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# Robust identification of dynamically distinct regions in stratified turbulence

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We present a new robust method for identifying three dynamically distinct regions in a stratified turbulent flow, which we characterise as quiescent flow, intermittent layers, and turbulent patches. The method uses the cumulative filtered distribution function of the local density gradient to identify each region. We apply it to data from direct numerical simulations of homogeneous stratified turbulence, with unity Prandtl number, resolved on up to  $8192 \times 8192 \times 4096$  grid points. In addition to classifying regions consistently with contour plots of potential enstrophy, our method identifies quiescent regions as regions where  $\epsilon/\nu N^2 \sim O(10)$ , layers as regions where  $\epsilon/\nu N^2 \sim O(10)$ , and patches as regions where  $\epsilon/\nu N^2 \sim O(100)$ . Here  $\epsilon$  is the dissipation rate of turbulence kinetic energy,  $\nu$  is the kinematic viscosity, and N is the (overall) buoyancy frequency. By far the highest local dissipation and mixing rates, and the majority of dissipation and mixing, occur in patch regions, even when patch regions occupy only 5% of the flow volume. We conjecture that treating stratified turbulence as an instantaneous assemblage of these different regions in varying proportions may explain some of the apparently highly scattered flow dynamics and statistics previously reported in the literature.

# 1. Introduction

In flows stratified by a mean density gradient, it is well known that turbulence can be sustained if inertial effects overcome the twin stabilising effects of viscosity and stratification. Sufficiently strongly stratified turbulent flows are known to be highly anisotropic with relatively small characteristic velocity and length scales in the vertical direction. Lin & Pao (1979) reported observations of quasi-horizontal 'pancake' eddies, whose characteristic horizontal length scale is much bigger than its vertical scale, i.e.  $L_h \gg L_v$ . Subsequently Lilly (1983) argued on scaling grounds that the time-evolution of such pancakes should ensure that the velocity scale of the energy containing motions remains correlated with  $L_v$  such that  $L_v \gg U/N$ , where N is an appropriately defined buoyancy frequency and U is the r.m.s. velocity. Inspired by this argument, Riley & de Bruyn Kops (2003) presented further scaling arguments that shear instabilities can lead to turbulence provided that the parameter, which we refer to as the 'buoyancy Reynolds number' Reb = Frh<sup>2</sup>Reh is sufficiently large, where the horizontal Froude number and Reynolds

numbers,  $Fr_h$  and  $Re_h$ , may be defined as

$$\operatorname{Fr}_{h} \sim \frac{U_{h}}{L_{h}N}, \quad \operatorname{Re}_{h} \sim \frac{U_{h}L_{h}}{\nu}, \quad \operatorname{Re}_{b} = \operatorname{Fr}_{h}^{2}\operatorname{Re}_{h} = \frac{U_{h}^{3}}{L_{h}\nu N^{2}}, \quad (1.1)$$

with  $U_h$  a horizontal velocity scale and  $\nu$  the kinematic viscosity of the fluid. Due to the generic anisotropy of the flow, with characteristic layers such that  $L_h \gg L_v$ ,  $Fr_h \ll 1$ , and so Re<sub>h</sub> must be extremely large for Re<sub>b</sub> to be large.

More recently, scaling analyses in the distinguished limit of  $Fr_h \rightarrow 0$  and  $Re_h \rightarrow \infty$ such that  $Re_b \gg 1$  have been applied to the governing equations and then tested for consistency with simulated or measured flows (e.g. Billant & Chomaz 2001; Lindborg 2006; Brethouwer *et al.* 2007; Riley & Lindborg 2008). Although this asymptotic regime is sometimes referred to as '(strongly) stratified turbulence' in the fluid dynamical literature, this nomenclature can lead to confusion as 'stratified turbulence' is typically used in a much broader sense in the geophysical literature. Therefore, following Falder *et al.* (2016), we refer here to this specific regime as the 'layered anisotropic stratified turbulence' or LAST regime.

Of course, all actually realised flows are inevitably associated with finite values of  $\text{Re}_{b}$ and so a natural complementary approach is to test the limits of applicability of scaling analyses based on the assumption that  $\text{Re}_{b}$  is asymptotically large by exploring the accessible parameter space of the LAST regime with laboratory experiments and simulations exhibiting the largest feasible  $\text{Re}_{b}$  (e.g. Praud *et al.* 2005; Kimura & Herring 2012; Almalkie & de Bruyn Kops 2012*b*; de Bruyn Kops 2015). It is also of inherent interest to understand the dynamics of turbulence in stratified flow at a range of parameters, in particular to identify in what ways flows not in the formally asymptotic LAST regime differ from or resemble flows actually in the LAST regime. Of course, just such an analogous research effort in unstratified flows has led to the identification of essentially empirical ranges of Reynolds number where the statistics of interest become (at least close to) independent of the Reynolds number. For example, the classical example of the 'mixing transition' as reviewed by Dimotakis (2005) is assumed to occur for appropriately defined Reynolds numbers of  $O(10^4)$  and higher.

Indeed, as numerical and experimental advances allow the consideration of flows with ever larger  $\text{Re}_{h}$  and ever smaller  $\text{Fr}_{h}$ , an open and crucially important issue is the characterisation of stratified turbulent flow at a particular finite value of  $\text{Re}_{b}$ . The significance of this issue can be understood by considering figure 1, which follows from figure 18 of Brethouwer *et al.* (2007). In the figure,  $\text{Re}_{t}$  and  $\text{Fr}_{t}$  are the turbulent Reynolds and Froude numbers with the generic horizontal length scale  $L_{h}$  of (1.1) equated to the turbulence length scale

$$L_t = A {u'_h}^3 / \epsilon \tag{1.2}$$

and the generic  $U_h$  defined as the r.m.s. horizontal velocity,  $u'_h$  so that

$$\operatorname{Fr}_{t} \equiv \frac{u_{h}'}{L_{t}N} \text{ and } \operatorname{Re}_{t} \equiv \frac{u_{h}'L_{t}}{\nu}.$$
 (1.3)

In the definition of  $L_t$ , A is a constant of order unity and  $\epsilon$  is the dissipation rate of turbulent kinetic energy. The threshold for the LAST regime, as defined by Brethouwer *et al.* (2007) assuming A = 1, is  $\operatorname{Fr}_t \approx 0.02$  as indicated by a dashed line on the figure. The grey band indicates the uncertainty in this threshold taking into account that A in isotropic homogeneous turbulence ranges between 0.4 and 1.81 (Sreenivasan 1998), and that A is observed to be as low as 0.3 in stratified turbulence (Maffioli & Davidson 2016). The diagonal line is an estimate for the threshold above which turbulence is significantly

Region identification in stratified turbulence

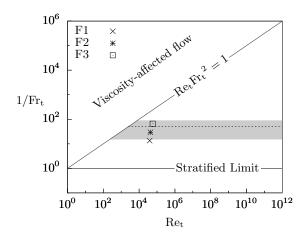


FIGURE 1. Regime diagram in terms of Re<sub>t</sub> and Fr<sub>t</sub> following Brethouwer *et al.* (2007). The grey band represents the range of estimates for the lowest value of  $1/\text{Fr}_t$  in the LAST regime based on the range for A reported in the literature. The dashed line indicates the limit of the LAST regime assuming A = 1. The three symbols mark the parameter values for the simulations discussed in detail in this paper.

affected by viscosity. Turbulence is associated with sufficiently large  $Re_t$ , i.e. sufficiently far to the right on this regime diagram. Furthermore, if  $Fr_t$  is sufficiently large, it is to be expected that the effect of stratification becomes insignificant.

As described in more detail below, in this paper we consider three simulations, which we denote F1, F2, and F3. Their parameters are listed in table 1, and we mark their location on the regime diagram. We believe that the value of  $Re_t$  chosen for these simulations is sufficiently large for turbulent flow, and we vary  $Fr_t$  so that these simulations straddle the transition from the 'weakly' stratified turbulence regime (using the nomenclature of Brethouwer *et al.* (2007)) to the 'strongly' stratified or LAST regime.

When the inertial scaling assumption inherent in (1.2) is applied to (1.1) with A = 1then  $\text{Re}_{\text{b}} = \epsilon/\nu N^2$ . We wish to draw a distinction between the formal scaling  $\text{Re}_{\text{b}} \gg 1$ required for the asymptotic formulation of the governing equations to describe dynamics in the LAST regime and this inertial scaling and so we distinguish between  $\text{Re}_{\text{b}}$  as defined in (1.1) and the parameter

$$Gn \equiv \epsilon / \nu N^2. \tag{1.4}$$

We use the symbol Gn for this parameter (sometimes called the activity parameter) in recognition of Gibson's seminal work with this quantity and of Gargett's association of it with the dynamic range available for fully three-dimensional turbulence (Gibson 1980; Gargett *et al.* 1984). Gn is widely used to characterise ocean flows within a wide range of stratification strengths whereas the scaling arguments underlying the definition of Re<sub>b</sub> apply to the LAST regime alone. It is important to appreciate that Gn is an appropriate nondimensional parameter for any turbulent flow in a stratified fluid, yet is not necessarily equivalent to Re<sub>b</sub> unless A = 1 and  $L_h$ , defined rationally for the flow under consideration, is equal to  $L_t$ .

The parameter range  $Gn \in [1, 100]$  is observed to occur widely in the world's oceans (Gargett *et al.* 1984; Jackson & Rehmann 2014; Salehipour *et al.* 2016), and so it is of geophysical interest to investigate this intermediate range further. This intermediate range is also of particular interest as different dynamical regimes have been identified with different ranges of Gn. Specifically, turbulence is expected to be suppressed leading to

largely quiescent (though not exactly laminar) flow when  $\text{Gn} \sim O(1)$  (Shih *et al.* 2005). In the flows considered by Bartello & Tobias (2013), they found that the turbulence dynamics is very sensitive to Gn unless Gn is larger than order O(10), which is consistent with some of the earliest scaling analyses on the subject (Gargett *et al.* 1984; Gibson 1986; Rohr *et al.* 1988; Itsweire *et al.* 1993). Such sensitivity is also observed in the results reported in both Hebert & de Bruyn Kops (2006*a*) and Hebert & de Bruyn Kops (2006*b*). More recently, de Bruyn Kops (2015) found that the dynamics of stratified flows are different at Gn = 48 and Gn = 220, which suggests another threshold at Gn ~ O(100), consistent with the findings of Shih *et al.* (2005) and Salehipour & Peltier (2015).

Although it is clearly not possible to characterise all turbulent stratified flows, particularly in the presence of shear, in terms of a single parameter, as discussed recently by, for example (Maffioli *et al.* 2016; Salehipour *et al.* 2016), there are subtleties concerned with spatio-temporal averaging of flow characteristics. Specifically, it is inevitable that the turbulent dissipation rate will vary in space and time, and sufficiently strongly stratified turbulent flow appears to be generically spatio-temporally intermittent. This observation raises a significant implicit issue with the definition of Gn (1.4) which must be appreciated. Even in a flow where it is possible to define a single (time-independent) buoyancy frequency N, any calculation of Gn will thus require the determination of some appropriate domain over which  $\epsilon$  is averaged. Typically, the studies just cited considered globally averaged measures of stratification strengths (i.e. N) and turbulence characteristics (i.e.  $\epsilon$ ) to describe a flow. Here, motivated by the clear spatio-temporal variability of stratified turbulence flow, we investigate whether it is possible to subdivide such a flow in a rational, quantitative and robust fashion into different regions with qualitatively different turbulence and mixing properties.

Therefore, we wish to test two inter-related hypotheses. Our first hypothesis is that it is possible to use the density field to categorise a general flow into three characteristic types of region: 'quiescent regions' where an appropriately locally averaged Gn  $\sim O(1)$ , 'intermittent layers' where Gn ~ O(10) and 'turbulent patches' where Gn  $\gtrsim O(100)$ . For widest possible applicability, it is important that this sorting is useful for both 'strongly' and 'weakly' stratified turbulent flows, i.e. for general turbulent flows whether or not they may be considered to be in the asymptotic LAST regime. Our second hypothesis is that each of these three regions is associated with qualitatively different, yet largely generic local flow dynamics. If these hypotheses are validated, we will then be in a position to conjecture that at least some of the observed and previously reported sensitivity of the properties of 'weakly' or 'strongly' stratified turbulence to reported values of Gn is due to the fact that the flows are an instantaneous assemblage of such different flow subregions with different dynamical properties in (typically) time-varying proportions. Our conjecture, if true, would imply that the overall dynamics of any particular flow can be more clearly understood by quantifying the proportion of the flow domain at any particular instant which is occupied by regions of these three broad types characterised by their values of Gn, determined essentially by averaging **locally** the dissipation rate over the individual subregion, rather than **globally** over the whole flow domain.

Clearly, central to the hypotheses is the requirement for robust identification of such individual subregions. Here, we present just such an identification method based on the space-filtered probability density of the vertical derivative of the fluid density. In this paper, we exclusively demonstrate this method by consideration of data from numerical simulation. Nevertheless, it is important to stress that a particular attraction of this method, unlike ones based on enstrophy for example (see Watanabe *et al.* (2016)) is that it could in principle be applied to experimental data if the instantaneous density field at some resolution is captured on a horizontal-vertical plane using laser-induced fluorescence (LIF).

To test our hypotheses the rest of this paper is organised as follows. In §2, we briefly describe three simulations with different characteristic horizontal Froude numbers, and thus different relative strength of overall stratification. We also present contour plots that suggest that the different flows are indeed assemblages of qualitatively different subregions in varying proportions. In §3, we present our method based on the cumulative filtered distribution of the local density gradient. We apply this method to our simulation data in §4, finding that both our hypotheses appear to be consistent with our data. Finally, we present our conclusions in §5.

# 2. Direct Numerical Simulations

We consider statistically stationary direct numerical solutions of the Navier-Stokes equations subject to the non-hydrostatic Boussinesq assumption and with unity Prandtl number. We impose periodic boundary conditions in all directions. The flow has no mean shear, but a uniform background ambient stratification is maintained so that the flow statistics are homogeneous and independent of (horizontal) direction. We maintain quasistationarity by forcing the largest scales of the horizontal velocities to have a prescribed spectrum using the method denoted Rf in Rao & de Bruyn Kops (2011). Local shear is induced by random low-energy perturbations to the horizontal velocity components at small vertical wave numbers. The prescribed spectrum for the forcing is obtained by repeating the simulations of Lindborg (2006) using his forcing method and so the current flows are very similar in structure to those in that paper.

As already noted, parameters for the three simulations, which we denote F1, F2, and F3, are listed in table 1. Since the largest scales of the horizontal velocities are forced to the same target spectrum for all simulations, and the viscosities are the same, the key difference between the simulations is the magnitude of the ambient density gradient, which allows us to investigate the transition from the weakly stratified to the LAST regime, as shown in figure 1. (See Almalkie & de Bruyn Kops (2012b) and de Bruyn Kops (2015) for more detailed discussion of these simulations.) We define the buoyancy  $(L_b)$ , Ozmidov  $(L_o)$ , Taylor  $(\lambda)$ , and Kolmogorov  $(L_k)$  length scales in the usual way in terms of the r.m.s. velocity u', dissipation rate of turbulence kinetic energy  $\epsilon$ , and the buoyancy frequency,  $N \equiv \sqrt{-g/\rho_0} d\bar{\rho}/dx_3$ , each of which is averaged over the entire domain. In particular, in these flows it is natural to use such a global buoyancy frequency in the calculation of Gn, although the dissipation rate typically has large spatial variation. Here g is the gravitational acceleration antiparallel to the  $x_3$  coordinate, and the total density  $\rho_t$  is the sum of reference, ambient and fluctuating components, i.e.,  $\rho_t = \rho_0 + \rho_0$  $\bar{\rho}(x_3) + \rho(\mathbf{x},t)$ . The fluctuating density  $\rho$  satisfies periodic boundary conditions. The factor of  $2\pi$  is retained in the definition of  $L_b$ .

The direct numerical simulations are computed using a Fourier pseudo-spectral method. A fractional step method with the Adams-Bashforth scheme is used for time integration. The nonlinear term in the momentum equation is computed in rotational form, and the corresponding term in the internal energy equation is computed in convective and conservation forms on alternating time steps to approximate the skew-symmetric form and ensure energy conservation. The simulations are fully dealiased by truncating the Fourier series. The domain is collocated on  $8192 \times 8192 \times N_z$  uniformly spaced grid points, where  $N_z$  is the number of grid points in the vertical dimension as listed in table 1.

As discussed in the introduction, we are interested in the identification of regions of dynamically distinct turbulence. It has been proposed by Watanabe et al. (2016) that

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	${\rm Re_t}\times 10^{-4}$	$Fr_t \times 10^2$	$\operatorname{Gn}$	$L_o/\lambda$	$L_b/\lambda$	$\lambda/L_k$	$L_k/\Delta$	$N_x = N_y$	$N_z$
F1	3.91	7.43	218	1.3	22	42	1.4	8192	4096
F2	4.30	3.40	49.8	0.44	12	43	1.4	8192	2048
F3	5.73	1.52	13.4	0.16	6.1	44	1.4	8192	1024

TABLE 1. Simulation parameters for the cases considered in this paper. The uniform grid resolution is denoted by  $\Delta$ . Note that the Froude number and buoyancy length include a factor of  $2\pi$  retained in the conversion of buoyancy frequency to buoyancy period where all quantities have been defined in §2. See table 1 in Almalkie & de Bruyn Kops (2012b) for more details.

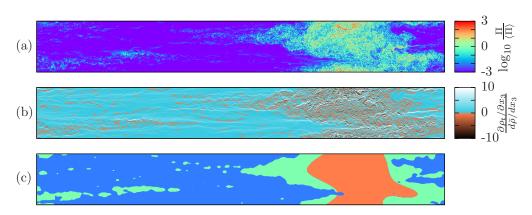


FIGURE 2. A vertical slice through case F3 illustrating (a) the logarithm of potential enstrophy normalised by its domain average (b)  $\partial \rho_t / \partial x_3 / d\bar{\rho} / dx_3$  and (c) the results of the flow classification as implemented in §3. The colouring in (b) highlights the convectively unstable fluid elements in red. The colouring in (c) corresponds to the region classification where red indicates a turbulent patch region, green an intermittent layer region and blue a quiescent flow region.

potential enstrophy  $\Pi \equiv (\boldsymbol{\omega} \cdot \nabla \rho_t)^2$ , where  $\boldsymbol{\omega}$  is vorticity, is effective in distinguishing turbulence from internal waves. A vertical plane though the  $\Pi$  field in the most strongly stratified simulation F3 is shown in figure 2a. Qualitatively, layered structures can be seen toward the left of the image, whereas a patch of turbulence dominates the flow to the right. As we discuss in more detail below, only a small fraction (less than 5%) of the volume of this flow is occupied by such patches, but this plane has been carefully chosen to show the full variety of regions which arise and can be identified by our method in a stratified turbulent flow. Figure 2b illustrates  $(\partial \rho_t / \partial x_3)/(d\bar{\rho}/dx_3)$  for the same plane, with a colour map chosen to emphasise the sign of  $(\partial \rho_t / \partial x_3)/(d\bar{\rho}/dx_3)$ . The visual similarity between figure 2a and 2b is one of the two motivations of our identification method, the other being the practical issue that planar data on the instantaneous density field, and in particular point-wise vertical gradients of the density field, are more accessible in the laboratory than all the components of velocity gradient tensor necessary to determine enstrophy.

## 3. Methodology

Our proposed method for identifying dynamically different regions in stratified turbulence generalises the method discussed by Hedley & Keffer (1974) for making what they refer to as 'turbulent/non-turbulent decisions'. This three-stage method involves first choosing a diagnostic field variable,  $\phi(\mathbf{x})$ , then computing a detector function  $Q(\phi, \mathbf{x})$  to identify values of the diagnostic field variable using a characteristic filter size  $\ell$  to remove the effects of internal intermittency, and finally discriminating between turbulent and non-turbulent regions by identifying as turbulent regions where  $Q \ge Q^*$  for some threshold value  $Q^*$ . These three stages have been used effectively to distinguish between two flow regions with different characteristics (e.g. Kuo & Corrsin 1971; Antonia 1981; Nolan & Zaki 2013). However, we hypothesise that stratified turbulent flow can be subdivided into three dynamically different flow regions, and so it is necessary to use two different filter widths, as we detail below.

Watanabe *et al.* (2016) report that both enstrophy and potential enstrophy are needed to identify the turbulent/non-turbulent interface in stratified wakes. However, since our purpose here is not to determine precisely the edge of a turbulent region but rather to compute a robust (and substantially less computationally demanding) detector function of a diagnostic variable indicative of sufficiently spatially extended and connected turbulent regions, we choose the diagnostic field variable to be defined as  $\phi = \partial \rho_t(\mathbf{x})/\partial x_3$ . It is at least plausible that active turbulence will lead to a high probability of inverted density gradients and so we believe that  $\phi > 0$  should be a good indicator of turbulence.

Not least due to the inherent range of scales associated with turbulent motions, the inherent nonlocality of turbulence requires that any suitable identification method to distinguish turbulent patches, intermittent layers and quiescent flow regions must depend on an appropriate filter function  $G(\mathbf{x}; \ell)$ . For the results shown here, G is the Gaussian function with variance  $\ell$ , but a spherical filter with diameter  $\ell$  yields comparable results. Furthermore, since we wish to be able to distinguish between two different types of turbulent regions, corresponding to anisotropic intermittent layers (in the sense that the horizontal extent of such layers is typically much larger than the vertical extent) and more three-dimensional turbulent patches, we choose two different filter widths,  $\ell_1$  and  $\ell_2$ , in an attempt to capture the different geometry of the two types of regions. This leads to the filtered density functions  $q_i(\mathbf{x}, \phi')$  and the associated cumulative filtered density functions (c.f.d.f.)  $Q_i(\mathbf{x})$  defined as

$$q_i(\mathbf{x}, \phi') = \int_{-\infty}^{\infty} \delta\left[\phi' - \phi(\mathbf{x} - \mathbf{r})\right] G(\mathbf{r}; \ell_i) d\mathbf{r} , \ Q_i(\mathbf{x}) = \int_{\Phi_i}^{\infty} q_i(\mathbf{x}, \phi') d\phi' .$$
(3.1)

where  $\delta$  is the Dirac  $\delta$ -function, **r** is a dummy variable for the convolution,  $\ell_i$  are the filtering scales,  $\Phi_i$  is an appropriate upper limit and i = 1, 2. Since the diagnostic field variable  $\phi$  is the vertical derivative of the total density, we set  $\Phi_1 = \Phi_2 = 0$  so that the  $Q_i$  give the fraction of locations within the filter region at which the density gradient is unstable.

To identify the critical lower threshold for the existence of turbulence (in either layer or patch regions) we set the small filter length equal to the Taylor length scale,  $\ell_1 = \lambda$ , based on the observation that there appears to be power-law scaling of the internal intermittency at this length scale even at the relatively modest Reynolds numbers accessible in simulations (Almalkie & de Bruyn Kops 2012*a*; de Bruyn Kops 2015). Kuo & Corrsin (1971) suggest a filter width of  $10L_k$ , which corresponds to approximately  $\lambda/4$  for our data, and our conclusions do not change qualitatively if this (smaller) length scale is used for the filter length  $\ell_1$ . To identify 'patch' regions of overturning with vertical extent greater than that typical of intermittent layers, we choose the filter length  $\ell_2 = L_b$ , the buoyancy length scale, as this scale is thought to characterise the thickness of layers in the 'strongly stratified' or LAST regime (Billant & Chomaz 2001; Brethouwer *et al.* 2007).

The final stage in the identification method is the determination of appropriate threshold values  $Q_1^*$  and  $Q_2^*$  for the two detector functions. We denote  $I_1$  and  $I_2$  as the sets of

all points in the domain where  $Q_1 \ge Q_1^*$  and  $Q_2 \ge Q_2^*$ , respectively. Since the buoyancy length exceeds the Taylor length,  $L_b > \lambda$ , for all cases,  $I_2$  is the set of regions with density inversions down to a relatively large vertical length scale, whereas  $I_1$  is the set of regions with density inversions down to a relatively small vertical scale. It is thus natural to identify energetic turbulent patches as the set of points  $I_P$  contained in **both**  $I_1$  and  $I_2$ , while intermittent layers can be identified as the set of points  $I_L$  which are in  $I_1$  but are not in  $I_2$ . Quiescent regions can then be defined as all the remaining points, i.e. the set of points  $I_Q$  which are in neither  $I_1$  nor in  $I_2$ , and so:

$$I_1 \equiv \{ \mathbf{x} \, | \, Q_1 \ge Q_1^* \}, \, I_2 \equiv \{ \mathbf{x} \, | \, Q_2 \ge Q_2^* \}, \tag{3.2a}$$

$$I_P \equiv I_1 \cap I_2, \ I_L \equiv I_1 \cap I_2^C, \ I_Q \equiv I_1^C = (I_P \cup I_L)^C.$$
 (3.2b)

where  $(\cdot)^{C}$  indicates a complement. Within the framework of strongly and weakly stratified turbulence (e.g. Brethouwer *et al.* 2007),  $Q_{1}^{*}$  and  $Q_{2}^{*}$  distinguish weakly stratified regions from strongly stratified layers and  $Q_{1}^{*}$  further distinguishes the quiescent (though not necessarily completely laminar) regions within the layers, which are characteristic of strongly stratified turbulence in the LAST regime, c.f., (Hebert & de Bruyn Kops 2006*a*, figure 5), (Brethouwer *et al.* 2007, figure 8), (Bartello & Tobias 2013, figure 19).

# 4. Results

The method discussed in §3 allows for different flow regions to be identified given threshold values  $Q_1^*$  and  $Q_2^*$ . We analyse the simulation data to identify (if possible) values for  $Q_1^*$  and  $Q_2^*$  for which the regions identified as patches, layers, and quiescent regions are at most weakly sensitive to those threshold values. In figures 3a and 3b respectively, we plot the fractions  $V(I_1)$  and  $V(I_2)$  of the total flow volume identified to be in the sets  $I_1$  and  $I_2$  for the three different simulations under consideration as a function of the threshold values  $Q_1^*$  and  $Q_2^*$ . Appropriate, relatively robust values of these thresholds can be determined by considering the blue lines, which represent the data for the least strongly stratified simulation, F1, which is, unsurprisingly in the 'weakly stratified' regime in figure 1. In simulation F1, virtually all of the filtered volumes include significant overturning. This simulation is used to define turbulent patch regions with  $V(I_1) \simeq 1$  and  $V(I_2) \simeq 1$  so that the patch region nearly fills the volume. Based on figures 3a,b, we set  $Q_1^*$  and  $Q_2^*$  to the largest possible values which maintain  $V(I_1) \simeq 1$ and  $V(I_2) \simeq 1$ . Larger values of  $Q_1^*$  and  $Q_2^*$  are preferred since this results in a detection method that is more sensitive to changes in flow behaviour. Therefore, we choose these values (marked with vertical lines on the figures) as appropriate thresholds to identify turbulent regions (either layers or patches) in the other two flows, which clearly also contain quiescent regions. Generally, the thresholds may be chosen at the edge of a region insensitive to  $Q_1^*$  and  $Q_2^*$ , and not necessarily where  $V(I_1)$  and  $V(I_2)$  achieve approximate unity.

Using these chosen values, it is now possible to identify the three different regions, which we hypothesise have different dynamical properties, using (3.2). As an example for the most strongly stratified case F3, such an identification on a particular plane is shown in figure 2c. It is important to remember that our proposed identification method is not intended to classify the turbulence properties of every single point in the flow independently, but rather to characterise somewhat more extended regions of the flow in terms of the proportion of the region which has inverted density gradients over physically motivated length scales. Nevertheless, as is apparent on the figure, our method appears

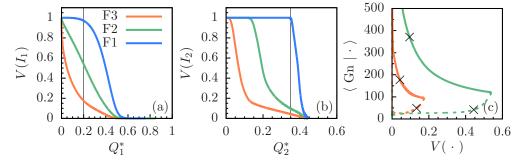


FIGURE 3. (a)  $V(I_1)$  as a function of threshold  $Q_1^*$  and (b)  $V(I_2)$  in set  $I_2$  as a function of threshold  $Q_2^*$ . The thin vertical lines show the chosen values  $Q_1^* = 0.2$  and  $Q_2^* = 0.35$  used in our analysis. (c) Variation of Gn as a function of the volume identified with patch regions (solid lines) and layer regions (dashed lines) as the parameter  $Q_2^*$  is varied. The symbols correspond with the volumes in table 2.

to be able to identify the layered structures extending to either side of the patch, as well as the intrusion of a quiescent region into the lower left edge of the patch.

A further test of the sensitivity of our results to the threshold value  $Q_2^*$  is the variation of the conditionally averaged Gn in each type of region as a function of the volume identified with regions of that type. We define the conditional Gn for regions  $I = I_P, I_L, I_Q$  as  $\langle \text{Gn} | I \rangle \equiv \langle \epsilon_0 | I \rangle / \nu \langle N_0^2 | I \rangle$ , where the notation  $\langle \cdot | I \rangle$  denotes a conditional average over the set indicated by I. Here  $\epsilon_0$  is the local dissipation rate of kinetic energy and  $N_0 \equiv \sqrt{-g/\rho_0} \ \partial \rho_t(\mathbf{x})/\partial x_3$ . The conditional Gn over the points identified with each region are plotted in figure 3c for the full range of possible values of  $Q_2^*$ . We do not show curves for case F1 because this case is used to determine the appropriate threshold values, and we wish to test the robustness of this determination.

To aid in interpreting figure 3c, note that for case F2 with  $Q_1^* = 0.2$ , about 55% of the volume is identified as turbulent and the average Gn for the turbulent volume is about 120. The right end of the solid green curve in figure 3c corresponds to  $Q_2^*$  being chosen so as to define all the turbulence as patches. The right end of the dashed green line corresponds to a different value of  $Q_2^*$  such that all the turbulence is identified as layers. Neither of these values of  $Q_2^*$ , though, results in classifications consistent with contour plots for F2 similar to figure 2a. For almost any intermediate value of  $Q_2^*$  chosen, Gn ~ O(10) in the layers and ~ O(100) in the patches. In fact the long plateau in the dashed green line indicates that the statement Gn ~ O(10) for layers is very insensitive to the volume fraction identified as layers based on the choice of  $Q_2^*$ . For all the cases, Gn ~ O(100) is completely insensitive to  $Q_2^*$ . The numerical values for the conditionallyaveraged values of Gn in the various regions and simulations when the thresholds are set to  $Q_1^* = 0.2$  and  $Q_2^* = 0.35$  are given in table 2.

It is apparent that the first hypothesis set forth in the Introduction is validated, i.e. three elemental regions can be identified using the density field, which are characterised by distinct values of Gn. It is also clear that this identification is largely robust with respect to the choice of threshold values, and also to the particular turbulent regime of the flow under consideration.

We now turn our attention to the second hypothesis, i.e. that patches, layers, and quiescent regions are associated with qualitatively different, yet largely generic local flow dynamics. As an example test of this hypothesis, we apply our method to interpret the dissipation and irreversible mixing characteristics of the flows. de Bruyn Kops (2015) showed that the shapes of the p.d.f.s of the local dissipation rate of turbulence kinetic

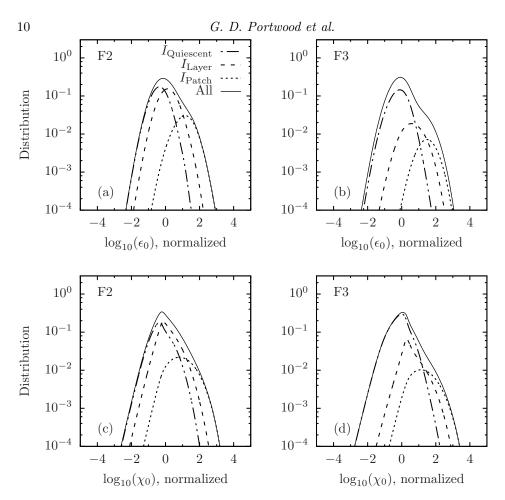


FIGURE 4. P.d.f.s of the logarithms of the local kinetic energy dissipation rate  $\epsilon_0$  and scalar mixing rate  $\chi_0$  normalised by their means and variances. The solid curve in each panel is a p.d.f. The remaining curves sum to the solid curve but are not p.d.f.s themselves.

energy,  $\epsilon_0$ , and the local rate at which available potential energy is lost due to molecular mixing,  $\chi_0$ , depend strongly on the value of the domain-averaged Gn. In particular, for flows with sufficiently large values of Gn, e.g. as in case F1, the p.d.f.s are very similar to those for isotropic homogeneous turbulence with a mean (passive) scalar gradient.

In figure 4, these p.d.f.s are plotted along with the separated contributions from the patch, layer, and quiescent regions. We see that virtually all of the high dissipation rates and high mixing rates occur in patch regions, with, in particular, the evident 'shoulder' in the p.d.f. of dissipation at high values being associated with the patch regions. Indeed, in absolute terms, the majority of the dissipation and mixing occurs in patch regions, as tabulated in table 2, even for the flow case F3, where less than 5% of the flow volume is identified as being in turbulent patch regions. (As already noted, the plane chosen for figure 2 was carefully chosen to show all three kinds of regions: patches; layers; and quiescent regions.)

Fundamentally, the identification method proposed here allows us to identify regions where dissipation and mixing have qualitatively different character, thus confirming our second hypothesis. Further dynamical differences between cases F1, F2, and F3, such as markedly differing transfer rate spectra and differing relative importance of vertical

	Patch	F1 Layer	Quiesc.	Patch	F2 Layer	Quiesc.	Patch	F3 Layer	Quiesc.
Gn	240	56	9.0	371	42	5.0	177	49	2.5
$rac{\epsilon}{\chi}$	$0.995 \\ 0.993$	$0.002 \\ 0.001$	$\begin{array}{c} 0.003 \\ 0.006 \end{array}$	$0.690 \\ 0.739$	$0.249 \\ 0.202$	$0.0610 \\ 0.0598$	$\begin{array}{c} 0.560 \\ 0.663 \end{array}$	$\begin{array}{c} 0.273 \\ 0.213 \end{array}$	$0.167 \\ 0.124$
Vol. $\%$	96.3	0.72	2.98	9.53	44.4	46.1	4.28	13.3	82.5

TABLE 2. Local Gn averaged for the points in each region, the local kinetic and available potential energy dissipation rates averaged for the points in each region as ratios of their totals in the domain, and the volume percentage associated with each region.

shear to the dissipation rate of kinetic energy, are reported in Almalkie & de Bruyn Kops (2012b) and de Bruyn Kops (2015).

These simulations are similar in some respects to those of Lindborg (2006), although we have used a different forcing protocol to speed convergence. Lindborg prescribed the average dissipation rate, irrespective of the flow's organisation into 'patches', 'layers' or 'quiescent regions'. This is consistent with the concept that the small scales of turbulence adjust to the energy being fed from the larger scales. In the current simulations, the average dissipation rate over the entire flow domain is not prescribed, but it is comparable for all three cases. Nevertheless, the transfer rate spectra are very different between the cases (Almalkie & de Bruyn Kops 2012b), which is evidence that the flows dynamically adjust to dissipate the energy provided by the (forced) large scales. This is clear evidence that the flows adjust to satisfy the dissipation rate constraint through modifying the relative proportions of the flow which are active and closer to isotropic at least geometrically (i.e. patches), anisotropic (with high aspect ratio) and intermittent (i.e. layers) and quiescent, consistently with the externally imposed stratification.

## 5. Conclusions

In summary, we have defined a method for identifying distinct dynamical regions in a stratified flow that is robust to the choice of threshold values. By applying it to simulation data, it is observed that by far the majority of dissipation and mixing occurs in regions identified as patches of turbulence, even when these regions comprise a very small fraction of the total volume. Interestingly, the patches in simulation F3 appear to be very similar dynamically to the patches in F1, in terms of their dissipation and mixing properties. The central stabilising effect of stratification, associated with F3 being in the strongly stratified or LAST regime and F1 being in the weakly stratified regime as classified by Brethouwer *et al.* (2007) does not appear to be that turbulence **when it occurs** is always less intense. Rather, 'strong' stratification appears to reduce the proportion of the flow that is in intensely turbulent patches, as the flow adjusts to have significant proportions of the flow domain identifiable as intermittent (and more weakly turbulent, at least as quantified by the appropriate local value of Gn) geometrically anisotropic layers, or indeed essentially quiescent regions.

We also believe that our proposed method is well-suited to the identification of intensely mixing turbulent patches from laboratory density measurements using LIF at sufficiently high spatial and temporal resolution, particularly since it is not necessary to resolve the very smallest-scale of structures in the density field, but rather just identify regions of local inversion. This identification appears to be independent of where the flow's

parameters are located in the regime diagram (following Brethouwer *et al.* (2007)) figure 1, in particular the identification appears to be robust (for sufficiently high  $\text{Re}_{h}$ ) across the weakly stratified and LAST regimes. Furthermore, our result that the turbulence in (identifiable) patches is largely similar for the three simulations considered appears to explain the p.d.f.s of mixing and dissipation rates in the literature.

Finally, we propose that this methodology could be applied to analyse in detail the characteristics of turbulence and mixing objectively in highly spatio-temporally intermittent stratified flows with a wide range of flow parameters, including for example flows with large-scale shear, as our method is inherently local in its analysis of the density field's structure. Specifically, it could be used to understand other published results which appear to be very sensitive to small changes in flow configuration or bulk properties. We conjecture that such sensitivity is due to variations in the relative proportions of the different types of regions, with for example a slight reduction in the proportion of the volume which can be classified as a turbulent patch region leading to a marked reduction in the total amount of dissipation and mixing occurring within the flow.

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