

1 **TITLE:** Oil palm–community conflict mapping in Indonesia: A case for better community liaison
2 in planning for development initiatives.

3
4 **RUNNING TITLE:** Oil Palm–community conflict

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46

47 **ABSTRACT**

48 Conflict between large-scale oil-palm producers and local communities is widespread in palm-oil
49 producer nations. With a potential doubling of oil-palm cultivation in Indonesia in the next ten
50 years it is likely that conflicts between the palm-oil industry and communities will increase. We
51 develop and apply a novel method for understanding spatial patterns of oil-palm related conflicts.
52 We use a unique set of conflict data derived through systematic searches of online data sources
53 and local newspaper reports describing recent oil-palm land-use related conflicts for Indonesian
54 Borneo, and combine these data with 43 spatial environmental and social variables using boosted
55 regression tree modelling. Reports identified 187 villages had reported conflict with oil-palm
56 companies. Spatial patterns varied with different types of conflict. Forest-dependent communities
57 were more likely to strongly oppose oil-palm establishment because of their negative perception
58 of oil-palm development on the environment and their own livelihoods. Conflicts regarding land
59 boundary disputes, illegal operations by companies, perceived lack of consultation, compensation
60 and broken promises by companies were more associated with communities that have lower
61 reliance on forests for livelihoods, or are located in regions that have undergone or are undergoing
62 forest transformation to oil-palm or industrial-tree-plantations. A better understanding of the
63 characteristics of communities and areas where different types of conflicts have occurred is a
64 fundamental step in generating hypotheses about why certain types of conflict occur in certain
65 locations. Insights from such research can help inform land use policy, planning and management
66 to achieve more sustainable and equitable development. Our results can also assist certification
67 bodies (e.g. the Roundtable for Sustainable Palm Oil-RSPO, and the Indonesian and Malaysian
68 versions, ISPO and MSPO), non-government-organisations, government agencies and other

69 stakeholders to more effectively target mediation efforts to reduce the potential for conflict arising
70 in the future.

71

72 **KEYWORDS:**

73 Boosted regression tree modelling; Borneo; Mapping land use conflict; Oil palm expansion;

74 RSPO; Sustainable development.

75 **1. INTRODUCTION**

76 Many regions of the world are undergoing rapid land use and land cover change to industrial-scale
77 agriculture, especially in the tropics (Foley et al. 2005; Lambin & Meyfroidt 2011). From 2006 to
78 2009, 15 to 20 million hectares of land in developing countries were subject to negotiations and
79 transactions for agriculture (von Braun & Meinzen-Dick 2009). In many of these areas, land has
80 been historically controlled through indigenous governance systems (Larson & Bromley 1990),
81 rather than through legislated systems of land and resource use rights (Redford & Sanderson 2000).
82 However, power realignments over land and its resources is often driven by economic
83 development, and challenge existing traditional systems and local peoples' values. In many
84 countries this is leading to increased social tensions and conflict over land and land-use (Barron et
85 al. 2004). Although such conflicts are found world-wide, we use Indonesia as an exemplary case
86 study for highlighting the extent and type of land-use conflict related to agri-business
87 developments, and demonstrate one method for understanding these at a landscape level.

88

89 In Indonesia, forest land-use related conflicts affected 12.3 to 19.6 million people (i.e. 5–9% of
90 the country's population) from 1990 to 2000 (USAID 2006). More recently, in 2010 alone over
91 663 documented ongoing conflicts were identified, mostly located on the island of Sumatra and
92 Kalimantan in Indonesian Borneo (Komnas & Sawit Watch 2010). Such conflicts have been
93 largely due to tenuous indigenous or customary land rights for local communities in development
94 agendas as forested lands have belonged to the State i.e. "under the Forest Estate" (Bartley 2010).
95 This is of particular importance in relation to the countries expanding 'forest-frontier' oil-palm
96 sector. Indonesia is globally the lead palm-oil producer country, with oil-palm plantations covering
97 8.4 million hectares in 2010 (Indonesian Ministry of Agriculture 2011). By 2009, 9.7 million

98 hectares had already been licensed for oil-palm estates. However, 18 million hectares have been
99 identified as suitable for this crop and targeted for future development (Jakarta Post 2009). With
100 such current extent and future oil-palm expansion, instances of land-use conflicts are inevitable.
101 For example, of the 232 agrarian conflicts documented in Indonesia in 2012, over half (119) were
102 associated with the oil-palm industry (FWI, 2014).

103
104 Major environmental impacts (Abram et al. 2014b; Fitzherbert et al. 2008; Meijaard & Sheil 2013)
105 and social disruptions by oil-palm plantations have been widely documented in Indonesia
106 (Dhialulhaq et al. 2014; Obidzinski et al. 2012). However, simply knowing where land-use conflicts
107 are located is insufficient. It is also important to understand the types of conflicts that have
108 occurred, and if possible the characteristics of the impacted communities and the root causes of
109 these conflicts. Many conflicts are at local scales (i.e. one to several villages) (Meijaard et al.
110 2013); and at this scale, it is possible to identify such nuances in oil palm-community conflicts.
111 Such conflicts can result from: a lack of appropriate consultation with local communities, land
112 tenure issues where large land leases overlap with community areas, illegal operations,
113 displacement of people from agricultural land (Patel et al. 2013; Yasmi et al. 2010); and inadequate
114 provisioning by companies to communities for resettlement or compensation (Colchester 2010).
115 Although most conflict occurs between large plantation companies and local communities,
116 sometimes conflict arises between smallholders and other community members, especially
117 regarding legitimacy and security of their land holdings (Vermeulen & Goad 2006). Mapping such
118 nuances is challenging, yet necessary for improving land-use plans and sustainability in the oil-
119 palm industry.

120

121 Approaches for creating sustainability within the oil-palm industry include the international
122 certification body of The Roundtable for Sustainable Palm Oil (RSPO). The RSPO is a sustainable
123 certification scheme aimed at mitigating negative impacts from oil-palm production on society and
124 the environment, by improving agricultural production standards through specific principals and
125 criteria (Traeholt & Schriver 2011). The RSPO's Principals and Criteria, amongst other things,
126 incorporates community aspects such as rights to land, values of forested systems for livelihoods
127 and culture, and receptiveness to development, through requiring High Conservation Value (HCV)
128 assessments and obtaining Free Prior and Informed Consent (FPIC) from local communities
129 (RSPO 2013). To-date such assessments and engagement have been at a plantation level. However,
130 in 2015 district level commitments to a jurisdictional RSPO certification was given by government
131 in Central Kalimantan (Indonesian Borneo), South Sumatra (Indonesia); and the State of Sabah
132 (Malaysian Borneo). Mapping oil palm-community conflicts across landscapes is challenging, and
133 one that a jurisdictional RSPO commitment will now need to address. Outside of the RSPO,
134 understanding how to map land-use conflicts is important for informed land use planning and for
135 targeting mediation and reconciliation efforts.

136

137 No single framework exists for studying conflict, but it is helpful to focus on actors of conflict,
138 underlying causes of conflict, conflict management and conflict resolution (Dhialulhaq et al. 2014;
139 Yasmi et al. 2010; Yasmi et al. 2012). In this study we aim to contribute to the growing literature
140 on conflict by applying a novel method for exploring spatial patterns of land-use conflict between
141 local communities and a land-intensive industry. To do this we use conflict data derived through
142 systematic searches of a georeferenced national database (of natural resource conflicts), and local
143 newspapers. For one industry sector, oil-palm agriculture, we extract details on the type of conflicts

144 that have occurred. Using boosted regression tree modelling we relate the types of conflict to a
145 comprehensive spatial dataset of environmental and social variables that describes the
146 characteristics of the locations where the conflicts have occurred and the local communities
147 involved. This study is intended to better inform scientists, policy makers, oil-palm producers, and
148 certification bodies to assist with reforming land use policy and implementing effective
149 management to reduce the potential for different types of conflict and to better target mediation
150 procedures in the future (e.g. Dhiaulhaq et al. 2015; Dhiaulhaq et al. 2014).

151

152

153 **METHODS**

154 **2.1 Conflict data**

155 We collected land use conflicts reports from the Geodata Nasional (GDN) database ($n=122$)
156 (<http://www.geodata-cso.org/>) and from articles in local newspapers ($n=143$). The GDN database
157 compiles information on natural resource conflicts in Indonesia collected by the following
158 organizations: *Jaringan Kerja Pemetaan Partisipatif (JKPP)*, *Perkumpulan Untuk Pembaharuan*
159 *Hukum Berbasis Masyarakat dan Ekologi (HuMA)*, *Perkumpulan Sawit Watch*, *Konsorsium*
160 *Pembaruan Agraria (KPA)*, *Konsorsium Pendukung Sistem Hutan Kerakyatan (KpSHK)*, and
161 *Jaringan Advokasi Tambang (JATAM)*. We obtained the newspaper articles through online
162 archive searches of the Rakyat Kalbar, Harian Equator, Radar Banjarmasin, Balikpapan Post,
163 Kaltim Post, Samarinda post, Banjarmasin Post, Tribun Kalteng, Radar Tarakan, Tribun KalTim,
164 and Kalimantan news.com; using keywords related to land use conflicts (e.g., *konflik lahan*,
165 *sengketa lahan*).

166

167 Overall, 265 conflict reports were collected. Information extracted from these reports included:
168 village names, sub-district, district and province information, general conflict type (i.e.,
169 agriculture, forestry, mining), status of conflict (i.e., if underway or finished/resolved), start and
170 end dates of conflict and whether the conflict was still underway at time of the report, conflict
171 descriptions, impacted local communities, major ethnic groups and livelihoods, industry or
172 government bodies involved, and whether companies involved were national or international and
173 company names, along with how companies had handled the situation.

174
175 For each conflict incident we extracted location information based on named village(s). Locations
176 were georeferenced by searching for the village name (and any subdistrict or other administrative
177 information) in gazetteers and online place name databases (Google Earth, Geographic Names
178 http://www.geographic.org/geographic_names/ and Wikimapia), and assigning the geographic co-
179 ordinates if a confident match could be made. See Appendix S1 in the Supporting Information for
180 full methodological details. To determine the accuracy of the georeferenced villages we cross-
181 referenced this dataset with three others, as outlined in Appendix S1.

182

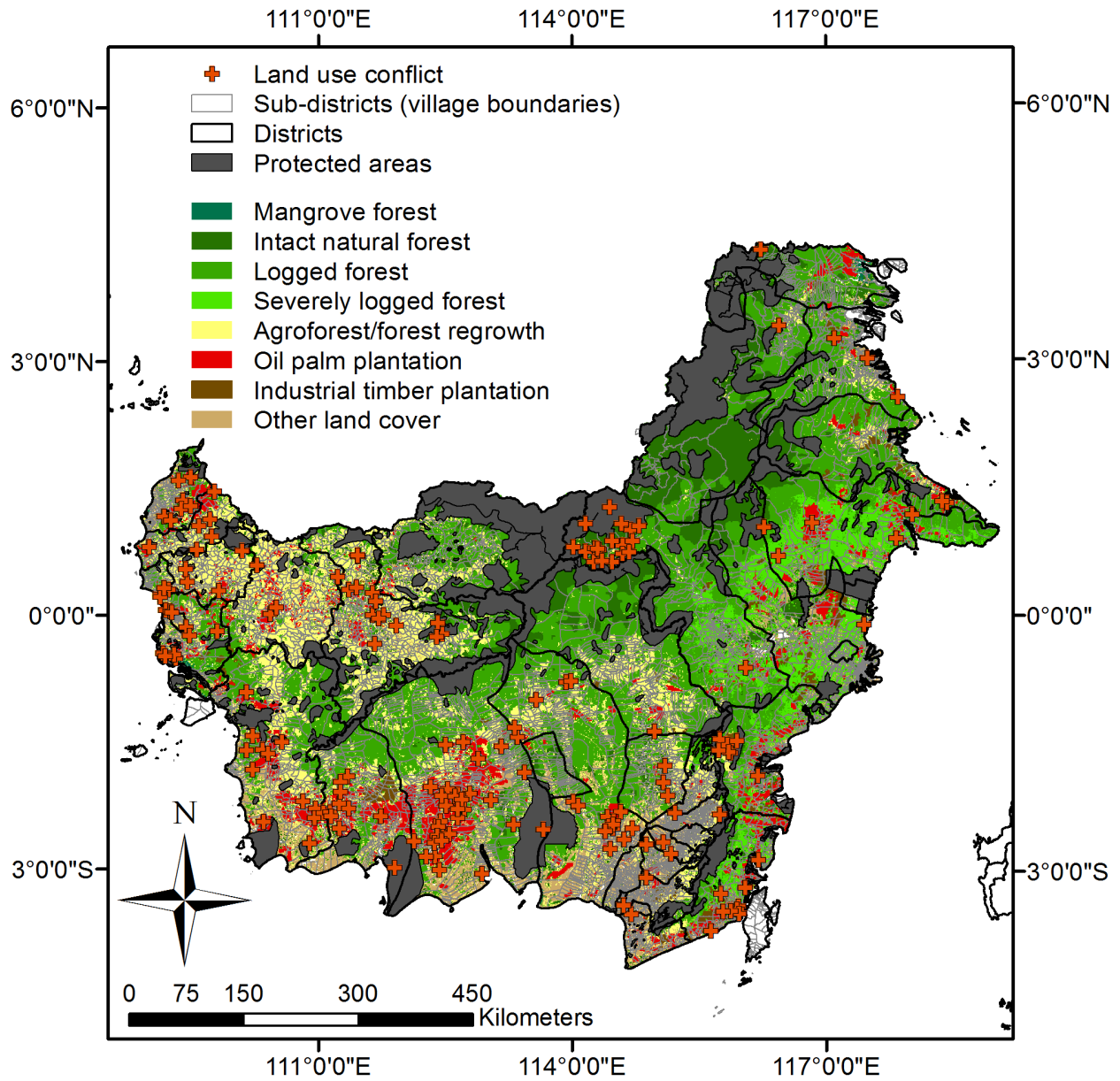
183 **2.1.1 Village level oil-palm conflict data**

184 To enable spatially explicit statistical analyses of our conflict data we refined the data set by: (1)
185 removing entries for villages on three small islands, because many of the spatial variables for
186 statistical analysis did not cover these islands; (2) identifying villages with multiple conflict
187 incidences, and merging entries to eliminate duplicate data (while retaining any information on
188 distinct conflicts affecting the same village); and, (3) by selecting those reports that were marked
189 as ongoing as per time of publication. The resulting dataset comprised 238 villages with conflict

190 under differing industry sectors (forestry, mining, agriculture and fishing). We then separated the
191 dataset into the corresponding industry sectors and extracted the data relating to oil palm in the
192 agricultural sector. This resulted in 187 spatially referenced conflicts relating to industrial scale oil
193 palm (Fig. 1).

194

195



196

197

198 Fig. 1 Location of villages (n=187) with land use (oil palm) conflict occurrence in Kalimantan,

199 Indonesian Borneo, with sub-district (grey lines) and district (black lines) information, with

200 protected areas (grey) and 2010 land cover types (from Gaveau et al. 2014).

201

202

203 We grouped the 187 reports into five non-exclusive categories of conflict type: (a) All conflict
204 occurrences (187 villages); (b) Conflict where communities oppose a company (89 villages), e.g.
205 communities oppose the company, and question the integrity of the company; and, (c) Conflict
206 over land boundaries and illegal operations by companies (102 villages) e.g. claims by one local
207 community that the oil-palm company took 50 ha of their land, reports of companies holding
208 meetings with communities and making promises when they did not have an operating license;
209 (d) Conflict over negative impacts on the environment and people's livelihoods, arising from oil-
210 palm developments (62 villages), e.g. reports of company destroying sacred sites, companies
211 polluting rivers and lakes so much that the water cannot be used by the local people; (e) Conflict
212 over a lack of consultation by oil palm companies/government bodies with local communities,
213 and/or broken promises and lack of compensation from concession owners (70 villages), e.g.
214 claims of falsification of companies in regards to impact assessments, companies forging
215 signatures of local community members in documentations, and companies planting on
216 community lands with no compensation pay out. Villages were allowed to have more than one
217 type of conflict.

218

219 These five conflict types formed the response variables for the statistical modelling. The variables
220 were modelled as binary outcomes, with a 'presence' of conflict at a location defined as at least
221 one occurrence of the specific conflict type, and pseudo-absences defined as follows.

222

223 **2.1.2 Pseudo absence oil palm conflict data**

224 We generated 374 pseudo-absence points, giving a sample size equal to twice the number of
225 observed conflict presences. The population frame comprised the centre-points of 1-km grid cells

226 covering Kalimantan (612,230 points) and the sampling frame was confined to those points that
227 spanned similar ranges of population density, impervious surface cover, and elevation as the
228 observed presence points, and fell within the 46 districts for which our data sources have coverage
229 (46 of Kalimantan's 54 districts). This gave a sampling pool of 460,016 points (75% of the
230 population frame), from which the 374 locations representing 'absence of conflict' were randomly
231 sampled.

232

233 It is acknowledged that the absence dataset may have included true, but unreported occurrences of
234 conflict, but we were not able to establish this in this study. Moreover, we reasoned that any
235 misclassified conflicts would have been too small to be reportable in any of the three databases
236 from which the conflict information was extracted.

237

238 **2.2 Environmental and socio-economic spatial variables characteristics of conflict**

239

240 We used a spatial data framework of 43 variables describing the environmental and social
241 characteristics of the landscape (Abram et al. 2014a; Davis et al. 2013; Meijaard et al. 2013). These
242 variables fell into the broad categories of: (1) Land-use and land cover types; (2) Topographical
243 variables; (3) Accessibility; (4) Socio-economic variables; and (5) Community perceptions of
244 ecosystem services and land cover change (see Table 1 for brief descriptions and codes with further
245 details in Appendix S2). The variables were extracted at 1 km² grid cell resolution for the whole
246 of the target region ($n = 85,759$ pixels).

247

248

249 Table 1 Summary table of the 43 spatial variables used within the Boosted Regression Tree
 250 models.

Category	Spatial explanatory variable layers	Abbreviations
Land use and land cover	Statistic of neighbourhood values of Intact natural forest	intact_s
	Distance to Intact natural forest	intact_m
	Statistic of neighbourhood values of Mangrove	mangrove_s
	Distance to Mangrove	mangrove_m
	Statistic of neighbourhood values of Logged forest	logged_s
	Distance to Logged forest	logged_m
	Statistic of neighbourhood values of Severely degraded logged forest	svlogged_s
	Distance to Severely degraded logged forest	svlogged_m
	Statistic of neighbourhood values of Agro-forests/forest re-growth	agroregr_s
	Distance to Agro-forests/forest re-growth	agroregr_m
	Statistic of neighbourhood values of Industrial timber plantation	indtim_s
	Distance to Industrial timber plantation	indtim_m
	Statistic of neighbourhood values of Other land cover	otherlc_s
	Distance to Other land cover	otherlc_m
	Statistic of neighbourhood values of Oil palm plantations	oilpalm_s
	Distance to Oil palm plantations	oilpalm_m
	Statistic of neighbourhood values of Protected Area	pa_s
Distance to Protected Areas	pa_m	
Distance to oil palm concessions	op_concess_m	
Distance to Peat	peat_m	
Deforestation	Probability of deforestation	Prob_deforest
Carbon	Statistic of neighbourhood values of Carbon	carbon_s
Hydrology	River density	river_d
	Distance to Rivers	river_m
Topography	Ruggedness	ruggedness
	Elevation	elevation
Accessibility	Accessibility sum (road, river, foot)	access_sum
	Accessibility 10 (road, river, foot)	access_10
Infrastructure	Impermeable surface area (%)	impervious
	Road density	road_d
	Settlement density (2011)	pop_2011_n
Wealth	Poverty Index	poverty
Culture	District population (%) who follow Islam	Islam
	District Population (%) who follow Christianity	christian
	Ethnic groups	ethnic_gp
Communities perceptions	Perception of largescale forest clearance for oil palm agriculture	lgscalbd
	Perception of smallscale forest clearance for oil palm agriculture	smscalgd
	Uses of 7 specific forest products	uses7
	Uses of 29 other forest products	uses29
	Cultural and/or spiritual values of forests	cultural_ben
	Direct benefits from the forest to peoples lives	direct_ben
	Benefits from forest to peoples health	health_ben
Benefits from forest to peoples environments	environ_ben	

251
 252

253 **2.3 Modelling and mapping oil palm conflict**

254 We used Boosted Regression Tree (BRT) modelling to relate the environmental and socio-
255 economic spatial variables to the presence of each of the five conflict types. BRTs are a statistical
256 method for grouping observations, based on a set of explanatory variables (in this case, grouping
257 types of conflict, based on the associated environmental and socio-economic variables). The
258 grouping is achieved by a series of binary splits of the explanatory variables, where the selection
259 and ordering of variables, and the location of the binary splits, are all determined by the statistical
260 model and based on the data. The BRT creates many possible series of splits based on random
261 subsets of the data, and then combines them via a boosting algorithm, whereby the trees are
262 developed sequentially to improve the model's accuracy, stability and predictive performance.
263 This approach provides a relative weight for each variable based on its contribution to the full
264 ensemble of models. BRTs can thus be used to determine the most important variables for grouping
265 types of conflict, as well as the important levels of these variables.

266

267 BRTs were selected because they impose few assumptions on the distribution of the data or the
268 relationships between the variables. Moreover, they accommodate complex nonlinearities and
269 interactions between the explanatory variables and with the response (Elith et al. 2006; Elith et al.
270 2008). Each model was fitted using the relevant conflict occurrence data (presences) and the
271 pseudo-absence data (absences) as binary responses, and the values of the 42 spatial variables at
272 each of the response locations. Cross-validation is also employed to optimise bias-variance trade-
273 offs (Friedman & Meulman 2003).

274

275 The BRT models were fitted in R version 2.15.0 (R Core Team 2013) using the functions ‘gbm’
276 and ‘gbm.step’ in the ‘dismo’ package (Hijmans et al. 2013), with the following specifications: a
277 Bernoulli distribution for the response variable; maximum 5,000 trees with an interaction depth of
278 3 (allowing for multi-way interactions between primary explanatory variables); bagging fraction
279 of 0.5 (50% random samples used for fitting the trees); training fraction of 0.8 (20% data reserved
280 for independent model testing); and five-fold cross-validation (for model robustness).

281

282 The predictive performance of the models was assessed through goodness of fit plots between
283 observed and predicted values, and by calculating the proportion of correctly predicted presences
284 and absences, respectively. To better understand the underlying relationships between the spatial
285 variables and the conflict type, fitted function plots were created for the top nine most influential
286 spatial variables for each of the five BRT models.

287

288 In order to visualise the spatial pattern of conflicts, each BRT model was applied to the full spatial
289 set of explanatory variables and used to generate a likelihood of conflict occurrence across the
290 landscape. These were input into ArcGIS 10.1 (ESRI), converted to a raster grid (based on a 1 km²
291 grid-mask), and then classified into quartiles (equal number of observations in each class) to
292 delineate areas of low, medium, high and very high likelihood of the five different types of conflict.

293

294

295 **RESULTS**

296 **3.1 Descriptive statistics**

297 Of the 265 incidences of land use conflict (in 238 villages) reported in online media in mainland
298 Kalimantan: 75% (199 incidences) were associated with conflicts between communities and oil-
299 palm companies (with 92% of these corporations being Indonesian registered); 11% of incidences
300 were associated with the forestry sector (with 97% of companies being registered in Indonesia);
301 10% of villages had conflict with mining companies (81% of which were Indonesian registered);
302 and, 4% of villages had conflicts involving unknown actors or sectors.

303

304 Those conflicts associated with oil palm, as per time of media report, occurred in 187 villages.
305 Villages occurred in all four provinces in Kalimantan, with 63 villages (34%) in West Kalimantan,
306 65 villages (35%) in Central Kalimantan, 42 villages (22%) in East Kalimantan, and 17 villages
307 (9%) in South Kalimantan.

308

309 As part of the exploratory analyses, the correlations between the 45 explanatory variables were
310 calculated using the full spatial dataset ($n = 85,759$ pixels). The results are reported in Appendix
311 S3 and Table S1.

312

313

314 **3.2 BRT models of oil-palm conflict**

315 **3.2.1 Conflict occurrence**

316 The conflict occurrence model had a positive predictive accuracy of 93% and a negative predictive
317 accuracy of 98% (Fig. 2). The occurrence of conflict between oil-palm companies and local

318 communities was strongly correlated with the probability of deforestation, explaining 34% of the
319 model variance. Fig. 3a shows the nine most important predictive variables for conflict occurrence,
320 according to the BRT model. These are: the probability of deforestation (prob_deforest), distance
321 to mangroves (mangrove_m), distance to severely degraded logged forest (svlogged_m), distance
322 to oil palm concessions (op_concess_m), distance to oil palm plantations (oilpalm_m),
323 communities' uses of the 7 specific forest products asked about in the survey (uses7),
324 neighbourhood values of carbon (carbon_s), road density (road_d), and distance to agroforests
325 (agroregr_m).

326

327 More specifically, there have been fewer conflicts in areas with a low probability of deforestation,
328 and a near-linear positive trend in conflict with increased probability of deforestation (Fig. 3a).
329 Conflicts have also occurred in locations nearer to mangrove forest (i.e., coastal areas) or far away
330 from them i.e., approximately 230 km from mangrove forest with more conflicts occurring with
331 increasing distance from the coast, and fewer conflicts far from roads (Fig. 3a).

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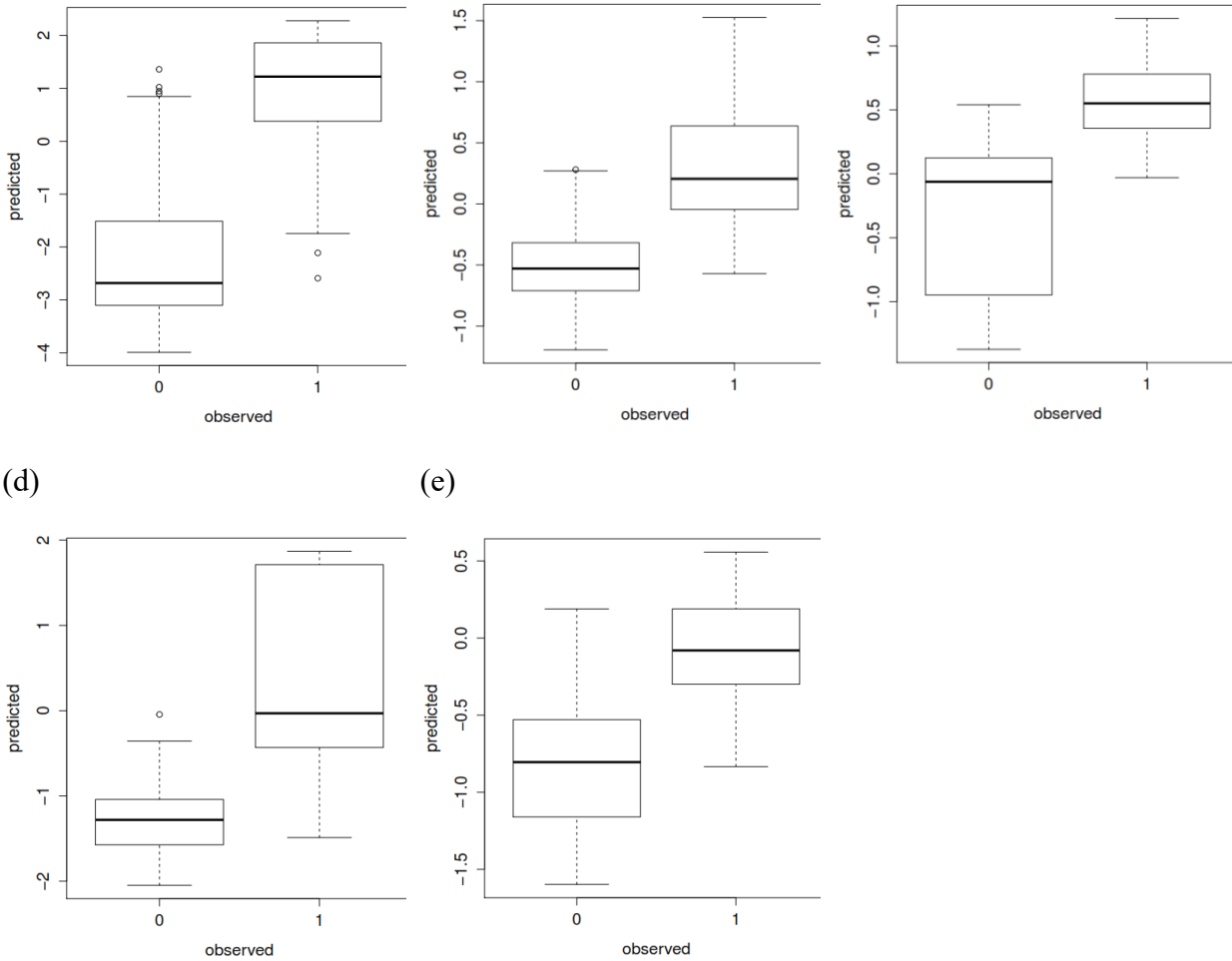
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(a)

(b)

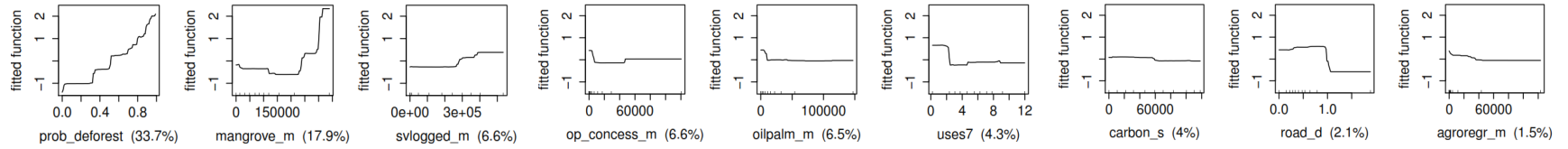
(c)



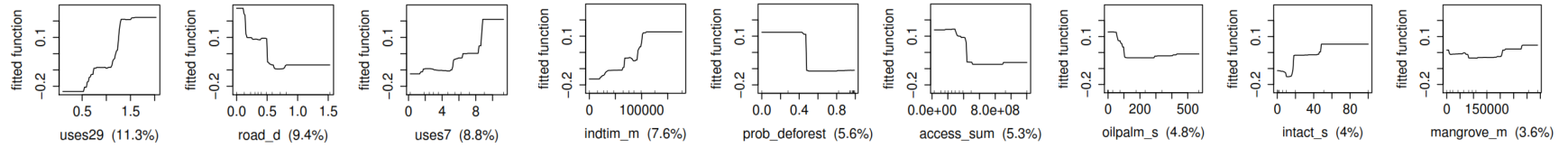
340 Fig. 2 Goodness of fit plots between observed and predicted responses for five boosted regression
 341 tree models for the following: (a) Conflict occurrence; (b) Conflict where communities oppose
 342 company; (c) Conflict over land boundaries and illegal operations by companies; (d) Conflict over
 343 negative impacts arising from oil palm on the environment and peoples livelihoods; (e) Conflict
 344 over a lack of consultation with communities, broken promises and lack of compensation.

345

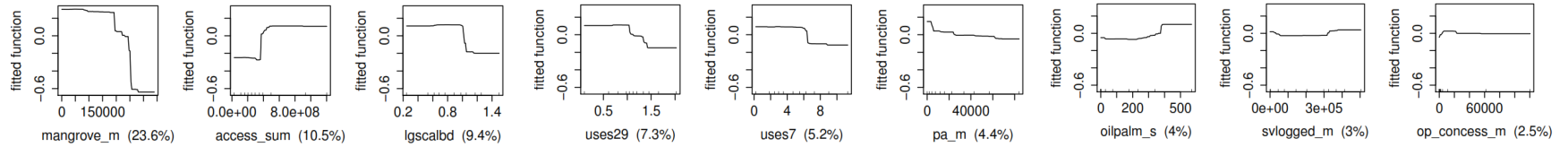
(a) Conflict occurrence



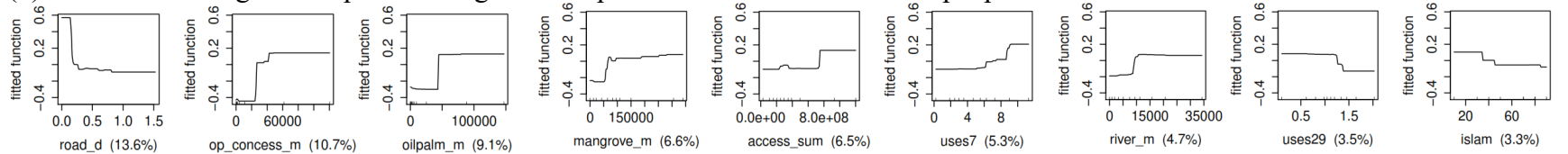
(b) Conflict where communities oppose company



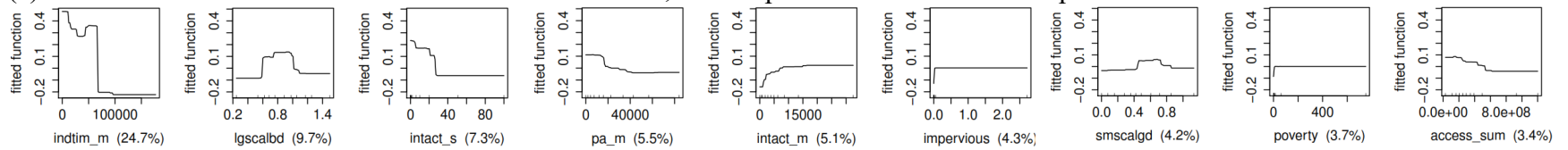
(c) Conflict over land boundaries and illegal operations by companies



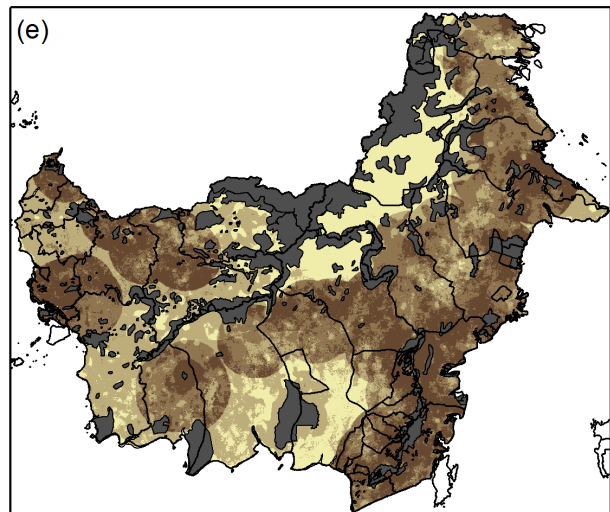
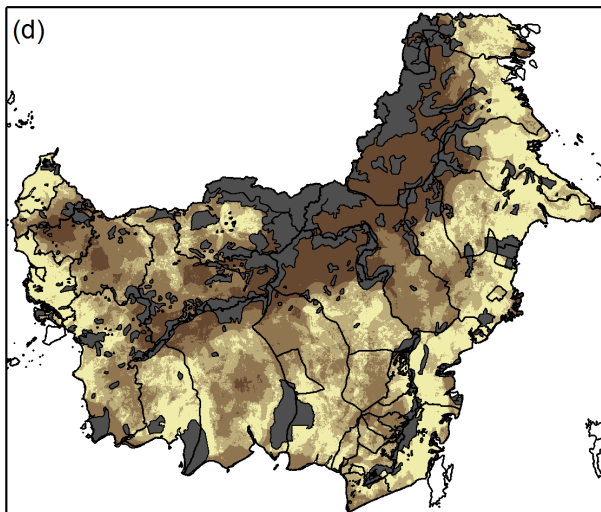
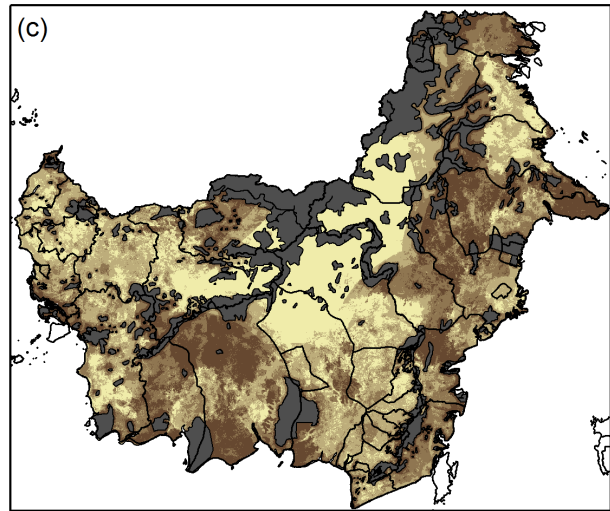
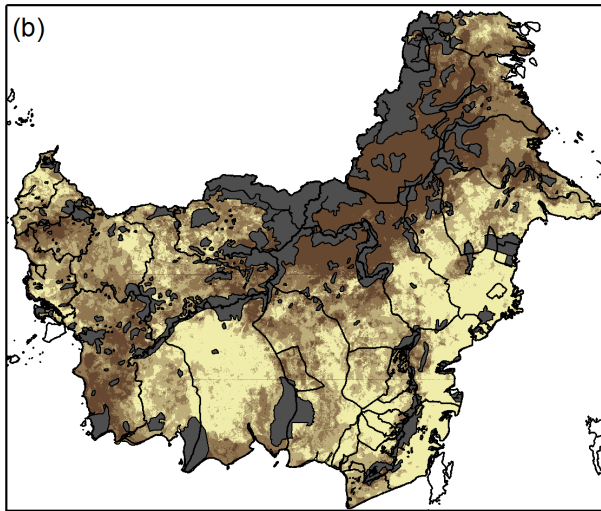
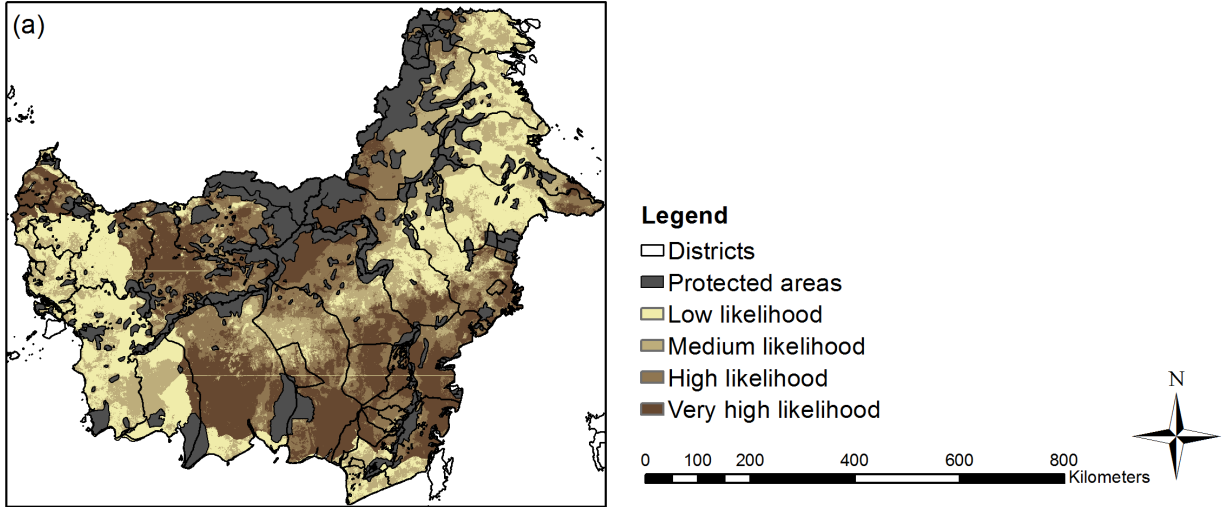
(d) Conflict over negative impacts arising from oil palm on the environment and peoples livelihoods



(e) Conflict over a lack of consultation with communities, broken promises and lack of compensation



348 Fig. 3 Fitted function plots for the nine most influential spatial variables within each boosted regression tree model. One row is presented
349 for each model (each type of conflict, a-e). Plots show the bivariate effect of each spatial variable on the response variable. Spatial
350 variables and abbreviations are explained in Table 1. Relative importance values for each variable are shown in parentheses.



351 Fig. 4 Mapped outputs from five boosted regression tree models on conflicts between oil palm
352 companies and local communities from online data sources and local newspaper reports, overlaid
353 with protected areas (grey) and district level boundaries (black lines). Maps show the likelihood
354 of various types of conflicts (mapped as quartiles) for the following: (a) Conflict occurrence; (b)
355 Conflict where communities oppose company; (c) Conflict over land boundaries and illegal
356 operations by companies; (d) Conflict over negative impacts arising from oil palm on the
357 environment and peoples livelihoods; (e) Conflict over a lack of consultation with communities,
358 broken promises and lack of compensation.

359

360

361 **3.2.2 Communities oppose company**

362 The model for conflict where communities strongly opposed oil-palm companies had a positive
363 predictive accuracy of 76% and a negative predictive accuracy of 89% (Fig. 2). This type of
364 conflict was strongly influenced by the two variables that indicated greater usage of forest products
365 by local communities i.e., 7 specific forest products (timber, rattan, hunting, traditional medicine,
366 mining, honey and aloes wood) and 29 other forest products (e.g. fish, fire wood, fruit and
367 vegetables, tree sap) (Fig. 3b). This type of conflict has also been more strongly associated with
368 areas that have a lower road density (Fig. 3b). The probability of deforestation was also important
369 but unlike the general conflict occurrence model, opposition-type conflicts have been more likely
370 to occur when there was a lower probability of deforestation (Fig. 3b). Based on the observed
371 relationships, the regions with a high likelihood of community opposition to oil-palm development
372 are in locations that are more extensively forested (within the core of Borneo island) (Fig. 4b).

373

374 **3.2.3 Land boundaries and illegal operations by companies**

375 The model for conflicts over land boundary disputes and illegal operations of companies had a
376 positive predictive accuracy of 94% and a negative predictive accuracy of 84% (Fig. 2). This type
377 of conflict has predominantly occurred in locations within 250 km of mangrove forest (Fig. 3c).
378 Land boundary disputes and/or conflict over illegal actions by companies have also occurred in
379 areas where forests were more accessible by more people; where people had moderate to
380 indifferent perceptions of negative impacts from large-scale oil-palm agriculture; and where there
381 was moderate to little use/dependency on provisioning services from forest (Fig. 3c). Based on
382 these observed relationships this type of conflict has a greater likelihood in coastal areas (Fig. 4c).
383

384 **3.2.4 Negative impacts on the environment and livelihoods**

385 The model for conflicts over negative environmental and social impacts from oil palm had a
386 positive predictive accuracy of 92% and a negative predictive accuracy of 83% (Fig. 2). This type
387 of conflict has occurred in areas with very low road density and in areas >25 km from oil-palm
388 concession areas (allocated, under title, oil-palm areas that may or may not yet have oil palm) and
389 >40 km from existing oil palm cultivations (Fig. 3d). This type of conflict has also been more
390 common away from the coast (>50 km from mangrove forest) (Fig. 3d). Those areas where forests
391 were more accessible to people and where communities had a higher use/dependency of forest
392 products have experienced more environmental and social conflict (Fig. 3d). Similar to the model
393 for people opposing companies, these environmental and social conflicts are more likely to occur
394 in areas where there is more ‘intact’ forest in the core of the island (see Figs. 1 and 4d).

395

396 **3.2.5 Lack of consultation and compensation and broken promises**

397 The model for conflicts associated with lack of community consultation, broken promises, and
398 lack of compensation, had a positive predictive accuracy of 83% and a negative predictive
399 accuracy of 74% (Fig. 2). Conflicts of this type have been strongly associated with areas within 70
400 km from industrial timber plantations (which accounted for 25% of model variance) (Fig. 3e) and
401 with some surrounding intact forest (Fig. 3e). Thus these types of conflict have occurred in regions
402 that have gone through or are going through forest transformation to oil-palm or industrial- tree
403 plantations (see Fig. 4e and Fig. 1 for land cover types).

404

405

406 **DISCUSSION**

407 In Indonesia, land allocation is characterised by a complex political landscape that promotes the
408 transformation of forest assets to other land uses, such as oil palm, often at the expense of
409 traditionally managed lands and livelihoods of local communities (Brockhaus et al. 2012).
410 Understanding characteristics of conflict locations and the communities that have experienced
411 conflict, facilitates the understanding of why and where certain types of conflict has occurred.
412 Such information can assist with conflict prevention and future resolution attempts (Dhialulhaq et
413 al. 2015). This is not only important for regional land-use planning in Indonesia, especially in view
414 of emerging jurisdictional approaches to RSPO certification, but this methodology is relevant for
415 mapping community conflicts associated with other land use types e.g. those related to other agri-
416 business and mining sectors, for example.

417

418 **4.1 Conflict occurrence**

419 In this study we demonstrated innovative methods for assessing spatial patterns of land-use conflict
420 across mainland Kalimantan, a region of rapid land-cover and land-use change (Gaveau et al.
421 2014). To better understand the interplay between local communities (at the village level) and oil-
422 palm companies, we modelled conflict occurrence and found that conflict intensity increased
423 (almost linearly) with higher probabilities of deforestation. Extensive lowland regions in
424 Kalimantan have been converted to oil palm and other human land uses (Carlson et al. 2013; Koh
425 et al. 2011); and our findings demonstrate that conflicts have occurred at a greater intensity in
426 regions undergoing conversion of forest to agricultural (Gaveau et al. 2014). Our findings showed
427 some general associations between land cover and conflict type between the models for
428 communities opposing oil palm (3.2.2), and those associated with perceived negative social and
429 environmental impacts from oil palm (3.2.4). These models demonstrated similar spatial patterns,
430 with these conflicts dominating in interior forest areas. These types of conflicts likely occur when
431 people anticipate negative impacts from oil-palm development will outweigh possible benefits.
432 This finding matches community perceptions about large landscape-level clearing for oil-palm that
433 we identified in a previous independent study (Abram et al. 2014a; Meijaard et al. 2013).

434
435 Conflicts arising from land boundary disputes, illegal actions, and perceived lack of consultation,
436 on the other hand, seemed to dominate areas where oil palm was developed from the 1990s to
437 2000s (see Gaveau et al. in review). Areas where oil palm was already or in the process of being
438 established were generally in flat and fertile coastal areas, with conflict types transforming from
439 general opposition of the industry to more specific forms of disputes.

440

441 **4.2 Oil palm conflict with forest dependent communities**

442 Among the oil-palm related conflicts, 48% of villages strongly opposed oil-palm companies.
443 Anecdotal accounts of opposition included reports of indigenous communities outright rejecting
444 the presence of the oil-palm plantations. For example, as companies developed oil palm in what
445 were considered as customary forests under local/traditional governance systems. Our results
446 revealed that local opposition by communities was associated with regions where communities
447 had high use of provisioning services from forests (e.g. for timber, rattan, and hunting), and with
448 more remote areas with intact forests that had a lower likelihood of deforestation. These results
449 and the mapped outputs from the model (Fig. 4b) suggest that forest-dependent communities more
450 strongly oppose oil-palm development. Furthermore, the model that considered conflict due to
451 negative impacts on the environment and on villager's livelihoods arising from oil palm occurred
452 within 33% of villages. We found that a higher occurrence of this type of conflict was associated
453 with areas with a very low road density that were away far from oil-palm concessions (25 km or
454 more) or plantations (40 km or more), and more than 50 km from coastal areas. These areas were
455 associated with accessible forested areas where communities still had high dependency on forest
456 products. Indeed, spatial patterns for both these models were similar with higher occurrence of
457 conflict generally associated with areas of more continuous forest e.g. north of Central Kalimantan
458 and west of East Kalimantan (Fig. 4b and Fig. 4d). One account of conflict from our dataset was
459 in Long Pahangai in Mahakam Ulu (previously under administration of Kutai Barat) in East
460 Kalimantan, where villages were initially impacted by a timber company from the 1980s, but more
461 recently by an oil-palm company which controls 80,000 hectares of land that overlaps with 21
462 villages. In this region, communities perceived that the oil-palm plantation had robbed them of
463 their livelihoods, the sustainability of forest and water resources, without providing sufficient

464 benefits in return. The appeal of the modelling approach presented here is that stories like these
465 are no longer considered as individual cases, but are systematically aggregated to identify points
466 of commonality.

467

468 An independent study based on a Borneo-wide questionnaire dataset undertaken in rural
469 communities showed negative perception of benefits of large-scale oil-palm establishment in more
470 remote areas where there was more intact forest and communities have higher resource use of
471 forests (Abram et al. 2014a). This tendency is supported by other studies (Marti 2008; Orth 2009;
472 Sirait 2009) and suggests that communities linked to forest-based livelihoods or forest-based
473 cultural identity and traditions generally have negative perceptions about oil palm. In parts of
474 Central Kalimantan, for example, oil-palm establishment has impacted on indigenous Dayak
475 communities, shifting cultivation practises and causing food insecurity (Orth 2007). Similar
476 findings have been shown in West Kalimantan where groups most impacted by oil-palm
477 establishment have been former customary land users, who have experienced loss of livelihoods
478 due to limited access to forest and ease of accessing land for swidden agriculture; as well as
479 negative environmental impacts including flooding, polluted waterways and pest issues
480 (Obidzinski et al. 2012).

481

482 On the other hand, if managed properly, socio-economic benefits from oil-palm establishment (by
483 either companies or smallholders) have positively transformed many rural communities, providing
484 livelihood improvements and poverty alleviation (Myers et al. 2015; Semedi 2014). For example,
485 in Bungo District in Indonesia, smallholding farmers prefer planting oil palm compared to other
486 agricultural land use options (e.g. rubber and rice) due to its high returns (Feintrenie et al. 2010),

487 with similar findings throughout Indonesia (Rist et al. 2010) and elsewhere in the tropics (Pfund
488 et al. 2011). However, often the benefits of oil palm are felt through the establishment of
489 smallholdings rather than large commercial plantations (Abram et al. 2014a; Rist et al. 2010), and
490 in these communities elites are likely to benefit most, to the detriment of non-elites (Colchester
491 2010). This inequality is particularly emphasised within indigenous groups, where case studies
492 identify that non-elites in communities can often lose ancestral land and livelihoods, and are
493 subsequently forced to migrate to urban centres to look for employment, often leaving behind
494 women, children and elders and hence fracturing social systems (Li 2015; Sirait 2009). Oil-palm
495 establishment in indigenous lands can therefore erode traditional customs, cultures and identity,
496 ensuing the detachment of people from their natural environment and the collapse of customary
497 systems of natural resource management (Achobang et al. 2013).

498

499 **4.3 Oil palm conflict in transformed areas**

500 Over half (55%) of the villages were noted to have conflict over land boundary disputes and/or
501 illegal operations by oil-palm companies, primarily in areas less than 250 km from mangroves
502 (generally low-lying coastal flats), with accessible forests (suggesting non-remote areas).
503 Additionally, higher levels of this conflict type were associated with areas in which people were
504 less dependent on provisioning services from forests, and where there was already extensive oil
505 palm (Fig. 3c). These results suggest that land boundary and illegal operations of companies were
506 mostly located in predominantly agricultural areas with some remnant forest, where oil palm was
507 established and expanding, potentially displacing local people from their lands (Achobang et al.
508 2013; Colchester 2010). This is especially pertinent considering that land allocation in Indonesia
509 is characterised by a complex political economic system which facilitates forest conversion to oil

510 palm, often overriding rights or informal claims to land (Larson et al. 2013; Paoli et al. 2013). For
511 example, caveats in law permit governors and ministers the right to override land use decisions
512 made by districts (i.e., Government Regulation No. 26/2008) (Brockhaus et al. 2012). We also
513 note that land speculation by communities in these agricultural areas can be significant, with many
514 informal and formal land claims overlapping, leading to an increased likelihood of conflict (Dennis
515 et al. 2005).

516

517 As expected, transformed areas also experienced conflicts associated with lack of community
518 consultation, broken promises, and lack of compensation. Such conflicts can occur over companies
519 failing their obligations to provide services such as technical assistance in plantation management,
520 schools, or clinics (Sirait 2009). Such unfulfilled obligations to communities can occur not only
521 with companies but also local government officials. Indeed, some local officials may have vested
522 interest through corruption, financial support in electoral campaigns, and increasing district
523 authority through tax revenues from oil palm, and as a result development agreements may be
524 rushed, ill-considered and poorly executed (Adnan & Yentirizal 2007; Rist et al. 2010; Sirait
525 2009). However, conflicts can also occur within communities, for example if the head of the
526 community smallholdings cooperative maximises personal gain through receipt of bribes to favour
527 company interests over community interests (Rist et al. 2010; Sirait 2009).

528

529 **4.4 Methodological strengths and limitations**

530 There are obvious challenges in the conception, conduct and interpretation of studies on social
531 issues such as conflict in communities, such as those in Borneo. Five main issues that arise in the
532 study presented in this paper are discussed here.

533 First, this study has aimed to develop a quantitative assessment of conflict based on data derived
534 from online media. This data source was chosen because it was publicly accessible and therefore
535 auditable by others. Moreover, it covered the whole of the target region and presented current
536 information in a somewhat uniform manner. However, the disadvantage of relying on media
537 reports is that it induces potential bias due to simplification of the issues, selective reporting of
538 certain types of conflict, and under-reporting in rural areas or of smaller scale conflicts (Gritten et
539 al. 2011). This bias was ameliorated to some extent by the use of a wide search for conflict stories,
540 including a national database on natural resource conflicts compiled by five major organisations
541 and eleven local news sources. Moreover, the study focused on the most predominant reported
542 issue, oil-palm agriculture, which affects a wide cross-section of the community in the region.

543 Second, the use of conflict reports based on online media has a further disadvantage in that the
544 extracted articles did not provide information about communities that are happy with oil-palm
545 development. This motivates a future study that includes both positive and negative stories about
546 oil-palm development. However, in order to achieve the desired balance in such a study, arguably
547 a wider search than online media alone would be needed to compensate for the potential bias
548 towards negative stories in newspaper reports. More detailed studies on broader welfare changes
549 in communities adjacent to oil palm would help build understanding about the conditions under
550 which oil palm can deliver net societal benefits.

551 Third, although one of the strengths of this study is the ability to derive a spatial understanding of
552 conflict across the region, the validity of this approach is predicated to some extent on the accuracy
553 of the geo-coding of the reported conflicts. An estimate of this accuracy was obtained by cross-
554 referencing the conflict dataset with three separate sources. The results indicated acceptable
555 accuracy with respect to the scale of the spatial variables used in the analysis. Furthermore,

556 although a formal sensitivity analysis was not conducted, a review of the inferences from the
557 statistical analysis suggested that they would be unlikely to be affected by movement of the
558 villages within the average spatial range. While this does not completely resolve the issue, it does
559 provide some confidence in the reported results.

560 Fourth, although the BRT models that were used in this study are able to flexibly model spatially
561 correlated data, they may not have encompassed all of the spatial autocorrelation in the conflict
562 response variables since records were derived from online sources and therefore no systematic
563 sampling was undertaken. However, a wide geographic area is covered in the analyses,
564 diversifying the environmental space within the models. Moreover, although the spatial
565 explanatory layers invariably exhibit varying degrees of inaccuracy, every attempt was made to
566 omit poor quality data from the spatial framework.

567 Finally, it is acknowledged that the models developed in this study were not intended to describe
568 causal relationships between the spatial variables employed and the occurrence of conflicts.
569 Rather, our study is an exploratory exercise aiming to understand the types of conflicts that are
570 occurring, the characteristics of the communities that have been impacted by conflict and of the
571 locations where these conflicts have occurred. As understanding causality was not the focus of this
572 study, we do not assert that the models accurately predict the location of future conflicts. Rather
573 our mapped outputs are intended to visualise where different types of conflict are more likely to
574 occur, based on the relationships observed in the past and using the data on conflicts that we were
575 able to access.

576 Notwithstanding these limitations in our methods, the data source and modelling approach
577 demonstrate a robust approach to understanding the spatial trends and patterns associated with

578 landscape level information on the environmental and social context and different types of conflict.
579 These methods are applicable to other regions and industries that are conflict prone such as forestry
580 or mining.

581

582 **4.5 Scale of the problem**

583 The Indonesian government maintains a village-level database on conflicts which is updated every
584 3 years in the *potensi desa* process. However, underreporting of conflicts by the government in
585 Indonesia is common, meaning that community-oil palm conflict occurrence may indeed be much
586 higher than suggested by government data (Barron et al. 2004). Nevertheless, in 2012, for
587 Kalimantan, the Ministry of Agriculture stated that there were 439 land-use conflict cases for oil
588 palm, with 250 cases in Central Kalimantan alone, 78 in East Kalimantan, 77 in West Kalimantan
589 and 34 in South Kalimantan (Hadinaryanto 2014). This suggests that conflict is extensive and
590 widespread. Moreover, land-use conflict will likely be exacerbated as oil palm and other human
591 land uses continue to rapidly expand. For example in West Kalimantan, oil-palm covered 0.3
592 million hectares in 2000 (Sirait 2009), then expanded to over 1 million hectares in 2010, yet over
593 5 million ha have been granted in concession to around 390 companies (data from Gaveau et al.
594 2014). When we segment the size of the province (14 million hectares) into 3.7 million hectares
595 under timber companies, 3.7 million hectares protected and 1.5 million hectares under mining
596 permits, only 0.7 million hectares remain for 4.3 million people (Hadinaryanto 2014). The scale
597 of existing and future conflicts becomes clear when considering the rapid and extensive land cover
598 change occurring in the more rural areas (Gaveau et al. 2014) and that such large-scale
599 transformation may work against the poor in these areas (McCarthy & Cramb 2009).

600

601 If, however, the transformation is successful and returns are managed equitably, high net revenue
602 from oil palm can deliver significant economic benefits at local levels, providing such benefits
603 accrue to rural people and outweigh the societal costs of oil palm (e.g. costs of increased flooding,
604 costs of higher temperature associated with land clearing) (Abram et al. 2014a; Sayer et al. 2012).
605 Indeed, one study on livelihood impacts of oil palm noted that in all of the four case study regions,
606 most communities were eager for economic development and in remote regions villages compete
607 for development investors (Rist et al. 2010). A desire for oil-palm development is due to its
608 potential to enable households to lift themselves out of poverty, which appears most feasible where
609 smallholdings are independent of companies (Feintrenie et al. 2010). However, whether local
610 people directly benefit from oil palm is highly dependent on the local context, with the particular
611 deals offered to communities varying widely with respect to land exchanges, loan conditions, and
612 sale of lands (see Orth 2009; Rist et al. 2010). This is also influenced by the capacity of the local
613 people to adapt to new management of land and alternative livelihoods (Sirait 2009). Other
614 important issues include the extent to which oil palm displaces smallholder farming, especially for
615 food staples such as rice, thus reducing community self-sufficiency, and also whether oil palm
616 development requires the import of migrant labour, which can have significant social impacts
617 (Anderman et al. 2014).

618

619 In some cases, initial conflict can be a starting point for positive change for communities, by
620 allowing for cross-stakeholder dialogues, clarification of land tenure, and for potential
621 development opportunities (Dhiaulhaq et al. 2014). Reducing the frequency of future disputes can
622 be beneficial for all parties. For example, financial risk incurred by plantations when disputes arise
623 can be significantly reduced. One large plantation estimated that, over a several year period, social

624 disputes with local communities could easily cost USD 1,000,000 (Levin et al. 2012). Fires are
625 also frequently used by communities in a conflict situation, causing significant crop losses to the
626 company (Dennis et al. 2005).

627

628 **4.6 Ways forward**

629 Ensuring that local communities can benefit from development and that companies can limit risks
630 associated with land-use conflicts with local communities (e.g. due to increased operational costs)
631 underpins aspects of the RSPO's standards; and the less known mandatory Indonesian equivalent
632 ISPO (Indonesian Sustainable Palm Oil). In regards to the RSPO, certification requires company
633 compliance with applicable laws and regulations (Principle 2), for example, by demonstrating full
634 rights to land which is not contested by local people, and being able to demonstrate Free and Prior
635 and Informed Consent (Principle 7) (RSPO 2013). The RSPO is a voluntary certification scheme,
636 however the new jurisdictional approach may see transformations in how its HCV assessments
637 and FPIC procedures are rolled out across landscapes. Although the ISPO has similar
638 requirements, it is regarded as substandard to RSPO. Nevertheless both schemes are under scrutiny
639 for inadequate social and environmental safeguards (Caroko et al. 2011; Ruyschaert & Salles
640 2014). Nevertheless, there remains hope that such requirements will improve current practice and
641 standards, in an industry with a poor reputation. Moreover, in contexts in which conflict already
642 exists, mediation and conflict resolution efforts may prove useful tools for overcoming conflict.

643

644 Prior to establishment of oil palm by any company, willingness for the particular development
645 should be better determined through engagement and incorporation into spatial plans (Paoli et al.
646 2013). This will help to reduce the negative impacts of oil-palm plantations on communities, and

647 maximize the potential for oil palm to elevate local economies (Obidzinski & Dermawan 2010).
648 Additionally, current and future development projects should consider the different types of
649 conflicts they may encounter, given the spatial characteristics of the sites. Obviously, reconciling
650 competing land uses and factoring in the desires and needs of stakeholders is complex (Sayer et
651 al. 2013). Spatially explicit methods for decision analysis for conflict resolution in land use
652 planning are available (e.g. de Groot 2006; Zhang et al. 2012), and despite requiring significant
653 data gathering and analysis, the spatial distribution of social land use perceptions is possible
654 (Abram et al. 2014a; Brown & Raymond 2014). This study underlines the need to involve local
655 communities in local and district land-use decision making and the design of development
656 strategies, to ensure their rights and livelihoods are not only considered but integrated into spatial
657 management plans.

658

659

660

661 **SUPPORTING INFORMATION**

662 **Appendix S1: Location accuracy of georeferenced villages**

663 **Appendix S2: Environmental and Socio-economic spatial predictor variables**

664 **Appendix S3: Correlations among the predictor variables**

665 **Table S1: Correlation matrix between 43 spatial (explanatory) variables. Moderately**
666 **correlated variables highlighted in yellow, strongly correlated in orange and very strongly**
667 **correlated in red.**

668

669

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