂₉₀ age (ka)



Quartz OSL age (ka)

<http://mc.manuscriptcentral.com/jqs>



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Quartz OSL and CN Surface Exposure Ages

