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2 Investigation of the Interaction between Mucins and  $\beta$ -Lactoglobulin under  
3 Tribological Stress  
4

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6

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11  
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13

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18 **Abstract**

19 The interaction characteristics between mucins and beta-lactoglobulin (BLG) under tribological  
20 stress were investigated by comparing the lubricity of mixed solutions of mucin-BLG with that of  
21 neat protein solutions at compliant hydrophobic interfaces. Surface adsorption properties of the  
22 proteins as characterized by bicinchoninic acid (BCA) assay revealed that both bovine submaxillary  
23 mucin (BSM) and porcine gastric mucin (PGM) showed distinctly higher adsorbed masses  
24 compared to BLG onto polydimethylsiloxane (PDMS) or polystyrene (PS) surfaces. The adsorbed

25 masses of the mixed protein solutions, namely BLG-BSM and BLG-PGM, reduced significantly,  
26 and BLG appeared to dominate the surface adsorption event, presumably due to the reduced  
27 concentration of mucins and the Vroman effect. While pin-on-disk tribometry and mini-traction  
28 machine (MTM) were employed to provide the tribological contacts with varying contact pressure,  
29 speed range, and slide/roll ratio, the dominant lubrication mechanism of the protein solutions was  
30 boundary lubrication. BLG-BSM mixture showed the highest level of degradation in the lubricity of  
31 BSM at pH 5, although BLG-saliva interaction is known to degrade the lubricity most rapidly at  
32 more acidic pH, such as at pH 3.5. More importantly, pH dependent lubricating properties of BLG-  
33 BSM mixed solutions appeared to be determined by competitive adsorption of the two proteins onto  
34 the substrates, which suggests that they do not form as strong aggregates as BLG-saliva, especially  
35 under tribological stress.

36

## 37 **1. Introduction**

38 There has been growing interest in understanding food oral processing and digestion by applying  
39 various techniques to achieve desired designing of food and pharmaceuticals with new ingredients  
40 and interfacial structures (Lundin, Golding, & Wooster, 2008; McClements, Decker, & Park, 2009;  
41 Singh, Ye, & Horne, 2009; Singh & Ye, 2013). A few studies have investigated food oral  
42 processing by focusing on the interaction of food emulsions with saliva in the oral environment  
43 (Vingerhoeds et al. 2005; van Aken, Vingerhoeds, & de Hoog, 2007; Silletti et al. 2007; Sarkar,  
44 Goh, & Singh, 2009). These studies have shown that either electrostatic interaction or hydrophobic  
45 forces causes emulsion flocculation, aggregation, or aroma releasing, which are related to sensory  
46 perception. Due to complexity of both food and saliva, the details of food-saliva interactions still  
47 require further explanations. In particular, little information is available in literature on the  
48 molecular-level interaction between constituents of food-saliva systems.

49           Recently, tribology has emerged as a new instrumental approach to investigate oral processing  
50 of food emulsions in simulated oral environment (Meyer et al. 2011; Vardhanabhuti et al. 2011;  
51 Chojnicka-Paszun, de Jongh, & de Kruif, 2012; Chen & Stokes, 2012; van Aken, 2013; Selway &  
52 Stokes, 2013; Prakash, Tan, & Chen, 2013; Chen, Liu, & Prakash, 2014; Joyner Melito, Pernell, &  
53 Daubert, 2014). In turn, this is often correlated with food's sensory perception (Meyer et al. 2011;  
54 Vardhanabhuti et al. 2011; Chojnicka-Paszun, de Jongh, & de Kruif, 2012; Selway & Stokes, 2013;  
55 Prakash, Tan, & Chen, 2013). Tribology is particularly useful for understanding the behavior of thin  
56 films formed between two opposing surfaces where rheological and structural/mechanical  
57 properties of food may no longer explain their behavior sufficiently.

58           In the present study, we attempted to apply tribological techniques to investigate the  
59 interaction of  $\beta$ -lactoglobulin (BLG) with mucins under tribological stress and how it affects their  
60 lubricating properties. BLG is the major whey protein constituting > 50% of the total whey proteins  
61 in bovine milk (Zúñiga, Tolkach, Kulozik, & Aguilera, 2010). BLG contains many charged groups,  
62 therefore, its structure and properties are highly pH-dependent (Fang & Dalglish, 1997). Mucins  
63 are a family of large, extracellular glycoproteins (Bansil, Stanley, & LaMont, 1995; Svensson, &  
64 Arnebrant, 2010) and are known to be chiefly responsible for the slipperiness of saliva (Tabak,  
65 Kevine, Mandel, & Ellison, 1982; Berg, Lindh & Arnenrant, 2004). Apart from its functions in  
66 biological systems, previous studies have shown facile adsorption and effective lubrication on  
67 various engineering materials too (Lee, Müller, Rezwan, & Spencer, 2005; Yakubov, McColl,  
68 Bongaerts & Ramsden, 2009; Nikogeorgos, Madsen, & Lee, 2014). The importance of  
69 understanding the interaction characteristics between BLG and mucins is related to an ongoing  
70 discussion on the origin of astringency. One of the most prevailing models is that astringents  
71 interact with saliva to form aggregates to deplete the lubricant (saliva) from the tribological contacts  
72 in the mouth (Rossetti, Bongaerts, Wantling, Stokes, & Williamson, 2009; Vardhanabhuti et al.,

73 2011). Recent applications of tribological techniques allowed for quantitative characterization of the  
74 lubricating properties of the fluids involving saliva and BLG or other astringents. For example,  
75 Vardhanabhuti et al. (2011) showed that addition of BLG into a soft tribological interface increased  
76 the interfacial friction forces, yet at varying rates depending on pH. Aggregation of macromolecules  
77 (BLG) with hydrogel (saliva) is, however, a complex process influenced by a number of  
78 parameters. For example, among many types of proteins in saliva, which one(s) are involved in the  
79 aggregation with BLG is not clear. Thus, it would be important and meaningful to investigate the  
80 molecular-level interaction to deepen the understanding the interaction of saliva with whole food.  
81 Moreover, despite relatively more active studies addressing the interactions of mucins with  
82 polysaccharides (Qaqish, & Amiji, 1999; Menchicchi et al., 2014; Menchicchi et al., 2015), the  
83 studies for the interaction of mucins with food proteins are much more limited.

84 We have chosen two types of mucins, namely, bovine submaxillary mucin (BSM) and porcine  
85 gastric mucin (PGM), purchased from a commercial manufacturer (Sigma-Aldrich). The fact that  
86 both mucins are highly relevant to food digestion process, yet to different organs, is the first reason  
87 for comparing them. Additionally, in parallel with common structural features of the two mucins  
88 (Bansil, Stanley, & LaMont, 1995; Sandberg, Blom, & Caldwell, 2009), reported differences in  
89 their biophysical properties, especially the lubricating properties (Lee, Müller, Rezwan, & Spencer,  
90 2005; Nikogeorgos, Madsen, & Lee, 2014), may lead to different interaction with BLG and  
91 alteration in the lubricating properties.

92

## 93 **2. Materials and methods**

### 94 *2.1 Sample preparation*

95 BLG from bovine milk, BSM (Type I-S), and PGM (Type III) were purchased from Sigma-Aldrich  
96 (Brøndby, Denmark), and were used as received. Protein solutions with the concentration of 1

97 mg/mL were prepared by dissolving in 10 mM phosphate buffered saline (PBS) solutions and were  
98 used throughout the study. The pH values of the buffer solutions were adjusted to 7.4, 5, and 3 by  
99 addition of HCl or NaOH. For the mixture of BLG and mucins, the two protein solutions were  
100 mixed directly at the ratio of 1:1 (v:v) and the total protein concentrations were remained at either 1  
101 mg/mL. Due to relatively weaker lubricating capabilities of PGM at 1 mg/mL (Lee, Müller,  
102 Rezwan, & Spencer, 2005), PGM, BLG, and PGM-BLG mixed solutions were studied at 10 mg/mL  
103 too.

104

### 105 *2.2 Zeta potential measurements*

106 The zeta potential of the protein solutions was characterized with a laser (633 nm) Doppler  
107 electrophoresis instrument (LDE; Zetasizer Nano ZS, Malvern, UK). Disposable cuvettes (model  
108 DTS 1070) were used. At least five measurements were performed for each protein solution to  
109 acquire statistically valid data.

110

### 111 *2.3 Bicinchoninic acid (BCA) assay*

112 Surface adsorption properties of the proteins onto hydrophobic substrates were characterized by  
113 means of BCA protein qualification assay. This technique is based on the reduction of  $\text{Cu}^{2+}$  to  $\text{Cu}^+$   
114 in the presence of peptide bonds, and subsequent complex formation with BCA to form a purple-  
115 colored end product (Smith et al. 1985). The assay has been established to quantify proteins in bulk  
116 solution, and recently, it was further customized to estimate the protein amount adsorbed on  
117 surfaces (Sandberg, Mellina, Gelius, & Caldwell, 2009; Pakkanen, Madsen, & Lee, 2015). An  
118 important assumption in this modified approach is that surface adsorbed proteins (peptide bonds)  
119 have the same reduction reactivity of converting  $\text{Cu}^{2+}$  to  $\text{Cu}^+$  with those in bulk solution. Thus, the  
120 light absorbance by  $\text{Cu}^+$ -BCA complex is proportional to the amount of the proteins even if the

121 proteins are surface-localized. Detailed procedures to acquire standard curves and the areal mass of  
122 the sample proteins are provided in the Supplementary Information (Figure S1.) In this study,  
123 standard curves were prepared for not only bovine serum albumin (BSA) as a general test protein,  
124 but also for all the proteins or protein mixtures at each condition, including concentration, pH, and  
125 the choice of microtiter materials (see below). The absorbance of each protein sample was  
126 measured at 540 nm with an absorbance microplate reader (BioTek, ELx800 model). The  
127 measurements were repeated three times for each sample for statistical evaluation. The microtiter  
128 wells (GMBH+CO KG, Wertheim, Germany) were made of polystyrene (PS). While PS was not  
129 used as a tribopair material, we assume that protein adsorption properties of the proteins onto PS  
130 would be similar with those onto polyoxymethylene (POM), which was used as a tribopair  
131 material. For the relevance of surface adsorption properties to tribological interfaces of  
132 poly(dimethylsiloxane) (PDMS), some microtiter wells were coated with a thin layer of PDMS. To  
133 this end, a two-component silicone kit (Sylgard 184, Dow Corning) was employed. Base fluid and  
134 crosslinker were mixed at the ratio of 10:1 (w/w). A small drop (ca. 20  $\mu$ L) was added to each well  
135 and cured in an oven at 80 °C overnight. It is noted that as standard curves were independently  
136 obtained for PDM-coated wells too, thin PDMS coating on the absorbance does not affect the  
137 adsorbed mass estimation.

138

#### 139 *2.4 Pin-on-disk tribometry*

140 Lubricating properties of the protein solutions at sliding contacts were characterized by pin-on-disk  
141 tribometry (CSM, Peseux, Switzerland). In this approach, a loaded spherical pin is allowed to form  
142 a contact on a plane disk. The motor-driven rotation of the disk generates interfacial friction forces  
143 between the pin and the disk. The applied load is controlled by dead weight and the friction forces

144 generated during sliding contact are monitored by a strain gauge. The coefficient of friction,  $\mu$ , is  
145 defined from the relationship,  $\mu = F_{\text{friction}}/F_{\text{load}}$ .

146 PDMS was chosen as the tribopair for both pin (6 mm in diameter) and disk (30 mm in  
147 diameter and 5 mm in thickness). The pin and disk from PDMS were prepared with the PDMS kit  
148 mentioned above as described by Nikogeorgos, Madsen, & Lee (2014). To ensure that the  
149 lubricated contacts were in the boundary lubrication regime, a low sliding speed (5 mm/s) was  
150 selected under a 5 N or 2 N load, corresponding to the apparent maximum Hertzian contact pressure  
151 of 0.6 or 0.4 MPa, respectively. The friction force data were collected for 100 rotations at room  
152 temperature (25 °C) and the tests were repeated multiple times for statistical evaluation. For each  
153 measurement, a tribopair of PDMS-PDMS was used only once and discarded to avoid cross  
154 contamination between measurements. As a control, a POM pin (6 mm in diameter) was employed  
155 to form a POM/PDMS interface under 5 N (apparent maximum Hertzian contact pressure of 0.9  
156 MPa). The basic mechanical and surface properties of the tribopair materials are presented in Table  
157 1.

158

### 159 *2.5 Mini traction machine (MTM)*

160 Lubrication properties of the protein solutions were characterized at mixed/rolling contacts in the  
161 higher speed regime by means of a mini-traction machine (MTM, PCS Instruments Ltd., UK) too.  
162 Mixed rolling/sliding contacts are provided with MTM by independent rotation of ball and disk.  
163 The mean speed is defined as  $[(\text{speed}_{\text{ball}} - \text{speed}_{\text{disk}})/2]$ . The slide/roll ratio (SRR) is defined as  $\text{SRR}$   
164  $= (|\text{speed}_{\text{ball}} - \text{speed}_{\text{disk}}|)/[(\text{speed}_{\text{ball}} + \text{speed}_{\text{disk}})/2] \times 100\%$ , where 0% SRR represents pure rolling  
165 and 200% SRR represents pure sliding. In this study, SRR of 20% was employed in all  
166 measurements with varying mean speed between 10 mm/s to 1200 mm/s. Tests were conducted at  
167 room temperature (25 °C) with the tribopair consisting of a POM ball and a PDMS disk. The PDMS



168 disks were prepared from the aforementioned two-component silicone kit (Sylgard 184, Dow  
169 Corning) as well. A thick PDMS slab (ca. 5 mm) was cast on top of a steel disk (ca. 5 mm) for each  
170 sample. POM balls were purchased from a supplier (19.05 mm ( $\frac{3}{4}$  inch) in diameter, Precision  
171 Plastic Ball Co., IL) and were used as received. For each measurement, a new PDMS disk was  
172 employed, whereas the same POM ball was used after cleaning with distilled water, ethanol, and  
173 sonication in distilled water for 5 min. A fixed load (2 N) was applied with the estimated Hertzian  
174 contact pressure of 0.3 MPa. Tests were repeated three times and the friction data were averaged.

175

### 176 **3. Results and discussion**

#### 177 *3.1 Zeta potentials*

178 In Figure 1, the zeta potentials of the protein solutions are presented as a function of pH. A zeta  
179 potential of near 0 mV was observed at pH 5 for BLG, which is indicative of near-zero net charge  
180 and being close to the isoelectric point (IEP) of BLG. As expected, positive and negative zeta  
181 potentials were measured when the pH was shifted to 3 and 7.4, respectively in agreement with the  
182 study of Engelhardt et al. (2013). It is noted that despite a gradual decrease in the magnitude of  
183 negative charges with decreasing pH, the zero zeta potentials of the mucins were not reached even  
184 at pH 3, indicating that the IEPs of both mucins are lower than pH 3 (Lee, Müller, Rezwan, &  
185 Spencer, 2005; Sotres, Madsen, Arnebrant, & Lee, 2014). It is further to note that BSM displayed  
186 more negative zeta potentials than PGM at all pH values due to higher abundance of negatively  
187 charged moieties in BSM, such as sialic acids (bound sialic acid 9 – 17% for BSM Type I-S and 0.5  
188 – 1.5% for PGM Type III, Sigma Aldrich).

189 For the BLG-BSM mixture, the trend of the zeta potential changes according to pH change  
190 appears to be similar to that of BSM itself, except for slightly less negative values. However, as the  
191 absolute values of the zeta potential of BLG at pH 3 and 5 are much smaller than those of BSM at

192 these pHs, and as the zeta potentials of BLG and BSM are very similar to each other at pH 7.4, the  
193 interaction nature between BSM and BLG cannot be judged based on zeta potential data alone. The  
194 seemingly ignorable contribution of BLG to the zeta potential of BLG-PGM mixture at pH 5 could  
195 be also discussed in the same context. At pH 3 and 7.4, however, despite fairly different zeta  
196 potentials of BLG and PGM, their mixture showed nearly the same zeta potentials of PGM rather  
197 than intermediate ones, which signifies the dominance of the electrophoretic mobility of PGM in  
198 the mixed protein solutions.

199

### 200 *3.2 Surface adsorption properties*

201 The adsorbed masses of the proteins per unit area, denoted as  $\Gamma$ , of the PDMS-coated microtiter  
202 well surfaces are presented in Figure 2. It is noted that Figure 2(a) is for the data obtained from 1  
203 mg/mL (all protein solutions) and Figure 2(b) is for those from 10 mg/mL (PGM, BLG, and BLG-  
204 PGM mixture solutions only). The results for the PS microtiter wells were very similar and the  
205 results are shown in Supplementary Information (Figure S3). A few noticeable features of the  
206 adsorption behavior of mucins, BLG, and their mixtures are discussed as follows.

207 Firstly, the adsorbed masses of mucins were clearly higher than those of BLG under the same  
208 conditions (concentration and pH). For instance, the  $\Gamma_{\text{PGM}}$  was ca. 3 mg/m<sup>2</sup> and  $\Gamma_{\text{BSM}}$  ranged ca. 2.0-  
209 4.5 mg/m<sup>2</sup> from 1 mg/mL solutions for all pH values, whereas  $\Gamma_{\text{BLG}}$  ranged ca. 0.4-1.0 mg/m<sup>2</sup> only  
210 (Figure 3). While the experimental approach employed in this study was markedly different from  
211 more conventional optical approaches, the  $\Gamma$  values for mucins (Shi, & Caldwell, 2000; Lee,  
212 Müller, Rezwan, & Spencer, 2005; Nikogeorgos, Madsen, & Lee, 2014) and BLG (Krisdhasima,  
213 McGuire, & Sproull, 1992) onto hydrophobic surfaces are roughly in the same range, which  
214 supports the validity of BCA as a quantitative surface adsorption characterization technique. Given  
215 the adsorbed mass per unit area and the molecular weight of BSM (1.6 MDa, Shi, & Caldwell,

216 2000), PGM (1.25 MDa, Davies, & Viney, 2002), and BLG (18 kDa, Zúñiga, Tolkach, Kulozik, &  
217 Aguilera, 2010), the number of protein molecules per unit area, or conversely, the surface area  
218 occupied per single molecule could be estimated. If we further assume that this area is circular, its  
219 diameter,  $D_s$ , can be compared with the hydrodynamic diameter,  $D_h$ , of the proteins in a bulk  
220 solution from literature (Durrer, Irache, Duchene, & Ponchel, 1995; Celebioglu et al., 2015). The  
221 results (Table 2) showed that the  $D_{s, BLG}$  is comparable to  $D_{h, BLG}$  or smaller, whereas the  $D_{s, BSM}$  or  
222  $D_{s, PGM}$  is approximately only ca. 10-25% of their  $D_h$  in bulk solution. This means that mucins are  
223 not only higher than BLG in the adsorbed masses, but also adsorb onto the surfaces in a more  
224 compact conformation due to a high flexibility of mucins to accommodate themselves in a narrow  
225 space or a possibility to form multilayers.

226 Secondly, pH was observed to have an influence on the surface adsorption of both mucins and  
227 BLG. For instance, BSM showed higher adsorbed masses at acidic pHs than pH 7.4, in consistent  
228 with a recent study by Sotres, Madsen, Arnebrant, & Lee (2014). This behavior is readily expected  
229 from polyanionic characteristics of BSM and nonpolar characteristics of PDMS surface; adsorption  
230 of polyanionic species onto nonpolar surfaces from aqueous environment inevitably leads to the  
231 accumulation of charges on the surface and it act as a barrier to hamper further adsorption (Sotres,  
232 Madsen, Arnebrant, & Lee, 2014). With decreasing pH, BSM starts to be protonated and the barrier  
233 can be diminished. The pH dependence of PGM for the adsorption onto PDMS at 1 mg/mL is much  
234 weaker, and this is consistent with the fact that PGM carries less charged moieties as shown by the  
235 zeta potential measurements (Figure 1). However, at 10 mg/mL, PGM also showed a highest  
236 adsorbed mass at pH 3 than at lower pHs, presumably due to the activation of the electrostatic  
237 repulsion mechanism mentioned above. Adsorption of BLG onto PDMS surfaces showed a higher  
238  $\Gamma_{BLG}$  values at pH 5 compared to pH 3 and 7.4, which could also be explained by the electrostatic  
239 repulsion model, as the zeta potential of BLG is nearly zero at pH 5 (Figure 1).

240 Thirdly, and most importantly, mixed protein solutions showed much lower  $\Gamma$  values than  
241 those of respective mucins and comparable to BLG at each condition. While only a half of the  
242 mucin concentration in the mixed protein solutions can be a first reason, this behavior could be  
243 ascribed also to the Vroman effect too (Vroman & Adams, 1969);Lassen & Malmsten, 1997;  
244 Latour, 2008); as BLG is much smaller and lighter than the mucins, it is more mobile and can reach  
245 the surface faster than the mucins in the early stage of adsorption ( $\leq 1$  hr in this study).  
246 Furthermore, as the weight/volume concentration of BLG and mucins were equal in the mixed  
247 protein solutions, the number of BLG molecules overwhelms that of mucins due to much smaller  
248 molecular weight of BLG. Thus, BLG can readily dominate the initial surface adsorption..

249 More importantly, the dominance of BLG in the surface adsorption from the BLG-mucin  
250 mixture mentioned above implies that there is a large portion of “free” BLG molecules in the mixed  
251 protein solutions, and that they participate in the surface adsorption process in competition with the  
252 mucins. This is contradicting with a recent spectroscopic study on the interaction between BLG and  
253 BSM (Celebioglu et al., 2015), in which the DLS measurements of the BLG-BSM mixture led to a  
254 complete disappearance of the peak corresponding to free BLG molecules. This may be caused by  
255 the substantially different light scattering sensitivity for the two types of molecules, i.e. BLG  
256 molecules are not readily detectable when they are present together with much larger BSM  
257 molecules in a solution. It may also simply reflect a very weak interaction nature between BLG and  
258 BSM even if they may form loose aggregates.

259

### 260 *3.3 Lubricating properties*

261 In this study, two types of hydrophobic interfaces, namely PDMS-PDMS and POM-PDMS, were  
262 employed for the lubrication studies. Hydrophobic substrates were chosen as they may potentially  
263 mimic an oral mucosa membrane underneath mucus layers and facile adsorption of mucins onto the

264 substrate can complete an in-vitro oral mucosa model. More importantly, in order to assess the  
265 boundary lubricating properties of the proteins, the substrates should effectively attract them onto  
266 the surface in the first place, and thus hydrophobicity is a first demanded attribute as the tribopair.  
267 POM and PDMS are though very different in their mechanical properties and surface roughness  
268 (Table 2). Thus, without substantial changes in the tribological parameters, e.g. external load, these  
269 two sliders provide significantly different contact pressure regimes on the opposing substrate.

270

### 271 *3.3.1 Sliding contacts of soft-soft and smooth interface; pin-on-disk tribometry*

272 Figure 3 shows the  $\mu$  values obtained from the sliding contacts of PDMS-PDMS interface as  
273 lubricated by the protein solutions at (a) 1 mg/mL (all protein solutions) and (b) 10 mg/mL (PGM,  
274 BLG, and BLG-PGM mixture solutions only) as characterized by pin-on-disk tribometry. Buffers  
275 with three different pHs showed  $\mu$  values of 0.5-0.6 (Figure 3(a)). At 1 mg/mL concentration, the  
276 BSM solutions displayed exceedingly superior lubricity. This is consistent with previous studies  
277 showing effective boundary lubrication by BSM at a PDMS-PDMS interface, yet under much lower  
278 load (Nikogeorgos, Madsen, & Lee, 2014). BSM showed higher  $\mu$  values at pH 3 ( $\mu \approx 0.2$ )  
279 compared to pH 5 and 7.4 ( $\mu \approx 0.02$ ), for which the origin is not clearly understood yet. As the  $\Gamma_{\text{BSM}}$   
280 values at pH 3 was comparable to those at pH 5 and higher than at pH 7.4 (Figure 2), this behavior  
281 cannot be understood in view of surface coverage with BSM at varying pH. One possibility is that  
282 charged BSM at pH 7 may lubricate more effectively due to charge-charge repulsion between the  
283 opposing surfaces.

284 PGM solutions showed virtually ignorable lubricating effect, despite comparable adsorbed  
285 masses with BSM at the same concentration, 1 mg/mL (Figure 2). While the lubricity of the PGM  
286 solution improved at pH 3, in consistent with a former study (Lee, Müller, Rezwan, & Spencer,  
287 2005), the  $\mu$  values were still somewhat higher than those of BSM at the same condition. It is also

288 noticeable that the lubricity of the PGM solutions at 10 mg/mL is not improving or even inferior to  
289 that of PGM at 1 mg/mL at pH 3 (Figure 3(b)). As a control, an experiment employing the 10  
290 mg/mL PGM solution at pH 3 again showed low  $\mu$  values under a reduced load of 2 N (Figure  
291 3(b)), the exceptionally high  $\mu$  values of 10 mg/mL PGM solutions at pH 3 under 5 N appear to be  
292 related to pressure-induced phenomena, such as bridging of PGM molecules between the two  
293 opposing PDMS surfaces and consequently high adhesive contacts.

294 The lubricating efficacy of BLG solutions was also observed to be poor. Although some  
295 variation in  $\mu$  values according to the pH change was observed, at both 1 mg/mL and 10 mg/mL  
296 concentrations, the absolute  $\mu$  values were relatively high ( $\mu > 0.2$ ), indicating that this dependence  
297 is of little importance. Insignificant lubricity of BLG is firstly resulting from the lack of distinct  
298 amphiphilicity, a structural feature required to stabilize the macromolecules on hydrophobic  
299 substrates in aqueous environment. Relatively lower adsorbed masses and larger intermolecular  
300 distances between BLG on PDMS surface (Table 2) suggest that a strong adhesion between PDMS  
301 surfaces may be still active when PDMS-PDMS sliding contact is lubricated with BLG solutions.

302

### 303 *3.3.2 Sliding contacts of hard-soft and rough interface; pin-on-disk tribometry*

304 Figure 4 shows the  $\mu$  values obtained from POM-PDMS interface as lubricated by BSM solution at  
305 pH 7.4 or buffer solution under otherwise the same conditions with Figure 3. For a direct  
306 comparison, the  $\mu$  values from the PDMS-PDMS counterpart (Figure 3) are also presented. About  
307 two times higher  $\mu$  values observed from the POM-PDMS than the PDMS-PDMS interface in the  
308 buffer solutions is ascribed to the substantially higher surface roughness of the POM surface and  
309 consequently high local contact pressures (Table 1). Nevertheless, BSM solution displayed  
310 consistently more effective lubrication than BLG or the BLG-BSM mixture solutions. The

311 ineffective lubricity of the PGM solutions for the POM-PDMS interface was also consistent (data  
312 not shown).

313

### 314 *3.3.3 Mixed rolling-sliding contacts of hard-soft and rough interface; MTM*

315 Figure 5 shows the  $\mu$  vs. speed plots obtained from the POM-PDMS tribopair lubricated with the  
316 protein solutions at 1 mg/mL (a) at pH 3, (b) pH 5, and (c) pH 7.4, as characterized with MTM. The  
317 results showed that all the protein solutions lowered the  $\mu$  values compared to the respective buffer  
318 solutions (some missing  $\mu$  data points in the low-speed regime for the buffer solutions  $> 1$ ), even  
319 including PGM or BLG solutions, which were less lubricious in tribometer experiments. This is  
320 probably due to more favorable conditions for lubrication, including higher speed, higher rolling  
321 characteristics, and lower apparent contact pressure (0.3 MPa) for the MTM experiments. With  
322 increasing mean speed, the  $\mu$  values of all the samples started to decrease, reaching as low as 0.03  
323 for the case of BSM at pH 7.4. However, even in the highest speed regime, no characteristic up-turn  
324 of  $\mu$  values for elastohydrodynamic lubrication (EHL) (de Vincente, Stokes, & Spikes, 2005;  
325 Nalam, Clasohm, Mashaghi, & Spencer, 2010) was observed. Thus, the dominant lubrication  
326 mechanism is thought to be boundary and/or mixed lubrication without separation of the two  
327 opposing surfaces by the fluids. Inability to activate EHL mechanism for this contact is largely  
328 related to high surface roughness of the POM ball (Table 1), which was also attributed to as a main  
329 reason for higher friction forces for this pair in the pin-on-disk tribometry experiments (Figure 4).  
330 The root-mean-square roughness ( $R_q$ ) of the POM ball and PDMS disk is  $659 \pm 179$  nm and  $1.6 \pm$   
331  $0.3$  nm, respectively. Therefore, the composite surface roughness of the POM-PDMS interface,

332  $R_{q,c} = \sqrt{R_{q,POM}^2 + R_{q,PDMS}^2}$ , is nearly identical with that of POM. Thus, for the activation of the

333 EHL mechanism, the lubricating film thickness should be at least 3 times larger than  $R_{q,c}$  (Røn, &  
334 Lee 2014), i.e. ca. 2  $\mu\text{m}$ , which is not realistic, especially for aqueous lubrication.

335 Superior lubricity by the BSM solution to the other protein solutions, in particular at pH 7.4,  
336 was observed in consistent with the pin-on-disk tribometry results (Figure 3 and 4). Due to the  
337 degraded lubricity of BSM at pH 3, however, the relative difference in  $\mu$  values between the protein  
338 solutions became blurred, which also was consistent with the pin-on-disk tribometry data (Figure  
339 3). In fact, the  $\mu$  values for the PGM solutions were slightly lower than those of BSM at pH 3, but  
340 this difference became much smaller in the high-speed regime. The data obtained from 10 mg/mL  
341 solutions of PGM or BLG-PGM (Supplementary Information, Figure S2) were nearly  
342 indistinguishable from those obtained from 1 mg/mL in Figure 5.

343

#### 344 *3.3.4 Surface adsorption properties and lubricity; BSM vs PGM*

345 A strong contrast in the lubricity between BSM and PGM at PDMS-PDMS sliding interface  
346 remains elusive to be understood; both mucins are known to be large in molecular weight and  
347 comparable to each other (Sandberg, Blom, & Caldwell, 2009). Both are heavily glycosylated in the  
348 central region to similar extents, and are proposed to be adsorbing onto hydrophobic surfaces from  
349 water via hydrophobic interaction with unglycosylated C- and N-terminal regions. The adsorbed  
350 masses onto PDMS surface were also fairly comparable for BSM and PGM as shown from the  
351 same concentration, 1 mg/mL, in this study (Figure 2).

352 It should be noted that the aqueous lubrication by adsorption of amphiphilic macromolecules  
353 onto hydrophobic surfaces is achieved essentially by hydration of the hydrophobic surfaces and  
354 removal of hydrophobic adhesion between the two opposing surfaces (Lee, & Spencer, 2005). For  
355 mucins, this is achieved by respective role of unglycosylated terminal regions acting as an anchor  
356 onto the surface and the glycosylated central region acting to recruit water into the interface as



357 mentioned above. Critically important for effective lubrication is to *sustain* the lubricating layer, i.e.  
358 mucin film, under persistently applied tribostress, not just to adsorb in high amount under initial  
359 tribostress-free condition. Thus, the adsorbed mass determined in the absence of tribostress (Figure  
360 2) provides only a first indication for lubricity. Another related point to note is that as the adsorption  
361 of mucins onto hydrophobic surfaces is achieved mainly via hydrophobic interaction, its binding  
362 strength is not strong enough to withstand the tribostress as a monolayer coating. For example, a  
363 recent study demonstrated that a monolayer coating of BSM on PDMS surface immersed in buffer  
364 solution, i.e. without excess BSM in solution, showed an immediate loss of lubricity upon sliding  
365 against a PDMS slider and a gradual increase of  $\mu$  with increasing rotation on a sliding track  
366 (Nikogeorgos, Madsen, & Lee, 2014). Thus, effective lubrication by a BSM solution at PDMS-  
367 PDMS or POM-PDMS interfaces is enabled by continuous re-establishment of the lubricating film  
368 under tribostress involving the cycles of adsorption-desorption-readsorption of BSM molecules.  
369 Thus, the superior lubricity of BSM to PGM should be related to many other factors than the  
370 adsorbed mass itself, such as BSM's superior binding strength onto the surface, more optimized  
371 conformation to hydrate the surface, as well as faster convection to the surface to re-form the  
372 lubricating films, or the combination thereof.

373 In order to visualize the relationship between the lubrication efficacy and the surface  
374 adsorption properties of the proteins in this study, their adsorbed masses,  $\Gamma$ , are plotted against  $\mu$   
375 values obtained from the pin-on-disk tribometry in Figure 6. Because of somewhat extreme  
376 behavior of PGM and its mixture with BLG at 10 mg/mL, only the data obtained from 1 mg/mL  
377 protein solutions are displayed. In Figure 6, as the BSM data set lies in the “right-bottom” quadrant,  
378 it reflects the case where high adsorbed mass is directly correlated with effective lubrication. The  $\mu$   
379 vs.  $\Gamma$  plots also display that the relatively higher friction of BSM at pH 3 is not due to the reduced  
380 adsorbed mass at that pH condition. PGM, being placed in the “right-upper” quadrant, clearly

381 demonstrates the case where high adsorbed mass is not sufficient for effective boundary lubrication.  
382 Lastly, the location of BLG in the “upper-left” quadrant in the plot suggests that the poor adsorption  
383 onto PDMS surface is probably the primary reason for its poor boundary lubrication properties.

384

### 385 *3.3.5 Lubricating properties of BLG-mucin mixtures*

386 Distinctively different lubricating properties of BSM and BLG make it most interesting to explore  
387 the effect of mixing the two proteins. As mentioned earlier, this is interesting largely because a  
388 previous study by Vardhanabhuti et al. (2011) reported that the addition of a BLG solution to the  
389 PDMS-PDMS interface, lubricated by human saliva film, led to a rapid loss of lubricity at an acidic  
390 pH (3.5), but at a much slower pace at pH 7 or 5.

391       The relationship in the change of the adsorbed mass and lubricity upon mixing BLG and BSM  
392 could be clearly manifested in the  $\mu$  vs.  $\Gamma$  plots in Figure 6. Basically, as a group, the BLG-BSM  
393 data set is shifted leftwards, yet without shifting upwards with respect to the BSM data set,  
394 suggesting that the lubricity of BSM is generally maintained despite significantly reduced adsorbed  
395 masses upon mixing with BLG. In more detail, at pH 7.4, a substantial reduction in the adsorbed  
396 mass is accompanied with only a slight degradation of BSM’s lubricity upon mixing with BLG. If  
397 the reduced surface adsorption is related to the competitive adsorption of BLG, this observation is  
398 surprising because, under persistently applied tribostress, molecules that adsorb quicker, i.e. BLG,  
399 should dominate the tribological interface. A fairly well sustained lubricity of the BLG-BSM  
400 mixture compared to the neat BSM solution suggests that BSM rather dominates the tribological  
401 properties of the mixture at this pH. One possible explanation is that as the adsorption of BLG onto  
402 PDMS surface tends to leave the ample PDMS surface uncovered (see the section 3.2), BSM can  
403 readily overlay onto the surface that is pre-occupied with BLG at pH 7.4 and still effectively  
404 lubricate the tribological interface. At pH 5, however, a drastic reduction in both the adsorbed mass

405 and lubricity of BLG-BSM mixture compared BSM is observed. This is related to more facile  
406 adsorption of BLG onto PDMS surface at pH 5 than at pH 3 or 7.4; the adsorbed mass of BLG at  
407 pH 5 was roughly twice those at pH 3 and 7.4 (Figure 2). In turn, this can be attributed to the  
408 electrostatic neutrality of BLG at this pH (Figure 1) and the absence of electrostatic repulsion  
409 between BLG molecules in the surface adsorption process. Thus, the dominance of BLG at the  
410 tribological interface, i.e. clearly degraded lubricity of BLG-BSM mixture compared to BSM, can  
411 be intensified at pH 5. At pH 3, a reduction in the adsorbed mass without degrading lubricity is  
412 observed upon mixing BSM with BLG, similarly with pH 7.4. However, as the  $\mu$  values of BLG  
413 and BSM are similar to each other, the dominance of BLG at the tribological interface can be  
414 suggested only based on the significantly reduced adsorbed mass.

415 Overall, a strong pH dependence of the lubricating properties of BLG-BSM at the PDMS-  
416 PDMS interface, which can be related to the reported pH dependence of the lubricating properties  
417 of BLG-saliva interaction, was confirmed even on a molecular level interaction. However, more  
418 detailed trends are very different in the interaction of BLG-saliva vs. BLG-BSM. Firstly, for the  
419 former, strong interaction of BLG with saliva (Vingerhoeds, Blijdenstein, Zoet, & van Aken, 2005)  
420 or mucosa (Withers, Cook, Methven, Gosney, & Khutoryanskiy, 2013) has well been established  
421 and it formulates the ground for the rapid depletion of saliva films from the tribological interface at  
422 pH 3.5. Meanwhile, for the mixed BLG-BSM solution at 1 mg/mL concentration, competitive  
423 adsorption between them onto the tribological interface and its dependence on pH appears mainly  
424 responsible for varying lubricity according to pH change. This means, however, that BLG and  
425 mucins do not formulate tightly bound aggregates in the mixture solution. Secondly, while rapid  
426 degradation of lubricity was observed only at pH 3.5 for the BLG-saliva interaction, the degraded  
427 lubricity was most prominent at pH 5 for the BLG-BSM interaction, yet much weaker at pH 7.4 or  
428 pH 3. Again, this is due to that the main cause for the degrading lubricity of BLG-BSM mixed

429 solution is competitive adsorption onto the tribological interface rather than strong aggregation  
430 between them.

431 For the mixtures of BLG-PGM, as the lubricity of BLG or PGM, as well as the mixed  
432 solution of BLG-PGM, is equally poor, the tribology data alone do not provide conclusive  
433 information on the interaction between BLG and PGM. Meanwhile, Figure 6 shows that the net  
434 effect of mixing BLG and PGM is featured with substantially decreased adsorbed masses compared  
435 to the neat PGM solutions. Thus, it can be also suggested that BLG dominates the tribological  
436 interface for BLG-PGM mixture.

437

#### 438 **4. Conclusions**

439 In this study, we have investigated the molecular-level interaction between mucins and BLG by  
440 means of tribological approaches according to mucin type, solution pH, and protein concentration.  
441 Hydrophobic interfaces, namely PDMS-PDMS and POM-PDMS, were employed for feasible  
442 adsorption of the proteins and consequent possibility of assessment of the boundary lubricating  
443 properties. Surface adsorption properties of the proteins by BCA assay revealed that both mucins  
444 adsorbed onto the hydrophobic substrates in a large amount to form either highly compact layers or  
445 multilayers, whereas BLG appeared to adsorb without interfering with neighboring molecules or  
446 even by partly exposing bare substrates. This difference was firstly related to generally more  
447 effective lubricating properties of mucins, in particular BSM, compared to BLG. Nevertheless,  
448 nearly ignorable lubricating effect by PGM, despite its facile surface adsorption, suggests that other  
449 parameters than adsorbed masses play a significant role to impart superior lubricity of BSM to  
450 PGM or BLG. While both pin-on-disk tribometry and MTM were employed to provide the  
451 tribological contacts with different contact pressure, speed range, and slide/roll ratio, the  
452 dominating lubrication mechanism by the protein solution was boundary lubrication. Surface

453 adsorption and lubricating properties of mixed protein solutions, such as BLG-BSM and BLG-  
454 PGM, with respect to neat protein solutions were of prime interest as it can be compared with the  
455 well-known role of BLG as astringency to form a complex with saliva and rapidly deplete from the  
456 tribological interface at acidic pH (3.5, for example). Even in the absence of tribostress, the  
457 adsorbed masses of the mixed protein solutions reduced significantly, and BLG appeared to  
458 dominate the surface adsorption event, presumably due to the reduced concentration of mucins as  
459 well as the Vroman effect. Nevertheless, excellent lubricity was still observed at pH 7.4 and BSM  
460 apparently dominated the tribological interface, which highlights the excellent lubricating  
461 capabilities of BSM. Although being still relatively more lubricious than the other proteins, the  
462 BLG-BSM mixture showed the highest level of degradation in the lubricity of BSM at pH 5, which  
463 contrasts the case of BLG-saliva interaction. This is due to that instead of strong aggregation, as in  
464 BLG-saliva, the lubricating properties of BLG-BSM are determined by competitive adsorption of  
465 the two proteins onto substrates. Most importantly, these observations further suggest that BLG and  
466 BSM molecules do not form strong aggregates, especially under tribological stress. PGM's  
467 intrinsically weaker lubricity remained largely unchanged even in the interaction with BLG.

468

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473

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590

591

592 Table 1.

	Young's modulus (MPa)	Poisson ratio	Surface roughness (nm)	Static water contact angle (°)
PDMS	2	0.5	$1.6 \pm 0.3$	$105.6 \pm .2$
POM	3100	0.35	$659 \pm 179$	$84.8 \pm 2.9$

593

594

595

596 Table 2.

Samples	Adsorbed mass (mg/m <sup>2</sup> )			A, Area per protein molecule (nm <sup>2</sup> )			D <sub>s</sub> , Distance between protein molecule on surface (nm)			D <sub>s</sub> /D <sub>h</sub>		
	3	5	7.4	3	5	7.4	3	5	7.4	3	5	7.4
pH												
BSM	2.03	4.54	2.11	1307.6	585.2	1258.0	40.8	27.3	40.0	0.25	0.17	0.24
PGM	2.81	2.94	3.07	737.3	706.9	674.5	30.6	30.0	17.0	0.14	0.13	0.10
BLG	0.49	1.10	0.38	62.0	27.7	79.8	62.0	27.7	79.8	1.37	0.91	1.55

597