1	A global analysis of factors controlling VIIRS nighttime light levels from densely
2	populated areas
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14 Abstract

Remote sensing of nighttime lights has been shown as a good surrogate for estimating 15 population and economic activity at national and sub-national scales, using DMSP 16 satellites. However, few studies have examined the factors explaining differences in 17 nighttime brightness of cities at a global scale. In this study, we derived quantitative 18 estimates of nighttime lights with the new VIIRS sensor onboard the Suomi NPP satellite 19 in January 2014 and in July 2014, with two variables: mean brightness and percent lit 20 area. We performed a global analysis of all densely populated areas (n = 4,153, mostly 21 corresponding to metropolitan areas), which we defined using high spatial resolution 22 23 Landscan population data. National GDP per capita was better in explaining nighttime brightness levels (0.60 < Rs < 0.70) than GDP density at a spatial resolution of 0.25 24 degrees (0.25 < Rs < 0.43), or than a city-level measure of GDP per capita (in proportion 25 26 to each city's fraction of the national population; 0.49 < Rs < 0.62). We found that in addition to GDP per capita, the nighttime brightness of densely populated areas was 27 positively correlated with MODIS derived percent urban area (0.46 < Rs < 0.60), the 28 29 density of the road network (0.51 < Rs < 0.67), and with latitude (0.31 < Rs < 0.42) at p < 0.001. NDVI values (representing vegetation cover) were found to be negatively 30 correlated with cities' brightness in winter time (-0.48 < Rs < -0.22), whereas snow cover 31 32 (enhancing artificial light reflectance) was found to be positively correlated with cities' brightness in winter time (0.17 < Rs < 0.35). Overall, the generalized linear model we 33 34 built was able to explain more than 45% of the variability in cities' nighttime brightness, when both physical and socio-economic variables were included. Within the generalized 35 linear model, the percent of national GDP derived from income (rents) from natural gas 36

and oil, was also found as one of the statistically significant variables. Our findings show
that cities' nighttime brightness can change with the seasons as a function of vegetation
and snow cover, two variables affecting surface albedo. Explaining cities' nighttime
brightness is therefore affected not only by country level factors (such as GDP), but also
by the built environment and by climatic factors.

43 **1. Introduction**

Artificial nighttime lights present one of humanity's unique footprints that can be seen 44 from space (Croft, 1978). Resulting light pollution has been shown to negatively impact 45 the community of astronomers and our ability to observe the night sky (Cinzano et al., 46 2001). However, the negative effects that light pollution has on ecological systems and 47 on our health, through changes in circadian exposure to light and changes in the 48 49 wavelengths we are exposed to, might have more important and far-reaching 50 consequences (Longcore and Rich, 2004; Falchi et al., 2011; Gaston et al., 2013). Light pollution and artificial lighting has been shown to vary greatly in space and in time, as a 51 52 function of population and economic activity. However, most studies examining the factors explaining global spatial variability in lit areas were conducted at national and 53 provincial levels using the DMSP/OLS sensor (e.g., Elvidge et al., 1997; Chen & 54 55 Nordhaus, 2011; Wu et al., 2013; Keola et al., 2015). While offering the only globally available time series of nighttime lights imagery from 1992 onwards (Bennie et al., 56 2014a), DMSP imagery has various drawbacks as it is not calibrated, its spatial resolution 57 is coarse, it contains overglow beyond urban boundaries and it is saturated in urban areas 58 (Small et al., 2005; Doll, 2008). Temporal changes in cities' lights and the spatial 59 characteristics of cities' nighttime brightness have been examined in several countries 60 using DMSP data (e.g., Lo, 2002; Ma et al., 2012; Zhang and Seto, 2013). Most of the 61 studies which used DMSP data for urban studies have used annual datasets, whereas daily 62 63 and monthly datasets were used to identify more dynamic and time varying features, such as forest fires, wars and fishing vessels (Huang et al., 2014). New studies using DMSP 64 datasets for quantifying urban patterns are continuously being published (e..g, Ma et al., 65

2015; Weidmann and Schutte, 2016), however, annual products of DMSP night lightsdata are no longer being produced, the last one available being that of 2013.

Recently, new studies have attempted using finer spatial resolution (≤ 1 m) nighttime 68 69 imagery to examine the factors explaining spatial patterns of nighttime lights within cities (Kuchly et al., 2012; Hale et al., 2013; Levin et al., 2014; Katz and Levin, 2016). 70 Astronaut photography taken from the International Space Station presents an additional 71 72 source of information about spatial patterns of cities at nighttime (de Miguel et al., 2014, de Miguel, 2015). Levin and Duke (2012) have used ISS imagery showing that not all 73 towns and cities are equally lit, and that economic, infrastructure and demographic 74 75 factors can explain differences in brightness levels of localities in Israel and the West Bank. Kyba et al. (2014) have used VIIRS DNB data to study the relationship between 76 population size and the sum of lights from cities and communities in the USA and 77 78 Germany, finding differences in light emission between cities of these two countries, and several recent studies have used VIIRS data to examine the nighttime brightness of cities 79 in China (Ma et al., 2014a,b; Shi et al., 2014) and in the USA (Chen et al., 2015). In 80 addition, Elvidge et al. (2016) have used VIIRS data to detecting and measure radiant 81 emissions from gas flares globally, forming one of the major industrial sources of light 82 pollution, which can even be detected night-time images of Landsat 8 in the visible bands 83 (Levin and Phinn, 2016). 84

Urban areas are of high importance as most of the world's population resides in cities, with 78% of global carbon emissions attributed to cities (Grimm et al., 2008). In this paper our aim was to use the new monthly global cloud-free mosaics from the VIIRS sensor onboard the Suomi-NPP (launched in 2011), to examine the factors explaining

spatial variability in nighttime lights at the city level, comparing densely populated areas 89 (mostly urban areas) globally. We hypothesized that urban form and urban density (and 90 91 other factors including percent urban area, NDVI, snow cover etc.) will also affect brightness levels, and not just socio-economic factors such as national GDP and 92 population size. In addition, we aimed to examine the difference between using lit areas 93 94 (i.e., areas above a certain threshold of nighttime lights brightness, as usually done in studies using DMSP data) and using calibrated brightness levels in radiance values, on 95 the resulting factors explaining inter-city variability in nighttime lights. 96

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98 2. Methods

99 The Visible/Infrared Imager/Radiometer Suite (VIIRS) was launched in October 28,

100 2011, collecting high quality nighttime images at a spatial resolution of 750 m in the

101 Day/Night Bands (DNB), between 500-900 nm (Miller et al. 2012, 2013). Recent studies

102 have shown the improved quality of VIIRS nighttime lights images over those acquired

by the DMSP/OLS sensor (Elvidge et al., 2013; Li et al. 2013; Miller et al., 2013; Shi et al., 2013; S

al. 2014). There are now monthly cloud-free global calibrated mosaics that were

105 compiled from nighttime lights VIIRS images (Baugh et al., 2013), which can be

106 downloaded from the NOAA's National Geoscience Data Center

107 (http://ngdc.noaa.gov/eog/). We have downloaded Version 1 of the composites of January

108 2014 (representing northern hemisphere winter when snow cover is high) and July 2014

109 (representing northern hemisphere summer), to quantify the nighttime light brightness of

110 urban and densely populated areas globally.

To define the densely populated areas to be analyzed, we used the global Landscan 111 (Bhaduri et al., 2002) population layer (of 2012; http://web.ornl.gov/sci/landscan/). 112 Landscan is a derived product based on a variety of different inputs (including roads, land 113 cover and other remote sensing products) used to spatially disaggregate census data 114 (Bhaduri et al., 2002). Instead of defining the cities to be analyzed using official 115 116 municipal boundaries (which often include unbuilt areas, and split metropolitan areas into small units; Forstall et al., 2009) we defined densely populated areas (to which we refer 117 as "cities" throughout the paper) as comprised of adjacent grid cells with more than 1,500 118 people/km² each (the threshold used in China to define urban areas; Chan and Hu, 2003), 119 with a minimum total area of 10 km² within a single country. For comparison, Angel et 120 al. (2011) mapped 3,646 metropolitan areas globally with populations in excess of 121 100,000 people, finding that their median density was 7,600 people/km². The steps for 122 generating this spatial layer of cities were the following: (1) we calculated population 123 density within each grid cell of the Landscan population dataset, by dividing the 124 population count of each cell by the area of each 30 arc-seconds cell; (2) we used the 125 post-classification sieve function within Envi 5.2 (© 2014 Exelis) to keep only groups of 126 25 (or more) adjacent grid cells each with more than 1,500 people/km² (considering 4 127 neighboring cells); (3) the resulting binary image was converted to a polygon layer which 128 was intersected with countries' boundaries; (4) finally, only those polygons (representing 129 densely populated areas) whose area within a single country was greater than 10 km², 130 were then used for all analyses (n = 4,153). Using this approach, our analysis units often 131 132 correspond to metropolitan areas.

133 For each of the resulting polygons, we calculated various statistics (minimum,

134 maximum, mean, standard deviation, sum) using the Zonal Statistics tool within ArcGIS

135 10.2 (ESRI, Redlands, CA) for three groups of variables:

(1) Anthropogenic variables at the city level: area, population, population density, percent 136 urban area, density of road network, and GDP density at grid cell resolution of 0.25 137 degrees (projected to 2014, based on Gaffin et al., 2004). We used percent urban areas 138 based on the 2013 MODIS Land Cover Type Product (MCD12Q1; Strahler et al., 1999) 139 because it was found as a highly accurate global map of urban areas in an accuracy 140 assessment performed by Potere et al. (2009). For assessing the density of road network 141 142 within each city, we used shapefiles of OpenStreetMap (Haklay, 2010) obtained from Geofabrik (http://www.geofabrik.de/). The roads within OpenStreetMap are classified as 143 Major roads (Motorway/freeway; Important roads, typically divided; Primary roads, 144 145 typically national; Secondary roads, typically regional; Tertiary roads, typically local) and Minor roads (Smaller local roads; Roads in residential areas; Streets where 146 pedestrians have priority over cars; Pedestrian only streets) (Ramm, 2015). We converted 147 the layers of major roads and minor roads from polylines to points (using all vertices), 148 and then counted the number of vertices in each of these layers within each 0.00083 x 149 0.00083 degree grid cell (as in Levin et al., 2015). In addition we classified the VIIRS 150 151 nighttime light images into radiance classes, calculating the percent lit area of each city above the following light levels: 2, 5, 10, 25, 50, 100 and 250 nanoWatts/(cm²*sr). We 152 153 identified active gas flare sources within cities using the global mapping of gas flares provided by Elvidge et al. (2016), available for download here: 154

155 http://www.mdpi.com/1996-1073/9/1/14/s1 (accessed on December 7th, 2016). Out of a

156	total of 7,464 gas flare point sources, only 97 gas flare sources were within the
157	boundaries of 75 densely populated areas included in our study. To examine the possible
158	impact of gas flares on our results, we examined the statistical correlations with and
159	without cities where gas flare sources were located.
160	(2) Physical variables at the city level: VIIRS nighttime lights brightness, the 2014 NDVI
161	values (Rouse et al., 1973) based on the Version 6 of the MODIS/Terra Vegetation
162	Indices Monthly L3 0.05Deg CMG (MOD13C2) collection (Didan, 2015) as vegetation
163	cover can absorb and block nighttime lights, and snow cover based on the 2014
164	MOD10CM product of MODIS as snow cover can enhance surface reflectance. Whereas
165	spring-time snow cover in the northern hemisphere has decreased between 1971-2014,
166	winter-time snow cover in the northern hemisphere showed only weak trends
167	(Hernández-Henríquez et al., 2015). For each of the cities, we calculated its mean snow
168	cover and mean NDVI values in January and July 2014. We also calculated for each city
169	the number of cloud-free coverages, or observations, that went in to constructing the
170	average VIIRS radiance image, because cloud cover can impede observations of
171	nighttime brightness.
172	(3) Anthropogenic variables at the country level, based on the assumption that street
173	lighting standards and types are related to a country's national income and energy
174	sources. Street design standards are deeply embedded in design and engineering
175	practices, as well as in legal and financial structures (Southworth and Ben-Joseph, 1995),
176	and thus we assumed that street lighting standards will be mostly directed by national
177	guidelines and norms. The variables we examined at the national level were GDP per
178	capita and the percent of GDP derived from income (rents) from natural gas and oil. The

variables of 'GDP per capita' and ' Percent of GDP derived from natural gas and oil rents' 179 were only available at the country scale, and were thus assigned to each city based on its 180 country. The motivation for examining the percent of GDP derived from income (rents) 181 from natural gas and oil, was that major oil exporting countries are known as non-182 efficient in their energy use (Doukas et al., 2006; Mehrara, 2007), and we hypothesized 183 184 that artificial night-lights emissions will also reflect the high energy consumption of some of those countries. Recognizing however that GDP varies within a country, in addition to 185 186 using gridded GDP density at a spatial resolution of 0.25 degrees (by Gaffin et al., 2004, 187 as described above), we used for some of the analyses GDP per capita as of 2014 at the city level, available for the world's 300 largest metropolitan economies (Parilla et al., 188 2015; https://www.brookings.edu/research/global-metro-monitor/, accessed August 18th, 189 2016). As city-level GDP from the Brookings Institute was available for only 300 cities, 190 we could not use it in the analysis of all cities. We have also assigned each city with its 191 192 country-level GDP per capita value in proportion to each city's fraction of the national population, as an additional measure of GDP per capita at the city level. 193

194 We examined the correlations between the explanatory variables of population, percent urban area, road density, NDVI, snow over, GDP per capita, GDP density as of 195 2014 (GDP/unit land area; calculated by interpolating the 1990 and 2025 GDP density 196 values at 0.25° grid cell resolution from Gaffin et al., 2004), percent of GDP derived from 197 income (rents) from natural gas and oil (average between 2010-2013, available from the 198 199 World Bank, http://data.worldbank.org/indicator/, accessed on 21/7/2015) and number of cloud free coverages from which the monthly mosaics of VIIRS brightness were 200 constructed, with the predicted variables of nighttime light brightness, and lit area, at two 201

spatial scales: the city scale (n = 4,153, and n = 200 for the largest urban areas globally) 202 and the country scale after averaging the various variables of all cities within each 203 country (n = 170). At the country level we examined the statistical relationships 204 averaging the major cities in each country, and not referring to the entire area of a 205 country. While previous studies trying to explain nighttime lights often focused on total 206 207 lit area (as in Elvidge et al., 1997) or on the sum of lights (as in Kyba et al., 2014), we aimed to explain the percent lit area within a city and the mean radiance light levels 208 within cities – variables which will be less biased by a city's total population. We used 209 210 XLSTAT version 2014.6.01 (Copyright Addinsoft 1995-2014) to calculate Spearman's rank correlation coefficients. 211

Following the univariate statistical analysis, we ran general linear models (GLM) for 212 explaining cities' brightness. Because seasons in the northern and in the southern 213 214 hemispheres are reversed, we first reorganized data by seasons (winter and summer) instead of months (January and July). To do that we switched all data acquired in winter 215 with data acquired in summer in the southern hemisphere. We then standardized all data 216 using the Gaussian standardization method. We built GLM models (using the GLM 217 function in Matlab) including all variables (full models), including social-economic 218 variables only (socio-economic models), and including physical variables only (physical 219 models). After examining distributions of the VIIRS data, we decided to choose a normal 220 type for all the GLM models. To examine the performances of all the models, we listed 221 222 all parameters of the models and generated scatterplots with the observed VIIRS data (Y axis) and the predicted values (X axis). GLM models were run for all cities, for the 223 largest 200 cities, as well as at the country level. 224

226 **3. Results**

227 **3.1 City level**

228	Altogether, we identified 4,153 populated areas globally, mostly corresponding to cities
229	and metropolitan areas (Figure 1; see supplementary KML file for the polygons of all
230	cities). Their median area was 29.3 km ² (with a maximum of 3927 km ² , for Jakarta,
231	Indonesia), their median population being 172,000 (with a maximum of 30.4 million
232	people for Tokyo, Japan), the median population density being 5,476 people/km ² (with a
233	maximum of 39,605 people/km ² for Hong Kong), and the median brightness of these
234	cities was 19 and 16.5 nanoWatts/(cm ² *sr) in January and July 2014, respectively
235	(Figures 2, 3, S1). The overall population included within these 4,153 populated areas
236	was 2.018 billion, 30% of the world's population. Whereas in some of the metropolitan
237	areas (as defined in this study) such as Jakarta, there were areas which were quite dark, in
238	some of the metropolitan areas (e.g., Ryadh and Moscow), very bright areas extended
239	beyond the populated areas (Figures 2, 3).

Using at least two cloud free coverages within a monthly mosaic as a threshold (representing a higher signal to noise ratio), 3,955 (95%) and 3,871 (93%) of all cities (in January and July 2014, respectively), and 188 (94%) and 192 (96%) of the largest 200 cities (in January and July 2014, respectively), were above this threshold. We examined all univariate correlations only for those cities above this threshold, and found (as shown in the supplementary tables) that the univariate correlations between the explanatory variables and with VIIRS night-time brightness levels were not affected by low cloud

free coverage. Using only cities with no gas flare sources, 4,078 (98%) of all cities, and 181 (91%) of the largest 200 cities, were found to have no artificial lights from gas flares. We examined all univariate correlations only for those cities with no gas flare sources, and found (as shown in the supplementary tables) that the univariate correlations between the explanatory variables and with VIIRS night-time brightness levels were not affected by gas flare sources.

Globally, a consistent spatial pattern was observed with high-latitude northern hemisphere cities being observed as brighter on the January 2014 image than on the July 2014 image (Figure 1c, d). Changes in VIIRS brightness values between January and July 2014, were significantly correlated with changes in NDVI values (Rs = -0.405, p < 0.001), changes in snow cover (Rs = 0.358, p < 0.001) and with changes in cloud-free coverage (Rs = 0.315, p < 0.001) (Figure 4).







- 264 mean VIIRS radiance values in January 2014 (a) and in July 2014 (b). Changes in
- brightness between the two months are given in absolute values (c) and as percentages
- 266 (d).



- **Figure 2**: VIIRS radiance values in January 2014 (first and third row) and Landscan
- 269 population density (per square kilometer; second and fourth row) in 2012 in six selected
- urban areas, ordered by their brightness from the top-left (Chicago) to the bottom-right
- 271 (Moscow). The grey lines delineate the urban areas as defined based on the global
- 272 Landscan population data (see Methods).



- Figure 3: VIIRS radiance values in January 2014 (first and third row) and Landscan
- population (per square kilometer, second and fourth row) in 2012 in six selected urban
- areas, ordered by their brightness from the top-left (Hong Kong) to the bottom-right
- 277 (Jakarta). The grey lines delineate the urban areas as defined based on the global
- 278 Landscan population data (see Methods). VIIRS radiance values for Jakarta are from July
- 279 2014, due to low cloud-free coverage in January 2014.





Figure 4: Changes in VIIRS brightness values between January 2014 and July 2014, as a
function of: (a) changes in NDVI values; (b) changes in snow cover values; (c) changes
in cloud-free coverage. The largest 200 cities are colored by their respective continent.

287	We found statistically significant correlations for most of the variables analyzed for the
288	VIIRS nighttime lights variables of both January and July 2014. However the variables of
289	area, population density and percent of GDP derived from natural gas and oil rents were
290	the least strongly correlated variables when each variable was examined separately
291	(Table 1). Nighttime light brightness of cities was positively correlated with national
292	GDP per capita ($0.60 < \text{Rs} < 0.66$; but less so with GDP density: $0.26 < \text{Rs} < 0.43$),
293	percent urban area ($0.55 < Rs < 0.60$; Figures 5, S2), road density ($0.58 < Rs < 0.67$) and
294	snow cover (Figure 6; $R^2 = 0.55$), and negatively (albeit weakly) correlated with NDVI
295	values (Figures 6, S4; Table 1). Examining the correspondence of GDP per capita data
296	and VIIRS night-time brightness for the 285 cities for which there was GDP per capita
297	data at the city level (from the Brookings Institution; Parilla et al., 2015), GDP per capita
298	at the city level was correlated with VIIRS night-time brightness ($Rs = 0.339$ and 0.220,
299	p < 0.001, for January and July, respectively), but it was not significantly a better
300	predictor of VIIRS night-time brightness, than GDP per capita at the national level (Rs =
301	0.307 and 0.203, p < 0.001, for January and July, respectively Table S3) for those 285
302	cities. In addition, the correlation coefficient between the city-level measure of GDP per
303	capita (in proportion to each city's fraction of the national population) with night-time
304	brightness, was lower than the correlation coefficient between the simple national GDP
305	per capita with night-time brightness (see tables S1, S2). National GDP per capita was
306	highly correlated with GDP density ($Rs = 0.645$, $p < 0.001$) and with the city-level
307	measure of GDP per capita (in proportion to each city's fraction of the national
308	population; $Rs = 0.644$, p < 0.001). We therefore preferred to keep using national GDP

per capita assigned to each city in our following multivariate analyses, to avoidcollinearity.

VIIRS brightness values were highly correlated between January 2014 and July 311 2014, the main outliers presenting higher brightness values in January being cities located 312 in northern latitudes with high snow cover (Figures 1c,d, 7). Correlations between the 313 explanatory variables and the nighttime light variables (of mean radiance values and of lit 314 area) did not differ much, however the highest correspondence between mean VIIRS 315 radiance values and percent lit area was obtained for lit areas above 10-100 316 nanoWatts/(cm²*sr) (Figure 8; Table S1, S2, S4), and the relationship between lit area 317 318 and mean brightness levels was found to be non-linear (Figure 9). In the GLM analysis (run separately for all cities, or just for the largest 200 cities), both physical and socio-319 economic variables were found as statistically significant (Figure 10). At the city level, 320 321 the adjusted Rsquared value of a GLM model was mostly higher when only physical variables were included, than when only socio-economic variables were included (Figure 322 11). However, in all cases, the explanatory power of the model increased when both 323 324 socio-economic variables and physical variables were combined in a full GLM model (adjusted \mathbb{R}^2 values increasing from between 0.29-0.43 to 0.46-0.63 in the full GLM; 325 Figures 10, 11, S4). Amongst the physical variables, NDVI and major roads were 326 statistically significant in all models in both seasons, whereas cloud-free coverage was 327 more important for the model in the summer season (Figure 10), and snow cover was 328 329 only statistically significant in the winter season (Figure 10; note that the GLM coefficients of snow cover were higher than the GLM coefficients of latitude in the 330 winter season). Amongst the socio-economic variables, both national GDP per capita and 331

- the percent of GDP derived from natural gas and oil rents were positively contributing to
- the explanation of cities' night-time brightness (Figure 10).

Table 1: Spearman rank correlation coefficients between explanatory variables and mean

VIIRS radiance values (in January and July 2014), at different spatial scales (individual

cities, average for cities within countries). The variables of 'GDP per capita' and ' Percent

of GDP derived from natural gas and oil rents' were only available at the country scale,

and were thus assigned to each city based on its country.

339 (***
$$p < 0.001$$
, ** $p < 0.01$, * $p < 0.05$)

	City level, $n = 4,153$		City level, $n = 200$		Country level, $n = 170$	
			largest			
	Mean	Mean	Mean	Mean	Mean	Mean
	VIIRS Jan	VIIRS July	VIIRS Jan	VIIRS July	VIIRS Jan	VIIRS July
	2014	2014	2014	2014	2014	2014
GDP per capita	0.637 ***	0.657 ***	0.604 ***	0.627 ***	0.694 ***	0.697 ***
GDP density	0.264 ***	0.291 ***	0.433 ***	0.433 ***	0.395 ***	0.362 ***
GDP per capita	0.532 ***	0.619 ***	0.494 ***	0.577 ***	0.581 ***	0.618 ***
* % of city's						
share of						
national						
population						
Percent of GDP	0.039 *	-0.069 ***	-0.062	-0.129	0.309 ***	0.278 ***
derived from						
natural gas and						
oil rents						
Area sq.km.	0.083 ***	0.118 ***	-0.029	-0.046	0.071	0.099
Population	0.046 **	0.057 ***	-0.073	-0.100	-0.178 *	-0.175 *
density						
% urban area	0.576 ***	0.596 ***	0.582 ***	0.555 ***	0.461 ***	0.498 ***
Major roads	0.583 ***	0.667 ***	0.586 ***	0.619 ***	0.513 ***	0.581 ***
Mean NDVI	-0.405 ***	-0.237 ***	-0.485 ***	-0.260 ***	-0.220 **	-0.142

Mean snow	0.334 ***	0.032 *	0.348 ***	-0.034	0.175 *	0.028
Latitude (abs)	0.386 ***	0.309 ***	0.351 ***	0.313 ***	0.416 ***	0.386 ***
Number of	0.230 ***	0.461 ***	0.194 **	0.507 ***	0.367 ***	0.444 ***
VIIRS cloud-						
free coverages						





a function of percent urban area.





- **Figure 6**: Mean VIIRS radiance values in January 2014 in the 200 largest urban areas, as
- a function of mean NDVI values (a); Difference between January and July VIIRS
- brightness values in the largest 200 urban areas, as a function of snow cover in January
- 351 2014 (b).



Figure 7: Mean VIIRS radiance values in January 2014 in the 200 largest urban areas, asa function of mean VIIRS radiance values in July 2014.







- **Figure 8**: Spearman rank correlation coefficients between various variables and the
- 362 percent lit area (in January 2014) as a function of the threshold used to define the percent
- lit area, in radiance units of nano-Watts/(cm²*sr), for the 200 largest urban areas (a) and
- 364 for countries (b). The threshold used for defining binary images of lit and unlit areas,
- 365 from which we calculated the percent lit area, is shown on the x-axis.



Figure 9: Mean VIIRS radiance values in July 2014 in the 200 largest urban areas, as a

370 function of the percent lit area greater than 25 nano-Watts/(cm^{2} *sr).



Figure 10: Coefficients of socio-economic and physical variables included in full GLManalysis of cities' night-time brightness, for the winter and summer seasons, at the

country level, for all cities, and for the 200 largest cities.

376 (*** p < 0.001, ** p < 0.01, * p < 0.05)



Figure 11: Coefficients of socio-economic and physical variables included in separate GLM analysis of cities' night-time brightness, for the winter and summer seasons, at the country level, for all cities, and for the 200 largest cities. The parentheses after the adjusted R squared values in the legend represent whether they are for a model including only physical variables (P), or for a model including only socio-economic variables (S). (*** p < 0.001, ** p < 0.01, * p < 0.05)

385 **3.2 Country level**

In this section we report the results obtained at the country level, i.e. after averaging all 386 cities within each country. Overall, the three leading countries in number of densely 387 populated areas included in our analysis were China (514), India (437) and the USA 388 (306). At the country level (in which we analyzed the major cities in each country, and 389 not the entire area of a country), the brightest cities in July 2014 were all found in the 390 391 Middle East, whereas in January 2014 some countries located in higher latitudes were also amongst the ones with the brightest cities (Figure 12; brightness data was not 392 available in July for cities in Iceland, Finland and Norway due to long days). At the 393 394 country level, statistically significant correlations were found for VIIRS nighttime lights for all variables analyzed in both seasons (January and July 2014), except for four 395 variables in which the correlations were weak or non-significant: area, population 396 397 density, NDVI and snow (Table 1). Nighttime light brightness of cities was positively correlated with GDP per capita (Figure 13), percent of GDP derived from natural gas and 398 oil rents (Figure 14), percent urban area (Figure 15) and road density (Figure 16, Table 399 400 1). At the country level, snow cover and NDVI were only weakly correlated with VIIRS night-time brightness in January, and were not correlated with VIIRS night-time 401 brightness in July (Table 1). VIIRS brightness values were highly correlated between 402 403 January 2014 and July 2014, the main outliers presenting higher brightness values in January being countries located in northern latitudes with high snow cover in winter-time 404 405 such as Canada, Estonia and the Russian Federation (Figure 12). In the GLM analysis, both physical and socio-economic variables were found as statistically significant (Figure 406 10). At the country level, the adjusted Rsquared value of a GLM model was higher when 407

408 only socio-economic variables were included, than when only physical variables were included (Figure 11). However, in all cases, the explanatory power of the model 409 increased when both socio-economic variables and physical variables were combined in a 410 full GLM (adjusted R² values increasing from between 0.24-0.37 to 0.49-0.54 in the full 411 GLM; Figures 10, 11, S4). Amongst the physical variables, NDVI, cloud-free coverage 412 and major roads were statistically significant in all models in both seasons, whereas snow 413 cover was not found as statistically significant at the country level (Figure 10). Amongst 414 the socio-economic variables, both national GDP per capita and the percent of GDP 415 derived from natural gas and oil rents were positively contributing to the explanation of 416 cities' night-time brightness at the country level (Figure 10). 417



420 Figure 12: Mean VIIRS radiance values in January 2014 at the country level (i.e.

- 421 averaging all cities within a country), as a function of mean VIIRS radiance values in
- 422 July 2014 (mean value for the urban areas of each country).



424 Figure 13: Mean VIIRS radiance values in January 2014 at the country level (i.e.

425 averaging all cities within a country), as a function of national GDP per capita.



427 Figure 14: Mean VIIRS radiance values in January 2014 at the country level (i.e.

- 428 averaging all cities within a country), as a function of percent of GDP from natural gas
- 429 and oil rents.



Figure 15: Mean VIIRS radiance values in July 2014 at the country level (i.e. averaging
all cities within a country), as a function of percent uraban area (mean value for the cities
of each country).



Figure 16: Mean VIIRS radiance values in July 2014 at the country level (i.e. averaging
all cities within a country), as a function of Open Street Map major road density (mean
value for the urban areas of each country).

Overall, our global mapping identified 4,154 densely populated areas, 13.9% more than 439 the 3,646 metropolitan urban areas identified by Angel et al. (2011) who used MODIS 440 derived urban land cover and population data. Previous global studies which analyzed 441 differences in nighttime light brightness at the country or state level often focused on four 442 main variables: population size, urban area, GDP and electric power consumption (e.g., 443 Elvidge et al., 1997, 1999; Small et al., 2005; Ma et al., 2012, 2014a). Here we found that 444 population density was not a statistically significant variable for explaining cities' night-445 time brightness when comparing cities between countries globally; this lack of 446 447 correlation may be explained by our focus on highly densely populated areas (excluding sparsely populated areas from the analysis), by additional socio-economic factors which 448 are unrelated to population density (e.g., GDP per capita), by physical factors influencing 449 450 surface albedo (such as snow cover and NDVI), and by the great variability in lighting standards between countries (e.g., lighting levels, distance between street lights, whether 451 there are regulations to reduce light pollution by using full cut-off lamps, etc.), the type of 452 street lighting used (lamp type, which can be identified using hyperspectral imagery; 453 Elvidge et al., 2010), etc. It is worthy of noting that slums with very high population 454 density in many developing country cities are often poorly lit (Jones, 2000). While there 455 are various attempts to map GDP spatially at regional and city levels (Gaffin et al., 2004; 456 Parilla et al., 2015), we found that city level GDP estimates were not better in explaining 457 458 nighttime brightness of cities, than national GDP per capita values. This finding may indicate the importance of national lighting standards in explaining cities' nighttime 459

460 brightness and the percolation of governmental revenue to municipal budgets which are461 also responsible for street lighting.

We found that there are additional socio-economic factors beyond population size 462 and GDP which explain cities' brightness levels. We have found that cities located in 463 countries where a large percent of the GDP is derived from natural gas and oil rents, tend 464 to be highly lit – this is especially evident in the countries surrounding the Persian Gulf, 465 where oil revenues have led to rapid urban development (Zhang et al., 2015), and where 466 energy consumption and carbon dioxide emissions per capita are high (Reiche, 2010). 467 Indeed, in major oil exporting countries, government policies often drive domestic energy 468 469 prices under free market level, leading to high levels of domestic energy consumption, 470 and to higher growth rates in energy use per capita than the growth rate of GDP per capita (Mehrara, 2007). Recent studies using finer spatial resolution sources of nighttime 471 472 lights have incorporated additional explanatory variables which were found to be statistically significant in explaining differences between localities in nighttime light 473 brightness (e.g., house vacancy rates; Chen et al., 2015), with one of the most consistent 474 variables being the density of the road network (Levin and Duke, 2012; Kuechly et al., 475 2012; Hale et al, 2013; Levin et al., 2014), a variable which was also shown to be 476 statistically significant in our results. Whereas in previous studies official road data sets 477 were used to estimate road density and correlate it with light emission, we used 478 OpenStreetMap data, which has also been recently used to map roadless areas globally 479 480 (Ibisch et al., 2016). Although the spatial coverage of OpenStreetMap data varies between countries and cities, with most contributors originating from the developed 481 countries (Neis and Zielstra, 2014), our findings indicate that road density as derived 482

483 OpenStreetMap succeeded in contributing to the explanation of spatial variability in light484 emission from densely populated areas.

Few studies have explicitly incorporated variables related to surface reflectance to 485 explain nighttime brightness (but see Kim, 2012; Katz and Levin, 2016), and none as far 486 as we know have done this at the global scale. We found that NDVI (representing 487 vegetation cover) was negatively correlated with nighttime brightness, whereas snow 488 489 cover was positively correlated with nighttime brightness. Higher NDVI values in urban areas may indicate greater foliage cover, which can partly or fully block upward light 490 emission (Bennie et al., 2014b), or large vegetated areas (e.g., grassy areas) whose low 491 492 reflectance will decrease the reflectance of artificial lights towards the sky. This effect of vegetation cover on a city's night-time brightness as observed from space was recently 493 reported using an EROS-B night-time image of Jerusalem (Katz and Levin, 2016). Cities 494 495 in the countries surrounding the Persian Gulf often show low NDVI values (they are mainly located in an arid region), which might be one of the factors further enhancing the 496 observed nighttime brightness of these cities. In contrast with vegetation, snow cover 497 498 leads to increased land surface reflectance in the visible and near-infrared ranges, increasing the upwards reflectance of downward lights (as demonstrated in Figure 17) 499 and thus enhancing the radiance measured by space-borne sensors (Román and Stokes, 500 501 2015). Indeed, snow cover has been reported to increase surface albedo by as much as 350% (Robinson and Kukla, 1985). While the increase in night-time brightness in 502 503 January (with respect to July) of northern high latitude cities can be explained by snow cover in winter time (Figure 6b; see Wu et al., 2013), some low latitude areas (especially 504 India) presented some increase (in percentages more than in absolute values) in night-505

506	time brightness from July to January. This may be related to more consistent cloud
507	coverage during the summer months (monsoon season) in India (Wilson and Jetz, 2016),
508	hampering night-time observations of cities' brightness. This assumption is partly
509	supported in our GLM analysis, where the number of cloud-free observations used to
510	construct the monthly mosaics of the VIIRS, was positively correlated with cities' night-
511	time brightness (Figures 10, 11). Latitudinal differences in cities' night-time brightness
512	may be explained not only by greater snow cover in high latitudes and persistent cloud
513	cover in tropical latitudes, but also by seasonal changes in lighting strategy due to longer
514	nights in high latitudes (Gaston et al., 2012; Wu et al., 2013).
515	Most studies on nighttime light brightness used lit area and not radiance calibrated
516	values of brightness, because previous sources of remotely sensed images of nighttime
517	lights (DMSP, astronaut photographs from the ISS, SAC-C images) were mostly not
518	calibrated (but see Doll et al., 2006, where calibrated radiances from DMSP were used to
519	map regional economic activity from night-time imagery). The DNB band of the VIIRS
520	onboard the Suomi NPP satellite presents a breakthrough in our ability to map the world
521	at night (Miller et al., 2013), and is the first mission providing monthly average radiance
522	composite images (available for downloading from
523	http://ngdc.noaa.gov/eog/viirs/download_monthly.html, accessed on 22/7/2015). Cities'
524	mean brightness levels were not linearly correlated with percent lit area, however both
525	variables were found to be highly correlated with the explanatory variables examined
526	here. Differences between using these two variables (percent lit area, mean brightness
527	levels) were mostly noted when setting high threshold values; when thresholds of
528	brightness levels were set high (above 100 nanoWatts/(cm ² *sr)), correlations between all

explanatory variables and percent lit area decreased, except for the physical variables ofsnow cover and NDVI.

Our finding that multiple factors can affect nighttime light brightness at the city level 531 confirms the findings of other studies at the country level (Wu et al., 2013; Ma et al., 532 2012). Given the fact that some studies have looked into predicting GDP with nighttime 533 lights (Chen and Nordhaus, 2010; Elvidge et al., 2007; Shi et al., 2014; Sutton et al., 534 535 2007), our findings suggest that caution must be taken when interpreting monthly nighttime lights as a proxy for economic activity, because there are additional factors 536 which drive the emissions night lights besides economic activity. Indeed, Bickenbach et 537 538 al. (2013) concluded that night lights data may be poor proxies for regional GDP. Due to the phenological cycle of vegetation and seasonal changes in snow cover, variations 539 which are not related to the emission of nighttime lights can be introduced into nighttime 540 541 light time series. Such variations must be first identified and decoupled from nighttime light time series before they can be used to track real seasonal changes in nighttime 542 lights, which have been used to track human activities, such as holiday celebrations 543 (Zhang et al., 2015; Román & Stokes, 2015) or seasonal population gathering around 544 cities in Africa (Bharti et al., 2011). Given the availability of a monthly cloud-free night-545 time lights product from VIIRS, we call for further studies to examine the effects of 546 547 seasonal changes on nighttime lights intensity observed from space, using time series approaches which have been developed in recent years for analyzing vegetation (e.g., 548 Verbesselt et al., 2010). Seasonal changes in observed night-light may be due to changes 549 in surface reflectivity (e.g., snow and vegetation cover) or due to seasonal changes in 550

human activity, and separating these factors is a challenge for the remote sensingcommunity.

553

554 **5.** Conclusions

555 Nighttime light remote sensing is still in its infancy stage and is basically qualitative, compared with daytime optical remote sensing and microwave remote sensing. There is 556 still a lack of understanding of the mechanisms behind nighttime light remote sensing, 557 due to the lack of studies at the ground level and the relative lack of understanding 558 559 nighttime light transfer from lighting sources through the air to the sensor. To advance nighttime light remote sensing, there is an urgent need for studies on factors that can 560 influence nighttime light variation. With its dynamic radiometric range and advanced 561 562 onboard calibration facilities, VIIRS takes continuous and consistent measurements of nighttime lights with significantly improved data quality, making the call for newer 563 564 generation algorithms more urgent. Our current analysis is a direct response to that call.

We have shown that cities' night-light brightness is a function not only of fixed 565 variables at both the country scale (e.g., GDP) and the city scale (e.g., density of the road 566 network), but also of factors that have seasonal patterns, such as vegetation and snow 567 cover. Our findings demonstrate some of the new insights which are now becoming 568 possible thanks to the availability of global monthly radiance calibrated night-light 569 mosaics from the VIIRS. Our findings suggest that in order to understand spatial and 570 571 temporal variation in nighttime light intensity measured from space it is critical to first identify and separate variations caused by phenological cycles of vegetation and snow 572

- 573 cover, as well as by moon lighting. This is especially important for applications to track
- 574 human activities over time with nighttime light time series data. The next step is to
- quantitatively model factors that can influence nighttime light intensity in order to extract
- true light signals on the ground from nighttime light remote sensing imagery.









580

581 Figure 17: Motsa Valley, on the western outskirts of Jerusalem, Israel. Snow covered at day-time (20/2/2015, 2:50 pm, exposure time of 1/125 s) and at night-time (21/2/2015, 582 2:57 am, exposure time of 1/4 s). The night-time photo demonstrates light-pollution 583 under snow-cover conditions, due to increased surface reflectance. Notice that during the 584 summer season (10/7/2008, 7:00 pm and 3:00 am), the valley is very dark at night-time, 585 with no observed surface reflectance, due to low albedo of vegetation cover. Note that in 586 addition to differences in snow cover, the winter photos show considerable downward 587 atmospheric scattering of light from clouds which amplify light pollution (Kyba et al., 588 2011), while the summer photos show clear skies with negligible downward atmospheric 589 scattering. All photos were taken by NL, using a Kodak Easyshare ZD710 (in 2008) and 590 a Canon PowerShot SX40 HS (in 2015). It should be noted that snowfall is a rare event in 591 Jerusalem, with two days of snow a year on average (Bitan and Ben-Rubi, 1978). 592

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