# Socioeconomic impacts of marine protected areas in the Mediterranean and Black Seas

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# Highlights:

- Socioeconomic impacts of MPA are understudied in the Mediterranean and Black Seas
- We surveyed stakeholders from 14 countries to evaluate perceptions on MPA impacts
- Our literature review suggests that MPAs positively impact artisanal fishing
- Perceptions on MPA impacts differ between Mediterranean and Black Sea stakeholders
- Ecosystem-based management of marine resources should embrace multiple viewpoints

1 Abstract: The socioeconomic implications of Marine Protected Areas (MPAs) and perceptions 2 of stakeholders on MPA impacts are important to consider when designing, implementing, and 3 managing MPAs. However, the currently available knowledge about these areas and especially 4 of stakeholder perceptions is scarce and limited to restricted geographic areas. The present study 5 aims to address this gap by examining these factors in the Mediterranean and Black Seas using 6 an extensive literature review and an online survey approach. We collated and examined a total 7 of 208 published studies on socioeconomic impacts of MPAs and marine uses. We found that for 8 fishing, the socioeconomic impacts of MPAs were generally perceived as negative for industrial 9 fishing and positive for artisanal fishing. In the online survey, we collected ca. 100 responses and 10 found that stakeholder perceptions on the impacts of MPAs differ across sectors and regions. 11 Industrial fishing was perceived as being negatively impacted in the Black Sea, while most 12 respondents from the Mediterranean Sea were neutral in their responses relating industrial fishing and MPAs. The impact of MPAs on artisanal and recreational fishing was generally 13 14 viewed as neutral by respondents from the Black Sea, whereas most Mediterranean respondents 15 indicated a positive impact of MPAs. We also found that perceptions of the major threats to 16 MPAs differed across the Mediterranean and the Black Sea. Responses from the Black Sea were 17 systematically shifted towards a more negative perception of threats to MPAs compared to those 18 from the Mediterranean Sea. Illegal fishing and other illegal activities were considered to be the 19 most relevant threats to MPAs by stakeholders in both regions. The mismatch found between 20 evidence of MPA effectiveness and impacts from the scientific literature and the results of our 21 survey suggests that within the framework of maritime spatial planning and ecosystem-based 22 management, effective MPA planning should be informed by multiple sources across regions.

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26	• We surveyed stakeholders from 14 countries to evaluate perceptions on MPA impacts
27	• Our literature review suggests that MPAs positively impact artisanal fishing
28	• Perceptions on MPA impacts differ between Mediterranean and Black Sea stakeholders
29	• Ecosystem-based management of marine resources should embrace multiple viewpoints
30	Keywords:
31	Impact assessment; Marine Protected Areas (MPAs); Mediterranean Sea; Black Sea; Social
32	Perceptions.
33	

## 34 **1. Introduction**

35 Marine Protected Areas (MPAs) are commonly used for coastal and marine management with the principal purpose of biodiversity conservation and conserving marine living resources 36 37 (Fabinyi, 2008; NRC, 2001; Pita et al., 2011). MPAs vary widely in the type and level of 38 protection applied, ranging from areas that allow multiple uses to areas that entirely exclude 39 human access (Pita et al., 2011). As such, their implementation under a wide range of economic 40 and social conditions (Angulo-Valdés and Hatcher, 2010) can have profound impacts on local 41 livelihoods (Halpern et al., 2010). Therefore, the designation, implementation, and management 42 of MPAs should consider conservation outcomes as well as socioeconomic impacts, and 43 financial and institutional sustainability (Gurney et al., 2014; Niesten et al., 2010; Richardson et 44 al., 2006). Such considerations can reinforce the likelihood of an MPA to achieve its goals in the 45 long run (Christie et al., 2003; Cornu et al., 2014; Hattam et al., 2014; Mascia, 2004; Voyer et 46 al., 2012).

47 Earlier research efforts have largely focused on pinpointing the positive ecological 48 impacts of MPAs and advocating in favor of their broad set of benefits in the long-term (Lester 49 et al., 2009). For example, Angulo-Valdés and Hatcher (2010) listed a total of 99 benefits 50 deriving from MPAs, ranging from the protection of spawning stocks and/or critical habitats to 51 the enhancement of aesthetic experiences and non-consumptive opportunities such as recreation. 52 If well designed, and effectively managed, an MPA can generate benefits with a direct, immediate or delayed economic and social value in addition to those related to its conservation 53 54 value. Several studies have reported that the establishment of MPAs and the consequent 55 protection of naturally important areas (such as breeding, nursery, and recruitment habitats) have 56 had a considerable positive impact on local and regional economies (Ami et al., 2005; 57 Badalamenti et al., 2000; Boncoeur et al., 2002; Farrow, 1996; Harmelin et al., 1995; Higgins et

al., 2008; Hoskin et al., 2011; Lausche, 2011; Lloret et al., 2008; Russ and Alcala, 2004;
Sanchirico et al., 2002). Positive impacts include provisioning of goods and services, support to
economically valuable activities, creation of new jobs and diversification of livelihoods, increase
in revenues due to tourist taxes and expenditures from non-consumptive recreation and tourism .
This wider view of protected areas as an important tool to foster sustainability and their vital role
in biodiversity conservation was acknowledged over ten years ago at the 5<sup>th</sup> IUCN World Parks
Congress entitled 'Benefits beyond Boundaries' (IUCN, 2003).

In contrast, some authors have argued that the ecological benefits of MPAs are necessary, 65 but are insufficient in order to ensure the MPAs' positive socioeconomic benefits (Christie, 66 2004, Grafton et al., 2005). MPA design is usually focused on getting scientific advice on the 67 68 biological dimension, while less attention is placed on the socioeconomic consequences (Beare et al., 2013). The implementation of marine reserves (the strictest form of marine protection) 69 70 often creates conflicts among stakeholders, as access to valued ecosystems, localities, and stocks 71 is prohibited or heavily curtailed (Coleman et al., 2004; Cox et al., 2003; Granek et al., 2008; 72 Salz and Loomis, 2005). These conflicts, in return, may affect the social, economic, and 73 institutional dimensions, which are critical to the success of MPAs (Charles and Wilson, 2009; 74 Jennings, 2009; Mascia and Claus, 2009).

75 Recently, an upsurge of interest in the socioeconomic impacts (both positive and 76 negative) that are expected from MPAs has been observed (Rees et al., 2013; Weigel et al., 77 2015). Globally, studies assessing the impacts of MPAs on individual activities such as fishing 78 (Scholz et al., 2011), tourism (Agardy, 1993; Davis and Tisdell, 1996; Hargreaves-Allen et al., 79 2011), and recreation (Lynch et al., 2004) are increasing. The same trend is seen in studies that 80 incorporate socioeconomic variables into the designation of MPAs (e.g. Giakoumi et al., 2011; 81 Klein et al., 2008; Scholz et al., 2011). However, most studies indicate that the assessment of social impacts is still uncommon (Voyer et al., 2012). More information is needed to address the 82 4

83 level of uncertainty regarding the magnitude of the social and economic impacts of MPAs. Most 84 importantly, it is important to understand how these impacts vary over time, across spatial scales 85 and levels of social organization, across social domains and within and among social groups 86 (Fox et al., 2012; Pita et al., 2011; Richardson et al., 2006). Acknowledging the existence of 87 diverging social perceptions and ideological clashes around MPA impacts and taking them 88 appropriately into account is crucial to incorporate the social value of MPAs into decision 89 making (Agardy et al., 2003; Ami et al., 2005; Gall and Rodwell, 2016; Leleu et al., 2012). 90 Adequately accounting for the viewpoints of different stakeholders (Verweij and van Densen, 91 2010) is also key to the design of policies aiming to enhance social acceptance of MPAs, and to 92 reduce enforcement costs by improving the social compliance to these policies (Hattam et al., 2014). 93

94 The Mediterranean and Black Seas are semi-enclosed systems surrounded by a large 95 number of European (some of which belong to the European Union – EU), Asian and/or African 96 countries, each with its diverse social, environmental, and economic characteristics. These 97 environmental and geopolitical complexities usually drive differences in stakeholder's 98 perceptions on the role and impacts of MPAs depending on the stakeholder's activity or location. 99 Such factors should be accounted for when designing new MPAs or managing existing ones 100 (Pipitone et al., 2014). However, the last comprehensive study on socioeconomic aspects of 101 MPAs in the Mediterranean was carried out 15 years ago by Badalamenti et al. (2000), and it did 102 not consider the social perceptions on the impacts of MPAs. In the last fifteen years, several 103 studies have investigated stakeholders' perceptions in individual MPAs, such as in the National 104 Marine Park of Alonissos (Oikonomou and Dikou, 2008). However, there has been no attempt to 105 conduct a large-scale study to update Badalamenti et al.'s (2000) work. Furthermore, no study 106 has, to date, explored the socioeconomic aspects of MPAs in the Black Sea.

107 The objectives of the present study are to: (i) review the socioeconomic impacts of MPAs in both 108 the Mediterranean and Black seas; (ii) examine the social perceptions of Mediterranean and 109 Black Sea MPA stakeholders on the socioeconomic impacts of MPAs; and (iii) suggest how this 110 information could be used to advance future MPA design and management.

# 111 **2. Methods**

We created a list of current MPAs in the Mediterranean and Black Seas on the basis of the MAPAMED database (www.mapamed.org) and the World Database on Protected Areas (www.protectedplanet.net). Further information on Black Sea MPAs was gathered from Milchakova (2011) and Begun et al. (2012). A total 232 MPAs were listed for the Mediterranean and Black Seas (Table A1).

117 In order to analyze which uses could potentially be impacted by the establishment of 118 MPAs, a total of 22 marine uses were identified: 1) industrial fishing (including trawlers, seiners, 119 and purse seiners); 2) artisanal fishing (including hooks, lines, traps, fixed nets, trammel nets, 120 fish barriers, gill nets, and multi-purpose vessels); 3) recreational fishing (land- or boat-based angling); 4) underwater recreational fishing (spearfishing); 5) aquaculture / mariculture (open 121 122 ocean); 6) shellfishing; 7) biological resources extraction (including species not considered in 123 fishing, aquaculture or mariculture activities, such as sea cucumbers, algae or corals); 8) tourism 124 (including sunbathing); 9) hiking, walking, access to beaches; 10) swimming, snorkeling, 125 canoeing, surfing, paddle surfing, wind surfing, etc.; 11) diving; 12) underwater archaeology; 13) 126 recreational boating (sailing and marine cruising); 14) scientific research; 15) educational 127 activities; 16) sand / gravel extraction; 17) oil / gas extraction; 18) offshore wind farming; 19) 128 wave farming; 20) industrial maritime transport; 21) building along the coastline; and 22) 129 military uses. The socioeconomic interactions between MPAs and the above-listed marine uses

130 were investigated combining two approaches: an extensive literature review (section 2.1) and an

131 online survey (section 2.2).

#### 132 2.1. Literature review on the socioeconomic impacts of MPAs

133 Peer-reviewed and grey literature, published up to January 2015, regarding positive and 134 negative socioeconomic impacts of MPAs was compiled. The search of the literature was performed by browsing the Web of Science for 'Marine Protected Area\*' and 'impact\*' topic 135 keywords and further refining by 'economic', 'social', 'positive', 'negative' and combinations of 136 137 those topics (Table A2). The search was not constrained to the Mediterranean and Black Seas in 138 order to gather as much worldwide evidences as available. However, only studies that explicitly 139 stated clear evidence of impact were selected, excluding studies that just mentioned impacts 140 without reporting any evidence. Additional studies known by the authors were also added. As 141 impacts of MPAs can be positive or negative, we classified the evidence found into the 22 142 marine uses listed in the previous section as positive or negative according to what was stated in 143 the original study (Table A3).

#### 144 2.2. Survey of stakeholder perceptions on MPAs objectives, impacts, and risks

145 An online questionnaire (Appendix B) was prepared to gather information on 146 stakeholders' perceptions about the main objectives of Mediterranean and Black Sea MPAs, the 147 socioeconomic impacts they have on existing marine uses (called "effects" in the survey), and the 148 natural and anthropogenic stressors they are exposed to. The questionnaire was divided into 5 149 sections: 1) an introduction explaining the purpose of the survey; 2) questions about the 150 respondent and his/her role in the MPA under scrutiny; 3) questions about the MPA, including its 151 extent, zonation, estimated number of annual visitors, and main pursued objectives for its 152 establishment; 4) questions about the importance of the impacts that the establishment of the

153 MPA has caused on human activities in the area; and 5) questions about the extent to which 154 different natural and anthropogenic stressors affect the MPA.

155 The questionnaire primarily included multiple-choice questions, with some open-ended 156 questions. Specifically, to identify the main objectives of the MPA respondents were asked to 157 choose up to five options from a list of ten predefined answers (with the possibility to add a user-158 specified one). Regarding the socioeconomic impacts of MPAs on different marine uses, 159 respondents were asked to express their perception over a 5-point scale ranging from 'clearly 160 negative' to 'clearly positive', with the further possibility to select 'no answer' or 'not applicable'. 161 Ouestions regarding natural and anthropogenic stressors were answered using a 4-point scale, 162 ranging from 'high' to 'none', with the possibility to choose 'no answer'. The questionnaire was 163 delivered by email in autumn 2013 to nearly 400 stakeholders. Candidate respondents were 164 selected among MPA professionals and stakeholders whose professional activity is directly 165 affected by the presence of MPAs. They included MPA managers, members of MPA staff, 166 scientists, local authorities, NGO members, fishers, tourism and business professionals (such as 167 workers of aquaculture facilities, workers of the tourism industry or workers of recreational 168 facilities) from the Mediterranean and Black Sea. The questionnaire drafted originally in English 169 was translated into different languages (French, Italian, Spanish, Russian and Ukrainian) and the 170 recipients were requested to forward it to other stakeholders involved in marine uses.

The effect of explanatory variables (e.g. geographic region, respondent role) on the answers to the different sections of the questionnaire was assessed using chi-square ( $\chi^2$ ) tests on contingency tables. The statistical association across answers regarding the main impacts of MPAs on marine uses and across those regarding the main stressors to MPAs was assessed (both in an aggregate form and separately for the Mediterranean and Black Sea) using the Mann-Kendall's tau-b test (Agresti, 2012; Burkey, 2006).

## 177 **3. Results**

178 3.1. Literature-based scientifically documented socioeconomic impacts of MPAs

179 A total of 208 studies were found documenting socioeconomic impacts of MPAs on the marine uses identified in Section 2 (Table A3). Evidence of impacts in the Mediterranean Sea 180 181 were found for Albania, Algeria, France, Greece, Israel, Italy, Malta, Spain, Tunisia, and Turkey, 182 while few evidences from the Black Sea were limited to Ukraine. Of the 122 studies that we 183 found and collated documenting impacts of MPAs on industrial fishing worldwide, 54% referred 184 to negative impacts (Table 1). Negative impacts arise as a decrease in catch, landings, and 185 biomass; as problems related with the displacement of fishing (increase in fuel/time costs, risks, 186 competition with other uses etc.); or as a consequence of direct loss of access due to the closure 187 of areas to fishing. Positive impact evidences refer to increases in catches thanks to recruitment 188 subsidy and spillover outside MPAs, or to the increase in fish biomass due to reserve effect and 189 decrease in fishing within MPAs (Table A3). On the other hand, the search for specific evidences 190 of the impacts on industrial fishing in the Mediterranean Sea resulted in mainly positive impacts 191 (58%), especially in French and Spanish MPAs, while some negative impacts were found in 192 specific MPAs in France, Greece, Israel, Malta, Italy, and Spain (Table 1). In the Black Sea, 193 evidences of positive impacts on industrial fishing were found for Ukrainian MPAs.

Regarding artisanal and recreational fishing, evidences of impact were mainly positive (69% and 90%, respectively), while negative impacts were reported for spearfishing (67%) at the global scale and, specifically for the Mediterranean region, in French, Spanish, and Italian MPAs (Table 1). Substantial negative impact evidence (71%) were found on aquaculture, mariculture and/or shellfishing both worldwide and specifically in the Mediterranean Sea (Albania and Spain), as well as on biological resources extraction, such as algae and species for aquarium trade. The majority of evidence of impacts of MPAs on tourism were found to be positive (96%)

(Table 1). Positive impacts were also recorded for swimming, snorkeling, canoeing, surfing,
diving, recreational boating, scientific research, and educational activities. However, negative
impacts of MPAs on SCUBA diving (41% for the Mediterranean and 40% for the Black Sea) and
recreational boating (53% for the Mediterranean and 50% for the Black Seas) were also found.

205 Only four cases of negative impacts of MPAs on sand and gravel extraction and two 206 cases of positive impacts of MPAs on offshore wind farming were reported. Interestingly, none 207 of the scrutinized studies explicitly reported either positive or negative impacts on hiking, 208 walking and access to beaches, underwater archaeology, oil and gas extraction, wave farming, 209 industrial maritime transport, building along the coastline, and military uses.

# Table 1

Summary of MPA impact evidence on marine uses reported in the literature review. (MED: Mediterranean Sea; BS: Black Sea; OUT: outside Mediterranean and Black Seas; NR: No evidence reported)

Marine uses	Impact	Number of studies		tudies	Countries (MED / BS)
		MED	BS	OUT	
1) Industrial fishing	+	31	2	56	France (11); Italy (4); Spain (16) / Ukraine (2)
1) Industrial fishing	_	22	NR	66	France (5); Greece (2); Israel (1); Italy (3); Malta (2); Spain (9)
	+	56	NR	33	Algeria (1); France (16); Greece (1); Italy (10); Malta (2); Tunisia (1); Turkey (1); Spain (24
2) Artisanal fishing	_	18	NR	15	France (2); Greece (1); Italy (7); Spain (8)
2) D	+	15	NR	9	Algeria (1); France (1); Greece (1); Italy (1); Malta (1); Tunisia (1); Turkey (1); Spain (8)
3) Recreational fishing	_	6	NR	1	France (2); Italy (1); Spain (3)
4) C	+	4	NR	2	Spain (4)
4) Spearfishing	_	13	NR	4	France (4); Italy (2); Spain (7)
5-6) Aquaculture /	+	2	NR	5	Spain (2)
mariculture / shellfishing	_	2	NR	12	Albania (1); Spain (1)
7) Biological resources	+	NR	NR	1	NR
extraction	_	1	NR	2	Spain (1)
9) Taurian	+	27	NR	26	Algeria (1); France (7); Greece (4); Italy (3); Tunisia (1); Turkey (1); Spain (10)
8) Tourism	_	5	NR	1	Greece (2); Spain (3)
9) Hiking, walking, access to beaches	NR				
10) Swimming, snorkeling,	+	3	NR	6	France (2); Spain (1)
canoeing, surfing	_	NR	NR	NR	NR
	+	22	3	25	Algeria (1); France (7); Greece (2); Italy (3); Tunisia (1); Turkey (1); Spain (7) / Ukraine (3)
11) Diving	_	15	2	1	France (3); Greece (1); Spain (11) / Ukraine (2)
12) Underwater archaeology	NR				
12) Desmostional heating	+	7	3	5	France (2); Italy (1); Spain (4) / Ukraine (3)
13) Recreational boating	_	8	3	NR	France (4); Spain (4) / Ukraine (3)
14-15) Scientific research /	+	2	4	1	France (2) / Ukraine (4)
educational activities	-	NR	NR	NR	NR
1() Sand / answel antra ation	+	NR	NR	NR	NR
16) Sand / gravel extraction	_	NR	NR	4	NR
17) Oil / gas extraction	NR				
18) Offshore wind farming	+	NR	NR	2	NR
ç	_	NR	NR	NR	NR
19) Wave farming	NR				
20) Ind. maritime transport	NR				
21) Building along coastline	NR				
22) Military uses	NR				

#### 218 3.2. Social perceptions on MPA objectives, impacts, and stressors

219 We gathered a total of 97 responses via the online questionnaire (45 from the 220 Mediterranean Sea and 52 from the Black Sea), covering 34 different MPAs in the 221 Mediterranean and 28 in the Black Sea (Fig. 1 & Table A4). Most respondents (44%) were 222 scientific researchers, 14% MPA managers, 12% NGO members, 11% workers of the tourism 223 industry, 7% MPA staff, 3% fishers, 1% local authorities, 1% recreational professionals, and 7% 224 other stakeholders (including engineers, divers, tourists, volunteers, project managers). The 225 average experience of respondents in their professional roles was about 10 years. Respondent 226 composition differed significantly between the Mediterranean and Black Sea (chi-squared test,  $\chi^2 = 35.21$ , P < 0.001) and between EU and non-EU countries ( $\chi^2 = 43.16$ , P < 0.001). 227

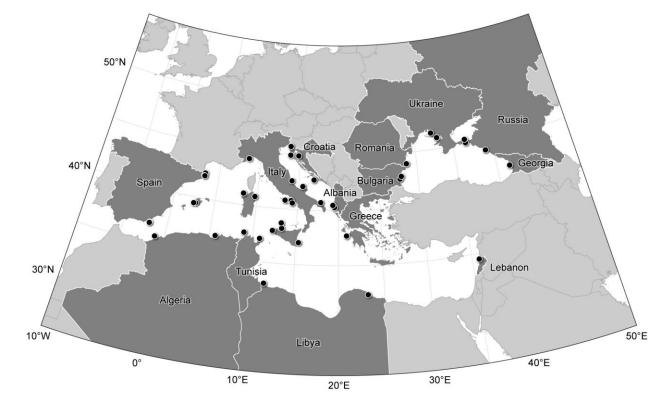
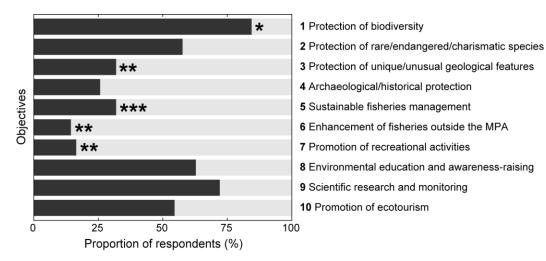


Fig. 1. Distribution of stakeholder's responses collected using our survey. Circles show the spatial location of the MPAs for which responses were obtained in the Mediterranean and Black Sea regions.

233 Most respondents indicated protection of biodiversity, scientific research and monitoring, 234 as well as environmental education and awareness-raising as the primary objectives of an MPA, 235 regardless of the stakeholder group they belonged to (Fig. 2). On the other hand, the relative 236 importance of some objectives differed significantly between the two geographic regions. In 237 particular, respondents from the Black Sea gave more importance to the protection of 238 unique/unusual geological features than respondents from the Mediterranean Sea (51% vs. 18%). 239 The remaining objectives were considered more important by respondents from the Mediterranean than the Black Sea: protection of biodiversity (93% vs. 89%), sustainable 240 241 fisheries management (53% vs. 16%), enhancement of fisheries outside the MPA (24% vs. 7%), 242 and promotion of recreational activities (29% vs. 7%).



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Fig. 2. The proportion of responses addressing the key MPA objectives. Asterisks indicate
significant differences between Mediterranean and Black Seas (chi-squared test; \*\*\*: P < 0.001;</li>
\*\*: P < 0.01; \*: P < 0.05).</li>

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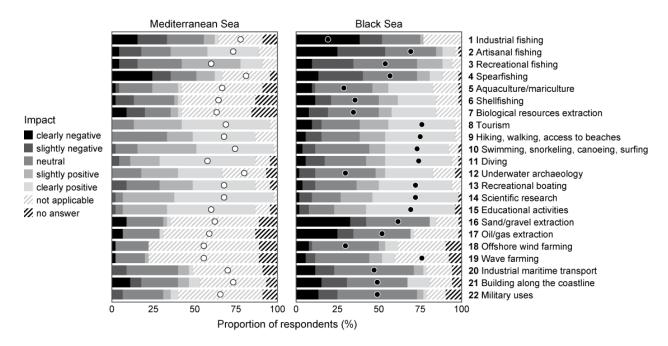
Statistical associations between responses to the key MPA objectives, assessed for the
 Mediterranean and Black Seas via chi-squared tests, were all positive, i.e. when an objective was
 selected, the second objective was more likely to be selected too. The most significant 13

251 associations linked protection of rare/endangered/charismatic species with environmental 252 education and awareness-raising ( $\gamma^2 = 10.97$ , P < 0.001), and sustainable fisheries management with enhancement of fisheries outside the MPA ( $\gamma^2 = 11.72$ , P < 0.001). Associations across 253 254 objectives were also assessed separately for the Mediterranean and Black Seas (Fig. A1): all 255 significant associations were positive. The most significant associations in the Mediterranean Sea 256 were between environmental education and awareness-raising and promotion of ecotourism  $(\gamma^2 = 13.79, P < 0.001)$  and between the protection of unique/unusual geological features and 257 258 archaeological and historical protection ( $\chi^2 = 10.07$ , P < 0.01). In the Black Sea, stakeholders 259 linked the protection of rare/endangered/charismatic species with environmental education and 260 awareness raising ( $\gamma^2 = 10.53$ , P < 0.01), and protection of biodiversity with promotion of 261 ecotourism ( $\gamma^2 = 7.34$ , P < 0.01).

The role of the respondent was inconsequential in determining the perception of the main objectives of MPAs, except for conservation of biodiversity ( $\chi^2 = 27.50$ , P < 0.001) and scientific research ( $\chi^2 = 26.84$ , P < 0.001). The significant effect of respondent role in these cases can be ascribed to the responses of operators from the tourism/recreational sector, who indicated the conservation of biodiversity as a primary objective in 47% of the responses and scientific research in 27% only of the responses.

268 Perceptions about the impacts of MPAs on fishing activities were clearly different 269 between the two regions: industrial fishing was judged to be negatively impacted in the Black 270 Sea, while respondents from the Mediterranean mostly answered "not applicable", likely because 271 in the Mediterranean there is no spatial overlap between MPAs (which are mainly located in 272 coastal areas) and industrial fishing grounds. The impact of MPAs on artisanal and recreational 273 fishing was generally stated as neutral by Black Sea respondents, while most respondents from 274 the Mediterranean Sea indicated a positive impact. Impacts on spearfishing were mostly 275 considered as negligible ("neutral" for Black Sea, "not applicable" for Mediterranean 14

276 respondents). When assessing impacts on the different activities, responses from the Black Sea 277 were systematically shifted towards a more negative opinion compared to those from the 278 Mediterranean (Fig. 3). However, most respondents from both regions indicated a clearly 279 positive impact of MPAs on tourism, recreational, and cultural activities (excluding underwater 280 archaeology). Aquaculture and biological resources extraction, as well as underwater 281 archaeology, were generally considered to be unaffected by the presence of MPAs (with most 282 respondents from the Mediterranean answering "not applicable" and most from the Black Sea 283 being "neutral"), and so were non-biological resources extraction, energy production activities (offshore wind farms and wave farming infrastructures), transport, building, and military uses. 284



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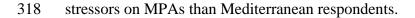
Fig. 3. Summary of the responses regarding MPA impacts on socioeconomic activities. Shades of gray indicate the perceived magnitude of each impact, from "clearly negative" to "clearly positive" plus "not applicable" and "no answer" (hatched bars). Circles indicate the modal response in the two regions (white: Mediterranean; black: Black Sea).

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Associations were much stronger among responses from the Black Sea. However, the general patterns were quite similar between the Black and the Mediterranean Seas. Strong levels 15 293 of association among responses to questions were found in the same section of the survey (e.g. 294 fishing, recreational and tourism activities, extractive uses). Significant associations (Fig. A2) 295 were found among 1) fishing activities (industrial fishing, artisanal fishing, recreational fishing, 296 spearfishing); 2) aquaculture and biological resources extraction (aquaculture/mariculture, 297 shellfishing, biological resources extraction); 3) tourism, recreational and cultural activities 298 swimming/snorkeling/canoeing/surfing, (tourism, hiking/walking. diving. underwater 299 archaeology, recreational boating, scientific research, educational activities); 4) non-biological 300 resources extraction (sand/gravel extraction, oil/gas extraction), energy production (offshore 301 wind farming, wave farming), industrial maritime transport, building along the coastline, and 302 military uses.

303 When respondents were asked to indicate the most important stressors affecting MPAs, 304 illegal fishing and other illegal activities were considered to be the most relevant threats (high 305 stress) in both regions (Fig. 4). Global change related threats, such as invasive species and 306 climate change, were also considered to have negative consequences (medium stress) in both 307 regions. Respondents from the Black Sea attributed high importance to local stressors, such as 308 pollution (urban, agricultural and industrial), oil/gas extraction at sea, and port activities. In 309 contrast, respondents from the Mediterranean attributed low (or even none) to medium levels of 310 stress to these activities. Likewise, shipping activities were indicated to cause a "low stress" by 311 most Black Sea respondents and a "medium stress" by Mediterranean respondents. On the other 312 hand, stress associated with aquaculture was generally perceived as low (Black Sea) or negligible 313 (Mediterranean), while that associated to tourism and recreation was evaluated as low by the 314 majority of respondents from both regions. Stress from fishing activities was considered higher 315 for industrial fishing (medium to high, depending on the region) and lower for artisanal and 316 recreational fishing (low to medium, depending on the region). With the exception of few

317 questions, Black Sea respondents seemed to perceive higher effect of natural and anthropogenic



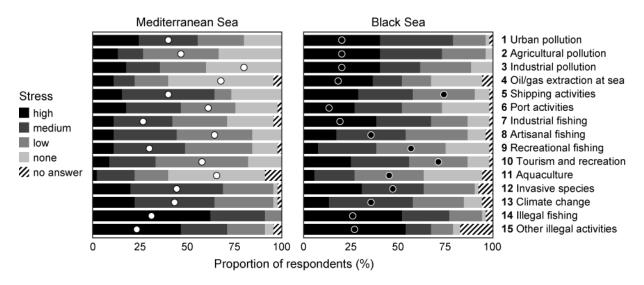


Fig. 4. Summary of the responses regarding natural and anthropogenic stressors to MPAs. Shades of gray indicate the perceived importance of each stressor, from "none" to "high" plus "no answer" (hatched bars). Circles indicate the modal response in the two regions (white: Mediterranean; black: Black Sea).

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Highly significant statistical relationships (Fig. A3) were found among answers regarding 325 326 the following human activities: 1) urban, agricultural and industrial pollution, oil/gas extraction 327 at sea, shipping and port activities, industrial fishing; 2) artisanal fishing, recreational fishing and 328 tourism/recreation activities; 3) aquaculture, invasive species, climate change, illegal fishing and 329 other illegal activities. Association patterns were quite similar across regions for activities such 330 as pollution, fossil fuel extraction and shipping activities, while they were slightly different for 331 other (e.g., in the Mediterranean, aquaculture was mostly associated with agricultural and 332 industrial pollution, while in the Black Sea it was mostly associated with port activities).

#### 333 **4. Discussion**

#### *4.1. MPA impacts on marine uses – evidence from the literature*

Despite the broad recognition of the importance of assessing MPA impacts on multiple marine uses (Badalamenti et al., 2000; Pita et al., 2011), present work reveals gaps for many of the marine uses. Many uses lack evidence of impacts associated to the establishment of MPAs. Moreover, information available for MPAs in the Black Sea was scarce (1.9% of the 208 studies analyzed).

340 Most impact assessments have explored how fishing, tourism, and recreational activities 341 have been either positively or negatively impacted by the establishment of MPAs (Table 1), 342 whereas assessments for the remaining uses are scarce or absent. Evidence from the literature 343 suggests that artisanal, land and boat-based recreational fishing, tourism and beach access, scuba 344 diving, and other recreational activities can be generally benefited by the establishment of 345 MPAs. Conversely, industrial fishing, spearfishing, aquaculture and mariculture, as well as sand 346 and gravel extraction, seem to be negatively impacted by MPAs. In general, evidence from 347 outside our study region and those from the Mediterranean Sea showed similar effects. However, 348 the majority of the studies have been conducted in the central and western part of the northern 349 Mediterranean (Spain, France, and Italy), leaving most coastal areas under-reported.

In the scientific literature review we found a large variation in type and representativeness of evidence from MPAs. Consequently, evidence may not be directly comparable among studies, especially since MPA characteristics vary significantly from site to site, such as the existence or not of zoning and regulatory legislation (e.g., adjacent MPAs may have different management plans). Variation in stakeholders' perceptions is another aspect that makes it difficult to derive clear conclusions about the socioeconomic impacts of MPAs on marine uses. As perceptions are affected by the socioeconomic conditions of each user (e.g., the

357 dependence on resources for subsistence), they do drastically differ among user groups and even 358 within the same group. Another critical point is that evidence analyses are rarely replicated 359 either in time or in space. In fact, very few studies accounted for spatiotemporal variability in 360 populations, which could be linked to environmental and biological factors other than MPA 361 status (e.g. Charton and Ruzafa, 1999). Increasing and replicating over time the number of 362 quantitative assessments of MPA impacts, based both on empirical data and on surveys to marine stakeholders, is therefore crucial. Furthermore, we found that studies are usually more likely to 363 364 report beneficial impacts of MPAs rather than detrimental ones, regardless of the geographic 365 region where they were conducted. However, scientific publications might be biased towards 366 "positive results" (i.e. results that support the tested hypothesis). The increased pressure to 367 publish in academia may be a driver for this bias, as papers are less likely to be published and to 368 be cited if they report "negative" results (Fanelli, 2010). Independent of this, as the scientific 369 literature mainly reflects the viewpoint of scientists, assessing the perceptions of a variety of 370 stakeholders is crucial to derive a comprehensive assessment of the success or failure of MPAs 371 in achieving their multiple objectives and on their impacts on society. Ideally, such assessments 372 should be harmonized on the basis of commonly accepted protocols, which, under the guidelines 373 of intergovernmental bodies, such as the European Union, would allow for comparisons among 374 various site-based assessments.

375 4.2. MPA impacts on marine uses – stakeholders' perceptions emerging from surveys

In the absence of more substantial field-based and evidence-based perceptions data, information gathered through surveys can provide important insights on the impacts of MPAs on marine stakeholders. Most importantly, such studies reveal the perceptions of different stakeholder groups, which might, in principle, differ significantly from what is reported in the literature. While MPAs are often presented as win-win solutions in the scientific literature, this is

not always necessarily the case if the viewpoints of other stakeholder groups, such as extractive
marine users (Gall and Rodwell, 2016), are explicitly included in the analysis.

*Ex-ante* evaluations of MPA impacts (Batista et al., 2011; Horta e Costa et al., 2013; Hussain et al., 2010; Pinheiro et al., 2009; Stoffle and Minnis, 2007), aimed at gathering stakeholders' perceptions prior to MPA designation, can be very useful to assess expected changes in the biological and ecological significance of a site. To date, however, very few studies have gathered stakeholders' perceptions prior to MPA designation, hindering *ex-ante* assessment of future MPA benefits (Hussain et al., 2010).

A variety of different perceptions emerges from the different groups and communities surveyed in the present study. While scientists, NGO members, conservationists, and recreational users tended to consider MPA impacts on other marine uses as positive, the perceptions of the remaining marine stakeholders were not in unison. For example, some stakeholders in the Black Sea perceived MPA impacts as very negative to industrial fishing. Responses from fishers clearly pointed out their worry on the 'real' impacts, and subsequent costs, of MPAs on their activity, which may be spatially excluded or re-allocated.

396 Nevertheless, negative perceptions on MPAs cannot be explained by perceptions of the 397 impacts alone (Voyer et al., 2014), as opinions or motivations about management and 398 governance (human dimensions) might also play an important role in determining the social 399 acceptability of MPAs (Bennett and Dearden, 2014; Charles and Wilson, 2009; De Santo et al., 400 2013; Dunne et al., 2014). Differences in socio-cultural contexts (e.g. history, income, 401 dependency, equity issues) might lead to actively campaign against MPAs, not providing them 402 with a social license (Marshall et al., 2010; Voyer et al., 2015). This opposition might be 403 overcome through successful stakeholder engagement since the beginning of the MPA 404 designation process, thanks to a stakeholder-driven design process (Klein et al., 2008). This 405 allows stakeholders to develop a sense of environmental stewardship, ownership, responsibility, 20

and sense of place meaning (Granek et al., 2008; Fraser et al., 2014; Hoehn and Thapa, 2009;
Lédée et al., 2012; Perez de Oliveira, 2013; Rosendo et al., 2011; von Heland et al., 2014;
Wynveen and Kyle, 2015). Incorporating local knowledge and traditions, using leadership and
regional networks for bottom-up co-management schemes, as well as creating collaborations
among various stakeholder groups, will maximize the probability of stakeholder involvement and
process success (Granek et al., 2008; López-Angarita et al., 2014; Voyer et al., 2015).

412 Although our survey covered a wide range of stakeholders from more than half of the 413 countries bordering the Mediterranean and Black Seas, our results should be taken with caution, 414 due to the relatively small number of respondents compared to the vast geographical area under 415 scrutiny and the complexity of the socioeconomic interactions that take place in the region. In 416 particular, the heterogeneity in the composition of respondents across the study area did not 417 allow us to disentangle the effects of geographic region, country, and/or respondent role on the 418 results. To derive more robust conclusions, future studies should aim to increase the sample size 419 of each stakeholder group across the study area. Yet, this venture requires a lot of resources that 420 are currently limited in these regions. Until adequate resources are dedicated to the investigation 421 of this important topic, the results of our analysis provide a first contribution to fill the wide 422 knowledge gap about stakeholders' perceptions on MPA impacts in the Mediterranean and Black 423 Seas.

424 *4.3.* Anthropogenic stressors affecting MPAs – stakeholders' perceptions from the survey

Feedback between conservation initiatives and social-ecological systems are still poorly understood (Miller et al., 2012). In particular, environmental conservation may result in social changes causing secondary effects on protected ecosystems and making it difficult to predict their consequences on the achievement of conservation targets. In order to close these feedback loops and address both the social dynamics resulting from the impacts of MPAs and the

subsequent positive or negative environmental effects (under the assumption that undesirable or
negative social outcomes could yield undesirable environmental effects and desirable or positive
social outcomes could yield desirable environmental effects), stakeholders were also asked about
their perceptions on stressors posed to MPAs by human activities.

434 Interestingly, pollution (agricultural and industrial), oil/gas extraction at sea, and port 435 activities were perceived as high-risk factors by Black Sea stakeholders and as low-risk factors by Mediterranean ones. This difference in responses reflects the different perceptions of stressors 436 437 affecting the environment within each region. Most Mediterranean stakeholders participating in 438 the survey were from EU states that have adopted European directives regarding pollution 439 control (e.g. Directive 2008/1/EC of the European Parliament and of the Council concerning 440 integrated pollution prevention and control). Thus, pollution issues were considered as a minor 441 risk factor for MPAs in the Mediterranean Sea. Although not addressed here, stakeholders' 442 perception on MPA stressors is thought to be dependent on the pre-existing level of disturbance 443 (e.g., fishing pressure). Therefore, it is essential to define the role of pre-existing disturbances in 444 the MPAs as a way to understand and rate the perceptions of marine stakeholders on certain 445 marine uses (Savina et al., 2013).

#### 446 *4.4. Management considerations*

The ultimate success or failure of an MPA can at least partly depend on the public acceptance, which is sometimes constrained by the different uses that occur in the marine environments. Maritime spatial planning aims at creating a more rational organization of how the marine space can be used by multiple stakeholders and how different uses interact with each other, to balance demands for development with the need to protect the environment, and to achieve social and economic objectives in an open and planned way (Douvere, 2008). MPA designation is an integral part of maritime spatial planning and the achievement of ecosystem454 based management (Crowder and Norse, 2008). Therefore, when establishing MPAs, it is 455 important to know how the spatial regulation of human activities within MPAs will affect marine 456 stakeholders (Cárcamo et al., 2014). In MPAs, marine uses may be constrained, subject to 457 stringent conditions, or even totally excluded depending on the location and type of MPA 458 established. The specific location of the MPA would hence determine how marine uses might be 459 positively or negatively impacted.

460 In maritime spatial planning, it is equally important to consider how MPAs are affected 461 by human activities taking place in adjacent areas. For instance, some extractive uses, if located 462 in close proximity to MPAs, can reduce or even nullify the beneficial effects MPAs have on 463 species and ecosystems. Numerous legal issues relate to whether certain activities, like oil and 464 gas, sand and gravel extraction, aquaculture and mariculture, or energy production, should be 465 strictly prohibited in MPAs or be allowed under specific conditions; or whether already existing 466 activities (such as maritime transport or military uses) should precede the designation of an MPA 467 and remain in place or be subject to re-location if necessary.

Evidence on impacts of human activities on MPAs and vice versa can also provide 468 469 insights to MPA planners and managers about the zonation within MPAs. In the Mediterranean 470 Sea, MPAs are most often multiple-use areas (Gabrié et al. 2012). Typically, there may be one or 471 more fully protected (no-take) core areas surrounded by one or more partially protected (buffer) 472 areas. Inside the fully protected area, no extractive activities are allowed but, in some occasions, 473 recreational activities such as swimming and diving may be permitted under specific regulations 474 (e.g. Medes Marine Reserve in Spain or Marine Nature Reserve in Crimea). Inside the buffer 475 zone, extractive activities, such as artisanal fishing, are generally allowed but they are regulated. 476 More comprehensive analyses of the relationships among human uses, stakeholders' perceptions, 477 and MPAs could lead to more sustainable zoning schemes.

Incorporating multiple stakeholder perceptions in MPA design and more broadly in maritime spatial planning can lead to more feasible and socially accepted conservation outcomes. Differences in the perception of stakeholders and users of MPAs were observed in the Mediterranean and Black Seas, indicating that perceptions of the levels of stress can vary both across stakeholders and across regions. Stakeholders' perception analyses thus should be contextspecific and inform planning and management at local or regional scales.

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# 717 Figure Captions

Fig. 1. Distribution of stakeholder's responses collected using our survey. Circles show the
spatial location of the MPAs for which responses were obtained in the Mediterranean and Black
Sea regions.

Fig. 2. The proportion of responses addressing the key MPA objectives. Asterisks indicate significant differences between Mediterranean and Black Seas (chi-squared test; \*\*\*: P < 0.001; \*\*: P < 0.01; \*: P < 0.05).

**Fig. 3.** Summary of the responses regarding MPA impacts on socioeconomic activities. Shades of gray indicate the perceived magnitude of each impact, from "clearly negative" to "clearly positive" plus "not applicable" and "no answer" (hatched bars). Circles indicate the modal response in the two regions (white: Mediterranean; black: Black Sea).

Fig. 4. Summary of the responses regarding natural and anthropogenic stressors to MPAs.
Shades of gray indicate the perceived importance of each stressor, from "none" to "high" plus
"no answer" (hatched bars). Circles indicate the modal response in the two regions (white:
Mediterranean; black: Black Sea).