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Abnormal fetal muscle forces result in defects in spinal curvature and alterations in vertebral segmentation and shape

Short title: Fetal movements for spinal development

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RR carried out experiments, analyzed the data, and drafted the manuscript. NN, JI and MO were involved in conception of the present study and contributed to discussions of the findings and drafting of the manuscript. TK and AZZ contributed to the experiments and JB contributed to the data analysis. NN participated in data analysis and oversaw drafting of the manuscript.

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25 **ABSTRACT**

26 The incidence of congenital spine deformities, including congenital scoliosis, kyphosis and
27 lordosis, may be influenced by the *in utero* mechanical environment, and particularly by fetal
28 movements at critical time-points. There is a limited understanding of the influence of fetal
29 movements on spinal development, despite the fact that mechanical forces have been shown to
30 play an essential role in skeletal development of the limb. This study investigates the effects of
31 muscle forces on spinal curvature, vertebral segmentation and vertebral shape by inducing rigid
32 or flaccid paralysis in the embryonic chick. The critical time-points for the influence of fetal
33 movements on spinal development were identified by varying the time of onset of paralysis.
34 Prolonged rigid paralysis induced severe defects in the spine, including curvature
35 abnormalities, posterior and anterior vertebral fusions and altered vertebral shape, while flaccid
36 paralysis did not affect spinal curvature or vertebral segmentation. Early rigid paralysis resulted
37 in more severe abnormalities in the spine than later rigid paralysis. The findings of this study
38 support the hypothesis that the timing and nature of fetal muscle activity are critical influences
39 on the normal development of the spine, with implications for the understanding of congenital
40 spine deformities.

41

42 **KEYWORDS**

43 Development, congenital spine deformities, chick immobilization, rigid paralysis, flaccid
44 paralysis, muscle forces

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48 INTRODUCTION

49 A congenital spine deformity is an abnormality of the postnatal spine in which abnormal
50 curvature and deformations of the vertebrae occur¹⁻³. Congenital scoliosis is the most common
51 congenital spine deformity¹, while congenital kyphosis and lordosis, although rare, can have
52 much more severe consequences than scoliosis if left untreated^{2,3}. The incidence of congenital
53 scoliosis is 0.5–1 per 1000 live births^{3,4} and is classified as failed formation or incorrect
54 segmentation of vertebrae, leading to full or partial vertebral fusion and subsequent alterations
55 in spinal curvature². The aetiology of congenital spine deformities is poorly understood, but is
56 believed to be multifactorial, involving both genetic and environmental factors⁵. A number of
57 environmental stimuli have been shown to have an influence on the development of congenital
58 spinal deformities (reviewed in Li et al⁶), such as maternal exposures during pregnancy to
59 hypoxia⁷, carbon monoxide⁸, and vitamin deficiency⁶. Conditions in which fetal movements
60 are absent or abnormal indicate that the development of the spine could also depend on a normal
61 pattern of fetal movements. A complete absence of fetal movement occurs in the rare, neonatal-
62 lethal syndrome fetal akinesia deformation sequence (FADS) (also known as Pena-Shokeir
63 syndrome)^{9,10}. A range of spinal abnormalities in FADS cases has been reported and include
64 underdevelopment of vertebral bodies¹¹, failure of formation of the cervical vertebrae¹² and
65 abnormalities in spinal curvature^{11,13-17}. Undiagnosed or mild congenital spinal deformities
66 may also play an important role in adolescent idiopathic scoliosis, since even relatively small
67 changes in curvature can lead to progressive scoliosis with vertebral body wedging due to
68 asymmetric muscular loading during adolescent growth¹⁸.

69 Mechanical stimulation has been shown to play an essential role in multiple aspects of skeletal
70 development (reviewed in Nowlan et al. ¹⁹), with decreased fetal movement leading to
71 abnormal ossification patterns, loss of tissue definition in joint regions and altered rudiment
72 shape²⁰⁻²³. In the developing chick spine, fusion of vertebrae and alterations in spinal curvature

73 have been reported following prolonged rigid paralysis²⁴⁻²⁷. Effects of immobility on the spine
74 have also been briefly mentioned in mammalian models of abnormal fetal movements,
75 including fusion of cervical vertebrae²⁸ and loss of joints in the cervical and lumbar regions²⁰.
76 However, curvature effects and vertebral shape changes have never been described in detail for
77 any model system of abnormal fetal movements, and much remains unknown about the effects
78 of the type of muscle forces and the critical timing of fetal movement on the developing spine.
79 This study uses the pharmacologically paralyzed chick embryo model to determine the nature
80 of mechanical stimulation due to muscle activity required for normal spinal curvature and
81 vertebral segmentation and shape. The embryonic chick model is commonly used for
82 investigating the role of fetal movements in skeletal development due to the ease of exogenous
83 manipulation of the developing embryo. In contrast to the human spine, which consists of 7
84 cervical, 12 thoracic, 5 lumbar vertebrae and the sacrum and coccyx²⁹, the chick spine consists
85 of 14 cervical, 7 thoracic, 7 lumbar, 7 sacral and 7 caudal vertebrae (Figure 1A). An important
86 difference between the avian and the mammalian spine is that no involution of the notochord
87 takes place, and no nucleus pulposus is present in the avian intervertebral disc (IVD)³⁰.
88 The hypothesis that fetal movements influence the development of the spine is tested by
89 comparing the effects of prolonged rigid paralysis (constant static muscle forces without any
90 dynamic component) and flaccid paralysis (no static or dynamic muscle forces) to development
91 with normal fetal movements. Furthermore, we tested the hypothesis that earlier paralysis
92 induces more severe effects on spine development than later paralysis, and aim to establish the
93 critical time-points for the influence of muscle forces on spine development.

94

95 **METHODS**

96 **In ovo paralysis**

97 Fertilised eggs (DeKalb white, MedEggs, Norfolk, UK), were incubated at 37.5°C in a
98 humidified incubator for 9 days. Controls were treated with 100µl of PBS plus 100units/ml
99 antibiotic (Pen. Strep, Sigma, UK). 0.5% Decamethonium bromide (DMB) was used for rigid
100 paralysis, or 5mg/ml Pancuronium bromide (PB), both dissolved in PBS plus 100units/ml
101 antibiotic (Pen. Strep) (all Sigma, UK) for flaccid paralysis. Paralyzed embryos were visually
102 monitored for movement daily, and no independent spontaneous movements were detected
103 during monitoring. DMB is a neuromuscular blocking agent that induces rigid paralysis, where
104 contraction of all skeletal muscle fibres is sustained, while PB induces flaccid paralysis wherein
105 both dynamic and static forces are removed³¹. Neuromuscular blocking agents lead to a
106 reduction in muscle size and contractile properties³², and therefore, the static forces that would
107 be experienced in the case of rigid paralysis would be substantially less than those experienced
108 during normal dynamic muscle contractions. Treatments were delivered once every 24 hours
109 in 100µl volumes that were administered on to the vasculature of the developing embryo. All
110 experiments were performed in accordance with European Legislation (Directive 2010/63/EU),
111 under which no license is required when working with embryos younger than two thirds
112 gestation. Two types of paralysis regimen were applied; prolonged paralysis (treatment every
113 24 hours from embryonic day (E)3, equivalent to day 3 of incubation, until harvest at E9), and
114 timed paralysis (varying day of initiation of paralysis). Prolonged paralysis was performed for
115 both rigid and flaccid treatments, while timed paralysis was performed for rigid paralysis only.
116 In the timed paralysis study, rigid paralysis was initiated at E3, 4, 5, 6, 7 or 8, and continued
117 on consecutive days until E9. Euthanasia and harvesting of each specimen was performed by
118 cutting the vasculature surrounding the embryo and placing it in ice cold PBS, following which
119 the spines were carefully dissected.

120 **Skeletal preparation, 3D scanning and image processing**

121 Whole spines were stained in 0.015% alcian Blue in 95% Ethanol for 6–8 hours, and cleared
122 in 1% Potassium Hydroxide (KOH) for 4–6 hours. Specimens were scanned in 3D using
123 Optical Projection Tomography (OPT)³³. 3D surface representations were produced for each
124 spine using ImageJ³⁴. In order to visualise curvature changes, these 3D representations were
125 rotated so that the vertebral bodies and spinous processes were visible, and a line traced along
126 the centres of the vertebral bodies to obtain an outline trace of the sagittal plane curvature.
127 Next, the 3D representations were rotated so that the anterior aspect of the vertebral bodies
128 were foremost and the posterior and lateral portions out of view, and a line traced along the
129 centres of the vertebral bodies to provide an outline trace of the curvature in the coronal plane.
130 Both sets of outline traces were aligned at thoracic vertebra 1 (T1).

131 **Quantitative analysis of curvature in the sagittal plane**

132 The geometric curvature (GC), where $GC=1/\text{radius of curvature}^{35}$, was calculated for each
133 vertebral body in the sagittal plane. For identifying the centre of each vertebra from the 3D
134 data, each vertebra was individually aligned to a sagittal view such that the vertebral body and
135 spinous processes were parallel. Within this plane, the virtual section which represented the
136 mid-sagittal section of the notochord (which, in the chick spine, goes through the centre of the
137 vertebral body) was identified. From this section, the point at the centre and halfway along the
138 length of the notochord was taken as the x and y co-ordinates for the centre of the vertebra in
139 the mid-sagittal section. Therefore, the points representing the centre of each vertebra are not
140 precisely aligned in a single plane, but rather lie on the mid-planes bisecting each vertebral
141 body. A curve was fitted to the vertebral coordinates using a cubic smoothing spline function,
142 which places a third order polynomial around each point to fit an accurate curve across the
143 data-set (MathWorks[®], R2015a). Geometric curvature is defined for an arbitrary position on
144 the spine as the reciprocal to the radius R of the osculating circle in 3D at that position and

145 represents the amount by which the 3D vertebral body-line deviates from being straight. The
146 geometric curvature was obtained as previously described³⁵:

$$147 \quad GC(p) = \frac{\left| \frac{d\mathbf{C}(p)}{dp} \times \frac{d^2\mathbf{C}(p)}{dp^2} \right|}{\left| \frac{d\mathbf{C}(p)}{dp} \right|^3} = \frac{1}{R(p)}$$

148 where $\mathbf{C}(p)$ is the vector $[x(p), y(p)]$, giving the x and y coordinates of the curve as a function
149 of the p^{th} vertebra, and $R(p)$ is the radius of curvature. Changes in geometric curvature at each
150 vertebra along the sagittal plane of the spines were compared between prolonged paralysis or
151 timed paralysis groups using one-way ANOVAs with a Tukey-HSD *post-hoc* test (95%
152 confidence interval) (GraphPadPrism 4), with a p-value ≤ 0.05 taken as a statistically significant
153 difference between groups. Data are expressed in the form of mean \pm standard error of the mean
154 (SEM).

155 **Vertebral segmentation**

156 Histological analysis of vertebral segmentation, the distinct spatial separation of cartilaginous
157 vertebrae, was performed following paraffin embedding, sectioning (8 μ m) and staining with
158 0.025% alcian blue in 3% acetic acid (for cartilage) for 1 hour followed by 1% picro-sirus red
159 (for collagen) for 1 hour.

160 **Vertebral shape**

161 Measurements were made of individual vertebral bodies, of functional spinal units (FSUs: two
162 adjoining vertebrae and an intervertebral disc) and of spinal segments (multiple FSUs) of
163 selected regions of the cervical (C10–C14), thoracic (T4–T7) and lumbar (L4–L7) spine.
164 Virtual dissection and 2D measurements were performed in ImageJ. Vertebral body height,
165 anterior to posterior vertebral sagittal width, and vertebral width from neural arch to neural
166 arch were measured. The heights of individual FSUs and spinal segments were measured on
167 mid-sagittal sections, with height defined as the distance from the superior endplate of one

168 vertebra to the inferior endplate of another. Triplicate technical replicates were generated
169 through three mid-planar sections and the average measurements were compared between
170 prolonged paralysis or timed paralysis groups using one-way ANOVAs with a Tukey-HSD
171 *post-hoc* test (95% confidence interval) (SPSS Statistics 22.0) with a p-value ≤ 0.05 taken as a
172 statistically significant difference. Wedging of vertebral bodies was quantified by measuring
173 the angle made at the intersection of lines drawn along the superior and inferior endplate
174 surfaces of an individual vertebra, as shown in Figure 3A.

175 **RESULTS**

176 **Prolonged rigid paralysis vs. prolonged flaccid paralysis**

177 A total of 66 rigidly paralyzed embryos, 12 flaccidly paralyzed embryos and 28 non-paralyzed
178 controls were analyzed, as summarised in Table 1. There were pronounced sagittal curvature
179 deformities in the chicks subjected to rigid paralysis, with multiple regions exhibiting distortion
180 or bending, as compared to control spines (Figure 1B), and large variation between the
181 individual curvatures (Supplementary Figure 1B). No dramatic curvature abnormalities were
182 observed in the flaccidly paralyzed spines (Figure 1B). While there were no significant
183 differences in geometric curvature between either the rigid and control groups or the flaccid
184 and control groups (Figure 1C), there were significant differences in curvature between the
185 rigid and flaccid groups at C8 and C9, with the rigid group showing more lordosis in the
186 cervical region than the flaccid or control groups (Figure 1C). The lack of statistically
187 significant differences between the rigid and control groups is likely to be due to the large
188 variation in individual curvatures in the rigidly paralyzed group, as shown in Supplementary
189 Figure 1B. No distinct alterations were identified in the coronal planes of either rigidly or
190 flaccidly paralyzed spines (Supplementary Figure 2).

191 Histological analysis revealed that prolonged rigid paralysis led to abnormal cartilaginous
192 separation posteriorly and anteriorly in the cervical region, with a continuous cartilaginous

193 posterior structure (fused spinous processes) and abnormal definition of the joint between
194 vertebral bodies (symphysis joints), as shown in Figure 2B. Fusion of the posterior spinous
195 processes was also present in the thoracic and lumbar regions of rigidly paralyzed spines, while
196 the symphysis joints in these regions appeared to form normally, as shown in Supplementary
197 Figure 3. No segmentation abnormalities were evident with flaccid paralysis, yet the
198 morphologies of the spinous processes were abnormal in the cervical region (as shown in
199 Figure 2B). The spinous processes of the thoracic and lumbar regions in flaccidly paralyzed
200 specimens were similar to those of non-paralyzed controls. Histological analyses also revealed
201 unusual pathological changes in the vertebrae of rigidly paralyzed spines, including distortions
202 in the normal sagittal cross-sectional shape of the vertebral bodies in the cervical and thoracic
203 regions (Supplementary Figure 4). A feature evident from visual inspection of the rigidly
204 paralyzed spines is regions of extreme curvature (also visible in Figure 1B, Rigid) in which
205 separation of the joints of vertebral bodies has taken place (Figure 3C ii & iv), while the spinal
206 column remains intact through the posterior spinous process joints. Histological analysis
207 revealed regions in which the spinal cord protrudes anteriorly, separating the vertebral bodies
208 (as shown in Supplementary Figure 4i), and this is likely what is leading to these regions of
209 extreme curvature.

210 Changes in size and shape of vertebral bodies and spinal segment shapes were quantified in
211 sub-regions of the cervical, thoracic and lumbar spine (vertebrae of the sacral and caudal
212 regions were not analyzed based on a lack of relevance to the human spine). When heights of
213 individual and multiple FSUs in selected sub-regions were measured, no differences were
214 found in any region for either paralysis group. However, analysis of individual vertebrae
215 revealed that the vertebral body height of C10 was significantly reduced in both prolonged
216 paralysis groups, with average reductions of 16.8% following rigid paralysis and 18.1%
217 following flaccid paralysis (Figure 2C-E). No FSUs within which C10 was contained showed

218 changes in height, which could be due to the effects of wedging, as shown in Figure 3. The
219 only other significant shape change found was an increase in vertebral sagittal width in T6 and
220 T7 (by an average of 26.3% and 24.1%, respectively) in the rigidly paralyzed group (Figure
221 2D-E). Wedging was apparent in the thoracic region of the rigidly paralyzed spines, as
222 illustrated in Figure 3. While control vertebral endplates were parallel (angle of zero degrees),
223 all of the vertebrae within the T4 to T7 spinal segment exhibited posterior wedging, with
224 average angles of $6.7 \pm 2.9^\circ$ (T4), $7.2 \pm 2.0^\circ$ (T5) $6.2 \pm 2.2^\circ$ (T6) and $7.8 \pm 1.7^\circ$ (T7) (Table 2).
225 While it is likely that wedging also was present in the cervical spine of rigidly paralyzed spines,
226 vertebral fusion in this region prevented measurement of wedging angles. No wedging was
227 present in the flaccidly paralyzed spines (Table 2g).

228 **Timed initiation of rigid paralysis**

229 In addition to the prolonged rigid paralysis experiment (E3–E9) already described, five further
230 rigid paralysis regimes were administered by varying the day of onset of paralysis from E4 to
231 E8. The numbers of specimens analyzed are summarised in Table 1, with controls being pooled
232 between groups. When rigid paralysis was initiated on or before E5, this resulted in multiple
233 regions of abnormal kyphosis and lordosis compared to normal sagittal curvatures (Figure 4A).
234 However, only paralysis from E4 led to significant differences in curvature as compared to
235 controls, with significant changes in geometric curvature at five vertebral locations; C3, C4
236 and L5–L7 (Figure 4B). As in the case of prolonged rigid paralysis, the lack of statistically
237 significant changes in geometric curvature in spines paralyzed on E5 or earlier is likely to be
238 due to the large variation between individual specimens (Supplementary Figure 1).
239 Commencing rigid paralysis on or after E6 did not have a measurable effect on curvature, with
240 no distinct abnormalities in sagittal curvature evident from outlines (Figure 4A), and no
241 significant differences in geometric curvature (Figure 4B). These results suggest that onset of
242 rigid paralysis on or before E5, which is prior to formation of the vertebrae at E6³⁶, has the

243 most severe effects on development of general spinal curvature, with initiation of rigid
244 paralysis at E4 leading to the most consistent effects on geometric curvature.

245 Histological analysis of the additional groups in which rigid paralysis was initiated on or before
246 E5 exhibited similar results to those described for the prolonged rigid paralysis (E3–E9) group.

247 All of these groups had fusion of the posterior spinous processes in the cervical, thoracic and
248 lumbar regions (Figure 5A, Supplementary Figure 3), and fusion of the symphysis joints in the
249 cervical region, with apparently normal segmentation of the symphysis joints in the thoracic
250 and lumbar regions (Figure 5A, supplementary Figure 3). In all three of the groups paralyzed
251 on or after E6, a collagen rich space was visible posteriorly and anteriorly between the vertebrae
252 (Figure 5A), indicating normal segmentation of both the spinous processes and symphysis
253 joints. As performed previously, changes in size and shape of vertebral bodies and spinal
254 segment shapes were quantified in sub-regions of the cervical, thoracic and lumbar spine. As
255 in the prolonged rigid paralysis results, no difference in FSU or spinal segment height was
256 found in either of the additional groups paralyzed on or before E5. Similarly to the prolonged
257 rigid paralysis group, there were reductions in the vertebral body height of C10, with average
258 reductions of 19.3% for the E4–E9 group and 21.8% for the E5–E9 group (Figure 5B). The
259 only other significant difference measured in these groups was a reduction in the vertebral body
260 width of L5 by an average of 22% in the group that underwent paralysis from E4–E9 (Figure
261 5C). The only shape difference found in the groups paralyzed from E6 onwards was a reduction
262 in the vertebral width of T6 by 18.8% in the E7–E9 group (Figure 5D). Average posterior
263 wedging angles in T4–T7 varied from 6–7° for the E3–E9 group (as previously described), 3–
264 5° for the E4–E9 and E5–E9 groups, 2–3° for the E6–E9 group and less than 1° for the E7–E9
265 and E8–E9 groups, as summarized in Table 2. Therefore, the longer paralysis was maintained,
266 the more severe the average posterior wedging angles.

267 **DISCUSSION**

268 Our primary hypothesis, that altering fetal movement causes abnormalities in the developing
269 spine has been corroborated. Rigidly paralyzed spines showed distinct defects in all three of
270 the key variables; curvature, segmentation and vertebral shape, while flaccidly paralyzed spines
271 exhibited only subtle changes in vertebral shape, with no effects on curvature or segmentation.
272 These results suggest that sustained, static muscle loading is highly detrimental to early spine
273 development, while the removal of both static and dynamic components of muscle activity has
274 mild effects on spine development at the single timepoint examined. The timing of initiation
275 of rigid paralysis had a distinct influence on the extent of the effects on the spine, corroborating
276 our secondary hypothesis that paralysis at earlier stages of development would result in more
277 severe spinal deformations. Spines subjected to initiation of rigid paralysis on or before E5
278 were severely affected with curvature and segmentation abnormalities, while the only
279 measureable differences to controls in spines of specimens paralyzed from E6 onwards were
280 slight wedging angles, and a change in one shape parameter in one of the sub-groups.

281 There are some limitations to this research. As all of our analyses were performed at E9, we do
282 not know how segmentation, shape morphogenesis and spinal curvature are affected prior to,
283 or after this timepoint. For example, although prolonged flaccid paralysis did not lead to
284 dramatic effects on the spine at E9, it is possible that had development been allowed to progress
285 further, more pronounced effects would have emerged. Such investigations will be undertaken
286 in future studies. The prolonged paralysis regimes used in this study are well-controlled and
287 prioritise the identification of effects, but would be extreme compared to what might occur in
288 a clinical condition of reduced or abnormal fetal movement. However, the prolonged paralysis
289 regimes would be analogous to fetal akinesia deformation sequence (FADS)^{9,10}, and the timed
290 studies illustrate that even short periods of immobility can have local effects on vertebral shape
291 and wedging angles. Finally, since the chick notochord does not undergo involution and since

292 the disc lacks a nucleus pulposus, this study does not characterise the effects of paralysis on
293 the intervertebral disc, and a mammalian model system of abnormal fetal movements would
294 be necessary to investigate the disc. Nonetheless, many aspects of the current study have only
295 have been possible due to the flexibility of the chick system, and investigation of the effects of
296 timed paralysis in a mammalian system would be very difficult, if not impossible.

297 Aspects of abnormal spine development identified in this study correlate with the key features
298 of congenital spine deformities, namely curvature abnormalities and vertebral wedging.
299 Initiation of rigid paralysis on or before E5 induced severe effects on spinal curvature, with
300 regions of hyper-lordosis and hyper-kyphosis, as seen, respectively, in congenital lordosis and
301 kyphosis². Vertebral body wedging was evident in the thoracic region following rigid paralysis,
302 which, in the case of scoliosis, has been shown to correlate with the severity of curvature
303 defect^{37,38}. While curvature changes in the coronal plane are the most common presentation of
304 congenital spine deformities¹ (which, however, are commonly associated with a sagittal
305 deformity²) no changes in coronal curvature were seen in the model system. This difference
306 could be due to the pronounced differences in spinal anatomy of the chicken and human, and
307 could also be related to the differences in developmental mechanical environments of the
308 mammal and bird. Future work will explore use of a mammalian model system of abnormal
309 fetal movements to provide insight into this aspect.

310 While there is very sparse literature from animal models with which to compare our results,
311 alternations in spinal curvature have previously been reported, but not quantified, in
312 immobilized chicks under prolonged paralysis²⁴⁻²⁶, and in mammalian models of absent fetal
313 movements^{20,28,39}. Fusion of the vertebrae has also been previously reported in animal models
314 of abnormal fetal movements^{20,24,25,27,28}, but this study is the first to describe region-specific
315 fusion, both in the description of fusion of posterior and anterior aspects of the vertebrae
316 (spinous processes vs. symphysis joints), and the identification of the cervical spine as the part

317 of the spine most prone to vertebral fusions following prolonged rigid paralysis. Furthermore,
318 this is the first study to look at the effects of varying the time of onset of paralysis on the spine,
319 and the only study to describe the effects of flaccid paralysis on the spine.

320 A number of aspects of the results merit further discussion. For all of the experiments
321 described, global paralysis led to local effects, with some regions being more affected than
322 others. With both types of prolonged paralysis, the cervical spine was the most affected region,
323 with shape changes in C10, and significant differences in curvature in C8 and C9 between the
324 paralyzed groups. In the prolonged and early (on or before E5) rigidly paralyzed groups, only
325 the cervical region had abnormal segmentation for both the anterior symphysis joints and the
326 posterior spinous process joints while in the prolonged flaccid group, the spinous process joints
327 of only the cervical region were abnormally shaped. Considering the very long length of the
328 cervical spine in the chick (Figure 1), and the large size of the chick head at early stages of
329 development, it is possible that the weight of the head exacerbates the effects of paralysis. Since
330 the human cervical spine has much fewer vertebrae than in the chick, the cervical region may
331 not be disproportionately affected during human development. It is unclear why the shape of
332 C10 was particularly prone to shape changes. C10 falls within the normal kyphotic curve of
333 the cervical spine, which could potentially lead to a higher likelihood of deformation of this
334 region. Commencing rigid paralysis on or prior to E6 led to substantial ($>1^\circ$) wedging in the
335 thoracic spine. Rib cartilage appears in the chick from E7–7.5³⁶, and alterations in the
336 development of ribs have previously been reported following a reduction in mechanical
337 stimulation^{39,40}. Therefore, the alterations seen in the thoracic region may be due to an
338 alteration in rib architecture, which will be investigated in future studies. Finally, this study
339 quantified shape changes in sub-regions of the cervical, thoracic and lumbar spine. Even within
340 the regions in which changes in curvature occurred, the shape parameters measured (vertebral
341 body height, sagittal width and anterior width) were not significantly different from the

342 equivalent measurements in non-paralyzed controls. Since our analyses were performed at a
343 single timepoint, it is possible that vertebral shape abnormalities prior to E9 could lead to
344 changes in curvature in other regions of the spine as development progresses.

345 With rigid paralysis, only the dynamic component of the muscle forces is absent and sustained
346 static loading is applied, while with flaccid paralysis, both the rigid and static components are
347 absent. In the joints of the chick limb, rigid paralysis has been shown to have slightly more
348 pronounced effects on the length and breadth of the cartilaginous epiphyses than flaccid
349 paralysis³¹, while conversely, late application of rigid paralysis induced more normal cavitation
350 of the joints of the limb as compared to late flaccid paralysis³¹. The current study shows that
351 the effects on the spine of prolonged rigid paralysis are dramatic, while the effects of prolonged
352 flaccid paralysis are more subtle. Our working hypothesis is that the structures of the very early
353 spinal column are malleable, leading to their deformation under sustained static loading (rigid
354 paralysis). It has previously been proposed that static loading suppresses cartilaginous growth
355 in the rudiments of the limbs³¹, and we believe that this is what occurs in the spine. This theory
356 is bolstered by the fact that the very small, delicate joints of the spinous processes are more
357 widely affected than the thicker joints of the vertebral bodies in rigidly paralyzed spines.
358 Although the effects of rigid paralysis were more dramatic, prolonged flaccid paralysis did
359 have some effects on the shape of some spinous processes and on the shape of one vertebral
360 body, which could become more pronounced over subsequent development. Another key novel
361 finding of this study is the apparent “cut-off” timepoint of E6, where rigid paralysis from E5
362 or earlier leads to abnormal curvatures and vertebral segmentation, while paralysis after E6 did
363 not affect curvature or vertebral segmentation. E3 marks the developmental timepoint at which
364 a well-defined myotome is present in the developing chick³⁶, while movement of the embryonic
365 chick neck and spine has been reported to start at E3.5⁴¹. Formation of the sclerotome, from
366 which the vertebral bodies and spinous processes form, begins at around E2.5, but the

367 segmentation of distinct cartilaginous vertebrae is not complete until E6^{36,42} (all timings for the
368 chick embryo). These results therefore indicate that sustained, static loading is particularly
369 detrimental to the process of sclerotome development during which it is sub-
370 compartmentalized to form the different parts of the axial skeleton, most likely due to
371 compression of the emerging, delicate structures.

372 In conclusion, this study demonstrates that both the timing and the type of mechanical
373 stimulation due to fetal movements are key to a number of aspects of the developing spine,
374 including spinal curvature and vertebral segmentation and shape, with important implications
375 for future research into the aetiology of congenital spine deformities.

376

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379

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500 **TABLES AND FIGURE LEGENDS**

501 **Table 1:** Numbers of paralyzed and non-paralyzed chick embryos harvested at embryonic day
502 (E) 9.

503

504 **Table 2:** Average posterior vertebral wedge angles for thoracic vertebrae T4–T7 for control
505 (h) and paralyzed groups (a-g). Measurements shown in degrees. SD; standard deviation.

506

507 **Figure 1:** Rigid paralysis induced more severe abnormalities in curvature than flaccid
508 paralysis. **(A)** E9 chick whole spine stained for cartilage. P; posterior, A; Anterior. **(B)** Overlays
509 of curvatures in the sagittal plane of control spines (blue, n=21), prolonged flaccidly paralyzed
510 spines (orange, n=7) and prolonged rigidly paralyzed spines (red, n=8), with all spines aligned
511 to thoracic vertebra 1 (T1). Regions of pronounced abnormal lordosis (green arrows) and
512 kyphosis (purple stars) are highlighted. Scale Bars 2000 μ m. **(C)** Geometric curvature (GC)
513 analysis of flaccidly paralyzed spines (orange line, n=7), rigid paralyzed spines (red line, n=8)
514 and control curves (blue line, n=21). Y-axis; 1/ radius of curvature, represented by arbitrary
515 units of length. GC>0 lordotic curve, GC<0 kyphotic curve, GC=0 straight spine. X-axis; the
516 craniocaudal individual vertebrae. Significant differences were identified between paralysis
517 regimes at C8 and C9, * $p \leq 0.05$. C; cervical, T; thoracic, L; lumbar, S; sacral.

518

519 **Figure 2:** Prolonged rigid paralysis induced vertebral cartilaginous fusion while both
520 prolonged paralysis regimes led to a reduction in vertebral body height in C10. Prolonged rigid
521 paralysis also led to a decrease in the vertebral sagittal width of T6 and T7. **(A)** Schematic of a
522 normal sagittal cross section of a portion of the cervical region indicating clear separation of
523 the spinous process (sp) and the symphysis joints (SJ). **(B)** Sagittal sections stained with alcian
524 blue (for cartilage) and picosirus red (for collagen) show posterior spinous process (i, iii, v)

525 and anterior symphysis joints (ii, iv, vi) in control (i-ii), flaccidly (iii-iv) and rigidly paralyzed
526 (v-vi) spines in the cervical region. Posterior vertebral fusion of the spinous processes (sp) is
527 indicated by the continuous cartilaginous staining (green arrow) and fusion of the symphysis
528 joints (SJ) (orange arrow). Scale bars 100 μ m. P; posterior, A; anterior. (C) Representative
529 sagittal 3D views of cervical spine segment (C10–C14) and ventral, sagittal and axial 3D views
530 of C10 from control, prolonged flaccid and prolonged rigid paralysis. Yellow lines and
531 asterisks in ventral view indicate the significant reduction in vertebral body (VB) height of C10
532 with flaccid and rigid paralysis compared to controls. (D) Representative sagittal 3D views of
533 thoracic spine segment (T4–T7) and ventral, sagittal and axial 3D views of T6 and T7 from
534 control and prolonged rigid paralysis. Yellow lines and asterisks in sagittal view indicate the
535 significant increase in vertebral sagittal width in T6 and T7 with prolonged rigid paralysis
536 compared to controls. Scale bar 1000 μ m. (E) Box plots showing significant reductions in VB
537 height of C10 and increases in the sagittal width of T6 and T7 following prolonged rigid
538 paralysis. * $p \leq 0.05$.

539

540 **Figure 3:** Prolonged rigid paralysis led to vertebral wedging in the thoracic region. (A)
541 Representative sagittal 3D view of thoracic spine segment (T4-T7) of control and rigidly
542 paralyzed specimens. Yellow lines in each case show how the vertebral body angle
543 measurements were created. (B) Schematic view of thoracic spinal segments in (A) illustrating
544 the differences in vertebral wedging and separation of vertebrae. (C) Individual spines from 5
545 distinct chicks (i-v) paralyzed rigidly from E3–E9 showing evidence of vertebral wedging in
546 the thoracic region (grey boxes). In regions of extreme curvature (indicated by arrow heads),
547 separation at the anterior vertebral body joints occurs while the posterior spinous process joints
548 remain intact. Scale bar 2000 μ m.

549

550 **Figure 4:** Initiation of rigid paralysis on or prior to E5 led to reversals and exaggerations of
551 curvature, while paralysis from E4 led to significant alterations in curvatures in five discrete
552 locations. (A) Overlays of curvatures in sagittal plane of control spines (blue, n=21), and timed
553 paralysis spines (E3–E9: red, n=8; E4–E9: brown, n=10; E5–E9: green, n=9; E6–E9: purple,
554 n=8; E7–E9: grey, n=6; E8–E9: mustard, n=5). All spines aligned to thoracic vertebra 1 (T1).
555 Regions of pronounced abnormal lordosis (green arrows) and kyphosis (purple stars) are
556 highlighted. Scale Bars 2000 μ m. P; posterior, A; Anterior. (B) GC analysis of each group. Y-
557 axis; 1/ radius of curvature, represented by arbitrary units of length. GC>0 lordotic curve,
558 GC<0 kyphotic curve, GC=0 straight spine. X-axis; the craniocaudal individual vertebrae.
559 Significant differences in curvature were found in spines paralyzed from E4-E9, * $p\leq 0.05$, **
560 $p\leq 0.01$. C; cervical, T; thoracic, L; lumbar, S; sacral, Cd; caudal.

561

562 **Figure 5:** Initiation of rigid paralysis on or prior to E5 induced posterior vertebral cartilaginous
563 fusion and discrete changes in vertebral shape, while paralysis on or after E6 showed normal
564 segmentation but discrete shape changes in the thoracic region. (A) Sagittal alcian blue
565 (cartilage) and picro–sirius red (collagen) stained sections of posterior spinous process (i, iii, v,
566 vii, ix, xi, xiii) and anterior symphysis joints (ii, iv, vi, viii, x, xii, xiv) in control (i-ii) and timed
567 rigid paralysis spines in the cervical region. Posterior vertebral fusion of the spinous process
568 (sp) is indicated by the continuous cartilaginous staining (green arrows) as is fusion of the
569 symphysis joints (SJ) (orange arrow). Scale bars 100 μ m. P; posterior, A; anterior. (B)
570 Representative sagittal 3D views of cervical spine segment (C10-C14) and ventral, sagittal and
571 axial 3D views of C10 from control and rigid (E4–E9, E5-E9) paralysis groups. (C)
572 Representative sagittal 3D views of lumbar spine segment (L4-L7) and ventral, sagittal and
573 axial 3D views of L5 from control and rigid (E4-E9) paralysis groups. (D) Representative
574 sagittal 3D views of thoracic spine segment (T4–T7) and ventral, sagittal and axial 3D views

575 of T6 from control, rigid (E7–E9) paralysis. **(B–D)** Yellow lines indicate the significant
576 differences with paralysis compared to controls. Scale bar 1000µm.

577

578 **Supplementary Figure 1:** Individual curvatures in the sagittal plane of **(B–D)** spines paralyzed
579 on or prior to E5 compared to **(A)** control curvatures aligned to thoracic vertebra 1 (T1).
580 Variation in control curvatures is evident, with more pronounced variation in the cervical and
581 caudal regions depending on the position of the head, and the position of the embryonic
582 pygostyle (plate of bone at the posterior end of the spine). Lordotic (green arrows) and kyphotic
583 (purple stars) curvatures resulted from rigid paralysis, with more severe alterations observed in
584 various sub-regions **(B)** from E3-E9, **(C)** E4-E9 and **(D)** E5-E9 paralyzed spines. Scale bars
585 2000µm

586

587 **Supplementary Figure 2:** Paralysis induced no pronounced changes in curvatures in the
588 coronal plane. Overlays of curvatures in the coronal plane of control spines (n=21), prolonged
589 flaccidly paralyzed spines (n=7), and rigidly paralyzed spines (E3–E9: n=8; E4–E9: n=10; E5–
590 E9: n=9; E6–E9: n=8; E7–E9: n=6; E8–E9: n=5). All spines aligned to thoracic vertebra 1 (T1).
591 Scale bars 2000µm.

592

593 **Supplementary Figure 3:** Comprehensive histological analysis of vertebral segmentation in
594 E9 spines following (b, j, r) flaccid paralysis and (c–h, k–p, s–x) rigid paralysis from multiple
595 initiation time-points compared to controls (a, i, q). Sections stained with alcian Blue- cartilage/
596 picro-sirus red- collagen. sc: spinal cord, n; notochord. P; posterior, A; anterior. Scale bars
597 100µm.

598

599 **Supplementary Figure 4:** Pathological changes in the vertebrae were observed following rigid
600 paralysis commenced on or before E5. Dorsal (a–b) and lateral (c–d) views of paralyzed
601 vertebrae show further evidence of vertebral fusion. (b' and d') are corresponding red boxes
602 shown at higher magnifications. (f–g, red arrows) Distortions in normal sagittal cross-sectional
603 shape of the vertebral bodies (vb) compared to (e) controls. A unique bending and anterior
604 protrusion of the spinal cord (sc) was observed in one rigidly paralyzed specimen (i, orange
605 arrow) compared to control (h) in which the spinal cord is enclosed within the vertebrae. Sp;
606 spinous process, n; notochord, Scale bar in (a) 500µm, (b–i) 100µm.

Table 1: Numbers of paralyzed and non-paralyzed chick embryos harvested at embryonic day (E) 9.

	Non-paralyzed controls	Paralyzed						
		Rigid						Flaccid
Total	28	66						12
		E3–E9	E4–E9	E5–E9	E6–E9	E7–E9	E8–E9	E3–E9
3D	21	8	10	9	8	6	5	7
Histology	7	5	4	3	3	3	2	5

Table 2: Average posterior vertebral wedge angles for thoracic vertebrae T4–T7 for control (h) and paralyzed groups (a-g). Measurements shown in degrees. SD; standard deviation.

(a) Rigid Paralysis E3-E9			(b) Rigid Paralysis E4-E9		
	Average	SD		Average	SD
T4	6.72°	2.87	T4	4.29°	1.67
T5	7.24°	1.96	T5	4.79°	2.74
T6	6.19°	2.16	T6	3.96°	2.20
T7	7.82°	1.66	T7	3.60°	2.17
(c) Rigid Paralysis E5-E9			(d) Rigid Paralysis E6-E9		
	Average	SD		Average	SD
T4	3.09°	1.35	T4	2.34 °	1.94
T5	3.95°	2.53	T5	2.27 °	1.46
T6	3.68°	2.05	T6	2.65 °	1.92
T7	3.16°	2.38	T7	2.40 °	1.07
(e) Rigid Paralysis E7-E9			(f) Rigid Paralysis E8-E9		
	Average	SD		Average	SD
T4	0.26°	0.41	T4	0.40 °	0.90
T5	0.23°	0.37	T5	0.40 °	0.90
T6	0.45°	0.62	T6	0°	0
T7	0.77°	1.05	T7	0°	0
(g) Flaccid Paralysis E3-E9			(h) Non Paralyzed Controls		
	Average	SD		Average	SD
T4	0°	0	T4	0°	0
T5	0°	0	T5	0°	0
T6	0°	0	T6	0°	0
T7	0°	0	T7	0°	0

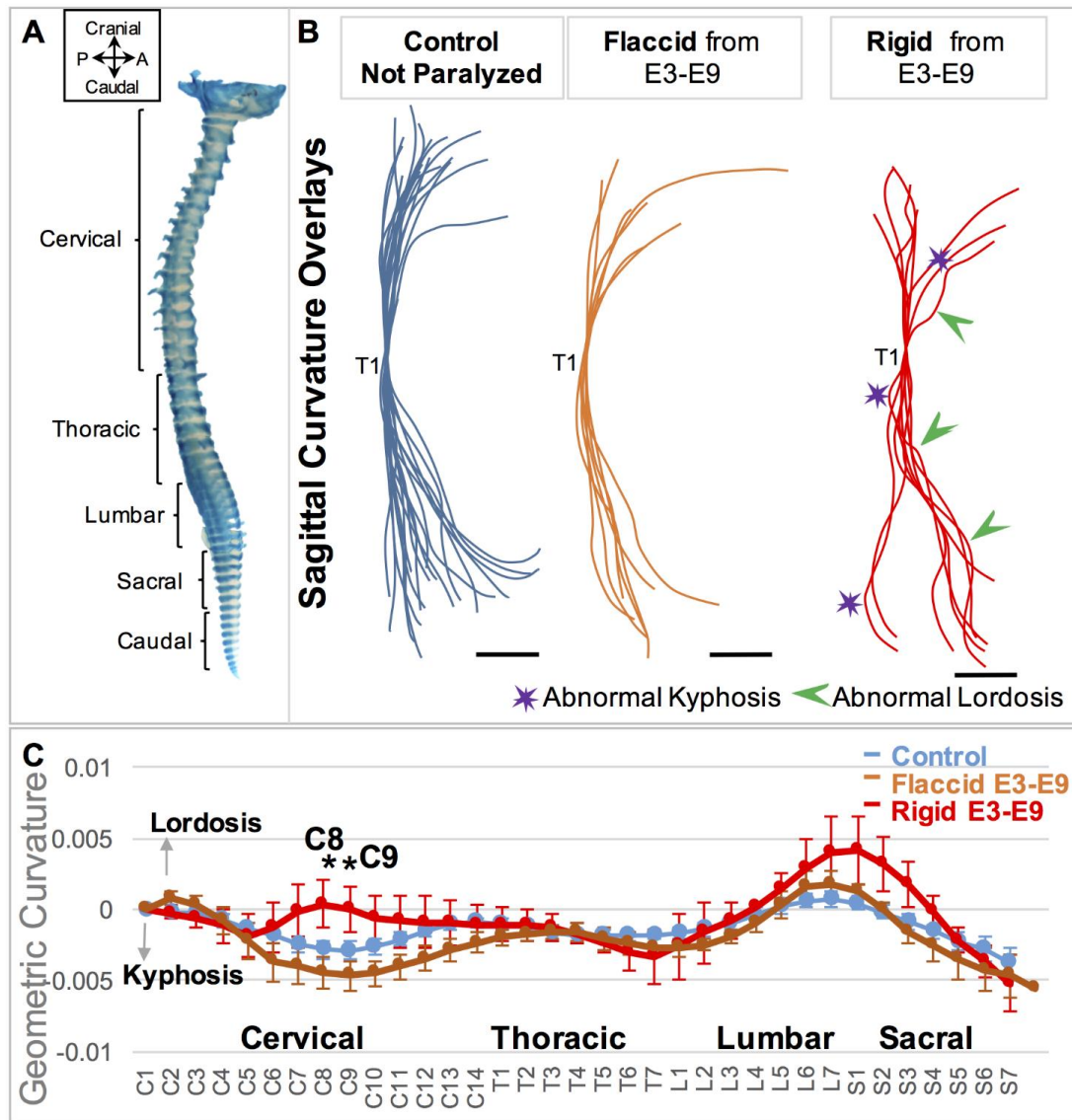


Figure 1: Rigid paralysis induced more severe abnormalities in curvature than flaccid paralysis. (A) E9 chick whole spine stained for cartilage. P; posterior, A; Anterior. (B) Overlays of curvatures in the sagittal plane of control spines (blue, n=21), prolonged flaccidly paralyzed spines (orange, n=7) and prolonged rigidly paralyzed spines (red, n=8), with all spines aligned to thoracic vertebra 1 (T1). Regions of pronounced abnormal lordosis (green arrows) and kyphosis (purple stars) are highlighted. Scale Bars 2000 μ m. (C) Geometric curvature (GC) analysis of flaccidly paralyzed spines (orange line, n=7), rigid paralyzed spines (red line, n=8) and control curves (blue line, n=21). Y-axis; 1/ radius of curvature, represented by arbitrary units of length. GC>0 lordotic curve, GC<0 kyphotic curve, GC=0 straight spine. X-axis; the craniocaudal individual vertebrae. Significant differences were identified between paralysis regimes at C8 and C9, * p<0.05. C; cervical, T; thoracic, L; lumbar, S; sacral.

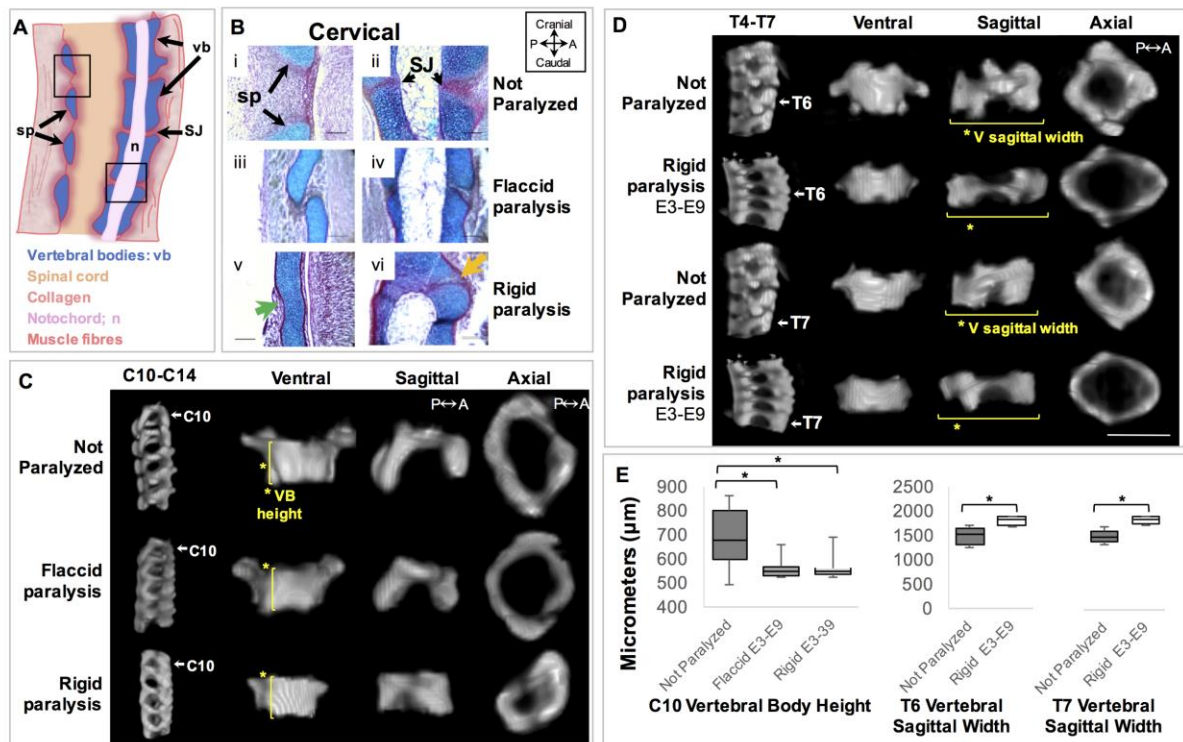


Figure 2: Prolonged rigid paralysis induced vertebral cartilaginous fusion while both prolonged paralysis regimes led to a reduction in vertebral body height in C10. Prolonged rigid paralysis also led to a decrease in the vertebral sagittal width of T6 and T7. **(A)** Schematic of a normal sagittal cross section of a portion of the cervical region indicating clear separation of the spinous process (sp) and the symphysis joints (SJ). **(B)** Sagittal sections stained with alcian blue (for cartilage) and picosirius red (for collagen) show posterior spinous process (i, iii, v) and anterior symphysis joints (ii, iv, vi) in control (i-ii), flaccidly (iii-iv) and rigidly paralyzed (v-vi) spines in the cervical region. Posterior vertebral fusion of the spinous processes (sp) is indicated by the continuous cartilaginous staining (green arrow) and fusion of the symphysis joints (SJ) (orange arrow). Scale bars 100 μ m. P; posterior, A; anterior. **(C)** Representative sagittal 3D views of cervical spine segment (C10–C14) and ventral, sagittal and axial 3D views of C10 from control, prolonged flaccid and prolonged rigid paralysis. Yellow lines and asterisks in ventral view indicate the significant reduction in vertebral body (VB) height of C10 with flaccid and rigid paralysis compared to controls. **(D)** Representative sagittal 3D views of thoracic spine segment (T4–T7) and ventral, sagittal and axial 3D views of T6 and T7 from control and prolonged rigid paralysis. Yellow lines and asterisks in sagittal view indicate the significant increase in vertebral sagittal width in T6 and T7 with prolonged rigid paralysis compared to controls. Scale bar 1000 μ m. **(E)** Box plots showing significant reductions in VB height of C10 and increases in the sagittal width of T6 and T7 following prolonged rigid paralysis. * $p < 0.05$.

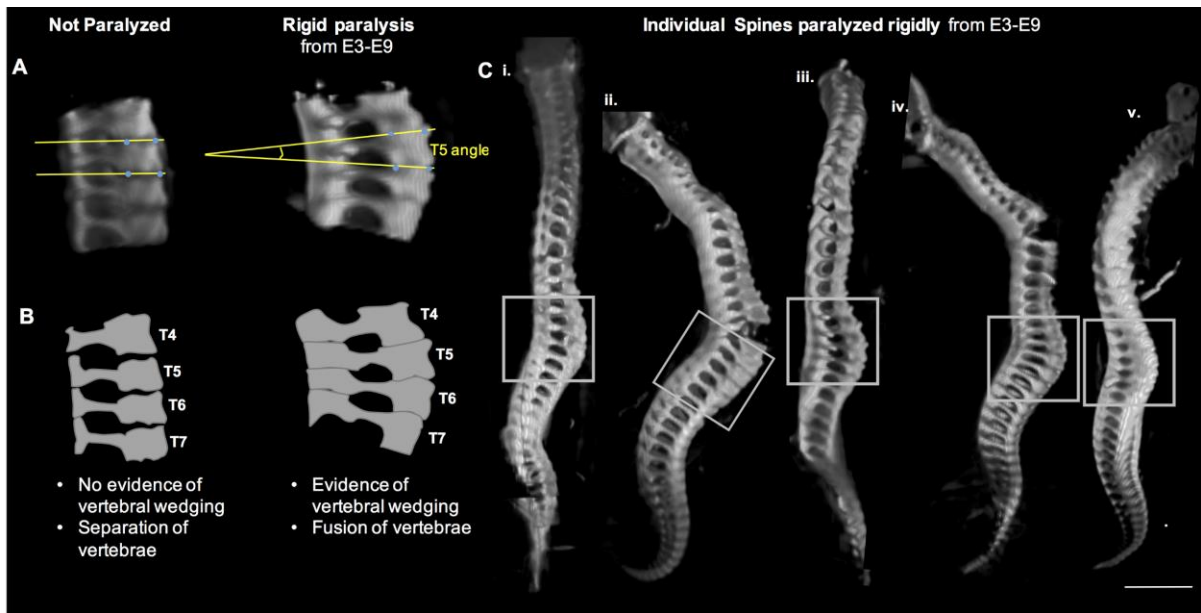


Figure 3: Prolonged rigid paralysis led to vertebral wedging in the thoracic region. **(A)** Representative sagittal 3D view of thoracic spine segment (T4-T7) of control and rigidly paralyzed specimens. Yellow lines in each case show how the vertebral body angle measurements were created. **(B)** Schematic view of thoracic spinal segments in (A) illustrating the differences in vertebral wedging and separation of vertebrae. **(C)** Individual spines from 5 distinct chicks (i-v) paralyzed rigidly from E3–E9 showing evidence of vertebral wedging in the thoracic region (grey boxes). In regions of extreme curvature (indicated by arrow heads), separation at the anterior vertebral body joints occurs while the posterior spinous process joints remain intact. Scale bar 2000 μ m.

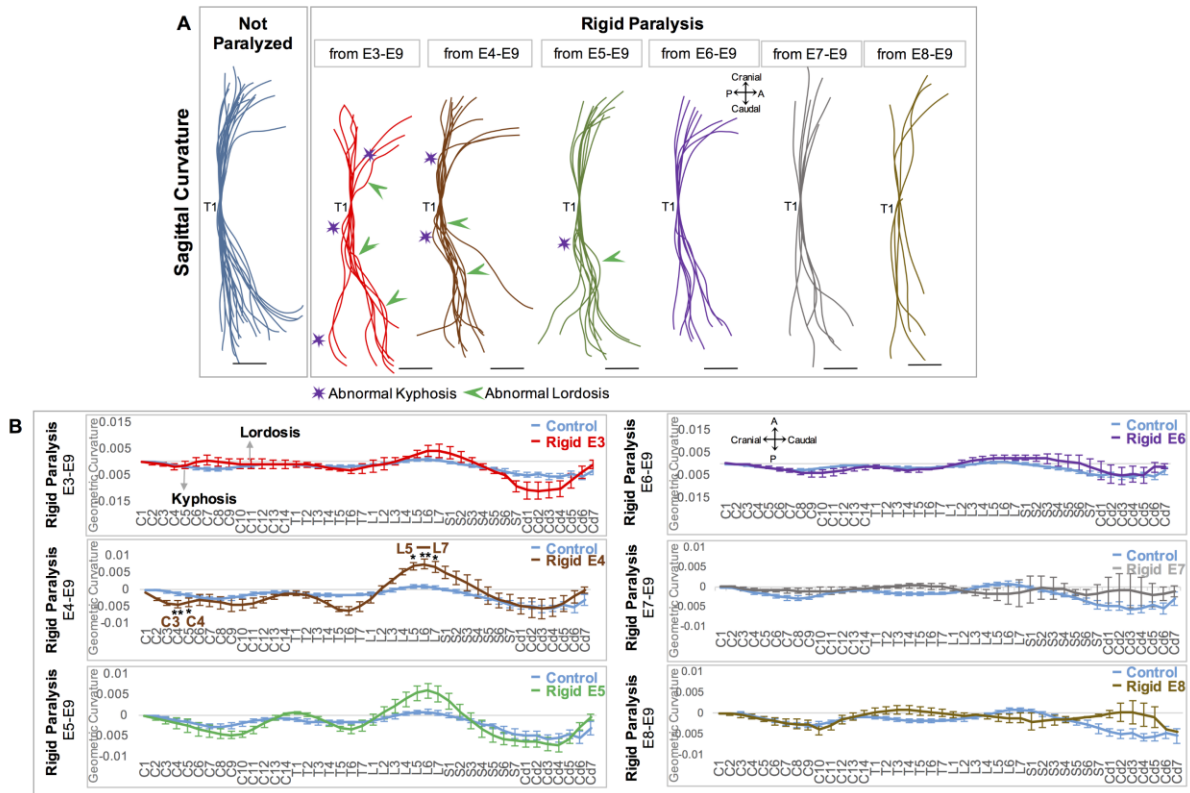


Figure 4: Initiation of rigid paralysis on or prior to E5 led to reversals and exaggerations of curvature, while paralysis from E4 led to significant alterations in curvatures in five discrete locations. **(A)** Overlays of curvatures in sagittal plane of control spines (blue, n=21), and timed paralysis spines (E3–E9: red, n=8; E4–E9: brown, n=10; E5–E9: green, n=9; E6–E9: purple, n=8; E7–E9: grey, n=6; E8–E9: mustard, n=5). All spines aligned to thoracic vertebra 1 (T1). Regions of pronounced abnormal lordosis (green arrows) and kyphosis (purple stars) are highlighted. Scale Bars 2000µm. P; posterior, A; Anterior. **(B)** GC analysis of each group. Y-axis; 1/ radius of curvature, represented by arbitrary units of length. GC>0 lordotic curve, GC<0 kyphotic curve, GC=0 straight spine. X-axis; the craniocaudal individual vertebrae. Significant differences in curvature were found in spines paralyzed from E4–E9, * p<0.05, ** p<0.01. C; cervical, T; thoracic, L; lumbar, S; sacral, Cd; caudal.

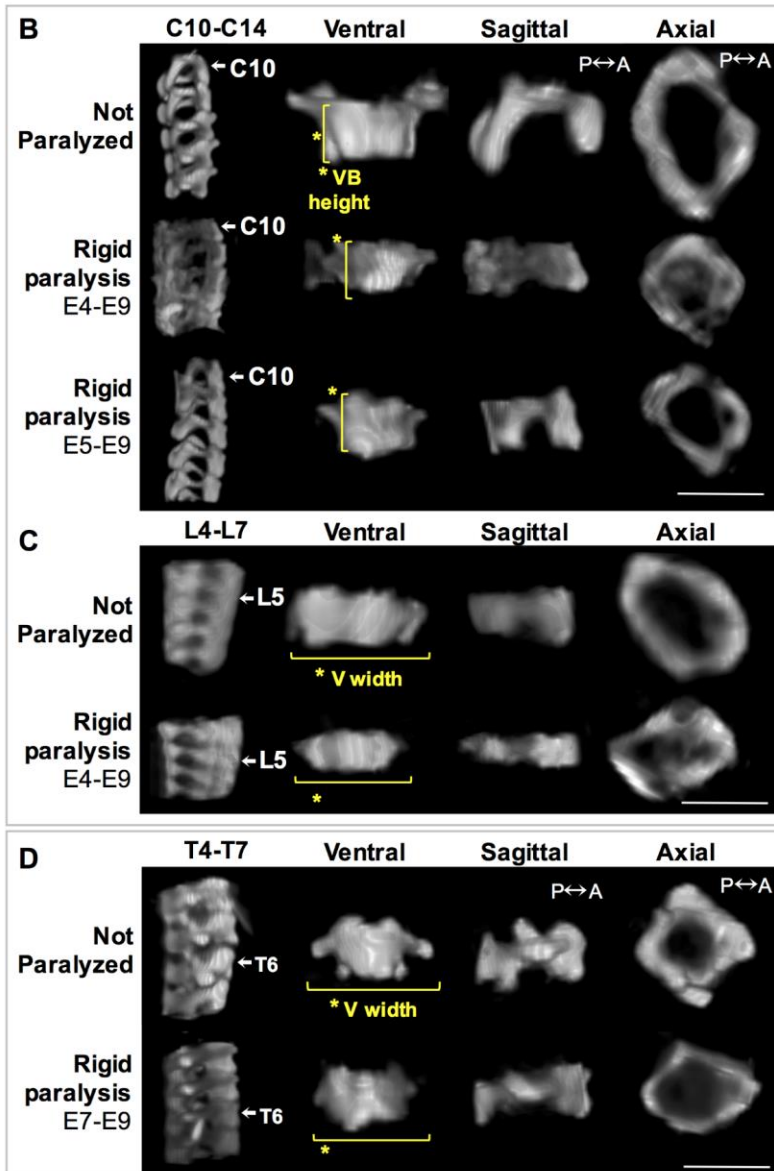
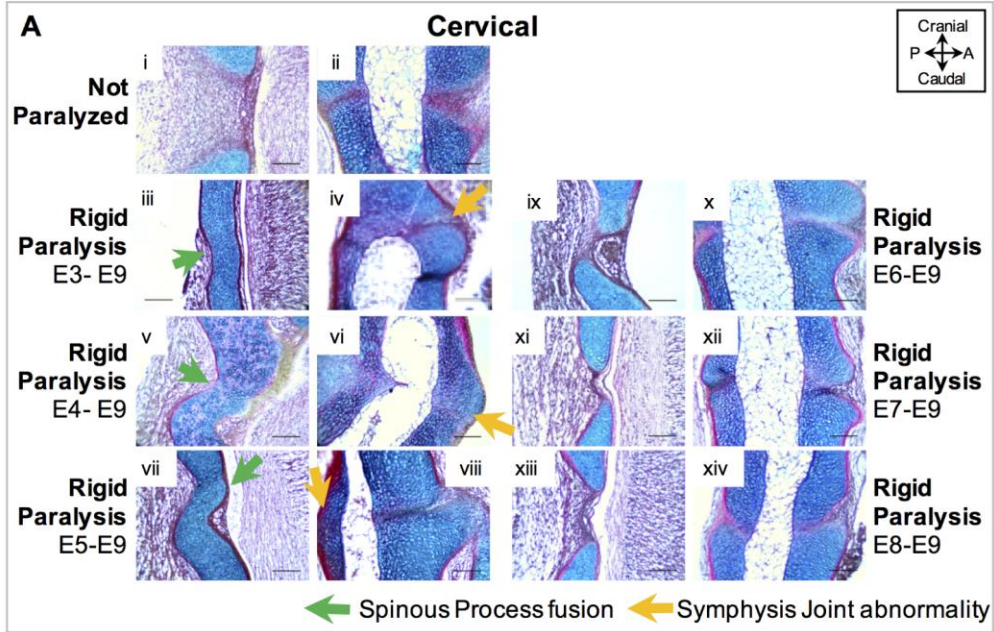
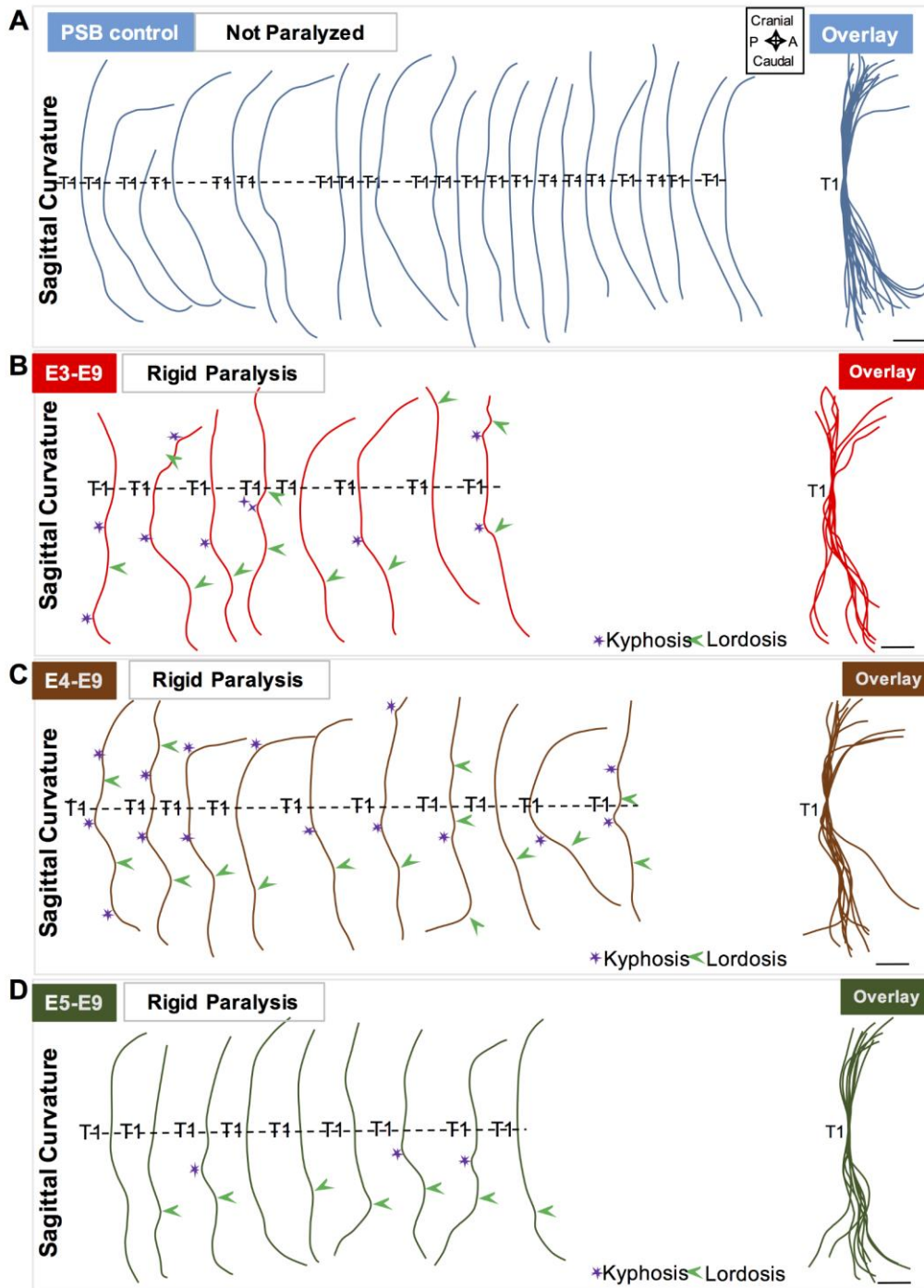
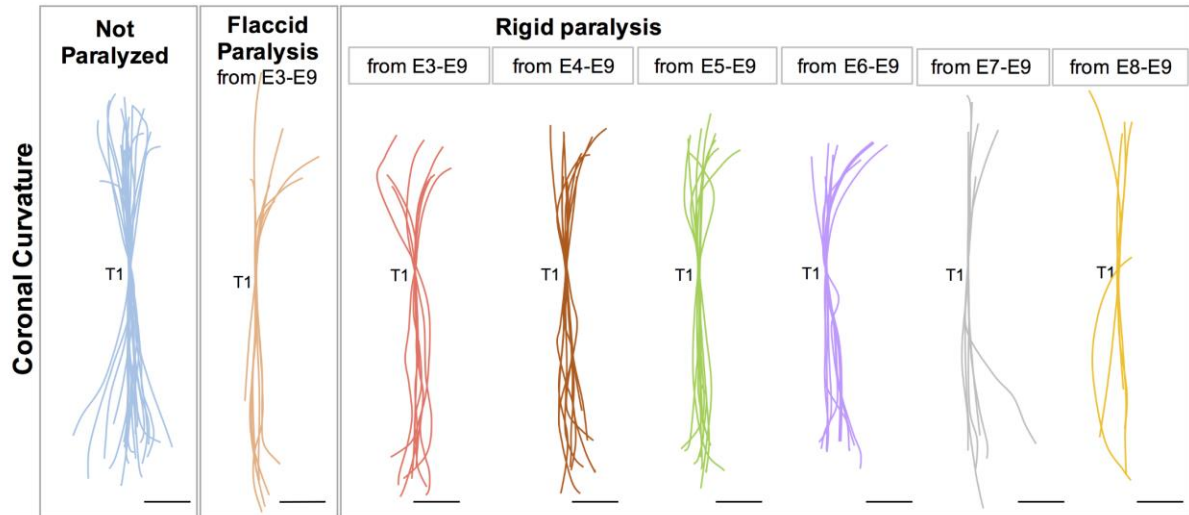


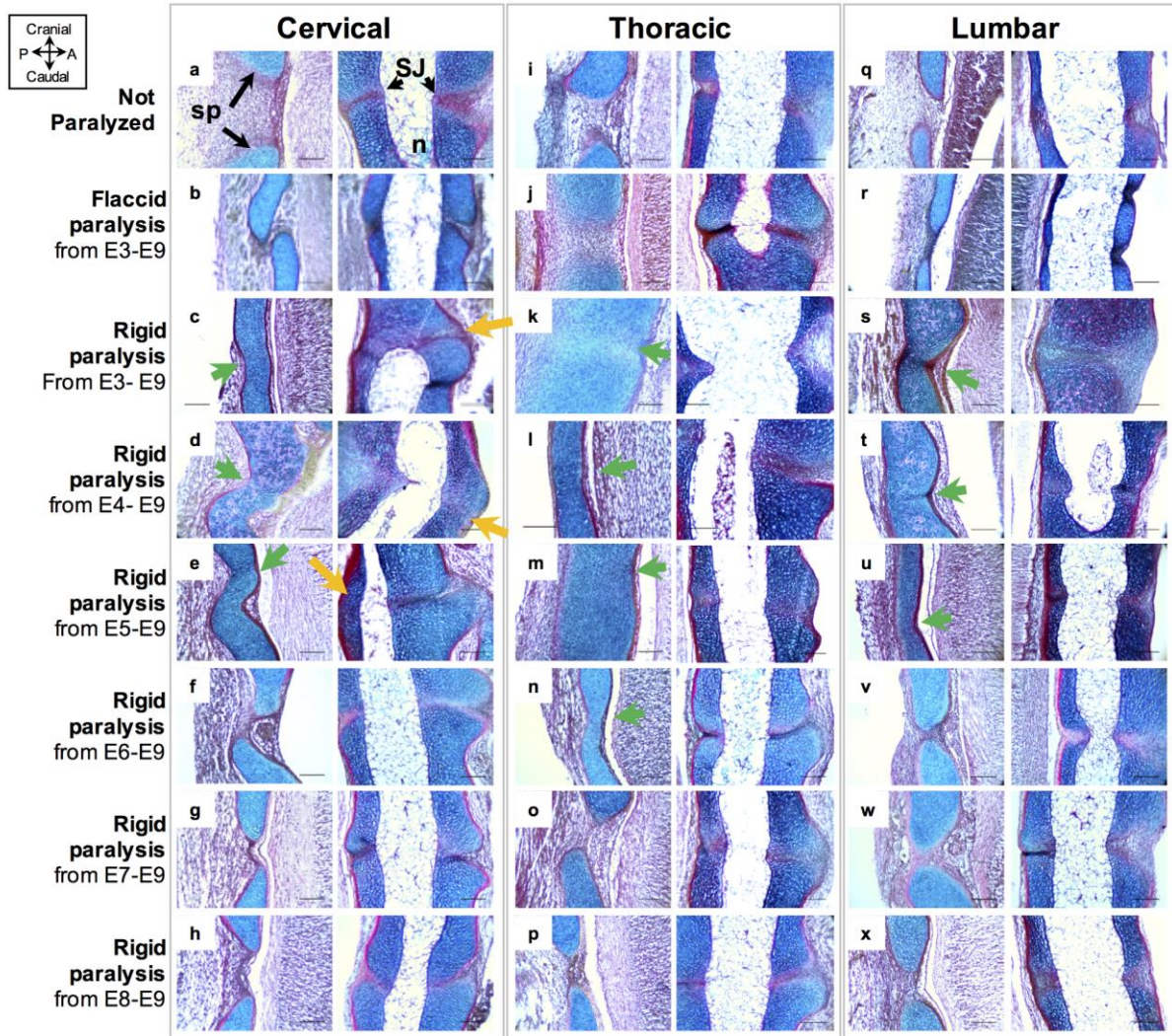
Figure 5: Initiation of rigid paralysis on or prior to E5 induced posterior vertebral cartilaginous fusion and discrete changes in vertebral shape, while paralysis on or after E6 showed normal segmentation but discrete shape changes in the thoracic region. **(A)** Sagittal alcian blue (cartilage) and picro-sirus red (collagen) stained sections of posterior spinous process (i, iii, v, vii, ix, xi, xiii) and anterior symphysis joints (ii, iv, vi, viii, x, xii, xiv) in control (i-ii) and timed rigid paralysis spines in the cervical region. Posterior vertebral fusion of the spinous process (sp) is indicated by the continuous cartilaginous staining (green arrows) as is fusion of the symphysis joints (SJ) (orange arrow). Scale bars 100 μ m. P; posterior, A; anterior. **(B)** Representative sagittal 3D views of cervical spine segment (C10-C14) and ventral, sagittal and axial 3D views of C10 from control and rigid (E4-E9, E5-E9) paralysis groups. **(C)** Representative sagittal 3D views of lumbar spine segment (L4-L7) and ventral, sagittal and axial 3D views of L5 from control and rigid (E4-E9) paralysis groups. **(D)** Representative sagittal 3D views of thoracic spine segment (T4-T7) and ventral, sagittal and axial 3D views of T6 from control, rigid (E7-E9) paralysis. **(B-D)** Yellow lines indicate the significant differences with paralysis compared to controls. Scale bar 1000 μ m.



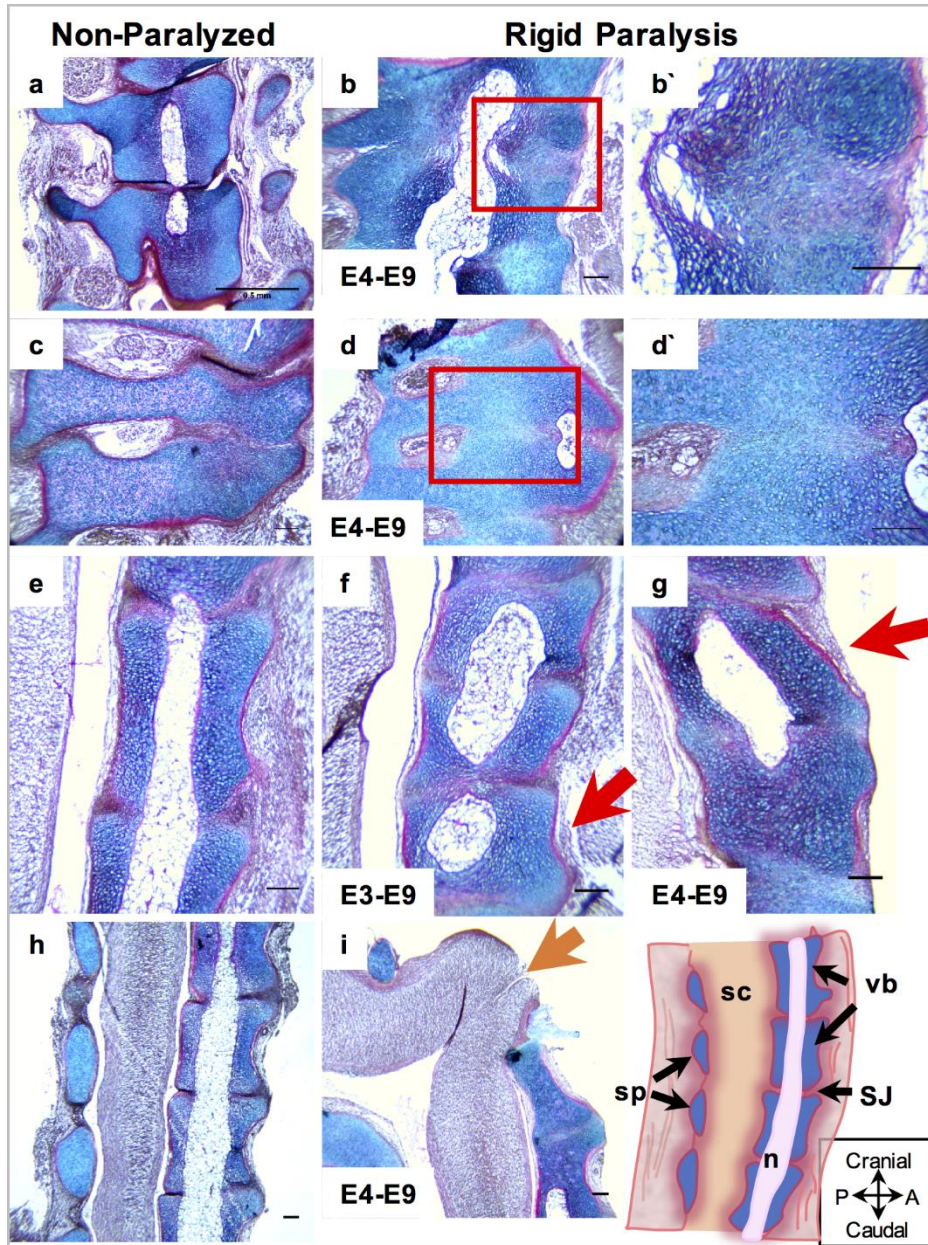
Supplementary Figure 1: Individual curvatures in the sagittal plane of **(B–D)** spines paralyzed on or prior to E5 compared to **(A)** control curvatures aligned to thoracic vertebra 1 (T1). Variation in control curvatures is evident, with more pronounced variation in the cervical and caudal regions depending on the position of the head, and the position of the embryonic pygostyle (plate of bone at the posterior end of the spine). Lordotic (green arrows) and kyphotic (purple stars) curvatures resulted from rigid paralysis, with more severe alterations observed in various sub-regions **(B)** from E3-E9, **(C)** E4-E9 and **(D)** E5-E9 paralyzed spines. Scale bars 2000 μ m



Supplementary Figure 2: Paralysis induced no pronounced changes in curvatures in the coronal plane. Overlays of curvatures in the coronal plane of control spines (n=21), prolonged flaccidly paralyzed spines (n=7), and rigidly paralyzed spines (E3–E9: n=8; E4–E9: n=10; E5–E9: n=9; E6–E9: n=8; E7–E9: n=6; E8–E9: n=5). All spines aligned to thoracic vertebra 1 (T1). Scale bars 2000 μ m.



Supplementary Figure 3: Comprehensive histological analysis of vertebral segmentation in E9 spines following (b, j, r) flaccid paralysis and (c–h, k–p, s–x) rigid paralysis from multiple initiation time-points compared to controls (a, i, q). Sections stained with alcian Blue- cartilage/ picro-sirus red- collagen. P; posterior, A; anterior. Scale bars 100 μ m.



Supplementary Figure 4: Pathological changes in the vertebrae were observed following rigid paralysis commenced on or before E5. Dorsal (a–b) and lateral (c–d) views of paralyzed vertebrae show further evidence of vertebral fusion. (b' and d') are corresponding red boxes shown at higher magnifications. (f–g, red arrows) Distortions in normal sagittal cross-sectional shape of the vertebral bodies (vb) compared to (e) controls. A unique bending and anterior protrusion of the spinal cord (sc) was observed in one rigidly paralyzed specimen (i, orange arrow) compared to control (h) in which the spinal cord is enclosed within the vertebrae. Sp; spinous process, n; notochord, Scale bar in (a) 500µm, (b–i) 100µm.