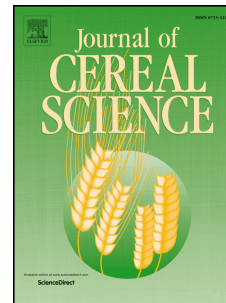


Accepted Manuscript

Effect of alkali treatment on the milled grain surface protein and physicochemical properties of two contrasting rice varieties

Malik A. Nawaz, Shu Fukai, Bhesh Bhandari



PII: S0733-5210(16)30253-3

DOI: [10.1016/j.jcs.2016.09.009](https://doi.org/10.1016/j.jcs.2016.09.009)

Reference: YJCRS 2217

To appear in: *Journal of Cereal Science*

Received Date: 7 August 2016

Revised Date: 5 September 2016

Accepted Date: 13 September 2016

Please cite this article as: Nawaz, M.A., Fukai, S., Bhandari, B., Effect of alkali treatment on the milled grain surface protein and physicochemical properties of two contrasting rice varieties, *Journal of Cereal Science* (2016), doi: 10.1016/j.jcs.2016.09.009.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Effect of alkali treatment on the milled grain surface protein and physicochemical properties of two contrasting rice varieties

Malik A. Nawaz, Shu Fukai, Bhesh Bhandari*

The University of Queensland, School of Agriculture and Food Sciences, Qld 4072, Australia

* Corresponding author: b.bhandari@uq.edu.au, School of Agriculture and Food Sciences, Hartley Teakle Building, Room C405, The University of Queensland, Brisbane QLD 4072, Phone: +61 7 33469192

Abstract

A systematic study was conducted to explore the effect of grain surface proteins on the physicochemical properties (pasting, retrogradation and textural quality) of rice. Milled rice grains of two selected glutinous (Thadokkham-8 (TDK8)) and non-glutinous (Doongara (DG)) varieties were treated with different concentrations (0 %, 0.004 %, 0.02 %, 0.04 %, and 0.2 % w/v) of NaOH solution for 1 hour. After surface protein removal, the cooked rice grains showed a significant ($P < 0.05$) increase in adhesiveness. Similarly, protein removal showed a significant ($P < 0.05$) decrease in the final viscosity (V_f) of rice flours. Furthermore, NaOH treatment at a concentration above 0.04 % induced yellow colour development in grains. Differential calorimetric study showed that alkali treatment resulted in increased onset (T_o), peak (T_p), conclusion (T_c) temperatures and enthalpy (ΔH) of both rice varieties. No significant ($P > 0.05$) effect of alkali treatment was observed on the retrogradation thermal temperatures ($T_{o(r)}$, $T_{p(r)}$, and $T_{c(r)}$), but the amount of retrograded starch (as indicated by reduction in $\Delta H_{(r)}$) was decreased significantly ($P < 0.05$) in both varieties. These findings suggest a good potential of applying alkali pre-treatments in the processing of rice to alter the hardness and stickiness properties of rice.

Keywords

Surface proteins, Alkali treatment, Adhesiveness, Pasting properties, Retrogradation

List of abbreviations

AAC Apparent amylose content

AACC American Association for Cereal Chemist

approx. Approximately

ACIAR Australian Centre for International Agricultural Research

30	BD	Breakdown
31	C _c	Control (without any treatment)
32	C _{c0}	0 % alkali (NaOH) concentration
33	C _{0.004}	0.004 % alkali (NaOH) concentration
34	C _{0.02}	0.02 % alkali (NaOH) concentration
35	C _{0.04}	0.04 % alkali (NaOH) concentration
36	C _{0.2}	0.2 % alkali (NaOH) concentration
37	CLSM	Confocal Laser Scanning Microscope/Micrograph
38	DSC	Differential Scanning Calorimeter
39	DG	Doongara
40	F' 30s	1 st derivative for every 30 sec
41	g	gram/Grams
42	Jg ⁻¹	Joules per gram
43	min	minute/minutes
44	mL	Millilitre
45	mm	Millimetre
46	mPa-s	millipascal-second
47	N	Newton
48	n	number of independent replicates
49	NaOH	Sodium hydroxide
50	NAFRI	National Agriculture and Forestry Research Institute, Lao PDR
51	Na ₂ CO ₃	Sodium carbonate
52	Nsec	Newton second

53	NSW DPI	New South Wales Department of Primary Industries
54	PB	Protein body
55	PBs	Protein bodies
56	pi	Point of inflection
57	RH	Relative humidity
58	PDR	Peoples' Democratic Republic
59	P_{temp}	Pasting temperature
60	r	Correlation
61	RRAPL	Rice Research Australia Pty Ltd
62	RVA	Rapid Visco Analyser
63	R %	Percentage of retrogradation
64	sec	Second/Seconds
65	SB	Setback
66	SD	Standard deviation
67	TDK8	Thadokkham-8
68	TPA	Texture Profile Analysis
69	T_o	Onset temperature of gelatinization
70	T_p	Peak temperature of gelatinization
71	T_c	Conclusion temperature of gelatinization
72	$T_{o(r)}$	Onset temperature of retrogradation
73	$T_{p(r)}$	Peak temperature of retrogradation
74	$T_{c(r)}$	Conclusion temperature of retrogradation
75	V_f	Final viscosity

76	V_p	Peak viscosity
77	V_{pi}	Viscosity at point of inflection
78	V_t	Trough viscosity
79	w/v	Weight by volume
80	XPS	X-ray Photoelectron Spectroscopy
81	ΔH	Enthalpy of starch gelatinization
82	$\Delta H_{(r)}$	Enthalpy of retrograded starch
83	μL	Microliter
84	μm	Micrometre

85 **1. Introduction**

86 An increasing trend in the consumption pattern of rice has been observed due to rising interest in
87 gluten free products. Rice can be broadly divided into two distinctive types based on the native starch
88 type present in the endosperm; glutinous rice cultivars primarily containing branched amylopectin and
89 non-glutinous rice cultivars containing linear chain amylose as well as amylopectin (Yu et al. 2015).
90 The textural attributes of cooked glutinous and non-glutinous rice are quite different from each other
91 due to this compositional difference. Good quality glutinous rice should be very sticky and vice versa
92 for non-glutinous rice (Nawaz et al. 2016a). However, ageing induces functional changes in the stored
93 glutinous rice (Nawaz et al. 2016b) making it less sticky. The mechanism of reduction in the cooked
94 rice stickiness is still an area of research interest.

95 The functional attributes of rice have long been ascribed to starch composition and property.
96 Many studies to date have focused on the role of amylose content (Lu et al. 2013; Syahariza et al.
97 2013), fine structures of amylopectin (Syahariza et al. 2013), solubility of amylose (Fu et al. 2015), the
98 gelatinization and melting temperatures of amorphous and crystalline regions of amylopectin (Zeng et
99 al. 2014), and the amount of native structures remaining in starch granules after heating
100 (Klaovhanpong et al. 2015). Extensive consideration of investigation on only starch is not surprising
101 considering that starch accounts for 92-95 % of the dry matter in a milled rice grain. However, it has
102 now been realised that starch may not be the only factor affecting the cooking/eating attributes of rice
103 grains (Yadav et al. 2013).

104 Protein is the second most abundant macromolecule in rice endosperm after starch. Rice
105 generally contains 6-8 % protein and does not fluctuate widely from this level (Yadav et al. 2013).
106 Proteins in a rice kernel are present in the form of round discrete protein bodies (PBs). The estimated
107 size of PB is usually around 4-5 μm . There are two types of PBs; Protein body I and protein body II
108 (Han and Hamaker, 2002). PBs in subaleurone layer are not similar to those present in endosperm
109 (Baxter et al. 2004). Subaleurone PBs are rich in glutelin (alkali soluble) and albumin (water soluble).
110 While endospermic PBs are rich in prolamin (alcohol soluble) (Baxter et al. 2004).

111 Various studies have been conducted in the past to find out the effect of protein (Yadav et al.
112 2013; Xie et al. 2008) and shown a weak correlation between the gross protein content and the texture
113 of cooked rice, higher protein content rice being harder than low protein content rice (Baxter et al.
114 2004). Moreover, in a recent study the surface analysis of rice kernels using X-ray Photoelectron
115 Spectroscopy (XPS) and Confocal Laser Scanning Microscopy (CLSM) showed an over-expression of
116 proteins and lipids and an under-expression of starch on the surface of rice endosperm compared to the
117 bulk composition of endosperm (Nawaz et al. 2016c). Alkali extraction has been used in recent studies
118 to extract protein from cereal flours, especially in rice (Souza et al. 2016). Alkaline treatment by
119 agents such as lye or sodium hydroxide is widely used in the production of many value-added food
120 products from cereals, including tortillas, waxy rice dumplings (Lai et al. 2002), and various extruded
121 products such as instant noodles and yellow alkaline noodles (Nadiha et al. 2010). It is assumed that
122 dilute alkali treatment to the whole rice grains may be a useful technique to remove surface protein
123 residues resulting in more starch on the surface. An increase in stickiness/adhesiveness in stored rice
124 may be improved by removing surface proteins, as starch is stickier than protein (Hamaker et al.
125 1991). Alkali treatment may also wash surface lipids by saponification. However, alkali application to
126 food products especially cereals should be employed carefully as steeping with higher concentration of
127 alkali (such as 0.4 % NaOH) for longer time (7-14 days) can lead to structural changes in rice starches
128 (Cai et al. 2014), resulting in changes in functional properties such as swelling power, water binding
129 capacity, gelatinization and pasting attributes (Karim et al. 2008; Wang and Copeland, 2012). Our
130 study has avoided the inappropriate alkali steeping by using lower NaOH concentration for shorter
131 period of time. The objective of the present study is to investigate if the removal of the protein bodies
132 from the surface of the grain alters the stickiness of the cooked grain. For this the milled rice grains of
133 two contrasting rice varieties (waxy and non-waxy, respectively) were treated with various
134 concentrations of sodium hydroxide solution to wash surface proteins and lipids. This washing was
135 expected to lead to increase in stickiness of cooked rice grains which is one of the most important
136 quality attributes of waxy rice.

137 2. Materials and methods

138 One *Oryza sativa* indica cultivar of glutinous rice from Lao PDR (Thadokkham-8 (TDK8)
139 having 3.77 % apparent amylose contents (AAC)) and one *O. sativa* japonica non-glutinous rice from
140 Australia (Doongara (DG), 19.71 % (AAC)) were used in this study. The milled TDK8 was provided
141 by National Agriculture and Forestry Research Institute (NAFRI), Lao PDR, while Doongara were
142 provided by Rice Research Australia Pty Ltd (RRAPL), Mackay, Qld, Australia.

143 2.1. Alkali treatment

144 The milled rice grains of selected varieties were soaked in various concentrations of NaOH
145 solution ($C_{c0} \simeq 0$ %, $C_{0.004} \simeq 0.004$ %, $C_{0.02} \simeq 0.02$ %, $C_{0.04} \simeq 0.04$ %, and $C_{0.2} \simeq 0.2$ %) at 40°C for 1
146 hour with a rice to solution ratio of 1:8. After 1 hour the treated rice grains were washed with
147 deionised water until completely neutralised (pH = 7.0 approx.). These concentrations corresponded to
148 7.0, 11.0, 11.7, 12.0 and 12.7 pH, respectively. The treated samples were spread on blotting paper and
149 kept in fume hood at room temperature ($22 \pm 1^\circ\text{C}$, RH~50 %) for 72 hours to reduce the moisture
150 contents to 14 %. One control (C_c) sample without any treatment was also kept for comparison.

151 2.2. Colour estimation of alkali treated rice grains

152 A Konica Minolta Chroma Meter CR-400 (Tokyo, Japan) was used for all colour measurements.
153 Prior to colour measurements, the colour meter was calibrated with a white tile. Colour measurements
154 were made at least in three folds on samples placed in a clear petri dish. The colour was measured in
155 CIEL*a*b* colour space. L^* is a measurement of brightness from black (0) to white (100). Parameter
156 a^* refers red-green colour with positive a^* -values indicating redness and negative a^* -values indicating
157 greenness. Whereas, parameter b^* refers yellow-blue colour with positive b^* -values indicating
158 yellowness and negative b^* -values indicating blueness (Good, 2002).

159 2.3. Confocal laser scanning microscopy (CLSM)

160 Alkali treated rice grains were dyed with a mixture (1:1) of 0.01 % (w/v in water) Rhodamine B
161 (Sigma R6626) and 0.02 % (w/v in poly (ethylene glycol) 200 (Fluka 81150)) Nile Red (Sigma 72485)
162 for labelling protein and lipid, respectively. The samples were treated with dyes in dark with
163 intermittent shaking. After 10 min dye-labelled samples were washed with deionised water until
164 supernatant became clear. The microstructure of rice grains was observed by using LSM 700 confocal
165 laser scanning microscope (CLSM, Zeiss, Germany).

166 2.4. Crude protein analysis

167 Rice samples were ground to flour using a disc mill (Good Friends of the Guangzhou Machinery
168 Co. Ltd., Guangzhou, China). The flour particles were sieved through 500 μm sieve to attain particle
169 size ≤ 500 μm . Crude protein content in rice flour was determined by the semi-micro-Kjeldahl method

170 using a Kjelttec 2300 Autoanalyser (Foss AB, Sweden). A nitrogen conversion factor of 5.95 was used
171 to compute the protein value.

172 2.5. Textural profile analysis

173 Rice grains (5 g) were added in 15 mL of MilliQ water (rice:water ratio =1:3) in 50 mL glass beaker.
174 The beaker was placed in water bath at $95\pm 1^\circ\text{C}$. Cooking was continued until there was no
175 ungelatinized white belly observed in rice kernel cross section (data not shown). Analysis of textural
176 attributes was performed on a TA-XTplus Texture Analyser (Stable Microsystems, UK) using 35-mm
177 circular probe. Three cooked grains were on the flat stage, and the texture determined. The texture
178 analyser settings were as follows: pre-test speed, 2.00 mm/sec; post-test speed, 2.00 mm/sec; distance,
179 2.00 mm; time, 10.00 sec; (auto) trigger force, 0.05 N. From the force-time curve obtained, textural
180 attributes of hardness (height of the force peak on cycle 1, N) and adhesiveness (negative force area of
181 the first cycle, Nsec) were computed using the EXPONENT Stable Micro Systems software supplied
182 with instrument. The TPA values reported are the averages of 3 different determinations.

183 2.6. Pasting properties

184 Pasting properties of rice flour (particle size $\leq 500\ \mu\text{m}$) were determined according to the AACC
185 International Method 61-02.01 using a Rapid Visco Analyser (RVA-4 model Thermocline Windows
186 Control and analysis software, Version 1.2 (New Port Scientific, Sydney, Australia)). Rice flour (3.01
187 g, 12.4 % moisture basis) was mixed with 25.0 g MilliQ water in the RVA canister. A programmed
188 heating and cooling cycle was used, the samples were held at 50°C for 1 min, heated to 95°C in 3.45
189 min, held at 95°C for 2.7 min before cooling to 50°C in 3.91 min and holding at 50°C for 1.24 min.
190 Pasting temperature (P_{temp}), Peak viscosity (V_p), Trough viscosity (V_t), Breakdown (BD), Final
191 viscosity (V_f) and Setback (SB) were recorded.

192 There was no peak viscosity found in DG viscographs. Therefore, Point of inflection (π) was
193 calculated using 1st derivative for every 30 sec ($F' 30\text{s}$) as shown in supplementary section Fig. S1.
194 Viscosity at point of inflection (V_{π}) was estimated by using π .

195 2.7. Gelatinisation and retrogradation properties

196 Differential Scanning Calorimeter (DSC) (Mettler Toledo, Schwerzenbach, Switzerland) with
197 internal coolant and nitrogen/air purge gas was used to determine the gelatinisation characteristics of
198 rice flours. The DSC was calibrated for the heat flow using indium as standard. Rice flour (4 mg, dry
199 weight basis) was accurately weighed into aluminium pan and $6\ \mu\text{L}$ MilliQ water was added. The pan
200 was hermetically sealed and equilibrated at room temperature for 30 min, then scanned at the heating
201 rate of $15^\circ\text{C}/\text{min}$ from 0 to 100°C with empty sealed pan as reference. The onset (T_o), peak (T_p) and

202 conclusion (T_c) temperatures, and enthalpy (ΔH) of gelatinisation were determined by Star^e Software
203 Version 9.1 (Mettler Toledo).

204 After cooling the scanned samples pans were placed in a refrigerator at $4\pm 1^\circ\text{C}$ for 7 days.
205 Retrogradation properties were measured by rescanning these samples at the rate of $15^\circ\text{C}/\text{min}$ from 0
206 to 100°C . The onset ($T_{o(r)}$), peak ($T_{p(r)}$) and conclusion ($T_{c(r)}$) temperatures, and enthalpy of retrograded
207 starch ($\Delta H_{(r)}$) were determined. The percentage of retrogradation (R %) was calculated as $\Delta H_{(r)}/\Delta H \times$
208 100.

209 2.8. Statistical analysis

210 All treatments were replicated three times to obtain mean values. The reported data for the
211 CIEL*a*b* colour space, crude protein, textural profile analysis, pasting properties, and gelatinisation
212 and retrogradation for each variety was analysed separately by analysis of variance using Minitab R17
213 (Minitab® for Windows Release 17, Minitab Inc, Chicago) in order to determine significant
214 differences. The data was then analysed using Tukeys pair-wise comparison, at 5 % level of
215 significance, to compare the results between different treatments.

216 3. Results and discussion

217 3.1. Colour estimation of alkali treated rice grains

218 The colour parameters of the raw and cooked grains of control and alkali treated TDK8 and DG
219 are shown in Table 1. Significant ($P<0.05$) decrease in the brightness (L^*) of raw TDK8 kernels was
220 observed when treated with 0.2 % of NaOH. However, no change in L^* was observed in raw DG rice
221 kernels. From 0.02 % to 0.2 % of NaOH concentration induced a significant ($P<0.05$) increase in
222 greenness ($-a^*$) in raw TDK8 rice; whereas, only 0.2 % of NaOH induced a significant ($P<0.05$)
223 greenness in raw DG rice grains. For raw TDK8 rice grains, yellowness (b^*) increased significantly
224 with 0.2 % of NaOH. Raw DG rice grains were susceptible to alkali yellowness (b^*) and significant
225 ($P<0.05$) increase in yellowness (b^*) was observed with increase in alkali concentration. In cooked
226 TDK8 rice kernels significant ($P<0.05$) decrease in the brightness (L^*) at 0.004 % of NaOH
227 concentration was observed. However, no significant ($P>0.05$) decrease in L^* was observed with
228 further increase in NaOH concentration. Moreover, NaOH concentration of 0.04 % induced significant
229 ($P<0.05$) increase in L^* of cooked DG rice kernels. NaOH concentration of 0.02 % induced a
230 significant ($P<0.05$) increase in greenness ($-a^*$) in cooked TDK8 rice. Further increase in NaOH
231 concentration had no significant ($P>0.05$) effect on a^* of cooked TDK8 rice kernels. Whereas, NaOH
232 concentration of 0.04 % induced a significant ($P<0.05$) increase in greenness ($-a^*$) in cooked DG rice
233 kernels. From 0.02 % to 0.2 % of NaOH concentration induced a significant ($P<0.05$) increase in
234 yellowness (b^*) in both cooked TDK8 and DG rice kernels. Previous studies on the effect of alkali

235 treatment on cereal products also reported the induced yellowness in sodium hydroxide treated grains
236 and flour (Lai et al. 2004; Nadiha et al. 2010). The induced yellow colour in alkali treated rice grains
237 may be attributable to the naturally occurring flavonoids (such as apigenin-*C*-diglycosides) present in
238 cereal aleurone and sub-aleurone layers. These compounds are colourless at acidic or neutral pH but
239 turn yellow at basic pH (Asenstorfer et al. 2006). The scanned images showing colour differences of
240 raw and cooked grains of control and alkali treated TDK8 and DG are presented in supplementary
241 section in Fig. S2.

242 3.2. Confocal laser scanning microscopy (CLSM)

243 The CLSM images of control and alkali treated rice kernels are shown in Fig. 1. The surface of
244 C_c and C_{c0} in both rice varieties (TDK8 and DG) showed a layer of lipids (labelled as red with Nile
245 red) and protein (labelled as green with Rhodamine B). Reduction of surface proteins and lipids was
246 observed with increase in NaOH concentration from 0.004 % to 0.2 %, showing washing of surface
247 proteins (possibly mostly glutelin) and lipids. In addition, besides surface proteins some of the proteins
248 located internally might have been removed. Although CLSM was unable to detect this, as the dyes
249 (Rhodamine B and Nile red) were unable to penetrate the interior of the kernel.

250 3.3. Mass loss during alkali treatment

251 Less than 3 % and 4.5 % of mass loss was recorded in the water and maximum alkali (0.2 %
252 NaOH w/v) treated samples (data not shown). Soaking of milled rice kernels with alkali solution not
253 only washed surface proteins and saponify surface lipids but also removed intact dust and bran
254 residues. No doubt there might be loss of water soluble components from grains which were not
255 analysed in the present study and needs further investigation.

256 3.4. Crude protein of control and alkali treated rice samples

257 The crude protein content of control and alkali treated TDK8 and DG are presented in Fig. 2a
258 and 2b, respectively. Results showed that DG may have more alkali soluble protein (such as glutelin)
259 content than TDK8. There was no significant ($P>0.05$) reduction of total crude protein content of
260 TDK8 up to 0.04 % of NaOH when compared to C_c (control). However, significant ($P<0.05$)
261 reduction of total protein content was found in DG even at 0.004 % of NaOH.

262 3.5. Textural profile analysis

263 Textural profiles of cooked control and alkali treated TDK8 and DG rice grains are shown in
264 Fig. 3a and 3b, respectively. Cooked TDK8 rice hardness values ranged from 2.12 ± 0.004 N (C_{c0}) to
265 7.84 ± 0.011 N ($C_{0.2}$) and from 8.03 ± 0.058 N (C_{c0}) to 18.56 ± 0.157 N ($C_{0.2}$) for cooked DG rice. The
266 rice samples treated with 0 % water showed the least hardness in both rice varieties. Results showed a

267 significant ($P<0.05$) increase in cooked rice hardness with increase in NaOH concentration from $C_{0.004}$
268 to $C_{0.2}$ in both rice varieties. Baxter et al. (2004) reported that removal of prolamin by 100 % propan-
269 2-ol resulted in significant ($P<0.05$) increase in hardness which is similar to our results where we
270 removed the water soluble proteins by treating with alkaline conditions.

271 The effect of protein removal through alkali (NaOH) treatment on the adhesiveness/stickiness of
272 cooked rice grains of selected rice varieties TDK8 and DG is shown in Fig. 3a and 3b, respectively.
273 Cooked rice stickiness increased significantly ($P<0.05$) with decrease in grain surface protein
274 contents, as indicated in CLSM images of control and alkali treated rice grains of selected varieties
275 (Fig. 1). Similar to the current findings, Chrastil (1990) also reported that the adhesiveness/stickiness
276 of rice could be increased with the reduced amount of glutelin it contained. In addition, there was
277 significant ($P<0.05$) correlation found between hardness and adhesiveness of alkali treated rice grains
278 TDK8 and DG as shown in Fig. 3b and 3c, respectively. Cooking is a complex process due to several
279 physicochemical changes taking place simultaneously. The surface layers, cells and granules
280 disintegrate and components leach out from the cells (Tamura et al. 2014). This disintegration allows
281 starch granules to interact with protein bodies, mostly amylopectin with glutelin (Chrastil, 1990;
282 Baxter et al. 2014). This starch-protein interaction affects the overall stickiness of the cooked rice,
283 higher the interaction greater will be the stickiness. Previous studies reported the reduced starch-
284 protein interaction in aged rice resulted in decreased stickiness (Chrastil, 1990; Derycke et al. 2005;
285 Baxter et al. 2014), probably due to a thin layer of non-interacted protein (glutelin) bodies on the rice
286 endosperm surface. This explanation supports the hypothesis and findings of present study; washing of
287 surface proteins led to increase in stickiness of cooked rice.

288 3.6. Pasting properties

289 The pasting properties of control and alkali treated TDK8 and DG rice flours are shown in Table
290 2. Results showed diverse behaviour of samples due to alkali treatment. Increase in pasting
291 temperature (P_{temp}) with increase in surface proteins removal was observed for TDK8. However, slight
292 decrease in P_{temp} was found in DG with increase in surface proteins removal. Increase in surface
293 proteins removal restricted the swelling of starch granules in TDK8 flour, resulting in significant
294 ($P<0.05$) decrease in peak viscosity (V_p). However, protein removal had no effect on viscosity at point
295 of inflection (V_{pi}) in case of DG. Probably, sodium hydroxide (NaOH) treatment had masked the
296 effect of protein on V_p . It was also found that increase in alkali concentration also significantly
297 ($P<0.05$) reduced the V_p . Interestingly, these results are not in agreement with the findings of Lai et al.
298 (2004), who reported significant ($P<0.05$) increase in V_p of native cereal starches in sodium carbonate
299 (Na_2CO_3) and NaOH solutions. However, similar results of restricted V_p of alkali treated sago starch

300 were reported by Karim et al. (2008). The possible reason of variation in the pasting behaviours may
301 be the difference in the sample preparation. In this study and the study carried out by Karim et al.
302 (2008), cereal samples were treated with alkali and subsequently washed with deionised water for 15
303 min to neutralise the pH prior to examining the pasting behaviour. However, Lai et al. (2004) carried
304 out pasting studies on starch samples suspended in alkali solutions such as 1 % Na_2CO_3 and 1 %
305 NaOH. As the sample preparation in the present study is similar to that of Karim et al. (2008), the
306 reduced V_p could be due to the disrupted amorphous regions and weakened granular rigidity of alkali
307 treated starch samples.

308 In general, protein removal resulted in decreased Breakdown (BD) and Setback (SB) of TDK8
309 flour and Final viscosity (V_f) of both TDK8 and DG flours. Although there was no BD and Trough
310 viscosity (V_t) observed in DG, increase in alkali concentration reduced the rate of increase in viscosity
311 during holding at 95°C as shown in supplementary section S3. The results are at par with the findings
312 of Baxter and co-workers (2004), who studied the effect of prolamin on the pasting properties of rice
313 flour. They reported that extraction of approximately 95 % of total prolamin fractions in rice flours
314 resulted in significant ($P < 0.05$) reduction in BD and V_f and slight reduction in SB. But this study did
315 not investigate the effect of surface protein removal from the rice flour or kernels.

316 3.7. Gelatinisation and retrogradation properties by DSC

317 The gelatinisation and retrogradation properties of control and alkali treated TDK8 and DG rice
318 flours are shown in Table 3. Protein removal via alkali treatment resulted in increased onset (T_o), peak
319 (T_p), conclusion (T_c) temperatures and enthalpy (ΔH) during gelatinization of both rice varieties. The
320 rise in gelatinization transition temperatures and enthalpy with increase in NaOH concentration may
321 be attributed to the starch granule stability, possibly through electrostatic interactions between
322 hydroxyl groups of starch and Na^+ ions. Starch exhibits Donnan-potential in the presence of water due
323 to its weak acidic ion-exchanging behaviour (Oosten, 1990). The starch particles have negative charge;
324 therefore, penetration of Na^+ into the amorphous regions of starch granules is promoted. Moreover,
325 under alkaline conditions, hydroxyl groups of starch might have greater tendency to ionise and create
326 even more binding sites for cations. It is hypothesized by Oosten (1990) that anions might tend to
327 destabilise starch granules by breaking hydrogen bonds. However, such destabilising effects of anions
328 might be much weaker than the stabilising effects of cations. Several researchers have reported similar
329 stabilising effects of sodium salts such as sodium chloride (Abd Ghani et al. 1999; Evans and
330 Haisman, 1982), sodium acetate (Evans and Haisman, 1982), sodium sulphate (Evans and Haisman,
331 1982), and sodium carbonate (Lai et al. 2002).

332 On the other hand, no significant ($P>0.05$) effect of protein removal was observed on the
333 retrogradation thermal temperatures ($T_{o(r)}$, $T_{p(r)}$, and $T_{c(r)}$), but enthalpy of retrograded starch ($\Delta H_{(r)}$)
334 was decreased significantly ($P<0.05$) in the flour samples of both varieties. This indicates that higher
335 concentration of alkali treatment on starch restricts retrogradation. This was a highest amount of
336 decrease in retrogradation at highest concentration (0.2% w/v) of alkali treatment. In fact, $\Delta H_{(r)}$
337 provides an overall measure of the energy requirement for melting or uncoiling of double helices of
338 recrystallized amylopectin (Russell, 1987). Significant ($P<0.05$) decrease in $\Delta H_{(r)}$ with increase in
339 alkali treatment may be indicative of structural changes in amylopectin, possibly alkali-induced
340 depolymerisation. This will need further investigation.

341 **4. Conclusion**

342 This study showed that the protein surface layer from rice kernel or rice flour could be washed
343 by using very dilute (as low as 0.002 %) alkali (NaOH) solutions. It was found that NaOH treatment at
344 a concentration above 0.04 % induced yellow colour development in grains. Moreover, it was also
345 observed that textural, pasting, thermal attributes and retrogradation properties were also affected by
346 alkali solution washing. Stickiness of cooked glutinous and non-glutinous (TDK8 and DG,
347 respectively) rice could be significantly increased by washing with dilute NaOH solutions. The
348 contrasting effects of washing of surface proteins and NaOH concentration mean that it might be
349 promising to manipulate the textural properties of glutinous and non-glutinous rice kernels to achieve
350 desirable sensory outcomes by varying the proportions of the surface proteins in milled rice kernels.

351 **Acknowledgements**

352 This work was financially supported by the Australian Centre for International Agricultural
353 Research (ACIAR). Thanks also to Dr Jaquie Mitchell (Research Fellow, The University of
354 Queensland), Andrew Barfield (Farmer), Russell Ford (Research Manager, Sunrice, RRAPL), Peter
355 Snell (Rice Breeder, NSW DPI) and NAFRI (Lao PDR) for providing the rice paddy samples used in
356 this research.

357

358

359 **References**

- 360 Abd Ghani, M.B., Che Man, Y.B., Ali, A.B., Mat Hashim, D.B., 1999. Differential scanning
361 calorimetry: Gelatinization of sago starch in the presence of sucrose and sodium chloride. *J. Sci.*
362 *Food Agric.* 79, 2001-2009.
- 363 Asenstorfer, R.E., Wang, Y., Mares, D.J., 2006. Chemical structure of flavonoid compounds in wheat
364 (*Triticum aestivum* L.) flour that contribute to the yellow colour of Asian alkaline noodles. *J.*
365 *Cereal Sci.* 43(1), 108-119.
- 366 Baxter, G., Blanchard, C., Zhao, J., 2014. Effects of glutelin and globulin on the physicochemical
367 properties of rice starch and flour. *J. Cereal Sci.* 60, 414-420.
- 368 Baxter, G., Blanchard, C., Zhao, J., 2004. Effects of prolamin on the textural and pasting properties of
369 rice flour and starch. *J. Cereal Sci.* 40, 205-211.
- 370 Cai, J., Yang, Y., Man, J., Huang, J., Wang, Z., Zhang, C., Gu, M., Liu, Q., Wei, C., 2014. Structural
371 and functional properties of alkali-treated high-amylose rice starch. *Food Chem.* 145, 245-253.
- 372 Chrastil, J., 1990. Protein-starch interactions in rice grains. Influence of storage on oryzenin and
373 starch. *J. Agric. Food Chem.* 38, 1804-1809.
- 374 Derycke, V., Veraverbeke, W.S., Vandeputte, G.E., De Man, W., Hoseney, R.C., Delcour, J.A., 2005.
375 Impact of proteins on pasting and cooking properties of non-parboiled and parboiled rice. *Cereal*
376 *Chem.* 82(4), 468-474.
- 377 Evans, I.D., Haisman, D.R., 1982. The effect of solutes on the gelatinization temperature range of
378 potato starch. *Starch/Stärke* 34, 224-231.
- 379 Fu, Z., Luo, S., BeMiller, J.N., Liu, W., Liu, C., 2015. Influence of high-speed jet on solubility,
380 rheological properties, morphology and crystalline structure of rice starch. *Starch/Stärke* 67,
381 595-603.
- 382 Good, H., 2002. Measurement of color in cereal products. *Cereal Food World* 4, 5-6.
- 383 Han, X., Hamaker, B.R., 2002. Partial leaching of granule-associated proteins from rice starch during
384 alkaline extraction and subsequent gelatinization. *Starch/Stärke* 54, 454-460.
- 385 Hamaker, B.R., Griffin, V. K., Moldenhauer, K.A.K., 1991. Potential influence of a starch granule-
386 associated protein on cooked rice stickiness. *J. Food Sci.* 56, 1327-1329.
- 387 Karim, A.A., Nadiha, M.Z., Chen, F.K., Phuah, Y.P., Chui, Y.M., Fazilah, A., 2008. Pasting and
388 retrogradation properties of alkali-treated sago (*Metroxylon sago*) starch. *Food Hydrocoll.* 22,
389 1044-1053.
- 390 Klaovhanpong, N., Puttanlek, C., Rungsardhong, V., Puncha-amon, S., Uttapap, D., 2015.
391 Physicochemical and structural properties of debranched waxy rice, waxy corn and waxy potato
392 starches. *Food Hydrocoll.* 45, 218-226.
- 393 Lai, L.N., Karim, A.A., Norziah, M.H., Seow, C.C., 2004. Effects of Na₂CO₃ and NaOH on pasting
394 properties of selected native cereal starches. *J. Food Sci.* 69(4), 249-256.
- 395 Lu, S., Cik, T., Lii, C., Lai, P., Chen, H., 2013. Effect of amylose content on structure, texture and α-
396 amylase reactivity of cooked rice. *LWT – Food Sci. Tech.* 54, 224-228.
- 397 Nadiha, M.Z.N., Fazilah, A., Bhat, R., Karim, A.A., 2010. Comparative susceptibilities of sago, potato
398 and corn starches to alkali treatment. *Food Chem.* 121, 1053-1059.
- 399 Nawaz, M.A., Fukai, S., Bhandari, B., 2016a. Effect of different cooking conditions on the pasting
400 properties of flours of glutinous rice varieties from Lao PDR. *Int. J. Food Prop.* 19, 2026-2040.
- 401 Nawaz, M.A., Fukai, S., Bhandari, B., 2016b. *In-situ* analysis of cooking properties of rice by Thermal
402 Mechanical Compression Test (TMCT) method', *Int. J. Food Prop.*
403 10.1080/10942912.2016.1203935.
- 404 Nawaz, M.A., Gaiani, C., Fukai, S., Bhandari, B., 2016c. X-ray photoelectron spectroscopic analysis
405 of rice kernels and flours: Measurement of surface chemical composition. *Food Chem.* 212, 349-
406 357.
- 407 Oosten, B.J., 1990. Interactions between starch and electrolytes. *Starch/Stärke* 42, 327-330.

- 408 Russell, P.L., 1987. The ageing of gels from starches of different amylose/amylopectin content studied
409 by differential scanning calorimetry. *J. Cereal Sci.* 6, 147-158.
- 410 Souza, D., Sbardelotto, A.F., Ziegler, D.R., Marczak, L.D.F., Tessaro, I.C., 2016. Characterization of
411 rice starch and protein obtained by a fast alkaline extraction method. *Food Chem.* 191, 36-44.
- 412 Syahariza, Z.A., Sar, S., Hasjim, J., Tizzotti, M.J., Gilbert, R.G., 2013. The importance of amylose and
413 amylopectin fine structures for starch digestibility in cooked rice grains. *Food Chem.* 136, 742-
414 749.
- 415 Tamura, M., Nagai, T., Hidaka, Y., Noda, T., Yokoe, M., Ogawa, Y., 2014. Changes in histological
416 tissue structure and textural characteristics of rice grain during cooking process. *Food Struct.* 1,
417 164-170.
- 418 Wang, S., Copeland, L., 2012. Effect of alkali treatment on structure of pea starch granules. *Food*
419 *Chem.* 135, 1635-1642.
- 420 Xie, L., Chen, N., Duan, B., Zhu, Z., Liao, X., 2008. Impact of proteins on pasting and cooking
421 properties of waxy and non-waxy rice. *J. Cereal Sci.* 47, 372-379.
- 422 Yadav, R.B., Khatkar, B.S., Yadav, B.S., 2013. Electrophoretic characterization and functional
423 properties of rice proteins from Indian rice cultivars. *Int. J. Food Prop.* 16, 1776-1788.
- 424 Yu, X., Yu, H., Zhang, J., Shao, S., Xiong, F., Wang, Z., 2015. Endosperm Structure and
425 Physicochemical Properties of Starches From Normal, Waxy, and Super-Sweet Maize. *Int. J.*
426 *Food Prop.* 18, 2825-2839.
- 427 Zeng, F., Ma, F., Gao, Q., Yu, S., Kong, F., Zhu, S., 2014. Debranching and temperature-cycled
428 crystallization of waxy rice starch and their digestibility. *Carbohydr. Polym.* 113, 91-96.
429

Table 1

CIEL *a*b* colour space of control and alkali treated rice grains of Thadokkham-8 (TDK8) and Doongara (DG).*

Rice variety	Treatment	CIEL *a*b* colour space		
		<i>L</i> *	<i>a</i> *	<i>b</i> *
Raw TDK8 rice grains	C _c	97.4 ^{ab} ±0.18	-0.41 ^a ±0.04	7.56 ^a ±0.09
	C _{c0}	97.6 ^a ±0.01	-0.38 ^a ±0.05	7.99 ^a ±0.12
	C _{0.004}	97.2 ^{ab} ±0.41	-0.41 ^a ±0.02	7.82 ^a ±0.28
	C _{0.02}	97.7 ^a ±0.04	-0.59 ^b ±0.04	9.00 ^a ±0.29
	C _{0.04}	97.6 ^a ±0.52	-0.86 ^c ±0.04	9.27 ^a ±0.95
	C _{0.2}	96.4 ^b ±0.09	-1.88 ^d ±0.03	13.21 ^b ±0.20
Cooked TDK8 rice grains	C _c	96.8 ^{ab} ±0.35	-0.96 ^a ±0.02	0.55 ^a ±0.01
	C _{c0}	97.7 ^a ±0.37	-0.68 ^a ±0.32	0.37 ^a ±0.01
	C _{0.004}	95.6 ^c ±0.21	-0.84 ^a ±0.05	0.65 ^a ±0.04
	C _{0.02}	95.4 ^{cd} ±0.57	-1.69 ^b ±0.02	2.54 ^b ±0.06
	C _{0.04}	94.8 ^{cd} ±0.14	-1.79 ^b ±0.01	3.55 ^c ±0.10
	C _{0.2}	94.2 ^d ±0.05	-1.85 ^b ±0.04	4.35 ^d ±0.32
Raw DG rice grains	C _c	94.1 ^a ±0.23	0.43 ^a ±0.04	8.87 ^a ±0.08
	C _{c0}	94.6 ^a ±0.71	0.38 ^a ±0.02	9.69 ^b ±0.03
	C _{0.004}	93.9 ^a ±1.38	0.34 ^a ±0.04	9.97 ^{bc} ±0.18
	C _{0.02}	93.9 ^a ±0.60	0.35 ^a ±0.01	10.34 ^d ±0.18
	C _{0.04}	94.3 ^a ±0.55	0.39 ^a ±0.02	10.30 ^{bc} ±0.03
	C _{0.2}	94.1 ^a ±0.18	-0.55 ^b ±0.10	13.10 ^c ±0.05
Cooked DG rice grains	C _c	93.1 ^a ±0.26	1.20 ^a ±0.28	7.25 ^{ab} ±0.35
	C _{c0}	94.3 ^{ab} ±0.35	0.73 ^{ab} ±0.04	6.45 ^a ±0.35
	C _{0.004}	93.4 ^a ±0.21	0.65 ^b ±0.04	7.55 ^{ab} ±0.21
	C _{0.02}	93.8 ^a ±0.57	0.39 ^b ±0.01	8.55 ^b ±0.21
	C _{0.04}	95.2 ^{bc} ±0.07	-0.13 ^c ±0.04	10.34 ^c ±0.09
	C _{0.2}	95.5 ^c ±0.19	-0.35 ^c ±0.07	14.10 ^d ±0.71

*Means ± SD (n = 3). For a particular rice variety, means with different letters in same column denote significant difference at 5 % probability level within each rice variety.

Table 2

Pasting properties of control and alkali treated flour of Thadokkham-8 (TDK8) and Doongara (DG).*

Rice variety	Treatment	Pasting properties					
		P _{temp} (°C)	V _p (mPa-s)	V _t (mPa-s)	BD (mPa-s)	V _f (mPa-s)	SB (mPa-s)
TDK8 flour	C _c	74.7 ^a ±0.67	2417 ^a ±27.58	2121 ^a ±46.67	296 ^{ab} ±74.25	2527 ^a ±43.13	406 ^a ±3.54
	C _{c0}	73.3 ^a ±0.78	2784 ^b ±108.19	2183 ^a ±61.52	601 ^a ±169.71	2584 ^a ±45.96	401 ^a ±15.56
	C _{0.004}	73.9 ^a ±0.49	2692 ^b ±25.46	2063 ^{ab} ±41.01	629 ^a ±15.59	2473 ^a ±17.68	410 ^a ±23.33
	C _{0.02}	73.8 ^a ±0.64	2357 ^a ±70.00	1966 ^b ±7.78	391 ^{ab} ±77.78	2244 ^b ±22.63	279 ^b ±14.85
	C _{0.04}	74.1 ^a ±0.28	2184 ^a ±62.23	1722 ^c ±12.73	462 ^a ±74.95	2029 ^c ±52.33	307 ^b ±39.60
	C _{0.2}	79.7 ^b ±0.46	1535 ^c ±14.14	1481 ^d ±16.26	255 ^b ±2.12	1751 ^d ±14.14	271 ^b ±2.12
Sample	Treatment	P _{temp} (°C)	V _{pi} (mPa-s)	V _f (mPa-s)			
DG flour	C _c	83.3 ^a ±0.60	304 ^a ±44.55	3469 ^a ±151.32			
	C _{c0}	82.5 ^a ±1.73	297 ^a ±87.68	3014 ^b ±177.48			
	C _{0.004}	82.1 ^a ±0.78	341 ^a ±50.91	3037 ^b ±62.93			
	C _{0.02}	80.8 ^a ±0.49	363 ^a ±87.68	2848 ^{bc} ±75.66			
	C _{0.04}	80.7 ^a ±0.18	316 ^a ±3.54	2466 ^c ±3.54			
	C _{0.2}	79.9 ^a ±0.35	225 ^a ±31.82	2594 ^c ±11.31			

*Means ± SD (n = 3). For a particular rice variety, means with different letters in same column denote significant difference at 5 % probability level within each variety.

Table 3

Gelatinisation and retrogradation properties of control and alkali treated flour of Thadokkham-8 (TDK8) and Doongara (DG).

Rice variety	Treatment	Gelatinization				Retrogradation				
		T _o (°C)	T _p (°C)	T _c (°C)	ΔH (Jg ⁻¹)	T _{o(r)} (°C)	T _{p(r)} (°C)	T _{c(r)} (°C)	ΔH _(r) (Jg ⁻¹)	R (%)
TDK8 flour	C _c	64.4 ^a ±0.40	71.6 ^a ±0.01	84.6 ^a ±0.47	10.4 ^{ab} ±0.23	41.1 ^a ±0.18	51.9 ^a ±0.33	60.0 ^a ±0.45	4.4 ^{ab} ±0.26	42.2 ^{ab} ±3.44
	C _{c0}	64.9 ^a ±0.45	72.5 ^b ±0.16	87.8 ^b ±0.81	9.3 ^a ±0.78	41.4 ^a ±1.22	52.2 ^a ±1.01	60.3 ^a ±0.23	4.5 ^a ±0.14	48.2 ^a ±2.53
	C _{0.004}	65.1 ^a ±0.75	72.5 ^b ±0.22	86.3 ^{ab} ±0.18	9.3 ^a ±0.19	41.7 ^a ±1.28	52.2 ^a ±0.34	59.8 ^a ±0.16	3.5 ^c ±0.06	38.0 ^b ±0.10
	C _{0.02}	64.1 ^a ±0.71	72.5 ^b ±0.19	87.8 ^{ab} ±0.27	10.6 ^{ab} ±0.21	41.5 ^a ±1.97	52.3 ^a ±0.86	60.3 ^a ±0.18	3.8 ^c ±0.09	35.9 ^b ±1.56
	C _{0.04}	64.8 ^a ±0.16	72.9 ^b ±0.43	88.7 ^b ±0.13	11.0 ^b ±0.08	42.0 ^a ±0.23	52.7 ^a ±0.34	60.2 ^a ±0.25	3.9 ^{bc} ±0.02	35.5 ^b ±0.47
	C _{0.2}	68.2 ^b ±1.24	75.1 ^c ±0.03	92.6 ^c ±1.74	11.1 ^b ±0.02	41.8 ^a ±0.02	52.7 ^a ±0.33	60.5 ^a ±0.04	3.9 ^{bc} ±0.04	35.0 ^b ±0.39
DG flour	C _c	70.3 ^a ±0.45	76.1 ^a ±0.49	83.3 ^a ±0.73	6.9 ^{ab} ±0.35	39.2 ^a ±2.17	53.4 ^a ±0.01	62.4 ^a ±0.11	4.1 ^{ab} ±0.12	58.6 ^b ±1.26
	C _{c0}	70.7 ^{ab} ±0.08	76.3 ^a ±0.43	83.8 ^a ±0.34	6.0 ^a ±0.12	40.2 ^a ±0.54	53.7 ^a ±1.00	62.7 ^a ±0.30	4.2 ^b ±0.06	70.2 ^a ±2.47
	C _{0.004}	70.9 ^{ab} ±0.16	76.6 ^a ±0.23	84.1 ^a ±0.17	6.2 ^a ±0.17	41.3 ^a ±0.52	53.6 ^a ±0.54	63.1 ^a ±0.07	3.5 ^{bc} ±0.02	56.6 ^b ±1.21
	C _{0.02}	70.9 ^{ab} ±0.02	76.5 ^a ±0.25	83.7 ^a ±0.62	6.2 ^a ±0.06	42.0 ^a ±1.10	54.1 ^a ±0.66	62.8 ^a ±0.37	3.2 ^c ±0.05	50.9 ^{bc} ±1.26
	C _{0.04}	70.6 ^{ab} ±0.16	76.3 ^a ±0.22	83.1 ^a ±0.04	7.4 ^b ±0.40	42.2 ^a ±0.68	54.6 ^a ±0.01	62.3 ^a ±0.13	3.3 ^c ±0.07	45.1 ^c ±3.36
	C _{0.2}	71.5 ^b ±0.47	78.2 ^b ±0.15	88.3 ^b ±1.67	8.4 ^c ±0.09	42.3 ^a ±0.62	54.8 ^a ±0.52	62.8 ^a ±1.46	2.4 ^d ±0.36	29.0 ^d ±3.97

*Means ± SD (n = 3). For a particular rice variety, means with different letters in same column denote significant difference at 5 % probability level within each variety.

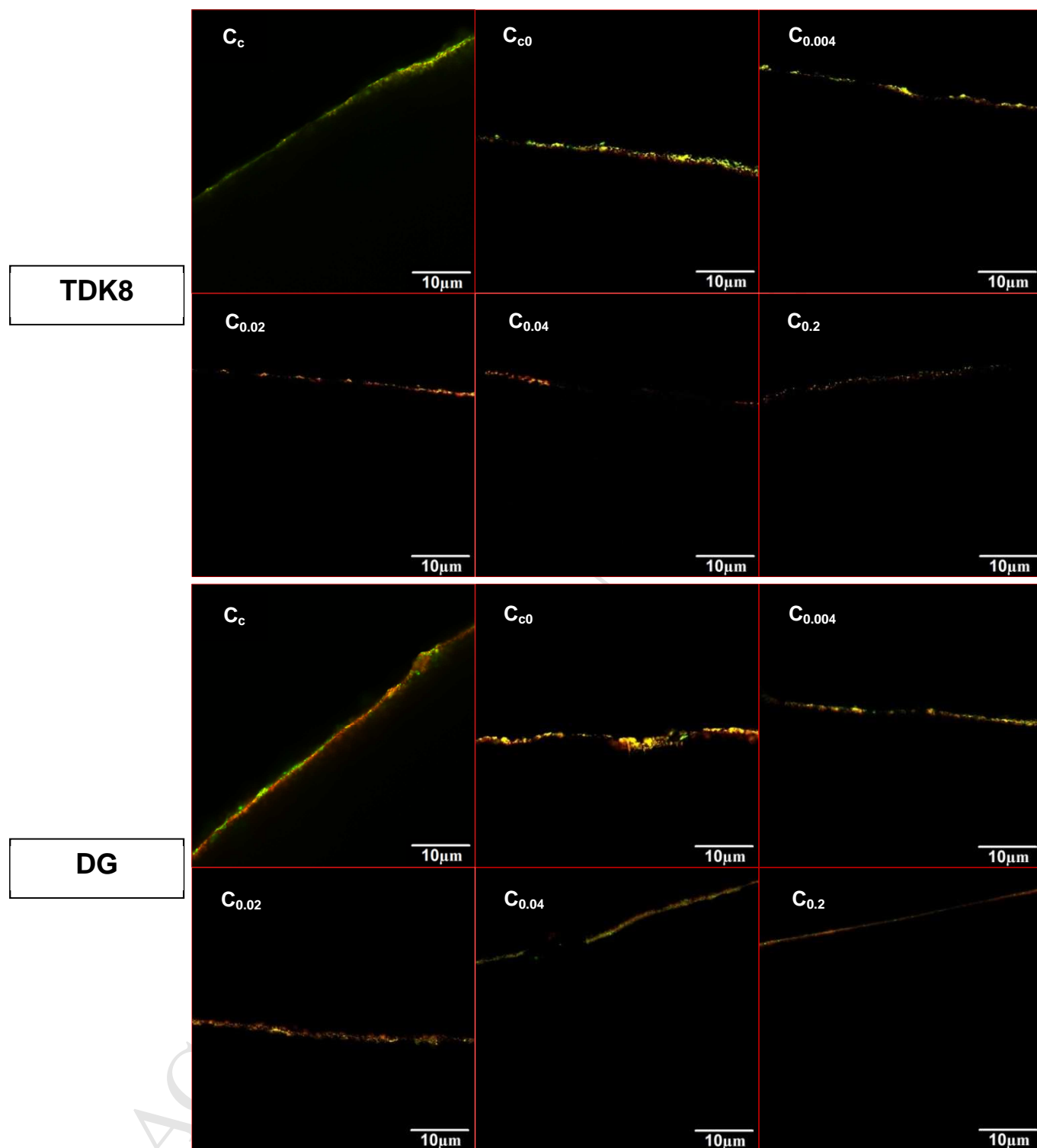


Fig. 1. Confocal laser scanning micrographs of control and alkali treated rice grains of Thadokkham-8 (TDK8) and Doongara (DG). Lipids and proteins are labelled in red and green, respectively.

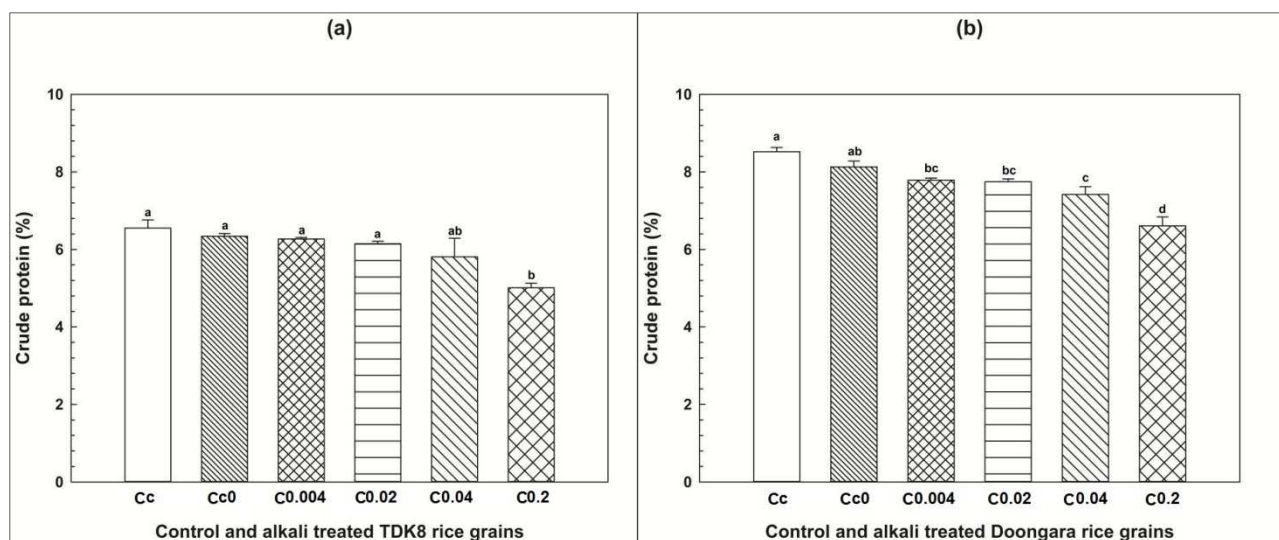


Fig. 2. Crude protein content of control and alkali treated rice grains; (a) Thadokkham-8 (TDK8), and (b) Doongara (DG).*

*Means \pm SD (n = 3). Within figure, different letters denote significant difference at 5 % probability level.

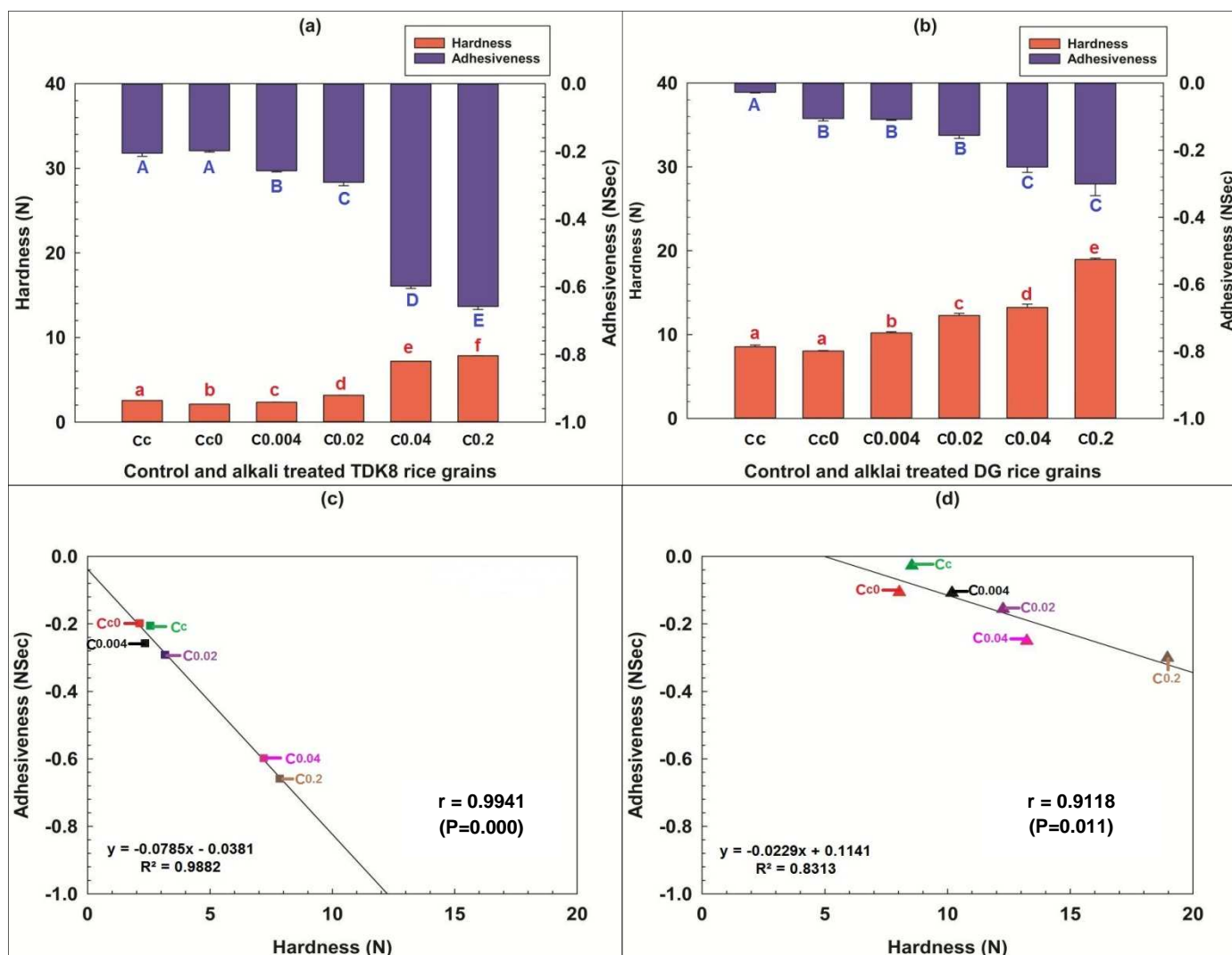


Fig. 3. Textural profile analysis of control and alkali treated rice grains (a) Thadokkham-8 (TDK8), and (b) Doongara (DG).^{*} Correlation (r) between hardness and adhesiveness of control and alkali treated rice grains; (c) Thadokkham-8 (TDK8), and (d) Doongara (DG).[†]

^{*}Means \pm SD ($n = 3$). Within figure, significant differences are denoted by lowercase letters for hardness and uppercase letters for adhesiveness at 5 % probability level.

[†]The negative sign associated with adhesiveness was ignored while calculating the correlation (r) due to more adhesiveness associated with greater negative value.

Highlights

- Removal of surface proteins on rice grains by NaOH solution was revealed through CLS micrographs.
- Significant ($P < 0.05$) increase in adhesiveness was found in alkali treated rice grains.
- NaOH treatment at a concentration above 0.04 % induced yellowness in grains.