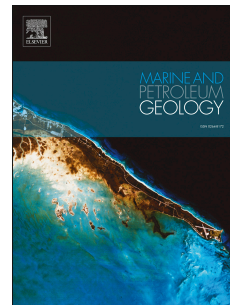


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Geothermometry and geobarometry of overpressured lower Paleozoic gas shales in the Jiaoshiba field, Central China: Insight from fluid inclusions in fracture cements

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1 **Geothermometry and geobarometry of overpressured Lower Paleozoic gas shales in the Jiaoshiba**
2 **field, Central China: Insight from fluid inclusions in fracture cements**

3
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12
13 **Abstract:** The Wufeng-Longmaxi organic-rich shales host the largest shale gas fields of China. This study
14 examines sealed fractures within core samples of the Wufeng-Longmaxi shales in the Jiaoshiba shale gas
15 field in order to understand the development of overpressures (in terms of magnitude, timing and burial) in
16 Wufeng-Longmaxi shales and thus the causes of present-day overpressure in these Paleozoic shale
17 formations as well as in all gas shales. Quartz and calcite fracture cements from the Wufeng-Longmaxi
18 shale intervals in four wells at depth intervals between 2253.89 m and 3046.60 m were investigated, and
19 the fluid composition, temperature, and pressure during natural fracture cementation determined using an
20 integrated approach consisting of petrography, Raman spectroscopy and microthermometry. Many crystals
21 in fracture cements were found to contain methane inclusions only, and aqueous two-phase inclusions were
22 consistently observed alongside methane inclusions in all cement samples, indicating that fluid inclusions
23 trapped during fracture cementation are saturated with a methane hydrocarbon fluid. Homogenization
24 temperatures of methane-saturated aqueous inclusions provide trends in trapping temperatures that Th
25 values concentrate in the range of 198.5 °C-229.9 °C, 196.2 °C-221.7 °C for quartz and calcite,
26 respectively. Pore-fluid pressures of 91.8 to 139.4 MPa for methane inclusions, calculated using the
27 Raman shift of C-H symmetric stretching (ν_1) band of methane and equations of state for supercritical

28 methane, indicate fluid inclusions trapped at near-lithostatic pressures. High trapping temperature and
29 overpressure conditions in fluid inclusions represent a state of temperature and overpressure of
30 Wufeng-Longmaxi shales at maximum burial and the early stage of the Yanshanian uplift, which can
31 provide a key evidence for understanding the formation and evolution of overpressure. Our results
32 demonstrate that the main cause of present-day overpressure in shale gas deposits is actually the
33 preservation of moderate-high overpressure developed as a result of gas generation at maximum burial
34 depths.

35

36 **Keywords:** Fluid inclusions, Raman spectroscopy, Geobarometry, Wufeng-Longmaxi shales, Sichuan
37 basin.

38

39 1. Introduction

40 A debate has centred around the overpressure found in various shale gas basins, with a particular focus on
41 the cause of present-day overpressure in shale gas deposits. Two hypotheses were proposed to explain this
42 phenomenon (Bowker, 2007). Hypothesis 1 suggests that the overpressured shales, which are both the
43 reservoir and the source rock for the shale gas, are reentering the hydrocarbon-generation window now,
44 regardless of the ongoing process of the uplift and exhumation of overburden rocks; Hypothesis 2 assumes
45 the present-day overpressure is actually preserved from a normal pressure gradient (or slightly
46 overpressured gradient formed by the generation of hydrocarbons at the time of maximum heat flow)
47 established in the geologic past, and the extremely high capillary pressure of the shale allows the normal
48 pressure to be preserved to achieve overpressures during the subsequent uplift and erosion of overburden
49 rocks. Since Bowker (2007) first explained some irrationalities inherited in hypothesis 1, hypothesis 2 has

50 become an increasingly more accepted model to account for the causes of present-day overpressures in
51 shale gas deposits. In this paper, in order to test the above hypotheses 2, we performed fluid inclusions
52 analysis and reconstructed the trapping time and associated temperature, pressure, and fluid-composition
53 conditions. In contrast to hypotheses 2, we find that the present-day overpressure in the Wufeng-Longmaxi
54 shales is actually preserved from moderate-high overpressure formed by the gas generation. This study has
55 obtained some new insights into the causes of present-day overpressure in shale gas deposits as it applies
56 to the Wufeng-Longmaxi shales as well to all gas shales.

57 As the first and largest producing shale gas field in China, more than 200 horizontal shale gas exploration
58 wells have been drilled in the Wufeng-Longmaxi shales of the Jiaoshiba shale gas field, and it has the
59 highest single-well daily shale gas production in China (Guo and Zhang, 2014). The marine shales within
60 the Upper Ordovician Wufeng and Lower Silurian Longmaxi Formations, with estimated recoverable
61 resources of up to $8.1 \times 10^{12} \text{ m}^3$, have yielded about $50 \times 10^8 \text{ m}^3$ shale gas from 2012 to July, 2016. (Chen et
62 al., 2015). Abnormally high pore-fluid pressures are widely developed in those high-yield shale gas wells,
63 the gas reservoirs pressure coefficients range from 1.35 to 1.55 at the bottom of Wufeng-Longmaxi shales
64 (Guo and Zhang, 2014). The overpressure is not only a favorable evidence for the long-term effective
65 preservation conditions, but also a key factors for the enrichment of shale gas in Wufeng-Longmaxi shales.
66 The scientific question that the relation between the preservation and enrichment of shale gas and the
67 overpressure evolution in Wufeng-Longmaxi shales requires further study, and one of the basic research
68 question is to find whether there is a direct and objective evidence of paleo-overpressure in
69 Wufeng-Longmaxi shale gas reservoir. Fluid inclusion studies on fracture cements that formed
70 concurrently with fracture opening have provided valuable information on the pressure and temperature of
71 mineral growth at the time of the fluid migration and entrapment as well as on the compositions of the

72 fluids involved in diagenesis in shale gas reservoirs (Becker et al., 2010; Fall et al., 2012 and 2015).
73 During and after fluid migration in subsurface, including gas migration and entrapment in reservoirs, fluids
74 may be encapsulated as inclusions during mineral precipitation (Parnell et al., 2001). Despite the problems
75 of dealing with typically small and rare inclusions, and with the uncertainties involving extrapolation of
76 the results from small fluid samples to a basin scale, fluid inclusions are particularly useful in determining
77 (1) the temperature and pressure history (Burruss, 1989; Swarbrick, 1994; Aplin et al., 2000); (2) the
78 timing of fluid migration/entrapment relative to the paragenesis (McLimans, 1987; Rezaee and Tingate,
79 1997) and the history of petroleum charge (Parnell, 2010); and (3) the evolution in pore-water salinity,
80 which may be critical for evaluating the possible influence of fluid flow upon mineral cementation (Burley
81 et al., 1989; Wilkinson et al., 1998).

82 In this contribution we present a study of fluid inclusions in sealed fractures from the Jiaoshiba shale gas
83 field in the Sichuan Basin, which was designed to address the following questions: (1) how to obtain the
84 trapping pressures of methane inclusions; (2) what is the initial state of pore-fluid pressure before tectonic
85 uplift that is preserved in the present-day overpressure; and (3) What is the process responsible for the
86 development of overpressures (in terms of magnitude, timing and burial) in gas shales. To achieve this goal,
87 we combined basin modelling techniques with microthermometry and Raman microspectrometry of fluid
88 inclusions trapped in the fracture minerals to constrain the fluid pressure, temperature and composition
89 during fracture opening and cementation in the Jiaoshiba shale gas field. Trapping temperatures of fluid
90 inclusions observed in fracture cements were correlated with independently derived burial history models
91 to determine the relative timing of fracture opening. Fluid pressure conditions during fracture opening
92 were determined based on gas concentration measurements in fluid inclusions and equation-of-state
93 calculations. The present research adopt the approaches described in Lu et al. (2007a), Lin et al. (2007),

94 Becker et al. (2010), and Fall et al. (2012; 2015), and builds on earlier diagenetic fracture cement fluid
95 inclusion studies (Vrolijk et al., 1988; Goldstein and Reynolds, 1994; Worden et al., 1999; Eichhubl and
96 Boles, 2000a; Parris et al., 2003; Hanks et al., 2006; Duncan et al., 2012).

97

98 **2. Geological background**

99 The Jiaoshiba shale gas field, located in the Fuling District of the Chongqing Municipality, is part of the
100 Jiaoshiba structure of the Baoying-Jiaoshiba anticline in the eastern Sichuan fold belt (Figure 1A and B).

101 The Jiaoshiba structure is controlled by two groups of faults trending in a North-East/South-West direction
102 and a nearly north-south direction (Figure 1C), respectively. The main body of Jiaoshiba structure, which is
103 a broad box-shaped faulted anticline with axial trending in NE, has tectonic stability and favorable
104 preservation conditions (Guo and Zhang, 2014; Jin et al., 2015).

105 This study area has been affected by the Paleozoic Caledonian-Hercynian (541-251 Ma), Triassic
106 Indosinian (251-201 Ma) and Jurassic-Cretaceous Yanshanian (201-65 Ma) and Cenozoic Himalayan
107 (65-Present Ma) tectonic activities, which controlled the tectonic evolution of the southeast Sichuan Basin
108 (Guo et al., 1996). The regional tectonic direction is NE, NNE (Figure 1B). Before late Cretaceous, the
109 study area was mainly characterized by subsidence and minor uplift; while large scale tectonic
110 compression and denudation caused by uplift occurred since late Cretaceous. Affected by the Yanshanian
111 and Himalayan movement, most of the Jurassic-Quaternary sediments are eroded with only a thin set of
112 Jurassic strata remaining in some areas (He et al., 2011; Yang et al., 2016). During the Late Ordovician to
113 Early Silurian, the Caledonian orogeny reached its maximum, which placed the Upper Yangtze Platform
114 under a compressional regime, resulting in the development of the Central, North and Qiangzhong Sichuan
115 Uplifting Zones in the west, north and south of the Yangtze Platform (Liang et al., 2009; Zeng et al., 2012).

116 Due to the compression and development of several uplifting zones around the Sichuan Basin, the marine
117 phase was reduced to restricted areas in the northeast, east and southeast Sichuan Basin during episodes of
118 relative rising sea-level (Huang et al., 2011). At the same time, a relatively low energy, and anoxic
119 environment was predominant in the southeast Sichuan Basin, which led to thick, organic rich shale being
120 deposited in this area (Wang et al., 2009). During the Indosinian orogeny, the collision between the North
121 China plat and the Yangtze plates occurred, resulting in the closure of the Paleo-Qinling ocean and
122 termination of marine-phase deposition (Zhao et al., 2003). During the Late Yanshanian Orogeny, the study
123 area experienced strong tectonic deformation, and a large scale thrust nappe developed in response to the
124 continuous SE-NW compression initiated by the uplift of the Jiangnan-Xuefeng orogenic belt. The major
125 basin-controlling faults of the study area possibly occurred in this period. During the Himalayan Orogeny,
126 the long-range effects of the orogeny reactivated the early low-angle thrust faults, while the effects were
127 much smaller than those in Late Yanshanian (He et al., 2011).

128 The black marine shales from the Upper Ordovician Wufeng Formation and the lower part of Lower
129 Silurian Longmaxi Formation are the shale gas reservoirs in the Jiaoshiba shale gas field. The Lower
130 Silurian Longmaxi Formation unconformably overlies the Ordovician Wufeng Formation, which
131 comprises a set of thin siliceous shale and yields abundant *Amplexograptus*, *Dicellograptus*, *Tangyagraptus*
132 and *Diceratograptus* (Chen et al., 1986, 2000, 2003, 2005). The organic-rich shales of the Wufeng-
133 Longmaxi Formation represent deep to shallow shelf deposition. The high gas-bearing shales, which are
134 composed of silica-rich carbonate and rich in graptolites and pyrites, mainly developed in deep shelf
135 sedimentary environments (Guo et al., 2014). Drilling results show that organic-rich shales vary in
136 thickness from 80 to 120 m, and the thickness of high gas-bearing shales is about 38-42 m. The
137 organic-rich shales are divided into three layers and five sub-layers based on the characteristics of lithology,

138 electric property, physical property, geochemistry, and the gas content. The high gas-bearing shales belong
139 to layer 1 (Figure 2). The current burial depth of the shale gas reservoirs ranges from 2300 to 3500 m. The
140 shale gas in the Wufeng-Longmaxi Formation is mainly composed of methane with a content range of
141 97.22%-98.47%. The contents of ethane and propane are 0.545%-0.801% and 0.05%-0.232%, respectively.
142 The non-hydrocarbon components are low, and no H₂S is detected. The present-day shale gas reservoir
143 temperature is around 82 °C, the geothermal gradient is 2.72 °C/100 m and the measured formation
144 pressure and pressure coefficient of the gas reservoirs range from approximately 33.0 to 37.0 MPa, 1.35 to
145 1.55, respectively. The evolution histories of burial, thermal maturation and hydrocarbon generation of the
146 Wufeng-Longmaxi Formation for well JY1 in the Jiaoshiba shale gas field were modelled and recalculated
147 by He et al (2015) and Jin et al (2015).

148

149 **3. Samples and analytical methods**

150 Twenty samples of completely or partially cemented natural fractures in cores from wells JY1, JY11-4,
151 JY41-5 and JY51-2 in the Wufeng-Longmaxi Formation at depths ranging from 2253.89 m to 3046.60 m
152 were investigated. Of the twenty fracture samples that were screened, ten samples contained fluid
153 inclusions large enough for microthermometry and Raman microprobe analyses. The locations of sampled
154 wells and the details of these ten samples are shown in Figure 1 and Table 1. The core samples were
155 prepared as thick doubly polished sections of approximately 100 µm thickness for fluid inclusion
156 petrographic analysis, and Raman spectral and microthermometric measurements.

157 Fluid inclusion petrography and fluid inclusion assemblages were first examined using a NIKON-LV100
158 microscope equipped with both transmitted white and incident ultraviolet light (UV) sources.
159 Microthermometry of methane inclusions and aqueous fluid inclusions was carried out using a calibrated

160 Linkam THM600 stage. Calibration and measurement routines have been described in earlier papers from
161 our group (most recently in Guo et al., 2012). The homogenization temperatures (T_h) and ice final melting
162 temperatures (T_m) were obtained by cycling (Goldstein and Reynolds, 1994). Homogenisation temperature
163 measurements were determined using a heating rate of 5 °C/min. The final ice melting temperature
164 measurements, which are dependent on the quantity of salt present in solution, were determined using a
165 heating/cooling rate of 1 °C/min. The measured temperature uncertainty for homogenization and ice
166 melting temperatures are 0.1 °C and 1 °C, respectively. Stretched or leaked inclusions will yield
167 homogenization temperatures (T_h) greater than undeformed inclusions. To limit the possibility of
168 unknowingly measuring deformed aqueous inclusions, only inclusions from the same field of view were
169 measured during a single heating or freezing run. By restricting measurements to inclusions within the
170 same field of view, any sudden changes in liquid/vapor ratios due to inclusion deformation could be
171 observed and removed from consideration. Heating runs were conducted before freezing runs to reduce the
172 possibility of inclusion stretching by freezing (Lawler and Crawford, 1983; Meunier, 1989). Where
173 possible, only the smallest inclusions and/or those with rounded and smooth walls were measured as these
174 should give the most reliable T_h values (Ulrich and Bodner, 1988; Osborne and Haszeldine, 1993).

175 The Raman microprobe analyses of individual fluid inclusions were collected with a JY/Horiba LabRam
176 HR800 Raman system equipped with a frequency doubled Nd:YAG laser (532.06 nm), whose output laser
177 power is 45 mW, a 50× long-work-distance Olympus objective with 0.5 numerical aperture. The aperture
178 of the confocal hole was set to 200 μm. Raman peak position calibration was verified regularly with the
179 $\sim 520.7\text{ cm}^{-1}$ band of a polished silicon wafer. The 300 grooves/mm grating was used to obtain spectra of
180 the composition of fluid inclusions. Spectra were collected in the range of 0-4000 cm^{-1} . The acquisition
181 time was around 100 seconds with two accumulations for each spectrum to maintain high signal-to-noise

182 ratio. Three to five spectra were usually collected for each individual methane. The average values of the
183 Raman peak position were used, although the deviations of the observations are generally very small (~1%)
184 or undetectable. The 1800 grooves/mm grating was used to acquire spectra of the methane at room
185 temperatures, for calculating the density in the inclusions with measured Raman shifts (Lu et al., 2007a).
186 The emission lines of neon (Ne) laser at 2836.99 cm^{-1} (626.65 nm) and 3008.13 cm^{-1} (633.44 nm) were
187 collected simultaneously with the Raman spectra. The method for calibration of methane peak positions
188 described by Lin et al. (2007) was used. The accuracy of the peak position in wavenumber scale is ± 0.2
189 cm^{-1} , resulting in an accuracy of $\pm 0.01\text{ g/cm}^3$ for density estimation.

190 Microthermometric measurements and Raman microprobe analyses have been made in the Key Laboratory
191 of Tectonics and Petroleum Resources, China University of Geosciences in Wuhan.

192 BasinMod-1D (Version 7.06) software of Platte River Associates was used to reconstruct the burial and
193 thermal histories by integrating the data of stratigraphic thickness, lithologies, absolute ages, erosion
194 thickness, measured borehole temperatures and calculated vitrinite reflectance. Stratigraphic thickness and
195 borehole temperature data were obtained from well completion reports supplied by the Jiangnan Oilfield
196 Company, Sinopec. Vitrinite reflectance data was derived from bitumen reflectance and FTIR
197 characteristics of kerogen. The modelling process was mainly calibrated with vitrinite reflectance and
198 temperatures.

199

200 **4. Results**

201 **4.1 Fracture cement petrography**

202 Fractures in the Wufeng-Longmaxi stratigraphic intervals were differentiated into two general types based
203 on the mode of origin: joints and veins, naturally occurring planar vertical or subvertical fractures that do

204 not exhibit any offset parallel to the fracture walls (Figure 3A); and faults, slickensided, horizontal to
205 steeply dipping fractures (Figure 3B). The vast majority of the fracture types are joints and veins (Table 1).
206 The joints and veins generally occur singly or less commonly as sets of closely spaced parallel fractures
207 within the core. The slickensided fractures range in orientation from subhorizontal and bedding parallel, to
208 inclined at 60 degrees to bedding. The slickensided surfaces are reflective, with very fine striations and, in
209 some cases, deep grooves.

210 Fractures were mineralized with calcite as the predominant fracture cement in the Wufeng-Longmaxi
211 stratigraphic intervals. Quartz cement were observed either as the only cement present, or as a cement
212 phase postdating earlier calcite cement (Figure 3D and E). Quartz fracture cement consist of euhedral
213 blocky crystals (Figure 3D). Calcite fracture cement occurred as banded columnar calcite, and as fine- to
214 coarse-crystalline blocky calcite (Figure 3C). Almost all of the veins are filled with syntaxial mineral,
215 which grows from both sides of the wall towards the median (i.e. symmetric) (Table 1). The vertical
216 persistence of the fracture cement varies from a few to more than ten centimeters, whereas the vein width
217 ranges from several millimeters to a maximum of 1.2 centimeters.

218 If minerals were stretched (deformed), their orientation of stretching relative to the wall of the vein was
219 ascertained (Worden et al., 2016). The fabric of the deformed vein minerals is characterized as elongate
220 (stretched) or hybrid elongate–blocky. The deformed vein minerals also show obvious lattice dislocation.
221 Because no stretched microstructure and lattice dislocation were observed in the fractured samples, as well
222 as most fractures in the Wufeng-Longmaxi shales are filled with blocky calcite and/or blocky quartz, the
223 fractured samples in the Wufeng-Longmaxi stratigraphic intervals are undeformed rock samples. Each
224 fracture opening increment was followed by a cement precipitation event that trapped fluid inclusions.
225 Fluid inclusions occur as FIA (Fluid Inclusion Assemblages) representing a group of fluid inclusions that

226 were all trapped at the same time (Figure 4), and allow interpretation of the fluid inclusion record of fluid
227 temperature, pressure, and composition during fracture cementation.

228

229 **4.2 Fluid inclusions**

230 **4.2.1 Fluid inclusion petrography**

231 At room temperatures, the observed fluid inclusion assemblages (FIAs) in quartz are composed of
232 two-phase aqueous inclusions and single-phase methane inclusions. Single-phase methane inclusions were
233 observed in all samples that we analyzed, whereas most of the aqueous two-phase inclusions were
234 consistently observed alongside methane inclusions (Figure 4 b and c). Many crystals in quartz cements
235 were found to contain methane inclusions only (Figure 4 a, d and e). The coexistence of the methane
236 inclusions and aqueous inclusions within the same fluid inclusion assemblage indicates inclusion trapping
237 in the two-phase immiscible field (Goldstein and Reynolds, 1994), and that the aqueous inclusions are
238 methane saturated. Both aqueous and methane inclusions have irregular or rounded shape, some methane
239 inclusions even take negative crystal; The two-phase liquid-rich inclusions contain 5 to 10 vol. % vapor
240 and range from <4 to 15 μ m in size. The single-phase methane inclusions are <6 to 30 μ m in size (Figure 4).
241 Most of the analyzed fluid inclusion assemblages in quartz that occur as being isolated or randomly
242 distributed within the center of the euhedral cements, and are thus considered primary fluid inclusions,
243 possibly having been trapped during crystal growth. Calcite cements also contain coexisting two-phase
244 aqueous and single-phase methane inclusions, although both types of inclusions are much less abundant
245 compared to quartz fracture cements. Inclusions in calcite are up to 8 μ m in diameter with irregular to
246 ellipsoidal shapes (Figure 4f).

247

248 **4.2.2 Raman spectral analytical results of fluid inclusions**

249 Raman spectroscopy is becoming a powerful tool for quantitative analysis of fluid inclusions in
250 geochemical environments with various temperature, pressure, and salinity (Wopenka et al., 1990). After
251 accurate calibration of Raman spectroscopic system, Raman shift of C-H symmetric stretching (ν_1) band of
252 methane can be applied to accurately calculate the pressure and density in individual fluid inclusions
253 (Fabre and Couty, 1986; Ben-Amotz et al., 1992; Dubessy et al., 2001; Hansen et al., 2001; Lin et al., 2007;
254 Lu et al., 2007a; Gao et al., 2015a).

255 Lu et al. (2007a) reported a unified cubic polynomial equation between the Raman CH₄ symmetric
256 stretching (ν_1) band shifts and the methane density:

$$257 \quad \rho (g / cm^3) = -5.17331 \times 10^{-5} D^3 + 5.53081 \times 10^{-4} D^2 - 3.51387 \times 10^{-2} D \quad \text{Eq.(1)}$$

258 with the correlation coefficient $r^2 = 0.9987$, where D (cm^{-1}) is the difference between the measured peak
259 position of methane in fluid inclusion (ν_{true}) and the known peak position of methane near zero pressure (ν_0)
260 ($D = \nu_{true} - \nu_0$). The lab reference shift ν_0 for our laboratory is 2917.52 cm^{-1} (Zhang et al., 2015). The D - ρ
261 relation is valid for pure CH₄ and CH₄-H₂O systems and for mixtures of CH₄ with low concentrations (<10
262 mol %) of other components (e.g., CO₂).

263 In this study, we used this D - ρ relation Eq.(1) to determine the density of methane inclusions, and then the
264 trapping pressures of methane inclusions were calculated on the basis of this density and the
265 homogenization temperatures of coexisting aqueous inclusions. Firstly, a 300 groove/mm grating and a
266 spectral window of $0\text{-}3500 \text{ cm}^{-1}$ by Raman spectroscopy were adopted to check the component of the
267 single-phase fluid inclusions trapped in the quartz veins from wells JY11-4, JY41-5 and JY51-2,
268 estimating whether the single-phase inclusions are suitable for Eq.(1). The results indicate that all analyzed
269 single-phase fluid inclusions (a total of 151 single-phase fluid inclusions) from the eight quartz veins

270 contain CH₄ as the only detectable phase during Raman analysis. Examination of the spectral regions
 271 where the most intense peaks for N₂, CO₂ and H₂S occur failed to detect any activity. The single-phase
 272 fluid inclusions, which are pure methane inclusions, are valid for the linear D–ρ relation Eq.(1). Secondly,
 273 the pure methane inclusions were studied with a 1800 groove/mm grating and a spectral window of
 274 2750-3080 cm⁻¹ to measure the C-H symmetric stretching (ν₁) peak position of methane, calibrated with the
 275 neon lamp at room temperature (25 °C). Using the unified equation (Eq.1), we calculated the density of
 276 these pure methane inclusions with the measured CH₄ symmetric stretching (ν₁) band position.

277 Figure 5A gives two examples of Raman spectra of CH₄ in pure methane inclusions “a” and “b”. The
 278 measured methane peak positions were corrected using the Ne lamp reference shifts and the following
 279 equation (Lin et al., 2007): Using the measured peak positions for the CH₄ symmetric stretching band and
 280 the measured and real peak positions for the Ne 2836.99 and 3008.13 cm⁻¹ lines, the corrected (true)
 281 position for the methane peak is given by the following expression:

$$282 \quad \nu_{corr}^{CH_4} = \frac{1}{2} \left\{ \left[\nu_{meas}^{CH_4} + \left(\frac{\nu_{real}^{Ne_1,2836.98} - \nu_{meas}^{Ne_1,2836.98}}{\nu_{real}^{Ne_2,3008.13} - \nu_{meas}^{Ne_2,3008.13}} \right) \left(\nu_{meas}^{CH_4} - \nu_{real}^{CH_4} \right) \right] + \left[\nu_{meas}^{CH_4} + \left(\frac{\nu_{real}^{Ne_2,3008.13} - \nu_{meas}^{Ne_2,3008.13}}{\nu_{real}^{Ne_1,2836.98} - \nu_{meas}^{Ne_1,2836.98}} \right) \left(\nu_{meas}^{CH_4} - \nu_{real}^{CH_4} \right) \right] \right\} \quad \text{Eq.(2)}$$

283 Thus, the measured CH₄ symmetric stretching (ν₁) peak positions of 2907.79 cm⁻¹, 2908.13 cm⁻¹ for pure
 284 methane inclusions “a” and “b” shown in Figure 5B correspond to a “true” or corrected peak position of
 285 2911.11 cm⁻¹, 2911.45 cm⁻¹ based on the linear interpolation described numerically by Eq.(2), respectively.
 286 Then the density of the methane inclusions can be calculated using the equation (1): The calculated D are
 287 -6.41 cm⁻¹ and -6.07cm⁻¹ for inclusions “a” and “b”, and the corresponding density are 0.262 and 0.245
 288 g/cm³, respectively. The range of the Raman CH₄ symmetric stretching (ν₁) peak positions and the density
 289 of methane inclusions in each quartz veins from wells JY11-4, JY41-5 and JY51-2 in the Jiaoshiba shale
 290 gas field are listed in Table 2. The density of methane inclusions in each quartz vein are approximate,
 291 mainly ranging from 0.245 to 0.288 g/cm³ (Table 2).

292 We also used the 300 groove/mm grating and a spectral window of 900~4100 cm^{-1} by Raman spectroscopy
293 to check the component of two-phase aqueous inclusions, which are coexisting with pure methane
294 inclusions (Figure 6). The results indicate that the aqueous inclusions are methane saturated and no ethane
295 and/or carbon dioxide was detected in the aqueous inclusions. Measured homogenization temperatures of
296 the aqueous fluid inclusions thus represent temperatures of inclusion trapping (Hanor, 1980).

297

298 **4.2.3 Fluid inclusion microthermometry**

299 **Microthermometry results of methane inclusions**

300 Microthermometry analytical technique can also be adopted to determine the density of methane inclusions
301 (Roedder and Bodnar, 1980; Van den Kerkhof, 1990). While compared with the Raman spectroscopy
302 technique, the microthermometry technique is time-consuming, and is usually difficult to be applied to
303 small fluid inclusions (less than 5 μm), making determination of the density based on microthermometry
304 difficult.

305 Homogenization temperatures of methane inclusions were measured for seven large inclusions in the
306 studied fluid inclusion assemblages from sample JY11-4-1. Microthermometry of the single-phase
307 methane inclusions indicates that the fluid in the inclusions is in the liquid state at room temperature,
308 owing to the fact that the fluid inclusions nucleate a vapor bubble upon cooling. The inclusions
309 homogenize to the liquid phase within the range of -95.8°C to -88.2°C (Figure 7) (Gao et al., 2015a). This
310 phase-change behavior indicates the presence of a fluid with density above the critical density of methane
311 (Goldstein and Reynolds, 1994), indicating methane inclusions in the samples were trapped in a high
312 density supercritical system. Homogenization temperatures below -82.1°C (the critical temperature of
313 methane) are indicative of pure methane inclusions (Andersen and Burke, 1996). The corresponding bulk

314 density of the methane inclusions ranges from approximately 0.256 to 0.290 g/cm³, which were calculated
315 from the equation described by Liu et al (Liu and Shen, 1999).

316 **Microthermometry results of aqueous inclusions**

317 Aqueous inclusion assemblages (FIAs), which coexist with methane inclusions, hosted in quartz and
318 calcite crystals from the Wufeng-Longmaxi Formation shale gas reservoir were selected for
319 microthermometric analysis. Measured homogenization temperatures (Th), final ice-melting temperatures
320 (Tm) and salinity data range are shown in Table 2, Table 3 and Figure 8, respectively.

321 The homogenization temperatures of aqueous inclusion assemblage in quartz cements throughout wells
322 JY11-4, JY41-5 and JY51-2 mainly vary from 195.1 °C to 226.9 °C, 191.8 °C to 230.5 °C and 199.5 °C to
323 230.6 °C, respectively, with the average Th value of each FIA ranging from 198.5 °C to 229.9 °C. The Th
324 values of aqueous inclusions in well JY51-2 are relatively higher than wells JY11-4 and JY41-5. These
325 high homogenization temperatures are consistent with the fact that the Wufeng-Longmaxi shales were
326 buried much deeper in this well. Details of each of these aqueous inclusion assemblages are given in Table
327 2. Several individual fluid inclusions in each FIA were measured to assess the reliability of observed
328 homogenization temperatures. Th variation within individual FIAs in quartz are generally less than 8°C,
329 suggesting that the inclusions were not re-equilibrated after trapping (Bodnar, 2003). The average Th value
330 of each FIA is used to represent the trapping temperatures of coexisting methane inclusions in quartz
331 cements. Most of these temperatures are well within the hydrocarbon gas-generation window of 105 to
332 220°C (Pepper and Corvi, 1995; Pepper and Dodd, 1995).

333 The homogenization temperatures of the fluid inclusions in calcite from well JY1 range from 196.0 °C to
334 245.8 °C, with Th variation of ~4-35 °C within a single fluid inclusion assemblage (Table 3). These larger
335 temperature variations within individual fluid inclusion assemblages compared to inclusions in quartz are

336 probably caused by partial re-equilibration of inclusions in calcite due to the low hardness and cleavage of
337 the host mineral (Goldstein, 1986; Bodnar, 2003). While Th variation within $\sim 4\text{-}8^\circ\text{C}$ in several FIAs can be
338 interpreted as suggesting that the inclusions were not re-equilibrated after trapping, and those Th values
339 may represent the trapping temperatures of fluid inclusions in calcite cements.

340 Variability in the salinity of the aqueous fluid inclusions in a sample can indicate the trapping of
341 palaeo-formation waters at different times. Initial and final ice-melting temperatures were generally
342 difficult to observe due to the small size of the fluid inclusions. However, final ice-melting temperatures
343 were measured for a few large inclusions in the studied fluid inclusion assemblages. Final ice-melting
344 temperatures for inclusions were recorded in the range of approximately -3.7 to -9.8°C in quartz
345 throughout wells JY11-4 and JY41-5, corresponding to salinities of 6.01 to 13.72 wt. % NaCl
346 equivalent (Figure 8). Final ice-melting temperatures for inclusions in calcite range from -3.1 to -5.3°C ,
347 corresponding to salinities of 5.11 to 8.28 wt. % NaCl equivalent (salinity calculation based on Bodnar,
348 1993) (Table 3, Figure 8). The salinities show decreasing trends with increasing Th, with salinity variation
349 within each sample varying by 0.35-5.86 wt. % NaCl equivalent (Figure 8). Since the Wufeng-Longmaxi
350 shales clearly acted as closed overpressured systems (low permeability), the effect of external fluids is
351 limited, and it is therefore plausible that the associated water with hydrocarbon generation and different
352 degrees of water-rock reaction caused the salinity values of pore-filled water to shift toward lower values
353 with temperature increase in the Wufeng-Longmaxi shales. The coexistence of aqueous inclusions and
354 methane inclusions within individual fluid inclusion assemblages indicates the presence of a two-phase
355 aqueous and methane-saturated fluid. Measured homogenization temperatures and salinities of the aqueous
356 fluid inclusions thus represent fluid temperatures and salinities of inclusion trapping.

357

358 4.2.4 Isochore calculations and trapping pressure

359 Calculation of trapping pressure for fluid inclusions requires knowledge of the composition of the
 360 inclusions. Because it is difficult to find pure fluid inclusions of single component in naturally occurring
 361 minerals and the concentration of each component is hard to be determined in a multicomponent fluid
 362 inclusion, the calculation of trapping pressure for the fluid inclusions would not be precise. While based on
 363 the results of Raman spectroscopy analysis of methane inclusions from the Wufeng-Longmaxi shales in the
 364 Jiaoshiba shale gas field, we found pure methane inclusions, which are favourable for the calculation of
 365 trapping pressure.

366 The density of methane inclusions can be used to calculate fluid pressure during fracture opening and
 367 cementation. We followed the technique of Lu et al. (2007a) to determine the density within individual
 368 methane inclusions using Raman spectroscopic analyses. The fluid pressure at inclusion trapping
 369 conditions was calculated on the basis of the density of methane inclusions, homogenization temperature
 370 of coexisting aqueous inclusions, and the equation of state (EOS) for supercritical methane by Duan et al
 371 (1992).

372 The fluid pressure over a wide T-p range can be evaluated by the equation of state (Duan et al., 1992):

$$373 \quad Z = \frac{PV}{RT} = \frac{P_r V_r}{T_r} = 1 + \frac{B}{V_r} + \frac{C}{V_r^2} + \frac{D}{V_r^4} + \frac{E}{V_r^5} + \frac{F}{V_r^2} \left(\beta + \frac{\gamma}{V_r^2} \right) \exp\left(-\frac{\gamma}{V_r^2}\right) \quad \text{Eq. (3)}$$

374 Where

$$375 \quad B = a_1 + \frac{a_2}{T_r^2} + \frac{a_3}{T_r^3}; \quad \text{Eq. (4)}$$

$$376 \quad C = a_4 + \frac{a_5}{T_r^2} + \frac{a_6}{T_r^3}; \quad \text{Eq. (5)}$$

$$377 \quad D = a_7 + \frac{a_8}{T_r^2} + \frac{a_9}{T_r^3}; \quad \text{Eq. (6)}$$

$$378 \quad E = a_{10} + \frac{a_{11}}{T_r^2} + \frac{a_{12}}{T_r^3}; \quad \text{Eq. (7)}$$

$$379 \quad F = \frac{\alpha}{T_r^3}; \quad \text{Eq. (8)}$$

380
$$P_r = \frac{P}{P_c};$$
 Eq. (9).

381
$$T_r = \frac{T}{T_c};$$
 Eq. (10).

382
$$V_r = \frac{V}{V_c};$$
 Eq. (11).

383
$$V_c = \frac{RT_c}{P_c};$$
 Eq. (12).

384 Empirical parameters a_1 - a_{12} , α , β , γ , T_c , and P_c in EOS for CH_4 are compiled by Duan et al. (1992). T_c is
 385 the critical temperature above which a gas cannot be liquefied by an increase in pressure. P_c is the
 386 minimum applied pressure required at the critical temperature to liquefy a gas. V_c is the volume of a fixed
 387 mass of fluid at T_c and P_c . V is the molar volume of fluid, calculated by molar mass and density of the
 388 fluid. Given the density of methane inclusions and the average homogenization temperature of coexisting
 389 aqueous inclusions, the trapping pressures can be calculated from equations (3)-(12).

390 Trapping pressures of methane inclusions range from approximately 91.8 to 139.4 MPa (Table 2) and are
 391 plotted in Figure 9 against estimated paleodepth. Also shown in Figure 9 are the hydrostatic gradient of
 392 10.00 MPa/km and the lithostatic gradient of 24.99 MPa/km for comparison. The lithostatic gradient was
 393 calculated with a depth-averaged rock density of 2.55 g/cm^3 , an estimate based on density-log data for the
 394 well JY1. The hydrostatic gradient was calculated for a water column with an average seawater density of
 395 1.02 g/cm^3 . Whereas most calculated trapping pressures plot at moderately high to near-lithostatic
 396 pressures, indicating pore-fluid pressures at the time of fracture opening significantly above the hydrostatic
 397 pressure gradient. Alternatively, or in addition, paleodepth estimation, which is based on a basin model
 398 (He et al., 2015; Jin et al., 2015), could be inaccurate because of the small variation in density estimations,
 399 leading to variations in the lithostatic gradient.

400

401 **4.3 Basin model**

402 Well JY1 was selected for simulating the burial, thermal and hydrocarbon generation histories (He et al.,

2015). The burial history was modeled by back-stripping the present day sedimentary thickness of each stratigraphic unit chronologically with consideration of thickness changes by porosity-dependent decompaction, and the porosity-depth relationship for decompaction correction of Falvey and Middleton (1981) was adopted. The initial porosity and compaction factor of pure lithology (shale/mudstone, sandstone, siltstone and limestone) were adopted from the default values in the BasinMod software. Mixed lithologies were created by specifying percentages of the pure lithology for modeling of single well, and the mixed lithology properties (e.g. the initial porosity, compaction factor, density) were calculated by pure lithology properties. Present-day heat flow was calculated according to the thermal conductivities of the rock units and subsurface geothermal gradients, which are determined by the measured borehole temperatures. The transient heat flow model in the BasinMod 1D software was used to calculate the present-day heat flow. The calculated current heat flow value of the well JY1 are 45 mW/m^2 . Based on the geological evolution of the eastern Sichuan fold belt, the modified Jarvis and Mckenzie (1980) algorithm for the rifting heat flow model was used to calculate the paleo-heat flows and assigned values that are typical for the evolution of superimposed basins (Lu et al., 2007; Zhu et al., 2010; Shi et al., 2012; Jiang et al., 2015). The good correlation between the modeled reflectance and temperature and the measured data implies that the thermal history model adopted is suitable for the study area (Figure 10). Maturity and hydrocarbon generation were calculated based on the Easy% Ro method proposed by Sweeney and Burnham (1990) and the NULL chemical kinetic model (Burnham et al., 1987), respectively. The kerogen type and initial TOC content were adopted according to actual geochemical results of the source rocks.

Figure 10 shows the reconstructed burial, thermal and hydrocarbon generation history for well JY1. The modeling result of well JY1 shows that oil generation from the O₃w-S₁l shales began from approximately 417 Ma with a temperature of 93 °C. The maturity of the O₃w-S₁l shales reached 0.7% Ro around 247 Ma

425 and the peak of hydrocarbon generation (1.1% Ro) occurred at 210 Ma. The thermal maturity for the
426 O₃w-S₁l shales reached 1.3% Ro at 182Ma (Early Jurassic), which are coincident with the end of the major
427 oil generation stage. The phase of the thermal cracking into shale gas from the kerogen and liquid
428 hydrocarbon in the O₃w-S₁l shales occurred during 182-85 Ma, including the dry gas window during the
429 period of 145-85Ma. Since 85 Ma, the Yanshanian and Himalayan tectonic movements caused the
430 formation uplift and erosion, the thermal evolution process of the O₃w-S₁l source rock tended to stop.

431

432 **5. Discussion**

433 **5.1 Trapping conditions of fluid inclusions**

434 We interpret trapping Th and pressures of fluid inclusions to represent trapping under methane saturation
435 as the P-T conditions within the reservoir systematically change over time at maximum burial depth.

436 Single-phase methane inclusions were observed in all the samples that we analyzed, whereas aqueous
437 two-phase inclusions were consistently observed alongside methane inclusions in several crystals of each
438 sample, indicating that the pore fluid was saturated with methane and that a methane phase existed as a
439 separate immiscible fluid phase at the time of the fractures formed. The separate immiscible phase of
440 methane was in the supercritical state determined by the high density characteristic, and this absence of
441 aqueous inclusions may reflect variations in gas saturation in the reservoir or, alternatively, in
442 fluid-mineral wetting properties, resulting in preferential trapping of methane fluid in samples.

443 The coexistence of the methane and aqueous inclusions within the same fluid inclusion assemblage also
444 indicates that fluid inclusions were trapped in the two-phase immiscible field (Roedder 1984; Goldstein
445 and Reynolds, 1994). We infer aqueous inclusion trapping under water-saturated reservoir conditions that
446 were in pressure communication with the supercritical methane phase. Pressure communication between

447 these phases would ensure that any fluid inclusions trapped in the water phase would remain
448 methane-saturated as P-T conditions declined in the reservoir during exhumation, and gas exsolved from
449 the liquid phase (Becker et al., 2010). The presence of methane in the aqueous inclusions was confirmed
450 by using Raman spectroscopy (Figure 6). We thus interpret these fluid inclusion temperatures and
451 pressures as a record of the temperature and pressure evolution of the reservoir rather than variation in
452 temperature caused by advective heat transport associated with episodic upward fluid flow.
453 Rapid upward fluid flow is necessary to obtain a locally perturbed temperature anomaly (Eichhubl and
454 Boles, 2000b). In a reservoir of low matrix permeability, such flow conditions require a hierarchical
455 network of well-connected fractures and faults found in some conventional fractured reservoirs (Eichhubl
456 and Boles, 2000a). Such connected fracture systems are generally not characteristic of unconventional
457 shale gas reservoirs that require hydraulic fracture stimulation to get a flow response in the wellbore.

458

459 **5.2 Trapping time of fluid inclusions**

460 The trapping time for methane inclusions can be estimated by combining fluid inclusion
461 microthermometric data with burial and thermal history models obtained independently using burial and
462 thermal maturity data, as was previously demonstrated by He et al. (2015) and Jin et al. (2015).

463 This basin history model predicts that the sampled core interval in well JY1 reached maximum burial
464 conditions of 6263.5 m at around 85 Ma, with subsequent uplift to present depth of 2413.5 m (Figure 10).

465 Maximum temperature conditions, predicted by He et al. (2015) based on present-day geothermal gradients
466 and models of organic maturity evolution, are around 215°C for the stratigraphic horizon of sample JY1-1.

467 Because temperature evolution models of well JY1 are not available for each well we sampled, we adapted

468 the temperature evolution model of well JY1 for the sampled wells JY11-4, JY41-5 and JY51-2.

469 Temperature evolution were calculated for each sample by correcting measured depth to vertical depth and
470 using the paleogeothermal gradient of 31.6 °C/km obtained from well JY1. All of these calculations
471 assume a mean paleo-surface temperature of 18 °C, which agrees roughly with mean annual surface
472 temperatures in the Yangtze region throughout the Cretaceous (Lu et al., 2007b; Shi et al., 2012). Predicted
473 maximum temperature for the stratigraphic horizon of samples in wells JY11-4, JY41-5 and JY51-2 are
474 around 214°C, 222°C and 239°C, respectively. This estimate compares well to the maximum
475 homogenization temperatures of fluid inclusions in quartz fracture cements obtained independently in this
476 study. This correspondence between the two estimates in maximum burial temperature confirms our
477 interpretation that the fluid inclusion temperatures recorded the temperature evolution of the host rock
478 rather than of pulses of hot upward moving fluid out of thermal equilibrium with the host rock.

479 A comparison of the fluid inclusion temperature trend from 199.8 °C to 221.4 °C in well JY11-4, 198.5 °C
480 to 226.3 °C in well JY41-5, and 203.8 °C to 229.9 °C in well JY51-2 (Table 2) with burial and thermal
481 maturity models, we infer that the trapping time of methane inclusions in the Wufeng-Longmaxi shales
482 vary from 130 to 85 Ma, which indicates the fluid inclusions were trapped during maximum burial
483 conditions and corresponding to the stage of petroleum cracking into dry gas. The general overlap in
484 timing of trapping fluid inclusions and gas generation, in combination with the ubiquitous presence of
485 methane inclusions and with high pore-fluid pressures calculated from fluid inclusion methane
486 concentrations, is consistent with, though not necessarily indicative of, natural hydraulic fracturing driven
487 by gas generation.

488 The larger T_h variation within fluid inclusion assemblages in calcite (up to ~35 °C) compared to T_h
489 variations in quartz (less than 8 °C) suggests that some of the inclusions in calcite were stretched
490 subsequent to trapping, resulting in homogenization temperatures that are elevated compared to inclusions

491 unaffected by stretching (Goldstein and Reynolds, 1994; Bodnar, 2003). While the T_h values in calcite
492 obtained in several FIAs, whose T_h variation is within $\sim 4\text{--}8^\circ\text{C}$, are consistent with the lowest T_h values
493 obtained in the stretched FIAs. Thus, T_h variation within $\sim 4\text{--}8^\circ\text{C}$ and the lowest T_h values obtained in the
494 stretched FIAs are interpreted to mean that the inclusions were not reequilibrated after trapping, and those
495 T_h values may represent the trapping temperatures of fluid inclusions in calcite cements. Thus, the
496 trapping temperatures of fluid inclusion assemblages in calcite cements mainly vary from 196.2°C to
497 221.7°C . On the basis of a comparison of those T_h values with burial and thermal maturity models, we
498 infer that the fluid inclusions in calcite cements were also trapped during maximum burial conditions
499 (Figure 10).

500

501 **5.3 Paleo-pressure conditions in the shale gas reservoir**

502 Fluid inclusion trapping temperatures and pressures represent a record of the temperature and pressure
503 conditions of the Wufeng-Longmaxi Formation shale reservoir. Integrating the formation depth of quartz
504 and calcite veins with the trapping pressures of fluid inclusion, the pressure state of the Wufeng-Longmaxi
505 shales at maximum burial conditions can be confirmed.

506 Based on the geological data, the present-day formation pressure and pressure coefficients in the
507 Wufeng-Longmaxi formation shale gas reservoir range from approximately 33.0 to 37.0 MPa, 1.35 to 1.55,
508 respectively (Guo and Zhang, 2014; Jin et al., 2015). The present-day excess pressure (the difference
509 between formation pressure and hydrostatic pressure) ranges from approximately 9.0 to 13.0 MPa. Our
510 trapping-pressure estimates of fluid inclusions of 91.8 to 139.4 MPa indicate that paleo-pressure at
511 maximum burial reached near-lithostatic pressures that are approximately triple as high as present-day
512 values. According to the data of strata erosion thickness in the Jiaoshiaba shale gas field, which range from

513 3800 to 3850 m (He et al., 2015), we estimate the excess pressures of Wufeng-Longmaxi shales during
514 maximum burial conditions range from approximately 33.9 to 83.6 MPa, and the pressure coefficients of
515 Wufeng-Longmaxi shales during maximum burial conditions range from approximately 1.53 to 2.26
516 (Table 2), which indicates that Wufeng-Longmaxi shales were in the medium-to-high overpressure state at
517 maximum burial and the early stage of the Yanshanian uplifting. The present-day overpressure in the
518 Wufeng-Longmaxi Formation shale gas reservoir was actually preserved from medium-to-high
519 overpressure state at the time of maximum burial depth. During uplift and exhumation, overpressure was
520 continuously released to the present-day overpressure state.

521 The Wufeng-Longmaxi Formation is still overpressured at present, suggesting the Wufeng-Longmaxi
522 shales remained closed systems during post-generation evolution of the shales and little gas loss (Hao et al.,
523 2013). Due to closed fluid systems, much of the retained overpressures and gases were preserved, and the
524 organic-rich Wufeng-Longmaxi shales have overpressures and high free gas contents at present. This
525 allows the development of a schematic model for overpressure evolution in the Wufeng-Longmaxi shales
526 to explain the process of preserving overpressure in the process of intensive tectonic uplift and erosion of
527 overburden rocks. On the basis of the trapping pressure of methane inclusions, and the burial and thermal
528 history of the Wufeng-Longmaxi shales, we used the equation of state (EOS) for CH₄ system by Duan et al.
529 (1992) to simulate the pressure evolution of the Wufeng-Longmaxi shale gas reservoir during tectonic
530 uplift and exhumation (Hanson and Lee, 2005; Gao et al., 2015b). Because the pores of the
531 Wufeng-Longmaxi shales are completely compacted and dominant in nano-scale pore structure, and
532 previous research also indicated that pore rebound of the Wufeng-Longmaxi shales is less than 2% after
533 strata erosion thickness of 3850 m (Guo and Zhang, 2014), pore rebound of the Wufeng-Longmaxi shales
534 in the process of tectonic uplift is limited and can be ignored. The main factors influencing the pressure

535 changes in the Wufeng-Longmaxi shales are the temperature and the total gas content.
536 Our trapping-pressure estimates of fluid inclusion of 91.8 to 139.4 MPa indicate that the pressure
537 coefficients at maximum burial ranged from approximately 1.53 to 2.26. Assuming that the initial uplift
538 pressure coefficient was 1.7 and no gas loss in the process of tectonic uplift (the density of gas is constant),
539 the present-day pressure coefficient calculated by EOS can reach the rupture limit of shales (Figure 11).
540 Under this ideal conditions, we observe that the pressure is reduced as a result of the decreasing
541 temperature in the process of tectonic uplift and exhumation, but pressure coefficient is increasing as a
542 result of the erosion of overburden rocks (Figure 11). In order to retain the present-day pressure coefficient
543 of 1.55, the diffusion of shale gas should reach approximately 24.9% of the total gas content of the initial
544 state of tectonic uplift. The good preservation condition in Jiaoshiiba shale gas field, the interaction of the
545 extremely high capillary pressure of shale and the diffusion of gas permit medium-to-high overpressure at
546 the early stage of the Yanshanian uplifting was continuously released to the present-day overpressure state.

547

548 **6. Conclusions**

549 Fluid inclusions trapped in quartz and calcite fracture-filling cement provide a record of fluid composition,
550 temperature, and pressure during natural fracture cement, allowing tests of some of the fundamental
551 aspects of the shale gas accumulation model, and the following conclusions can be drawn.

552 (1) Many crystals in fracture cements were found to contain methane inclusions only, and aqueous
553 two-phase inclusions were consistently observed alongside methane inclusions in all cement samples,
554 indicating that fluid inclusions trapped during fracture cement are saturated with a methane hydrocarbon
555 fluid.

556 (2) Homogenization temperatures of methane-saturated aqueous fluid inclusions provide trends in trapping
557 temperatures that T_h values concentrate in the range of 198.5 °C-229.9 °C, 196.2 °C- 221.7 °C for quartz
558 and calcite, respectively. Pore-fluid pressures of 91.8 to 139.4 MPa for methane inclusions, calculated
559 using the Raman shift of C-H symmetric stretching (ν_1) band of methane and equations of state for
560 supercritical methane, indicate fluid inclusions trapped at near-lithostatic pressures. We interpret the high
561 trapping temperature and overpressure conditions in fluid inclusions represent a state of temperature and
562 overpressure of Wufeng-Longmaxi shales at maximum burial and the early stage of the Yanshanian uplift.

563 (3) On the basis of these microthermometric results and previously published thermal-maturation models,
564 we infer that the trapping time of fluid inclusions occurred at 130-85 Ma. Independent estimates of dry gas
565 generation in the Wufeng-Longmaxi shales overlap with the trapping of fluid inclusions in the
566 Wufeng-Longmaxi Formation suggest that fluid overpressure have been generated during gas generation.

567 (4) Compared with the previous studies, our results demonstrate that the cause of present-day overpressure
568 in shale gas deposits is actually preservation of moderate-high overpressure developed as a result of gas
569 generation at maximum burial depth. The good preservation condition in Jiaoshiba shale gas field, the
570 interaction of the extremely high capillary pressure of shale and the diffusion of gas permit some residual
571 fluid overpressure were preserved to the present-day temperatures and pore-fluid pressures.

572

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582

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776 **Figure Captions**

777 Figure 1. (A) and (B) Location map of the study area showing the sub-tectonic units of the eastern part of
778 Sichuan Basin; (C) Distribution of the Jiaoshiba shale gas field and faults within gas field with the
779 locations of representative sampled wells.

780

781 Figure 2. Stratigraphic column of the Upper Ordovician-Lower Silurian of well JY1 in Jiaoshiba shale gas
782 field, showing sedimentary facies evolution stages and the thickness and TOC content of high gas-bearing
783 organic-rich shales.

784

785 Figure 3. Photomicrograph of quartz and calcite veins under transmitted light hosted in marine organic-rich
786 shales in Jiaoshiba shale gas field: (A) Core photo shows the fracture type is joint that do not exhibit any
787 offset parallel to the fracture walls (Sample JY41-5-3, Wufeng Formation, measured depth 2616.71 m); (B)
788 Core photo shows the fracture type is the slickensided fracture, and the slickensided surface is reflective
789 (Sample JY41-5-2, Longmaxi Formation, measured depth 2526.45 m); (C) Fracture in C is completely
790 cemented by blocky calcite veins (Sample JY11-4-3, Longmaxi Formation, measured depth 2339.22 m);
791 (D) Fracture in D is a crack-seal composite vein, which is partially cemented by blocky quartz in the
792 interior of the fracture and partially cemented by blocky sparry calcite in the each wall of the fracture
793 (Sample JY41-5-3, Wufeng Formation, measured depth 2616.71 m); (E) Photomicrograph mosaic of a
794 thick composite vein section illustrating the relative timing of fracture cements in the Wufeng-Longmaxi
795 Formation (Sample JY41-5-3, Wufeng Formation, measured depth 2616.71 m).

796

797 Figure 4. Photomicrographs under transmitted light of representative methane inclusions and the coexisting

798 aqueous inclusions in quartz and calcite veins from wells JY11-4, JY41-5, JY51-2 and JY1 in the Jiaoshiba
799 shale gas field. (a) and (b): Representative methane inclusions and the coexisting aqueous inclusions were
800 trapped in quartz veins from the sample JY11-4-1 (Longmaxi Formation, measured depth 2253.89 m); (c)
801 and (d): Representative methane inclusions and the coexisting aqueous inclusions were trapped in quartz
802 veins from the sample JY41-5-2 (Wufeng Formation, measured depth 2526.45 m); (e): Methane inclusions
803 were trapped in quartz veins from the sample JY41-5-3 (Wufeng Formation, measured depth 2616.71 m);
804 (f): Representative methane inclusions and the coexisting aqueous inclusions were trapped in calcite veins
805 from the sample JY1-1 (Wufeng Formation, measured depth 2413.50 m).

806
807 Figure 5. (A) Laser Raman spectra collected from pure methane inclusions “a” and “b” with 300
808 groove/mm grating. (B) Laser Raman spectra of CH₄ in pure methane inclusions “a” and “b” with 1800
809 groove/mm grating. Ne₁ and Ne₂ are two bands corresponding to the Ne lamp scattering bands of 2836.99
810 cm⁻¹ and 3008.13 cm⁻¹, respectively. Fluid inclusion “a” was trapped in the sample JY11-4-1 (Longmaxi
811 Formation, measured depth 2253.89 m), and fluid inclusions “b” were trapped in the sample JY41-5-4
812 (Wufeng Formation, measured depth 2619.83 m).

813
814 Figure 6. Laser Raman spectra of dissolved CH₄ in the aqueous phase of inclusion “c”. Fluid inclusion “c”
815 were trapped in the sample JY41-5-4 (Wufeng Formation, measured depth 2619.83 m).

816
817 Figure 7. Micrographs of phase transition processes during determination of homogenization temperatures
818 for methane inclusions from well JY11-4-1 in the Jiaoshiba shale gas field. (a), (d) Micrographs showing
819 individual methane inclusions at 20.0°C before temperature determination on microscope heating stage; (b)

820 Micrograph for vapor bubble formation in a methane inclusion at -103.8°C ; (c) Micrograph for vapor
821 bubble disappearance from the same methane inclusion as in (b) at $T_h = -95.1^{\circ}\text{C}$; (e) Micrograph for vapor
822 bubble formation in another methane inclusion at -98.8°C , (f) Micrograph for vapor bubble disappearance
823 from the same methane inclusion as in (e) at $T_h = -88.6^{\circ}\text{C}$.

824

825 Figure 8. Cross plot of homogenization temperature and salinity of aqueous inclusions coeval with
826 methane inclusions from the Wufeng-Longmaxi Formation shale gas reservoir units. Note that the
827 salinities show decreasing trends with increasing T_h , with salinities variation within each sample varying
828 by 0.35-5.86 wt. % NaCl equivalent.

829

830 Figure 9. Calculated trapping pressures plotted against inferred maximum burial depth, including an
831 estimated 3850 m of Cenozoic section removed by erosion in the last 85 Ma in the Sichuan Basin.

832

833 Figure 10. Burial history, thermal history and hydrocarbon generation evolution modeling of the
834 Wufeng-Longmaxi Formation in well JY1, showing the approximate trapping times of the aqueous
835 inclusions vary from 130 to 85 Ma.

836

837 Figure 11. The simulative pressure evolution of the Wufeng-Longmaxi shales under ideal conditions that
838 no gas loss in the process of tectonic uplift and erosion. Assuming that the initial uplift pressure coefficient
839 is 1.7 and no gas loss in the process of tectonic uplift, the main factor influencing the pressure changes
840 under this ideal conditions is the formation temperature, thus the present-day pressure coefficient can reach
841 the rupture limit of shales.

842

843 **Table Captions**

844 Table 1. Details of shale samples containing quartz and calcite veins selected from wells JY1, JY11-4,
845 JY41-5 and JY51-2 for fluid inclusion analysis in Jiaoshiba shale gas field, Sichuan Basin. Samples
846 investigated in this study include location, depth, stratigraphic position, host lithology, fracture orientation,
847 fracture type, width and length of fracture, types of mineral growth pattern, morphology of crystals and the
848 mineral phase of the fracture fill.

849

850 Table 2. Raman CH₄ symmetric stretching (ν_1) peak position, calculated density, measured aqueous FI Th,
851 and reconstructed trapping pressure of methane inclusions in quartz fracture cements in the Jiaoshiba shale
852 gas field.

853

854 Table 3. Measured Th of aqueous fluid inclusions in calcite cements from well JY1 in the Jiaoshiba shale
855 gas field.

Table 1. Details of shale samples containing quartz and calcite veins selected from wells JY1, JY11-4, JY41-5 and JY51-2 for fluid inclusion analysis in Jiaoshiha shale gas field, Sichuan Basin. Samples investigated in this study include location, depth, stratigraphic position, host lithology, fracture orientation, fracture type, width and length of fracture, types of mineral growth pattern, morphology of crystals and the mineral phase of the fracture fill.

| Location | Sample | Depth (m) | Stratigraphy | Host lithology | Orientation | Fracture type | Width/ length of fracture (cm) | Vein fill relative to median | Morphology of crystals in vein | Dominant mineralogy of vein |
|----------|----------|-----------|--------------------|---------------------------------|----------------|------------------|--------------------------------|------------------------------|--------------------------------|-----------------------------|
| JY1 | JY1-1 | 2413.50 | Wufeng Formation | Silica-rich carbonaceous shales | Horizontal | Slickensided | 0.3/None | Syntaxial (symmetric) | hybrid elongate-blocky | Calcite |
| | JY11-4-1 | 2253.89 | Longmaxi Formation | Turbidite siltstone | Sub-vertical | joints and veins | 0.4/15 | Syntaxial (symmetric) | Blocky | Quartz |
| JY11-4 | JY11-4-2 | 2319.76 | Longmaxi Formation | Carbonaceous siltstone | Horizontal | joints and veins | 0.4/None | Syntaxial (symmetric) | Blocky | Quartz+Calcite |
| | JY11-4-3 | 2339.22 | Longmaxi Formation | Silica-rich carbonaceous shales | Sub-vertical | joints and veins | 0.2/12 | Syntaxial (symmetric) | Blocky | Calcite |
| | JY41-5-1 | 2508.11 | Longmaxi Formation | Turbidite siltstone | High angle | joints and veins | 0.4/20 | Syntaxial (symmetric) | Blocky | Quartz |
| JY41-5 | JY41-5-2 | 2526.45 | Longmaxi Formation | Silty shales | Sub-horizontal | Slickensided | 0.2/None | Syntaxial (unitaxial) | Blocky | Quartz |
| | JY41-5-3 | 2616.71 | Wufeng Formation | Silica-rich carbonaceous shales | High angle | joints and veins | 0.3/None | Syntaxial (symmetric) | Blocky | Quartz+Calcite |
| | JY41-5-4 | 2619.83 | Wufeng Formation | Silica-rich carbonaceous shales | High angle | joints and veins | 0.1/14 | Syntaxial (symmetric) | Blocky | Quartz |
| JY51-2 | JY51-2-1 | 3037.50 | Longmaxi Formation | Turbidite siltstone | Sub-vertical | joints and veins | 0.4/None | Syntaxial (symmetric) | Blocky | Quartz |
| | JY51-2-2 | 3046.60 | Longmaxi Formation | Turbidite siltstone | Sub-vertical | joints and veins | 12/None | Syntaxial (symmetric) | Blocky | Quartz |

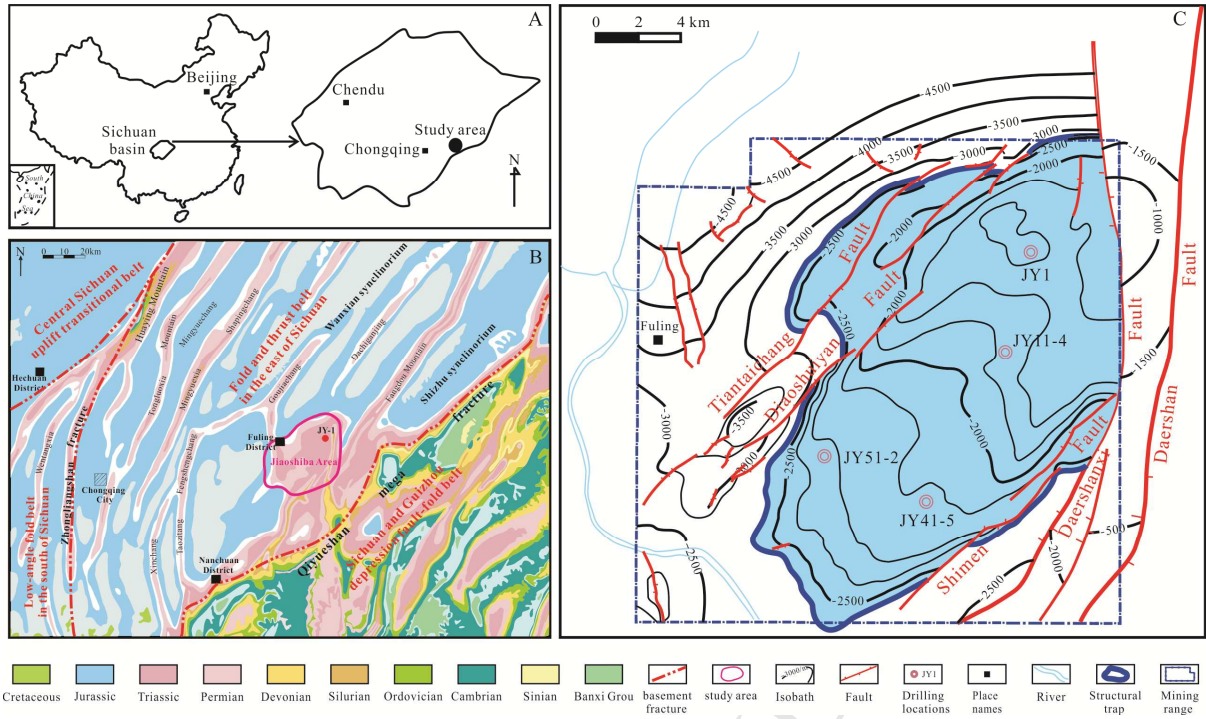
Table 2. Raman CH₄ symmetric stretching (ν_1) peak position, calculated density, measured aqueous FI Th, and reconstructed trapping pressure of methane inclusions in quartz fracture cements in the Jiaoshiba shale gas field

| Sample | Depth (m) | ν_{corr} of methane inclusions (cm ⁻¹) | Measured number of methane inclusions | Calculated density of methane inclusions (g/cm ³) | Th of coexisting aqueous inclusions (range and mean) (°C) | | Measured number of aqueous inclusions | Reconstructed trapping Pressure (MPa) | Pressure coefficients |
|-------------|--------------|---|---|--|--|-------|--|--|--------------------------|
| Well JY11-4 | | | | | | | | | |
| JY11-4-1 | 2253.89 | 2910.7583-2910.7603 | 3 | 0.279 | 217.5-219.3 | 218.4 | 2 | 126.2-126.3 | 2.08 |
| | | 2910.5711-2911.2660 | 5 | 0.254-0.288 | 214.7-225.9 | 220.3 | 5 | 130.9-137.1 | 2.16-2.26 |
| | | 2910.7583-2911.1055 | 4 | 0.262-0.279 | 195.1-203.8 | 199.8 | 4 | 104.0-119.5 | 1.72-1.97 |
| | | 2910.7583-2910.9185 | 2 | 0.271-0.279 | - | - | - | - | - |
| | | 2910.7603-2911.1055 | 3 | 0.262-0.279 | 201.6-206.9 | 203.7 | 4 | 105.2-120.9 | 1.74-2.0 |
| | | 2910.7603-2911.1072 | 4 | 0.262-0.279 | 201.8-206.4 | 204.2 | 3 | 105.3-121.1 | 1.73-2.0 |
| | | 2910.9337-2911.1072 | 5 | 0.262-0.270 | 198.8-203.7 | 201.3 | 2 | 104.4-111.9 | 1.72-1.85 |
| JY11-4-2 | 2319.76 | 2911.1072-2911.4544 | 3 | 0.245-0.262 | 204.1-214.7 | 209.7 | 6 | 93.6-107.1 | 1.53-1.75 |
| | | 2910.7603-2911.1072 | 4 | 0.262-0.279 | 217.4-225.1 | 221.4 | 3 | 110.9-127.3 | 1.81-2.08 |
| | | 2910.9187-2911.2661 | 5 | 0.254-0.271 | - | - | - | - | - |
| | | 2910.9185-2911.2659 | 4 | 0.254-0.272 | 215.8-226.9 | 219.6 | 4 | 103.7-118.9 | 1.69-1.94 |
| Well JY41-5 | | | | | | | | | |
| JY41-5-1 | 2508.11 | 2911.1072-2911.4544 | 6 | 0.245-0.262 | 216.2-223.7 | 219 | 4 | 96.2-110.1 | 1.53-1.75 |
| | | 2911.1075-2911.4544 | 4 | 0.245-0.262 | 222.9-230.1 | 226.3 | 3 | 98.3-112.4 | 1.56-1.78 |
| | | 2911.2809-2911.4544 | 3 | 0.245-0.253 | - | - | - | - | - |
| | | 2911.1482 | 2 | 0.260 | - | - | - | - | - |
| | | 2910.7603-2911.1075 | 3 | 0.262-0.279 | 207.6-216.9 | 210.7 | 5 | 107.4-123.4 | 1.70-1.95 |
| | | 2911.1075-2911.4544 | 3 | 0.245-0.262 | - | - | - | - | - |
| | | 2911.1072-2911.1075 | 4 | 0.262 | 206.9-208.5 | 207.9 | 4 | 106.5 | 1.69 |
| JY41-5-2 | 2526.45 | 2910.7603-2911.1072 | 4 | 0.262-0.279 | 207.9-218.4 | 212.1 | 3 | 107.9-123.9 | 1.71-1.96 |
| | | 2910.4798-2910.7603 | 3 | 0.279-0.293 | 196.8-203.1 | 198.5 | 5 | 119.0-133.6 | 1.88-2.11 |
| | | 2910.7603-2910.9337 | 3 | 0.270-0.279 | - | - | - | - | - |
| | | 2910.7603-2911.4542 | 4 | 0.245-0.279 | 213.3-222.6 | 217.6 | 5 | 95.8-125.9 | 1.51-1.99 |
| | | 2911.1055-2911.2806 | 3 | 0.253-0.262 | 217.3-221.8 | 218.9 | 3 | 102.8-110.1 | 1.63-1.74 |
| | | 2910.7603-2911.1072 | 2 | 0.262-0.279 | - | - | - | - | - |

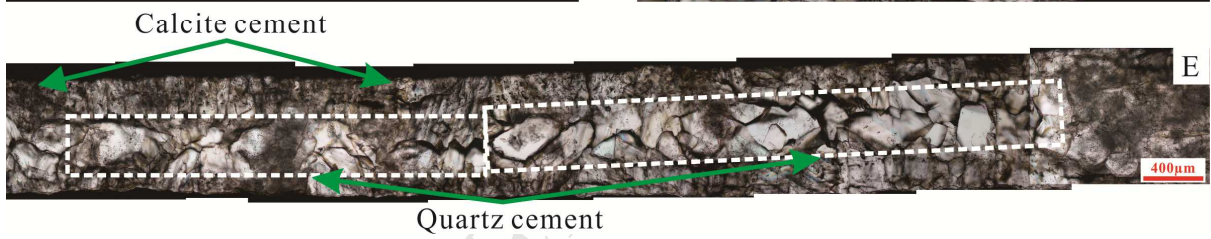
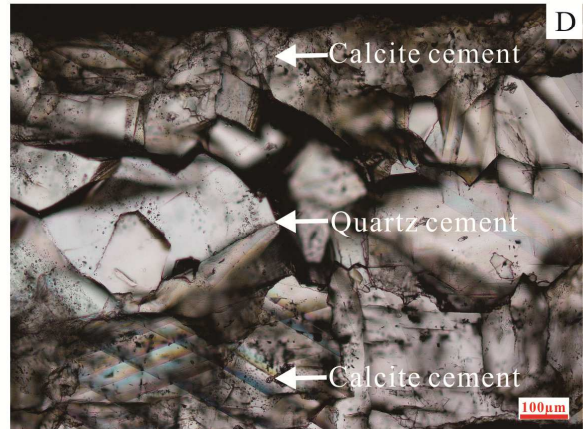
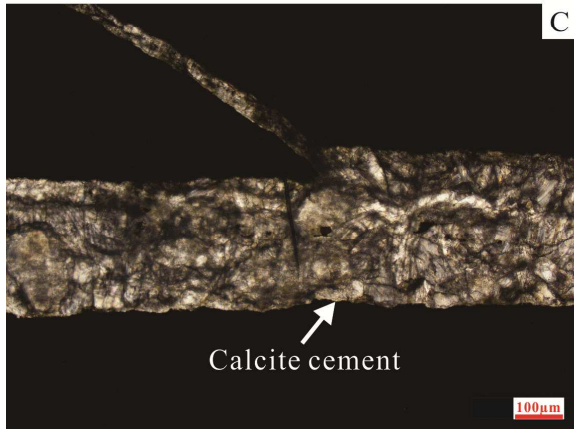
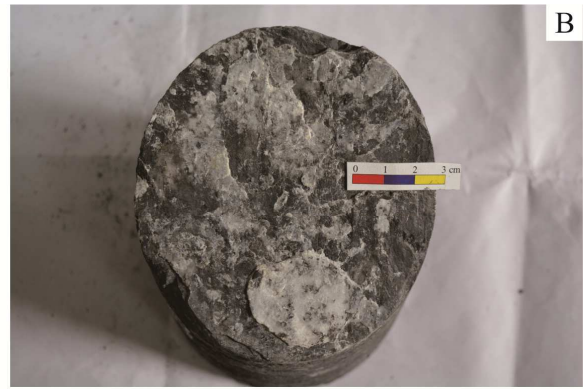
| | | | | | | | | | |
|-------------|---------|---------------------|---|-------------|-------------|-------|---|-------------|-----------|
| JY41-5-3 | 2616.71 | 2910.7256-2911.4399 | 3 | 0.246-0.281 | 220.8-224.2 | 221.9 | 5 | 97.6-129.3 | 1.52-2.01 |
| | | 2910.9186-2911.2661 | 5 | 0.254-0.271 | 214.8-218.9 | 216.6 | 4 | 102.7-117.8 | 1.60-1.84 |
| | | 2911.0925-2911.6135 | 4 | 0.238-0.262 | - | - | - | - | - |
| | | 2910.9187-2911.6135 | 4 | 0.238-0.271 | 214.3-230.5 | 223.6 | 5 | 91.8-120.2 | 1.43-1.87 |
| | | 2910.5712 | 1 | 0.288 | 180.6-182.9 | 181.8 | 2 | 122.0 | 1.91 |
| JY41-5-4 | 2619.83 | 2911.1072-2911.2806 | 4 | 0.253-0.262 | 224.4-230.3 | 226.3 | 7 | 105.1-112.4 | 1.63-1.75 |
| | | 2910.7603-2911.1072 | 4 | 0.262-0.279 | 191.8-203.2 | 199.2 | 7 | 103.7-119.2 | 1.61-1.85 |
| | | 2910.9187-2911.4399 | 4 | 0.246-0.271 | 215.8-218.7 | 217.4 | 4 | 96.3-118.1 | 1.50-1.83 |
| | | 2910.5712-2911.2662 | 3 | 0.254-0.288 | 205.9-219.7 | 212.8 | 5 | 101.6-134.2 | 1.58-2.09 |
| Well JY51-2 | | | | | | | | | |
| JY51-2-1 | 3037.50 | 2910.9186-2911.2660 | 6 | 0.254-0.271 | 220.3-228.5 | 225.7 | 4 | 105.5-120.9 | 1.54-1.77 |
| | | 2910.5712 | 4 | 0.288 | 208.9-217.8 | 212.8 | 3 | 116.5-134.2 | 1.70-1.96 |
| | | 2910.9187-2911.0923 | 2 | 0.263-0.271 | 223.8-230.6 | 228.5 | 5 | 113.8-121.9 | 1.66-1.78 |
| | | 2910.9187-2911.2661 | 3 | 0.254-0.271 | 229.9 | 229.9 | 1 | 106.7-122.4 | 1.56-1.79 |
| JY51-2-2 | 3046.60 | 2910.7641-2911.1110 | 8 | 0.262-0.279 | - | - | - | - | - |
| | | 2910.4172-2910.7641 | 4 | 0.279-0.296 | 199.5-208.4 | 203.8 | 3 | 120.7-139.4 | 1.76-2.03 |
| | | 2910.7643-2911.1110 | 5 | 0.262-0.279 | 216.8-225.1 | 222.3 | 6 | 110.9-127.4 | 1.61-1.86 |
| | | 2910.7641-2910.9376 | 3 | 0.270-0.279 | 214.3-217.9 | 214.9 | 3 | 116.3-124.8 | 1.70-1.82 |

Table 3. Measured Th of aqueous fluid inclusions in calcite cements from well JY1 in the Jiaoshiba shale gas field

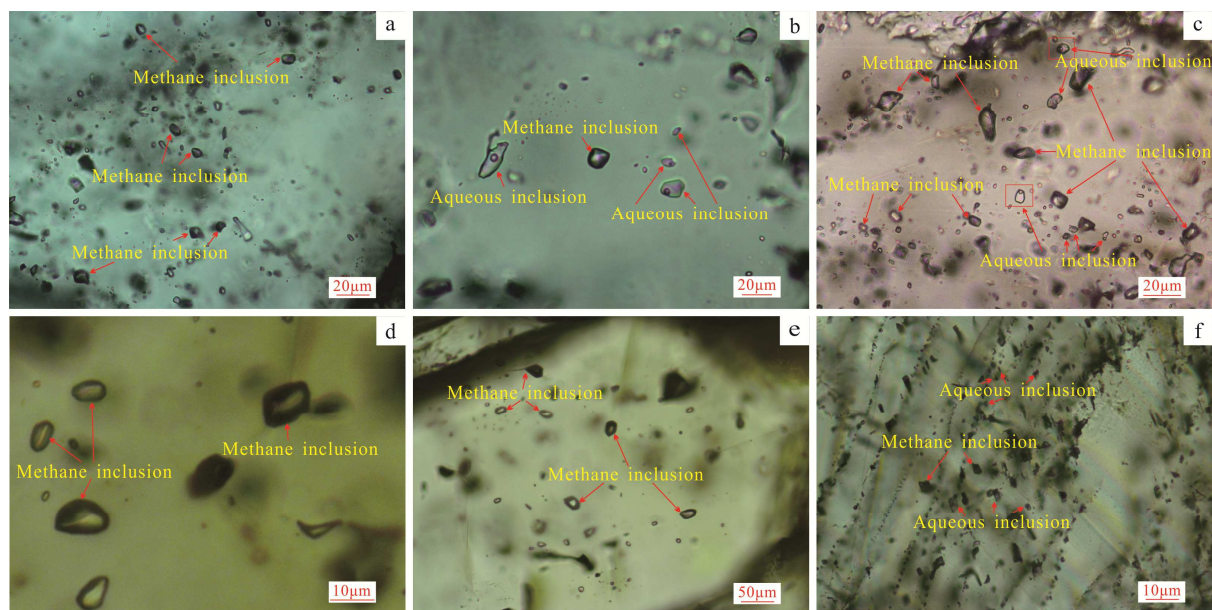
| Sample | Depth /m | FIA | Number | Th/°C | Tm/°C | Salinity (wt.%) NaCl Equivalent |
|----------|----------|-------|--------|-------------|-----------|------------------------------------|
| Well JY1 | 2413.5 | FIA-1 | 6 | 196.2-202.4 | | |
| | | FIA-2 | 5 | 196.0-204.7 | -3.9~-4.1 | 6.30~6.59 |
| | | FIA-3 | 5 | 201.6-238.4 | | |
| | | FIA-4 | 4 | 207.5-214.8 | -3.8~-4.2 | 6.16~6.74 |
| | | FIA-5 | 3 | 213.5-217.4 | -3.6~-3.8 | 5.86~6.16 |
| | | FIA-6 | 5 | 214.8-236.0 | | |
| | | FIA-7 | 4 | 217.6-245.8 | -3.1~-5.3 | 5.11~8.28 |
| | | FIA-8 | 6 | 215.8-239.7 | | |

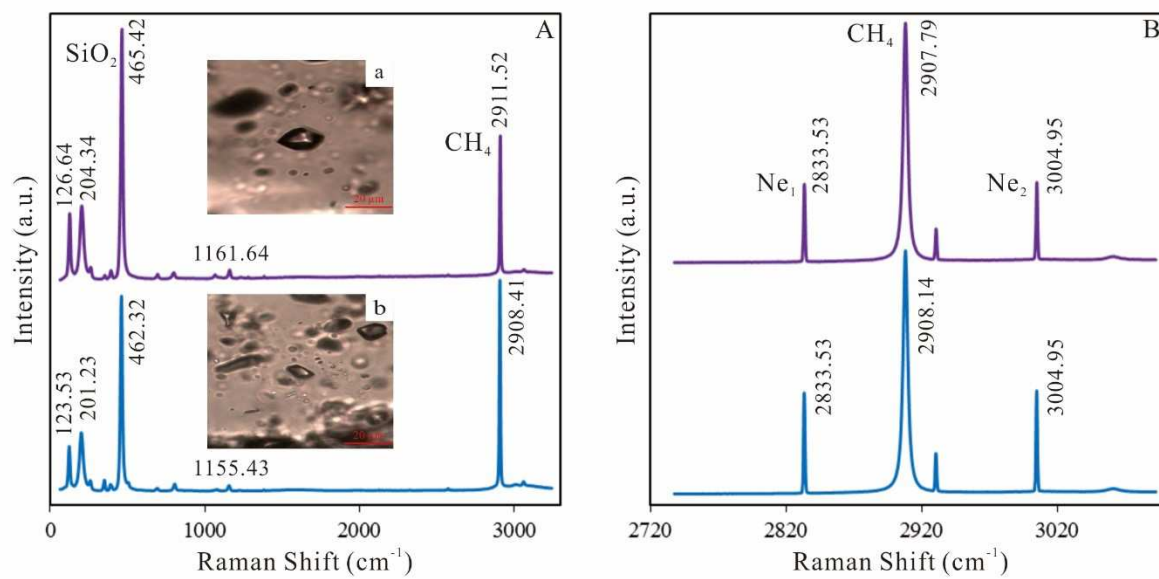


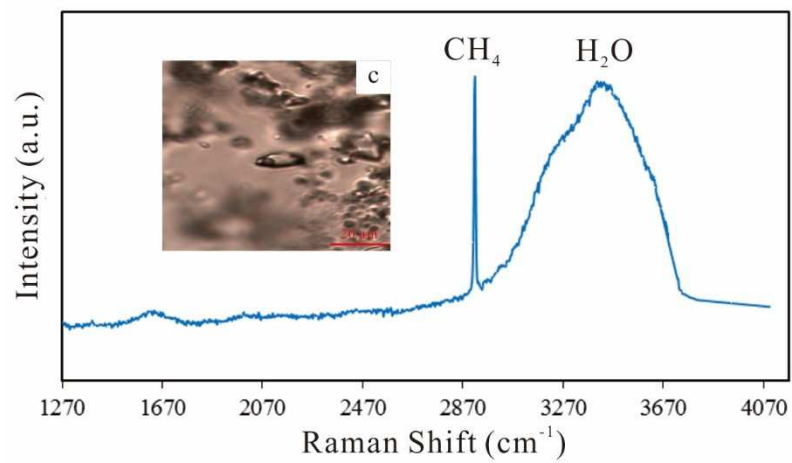
| System | Formation | Symbol | Layer | Sub-layer | Lithology description | Depth (m) | Lithology | GR (API) 20 — 280 | TOC (%) 0 — 8 | Sedimentary facies |
|------------|------------|------------------|-------|----------------|---|-----------|-----------|----------------------|------------------|----------------------------|
| Silurian | Longmaxi | S ₁ l | 3 | 3 ² | Low silicon-carbon content shales | 2300 | | | | Shallow-water shelf facies |
| | | | | 3 ¹ | Low carbon-calcareous shales | 2350 | | | | |
| | | | 2 | 2 | Medium carbon content siltstone | | | | | |
| | | | 1 | 1 ² | Medium silicon-high carbon content shales | | | | | |
| | | | | 1 ¹ | High silicon-carbon content shales | 2400 | | | | |
| Ordovician | Wufeng | O ₃ W | | | | | | | | |
| | Jiancaogou | O ₃ J | | | | | | | | |

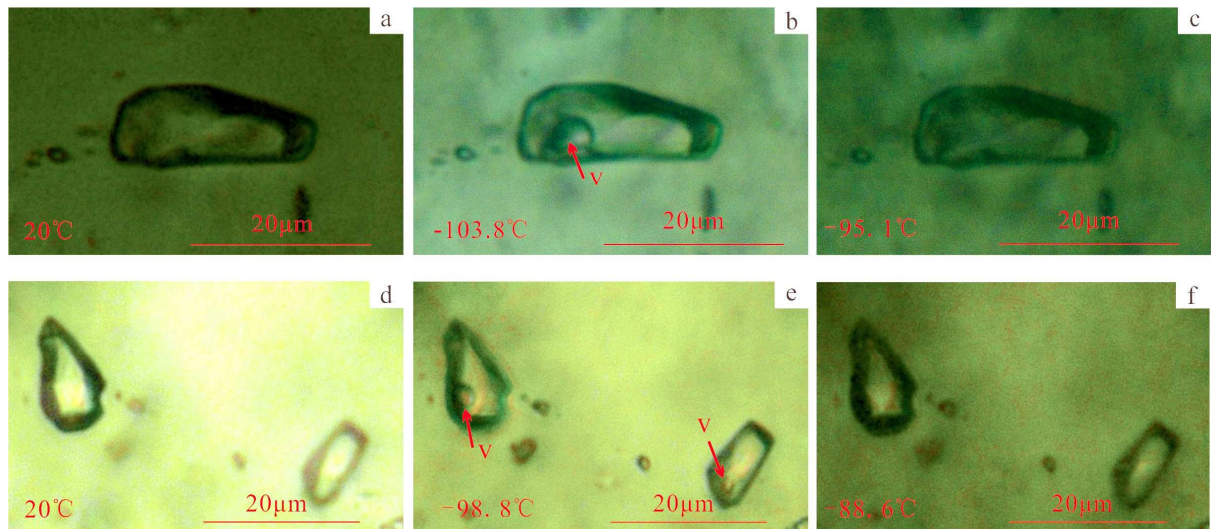


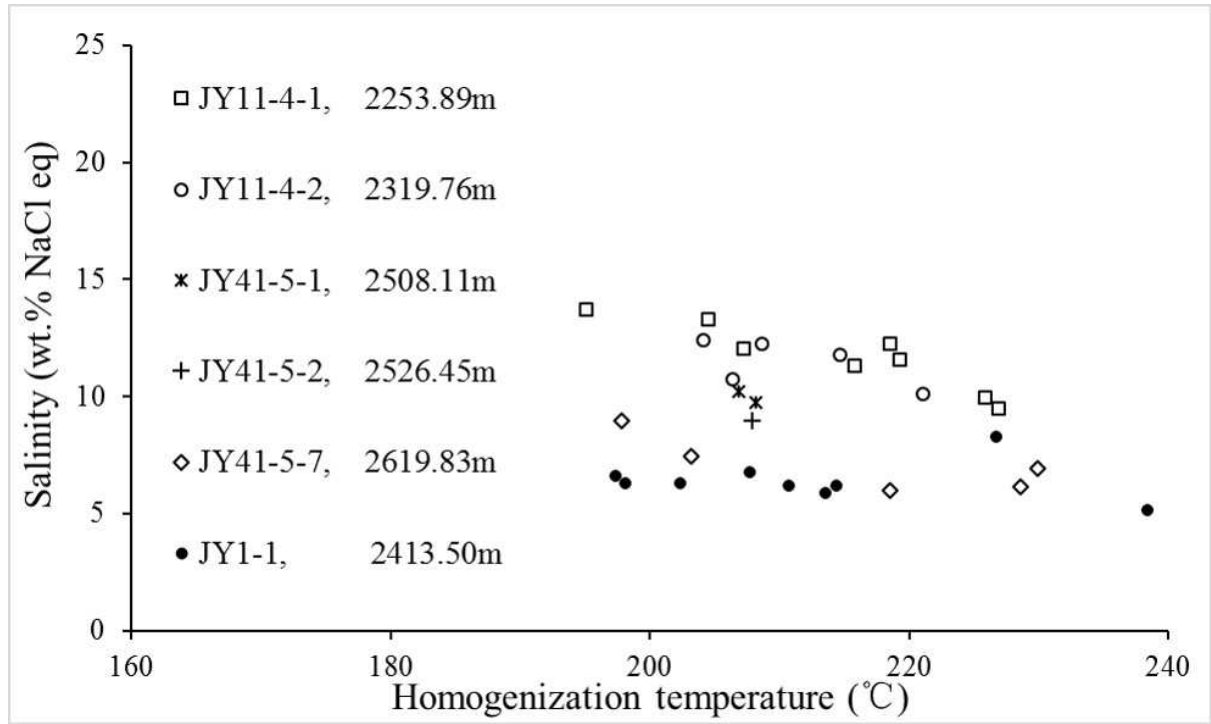
ACCEPTED

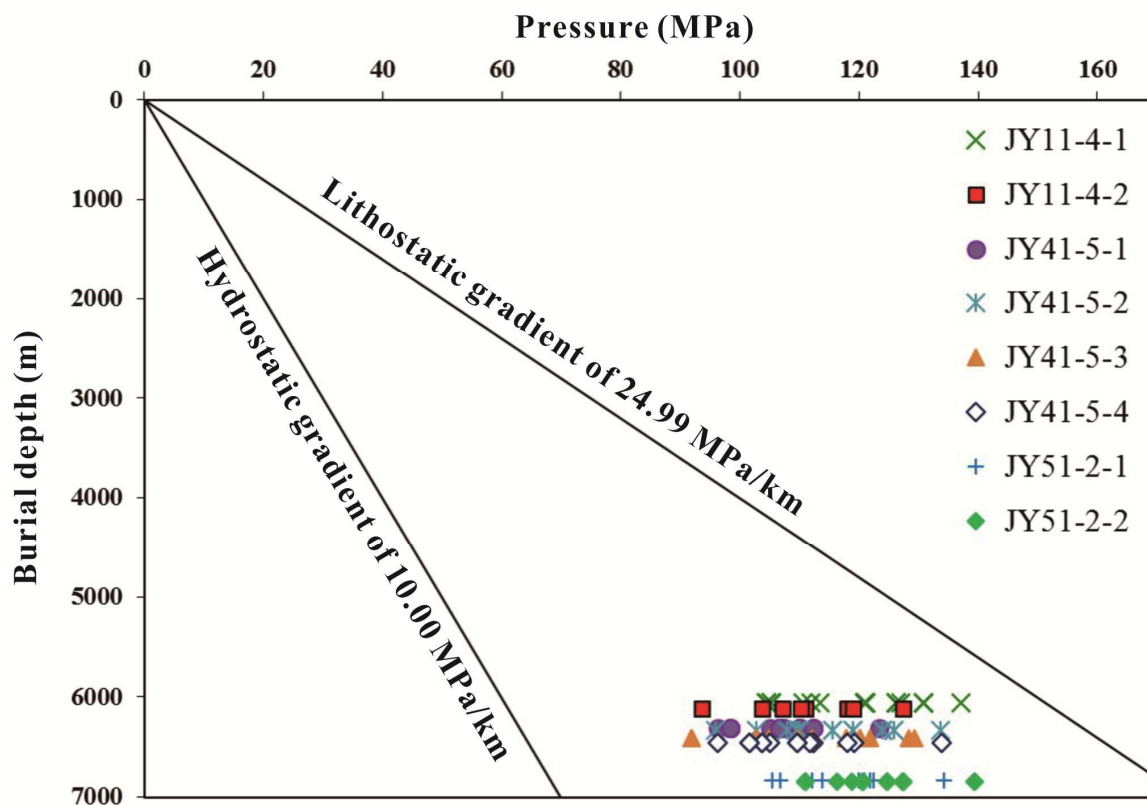


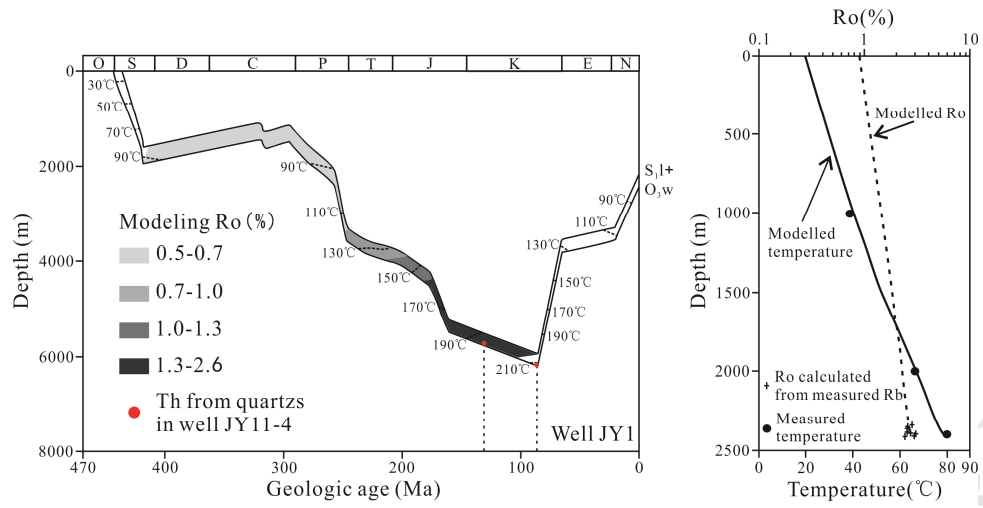


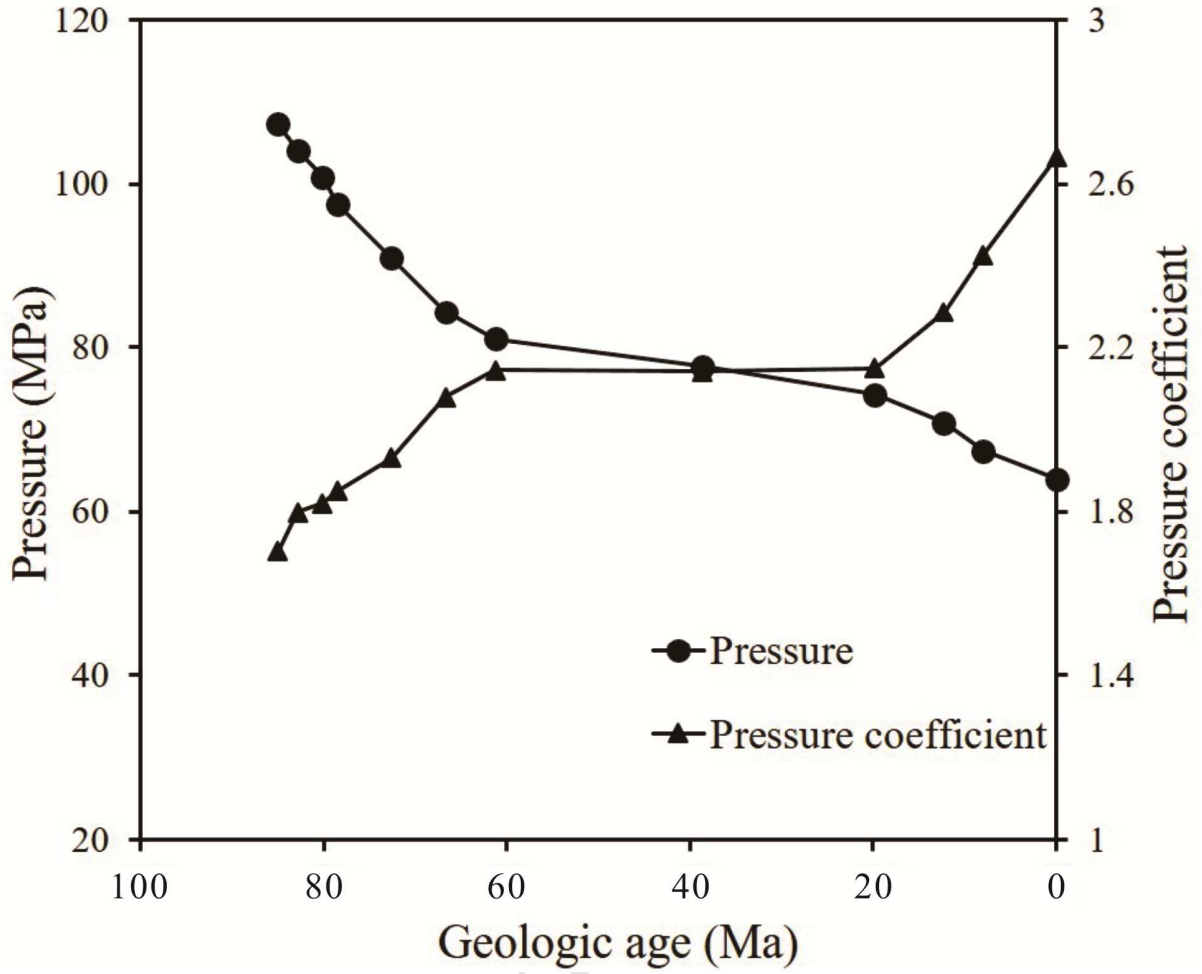












Highlights

1. Raman shift of C-H symmetric stretching (ν_1) band of methane is used to calculate the density of methane inclusions
2. The trapping pressures of methane inclusions are calculated on the basis of the equations of state for supercritical methane
3. Constrain and reconstruct paleo-pressures and paleo-temperatures during the post-depositional history of the Jiaoshiha gas shale formations from fluid inclusions
4. Demonstrate that the cause of present-day overpressure in shale gas deposits is actually preservation of moderate-high overpressure developed as a result of gas generation.