Validation of thigh-based accelerometer estimates of postural allocation in 5-12 year-olds

1 Abstract

2 Objectives: To validate activPAL3TM (AP3) for classifying postural allocation, estimating time spent
3 in postures and examining the number of breaks in sedentary behaviour (SB) in 5-12 year-olds.

4 Design: Laboratory-based validation study.

Methods: Fifty-seven children completed 15 sedentary, light- and moderate-to-vigorous intensity
activities. Direct observation (DO) was used as the criterion measure. The accuracy of AP3 was
examined using a confusion matrix, equivalence testing, Bland-Altman procedures and a paired t-test
for 5-8y and 9-12y.

9 Results: Sensitivity of AP3 was 86.8%, 82.5% and 85.3% for sitting/lying, standing, and stepping, respectively, in 5-8y and 95.3%, 81.5% and 85.1%, respectively, in 9-12y. Time estimates of AP3 10 11 were equivalent to DO for sitting/lying in 9-12y and stepping in all ages, but not for sitting/lying in 5-12y and standing in all ages. Underestimation of sitting/lying time was smaller in 9-12y (1.4%, limits 12 of agreement [LoA]: -13.8-11.1%) compared to 5-8y (12.6%, LoA: -39.8-14.7%). Underestimation 13 for stepping time was small (5-8y: 6.5%, LoA: -18.3-5.3%; 9-12y: 7.6%, LoA: -16.8-1.6%). 14 15 Considerable overestimation was found for standing (5-8y: 36.8%, LoA: -16.3-89.8%; 9-12y: 19.3%, 16 LoA: -1.6-36.9%). SB breaks were significantly overestimated (5-8y: 53.2%, 9-12y: 28.3%, p<0.001).

Conclusions: AP3 showed acceptable accuracy for classifying postures, however estimates of time spent standing were consistently overestimated and individual error was considerable. Estimates of sitting/lying were more accurate for 9-12y. Stepping time was accurately estimated for all ages. SB breaks were significantly overestimated, although the absolute difference was larger in 5-8y. Surveillance applications of AP3 would be acceptable, however, individual level applications might be less accurate.

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24 Keywords

25 Sedentary behaviour, physical activity, child, accelerometry, activpal, breaks

26 Introduction

High levels of sedentary behaviours (SB) and prolonged bouts of SB are negatively associated with health outcomes in adults,^{1.2} independent of the amount of time engaged in moderate-to-vigorous intensity physical activity (MVPA).³ Frequent interruptions in sedentary time could reduce this risk.^{4,5} Although some studies among children and adolescents⁶⁻⁸ suggest that the total volume or pattern of SB is associated with adverse health outcomes, overall, the evidence among young age groups is inconsistent.⁹⁻¹¹ The accurate measurement of SB in observational and experimental research in children is essential to better understand the potential influence of SB on health outcomes.

34 Assessing subtle differences between SB and light-intensity physical activity (LPA) using 35 traditional hip-mounted accelerometers and cut-point methodologies seems to be difficult, because these methods categorise SB based on the lack of movement,¹² and some LPAs such as standing tend 36 to be misclassified as SB.^{13,14} Activity monitors or data reduction approaches that are sensitive to 37 38 changes in posture offer potential for improved measurement of SB and LPA. An example is the activPAL3TM (AP3; PAL Technology Ltd., Glasgow, Scotland), an activity monitor worn on the thigh 39 40 that uses triaxial acceleration data (20Hz) to assess the position and movement of the limb. The AP3 software uses proprietary algorithms to classify periods spent sitting/lying, standing or stepping. 41 Before being used in observational and experimental studies in children, it is important to determine if 42 43 the device accurately detects postures and precisely estimates time spent sedentary and non-sedentary. Furthermore, it is important to evaluate the device's accuracy to detect breaks in SB in order to 44 understand their influence on health outcomes. 45

The uni-axial activPALTM (AP1) has been validated in young children (3-6y),¹⁵⁻¹⁷ but to our knowledge only one study has evaluated AP1 in school-aged children.¹⁸ Aminian et al.¹⁸ included 25 participants aged 9-10y who performed 4 sedentary and 7 ambulatory activities, plus a selection of 3 activity patterns including sit-to-stand and stand-to-sit transitions to simulate real-world conditions. High correlations were found between direct observation (DO) and time spent in different postures and transitions between postures, as estimated by AP1. However, correlational approaches can only determine the relative strength of the relationship between measurement outcomes and do not provide information about potential systematic differences or the agreement between estimates.^{19,20} Data on the measurement agreement or potential systematic bias of the monitor was only reported in 4-6y.¹⁶ No studies have investigated whether potential measurement errors of the monitor lie within a clinically acceptable range. This study aimed to examine the classification accuracy and validity of AP3 for estimating sitting/lying, standing and stepping time and the number of SB breaks in 5-12 year-old children.

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60 Methods

Fifty-seven children (5-12y) who were without physical or health conditions that would affect
participation in physical activity were recruited. The study was approved by the University of
Wollongong Health and Medical Human Research Ethics Committee. Parental written consent and
participant verbal assent were obtained prior to participation.

Participants were required to visit the laboratory on two occasions. Anthropometric measures were completed using standardized procedures after which BMI (kg/m²) and weight status were calculated.²¹ Children completed a protocol of 15 semi-structured activities (Supplementary Table 1) from sedentary (e.g. TV viewing, writing/colouring), light (e.g. slow walk, dancing), and moderate-tovigorous (e.g. soccer, running) intensity. Activities were equally divided over 2 visits and completed in a structured order of increasing intensity for 5 min, except for lying down (10 min).

The single unit accelerometer AP3 (53 x 35 x 7mm, 15.0g) was placed mid-anteriorly on the right thigh and initialised with minimum sitting or upright period of 1s. Event records created by the AP3 software were used to classify periods spent sitting/lying, standing or stepping and transitions from sit/lie to upright (breaks in SB).

DO was used as the criterion measure. Children were recorded on video completing the
activities as well as during transitions between activities. A single observer coded all videos using
Vitessa 0.1 (University of Leuven, Belgium) which generated a time stamp every time a change in

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78 posture was coded. Subsequently, a second-by-second classification system was generated using 79 customised software, in order to synchronise DO data with AP3's 1s epochs. Every second following 80 the time stamp inserted by the observer was classified the same as the posture occurring at the time 81 stamp itself until the next time stamp was created, indicating that the child's posture had changed. 82 Postures were coded as sitting/lying (gluteus muscles resting on ground, feet, legs or any other surface, or lying in prone position), standing (both feet touching the ground), "other standing" (e.g. 83 squatting, standing on one foot, kneeling on one or two knees), stepping (moving one leg in front of 84 the other, including stepping with a flight phase), "other active" (e.g. jumping, sliding/side gallop) and 85 "off screen" for DO. Seconds coded as "other standing" were recoded as standing, because these 86 postures required the engagement of large postural muscles and did not involve the gluteus muscles 87 88 resting on any surface. Seconds coded as "other active" were recoded as stepping. In the event of two 89 postures occurring within the same second in either DO or AP3 data, this second was duplicated at the corresponding time point for the AP3 or DO output, in order to evaluate classification accuracy. This 90 method was in line with previous validation studies.^{15,16} For estimated time spent in postures, codes of 91 duplicated seconds for either DO (0.02% of total DO data) or AP3 (0.04% of total AP3 data) were 92 93 assigned 0.5 sec to avoid artificially inflating the total time observed. The synchronised DO and AP3 epochs were excluded when DO was coded as "off screen", which occasionally occurred when 94 95 moving between different locations during transitions. Videos of 5 randomly selected participants 96 were analysed twice by the same observer and once by a criterion observer to test inter- and intra-97 observer reliability. Inter- and intra-observer reliability was examined using Cohen's Kappa and 98 single measure intra-class correlation coefficients (ICC) from two-way mixed effect models (fixed-99 effects = observer; random effects = participants), using the consistency definition. Cohen's Kappa 100 coefficient for inter-observer reliability was 0.941. Inter-observer ICC was 0.974 (0.974 - 0.974) and intra-observer ICC was 0.963 (0.962 - 0.963). 101

Prior to analyses, participants were divided into two age groups (5-8y and 9-12y) because younger and older children potentially engage in and move between sitting, standing and non-standard postures differently.^{16,22} Normality of the data was confirmed and analyses were performed for each 105 group. The accuracy of AP3 for classifying sitting/lying, standing and stepping was established using 106 sensitivity (true positive rate) and specificity (true negative rate), and summarised using a confusion matrix.²³ The equivalence of time estimates between AP3 and DO for each posture was examined at 107 108 the group level using the 95% equivalence test. The methods are equivalent if the 90% confidence 109 interval (CI) of time estimated by AP3 entirely falls within the predefined equivalence region of $\pm 10\%$ of the average time coded by DO.^{24,25} Measurement agreement and systematic bias for 110 estimated time spent in postures were evaluated at the individual level using Bland-Altman 111 procedures.²⁰ Pearson correlations were used to evaluate the ability of AP3 to estimate the relative 112 113 number of SB breaks compared to DO. The difference between the absolute number of SB breaks was tested using a paired sample t-test. Analyses were performed using the statistical computing language 114 115 R v.3.1.2 and SPSS v.19.0.

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117 **Results**

Descriptive characteristics of participants are presented in Supplementary Table 2. All 118 participants completed the protocol and had valid AP3 data. Videos from one of the visits were 119 120 unavailable for 3 children (age 5, 9 and 10y). Out of the remaining 267,952 1s epochs of DO from 5-8y and 345,226 epochs from 9-12y, 27,493 epochs and 25,042 epochs were coded as "off screen" and 121 excluded from analyses, respectively, leaving 240,459 (89.7%) valid epochs for 5-8y and 320,184 122 (92.7%) for 9-12y. Mean DO time for 5-8y was 167.0 ± 22.4 min, of which 77.8 ± 12.0 min was 123 124 classified as sitting/lying, 26.9 ± 8.6 min as standing and 62.2 ± 9.3 min as stepping. Mean DO time for 125 9-12y was 161.8 ± 26.1 min, of which 73.0 ± 14.3 min, 26.3 ± 8.7 min and 62.5 ± 10.5 min were classified as sitting/lying, standing and stepping, respectively. 126

The sensitivity and misclassifications for AP3 are presented in Table 1. Sensitivity of 86.8%, 82.5% and 85.3% in 5-8y was acceptable for sitting/lying, standing and stepping, respectively. In 9-129 12y, sensitivity of 95.3% was excellent for sitting/lying and sensitivity of 81.5% and 85.1% was acceptable for standing and stepping, respectively. Specificity was 98.0%, 87.7% and 95.1%, for sitting/lying, standing and stepping in 5-8y, respectively, and 97.8%, 92.0% and 94.7% in 9-12y,
respectively. Sitting/lying was misclassified as standing for 11.8% of the time in 5-8y, whereas this
was only 3.6% in 9-12y. 14.8% and 16.8% of standing was misclassified as stepping for 5-8y and 912y, respectively. Furthermore, 13.0% and 13.1% of stepping was misclassified as standing for 5-8y
and 9-12y, respectively.

At the group level (Figure 1), estimates of AP3 were equivalent to DO for sitting/lying time in 136 137 9-12y (p<0.001) and stepping time in both age groups (5-8y, p=0.004; 9-12y, p=0.001). Estimated sitting/lying time in 5-8y and standing time in both age groups were not equivalent to DO (p>0.05). 138 Bland-Altman procedures (Figure 2) demonstrated underestimation for sitting/lying time in both age 139 groups. The mean difference in 5-8y was 12.6% (limits of agreement [LoA]: -39.8-14.7%), however 140 141 the difference and LoA in 9-12y were considerably smaller (1.4%, LoA: -13.8-11.1%). Stepping time 142 was underestimated in both age groups (5-8y, mean difference: 6.5%, LoA: -18.3-5.3%; 9-12y, mean 143 difference: 7.6%, LoA: -16.8-1.6%), whereas the overestimation for standing time was considerably larger (5-8y, mean difference: 36.8%, LoA: -16.3-89.8%; 9-12y, mean difference: 19.3%, LoA: -1.6-144 145 36.9%). At the individual level, LoAs were notably wider for sitting/lying and standing time in 5-8y, whereas LoA for stepping time was similar for both age groups. No systematic bias was found for the 146 postures (p>0.05). Although the correlation of the number of SB breaks detected by AP3 was 147 148 significant (5-8y, Pearson's r=0.73, p<0.001; 9-12y, Pearson's r=0.81, p<0.001), the absolute number 149 of breaks was overestimated for both age groups, but more so for 5-8y (AP3: 24.2±8.6, DO: 15.8±4.6, 150 p<0.001) than 9-12y (AP3: 15.4±5.1, DO: 12.0±3.4, p<0.001).

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152 Discussion

AP3 demonstrated acceptable sensitivity and specificity for classifying postures in both age groups. Time spent sitting/lying and stepping was slightly underestimated in 5-8y (~6-13%) and 9-12y (~2-8%), however measurement errors lay within a conventional range of $\pm 10\%$ of the criterion for sitting/lying time in 9-12y and for stepping time in both age groups. Standing time was 157 overestimated in both younger (36.8%) and older (19.2%) children and was not equivalent to DO. At 158 the individual level, wide LoA was found for sitting/lying time and very wide LoA for standing time 159 in 5-8y. Less individual variability was found for sitting/lying time in 9-12y, however the LoA for 160 standing in this age group was also considerably wide. The absolute number of breaks in SB was 161 statistically overestimated by AP3, although the difference for 9-12y (28.3%) was smaller than for 5-162 8y (53.2%). A significant correlation was present between breaks detected by AP3 and DO in both 163 age groups.

Aminian et al.¹⁸ reported a perfect correlation (r=1.00) between AP1 and DO for time spent sitting/lying, standing and walking including activity patterns, and a high correlation for transition counts (r=0.99). However, no information was presented on potential measurement errors and/or systematic bias. Although the accurate assessment of postural allocation in our study was in line with the high correlation between AP1 and DO in the previous study, AP3 estimated time spent standing less accurately and the individual-level error for time spent sitting/lying in 5-8y and standing in both age groups was substantial.

171 Compared to previous studies that tested AP1 in preschoolers, the sensitivity of AP3 for sitting/lying was similar to Janssen et al.¹⁶ (87.6%) in 5-8y (86.8%), and similar to Davies et al.¹⁵ 172 (92.8%) in 9-12y (95.3%). However, sitting/lying in our sample was classified more accurately in 173 both age groups compared to SB (sensitivity: 53.8%) reported by De Decker et al.¹⁷ Sensitivity of 174 AP3 for standing in our sample (5-8y: 82.5%, 9-12y: 81.3%) was lower compared to Davies et al.¹⁵ 175 (91.8%), but higher than Janssen et al.¹⁶ (75.6%). Sensitivity for stepping (5-8y: 85.3%, 9-12y: 176 84.6%) was higher compared to both Davies et al.¹⁵ (77.9%) and Janssen et al.¹⁶ (52.5%). Errors for 177 estimates of time spent in postures in our sample were slightly different to those in studies of 178 preschoolers. Overall errors for sitting/lying were small in 9-12y in our study (1.4%), as well as in 179 Davies et al.¹⁵ (-4.4%) and Janssen et al.¹⁶ (5.9%), whereas sitting/lying time in 5-8y in our study was 180 181 underestimated by 12.6%. The minimal error for stepping time in our sample was consistent with errors in preschoolers (no difference¹⁵ and 10.0%¹⁶). The monitor overestimated standing time in all 182 studies, although the overall errors in preschoolers were smaller $(7.1\%^{15} \text{ and } 10.0\%^{16}, \text{ respectively})$ 183

184 compared to 5-8y (36.8%) and 9-12y (19.3%) in the current sample. The authors of those studies suggested that misclassifications can be related to sitting being misclassified as standing by AP1.^{15,16} 185 which could explain the relatively large individual error for sitting/lying time in 5-8y and standing 186 time in both age groups in our study. We further investigated the videos and discovered that children 187 188 for whom sitting/lying was overestimated the most were 5-8y. These participants were seated on the edge of a chair with legs outstretched during the rest periods between activities, causing AP3 to 189 misclassify the posture as standing. This aligns with previous reports^{15,16} suggesting that the non-190 standard postures that children sometimes engage in might influence sit/lie misclassification by the 191 monitor. 192

The absolute number of SB breaks estimated by AP3 in our study was significantly 193 194 overestimated by 8.4 breaks (53.2%) in 5-8y and 3.4 breaks (28.3%) in 9-12y. AP1 also overestimated the number of SB breaks among preschoolers by 43.6%¹⁶ and 66.7%.²² The authors suggested that this 195 was related to the impact of non-standard postures on the estimates of SB breaks. Davies et al.²² and 196 Janssen et al.¹⁶ noted that 34.0% and 63.8% of transitions, respectively, were from non-standard 197 198 postures to upright postures. The number of transitions from "other standing" to upright postures in 199 our study was 23.2% of the total number of transitions in 5-8y and 36.5% in 9-12y, which might not explain the larger overestimation of breaks in 5-8y. However, the definitions of non-standard postures 200 in previous studies^{16,22} included both non-standard sitting and non-standard standing. Because 201 numerous non-standard postures identified in previous research²² appeared to be more similar to 202 standing than sitting, in that they required the activation of large postural muscles (e.g. crouching and 203 kneeling up), these were classified separately in our methods as "other standing". After visual 204 inspection of the videos, non-standard sitting postures, which were not coded separately in our study, 205 206 may have contributed to the overestimation of SB breaks. For example, if the child was sitting on a 207 chair with thigh parallel to the ground and moved to the edge of the chair with legs outstretched (nonstandard-sitting), AP3 may have classified this movement as an additional break, relative to DO. As 208 suggested by Davies et al.²², the relative assessment of the number of SB breaks may be more 209 210 important than the absolute number for epidemiological applications to understand the physiological

and health consequences of the breaks. In agreement with previous studies in school-aged¹⁸ and
preschoolers,²² our study demonstrated a significant correlation for SB breaks assessed by AP3 and
DO in both age groups, indicating that AP3 is accurate when evaluating the relative number of breaks.

214 The strengths of this study include the relatively larger sample and the wider age-range of participants compared to previous studies.^{15,16,18} Furthermore, a wider range of non-ambulatory 215 activities was included compared to the activity protocol used previously with school-aged children.¹⁸ 216 217 Data from the entire activity protocol in our study were analysed including transitions between activities, resulting in a high time resolution, with the aim to include data of natural behaviours and 218 219 changes in postures. The analyses of classification accuracy and measurement agreement at the group 220 and individual level provided more insight into the magnitude and source of potential measurement 221 errors, relative to previous analyses in school-aged children. Findings in this study, however, need to 222 be confirmed in free-living conditions as our activity protocol was laboratory-based and might not completely reflect children's real-world movement patterns and postures. Furthermore, postural 223 allocation by the criterion measure DO might involve some subjectivity, which could have 224 225 contributed to differences between studies. Another consideration is whether or not our analyses, 226 stratified by age group, were sufficiently powered to detect statistical equivalence. Post-227 hoc power calculations indicated that a sample size of n=21, n=87 and n=20 for sitting, standing and 228 stepping, respectively, in 5-8y and n=33, n=96 and n=24, respectively, in 9-12y was required. In 229 equivalence testing, if CI's clearly demonstrate the methods are not equivalent to the reference 230 method, then the sample size is adequate to conclude they are not equivalent. If results are ambivalent (CI's partial crossing of the equivalence region) and the sample size is not adequate, the results may 231 232 be at risk of type 2 error. Therefore, the analyses were slightly under-powered to conclude that AP3 estimates of sitting time in 5-8y and standing time in 9-12y were equivalent to DO. 233

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235 Conclusion

AP3 demonstrated acceptable accuracy for classifying sitting/lying, standing and stepping in children. Estimates of stepping time were accurate for 5-8y and 9-12y, whereas estimates of sitting/lying time were more accurate in older children. However, AP3 overestimated time spent standing and the absolute number of SB breaks. The group-level accuracy suggests that surveillance applications of AP3 would be acceptable, however, individual level applications might be less accurate.

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243 **Practical implications**

- AP3 demonstrated acceptable accuracy for classifying sitting/lying and stepping in school aged children, but was generally more accurate in 9-12y compared to 5-8y.
- AP3 accurately estimated sitting/lying time in 9-12y and stepping time in 5-8y and 9-12y,
 however, standing time and the absolute number of SB breaks were overestimated.
- The application of AP3 in school-aged children seems acceptable at the group level, although
 outcomes of AP3 should be interpreted with caution at the individual level.

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_	AP3		
DO	Sitting/lying	Standing	Stepping
Sitting/lying			
5-8y	0.868	0.118	0.014
9-12y	0.953	0.036	0.011
Standing			
5-8y	0.027	0.825	0.148
9-12y	0.019	0.813	0.168
Stepping			
5-8y	0.017	0.130	0.853
9-12y	0.023	0.131	0.846
DO D' (01			

Table 1. Confusion matrix for classification accuracy (sensitivity) of activPAL3TM (AP3) for postures.

DO, Direct Observation

Figure 1. 95% equivalence test for estimated time spent sitting/lying, standing and stepping.

Legend Figure 1: Times estimated by activPAL3TM (AP3) are equivalent to direct observation (DO) if 90% confidence intervals lie entirely within the equivalence region of direct observation. AP3: $\circ = 5$ -8y, $\diamond = 9$ -12y; DO: \blacksquare .

Figure 2. Bland-Altman plots

Legend Figure 2: Bland-Altman plots with 95% limits of agreement for time spent sitting/lying (a: 5-8y, b: 9-12y), standing (c: 5-8y, d: 9-12y) and stepping (e: 5-8y, f: 9-12y). DO: direct observation, AP3: activPAL3TM. Mean bias was calculated as percentages proportionally to the magnitude of the measurements using DO-AP3; a positive value indicates underestimation of time spent in the posture by AP3; a negative value indicates overestimation of time spent in the posture by AP3.