

1	Inferring regulatory change from gene expression: the confounding						
2	effects of tissue scaling						
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34 Abstract

Comparative studies of gene expression are often designed with the aim of identifying regulatory changes associated with phenotypic variation. In recent years large-scale transcriptome sequencing methods have increasingly been applied to non-model organisms to ask important ecological or evolutionary questions. Although experimental design varies, many of these studies have been based on RNA libraries obtained from heterogeneous tissue samples, for example homogenised whole bodies. Comparisons between groups of samples that vary in tissue composition can introduce sufficient variation in RNA abundance to produce patterns of differential expression that are mistakenly interpreted as evidence of regulatory differences. Here we present a simple model that demonstrates this effect. The model describes the relationship between transcript abundance and tissue composition in a two-tissue system, and how this relationship varies under different scaling relationships. Using a range of biologically realistic variables, including real biological examples, to parameterise the model we highlight the potentially severe influence of tissue scaling on relative transcript abundance. We use these results to identify key aspects of experimental design and analysis that can help to limit the influence of tissue scaling on the inference of regulatory difference from comparative studies of gene expression.

65 Introduction

A substantial amount of intra- and inter-specific diversity results from regulatory 66 variation. Within species, a single genome can encode multiple distinct phenotypes by 67 varying expression levels for the underlying loci. Examples of regulatory-based 68 phenotypes include social insect castes (Toth et al. 2008), some instances of plastic 69 alternative morphs such as dominant and subordinate turkeys (Pointer et al. 2013) or 70 territorial, satellite and sneaker males in wrasses (Alonzo et al. 2000; Stiver et al. 71 2015), caring and non-caring in beetles (Parker et al. 2015), and a substantial 72 proportion of differences between males and females (Moczek & Rose 2009; Khila et 73 al. 2012). Similarly, across species or divergent populations, gene regulation provides 74 an important route for the evolution of diversity (Carroll 2008; Stern & Orgogozo 75 2008) with many adaptive phenotypic changes linked to regulatory evolution (e.g. 76 Shapiro et al. 2004; Steiner et al. 2007). 77

Given the importance of regulatory variation in shaping phenotypic diversity, 78 transcriptome analyses based on RNA-Seq methods are increasingly used in 79 80 evolutionary and ecological studies with the explicit aim of identifying genes that underlie phenotypic variation. These studies assume that differential gene expression 81 82 is the result of altered transcriptional regulation which lead to phenotypic differences between groups of individuals. In many cases functional validation experiments have 83 84 demonstrated causative relationships between variation in gene expression and variation in phenotypic development (e.g. Abzhanov et al. 2006; Khila et al. 2012). 85 However, functional validation is often inhibited by the polygenic nature of many 86 traits, or a lack of functional genetics tools for the study species. For the moment at 87 least, interpretation of the results of such studies are largely dependent on the 88 assumption that expression differences have functional importance to the phenotypic 89 variation observed across samples. 90

However, regulatory differences are not the only source of variation in gene expression in heterogeneous tissue samples. The composition of the tissue sampled for RNA extraction, and subsequent quantification of expression level, is a major source of variation that may undermine the validity of any inferred relationship between differential gene expression and phenotypic variation, but is yet to be scrutinised in any detail.

The design of published expression studies varies substantially. Although 97 recent studies have demonstrated the potential to study gene expression in single cells 98 (Sandberg 2014), these remain limited and most studies are based on larger samples, 99 ranging from comparisons between organs (e.g. Enard et al. 2002; Khaitovich et al. 100 2004; Ghalambor et al. 2007; Brawand et al. 2011; Chen et al. 2015; Harrison et al. 101 2015), body parts composed of many constituent tissues such as heads (e.g. Parker et 102 al. 2015; Standage et al. 2016), or whole body samples (e.g. Kvist et al. 2013; 103 Feldmeyer et al. 2014; Hollis et al. 2014; Immonen et al. 2014; Stuglik et al. 2014). 104 In all these cases, tissue samples are homogenized before mRNA extraction, 105 purification and sequencing, with the resulting expression levels forming the primary 106 data for comparison. 107

108 The homogenization of heterogeneous tissue samples provides one source of non-regulatory variation in estimated expression levels. The composition of these 109 110 heterogeneous tissues depends on the nature of their constituent parts, the scaling relationships between these constituent parts, and the overall size of the tissue or 111 112 individual. When comparing expression levels between groups of samples, for example groups of biological replicates of different sexes or different phenotypic 113 114 morphs, the assumed connection between expression level and gene regulation is only valid if we also assume subcomponents of the tissue sample scale isometrically with 115 total size, and do not vary between the groups under comparison. Numerous 116 biological examples suggest isometry between traits is not the norm (Voje 2016), 117 strongly questioning the validity of how we interpret comparative studies of gene 118 expression. 119

Under isometric scaling the relationship between two component traits is one-120 to-one. Any individual, regardless of its total size, will have an equal percentage of its 121 mass given over to its constituent parts. Deviation from isometry means this one-to-122 one relationship is no longer true (Figure 1, rows 1 to 3). As total size varies, an 123 allometric relationship results in the size of component parts of a tissue sample 124 varying to a greater or lesser degree and, as a result, the proportional size of each 125 tissue component can vary. For example, the effects of both scaling patterns can be 126 illustrated in fiddler crabs with asymmetric claw sizes. The smaller 'minor' claw 127 scales isometrically with body size, whereas the larger 'major' claw scales with 128 positive allometry, or hyper-allometry (Rosenberg 2002). Hence, as body size 129

increases the size of the minor claw as a proportion of body mass is constant, whereasthe size of the major claw becomes disproportionately larger.

When sampling heterogeneous tissue, different forms of scaling relationships 132 will affect comparative studies of gene expression in different ways. Isometry does 133 not present a problem for studies of gene expression because the proportion of the 134 RNA library attributable to a given tissue is constant (Figure 1, panels A3, A4). Any 135 robust and repeatable change in expression level is therefore likely to be attributed to 136 regulatory variation between the groups under comparison. However, under non-137 isometric scaling this is no longer the case. If we consider the allometric equation (y =138 αx^{β}), isometry assumes the scaling coefficient, β , is one (Figure 1A1, A2 and A3). 139 140 Under hyper-allometry, or positive allometry, β is greater than one. In this case, as trait x increases in size, trait y increases in size more rapidly (Figure 1B1). As a result, 141 the size of y as a proportion of the total size increases in larger individuals (Figure 142 143 1B2 and B3). In contrast, under hypo-allometry, or negative allometry, β is less than one and as trait x increases in size trait y increases more slowly and accounts for a 144 smaller proportion of total size in larger individuals (Figure 1C1, C2 and C3). As the 145 146 proportions of each sub-tissue in a sample change, expression levels of some genes in RNA-Seq datasets could vary in a way that looks like regulatory variation, but is in 147 148 fact a sampling artefact.

A further confounding effect arises when groups of samples differ in their 149 scaling coefficient, β , or the scaling constant α (Figure 1D1, E1). For example, 150 'grade-shifts' between groups of individuals under variation in α results in 151 comparison, for example the two sexes, two phenotypic morphs or two populations or 152 species (Figure 1D1). This is often observed between morphs within species, for 153 example in testis mass between male morphs (e.g. Tomkins & Simmons 2002), or 154 between species, such as in the size of testes under different reproductive ecologies 155 (Harcourt et al. 1981) or of different brain components (Barton & Harvey 2000; 156 Barton & Venditti 2014). Grade-shifts are also commonly observed in experimental 157 selection lines and appear to be a major axis of evolvability (e.g. Wilkinson 1993; 158 Emlen 1996; Egset et al. 2012; Kotrschal et al. 2013). Where these grade-shifts 159 occur, individuals will differ in the proportions of their constituent parts regardless of 160 total size (Figure 1D2 and D3). 161

Shifts in β are perhaps more rare in nature, possibly due to stronger 162 developmental or functional constraint (e.g. Egset et al. 2012), but they do occur 163 between cell or tissue types within tissues and across species (Simmons & Tomkins 164 1996; Herculano-Houzel et al. 2015). The main result of β differences between 165 groups is that the similarity of tissue composition between those groups will vary with 166 total size (Figure 1E1, E2 and E3). This will likely increase variance within a group as 167 well as predictably altering mean transcript abundance between groups. As a result of 168 non-isometric scaling relationships, groups of individuals - be they species, morphs, 169 castes, or sexes - can vary substantially in body or tissue composition. In the case of 170 hyper- and hypo-allometry this can occur in the absence of any functional or 171 developmental reorganization, and is a mere consequence of variation in total size. 172 The proportion, or percentage size, of different tissue components is important for 173 studies of gene expression because RNA-Seq is always a proportional rather than 174 absolute measure of expression level, regardless of sequencing depth. RNA 175 176 abundance within a sample is therefore directly related to the *proportion* of cells in the sample expressing a gene at a certain level. As a result of this, variation among 177 178 samples in the proportion of different cell types will alter the proportion of mRNA transcripts in the homogenized tissue pool, and therefore expression level estimates. 179 180 Expression levels are therefore related to variation in proportions of tissue components (Figure 1, rows 3 and 4) rather than the variation around scaling 181 182 relationships between those tissues, i.e. 'relative' size (as indicated in Figure 1, row 1). As a result, comparing variation in expression level between samples of 183 homogenized, heterogeneous tissue may partly reflect differences in regulation, but 184 could also reflect differences in composition. Unfortunately, these alternatives are not 185 mutually exclusive, further complicating analysis of expression variation. 186

Differences in tissue scaling are not problematic to studies of RNA-Seq if the 187 sole aim is to simply identify expressed genes. However, if the aim is to identify loci 188 with altered regulation that underpins phenotypic variation, and then to subsequently 189 study the evolutionary characteristics of those loci, tissue scaling becomes a key 190 concern. This is perhaps more apparent in RNA-Seq analyses based on whole-body or 191 amalgamated body parts because of the obvious potential for variation in the 192 proportion of constituent tissues. However, scaling relationships between cell types 193 within organs can also deviate from isometry and can differ between groups of 194

individuals or species (e.g. Herculano-Houzel *et al.* 2015). As such, finer-scale
preparations may also be affected.

If allometric scaling contributes to large differences in gene expression, the 197 central assumption of comparative studies of gene expression, that divergence in 198 expression level reflects divergence in gene regulation, would be difficult to support. 199 However, it is not clear what magnitude of differences we might expect under 200 different scaling scenarios, or how this may vary across different expression levels. 201 Without this knowledge, it is difficult to know when a shift in gene expression is 202 203 more likely explained by regulatory variation than an effect of scaling, or vice versa. 204 Our goal here is to explore the ways that tissue scaling can influence RNA-Seq studies using a modelling approach, and to offer some suggested guidelines that may 205 facilitate improved interpretation of RNA-Seq studies that aim to study the 206 phenotypic effects of variation in gene regulation. 207

208

209 Materials and methods

210 A tissue-scaling model of gene expression differences

To explore the effects of allometric scaling on patterns of gene expression we developed a simple model. In this model, a sample is comprised of two tissues, *x* and *y*, which scale with each other according to the allometric equation $y = \alpha x^{\beta}$ where β is the scaling coefficient and α is the scaling constant. The total size of the sample (*S*) is therefore the sum of tissue *y* and tissue *x*:

216
$$S = y + x = \alpha x^{\beta} + x$$
 [eq. 1]

217

Within each tissue, we assume the total expression level of an individual gene (C) is constant for a given unit of size (e.g. mass or cell number). To reflect the independent regulation of expression level for different genes in tissue types we allow this constant to vary between tissues, and between genes. The number of transcripts for a gene in tissues x and y are therefore:

223 Transcript count of gene a in tissue
$$x = C_{a,x} \times x$$
 [eq. 2]

224 Transcript count of gene a in tissue
$$y = C_{a,y} \times \alpha x^{\beta}$$
 [eq. 3]

225

In a homogenised sample, the total expression will be the sum of eq. 2 and eq 3. However, with current methods, the observed value will be a proportion of the total transcript count (C_{total}). This is modelled as the average expression of a gene across both tissues (C_m) multiplied total sample size (S) and the number of expressed genes (G):

231
$$C_{total} = C_m \times [\alpha x^{\beta} + x] \times G$$
 [eq. 4]

232

The relative expression of an individual gene (RE_a) will therefore equal the sum of its abundance in tissues x and y (eq. 2 and eq. 3) divided by the total transcript count (C_{total} ; eq. 4):

236
$$RE_a = \frac{[C_{a,x} \times x] + [C_{a,y} \times \alpha x^{\beta}]}{[\alpha x^{\beta} + x] \times G \times C_m}$$
[eq. 5]

237

RE_a is easily converted to be equivalent to commonly used measures of relative gene expression such as 'counts per million' (CPM), by simple multiplication:

$$240 \qquad CPM = RE_a \times 10^6 \qquad [eq. 6]$$

241

242 *CPM* is used to compare the expression level of a gene between groups of samples, 243 for example between sexes, morphs, populations or species. Significant shifts in log-244 transformed *CPM* can be identified using traditional statistics such as *t*-tests or a 245 Mann-Whitney *U* test. The log₂-fold change (*FC*) between two groups is calculated 246 as:

$$FC = log_2(CPM_{group 1}) - log_2(CPM_{group 2})$$
 [eq. 7]

248

Using this model we can estimate *FC* between two samples which do not differ in the expression level of gene *a* but that can vary for *x* (and therefore *y* and *S*), α or β , as indicated by the subscript numbers:

252
$$FC = log_{2}\left(\frac{[C_{a,x} \times x_{1}] + [C_{a,y} \times \alpha_{1}x_{1}^{\beta_{1}}]}{[\alpha_{1}x_{1}^{\beta_{1}} + x_{1}] \times G \times C_{m}} \times 10^{6}\right) - log_{2}\left(\frac{[C_{a,x} \times x_{2}] + [C_{a,y} \times \alpha_{2}x_{2}^{\beta_{2}}]}{[\alpha_{2}x_{2}^{\beta_{2}} + x_{2}] \times G \times C_{m}} \times 10^{6}\right)$$

253

This model was used to investigate the expected effect on *FC* under three scenarios: i) effects of size differences under conserved allometric scaling by varying *S* between two groups while α and β remain constant, ii) effects of varying the allometric constant (α) between two groups while *S* and β remain constant, iii) effects of varying the allometric coefficient (β) between two groups while *S* and α remain constant. In each analysis, β was set according to the range of values (0.1-3.0) observed in over

3,200 datasets recently reviewed by Voje (2016). S was varied by setting different 260 values of x. Across real datasets, values of x and α will vary greatly and depend on the 261 units of measurements used. Generally, however, α is small relative to x. Unless 262 otherwise stated we therefore set x to 10 units and α to 0.1. We also examined how 263 the size of these effects varies with variable levels of tissue-biased expression 264 (measured as $\log_2(C_{a,x})$ - $\log_2(C_{a,y})$). In all comparisons we fixed G and C_m , to 10,000 265 and 5,000 respectively, to reflect raw values of read counts obtained in a recent RNA-266 Seq dataset (Harrison *et al.* 2015). $C_{a,v}$ was set to 5,000 so that results obtained reflect 267 an 'average gene'. $C_{a,x}$ varied between 0 and 50,000. It is important to note that results 268 obtained for genes limited to, or biased towards, x will be similar, but inverted relative 269 to y-biased genes with a relationship defined by the rearranging the allometric 270 271 equation for x.

To further explore the practical relevance of these effects we also used our model to simulate expected results using published scaling parameters from real biological data. We chose two examples to reflect the sorts of studies being conducted with real data: i) scaling relationships between soma and testis tissue in different male morphs from four species of insects; ii) scaling relationships between cell types in mammalian brains.

278

279 **Results**

280

i. Model effects

282 Effects of size differences under conserved allometric scaling

283 We modelled the effect of allometric scaling by varying S between two hypothetical groups, keeping α and β constant in order to identify the influence of 284 simple size differences on the relative proportions of sub-tissues on comparative 285 studies of gene expression (Figure 2A). Specifically, we used our model to compare 286 gene expression levels between two groups, where x = 10 for group one, and 0.1 < x < 10287 100 in group two, a ten-fold change in size in both directions. β was fixed at either 288 0.1, 0.5, 1.0, 1.5 and 2.0 in both groups. As expected, under isometric scaling ($\beta = 1$) 289 FC is consistently zero regardless of the magnitude of size differences between the 290 two groups, or the extent of tissue-biased expression. Turning to allometric scaling, 291 we first consider tissue-specific expression ($C_{a,y} = 5,000$; $C_{a,x} = 0$) as we anticipated 292

this would reflect the worst case scenario. The model predicts consistent differences 293 in CPM between groups that increase with greater size differences, or greater 294 deviation from isometry. The effects of negative and positive allometry generally 295 mirror one another, except where extreme positive allometry results in y comprising 296 nearly all of S, minimizing the influence of tissue-biased expression. The opposite 297 will occur for x-specific genes. Large fold-changes (FC ≥ 1 or <-1) are expected to 298 require relatively large size differences. For example, under strong negative allometry 299 $(\beta = 0.1)$ if x = 10 for group one, group two requires x < 4.5 or > 22 (a log₁₀(S ratio) of 300 <0.45 or > 2.19; in Figure 2 the $\log_{10}(S \text{ ratio})$ is plotted to compress the variance for 301 302 visual clarity) to produce a two-fold expression difference (FC ≥ 1 or <-1). Under strong positive allometry ($\beta = 2$) this occurs only when x < 3.25 for group two. When 303 the degree of tissue-bias in expression is varied ($C_{a,v} = 5,000$; $C_{a,x} = 0.50,000$), 304 increasing tissue-bias in either direction results in larger FC (Figure 2B, C). This 305 306 effect is amplified according to the degree to which β deviates from one. In summary, our model predicts that where the sample differs in mean size between groups under 307 308 comparison any deviation from isometric scaling could produce difference in transcript abundance. 309

310

311

Effects of varying the allometric constant between groups

We next used our model to assess the impact that differences in the allometric 312 constant between groups have on relative transcript abundance, modelling the 313 expected effects of 'grade-shifts' between groups. This was done by varying α 314 between two groups while S and β remained constant (Figure 3, panel A). With x set 315 to 10 in both groups and an α of 0.1 in group one, we varied α in group two 316 between 0.1 and 1 (a ten fold range). First considering tissue-specific genes (C_{ax} = 317 5,000; $C_{a,v} = 0$), the model predicts absolute FC will increase linearly with the log-318 ratio of α values. When $\beta < 1$, the magnitude of the effect is largely unaffected by 319 variation in β . Where $\beta > 1$, the effect is dampened as β increases because the 320 contribution of expression in tissue y quickly overwhelms that of tissue x. The 321 opposite will occur for x-specific genes. Large fold-changes (≥ 1 or <-1) occur from 322 relatively small shifts in α . Under negative allometry ($\beta < 1$), if α is 0.1 in group two, 323 the FC is ≥ 1 or <-1 when $0.05 \geq \alpha \geq 0.2$ in group two (an α ratio <0.5 or >2). Under 324 325 positive allometry the necessary magnitude of shift in α to produce this size of effect increases, but the opposite will occur for *x*-specific genes. Finally, when the degree of tissue bias in expression is varied ($C_{a,y} = 5,000$; $C_{a,x} = 0.50,000$), tissue-specificity is again always the worst-case scenario. Increasing tissue-bias in either direction produces larger *FC*, an effect amplified by increased variance in α between groups (Figure 4B, C). In summary, our model predicts that differences in allometric constants between groups under comparison can have a large impact on transcript abundance, regardless of the similarity in total size of the tissue sampled.

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334 *Effects of varying the allometric coefficient between groups*

Finally, we used our model to predict how this will affect patterns of 335 differential expression in scenarios where the total size across two groups is constant 336 337 but the scaling relationships between their constituent parts differ. We first varied β while S and α remain constant setting $\beta = 0.5$ (Figure 4A) or 1.5 (Figure 4B) in 338 group one, and $0.1 < \beta < 3$ in group two. We repeated this analysis using different 339 values of x to explore how variation in β interacts with variation in size (Figure 340 4C,D). First, considering tissue-specific genes ($C_{a,v}$ = 5,000; $C_{a,x}$ = 0), the model 341 predicts FC will increase linearly with β until the contribution of expression in tissue 342 y overwhelms that of tissue x. The opposite will occur for x-specific genes. We find 343 that modest differences in β can produce large FC (≥ 1 or <-1). For example, when x = 344 10 in both groups and $\beta = 0.5$ in group one, -1 > FC > 1 when $0.2 > \beta > 0.9$ in group two 345 (a β ratio of <0.4 or >1.8; Figure 4A). As x increases the shift in β necessary to 346 produce this scale of difference decreases; when x = 100 it will occur when $0.3 > \beta$ 347 >0.7 (a β ratio of <0.6 or >0.78), when x = 1,000 it will occur when $0.4 > \beta > 0.6$ (a β 348 ratio of <0.8 or >1.2). Similar results are found regardless of the value set for β in 349 group one. Again, when the degree of tissue-bias in expression is varied ($C_{a,v} = 5,000$; 350 $C_{a,x} = 0.50,000$), genes with tissue-specific expression are always most affected. 351 Increasing tissue-bias in either direction produces larger FC, an effect amplified by 352 increased variance in β between groups (Figure 4C,D). In summary, any deviation 353 between the scaling exponents governing the scaling relationships between tissue 354 types in two groups will again lead to predictable differences in transcript abundance. 355

The previous results focus on false-positives, however it is likely that the same 358 scaling effects will obscure real patterns of group differences in gene expression. To 359 illustrate this effect we used our model to vary $C_{a,x}$ between two groups. In group one 360 $C_{a,x}$ and $C_{a,y}$ were both set to 5,000. In group two $C_{a,y}$ was again set to 5,000 but $C_{a,x}$ 361 was set to either 20,000, 10,000, 5,000, 2,500 or 1,250. This simulates the gain of 362 tissue-biased expression in group two with an inter-group log₂-fold change (FC) for 363 $C_{a,x}$ of 2, 1, 0, -1 and -2 respectively. We first examined the effects of varying the 364 average size of the sample (as described above with x = 10 for group one, and 0.1 < x365 < 100 in group two) whilst keeping α and β constant. We set the scaling parameters to 366 reflect moderately hyper-allometric scaling. As expected, as the size difference 367 between groups increases, the estimated FC rapidly declines (Figure 5A). Turning 368 next to inter-group differences in α , we set α to 0.1 in group one and varied α in 369 group two between 0.1 and 1, whilst keeping x at 10 and β at 1.5. Again, as the 370 discrepancy between α_1 and α_2 increases, the measured FC decreases exponentially, 371 with even large FC differences in $C_{a,x}$ dropping below and FC of ±0.5 (Figure 5B). 372 Finally, we examined the effects of varying β by keeping β at 1.5 in group 1, and 373 varying β between 0.1 and 3 in group 2. α was set to 0.1 and x was set to 10 in both 374 groups. Again an effect of reduced detected FC is found with increase inter-group 375 376 differences in scaling parameters. Here, the effect is sigmoidal with an accelerated decline in FC as the β ratio exceeds ~2.5 (Figure 5C). Similar results are obtained 377 378 with alternative values for the scaling parameters. Together the model demonstrates that with increasing deviation from isometry, or increasing inter-group differences in 379 380 scaling, the detection of true shifts in gene expression becomes increasingly inaccurate, potentially leading to substantial numbers of false negatives. 381

382

383 ii. Biological examples

384

385 *Testes size in male morphs*

Relative testes size can vary dramatically across species, often in association with reproductive competition imposed by multiple-mating in females (Harcourt *et al.* 1981; Hosken & Ward 2001). In many species multiple male morphs have evolved to exploit alternative reproductive strategies (Gross 1996; Sinervo & Lively 1996). These morphs typically reflect trade-offs in pre and post-copulatory male-male

competition, and by extension, investment in sperm production and testes size. Many 391 studies of gene expression in smaller organisms, such as insects, utilise whole-body 392 samples in order to avoid laborious dissections and/or to obtain sufficient RNA for 393 sequencing. However, as whole-body samples are particularly prone to tissue scaling 394 problems, we explored how differences in testes size might affect results using 395 published scaling parameters from log₁₀-log₁₀ regressions between soma and testis 396 mass for two species of dung beetle, (Onthophagus taurus and O. binodis), a 397 burrowing bee (Amegilla dawsoni), and an earwig (Forficula auricularia) (Tomkins 398 399 & Simmons 2002). Each of these species has two male morphs, one that guards females and one that adopts a 'sneaky' male strategy. We are not aware of any 400 whole-body RNA-Seq analyses based on these particular species, but rather use them 401 402 as an example of how the composition of the tissue sampled may affect perceived levels of differential expression between groups of individuals without the need to 403 404 invoke morph-specific regulation of gene expression.

For each pair of morphs, we used the estimated morph-specific values of β and 405 406 α to parameterise the model (Table S1), and varied the degree of tissue-bias (here, towards the testis) in expression for an average gene by setting $C_{a,v}$ to 5,000 and $C_{a,x}$ 407 408 to range incrementally between 0 and 50,000, with S set to an realistic body mass. We 409 also extended this range to include increases in $C_{a,v}$ up to 50,000 whilst $C_{a,x}$ was set to 0 (i.e. soma-specific gene expression). We then plotted the estimated \log_2 -fold change 410 in expression (FC) between the morphs against the degree of tissue-bias $(\log_2(C_{a,x}))$ -411 $\log_2(C_{a,v})$). With the exception of O. taurus, the difference in gonad-soma scaling 412 between morphs was sufficient to produce $FC \ge 0.5$ for genes modelled as testis-413 specific, with FC increasing with testis-specificity in expression (Figure 6A). 414

We further explored how this effect might influence the kind of statistical 415 methods used in real analyses by simulating a modest dataset of 1,000 genes for 5 416 individuals of each morph using the scaling relationships as described above. Here, 417 $C_{a,x}$ and $C_{a,y}$ for each gene were set as equal, random numbers between 1 and 50,000 418 with 100 testis-specific genes and 100 soma-specific genes. Across individuals $C_{a,x}$ 419 and $C_{a,y}$ were constrained to be within 10% of expression level of the corresponding 420 gene in the first simulated individual. Under these conditions we would not expect 421 422 any evidence of significant expression differences between groups because there is no contribution of regulatory variation, as such, all gene expression differences are solely 423 caused by scaling effects. When we plotted expression in both morphs against one 424

another, the correlations are significant, but show a range of FC. Importantly, a 425 proportion of genes is identified as 'significantly differentially expressed' between 426 morphs using standard *t*-tests with no fold-change threshold (Table 1). We next used 427 these data in two multivariate analyses, often utilised in RNA-Seq studies. First we 428 used a Principal Component Analysis (PCA) to compress the variation in the dataset 429 into PCs, we then asked if these PCs are significantly different between morphs using 430 a t-test. Second we used hierarchical clustering to test if the simulated data can 431 separate each morph. In three of the four cases the clustering grouped morphs by gene 432 expression and had one PC significantly associated with morph, accounting for 10-433 16% of variance (Figure 6B-E). Note, these values will depend on the permitted 434 degree of variation in expression of a gene between simulations. However, in each of 435 436 these analyses the influence of allometry directly reflects differences in the estimated ratio of percentage testis volumes between morphs (Table 1). 437

438

439 *Cellular scaling in mammalian brains*

Many comparative studies have been conducted across species with the aim of 440 identifying species-specific shifts in gene expression. These may focus on specific 441 organs or tissues, but the scaling relationships among cell types could potentially 442 drive some of the observed patterns. Recently interspecific datasets on the cellular 443 composition of mammalian brain regions have revealed variation in the scaling 444 relationship between neurons and non-neuronal cells between brain regions, and for 445 individual structures across mammalian orders (Herculano-Houzel et al. 2015). We 446 used these data to explore how allometric relationships between cell types might 447 affect estimates of relative levels of gene expression across species. Using published 448 449 data we re-estimated the scaling relationship between neurons and non-neuronal cells for two brain structures, the cerebral cortex and cerebellum, across two mammalian 450 451 orders, glires and primates, using Phylogenetic Generalised Least Square Regressions (Pagel 1999) (Table S2). We used these scaling parameters to explore how variation 452 in cellular scaling might affect comparative studies of gene expression on brain tissue. 453

We first examined the effects of varying *S* assuming a conserved allometric relationship between neuron and non-neuronal cell number within each order. By setting x_1 to the minimum and x_2 maximum values of non-neuronal cell number observed in each dataset we asked what size of log₂-fold change (*FC*) in gene expression might be observed when comparing gene expression across species within

each order, at varying levels of cell-bias in gene expression. The results demonstrate 459 moderate FC are expected, but their range varies across structures and orders (Figure 460 7). For the cerebral cortex (Figure 7, panel A), variation in S in primates produces 461 more modest FC than observed in glires with the largest FC (1.49) predicted for genes 462 expressed exclusively in neurons. In contrast, for the cerebellum the pattern is 463 reversed. Primates are predicted to show a greater range of FC as S varies, with the 464 largest FC (-2.8) predicted for genes expressed exclusively in non-neuronal cells 465 (Figure 7, panel B). This difference in pattern between cerebral cortex and cerebellum 466 is most likely related to the pattern of variation in β , which is higher in primates for 467 the cerebral cortex, and higher in glires for the cerebellum. 468

We next explored how the difference in allometric parameters would affect 469 comparisons of individuals (with constant S, set to the approximated midpoint in the 470 overlap in ranges of x between groups) in different orders (i.e. under different β and 471 472 α). For the cerebral cortex the model predicts modest FC between the two orders (- $0.1 \le FC \le 0.3$) (Figure 7, panel C), whereas for the cerebellum we predict a larger 473 range in FC, with FC increasing as gene expression becomes increasingly biased 474 475 towards non-neuronal cells ($-0.97 \le FC \le 0.15$) (Figure 7, panel D). The analyses above assume gene expression is related to cell number, independently of cell size, in the 476 477 Supplementary Information we explore the effects of considering cell type mass, rather than number, which leads to broadly similar conclusions. 478

479

480 **Discussion**

481 Our results illustrate that non-isometric scaling relationships between tissue or cell 482 types within groups of samples, and heterogeneity in scaling relationships across groups of samples, may influence inferred patterns of differential expression. This 483 will occur at multiple biological levels, be it organ types within whole body samples, 484 or cell type abundance when specific tissues are targeted for RNA extraction. We 485 illustrated the effects of our model using simulated expression data, which we 486 generated due to the absence of real RNA-Seq data from samples with accompanying 487 morphometric-scaling information. Although a simplification of a complex problem, 488 our model illustrates how the scaling relationships between sub-components of a 489 490 heterogeneous tissue sample can result in apparent differences in expression without changes in the regulatory control of a gene. In particular, we highlight the followingconclusions:

- Scaling will *always* affect estimates of relative expression except when all
 components of a sample scale isometrically.
- Even where groups have common allometric scaling relationships, large differences in mean size between groups can lead to the appearance of differential expression. The effect increases with increasing deviation from isometry.
 - Small differences in the allometric coefficient (β) or allometric constant (α) between groups can produce large fold-changes in gene expression. The effect
- 501 is greater with increased deviation in scaling parameters between groups.
- In all cases the effect increases with tissue-bias in expression, and is most 503 pronounced for genes expressed only in one tissue.
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• Tissue scaling effects can produce both false positive and false negative detection of differential gene expression between groups.

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Recommendations on how to minimise the influence of tissue scaling when inferring
 regulatory variation

Differences in relative expression level between groups or across species will reflect a 509 combination of measurement error, drift, selection and variation in tissue 510 511 composition. We have presented a simple model that suggests variation in tissue composition caused by non-isometric tissue scaling between groups may have strong 512 513 implications for identifying genes with altered regulation. The size of the effect is dependent on the variability in tissue composition, variability in tissue size, and the 514 515 properties of scaling relationships between sub-components of the sampled tissue. 516 Although the effect size varies, any consistent effect between groups that is greater 517 than intra-group variation could produce signatures of significant differential gene expression without any underlying regulatory variation. In real datasets the effects are 518 likely to be more complex than presented above, as variation in tissue size will 519 interact with scaling parameters across multiple classes of cell or tissue types. 520

Recent bioinformatic approaches have been developed to parse expression differences from heterogeneous samples (Gong & Szustakowski 2013; Li & Xie 2013). These approaches can be useful if the goal is to identify heterogeneity in cell type abundance across samples. However, they may have limited scope for ecological

and evolutionary studies. First, they are based on the assumption of conserved 525 regulatory architecture within similar cell types across samples, and may therefore 526 struggle to identify regulatory variation in constituent cells. Second, they require 527 information about transcriptional abundance in 'pure' samples of at least one sub-528 tissue, and/or data on the proportions of constituent tissue types. This data is unlikely 529 to be available for the majority of ecological studies, and if it were, it would often be 530 a preferable source of the primary sequence data for analysis. In the absence of 531 readily applicable bioinformatics tools we recommend the influence of tissue scaling 532 should be considered in the design and analysis of comparative studies of gene 533 expression. In particular we recommend the following approaches: 534

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536 1) Use fold-change thresholds: Small but consistent effects of tissue scaling may produce significant differences in gene expression when analyzed with standard 537 pairwise statistical tests. Introducing fold-change thresholds when identifying 538 differentially expressed genes will go a long way to reducing the false-positive 539 effects of tissue scaling on downstream analyses. Based on the results described 540 above, a log₂-fold change of 1, as previously used in several studies (e.g. Pointer 541 et al. 2013; Harrison et al. 2015), would provide an adequate threshold in a range 542 of scenarios. We would recommend higher thresholds when comparing tissues or 543 groups/species with increasingly different phenotypic sizes or compositions. It 544 may also be necessary to consider higher thresholds for tissue-specific genes. Of 545 course, fold-change thresholds do not avoid false negatives, and to combat the 546 false positive inflation it may be necessary to accept an increase in false-negative 547 rate. However, we note that many studies of gene expression have identified genes 548 549 with considerably higher fold-changes between comparisons than we suggest as a minimal threshold. This is true both for candidate genes (e.g. Palmer et al. 2016) 550 and transcriptome-wide analyses (e.g. Brawand et al. 2011 see Figure 3). 551 Although sometimes controversial, adopting fold-change thresholds is therefore 552 unlikely to be prohibitive to the inference of altered regulation in sufficiently well 553 powered and well-designed studies. 554

555

2) Know your phenotype: Many RNA-Seq experiments are conducted with the aim
 of understanding the molecular basis of divergent phenotypes, be they specific
 differences in the development of a trait or broad differences in individuals with

different behavioral or ecological strategies. At least a modest understanding of 559 the phenotype in question is necessary to design informative studies of divergence 560 in gene expression. Where possible, more precise tissue sampling will likely 561 produce estimates of relative gene expression that more accurately reflect real 562 variation in gene regulation. In addition to manual dissections, in 'ideal' 563 conditions laser capture micro-dissection may provide a route to more accurate 564 tissue sampling (Espina et al. 2006). In the many situations where such an 565 approach is currently infeasible, quantifying variation in the size or composition 566 of tissue to be analyzed may still help improve both experimental design and the 567 interpretation of results. Estimates of scaling parameters between major tissues in 568 the sample, either measured directly from samples for RNA-Seq, or approximated 569 from comparable phenotypic studies, can be used to estimate the fold-change 570 thresholds needed to minimize the effects of tissue scaling and maximize power to 571 detect true signals of regulatory divergence. Technical difficulties in performing 572 dissections while maintaining RNA integrity, small organism size, or simply time 573 574 and expense required for additional samples, may still prevent collecting data on scaling parameters. In cases such as these, ruling out the contribution of tissue 575 scaling is more difficult, but steps can still be taken to minimize the effect, for 576 577 example by implementing more conservative fold-change thresholds.

578

3) Be wary of tissue-specific genes: Our model suggests genes with strong tissue-579 or cell-biased expression will be particularly prone to large changes in expression 580 level caused by tissue scaling, and the most susceptible genes are tissue- or cell-581 specific. Where possible, genes identified as being differentially expressed in 582 heterogeneous tissue samples should be examined for over-representation of 583 tissue-specific genes in detailed expression databases, such as Flybase (Attrill et 584 al. 2015) or the Mouse Atlas (Richardson et al. 2014). Of course, this is only 585 possible in model species and their close relatives. It is also worth noting that 586 587 tissue-biased genes may be more amenable to the action of selection, and/or may have biologically important roles in the phenotype of interest. It may therefore be 588 reasonable to expect tissue-biased genes to be among the most differentially 589 expressed genes in a comparative study using RNA-Seq for multiple reasons. 590

4) Be wary of divergence along single principal components: Multivariate 592 analyses have frequently been applied to gene expression studies to show that 593 different groups of individual samples can be distinguished based on their patterns 594 of gene expression (e.g. Brawand et al. 2011; Ghalambor et al. 2015). Our 595 analyses suggest this result can be produced solely by differences in tissue 596 composition. The variance accounted for by this effect will depend on the relative 597 balance between within group variation and the effect size of any scaling 598 differences between groups. We expect that in many cases the scaling effects will 599 primarily load on one single Principal Component (see Figure 6). To demonstrate 600 that groups of samples are genuinely distinct in their transcription patterns we 601 recommend requiring isolation across at least two dimensions in any multivariate 602 603 analysis. We also note that where phenotypic data can be collected, it may be possible to include this in a multivariate analysis of gene expression to control for 604 605 major differences in tissue composition between groups.

- 606
- 5) Introduce phenotypic data into neutral models of gene expression: Although 607 we have focused on pairwise comparisons of groups, the effects of tissue scaling 608 will also affect phylogenetic analysis of gene expression. For example, an 609 Ornstein-Uhlenbeck (OU) model has been proposed as a potential model of 610 expression divergence, facilitating the identification of shifts in expression that 611 were putatively caused be selection (Brawand et al. 2011; Rohlfs et al. 2014). OU 612 models simulate adaptive optima across a phylogeny with stabilizing selection 613 constraining divergence around these optima (Martins 1994; Beaulieu et al. 2012). 614 The presence of multiple optima is interpreted as evidence of variation in 615 616 selection pressure across species. We suspect that tissue scaling could also produce a pattern of divergence across species which is similar to that predicted 617 618 under an OU model. Where species in a phylogenetic dataset vary extensively by size, or differ in their scaling relationships, patterns of expression linked to tissue 619 composition may not fit an OU model with a single optimum, giving the 620 appearance of adaptive changes in expression level. Similar effects could be 621 622 imagined under alternative comparative models which may prove useful for studying gene expression if large enough datasets can be assembled, such as 623 624 incorporating heterogeneity in evolutionary rate across branches of a phylogeny (Venditti et al. 2011). We suggest further exploration of how the effects of tissue 625

scaling may affect these methods is necessary. If found to be prohibitive, one
solution may be to incorporate phenotypic variation in the null model as an
explicit error term, as has been done in studies of intraspecific variation (Rohlfs *et al.* 2014), or as a co-factor in the analysis.

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6) Single-cell transcriptome analysis: Analysis of gene expression within single 631 cells is becoming an increasingly feasible option (Sandberg 2014). Single-cell 632 transcriptomics is free from the complicating effects of scaling between 633 components of a heterogeneous tissue sample making the inference of regulatory 634 change more direct. However, these analyses remain technically difficult partly 635 because they require either cell culture or dissociation of cell aggregates from 636 live-caught samples, and partly because they require many replicates of many cell 637 types to uncover the full regulatory diversity of any single organ. Due to the need 638 639 for increased amplification steps, single-cell analyses may also require substantial replication to overcome inaccuracy in measuring all but the highest expression 640 641 ranges. The combination of technical difficulty, cell culture or disaggregation and expense from extra replication may discourage many labs from adopting single-642 cell analysis for evolutionary or ecological questions, particularly in non-model 643 644 species. However, as with all next-generation technologies, improvements may soon remove some of these technical barriers leaving sample availability and 645 collection as the primary limiting step. 646

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648 Conclusion

Comparative analysis of gene expression provides a potentially powerful tool in the 649 evolutionary biologist's toolkit. In an ecological or evolutionary context, most studies 650 utilizing this tool aim to understand the relationship between variation in the 651 regulation of gene expression and phenotypic variation. We have argued that our 652 ability to infer this relationship can be affected by the scaling relationships between 653 sub-tissues of the sample used to obtain RNA. In some scenarios the effect can 654 produce the appearance large fold changes in gene expression. We have presented a 655 simple model to explore whether, and under what scenarios, tissue scaling can 656 657 produce perceptions of large expression differences without altered gene regulation. 658 Our results suggest that under non-isometric scaling, or when comparing individuals with different scaling relationships, the effects can be moderate to severe. Based on 659

these analyses, we have suggested a number of experimental and analytical 660 approaches that may go some way to minimising the effects of tissue scaling on down 661 stream analyses of genes with divergent gene expression. The absence of datasets 662 with both gene expression datasets and information on tissue scaling relationships has 663 prevented a full exploration of these effects in real data. The addition these kinds of 664 datasets, potentially derived from experimental mixing of cell cultures, would permit 665 a useful test of our results and may potential provide further improvements on how to 666 analyse expression data derived from heterogeneous tissues. However, we note many 667 of the effects we describe are observable in published work and are most notable 668 where direct comparisons can be made between whole-body and tissue-specific 669 expression datasets. For example, Perry et al. (2014) showed that tissue specific 670 671 sequencing of gonad transcriptomes produce greater numbers of sex-biased genes, consistent with the effects of somatic tissue diluting this signal in whole-body RNA 672 673 libraries. Although we fully expect comparative studies of gene expression to continue to illuminate the gene-phenotype relationship, we caution against the naïve 674 675 assumption that all differences in expression level are the result of altered gene regulation. 676

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SHM and JEM conceived the project, SHM produced the model and performed theanalyses, SHM and JEM wrote the manuscript.

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691 Data Accessibility

692 This paper has no accompanying data.

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Tables

Table 1. Results of the simulated data sets based on scaling parameters between male morphs of multiple insects

		Pearson correlation		log ₂ -fold change (N)			differentially expressed ¹	
	Species	r	р	mean	minimum	maximum	p < 0.05	p < 0.001
	Onthophagus binodis	0.995	< 0.001	-0.233	-2.239	0.007	121 (111)	106 (103)
	Onthophagus taurus	0.999	< 0.001	0.003	-0.001	0.026	1 (0)	0 (0)
	Forficula auriculaira	0.998	< 0.001	-0.104	-0.866	0.014	166 (126)	119 (96)
	Amegilla dawsoni	0.999	< 0.001	-0.05	-0.473	0.002	107 (101)	79 (31)
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848	¹ numbers in parenthese	s are after B	onferoni corre	ection for n	nultiple tests.			
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860 Figure legends

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Figure 1. Types of scaling relationships and how they shape proportional size.

Here we show a hypothetical comparison between two groups of individuals which 863 864 may differ in size and which are comprised of two tissues. In each scenario, row 1 shows the relationship between tissue A and total size for individuals from two groups 865 (red and blue). The scaling relationships are determined by the allometric equation y =866 αx^{β} , where β is the scaling coefficient and α is the scaling constant. Row 2 shows 867 illustrative examples of individuals from each group imagining tissue A as gonad size. 868 Note, this is only an example and components tissues can be any aspect of 869 morphology. Row 3 shows an illustration of how the proportion of tissue A (coloured) 870 varies between groups as a result of the scaling relationship and differences in mean 871 872 size. Row 4 shows the effects these proportional differences might have on relative gene expression, illustrated with box whisker plots. 873

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Figure 2. Effects of size differences under conserved allometric scaling. A) Effects 875 876 of comparing two groups with different total sizes under alternative scaling coefficients, β . The log₂-fold change is plotted against the ratio of the total size of two 877 groups. In this comparison x = 10 in group one and varied x in group two between 0.1 878 and 100. Effects of comparing two groups with different levels of tissue-biased 879 expression B) under hyper-allometry ($\beta = 2$) and C) under hypo-allometry ($\beta =$ 880 0.1). In B and C coloured lines indicate comparisons where expression of gene a is set 881 882 to 5,000 in component y and it's expression in component x is varied as indicated in the colour key. The black dashed line indicates a comparison where expression of 883 884 gene a is set to 0 in component y and 5,000 in component x. Dashed grey lines indicated a FC of ± 1 , often used as a threshold of significant difference in expression. 885

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Figure 3. Effects of varying the allometric constant between groups. A) Effects of comparing two groups with different scaling constants, α , across different shared scaling coefficients (β), with α in group one set to 0.1 and varying α in group two between 0.1 and 10. The effects of comparing two groups with different α across different levels of tissue-biased expression B) under hyper-allometry ($\beta = 1.5$) and C) under hypo-allometry ($\beta = 0.5$). In B and C coloured lines indicate comparisons where expression of gene *a* is set to 5,000 in component *y* and it's expression in component *x* is varied as indicated in the colour key. The black dashed line indicates a comparison where expression of gene *a* is set to 0 in component *y* and 5,000 in component *x*. The log₂-fold change is plotted against the ratio of the α of each group. Dashed grey lines indicated a FC of ±1.

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Figure 4. Effects of varying the allometric coefficient between groups. Effects of 899 comparing two groups with different scaling coefficients, β , across different units of 900 901 size (x) with A) β in group one set to 0.5 and varying β in group two between 0.1 and 902 3, and B) β in group one set to 1.5 and varying β in group two between 0.1 and 3. Effects of comparing two groups with different levels of tissue-biased expression with 903 904 C) β in group one set to 0.5 and varying β in group two between 0.1 and 3 and D) β in group one set to 1.5 and varying β in group two between 0.1 and 3. In C and D 905 906 coloured lines indicate comparisons where expression of gene a is set to 5,000 in component y and it's expression in component x is varied as indicated in the colour 907 908 key. The black dashed line indicates a comparison where expression of gene a is set to 0 in component y and 5,000 in component x. The \log_2 -fold change is plotted against 909 910 the ratio of the β of each group. Dashed grey lines indicated a FC of ±1, often used as a threshold of significant difference in expression. 911

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Figure 5. Tissue scaling effects can mask true positives. A) Effects of non-isometric 913 914 but conserved scaling on the detection of a differentially expressed gene. Two groups were modelled with conserved scaling constant, α (0.1), and scaling coefficient, β 915 (1.5), values but different total sizes. The estimated \log_2 -fold change is plotted against 916 the mass ratio, setting x in group one to be 10, and varying x in group two between 0.1917 and 100. B) Effects of 'grade-shifts', or group differences in α , on the detection of a 918 differentially expressed gene. Two groups were modelled with conserved sizes (x =919 920 10) and β (1.5) values but different α values. The estimated log₂-fold change is plotted 921 against the mass ratio, setting α in group one to be 0.1, and varying x in group two between 0.1 and 10. C) Effects of group differences in β on the detection of a 922 differentially expressed gene. Two groups were modelled with conserved sizes (x =923 10) and α (0.1) values but different α values. The estimated log₂-fold change is plotted 924 against the mass ratio, setting β in group one to be 1.5, and varying β in group two 925 between 0.1 and 3. In each case expression of gene a in subcomponent y is 5,000. In 926

group one expression of *a* in *x* is 5,000 but expression of *a* in *x* varies in group two taking values of either 20,000, 10,000, 5,000, 2,500 or 1,250 (representing \log_2 -fold change values of 2, 1, 0, -1 and -2 respectively).

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Figure 6. Predicted differences in relative expression level between male morphs 931 of multiple species of insect based on testis~soma scaling. A) Predicted fold-change 932 in expression across different levels of tissue-biased expression ($C_{a,x}$ = gonad 933 expression, $C_{a,y}$ = soma expression). B-E) Results of Principal Component Analyses 934 (B1-E1) and hierarchical clustering (B2-E2) using simulated datasets from the model 935 paramterised using testis~soma scaling relationships for O. taurus (B), A. dawsoni 936 (C), F. auricularia (D) and O. binodis (E). In the PCAs, we plot the PC significantly 937 associated with morph type (indicated by *) against PC1. Colours indicate different 938 categories of male morph. 939

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Figure 7. Predicted differences in relative expression level between or within 941 942 primates and glires based on scaling relationships between neuron number and non-neuronal cell number in the cerebral cortex and cerebellum. A-B) Predicted 943 944 fold-change between two groups representing the smallest and largest individuals within primates (blue) and glires (red) assuming conserved, order-specific scaling 945 relationships and varying levels of tissue-biased expression. A) Results for cerebral 946 cortex and B) results for cerebellum. C-D) Predicted differences in gene expression 947 between two group of individuals, one with glire-scaling relationships and one with 948 primate-scaling relationships, but which have an equal, constant size. Results show 949 the predicted fold-change across different levels of tissue bias for C) the cerebral 950 cortex, and D) the cerebellum. 951

















