



Daniel, Priya and Bewick, Thomas and Welham, Sally and McKeever, Tricia M. and Lim, Wei Shen (2017) Adults miscoded and misdiagnosed as having pneumonia: results from the British Thoracic Society pneumonia audit. *Thorax*, 72 (4). pp. 376-379. ISSN 1468-3296

Access from the University of Nottingham repository:

http://eprints.nottingham.ac.uk/43587/1/Coding-%20BTS%20CAP%20v2.2%20%28Thorax_req_CLEAN%29%20.pdf

Copyright and reuse:

The Nottingham ePrints service makes this work by researchers of the University of Nottingham available open access under the following conditions.

This article is made available under the University of Nottingham End User licence and may be reused according to the conditions of the licence. For more details see: http://eprints.nottingham.ac.uk/end_user_agreement.pdf

A note on versions:

The version presented here may differ from the published version or from the version of record. If you wish to cite this item you are advised to consult the publisher's version. Please see the repository url above for details on accessing the published version and note that access may require a subscription.

For more information, please contact eprints@nottingham.ac.uk

Adults miscoded and misdiagnosed as having pneumonia: results from the British Thoracic Society pneumonia audit

Priya Daniel¹, Thomas Bewick², Sally Welham³, Tricia M Mckeever⁴, Wei Shen Lim¹ *on behalf of the British Thoracic Society*

Correspondence

P Daniel

Department of Respiratory Medicine, David Evans Building

City Hospital Campus, Nottingham University Hospitals NHS Trust

Hucknall Road, Nottingham. NG5 1PB

Email: priyasosha.daniel@nuh.nhs.uk

Tel: +44(0)115 969 1169

Affiliations

¹ Department of Respiratory Medicine, Nottingham University Hospitals NHS Trust, Nottingham NG5 1PB, UK;

² Department of Respiratory Medicine, Derby Teaching Hospitals NHS Foundation Trust, Derby DE22 3NE, UK;

³ British Thoracic Society, 17 Doughty Street, London WC1N 2PL, UK;

⁴ Division of Epidemiology, Clinical Skills Building, University of Nottingham, Nottingham, NG5 1PB.

Keywords

Community acquired pneumonia, coding, ICD-10, acute bronchitis, lower respiratory tract infection, misdiagnosis

Word count, references, tables and figures

Abstract: 98 words

Main text: 1578 words (not including acknowledgment)

References: 5

Tables: 1

Adults miscoded and misdiagnosed as having pneumonia: results from the British Thoracic Society pneumonia audit

Priya Daniel, Thomas Bewick, Sally Welham, Tricia M Mckeever, Wei Shen Lim on behalf of the British Thoracic Society

Abstract

A key objective of the British Thoracic Society national community acquired pneumonia (CAP) audit was to determine the clinical characteristics and outcomes of hospitalised adults given a primary discharge code of pneumonia but who did not fulfill accepted diagnostic criteria for pneumonia.

Adults miscoded as having pneumonia (n=1251) were older compared to adults with CAP (n=6660) (median 80 years vs 78 years, $p<0.001$), and had more co-morbid disease, significantly fewer respiratory symptoms (fever, cough, dyspnoea, pleuritic pain), more constitutional symptoms (general deterioration, falls) and significantly lower 30-day in-patient mortality (14.3% vs 17.0%, adjusted Odds Ratio 0.75, $p=0.003$).

Introduction

Clinical coding data are increasingly being used nationally to compare pneumonia related outcomes across institutions in the UK. Furthermore, data derived from pneumonia codes are used as a method for retrospectively identifying cases of community acquired pneumonia (CAP). However, considerable heterogeneity and variation in diagnostic and coding practices has been described across the UK.¹ Possible reasons for observed variations in coding include local differences in coding practices and, specifically with regard to pneumonia, inherent difficulties in making a definitive diagnosis due to varied clinical presentations, lack of a diagnostic laboratory test and the limitations of chest X-rays (CXR). The extent of miscoding and misdiagnosis nationally and the effect of miscoding on reported patient outcomes are not known.

The British Thoracic Society (BTS) conducted a national audit to compare the clinical characteristics and outcomes of adults miscoded as having pneumonia versus adults with CAP.

Methods

Study design

National Health Service (NHS) Institutions in England, Wales and Northern Ireland were invited to participate in the British Thoracic Society CAP audit for adults hospitalised to acute trusts between 1 December 2014 and 31 January 2015. Institutions were required to identify adult patients admitted over this period, with International Classification of Diseases (ICD)-10 primary discharge codes that included any of J12-J18. Medical notes of identified patients were reviewed by investigators at each participating site and entered into either one of two groups within the audit. Eligibility criteria for entry to the CAP group were: (a) age \geq 16 years with new infiltrates on chest radiograph (determined by the auditing team), (b) presence of signs and symptoms of a lower respiratory tract infection (LRTI), (c) no hospital discharge within the preceding 10 days of index admission, and (d) not immunocompromised. All cases ineligible for inclusion to the CAP group were included in the non-CAP group. Demographic and clinical data were extracted using a standardised pro-forma and entered onto a secure website. The BTS Quality Improvement Committee determined that ethical approval was not required for the conduct of this audit.

Study population

The CAP group comprised immunocompetent patients with a clinico-radiographic diagnosis of CAP as defined by accepted international criteria. Patients miscoded as having pneumonia comprised immunocompetent patients in the non-CAP group, who did not have nosocomial pneumonia; the latter defined as preceding hospitalisation within 10 days of index admission

with pneumonia or pneumonia arising during hospitalisation. For the comparative analysis, patients miscoded as having pneumonia were compared to those with CAP.

Statistical considerations

Statistical analyses was performed using Stata/IC 13.1 (©StataCorp., 2013). Pearson's chi-squared test was used to compare categorical variables. The Mann Whitney U-test was used to compare continuous variables. Baseline demographics and clinical features of adults with miscoded pneumonia were compared to those with CAP. The independent association between diagnosis (miscoded pneumonia or CAP) and 30-day in-patient (IP) mortality was examined using a multivariable logistic regression model. Likelihood ratio tests were used to determine the best fit of continuous variables and accordingly, age was fitted in the model as a categorical variable. Explanatory variables were examined to determine which co-variables were significantly associated ($p \leq 0.05$) with the outcome of 30-day IP mortality. Forward regression was subsequently conducted with the remaining co-variables; co-variables that led to a $\geq 10\%$ change in the regression co-efficient between coded diagnosis and mortality were retained. A sensitivity analysis was performed to compare mortality in institutions that had submitted data for both the CAP and non-CAP groups.

Results

Overall study population

One hundred and fifty eight institutions submitted data towards the CAP group (n=6786), and 115 institutions submitted data towards the non-CAP group (n=2211). In the CAP group, 30-day mortality data were missing in 126 cases, leaving 6660 patients for analysis. In the non-CAP group, data to determine immune status or treatment for nosocomial infection were unavailable for 278 patients and 30-day mortality data were missing in a further 7 patients; of the remaining 1926 patients, 675 (35.0%) had nosocomial pneumonia and/or were immunocompromised, leaving 1251 (65.0%) patients who were miscoded as having pneumonia.

Patients miscoded as having pneumonia

Almost all patients miscoded as having pneumonia (1189 of 1251 (95.0%)) received antibiotic treatment on admission; 656 (55.2%) for treatment of presumed CAP, 373 (31.4%) for other lower respiratory tract infections (LRTIs) and 135 (11.4%) for other diagnoses. Symptoms consistent with a LRTI were present in 933 (74.6%) of miscoded patients at admission while the CXR was normal in 487 (38.9%) patients. Abnormal CXR findings included pleural effusions, cardiomegaly and chronic lung changes.

'Miscoded pneumonia' versus CAP

Patients miscoded as having pneumonia were significantly older than those with CAP (median 80 years vs 78 years, $p < 0.001$). They were also significantly more likely to have major co-morbid conditions (0-1 co-morbidities, 61.3% vs 68.9%, 2-4 co-morbidities, 38.0% vs 30.6% and ≥ 5 co-morbidities 0.7% vs 0.5%; p for trend < 0.001) including more chronic heart disease (excluding hypertension), congestive heart failure, dementia, diabetes and cerebrovascular disease (**Table 1**). Symptoms at presentation were significantly different between both groups; patients with miscoded pneumonia had significantly fewer respiratory symptoms including fever, cough, dyspnoea and pleuritic chest pain but significantly more non-specific constitutional symptoms including general deterioration, falls and altered conscious levels compared to those with CAP.

Outcomes

Patients with miscoded pneumonia were less likely to be admitted to critical care compared to patients with CAP (1.9% vs 5.1% respectively, OR 0.36, 95% CI 0.23-0.54, $p < 0.001$), and had a lower 30-day IP mortality (14.3% vs 17.0% respectively, adjusted Odds Ratio (aOR) 0.75, 95% CI 0.62-0.91, $p = 0.003$; model adjusted for age, admission through emergency department, admission from a care home, co-morbid disease and critical care admission).

Discussion

This is the first multi-centre analysis in the UK of hospitalised adults miscoded as having pneumonia. We found that such patients were older, had more co-morbid illnesses, fewer symptoms consistent with an acute respiratory infection and more non-specific constitutional symptoms at presentation compared to patients with clinico-radiographic evidence of CAP. Miscoded cases had a 25% lower odds of in-patient death at 30 days compared to those with CAP (similar mortality effect observed in sensitivity analysis; data not shown).

Miscoding of pneumonia may occur because of coder-related error or physician-related misdiagnosis. Coder-related error mainly occurs during (1) data abstraction from medical notes or (2) interpretation of data for coding; coders may (a) assign generic codes when information exists for more specific codes (mis-specification error) or (b) re-sequence codes for a spell hence altering the primary diagnosis for that spell. In this study, 52% of patients miscoded as having pneumonia were treated as having CAP by their attending clinicians although on subsequent review these patients did not fulfill criteria for CAP; these constitute cases of miscoding due to misdiagnosis rather than coder-related error. This observation reflects the widely recognised difficulties with making an early diagnosis of pneumonia at the time of hospital admission based on clinical and chest radiographic features alone; non-pneumonic co-morbidities such as congestive heart failure may be misdiagnosed as pneumonia. Pressures to administer antibiotics as rapidly as possible in patients with CAP further increase the risk of misdiagnosis.

Many patients miscoded as having pneumonia had symptoms of an acute respiratory infection but did not have radiographic changes consistent with pneumonia. This clinical syndrome would be consistent with a diagnosis of acute bronchitis, as would the lower mortality observed in miscoded cases of pneumonia compared to patients with CAP. In a community-based US study of elderly patients, a lower mortality in those with non-pneumonic LRTI compared to pneumonia was also observed (7% vs 13%), though no statistically significant difference was observed in a US study of hospitalised patients (8% vs 10%, $p=0.09$).^{2,3} The crude in-patient mortality of 14.3% in patients miscoded as having pneumonia is nevertheless high, underlining the vulnerability of older adults (mean age 80 years) with multiple comorbid illnesses to even non-pneumonic LRTIs.

Strengths and limitations of this study

Due to the study methodology, cases of CAP that were miscoded to an alternative diagnosis, such as LRTI, would not have been captured. However, there is no reason to expect this represents a large proportion of patients, nor for the characteristics of such patients to be different from patients correctly coded, as reported elsewhere.⁴ In particular, this limitation is not expected to have a significant impact on the comparative analysis.

Studies of CT imaging in the investigation of patients admitted to hospital with suspected CAP have revealed the limitations of the CXR in identifying the full range of pneumonias.⁵ Therefore, it is possible that some cases in the 'miscoded pneumonia' group may have been misassigned based on a normal CXR (CT imaging may have revealed pneumonic changes). The effect of such

misclassification is that the observed differences between patients with CAP and those with 'miscoded pneumonia' are likely to be conservative.

The participation of a large number of NHS institutions across the UK is an important strength of this study. The findings from this study reflect current management and coding practices in relation to pneumonia in the NHS.

Implications of this study

Institutional variation in reported pneumonia outcomes derived from interrogation of clinical coding datasets may be confounded by variations in coding. Efforts directed at measuring and reducing the degree of miscoding and misdiagnosis of pneumonia are needed if such datasets are to be used to assess quality improvement initiatives in pneumonia, or for high-level management purposes. At a clinical level, better diagnostics to help confidently identify patients with non-pneumonic conditions from among those presenting with suspected pneumonia may allow improved targeted treatment strategies and reduce unwarranted antibiotic use.

Acknowledgements

On behalf of the BTS, we thank all the clinicians and their teams who participated in the BTS CAP audit and who drove improvement projects at their hospitals. We also acknowledge the significant support of the BTS Audit team.

Table and figures

Table 1 - Baseline demographics, clinical characteristics and outcomes of hospitalised adults with miscoded pneumonia compared to those with CAP

	<i>Miscoded pneumonia group (n=1251)</i>	<i>CAP group (n=6660)</i>	<i>OR (95%CI)</i>	<i>p value</i>
Age (years)[‡]	80 (69-87)	78 (65-87)	1.01 (1.01-1.01)	<0.001
Male	585 (46.8)	3114 (46.8)	1.02 (0.91-1.16)	0.711
Care home resident^Δ	230 (18.8)	1044 (16.6)	1.17 (1.00-1.37)	0.057
Admission route: A&E	925 (73.9)	5030 (75.5)	0.90 (0.78-1.04)	0.142
COMORBID DISEASE				
Congestive heart failure (CCF)	148 (11.8)	635 (9.5)	1.27 (1.05-1.54)	0.013
Chronic obstructive pulmonary disease (COPD)	279 (22.3)	1552 (23.3)	0.94 (0.82-1.09)	0.441
Chronic lung disease (excluding COPD)	138 (11.0)	807 (12.1)	0.90 (0.74-1.09)	0.277
Chronic heart diseases (excluding hypertension)	328 (26.2)	1422 (21.4)	1.31 (1.14-1.50)	<0.001
Active malignancy	79 (6.3)	448 (6.7)	0.93 (0.73-1.20)	0.592
Chronic renal disease	131 (10.5)	593 (8.9)	1.20 (0.98-1.46)	0.078
Liver disease	10 (0.8)	47 (0.7)	1.13 (0.57-2.25)	0.719
Dementia	207 (16.6)	609 (9.1)	1.97 (1.66-2.34)	<0.001
Diabetes	207 (16.6)	662 (9.9)	1.80 (1.52-2.13)	<0.001
Cerebrovascular disease	172 (13.8)	690 (10.4)	1.38 (1.15-1.65)	<0.001
Number of co-morbid diseases				
0-1	767 (61.3)	4589 (68.9)	Reference	<0.001[†]
2-4	475 (38.0)	2040 (30.6)	1.39 (1.23-1.58)	
≥ 5	9 (0.7)	31 (0.5)	1.74 (0.82-3.66)	

CLINICAL FEATURES AT ADMISSION*Respiratory symptoms*

Fever	473 (42.8) ^a	2953 (51.4) ^b	0.71 (0.62-0.81)	<0.001
Cough	839 (74.5) ^c	4887 (82.9) ^d	0.60 (0.52-0.70)	<0.001
Dyspnoea	747 (66.6) ^e	4629 (78.5) ^f	0.55 (0.48-0.63)	<0.001
Pleuritic chest pain	181 (17.8) ^g	1284 (24.9) ^h	0.65 (0.55-0.78)	<0.001
Wheeze	235 (24.6) ⁱ	1147 (23.9) ^j	1.04 (0.89-1.22)	0.629
Haemoptysis	11 (0.9)	76 (1.1)	0.77 (0.41-1.45)	0.415

Constitutional symptoms

General deterioration from pre-morbid state	67 (5.4)	263 (4.0)	1.38 (1.05-1.81)	0.022
Altered consciousness	49 (3.9)	183 (2.8)	1.44 (1.05-1.99)	0.025
Fall	132 (10.6)	465 (7.0)	1.57 (1.28-1.93)	<0.001
Abdominal symptoms*	61 (4.9)	253 (3.8)	1.30 (0.97-1.73)	0.073

Severity features

Confusion	362 (28.9)	1648 (24.7)	1.52 (1.33-1.75)	<0.001
Urea > 7 mmol/L	664 (53.1)	3235 (48.6)	1.29 (1.14-1.46)	<0.001
Respiratory rate ≥ 30/min	156 (12.5)	1185 (17.8)	0.69 (0.57-0.82)	<0.001
Blood pressure < 90 mmHg systolic and/or ≤ 60 mmHg diastolic	321 (25.7)	1185 (17.8)	1.66 (1.44-1.92)	<0.001

OUTCOMES

Critical care admission	24 (1.9)	340 (5.1)	0.36 (0.23-0.54)	<0.001
30-day IP mortality	179 (14.3)	1132 (17.0)	0.82 (0.69-0.97)	0.019

All values given as n (%) unless stated otherwise; ‡ - median (IQR), † - p for trend

OR –Odds Ratio, 95% CI – 95% Confidence Interval

Δ- Care home data available for 7523 adults in total

Symptom data available for 'n' adults as described: ^a – n=1105; ^b–5746; ^c– 1126; ^d – 5893; ^e– 1122; ^f– 5899; ^g– 1016; ^h – 5157; ⁱ– 956; ^j – 4809

*- composite of vomiting, diarrhea, abdominal pain and/or non-specific abdominal complaint

References

1. CAPITA. The quality of clinical coding in the NHS. Secondary The quality of clinical coding in the NHS 2014.
https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/364476/The_quality_of_clinical_coding_in_the_NHS.pdf.
2. Houston MS, Silverstein MD, Suman VJ. Community-acquired lower respiratory tract infection in the elderly: a community-based study of incidence and outcome. *J Am Board Fam Pract* 1995;**8**(5):347-56.
3. Basi SK, Marrie TJ, Huang JQ, et al. Patients admitted to hospital with suspected pneumonia and normal chest radiographs: epidemiology, microbiology, and outcomes. *Am J Med* 2004;**117**(5):305-11.
4. van de Garde EM, Oosterheert JJ, Bonten M, et al. International classification of diseases codes showed modest sensitivity for detecting community-acquired pneumonia. *J Clin Epidemiol* 2007;**60**(8):834-8.
5. Claessens YE, Debray MP, Tubach F, et al. Early Chest Computed Tomography Scan to Assist Diagnosis and Guide Treatment Decision for Suspected Community-acquired Pneumonia. *Am J Respir Crit Care Med* 2015;**192**(8):974-82.