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#### Article:

Hindman, N, Strauss, D and Zamboni, LQ (2018) Recurrence in the dynamical system (X,  $\Box$ Ts $\Box$ s $\in$ S) and ideals of  $\beta$ S. Indagationes Mathematicae, 29 (1). pp. 293-312. ISSN 0019-3577

https://doi.org/10.1016/j.indag.2016.12.004

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### **Accepted Manuscript**

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 PII:
 S0019-3577(17)30051-4

 DOI:
 http://dx.doi.org/10.1016/j.indag.2016.12.004

 Reference:
 INDAG 478

To appear in: Indagationes Mathematicae



Please cite this article as: N. Hindman, D. Strauss, L. Zamboni, Recurrence in the dynamical system  $(X, \langle T_s \rangle_{s \in S})$  and ideals of  $\beta S$ , *Indagationes Mathematicae* (2017), http://dx.doi.org/10.1016/j.indag.2016.12.004

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# Recurrence in the dynamical system $(X, \langle T_s \rangle_{s \in S})$ and ideals of $\beta S$

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#### Abstract

A dynamical system is a pair  $(X, \langle T_s \rangle_{s \in S})$ , where X is a compact Hausdorff space, S is a semigroup, for each  $s \in S$ ,  $T_s$  is a continuous function from X to X, and for all  $s, t \in S$ ,  $T_s \circ T_t = T_{st}$ . Given a point  $p \in \beta S$ , the Stone-Čech compactification of the discrete space S,  $T_p: X \to X$  is defined by, for  $x \in X$ ,  $T_p(x) = p - \lim_{s \in S} T_s(x)$ . We let  $\beta S$  have the operation extending the operation of S such that  $\beta S$  is a right topological semigroup and multiplication on the left by any point of S is continuous. Given  $p, q \in \beta S$ ,  $T_p \circ T_q = T_{pq}$ , but  $T_p$  is usually not continuous. Given a dynamical system  $(X, \langle T_s \rangle_{s \in S})$ , and a point  $x \in X$ , we let  $U(x) = \{p \in \beta S : T_p(x) \text{ is uniformly recurrent}\}$ . We show that each U(x) is a left ideal of  $\beta S$  and for any semigroup we can get a dynamical system with respect to which  $K(\beta S) = \bigcap_{x \in X} U(x)$  and  $c\ell K(\beta S) = \bigcap \{U(x) : x \in X \text{ and } U(x) \text{ is closed}\}$ . And we show that weak cancellation assumptions guarantee that each such U(x) properly contains  $K(\beta S)$  and has  $U(x) \setminus c\ell K(\beta S) \neq \emptyset$ .

#### 1 Introduction

We take the Stone-Cech compactification of a discrete semigroup  $(S, \cdot)$  to be the set of ultrafilters on S, identifying the points of S with the principal ultrafilters. Given  $A \subseteq S$ , we set  $\overline{A} = \{p \in \beta S : A \in p\}$ . The set  $\{\overline{A} : A \subseteq S\}$  is a basis for the open sets and a basis for the closed sets of  $\beta S$ . The operation on S extends uniquely to  $\beta S$  so that  $(\beta S, \cdot)$  is a right topological semigroup with S contained in its topological center, meaning that  $\rho_p$  is continuous for each

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 $<sup>^\</sup>dagger {\rm This}$  author acknowledges support received from the National Science Foundation (USA) via Grant DMS-1460023.

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 $p \in \beta S$  and  $\lambda_x$  is continuous for each  $x \in S$ , where for  $q \in \beta S$ ,  $\rho_p(q) = q \cdot p$ and  $\lambda_x(q) = x \cdot q$ . So, for every  $p, q \in \beta S$ ,  $pq = \lim_{s \to p} \lim_{t \to q} st$ , where s and t denote elements of S. If  $A \subseteq S$ ,  $A \in p \cdot q$  if and only if  $\{x \in S : x^{-1}A \in q\} \in p$ , where  $x^{-1}A = \{y \in S : xy \in A\}$ . (We are following the custom of frequently writing xy for  $x \cdot y$ .)

The algebraic structure of  $\beta S$  is interesting in its own right, and has had substantial applications, especially to that part of combinatorics known as *Ramsey Theory*. See the book [4] for an elementary introduction to the structure of  $\beta S$  and its applications.

We are concerned in this paper with the relationship between the algebraic structure of  $\beta S$  and recurrence in *dynamical systems*.

**Definition 1.1.** A dynamical system is a pair  $(X, \langle T_s \rangle_{s \in S})$  such that

- X is a compact Hausdorff topological space (called the *phase space* of the system);
- (2) S is a semigroup;
- (3) for each  $s \in S$ ,  $T_s$  is a continuous function from X to X; and
- (4) for all  $s, t \in S$ ,  $T_s \circ T_t = T_{st}$ .

Associated with any semigroup S are at least two interesting dynamical systems, namely  $(\beta S, \langle \lambda_s \rangle_{s \in S})$ , and  $(S\{0,1\}, \langle T_s \rangle_{s \in S})$  where  $S\{0,1\}$  is the set of all functions from S to  $\{0,1\}$  with the product topology and  $T_s(x) = x \circ \rho_s$ . (We shall verify that this latter example is a dynamical system shortly.)

It is common to assume that the phase space of a dynamical system is a metric space, but we make no such assumption. If S is infinite, then  $\beta S$  is not a metric space. Everything we do here is boring if S is finite so whenever we write "let S be a semigroup" we shall assume that S is infinite. The interested reader can amuse herself by determining which of our results remain valid if that assumption is dropped.

The system  $(\beta S, \langle \lambda_s \rangle_{s \in S})$  has significant general properties as can be seen in [4, Section 19.1], but will not be used much in this paper.

Given a product space  $S\{0, 1\}$ , recall that the product topology has a subbasis consisting of sets of the form  $\pi_t^{-1}[\{a\}]$  for  $t \in S$  and  $a \in \{0, 1\}$ , where, for  $x \in S\{0, 1\}, \pi_t(x) = x(t)$ .

**Lemma 1.2.** Let R be a semigroup and let S be a subsemigroup of R. Let  $Z = R\{0, 1\}$ , the set of all functions from R to  $\{0, 1\}$  with the product topology. For  $x \in Z$  and  $s \in S$ , define  $T_s(x) = x \circ \rho_s$ . Then  $(Z, \langle T_s \rangle_{s \in S})$  is a dynamical system.

*Proof.* It is routine to verify that for  $s, t \in S$ ,  $T_s \circ T_t = T_{st}$ . To see that  $T_s$  is continuous for each  $s \in S$ , let  $s \in S$  be given. It suffices to show that the inverse image of each subbasic open set is open, so let  $t \in R$  and  $a \in \{0, 1\}$  be given. Then  $T_s^{-1}[\pi_t^{-1}[\{a\}]] = \pi_{ts}^{-1}[\{a\}]$ .

Recall that, if T is any discrete space,  $p \in \beta T$ ,  $\langle x_t \rangle_{t \in T}$  is any indexed family in a Hausdorff topological space X, and  $y \in X$ , then  $p-\lim_{t \in T} x_t = y$  if and only if for every neighborhood U of y,  $\{t \in T : x_t \in U\} \in p$ . In compact spaces p-limits always exist.

**Definition 1.3.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system and let  $p \in \beta S$ . Then  $T_p : X \to X$  is defined by, for  $x \in X$ ,  $T_p(x) = p - \lim_{s \in S} T_s(x)$ . So  $T_p(x) = \lim_{s \to p} T_s(x)$  where s denotes an element of S.

Using [4, Theorem 4.5] one easily sees that for  $p, q \in \beta S$ ,  $T_p \circ T_q = T_{pq}$ . However,  $(X, \langle T_s \rangle_{s \in \beta S})$  is not in general a dynamical system, since  $T_p$  is not likely to be continuous when  $p \in \beta S \setminus S$ . However, for each  $x \in X$ , the map  $p \mapsto T_p(x) : \beta S \to X$  is continuous. To see this, define  $f_x(p) = T_p(x)$ . If Uis a neighborhood of  $f_x(p)$  and  $A = \{s \in S : T_s(x) \in U\}$ , then  $U \in p$  and  $f_x[\overline{A}] \subseteq U$ . Alternatively, one may note that  $p \mapsto T_p(x)$  is the continuous extension to  $\beta S$  of the function  $s \mapsto T_s(x) : S \to X$ .

As a compact Hausdorff right topological semigroup,  $\beta S$  has a number of important algebraic properties, and we list some of those that we shall use. (Proofs can be found in [4, Chapters 1 and 2]. Assume that T is a compact Hausdorff right topological semigroup. A non-empty subset V of T is a *left ideal* if  $tV \subseteq V$  for every  $t \in T$ , a right ideal if  $Vt \subseteq V$  for every  $t \in T$ , and an ideal if it is both a left and a right ideal.

- (1) T contains an idempotent.
- (2) T has a smallest ideal K(T), which is the union of the minimal left ideals of T and the union of the minimal right ideals of T.
- (3) For every  $t \in K(T)$ , Tt is a minimal left ideal of T and tT is a minimal right ideal of T.
- (4) The intersection of any minimal left ideal and any minimal right ideal of T is a group.
- (5) Every left ideal of T contains a minimal left ideal, and every right ideal of T contains a minimal right ideal.
- (5) Every minimal left ideal of T is compact.
- (6) If  $\{t \in T : \lambda_t \text{ is continuous}\}$  is dense in T, then the closure of every ideal in T is also an ideal.



We introduce the main objects of study in this paper now. Given a set X, we let  $\mathcal{P}_f(X)$  be the set of finite nonempty subsets of X.

**Definition 1.4.** Let S be a semigroup and let  $A \subseteq S$ . We say the set A is *syndetic* if and only if there exists  $F \in \mathcal{P}_f(S)$  such that  $S = \bigcup_{t \in F} t^{-1}A$ .

In the semigroup  $(\mathbb{N}, +)$  a set is syndetic if and only if it has bounded gaps.

**Definition 1.5.** Let  $(X, \langle T_s \rangle s \in S)$  be a dynamical system and let  $x \in X$ .

- (a) The point x is uniformly recurrent if and only if for every neighborhood V of x,  $\{s \in S : T_s(x) \in V\}$  is syndetic.
- (b)  $U(x) = U_X(x) = \{ p \in \beta S : T_p(x) \text{ is uniformly recurrent} \}.$

In Section 2 of this paper we present well known results about U(x) that are valid in arbitrary dynamical systems as well as the few simple results that we have in the dynamical system  $(\beta S, \langle \lambda_s \rangle_{s \in S})$ .

In Section 3 we present results about the dynamical systems described in Lemma 1.2.

In Section 4 we consider the effect of slightly modifying the phase space in the dynamical systems described in Lemma 1.2.

In Section 5 we consider surjectivity of  $T_p$  and the set  $NS = NS_X = \{p \in \beta S : T_p : X \to X \text{ is not surjective}\}$  which is a right ideal of  $\beta S$  whenever it is nonempty.

#### 2 General results

We begin with some well known basic facts.

**Lemma 2.1.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system, let L be a minimal left ideal of  $\beta S$ , and let  $x \in X$ . The following are equivalent:

- (a) x is uniformly recurrent.
- (b) There exists  $q \in L$  such that  $T_q(x) = x$ .
- (c) There exists an idempotent  $q \in L$  such that  $T_q(x) = x$ .
- (d) There exists  $y \in X$  and  $q \in L$  such that  $T_q(y) = x$ .
- (e) There exists  $q \in K(\beta S)$  such that  $T_q(x) = x$ .
- (f) There exists  $y \in X$  and  $q \in K(\beta S)$  such that  $T_q(y) = x$ .

*Proof.* The equivalence of (a)-(d) is shown in [4, Theorem 19.23]. Since (c) implies (e), and (e) implies (f), we shall show (f) implies (c) and this will establish the equivalence of all six statements. So assume that (f) holds. Let u denote the identity of the group  $L \cap q\beta S$ . Since uq = q, it follows that  $T_u(x) = T_u T_q(y) = T_q(y) = x$ .

**Corollary 2.2.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system and let  $x \in X$ .

- (1) If x is uniformly recurrent,  $U(x) = \beta S$ .
- (2) For each  $x \in X$ , U(x) is a left ideal of  $\beta S$ .
- (3) For every  $x \in X$ ,  $K(\beta S) \subseteq U(x)$ .
- (4)  $\bigcap_{x \in X} U(x)$  is a two sided ideal of  $\beta S$ .

*Proof.* (1) Suppose that x is uniformly recurrent. Then  $T_u(x) = x$  for some  $u \in K(\beta S)$ . Thus for every  $v \in \beta S$ ,  $T_v(x) = T_v T_u(x) = T_{vu}(x)$ ; since  $vu \in K(\beta S)$ , by Lemma 2.1(f),  $T_v(x)$  is uniformly recurrent.

(2) Let  $x \in X$ , let  $p \in U(x)$ , and let  $r \in \beta S$ . By Lemma 2.1(e), pick  $q \in K(\beta S)$  such that  $T_q(T_p(x)) = T_p(x)$ . Then  $T_{rp}(x) = T_r(T_q(T_p(x))) = T_{rqp}(x)$ . Now  $rqp \in K(\beta S)$ , so by Lemma 2.1(f),  $T_{rp}(x)$  is uniformly recurrent.

(3) This is immediate from Lemma 2.1(f).

(4) By (3),  $\bigcap_{x \in X} U(x)$  is nonempty, so by (2)  $\bigcap_{x \in X} U(x)$  is a left ideal of  $\beta S$ , so it is enough to show that  $\bigcap_{x \in X} U(x)$  is a right ideal of  $\beta S$ . So suppose that  $x \in X, p \in \bigcap_{x \in X} U(x)$  and  $q \in \beta S$ . Since  $p \in U(T_q(x)), T_{pq}(x)$  is uniformly recurrent and so  $pq \in U(x)$ .

The statements of Lemma 2.3 below are modifications of basic well known facts that are proved in [2]. (Furstenberg assumes that the phase space is metric, but the proofs given do not use this assumption.) We shall say that a subspace Z of X is *invariant* if  $T_s[Z] \subseteq Z$  for every  $s \in S$ . Of course, if Z is closed and invariant, then  $T_p[Z] \subseteq Z$  for every  $p \in \beta S$ . (Let  $x \in Z$ . Then  $T_s(x) \in Z$  for each  $s \in S$  so  $p - \lim_{s \in S} T_s(x) \in Z$ .)

**Lemma 2.3.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system. Let L be a minimal left ideal of  $\beta S$ .

- (1) A subspace Y of X is minimal among all closed and invariant subsets of X if and only if there is some  $x \in X$  such that  $Y = \{T_p(x) : p \in L\}$ .
- (2) Let Y be a subspace of X which is minimal among all closed and invariant subsets of X. Then every element of Y is uniformly recurrent.
- (3) If  $x \in X$  is uniformly recurrent and  $Y = \{T_p(x) : p \in \beta S\}$ , then Y is minimal among all closed and invariant subsets of X.

# (4) If $x \in X$ is uniformly recurrent, then $T_p(x)$ is uniformly recurrent for every $p \in \beta S$ .

*Proof.* (1) Suppose that Y is a subspace of X which is minimal among all closed and invariant subsets of X. Pick  $x \in Y$  and let  $Z = \{T_p(x) : p \in L\}$ . We claim that Z is a closed and invariant subspace of Y and is therefore equal to Y. If  $p \in L$  and  $s \in S$ , then  $T_s(T_p(x)) = T_{sp}(x)$  and  $sp \in L$ , so Z is invariant and obviously  $Z \subseteq Y$ . To see that Z is closed, it suffices to show that any net in Z has a cluster point in Z. To this end, let  $\langle p_\alpha \rangle_{\alpha \in D}$  be a net in L and pick a cluster point p in L of  $\langle p_\alpha \rangle_{\alpha \in D}$ . Then  $T_p(x)$  is a cluster point of  $\langle T_{p_\alpha}(x) \rangle_{\alpha \in D}$ .

Conversely, let  $x \in X$  and let  $Y = \{T_p(x) : p \in L\}$ . Then Y is invariant and one sees as above that Y is closed. We shall show that Y is minimal among all closed and invariant subsets of X. To see this, suppose that Z is a subset of Y which is closed and invariant. We shall show that  $Y \subseteq Z$ , so let  $y \in Y$  be given. Pick  $z \in Z$ . Then  $y = T_p(x)$  and  $z = T_q(x)$  for some p and q in L. Since Lq = L, there exists  $r \in L$  such that rq = p. It follows that  $T_r(z) = y$  and hence that  $y \in Z$  as required.

(2) Let Y be a subspace of X which is minimal among all closed and invariant subsets of X and let  $x \in Y$ . Pick  $y \in X$  such that  $Y = \{T_p(y) : p \in L\}$ . Pick  $p \in L$  such that  $x = T_p(y)$ . By Lemma 2.1(f), x is uniformly recurrent.

(3) Let x be a uniformly recurrent point of X and let  $Y = \{T_p(x) : p \in \beta S\}$ . By Lemma 2.1(b), pick  $q \in L$  such that  $T_q(x) = x$ . By (1) it suffices that  $Y = \{T_p(x) : p \in L\}$ . To see this, let  $y \in Y$  and pick  $p \in \beta S$  such that  $y = T_p(x)$ . Then  $y = T_p(T_q(x)) = T_{pq}(x)$  and  $pq \in L$ .

(4) Let x be a uniformly recurrent point of X and let  $Y = \{T_p(x) : p \in \beta S\}$ . By (3) Y is minimal among all closed and invariant subsets of X so (2) applies.

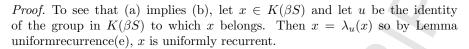
We conclude this section with a few results about the dynamical system  $(\beta S, \langle \lambda_s \rangle_{s \in S})$ . We observe that, if we define  $\lambda_p : \beta S \to \beta S$  in this system by  $\lambda_p(q) = \lim_{s \to p} \lambda_s(q)$ , where s denotes an element of S, then  $\lambda_p(q) = pq$  for every p and q in  $\beta S$ . So this does not conflict with the previous definition of  $\lambda_p$  given in the introduction.

**Theorem 2.4.** Let S be a semigroup and let  $x \in \beta S$ . Statements (a) and (b) are equivalent and imply (c). If  $\beta S$  has a left cancelable element, all three are equivalent.

(a)  $x \in K(\beta S)$ .

(b) x is uniformly recurrent in the dynamical system  $(\beta S, \langle \lambda_s \rangle_{s \in S})$ .

(c)  $\beta Sx$  is a minimal left ideal of  $\beta S$ .



To see that (b) implies (a), assume that x is uniformly recurrent. By Lemma 2.1(f) pick  $y \in \beta S$  and  $q \in K(\beta S)$  such that  $\lambda_q(y) = x$ . Then  $x = qy \in K(\beta S)$ .

To see that (a) implies (c), assume that  $x \in K(\beta S)$  and pick the minimal left ideal L of  $\beta S$  such that  $x \in L$ . Then  $\beta Sx$  is a left ideal of  $\beta S$  contained in L and so  $\beta Sx = L$ .

Now assume that  $\beta S$  has a left cancelable element z and that  $\beta Sx$  is a minimal left ideal of  $\beta S$ . Pick an idempotent  $u \in \beta Sx$ . Then  $zx \in \beta Sx$  so by [4, Lemma 1.30], zx = zxu and therefore  $x = xu \in \beta Sx \subseteq K(\beta S)$ .

**Corollary 2.5.** Let S be an infinite semigroup and let  $x \in K(\beta S)$ . Then  $U(x) = \beta S$  with respect to the dynamical system  $(\beta S, \langle \lambda_s \rangle_{s \in S})$ .

*Proof.* By Theorem 2.4, x is uniformly recurrent, so by Lemma 2.3(4),  $U(x) = \beta S$ .

**Corollary 2.6.** Let S be a semigroup and let  $p, q \in \beta S$ . Statements (a) and (b) are equivalent and imply statement (c). If  $\beta S$  has a left cancelable element, then all three statements are equivalent.

- (a)  $qp \in K(\beta S)$ .
- (b)  $q \in U(p)$  with respect to the dynamical system  $(\beta S, \langle \lambda_s \rangle_{s \in S})$ .
- (c)  $\beta Sqp$  is a minimal left ideal of  $\beta S$ .

*Proof.* We have that  $q \in U(p)$  if and only if  $\lambda_q(p)$  is uniformly recurrent and  $\lambda_q(p) = qp$  so Theorem 2.4 applies.

It is an old and difficult problem to characterize when  $K(\beta S)$  is prime or when  $c\ell K(\beta S)$  is prime. There are trivial situations where the answer is known. For example if S is left zero or right zero, then so is  $\beta S$  and thus  $K(\beta S) = \beta S$ , and is necessarily prime. It is not known whether  $K(\beta \mathbb{N}, +)$  is prime or  $c\ell K(\beta \mathbb{N}, +)$  is prime. (Some partial results were obtained in [3].)

**Corollary 2.7.** Let S be a semigroup. The following statements are equivalent.

- (a) There exists  $p \in \beta S \setminus K(\beta S)$  such that, with respect to the dynamical system  $(\beta S, \langle \lambda_s \rangle_{s \in S}), K(\beta S) \subsetneq U(p).$
- (b)  $K(\beta S)$  is not prime.

*Proof.* This is an immediate consequence of Corollary 2.6.

## 3 Dynamical systems with phase space $R_{\{0,1\}}$

Throughout this section we assume that R is a semigroup, S a subsemigroup of R, and  $(Z, \langle T_s \rangle_{s \in S})$  is the dynamical system of Lemma 1.2. While our results are valid in this generality, in practice we are interested in just two situations, one in which R = S and the other in which  $R = S \cup \{e\}$  where e is a two sided identity adjoined to S.

Our first results in this section are aimed at showing that for any semigroup S, there is a dynamical system such that both  $K(\beta S)$  and  $c\ell K(\beta S)$  are intersections of sets of the form U(x).

**Definition 3.1.** Given  $x \in Z$  we denote the continuous extension of x from  $\beta R$  to  $\{0,1\}$  by  $\tilde{x}$ .

Of course, for each  $x \in Z$ , each  $p \in \beta S$  and each  $t \in R$ ,  $T_p(x)(t) = p - \lim_{s \in S} T_s(x)(t) = p - \lim_{s \in S} x(ts)$  and so  $T_p(x)(t) = \tilde{x}(tp)$ .

**Lemma 3.2.** Let  $x \in Z$ , let  $p \in \beta S$ , and let L be a minimal left ideal of  $\beta S$ . The following statements are equivalent:

- (a)  $p \in U(x)$ .
- (b) There exists  $q \in L$  such that  $\tilde{x}(tp) = \tilde{x}(tqp)$  for all  $t \in R$ .
- (c) There exists an idempotent  $q \in L$  such that  $\tilde{x}(tp) = \tilde{x}(tqp)$  for all  $t \in R$ .

*Proof.* To see that (a) implies (c), assume that  $T_p(x)$  is uniformly recurrent. By Lemma 2.1(c), pick an idempotent  $q \in L$  such that  $T_q(T_p(x)) = T(p)(x)$ . Then  $T_{qp}(x) = T_p(x)$  so as noted above, for all  $t \in R$ ,  $\tilde{x}(tqp) = \tilde{x}(tp)$ .

Trivially (c) implies (b). To see that (b) implies (a), pick  $q \in L$  such that  $\tilde{x}(tp) = \tilde{x}(tqp)$  for all  $t \in R$ . Then  $T_p(x) = T_{qp}(x) = T_q(T_p(x))$ , so by Lemma 2.1(b),  $T_p(x)$  is uniformly recurrent.

**Lemma 3.3.** Let  $x \in Z$  and let  $p \in \beta S$ . Then  $p \in U(x)$  if and only if for every minimal left ideal L of  $\beta S$  and every  $F \in \mathcal{P}_f(R)$ , there exists  $q_F \in L$  such that for all  $t \in F$ ,  $\tilde{x}(tp) = \tilde{x}(tq_F p)$ .

*Proof.* The necessity is an immediate consequence of Lemma 3.2(b).

For the sufficiency, let L be a minimal left ideal of  $\beta S$ . For each  $F \in \mathcal{P}_f(R)$ , pick  $q_F \in L$  as guaranteed. Direct  $\mathcal{P}_f(R)$  by agreeing that F < G if and only if  $F \subseteq G$ . Pick a cluster point  $q \in L$  of the net  $\langle q_F \rangle_{F \in \mathcal{P}_f(R)}$ . It is then routine to show that for all  $t \in R$ ,  $\tilde{x}(tqp) = \tilde{x}(tp)$  so that by Lemma 3.2(b),  $p \in U(x)$ .  $\Box$ 

**Theorem 3.4.** (1)  $K(\beta S) \subseteq \bigcap_{x \in Z} U(x)$ .

- (2) If  $p \in \bigcap_{x \in Z} U(x)$ , then, for every minimal left ideal L of  $\beta S$ ,  $\beta Sp = Lp$ and so  $\beta Sp$  is a minimal left ideal of  $\beta S$ .
- (3) If R contains a left cancelable element, then  $K(\beta S) = \bigcap_{x \in Z} U(x)$ . In particular, if R has a left identity, then  $K(\beta S) = \bigcap_{x \in Z} U(x)$ .

*Proof.* (1)  $K(\beta S) \subseteq \bigcap_{x \in \mathbb{Z}} U(x)$  by Corollary 2.2(3).

(2) Assume that  $p \in \bigcap_{x \in \mathbb{Z}} U(x)$ . Let L be a minimal left ideal of  $\beta S$ . We shall show that, for every  $t \in R$ ,  $tp \in tLp$ . To see this, assume the contrary. Then for some  $t \in R$ , there exists  $A \subseteq R$  such that  $A \in tp$  and  $\overline{A} \cap tLp = \emptyset$ . Let  $x = \chi_A$ . So  $\tilde{x}$  is the characteristic function of  $\overline{A}$ . Since  $p \in U(x)$ , it follows from Lemma 3.2 that  $\tilde{x}(tp) = \tilde{x}(tqp)$  for some  $q \in L$ . However,  $\tilde{x}(tp) = 1$  and  $\tilde{x}(tqp) = 0$ . This contradiction establishes that  $tp \in tLp$  for every  $t \in R$ . In particular,  $\beta Sp = c\ell_{\beta S}Sp \subseteq Lp$ . So  $\beta Sp \subseteq Lp$ . By [4, Theorem 1.46], Lp is a minimal left ideal of  $\beta S$ , and so  $\beta Sp = Lp$ .

(3) Now suppose that R contains a left cancelable element t and let  $p \in \bigcap_{x \in Z} U(x)$  Since t is left cancelable in  $\beta R$  by [4, Lemma 8.1] and tp = tqp for some  $q \in L$ , it follows that  $p = qp \in K(\beta S)$ .

Recall that a subset A of a semigroup S is *piecewise syndetic* if and only if there is some  $G \in \mathcal{P}_f(S)$  such that for every  $F \in \mathcal{P}_f(S)$ , there is some  $x \in S$ with  $Fx \subseteq \bigcup_{t \in G} t^{-1}A$ . The important fact about piecewise syndetic sets is that they are the subsets of S whose closure meets  $K(\beta S)$ , [4, Theorem 4.40].

**Definition 3.5.**  $\Omega = \Omega_Z = \{x \in Z : \overline{x^{-1}[\{1\}] \cap S} \cap K(\beta S) = \emptyset\}.$ 

Thus  $\Omega = \{x \in Z : x^{-1}[\{1\}] \cap S \text{ is not piecewise syndetic in } S\}$ . Note that, since  $K(\beta S)$  is usually not topologically closed, we have by Theorem 3.4 that not all sets of the form U(x) are closed.

**Definition 3.6.** Let  $x \in Z$ .  $N(x) = \{p \in \beta S : (\forall t \in R) (T_p(x)(t) = 0)\}.$ 

**Lemma 3.7.** Let  $x \in Z$ . Then N(x) is closed and  $N(x) \subseteq U(x)$ . If N(x) = U(x), then  $x \in \Omega$ . If S is a left ideal of R, then N(x) = U(x) if and only if  $x \in \Omega$ .

*Proof.* To see that N(x) is closed, let  $p \in \beta S \setminus N(x)$ , pick  $t \in R$  such that  $T_p(x)(t) = 1$ , and let  $A = \{s \in S : T_s(x)(t) = 1\}$ . Then  $A \in p$  and  $\overline{A} \cap N(x) = \emptyset$ .

If  $T_p(x)$  is constantly equal to 0 on R, then  $T_p(x)$  is uniformly recurrent and thus  $p \in U(x)$ .

Let  $A = x^{-1}[\{1\}] \cap S$ .

First assume that N(x) = U(x) and suppose that  $x \notin \Omega$ . Since  $\overline{A} \cap K(\beta S) \neq \emptyset$ , pick  $p \in \overline{A} \cap K(\beta S)$ . By Corollary 2.2(3),  $p \in U(x)$  and so for all  $t \in R$ ,  $T_p(x)(t) = 0$ . Since  $K(\beta S)$  is a union of groups, there exists  $q \in K(\beta S)$  such

that qp = p. Pick  $t \in S$  such that  $t^{-1}A \in p$ . Also  $T_p(x)(t) = 0$  so  $\{s \in S : x(ts) = 0\} \in p$ . Pick  $s \in t^{-1}A$  such that x(ts) = 0, a contradiction.

Now assume that S is a left ideal in R. Let  $x \in \Omega$  and let  $p \in U(x)$ . We claim that  $p \in N(x)$ . To see this, suppose we have some  $t \in R$  such that  $T_p(x)(t) = 1$ . By Lemma 3.2, there exists an idempotent  $q \in K(\beta S)$  such that  $\tilde{x}(tqp) = 1$ . By [4, Theorem 2.17],  $\beta S$  is a left ideal of  $\beta R$  so  $tqp \in \beta S$  and so  $A \in tqp = tqqp$ . Thus there is some  $s \in S$  such that  $tsqp \in \overline{A}$ . Since  $ts \in S$ ,  $tsqp \in K(\beta S)$ , a contradiction.

**Lemma 3.8.** Let  $p \in \bigcap_{x \in \Omega} U(x)$  and let  $t \in R$ . If  $tp \in \beta S$ , then  $tp \in c\ell K(\beta S)$ . In particular,  $\beta Sp \subseteq c\ell K(\beta S)$ .

Proof. Assume that  $tp \in \beta S \setminus c\ell(K\beta S)$ . We can choose  $A \in tp$  such that  $A \subseteq S$ and  $\overline{A} \cap K(\beta S) = \emptyset$ . Let x be the characteristic function of A in R, so that  $x \in \Omega$ and hence  $p \in U(x)$ . Observe that  $\tilde{x}$  is the characteristic function of  $c\ell_{\beta R}(A)$  in  $\beta R$  and that  $c\ell_{\beta R}(A) \subseteq \beta S$ , because  $\beta S$  is clopen in  $\beta R$ . Since  $\tilde{x}(tp) = 1$ , it follows from Lemma 3.2(b) that there exists  $q \in K(\beta S)$  such that  $\tilde{x}(tqp) = 1$ , and so  $A \in tqp$ . Now  $\{r \in \beta S : tqr \in \beta S\}$  is non-empty and is a right ideal of  $\beta S$ . There exists an idempotent u in the intersection of this right ideal with the left ideal  $\beta Sq$  of  $\beta S$ . Since  $q \in \beta Su$ , qu = q. So  $tqp = tquup \in K(\beta S)$ , because  $tqu \in \beta S$  and  $u \in \beta Sq \subseteq K(\beta S)$ . This contradicts the assumption that  $\overline{A} \cap K(\beta S) = \emptyset$ .

**Corollary 3.9.** Each of the following statements implies that  $\bigcap_{x \in \Omega} U(x) \subseteq c\ell K(\beta S)$ .

- (a) There exists  $e \in R$  such that es = s for every  $s \in S$ .
- (b) S contains a left cancelable element.

Proof. It follows from Lemma 3.8 that (a) implies that  $\bigcap_{x\in\Omega} U(x) \subseteq c\ell K(\beta S)$ . So assume that s is a left cancelable element in S and let  $p \in \bigcap_{x\in\Omega} U(x)$ . By [4, Lemma 8.1], s is left cancelable in  $\beta S$ . By Lemma 3.8,  $sp \in c\ell K(\beta S)$ . Now  $s\beta S = \overline{sS}$  is clopen in  $\beta S$ . So  $sp \in c\ell(K(\beta S) \cap s\beta S)$ . We claim that, if  $q \in K(\beta S) \cap s\beta S$ , then  $q \in sK(\beta S)$ . To see this, suppose that  $q \in K(\beta S)$  and that q = sv for some  $v \in \beta S$ . There is an idempotent  $u \in K(\beta S)$  for which qu = q. This implies that sv = svu and hence that  $v = vu \in K(\beta S)$ . So  $sp \in c\ell(sK(\beta S)) = sc\ell K(\beta S)$  and hence  $p \in c\ell K(\beta S)$ .

**Corollary 3.10.** Assume that S is a left ideal of R. Then each of the hypotheses (a) and (b) of Corollary 3.9 implies that  $\bigcap_{x \in \Omega} U(x) = c\ell K(\beta S)$ .

*Proof.* Assume that one of the hypotheses of Corollary 3.9 holds. Then

$$\bigcap_{x \in \Omega} U(x) \subseteq c\ell K(\beta S) \,.$$

To see that  $c\ell K(\beta S) \subseteq \bigcap_{x\in\Omega} U(x)$ , let  $x \in \Omega$  be given. By Lemma 3.7, U(x) = N(x) and so U(x) is closed. By Corollary 2.2(3),  $K(\beta S) \subseteq U(x)$  and hence  $c\ell K(\beta S) \subseteq U(x)$ .

For the statement of the following corollary we depart from our standing assumptions about R, S, and  $(Z, \langle T_s \rangle_{s \in S})$ .

**Corollary 3.11.** Let S be a semigroup. There exist a dynamical system  $(X, \langle T_s \rangle_{s \in S})$  and a subset M of X such that  $K(\beta S) = \bigcap_{x \in X} U(x)$  and  $c\ell K(\beta S) = \bigcap_{x \in M} U(x)$ .

*Proof.* If S has a left identity, let R = S. Otherwise, let  $R = S \cup \{e\}$  where e is an identity adjoined to S. The conclusion then follows from Theorem 3.4 and Corollary3.10.

In the proof of the above corollary, we could have simply let  $R = S \cup \{e\}$ where e is an identity adjoined to S, regardless of whether S has a left identity, as was done in [4, Theorem 19.27] to produce a dynamical system for any semigroup S establishing the equivalence of the notions of *central* and *dynamically central*. We shall investigate the relationship between the systems with phase space  $X = R\{0, 1\}$  and  $Y = S\{0, 1\}$  in the next section.

We note that it is possible that  $\bigcap_{x \in Z} U(x) \neq K(\beta S)$  and there is no subset M of Z such that  $\bigcap_{x \in M} U(x) = c\ell K(\beta S)$ . To see this, let S be an infinite zero semigroup. That is, there is an element  $0 \in S$  such that st = 0 for all s and t in S. Then pq = 0 for all p and q in  $\beta S$  and so  $c\ell K(\beta S) = K(\beta S) = \{0\}$ . Let R = S. Given  $x \in T$ , if a = x(0), then for all  $p \in \beta S$ ,  $T_p(x)$  is constantly equal to a and so  $T_p(x)$  is uniformly recurrent. That is, for any  $x \in Z$ ,  $U(x) = \beta S$ .

In [1] it was shown that  $c\ell K(\beta \mathbb{N})$  is the intersection of all of the closed two sided ideals that strictly contain it. In a similar vein, we would like to show that each U(x) properly contains  $K(\beta S)$ . One cannot hope for this to hold in general. For example, as we have already noted, if S is either left zero or right zero then so is  $\beta S$  and then  $K(\beta S) = \beta S$ . Results establishing that U(x)properly contains  $K(\beta S)$  require some weak cancellation assumptions.

**Definition 3.12.** Let S be a semigroup and let  $A \subseteq S$ .

- (a) A is a left solution set if and only if there exist u and v in S such that  $A = \{x \in S : ux = v\}.$
- (b) A is a right solution set if and only if there exist u and v in S such that  $A = \{x \in S : xu = v\}.$

As is standard, we denote by  $\omega$  the first infinite ordinal, which is also the first infinite cardinal. That is,  $\omega = \aleph_0$ .

**Definition 3.13.** Let S be a semigroup with  $|S| = \kappa \ge \omega$ .

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- (a) S is weakly left cancellative if and only if every left solution set in S is finite.
- (b) S is weakly right cancellative if and only if every right solution set in S is finite.
- (c) S is weakly cancellative if and only if S is both weakly left cancellative and weakly right cancellative.
- (d) S is very weakly left cancellative if and only if the union of any set of fewer than  $\kappa$  left solution sets has cardinality less than  $\kappa$ .
- (e) S is very weakly right cancellative if and only if the union of any set of fewer than  $\kappa$  right solution sets has cardinality less than  $\kappa$ .
- (f) S is very weakly cancellative if and only if S is both very weakly left cancellative and very weakly right cancellative.

Given a set X and a cardinal  $\kappa$ , we let  $U_{\kappa}(X)$  be the set of  $\kappa$ -uniform ultrafilters on X. That is,  $U_{\kappa}(X) = \{p \in \beta X : (\forall A \in p)(|A| \ge \kappa)\}.$ 

**Theorem 3.14.** Assume that  $|R| = |S| = \kappa \ge \omega$ , S is very weakly cancellative, and has the property that  $|\{e \in S : (\exists s \in S)(es = s)\}| < \kappa$ . Then for all  $x \in Z$ ,  $U(x) \cap U_{\kappa}(S) \setminus c\ell K(\beta S) \neq \emptyset$ .

*Proof.* Let  $E = \{e \in S : (\exists s \in S)(es = s)\}$ . Let  $x \in Z$  and pick  $q \in K(\beta S)$ . Let  $y = T_q(x)$ . By Corollary 2.2(3), y is uniformly recurrent. For each  $F \in \mathcal{P}_f(R)$ , let  $B_F = \{s \in S : (\forall t \in F)(x(ts) = y(t))\}$ . Since

$$B_F = \{ s \in S : T_s(x) \in \bigcap_{t \in F} \pi_t^{-1}[\{y(t)\}] \},\$$

we have  $B_F \in q$ . By [4, Lemma 6.34.3],  $K(\beta S) \subseteq U_{\kappa}(S)$  and so  $|B_F| = \kappa$ . Note that if  $F \subseteq H$ , then  $B_H \subseteq B_F$ .

Enumerate  $\mathcal{P}_f(R)$  as  $\langle F_\alpha \rangle_{\alpha < \kappa}$ . Choose  $t_0 \in B_{F_0} \setminus E$ . Let  $0 < \alpha < \kappa$  and assume that we have chosen  $\langle t_\delta \rangle_{\delta < \alpha}$  satisfying the following inductive hypotheses.

- (1) For each  $\delta < \alpha, t_{\delta} \in B_{F_{\delta}}$ .
- (2) For each  $\delta < \alpha$ ,  $FP(\langle t_\beta \rangle_{\beta < \delta}) \cap E = \emptyset$ .
- (3) For each  $\delta < \alpha$ , if  $\delta > 0$ , then  $t_{\delta} \notin FP(\langle t_{\beta} \rangle_{\beta < \delta})$ .
- (4) For each  $\delta < \alpha$ , if  $\delta > 0$ ,  $s \in FP(\langle t_\beta \rangle_{\beta < \delta})$ , and  $\gamma < \delta$ , then  $st_\delta \neq t_\gamma$ .

The hypotheses are satisfied for  $\delta = 0$ . Let

$$M_0 = \{t \in S : (\exists H \in \mathcal{P}_f(\alpha)) ((\prod_{\beta \in H} t_\beta)t \in E)\} \text{ and let}$$
  
$$M_1 = \{t \in S : (\exists s \in FP(\langle t_\beta \rangle_{\beta < \alpha})) (\exists \gamma < \alpha)(st = t_\gamma)\}.$$

Note that  $|FP(\langle t_{\beta} \rangle_{\beta < \alpha})| \le |\mathcal{P}_{f}(\alpha)| < \kappa$ . Also, given  $H \in \mathcal{P}_{f}(\alpha)$  and  $s \in E$ ,  $\{t \in S : (\prod_{\beta \in H} t_{\beta})t = s\}$  is a left solution set so  $|M_{0}| < \kappa$ . Note also that, given  $s \in FP(\langle t_{\beta} \rangle_{\beta < \alpha})$  and  $\gamma < \alpha$ ,  $\{t \in S : st = t_{\gamma}\}$  is a left solution set so  $|M_{1}| < \kappa$ . Thus we may choose  $t_{\alpha} \in B_{F_{\alpha}} \setminus (E \cup FP(\langle t_{\beta} \rangle_{\beta < \alpha}) \cup M_{0} \cup M_{1})$ . The induction hypotheses are satisfied for  $\alpha$ .

Let  $B = \{t_{\alpha} : \alpha < \kappa\}$  and let  $C = \bigcap_{\alpha < \kappa} c\ell FP(\langle t_{\beta} \rangle_{\alpha < \beta < \kappa})$ . By [4, Theorem 4.20], C is a compact subsemigroup of  $\beta S$ . We claim that  $\overline{B} \cap K(C) = \emptyset$ . Suppose instead that we have  $p \in \overline{B} \cap K(C)$ . Pick  $r \in K(C)$  such that p = pr. (By [4, Lemma 1.30], an idempotent in the minimal left ideal L of C in which p lies will do.) Let  $D = \{s \in S : s^{-1}B \in r\}$ . Then  $D \in p$  so  $D \cap B \neq \emptyset$  so pick  $\alpha < \kappa$  such that  $t_{\alpha}^{-1}B \in r$ . Then  $\overline{t_{\alpha}^{-1}B} \cap FP(\langle t_{\beta} \rangle_{\alpha < \beta < \kappa}) \neq \emptyset$  so pick finite  $H \subseteq \{\beta : \alpha < \beta < \kappa\}$  such that  $\prod_{\beta \in H} t_{\beta} \in t_{\alpha}^{-1}B$ . Pick  $\gamma < \kappa$  such that  $t_{\alpha} \prod_{\beta \in H} t_{\beta} = t_{\gamma}$ . Let max  $H = \mu$  and let  $K = H \setminus \{\mu\}$ . If  $K = \emptyset$ , then  $t_{\alpha}t_{\mu} = t_{\gamma}$ . If  $K \neq \emptyset$ , then  $t_{\alpha}(\prod_{\beta \in K} t_{\beta})t_{\mu} = t_{\gamma}$ . If  $\gamma > \mu$  we get a contradiction to hypothesis (3). If  $\mu = \gamma$  we either get  $t_{\alpha} \in E$  or  $t_{\alpha} \prod_{\beta \in K} t_{\beta} \in E$ , contradicting hypothesis (2). If  $\gamma < \mu$  we get a contradiction to hypothesis (4). Thus  $\overline{B} \cap K(C) = \emptyset$  as claimed.

Now we claim that  $\overline{B} \cap K(\beta S) = \emptyset$ . Suppose instead we have  $p \in \overline{B} \cap K(\beta S)$ . By [4, Lemma 6.34.3] we have that  $p \in U_{\kappa}(S)$  and consequently,  $p \in C$ . Thus  $K(\beta S) \cap C \neq \emptyset$  and so, by [4, Theorem 1.65],  $K(C) = K(\beta S) \cap C$ , contradicting the fact that  $\overline{B} \cap K(C) = \emptyset$ . Since  $\overline{B}$  is clopen, we thus have  $\overline{B} \cap c\ell K(\beta S) = \emptyset$ .

Now let  $\mathcal{C} = \{B_F : F \in \mathcal{P}_f(S)\} \cup \{B\}$ . We claim that  $\mathcal{C}$  has the  $\kappa$ -uniform finite intersection property. To see this, let  $\mathcal{F} \in \mathcal{P}_f(\mathcal{P}_f(S))$  and let  $H = \bigcup \mathcal{F}$ . If  $\delta < \kappa$  and  $H \subseteq F_{\delta}$ , then  $t_{\delta} \in B \cap \bigcap_{F \in \mathcal{F}} B_F$ . Since  $|\{\delta < \kappa : H \subseteq F_{\delta}\}| = |\{F \in \mathcal{P}_f(S) : H \subseteq F\}| = \kappa$ , we have that  $|\bigcap \mathcal{C}| = \kappa$  as required. Pick by [4, Corollary 3.14]  $p \in U_{\kappa}(S)$  such that  $\mathcal{C} \subseteq p$ .

Since  $B_F \in p$  for each  $F \in \mathcal{P}_f(R)$ , we have  $T_p(x) = y$  so  $p \in U(x)$ . Since  $B \in p, p \notin c\ell K(\beta S)$ .

**Corollary 3.15.** Assume that  $|R| = |S| = \kappa \ge \omega$  and that S is right cancellative and very weakly left cancellative. Then for all  $x \in Z$ ,  $U(x) \cap U_{\kappa}(S) \setminus c\ell K(\beta S) \neq \emptyset$ .

*Proof.* Let  $E = \{e \in S : (\exists s \in S)(es = s)\}$ . It suffices to show that  $|E| < \kappa$ . Pick  $x \in S$ . Given  $e \in E$  and  $s \in S$  such that es = s, we have that xes = xs so xe = x. Thus E is contained in the left solution set  $\{t \in S : xt = x\}$ .

**Corollary 3.16.** Assume that  $|R| = |S| = \kappa \ge \omega$ , that S is very weakly cancellative, that S has a member e such that es = s for all  $s \in S$ , and  $|\{e \in S : (\exists s \in S)(es = s)\}| < \kappa$ . Then  $K(\beta S) = \bigcap_{x \in Z} U(x)$  and for each  $x \in Z$ , U(x) properly contains  $K(\beta S)$ .

*Proof.* This is an immediate consequence of Theorems 3.4 and 3.14.  $\Box$ 

**Corollary 3.17.** Assume that S is a left ideal of R,  $|R| = |S| = \kappa \ge \omega$ , S is very weakly cancellative, S has a member e such that es = s for all  $s \in S$ , and  $|\{e \in S : (\exists s \in S)(es = s)\}| < \kappa$ . Then  $c\ell K(\beta S) = \bigcap_{x \in \Omega} U(x)$  and for each  $x \in \Omega$ , U(x) properly contains  $c\ell K(\beta S)$ .

*Proof.* By Corollary 3.10  $c\ell K(\beta S) = \bigcap_{x \in \Omega} U(x)$ . By Theorem 3.14, for each  $x \in \Omega$ , U(x) properly contains  $c\ell K(\beta S)$ .

# 4 Relations between systems with phase spaces X and Y

Throughout this section we will let S be an arbitrary semigroup and let  $Q = S \cup \{e\}$ , where e is an identity adjoined to S, even if S already has an identity. We will let  $(X, \langle T_{X,s} \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = Q let  $(Y, \langle T_{Y,s} \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S. For  $x \in X$  we will let  $U_X(x) = \{p \in \beta S : T_{X,p}(x) \text{ is uniformly} \text{ recurrent}\}$  and let  $U_Y(x) = \{p \in \beta S : T_{Y,p}(x) \text{ is uniformly recurrent}\}$ .

We have from the results of the previous section that for any semigroup S,  $K(\beta S) = \bigcap_{x \in X} U_X(x)$  and  $c\ell K(\beta S) = \bigcap_{x \in \Omega_X} U_X(x)$ . We are interested in determining when the corresponding assertions hold with respect to Y. Of course, the simplest situation in which they do is when for each  $x \in X$ ,  $U_X(x) = U_Y(x|_S)$  so we address this problem first, beginning with the following simple observation.

**Lemma 4.1.** Let  $x \in X$ . Then  $U_X(x) \subseteq U_Y(x_{|S})$ .

*Proof.* Let  $y = x_{|S}$  and note that  $\tilde{y}$  is the restriction of  $\tilde{x}$  to  $\beta S$ . Let L be a minimal left ideal of  $\beta S$ . By Lemma 3.2,  $p \in U_X(x)$  if and only if there exists  $q \in L$ , such that  $\tilde{x}(tp) = \tilde{x}(tqp)$  for all  $t \in Q$ . And  $p \in U_Y(x_{|S})$  if and only if there exists  $q \in L$  such that  $\tilde{y}(tp) = \tilde{y}(tqp)$  for all  $t \in S$ .

**Theorem 4.2.** The following statements are equivalent.

- (a) For all  $x \in X$ ,  $U_X(x) = U_Y(x_{|S})$ .
- (b) There do not exist  $p \in \beta S$  and  $x \in X$  such that  $T_{X,p}(x)$  is the characteristic function of  $\{e\}$  in X.
- (c) For every  $p \in \beta S$ ,  $p \in \beta Sp$ .

*Proof.* Assume that (a) holds and suppose we have  $p \in \beta S$  and  $x \in X$  such that  $T_{X,p}(x)$  is the characteristic function of  $\{e\}$  in X. Then  $T_{Y,p}(x|_S)$  is constantly 0 so  $p \in U_Y(x|_S)$ . But  $V = \{u \in X : w(e) = 1\}$  is a neighborhood of  $w = T_{X,p}(x)$  in X, while  $\{s \in S : T_{X,s}(w) \in V\} = \emptyset$ , so  $p \notin U_X(x)$ .

To see that (b) implies (c), assume that (b) holds and suppose that we have some  $p \in \beta S$  such that  $p \notin \beta S p$ . Since  $\beta S p = \rho_p[\beta S]$ ,  $\beta S p$  is closed. Pick  $A \in p$ such that  $\overline{A} \cap \beta S p = \emptyset$ . Let x be the characteristic function of A in X. First let  $s \in S$ . Then  $sp \notin \overline{A}$  so  $s^{-1}(S \setminus A) \in p$  so to see that  $T_{X,p}(s) = 0$ , it suffices to observe that  $s^{-1}(S \setminus A) \subseteq \{t \in S : T_{X,t}(x)(s) = 0\}$ . Since  $A \in p$  and for  $t \in A$ ,  $T_{X,t}(x)(e) = x(t) = 1$ , we have that  $T_{X,p}(x)(e) = 1$ .

By Lemma 4.1, we have  $U_X(x) \subseteq U_Y(x|_S)$  for all  $x \in X$ , so to show that (c) implies (a), it suffices to let  $x \in X$ , let  $p \in U_Y(x|_S)$ , assume that  $p \in \beta Sp$ , and show that  $p \in U_X(x)$ . By Lemma 3.3, it suffices to let L be a minimal left ideal of  $\beta S$  and let  $F \in \mathcal{P}_f(Q)$  and show that there is some  $q \in L$  such that  $\widetilde{x}(tp) = \widetilde{x}(tqp)$  for every  $t \in F$ . For  $t \in F$ , let  $B_t = \{s \in S : x(ts) = \widetilde{x}(tp)\}$ . Then  $\bigcap_{t \in F} B_t \in p$  and  $p \in \beta Sp = c\ell(Sp)$  so pick  $v \in S$  such that  $\bigcap_{t \in F} B_t \in vp$ . Let  $y = x|_S$ . Now  $Fv \in \mathcal{P}_f(S)$  so pick by Lemma 3.3  $q \in L$  such that for all  $t \in F$ ,  $\widetilde{y}(tvp) = \widetilde{y}(tvqp)$ . Let q' = vq and note that  $q' \in L$ . Let  $t \in F$  be given. Then  $B_t \in vp$  so  $\widetilde{x}(tvp) = \widetilde{x}(tp)$  and thus  $\widetilde{x}(tp) = \widetilde{y}(tvqp) = \widetilde{x}(tq'p)$ .

**Corollary 4.3.** If for all  $p \in \beta S$ ,  $p \in \beta Sp$ , then  $K(\beta S) = \bigcap_{x \in Y} U_Y(x)$  and  $c\ell K(\beta S) = \bigcap_{x \in \Omega_Y} U_Y(x)$ .

*Proof.* The first assertion is an immediate consequence of Theorems 3.4 and 4.2. The second assertion follows from Corollary 3.10 and Theorem 4.2.  $\Box$ 

We have already mentioned the problem of determining whether  $K(\beta S)$  or  $c\ell K(\beta S)$  is prime. Recall that an ideal I in a semigroup is *semiprime* if and only if whenever  $ss \in I$ , one must have  $s \in I$ .

**Corollary 4.4.** (1) If  $K(\beta S) \neq \bigcap_{x \in Y} U_Y(x)$ , then  $K(\beta S)$  is not semiprime.

(2) If  $c\ell K(\beta S) \neq \bigcap_{x \in \Omega_Y} U_Y(x)$ , then  $c\ell K(\beta S)$  is not semiprime.

*Proof.* (1) If  $p \in \bigcap_{x \in Y} U_Y(x) \setminus K(\beta S)$ , then  $pp \in \beta Sp$  and by Theorem 3.4,  $\beta Sp \subseteq K(\beta S)$ .

(2) If  $p \in \bigcap_{x \in \Omega_Y} U_Y(x) \setminus c\ell K(\beta S)$ , then  $pp \in \beta Sp$  and by Lemma 3.8,  $\beta Sp \subseteq c\ell K(\beta S)$ .

By virtue of Theorem 4.2 we are interested in knowing when there is some  $p \in \beta S$  such that  $p \notin \beta S p$ .

**Lemma 4.5.** Let  $p \in \beta S$ . Then  $p \notin \beta Sp$  if and only if there exists  $A \subseteq S$  such that for all  $x \in S$ ,  $x^{-1}A \in p$  and  $A \notin p$ .

*Proof.* Let  $C(p) = \{A \subseteq S : (\forall x \in S)(x^{-1}A \in p)\}$ . By [4, Theorem 6.18],  $p \in \beta Sp$  if and only if  $C(p) \subseteq p$ .

**Theorem 4.6.** Assume that  $|S| = \kappa \geq \omega$ . There exists  $p \in \beta S$  such that  $p \notin \beta Sp$  if and only if there exists  $\langle y_F \rangle_{F \in \mathcal{P}_f(S)}$  in S such that  $\{y_F : F \in \mathcal{P}_f(S)\} \cap \bigcup \{Fy_F : F \in \mathcal{P}_f(S)\} = \emptyset$ .



*Proof.* Necessity. Pick  $p \in \beta S$  such that  $p \notin \beta Sp$ . By Lemma 4.5, pick  $A \subseteq S$  such that for all  $x \in S$ ,  $x^{-1}A \in p$  and  $A \notin p$ . For  $F \in \mathcal{P}_f(S)$  pick  $y_F \in (S \setminus A) \cap \bigcap_{x \in F} x^{-1}A$ .

Sufficiency. Let  $A = \bigcup \{Fy_F : F \in \mathcal{P}_f(S)\}$ . Then  $\{S \setminus A\} \cup \{x^{-1}A : x \in S\}$  has the finite intersection property so pick  $p \in \beta S$  such that  $\{S \setminus A\} \cup \{x^{-1}A : x \in S\} \subseteq p$ . By Lemma 4.5,  $p \notin \beta Sp$ .

One of the assumptions in the following corollary is that  $S^* = \beta S \setminus S$  is a right ideal of  $\beta S$ . A (not very simple) characterization of when  $S^*$  is a right ideal of  $\beta S$  is given in [4, Theorem 4.32]. By [4, Corollary 4.33 and Theorem 4.36] it is sufficient that S be either right cancellative or weakly cancellative.

**Corollary 4.7.** Assume that  $|S| = \kappa \ge \omega$  and assume that

$$|S \setminus \{t \in S : (\exists s \in S)(st = t)\}| = \kappa$$

If either  $S^*$  is a right ideal of  $\beta S$  or S is very weakly left cancellative, then there exists p in  $\beta S$  such that  $p \notin \beta Sp$ .

*Proof.* Assume first that  $S^*$  is a right ideal of  $\beta S$ , and pick  $t \in S$  such that there is no  $s \in S$  with st = t. Then  $t \notin St$  and  $t \notin S^*t$ .

Now assume that S is very weakly left cancellative. Enumerate  $\mathcal{P}_f(S)$  as  $\langle F_{\alpha} \rangle_{\alpha < \kappa}$ . By Theorem 4.6, it suffices to produce  $\langle t_{\alpha} \rangle_{\alpha < \kappa}$  in S such that  $\{t_{\alpha} : \alpha < \kappa\} \cap \bigcup \{F_{\alpha}t_{\alpha} : \alpha < \kappa\} = \emptyset$ .

Let  $E = \{t \in S : (\exists s \in S)(st = t)\}$ . Pick  $t_0 \in S \setminus E$ . Let  $0 < \alpha < \kappa$  and assume we have chosen  $\langle t_\delta \rangle_{\delta < \alpha}$  in  $S \setminus E$  such that if  $\delta > 0$ , then  $t_\delta \notin \bigcup_{\mu < \delta} F_\mu t_\mu$  and for each  $x \in F_\delta$ ,  $xt_\delta \notin \{t_\mu : \mu < \delta\}$ .

For  $x \in S$  and  $\mu < \alpha$ , let  $H_{x,\mu} = \{t \in S : xt = t_{\mu}\}$ . Then each  $H_{x,\mu}$  is a left solution set so  $|\bigcup \{H_{x,\mu} : x \in F_{\alpha} \text{ and } \mu < \alpha\}| < \kappa$ . Pick

$$t_{\alpha} \in S \setminus (E \cup \bigcup \{H_{x,\mu} : x \in F_{\alpha} \text{ and } \mu < \alpha\} \cup \bigcup_{\mu < \alpha} F_{\mu}t_{\mu}).$$

Suppose we have some  $\mu < \kappa$  such that  $t_{\mu} \in \bigcup \{F_{\alpha}t_{\alpha} : \alpha < \kappa\}$  and pick  $\alpha < \kappa$  and  $x \in F_{\alpha}$  such that  $t_{\mu} = xt_{\alpha}$ . Then  $\alpha \neq \mu$  because  $t_{\alpha} \notin E$ . If  $\alpha < \mu$ , we would have  $t_{\mu} \in F_{\alpha}t_{\alpha}$ . So we must have  $\mu < \alpha$ . But then  $t_{\alpha} \in H_{x,\mu}$ , a contradiction.

We conclude this section by exhibiting a sufficient condition which guarantees  $K(\beta S) = \bigcap_{x \in Y} U_Y(x)$ . We shall see that this does not require equality between  $U_X(x)$  and  $U_Y(x|_S)$  for all  $x \in X$ .

**Theorem 4.8.** Assume that for all  $p \in \bigcap_{x \in Y} U_Y(x)$  and all  $A \in p$  the assumption that  $\{t \in S : t^{-1}sA \in p\}$  is syndetic for every  $s \in S$ , implies that  $\{t \in S : t^{-1}A \in p\} \neq \emptyset$ . Then  $K(\beta S) = \bigcap_{x \in Y} U_Y(x)$ .

Proof. Assume that  $p \in \bigcap_{x \in Y} U_Y(x) \setminus K(\beta S)$ . By Theorem 3.4(2),  $\beta Sp \subseteq K(\beta S)$  so  $p \notin \beta Sp$ . Pick  $A \in p$  such that  $\overline{A} \cap \beta Sp = \emptyset$ . Thus  $\{t \in S : t^{-1}A \in p\} = \emptyset$ . We claim that for all  $s \in S$ ,  $\{t \in S : t^{-1}sA\}$  is syndetic. So let  $s \in S$ . By [4, Theorem 4.48] it suffices to let L be a minimal left ideal of  $\beta S$  and show that there is some  $q \in L$  such that  $\{t \in S : t^{-1}sA \in p\} \in q$ . By Theorem 3.4(2),  $sp \in Lp$  so pick  $q \in L$  such that sp = qp. Then  $sA \in qp$  so  $\{t \in S : t^{-1}sA \in p\} \in q$  as required.

Note that by Theorem 3.4(3),  $K(\beta\mathbb{N}, +) = \bigcap_{x \in Y} U_Y(x)$  while  $1 \notin \beta\mathbb{N} + 1$ so by Theorem 4.2, it is not the case that for all  $x \in X$ ,  $U_X(x) = U_Y(x_{|S})$ . On the other hand, given  $p \in K(\beta\mathbb{N}, +)$  one has p = q + p for some  $p \in K(\beta\mathbb{N}, +)$ so automatically for any  $A \in p$ ,  $\{t \in \mathbb{N} : -t + A \in p\} \neq \emptyset$  so the hypotheses of Theorem 4.8 are valid.

#### 5 Recurrence and surjectivity of $T_p$

So far in this paper we have been considering the notion of uniform recurrence. We now introduce a notion which is usually weaker.

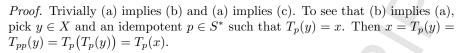
**Definition 5.1.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system. The point  $x \in X$  is *recurrent* if and only if for each neighborhood V of x in X,  $\{s \in S : T_s(x) \in V\}$  is infinite.

If all syndetic subsets of a semigroup S are infinite, then any uniformly recurrent point of X is recurrent. This is not always the case. For example, if S is a left zero semigroup and  $x \in S$ , then x is uniformly recurrent in the dynamical system  $(\beta S, \langle \lambda_s \rangle_{s \in S})$  but is not recurrent. (We have that  $\{x\}$  is a neighborhood of x and  $\{s \in S : \lambda_s(x) \in \{x\}\} = \{x\}$ , which is syndetic, but finite.)

The following characterization of recurrence is very similar to the characterization of uniform recurrence in [4, Theorem 19.23]. Part of the results depend on the assumption that  $S^*$  is a subsemigroup of  $\beta S$ . There is a characterization of  $S^*$  as a subsemigroup in [4, Theorem 4.28]. By [4, Corollary 4.29 and Theorem 4.31] it is sufficient that S be right cancellative or weakly left cancellative.

**Theorem 5.2.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system. Statements (a) and (b) are equivalent and imply statements (c) and (d), which are equivalent. If  $S^*$  is a subsemigroup of  $\beta S$ , then all four statements are equivalent.

- (a) There exists an idempotent  $p \in S^*$  such that  $T_p(x) = x$ .
- (b) There exist  $y \in X$  and an idempotent  $p \in S^*$  such that  $T_p(y) = x$ .
- (c) There exists  $p \in S^*$  such that  $T_p(x) = x$ .
- (d) x is recurrent.



To see that (c) implies (d), pick  $p \in S^*$  such that  $T_p(x) = x$ . Let V be a neighborhood of x. Then  $\{s \in S : T_s(x) \in V\} \in p$  so  $\{s \in S : T_s(x) \in V\}$  is infinite.

To see that (d) implies (c), assume that x is recurrent and for each neighborhood V of x, let  $D_V = \{s \in S : T_s(x) \in V\}$ . Then any finite subfamily of  $\{D_V : V \text{ is a neighborhood of } x\}$  has infinite intersection so pick by [4, Corollary 3.14] some  $p \in S^*$  such that  $\{D_V : V \text{ is a neighborhood of } x\} \subseteq p$ . Then  $T_p(x) = x$ .

Now assume that  $S^*$  is a semigroup. To see that (c) implies (a), pick  $p \in S^*$  such that  $T_p(x) = x$  and let  $E = \{q \in S^* : T_q(x) = x\}$ . Since  $S^*$  is a subsemigroup of  $\beta S$ , we have that E is a subsemigroup of  $\beta S$ . We claim that E is closed. To see this, let  $q \in \beta S \setminus E$ . If  $q \in S$ , then  $\{q\}$  is a neighborhood of q missing E, so assume that  $q \in S^*$ . Pick an open neighborhood U of  $T_q(x)$  such that  $x \notin c\ell U$  and let  $A = \{s \in S : T_s(x) \in U\}$ . Then  $\overline{A}$  is a neighborhood of q which misses E. Since E is a compact right topological semigroup, there is an idempotent in E.

Recall that in any dynamical system,  $(X, \langle T_s \rangle_{s \in S})$ ,  $K(\beta S) \subseteq \bigcap_{x \in X} U_X(x)$ and we have obtained sufficient conditions for equality.

**Theorem 5.3.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system, let  $p \in \beta S$ , and assume that  $T_p : X \to X$  is surjective and  $K(\beta S) = \bigcap_{x \in X} U_X(x)$ . Then for any  $q \in \beta S$ ,  $qp \in K(\beta S)$  if and only if  $q \in K(\beta S)$ .

Proof. Let  $q \in \beta S$ . The sufficiency is trivial, so assume that  $qp \in K(\beta S)$ . It suffices to show that  $q \in \bigcap_{x \in X} U(x)$ , so let  $x \in X$  be given. Pick  $y \in X$  such that  $T_p(y) = x$ . Then  $T_q(x) = T_q(T_p(y)) = T_{qp}(y)$ . Since  $qp \in U(y)$  we have  $T_{qp}(y)$  is uniformly recurrent, and so  $T_q(x) \in U(x)$  as required.  $\Box$ 

**Definition 5.4.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system. Then  $NS = NS_X = \{p \in \beta S : T_p \text{ is not surjective}\}.$ 

We have seen that U(x) is always a left ideal of  $\beta S$ .

**Lemma 5.5.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system. If  $NS \neq \emptyset$ , then NS is a right ideal of  $\beta S$ .

*Proof.* Given  $p \in NS$  and  $q \in \beta S$ , the range of  $T_{pq}$  is contained in the range of  $T_p$ .

**Lemma 5.6.** Let  $(X, \langle T_s \rangle_{s \in S})$  be a dynamical system. If there is some  $x \in X$  such that x is not recurrent, then  $\{p \in S^* : pp = p\} \subseteq NS$ .

*Proof.* Pick  $x \in X$  such that x is not recurrent and let p be an idempotent in  $S^*$ . We claim that x is not in the range of  $T_p$ , so suppose instead we have  $y \in X$  such that  $T_p(y) = x$ . Then by Theorem 5.2, x is recurrent.

We shall establish a strong connection between the surjectivity of  $T_p$  and p being right cancelable in  $\beta S$ . The purely algebraic result in Theorem 5.8 will be useful.

**Lemma 5.7.** Let S be a countable right cancellative and weakly left cancellative semigroup and let B be an infinite subset of S. There is an infinite subset D of B with the property that whenever s and t are distinct members of S, there is a finite subset F of D such that  $sa \neq tb$  whenever  $a, b \in D \setminus F$ .

Proof. Let  $\Delta = \{(s,s) : s \in S\}$  and enumerate  $(S \times S) \setminus \Delta$  as  $\langle (s_n, t_n) \rangle_{n=1}^{\infty}$ . Pick  $a_1 \in B$ . Assume  $n \in \mathbb{N}$  and we have chosen  $\langle a_i \rangle_{i=1}^n$ . Let  $W_n = \{b \in S :$  there exist  $i, j \in \{1, 2, \ldots, n\}$  such that  $s_i a_j = t_i b$  or  $s_i b = t_i a_j\}$ . Then  $W_n$  is the union of finitely many left solution sets, so is finite. Pick  $a_{n+1} \in B \setminus (W_n \cup \{a_1, a_2, \ldots, a_n\})$ .

Let  $D = \{a_n : n \in \mathbb{N}\}$ . Let s and t be distinct members of S and pick n such that  $(s,t) = (s_n,t_n)$ . Let  $F = \{a_i : i \in \{1,2,\ldots,n\}\}$ . To see that F is as required, let  $a, b \in D \setminus F$  and suppose sa = tb. Then by right cancellation,  $a \neq b$ . Pick m > n and r > n such that  $a = a_m$  and  $b = a_r$ . If m < r, then  $a_r \in W_{r-1}$ . If r < m, then  $a_m \in W_{m-1}$ .

**Theorem 5.8.** Let S be a countable cancellative semigroup. If  $p \in \beta S \setminus K(\beta S)$ , then there exists an infinite  $D \subseteq S$  such that for every  $r \in D^*$ , rp is right cancelable in  $\beta S$ .

Proof. Choose  $q \in K(\beta S)$ . We first claim that for each  $s \in S$ ,  $sp \notin K(\beta S)$ and in particular,  $sp \notin \beta Sqp$ . So suppose we have  $sp \in K(\beta S)$ . Then sp is in a minimal left ideal L of  $\beta S$ . Pick an idempotent  $r \in L$ . By [4, Lemma 1.30], sp = spr. By [4, Lemma 8.1] s is left cancelable in  $\beta S$  so p = pr, and thus  $p \in K(\beta S)$ . This contradiction establishes the claim. For each  $s \in S$ , pick  $U_s \in sp$  such that  $\overline{U_s} \cap \beta Sqp = \emptyset$ . For each  $s, t \in S$ , there exists  $V_{s,t} \in q$  such that  $\overline{U_s} \cap t\overline{V_{s,t}p} = \emptyset$  because  $\lambda_t \circ \rho_p(q) \in \beta S \setminus \overline{U_s}$ .

By [4, Theorem 3.36], there exists an infinite subset B of S such that  $B^* \subseteq \bigcap_{s,t\in S} \overline{V_{s,t}}$ . Then for every  $r \in B^*$  and every  $s, t \in S$ ,  $trp \notin \overline{U_s}$ .

By Lemma 5.7 pick an infinite subset D of B such that, whenever s and t are distinct elements of S, there is a finite subset F of D such that  $sa \neq tb$  whenever  $a, b \in D \setminus F$ . Enumerate D as  $\langle d_n \rangle_{n=1}^{\infty}$  and for each distinct s and t in S, pick  $n_{s,t} \in \mathbb{N}$  such that  $sd_m \neq td_n$  whenever  $m, n > n_{s,t}$ .

We claim that, for every  $r \in D^*$ , rp is right cancelable in  $\beta S$ . We shall apply [4, Theorem 3.40] three times.

Assume that  $q_1rp = q_2rp$ , where  $q_1$  and  $q_2$  are distinct elements of  $\beta S$ . Let  $A_1$  and  $A_2$  be disjoint subsets of S which are members of  $q_1$  and  $q_2$  respectively. Since  $q_1rp \in cl(A_1rp)$  and  $q_2rp \in cl(A_2rp)$ , an application of [4, Theorem 3.40] shows that either  $A_1rp \cap cl(A_2rp) \neq \emptyset$  or  $A_2rp \cap cl(A_1rp) \neq \emptyset$ , and without loss of generality, we may assume that the former holds. Thus we have some  $s \in A_1$  and  $q' \in \overline{A_2}$  such that srp = q'rp. Now  $srp \in c\ell(sDp)$  and  $q'rp \in c\ell((S \setminus \{s\})rp)$ , so either  $sDp \cap c\ell((S \setminus \{s\})rp) \neq \emptyset$  or  $(S \setminus \{s\})rp \cap c\ell(sDp) \neq \emptyset$ . We thus have either

- (i)  $sDp \cap c\ell((S \setminus \{s\})rp) \neq \emptyset$ , in which case we choose  $d \in D$  and  $y \in \beta S$  such that sdp = yrp; or
- (ii)  $sDp \cap c\ell((S \setminus \{s\})rp) = \emptyset$ , in which case we pick  $t \in S \setminus \{s\}$  and  $r' \in \overline{D}$ such that sr'p = trp. Since  $sDp \cap c\ell((S \setminus \{s\})rp) = \emptyset$ , we have  $r' \in D^*$ .

Suppose that (i) holds. Then  $U_{sd} \in sdp$  so  $\{v \in S : v^{-1}U_{sd} \in rp\} \in y$ , so pick  $v \in S$  such that  $U_{sd} \in vrp$ . But  $r \in V_{sd,v}$ , so this is a contradiction. Thus (ii) holds.

Now  $sr'p \in c\ell\{sd_mp : m > n_{s,t}\}$  and  $trp \in c\ell\{td_mp : m > n_{s,t}\}$  so, essentially without loss of generality, we have  $\{sd_mp : m > n_{s,t}\} \cap c\ell\{td_mp : m > n_{s,t}\} \neq \emptyset$ . (We have distinguished between s and t at this stage, but the arguments below with s and t interchanged remain valid.) Thus either

- (iii) there exist  $m, n > n_{s,t}$  such that  $sd_mp = td_np$ ; or
- (iv) there exist  $m > n_{s,t}$  and  $r'' \in D^*$  such that  $sd_m p = tr'' p$ .

If (iii) holds, then by [4, Lemma 6.28],  $sd_m = td_n$ , contradicting the choice of  $n_{s,t}$ . So (iv) holds. But  $r'' \in V_{sd_m,t}$  so  $tr''p \notin U_{sd_m}$ , a contradiction.

We now present several results about the dynamical systems considered in Section 3.

**Lemma 5.9.** Let S be a semigroup and let p be a right cancelable element of  $\beta S$ . Then for any clopen subset E of  $\beta Sp$ , there is some  $A \subseteq S$  such that  $E = \overline{A} \cap \beta Sp$ .

*Proof.* Let E be a clopen subset of  $\beta Sp$ . Let  $\mathcal{D} = \{\overline{D} \cap \beta Sp : D \subseteq S \text{ and } \overline{D} \cap \beta Sp \subseteq E\}$ . Since  $\{\overline{D} \cap \beta Sp : D \subseteq S\}$  is a basis for the topology of  $\beta Sp$  and E is open in  $\beta Sp$ , we have that  $E = \bigcup \mathcal{D}$ . Since E is compact, pick finite  $\mathcal{F} \subseteq \mathcal{P}(S)$  such that  $E = \bigcup_{D \in \mathcal{F}} (\overline{D} \cap \beta Sp)$  and let  $A = \bigcup \mathcal{F}$ .

**Theorem 5.10.** Let S be a semigroup. Let  $(Y, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S. Let  $p \in \beta S$ . If p is right cancelable in  $\beta S$ , then  $T_p: Y \to Y$  is surjective.



*Proof.* Note that since  $\rho_p: \beta S \to \beta Sp$  is injective and takes closed sets to closed sets, it is a homeomorphism.

To see that  $T_p$  is surjective, let  $z \in Y$ , let  $B = \{s \in S : z(s) = 1\}$ , and let  $E = \rho_p[\overline{B}]$ . Then E is clopen in  $\beta Sp$  so by Lemma 5.9 pick  $A \subseteq S$  such that  $E = \overline{A} \cap \beta Sp$ . Let x be the characteristic function of A in Y. We claim that  $T_p(x) = z$ . For this, it suffices that for each  $s \in S$ ,  $\{t \in S : T_t(x)(s) = z(s)\} \in p$ . So let  $s \in S$ . Note that  $\{t \in S : T_t(x)(s) = 1\} = \{t \in S : x(st) = 1\} = s^{-1}A$ . Also  $s^{-1}A \in p$  if and only if  $s \in \rho_p^{-1}[\overline{A} \cap \beta Sp]$  so  $s \in B$  if and only if  $s^{-1}A \in p$ .

If z(s) = 1, then  $s \in B$  so  $s^{-1}A \in p$  so  $\{t \in S : T_t(x)(s) = z(s)\} \in p$ . If z(s) = 0, then  $s \notin B$  so  $s^{-1}A \notin p$  so  $\{t \in S : T_t(x)(s) = z(s)\} \in p$ .  $\Box$ 

Notice that the hypotheses of the following corollary hold if S has any right cancelable element.

**Corollary 5.11.** Let S be a semigroup. Let  $(Y, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S. Let  $p \in \beta S$ . Assume that for whenever q and r are distinct elements of  $\beta S$ , there exists  $s \in S$  such that  $sq \neq sr$ . Then  $T_p: Y \to Y$  is surjective if and only if p is right cancelable in  $\beta S$ .

*Proof.* The necessity is Theorem 5.10.

So assume that  $T_p$  is surjective and suppose that we have distinct q and r in  $\beta S$  such that qp = rp. We claim that  $T_q = T_r$ . To see this, let  $x \in Y$  be given. Pick  $z \in Y$  such that  $T_p(z) = x$ . Then  $T_q(x) = T_q(T_p(z)) = T_{qp}(z) = T_{rp}(z) = T_r(T_p(z)) = T_r(x)$ .

Pick  $s \in S$  such that  $sq \neq sr$ , pick  $A \in sq \setminus sr$ , and let x be the characteristic function of A in Y. Then  $A \subseteq \{t \in S : T_t(x)(s) = 1\}$  so  $T_q(x)(s) = 1$  and  $S \setminus A \subseteq \{t \in S : T_t(x)(s) = 0\}$  so  $T_r(x)(s) = 1$ .

**Theorem 5.12.** Let S be a semigroup and let  $Q = S \cup \{e\}$  where e is an identity adjoined to S. Let  $(X, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = Q and let  $p \in \beta S$ . Then  $T_p : X \to X$  is surjective if and only if p is right cancelable in  $\beta Q$ .

*Proof.* Sufficiency. Note that  $\rho_p : \beta S \to \beta Sp$  is a homeomorphism. Note also that  $p \notin \beta Sp$ . (If we had p = qp for some  $q \in \beta S$ , then we would have ep = qp.) Let  $x \in X$  and let  $B = \{s \in S : x(s) = 1\}$ . By Lemma 5.9, pick  $A \subseteq S$  such that  $\rho_p[\overline{B}] = \overline{A} \cap \beta Sp$ . Pick  $P \in p$  such that  $\overline{P} \cap \beta Sp = \emptyset$ . If x(e) = 1, let  $D = A \setminus P$ . If x(e) = 0, let  $D = A \cup B$ . Let z be the characteristic function of D in X.

We claim that  $T_p(z) = x$ . As in the proof of Theorem 5.10, we see that for  $s \in S$ ,  $T_p(z)(s) = x(s)$ . Regardless of the value of x(e), we have that  $P \subseteq \{s \in S : T_s(z)(e) = x(e)\}$ , so  $T_p(z)(e) = x(e)$ .

Necessity. Suppose that  $T_p$  is surjective and we have  $q \neq r$  in  $\beta Q$  such that qp = rp. Assume first that  $e \in \{q, r\}$ , so without loss of generality, q = e. Let

x be the characteristic function of S in X and pick  $z \in X$  such that  $T_p(z) = x$ . Then  $0 = x(e) = T_p(z)(e) = T_{rp}(z)(e) = T_r(T_p(z))(e) = T_r(x)(e) = 1$ , a contradiction.

So we can assume that q and r are in  $\beta S$ . Pick  $A \in q \setminus r$  and let A be the characteristic function of A in X. Pick  $z \in X$  such that  $T_p(z) = x$ . Then  $0 = T_r(x)(e) = T_{rp}(z)(e) = T_{qp}(z)(e) = T_q(T_p(z))(e) = T_q(x)(e) = 1$ , a contradiction.

**Theorem 5.13.** Let S be a countable semigroup which can be embedded in a group and assume that S can be enumerated as  $\langle s_t \rangle_{t=0}^{\infty}$  so that if  $u, v \in S$ ,  $i, j \in \omega$  with i < j, and  $s_i u = s_j v$ , then  $s_0 s_i^{-1} s_j \in S$ . Let  $(Y, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S and let  $p \in \beta S$ . The  $T_p$  is surjective if and only if there exists  $x \in Y$  such that  $T_p(x)$  is the characteristic function of  $\{s_0\}$  in Y.

*Proof.* The necessity is trivial. Assume that we have  $x \in Y$  such that  $T_p(x)$  is the characteristic function of  $\{s_0\}$  in Y. For  $m \in \mathbb{N}$ , let  $D_m = \{s_0s_i^{-1}s_j : i, j \in \{0, 1, \ldots, m\}, i < j, \text{ and } s_0s_i^{-1}s_j \in S\}$  and note that  $s_0 \notin D_m$ . For each  $m \in \mathbb{N}$ , let

$$B_m = \{s \in S : T_s(x) \in \pi_{s_0}^{-1}[\{1\}] \cap \bigcap_{i=1}^m \pi_{s_i}^{-1}[\{0\}] \cap \bigcap_{r \in D_m} \pi_r^{-1}[\{0\}]\},\$$

and note that  $B_m \in p$ . We claim that if  $m, k \in \mathbb{N}$ ,  $u \in B_m$ ,  $v \in B_k$ ,  $i \in \{0, 1, \ldots, m\}$ ,  $j \in \{0, 1, \ldots, k\}$ , and  $s_i u = s_j v$ , then i = j. Suppose instead we have such m, k, u, v, i, j with  $i \neq j$  and assume without loss of generality that i < j. Then  $u = s_i^{-1} s_j v$ . By assumption  $s_0 s_i^{-1} s_j \in S$  so  $s_0 s_i^{-1} s_j \in D_k$ . Since  $u \in B_m$ ,  $1 = T_u(x)(s_0) = x(s_0 u)$ . Since  $v \in B_k$  and  $s_0 s_i^{-1} s_j \in D_k$ ,  $0 = T_v(x)(s_0 s_i^{-1} s_j) = x(s_0 s_i^{-1} s_j v)$ , a contradiction.

Now to see that  $T_p$  is surjective, let  $y \in Y$  be given. Define  $w \in Y$  as follows. If  $m \in \mathbb{N}$ ,  $u \in B_m$ , and  $i \in \{0, 1, \ldots, m\}$ , then  $w(s_i u) = y(s_i)$ . For  $s \in S$  which is not of the form  $s_i u$  for some  $m \in \mathbb{N}$ ,  $u \in B_m$ , and  $i \in \{0, 1, \ldots, m\}$ , define w(s) at will. To see that  $T_p(w) = y$ , let U be a neighborhood of y. Pick  $m \in \mathbb{N}$ such that  $\bigcap_{i=0}^m \pi_i^{-1}[\{y(s_i)\}] \subseteq U$ . Then  $B_m \subseteq U$ .

The following is an immediate corollary of Theorem 5.13.

**Corollary 5.14.** Let S be a countable group with identity e, let  $(Y, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S, and let  $p \in \beta S$ . The following statements are equivalent.

- (a)  $T_p$  is surjective.
- (b) For each  $s \in S$ , there exists  $x \in Y$  such that  $T_p(x)$  is the characteristic function of  $\{s\}$ .
- (c) There exists  $x \in Y$  such that  $T_p(x)$  is the characteristic function of  $\{e\}$ .



Notice that the hypotheses of the following theorem hold if S is very weakly left cancellative and right cancellative. If  $\kappa$  is regular, the assumption that for any subset D of S with fewer than  $\kappa$  members,  $|\{e \in S : (\exists s \in D) (\exists t \in D \setminus \{s\})(se = te)\}| < \kappa$  can be replaced by the assumption that for all distinct s and t in S,  $|\{e \in S : se = te\}| < \kappa$ .

**Theorem 5.15.** Let S be a semigroup with  $|S| = \kappa \ge \omega$  which is very weakly left cancellative and has the property that for any subset D of S with fewer than  $\kappa$  members,  $|\{e \in S : (\exists s \in D)(\exists t \in D \setminus \{s\})(se = te)\}| < \kappa$ . Let  $(Y, \langle T_s \rangle_{s \in S})$ be the dynamical system of Lemma 1.2 determined by R = S. There is a dense open subset W of  $U_{\kappa}(S)$  such that for every  $p \in W$ , p is right cancelable in  $\beta S$ and  $T_p : Y \to Y$  is surjective.

*Proof.* We show that for any  $C \in [S]^{\kappa}$ , there exists  $B \in [C]^{\kappa}$  such that for every  $p \in \overline{B} \cap U_{\kappa}(S)$ , p is right cancelable in  $\beta S$  and  $T_p: Y \to Y$  is surjective.

Enumerate S as  $\langle s_{\gamma} \rangle_{\gamma < \kappa}$ . Choose  $t_0 \in C$ . Let  $0 < \alpha < \kappa$  and assume that we have chosen  $\langle t_{\delta} \rangle_{\delta < \alpha}$  in C satisfying the following inductive hypotheses:

- (1) If  $\gamma < \delta$ , then  $t_{\gamma} \neq t_{\delta}$ .
- (2) If  $\gamma < \delta$ ,  $\mu < \beta \leq \delta$ , and  $\mu \neq \gamma$ , then  $s_{\gamma} t_{\delta} \neq s_{\mu} t_{\beta}$ .

The hypotheses are satisfied for  $\delta = 0$ . Let  $E = \{e \in S : (\exists \mu < \beta \leq \alpha)(s_{\mu}e = s_{\beta}e)\}$ . For  $\mu < \beta < \alpha$  and  $\gamma < \alpha$  let  $A_{\gamma,\mu,\beta} = \{t \in S : s_{\gamma}t = s_{\mu}t_{\beta}\}$ . Then each  $A_{\gamma,\mu,\beta}$  is a left solution set. Pick

$$t_{\alpha} \in C \setminus \left( \{ t_{\gamma} : \gamma < \alpha \} \cup E \cup \{ \bigcup_{\gamma < \alpha} \bigcup_{\beta < \alpha} \bigcup_{\mu < \beta} A_{\gamma, \mu, \beta} \right).$$

Hypothesis (1) is trivially satisfied and if  $\mu < \beta < \alpha$  and  $\gamma < \alpha$ , then  $t_{\alpha} \notin A_{\gamma,\mu,\beta}$  so  $s_{\gamma}t_{\alpha} \neq s_{\mu}t_{\beta}$ . If  $\mu < \beta = \alpha$  and  $\gamma < \alpha$ , then  $t_{\alpha} \notin E$  so  $s_{\gamma}t_{\alpha} \neq s_{\mu}t_{\beta}$ .

Let  $B = \{t_{\alpha} : \alpha < \kappa\}$  and let  $p \in \overline{B} \cap U_{\kappa}(S)$ . To see that p is right cancelable in  $\beta S$ , let  $q \neq r \in \beta S$  and suppose that qp = rp. Pick subsets C and D of Ssuch that  $C \cap D = \emptyset$  and  $C \in q$  and  $D \in r$ . Then  $H = \{s_{\gamma}t_{\alpha} : \gamma < \alpha \text{ and } s_{\gamma} \in C\} \in qp$ . (To see this, let  $s_{\gamma} \in C$ . Then  $\{t_{\alpha} : \gamma < \alpha < \kappa\} \subseteq s_{\gamma}^{-1}H$ .) Similarly,  $\{s_{\mu}t_{\beta} : \mu < \beta \text{ and } s_{\mu} \in D\} \in rp$ . Since these sets are disjoint by hypothesis (2), we have a contradiction.

The fact that  $T_p$  is surjective follows from Theorem 5.10.

**Lemma 5.16.** Let S be a cancellative semigroup, let  $a \in S$ , and let  $(Y, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S. If x is the characteristic function of  $\{a\}$  in Y, then x is not a recurrent point.

*Proof.* We claim that  $|\{s \in S : T_s(x)(a) = 1\}| \leq 1$ . Indeed, if x(as) = 1, then as = a so by left cancellation, s is a left identity for S and then by right cancellation, s is a two sided identity for S.

We have seen that U(x) is always a left ideal of  $\beta S$  and that NS is a right ideal of  $\beta S$  provided it is nonempty.

**Theorem 5.17.** Let S be a countable cancellative semigroup. Let  $(Y, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S. Then  $NS_Y$  is not a left ideal of  $\beta S$ .

*Proof.* By [4, Corollary 6.33] pick an idempotent  $p \in \beta S \setminus K(\beta S)$ . By Theorem 5.8 pick  $r \in \beta S$  such that rp is right cancelable in  $\beta S$ . By Lemma 5.16 and Theorem 5.6,  $p \in NS$  and by Theorem 5.10,  $rp \notin NS$ .

If S is commutative, then by [4, Exercise 4.4.9] and Theorem 5.5, if  $NS \neq \emptyset$ , then  $c\ell NS$  is a two sided ideal of  $\beta S$ . The following theorem shows that this may fail if S is not commutative.

**Theorem 5.18.** Let S be the free semigroup on the alphabet  $\{a, b\}$  (where  $a \neq b$ ). Let  $(Y, \langle T_s \rangle_{s \in S})$  be the dynamical system of Lemma 1.2 determined by R = S. Then  $NS \neq \emptyset$  and  $c\ell NS$  is not a left ideal of  $\beta S$ .

Proof. Let p be an idempotent in  $\beta S$  with  $\{a^n : n \in \mathbb{N}\} \in p$ . By Lemma 5.16 and Theorem 5.6,  $p \in NS$ . We will show that  $bp \notin c\ell NS$ . Let  $B = \{ba^n : n \in \mathbb{N}\}$ . Then  $B \in bp$ . We shall show that  $\overline{B} \cap NS = \emptyset$ . So let  $q \in \overline{B}$ . Let  $s_0 = a$  and let  $\langle s_n \rangle_{n=1}^{\infty}$  enumerate  $S \setminus \{a\}$  so that if the length of  $s_i$  is less than the length of  $s_j$ , then i < j. By Theorem 5.13, to see that  $T_q$  is surjective, it suffices to show that there is some  $x \in Y$  such that  $T_q(x)$  is the characteristic function of  $\{a\}$ .

Let x be the characteristic function of  $\{aba^n : n \in \mathbb{N}\}$  in Y. Let U be a neighborhood of  $\chi_{\{a\}}$  and pick  $F \in \mathcal{P}_f(S \setminus \{a\})$  such that  $\pi_a^{-1}[\{1\}] \cap \bigcap_{y \in F} \pi_y^{-1}[\{0\}] \subseteq U$ . It suffices to show that  $B \subseteq \{w \in S : T_w(x) \in \pi_a^{-1}[\{1\}] \cap \bigcap_{y \in F} \pi_y^{-1}[\{0\}]\}$ . So let  $ba^n \in B$ . Then  $T_{ba^n}(x)(a) = x(aba^n) = 1$  and for  $y \in F$ ,  $T_{ba^n}(x)(y) = x(yba^n) = 0$ .

We remark that Theorem 5.18 remains valid if S is the free semigroup on a countably infinite alphabet.

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