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Published in: Applied Surface Science

Link to article, DOI: 10.1016/j.apsusc.2016.07.105

Publication date: 2016

Document Version Peer reviewed version

Link back to DTU Orbit

Citation (APA):

Wang, X., Liu, Y., Arandiyan, H., Yang, H., Bai, L., Mujtaba, J., ... Sun, H. (2016). Uniform Fe3O4 microflowers hierarchical structures assembled with porous nanoplates as superior anode materials for lithium-ion batteries. Applied Surface Science, 389, 240-246. DOI: 10.1016/j.apsusc.2016.07.105

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$\label{thm:continuous} Uniform \ Fe_3O_4 \ microflowers \ hierarchical \ structures \ assembled \ with \ porous$ $nanoplates \ as \ superior \ anode \ materials \ for \ lithium-ion \ batteries$

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ABSTRACT

Uniform Fe₃O₄ microflowers assembled with porous nanoplates were successfully synthesized by

a solvothermal method and subsequent annealing process. The structural and compositional

analysis of the Fe₃O₄ microflowers were studied by X-ray diffraction (XRD), scanning electron

microscopy (SEM), transmission electron microscopy (TEM), and X-ray photoelectron

spectroscopy (XPS). The Bruauer-Emmett-Teller (BET) specific surface area was calculated by

the nitrogen isotherm curve and pore size distribution of Fe₃O₄ microflowers was determined by

the Barret-Joyner-Halenda (BJH) method. When evaluated as anode material for lithium-ion

batteries, the as-prepared Fe₃O₄ microflowers electrodes delivered superior capacity, better cycling

stability and rate capability than that of Fe₃O₄ microspheres electrodes. The improved

electrochemical performance was attributed to the microscale flowerlike architecture and the

porous sheet structural nature.

Keywords: Fe₃O₄; anode; microflowers; nanoplates; lithium-ion batteries

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1. Introduction

Rechargeable lithium-ion batteries (LIBs), which have been widely used in flexible electronics, mobile phones, and laptop computers, are one of the great successes of modern materials electrochemistry [1, 2]. Recently, high-performance LIBs with higher capacity, longer cycle life, and better rate capability have attracted considerable responsiveness for the use in electric vehicle market and as energy source of large industrial equipment *etc* [3, 4]. Developing advanced electrode materials is a key element to achieve the required performance and capacity of LIBs for the above important applications, since the overall performance of LIBs is highly dependent on the inherent electrochmical properties of the electrode materials [5, 6]. Therefore, considerable attention has been paid to develop novel materials for both the cathodes and anodes of LIBs which are inexpensive, safe and environmentally benign. So far, graphitic/non-graphitic carbon [7, 8], transition-metal oxides [9, 10], nitrides [11, 12], alloys [13-15] and their composites have been exploited as the anode materials of LIBs. In this context, transition metal oxides have received special intersest since they can exhibit about three times higher capacity than the commercial used graphite with a capacity of 372 mAh g⁻¹.

As a typical transition metal oxide, Fe_3O_4 has gained considerable attention for future LIB anodes due to its natural abundance, low cost, environmental benignity, high electronic conductivity as well as high theoretical capacity (926 mAh g⁻¹) [16] according to the electrochemical conversion reaction $Fe_3O_4 + 8Li^+ + 8e^- \leftrightarrow 3Fe + 4Li_2O$ [17]. Thermodynamically, the formation of electrochemically inactive Li_2O is favorable during the first discharge process. However, the extraction of Li^+ ion from Li_2O in the charge process is difficult, making the conversion reaction is irreversible to some extent [18-20]. Moreover, the weakness of Fe_3O_4 as

anode materials also lies in the large volume expansion and severe particle aggregation during the charging and discharging process, resulting in the deterioration of reversible capacity and poor cycling stability [21, 22]. The results are common for transition metal oxide anode materials and are one of the major obstacles for this new category of materials to be commercialized.

Design and synthesis of electrode materials with reasonable composition, morphology, and microstructure is reliable to overcome the above limitations and improve the LIB performance. For example, Pan et al reported a hybridization strategy for the co-assembly of Fe₃O₄ nanoparticles and TiO₂ nanorods on pristine graphene nanosheets hierarchical nanostructures [23]. The ternary heterostructures delivered superior reversible capacities and rate capabilities. Chen et al synthesized porous hollow Fe₃O₄ beads constructed with nanorods through solvothermal route [20]. The products showed a reversible specific capacity of 500 mAhg⁻¹ after 50 cycles at a current density of 100 mAg⁻¹. Wang and co-workers studied the effect of Co-doping on the electrochemical performance, and found that the solid solution Co_xFe_{3-x}O showed excellent cycle stability and rate performance [24]. Coating the electrochemically active materials with an electronically conductive agents layer, which modify the chemistry at the electrode/electrolyte interface, is considered as another effective approach to improve the cycling stability and rate capability [25-28]. Such coating not only facilitates the formation of a stable solid electrolyte interphase (SEI) layer on the electrode surface, but also enhances the electrode kinetics through conductivity improvements [29]. However, the addition of conductive agents unavoidably decreases the effective use of active materials. On the other hand, various in-situ techniques (such as *in-situ* x-ray diffraction/tomography [30] and *in-situ* transmission electron microscopy [31, 32]) are employed to investigate the complex phase transition, structural evolution and fundamental

mechanisms of electrode materials during the electrochemical reaction.

Complex hierarchical architectures assembled by low-dimensional nano building blocks possess enhanced LIB performance [33, 34]. We have reported a facile synthesis of hierarchical porous Co₃O₄ nanostructures with morphologies including hierarchical nanoflowers and hyperbranched nano bundles, which were all built up by numerous nanoparticles with random attachment [35]. When evaluated as anode materials for LIBs, the nanoflowers showed higher capacity and better rate capability. Wang *et al* developed a simple ethylenediamine-mediated solvothermal followed by decomposition at high temperature to synthesis Fe₃O₄ hollow microspheres, which were assembled by nanoplate building blocks [18]. The electrochemical characterization showed significantly improved lithium-storage capabilities with a very high reversible capacity of 580 mAhg⁻¹ at a current density of 200 mAg⁻¹ after 100 cycles.

In this work, we report a facile solvothermal and subsequent annealing method for the construction of uniform Fe₃O₄ microflowers. Microstructure characterizations showed that the microflowers were assembled by porous nanoplates as nano building blocks. The unique hierarchical nanostructures would enhance their physical/chemical properties while inheriting merits of the primary subunits. For example, the porous nanoplates can ensure short transport length for Li⁺ ions and electrons, the microscale flower architecture is favored for preventing the aggregation of the nano/microcrystals, and the porous Fe₃O₄ microflowers can provide extra active sites for the storage of ions. When evaluated as anode materials for LIBs, the Fe₃O₄ microflowers exhibited high reversible capacity and excellent cycling performance. It is also anticipated that the present Fe₃O₄ hierarchical architectures will find potential applications in other energy storage system when considering the similar microstructure design principles with LIBs.

2. Experimental

2.1. Materials synthesis

All of the chemical reagents were of analytical grade and used as received without further purification. For the synthesis of the Fe_3O_4 microflowers assembled with porous nanoplates, anhydrous ferric chloride ($FeCl_3$, 10 mmol) was dissolved in ethylene glycol (80 ml) to form a clear solution, followed by the addition of urea (80 mmol). The mixture was stirred vigorously for 30 min until it became homogeneous and then sealed in a 100 ml Teflon-lined stainless-steel autoclave at 200 °C for 4 h. After cooling to room temperature naturally, a green precursor was obtained by centrifuging and sequentially rinsing with water and ethanol for several times, and then dried in a vacuum oven at 60 °C overnight. The final products were obtained by heating the precursor powder in N_2 atmosphere to 400 °C at a rate of 8 °C min⁻¹ and maintaining at this temperature for 1.5 h.

2.2. Structural characterization

The morphology, composition and crystal structure of the products were examined by employing field-emission scanning electron microscope (FESEM; Zeiss, MERLIN, 5 kV), transmission electron microscope equipped with an energy dispersive X-ray (EDX) system (TEM; JEOL, JEM-2100, 200 kV; FEI, Tecnai G^2 20, 200 kV), X-ray photoelectron spectroscopy (XPS, Escalab 250, Al K α), and Raman spectrometer (Renishaw, UK, 633 nm excitation). Crystallographic information for the samples was collected using a Bruker Model D8 Advance powder X-ray diffractometer (XRD) Cu K_{α} irradiation (λ = 1.5418 Å). The Bruauer-Emmett-Teller (BET) surface area of the powders was analyzed by nitrogen adsorption-desorption isotherm at 77 K in a Micromeritics ASAP 2010 system. The sample was degassed at 180 °C before nitrogen

adsorption measurements. The surface area was determined by a multipoint BET method. A desorption isotherm was used to determine the pore size distribution via the Barret-Joyner-Halender (BJH) method, assuming a cylindrical pore model. The nitrogen adsorption volume at the relative pressure (P/P_0) of 0.994 was used to determine the pore volume and average pore size. In order to study the morphology and structure of the Fe₃O₄ microflowers after cycling test, the coin cells were disassembled in Argon atmosphere, and then the electrodes were gently rinsed with anhydrous di-methyl carbonate (DMC) to remove residual carbonate solvents and LiPF₆ salt several times, followed by vacuum drying overnight at room temperature.

2.3. Electrochemical Measurements

To measure the electrochemical performance, electrodes were constructed by mixing the active materials, conductive carbon black and carboxymethyl cellulose, in a weight ratio of 80:10:10. The mixture was prepared as slurry and spread onto copper foil (the typical loading of the active material is in the range of 3.2-4.5 mgcm⁻²). The electrode was dried under vacuum at 120 °C for 5 h to remove the solvent before pressing. Then the electrodes were cut into disks (12 mm in diameter) and dried at 100 °C for 24 h in vacuum. The cells were assembled inside an Ar-filled glove box by using a lithium metal foil as the counter electrode and the reference electrode and microporous polypropylene as the separator. The electrolyte used was 1M LiPF₆ dissolved in a mixture of ethylene carbonate (EC), propylene carbonate (PC), and diethyl carbonate (DEC) with a volume ratio of EC/PC/DEC = 3:1:1. Assembled cells were allowed to soak overnight, and then electrochemical tests on a LAND battery test unit were performed. Galvanostatic charge and discharge of the assembled cells were performed at a current density of 100 mAg⁻¹ between voltage limits of 0.05 and 3 V (vs. Li⁺/Li) for 50 cycles. For the high rate tests,

the discharge current gradually increased from 50 mAg⁻¹ to 100, 500 and 1000 mAg⁻¹, and then decreased to 50 mAg⁻¹, step by step. All the charge/discharge tests were performed symmetrically at room temperature. The cyclic voltammogram (CV) was performed using a CHI 660D electrochemical workstation (Chenhua Instrument, Shanghai). CV curves were recorded between 0.05 and 3.00 V (vs. Li⁺/Li) at a scan rate of 0.5 mV s⁻¹. Electrochemical impedance spectroscopy (EIS, IM6, Zahner) was carried out by applying an AC voltage of 5 mV over a frequency range of 100 kHz to 0.01 Hz.

3. Results and discussion

The crystallographic structure and phase purity of the as prepared products were characterized by using XRD analysis. Fig. 1 shows a typical XRD pattern of the sample synthesized by solvothermal reaction and subsequent annealing process. All the diffraction peaks can be assigned to (220), (311), (400), (422), (511), (440), and (533) planes of Fe₃O₄ with inverse spinel structure (JCPDS No. 19-0629, a= 8.396 Å). No other diffraction peaks from possible impurities are observed, indicating the high phase purity of the products. Moreover, the relatively high peak intensities imply that the products are highly crystalline. The average crystallite sizes (d) of Fe₃O₄ phase can be calculated from the physical breadths of the corresponding diffraction peak as expressed by the Scherrer formula: $d = 0.89\lambda/\beta\cos\theta$, where λ is the X-ray wavelength, β is the full width at half maximum of the diffraction peak, and θ is the diffraction angle [36]. Here, the crystallite size was calculated using the (311) peak. The obtained crystallite sizes is about 9.6 nm, this will be further confirmed by FESEM and TEM observations as discussed later.

Fig. 2a illustrates a typical low-magnification FESEM image of the final product which consists of microspheres uniformly distributed on the substrate. The diameter of the microsphere

ranges from ~3 to ~6 μm. Higher magnification images (Fig. 2b, c) indicate that the microspheres present flower-like morphology characteristic, which is in agreement with that of the precursor (Fig. S1). The microflowers consist of two dimensional nanoplates with an average thickness ~60 nm. In addition, the coarse surface of the nanoplates suggests a highly porous texture (Fig. 2d).

More structural details of the Fe₃O₄ microflowers were studied by employing TEM and HRTEM characterizations. Fig. 3a-e show typical TEM images of Fe₃O₄ microflowers with different magnifications. The building blocks of the hierarchical microflowers are highly porous nanoplates, which are consistent with the FESEM observations. We also find that the porous nanoplates consist of interconnected nanoparticles with average size of ~10 nm (Fig. 3d, e). EDX result (Fig. S2) shows that the microflowers are composed of Fe and O, and the atomic ratio is ~3:4 for element Fe to element O, also confirming the formation of Fe₃O₄. Corresponding EDX elemental mapping indicates the uniform distribution of Fe and O across the porous nanoplates (Fig. S3). The selected area electron diffraction (SAED) pattern indicates that the as obtained Fe₃O₄ microflowers are polycrystal in nature as shown in the inset of Fig. 3d (see also the enlarged view shown in Fig. S4). Fig. 3f shows a typical HRTEM image of a single Fe₃O₄ nanoplate from the microflowers. The lattice spacings of $d \sim 3.01$ Å and ~ 4.88 Å are determined, which correspond to the (220) and (111) planes of cubic Fe₃O₄, respectively. The measured angle between the (220) and (111) planes is 90°, in agreement with the theoretical value. All results clearly demonstrate that we have succeeded in obtaining Fe₃O₄ microflowers assembled with mesoporous nanoplates. To investigate the formation process of the Fe₃O₄ microflowers, a series of time-dependent morphological evolution experiments for the precursors and annealed products were performed as shown in Fig. S5. For the precursors, at the early stage of the reaction (15 min), the sample was composed of ~100 nm particles and nanoplates with smooth surface (Fig. S5 top, a and g). After 30 min reaction, the smooth nanoplates assembled into a multilayer and network structure, resulting in the formation of the hierarchical microflowers (Fig. S5 top, b and h). At the same time, the amount of the nanoparticles decreased. As the reaction time was prolonged to 1 h, the sample was composed entirely of the microflowers and no nanoparticles remained (Fig. S5 top, c and i). Further increasing the reaction time to 2 h, 3 h, and 4 h, the flowerlike character of the precursors remained nearly unchanged. Subsequent annealing did not change the morphology very much except that the nanoplates were coarse and porous (Fig. S5 bottom). Based on the observations described above, a possible formation mechanism of the microflowers is proposed. When aqueous solution containing Fe³⁺ is mixed with ethylene glycol and urea, the initial burst nucleation occurs, which leads to the aggregation of the supersaturated nuclei and formation of nanoparticles. Those particles then assemble into smooth nanoplates due to Ostwald ripening [37]. Meanwhile, the nanoplates crosslinked together in order to decrease the system energy, and the microflowers are formed consequently. Similar hierarchical flowerlike nanostructures were also reported elsewhere [38].

The surface elemental composition and oxidation state of the microflowers were analyzed by using XPS in the region of 0-1350 eV. The survey spectrum (Fig. 4a) indicates that the sample contains Fe and O. Fig. 4b shows the high-resolution XPS spectra of Fe 2p region, which can be fitted with two spin-orbit doublets and a shakeup satellite. The doubles are characteristic of the peaks of Fe²⁺ and Fe³⁺. The results are consistent with the reported values of Fe₃O₄ [23, 39, 40]. As shown in Fig. 4c, the O 1s spectrum could be deconvoluted into two peaks at 530.27 and 531.55 eV. The peak at 530.27 eV is a typical state of Fe-O species corresponding to Fe₃O₄, while

the other peak could be attributed to the presence of residual oxygen-containing groups (such as O-H) in the sample [41]. The Raman bands ranged from 100 to 800 cm⁻¹ can be assigned to the vibration modes of ferrites (Fig. S6) [42]. The results also confirm the formation of Fe₃O₄ phase. The pore structure information, including specific surface area and the porous feature, of the Fe₃O₄ microflowers were studied by measuring nitrogen adsorption-desorption isotherms at 77 K (Fig. 4d). The BET specific surface area is as high as 114.9 m²g⁻¹. In addition, the narrow mesopore size distribution based on the BJH method of the sample is further confirmed by the corresponding pore size distribution curve (the inset in Fig. 4d). The large surface area and narrow pore size distribution of the sample are due to the porous nature of the assembled nanoplates and the amount of void spaces between the interconnected nanoparticles within each plate. For comparison, the microstructure (Figs. S7, 8) and pore structures (Fig. S9) of Fe₃O₄ microspheres are also tested. The BET specific surface area is 86.6 m²g⁻¹, which is smaller than that of microflowers. Pore size distribution result implies the existence of mesopore in the microspheres. The microstructure characteristics of the yielded Fe₃O₄ microflowers are favorable for using as anode materials in LIBs due to the capability of providing extra active sites for the storage of lithium ions and facilitating mass diffusion and ion transport. The synthesized sample is therefore anticipated to show good lithium-storage properties.

We subsequently investigate the electrochemical lithium-storage properties of the as-prepared Fe₃O₄ microflowers. The electrochemical behavior of the assembled cells was first investigated by CV experiments between 0.05 and 3 V (vs. Li⁺/Li) at a scan rate of 0.5 mVs⁻¹, using the Fe₃O₄ microflowers as the working electrode and a lithium sheet as both counter electrode and reference electrode. Fig. 5a shows the CV curves of Fe₃O₄ microflowers for the first three cycles. In the first

cycle, the cathodic peak at 1.01 V corresponds to the forming Li_xFe₃O₄, while the peak at 0.65 V is due to the formation of SEI film and Li₂O. The anodic peaks at 1.67 V and 1.94 V are attributed to the reversible oxidation from Fe⁰ to Fe³⁺. The redox peaks in the CV curves are in good agreement with the previous reports [40]. In the subsequent cycles, the CV curves overlap very well, indicating high electrochemical reversibility.

The charge-discharge voltage profiles of the Fe₃O₄ microflowers for the first three cycles at a current rate of 100 mAg⁻¹ is shown in Fig. 5b. In the first discharge curve, there is a dominant potential plateau (~0.75 V) and a following slope, which represents lithium ion insertion process, and the formation of SEI film, respectively. The initial charge and discharge capacities of Fe₃O₄ microflowers are 1084.1 and 1365.4 mAhg⁻¹, yielding the Coulombic efficiency values (the ratio of charge capacity to discharge capacity) of 79.4%. Such initial irreversible capacity loss should mainly originate the formation of SEI layer due to the irreversible degradation of electrolyte and other secondary reactions, which is common for transition metal compound anode materials [18, 43-45]. In the second and third cycles the Fe₃O₄ microflowers electrode presents much better electrochemical reversibility. Fig. 5c shows the charge-discharge cycling performance together with the Coulombic efficiency at a current density of 100 mAg⁻¹. From the second cycle onwards, the as-prepared Fe₃O₄ microflowers exhibit excellent cyclic capacity retention with a stable capacity ~1080.9 mAhg⁻¹. At the end of 50 charge-discharge cycles, a reversible capacity ~1000.3 mAhg⁻¹ can still be retained. In contrast, the reversible capacity of Fe₃O₄ microspheres cell decreases to ~305.3 mAhg⁻¹ after 50 cycles of operation. Fig. 5d shows the electrochemical performance of Fe₃O₄ microflowers and microspheres at various rates between 50 and 1000 mAg⁻¹. The reversible capacity of the Fe₃O₄ microflowers cell varies from 1300 mAhg⁻¹ to 840 mAhg⁻¹ at

current rates of 50 mAg⁻¹ and 1000 mAg⁻¹, respectively. Nevertheless, the reversible capacity of the Fe₃O₄ microspheres cell rapidly drops from 1028 to 286 mAhg⁻¹. Fe₃O₄ microflowers cell recovers its original capacity (~1285 mAhg⁻¹ for the 50th cycle) when the rate return to the initial 50 mAg⁻¹. These results demonstrate that the Fe₃O₄ microflowers electrode possesses good electrochemical reversibility. The transfer behavior of lithium ions in Fe₃O₄ microflowers and microspheres electrode was analyzed based on EIS spectra (Fig. S10). The Nyquist plots in the frequency range from 100 kHz to 0.01 Hz consist of a slope at the low frequencies connected to a depressed semicircle at the medium to high frequencies, representing the lithium diffusion process inside the electrode material, and the charge-transfer resistance (Rct) on electrolyte and the electrode interface, respectively [46-48]. The diameter of the Fe₃O₄ microflowers is found to be much smaller than that of Fe₃O₄ microspheres, revealing that the R_{ct} value is smaller for Fe₃O₄ microflowers [46-48]. The mesoporous structure on the Fe₃O₄ microflowers, which can reduce the effective distance for lithium ions and electrons transport, is responsible for decreasing the R_{ct} value and thus benefiting the diffusion kinetics upon cycling. The morphology and structure of the Fe₃O₄ microflowers after rate capability test (50 cycles) were characterized by SEM and TEM observations (Fig. S11). It can be seen that the sample still maintains the initial flower-like morphology with porous nanoplates as the nano building blocks. The results reveal the good structural and morphological stabilities of Fe₃O₄ microflowers during charge/discharge cycling. In addition, the lithium storage properties of Fe₃O₄ microflower electrodes outperform or can compare with other Fe₃O₄ nanoparticles, hierarchical structures and even some Fe₃O₄/C nanocomposites reported elsewhere (Table S1). Although for some cases, such as yolk-shelled Fe₃O₄@carbon [49] and Fe₃O₄/graphene sheet composite [40], the current Fe₃O₄ microflower results are not very satisfactory. It would be anticipated that better LIB performance can be obtained when combining the present flowerlike architectures with other modification techniques (compositing, doping, *etc*).

The above electrochemical measurements indicate the good lithium-storage properties of the Fe₃O₄ microflowers in terms of cycling stability, specific capacity, and rate capability, which can be attributed to the following reasons. (1) The microscale flower architecture assembled with porous nanoplates is favored for preventing the aggregation of the nano/microcrystals, which is of importance for the cycling stability. Moreover, the porous nanoplates can accommodate the local volume change during charge/discharge cyclings and are able to alleviate the problem of pulverization and aggregation of the electrode material, which are also favorable for improving the cycling performance. (2) The porous Fe₃O₄ microflowers can provide extra active sites for the storage of lithium ions, which is beneficial for increasing the specific capacity. (3) The porous Fe₃O₄ microflowers can reduce the effective distance for lithium ions and electrons transport, and mass diffusion, resulting in good rate capabilities.

4. Conclusion

In summary, uniform Fe₃O₄ microflowers assembled by porous nanoplates were successfully synthesized by a facile solvothermal and subsequent annealing method. When used as the anode materials for LIB, the as-prepared sample delivered good lithium storage properties in terms of cycling stability, specific capacity, and rate capability. Specifically, the Fe₃O₄ microflowers showed a high reversible capacity of ~1000 mAhg⁻¹ after 50 cycles at a current density of 100 mAg⁻¹. A capacity of 840 mAhg⁻¹ was still achieved at 1000 mAg⁻¹. It is believed that the superior electrochemical performance mainly attribute to the microscale flower architecture and the porous

sheet structural nature. The present route to porous Fe₃O₄ microflowers can also be extended to synthesis other metal oxides with similar morphology and enhanced functions.

Acknowledgments

The authors would like to appreciate the financial supports from Chinese National Natural Science Foundation (51401114, 51571054) and China Postdoctoral Science Foundation (2013M542528). This work made use of the resources of the Beijing National Center for Electron Microscopy.

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Figure Captions

- Fig. 1. XRD pattern of the as-prepared sample and standard pattern of Fe₃O₄ phase.
- Fig. 2. FESEM images of the Fe₃O₄ microflowers with different magnifications.
- **Fig. 3.** (a-e) TEM images of Fe₃O₄ microflowers, with the inset in (d) showing the SAED pattern.

 (f) is HRTEM image of a single nanoplate from the Fe₃O₄ microflowers.
- Fig. 4. (a) XPS survey spectra of Fe₃O₄ microflowers, (b, c) high-resolution XPS spectra of the Fe

 2p and O 1s regions, respectively, (d) Nitrogen adsorption–desorption isotherms and
 corresponding pore size distribution curve (inset) of the Fe₃O₄ microflowers.
- **Fig. 5.** Electrochemical performance of Fe₃O₄ microflowers: (a) CVs at a scan rate of 0.5 mV s⁻¹ between 0.05 and 3 V, (b) galvanostatic charge/discharge voltage profiles for the first three cycles between 0.05 and 3 V versus Li/Li⁺ at a current density of 100 mAg⁻¹, (c) cycling performance of Fe₃O₄ microflowers (red lines) and Fe₃O₄ microspheres (black lines) electrodes at a constant current rate of 100 mAg⁻¹ between 0.05 and 3 V, and (d) rate capability of Fe₃O₄ microflowers (red lines) and Fe₃O₄ microspheres (black lines) electrodes at various current rates between 50 mAg⁻¹ and 1000 mAg⁻¹.

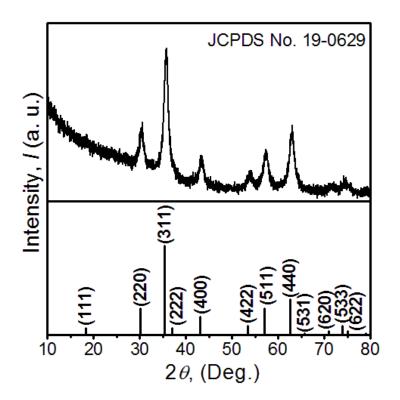


Fig. 1.

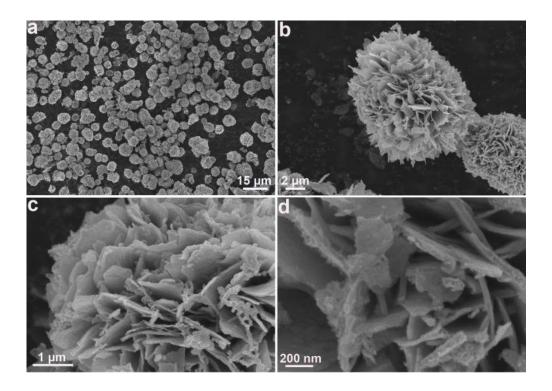


Fig. 2.

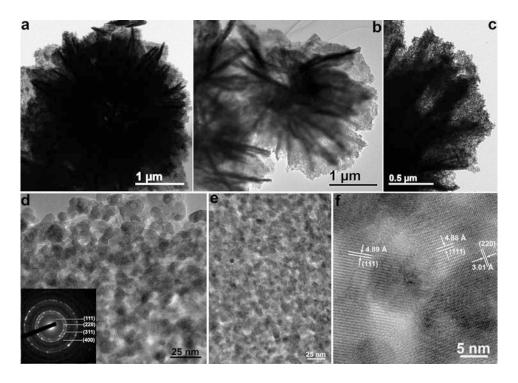


Fig. 3.

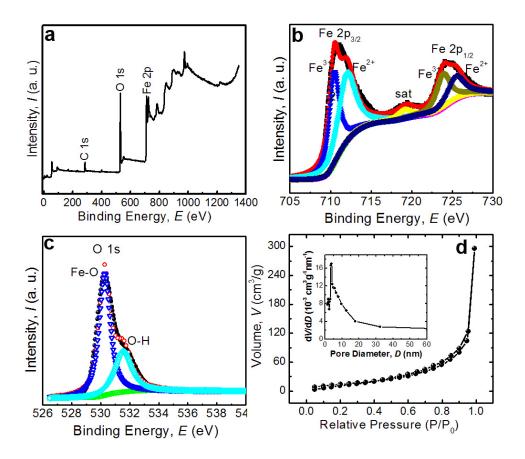


Fig. 4.

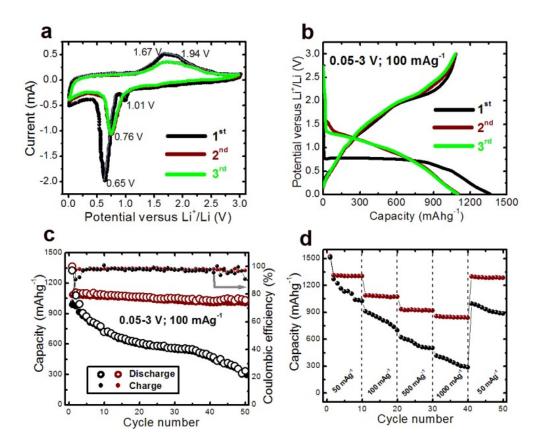


Fig. 5.