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A mechanistic model for anaerobic phototrophs in domestic wastewater applications: Photo-anaerobic model (PAnM)

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1	A mechanistic model for anaerobic phototrophs in
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3	(PAnM)
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## 14 **ABSTRACT**

Purple phototrophic bacteria (PPB) have been recently proposed as a key potential 15 16 mechanism for accumulative biotechnologies for wastewater treatment with total nutrient 17 recovery, low greenhouse gas emissions, and a neutral to positive energy balance. Purple phototrophic bacteria have a complex metabolism which can be regulated for process 18 control and optimization. Since microbial processes governing PPB metabolism differ from 19 20 traditional processes used for wastewater treatment (e.g., aerobic and anaerobic functional 21 groups in ASM and ADM1), a model basis has to be developed to be used as a framework for further detailed modelling under specific situations. This work presents a mixed 22 23 population phototrophic model for domestic wastewater treatment in anaerobic conditions. The model includes photoheterotrophy, which is divided into acetate consumption and other 24 organics consumption, chemoheterotrophy (including simplified fermentation and anaerobic 25 oxidation) and photoautotrophy (using hydrogen as an electron donor), as microbial 26 27 processes, as well as hydrolysis and biomass decay as biochemical processes, and is single-biomass based. The main processes have been evaluated through targeted batch 28 experiments, and the key kinetic and stoichiometric parameters have been determined. The 29 30 process was assessed by analyzing a continuous reactor simulation scenario within a longterm wastewater treatment system in a photo-anaerobic membrane bioreactor. 31

32 Key words: Phototrophic bacteria, resource recovery, mechanistic modelling, Partition-

33 Release-Recovery

# 34 NOMENCLATURE

- 35 ADM1 IWA Anaerobic Digestion Model #1
- 36 ASM IWA Activated Sludge Models
- $f_{ac,ch}$  Stoichiometry of acetate production in chemoheterotrophy (mgCOD mgCOD<sup>-1</sup>)
- $f_{C,B}$  Carbon content of PPB (molC mgCOD<sup>-1</sup>)
- $f_{C,si}$  Carbon content of soluble inert (molC mgCOD<sup>-1</sup>)
- $f_{C,xi}$  Carbon content of particulate inert (molC mgCOD<sup>-1</sup>)
- $f_{C,xs}$  Carbon content of biodegradable particulate (molC mgCOD<sup>-1</sup>)
- $f_{h2,a}$  Stoichiometry of hydrogen consumption in autotrophy (mgCOD molC<sup>-1</sup>)
- $f_{h2,ch}$  Stoichiometry of hydrogen production in chemoheterotrophy (mgCOD mgCOD<sup>-1</sup>)
- $f_{h2,xs}$  Stoichiometry of hydrogen production in hydrolysis (mgCOD mgCOD<sup>-1</sup>)
- $f_{IC,a}$  Stoichiometry of inorganic carbon consumption in autotrophy (molC molC<sup>-1</sup>)
- $f_{IC,ph,ac}$  Stoichiometry of inorganic carbon produced from acetate in photoheterotrophy
- 47 (molC mgCOD<sup>-1</sup>)
- $f_{IC,ph,Ss}$  Stoichiometry of inorganic carbon produced from soluble fraction of substrate but
- 49 acetate in photoheterotrophy (molC mgCOD<sup>-1</sup>)
- $f_{IC,xs}$  Stoichiometry of inorganic carbon production in hydrolysis (molC mgCOD<sup>-1</sup>)
- $f_{IN,xs}$  Stoichiometry of ammonia production in hydrolysis (mgN mgCOD<sup>-1</sup>)
- $f_{IP,xs}$  Stoichiometry of phosphate production in hydrolysis (mgP mgCOD<sup>-1</sup>)
- $f_{N,B}$  Nitrogen content of PPB (mgN mgCOD<sup>-1</sup>)
- $f_{N,si}$  Nitrogen content of soluble inert (mgN mgCOD<sup>-1</sup>)
- $f_{N,xi}$  Nitrogen content of particulate inert (mgN mgCOD<sup>-1</sup>)
- $f_{N,xs}$  Nitrogen content of biodegradable particulate (mgN mgCOD<sup>-1</sup>)
- $f_{P,B}$  Phosphorus content of PPB (mgP mgCOD<sup>-1</sup>)
- $f_{P,si}$  Phosphorus content of soluble inert (mgP mgCOD<sup>-1</sup>)
- $f_{P,xi}$  Phosphorus content of particulate inert (mgP mgCOD<sup>-1</sup>)
- $f_{P,xs}$  Phosphorus content of biodegradable particulate (mgP mgCOD<sup>-1</sup>)

- $f_{SAc,xs}$  Stoichiometry of acetate production in hydrolysis (mgCOD mgCOD<sup>-1</sup>)
- $f_{si,xs}$  Stoichiometry of soluble inert production in hydrolysis (mgCOD mgCOD<sup>-1</sup>)
- $f_{ss,xs}$  Stoichiometry of soluble substrate production but acetate in hydrolysis (mgCOD
- 64 mgCOD<sup>-1</sup>)
- $f_{xi,xs}$  Stoichiometry of particulate inert production in hydrolysis (mgCOD mgCOD<sup>-1</sup>)
- $HA Hydrogenogenic activity (mgCOD_{h2} L_{liq}^{-1} d^{-1})$
- $HA_{max}$  Maximum hydrogenogenic activity (mgCOD<sub>h2</sub> L<sub>liq</sub><sup>-1</sup> d<sup>-1</sup>)
- 68 HRT Hydraulic retention time (h)
- $I_{FA}$  Limiting factor for free ammonia inhibition
- $I_{IE}$  Limiting factor for light limitation
- $I_{IN}$  Limiting factor for nitrogen limitation
- $I_{IP}$  Limiting factor for phosphorus limitation
- $k_{dec}$  Biomass decay first order constant (d<sup>-1</sup>)
- $k_{hyd}$  Hydrolysis first order constant (d<sup>-1</sup>)
- $K_{I,FA}$  Inhibitory constant for free ammonia (mgN L<sup>-1</sup>)
- $k_{M,ac}$  Specific uptake rate for acetate in photoheterotrophy (mgCOD mgCOD<sup>-1</sup> d<sup>-1</sup>)
- $k_{M,but}$  Specific uptake rate for butyrate in photoheterotrophy (mgCOD mgCOD<sup>-1</sup> d<sup>-1</sup>)
- $k_{M,ch}$  Specific uptake rate in chemoheterotrophy (mgCOD mgCOD<sup>-1</sup> d<sup>-1</sup>)
- $k_{M,et}$  Specific uptake rate for ethanol in photoheterotrophy (mgCOD mgCOD<sup>-1</sup> d<sup>-1</sup>)
- $k_{M,ic}$  Specific uptake rate of IC in autotrophy (molC mgCOD<sup>-1</sup> d<sup>-1</sup>)
- $k_{M,ph}$  Specific uptake rate in photoheterotrophy (mgCOD mgCOD<sup>-1</sup> d<sup>-1</sup>)
- $k_{M,prop}$  Specific uptake rate of propionate in photoheterotrophy (mgCOD mgCOD<sup>-1</sup> d<sup>-1</sup>)
- $K_{S,ac}$  Saturation constant for acetate in photoheterotrophy (mgCOD L<sup>-1</sup>)
- $K_{S,but}$  Saturation constant for butyrate in photoheterotrophy (mgCOD L<sup>-1</sup>)
- $K_{S,E}$  Saturation constant for light intensity (W m<sup>-2</sup>)
- $K_{S,et}$  Saturation constant for ethanol in photoheterotrophy (mgCOD L<sup>-1</sup>)
- $K_{S,h2}$  Saturation constant for H<sub>2</sub> consumption in autotrophy (mgCOD L<sup>-1</sup>)
- $K_{S,IC}$  Saturation constant for inorganic carbon in autotrophy (molC L<sup>-1</sup>)

- 89  $K_{S,prop}$  Saturation constant for propionate in photoheterotrophy (mgCOD L<sup>-1</sup>)
- 90  $K_{S,s}$  Saturation constant for soluble substrate but acetate in photoheterotrophy (mgCOD L<sup>-1</sup>)
- 91  $K_{Sin}$  Saturation constant for inorganic nitrogen assimilation (mgN L<sup>-1</sup>)
- 92  $K_{Sip}$  Saturation constant for inorganic phosphorus assimilation (mgP L<sup>-1</sup>)
- 93  $S_{ac}$  Concentration of acetate (mgCOD L<sup>-1</sup>)
- 94 SCOD Soluble chemical oxygen demand (mgCOD  $L^{-1}$ )
- 95  $S_{h2}$  Concentration of hydrogen as COD (mgCOD L<sup>-1</sup>)
- 96  $S_l$  Concentration of soluble inerts (mgCOD L<sup>-1</sup>)
- 97  $S_{lC}$  Concentration of inorganic carbon (molC L<sup>-1</sup>)
- 98  $S_{IN}$  Concentration of inorganic nitrogen as ammonia (mgN L<sup>-1</sup>)
- 99  $S_{IP}$  Concentration of inorganic phosphorus as phosphate (mgP L<sup>-1</sup>)
- 100 SPA Specific phototrophic activity (mgCOD mgCOD<sup>-1</sup>  $d^{-1}$ )
- 101 SRT Solids retention time (d<sup>-1</sup>)
- 102  $S_s$  Concentration of biodegradable soluble fraction but acetate (mgCOD L<sup>-1</sup>)
- 103 TCOD Total chemical oxygen demand (mgCOD L<sup>-1</sup>)
- 104 TIC Total inorganic carbon (molC  $L^{-1}$ )
- 105 TKN Total Kjeldahl Nitrogen (mgN L<sup>-1</sup>)
- 106 TP Total phosphorus (mgP L<sup>-1</sup>)
- 107 TSS Total suspended solids (mg  $L^{-1}$ )
- 108 VFA Volatile fatty acids (mgCOD  $L^{-1}$ )
- 109 VLR Volumetric loading rate (mgCOD  $L^{-1} d^{-1}$ )
- 110 VSS Volatile suspended solids (mg L<sup>-1</sup>)
- 111  $X_l$  Concentration of particulate inerts (mgCOD L<sup>-1</sup>)
- 112  $X_{PB}$  Concentration of PPB biomass (mgCOD L<sup>-1</sup>)
- 113  $X_{\rm S}$  Concentration of organic biodegradable particulate (mgCOD L<sup>-1</sup>)
- 114  $Y_{ac}$  Biomass yield on acetate in photoheterotrophy (mgCOD mgCOD<sup>-1</sup>)
- 115 Y<sub>but</sub> Biomass yield on butyrate in photoheterotrophy (mgCOD mgCOD<sup>-1</sup>)
- 116  $Y_{et}$  Biomass yield on ethanol in photoheterotrophy (mgCOD mgCOD<sup>-1</sup>)

- 117 Y<sub>PB,a</sub> Biomass yield in autotrophy (mgCOD molC<sup>-1</sup>)
- 118 Y<sub>PB,ch</sub> Biomass yield in chemoheterotrophy (mgCOD mgCOD<sup>-1</sup>)
- 119  $Y_{PB,ph}$  Biomass yield in photoheterotrophy (mgCOD mgCOD<sup>-1</sup>)
- 120 Y<sub>prop</sub> Biomass yield on propionate in photoheterotrophy (mgCOD mgCOD<sup>-1</sup>)

## 121 1 INTRODUCTION

122 Wastewater treatment is shifting focus to include the capture and recovery of organics and nutrients. This requires novel technological approaches. A key approach is the use of fast 123 growing organisms to concentrate energy, nutrients, and trace compounds into the solid 124 phase, and hence substantially reduce reactive removal of nitrogen and organics while 125 126 enabling phosphorous recovery. One option is high-rate activated sludge, which can achieve 40% nitrogen removal in the primary stage through adsorption and assimilation (Jetten et al. 127 1997). Algae can also be used to partition to the solid phase, but a simultaneous 128 heterotrophic and photosynthetic mode is generally enabled by bacterial-algal associations 129 130 that reduce organic substrate consumption efficiency (Muñoz and Guieysse 2006). Purple phototrophic bacteria (PPB) present a new partitioning approach, which has been shown to 131 completely remove nitrogen to discharge limits when sufficient organic carbon is present 132 without the need for pure cultures, and using infra-red (IR)light only as a driver for growth 133 134 (Hülsen et al. 2014).

135 PPB grow phototrophically rather than photosynthetically, and do not use water as an electron donor to produce oxygen and organics. They are among the most metabolically 136 versatile organisms on earth (Hunter et al. 2008). They grow heterotrophically using a wide 137 138 range of organic compounds, both in presence and absence of light (photoheterotrophy and chemoheterotrophy) (Hunter et al. 2008). However, they can also grow autotrophically by 139 using infrared light as the energy driver for CO<sub>2</sub> fixation, and with inorganic electron donors 140 such as H<sub>2</sub>, Fe<sup>2+</sup>, S<sup>2-</sup> or S<sub>2</sub>O<sub>3</sub><sup>2-</sup> (cyclic anoxygenic photosynthesis) (Overmann and Garcia-141 142 Pichel 1998). Although they can grow in the presence of oxygen, they are extremely effective in anaerobic photoheterotrophic conditions (Gordon and McKinlay 2014, McKinlay 143 and Harwood 2010). Their ability to recycle electrons during the cyclic anoxygenic 144 photosynthesis gives them the ability to harvest and retain electrons, as well as a high 145 146 energetic efficiency. This entails a much higher biomass yield on organic substrates than

147 traditional aerobic biomass (near 1 vs 0.6 gCOD dCOD<sup>-1</sup>) (Hülsen et al., 2014). They can even accumulate electrons in the form of reduced cofactors which enable the disposal of 148 electrons. This can be done through two main strategies: (i) ATP-driven hydrogen production 149 by ferredoxin oxidation in the hydrogenase/nitrogenase system at the end of the electron 150 151 transport chain (ETC), and (ii) the increase of assimilative growth by re-fixation of  $CO_2$  via the Calvin Cycle produced during heterotrophic metabolism (McKinlay and Harwood 2010). 152 These metabolic features give them the possibility of growing and out-competing other 153 heterotrophic microorganisms where light is present, including in low to medium strength 154 wastewater systems with short hydraulic retention times (HRT) (Hülsen et al. 2014). 155

PPB have a number of additional metabolic functions useful in wastewater treatment systems. They are able to accumulate polymers such as poly-phosphate (poly-P) (Liang et al. 2010), polysaccharides (Klein et al. 1991), poly-β hydroxybutyrate (PHB) (Melnicki et al. 2009) and other poly-3(hydroxyalkanoates) (PHA) (Brandl et al. 1991). Under an excess of organics and available energy, and in the absence of mineral nitrogen, they generate hydrogen and fix nitrogen as ammonia (Basak and Das 2007).

PPB have been assessed for wastewater treatment, particularly for processing swine (Kim et 162 al. 2004), latex rubber-sheet (Kantachote et al. 2005), tofu (Zhu et al. 1999), and sugar 163 164 refinery wastewaters (Yetis et al. 2000). However, most of these studies were focused on hydrogen production rather than organics removal or nutrient recovery (Fang et al. 2005, 165 Lee et al. 2010, Tao et al. 2008). They have also been applied to domestic wastewater 166 (DWW) in batch and continuous operation to remove nitrogen to discharge limits (Hulsen et 167 168 al. 2014). This process enables a single-step treatment of wastewater with HRT and effluent qualities similar to those of activated sludge processes without destroying nitrogen and 169 phosphorus. 170

Modelling is used to design, benchmark, and analyze wastewater treatment systems, with the IWA Activate Sludge Model (ASM) family models being the most widely used for conventional activated sludge processes (Henze et al. 2000). The IWA anaerobic digestion

174 model no. 1 (ADM-1) is the analogous model for domestic and industrial anaerobic systems (Batstone et al. 2002). The IWA Models, and wastewater modelling in general has generally 175 applied first order hydrolysis for solids transformation (including decay), Monod kinetic 176 function for uptake kinetics and inverse Monod kinetic function (non-competitive) for 177 178 inhibition functions, with a COD basis for organics and molar basis for inorganic compounds. Development of new technologies such as PPB requires development of a similar 179 mechanistic model to allow process control, design, and system analysis in upscaled 180 181 applications.

There are complex metabolic models based on PPB metabolism primarily focused on the 182 electron transport chain (Golomysova et al. 2010, Klamt et al. 2002). Due to their complexity, 183 these models are motivated more by a need for a mechanistic understanding of the 184 underlying process rather than field applications. These models are therefore unsuitable for 185 186 a wastewater model. In particular, they include components which can't be measured readily, making validation difficult. They also lack capability outside the core application area. 187 There has also been work done on modelling PPB to describe hydrogen production (Eroglu 188 2008, Gadhamshetty et al. 2008, Obeid et al. 2009). In contrast, due to the domestic 189 190 wastewater matrix, the key growth modes are photoheterotrophy (principal) as well as chemoheterotrophy and photoautotrophy. Biochemical processes relevant to complex 191 substrates such as solids hydrolysis and biomass decay must be considered as well. 192 Therefore, this work aims to propose a mechanistic model for mixed culture PPB as a 193 194 partition agent in DWW treatment with adaptability to treatment of industrial wastewaters.

## 195 2 Materials and Methods

#### 196 2.1 Model Description

197 The model was developed to be unit-compatible with the IWA ASM and ADM series 198 (Batstone et al. 2002, Henze et al. 2000). Therefore, units of mgCOD  $L^{-1}$  (or gCOD m<sup>-3</sup>) for

both soluble and particulate organics were chosen. Nutrient units are in mgN L<sup>-1</sup> and mgP L<sup>-1</sup> 1, respectively, with inorganic carbon (IC,  $HCO_3^{-1}$ ) in molC L<sup>-1</sup>.

Monod kinetics is uniformly applied for biological growth processes, with first order kinetics 201 202 for hydrolysis and decay. Monod or non-competitive inhibition has been applied for limiting or inhibitory expressions respectively. Due to a lack of functional differentiation within the PPB 203 clade, and limited evidence to the contrary, only one biomass component has been selected 204 (PPB) (Hülsen et al., 2015b). Other biological groups present in ASM and ADM1 models 205 (e.g., hydrogen utilizing methanogens, denitrifiers or fermentative bacteria) could be readily 206 207 included. As in the ASM/ADM models  $S_i$  is used for soluble compounds, and  $X_i$  for particulate compounds, where subscript *i* denotes the compound. 208

The model does not currently include poly-P or other polymer accumulation, since this occurs mainly in static (not growing) mode, where PPB can derive energy to stock resources for further usage in growing conditions (Hiraishi et al. 1991, Liang et al. 2010). Likewise, nitrification/denitrification processes are not included, since they can only occur in, or in combination with aerobic conditions where ammonia can be oxidized to nitrite or nitrate. Therefore, N and P are removed by assimilative growth only.

215 In the presence of organic substrates and IR light, photoheterotrophy through the tricarboxylic acid (TCA) cycle is assumed to dominate. Two major mechanisms of electron 216 disposal by PPB are considered. Firstly, the production of  $CO_2$  ( $S_{IC}$ ) is a key feature of PPB 217 biomass under growth conditions (McKinlay and Harwood 2010) and is important for closing 218 the C balance. The oxidation state of the organic compound determines if the biomass fixes 219 CO<sub>2</sub> for substrate uptake and electron balance (in the case of reduced substrates such as 220 221 propionate, butyrate or valerate), or the uptake produces CO<sub>2</sub> (in the case of oxidized substrates such as acetate or succinate) (McKinlay and Harwood 2011). In the latter case, 222 the biomass disposes of excess of electrons by re-fixing the  $CO_2$  produced in the TCA cycle. 223 As a consequence, there is usually limited consumption or production of  $CO_2$  in domestic 224 wastewater. A theoretical explanation of this mechanism is explained in Supplementary 225

226 Information (SI). The other major mechanism of electron disposal by PPB is H<sub>2</sub> production via the nitrogenase complex. In static growth mode, the PPB biomass is able to use the 227 excess of electrons for redox balance at the end of the ETC. The ferredoxin complex is the 228 carrier for this process, but the biomass needs energy in the form of ATP (Golomysova et al. 229 230 2010). However, this process is inhibited in presence of  $NH_4^+$ , a strong inhibitor of the nitrogenase activity (Rodionov et al. 1986). Indeed, H<sub>2</sub> production is inhibited in a DWW fed 231 situation due to (i) presence of ammonium and (ii) disposing of electrons by CO<sub>2</sub> re-fixation 232 which promotes growth (see SI for more details). Therefore, it can be deduced that CO<sub>2</sub> 233 production and re-fixation into the Calvin Cycle is the major electron sink in PPB metabolism. 234 In the absence of organic substrates, autotrophic growth is the sole growth mode, using 235 236 reduced inorganic compounds other than water as electron donor (anoxygenic photosynthesis). In the interest of model simplification and considering domestic wastewater 237 contains generally low sulfur levels, the sulfur cycle has been omitted. It is however possible 238 to add sulfate reduction into the model with subsequent sulfide utilization as an electron 239 donor for autotrophic PPB growth. This would require the addition of another biomass 240 241 component (PPB cannot perform sulfate reduction). PPB can perform chemoheterotrophy at 242 a lower rate, providing  $H_2(S_{h2})$  for photoautotrophy (Golomysova et al. 2010).

243 Transforming these mechanisms to a model enables the following key processes (Figure 1):

244 (i) Photoheterotrophy on acetate  $(S_{ac})$  (acetate uptake): This involves acetate 245 assimilation by PPB in the presence of infra-red radiation. Acetate is represented 246 as a separate state due to differences observed during batch tests. Due to an 247 imbalance in substrate-biomass carbon oxidation state, this process results in 248 production of CO<sub>2</sub>.

249 (ii) Photoheterotrophy on other organics ( $S_s$ ) (photoheterotrophic uptake): These 250 include all soluble organics that PPB can assimilate for growth in the presence of 251 infra-red radiation. Compounds include VFAs excluding acetate, alcohols, and

some sugars. These have been lumped into a single soluble substrate. Similar to(i) this results in the uptake of CO<sub>2</sub>.

- 254 (iii) Chemoheterotrophy (chemoheterotrophic uptake): This process involves the 255 assimilative consumption of any organic in dark conditions that can be 256 metabolized through either fermentation or anaerobic oxidation processes. All 257 these processes have been joined as one process for a sake of simplicity. This 258 process involves  $H_2$  and acetate as end products. Acetate is not further oxidized 259 through chemoheterotrophy due to a lack or very limited terminal electron 260 acceptors such as Fe(III) and sulfate (Finneran et al. 2003).
- 261 (iv) Photoautotrophy (autotrophic uptake): This process involves assimilative  $CO_2$ 262 fixation by PPB in the presence of infra-red radiation using H<sub>2</sub> as the electron 263 donor. Other electron donors such as Fe<sup>2+</sup>, S<sup>2-</sup> and S<sub>2</sub>O<sub>3</sub><sup>-</sup> have been omitted but 264 could be included.
- 265 (v) *PPB cell death (decay)*: This process involves the deactivation of PPB by cell 266 death. Ammonium, phosphate and inorganic carbon are released and the 267 biomass is converted into biodegradable organic particulates ( $X_s$ ) and particulate 268 inerts ( $X_l$ ).
- 269(vi)Hydrolysis and particulate fermentation (hydrolysis): The decomposition of270biodegradable particulates into organics ( $S_{ac}$  and  $S_{s}$ ), ammonium, phosphate,271hydrogen and inorganic carbon is addressed as a sole process for simplicity.272Both soluble and particulate inerts are also products of this process. A273breakdown of particulate fermentation could be incorporated into the model <u>e.g.</u>274for processes with long solids retention times (SRT).

The model is presented in Petersen matrix notation in Table 1. Kinetic parameters were generally obtained from the batch experiments, or from the literature in specific cases as described below. The saturation constant for hydrogen consumption by photoautotrophic process ( $K_{S,h2}$ ), light limitation ( $K_{S,E}$ ) and inhibition by free ammonia ( $K_{I,FA}$ ) were set arbitrarily

low since affinity is high (Chen et al. 2008, Uyar et al. 2007). Competitive inhibition between  $S_{ac}$  and  $S_{S}$  in photoheterotrophic metabolism has been included by using a parameter-less switch function as in the case of the ADM1. A detailed experimental evidence for such a function is presented in Supplementary Information (S9). Stoichiometry was determined by both theoretical calculations from literature, and experimentally. The model is balanced over COD, C, N and P. Carbonates (S<sub>IC</sub>), inorganic nitrogen (S<sub>IN</sub>) and phosphates (S<sub>IP</sub>) have been used for closing C, N and P balances, respectively.

A basic ideal activity pH model has been included as for the ADM1 (Batstone et al, 2002), 286 with inclusion of the phosphate ( $H_2PO_4^{-}/HPO_4^{-}$ ) acid-base pair, and with no ion pairing. This 287 provides essential representation for domestic wastewater strength, but should be extended 288 to the strong acids and bases (H<sub>3</sub>PO<sub>4</sub>, PO<sub>4</sub><sup>-3</sup>, CO<sub>3</sub><sup>2-</sup>) where precipitation occurs, pH 289 extremes, or higher strength is important, or where plant-wide modelling is applied as 290 291 discussed in Batstone et al., (2012). Acid-base equations are formulated into the charge balance, which is solved for the single unknown of hydrogen ion (S<sub>H</sub>) using the Matlab 292 command fzero. Temperature is currently fixed to 25°C, but can be incorporated via the 293 van't Hoff equations as for Batstone et al., (2002). Background cations (S<sub>cat</sub>) was set at 294 0.003M to account for strong cations coupled with input bicarbonate (setting input pH to 6), 295 296 but an alternative implementation where it was linked to bicarbonate was also evaluated. Acetate (Sac) was added as glacial acetic acid. If sodium acetate were added instead, 297 additional cations (S<sub>cat</sub>) would need to be included. Non-VFA organics (S<sub>S</sub>) was given an 298 acidic fraction of 50%, assuming it to be propionic acid, with the remainder being alcohols 299 300 and sugars.

Additional details concerning model development and implementation can be found in the supplementary information (SI) and codes can be found on the UQ repository (<u>http://espace.library.uq.edu.au/view/UQ:412280</u>). SI1 includes the description of model components, full kinetic parameters and stoichiometric coefficients. The determination and

calibration of stoichiometry is included in SI2, and SI4 contains the full list of modelequations.

307 Model integration with ASM-family models and ADM1

Soluble organic compounds can be readily transformed into ASM organic substrate (where 308  $S_{s}ASM = S_{ac} + S_{s}PAnM$ ). Organic particulates in the PAnM correspond to the X<sub>S</sub> in the 309 ASM. For the ADM1 integration, an interface can be used following Nopens et al. (2009), 310 with units generally being compatible. Mechanisms for organic biodegradable particulates to 311 engage with the ADM1 can be approximated as follows:  $X_{PPB} \rightarrow (aX_{ch} + bX_{li} + cX_{pr} + dX_{l})$ , 312 where a, b, c and d are the carbohydrate, lipid, protein and inerts content of the PPB 313 biomass as for Nopens et al., (2009). As PPB have different composition of other 314 feedstocks, it is necessary to identify a, b, c and d parameters through nitrogen content and 315 COD:mass ratios as done in Nopens et al., (2009). Generally, N content of PPB biomass is 316 significantly higher than typical waste sludge (Hülsen et al., 2014), and this increases c. Both 317 318 soluble and particulate inerts have the same meaning than their respective counterparts in the ASM and ADM1 models. 319

Inorganic nitrogen can be directly transformed into ASM inorganic nitrogen since S<sub>IN</sub>\_PAnM 320  $= S_{nh} + S_{no}$  (in mgN L<sup>-1</sup>). Inorganic nitrogen in the PAnM (ammonium) has the same meaning 321 that in the ADM1. However, total nitrogen can be integrated into ADM1 parameters by 322 323 following the lump-delump approach of the Copp interface (Copp et al., 2003). Inorganic phosphorus in the PAnM has the same meaning that  $S_{IP}$  in the ADM1 and  $S_{PO4}$  in the 324 ASM2d. The inorganic carbon in the PAnM has the same meaning as in the ADM1. Alkalinity 325 326 from ASM models can be transformed into  $S_{IC}$  as in the case of the ASM1/ADM1 interface 327 (Nopens et al., 2009).

#### 328 2.2 Batch Experiments

Batch experiments were done to identify parameters based on the developed model. The inoculum was sourced from a lab-scale continuous photo-anaerobic membrane bioreactor

(PAnMBR) described by (Hülsen et al. 2016b) operated over 300 d. Domestic wastewater
was collected from the Taringa wastewater lift station (Brisbane, Australia) with an average
strength of 572 mgCOD L<sup>-1</sup> and soluble COD of 241 mgCOD L<sup>-1</sup>, 63 mgN L<sup>-1</sup>, and 9 mgP L<sup>-1</sup>.

Where wastewater was not the medium, synthetic Ormerod medium was used at pH 7.5 as
described previously (Hulsen et al. 2014).

Metabolic growth batch tests: All batch tests were done in 100mL working volumes (160 mL serum flasks) in triplicate, inoculated from the PAnMBR reactor. The headspace was flushed with N<sub>2</sub> and experiments were carried out at 20 °C in an orbital shaker at 150 rpm (Edwards Instrument Company). The array of flasks was irradiated with 150W lamps using UV-VIS absorbing foil as described elsewhere (Hulsen et al. 2014). All experiments were accompanied by blank samples with no substrate, and by positive and negative controls where necessary. A summary is provided in Table 2.

*Hydrolysis and biomass decay: The* inoculum (0.5 L) was collected as per the above method (2.1 g VSS L<sup>-1</sup>). The biomass was centrifuged in 50 mL Falcon tubes and the pellet resuspended again in NaCl 0.2 M three times. Biomass was then placed in 0.5 L of NaCl 0.2 M and was divided into two 0.25 L Schott bottles, which were subsequently flushed with N<sub>2</sub> and magnetically stirred at 200 rpm. The bottles were operated for 30 d.

One of the bottles was covered with aluminum foil to avoid phototrophic activity, and was used for the hydrolysis analysis. Liquid sampling was performed twice a week to analyze volatile fatty acids (VFAs), NH<sub>4</sub>-N, PO<sub>4</sub>-P, total inorganic carbon (TIC) and pH. Headspace was analyzed for CH<sub>4</sub>, H<sub>2</sub> and CO<sub>2</sub>. TSS/VSS, TKN and TP was analyzed every 7 d.

The other bottle was illuminated as indicated above without feed, and biomass samples were taken every 7 d to assess activity (determining decay coefficient). Activity tests were done as above with 100 mgCOD L<sup>-1</sup> of acetate and 10 mg  $NH_4$ -N L<sup>-1</sup>.

355 *Calculation of Specific Phototrophic Activities (SPA).* Non-linear parameter estimation is 356 generally used to determine parameters as described in 2.4.2, but specific phototrophic

activity was also determined by linear regression of substrate concentration over a minimum
 of four points through the region of maximum consumption divided by biomass
 concentration.

360 2.3 Analytical methods

Total COD (TCOD) and soluble COD (SCOD) were determined by COD cell tests (Merck, 361 1.14541.0001, Darmstadt, Germany). Dissolved NH<sub>4</sub> -N, NO<sub>2</sub>-N and PO<sub>4</sub>-P were determined 362 by a QuikChem8000 Flow Injection Analyzer (FIA) (Hach Company, Loveland, USA). 363 Temperature and pH were measured using an Oakton pH 11 Series (Vernon Hill, IL, USA). 364 TSS and VSS were determined by filtration according to standard methods where TSS were 365 calculated after drying the sample in an oven at 105  $\pm$  2 % and VSS were calculated after 366 burning it in a furnace at 550  $\pm$  5  $\odot$  (APHA. 1998). Illuminance (W m<sup>-2</sup>) was measured with 367 an IR light sensor (PAS Port<sup>™</sup>, Roseville, CA, USA). VFA samples were analyzed by gas 368 chromatography (Agilent Technologies 7890A GC System, Santa Clara, CA, USA) equipped 369 with a flame ionization detector (GC/FID) and a polar capillary column (DB-FFAP). Gas 370 samples were analyzed by GC (2014 Shimadzu, Kyoto, Japan) with thermal coupled 371 detector (TCD) (Tait et al. 2009). TKN and TP were determined using sulfuric acid, 372 potassium sulfate and copper sulfate catalyst in a block digester (Lachat BD-46, Hach 373 Company, Loveland, CO, USA) (Patton and Truitt 1992). TIC was analyzed by using a total 374 organic carbon (TOC) analyzer (Shimadzu TOC-L CSH TOC Analyzer with TNM-L TN unit) 375 376 coupled to a near infrared detector (NIRD) for measuring the CO<sub>2</sub>. All soluble constituents were determined after filtering with a 0.45 µm membrane filter (Millipore, Millex<sup>®</sup>-HP, Merck 377 Group, Darmstadt, Germany). 378

379 2.4 Data analysis

#### 380 2.4.1 Data handling

Biomass concentration was calculated in g VSS L<sup>-1</sup>, and it was further transformed into COD by using the COD relationship calculated from the biomass equation  $CH_{1.8}O_{0.38}N_{0.18}$ (McKinlay and Harwood 2010) (1 g biomass expressed as VSS = 1.78 gCOD).

Biomass yields (Y) were calculated accounting for the initial and final biomass concentration (in g VSS L<sup>-1</sup>) based on substrate consumption. Biomass concentration was further transformed into COD and then yields are expressed as mgCOD<sub>biomass</sub> mgCOD<sup>-1</sup>.

387 2.4.2 Statistical analyses and uncertainty assessment

388 Good measurement practice was applied to minimize uncertainty. Where measurements 389 were outside the calibration range, these were repeated by diluting the sample. Internal or 390 external standards were used for all measurements. Calibration of equipment was performed 391 at least once per week.

392 All parameters were estimated from triplicate batch/measurements by minimization of 393 residual sum of squares (J=RSS). Parameter uncertainty was determined using two-tailed ttests calculated from standard error in parameter value, obtained from the Fisher information 394 matrix. Where parameter optimization problems involve multiple parameters ( $k_M$ ,  $K_S$ ), 395 parameter uncertainty surface  $(J=J_{crit})$  has also been assessed as described in (Batstone et 396 397 al. 2003). Confidence intervals (at 95%) were also calculated based on two-tailed t-tests from parameter standard error, as above, and used for statistical representative 398 399 comparisons. Error bars in experimental data represent 95% confidence intervals in mean based on a two-tailed t-test (5% significance threshold). Uncertainty of the slope for the 400 analysis of SPA was determined by error in slope from linear regression in Microsoft Excel 401 2013 (using the Regression tool in the Data Analysis toolpack). Standard error in slope was 402 subsequently converted into 95% confidence interval (two-tailed t-test). All statistical 403 404 analyses were done with a 5% significance threshold.

#### 405 2.5 Simulation of a continuous PAnMBR

The resulting kinetic expressions were used in the development of a continuous PAnMBR model. As previously demonstrated, the concentration of the bioavailable SCOD in medium strength domestic wastewater is insufficient for the system to achieve total nitrogen and total phosphorous discharge limits (Hülsen et al. 2016b). To achieve full removal, additional SCOD is required.

The goals of the simulation were the following: a) to highlight the requirement of additional 411 SCOD to achieve total nutrient removal, and b) to demonstrate that the inclusion of a primary 412 413 clarifier can lead to an organic sludge enriched in PPB biomass. Dynamic influent data was simulated according to the influent generator model developed by Gernaey et al. (2011), and 414 adapted to the typical concentrations of primary influent reported by Hülsen et al., 2014. 415 Based on the average influent characteristics and an HRT of 12 h, volumetric loading rate 416 (VLR) of 1400  $\pm$  12 mgCOD L<sup>-1</sup> d<sup>-1</sup> and a solid retention time (SRT) of 3 d, a reactor volume 417 of 70 m<sup>3</sup> was applied. An ideal primary clarifier was included, with a solids removal efficiency 418 of 60%±3% (Tchobanoglous et al., 2002). 419

Simulation and subsequent data processing were done in Matlab (MATLAB R2015a, The 420 MathWorks Inc., Natick, MA). As the system of equations is stiff, the system of ordinary 421 differential equations was solved by ODE15s. The case was simulated for 609 days with 3 422 stages of differing SCOD concentrations. The dynamic influent after settling was applied 423 directly during Stage I until day 300. During Stage II (days 300-450), acetate was added to 424 425 the optimum COD/N/P ratio of 100/7.1/1.8 (optimum ratio calculation reported in SI, section S6) based on the limiting nutrient (N or P). During Stage III, acetate addition was ceased. 426 This was to assess process response to a sudden change, and to demonstrate that the 427 system requires wastewater with a specific COD/N/P ratio. State equations were 428 429 implemented in a fixed volume, completely mixed membrane bioreactor.

430 The results from the simulation were balanced over COD, N, P and C, and have been 431 included in the SI.

432 The Matlab function and run files, along with their supporting datasets, have been uploaded

433 to <u>http://espace.library.uq.edu.au/view/UQ:412280</u>.

### 434 **3 Results**

The sludge used for all the experiments came from a lab-scale PAnMBR (Hülsen et al. 2016b). Most of the microorganisms are related with  $\alpha$ -*proteobacteria*, PPB accounting for more than 70% of the total gene copies detected by the pyrosequencing technique. The genus *Rhodobacter* ssp. is the most abundant, representing more than 60% of the microbiota (Hülsen et al. 2016b). The presence of photosynthetic organisms such as microalgae and cyanobacteria accounts for less than 1% of total gene copies. Therefore, the photo-biomass can be considered as PPB-dominated.

#### 442 3.1 Growth Processes

Photoheterotrophy was assessed with VFAs and ethanol as substrate (Fig 2a). All 443 substrates were completely consumed during the experiment, and overall yields were similar 444 in all cases, with an average biomass yield of  $1.13 \pm 0.21 \text{ mgCOD}_{\text{biomass}} \text{ mgCOD}^{-1}$ . More 445 details are provided in the SI. As can be seen in Figure 2b, uptake rates of substrates 446 excluding acetate were similar, with a  $k_M$  of 1.3 ± 0.1 (mgCOD mgCOD<sup>-1</sup> d<sup>-1</sup>), and 447 undetectable K<sub>S</sub>. Acetate had a significantly higher  $k_M$  (2.4 ± 0.2 mgCOD mgCOD<sup>-1</sup>d<sup>-1</sup>) and 448 detectable, albeit low,  $K_{\rm S}$  of 20± 4 mgCOD L<sup>-1</sup>. This essentially means that growth (uptake) is 449 faster on acetate, but with a lower affinity such that acetate uptake is faster at the beginning 450 of the batch, but slower at the end. 451

The analysis of chemoheterotrophic metabolism by PPB was conducted by using acetate and ethanol as substrates in dark conditions (Figure 2c). PPB biomass was much less effective in dark conditions compared with light conditions (biomass yield 0.5 vs 1.1

mgCOD<sub>biomass</sub> mgCOD<sup>-1</sup> in dark and light conditions, respectively). Biomass yield in dark 455 conditions is relatively high compared to typical values reported in literature for dark 456 fermentation and anaerobic oxidation processes, which are rarely greater than 0.2 457 mgCOD<sub>biomass</sub> mgCOD<sup>-1</sup> (Batstone et al. 2002). The occurrence of energy storage 458 459 (particularly poly-P) may have a significant role here due to batch operation (Liang et al. 2010). A continuous system may differ from this depending on the illumination cycle. One 460 with illumination in excess (operating with photo-heterotrophic growth only) is not influenced 461 by dark anaerobic fermentation. Where the illumination-non-illumination is separated by a 462 cycle on the order of days (or less), either in time or space, through reactor configuration or 463 a day-night illumination cycle the response is likely to be similar to the batch response here 464 (since the time scale is similar). However, where there are longer dark periods, stored 465 energy may be depleted, and this requires further investigation, since there is no supporting 466 literature. This may require inclusion of energy storage polymers, including poly-P, and 467 possibly PHA as well as consideration of methanogenic processes that occur when 468 photoheterotrophs can no longer effectively remove substrate. 469

470 The maximum uptake rate under dark conditions is approximately half that of

471 photoheterotrophy (Figure 2d), though with again, extremely low K<sub>s</sub> values. While

472 chemotrophic growth is not dominant under photoheterotrophic conditions, it can be very

473 important to consider in reactor design (e.g., where there is insufficient light), and also for

474 balancing COD, C, N and P.

Analysis of photoautotrophy was done with NaHCO<sub>3</sub> as C source and Na<sub>2</sub>S as electron 475 donor in 5-fold stoichiometric excess (see Table 2) (Figure 2e). The biomass had a yield of 476 36,000 mgCOD<sub>biomass</sub> molC<sup>-1</sup> comparable to the value on acetate (31,560 mgCOD<sub>biomass</sub> 477 molC<sup>-1</sup>). However, maximum uptake rate was far lower at 3.4±0.2×10<sup>-6</sup> molC mgCOD<sup>-1</sup> d<sup>-1</sup> 478 (compared to  $75 \pm 2 \times 10^{-6}$  molC mgCOD<sup>-1</sup> d<sup>-1</sup> on acetate) (Figure 2f). Photoautotrophy needs 479 to be considered for when there is an excess of bicarbonate and electrons from inorganic 480 sources in the wastewater. It is also important to consider photoautotrophy in order to close 481 482 mass balances. This case is particularly relevant in light deficiency, where fermentation and

anaerobic oxidation processes may become important and hence H<sub>2</sub> is available as a major
electron source for PPB.

Nutrient limitation experiments for N and P were used to determine saturation coefficients for 485 N and P. K<sub>S</sub> values were extremely low such that the N and P regulation became a switch 486 function (data shown in SI). Biomass assimilated nutrients at a COD/N/P ratio of 100/7.1/1.8, 487 which is higher than conventional aerobic bacteria and much higher than other anaerobes 488 (Tchobanoglous et al., 2002). These values are in line with previous works (Hulsen et al. 489 2014). However, PPB were able to grow at a lower rate once the nutrients were completely 490 491 consumed (42% lower than in full nutrient conditions), likely due to fixation of headspace  $N_2$ (Hunter et al. 2008) (inhibited in the presence of ammonium). Nitrogen fixation is completely 492 inhibited at any concentration of ammonium (threshold less than 20 mgN L<sup>1</sup>), and the 493 nitrogenase activation requires of a lag phase with no ammonium concentration to be active 494 495 again (section S5 of SI), likely due to activation of the transcription of nitrogenase genes during static (not growing) conditions (Masepohl et al., 2002). Also, PPB can accumulate 496 polymers such as poly-P (Liang et al. 2010) as well as PHA (Melnicki et al. 2009), which can 497 be used in static growth mode. Since the model developed here is sustained on biomass 498 499 growth in presence of nitrogenase inhibiting ammonium, nutrient limitation for growth must be included. 500

501 3.2 Endogenous processes – hydrolysis and decay

Hydrolysis and decay are considered as transversal first order biochemical processes in 502 503 most models (Batstone et al. 2006, Henze et al. 2000, Szilveszter et al. 2010). These could be considered separately, since phototrophic growth can be restricted in the absence of 504 irradiance, and decay can be determined directly by measurement of phototrophic activity 505 following periods of irradiation without substrate. Figure 3 shows the time series of the SPA 506 values (on acetate) calculated for the PPB biomass during starvation. Biomass activity 507 reduced according to a first order model with decay coefficient of  $0.09 \pm 0.02 d^{-1}$ . Hydrolysis 508 was assessed in dark conditions with substrate present, to avoid re-assimilation of products 509

by PPB. Therefore, hydrolysis products (organic C sources as COD, inorganic C as  $HCO_3^-$ , N as  $NH_4^+$  and P as  $PO_4^{3-}$ ) could be measured and were directly correlated with first order kinetics of the hydrolytic process. Hydrolysis also followed a first order model with a hydrolysis coefficient of 0.071 ± 0.002 d<sup>-1</sup> (Fig 4). It should be noted that hydrolysis is substrate specific, and is highly situation specific (Batstone et al. 2015), but that a value of close to 0.1 d<sup>-1</sup> is comparable with hydrolysis kinetics under anaerobic conditions, but much lower than that for aerobic processes (Henze et al., 2000).

## 517 4 Discussion

#### 518 4.1 Parameter values vs pure culture PPB

A full list of parameter values can be found in the SI, whereas Table 3 shows parameters determined from the literature in comparison with those reported here. Parameters were calculated on the basis that (i) protein composition of PPB is in all cases 60% of dry weight (McKinlay and Harwood 2010), (ii) 1 g VSS = 1.78 gCOD and (iii) PPB biomass equation is  $CH_{1,8}O_{0.38}N_{0.18}$  (McKinlay and Harwood 2010).

In general, biomass yields calculated here are in line with values reported in the literature 524 (Table 3). The only exception is the biomass yield for autotrophic growth, where no relevant 525 values have been found and only indirect calculation can be performed. Wang et al. (1993) 526 527 reported biomass growth and CO<sub>2</sub> fixation in *Rhodobacter sphaeroides* and *Rhodospirillum* rubrum using different electron sources (H<sub>2</sub>, thiosulfate, sulfide and malate) and the biomass 528 yield values extracted from their activities vary considerably with an average value of 84,000 529 mgCOD molC<sup>-1</sup> fixed. These values, however, did not consider re-fixation of CO<sub>2</sub> from 530 malate that may underestimate considerably real CO<sub>2</sub> usage for growth in the Calvin cycle 531 (McKinlay and Harwood 2011). Therefore the biomass yield differs from the value reported 532 here  $(36,100 \pm 850 \text{ mgCOD molC}^{-1} \text{ fixed})$ . The value determined during this study is 533

however very close to the theoretical maximum yield for carbon dioxide fixation of 39,840
mgCOD molC<sup>-1</sup>, and as such, is a reasonable value.

536 However, specific uptake rates were substantially different to the literature values depending 537 on the growth mechanism, which may be due to use of pure cultures in contrast with mixed cultures used in the present work. Generally, chemoheterotrophic parameters, pure cultures 538 have an activity close to two orders of magnitude higher than the mixed culture in this work. 539 This results in activities similar to those of typical fermentative bacteria. An example is found 540 in (Schultz and Weaver 1982) where the growth rates of Rhodospirillum rubrum and 541 542 Rhodopseudomonas capsulata were studied on several chemoheterotrophic substrates in the dark. The authors used trimethylamine-N-oxide as accessory electron acceptor on 543 fructose, glucose and succinate, likely removing electron management as a major limitation. 544 Photoheterotrophic parameters also diverged depending on the substrate. While acetate 545 546 uptake rates were similar to the values reported here (Golomysova et al. 2010, McKinlay and Harwood 2011), those obtained from other organics, such as malate (Gadhamshetty et al. 547 2008, Klein et al. 1991), lactate + malate (Obeid et al. 2009), or butyrate (McKinlay and 548 Harwood 2011) were almost one order of magnitude higher. These parameters were 549 550 obtained in hydrogen production studies. Under these situations, the substrate uptake is optimized for biogenic H<sub>2</sub> by dislocating catabolism from anabolism due to excess of 551 electrons. This increases considerably the substrate uptake rate while minimizing yield 552 (Basak and Das 2007). In this work, the  $\mu_{max}$  for photoheterotrophic metabolism was 553 554 calculated to be  $1.54 d^{-1}$ , which corresponds to a doubling time of 0.45 d. It is similar to those reported by McKinlay and Harwood (2011) (0.27-0.44 d), and generally aligns well with 555 556 purple phototrophic bacteria (Hunter et al. 2008). The use of pure cultures promotes specific uptake rates to the detriment of substrate affinity. This leads to increased  $k_M$  and  $K_S$ 557 558 parameters, a typical behavior of r-strategist microorganisms (Dorodnikov et al. 2009).

559 Hydrolysis and decay rates are commonly substrate specific, with a decrease in rate as 560 redox decreases. In general, for a given material, the hydrolysis coefficient increases from

anaerobic to anoxic, and from anoxic to aerobic (Henze et al. 2000). The biomass decay and
hydrolysis constants found in literature were obtained in aerobic photoheterotrophic
processes (Huang et al. 1999, Huang et al. 2001). This explains considerably higher values
than those calculated here.

Compared with previous analyses, this study is focused on mixed culture photoheterotrophic 565 metabolism. The biomass seems to be a K-strategist which promotes substrate affinity over 566 uptake, a microbial strategy in low-strength systems as domestic wastewater with low 567 hydraulic retention times (less than 12 h). Such behavior is useful for out-competing other 568 569 fast-growing microorganisms. It is clearly effective when compared to the slow growing methanogens, which are the only competitors for acetate under anaerobic conditions with 570 low concentrations of sulfate or oxidized metals (Dorodnikov et al. 2009). Indeed, PPB 571 microorganisms have been demonstrated to prevail and dominate in continuous PAnMBR 572 573 reactors treating real domestic wastewater without previous inoculation, both in mesophilic (Hülsen et al. 2016b) as well as in psychrophilic (Hülsen et al. 2016a) conditions. 574

575 4.2 Model application

576 The model was tested in a realistic scenario, with influent profile generated using the BSM 577 influent generator (Gernaey et al. 2011). Detailed information about the simulations is 578 provided in the SI.

579 4.2.1 Fate of C, N and P

The model indicates different SCOD removal efficiencies for particular periods of operation. In general, adaptation to seasonal periods of variable wastewater composition is rapid, as can be shown in input values from Figures 5 and 6. For periods (I) and (III), which correspond to no additional acetate in the system (average inlet SCOD of 293.1  $\pm$  0.8 mgCOD L<sup>-1</sup>), the mean SCOD removal efficiency is 81% (Figure 5a) The remaining SCOD in the system can be mainly attributed to the presence of non-biodegradable SCOD, accounting for 71% of the effluent SCOD. During period (II) acetate was added to agree with

587 the COD/N/P requirements for PPB. Average SCOD removal efficiency slightly increased up to around 85% due to optimized COD/N/P conditions. As in the Stage I, the major part of the 588 remaining SCOD corresponded to soluble inerts. The model, however, is not able to 589 reproduce the PPB behavior under a high excess of inlet SCOD concentration since it is 590 591 based on assimilative mechanisms only and accumulation processes are not included, as e.g. PHA or glycogen. The PPB biomass is able to accumulate these compounds (Brandl et 592 al. 1991, Melnicki et al. 2009), and so SCOD removal efficiencies are expected to be higher 593 and less dependent on nutrients in real cases (Hülsen et al. 2016a, Hülsen et al. 2016b). An 594 upgraded model including accumulative mechanisms is therefore needed for high COD:N 595 ratio wastewater. However, this model is suitable for normal DWW treatment operation, 596 where N and P are generally in excess. 597

Nutrient assimilation was directly linked with biomass growth. The optimum assimilative 598 COD/N/P relationship has been calculated to be 100/7.1/1.8 from batch experiments. 599 Therefore, periods with non-optimal ratios are expected to have higher effluent nutrient 600 concentrations. Under normal situation (periods (I) and (III)), with no additional acetate, 601 nutrients were not completely removed and ammonium and phosphate efficiencies were 602 45% and 56%, respectively (Figures 5b and 5c, respectively), averaging effluent 603 concentrations of 23 mgN L<sup>-1</sup> and 2.5 mgP L<sup>-1</sup>, respectively. This justifies the need for extra 604 SCOD addition, as has been previously described experimentally (Hülsen et al. 2016b). 605 Phosphorus was almost completely removed during C and N sufficiency during period (II), 606 607 with removal efficiencies of 89% (effluent concentrations of 0.5 mgP L<sup>-1</sup>). However, depletion of P prevented a high N removal due to nutrient imbalance, and so N removal efficiencies 608 during these periods averaged 70%, averaging effluent concentrations of 11 mgN L<sup>-1</sup>. Again, 609 accumulative mechanisms may have a key role here, as PPB are able to accumulate poly-P 610 611 (Liang et al. 2010). This mechanism is quite complex and has not been properly defined, particularly in mixed cultures and on wastewater sources. 612

613 Production of biomass was related to PPB growth as well as input solids. Biomass fractionation ( $X_{PB}$ ,  $X_S$  and  $X_l$ ) along the simulation period is depicted in Figure 6. When 614 acetate was not added, PPB biomass was produced at 26.9% of the total biomass in the 615 outlet (sludge line). Adding acetate increased this value up to 34.9% of total biomass. 616 617 Accumulation of  $X_{\rm S}$  within the reactor is a direct consequence of low hydrolysis coefficient in combination with short SRT. Additional substrate increased biomass concentration due to 618 assimilation of the remaining N and P. This also boosted the SRT, and decay was more 619 prominent, increasing  $X_s$  concentrations up to values above 1000 mgCOD L<sup>-1</sup> (see stage (II) 620 in Figure 6). Inerts fraction, however, was always below 32% of the total particulates 621 concentration, probably due to the slow hydrolysis rate. These results have an important 622 effect on energy distribution in the PRR platform since all energy balances are directly 623 related with the biomass management through anaerobic digestion, and the relative amount 624 of PPB will influence potential anaerobic degradability and biomass consistency. An 625 important aspect identified by this continuous analysis is that the biomass fraction  $X_{PB}$  is 626 always relatively small, even when applying a settler (compared with activated sludge 627 628 streams predicted by the ASM1). This is because the hydrolysis coefficient is very low (<0.1  $d^{-1}$ ) compared with the levels of >2  $d^{-1}$  typically applied in the ASM1, ASM2 and ASM2d 629 (Henze et al. 2000). This means that while growth rates are comparable to activated sludge, 630 hydrolysis rates are far lower, and hence metabolic activity is dominated by available soluble 631 substrate (and possibly N and P) rather than electron acceptor availability. In any case, there 632 will always be a large proportion of undegraded particulates, due to the slow hydrolysis 633 coefficient, and in a stable, solids dominated system, PPB sludge should be more analogous 634 to primary sludge rather than activated sludge, with both negative and positive 635 consequences. 636

pH followed some important general trends. During normal operation, it varied 6.5-7.5, according to the VFA and bicarbonate uptake cycles. The overall pH was largely regulated by the presence of bicarbonate, in opposition with inorganic nitrogen (S<sub>IN</sub>). However, in

640 specific periods where the influent had bicarbonate limitations (approximately 2-3 weeks every 6 months), where bicarbonate was completely depleted, pH could rise to 8.5-9 due to 641 the unbalanced presence of ammonia and cations. This was attenuated in the simulation 642 643 where cations were linked to bicarbonate, but was still a factor. We have seen batch tests 644 rise to pH 9 with no substantial impact on activity, and it is less evident, but obviously still important in continuous operation. Very low pH levels (<6.0) never occurred, due to the lack 645 of nitrification. Likewise, at the ammonia levels present, despite the high pH, free ammonia 646 647 inhibition was never a risk, though it would be more important in industrial wastewaters.

Simulation of biomass behavior has implications on biomass production upon main line biological treatment. There is a net increment of biomass production yield compared to typical activated sludge processes. This could have an impact in energy recovery (through biogas) but also in sludge waste disposal expenses, which can be partially counteracted by downstream production of high value-added bioresources as proteins, prebiotics and probiotics (Matassa et al. 2015) or bioplastics (Padovani et al. 2016), as well as energetic resources as third generation liquid biofuels (Castro et al. 2016).

655

## 656 5 Conclusions

Anaerobic phototrophic growth in domestic wastewater treatment is fast, comparable to 657 activated sludge (in  $k_M$  values) with very low  $K_S$  values, indicating that purple phototrophic 658 bacteria behave as K strategist. However, hydrolysis is relatively slow (~0.1 d<sup>-1</sup>), which 659 means that particulate substrates will not be degraded at short HRTs. The predominant 660 mechanism is photoheterotrophy, with autotrophy and chemotrophy generally slow. The 661 decay rate is relatively high, comparable to activated sludge under aerobic conditions. The 662 dynamics under continuous conditions indicate that biological processes are adaptable to 663 664 normal flow variations such that performance at a given mode is stable.

665 The model has the following limitations:

- 666 (i) The model is only valid for anaerobic conditions, and hydrogen production for
  667 redox balancing is assumed to be inhibited, so this model cannot be implemented
  668 for hydrogen production systems as it is.
- 669 (ii) Poly-P and other polymers accumulation is not included due to a lack of
  670 foundational research. Also, nitrogen fixation is not included since it is assumed
  671 to be inhibited by ammonium.

A key priority for future research should be inclusion of poly-P and PHA accumulation as well 672 as N<sub>2</sub> fixation and side H<sub>2</sub> production, as these processes (poly-P without carbon, PHA 673 without oxidation or organics, and  $N_2/H_2$  production) are unique to photoanaerobic 674 organisms. The topic of infrared light delivery has not been addressed in detail in this model, 675 and is generally assumed to be in excess (i.e., not limiting catabolic rate, such that there is 676 no mixed photo-dark fermentation (mixotrophic growth). This could be incorporated by 677 limiting light to enable mixotrophic growth through the existing switch function that considers 678 also spatial separation to dark zones, but as stated above, further work is required to 679 consider accumulation and depletion of storage compounds. This requires a very different 680 approach to (for example, Algae) (reviewed in Béchet et al. 2013) where a more complex 681 682 model is commonly applied: (considering separately excited, resting, inhibited differential states). We have kept PPB biomass as a single state, with different processes acting on it, 683 which are in turn linked to the presence or absence of irradiance, which would enable more 684 simple extension to energy storage and depletion. This would enable more precise 685 686 determination of the switch between stored dark heterotrophic growth and methanogenesis.

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### 854 TABLES

**Table 1.** Petersen matrix of the PAM-1 model for domestic wastewater treatment by PPB.

$\begin{array}{c c} Component (C) & i \\ \rightarrow & \end{array}$		1	2	3	4	5	6	7	8	9	10	
j	Process↓		Ss	S <sub>ac</sub>	S <sub>IC</sub>	S <sub>h2</sub>	S <sub>IN</sub>	S <sub>IP</sub>	S <sub>1</sub>	X <sub>PB</sub>	Xs	Xı
1	Hydrolysis/fermentation		f <sub>ss,xs</sub>	f <sub>Sac,xs</sub>	f <sub>IC,xs</sub>	f <sub>h2,xs</sub>	f <sub>IN,xs</sub>	f <sub>IP,xs</sub>	f <sub>si,xs</sub>	0	-1	f <sub>xi,xS</sub>
2	Acetate uptake		0	-1	f <sub>IC,ph,ac</sub>	0	- f <sub>N,B</sub> Y <sub>PB,ph</sub>	-f <sub>P,B</sub> Y <sub>PB,ph</sub>	0	Y <sub>PB,ph</sub>	0	0
3	Photoheterotrophic 3 uptake		-1	0	-f <sub>IC,ph,Ss</sub>	0	- f <sub>N,B</sub> Y <sub>PB,ph</sub>	-f <sub>P,B</sub> Y <sub>PB,ph</sub>	0	$Y_{PB,ph}$	0	0
4	Chemoheterotro uptake	ophic	-1	(1- Y <sub>PB,ch</sub> ) f <sub>ac,ch</sub>	0	(1- Y <sub>PB,ch</sub> ) f <sub>h2,ch</sub>	- f <sub>N,B</sub> Y <sub>PB,ch</sub>	-f <sub>P,B</sub> Y <sub>PB,ch</sub>	0	Y <sub>PB,ch</sub>	0	0
5	Autotrophic upta	ake	0	0	-f <sub>IC,a</sub>	-f <sub>h2,a</sub>	- f <sub>N,B</sub> Y <sub>PB,a</sub>	-f <sub>P,B</sub> Y <sub>PB,a</sub>	0	Y <sub>PB,a</sub>	0	0
6	6 Decay of XPB		0	0	$-\sum_{i=8-9}Ci$ $\times f_{C,i}$	0	$-\sum_{i=8-9}Ci$ $\times f_{N,i}$	$-\sum_{i=8-9}Ci$ $\times f_{P,i}$	0	-1	1	0

	Soluble substrate (mgCOD L <sup>-1</sup> )	Acetate (mgCOD L <sup>-1</sup> )	Inorganic carbon (molC_HCO <sub>3</sub> L <sup>-1</sup> )	H <sub>2</sub> (mgCOD L <sup>-1</sup> )	Inorganic nitrogen (mgN_NH₄ L <sup>-1</sup> )	Inorganic phosphorous (mgP_PO₄ L <sup>-</sup> ¹)	Soluble inert (mgCOD L <sup>-1</sup> )	Phototrophic biomass (mgCOD L <sup>-1</sup> )	Biodegradable particulate (mgCOD L <sup>-1</sup> )	Particulate inert (mgCOD L <sup>-1</sup> )
$\frac{\text{Rate equations:}}{ \mathbf{j}_{1}: \ \rho_{HYD} = k_{HYD} X_{S}}$ $j_{4}: \qquad \qquad$										
$j_{3}: \rho_{PHT} = k_{M,ph} X_{PB} \left(\frac{S_{s}}{K_{s,s}+S_{s}}\right) I_{FA} I_{IN}$	$_{N}I_{IP}I_{E}I_{C_{ac}}$	Č		ρς1 j <sub>5</sub> : j <sub>6</sub> : <sup>ρ</sup> D1	$egin{array}{llllllllllllllllllllllllllllllllllll$	$\left(\frac{S_s}{K_{S,s}+S_s}\right)$	$I_{FA} I_{IN} I_{I}$	ΤP		
		A C								

$$\frac{\text{Limiting factors:}}{\text{Competitive inhibition: } I_{C,S} = \frac{S_{BC}}{S_{BC} + S_{SE}} I_{C,AC} = \frac{S_{S}}{S_{S} + S_{BC}}}{I_{IN} = \left(\frac{S_{IN}}{K_{S,IN} + S_{IN}}\right)_{P}} I_{IP} = \left(\frac{S_{IP}}{K_{S,IP} + S_{IP}}\right)_{P} \text{Free Ammonia: } I_{FA} = \left(\frac{K_{IPA}}{K_{IFA} + S_{NHS}}\right) \text{Light: } I_{E} = \left(\frac{S_{E}}{K_{S,E} + S_{E}}\right)}$$

## 857 **Table 2:** Batch conditions of the different metabolic tests.

Mechanism	Medium	Buffer	COD/N/P	C source	Electron	Electron	Positive	Negative
		system	(C/N/P)***	(mgCOD L <sup>-</sup>	donor	acceptor	control	control
				<sup>1</sup> )	(mg L <sup>-1</sup> )			
Photoheterotrophy	Ormerod	HEPES	100/10/2	Acetate	Organic	CO <sub>2</sub>	Adding 1	-
				(130),			g	
				propionate,			NaHCO <sub>3</sub>	
				butyrate,				
				ethanol				
				(100)				
Nitrogen limitation	Ormerod	HEPES	100/1.4/2	Acetate	Organic	CO <sub>2</sub>	No N	-
				(130)	$\sim$		limitation	
Phosphorus	Ormerod	HEPES	100/10/0.15	Acetate	Organic	CO <sub>2</sub>	No P	-
limitation				(130)			limitation	
Photoautotrophy	Ormerod	Phosphate	(100/20/∞)	NaHCO <sub>3</sub>	$Na_2S$	CO <sub>2</sub>	-	No $Na_2S$
				(0.012)**	(300)			
Chemoheterotrophy	Ormerod	HEPES	100/10/2	Ethanol	Organic	Acetate	With	-
(dark)				(60),			light	
				Acetate				
				(130)				
Inhibition of $H_2$	DWW	-	100/12/4	DWW	Organic	CO <sub>2</sub>	-	Acetate
production				(278)				(600)
	Ormerod	Phosphate	100/15/∞	Acetate	Organic	CO <sub>2</sub>	-	Ν
				(600)				limitation
								(1/10)

858 Buffer systems: HEPES (5.9 g L<sup>-1</sup>), Phosphate (0.9 g K<sub>2</sub>HPO<sub>4</sub> + 0.66 g KH<sub>2</sub>PO<sub>4</sub>). " molC L<sup>-1</sup>  $"" \sim$  means in high excess due to

859 buffering

Parameter	Units	Estimated values	Literature values	Refs.
K <sub>M,ac</sub>	mgCOD mgCOD <sup>-1</sup>	2.4	1.5 (0.5), n=2	1
K <sub>M,ph</sub>	mgCOD mgCOD <sup>-1</sup> d <sup>-1</sup>	1.4	11 (13), n=12	2
K <sub>M,ch</sub>	mgCOD mgCOD <sup>-1</sup>	0.074	5 (4), n=8	3
<b>k</b> <sub>M,ic</sub>	molC mgCOD <sup>-1</sup> d <sup>-1</sup>	3.4 10 <sup>-6</sup>	2.5 10 <sup>-5</sup> (1.7 10 <sup>-5</sup> ), n= 9	4
K <sub>S,s</sub>	mgCOD L <sup>-1</sup>	0.5	4,333 (6,036), n=2	5
Y <sub>PB,ph</sub>	mgCOD mgCOD <sup>-1</sup>	1.1	0.78 (0.37), n=17	6
Y <sub>PB,ch</sub>	mgCOD mgCOD <sup>-1</sup>	0.5	0.23 (0.12), n= 8	7
Y <sub>PB,a</sub>	mgCOD molC <sup>-1</sup>	36,100	132,000 (84,000), n=4	8
k <sub>hyd</sub>	d <sup>-1</sup>	0.07	0.27 (0.06), n=2	9
<i>k<sub>dec</sub></i>	d <sup>-1</sup>	0.09	0.2 (0.02), n=2	10

#### 861 **Table 3:** Comparison of estimated parameters with those reported in the literature.

<sup>1</sup> (Golomysova et al. 2010, McKinlay and Harwood 2011), <sup>2</sup> (Gadhamshetty et al. 2008,
Golomysova et al. 2010, Klein et al. 1991, McKinlay and Harwood 2011, Obeid et al. 2009), <sup>3</sup>
(Madigan and Gest 1978, Schultz and Weaver 1982), <sup>4</sup> (Sarles and Tabita 1983, Wang et al.
1993), <sup>5</sup> (Gadhamshetty et al. 2008, Obeid et al. 2009), <sup>6</sup> (Gadhamshetty et al. 2008, Klamt
et al. 2002, Klein et al. 1991, McKinlay and Harwood 2011, Obeid et al. 2009, Schultz and
Weaver 1982), <sup>7</sup> (Madigan and Gest 1978, Schultz and Weaver 1982), <sup>8</sup> (Wang et al. 1993),
<sup>9</sup> (Huang et al. 1999, Huang et al. 2001), <sup>10</sup> (Huang et al. 1999, Huang et al. 2001)

#### 870 FIGURE CAPTIONS

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Figure 1: Schematic summary of PPB metabolism under domestic wastewater treatment.
Key: N2ase: Nitrogenase complex. TCA-c: Tri-carboxylic acid cycle. DF: Dark fermentation.
VFA: volatile fatty acids. e<sup>-</sup>: electrons. Dash: electron cycles. Dot: proton pumps. \*: Model
components.

Figure 2: Experimental (symbols) and modelled (lines) time curse of substrates uptake (left)
and parameters determination including 95% confidence intervals and confidence regions
(right) of PPB metabolism in photoheterotrophy (a), chemoheterotrophy (b) and
photoautotrophy (c) growth modes.

Figure 3: Mechanism of decay rate. Time course of specific phototrophic activity of PPB
subjected to starvation under full illumination.

**Figure 4:** Time course of released products upon starvation in dark conditions demonstrating hydrolysis: soluble organic compounds but acetate (squares), acetate (diamonds), hydrogen (triangles), TIC (pluses),  $NH_4^+$ -N (circles) and  $PO_4^{3-}$ -P (crosses).

Figure 5: Influent (continuous line) and effluent concentrations (dash line) over time for
PAnMBR simulation for SCOD (a), ammonium (b) and phosphate (c) upon primary settling.
Different operational periods are indicated as vertical shades separators.

Figure 6: Biomass fractionation including active phototrophic bacteria (dash line), biodegradable particulate biomass (continuous line) and inert particulate (dot lines) over time for the PAnMBR continuous simulation. Different operational periods are indicated as vertical shades separators.



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# 1 HIGHLIGHTS

- A mechanistic model for anaerobic phototrophs has been developed: PAnM
  The model includes organic C and H<sub>2</sub> (as COD) and inorganic C, N and P.
  Microbial processes based on PPB metabolism were identified through dedicated experiments.
  Kinetic and stoichiometric parameters were determined in batch tests.
- 7 Model was tested by simulating the process in a photo-anaerobic MBR

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outering when the course