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*Published in:*  
Journal of Graph Theory

*Link to article, DOI:*  
[10.1002/jgt.22026](https://doi.org/10.1002/jgt.22026)

*Publication date:*  
2017

*Document Version*  
Peer reviewed version

[Link back to DTU Orbit](#)

*Citation (APA):*  
Ok, S., & Thomassen, C. (2017). On the Minimum Number of Spanning Trees in  $k$ -Edge-Connected Graphs. *Journal of Graph Theory*, 84(3), 286–296. DOI: 10.1002/jgt.22026

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# ON THE MINIMUM NUMBER OF SPANNING TREES IN $k$ -EDGE-CONNECTED GRAPHS

S. OK AND C. THOMASSEN

ABSTRACT. We show that a  $k$ -edge-connected graph on  $n$  vertices has at least  $n(k/2)^{n-1}$  spanning trees. This bound is tight if  $k$  is even and the extremal graph is the  $n$ -cycle with edge-multiplicities  $k/2$ . For  $k$  odd, however, there is a lower bound  $c_k^{n-1}$  where  $c_k > k/2$ . Specifically,  $c_3 > 1.77$  and  $c_5 > 2.75$ . Not surprisingly,  $c_3$  is smaller than the corresponding number for 4-edge-connected graphs. Examples show that  $c_3 \leq \sqrt{2 + \sqrt{3}} \approx 1.93$ .

However, we have no examples of 5-edge-connected graphs with fewer spanning trees than the  $n$ -cycle with all edge-multiplicities (except one) equal to 3, which is almost 6-regular. We have no examples of 5-regular 5-edge-connected graphs with fewer than  $3.09^{n-1}$  spanning trees which is more than the corresponding number for 6-regular 6-edge-connected graphs. The analogous surprising phenomenon occurs for each higher odd edge-connectivity and regularity.

## 1. INTRODUCTION

Every connected graph has a spanning tree, that is, a connected subgraph with no cycles containing all vertices of the graph. The number of spanning trees, denoted  $\tau(G)$ , is of importance in electrical networks, in particular, for expressing driving point resistances (effective resistances); see e.g. [9]. Kostochka [4] showed that, if  $G$  is a connected  $k$ -regular simple graph, then  $k^{(1-O(\log k/k))} \leq \tau(G)^{1/n} \leq k$ . But if we allow multiple edges, there are graphs with far less spanning trees. In this paper, we investigate the minimum number of spanning trees in  $k$ -edge-connected graphs with multiple edges. Since a loop is never contained in a spanning tree, we consider only graphs without loops.

In Section 2 we investigate how  $\tau(G)$  changes when we replace a certain subgraph of  $G$  by another graph. In Section 3 we derive the lower bounds stated in the abstract. Since this bound is not tight for any odd edge-connectivity, we show in Section 4 that  $\tau(G) \geq 1.774^{n-1}$  for every 3-edge-connected graph  $G$  on  $n$  vertices. The proof involves a new recursive description of the 3-connected cubic graphs; they can all be obtained from  $K_4$  or  $K_{3,3}$  by

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*Key words and phrases.* spanning tree, cubic graph, edge-connectivity.

Research supported in part by ERC Advanced Grant GRACOL.

successively adding vertices or blowing vertices up to triangles. In Section 5, we consider the class of 5-regular 5-edge-connected graphs. Section 6 presents a class of  $k$ -regular  $k$ -edge-connected graphs which suggests that for odd  $k > 3$ , the minimum number of spanning trees might be obtained by an almost  $(k + 1)$ -regular graph. Even more surprisingly, all examples of 5-regular, 5-edge-connected graphs with  $n$  vertices known to us have more than  $3.09^{n-1}$  spanning trees while there are 6-regular, 6-edge-connected graphs with only  $n3^{n-1}$  spanning trees.

We adopt the notation and terminology of Diestel [3]. We repeat a few important definitions. A **bridge** is an edge whose removal disconnects the graph. A graph is  **$k$ -edge-connected** if we need to remove at least  $k$  edges to disconnect the graph. A graph is  **$k$ -regular** if each vertex has  $k$  incident edges. A 3-regular graph is also called **cubic**. If  $e$  is an edge in a graph  $G$ , then  $G/e$  is the graph obtained by contracting  $e$ .

## 2. LIFTING PAIRS OF EDGES

Let  $G, H_1, H_2$  be connected graphs, and  $X \subseteq V(G)$ ,  $X_i \subseteq V(H_i)$  for  $i = 1, 2$  such that  $|X| = |X_1| = |X_2|$ . For  $i = 1, 2$ , let  $G_i$  be the graph obtained from  $G \cup H_i$  by identifying  $X_i$  with  $X$ . We are interested in  $\tau(G_1)/\tau(G_2)$ . Let  $T$  be a spanning tree of  $G_1$  or  $G_2$ . Then  $T \cap G$  is a spanning forest of  $G$ . By comparing the number of ways of extending  $T \cap G$  into a spanning tree of  $G_i$  using  $H_i$ , and taking the minimum ratio over all possible such forests, we can find a lower bound for  $\tau(G_1)/\tau(G_2)$ . Note that the number of ways of extending  $T \cap G$  in  $G_i$  using  $H_i$  is exactly the number of spanning trees of the graph obtained from  $H_i$  by contracting each component of  $T \cap G$  into a single vertex. This is made more precise in the following observation.

**Observation 1.** *Let  $G$  be a graph, and let  $X \subseteq V(G)$  be a set of vertices. Suppose that  $G$  has two connected subgraphs  $G_0, G_1$  such that  $G_0 \cup G_1 = G$ ,  $V(G_0 \cap G_1) = X$  and  $E(G_0 \cap G_1) = \emptyset$ . Let  $T$  be a spanning forest of  $G_0$  such that each component contains at least one vertex in  $X$ . Then the number of ways of extending  $T$  to a spanning tree of  $G$  using edges in  $G_1$  is  $\tau(S_0)$ , where  $S_0$  is the graph obtained from  $G_1 \cup T$  by contracting each component of  $T$  into a single vertex.*

Let  $e = vu, f = vw$  be two adjacent edges of a graph. **Lifting**  $e, f$  means that we replace  $e, f$  by an edge  $uw$  if  $u \neq w$ . If  $u = w$  we remove both edges  $e, f$  as we do not allow loops. By **lifting at  $v$**  we mean that we lift a pair of edges incident with  $v$ . A **complete lifting** at a vertex  $v$  with even degree is a sequence of liftings at  $v$  until no edges are left at  $v$ . Then we remove  $v$ .

For the following lemma, we define a constant  $c_d$  depending on a positive integer  $d$ :

$$c_d = \min_{d_1, d_2, \dots, d_k} \min_H \frac{\prod_{i=1}^k d_i}{\tau(H)},$$

where the minimum is taken over all sequences of positive integers  $d_1, d_2, \dots, d_k$  with varying length  $k$  such that  $\sum_{i=1}^k d_i = 2d$ , and over all connected graphs  $H$  on  $k$  vertices with degree sequence  $d'_1, d'_2, \dots, d'_k$  such that  $d'_i \leq d_i$  for each  $i$ .

In the above definition of  $c_d$ ,  $H$  has at most  $d$  edges, so  $c_1 = 1$ . Furthermore,  $c_2 = 2$ ,  $c_3 = 8/3$  and  $c_4 = 18/5 = 3.6$ , which are attained by a 2-cycle, a 3-cycle, and a 3-cycle plus an edge, respectively.

**Lemma 1.** *Let  $G$  be a graph with a vertex  $v$  of degree  $2d$ . Let  $G'$  be a graph obtained from  $G$  by a complete lifting at  $v$ . Then  $\tau(G) \geq c_d \tau(G')$ , where  $c_d$  is defined as above.*

**Proof:** Denote  $G_0 = G - v$  and the neighbors of  $v$  in  $G$  by  $v_1, v_2, \dots, v_{2d}$ , which are not necessarily distinct. We may assume that for each  $i$ ,  $v_{2i-1}v_{2i} \in E(G') \setminus E(G)$  resulting from lifting  $vv_{2i-1}$  and  $vv_{2i}$  unless  $v_{2i-1} = v_{2i}$ .

We consider a spanning forest, say  $T_0$ , of  $G_0$  in which each component contains at least one of the neighbors of  $v$ . We shall estimate the number of ways of extending  $T_0$  to a spanning tree using only edges not in  $G_0$ . The forest  $T_0$  partitions the neighbors of  $v$ , say into  $P_1, P_2, \dots, P_k$  with sizes  $|P_i| = d_i$ ,  $\sum_{i=1}^k d_i = 2d$ . By Observation 1, the number of ways of extending  $T_0$  to a spanning tree of  $G$  (using no other edge of  $G_0$ ) is precisely  $\tau(S_0)$ , where  $S_0$  is the star graph at  $v$  with edge-multiplicities  $d_1, d_2, \dots, d_k$ . Thus  $\tau(S_0) = \prod_{i=1}^k d_i$ . Likewise, the number of ways of extending  $T_0$  to a spanning tree of  $G'$  is  $\tau(S'_0)$  where  $S'_0$  is the graph obtained from  $G'$  by contracting each component of  $T_0$  into a single vertex, and then remove the remaining edges of  $G_0$ , if any. Let  $p_i$  be the vertex of  $S'_0$  corresponding to  $P_i$ . Then  $\deg(p_i) \leq d_i$ , since each  $v_j \in P_i$  provides  $p_i$  with at most one edge from  $E(G') \setminus E(G_0)$ . Therefore, the number of extensions of  $T_0$  into spanning trees of  $G$  divided by the number of extensions to  $G'$  is at least  $\min_H \prod_{i=1}^k d_i / \tau(H)$ , where  $H$  is as described in the definition of  $c_d$ . Now we consider all possibilities for  $T_0$  and get the inequality.  $\square$

**Lemma 2.** *Let  $G$  be a graph with a vertex  $v$  of degree  $d \geq 3$ . Let  $G'$  be a graph resulting from lifting edges  $vu, vw$  in  $G$ . Then  $\tau(G) \geq (1 + \frac{4}{d^2-4})\tau(G')$ .*

**Proof:** We consider a spanning forest, say  $T_0$ , of  $G - v$  in which each component contains at least one of the neighbors of  $v$ . Then  $T_0$  partitions the neighbors of  $v$ , say into  $P_1, P_2, \dots, P_k$  with sizes  $|P_i| = d_i$ ,  $\sum_{i=1}^k d_i = d$ . By Observation 1, the number of ways to extend  $T_0$  to a spanning tree of  $G$  is  $\tau(S_0) = \prod_{i=1}^k d_i$ , where  $S_0$  is the star graph at  $v$  with edge-multiplicities

$d_1, d_2, \dots, d_k$ . Let  $S'_0$  be the graph obtained from  $G'$  by contracting each component of  $T_0$  into a single vertex and then remove the remaining edges of  $G - v$ , if any. By Observation 1, there are  $\tau(S'_0)$  ways of extending  $T$  to a spanning tree of  $G'$ . If some  $P_j$  contains both  $u, w$ , then  $\tau(S'_0) = (d_j - 2) \prod_{i \neq j} d_i$ , so that either  $\tau(S'_0) = 0$  or  $\tau(S_0)/\tau(S'_0) = d_j/(d_j - 2) > 1 + 4/(d^2 - 4)$ .

If  $u, w$  are contained in two different parts, say  $P_i, P_j$  respectively, then  $S'_0$  is obtained from  $S_0$  by lifting two edges connecting  $v$  to the two vertices corresponding to  $P_i$  and  $P_j$ . Thus,

$$\frac{\tau(S_0)}{\tau(S'_0)} = \frac{d_i d_j}{d_i d_j - 1} \geq 1 + \frac{4}{d^2 - 4},$$

since  $d_i + d_j \leq d$  which implies  $d_i d_j \leq [(d_i + d_j)/2]^2 \leq d^2/4$ .

By considering all possible such forests  $T_0$ , we get the inequality. □

### 3. $k$ -EDGE-CONNECTED GRAPHS

Let  $G$  be a connected graph with  $n$  vertices and  $m$  edges. Consider the pairs  $(e, T)$  where  $e \in E(G)$  and  $T$  a spanning tree of  $G$  containing  $e$ . For each  $e \in E(G)$  we have  $\tau(G/e)$  such pairs and for each  $T$ , we have  $n - 1$  such pairs. Therefore  $(n - 1)\tau(G) = \sum_{e \in E(G)} \tau(G/e)$ . Hence,  $G$  has an edge  $e$  such that  $\tau(G/e)/\tau(G) \leq (n - 1)/m$ . We restate this conclusion as the following observation.

**Observation 2.** *Let  $G$  be a connected graph with  $n > 1$  vertices and  $m$  edges. Then  $G$  has an edge  $e$  such that  $\tau(G) \geq \frac{m}{n-1}\tau(G/e)$ .*

Now we prove the first lower bound stated in the abstract.

**Theorem 1.** *Let  $G$  be a  $k$ -edge-connected graph on  $n$  vertices. Then  $G$  has at least  $n(k/2)^{n-1}$  spanning trees. Moreover,  $G$  has more than  $n(k/2)^{n-1}$  spanning trees unless  $k$  is even and  $G$  is a cycle whose edge-multiplicities are all  $k/2$ .*

**Proof:** We shall use induction on  $n$ . Since  $G$  is  $k$ -edge-connected, the minimum degree of  $G$  is at least  $k$  and thus  $m \geq kn/2$ . By Observation 2,  $G$  has an edge  $e$  such that  $\tau(G) \geq \frac{m}{n-1}\tau(G/e) \geq \frac{kn}{2(n-1)}\tau(G/e)$ . By the induction hypothesis,  $\tau(G/e) \geq (n - 1)(k/2)^{n-2}$  so that  $\tau(G) \geq n(k/2)^{n-1}$ . If equality holds, then  $k$  is even,  $m = kn/2$ , and  $G/e$  is a cycle where all edge-multiplicities are  $k/2$ . Moreover, any edge can play the role of  $e$ . This implies that all edge-multiplicities in  $G$  are  $k/2$ . If  $H$  denotes the subgraph of  $G$  obtained by replacing every multiple edge by a single edge, then  $H$  has the property that the contraction of any edge results in a cycle. Then also  $H$  is a cycle. □

For  $k$  even Theorem 1 is tight. However, for  $k$  odd we shall present a lower bound for the number of spanning trees in a  $k$ -edge-connected graph of the form  $c_k^{n-1}$  with  $c_k > k/2$ . For that, we shall use the following Theorem by Mader [6].

**Theorem 2.** *Let  $G$  be a connected graph on a vertex set  $V \cup \{s\}$ . If  $\deg(s) \neq 3$  and  $s$  is not incident with bridges, then  $G$  has a lifting at  $s$  such that for each pair  $u, v$  of vertices in  $V$ , the maximum number of edge-disjoint paths between  $u, v$  does not decrease after the lifting.*

By Theorem 2 and Menger's Theorem, given a  $k$ -edge-connected graph and a vertex of degree  $\geq k + 2$ , we can find a lifting without decreasing the edge-connectivity. Thus by Lemma 2, the minimum number of spanning trees of a  $k$ -edge-connected graph on  $n$  vertices must be obtained by a graph whose degrees are only  $k$  or  $k+1$ . We state this as an observation for later use.

**Observation 3.** *If  $G$  is a  $k$ -edge-connected graph on  $n$  vertices with minimum  $\tau(G)$ , then each vertex of  $G$  has either  $k$  or  $k + 1$  incident edges.*

Now we prove the following lower bound for odd edge-connectivity.

**Theorem 3.** *Let  $k > 1$  be an odd number and let  $G$  be a  $k$ -edge-connected graph on  $n$  vertices. Then  $\tau(G) \geq (kc_k/2)^{n-1}$ , where  $c_k = \sqrt{1 + \frac{4}{(k+3)^2 - 4}} > 1$*

**Proof:** Let  $e$  be an edge for which  $\tau(G)/\tau(G/e)$  is maximum. By Observation 2 we know  $\tau(G)/\tau(G/e) \geq k/2$ . If the vertex of  $G/e$  resulting from the contraction of  $e$ , say  $v$ , has degree bigger than  $k + 1$ , then using Theorem 2 we can lift some pair of edges at  $v$  such that  $G/e$  after the lifting is still  $k$ -edge-connected. We do the lifting at  $v$  until the degree of  $v$  is at most  $k + 1$ . Let  $H$  be the resulting graph. If  $\tau(G)/\tau(H) \geq kc_k^2/2$  then we call  $e$  a *good* edge. Note that, if  $H \neq G/e$ , then by applying Lemma 2 at the last lifting, we see that  $e$  is good. Also, if  $e$  has multiplicity at least  $(k + 1)/2$ , then  $\tau(G)/\tau(H) \geq \tau(G)/\tau(G/e) \geq (k + 1)/2 > kc_k^2/2$  so that  $e$  is good. If one of the ends of  $e$  has degree at least  $k + 1$ , then either  $e$  has multiplicity at least  $(k + 1)/2$ , or the vertex obtained by the contraction of  $e$  has degree at least  $k + 2$ , so that  $e$  is good. Thus  $e$  is not good only if the ends of  $e$  both have degree precisely  $k$ . In particular, both ends of  $e$  have odd degree.

Now we repeat the contractions of an edge with maximum  $\tau(G)/\tau(G/e)$ , followed by liftings whenever possible, until only two vertices are left. Because of parity, among the  $n - 2$  contractions, at most  $\lceil (n - 2)/2 \rceil$  of them are edges whose ends both have odd degree. Thus at least  $\lfloor (n - 2)/2 \rfloor$  times we get an additional factor of  $c_k^2$ , so  $\tau(G) \geq k \cdot (k/2)^{n-2} \cdot c_k^{2\lfloor (n-2)/2 \rfloor} > (kc_k/2)^{n-1}$ .  $\square$

By Theorem 3, Theorem 1 is not tight for any odd edge-connectivity, although it is tight for all even edge-connectivity. In the following we focus on  $k$ -edge-connected graphs where  $k = 3, 5$ .

#### 4. 3-EDGE-CONNECTED GRAPHS

Let  $G$  be a 3-edge-connected graph on  $n$  vertices. By Theorem 3, the lower bound  $\tau(G) \geq n(3/2)^{n-1}$  is not tight. Kostochka [4] showed that a cubic simple 2-connected graph on  $n$  vertices has at least  $8^{n/4} \approx 1.68^n$  spanning trees. This result is essentially best possible because of the cubic 2-connected graphs obtained by a collection of  $K_4$ 's minus an edge by adding a matching. In this section, we prove the following theorem.

**Theorem 4.** *Let  $G$  be a 3-edge-connected graph on  $n$  vertices. Then  $\tau(G) > 1.774^{n-1}$ .*

Kreweras [5] showed that the prism graph on  $n$  vertices has approximately  $1.93^n$  spanning trees; see Section 6. By Observation 3, a 3-edge-connected graph on  $n$  vertices with minimum number of spanning trees has vertex degrees only 3 and 4. Thus by Lemma 1, the following is enough to prove Theorem 4. Note that a cubic graph with more than two vertices has the same connectivity and edge-connectivity.

**Theorem 5.** *Let  $G$  be a 3-connected cubic graph on  $n$  vertices. Then  $\tau(G) > 1.774^{n-1}$ .*

An often used operation to construct a 3-connected cubic graph is to **join** two edges, i.e. for non-parallel edges  $e, f$ , we replace each edge by a path of length 2 and connect the two new vertices of degree 2 by an edge. Note that joining two non-parallel edges in a 3-connected cubic graph results in another 3-connected cubic graph. The following lemma explains how the number of spanning trees changes after joining.

**Lemma 3.** *Let  $G$  be a graph with two non-parallel edges  $e$  and  $f$ . Let  $G'$  be the graph obtained from  $G$  by joining  $e$  and  $f$ . Then  $\tau(G') \geq (4 - r)\tau(G)$ , where  $r = \tau(G/e/f)/\tau(G) \leq 1$ .*

**Proof:** We shall use Observation 1. We only consider the case when  $e, f$  are not adjacent, but the other case can be done likewise. Let  $e = ab$  and  $f = cd$ . Let  $T$  be a spanning tree of  $G$ . Then  $T - e - f$  is a spanning forest of  $G$  in which each component contains at least one of  $a, b, c$  and  $d$ . We shall consider how many ways  $T - e - f$  can be extended to a spanning tree in  $G$  and  $G'$  respectively. For example, if  $T - e - f$  has two components such that one of them contains  $a, c$  and the other contains  $b, d$ , then we can extend  $T - e - f$  in two ways to a spanning tree of  $G$ , whereas there are eight ways for  $G'$ . In fact, there are at least four times as many extensions in  $G'$  as extensions in  $G$ , unless  $T$  contains both  $e$  and  $f$ , in which case we have a factor 3. Thus,  $\tau(G') \geq 4(\tau(G) - \tau(G/e/f)) + 3\tau(G/e/f) = (4 - r)\tau(G)$ .  $\square$

To prove Theorem 5, we shall consider the following two operations to construct 3-connected cubic graphs.

- (1) Let  $v$  be a vertex  $v$  in a graph such that  $\deg(v) = 3$  and all three neighbors of  $v$  are distinct. Then the **blow-up** of  $v$  is obtained by joining two of the incident edges of  $v$ .
- (2) Select three edges, which may not be pairwise distinct, but not all the same, and subdivide each of them so that we have three new vertices of degree 2. Add a new vertex  $v$  and an edge from  $v$  to each of the three vertices of degree 2. We call this a **vertex-addition**.

Since a blow-up is a join of two non-parallel edges, we get the following observation by Lemma 3.

**Observation 4.** *Let  $G$  be a graph with a vertex  $v$  of degree 3 whose neighbors are all distinct. Let  $G'$  be the graph obtained from  $G$  by a blow-up of  $v$ . Then  $\tau(G') \geq 3\tau(G)$ .*

Barnette and Grünbaum [1] and independently Titov [10] gave a characterization of 3-connected graphs which implies that every 3-connected cubic graph can be obtained from  $K_4$  by successively joining edges. We shall here prove a stronger result for cubic graphs.

**Theorem 6.** *Let  $G$  be a 3-connected cubic graph with more than two vertices. Then  $G$  can be constructed from  $K_4$  or  $K_{3,3}$  by blow-ups and vertex-additions, such that blow-ups are never used consecutively.*

**Proof:** Our proof consists of two parts. We show that if  $G$  has no induced subgraph which is a subdivision of another 3-connected graph, then  $G$  is one of  $K_4$ ,  $K_{3,3}$  or the prism on 6 vertices defined in Section 6. Then we assume that  $G$  has a maximal induced subgraph, say  $H$ , which is a subdivision of another 3-connected graph  $H^*$ , and we show that  $G$  can be obtained from  $H^*$  by a vertex addition, possibly followed by a blow-up.

Suppose that  $G$  has no proper induced subgraph which is a subdivision of a 3-connected cubic graph. Let  $C$  be a cycle in  $G$  of minimum length so that  $C$  has no chord. Let  $v$  be a vertex in  $G - V(C)$ . Since  $G$  is 3-connected, Menger's Theorem implies that  $G$  has three paths  $P_1, P_2, P_3$  where  $P_i = vu_1^i u_2^i \dots u_{k_i}^i u_i$ ,  $C \cap P_i = \{u_i\}$  for each  $i$  and the paths  $P_1, P_2, P_3$  share only  $v$ . Let  $v$  be such a vertex with  $k_1 + k_2 + k_3$  being smallest. Note that some  $k_i$  may be 0, implying that  $P_i$  is an edge. If  $G$  has an edge between the non-endvertices of two  $P_i$ 's, say  $u_i^1 u_j^2$ , then by taking  $v = u_i^1$  instead and using  $P_1 \cup P_3$  and  $u_i^1 u_j^2 u_{j+1}^2 \dots u_{k_2}^2$ , we get a smaller sum of the lengths of the paths unless  $u_j^2$  is the neighbor of  $v$  in  $P_2$ . Similarly, we deduce that  $u_i^1$  is also the neighbor of  $v$  in  $P_1$ . In this case,  $vu_1^1 u_1^2$  is a triangle and hence  $C$



must also be a triangle, so that the vertex set of  $C \cup P_1 \cup P_2 \cup P_3$ , say  $V$ , induces a subgraph of  $G$  which is a subdivision of the prism graph. Thus by the assumption,  $G$  itself is the prism graph.

Hence we may assume that  $G$  has no edge between the non-endvertices of  $P_i$ 's. Denote by  $G[V]$  the subgraph of  $G$  induced by  $V$ . Suppose  $k_1 \geq 1$  and some  $u_i^1$  has a neighbor on  $C$  different from  $u_1$ . Because of the minimality of  $k_1 + k_2 + k_3$ , we have  $i = k_1$  and by taking  $v = u_{k_1}^1$  and using its two neighbors on  $C$ , we see  $k_2 = k_3 = 0$ . Therefore  $G[V]$  is a subdivision of either the prism graph or  $K_{3,3}$ , so that again  $G$  itself is either the prism graph or  $K_{3,3}$ . The remaining case leaves no other edge in  $G[V]$  than  $C \cup P_1 \cup P_2 \cup P_3$ , which is a subdivision of  $K_4$ . Thus in this case  $G$  itself is  $K_4$ . This completes the first part.

Now we assume that  $G$  has an induced proper subgraph which is a subdivision of a 3-connected cubic graph. Let  $H$  be a maximal such subgraph. Let us call a path in  $H$  *suspended* if its ends both have degree 3 in  $H$  and all other vertices in the path have degree 2 in  $H$ . Suspended paths intersect only at their ends. By replacing each suspended path of  $H$  by an edge between its ends, we get a 3-connected cubic graph, which we denote  $H^*$ . Since  $G$  is 3-connected,  $H$  has at least two suspended paths. If  $G$  has a vertex, say  $v$ , outside  $H$  which has neighbors in at least two distinct suspended paths of  $H$ , then the subgraph of  $G$  induced by  $V(H) \cup \{v\}$  is a subdivision of a 3-connected graph, which must be  $G$  because of the maximality of  $H$ . Then  $G$  can be obtained from  $H^*$  by the vertex-addition of  $v$ . Thus we may assume that for each vertex in  $V(G) \setminus V(H)$ , its neighbors in  $H$ , if any, are in a single suspended path of  $H$ . Also, we may assume that  $|V(G) \setminus V(H)| > 1$ . If  $V(G) \setminus V(H) = \{u, v\}$ , then  $u$  and  $v$  are adjacent, and they have neighbors in distinct suspended paths. Thus we can obtain  $G$  from  $H^*$  by first vertex-adding  $u$  and then a blow-up to make  $v$ . Therefore, we assume that  $|V(G) \setminus V(H)| > 2$ .

Since  $G$  is 3-connected, at least one component of  $G - V(H)$  has edges to two distinct suspended paths of  $H$ . Thus  $G$  has a path of length  $> 1$  between distinct suspended paths of  $H$  which intersects  $H$  at only its ends. Let  $P = v_0 v_1 \dots v_k$  be such a path with smallest length. Since  $P$  has no chord, the subgraph of  $G$  induced by  $H \cup P$  is a subdivision of a 3-connected graph, so that  $V(H) \cup V(P) = V(G)$ , implying  $k \geq 4$ . By assumption, the neighbors of  $v_1$  and  $v_{k-1}$ , respectively, are in different suspended paths of  $H$ . Let  $v$  be the neighbor of  $v_2$  in  $H$ . Then either  $v_0 v_1 v_2 v$  or  $v v_2 v_3 \dots v_k$  contradicts the minimality of  $P$ , a contradiction which completes the proof.  $\square$

Let  $c$  be the positive real solution of the equation  $x^4 - 3x^2 - 1 = 0$  which is approximately  $c \approx 1.8174$ . Note that a vertex-addition is equivalent to a joining of two edges and then joining the new edge with an edge.

**Lemma 4.** *Let  $G_0$  be a 3-connected graph and let  $G$  be a graph obtained from  $G_0$  by joining two non-parallel edges of  $G_0$ , where  $e$  denotes the joining edge. Let  $G'$  be a graph obtained from  $G$  by joining  $e$  with another edge  $f$ . Then either  $\tau(G') \geq c^2\tau(G)$  or  $\tau(G') \geq c^4\tau(G_0)$ .*

**Proof:** Let  $r = \tau(G/e/f)/\tau(G)$  be as in Lemma 3. Let  $r' = \tau(G/e)/\tau(G)$  so that  $\tau(G)/\tau(G-e) = 1/(1-r')$ . Since  $r' \geq r$ , Lemma 3 implies  $\tau(G') \geq (4-r)\tau(G) \geq (4-r')\tau(G)$ . If  $4-r' \geq c^2$  then we are done. Thus we may assume that  $4-r' < c^2$ , equivalently  $1-r' < c^2-3$ . By modifying the equation for  $c$ , we get  $1 + 3/(c^2-3) = c^4$ , so that

$$\begin{aligned} \tau(G') &\geq (4-r')\tau(G) = \frac{(4-r')\tau(G)}{\tau(G_0)}\tau(G_0) \geq \frac{(4-r')\tau(G)}{\tau(G-e)}\tau(G_0) = \frac{4-r'}{1-r'}\tau(G_0) \\ &= \left(1 + \frac{3}{1-r'}\right)\tau(G_0) > \left(1 + \frac{3}{c^2-3}\right)\tau(G_0) = c^4\tau(G_0). \end{aligned}$$

□

**Proof of Theorem 5:** We shall prove  $\tau(G) \geq (3c^2)^{(n-1)/4}$  by induction on  $n = |V(G)|$ , where  $c$  is the constant used in Lemma 4. We may assume that  $n \geq 8$  because  $K_4$ ,  $K_{3,3}$  and the prism on 6 vertices have 16, 81 and 75 spanning trees, respectively. By Theorem 6,  $G$  can be obtained from  $K_4$  or  $K_{3,3}$  by repeatedly applying vertex-additions and blow-ups. If the last operation is a vertex-addition, then by Lemma 4,  $\tau(G) \geq c^2\tau(G')$  or  $\tau(G) \geq c^4\tau(G'')$  for some 3-connected cubic graph  $G'$  with  $n-2$  vertices or  $G''$  with  $n-4$  vertices, so we are done. Otherwise,  $G$  can be obtained from a 3-connected cubic graph using a vertex-addition and then a blow-up. By Observation 4, a blow-up multiplies the number of spanning trees by at least 3, so that using Lemma 4,  $\tau(G) \geq 3c^2\tau(G')$  or  $\tau(G) \geq 3c^4\tau(G'')$  for some 3-edge-connected cubic graph  $G'$  with  $n-4$  vertices or  $G''$  with  $n-6$  vertices. By the induction hypothesis,  $\tau(G) \geq (3c^2)^{(n-1)/4} > 1.774^{n-1}$ . □

## 5. 5-REGULAR 5-EDGE-CONNECTED GRAPHS

Let  $G$  be a 5-regular 5-edge-connected graph. A **5-cut** is a set of edges  $E$  with  $|E| = 5$  such that  $G - E$  is disconnected. If one of the components of  $G - E$  is a single vertex, then we call  $E$  **trivial**. Otherwise we call  $E$  **nontrivial**. A **5-side** is a set  $X \subseteq V(G)$  such that  $\delta(X)$  (that is, the set of edges with precisely one end in  $X$ ) is a nontrivial 5-cut. If a 5-side  $X$  has the property that no nontrivial 5-cut contains an edge with both ends in  $X$ , then  $X$  is called **minimal**.

**Lemma 5.** *Let  $G$  be a 5-regular 5-edge-connected graph. If  $G$  has a nontrivial 5-cut, then  $G$  has a minimal 5-side.*

**Proof:** Let  $A$  be a 5-side which is not minimal. Then some nontrivial 5-cut  $S = \delta(B)$  contains an edge  $uv$  with  $u \in A \cap B$  and  $v \in A \cap B^c$ . Let  $T = \delta(A)$ . One of the sets  $A \cap B$ ,  $A \cap B^c$ ,  $A^c \cap B$  or  $A^c \cap B^c$  is empty because  $G$  is 5-edge-connected,  $S, T$  are 5-cuts and 5 is odd. Since  $u \in A \cap B$  and  $v \in A \cap B^c$ , either  $A^c \cap B$  or  $A^c \cap B^c$  is empty, so that either  $A \cap B$  or  $A \cap B^c$  is a 5-side strictly smaller than  $A$ . If it is not minimal, then we repeat the argument until we eventually find a minimal 5-side.  $\square$

**Lemma 6.** *Let  $G$  be a connected graph with a connected subgraph  $H$ . If  $G'$  is the graph obtained by contracting  $H$  into a single vertex, then  $\tau(G) \geq \tau(H)\tau(G')$ .*

**Proof:** For each pair  $S, T$  of spanning trees of  $H, G'$ , we can expand the contracted vertex of  $G'$  using  $S$  to get a spanning tree of  $G$ .  $\square$

**Theorem 7.** *Let  $G$  be a 5-regular 5-edge-connected graph on  $n$  vertices. Then  $\tau(G) \geq 7.6^{(n-1)/2} \approx 2.7568^{n-1}$ .*

**Proof:** We shall use induction on  $n$ . Being 5-regular and 5-edge-connected,  $G$  has no edge of multiplicity at least 3. If  $G$  has a nontrivial 5-cut, then by Lemma 5, we can find a minimal 5-side, and we let  $e = uv$  be an edge inside that minimal side. Otherwise let  $e = uv$  be an arbitrary edge.

Suppose first  $e$  has multiplicity 1.  $G/e$  has a vertex of degree 8, which we can completely lift using Theorem 2. Denote the resulting 5-regular 5-edge-connected graph by  $G'$ . By Lemma 1,  $\tau(G/e) \geq 3.6\tau(G')$ . Now we consider  $G - e$ . Since  $e$  is not contained in any nontrivial 5-cut,  $G - e$  has at least 5 edge-disjoint paths between any pair of vertices distinct from the ends of  $e$ . Thus by Theorem 2, we can completely lift  $u, v$  in  $G - e$  so that the resulting graph, say  $G''$ , is 5-edge-connected and 5-regular. By Lemma 1,  $\tau(G - e) \geq 4\tau(G'')$  and by the induction hypothesis,

$$\tau(G) = \tau(G/e) + \tau(G - e) \geq 3.6\tau(G') + 4\tau(G'') \geq 7.6^{(n-1)/2}.$$

Now we may assume that every edge of  $G$  with multiplicity 1 is contained in a nontrivial 5-cut. Let  $X$  be a minimal 5-side. Since the edges inside  $X$  are not contained in any nontrivial 5-cut, every edge inside  $X$  must be a double edge. Hence every vertex in  $X$  is incident with  $\delta(X)$ , so that  $X$  is the 5-double-cycle which has 80 spanning trees. By Lemma 6,  $\tau(G) \geq 80\tau(G/X)$ , and by the induction hypothesis,  $\tau(G) \geq 7.6^{(n-1)/2}$ .  $\square$

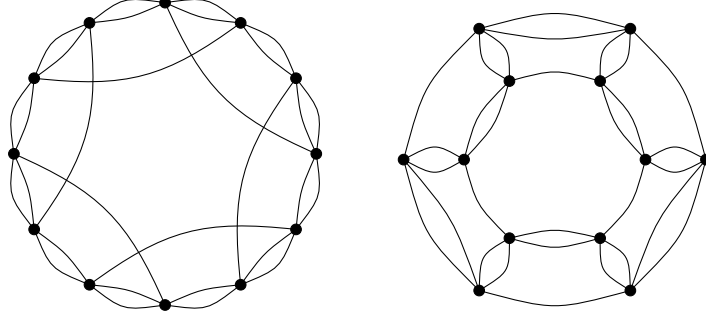


FIGURE 1. Two different drawings of  $MP_{12}(5)$

## 6. EXAMPLES OF $k$ -REGULAR $k$ -EDGE-CONNECTED GRAPHS WITH FEW SPANNING TREES

In this section, we describe some  $k$ -regular  $k$ -edge-connected graphs for odd  $k$ , leading to a conjecture that the minimum number of spanning trees of a  $k$ -edge-connected graph is obtained by a nearly  $(k + 1)$ -regular graph if  $k$  is odd. See Open Problems 2, 3 in Section 7.

Let  $kC_n$  be the cycle of length  $n$  whose edge multiplicities are all  $k$ . By Theorem 1, when  $k$  is even,  $\frac{k}{2}C_n$  has the minimum number of spanning trees among all  $k$ -edge-connected graphs on  $n$  vertices. If  $k$  is odd,  $\frac{k+1}{2}C_n$  minus an edge, say  $\frac{k+1}{2}C_n - e$ , gives an upper bound on the minimum number of spanning trees of a  $k$ -edge-connected graph on  $n$  vertices. The spanning trees of  $\frac{k+1}{2}C_n - e$  belong to either the unique path with uniform edge-multiplicity  $\frac{k+1}{2}$  or the  $(n - 1)$  paths in which the edge-multiplicities are  $\frac{k+1}{2}$  except an edge with one less multiplicity. Thus, the number of spanning trees of  $\frac{k+1}{2}C_n - e$  is

$$\left(\frac{k+1}{2}\right)^{n-1} + (n-1) \left(\frac{k+1}{2}\right)^{n-2} \frac{k-1}{2} = \left(1 + (n-1) \frac{k-1}{k+1}\right) \left(\frac{k+1}{2}\right)^{n-1}.$$

We conjecture that this number is the minimum number of spanning trees of a  $k$ -edge-connected graph on  $n$  vertices when  $k$  is an odd number bigger than 3, and  $\frac{k+1}{2}C_n - e$  is the unique extremal graph realizing the number.

We do not know any  $k$ -regular  $k$ -edge-connected graphs with that few spanning trees. Instead, there are  $k$ -regular  $k$ -edge-connected graphs with  $(\frac{k+2}{2} + O(\frac{1}{k}))^{n-1}$  spanning trees, namely multiprisms defined below.

The **prism**  $P_{2n}$  is the Cartesian product of  $C_n$  and  $K_2$ . If  $n > 2$  is a natural number and  $k$  is odd then the **multiprism**  $MP_{2n}(k)$  is defined as follows:

- (1) Let  $v_0, v_1, \dots, v_{2n-1}$  be the vertices of  $\frac{k-1}{2}C_{2n}$ , where  $v_i$  and  $v_{i+1}$  are adjacent for all  $i$ .
- (2) Add edges  $v_0v_3, v_2v_5, \dots, v_{2n-4}v_{2n-1}$  and  $v_{2n-2}v_1$ .

If  $n$  is even,  $MP_{2n}(k)$  can also be obtained by choosing a Hamilton cycle of  $P_{2n}$  and replace its edges by  $(k-1)/2$ -multiple edges. See Figure 1.

Kreweras [5] determined the exact number of spanning trees in the prisms. Rubey [8, p. 40] showed another method, which can be used to give the exact formula for  $\tau(MP_{2n}(k))$ ; c.f. [7]. Let  $k = 2s + 1$ . Then

$$\begin{aligned} \tau(MP_{2n}(2s+1)) &= \frac{sn}{A-B} A^n \left[ 1 + 2 \frac{s^2 A^{n-2} - s^n}{A^n - s^2 A^{n-2}} + \frac{1+s^2}{A} \frac{A^n - s^n}{A^n - s^2 A^{n-2}} \right] \\ &\quad - B^n \left[ 1 + 2 \frac{s^2 B^{n-2} - s^n}{B^n - s^2 B^{n-2}} + \frac{1+s^2}{B} \frac{B^n - s^n}{B^n - s^2 B^{n-2}} \right], \end{aligned}$$

where  $A = \frac{s}{2} \left( s + 3 + \sqrt{s^2 + 6s + 5} \right)$  and  $B = \frac{s}{2} \left( s + 3 - \sqrt{s^2 + 6s + 5} \right)$ .

Thus  $\lim_{n \rightarrow \infty} \tau(MP_{2n}(k))^{1/2n} = A^{1/2} = s + \frac{3}{2} + O\left(\frac{1}{s}\right) = \frac{k+2}{2} + O\left(\frac{1}{k}\right)$ .

In particular,  $\tau(MP_n(5)) > 3.09^n$  for large even  $n$ .

Note again that the number of spanning trees of  $MP_{2n}(k)$ , which is  $k$ -regular  $k$ -edge-connected, is asymptotically  $\left(\frac{k+2}{2}\right)^{2n}$ . As we have a  $(k+1)$ -regular  $(k+1)$ -edge-connected graph, namely  $\frac{k+1}{2}C_{2n}$ , with asymptotically less spanning trees, we suspect that the minimum number of spanning trees of a  $k$ -edge-connected graph, when  $k$  is odd, may be achieved by an almost  $(k+1)$ -regular graph. Specifically, we believe that for every odd  $k \geq 5$ ,  $\frac{k+1}{2}C_n$  minus an edge has the fewest spanning trees among all  $k$ -edge-connected graphs on  $n$  vertices.

## 7. OPEN PROBLEMS

For  $\mathcal{C}$  an infinite class of finite graphs, define  $c(\mathcal{C}) = \liminf\{\tau(G)^{1/n} : G \in \mathcal{C}, n = |V(G)|\}$ . Let  $\mathcal{C}_k$  be the class of  $k$ -edge-connected graphs. Let  $\mathcal{C}'_k$  be the class of  $k$ -regular  $k$ -edge-connected graphs. We have proved that  $c(\mathcal{C}_k) = c(\mathcal{C}'_k) = k/2$  for  $k$  even and that  $k/2 < c(\mathcal{C}_k) \leq c(\mathcal{C}'_k)$  for  $k$  odd. Moreover  $1.774 < c(\mathcal{C}_3) = c(\mathcal{C}'_3) \leq 1.932$ ,  $2.75 < c(\mathcal{C}_5) \leq 3$  and  $c(\mathcal{C}_5) \leq c(\mathcal{C}'_5) < 3.1$ .

**Open Problem 1.** *Is  $c(\mathcal{C}_3) = \sqrt{2 + \sqrt{3}} \approx 1.93$ , which is obtained by the prisms?*

**Open Problem 2.** *Is  $c(\mathcal{C}_k) = c(\mathcal{C}_{k+1}) = \frac{k+1}{2}$  for  $k$  odd,  $k \geq 5$ ?*

**Open Problem 3.** *Is  $c(\mathcal{C}'_k) = k/2 + 1 + O(1/k)$  for  $k$  odd?*

**Open Problem 4.** *Is  $c(\mathcal{C}'_5) = \sqrt{5 + \sqrt{21}} \approx 3.0956$ , which is obtained by the multiprisms  $MP_n(5)$ ?*

Even if Problems 2 and 3 both have negative answers, we may still ask if  $c(\mathcal{C}'_k) > c(\mathcal{C}_{k+1})$  for each odd  $k \geq 5$ .

## 8. ACKNOWLEDGEMENT

We thank anonymous referees for their careful reading and improving the bound in Theorem 5 from 1.77 to 1.774.

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