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Subtle Microwave-Induced Overheating Effects in an Industrial Demethylation Reaction and Their Direct Use in the Development of an Innovative Microwave Reactor

⁴ Mario De bruyn,[†] Vitaliy L. Budarin,[†] Guido S. J. Sturm,^{||} Georgios D. Stefanidis,[‡] Marilena Radoiu,[§] ⁵ Andrzej Stankiewicz,^{||} and Duncan J. Macquarrie^{*,†}

6[†]Green Chemistry Centre of Excellence, University of York, YO10 5DD, Heslington, York, United Kingdom

7[‡]Catholic University of Leuven (KU Leuven), Process Engineering for Sustainable Systems Section, Willem de Croylaan 46-box 2423,

8 3001 Leuven, Belgium

9 [§]SAIREM, 12 Porte du Grand Lyon, 01702 NEYRON Cedex, France

10 ^{II}Process & Energy Department, Delft University of Technology, Leeghwaterstraat 39, 2628CB Delft, The Netherlands

11 S Supporting Information

ABSTRACT: A systematic study of the conventional and microwave 12 (MW) kinetics of an industrially relevant demethylation reaction is 13 presented. In using industrially relevant reaction conditions the 14 dominant influence of the solvent on the MW energy dissipation is 15 avoided. Below the boiling point, the effect of MWs on the activation 16 energy E_a and k_0 is found nonexistent. Interestingly, under reflux 17 conditions, the microwave-heated (MWH) reaction displays very 18 pronounced zero-order kinetics, displaying a much higher reaction 19 20 rate than observed for the conventionally thermal-heated (CTH) reaction. This is related to a different gas product (methyl bromide, 21 MeBr) removal mechanism, changing from classic nucleation into 22 gaseous bubbles to a facilitated removal through escaping gases/ 23



vapors. Additionally, the use of MWs compensates better for the strong heat losses in this reaction, associated with the boiling of HBr/water and the loss of MeBr, than under CTH. Through modeling, MWH was shown to occur inhomogeneously around gas/liquid interfaces, resulting in localized overheating in the very near vicinity of the bubbles, overall increasing the average heating rate in the bubble vicinity vis-à-vis the bulk of the liquid. Based on these observations and findings, a novel continuous reactor concept is proposed in which the escaping MeBr and the generated HBr/water vapors are the main driving forces for circulation. This reactor concept is generic in that it offers a viable and low cost option for the use of very strong acids and the managed removal/quenching of gaseous byproducts.

31 INTRODUCTION

32 The 20th century has been mainly dominated by the use of 33 conventional thermal energy to drive chemical reactions. The 34 use of alternative energy sources, such as microwave (MW) 35 technology, appeared in the late 1970s, and its use could 36 overcome existing bottlenecks in chemical manufacturing and 37 improve the carbon footprint of many reactions.¹ While it is 38 clear that poor instrumentation has led to erroneous reports 39 and set off unrealistic expectations,² a systematic and controlled 40 investigation of the influence of MWs on chemical reactions 41 and their kinetics has been lacking. Such background 42 information is however indispensable for possible future 43 implementation of MW technology on an industrial scale. To 44 date, many types of thermal and nonthermal MW effects have 45 been reported; as a guide to past research in these reports, the 46 interested reader is referred to the critical review by De La Hoz 47 and co-workers.³ Presently, many of the "nonthermal MW 48 effects" have been disproven as being the result of an incorrect

temperature measurement. Also, the energy contained in MWs ⁴⁹ is far too low to break even hydrogen bonds.⁴ In the presence ⁵⁰ though of non-MW absorbing solvents the groups of Dudley ⁵¹ and Stiegman argue that both substrate and product can ⁵² temporarily store energy, leading to localized temperature ⁵³ increases at the reactive site, accounting for the observed MW ⁵⁴ rate enhancements.⁵ More recently they also showed that ⁵⁵ poorly MW-absorbing molecules can be selectively heated by ⁵⁶ MWs provided association to a nonreactive polar molecule as a ⁵⁷ good MW absorber, albeit the effect is then less pronounced.⁶ ⁵⁸ In a further advancement the Kappe group used Si–C vessels ⁵⁹ which were proclaimed to impede the penetration of MWs into ⁶⁰ the reaction vessel, heating the Si–C material instead, and thus ⁶¹ administering in essence conventional heating. That way no ⁶² difference in reactivity could be observed between conventional ⁶³

Received: January 26, 2017 Published: March 27, 2017 64 and MW heated reactions.⁷ However, very recently the Strouse 65 group showed convincingly that 3 mm Si–C tube walls only 66 retain $\sim 48\%$ of the MWs, pointing at significant MW 67 transmission into, and dissipation inside, the reaction vessel.

This MW leakage through Si-C tube walls is particularly 68 69 acute when a strongly absorbing solvent is used.⁸ Fan et al. have 70 pioneered the use of MW technology to the hydrothermal 71 depolymerization of cellulose to glucose, demonstrating a 72 distinct influence of the applied MW density and a MW heat 73 input via molecular radiators;⁹ notably, they used proton/ 74 deuterium exchange techniques to also obtain structural 75 information. Many research groups continue to propose 76 differing activation energies for reactions run in the presence 77 of CTH vis-à-vis MWH. However, the determination of the 78 kinetic parameters (E_a, k_0) of a reaction strongly depends on 79 the applied model and thus requires a solid understanding of 80 the reaction mechanism. Also, a sufficient number of data 81 points is needed to ensure confidence, thus requiring a 82 thorough analytical method. In this study we have investigated 83 the MW activation of an industrially (pharmaceutically) 84 relevant demethylation reaction, converting (3-85 methoxyphenyl)methylammonium bromide (3MPMA) into ⁸⁶ (3-hydroxyphenyl)methylammonium bromide (3HPMA) (see ⁸⁷ Scheme 1)^{10,11} with a detailed kinetics study. For this purpose,



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88 we have used both a SAIREM MiniFlow200SS¹² with TM 89 monomode cavity and an Anton Paar Monowave 300, both of 90 which are equipped with fiber-optic temperature measurement. 91 The MiniFlow200 uses a solid-state MW generator, which 92 enables precise frequency and microwave power control; in 93 addition, it features forward and reflected power measurement 94 so that an energy balance can be obtained. The absence of this 95 latter feature in most commonly used MW heating equipment 96 has been demonstrated to result in significant misreadings of 97 the actual MW power transferred to the sample under 98 investigation.¹³ The experimental study is complemented by a 99 simulation study to obtain additional insight into the interacting physical phenomena: electromagnetics, fluid dynamics, and 100 101 heat transfer. The demethylation reaction in this study is 102 typically run on multitonne scale and generally employs limited 103 amounts of solvent and reagents, therewith increasing the 104 efficiency of the process and its productivity. This reaction 105 however has received little mechanistic attention, especially 106 under relevant reaction conditions. From a MW point of view, 107 the investigation of a polar reaction with high substrate/ 108 product dipoles and continuously changing dielectric proper-109 ties, as the reaction progresses, presents a great opportunity to 110 gain more knowledge and understanding of how the use of 111 MWs could potentially benefit such chemical reactions.¹⁴

112 DISCUSSION

113 To assess the potential influence of different heating methods 114 on the 3MPMA to 3HPMA demethylation reaction, kinetic 115 reaction profiles (as conversion-time plots) were first Article

established for the case of an open vessel CTH covering the 116 90–118 °C temperature range (Figure 1A). The reaction 117 fl



Figure 1. (A) Conversion—time plots for the CTH reaction. The temperatures displayed in bold are measured by internal fiber-optic probe while those in brackets refer to oil bath temperatures. (B) Comparison between the target (oil bath) temperatures and the temperatures recorded by internal fiber-optic probe.

mixture consisted of 5.038 g of 3MPMA (0.0367 mol) and 118 12.38 g of 48% HBr (0.0734 mol HBr; twice excess vis-à-vis 119 substrate), and no additional solvent was added. Temperature 120 measurement was performed in a dual way, recording both the 121 oil bath temperature and, by fiber-optic temperature probe 122 (FOTP), the internal reaction temperature. Interestingly, 123 Figure 1A shows that the rate of the reaction becomes equal 124 for oil bath temperatures ≥ 115 °C, equating by FOTP to an 125 effective internal maximal reaction temperature of 112-112.5 126 °C. A linear correlation between the target (oil bath) 127 temperatures and the recorded fiber-optic temperatures is 128 observed only up to 113 °C (oil bath) (Figure 1B). 129

Kinetic analysis of the reaction profiles in Figure 1A shows a 130 complex kinetic behavior which can generally not be explained 131 as a single first- or second-order process for the entire 132 conversion range. More specifically, the beginning and the end 133 of the reaction appear to behave as different consecutive 134 second-order processes, which interchange at the 50-60% 135 conversion level (Figure 1S). In the 90 °C case, the conversion 136 level is below 50% showing thus only the first process. To 137 explain this complex behavior, it was hypothesized that 138 throughout the reaction the number of available protons is 139 reduced by (reversible) protonation of 3HPMA (Scheme 1S). 140 This becomes particularly important in the later stages of the 141 reaction, as close to stoichiometric amounts of reagents are 142 employed in this reaction. As shown in Figure 2, this model 143 f2 (Scheme 1S, eq 15a) fits the experimental kinetic data very well 144 for all temperatures. In a second approach, conversion-time 145



Figure 2. (A) Plots of the "reaction rate" to "substrate concentration" data for the CTH reaction and consequent fitting of the two-step model shown in Scheme 1S (eq 15a). (B) Calculated E_a and k_0 values for the CTH reaction displayed in Scheme 1S.

146 plots were also established for the MWH reaction. Kinetic 147 analysis of this data shows that the two-step model presented in 148 Scheme 1S (eq 15a) can only be applied up to 111.2 °C 149 (FTOP) (Figure 3A). Furthermore, in the 90-113 °C range 150 the E_a/k_0 values of the CTH and MWH reactions vary only 151 slightly (Figures 3B/2B). As shown in Figure 4A, the reaction rate observed at \sim 98 °C is independent of the type of heating 152 while at ~113 °C, MW operation leads to a markedly higher 153 154 rate of reaction than observed with CTH (Figure 4B). 155 Moreover, Figure 4B shows pronounced zero-order behavior 156 under MW operation at 113.85 °C while under CTH, classic 157 second-order is observed. As the recorded temperature for both heating types (MWH, CTH) is 113 °C, within experimental 158 error, this does demonstrate a pronounced change in the 159 160 reaction mechanism between the MW and the conventional thermally run reaction. 161

f3

A multiphysics simulation, primarily using Comsol Multi-163 physics 5.2 (see also the section on numerical simulation in 164 Supporting Information), was conducted to create additional 165 insight into the electromagnetics, the fluid dynamics, and the 166 heat transfer. To the best of our knowledge, only two studies 167 have previously been published covering the combined 168 simulation of electromagnetic heating and conductive/con-169 vective heat transfer in bench-scale MW chemistry systems. 170 These studies both concerned heating in a CEM Discover MW 171 device. More specifically, Robinson et al. studied the MW 172 heating of a variety of solvents in a stirred vial, but their 173 conducted simulation did not include a complete fluid 174 dynamics model.¹⁵ In contrast, Sturm et al. focused on the





Figure 3. (A) Plots of the "reaction rate" to "substrate concentration" data for the MWH reaction and consequent fitting of the two-stage model (Scheme 1S). (B) Calculated E_a and k_0 values for the MWH reaction.



Figure 4. Illustrative overlays of the "reaction rate-substrate concentration" plots for the ConvTH and MWH reactions at 100 $^{\circ}$ C (A) and 118 $^{\circ}$ C (B).

heating of water in a MW field, including a laminar fluid 175 dynamics model to simulate the free convection under 176 nonstirring conditions.¹³ In addition, for the continuous flow 177 case, Patil et al. presented an experimentally verified numerical 178 study into a MW heated millireactor; in particular, they 179 demonstrated temperature measurement deviations due to 180 large thermal gradients around the sensor.¹⁶ To model the 181 MWH demethylation reaction case adequately, a much more 182 advanced methodology was required, covering all the relevant 183 physical phenomena and stirring. Furthermore, to correctly 184 predict the electromagnetic field inside the cavity and the 185 reactor, knowledge was required of the dielectric properties of 186 the reaction mixture at the relevant temperatures. The medium 187 f5

188 properties were measured and determined to be $\varepsilon' = 17$ and σ 189 = 3.3 S/m. The dissipative (σ) term is best described as an 190 electrical conductivity due to the high concentration of ions in 191 solution. A visual set up is provided in Figure 5a, and additional



Figure 5. Simulation results of electromagnetic dissipation and heat transfer. (A) Visual setup for measurement of the dielectric properties. (B) MW field in and around the cavity applicator and reactor. (C) Heating rate distribution. (D) Temperature distribution in the reactor. (E) Overall electromagnetic dissipation in both the simulated and the experimental case versus temperature. (F) Heating rate around a bubble.

192 details are available from Supporting Information and Table 1S. 193 The fluid agitation in the reactant mixture was simulated by 194 applying a rotating geometrical domain, to account for stirrer bar rotation, in combination with the k- ε Reynolds-averaged 195 196 Navier-Stokes model accounting for turbulence and turbulent 197 heat transfer. Figure 5b-e shows the main modeling results for the multiphysics simulation. Additionally, an animated video is 198 available from Supporting Information (video 1S). More 199 specifically Figure 5b shows the MW field (by means of the 200 electric field intensity on logarithmic scale) in and around the 201 202 cavity applicator and reactor. The simulation shows that the 203 electromagnetic emission and dissipation in the reactor and 204 cavity construction materials are negligible. In addition, it also 205 reconfirms the general nonuniformity of MW fields.¹³ The 206 latter feature also expresses itself in the heat generation 207 simulation presented in Figure 5c, where it can be seen that the 208 main zone of heat generation occurs close to the MW antenna

of the cavity. However, in Figure 5d it is shown that for the 209 applied stirring speed, the temperature variations in the reactor 210 are too small to have any significant effect on the reaction rate, 211 i.e., less than 1.5% rate variation for the highest temperatures 212 and less than 0.4% below 111 °C. Figure 5e displays the overall 213 electromagnetic dissipation and heat loss to the surroundings 214 versus temperature for both the simulated and the experimental 215 case. It can be seen that the simulation correctly approximates 216 the characteristics of the experimental energy balance. The 217 curves lie close to each other, and both have an accelerating 218 heat loss as the temperature approaches the boiling point. 219 Figure 5f shows a quasi-electrostatic analysis of the MW field 220 around a bubble. The MW field is deformed by the presence of 221 the bubble. Red zones, representing localized overheating, and 222 blue zones, indicative of relatively cooler zones, can be 223 observed. These however do not cancel out: there is a ~40% 224 average increase in heat generation in a layer of ~ 0.1 times the 225 bubble radius. Generally the evaporation of hydrobromic acid 226 into a bubble extracts heat from the reactant liquid adjacent to 227 this bubble due to the expansion of the bubble, which can result 228 in a reduction of vapor pressure and consequently a potential 229 bubble collapse. Though the flow regime in the reactor is 230 turbulent due to stirring, the Kolmogorov length scale is 231 calculated to be 30 to 240 μ m.¹⁷ Below this scale, bubbles do 232 not benefit from turbulent convective heat transfer, and their 233 growth potential is limited unless a directly adjacent heat source 234 is present. For the CTH case, only the bubbles contacting the 235 heated reactor wall can grow, so that fewer and larger bubbles 236 are formed. In comparison, for the MWH case, the presence of 237 a locally enhanced volumetric heat source enables many more 238 bubbles to form. This mechanism agrees well with the 239 differences in boiling regime observed during experimentation; 240 illustrative videos of the MWH (video 2S) and CTH (videos 3S 241 and 4S) demethylation reaction at 112 °C are included in 242 Supporting Information. 243

As the removal of MeBr gas through water/HBr vapor will be 244 governed by the available surface between the reaction mixture 245 and the water vapor bubbles, the occurrence of zero-order 246 kinetics may relate to the available interface becoming 247 insufficient to remove all produced MeBr formed at one 248 given point in time. Scheme 2 represents the proposed 249 s2

Scheme 2. Proposed Alternative Model for the Demethylation Reaction under MW Exposure at High Reaction Temperatures a

 $A + H^{+} \stackrel{K_{1}}{\leftrightarrow} P + M_{L}$ $M_{L} + S \stackrel{K_{2}}{\leftrightarrow} M_{L}S$ $M_{L}S \stackrel{K_{3}}{\rightarrow} M_{G} + S$ $P + H^{+} \stackrel{K_{4}}{\leftrightarrow} PH^{+}$

"A is 3MPMA, P is 3HPMA, M_L is methyl bromide in the liquid phase, M_G is methyl bromide in the gas phase, and S is the surface of the bubbles.

mechanism for higher temperature MW operation. The as- 250 derived rate equation fits the high temperature MW kinetic data 251 very well (see Scheme 2S, eq 28b, and Figure 2S). An 252 additional observation in the MW transmission transient during 253 experiments can be made; Figure 3S shows that fluctuations in 254 the power regulation occur less rapidly with increasing reaction 255 temperature, which indicates a change in medium properties as 256

257 the reactant mixture progresses from mechanism 1 to 2. Further 258 to the use of open vessel reactors, we also evaluated the use of a 259 closed vessel, i.e., using a pressure NMR tube for CTH and an 260 Anton Paar MW closed vessel for the MWH reaction. As 261 shown in Table 1, no distinct MW influence is observed when

tl

Table 1. Conversion Levels for the Demethylation Reaction Performed at 118 °C in an Anton Paar MW Closed Vessel and, for the Conventional Heated Counterpart, an NMR Pressure Tube

| | | | conversion (%) | | |
|-------|--|-------------------------|-------------------------|-------------------------|--|
| entry | reactor type | 2 h reaction time | 4 h reaction time | 6 h reaction time | |
| 1 | Anton Paar MW closed vessel reactor ^a | 49.5 | 64.4 | 70.9 | |
| 2 | conventional by NMR | 47.6 | 64.5 | 70.7 | |

"Ratio gas to liquid phase in Anton Paar reaction vessel and NMR pressure tube are the same.

262 the reaction is performed in a closed vessel reactor. Indeed, in 263 closed vessel operation no mass-transfer limitation problem 264 arises as the produced MeBr builds up a pressure of ~110 psi 265 (54.7% conversion) (Figure 4S), in that way shifting the 266 equilibrium from MeBr gas to MeBr liquid.

The observation of intense steam/gas bubble production in 267 268 the high temperature MWH reaction provided an interesting 269 opportunity for the development of a novel continuous MW 270 reactor concept in which MW energy is actually converted to 271 kinetic energy, i.e., the escaping MeBr, and the generated HBr/ 272 water vapor can drive the reaction mixture around a loop. This 273 concept is similar to gas/air-lift reactors, which find common 274 application in industrial biotechnology and multiphase 275 processes, but contrary to the concept proposed here, these 276 rely on the introduction of a separate gas/air stream.¹⁸ The 277 development of a continuous MW reactor for the demethyla-278 tion reaction presented here holds distinct industrial advan-279 tages, notably (1) a controlled release and thus manageable 280 scrubbing of toxic MeBr, (2) a continuous all-glass reactor 281 concept tailored to the use of strongly corrosive acids, avoiding 282 the need for expensive specialty alloys (e.g., Hastelloy), (3) the 283 avoidance of an expensive pumping system capable of 284 withstanding MeBr/HBr, (4) the absence of moving parts, 285 and (5) enhanced mass transfer properties. Figures 5S and 6S 286 show respectively the schematics of the circular and the 287 continuous MW reactor. A video of the circular MW reactor in 288 operation, employing a PI of 140 W, is included in Supporting 289 Information (video 5S), and the conversion-time plots are 290 shown in Figure 7S.

291 CONCLUSION

292 In summary, we have shown that the main influence of MWH, 293 vis-à-vis CTH, on the kinetic parameters of an industrially 294 relevant demethylation reaction occurs only under reflux 295 conditions. Thus, the use of MWs opens a different mechanism 296 for the elimination of gaseous byproducts (e.g., MeBr), by the 297 creation of vast amounts of bubbles, therewith changing the 298 observed reaction order of the demethylation reaction from 2 299 to 0. Through modeling, the origin of this change in reaction 300 order was shown to relate to a deformation of the microwave 301 field in the presence of bubbles, leading to localized overheating 302 in the close vicinity of the bubbles. Based on these insights, a novel continuous MW reactor concept could be proposed in 303 which MW energy is converted into kinetic energy, making the 304 production and removal of MeBr the driving force for the 305 reactor. This offers a generic reactor concept for reaction types 306 in which significant amounts of gaseous byproducts (e.g., 307 de(m)ethylation, metathesis, dehydration) are created. 308

ASSOCIATED CONTENT

Supporting Information

309 310

, and illustrative videos are available in the Supporting 311 Information. The Supporting Information is available free of 312 charge on the ACS Publications website at DOI: 10.1021/ 313 jacs.7b00689. 314

Experimental details, additional figures, and reaction 315 schemes/models (PDF) 316 Simulation results of SAIREM TM cavity for demethy- 317 lation of (3-methoxyphenyl)methylammonium bromide 318 (MPG) 319 Illustrative video of the MWH demethylation reaction at 320 112 °C (MPG) 321 Illustrative video of the CTH demethylation reaction at 322 112 °C (MPG) 323 Illustrative video of the CTH demethylation reaction at 324 112 °C (MPG) 325 Video of the circular MW reactor in operation employing 326 a PI of 140 W (MPG) 327

| AUTHOR INFORMATION | 328 | |
|--|-----|--|
| Corresponding Author | | |
| *E-mail: Duncan.Macquarrie@york.ac.uk. | | |
| ORCID [©] | 331 | |
| Duncan J. Macquarrie: 0000-0003-2017-7076 | | |
| Notes | 333 | |
| The authors declare no competing financial interest. | | |

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