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Title: The welfare risks and impacts of heat stress on sheep shipped from Australia to the Middle East

Author: Clive Phillips

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1 **Review**

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4 **The welfare risks and impacts of heat stress on sheep shipped from Australia to**  
5 **the Middle East**

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8 Clive Phillips

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11 *Centre for Animal Welfare and Ethics, School of Veterinary Science, University of*  
12 *Queensland, Gatton 4343, Queensland, Australia*

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16 \* Corresponding author. Tel.: +61 7546 01158.

17 *E-mail address: [c.phillips@uq.edu.au](mailto:c.phillips@uq.edu.au) (C. Phillips).*

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## 20 Highlights

- 21 • Australia sends about two million sheep to the Middle East annually
- 22 • Mortality rates on board are increased during the northern hemisphere summer
- 23 • Mortality appears due to a combination of heat stress, salmonellosis and inanition
- 24 • The risk posed by heat stress and means of mitigating the risk are reviewed

25

26 **Abstract**

27 This review considers the welfare issues confronting sheep due to heat stress on  
28 board ships undertaking long distance voyages. Sheep engage behavioural and  
29 physiologic mechanisms to attempt to mitigate heat stress, but the evidence from  
30 Australian shipments from 2005 to 2014 is that mortality approximately doubles when  
31 sheep are transported from Australia in winter to the Middle East in summer. Much of  
32 this increase has been attributed to salmonellosis and inanition, but this may have been  
33 mistaken for, or exacerbated by, heat stress. The Australian government's estimate of  
34 the heat stress threshold of sheep is substantially higher than that observed under  
35 simulated live export conditions, which leads to an underestimate of the importance of  
36 heat stress in sheep on voyages where mortality is high. Improved temperature  
37 monitoring on ships and the creation of both a robust model of the impact of increased  
38 temperatures on sheep morbidity and mortality, and a heat stress scale for sheep would  
39 assist in understanding and addressing this welfare concern. The high risk to sheep  
40 exported from Australia during summer in the Middle East is sufficient to warrant  
41 consideration of restriction of trade during this period.

42

43 *Keywords:* Animal welfare; Heat stress; Live export; Sheep; Ship

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45

## 46 **Introduction**

47           Australia exports approximately two million sheep annually, 98% of which go  
48 to the Middle East<sup>1</sup>. The transition from the southern to northern hemisphere means  
49 those animals experience a large change in temperature within two weeks, from  
50 temperatures as low as 0° C immediately prior to boarding at Portland<sup>2</sup> to temperatures  
51 of 40° C and above in the Middle East (Pal and Eltahir, 2016). Voyages leaving  
52 Australia during the southern hemisphere winter and entering a northern hemisphere  
53 summer pose a particularly high risk to sheep, which may derive from their  
54 susceptibility to heat stress (Norris and Richards, 1989) and/or a natural reduction in  
55 appetite of the sheep in the fat deposition phase during the second half of the year  
56 (Higgs et al., 1991). Sheep are particularly at risk of heat, rather than cold, stress  
57 because of the large amount of heat generated by the fermentative digestion of their  
58 feed by micro-organisms in the reticulorumen. Furthermore, large amounts of heat and  
59 moisture are generated within a ship by the high stocking density of livestock, as well  
60 as heat generated by the ship's engine (Caulfield et al., 2014). Many of the sheep are  
61 sent from Australia for the Eid al-Adha festival of sacrifice, currently in  
62 mid-September, but advancing by 10 days each year, which will bring the timing of the  
63 voyages close to mid-summer in the Middle East.

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<sup>1</sup> See: Livecorp Sheep Statistics.

[www.livecorp.com.au/industry-information/industry-statistics/sheep-statistics](http://www.livecorp.com.au/industry-information/industry-statistics/sheep-statistics) (Accessed 27 September 2016).

<sup>2</sup> See: Weather2.

[www.myweather2.com/City-Town/Australia/Victoria/Portland/climate-profile.aspx?month=6](http://www.myweather2.com/City-Town/Australia/Victoria/Portland/climate-profile.aspx?month=6) (Accessed 27 September 2016).

64           The mechanisms used by individual sheep to mitigate heat stress are well known  
65 for land-based farming systems, but this review considers the risks of heat stress to  
66 sheep on ships and the impacts on their welfare.

67

### 68 **General animal responses to heat stress**

69           Research using a rat model demonstrates that the early stages of heat stress are  
70 characterised by tachycardia and increased blood pressure, with the latter falling in  
71 severe heat stress (Quinn et al., 2014). Ultimately multi-organ failure is responsible for  
72 mortality during heat stroke, including, in particular, myocardial infarction, renal  
73 tubular necrosis and nephrosis, and acute liver necrosis (Quinn et al., 2014). Renal  
74 dysfunction has been detected in sheep subjected to thermal conditions similar to those  
75 experienced by sheep transported from Australia to the Middle East (Stockman et al.,  
76 2011).

77

78           Temperature sensors are located in the skin, buccal cavity, spinal cord and  
79 hypothalamus, relaying information to the preoptic area of the hypothalamus, the main  
80 centre for temperature regulation (Beatty, 2005). In some species, high ambient  
81 temperatures are accompanied by a cranial arterio-venous difference in blood  
82 temperature which protects brain function (Vesterdorf et al., 2011). As an example this  
83 protective function, heating of the preoptic area and rostral hypothalamus artificially  
84 leads to rapid cessation of eating in goats, supporting thermostatic control of feed intake  
85 (Bianca, 1965).

86

87           During heat stress, the core body temperature of animals increases and humans  
88 at least report unpleasant sensations (Parkinson et al., 2016). In humans, terminal heat  
89 stress is accompanied by central nervous system (CNS) disorders, including  
90 intracranial hypertension, delirium, convulsion and coma. Cattle are also reported to  
91 have neurologic signs in terminal heat stress (Beatty 2005). The events appear to be  
92 triggered by ischemic and oxidative damage to the hypothalamus (Chen et al., 2013). In  
93 non-terminal heat stress, pain and exhaustion are frequently reported (Sahu et al.,  
94 2013), as are hypothermia and continued production of the stress hormone  
95 corticosterone (Chen et al., 2013). There is some commonality in responses to heat  
96 stress between rodents and humans (Chen et al., 2013) and between humans and sheep  
97 (Damanhour and Tayeb, 1992). The studies that have been conducted in sheep,  
98 particularly as models for heat stroke in humans, have demonstrated impairment of  
99 platelet function (Mohanty et al., 1997), as well as the beneficial effects of the muscle  
100 relaxant dantrolene and the opioid antagonist naloxone (Damanhour and Tayeb, 1992).

101

### 102 **Heat stress responses in sheep**

103           The heart rate of sheep increases in response to the requirements for additional  
104 blood flow to the lungs to support hyperventilation (Cezar et al., 2004). In cattle at least,  
105 core body temperature also fluctuates more widely than usual (Beatty et al., 2006,  
106 2007). Sheep reduce their activity levels, lie down for prolonged periods, and have little  
107 appetite (Black et al., 1994). Lying helps to reduce heat load by providing a ready  
108 conduit for the heat to the floor, which has greater conductivity than air (Silanikove,  
109 2000). Stress levels increase in extreme heat stress, as evidenced by increased blood  
110 cortisol concentration in sheep exposed to 35-44 °C for 35 days, to approximately three

111 times basal levels within a week, progressing to four times basal levels within 2-3  
112 weeks (Indu et al., 2015). This indicates prolonged distress when exposed to chronic  
113 heat stress (Silanikove, 2000). Similarly, adrenaline, noradrenaline and ACTH are  
114 increased in sheep in hot conditions (Cwynar et al., 2014).

115

116 As heat stress increases, the heat loss mechanisms in sheep change from passive  
117 loss, via radiation, conduction and convection, to active heat loss, mainly via  
118 vasodilation in the extremities (especially the legs and ears) and panting, but also via  
119 sweating, if there is only a small amount of wool cover (Marai et al., 2007). Sheep also  
120 attempt to spread their hind legs, assuming stocking densities allow this, thereby  
121 exposing the highly vascularised skin under their flanks and increasing heat loss  
122 through convection<sup>3</sup>. Tongue lolling, or extrusion of the tongue from the buccal cavity,  
123 has been observed in heat-stressed sheep on ships (Black et al., 1994). Tongue lolling  
124 increases both heat loss from the tongue and air inspiration.

125

126 Panting, or breathing rates over 40 breaths per minute (bpm), in sheep becomes  
127 common when maximum dry bulb temperature (DBT) reaches 26 °C (Silanikove,  
128 2000), a temperature which is usually exceeded by the end of voyages from an  
129 Australian winter to a Middle East summer (Fig. 1). Panting accelerates air passage  
130 over the nasal turbinates, protecting the brain from rising body temperatures. Sheep are  
131 a species where high ambient temperatures are accompanied by a cranial arterio-venous  
132 difference in blood temperature (Vesterdorf et al., 2011). Under extreme conditions,

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<sup>3</sup> See: Experimental methods for evaluating herbage P.107  
[http://www.archive.org/stream/experimentalmeth00camp/experimentalmeth00camp\\_djvu.txt](http://www.archive.org/stream/experimentalmeth00camp/experimentalmeth00camp_djvu.txt) (Accessed  
27 September 2016)

133 panting to increase heat loss raises respiration rate from the basal rate of 25-30 bpm up  
134 to 300 bpm, according to Silanikove (2000). The maximum respiration rate is suggested  
135 to be approximately 320 bpm by Turnpenny et al. (2000), similar to the 300 bpm  
136 measured by Hales and Brown (1974). At high heat loads breathing converts to a deep  
137 form of panting which has a lower respiration rate of about 166 bpm and results in air  
138 exchange into the deeper parts of the lungs (Hales and Brown 1974). Interestingly,  
139 McCarthy, a live export veterinarian reported that a transition from rapid breathing to  
140 low rate panting occurs at a rate of 220-240 bpm<sup>4</sup>. These conclusions are consistent  
141 with the findings of Stockman (2006) that, in thermal conditions experienced during  
142 transport of sheep from Australia to the Middle East, the mean respiration rate  
143 increased from 50 to 207 bpm, with individual sheep reaching 250 to 300 bpm. Whilst  
144 the mean respiratory rate did not differ between two consecutive heating periods,  
145 separated by 2.5 days, there was a transition to open mouth panting with reduced  
146 respiratory rate in the second heating period. On the days before open-mouth panting,  
147 the sheep were observed to have a wrinkled top lip with increased nasal secretions  
148 (Stockman et al 2011), which could be a warning sign. A consequence of prolonged  
149 panting is respiratory alkalosis (Stockman et al., 2011); however, this rapidly  
150 disappears on return to normal temperature (Stockman et al., 2011).

151

152 If air temperature (DBT) exceeds body temperature, normally 38.3-39.9°C, the  
153 cooling effect of ventilation is reduced or eliminated; at this point, severe heat stress is  
154 usually evident (Mahjoubi et al., 2015). Wet bulb temperature (WBT) includes

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<sup>4</sup> See: Pilot Monitoring of Shipboard Environmental Conditions and Animal Performance. [www.livecorp.com.au/LC/files/ca/ca8fa4fb-c775-4e21-8af8-60cddc2f2170.pdf](http://www.livecorp.com.au/LC/files/ca/ca8fa4fb-c775-4e21-8af8-60cddc2f2170.pdf). (accessed 27 September 2016)



155 humidity, and is a more accurate measure of the likelihood of heat stress than DBT. The  
156 Australian government has defined the heat stress threshold for sheep as the maximum  
157 ambient WBT at which heat balance of the deep body temperature can be controlled  
158 using available mechanisms of heat loss. According to the Australian government, the  
159 heat stress threshold for adult Merino sheep is  $30.6^{\circ}\text{C}^5$ , however this is not supported by  
160 industry research (Maunsell, 2004) suggesting that the heat stress threshold may range  
161 from 26 to  $30^{\circ}\text{C}$  and research by Stockman et al (2011), who recorded increase core  
162 body temperature of adult Merino wethers at  $26\text{-}28^{\circ}\text{C}$ . It may be that the rate of change  
163 of ambient temperature may influence the temperature at which the core body  
164 temperature begins to increase, since sheep mortality data suggest that sudden increases  
165 are associated with a rapid rise in mortality (Norris and Richards, 1989).

166

167 Nutritional requirements of sheep for maintenance increase exponentially  
168 during heat stress as a result of the energetic cost of heavy panting (Ames and Ray,  
169 1983; Silanikove, 2000). The increased requirement occurs in conjunction with reduced  
170 feed intake, which itself reduces the heat generated by rumen digestion (Morand-Fehr  
171 and Doreau, 2001). The latter is typically about 50% of the energy consumed (Torrent  
172 and Johnson, 2001). As feed intake is reduced, it is possible that heat stressed sheep  
173 experience hunger associated with a nutrient deficit, although reduced thyroid  
174 hormones may concurrently dull appetite (Silanikove, 2000).

175

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<sup>5</sup> See: Australian Government Department of Agriculture Mortality Investigation Report 46  
[www.agriculture.gov.au/Style%20Library/Images/DAFF/\\_data/assets/pdf/file/0003/2364213/  
mortality-investigation-report-46.pdf](http://www.agriculture.gov.au/Style%20Library/Images/DAFF/_data/assets/pdf/file/0003/2364213/mortality-investigation-report-46.pdf) (accessed 27 September 2016)

176 Long periods of heat exposure have cumulative effects on ruminants. In cattle,  
177 subcutaneous temperature increased over seven days of consistent heat stress (Beatty et  
178 al., 2006). Evidence of the inability of sheep to cope with prolonged, repeated exposure  
179 to heat stress in simulated shipboard conditions was provided by Caulfield et al. (2014),  
180 who noted that a second exposure of sheep to high temperatures by Stockman et al.  
181 (2011) induced a greater increase in core body temperature than the first.

182

### 183 **Estimating the risk of heat stress on livestock shipments**

#### 184 *Heat stress measures*

185 Heat stress risk increases with both temperature and humidity. Risk has been  
186 well documented for cattle, in particular, as a function of these two factors, and  
187 combined into a temperature: humidity index (THI)<sup>6</sup> (Sparke et al., 2001; Lowe et al.,  
188 2002). To the author's knowledge, THI does not appear to have been investigated in  
189 sheep and validated with stress measurements. A relationship between THI, DBT and  
190 humidity has been reported by Marai et al. (2007), but it is unclear whether this is  
191 derived from empirical measurements with sheep:

$$192 \text{ THI} = \text{DBT} - ([0.31 - 0.31 \text{ RH}] [\text{DBT} - 14.4])$$

193 where DBT is the dry bulb temperature (°C) and RH is relative humidity in %.

194

195 There are other complex thermal comfort indices that incorporate radiation,  
196 wind speed, air temperature, partial vapour pressure and black globe temperature  
197 (Barbosa and Silva, 1995). However, two simpler scales for heat stress are based on

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<sup>6</sup> See: Meat and Livestock Australia Tips and Tools Feedlots Heat Load in Feedlot Cattle  
<https://futurebeef.com.au/wp-content/uploads/Heat-load-in-feedlot-cattle.pdf>. (Accessed 27 September  
2016)

198 respiration rates (bpm): low: 40–60, medium - high: 60–80, high: 80–120, and severe  
199 heat stress: > 200 (Silanikove, 2000); and mild 120-140, moderate 140-180 and severe  
200 > 180<sup>4</sup>. Because respiration rate increases initially then decreases with advancing heat  
201 stress, simple respiration rate monitoring is inadequate as a measure of heat stress.  
202 Silanikove's scale is based on a review of the literature and in particular observations  
203 by Hales and Brown (1974), who subjected sheep to elevated temperatures in  
204 controlled conditions. McCarthy's scale<sup>4</sup> is based on shipboard observations and is  
205 contained in a report to the live export industry. Whilst the two scales are similar in  
206 their attribution of severe heat stress, Silanikove concluded from their controlled  
207 elevation of temperature and water vapour pressure that moderate heat stress exists at  
208 lower respiration rates than that suggested by McCarthy following shipboard  
209 observations<sup>4</sup>. Neither study validated the stress responses, for example with cortisol  
210 measurements (Cwynar et al., 2014), and these stress descriptions appear to be  
211 somewhat arbitrary, at least at all levels up to severe, with the exception that  
212 McCarthy's scale<sup>4</sup> additionally requires that the sheep are observed to be drooling at all  
213 heat stress levels (mild, moderate and severe). The severe level was probably  
214 determined by both authors to be a typical level for slow, deep panting during extreme  
215 heat stress. The McCarthy scale<sup>4</sup> may have been influenced by the desirability of  
216 minimising evidence of heat stress in sheep for the live export industry, in terms of  
217 demonstrating good sheep welfare. Turnpenny et al. (2000) considered that moderate  
218 heat stress only commences above 270 bpm, using data reported by Hales and Brown  
219 (1974). McCarthy<sup>4</sup> advocated using a qualitative panting scale, in conjunction with his  
220 respiration rate scale described above, to determine heat stress. In this, moderate heat  
221 stress has to be accompanied by occasional open mouth panting and severe heat stress

222 by both open mouth panting and drooling and/or tongue extension from the buccal  
223 cavity. A panting scale for cattle used by Gaughan et al. (2008) also includes the  
224 presence of foam from the mouth. There is a need to develop an effective measure of  
225 heat stress in sheep that is validated with physiological stress measures.

226

227 High WBT and respiration rate have been identified by many stakeholders in the  
228 Australian live export industry as key animal welfare measures on ship (Pines et al.,  
229 2007). This suggests that heat stress is believed by stakeholders to be a significant  
230 welfare problem. However, onboard veterinarians note that it is difficult to count  
231 respiration rate accurately<sup>4</sup>. Nevertheless, there has been no attempt by industry to  
232 develop a comprehensive and validated heat stress scoring system in sheep that is  
233 appropriate to live export situations.

234

235 The Australian Standards for the Export of Livestock<sup>7</sup> require a daily report to  
236 the Australian government to be prepared by an accredited stockperson or, if present, an  
237 accredited veterinarian, which includes a daily characterisation of the respiratory  
238 character of the livestock on board as normal, panting or gasping. The lack of clarity on  
239 what is meant by 'panting or gasping' further supports the case for the development of a  
240 validated heat stress scale for sheep.

241

242 *Risk of exposure to high temperatures and heat stress*

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<sup>7</sup> See: Australian Standards for the Export of Livestock (Version 2.3) 2011 and Australian Position Statement on the Export of Livestock. [www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/standards/version2-3/australian-standards-v2.3.pdf](http://www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/standards/version2-3/australian-standards-v2.3.pdf) (Accessed 27 September 2016)

243 A model for heat stress risk assessment has been used by the Australian live  
244 export industry since 2003. This has been reviewed in an industry report<sup>8</sup> and by  
245 Caulfield et al (2014). The model estimates heat stress risk from predicted: (1) WBT en  
246 route and at destination port for the time of year; (2) mortality rate at this WBT,  
247 adjusted for animal factors, live weight, body condition, coat type, and acclimatisation;  
248 and (3) ship factors, such as ventilation rate and stocking density (the latter can be  
249 varied in the event of the mortality risk being too high). Although based on scientific  
250 principles, the model has been criticised for the limited database utilised, for using  
251 unaudited vessel ventilation data, and for not being validated against actual  
252 performance data<sup>8</sup> (Caulfield et al., 2014). For example, open decks are subject to  
253 widely varying ventilation, depending on wind speed and direction. Without calibrating  
254 the model for differences between open and closed decks, it is not possible to know  
255 what wind speed at sheep level is produced by a specific ventilation rate<sup>4</sup>.

256  
257 The model assumes that a 2% (or lower) risk of 5% (or higher) mortality rate is  
258 acceptable. The acceptability of this limit depends on public opinion, with high  
259 mortality events being used by advocacy groups to draw attention to the ethics of the  
260 trade, e.g. by RSPCA Australia (Phillips, 2015). Ferguson et al.<sup>8</sup> state that the climatic  
261 parameters used for establishing the model, in particular the range of WBT experienced  
262 at its establishment in the early 2000's, may not be appropriate in the future. A regional  
263 climate change model has predicted particularly large temperature increases in the  
264 Arabian Gulf, sometimes to above a WBT of 35 °C (Pal and Eltahir, 2016). The

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<sup>8</sup> See: Livecorp meat and Livestock Australia Review of the Livestock Export Heat Stress Risk Assessment Model (HotStuff).  
[www.livecorp.com.au/LC/files/a3/a3a29b97-183c-4aad-8b6c-c90fb526dd06.pdf](http://www.livecorp.com.au/LC/files/a3/a3a29b97-183c-4aad-8b6c-c90fb526dd06.pdf) (Accessed 27 September 2016)

265 Australian government estimates that the WBT at which mature Merino sheep will die  
266 is 35.5°C<sup>1</sup>; however, the Australian live export industry suggests that hyperthermia  
267 occurs at temperatures ‘approaching or exceeding 30 °C’ WBT<sup>9</sup>.

268

269         Temperatures on board live export shipments often increase during voyages,  
270 which in the extreme can cause an exponential elevation in core body temperature and  
271 respiration rate that indicate a failure to cope and potentially result in death of the sheep  
272 (Caulfield et al., 2014). Interpretation of shipboard temperature associations with  
273 mortality would be aided by more accurate measurement of thermal conditions on  
274 ships. Temperatures may be under-reported by several degrees by ship veterinarians,  
275 who are employed by the exporting companies (Caulfield et al., 2014). Furthermore,  
276 temperatures may not be recorded at the hottest time of the day, and are often noted in  
277 the morning in preparation for a midday meeting with the captain (personal  
278 communication, Dr Lynn Simpson). Thermal data loggers have been tested on live  
279 export shipments and could provide useful information to link to mortality events<sup>4</sup>.  
280 Whatever monitoring method is used, determining the appropriate location and number  
281 of sites is crucial and requires further research.

282

283         On livestock ships, temperatures may remain relatively constant throughout the  
284 day. On land, livestock experiencing heat stress during the day alter their behaviour to  
285 be active at night when it is cool, including feeding and oestrous behaviour. This  
286 opportunity is less available to sheep on ships because of the limited reduction in

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<sup>9</sup> See: Livecorp, Meat and Livestock Australia, Veterinary Handbook for Cattle, Sheep and Goats. [www.veterinaryhandbook.com.au/Diseases.aspx?id=46&diseasenameid=116&speciesid=-1&syndromeid=](http://www.veterinaryhandbook.com.au/Diseases.aspx?id=46&diseasenameid=116&speciesid=-1&syndromeid=) (Accessed 29 September 2016)

287 temperature at night, especially on closed decks. Sheep on open decks reliant on natural  
288 ventilation are at high risk when there is no crosswind, which is most likely to occur in  
289 port. Ships may adopt a zigzag pathway to increase the crosswind<sup>4</sup>, but this is not  
290 possible in narrow waters. These factors exacerbate the heat stress risk above the level  
291 expected on land. Allowing cattle access to cool temperatures for just 3-6 h at night  
292 allows them the opportunity to lose the previous day's accumulated heat load  
293 (Silanikove, 2000; Sparke et al., 2001). Although sheep have a higher surface area to  
294 weight ratio and, therefore, greater potential to lose heat, compared to cattle (Brody,  
295 1944), their coat has significant insulating properties (Beatty et al., 2008). Australian  
296 standards (ASEL, 2011) place restrictions on the export of sheep with wool more than  
297 25 mm long; however, hair sheep, such as those of the Awassi breed, are not subject to  
298 such restrictions.

299

300 On voyages from Australia to the Middle East, WBT may exceed 30 °C  
301 (Caulfield et al., 2014). Recommended maximum DBT for transporting sheep has been  
302 estimated as 25 °C, based on mathematical modelling of the species' requirements for  
303 space to move, and ventilation and heat losses (Randall, 1993). Some areas of the ship  
304 are prone to high temperatures such as those near engine and boiler rooms, heated fuel  
305 tanks or under the top deck. While Australian government regulations require that,  
306 where ambient temperature exceeds 22 °C, any temperature increase in adjacent  
307 livestock space is less than 3 °C<sup>10</sup>, compliance with this is not known. Temperature

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<sup>10</sup> See: Australian Government Australian Maritime Safety Authority Marine Order 43 (Cargo and cargo handling — livestock) 2006 in effect under the Navigation Act 2012

[www.amsa.gov.au/vessels/standards-regulations/marine-orders/documents/MO43-modcomp-130729Z.pdf](http://www.amsa.gov.au/vessels/standards-regulations/marine-orders/documents/MO43-modcomp-130729Z.pdf) (Accessed 27 September 2016)

308 mitigation is only likely to be available for sheep in the outer pens on open decks, due to  
309 some limited exposure to the colder night air. The greatest risks for open deck sheep  
310 that have no mechanical ventilation occur when the ship is stationary or when there are  
311 no cross winds.

312

313 Heat stress risk is increased by the inability of sheep to avoid hot areas in the  
314 ship, because the official space allowance is low (0.26 m<sup>2</sup> for a 28 kg sheep to 0.32 m<sup>2</sup>  
315 for a 51 kg sheep<sup>11</sup>). These space allowances are less than the Australian minimum  
316 requirements for sheep in feedlots or sheds (at least 0.5 m<sup>2</sup>/head for a wether<sup>12 13 14</sup>), but  
317 more than those for land transport (0.19 m<sup>2</sup> for a 28 kg sheep to 0.25 m<sup>2</sup> for a 50 kg  
318 sheep<sup>15</sup>). Land transport is shorter in duration than long haul sea voyages, hence tighter  
319 stocking is accepted. However, the only research to investigate stocking density on live  
320 export voyages failed to include any voyages to the Middle East in their summer  
321 (Phillips and Petherick, 2014). As a result, the relationship between heat stress and  
322 stocking density has never been investigated appropriately in long haul sea transport.

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<sup>11</sup> See Australian Standards for the Export of Livestock (Version 2.3) 2011 and Australian Position Statement on the Export of Livestock p.90. [www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/standards/version2-3/australian-standards-v2.3.pdf](http://www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/standards/version2-3/australian-standards-v2.3.pdf) (Accessed 27 September 2016)

<sup>12</sup> See: Primary Industries Standing Committee Model Code of Practice for the Welfare of Animals, The Sheep 2<sup>nd</sup> Ed. PISC Report 89. <https://ablis.business.gov.au/ACT/resource/COP290.pdf> (accessed 29 September 2016).

<sup>13</sup> See: Australian Standards for the Export of Livestock (Version 2.3) 2011 and Australian Position Statement on the Export of Livestock p.63.

[www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/standards/version2-3/australian-standards-v2.3.pdf](http://www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/standards/version2-3/australian-standards-v2.3.pdf) (Accessed 27 September 2016).

<sup>14</sup> See: Australian Animal Welfare Standards and Guidelines. [www.animalwelfarestandards.net.au](http://www.animalwelfarestandards.net.au) (Accessed 27 September 2016).

<sup>15</sup> See: Australian Animal Welfare Standards and Guidelines Edition One Version 1.1 21 September 2012.

[www.animalwelfarestandards.net.au/files/2015/12/Land-transport-of-livestock-Standards-and-Guidelines-Version-1.-1-21-September-2012.pdf](http://www.animalwelfarestandards.net.au/files/2015/12/Land-transport-of-livestock-Standards-and-Guidelines-Version-1.-1-21-September-2012.pdf). (Accessed 27 September 2016).



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324           Since the industry has not appropriately investigated, documented and  
325 researched heat stress on live export voyages, the only logical conclusion is that  
326 voyages to Middle East summer are an extreme animal welfare risk. An independent  
327 report to the Australian government on live export from Australia to the Middle East<sup>16</sup>  
328 recommended that: “There must be a continuation of the current industry investment in  
329 rigorous research and development programs on the suitability of different types of  
330 livestock for export: - in the meantime exports should be banned in circumstances  
331 where the available evidence indicates that the risks of adverse outcomes are  
332 predictably high”. This supports the case for prohibiting the voyages to the Middle East  
333 summer.

334

335 **Mortality and morbidity resulting from heat stress effects on sheep transported**  
336 **by ship**

337 *Mortality*

338           The suffering caused by exposure to heat stress is significant. Many sheep  
339 experience heat stress without dying, but as mortality is the only welfare measure that is  
340 publicly available regarding shipboard ‘performance’, it is relevant to investigate  
341 seasonal variation and compare on-board mortality rates with those on land. Mortality  
342 rates for sheep are increased on board ship compared with the mortality rate on land  
343 (mean equivalent daily mortalities for sheep are 0.10 % on ship versus 0.007 % on land,  
344 i.e. 14 x higher for ship) (Phillips, 2015). Mortality usually increases as voyages from

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<sup>16</sup> See: Livestock Export Review Final Report A Report to the Minister for Agriculture, Fisheries and Forestry.  
[www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/trade/export-transport-review/keniry\\_review\\_jan\\_04.pdf](http://www.agriculture.gov.au/SiteCollectionDocuments/animal-plant/animal-welfare/trade/export-transport-review/keniry_review_jan_04.pdf) (Accessed 27 September 2016).

345 the southern hemisphere to the Middle East progress (Black et al., 1994). At high  
346 DBTs of 40-42 °C, each degree increase in temperature can be extremely detrimental to  
347 sheep survival (Sejian et al., 2013).

348

349 The mean monthly mortality from national livestock export industry shipboard  
350 performance report data (377 voyages over 9 years) is summarised in Fig. 2. The figure  
351 demonstrates a pronounced increase in mortality of all classes of sheep exported during  
352 the southern hemisphere winter/spring. More specifically, mean mortality monthly  
353 rates depicted from November 2005 to the present show a distinct increase between  
354 June and October, with the highest rate in August. Increased mortality in the second  
355 half of the year has been recognised in the annual national livestock export industry  
356 shipboard performance reports, most recently<sup>17</sup>. Early reports contained a Research  
357 Summary that gave the main causes of sheep mortalities as a persistent inappetence,  
358 salmonellosis inanition (PSI) condition, accountable for about 75 % of all sheep  
359 deaths<sup>18</sup>. Heat stress was acknowledged to be an occasional risk factor. No attempt was  
360 made in veterinary reports on 'salmonellosis' in export sheep to differentiate observed  
361 gross and histopathological lesions with those caused by hyperthermia (Curran, 2013).  
362 This may be related to the lack of studies of pathology of hyperthermia in sheep.

363

364 The PSI condition is commonly accompanied by adrenal gland hypertrophy  
365 indicating the association of stress (Higgs et al., 1993). Any analysis of the effects of

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<sup>17</sup> See: Livecorp Meat and Livestock Australia Final Report Project code: W.LIV.0288.  
[www.livecorp.com.au/LC/files/e7/e776991c-918e-401d-bb0f-5c0de31f1463.pdf](http://www.livecorp.com.au/LC/files/e7/e776991c-918e-401d-bb0f-5c0de31f1463.pdf) (Accessed 27  
September 2016).

<sup>18</sup> See: Livecorp National livestock export industry shipboard performance report 2008.

[www.livecorp.com.au/research-development/reports/national-livestock-export-industry-shipboard-p-%286%29](http://www.livecorp.com.au/research-development/reports/national-livestock-export-industry-shipboard-p-%286%29) (Accessed 27 September 2016).

366 heat stress on sheep in live export must consider the interrelationship between PSI and  
367 heat stress. PSI is reported to account for approximately 75 % of mortality in the live  
368 export of sheep from Australia to the Middle East<sup>19</sup>. Higgs et al. (1993) found that the  
369 excretion of *Salmonella* spp. increased over the duration of voyages from Australia to  
370 the Middle East, which they attributed to the developing inanition. However,  
371 extrapolating from research in humans and other animals, heat stress may exacerbate  
372 PSI. During heat stress increased cutaneous blood flow is accompanied by reduced  
373 splanchnic blood flow, and the resultant oxidative and nitrosative stress in an ischemic  
374 environment enables bacteria to cross the tight junction barrier from the gut lumen into  
375 the systemic circulation (Leon and Helwig, 2010). The increased gut epithelial  
376 permeability also increases the likelihood of endotoxin leakage. It has been  
377 hypothesized that this can contribute to multi-organ system dysfunction, since  
378 endotoxaemia ensues if the endotoxins cannot be neutralised by the liver, macrophage  
379 activity, antibodies or high-density lipoproteins (Lim et al., 2007). The resultant  
380 cytokine release and inflammatory response can lead to systemic coagulation and  
381 haemorrhage, necrosis, apoptosis and multi-organ failure (Lim and McKinnon, 2006).  
382 Current models of heat stroke based on clinical observations of humans and other  
383 animals propose that, following migration of endotoxins into the circulatory system, the  
384 activation of the immune cells and cytokines promote inflammation and drive the  
385 clinical symptoms of heat stroke more than the hyperthermia (Lim and McKinnon,  
386 2006; Lim et al., 2007). Furthermore, evidence from animal models suggests that  
387 controlling the endotoxaemia protects against heat stress, and conversely a pre-existing

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<sup>19</sup> See: Meat and Livestock Australia Final Report Project Code: LIVE123  
[www.livecorp.com.au/LC/files/4f/4f560cff-2c64-4da1-b64d-7bab541e866a.pdf](http://www.livecorp.com.au/LC/files/4f/4f560cff-2c64-4da1-b64d-7bab541e866a.pdf) (Accessed 27  
September 2016).

388 inflammation compromises heat tolerance (Lim et al., 2007). Thus, in monogastric  
389 animals at least, heat stress is associated with reduced immunocompetence. In  
390 ruminants, the survival of *Salmonella* spp. in the rumen is enhanced by low feed intake  
391 (Brownlie and Grau, 1967). Therefore, as most sheep are exposed to *Salmonella* spp. in  
392 the feedlot, during the voyage or both, it is possible that the combination of heat stress  
393 and the PSI may enhance mortality. Curran (2013) has contended this proposed  
394 synergism to suggest that heat stress on live sheep exports has been misdiagnosed as  
395 PSI, citing the similarity of clinical effects in the intestine observed post mortem.

396

#### 397 *Morbidity*

398         Given the marked physiologic and behavioural responses to heat, it is evident  
399 that heat stress results in considerable morbidity in sheep on ships. On land, heat stress  
400 in sheep has been reported when THI exceeds 22 (Marai et al., 2007), but in cattle, at  
401 least, homeostasis prevents elevation of rectal temperature until THI exceeds  
402 approximately 78 (Silanikove, 2000). The latter study identified four levels of heat  
403 stress in livestock: innocuous, aversive, noxious, and extreme. From the previous  
404 descriptions of the temperatures and behavioural responses, in particular elevated core  
405 body temperatures and respiration rates, it is clear that sheep on live export ships  
406 experience the two most severe levels.

407

408         Increased panting and sweating both use water vapour as a conduit for surplus  
409 heat, and the consequent loss of body water increases water requirements. In cattle it  
410 has been observed that voluntary drinking water intake may be doubled during heat  
411 stress (Beatty et al., 2006, 2007). Sheep congregate around the water troughs to meet

412 their increased water needs during heat stress, and it may become more difficult for  
413 other sheep to reach the troughs. If sheep are unable to drink to requirements,  
414 dehydration ensues, which allows body temperature to increase (Silanikove, 2000),  
415 causing the profoundly negative emotion of thirst (Ogino et al., 2014), and increasing  
416 risk of heat stroke (Leon and Helwig, 2010). Increased water intake leads to increased  
417 urination, which can result in deficiencies in sodium and potassium (Beatty et al.,  
418 2006). Water temperature may exceed 40 °C when live export sheep arrive in the  
419 Middle East, leading to reduced ability to control body temperature by drinking  
420 (Savage et al., 2008; McKinley et al., 2009).

421

422 Sheep remain on solid floors on ships. The accumulating excreta is desiccated  
423 into a dry, friable powder by the high ship ventilation rate. Over a journey of typical  
424 duration, the depth of the powder builds up to approximately 10 cm (Pines and Phillips,  
425 2011). However, with the increased ratio of urine to faeces during heat stress,  
426 exacerbated by the associated reduced feed intake, there is a risk that the excreta will  
427 turn into slurry. This in turn can increase humidity in the ship's environment, which  
428 increases WBT and exacerbates heat stress. High humidity in inhaled air reduces an  
429 animal's capacity to expel moisture and, hence, body heat, during expiration (Liu and  
430 Li, 2009). The risk of these occurrences will be exacerbated during periods of limited  
431 air flow on open decks, which rely in part on natural ventilation as opposed to the  
432 lower, enclosed decks, which rely solely on forced air ventilation.

433

434 Conditions on board ship, especially high humidity and high stocking density,  
435 limit the opportunity for sheep to mitigate the effects of heat stress. At high

436 temperatures on one voyage from New Zealand to Saudi Arabia, sheep attempted to  
437 move to the well ventilated parts of each pen, especially around ventilators, or to the  
438 feed trough (Black et al., 1994). In so doing, it was observed that smothering occurred  
439 as they struggled over sheep that were lying down (Black et al., 1994). Movement in  
440 areas with a slurry of excreta was noted to be particularly difficult (Black et al., 1994).  
441 It was also observed on this voyage that sheep plunged into other sheep to get access to  
442 better ventilated areas. The consequence was commonly death by suffocation, which  
443 represented the largest cause of mortality on that one voyage in which heat stress  
444 occurred (Black et al., 1994).

445

446         The heat stress experiences of individual sheep will vary according to their  
447 position on the ship. If they are near the heat sources in the ship identified earlier they  
448 will have an increased ambient temperature. If they are near ventilation outlets they will  
449 experience greater cooling effects. At a local level, sheep ventilation will be impaired  
450 by the high stocking density adopted on ships. Sheep that can elevate their heads above  
451 other sheep are at a significant advantage (Black et al., 1994) as they are able to  
452 facilitate heat loss through their ears (Marai et al., 2007). Likewise, those that can reach  
453 water troughs are advantaged (Taylor, 1983). As there is limited sheep movement  
454 around pens (Pines and Phillips, 2013), many individual animals have little or no  
455 opportunity to mitigate the risk of heat stress, other than attempting to spread their legs  
456 as discussed previously.

457

458         Heat stress is promoted by the same conditions that increase volatilisation of  
459 ammonia, a noxious gas that causes considerable suffering under live export

460 conditions, including reduced feed intake (Phillips et al., 2010, 2012). These conditions  
461 include high temperatures and high stocking density per volume of air space, such as in  
462 double-tiered decks. The possibility of synergistic effects between heat stress and  
463 ammonia has not been investigated and is worthy of study.

464

465           The impact of the heat load on sheep welfare on-board is therefore  
466 significant. As well as documented heat stress responses, it is clear that many voyages  
467 entail factors that both exacerbate the condition and increase the risk of it occurring. In  
468 addition, it may also generate behaviours that threaten the welfare of other sheep, such  
469 as plunging into and smothering other sheep, again potentially resulting in mortality.  
470 Australian space allowances appear small, in comparison with other long duration  
471 accommodation for sheep.

472

### 473 **Conclusions**

474           Evidence is provided that conditions on live export shipments regularly expose  
475 sheep to heat stress, which appears to be most severe for sheep transported from  
476 Australian winters to summer in the Middle East. There is limited information on how  
477 the industry addresses these concerns, and what parameters should be or are measured  
478 to determine the extent of heat stress. Industry has developed a heat stress risk model,  
479 but this requires further research before its effectiveness is known. There is an urgent  
480 need to develop both a THI and a panting scale for sheep that are properly validated  
481 with physiological measures. The similarity of clinical signs of heat stress and PSI  
482 suggest that detailed post mortems are required, at least on high mortality voyages. The  
483 contributing factors to heat stress in the export of livestock from Australia in winter to

484 the Middle East in summer are broadly known: high temperature and humidity; reduced  
485 variation in circadian temperature; high stocking densities which increase heat  
486 production and limit opportunities for sheep to mitigate heat load effects; the presence  
487 of excreta and variable ventilation rates. However, relationships with heat stress are not  
488 adequately quantified, and investigations into the actual impact of each of these factors  
489 on heat stress on ships has been poorly investigated. Since voyages to Middle East  
490 summer are an extreme animal welfare risk and an independent report to the Australian  
491 government concluded that exports should be banned if the evidence is the risks of  
492 adverse outcomes are predictably high, there is a case for prohibiting these voyages.

493

#### 494 **Conflict of interest statement**

495 The author of this paper has no financial or personal relationships with people or  
496 organisations that have inappropriately influenced or biased the content of the paper.

497

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501

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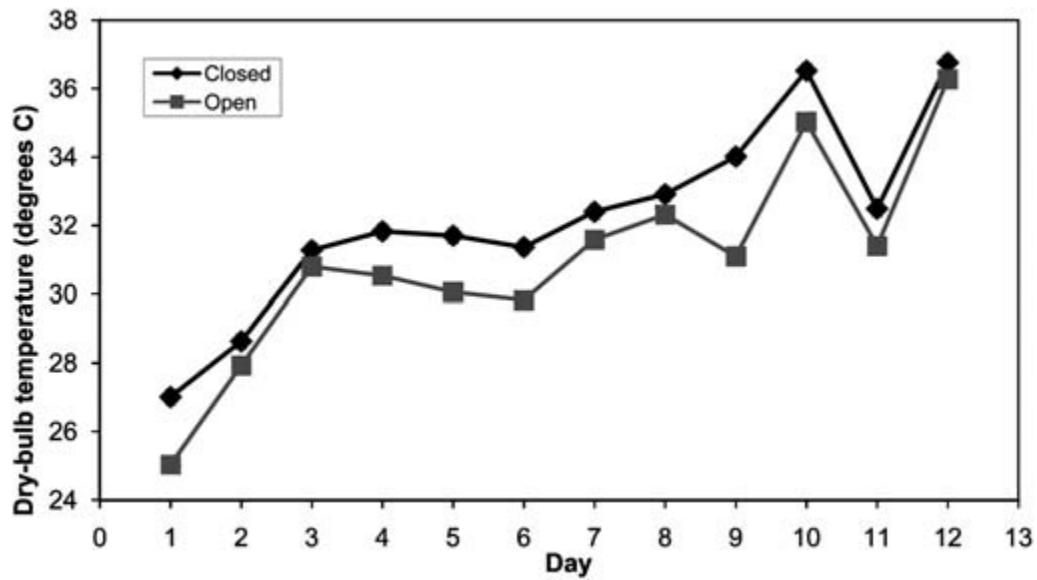
Accepted Manuscript

710 **Figure legends**

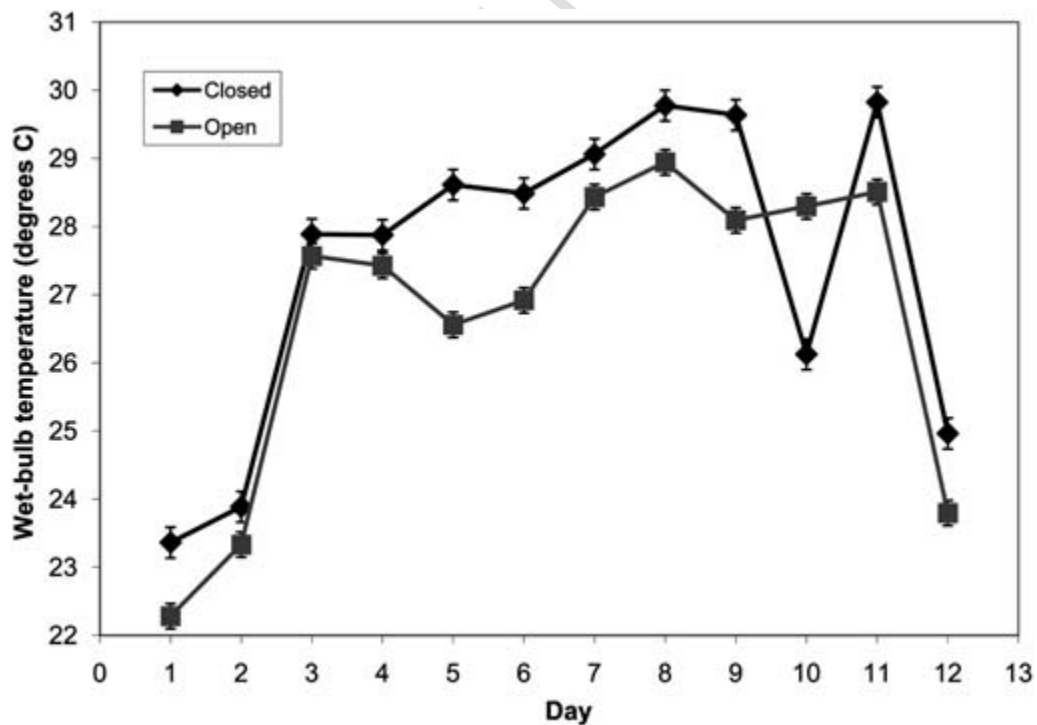
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712 Fig. 1. Dry and wet bulb temperatures on the closed and open decks of a typical voyage

713 from Fremantle to Muscat (12 days) in July 2005 (Pines and Phillips, 2013)

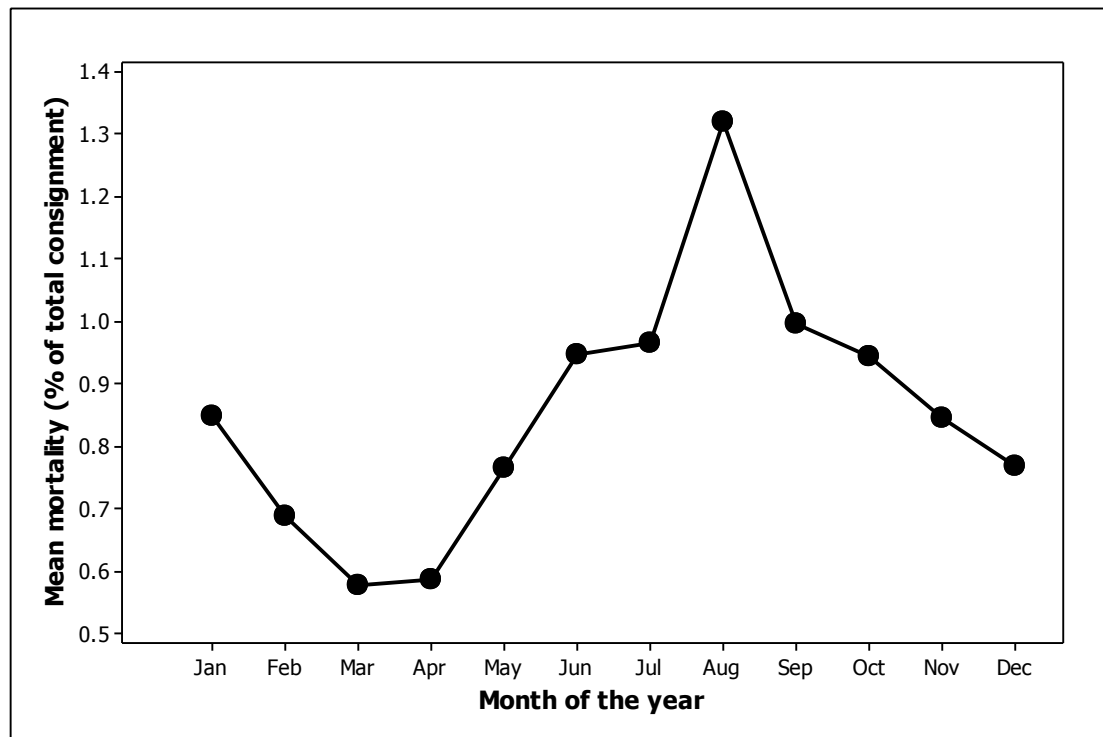


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715  
716

717 Fig. 2. Mean sheep mortality rate over the 12 months of the year for shipments exported  
718 from Australia between November 2005 to November 2014 (data derived from  
719 Australian Government, 2015<sup>20</sup>)



720

721

722

<sup>20</sup> See: All sheep (any class), exported from all Australian ports to a variety of destinations, but mostly in the Middle East. Australian Government Department of Agriculture and Water Resources, Live Animal Export Statistics Reports to Parliament. 2015. Sheep Export Voyages. <http://www.agriculture.gov.au/export/controlled-goods/live-animals/live-animal-export-statistics/reports-to-parliament> (Accessed 27 September 2016).