1	Plasma membrane ion channels and epithelial to mesenchymal transition in cancer cells
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9	Running title: Ion channels and EMT in cancer
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### 23 Introduction

24 Epithelial to Mesenchymal transition (EMT) refers to the process whereby epithelial cells which 25 typically exhibit features such as strong cell to cell adhesion and apical-basal polarity, lose these 26 properties and acquire others such as greater motility and a spindle like morphology (van Denderen 27 and Thompson 2013) (Thiery, et al. 2009) (Fig. 1). EMT is a key event in developmental processes 28 including embryogenesis where it is associated with implantation and embryonic gastrulation (Kalluri 29 and Weinberg 2009). EMT is also a feature of other aspects of normal physiology such as wound 30 healing where it has an important role in tissue regeneration, and organ fibrosis (Kalluri and 31 Weinberg 2009).

### 32 EMT in cancer

33 Metastasis is the cause of mortality in cancer types that originate from organs where surgical 34 resection and/or treatment of the primary tumour are often feasible (e.g. breast and prostate). 35 Metastasis is a highly regulated process whereby cells escape the primary tumour, enter the 36 circulatory system and deposit at a metastatic site (Hanahan and Weinberg 2011). There is clear 37 coordination of processes in metastasis and this is reflected in the propensity of different cancer 38 subtypes to preferentially form metastatic lesions in specific sites. The loss of cell-to-cell adhesion, 39 the acquisition of motility, the ability to degrade the surrounding extracellular matrix and to survive 40 stresses such as that induced by entry into the circulation are all features that are required of cancer 41 cells during metastasis. It is therefore not surprising that it is believed that as cells leave the primary 42 tumour they may undergo processes similar to EMT (Heerboth, et al. 2015). These include the 43 expression of the specific transcription factors Snail and Twist, expression of mesenchymal markers 44 such as vimentin and N-Cadherin, and loss of epithelial markers such as E-cadherin (Tsai and Yang 45 2013). Indeed, the consequences of EMT have been reported as increased motility and a remodelling 46 of cellular adhesion (Lamouille, et al. 2014). EMT in cancer cells is also associated with the 47 acquisition of therapeutic resistance (Singh and Settleman 2010). Although some very recent studies

indicate that in some cancers EMT may be more important in the acquisition of therapeutic
resistance than metastasis (Fischer, et al. 2015; Zheng, et al. 2015), understanding the induction of
EMT and the properties of the mesenchymal state would clearly help identify novel therapeutic
targets.

52 A number of factors in the tumour microenvironment have been identified as inducers of EMT in 53 cancer cells. In breast cancer cells, growth factors such as epidermal growth factor (EGF), and 54 hypoxia have been shown to induce EMT in a variety of in vitro models, such as MDA-MB-468 breast 55 cancer cells and ZR-75-1 breast cancer cells (Davis, et al. 2014a; Lester, et al. 2007). In prostate 56 cancer cells, EMT is induced by epidermal growth factor (EGF) (Zhang, et al. 2013b) and Growth and 57 differentiation factor 9 (GDF-9) (Bokobza, et al. 2011). Studies in lung cancer cells have 58 demonstrated that hypoxia induces EMT through protein kinase A (PKA) activity in a hypoxia-59 inducible factor 1-alpha (HIF1- $\alpha$ ) dependent manner (Shaikh, et al. 2012). A variety of drugable 60 targets have been identified as potential mechanisms to control EMT induction and/or target the 61 mesenchymal phenotype which is a consequence of EMT (Davis, et al. 2014b). One class of proteins 62 that are the target of existing drugs and many drug development programs are ion channels. 63 Plasmalemmal ion channels in particular are often amenable to pharmacological modulation due to 64 their extracellular domains. The availability of selective inhibitors to specific ion channel isoforms 65 also allows chemogenomic and other methods to develop new therapeutics.

## 66 Ion channels as regulators of cellular processes

The presence of ion gradients across the plasma membrane is a defining feature of mammalian cells. The sodium ion gradient is maintained by Na<sup>+</sup>/K<sup>+</sup>-ATPases that actively pump Na<sup>+</sup> ions from the cytoplasm to maintain a lower intracellular free Na<sup>+</sup> level compared to those of the extracellular space (Castillo, et al. 2015). Changes in this gradient can lead to rapid changes in membrane potential and drive action potentials in excitable cells. Similarly, changes in cytosolic free Ca<sup>2+</sup> ([Ca<sup>2+</sup>]<sub>CYT</sub>) levels can be mediated by activation of Ca<sup>2+</sup> permeable ion channels and such changes

73 have important roles in an array of cellular processes including fertilization, muscle contraction, hormone secretion, gene transcription and cell death (Berridge, et al. 2003). The diversity of 74 processes influenced by changes in Na<sup>+</sup>, Ca<sup>2+</sup> and K<sup>+</sup> and other ions through the opening of ion 75 channels, requires the cell to selectively control such changes and the way such changes are 76 77 decoded to alter cellular processes. Hence, it is not surprising that there are a plethora of ion 78 channels in cells. For example there are over 20 genes that encode for just one specific class of ion 79 channel - transient receptor potential (TRP) channels in humans. The next section provides an 80 outline of the general properties of ion channels relevant to this review. We then provide a specific overview of studies that have identified roles of ion channels in EMT induction and/or remodelling. 81

### 82 Plasma membrane ion channels

83 There are a variety of ion channels with different permeability and selectivity for cations or anions. A comprehensive review of all ion channels even just those of the plasma membrane is well beyond 84 85 the scope of this review. Hence, readers are directed to sources of comprehensive lists and review of 86 ion channels such as the IUPHAR/BPS guide to pharmacology (Southan, et al. 2016), which includes other channels not discussed in this review such as acid-sensing (proton-gated) ion channels (ASICs) 87 and some ligand gated Ca<sup>2+</sup> channels such as ionotropic glutamate receptors. Arguably, the most 88 89 extensively studied plasma membrane ion channels are those depicted in Fig. 2 - which include 90 calcium channels, sodium channels, potassium channels and chloride channels. Examination of each 91 of these channel types provides insight into their diversity. These channels can differ dramatically in 92 their properties from ion selectivity to their mechanism of activation.

The diversity in ion channel properties is clear in the plasma membrane Ca<sup>2+</sup> channels presented in
Fig. 2 – Orai, TRP, P2X and voltage gated Ca<sup>2+</sup> channels (VGCC). These classes have clear differences
in their mechanism of activation. For example the Orai1 protein is part of a complex whereby Ca<sup>2+</sup>
influx is activated by the depletion of endoplasmic reticulum Ca<sup>2+</sup> stores (Azimi, et al. 2014). In
contrast, TRP channels have been described as sensors, as exemplified by TRPV1 a Ca<sup>2+</sup> permeable

98	ion channel activated by heat and the hot chilli component, capsaicin (Azimi et al. 2014). Other
99	ligand gated calcium channels include ionotropic glutamate receptors and also P2X channels that are
100	activated by some nucleosides (e.g. ATP) whereas VGCCs are activated by changes in membrane
101	potential (Azimi et al. 2014). Even within classes of Ca <sup>2+</sup> channels there is great diversity of activators
102	(e.g. TRPV1 is activated by capsaicin whereas TRPM8 is activated by menthol) and ion selectivity (e.g.
103	TRPV6 is highly selective for $Ca^{2+}$ ions whereas TRPV1 is also permeable to $Na^+$ ions) (Azimi et al.
104	2014). The remodelling of Ca <sup>2+</sup> channel expression has been defined in some cancers and some have
105	been identified as potential therapeutic targets in some cancer subtypes as reviewed elsewhere
106	(Azimi et al. 2014; Stewart, et al. 2015). Indeed, SOR-C13, a TRPV6 inhibitor has been recently
107	assessed in clinical trials of ovarian cancer (www.clinicaltrials.gov, NCT01578564).
108	Although the association between Na $^{\scriptscriptstyle +}$ influx and action potentials has seen a focus on Na $^{\scriptscriptstyle +}$ channel
109	in neuroscience and cardiovascular research, Na $^{\scriptscriptstyle +}$ channels are in fact expressed in a variety of cell
110	types. For example voltage gated sodium channels (VGSC) are expressed in excitable cells including
111	neurons and muscle cells, where they are responsible for action potential and conduction (Southan
112	et al. 2016); NALCN has been described as a sodium leak channel which regulates the resting
113	membrane potential and excitability in neurons (Cochet-Bissuel, et al. 2014); and epithelial sodium
114	channels (ENaC) play pivotal roles in the regulation of extracellular fluid (ECF) volume and blood
115	pressure in kidney tubules (Hanukoglu and Hanukoglu 2016). Potassium channels are equally as
116	complex and diverse and include those that are voltage gated (VGKC), those that are two-pore
117	domain (K2P), those that play roles in Ca $^{2+}$ -activated K $^{+}$ transport (KCa channels) and Inwardly
118	rectifying $K^{+}$ (IRK) channels (Hibino, et al. 2010).
119	Chloride channels include channels that when defective due to hereditary mutation can alter the

- 120 fluid transport in epithelial cells resulting in cystic fibrosis (Cystic Fibrosis Transmembrane
- 121 conductance Regulator (CFTR)), channels activated by intracellular Ca<sup>2+</sup> (CaCC), those which are

122 ligand activated (LGCC), or volume regulated (VRAC) or the chloride channel superfamily (CIC)

123 (Southan et al. 2016).

124 The outline of plasmalemmal ion channels presented above highlighted the diversity of ion channels

125 and their roles in mammalian cells. As discussed below, some of these ion channels have recently

126 been shown to be remodelled as a consequence of EMT in cancer cells or play roles in the induction

127 of EMT markers induced by some stimuli.

## 128 Plasma membrane ion channels and EMT in cancer cells

129 This review is focused on the remodelling and in some cases roles of ion channels in EMT in cancer

130 cells. It should be noted that other studies have investigated ion channels in EMT in the context of

131 other EMT relevant processes many of which intersect with disease states such as airway

remodelling (Arthur et al., 2015) and renal fibrosis (Mai et al., 2016).

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134 The very different properties of cancer cells such as the acquisition of therapeutic resistance and the 135 major changes in the expression of specific proteins (e.g. vimentin) and transcription factors (e.g. 136 twist and Snail) as a consequence of EMT means that changes in ion influx should not have been 137 surprising. The change in phenotype of cancer cells that have undergone EMT and the very specific 138 roles of specific ion channels in different cell types suggests that the mesenchymal phenotype will 139 exploit different ion channels to achieve different cellular functions. In the section below we will 140 provide an overview of studies that have now shown such changes and in some cases implicated 141 specific ion channels in EMT induction. Many of these studies are summarised in Table 1.

## 142 Sodium channels and EMT in cancer cells

Hypotheses have been proposed and an intellectual case made for the potential of voltage-gated
sodium channels to regulate EMT induction in cancer cells (Eren and Oyan 2014; Eren, et al. 2015).

145 The repositioning of clinically used voltage-gated sodium channel blockers to attenuate metastatic

progression and/or chemotherapy resistance through inhibition of EMT induction has also been
highlighted (Eren et al. 2015). However, this area has yet to be fully assessed experimentally with
models of EMT in cancer cells, and this represents an opportunity for future research.

149 Potassium channels and EMT in cancer cells

150 The association between changes in the potassium gradient and EMT was suggested in early studies 151 of potassium chloride co-transporter 3 (KCC3) (Hsu, et al. 2007). KCC3 is not an ion channel, but its 152 ability to cotransport K<sup>+</sup> and Cl<sup>-</sup> ions makes it an important regulator of the flux of these ions across 153 the plasma membranes of many cell types where it can play an important role in the regulation of 154 cell volume (Hsu et al. 2007; Kahle, et al. 2015). Forced overexpression of KCC3 in cervical cancer 155 SiHa cells is associated with the adoption of a more mesenchymal-like morphology, the down 156 regulation of the epithelial marker E-cadherin and the upregulation of the mesenchymal marker 157 vimentin (Hsu et al. 2007). Subsequent to these studies an association with the EAG1 potassium 158 channel and EMT in lung cancer cells has been implicated, because of an increase in Eag1 mRNA 159 levels in A549 lung cancer cells treated with transforming growth factor beta 1 (TGF $\beta$ 1), an EMT 160 inducer in this model (Restrepo-Angulo, et al. 2011). In context of colorectal cancer, studies of 161 phosphatase of regenerating liver-3 (PRL-3) induced EMT in LoVo cells (a colon cancer cell line), has shown that a pharmacological inhibitor of the Ca<sup>2+</sup> activated potassium channel KCNN4 - TRAM-34, 162 163 supresses the mesenchymal markers vimentin and Snail, and increases the expression of the 164 epithelial marker E-cadherin (Lai, et al. 2013). Although the concentrations of TRAM-34 used may 165 have inhibited other ion channels, siRNA to KCNN4 phenocopied the effects of TRAM-34 (Lai et al. 166 2013). Moreover, KCNN4 expression was positively correlated with tumour stage in clinical cohort of 167 86 patient colorectal tumour samples (Lai et al. 2013). Very recent studies have now shown that 168 silencing of KCNN4 in MDA-MB-231 (a breast cancer cell line with features of the mesenchymal 169 phenotype) appeared to reduce the expression of the mesenchymal markers vimentin and Snail1 170 (Zhang, et al. 2016).

Collectively, the studies described above are beginning to define associations between specific potassium channels and EMT in cancer cells. Further studies of other potassium channels in the context of changes in expression as a consequence of EMT as well as the induction of EMT and/or maintenance of the mesenchymal phenotype now seem appropriate. Given the diversity of EMT models in cancer cells and the variety of inducers of EMT, it is also important that the roles of specific potassium channels be investigated across a variety of models and inducers of EMT.

## 177 Chloride channels and EMT in cancer cells

178 An increasing number of studies have identified the remodelling of expression of chloride channel 179 components as a consequence of EMT in cancer cells. Examples of such remodelling include isoforms 180 of chloride channel accessory proteins, namely CLCA2 and CLCA4. CLCA2 mRNA levels are reduced in 181 breast cancer cell lines associated with the mesenchymal phenotype (e.g. MDA-MB-231 and BT549) compared to those often enriched in epithelial markers (e.g. MCF-7). Indeed, expression of the EMT 182 183 transcription factor Snail supresses CLCA2 protein in the human breast cell line MCF10A, and CLCA2 184 levels are reduced in subpopulations of cells from the human mammary epithelial (HMLE) cell line 185 that are enriched in mesenchymal markers (Walia, et al. 2012). Moreover, CLCA2 levels are reduced 186 during EMT induced by TGFβ (Yu, et al. 2013). Similarly, reduced levels of the related isoform CLCA4 187 is a feature of subpopulations of cells from the HMLE cell line that are enriched in mesenchymal 188 markers and a consequence of TGF $\beta$ -induced EMT (Yu et al. 2013). Consistent with the loss of CLCA2 189 and CLCA4 in the mesenchymal phenotype, low levels of CLCA2 and CLCA4 appear likely to be 190 associated with an increased incidence of metastasis (as assessed through metastasis or relapse free 191 survival) using specific cohorts of breast cancer patients (Walia et al. 2012; Yu et al. 2013). In 192 addition to their remodelling as a consequence of EMT, CLCA2 and CLCA4 have also been implicated 193 in the regulation of the transition of breast cancer cells towards a more mesenchymal state. 194 Knockdown of CLCA2 or CLCA4 is sufficient in HMLE cells to induce the expression of the

mesenchymal marker vimentin and supress the epithelial marker E-Cadherin (Walia et al. 2012; Yu et

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al. 2013). In the case of CLCA2, the regulation of EMT may at least in part be through interactions
with the cell junctional protein EVA1 (Ramena, et al. 2016). Future studies are now required to
define the relative importance in changes in chloride flux in these events, and the ability of the loss
of CLCA2 or CLCA4 to induce a mesenchymal phenotype in other models of EMT, including those not
of breast cancer origin.

201 Breast cancer cells have also been the focus of investigators exploring the relationship between 202 CFTR and EMT. The EMT inducer TGFB1 causes a down regulation of CFTR in MCF-7 cells, which is 203 also associated with a down regulation of the epithelial marker E-cadherin (Zhang, et al. 2013a). A 204 functional role for CFTR in EMT induction is suggested by the ability of CFTR silencing to induce the 205 expression of a variety of mesenchymal markers in MCF-7 breast cancer cells. This proposed function 206 of CFTR is further supported by the ability of CFTR overexpression in mesenchymal-like MDA-MB-207 231 breast cancer cells to suppress the expression of vimentin (a mesenchymal marker) and induce 208 the expression of E-cadherin (an epithelial marker) (Zhang et al. 2013a). As would be predicted 209 based on these results, reduced levels of CFTR are associated with poor prognosis in breast cancer 210 patients (Zhang et al. 2013a). More recent studies have begun to explore chloride channels in the 211 context of EMT in other cancer types, such as squamous cell carcinomas of the head and neck 212 (Shiwarski, et al. 2014). TMEM16A (also known as ANO1), is one of a reported subset (termed 213 Anoctamins) of calcium activated chloride channels (Kunzelmann, et al. 2011). Levels of TMEM16A 214 are reduced in cancer cells in metastatic lymph nodes compared to those of the primary tumour in 215 squamous cell carcinomas of the head and neck (Shiwarski et al. 2014). TMEM16A seems to be 216 more than a potential marker of EMT, since silencing of TMEM16A in T24 cells (a human bladder 217 carcinoma cell line), produces a mesenchymal-like phenotype (spindle morphology, lower E-218 cadherin, increased Snail) and overexpression of TMEM16A produces an epithelial-like phenotype 219 (rounded packed morphology, increased E-cadherin, reduced vimentin and fibronectin) (Shiwarski 220 et al. 2014).

The work described above, performed by a variety of investigators using an array of models and approaches has now helped define a remodelling of specific chloride channels (or components) in EMT and a role for these same channels in the induction of EMT and/or the maintenance of the epithelial-like phenotype.

# 225 Calcium channels and EMT in cancer cells

226 The calcium signal has been identified as or could be speculated to be a potential mechanism by which at least some of the aforementioned ion channels may immediate their effects on EMT. For 227 example the mechanism by which KCNN4 may regulate EMT in colon cancer cells has been linked to 228 effects on calcium signalling (Lai et al. 2013). Indeed, global chelation of intracellular free Ca<sup>2+</sup> that 229 attenuates increases in cytosolic free Ca<sup>2+</sup>, suppresses both EGF and hypoxia induced increases in the 230 mesenchymal markers vimentin, N-cadherin and CD44 (Davis et al. 2014a). Similar findings have 231 now been reported in Huh7 and HepG2 hepatic cancer cell lines for EMT induced by doxorubicin 232 233 (Wen, et al. 2016). It is also now clear that a major remodelling in calcium signalling and the 234 expression of specific calcium permeable ion channels is a feature of EMT and some calcium 235 permeable ion channels are important in the induction of expression of some proteins associated 236 with the mesenchymal phenotype.

237 Alterations in the responses to ATP, able to activate G-protein coupled purinergic receptors (P2Y family) and ligand gated Ca<sup>2+</sup> channels (P2X family) is a feature of both EGF and hypoxia induced EMT 238 239 in MDA-MB-468 breast cancer cells (Azimi, et al. 2015; Davis, et al. 2011). EMT induced by hypoxia and EGF is associated with the attenuation of peak  $[Ca^{2+}]_{CYT}$  and the sustained phase of  $Ca^{2+}$  influx 240 induced by ATP. EMT is also associated with a reduction in the sensitivity to ATP with an increase the 241 242 EC<sub>50</sub> (Azimi et al. 2015; Davis et al. 2011). Such changes in the mesenchymal phenotype may be an adaption of breast cancer cells to the high ATP concentrations in some tumour microenvironments. 243 However, despite this consistent change in ATP-mediated Ca<sup>2+</sup> signalling, the nature of the 244 245 remodelling of P2X receptors seems very different as the upregulation of P2X5 mRNA is a feature of

246 EGF but not hypoxia associated ATP (Azimi et al. 2015; Davis et al. 2011). The attenuation of store operated Ca<sup>2+</sup> entry (SOCE) and basal Ca<sup>2+</sup> influx is also a feature of EGF induced EMT in MDA-MB-247 468 (Davis, et al. 2012), however, assessment of such changes with hypoxia induced EMT has not 248 249 been reported. Such studies are critical given that in MCF-7 cells, the EMT inducer TGF- $\beta$ 1 has been reported to be associated with enhancement of store operated Ca<sup>2+</sup> entry (Hu, et al. 2011). 250 In addition to a remodelling of  $Ca^{2+}$  influx and/or the expression of some  $Ca^{2+}$  permeable ion 251 252 channels in EMT in cancer cells, specific calcium permeable ion channels have also been identified as 253 regulators of the induction of at least some hallmarks of EMT. A focused siRNA screen identified 254 TRPM7 as a regulator of EGF-induced expression of the mesenchymal marker vimentin in MDA-MB-468 breast cancer cells (Davis et al. 2014a). A pharmacological inhibitor of TRPM7 replicated the 255 256 consequences of TRPM7 silencing on EGF induced vimentin expression. These effects were not due to general inhibition of EGF receptor (EGFR) signalling since EGF-mediated EGFR and AKT 257 258 phosphorylation were unaffected by TRPM7 silencing, however, EGF-mediated STAT3 and ERK1/2 phosphorylation were significantly reduced (Davis et al. 2014a). Although a Ca<sup>2+</sup> permeable ion 259 channel, the importance of TRPM7 in Mg<sup>2+</sup> homeostasis and its ability to function as an atypical 260 261 alpha kinase (Paravicini, et al. 2012) require further attention into the nature of its contribution to 262 EMT in some cancer models. Silencing of the cold sensor TRPM8 increases the expression of the epithelial marker E-cadherin in mesenchymal-like MDA-MB-231 cells and reduces levels of the 263 264 mesenchymal marker vimentin (Liu, et al. 2014). Consistent with a role for TRPM8 in the 265 maintenance and/or induction of the mesenchymal phenotype in breast cancer cells, overexpression of TRPM8 in the more epithelial like MCF-7 cell line leads to EMT induction as indicated by down 266 267 regulation of E-cadherin and induction of vimentin (Liu et al. 2014). In Huh7 and HepG2 hepatic 268 cancer cells, TRPC6 silencing attenuates changes in the expression of E-cadherin induced by 269 doxorubicin suggesting that in the ability of TRPC6 silencing to increase sensitivity to doxorubicin 270 through effects of resistance pathways may be due at least in part to effects on some aspects of EMT 271 induction (Wen et al. 2016).

Hence, studies of calcium signalling and Ca<sup>2+</sup> permeable ion channels in EMT from a variety of groups
using an array of EMT inducers and models have helped define a critical role for the Ca<sup>2+</sup> signal in
EMT in cancer cells.

275

# 276 Conclusion

277 An increasing number of studies have reported the remodelling of plasma membrane ion channel expression as a characterizing feature of EMT in cancer cells. The identification of the role of specific 278 279 ion channels in the induction of EMT and/or the maintenance of aspects of the epithelial or 280 mesenchymal-like phenotype in cancer cells suggest that some ion channels may be therapeutic 281 targets to control EMT and hence disease progression (e.g. therapeutic resistance). However, it is 282 likely that different EMT inducers may engage different ion channels to regulate the properties of 283 the mesenchymal phenotype and/or EMT induction itself. This issue and the study of the 284 intersection between sex hormones and receptors that regulate EMT (Jeon, et al. 2016; Kong, et al. 285 2015; Zuo, et al. 2010; Sun, et al. 2014; van der Horst, et al. 2012) and ion channels which 286 themselves intersect with sex hormone pathways (Asuthkar, et al. 2015; Hao, et al. 2015; 287 Mahmoodzadeh, et al. 2016) are areas for future research. Which ion channels to pursue for therapeutic targeting requires careful consideration, and deciding factors will include the expression 288 289 of targets in other cell types and the likely adverse systemic effects of channel inhibitors. However, 290 the successful use of ion channel inhibitors for conditions as diverse as cardiovascular disease to pain demonstrated the need to continue research in this area. 291

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293 Declaration of Interest

294 GRM is associated with QUE Oncology Inc.

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