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**Coronary Artery Bypass Grafting Compared with Percutaneous Coronary Intervention in Chronic Kidney Disease: An Individual Patient Meta-Analysis of Randomized Trials**

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**Abstract**

Coronary atherosclerotic disease is highly prevalent in chronic kidney disease (CKD). Although revascularization improves outcomes, procedural risks are increased in CKD and unbiased data comparing bypass surgery (CABG) and percutaneous intervention (PCI) in CKD are sparse. To compare outcomes of CABG and PCI in stage 3-5 CKD, we systematically identified randomized trials comparing CABG and PCI. Investigators were contacted and individual, patient-level data obtained. Ten trials enrolling 3993 subjects out of 27 meeting inclusion criteria provided data. These trials included 526 subjects with stage 3-5 CKD including 137 with stage 3b-5 CKD. Among individuals with stage 3-5 CKD (HR 0.99, 95% CI: 0.67, 1.46) or stage 3b-5 CKD (HR 1.29, 95% CI: 0.68, 2.46) survival through 5-years was not different following CABG compared with PCI. In contrast, CKD modified the impact on survival free from myocardial infarction ( $P_{\text{interaction}}=0.04$ ), which did not differ between CABG and PCI for individuals with preserved kidney function (HR 0.97, 95% CI: 0.80, 1.17), but was lower following CABG in stage 3-5 CKD (HR 0.49, 95% CI: 0.29, 0.82) and stage 3b-5 CKD (HR 0.23, 95% CI: 0.09, 0.58). Repeat revascularization was reduced following CABG compared with PCI regardless of baseline kidney function. Results were limited by unavailability of data from several trials and the small number of enrolled subjects with stage 4-5 CKD. Our patient-level meta-analysis of individuals with CKD randomized to CABG versus PCI suggests that CABG significantly reduces the risk of subsequent MI and revascularization without impacting survival in these patients.

**Key Words:**

Coronary artery disease  
Chronic kidney disease  
Myocardial infarction  
Coronary revascularization

## Introduction

More than 10% of the adult U.S. population have chronic kidney disease (CKD)<sup>1</sup>, which is associated with increased cardiovascular morbidity and mortality<sup>2, 3</sup>. Standard cardiovascular therapies have the potential to decrease morbidity and mortality, but utilization of established cardiovascular therapies including coronary angiography and revascularization procedures has remained lower in individuals with CKD than in patients with relatively preserved kidney function.<sup>4, 5</sup>

Although this selective underutilization of coronary revascularization in a population at high cardiovascular risk (“renalism”<sup>5</sup>) could represent inappropriate therapeutic nihilism, recent trials have failed to demonstrate efficacy of standard medical therapies in patients on dialysis<sup>6, 7</sup> while the majority of large cardiovascular trials have excluded individuals with CKD raising important questions about the efficacy or safety of other accepted cardiovascular therapies in this population. Indeed, patients with CKD experience higher perioperative mortality<sup>8, 9</sup> following coronary artery bypass grafting (CABG), are at higher risk of acute kidney injury following CABG surgery or percutaneous coronary intervention (PCI)<sup>10, 11</sup>, and have generally much higher overall mortality<sup>12, 13</sup> compared with the subjects enrolled in landmark trials comparing CABG and PCI, in whom advanced kidney dysfunction was uncommon<sup>8</sup>. Therefore, a dedicated, CKD-specific comparison of the risks and benefits of PCI and CABG is needed to define the optimal role for each therapy in the setting of impaired kidney function.

Although several retrospective comparisons of PCI and CABG among individuals with CKD undergoing coronary revascularization for clinical indications have generally favored CABG<sup>14-16</sup>, the potential for indication bias and residual confounding remains an important concern with non-randomized studies in this area. To provide highest-level evidence, we conducted a systematic review of the literature and, subsequently, a detailed, individual-level meta-analysis of patients with moderate to severe CKD from published randomized trials comparing CABG and PCI.

## Results

### *Study Identification and Characteristics*

Our pre-specified literature search identified 1111 citations (**Figure 1**). After title and abstract review, 75 citations were examined in detail; however, 48 were excluded because they failed to meet the specified inclusion criteria. A total of 27 eligible trials were identified for inclusion, but 17 had to be excluded for the following reasons: data no longer available (n=3)<sup>17-19</sup>; insufficient data to calculate eGFR (n=7)<sup>20-26</sup> unable to contact the investigators despite multiple attempts (n=3)<sup>27-29</sup>; investigators unable (n=2)<sup>30, 31</sup> or unwilling (n=2)<sup>32, 33</sup> to share data.

The remaining 10 trials comprised the analytical dataset and included the following trials: AMIST<sup>34</sup>; Bypass Angioplasty Revascularization Investigators Trial (BARI)<sup>35</sup>; Cisowski *et al.*<sup>36</sup>; Argentine Randomized Study: Coronary Angioplasty with Stenting *versus* Coronary Bypass Surgery in Multivessel Disease (ERACI II)<sup>37</sup>; German Angioplasty Bypass Surgery Investigation (GABI)<sup>38</sup>; Left Main Stenting (Le MANS)<sup>39</sup>; Leipzig<sup>40</sup>; Medicine, Angioplasty or Surgery Study (MASS 1)<sup>41</sup>; Medicine, Angioplasty or Surgery II Study (MASS 2)<sup>42</sup>; and Veterans Affairs Cooperative Study #385, the Angina With Extremely Serious Operative Mortality Evaluation (VA [AWESOME])<sup>43</sup>.

All studies used central and concealed randomization and intention to treat analyses of outcomes. However, in 2 studies, outcomes assessors were not blinded to treatment assignment.<sup>34, 36</sup> Loss to follow-up was generally low, but exceeded 10% in 2 studies<sup>34, 38</sup>

### **(Table 1).**

The majority of trials completed enrollment between 1991 and 2001 with exception of a single trial that completed enrollment in 2002<sup>36</sup> and the Le Mans trial, which enrolled subjects from 1997-2008<sup>39</sup>. As shown in Table 1, stents were utilized in all but 2 studies<sup>38, 41</sup>, and off-pump bypass techniques were available for CABG patients in 5 studies<sup>34, 36, 39-41</sup>. Four studies required multi-vessel disease for inclusion<sup>35, 37, 38, 42</sup> while 4 excluded individuals with multi-

vessel coronary disease<sup>34, 36, 40, 41</sup>. One study (AMIST)<sup>34</sup> did not collect data on at least one covariate leading to systematic missingness. Eligible studies for which we were unable to obtain data were qualitatively similar to included studies in terms of sample size, year enrolled, revascularization technique, inclusion criteria and the range of relative risks of study outcomes following PCI compared with CABG (Supplementary Tables 4 & 5).

### *Baseline Characteristics of Study Subjects*

The study cohort included 3993 randomized subjects (CABG: 1994, PCI: 1999,) with 17,131 person-years (PY) of post-intervention follow-up time (post-CABG: 8528 PY, post-PCI: 8603 PY). There were 526 individuals with stage 3 or worse CKD with 1856 PY of follow-up (CABG: 892 PY, PCI: 964 PY), and 137 with stage 3b or worse CKD (20 with stage 4-5 CKD) with 402 PY of follow-up (CABG: 195 PY, PCI: 207 PY). There were 7 individuals with stage 5 CKD. Baseline characteristics of the enrolled patients and those with CKD are shown in **Tables 2 and 3**. Individuals with and without CKD were mostly similar, but those with CKD tended be older and a higher percentage of those with CKD were female.

### *Survival*

All-cause mortality rates were similar following CABG or PCI, and were higher among individuals with CKD (CABG: 5.6/100 PY, PCI: 5.5/100 PY) compared to those with preserved kidney function (CABG: 2.1/100 PY, PCI: 2.3/100 PY).

In primary multiple imputation-based analysis adjusted for all covariates of interest, mortality did not differ between patients randomized to CABG *versus* PCI among individuals with relatively preserved kidney function (HR 0.90, 95% CI: 0.73, 1.11), those with stage 3-5 CKD (HR 0.99, 95% CI: 0.67, 1.46), those with stage 3a CKD (HR 0.79, 95% CI: 0.47, 1.33), or those with stage 3b-5 CKD (HR 1.29, 95% CI: 0.68, 2.46; **Figure 2A-C**). In the overall cohort, there was no significant evidence for effect modification by the presence of CKD

( $P_{\text{interaction}}=0.52$ ). Among individuals with CKD there was no significant effect modification on survival according to the presence of proximal left anterior descending artery stenosis ( $P_{\text{interaction}}=0.88$ ) or according to the presence or absence of multi-vessel disease ( $P_{\text{interaction}}=0.13$ ). Results were similar in crude and adjusted analyses (Table 4). For the subgroup with stage 3-5 CKD, the  $I^2$  statistic (0.0%) was consistent with minimal between-study heterogeneity.

Short-term results at 1 year were qualitatively similar to 5-year outcomes. Adjusted risks of mortality did not differ 1 year after CABG compared with PCI among individuals with preserved kidney function (HR 1.35, 95% CI: 0.95, 1.93), those with stage 3-5 CKD (HR 0.92, 95% CI: 0.54, 1.58), those with stage 3a CKD (HR 0.73, 95% CI: 0.35-1.54), or those with stage 3b-5 CKD (HR 1.28, 95% CI: 0.56, 2.95).

### *Myocardial Infarction*

Among individuals with CKD, non-fatal MI rates were higher after PCI (5.1/100 PY) than CABG (2.7/ 100 PY,  $P=0.01$ ) whereas the rates were similar after PCI (2.9/100 PY) and CABG (2.9/100 PY,  $P=0.95$ ) amongst individuals with preserved kidney function. Among individuals with CKD 13.2% died within 30 days of an MI compared with 7.3% among those with preserved renal function.

In primary analysis models, the risk of non-fatal MI among individuals with preserved kidney function (HR 0.97, 95% CI: 0.80, 1.17) did not differ between the two treatments, whereas MI risk among patients was lower following CABG compared with PCI in those with stage 3-5 CKD (HR 0.49, 95% CI: 0.29, 0.82) and stage 3a CKD (HR 0.70, 95% CI: 0.36, 1.39), and was even lower among those with stage 3b-5 CKD (HR 0.23, 95% CI: 0.09, 0.58). A significant test of interaction in analyses of the full cohort was consistent with effect modification by the presence *versus* absence of stage 3-5 CKD ( $P_{\text{interaction}}=0.04$ ). Among individuals with

CKD, CABG provided similar benefits between individuals with and without multi-vessel disease ( $P_{\text{interaction}}=0.13$ ) and between those with versus without proximal LAD disease ( $P_{\text{interaction}}=0.32$ ). Results were qualitatively similar in crude and adjusted analyses (Table 5). For the subgroup with stage 3-5 CKD, the  $I^2$  statistic (0.0%) was consistent with minimal between-study heterogeneity.

Short-term results at 1 year were similar to 5-year outcomes. Adjusted risks of MI did not differ 1 year after CABG compared with PCI among individuals with preserved kidney function (HR 1.17, 95% CI: 0.92, 1.49), but were lower following CABG compared with PCI in those with stage 3-5 CKD (HR 0.44, 95% CI: 0.23, 0.81), those with stage 3b-5 CKD (HR 0.18, 95% CI: 0.05, 0.58), and were not significantly lower among those with stage 3a CKD (HR 0.59, 95% CI: 0.28-1.28).

#### *Repeat Revascularization*

Repeat revascularization was conducted more frequently after PCI than CABG (Figure 2) both among individuals with CKD (7.2 cases/100 PY versus 1.4 cases/100 PY,  $P<0.001$ ) and those with preserved kidney function (13.7 cases/100 PY versus 1.7 cases/100 PY,  $P<0.001$ ). Risk reduction associated with revascularization was similar for individuals with preserved kidney function (HR 0.14, 95% CI: 0.11, 0.17), those with stage 3-5 CKD (0.21, 95% CI: 0.11, 0.39), those with stage 3a CKD (HR 0.17, 95% CI: 0.08, 0.40) and those with stage 3b-5 CKD (HR 0.25, 95% CI: 0.09, 0.71). There was no evidence of effect modification by the presence of CKD,  $P_{\text{interaction}}=0.26$ ). Tests of interaction with multi-vessel disease ( $P_{\text{interaction}}=0.93$ ) or proximal LAD involvement ( $P_{\text{interaction}}=0.90$ ) were also non-significant. Results were similar in crude and adjusted models (Table 6). For the subgroup with stage 3-5 CKD, the  $I^2$  statistic (25.3%) was consistent with minimal between study heterogeneity.

Short-term results at 1 year were similar to 5-year outcomes. Adjusted risks of revascularization were lower 1 year after CABG compared with PCI among individuals with



preserved kidney function (HR 0.08, 95% CI: 0.06, 0.11), as well as those with stage 3-5 CKD (HR 0.14, 95% CI: 0.06, 0.30), those with stage 3a CKD (HR 0.12, 95% CI: 0.04-0.33), or those with stage 3b-5 CKD (HR 0.17, 95% CI: 0.05, 0.61).

### *Sensitivity Analyses*

Results of models with differing levels of covariate adjustment, excluding studies with systematic missingness, or using complete-case analysis rather than multiple imputation were qualitatively similar to our main findings (Supplementary Tables).

### Acute Dialysis and Hospitalization

Information on dialysis was not available for GABI. In the remaining trials, there were 8 (0.5%) cases of dialysis requiring acute kidney injury (AKI) in the PCI group and 5 (0.3%) cases in the CABG group. Among individuals with stage 3-5 CKD there were 5 (2.4%) cases in the PCI group and 2 cases (1.1%) in the CABG group. The risk of dialysis-dependent AKI did not differ significantly with CABG compared to PCI overall (odds ratio [OR] 0.61, 95% CI 0.20, 1.88), those with preserved kidney function (OR 0.98, 95% CI: 0.20, 4.85), or those with stage 3-5 CKD (OR 0.41, 95% CI: 0.08, 2.15), or stage 3b CKD (OR 0.71, 95% CI: 0.10, 5.23).

Data on cardiovascular hospitalizations was available from 6 trials.<sup>36, 38, 39, 41-43</sup> CABG was generally associated with lower risks of hospitalization than PCI. At 5-years, the adjusted risk was lower after CABG than PCI among those with preserved kidney function (HR 0.30, 95% CI: 0.23, 0.39), those with stage 3-5 CKD (0.43, 95% CI: 0.27, 0.71), and those with stage 3a CKD (HR 0.32, 0.17, 0.60). CV hospitalization rates were lower but the change in risk was not significant with stage 3b-5 CKD (HR 0.77, 95% CI: 0.35, 1.72). There was no evidence of effect modification according to the presence of CKD ( $P_{\text{interaction}}=0.19$ ). For the subgroup with stage 3-5 CKD, the  $I^2$  statistic (1.0%) was consistent with minimal between study heterogeneity. Results during the first year were qualitatively similar to those at 5 years (data not shown).

### **Discussion**

Although CKD is a common condition<sup>1</sup> with high risks of cardiovascular morbidity and mortality<sup>3</sup>, high quality evidence to guide the use of PCI *versus* CABG in the setting of significant kidney impairment has been lacking. To better understand the risks and benefits of coronary revascularization in individuals with CKD, we analyzed individual, patient-level data from almost four thousand individuals enrolled in 10 trials in which patients were randomized to receiving CABG or PCI. To our knowledge, the 526 individuals with CKD that we identified represent the largest randomly assigned cohort comparing the risks of benefits of CABG and PCI in the setting of CKD.

We found that for individuals with stage 3-5 CKD in whom both CABG and PCI were clinically indicated and technically feasible, there were no significant differences in mortality with either approach to revascularization. However, despite the similarities in mortality, CABG strongly reduced both the risks of MI and the need for additional revascularization procedures without evidence for significant effect modification by the presence of single compared with multi-vessel disease. The present study provides important new evidence informing the decision faced by clinicians and their patients with CKD who require coronary intervention and have to decide between CABG and PCI.

While we are unaware of any published clinical trials specifically randomizing individuals with CKD to CABG *versus* PCI, several observational studies have suggested that CABG was associated with lower mortality than PCI in the setting of CKD<sup>15, 44-46</sup>, and at least one suggested that the mortality benefit increased as eGFR declined<sup>15</sup>. In contrast, a study by Szczech<sup>47</sup> was consistent with our findings. This study may more closely resemble the randomized population we studied as it specifically excluded subjects belonging to anatomic subgroups with grossly unbalanced utilization of CABG and PCI (suggesting non-comparability of the indication for revascularization), and it did not find a survival benefit from CABG among individuals with serum creatinine  $\geq 2.5$  mg/dL.

In contrast with some observational studies, our findings are mostly consistent with a prior analysis by Ix *et al.* of 290 randomized participants with CKD from the Arterial Revascularization Therapies Study<sup>48</sup> in which CABG did not impact mortality (HR 0.93, 95% CI 0.54-1.60) compared with PCI, but led to a significant reduction in the need for repeat revascularization (HR 0.28, 95% CI: 0.14-0.54). Both results were confirmed by our analysis although the primary investigator of the Arterial Revascularization Therapies Study did not grant access to their data for our study. Our results differ, however, in that the former study did not demonstrate significant reductions in the risk of MI (HR 1.34, 95% CI: 0.55-3.23). However, the confidence intervals around this estimate were wide because of low the number of MI events (n=20). By contrast, we found a strong reduction in MI risk from CABG that also appeared to increase with decreasing kidney function. Therefore, owing to nearly double the number of participants, a larger number of events within the CKD population (103 deaths, 68 MIs, 65 repeat revascularizations), and a more clinically relevant duration of follow-up (5 *versus* 3 years), our analysis extends the findings by Ix *et al.* in several important ways. In particular, our cohort included subjects from multiple trials with a more generalizable set of inclusion criteria that more broadly represent the range of clinical indications for revascularization than the Arterial Revascularization Therapies Study<sup>48</sup>, which included only subjects with multi-vessel disease and excluded subjects with overt congestive heart failure. Finally, the use of an individual patient data from multiple trials allowed us to adjust for multiple covariates simultaneously, which would not have been possible using traditional meta-analytic techniques.

Taken together, our study and the one by Ix *et al*<sup>48</sup> suggest that prior observational analyses showing large survival benefits may have overestimated the mortality benefits of CABG compared with PCI in the setting of CKD. In fact, observational studies have consistently demonstrated increasing risks of operative death as kidney function declines<sup>49</sup>, and our estimates do not rule out worsened survival following CABG compared with PCI among

subjects with the most advanced CKD—although confidence intervals around these estimates were very wide.

Indication bias or residual confounding *via* selective utilization of CABG in those individuals with the best underlying prognosis or with anatomic features most clearly favorable to surgical revascularization or, conversely, selective use of PCI in patients with very high operative risk, may have driven prior findings of a survival benefit with CABG compared with PCI in the setting of CKD. Although our findings do not support a conclusion that CABG reduces the hazard of mortality compared to PCI when both CABG and PCI are anatomically and clinically feasible, we did find that among CKD patients, CABG was associated with dramatically lower risks of MI and repeat revascularization during follow-up. Thus, CABG may be the preferable procedure that reduces overall morbidity despite not conferring a survival advantage.

Our study had certain limitations that require consideration. Unfortunately, despite including data from the largest number of trials and including the largest reported number of randomized patients with CKD (particularly those with  $\geq$ stage 3b disease), numerous trials either no longer had data available or failed to collect sufficient information to calculate eGFR. We were also unable to obtain data from several additional trials despite several attempts. The majority of trials were completed before IDMS-traceable creatinine assays were in wide use, and we did not have access to the assays used for creatinine testing. The lack of standardization or calibration may have led to some imprecision in estimation of GFR, although this should be balanced in the two treatment groups. In addition, for the BARI trial<sup>35</sup> we were unable to obtain the actual creatinine, and instead had to use a threshold value, as described above. Although we are confident with the specificity of this approach for the identification of CKD, some patients with moderate CKD may have been missed.

We were also unable to standardize outcomes or baseline variable definitions across trials. We cannot rule out the possibility that different assessments across trials could have impacted our findings. Lastly, most of the included trials were completed more than a decade

ago. Whether results would differ in the context of contemporary medical therapy, newer revascularization techniques, or for subjects not meeting the entrance criteria of these trials cannot be answered by our analysis, and results should be extrapolated cautiously.

Finally, our study does not address the gaping hole in the evidence on how to best treat patients with severe kidney dysfunction who require revascularization including those with end-stage kidney disease requiring dialysis or kidney transplantation. Indeed, an important finding of our analysis is that among nearly 4000 patients included in a series of randomized trials that helped establish the standard of care for coronary artery disease only 137 had stage 3b or worse CKD, only 20 had stage 4-5 CKD, and none had ESRD. Assuming that trial practices have not changed, this finding raises serious questions about the extrapolation of standard of care practices to the care of those at the most advanced stages of CKD.

In conclusion, our study provides the highest-quality evidence to date regarding the morbidity and mortality benefits of CABG compared with PCI in the setting of CKD. While survival was similar following CABG and PCI, we found that CABG significantly reduced the risk of subsequent MI or revascularization procedures. In the absence of additional randomized data, our analysis should be reassuring to clinicians who can counsel individuals requiring coronary revascularization that benefits of CABG do not appear to be attenuated in the setting of moderate CKD and that surgical revascularization is more likely than PCI to prevent subsequent MI or revascularization without adversely impacting survival. Finally, the hypothesis generating findings indicating worse survival with CABG in the small subsample of patients with Stage 3b and 4-5 CKD should provide additional motivation for performing randomized studies specifically enrolling individuals with advanced CKD or ESRD in order to provide better answers on risks and benefits in these high risk patients.

## **Methods**

### *Search Criteria and Identification of Eligible Trials*

We searched MEDLINE, EMBASE and Cochrane databases (Ovid Technologies 1950-September 2010) for keywords related to coronary revascularization procedures including, “angioplasty, transluminal, percutaneous coronary, and coronary artery bypass”. The search was limited to randomized controlled trials (not valid within EMBASE), humans, and English language publications. Following automated removal of duplicate citations, results of the computerized search were independently reviewed in duplicate by 2 investigators (DMC, NMS, or WCW) to identify unique, randomized trials comparing CABG and PCI. The reference lists of identified trials and relevant meta-analyses were subsequently reviewed for studies not identified electronically. Trials that randomly allocated patients to CABG or PCI were considered for inclusion without further restriction. The manuscript reporting the primary endpoint results was used to identify trials and investigators. Additional detail on the research plan and modifications to the study protocol are provided in the Supplementary Appendix. The PRISM individual patient meta-analysis statement was used as a guideline for structuring the manuscript.<sup>50</sup>

### *Data Extraction*

The majority of identified studies had not published CKD-specific results. Investigators from each trial were therefore contacted and asked to prepare and share data on trial characteristics and individual, patient-level data including serum creatinine, baseline characteristics, interventions, and selected outcomes for enrolled subjects. Multiple attempts were made to contact study investigators before determining investigators’ status as unreachable. Provided data sets were individually cleaned and compared against trial publications for consistency with baseline characteristics and main outcomes. Trial investigators were re-contacted and queried as needed to ensure fidelity, accuracy, and completeness of final data sets.

### *Kidney Function*

Kidney function was determined using the estimated glomerular filtration rate (eGFR), which was calculated using the CKD-EPI equation<sup>51</sup> from baseline serum creatinine concentrations, age, sex, and race. The Bypass Angioplasty Revascularization Investigation (BARI) trial recorded only a dichotomized kidney dysfunction variable according to whether serum creatinine was >1.5 mg/dL but did not record the actual baseline values<sup>35</sup>. Therefore the theoretical maximum value of eGFR was calculated for BARI subjects using a creatinine of 1.6 mg/dL for individuals above this threshold and 0.1 mg/dL for individuals below this threshold. Given the primary analytic goal of assessing effects of CABG *versus* PCI in CKD patients, this approach was adopted in order to ensure a high specificity of the CKD definition for BARI subjects despite the possibility of misclassifying some BARI subjects with less significantly elevated creatinine as having preserved kidney function. Stages of CKD were defined as stage 3a (eGFR 45-59 mL/min/1.73m<sup>2</sup>), stage 3b (eGFR 30-44 mL/min/1.73m<sup>2</sup>), stage 4 (eGFR 15-29 mL/min/1.73m<sup>2</sup>), or stage 5 CKD (eGFR <15mL/min/1.73m<sup>2</sup> or dialysis-dependent) according to the 2012 updates of the KDOQI guidelines<sup>52</sup>.

### *Other Patient Characteristics*

Baseline demographic and clinical characteristics were assessed according to trial-specific definitions. Covariates obtained were chosen on the basis of availability and well-established associations with outcomes and included assigned treatment, age, race, sex, history of diabetes, hypertension, hyperlipidemia, congestive heart failure, prior coronary revascularization, history of prior myocardial infarction (MI), presentation with MI, unstable angina, or elevated cardiac enzymes, ejection fraction, and coronary anatomy.

### *Endpoints*

Given the advanced age of the population and inconsistent data capture beyond 5 years, we calculated follow-up time and examined time-to-event outcomes through 5 years for the following events: all-cause mortality, myocardial infarction (MI), and repeat coronary revascularization. MI and repeat coronary revascularization outcomes were assessed according to the definitions originally used in the individual trials. Subjects who did not experience the event of interest during the study period were censored at the date of last clinical visit or recorded activity with right censoring at 5-years.

### *Statistics and Analysis*

Summary statistics are presented as counts (%) or mean  $\pm$  standard deviation (SD) as appropriate. For the primary analyses, we used Cox proportional hazards regression models, stratified by trial, to model the hazard of each endpoint (all-cause mortality, MI, and repeat revascularization) as a function of treatment arm (PCI versus CABG), adjusting for age, diabetes, prior history of MI, proximal left anterior descending artery disease, ejection fraction <40%, prior revascularization, and multi-vessel disease. We fit models to the entire pooled dataset as well as within pre-defined subsets of clinical interest. Namely, subset analyses were conducted in subjects with: 1) preserved kidney function, 2) stage 3-5 CKD, 3) stage 3a CKD, 4) stage 3b-5 CKD, 5) CKD with multi-vessel disease, 6) CKD with single-vessel disease, 7) CKD with proximal left anterior descending [LAD] disease, or 8) CKD without proximal LAD disease. Kaplan-Meier estimates were used to graphically depict survival.

Multiple imputation was used to account for missing data. Multiple imputation is a statistical method used to address missing data by imputing values for missing observations from plausible distributions that preserve the interrelationships among the variables.<sup>53, 54</sup> Validity of the results relies on the assumption that data are missing at random (MAR), or that missingness is related to observed features only. Specifically, for primary analyses, we imputed data using predictive mean matching to impute each row independently. It is critical to include



the outcome in the imputation model to reduce bias<sup>55</sup>; we therefore included an indicator for whether the observation was censored and also included the Nelson-Aalen estimator of cumulative hazard as a co-factor within the imputation models.<sup>56</sup> As a sensitivity analysis, we imputed under a linear multilevel model that accounts for a trial-specific underlying hazard of the event corresponding to the study's unique population. For this approach, computational limitations required the exclusion of trials (Angioplasty *versus* Minimally Invasive Surgery Trial, AMIST)<sup>34</sup> with systematic missingness on any variable (meaning that a variable is completely missing within a trial).

We conducted sensitivity analyses manipulating 3 analytic choices in all possible combinations to assess the effects on point estimates of covariate adjustment, inclusion of studies with systematic missingness, and method of handling missing data. Firstly, we conducted analyses adjusting for 1) all covariates of interest, as in primary analyses, 2) a "minimal" subset of only those covariates that were not systematically missing by trial, or 3) no covariates (unadjusted estimates). Secondly, we excluded either 1) none of the 10 eligible studies, as in primary analyses, or 2) all studies with systematic missingness on any variable. Thirdly, we handled missing data either 1) via multiple imputation, as in primary analyses, or 2) via complete-case analysis.

Heterogeneity of outcomes within the CKD group was analyzed by calculating the I-squared statistic. Published meta-analyses comparing CABG and PCI have not found evidence of publication bias.<sup>8</sup> Given our primary aim of comparing unpublished outcomes from the subset of those studies with available data on renal function and the attendant analysis of only a minority of published studies, testing for publication bias on the included studies was not repeated.

Baseline data and incidence rates and calculation of I-squared for measurement of heterogeneity were analyzed using STATA (version 13.0, STATA Corp, College Station, Texas). Multiple imputation and survival analyses were performed in R (Version 3.1.0, R Foundation for

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Statistical Computing, Vienna, Austria)<sup>57-61</sup>. All tests were two-sided, and we defined statistical significance using an alpha threshold of 0.05.

Declaration of Helsinki

This research was conducted in accordance with the declaration of Helsinki. Informed consent was obtained for subjects at the time of enrollment in the original trials.

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Disclosures

No relevant conflicts of interest

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**Table 1.** Trial characteristics

Characteristic	AMIST	BARI	Cisowski	ERACI II	GABI	Le MANS	Leipzig	MASS 1	MASS 2	VA
Central randomization	+	+	-	+	+	+	+	+	+	+
Concealed randomization	+	+	+	+	+	+	+	+	+	+
Blinded outcomes assessment	-	+	-	+	+	+	+	+	+	-
Intention to treat analysis	+	+	+	+	+	+	+	+	+	+
Stents used	+*	+	+*	+	-	+*	+*	-	+	+
Off-pump bypass	+*	-	+*	-	-	+	+*	-	-	NR
LIMA	+*	+	+*	+	+	+	+*	+*	+	+
Enrollment Period	1999-2001	1988-1991	2000-2002	1996-1998	1986-1991	1997-2008	1997-2001	1988-1991	1995-2000	1995-2000
Single vessel disease only	+	-	+	-	-	-	+*	+*	-	-
Multi-vessel disease only	-	+*	-	+*	+*	-	-	-	+*	-
Single or multi-vessel disease	-	-	-	-	-	+	-	-	-	+
Left main disease	-	-	-	+	-	+*	-	-	-	-

NR-not recorded. LIMA-left internal mammary artery. \*Required by protocol.

**Table 2.** Baseline characteristics of trial subjects

Characteristic N (%)	AMIST	BARI	Cisowski	ERACI II	GABI	Le MANS	Leipzig	MASS 1	MASS 2	VA
Year published	2004	1996	2002	2001	1994	2008	2005	1999	2002	2001
No. of subjects	89	1829	76	450	313	82	220	141	408	385
Age, years mean (SD)	57.7 (9.6)	61.0 (9.4)	53.4 (10.0)	60.7 (10.3)	58.9 (7.9)	61.0 (9.8)	62.0 (10.1)	56.5 (10.1)	59.7 (9.0)	67.4 (9.2)
Male	71 (79.8)	1340 (73.3)	63 (82.9)	357 (79.3)	248 (79.2)	56 (68.3)	164 (74.6)	104 (73.8)	283 (69.4)	381 (99.0)
White	87 (97.8)	1653 (90.4)	76 (100.0)	450 (100.0)	313 (100.0)	81 (98.8)	220 (100.0)	137 (97.2)	352 (86.3)	339 (88.1)
Stage 3-5 CKD	18 (20.2)	43 (2.4)	11 (14.5)	111 (24.7)	51 (16.3)	16 (19.5)	30 (13.6)	25 (17.7)	83 (20.3)	138 (35.8)
Stage 3b-5 CKD	4 (4.5)	23 (1.3)	4 (5.3)	14 (3.1)	11 (3.5)	5 (6.1)	4 (1.8)	4 (2.8)	18 (4.4)	50 (13.0)
Diabetes	--	353 (19.3)	6 (7.9)	78 (17.3)	39 (12.6)	14 (17.1)	63 (29.6)	30 (21.3)	115 (28.2)	125 (32.6)
Smoking	--	463 (25.3)	39 (51.3)	233 (51.8)	35 (11.8)	6 (9.0)	54 (25.4)	56 (39.8)	123 (30.2)	96 (34.0)
Hypertension	--	896 (49.0)	42 (55.3)	318 (70.7)	130 (41.8)	76 (92.7)	152 (71.4)	43 (30.5)	253 (62.0)	267 (69.5)
Hyperlipidemia	--	725 (44.0)	59 (77.6)	275 (61.1)	193 (62.7)	80 (97.6)	152 (71.4)	108 (76.6)	322 (78.9)	--
Prior revascularization	--	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	6 (7.3)	0 (0.0)	0 (0.0)	0 (0.0)	164 (42.7)
Prior MI	--	987 (54.5)	9 (11.8)	126 (28.0)	143 (46.3)	31 (37.8)	99 (46.5)	0 (0.0)	191 (46.8)	275 (72.0)
CHF	--	161 (8.9)	0 (0.0)	16 (3.6)	151 (48.7)	1 (1.2)	--	0 (100.0)	2 (0.5)	294 (86.5)
Multi-vessel disease	0 (0.0)	1796 (98.4)	0 (0.0)	450 (100.0)	313 (100.0)	78 (95.1)	0 (0.0)	0 (0.0)	408 (100.0)	316 (82.1)
Left main disease	0 (0.0)	0 (0.0)	0 (0.0)	21 (4.7)	0 (0.0)	80 (97.6)	0 (0.0)	0 (0.0)	0 (0.0)	28 (7.5)
Proximal LAD disease	89 (100.0)	1081 (59.2)	76 (100.0)	230 (51.1)	90 (28.9)	28 (34.2)	220 (100.0)	141 (100.0)	381 (93.4)	221 (58.9)
No. diseased vessels	1 (0.0)	2.4 (0.5)	1 (0.0)	2.4 (0.5)	2.4 (0.5)	3.0 (1.0)	1.2 (0.4)	1 (0.0)	2.6 (0.5)	2.3 (0.8)
Ejection Fraction, %, mean (SD)	66.6 (10.6)	57.4 (11.0)	56.9 (4.9)	52.9 (5.6)	63.8 (10.6)	54.3 (8.6)	62.4(13.1)	69.2 (3.2)	67.3 (8.0)	46.0 (14.7)
Elevated cardiac biomarkers on admission	--	--	0 (0.0)	87 (19.3)	0 (0.0)	6 (7.3)	0 (0.0)	--	0 (0.0)	--
MI on admission	0 (0.0)	58 (3.2)	0 (0.0)	0 (0.0)	0 (0.0)	6 (7.4)	0 (0.0)	0 (0.0)	191 (46.8)	134 (34.8)
Unstable angina on admission	18 (21.2)	1192 (65.2)	8 (10.5)	412 (91.6)	40 (13.5)	45 (54.9)	37 (16.8)	0 (0.0)	0 (0.0)	--

**Table 1**-Baseline characteristics. Data are presented as n (%) unless otherwise noted. CKD-chronic kidney disease, CHF-congestive heart failure, LAD-left anterior descending artery, MI-myocardial infarction, No-number, SD-standard deviation. Data on diabetes was not available for subjects in AMIST and was missing for 3 subjects in GABI, and 2 subjects in the VA study. Data on smoking was not available in AMIST, and was missing in 181 subjects from BARI, for 2 subject from GABI, 15 in LE MANS, 7 in Leipzig, and 103 subjects in the VA study. Hyperlipidemia was missing all AMIST subjects, 181 in BARI, 5 in GABI, 7 in Leipzig and all VA subjects. Data on baseline hypertension was unavailable in AMIST and was missing for 2 subjects from BARI, 2 in GABI, 7 in Leipzig and 1 in the VA study. Prior MI was unavailable for AMIST, and was missing in 18 subjects from BARI, 6 subjects from GABI, 7 in Leipzig, and 3 in the VA study. CHF was not available for AMIST participants and Leipzig and was missing in 10 subjects in BARI, 3 from GABI, and 45 subjects from the VA study. Prior revascularization was unavailable for AMIST and was missing 1 subject in the VA study. Multi-vessel disease was missing in 3 subjects from BARI. Left main disease was missing in 10 subjects from the VA study. Proximal LAD was missing in 3 subjects in BARI, 2 in GABI, and 10 in the VA study. Data on number of diseased vessels was missing in 7 subjects from GABI, 3 subjects from BARI, and 6 subjects from the VA study. Ejection fraction was missing in 55 subjects in AMIST, 475 in BARI 149 in GABI, 3 in Le MANS and 98 in the VA study. Cardiac biomarkers at baseline were not available for AMIST, BARI, VA, and MASS 1 studies. MI on admission was missing in 1 subject from Le MANS. Unstable angina at admission was missing in 4 subjects from AMIST, 17 from GABI, and all subjects in the VA study.

**Table 3.** Baseline characteristics of trial subjects with chronic kidney disease

Characteristic	AMIST (n=18)	BARI (n=43)	Cisowski (n=11)	ERACI II (n=111)	GABI (n=51)	Le MANS (n=16)	Leipzig (n=30)	MASS 1 (n=25)	MASS 2 (n=83)	VA (n=138)
Age, years mean (SD)	64.3 (8.9)	63.9(8.8)	62.3 (10.0)	64.6 (9.4)	64.3 (7.0)	68.0 (8.3)	68.7 (7.2)	61.8 (9.4)	66.2 (6.5)	72.2 (6.6)
Male	11 (61.1)	31 (72.1)	7 (63.6)	44 (39.6)	26 (51.0)	7 (43.8)	16 (53.3)	8 (32.0)	3 (63.9)	136 (98.6)
White	18 (100.0)	33 (76.7)	11 (100.0)	111 (100.0)	51 (100.0)	16 (100.0)	30 (100.0)	25 (100.0)	76 (91.6)	122 (88.4)
Stage 3b-5 CKD	4 (22.2)	23 (53.5)	4 (36.4)	14 (12.6)	11 (21.6)	5 (31.3)	4 (13.3)	4 (16.0)	18 (21.7)	50 (36.2)
Stage 4-5 CKD,	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.9)	4 (7.6)	1 (6.3)	0 (0.0)	0 (0.0)	4 (4.8)	10 (7.0)
eGFR mL/min/1.73m <sup>2</sup> , mean (SD)	49.8 (8.1)	43.0 (6.7)	48.3 (8.1)	50.1 (6.6)	49.7 (11.4)	46.9 (8.4)	53.1 (6.5)	52.0 (6.7)	49.0 (10.4)	46.9 (10.6)
Diabetes	--	20 (46.5)	3 (27.3)	22 (19.8)	8 (15.7)	6 (37.5)	15 (5.7)	5 (20.0)	25 (30.1)	40 (29.0)
Smoking	--	7 (16.3)	3 (27.3)	50 (45.1)	3 (5.9)	1 (6.7)	4 (13.8)	7 (28.0)	14 (16.9)	20 (20.2)
Hypertension	--	33 (76.7)	8 (72.7)	91 (82.0)	32 (62.8)	16 (93.8)	28 (96.6)	7 (28.0)	58 (69.9)	113 (81.9)
Hyperlipidemia	--	18 (48.7)	5 (45.5)	72 (64.9)	36 (70.6)	16 (100.)	18 (62.1)	19 (76.0)	61 (73.5)	--
Prior revascularization	--	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	4 (25.0)	0 (0.0)	0 (0.0)	0 (0.0)	49 (35.5)
Prior MI	--	24 (57.1)	2 (18.2)	31 (27.9)	27 (54.0)	11 (68.8)	17 (58.6)	0 (0.0)	38 (45.8)	93 (68.4)
CHF	--	14 (32.6)	0 (0.0)	2 (1.8)	30 (58.8)	0 (0.0)	--	0 (0.0)	2 (2.4)	108 (88.5)
Multi-vessel disease	0 (0.0)	42 (97.7)	0 (100.0)	111 (100.0)	51 (100.0)	16 (100.0)	30 (0.0)	0 (0.0)	83 (100.0)	116 (84.1)
Left main disease	0 (0.0)	0 (0.0)	0 (0.0)	5 (4.5)	0 (0.0)	16 (100.0)	0 (0.0)	0 (0.0)	0 (.0.0)	12 (8.9)
Proximal LAD disease	18 (100.0)	27 (62.8)	11 (100.0)	59 (53.2)	14 (27.5)	8 (50.0)	30 (100.0)	25 (100.0)	78 (94.0)	69 (51.1)
No. diseased vessels, mean (SD)	1 (0.0)	2.6 (0.5)	1 (0.0)	2.6 (0.5)	2.4 (0.5)	3.3 (0.8)	1.2 (0.4)	1 (0.0)	2.6 (0.5)	2.3 (0.8)
Ejection Fraction, %, mean (SD)	61.3 (14.1)	54.5 (12.5)	58.1 (5.9)	52.8 (5.3)	64.1 (11.1)	54.1 (5.0)	62.6 (13.5)	69.3 (3.2)	67.5 (9.2)	45.4(14.7)
Elevated cardiac biomarkers on admission	--	--	0 (0.0)	29 (26.1)	0 (0.0)	1 (6.3)	0 (0.0)	--	0 (0.0)	--
MI on admission	0 (0.0)	1 (2.3)	0 (0.0)	0 (0.0)	0 (0.0)	1 (6.3)	0 (0.0)	0 (0.0)	38 (45.8)	40 (29.0)
Unstable angina on admission	3 (16.7)	32 (74.4)	1 (9.1)	104 (93.7)	10 (20.0)	11 (68.8)	6 (20.0)	0 (0.0)	0 (0.0)	--

**Table 1**-Baseline characteristics. Data are presented as n (%) unless otherwise noted. eGFR estimates for BARI were calculated as described in the methods. CKD-chronic kidney disease, CHF-congestive heart failure, LAD-left anterior descending artery, MI-myocardial infarction, No-number, SD-standard deviation. Data on diabetes was not available for subjects in AMIST. Data on smoking was not available in AMIST, and was missing for 1 subject in LE MANS, and 39 subjects in the VA study. Hyperlipidemia was missing all AMIST subjects, 6 in BARI, 1 in Leipzig, and all VA subjects. Data on baseline hypertension was unavailable in AMIST and was missing for 1 subject from Leipzig. Prior revascularization was unavailable for AMIST. Prior MI was unavailable for AMIST, and was missing in 1 subject from BARI, 1 subject from GABI, 1 in Leipzig, and 2 in the VA study. CHF was not available for AMIST participants and was missing in 16 subjects from the VA study. Data on left main disease was missing in 3 VA subjects. Proximal LAD was missing in 3 subjects in the VA study. Data on number of diseased vessels was missing in 3 subjects from the VA study. Ejection fraction was missing in 10 subjects in AMIST, 23 in GABI, 1 in Le MANS and 33 in the VA study. Cardiac biomarkers at baseline were not available for AMIST, BARI, VA, and MASS 1 studies. Unstable angina at admission was missing in 1 subject from GABI, and all subjects in the VA study.

**Table 4.** Mortality risk with CABG compared to PCI

<b>Group</b>	<b>Crude HR</b>	<b>95% CI</b>	<b>P Value</b>	<b>Adjusted HR</b>	<b>95% CI</b>	<b>P Value</b>
Overall (n=3993)	0.93	0.77, 1.12	0.43	0.92	0.76, 1.11	0.38
Preserved kidney Function (n=3467)	0.92	0.74, 1.13	0.42	0.90	0.73, 1.11	0.33
Stage 3-5 CKD (n=526)	1.01	0.68, 1.49	0.98	0.99	0.67, 1.46	0.96
Stage 3a CKD (n=389)	0.87	0.52, 1.45	0.60	0.79	0.47, 1.33	0.39
Stage 3b-5CKD (n=137)	1.15	0.62, 2.13	0.67	1.29	0.68, 2.46	0.43
CKD with multi-vessel disease* (n=419)	1.16	0.77, 1.75	0.49	1.10	0.73, 1.67	0.65
CKD with single-vessel disease* (n=107)	0.33	0.07, 1.61	0.17	0.32	0.06, 1.76	0.19
CKD proximal LAD disease* (n=342)	0.88	0.54, 1.43	0.61	0.94	0.57, 1.54	0.80
CKD without proximal LAD disease* (n=185)	1.31	0.67, 2.56	0.43	1.15	0.57, 2.27	0.71

All models were stratified by trial. Multivariable models adjusted for treatment, age, diabetes, prior myocardial infarction, proximal left anterior descending artery disease, ejection fraction <40%, prior revascularization, and multi-vessel. \*To avoid model overspecification, these subgroup models did not include terms for multi-vessel disease or proximal LAD disease, respectively. CKD-chronic kidney disease. LAD-left anterior descending artery.

**Table 5.** Risk of myocardial infarction with CABG compared to PCI

<b>Group</b>	<b>Crude HR</b>	<b>95% CI</b>	<b>P Value</b>	<b>Adjusted HR</b>	<b>95% CI</b>	<b>P Value</b>
Overall (n=3981)	0.90	0.75, 1.07	0.23	0.88	0.73, 1.05	0.16
Preserved kidney Function (n=3459)	0.98	0.81, 1.19	0.87	0.97	0.80, 1.17	0.72
Stage 3-5 CKD (n=522)	0.49	0.30, 0.81	0.01	0.49	0.29, 0.82	0.01
Stage 3a CKD (n=388)	0.68	0.37, 1.27	0.24	0.71	0.36, 1.39	0.31
Stage 3b-5CKD (n=134)	0.27	0.11, 0.66	0.004	0.23	0.09, 0.58	0.002
CKD with multi-vessel disease* (n=416)	0.45	0.26, 0.79	0.01	0.43	0.24, 0.76	0.004
CKD with single vessel disease* (n=106)	0.71	0.20, 2.47	0.59	1.09	0.24, 4.86	0.91
CKD proximal LAD disease* (n=338)	0.39	0.19, 0.80	0.01	0.39	0.18, 0.82	0.01
CKD without proximal LAD disease* (n=183)	0.64	0.31, 1.33	0.23	0.74	0.34, 1.64	0.46

All models were stratified by trial. Multivariable models adjusted for treatment, age, diabetes, prior myocardial infarction, proximal left anterior descending artery disease, ejection fraction <40%, prior revascularization, and multi-vessel. \* To avoid model overspecification, these subgroup models did not include terms for multi-vessel disease or proximal LAD disease, respectively. CKD-chronic kidney disease. LAD-left anterior descending artery

**Table 6.** Risk of repeat revascularization for CABG compared to PCI

<b>Group</b>	<b>Crude HR</b>	<b>95% CI</b>	<b>P Value</b>	<b>Adjusted HR</b>	<b>95% CI</b>	<b>P Value</b>
Overall (n=3912)	0.14	0.12, 0.17	<0.001	0.14	0.11, 0.17	<0.001
Preserved kidney Function (n=3405)	0.13	0.11, 0.16	<0.001	0.13	0.11, 0.16	<0.001
Stage 3-5 CKD (n=507)	0.21	0.11, 0.40	<0.001	0.21	0.11, 0.39	<0.001
Stage 3a CKD (n=371)	0.18	0.08, 0.41	<0.001	0.17	0.08, 0.40	<0.001
Stage 3b-5CKD (n=136)	0.30	0.11, 0.85	0.02	0.25	0.09, 0.71	0.01
CKD with multi-vessel disease* (n=400)	0.21	0.10, 0.46	<0.001	0.21	0.10, 0.46	<0.001
CKD with single vessel disease* (n=107)	0.20	0.07, 0.62	0.01	0.19	0.06, 0.61	0.01
CKD proximal LAD disease* (n=329)	0.19	0.09, 0.40	<0.001	0.18	0.09, 0.38	<0.001
CKD without proximal LAD disease* (n=176)	0.25	0.07, 0.87	0.03	0.25	0.07, 0.92	0.04

All models were stratified by trial. Multivariable models adjusted for treatment, age, diabetes, prior myocardial infarction, proximal left anterior descending artery disease, ejection fraction <40%, prior revascularization, and multi-vessel. \* To avoid model overspecification, these models did not include terms for multi-vessel disease or proximal LAD disease, respectively. CKD-chronic kidney disease. LAD

**Figure 1.** Flow diagram of study selection

**Figure 2.** Actuarial freedom from death, MI, or revascularization after CABG and PCI by clinical subset

Event-Free Survival after CABG and PCI calculated using the Kaplan-Meier method. (A-C) Overall survival. (D-F) Freedom from myocardial infarction. (G-I) Freedom from repeat revascularization. Unadjusted (Cox) P values stratified by trial are provided. CABG-dashed lines. PCI-solid lines.



## **Supplementary Methods**

### *Search Criteria and Identification of Eligible Trials*

Search Terms were as follows: a) “angioplasty or transluminal or percutaneous coronary).mp. [mp=ti, ab, tx, kw, ct, ot, sh, hw, nm, ui]”; and b) “coronary artery bypass.mp. [mp=ti, ab, tx, kw, ct, ot, sh, hw, nm, ui]”. Differences in opinion regarding inclusion or the rationale for individual citations were resolved by consensus.

### *Data Extraction*

Using standardized spreadsheet with standard variable names and descriptions of requested data, investigators from each trial were contacted and asked to prepare and share data. The independent data sets were separately assessed, and baseline and outcome data from each trial was analyzed. Trial investigators were re-contacted and queried to resolve any apparent differences between provided data sets and trial publications. Following data cleaning, a composite data set including a variable to identify the trial source for each subject was assembled and used for all further analyses.

### *Statistics and Analysis*

Multiple imputations were created using the chained equations method and pooled using Barnard-Rubin adjusted degrees of freedom.<sup>1</sup> It is critical to include the outcome in the imputation model to reduce bias.<sup>2</sup> We therefore included an indicator for whether the observation was censored as well as the Nelson-Aalen estimator of cumulative hazard.<sup>3</sup> In primary analyses, we calculated the Nelson-Aalen estimator treating all observations as independent. Sensitivity analyses in which we calculated the Nelson-Aalen estimator within each study separately yielded similar results.

As a sensitivity analysis, we imputed under a linear multilevel model that employed random intercepts to account for a trial-specific underlying hazard of the event corresponding to

the study's unique population. The imputation model additionally included fixed effects and random slopes by study for all predictor variables of interest (except for the Nelson-Aalen estimator, which was modeled with only a fixed effect by study). There is not yet robust statistical methodology for multilevel imputation in the presence of both systematic missingness (meaning that a variable is completely missing within at least one trial) and sporadic missingness (meaning that a variable is sometimes observed and sometimes missing within at least one trial). Thus, for this approach, we excluded the trial (AMIST) with systematic missingness on any variable.

Multiple imputation and survival analyses were performed in R (Version 3.1.0, R Foundation for Statistical Computing, Vienna, Austria)<sup>4-8</sup> using the *mice.impute.pmm* and *mice.impute.2l.norm* methods in the *mice* imputation package. All tests were two-sided, and we defined statistical significance using an alpha threshold of 0.05.

### *Statistical Code*

R code for the primary analysis is provided below:

The primary analysis was coded as follows:

```
##### MAKE IMPUTED DATASETS
#####

##### Function: Impute Dataset with Specified Method and NA estimator
#####

# data: raw dataset
# na.est: "NA.est.strat" or "NA.est.naive"
# method: "2l.norm.me" for Resche-Rigon's MICE-RE, "2l.norm" for MICE's
native 2l.norm, or "pmm" for MICE default

impute = function( data, na.est, .method ) {

  # use PI's name to generate seed for project
  #set.seed(char2seed("Wolfgang"))

  # keep only relevant NA estimator (delete anything else containing
  "NA.est")
```

```

na.names = names(data)[ grep("NA.est", names(data)) ] # all variable
names containing "NA.est"
ones.to.delete = na.names[na.names != na.est] # delete all but the one
we're actually using
#ones.to.delete = switch(na.est, "NA.est.strat"="NA.est.naive",
"NA.est.naive"="NA.est.strat")
d = data[, !names(data) %in% ones.to.delete]

# first fit normal MICE to get predictor matrix
ini = mice(d, maxit = 0)
pred = ini$predictorMatrix

# modify predictor matrix
pred[ pred==1 ] = 2 # give random effects to all variables used for
prediction

# treat trial as the cluster term
col = pred[ , "trial_name"]; col[col==2] = -2; pred[, "trial_name"] =
col

# fixed effects for N-A estimator
col = pred[ , na.est ]; col[col==2] = 1; pred[ , na.est ] = col

# change method to either Resche-Rigon or MICE's 2l.norm
method = ini$method; method[method == "pmm"] = .method

# convert class column to integer (required)
d$trial_name = as.integer(d$trial_name)
d$trial_name = as.integer(d$trial_name)

# impute with MICE-RE
imp = mice(d, maxit = 0, pred = pred, method = method)

return(imp)
}

```

```

##### FIT ANALYSIS MODELS
#####

```

```

##### Function: nicely round p-value #####

```

```

round_p_val = function(p) {
  rounded = ifelse(p<0.001, "<0.001", round(p,3))
  return(rounded)
}

```

```

##### Function: fit models with different covariate and clinical subsets
#####
# Note: outcome variable must be called "outcome"; time variable must be
called "time"

```

```

# data = either the imputed data from mice if using MI or the original
dataset if using CC
# method = "MI.naive", "MI.strat", "CC"
# sporadic.only = T/F
# outcome.time = 1 or 5
# subsets = logical statements defining each clinical subset
# covariates.full = vector of covariates to adjust for in fully-adjusted
model
# covariates.min = vector of covariates to adjust for in minimally-
adjusted model

fit_models = function(data, method, sporadic.only, outcome.time, subsets,
covariates.full, covariates.min) {

  if(!outcome.time %in% c("one", "five")) browser()

  # initialize dataframe for results
  d = as.data.frame(matrix(nrow=9, ncol=24))

  names(d) = c("Unadj.all.HR", "Unadj.all.CI", "Unadj.all.p",
"Unadj.all.n",
              "Min.all.HR", "Min.all.CI", "Min.all.p", "Min.all.n",
              "Full.all.HR", "Full.all.CI", "Full.all.p", "Full.all.n",
              "Unadj.some.HR", "Unadj.some.CI", "Unadj.some.p",
"Unadj.some.n",
              "Min.some.HR", "Min.some.CI", "Min.some.p", "Min.some.n",
              "Full.some.HR", "Full.some.CI", "Full.some.p", "Full.all.n"
              )
  rownames(d) = subsets

  for ( r in 1:nrow(d) ) { # row number
    for( s in 1:(ncol(d)/4) ) { # "set" number (every 3 cols is a "set"
all using the same analysis)

      ##### SET UP #####
      # type of covariate adjustment
      strings = strsplit( names(d)[s*4], "[.]" )
      adj = strings[[1]][1]

      # study subset
      study.subset = strings[[1]][2]

      # define appropriate clinical subset
      clinical.subset = rownames(d)[r] # pull subset we're using from
shell dataframe

      # define appropriate study subset
      # when using MI, trial is coded as integer to please mice
      if (method=="CC") exclude.studies = switch(study.subset,
"all"="a", "some"=c("AMIST", "BARI"))

```

```

    if (method %in% c("MI.naive", "MI.strat") ) exclude.studies =
switch(study.subset, "all"="a", "some"=c(1, 2)) # the "a" is a hacky
placeholder to avoid excluding any trials

# create formula string
covariates = switch(adj, "Unadj"="", "Full"=covariates.full,
"Min"=covariates.min)
cat(outcome.time) ## TEST ONLY
outcome.name = switch(outcome.time, "five"="outcome",
"one"="outcome.1yr") # get appropriate outcome name based on whether
we're doing 1- or 5-yr outcome

LHS = paste( "Surv(time,", outcome.name, ") ~ treatment +
strata(trial_name) +", collapse="")
RHS = paste(covariates, collapse=" + ")
formula = paste(LHS, RHS, collapse="" )

# special case if no covariates
if (covariates=="") formula = paste( "Surv(time,", outcome.name,
") ~ treatment + strata(trial_name)", collapse="")

##### COMPLETE-CASES #####
if (method=="CC") {
  rs1 = coxph( eval(parse(text=formula) ), data=data,
subset = ( eval( parse(text=clinical.subset) ) & (!
trial_name %in% exclude.studies) )
)

# only proceed if all coefficients are non-NA (i.e. no singular
predictors)
if ( !any( is.na( rs1$coef ) ) ) {

  # get stats for dataframe
  coef = rs1$coefficients["treatmentb.CABG"]
  HR = round( exp( coef ), 2 )
  p = round_p_val(
summary(rs1)$coefficients["treatmentb.CABG",5] ) # 1/20/16: PREVIOUSLY
WAS COLUMN 6
  CI.low = round( summary(rs1)$conf.int["treatmentb.CABG",3], 2
)
  CI.high = round( summary(rs1)$conf.int["treatmentb.CABG",4], 2
)
  CI = paste( CI.low, CI.high, sep=" ", )
  n = rs1$n

  # put stats in dataframe
  d[r, (s*4-3):(s*4) ] = c(HR, CI, p, n)
} else {
  d[r, (s*4-3):(s*4) ] = c("sing", "sing", "sing", "sing")
}
}
}

```

```

##### MULTIPLY-IMPUTED #####
  if ( method %in% c("MI.naive", "MI.strat") ) {

    # not currently dealing with this based on simulation study
results
    if(method == "MI.strat") stop()

    # decide which NA estimator variable to use (based on outcome
time desired)
    if ( (method == "MI.naive") & (outcome.time=="five") )
est.name = "NA.est.5yr"
    if ( (method == "MI.naive") & (outcome.time=="one") ) est.name
= "NA.est.1yr"

    # decide which method to use (based on sporadic only vs. all
trials)
    if ( (method == "MI.naive") & (sporadic.only==TRUE) ) {
      meth.imp="2l.norm"
      d2 = data[ data$sporadic.only, ] # cut down dataset to just
sporadic trials
    }

    if ( (method == "MI.naive") & (sporadic.only==FALSE) ) {
      meth.imp="pmm"
      d2 = data # keep same dataset
    }

    # make imputed data
d3 = impute(d2, est.name, .method=meth.imp)

    #m.imp.spor = impute(m[ m$sporadic.only, ], "NA.est.naive",
.method="2l.norm")
    #m.imp.all = impute(m, "NA.est.naive", .method="pmm")

    # fit model to imputed datasets and pool
rs1 = with( d3, coxph( eval(parse(text=formula) ),
                        subset = ( eval(
parse(text=clinical.subset) ) & (! trial_name %in% exclude.studies) )
                        ) )
rs2 = pool(rs1)

    # only proceed if df for all coefficients are non-NA (i.e. no
singular predictors)
    if ( !any( is.na( rs2$df ) ) ) {

      # get stats for dataframe
coef = summary(rs2) ["treatment2",1]
HR = round( exp(coef) , 2)
p = round_p_val( summary(rs2) ["treatment2",5] )
CI.low = round( exp(summary(rs2) ["treatment2",6] ), 2 )
CI.high = round( exp(summary(rs2) ["treatment2",7] ), 2 )
CI = paste( CI.low, CI.high, sep=", ")

```

```

        n = rs1$analyses[[1]]$n # take sample size from the first
imputed analysis

        # put stats in dataframe
d[r, (s*4-3):(s*4) ] = c(HR, CI, p, n)
#d[r, (s*3-2):(s*3) ] = c(HR, CI, p)

    } else {
        d[r, (s*4-3):(s*4) ] = c("sing", "sing", "sing", "sing")
    }
}
}
}
return(d)
}

# covariates for fully-adjusted model
covariates.full = c("age_in_years", "diabetes", "prior_mi",
"proximal_lad_disease",
                    "ef40", "prior_revascularization",
"multivessel_disease" )

# covariates for minimally-adjusted model
# remove the 3 covariates that are systematically missing for any trial
covariates.min = c("age_in_years", "proximal_lad_disease",
                    "ef40", "multivessel_disease" )

# clinical subsets within which to fit model
subsets = c("TRUE", "ckd==0", "ckd==1", "ckd3a==1", "ckd3b==1",
            "ckd==1 & multivessel_disease==1", "ckd==1 &
multivessel_disease==0",
            "ckd==1 & proximal_lad_disease==1", "ckd==1 &
proximal_lad_disease==0")

# generate a csv file for every combination of scenarios
setwd("/Users/mmathur/Dropbox/QSU/Mathur/WOLFGANG/Results")

datasets = c("m", "re", "myo", "cv")
imp.methods = c("MI.naive", "CC")
outcome.t = c("one", "five")
sporadic = c(TRUE, FALSE)
endpoints = c("mortality", "revasc", "myo.infarct", "cv.hosp")

# world's most inefficient set of for-loops
for (d in datasets) {
  for (i in imp.methods) {
    for (time in outcome.t) {
      for (s in sporadic) {

        data = get(d)

```

```

        string1 = switch(d, "m"="mortality", "re"="revasc",
"myo"="myo.infarct", "cv"="cv.hosp")
        string2 = paste(time, "year", sep=".")
        string3 = ifelse(s, "spor.only", "all.trials")

        full.string = paste(Sys.Date(), string1, i, string2, string3,
".csv", sep="_")

        cat( "\n\n", full.string )

        t = fit_models(data=data, method=i, sporadic.only=s,
outcome.time=time,
                    subsets, covariates.full, covariates.min)
        write.csv(t, full.string )
    }
}
}
}

```

```

##### MANUAL CHECKS FOR CODE ACCURACY
#####

```

```

m.imp.all = impute(m, "NA.est.5yr", .method="pmm")
myo.imp.all = impute(myo, "NA.est.5yr", .method="pmm")
re.imp.all = impute(re, "NA.est.5yr", .method="pmm")
cv.imp.all = impute(cv, "NA.est.5yr", .method="pmm")

```

```

# spot-check main results in abstract
# main outcome: mortality among all CKD subsets, PMM over all studies,
fully adjusted
rs1 = with(m.imp.all, coxph(Surv(time, outcome) ~ treatment +
strata(trial_name) + age_in_years +
                    diabetes + prior_mi + proximal_lad_disease +
ef40 +
                    prior_revascularization +
multivessel_disease, subset=(ckd==1) ) )
summary(pool(rs1))
# matches :)

```

```

# main outcome: myo infarction among CKD 3b-5, PMM over all studies, fully
adjusted
rs1 = with(myo.imp.all, coxph(Surv(time, outcome) ~ treatment +
strata(trial_name) +
                    age_in_years + diabetes + prior_mi +
                    proximal_lad_disease + ef40 +
prior_revascularization +
                    multivessel_disease, subset=(ckd==1) ) )

```



```

summary(pool(rs1))
# matches :)

# main outcome: myo infartcion among CKD 3b-5, PMM over all studies, fully
adjusted
rs1 = with(myo.imp.all, coxph(Surv(time, outcome) ~ treatment +
strata(trial_name) +
                                age_in_years + diabetes + prior_mi +
                                proximal_lad_disease + ef40 +
prior_revascularization +
                                multivessel_disease, subset=(ckd==0) ) )
summary(pool(rs1))

```

```

##### FOREST PLOTS FOR HRs
#####

```

```

# include only people with CKD in these plots

```

```

# the "blahblah" is so that no studies get excluded by default
make_forest_plot_stats = function(data, method, covariates,
exclude.studies="blahblah" ) {

```

```

    studies = levels(data$trial_name)[! levels(data$trial_name) %in%
exclude.studies]

```

```

    # initialize dataframe for results
    results = as.data.frame( matrix( nrow=length(studies), ncol=7 ) )
    names(results) = c("trial", "HR", "CI.low", "CI.high", "significant",
"singularity", "singular.vars")

```

```

    # model formula
    string1 = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + " ) )
    string2 = paste("Surv(time, outcome) ~ treatment")
    formula = ifelse(is.na(covariates), string2, string1)

```

```

for ( i in studies ) { # for each trial
    print(i)

```

```

    if (method=="CC") {

```

```

        # only keep data from intended trial AND CKD patients
        temp = data[data$trial_name == i & data$ckd==1, ]

```

```

        rs = coxph( eval(parse(text=formula) ), data=temp, subset=(ckd==1) )

```

```

        # get stats for dataframe
        coef = rs$coefficients["treatmentb.CABG"]
        HR = exp( coef )
    }
}

```

```

p = summary(rs)$coefficients["treatmentb.CABG",5]
CI.low = summary(rs)$conf.int["treatmentb.CABG",3]
CI.high = summary(rs)$conf.int["treatmentb.CABG",4]

# is HR significant at alpha=0.05?
significant = (p<=0.05)

# were there any NA coefficients in regression? (can arise due to
singularities)
singular = any( is.na( rs$coef ) )

# singular variables
singular.vars = paste( as.vector( names(rs$coef)[is.na(rs$coef)] ),
collapse="," )
}

if (method=="MI") {
# make imputed data
est.name = "NA.est.5yr"
meth.imp="pmm"
data.imp = impute(data, est.name, .method=meth.imp)

# fit Cox model to imputed datasets and pool
trial.num = which( levels( data$trial_name ) == i ) # this is
necessary because trial is coded numerically in MICE object
rs1 = with( data.imp, coxph( eval(parse(text=formula) ), subset = (
(trial_name == trial.num) & (ckd == 1) ) ) )

# were there any NA coefficients in regression? (can arise due to
singularities)
singular = any( is.na( rs1$analyses[[1]]$coef ) )

# singular variables
singular.vars = paste( as.vector( names( rs1$analyses[[1]]$coef ) [
is.na( rs1$analyses[[1]]$coef ) ] ), collapse="," )

# only proceed with pooling if no singularities
if ( !singular ) {

rs2 = pool(rs1)

# get stats for dataframe
coef = summary(rs2) ["treatment2",1]
HR = exp(coef)
p = summary(rs2) ["treatment2",5]
CI.low = exp(summary(rs2) ["treatment2",6] )
CI.high = exp(summary(rs2) ["treatment2",7] )

# is HR significant at alpha=0.05?
significant = (p<=0.05)

} else {
HR = CI.low = CI.high = significant = NA
}
}

```

```

    }
    # put stats in results dataframe
    results[ which( studies==i ), ] = c(i, as.numeric(HR),
as.numeric(CI.low), as.numeric(CI.high), as.numeric(significant),
singular, singular.vars)
  }

  # reorder the trials by HR for sexier plot
  results.ord = results[ order( (results$trial!="Pooled, adjusted"),
as.numeric(results$HR), decreasing=TRUE ), ]
  results.ord$order = nrow(results.ord):1
  results.ord$trial = as.factor(results.ord$trial)
  #results.ord = results.ord[ , names(results.ord) != "order" ] # remove
order column
  results.ord$trial = as.character(results.ord$trial)

  return(results.ord)
}

# see which studies need to be excluded due to homogeneity on the outcome
table(m$trial_name, m$outcome, m$ckd); exclude.studies.1 = c("AMIST",
"CISOWSKI", "KOPIA")
table(myo$trial_name, myo$outcome, myo$ckd); exclude.studies.2 =
c("AMIST", "CISOWSKI", "KOPIA", "Leipzig MIDCAB vs. Stent", "GABI")
table(re$trial_name, re$outcome, re$ckd); exclude.studies.3 = c("AMIST",
"ERACI II", "MASS I", "CISOWSKI")
table(cv$trial_name, cv$outcome, cv$ckd); exclude.studies.4 =
c("CISOWSKI")

# make forest plot stats for each outcome
rs1 = make_forest_plot_stats(m, "MI", covariates=NA, exclude.studies =
exclude.studies.1)
rs1 = rbind(rs1, c("Pooled, crude", 1.01, .68, 1.49, 0, FALSE, "", -2) ) #
manually add pooled estimate for CKD==1 (from tables)
rs1$order = as.numeric(rs1$order) # I don't know why the above causes
order to become char...

rs2 = make_forest_plot_stats(myo, "MI", covariates=NA, exclude.studies =
exclude.studies.2)
rs2 = rbind(rs2, c("Pooled, crude", 0.49, .3, .81, 1, FALSE, "", -2) ) #
manually add pooled estimate for CKD==1 (from tables)
rs2$order = as.numeric(rs2$order) # I don't know why the above causes
order to become char...

rs3 = make_forest_plot_stats(re, "MI", covariates=NA, exclude.studies =
exclude.studies.3)
rs3 = rbind(rs3, c("Pooled, crude", .21, .11, .4, 1, FALSE, "", -2) ) #
manually add pooled estimate for CKD==1 (from tables)
rs3$order = as.numeric(rs3$order) # I don't know why the above causes
order to become char...

rs4 = make_forest_plot_stats(cv, "MI", covariates=NA, exclude.studies =
exclude.studies.4)

```

```
rs4 = rbind(rs4, c("Pooled, crude", .45, .28, .71, 1, FALSE, "", -2) ) #
manually add pooled estimate for CKD==1 (from tables)
rs4$order = as.numeric(rs4$order) # I don't know why the above causes
order to become char...
```

```
# coefficient forest plot parameters
colors = c("black", "red")
ticks = c(.001, .1, 1:9, (1:5)*10.0)
limits = c( min(ticks), max(ticks) )
ylab = "Crude Hazard Ratio, CABG vs. PCI (95% CI)"
title=""
```

```
##### Mortality #####
```

```
ggplot(rs1, aes( y=as.numeric(HR), x=reorder(trial, order),
shape=as.factor(trial=="Pooled, crude") ) ) +
  geom_point(size=4) + geom_errorbar(aes(ymin=as.numeric(CI.low),
ymax=as.numeric(CI.high) ), width=.1) +
  scale_shape_manual(values=c(1, 19), name="") +
  scale_y_log10(breaks=ticks, labels = ticks, limits=limits) +
  geom_hline(yintercept = 1, linetype=2) +
  coord_flip() + labs(title = title, x = "Trial", y = ylab) +
  theme_bw() + scale_color_manual(values=colors) +
  theme(axis.title = element_text(size=16) ) +
  theme(legend.position="none")
```

```
##### Myocardial Infarction #####
```

```
ggplot(rs2, aes( y=as.numeric(HR), x=reorder(trial, order),
shape=as.factor(trial=="Pooled, crude") ) ) +
  geom_point(size=4) + geom_errorbar(aes(ymin=as.numeric(CI.low),
ymax=as.numeric(CI.high) ), width=.1) +
  scale_shape_manual(values=c(1, 19), name="") +
  scale_y_log10(breaks=ticks, labels = ticks, limits=limits) +
  geom_hline(yintercept = 1, linetype=2) +
  coord_flip() + labs(title = title, x = "Trial", y = ylab) +
  theme_bw() + scale_color_manual(values=colors) +
  theme(axis.title = element_text(size=16) ) +
  theme(legend.position="none")
```

```
##### Revascularization #####
```

```
ggplot(rs3, aes( y=as.numeric(HR), x=reorder(trial, order),
shape=as.factor(trial=="Pooled, crude") ) ) +
  geom_point(size=4) + geom_errorbar(aes(ymin=as.numeric(CI.low),
ymax=as.numeric(CI.high) ), width=.1) +
  scale_shape_manual(values=c(1, 19), name="") +
  scale_y_log10(breaks=ticks, labels = ticks, limits=limits) +
  geom_hline(yintercept = 1, linetype=2) +
  coord_flip() + labs(title = title, x = "Trial", y = ylab) +
  theme_bw() + scale_color_manual(values=colors) +
  theme(axis.title = element_text(size=16) ) +
  theme(legend.position="none")
```

```

##### CV Hospitalization #####
ggplot(rs4, aes( y=as.numeric(HR), x=reorder(trial, order),
shape=as.factor(trial=="Pooled, crude") ) ) +
  geom_point(size=4) + geom_errorbar(aes(ymin=as.numeric(CI.low),
ymax=as.numeric(CI.high) ), width=.1) +
  scale_shape_manual(values=c(1, 19), name="") +
  scale_y_log10(breaks=ticks, labels = ticks, limits=limits) +
  geom_hline(yintercept = 1, linetype=2) +
  coord_flip() + labs(title = title, x = "Trial", y = ylab) +
  theme_bw() + scale_color_manual(values=colors) +
  theme(axis.title = element_text(size=16) ) +
  theme(legend.position="none")

##### INTERACTIONS OF INTEREST
#####

##### NOT EDITED YET

# Model 1: interaction of Tx with CKD vs. not
# Model 2: interaction of Tx with CKD 3B vs. not
# Model 3: within CKD subset, interactions of Tx*MVD and Tx*Prox LAD

##### Function: Report Model Results Nicely #####

# m: unpooled results from mice
# round: decimals to round to
nice_report = function(m, round=2) {
  pl = pool(m)

  # get stats
  HR = exp( summary(pl) [,1] )
  p = summary(pl) [,5]
  CI.low = exp(summary(pl) [,6] )
  CI.high = exp(summary(pl) [,7] )

  rs = data.frame(HR, CI.low, CI.high, p)

  return( round(rs, round) )
}

##### Mortality #####

# Model 1
covariates = c(covariates.full, "ckd*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + " ) )
m1 = with( m.imp.all, coxph( eval( parse(text=formula) ) ) ); summary(m1)
print(formula); nice_report(m1)

# Model 2

```

```
covariates = c(covariates.full, "ckd3b*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m2 = with( m.imp.all, coxph( eval( parse(text=formula) ) ) ); summary(m2)
print(formula); nice_report(m2)
```

```
# Model 3
covariates = c(covariates.full, "multivessel_disease*treatment",
"proximal_lad_disease*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m3 = with( m.imp.all, coxph( eval( parse(text=formula) ) , subset =
(ckd==1) ) ); summary(m3)
print(formula); nice_report(m3)
```

```
##### Myocardial Infarction #####
```

```
# Model 1
covariates = c(covariates.full, "ckd*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m1 = with( myo.imp.all, coxph( eval( parse(text=formula) ) ) );
summary(m1)
print(formula); nice_report(m1)
```

```
# Model 2
covariates = c(covariates.full, "ckd3b*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m2 = with( myo.imp.all, coxph( eval( parse(text=formula) ) ) );
summary(m2)
print(formula); nice_report(m2)
```

```
# Model 3
covariates = c(covariates.full, "multivessel_disease*treatment",
"proximal_lad_disease*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m3 = with( myo.imp.all, coxph( eval( parse(text=formula) ) , subset =
(ckd==1) ) ); summary(m3)
print(formula); nice_report(m3)
```

```
##### Revascularization #####
```

```
# Model 1
covariates = c(covariates.full, "ckd*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m1 = with( re.imp.all, coxph( eval( parse(text=formula) ) ) ); summary(m1)
print(formula); nice_report(m1)
```

```
# Model 2
```

```

covariates = c(covariates.full, "ckd3b*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m2 = with( re.imp.all, coxph( eval( parse(text=formula) ) ) ); summary(m2)
print(formula); nice_report(m2)

# Model 3
covariates = c(covariates.full, "multivessel_disease*treatment",
"proximal_lad_disease*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m3 = with( re.imp.all, coxph( eval( parse(text=formula) ), subset =
(ckd==1) ) ); summary(m3)
print(formula); nice_report(m3)

##### CV Hospitalization #####

# Model 1
covariates = c(covariates.full, "ckd*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m1 = with( cv.imp.all, coxph( eval( parse(text=formula) ) ) ); summary(m1)
print(formula); nice_report(m1)

# Model 2
covariates = c(covariates.full, "ckd3b*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m2 = with( cv.imp.all, coxph( eval( parse(text=formula) ) ) ); summary(m2)
print(formula); nice_report(m2)

# Model 3
covariates = c(covariates.full, "multivessel_disease*treatment",
"proximal_lad_disease*treatment")
formula = paste("Surv(time, outcome) ~ treatment +", paste(covariates,
collapse=" + ") )
m3 = with( cv.imp.all, coxph( eval( parse(text=formula) ), subset =
(ckd==1) ) ); summary(m3)
print(formula); nice_report(m3)

```

### *Pre-specified Analytic Plan*

A formal protocol for the meta-analysis was not specified or published prior to the receipt of data, and the study was not registered. Initial conceptions of the project prior to data

acquisition specified obtaining patient-level data from trial investigators and the use of proportional hazards models to analyze the primary endpoint. Mortality and revascularization were included as primary endpoints, and the analysis of effect modification by left anterior descending artery disease or multi-vessel disease were similarly noted in these plans.

Separate analyses of stage 4 and stage 3 CKD were considered during planning, but stage 3a and 3b CKD were analyzed instead given the limited numbers of subjects with stage 4 CKD in the final data set. Because data on strokes was not readily available, data on MI is presented instead of a planned analysis of major adverse cardiovascular events (combined death, MI or stroke).



## References

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**Supplementary Data**

**Supplementary Table 1.** Sensitivity analyses—risks of death with CABG compared to PCI, varying covariate adjustment and study inclusion—imputed analyses

Group	Crude HR	95% CI	P	M1 HR	95% CI	P	M2 HR	95% CI	P	M3 HR	95% CI	P	M4 HR	95% CI	P	M5 HR	95% CI	P
Overall	0.93	0.77, 1.12	0.43	0.94	0.78, 1.13	0.48	0.92	0.76, 1.11	0.38	0.92	0.77, 1.11	0.40	0.92	0.76, 1.10	0.36	0.91	0.75, 1.09	0.31
Preserved GFR	0.92	0.74, 1.13	0.42	0.93	0.75, 1.15	0.49	0.90	0.73, 1.11	0.33	0.91	0.74, 1.13	0.39	0.89	0.72, 1.11	0.31	0.88	0.71, 1.1	0.26
CKD 3-5	1.01	0.68, 1.49	0.98	1.01	0.68, 1.49	0.98	0.99	0.67, 1.46	0.96	1.01	0.68, 1.49	0.97	0.99	0.67, 1.46	0.95	0.96	0.64, 1.42	0.82
CKD 3a	0.87	0.52, 1.45	0.60	0.82	0.49, 1.37	0.44	0.79	0.47, 1.33	0.39	0.87	0.52, 1.45	0.60	0.79	0.47, 1.34	0.39	0.73	0.43, 1.24	0.25
CKD 3b-5	1.15	0.62, 2.13	0.67	1.29	0.68, 2.44	0.44	1.29	0.68, 2.46	0.43	1.15	0.62, 2.13	0.67	1.30	0.68, 2.46	0.43	1.26	0.66, 2.4	0.49
CKD & MVD*	1.16	0.77, 1.75	0.49	1.14	0.75, 1.72	0.55	1.10	0.73, 1.67	0.65	1.16	0.77, 1.75	0.49	1.10	0.73, 1.66	0.66	1.05	0.69, 1.6	0.81
CKD & SVD*	0.33	0.07, 1.61	0.17	0.34	0.06, 1.94	0.23	0.32	0.06, 1.76	0.19	0.33	0.07, 1.61	0.17	0.32	0.06, 1.76	0.19	0.33	0.06, 1.79	0.20
CKD & pLAD*	0.88	0.54, 1.43	0.61	0.92	0.57, 1.5	0.75	0.94	0.57, 1.54	0.80	0.88	0.54, 1.43	0.61	0.94	0.57, 1.54	0.80	0.95	0.58, 1.56	0.83
CKD without pLAD*	1.31	0.67, 2.56	0.43	1.28	0.65, 2.54	0.48	1.15	0.57, 2.27	0.71	1.30	0.67, 2.54	0.44	1.13	0.57, 2.26	0.72	0.94	0.46, 1.91	0.86

M1—all studies, only covariates without systematic missingness. M2—all studies, full set of covariates imputed. M3—only studies without systematic missingness, unadjusted, M4—only studies without systematic missingness, fully adjusted with covariate imputation, M5—complete case analysis, fully adjusted. Multivariable models adjusted for treatment, age, diabetes, prior myocardial infarction, proximal left anterior descending artery disease, ejection fraction <40%, prior revascularization, and multi-vessel disease. To avoid singular regression coefficients, these subgroup models did not include terms for multi-vessel disease or proximal LAD disease, respectively. GFR—glomerular filtration rate. CKD—chronic kidney disease. 3-5—stage 3-5 CKD. 3b-5—stage 3b-5 CKD. MVD—multivessel disease. SVD—single vessel disease. pLAD—proximal left anterior descending artery disease.

**Supplementary Table 2.** Supplementary analyses-crude and adjusted risks of myocardial infarction with CABG compared to PCI—imputed analyses

Group	Crude HR	95% CI	P	M1 HR	95% CI	P	M2 HR	95% CI	P	M3 HR	95% CI	P	M4 HR	95% CI	P	M5 HR	95% CI	P
Overall	0.90	0.75, 1.07	0.23	0.89	0.75, 1.07	0.22	0.88	0.73, 1.05	0.16	0.90	0.75, 1.08	0.27	0.88	0.74, 1.06	0.18	0.88	0.74, 1.06	0.19
Preserved GFR	0.98	0.81, 1.19	0.87	0.98	0.81, 1.19	0.84	0.97	0.80, 1.17	0.72	0.99	0.82, 1.2	0.93	0.97	0.8, 1.18	0.79	0.98	0.8, 1.19	0.83
CKD 3-5	0.49	0.30, 0.81	0.01	0.49	0.29, 0.81	0.01	0.49	0.29, 0.82	0.01	0.49	0.3, 0.81	0.01	0.50	0.3, 0.84	0.01	0.46	0.27, 0.78	0.004
CKD 3a	0.68	0.37, 1.27	0.24	0.75	0.38, 1.46	0.40	0.71	0.36, 1.39	0.31	0.69	0.37, 1.28	0.24	0.71	0.36, 1.41	0.33	0.63	0.31, 1.28	0.20
CKD 3b-5	0.27	0.11, 0.66	0.004	0.24	0.1, 0.59	0.00	0.23	0.09, 0.58	0.002	0.27	0.11, 0.66	0.00	0.22	0.09, 0.57	0.00	0.21	0.08, 0.55	0.001
CKD & MVD*	0.45	0.26, 0.79	0.01	0.45	0.26, 0.81	0.01	0.43	0.24, 0.76	0.004	0.45	0.26, 0.79	0.01	0.44	0.24, 0.77	0.01	0.41	0.23, 0.74	0.003
CKD & SVD*	0.71	0.20, 2.47	0.59	0.64	0.18, 2.27	0.49	1.09	0.24, 4.86	0.91	0.71	0.2, 2.47	0.59	1.13	0.24, 5.23	0.88	1.60	0.32, 8.09	0.57
CKD & pLAD*	0.39	0.19, 0.80	0.01	0.39	0.19, 0.8	0.01	0.39	0.18, 0.82	0.01	0.38	0.18, 0.79	0.01	0.39	0.19, 0.83	0.01	0.38	0.18, 0.81	0.01
CKD without pLAD*	0.64	0.31, 1.33	0.23	0.62	0.29, 1.35	0.23	0.74	0.34, 1.64	0.46	0.64	0.31, 1.34	0.24	0.74	0.33, 1.65	0.47	0.66	0.3, 1.48	0.32

M1-all studies, only covariates without systematic missingness. M2-all studies, full set of covariates imputed. M3-only studies without systematic missingness, unadjusted, M4-only studies without systematic missingness, fully adjusted with covariate imputation, M5- complete case analysis, fully adjusted. Multivariable models adjusted for treatment, age, diabetes, prior myocardial infarction, proximal left anterior descending artery disease, ejection fraction <40%, prior revascularization, and multi-vessel disease. To avoid singular regression coefficients, these subgroup models did not include terms for multi-vessel disease or proximal LAD disease, respectively. GFR-glomerular filtration rate. CKD-chronic kidney disease. 3-5-stage 3-5 CKD. 3b-5-stage 3b-5 CKD. MVD-multivessel disease. SVD-single vessel disease. pLAD-proximal left anterior descending artery disease.

**Supplementary Table 3.** Supplementary analyses- crude and adjusted risks of repeat revascularization with CABG compared to PCI—imputed analyses

Group	Crude HR	95% CI	P	M1 HR	95% CI	P	M2 HR	95% CI	P	M3 HR	95% CI	P	M4 HR	95% CI	P	M5 HR	95% CI	P
Overall	0.14	0.12, 0.17	<0.001	0.14	0.12, 0.17	<0.001	0.14	0.11, 0.17	<0.001	0.14	0.12, 0.17	<0.001	0.14	0.12, 0.17	<0.001	0.14	0.12, 0.17	<0.001
Preserved GFR	0.13	0.11, 0.16	<0.001	0.13	0.11, 0.16	<0.001	0.13	0.11, 0.16	<0.001	0.13	0.11, 0.16	<0.001	0.13	0.11, 0.16	<0.001	0.13	0.11, 0.16	<0.001
CKD 3-5	0.21	0.11, 0.40	<0.001	0.21	0.11, 0.39	<0.001	0.21	0.11, 0.39	<0.001	0.21	0.11, 0.4	<0.001	0.21	0.11, 0.39	<0.001	0.21	0.11, 0.41	<0.001
CKD 3a	0.18	0.08, 0.41	<0.001	0.18	0.08, 0.41	<0.001	0.17	0.08, 0.40	<0.001	0.18	0.08, 0.41	<0.001	0.18	0.08, 0.40	<0.001	0.19	0.08, 0.43	<0.001
CKD 3b-5	0.30	0.11, 0.85	0.02	0.26	0.09, 0.75	0.01	0.25	0.09, 0.71	0.01	0.30	0.11, 0.85	0.02	0.25	0.09, 0.71	0.01	0.25	0.09, 0.71	0.01
CKD & MVD*	0.21	0.10, 0.46	<0.001	0.21	0.10, 0.46	<0.001	0.21	0.10, 0.46	<0.001	0.21	0.1, 0.46	<0.001	0.21	0.10, 0.46	<0.001	0.22	0.1, 0.47	<0.001
CKD & SVD*	0.20	0.07, 0.62	0.01	0.20	0.07, 0.62	0.01	0.19	0.06, 0.61	0.01	0.20	0.07, 0.62	0.01	0.19	0.06, 0.60	0.01	0.21	0.07, 0.63	0.01
CKD & pLAD*	0.19	0.09, 0.40	<0.001	0.19	0.09, 0.39	<0.001	0.18	0.09, 0.38	<0.001	0.19	0.09, 0.4	<0.001	0.18	0.09, 0.38	<0.001	0.18	0.09, 0.39	<0.001
CKD without pLAD*	0.25	0.07, 0.87	0.03	0.25	0.07, 0.9	0.03	0.25	0.07, 0.92	0.04	0.24	0.07, 0.86	0.03	0.25	0.07, 0.93	0.04	0.29	0.08, 1.09	0.07

M1-all studies, only covariates without systematic missingness. M2-all studies, full set of covariates imputed. M3-only studies without systematic missingness, unadjusted, M4-only studies without systematic missingness, fully adjusted with covariate imputation, M5- complete case analysis, fully adjusted. Multivariable models adjusted for treatment, age, diabetes, prior myocardial infarction, proximal left anterior descending artery disease, ejection fraction <40%, prior revascularization, and multi-vessel disease. To avoid singular regression coefficients, these subgroup models did not include terms for multi-vessel disease or proximal LAD disease, respectively. GFR-glomerular filtration rate. CKD-chronic kidney disease. 3-5-stage 3-5 CKD. 3b-5-stage 3b-5 CKD. MVD-multivessel disease. SVD-single vessel disease. pLAD-proximal left anterior descending artery disease.

**Supplementary Table 4. Results of eligible Trials**

Trial	Overall Mortality		Myocardial Infarction		Revascularization		Follow-Up Time	Number Subjects
	RR	95% CI	RR	95% CI	RR	95% CI		
<b>Excluded Trials</b>								
Syntax <sup>1</sup>	1.24	0.78, 1.98	1.46	0.92, 2.33	2.29	1.67, 3.14	1 Year	1800
Stent or Surgery <sup>2</sup>	2.82	1.27, 6.27	NC	NC	3.45	2.34, 5.08	≤4 Years	988
SIMA <sup>3</sup>	0.48	0.04, 5.11	1.43	0.25, 8.24	NC	NC	Approximately 3 Years	123
RITA 1 <sup>4</sup>	0.85	0.56, 1.28	1.46	0.98, 2.17	4.00	0.89, 17.93	≤8.7 Years	1011
Octopus <sup>5</sup>	NC	NC	1.24	0.39, 3.95	4.80	1.41, 16.34	1 Year	280
Myoprotect I <sup>6</sup>	0.91	0.31, 2.71	NC	NC	6.39	0.86, 47.70	1 Year	44
Goy <i>et al</i> <sup>7</sup>	2.91	0.31, 27.29	2.59	0.72, 9.34	83.00	4.70-12.00	2 Years	134
Grip <i>et al</i> <sup>8</sup>	NC	NC	0.92	0.06, 14.09	1.34	0.24, 7.38	6 Months	53
Kim <i>et al</i> <sup>9</sup>	1.00	0.15, 6.82	NC	NC	7.00	0.89, 54.83	1 Year	100
Hong <i>et al</i> <sup>10</sup>	NC	NC	0.59	0.08, 4.07	1.76	0.19, 16.57	6 Months	189
French Monocentric <sup>11</sup>	1.25	0.52, 2.99	NC	NC	6.33	1.96, 20.52	5 Years	159
ERACI I <sup>12</sup>	2.03	0.53, 7.77	NC	NC	5.84	2.14, 15.93	3 Years	127
EAST <sup>13</sup>	1.14	0.54, 2.41	NC	NC	3.82	2.63, 5.55	3 Years	392
Drenth <i>et al</i> <sup>14</sup>	NC	NC	5.00	0.61, 41.31	4.00	0.89, 17.93	1.9-3.9 Years	102
CARDIA <sup>15</sup>	1.00	0.38, 2.62	1.79	0.95, 3.35	6.00	2.37, 15.21	1 Year	510
CABRI <sup>16</sup>	1.42	0.73, 2.76	1.42	0.80, 2.54	5.23	3.90, 7.03	1 Year	1054
ARTS <sup>17</sup>	0.89	0.45, 1.77	1.29	0.80, 2.06	5.52	3.59, 8.49	1 Year	1205

Relative risk of death, myocardial infarction or repeat revascularization as derived from primary publications of trials comparing PCI and CABG. NC-Not-calculable directly from information in the publication. Relative risks were extracted directly from the cited manuscripts, calculated from reported event rates, or estimated from Kaplan-Meier estimates of survival according to data available.

**Supplementary Table 5.** Design features of non-included trials

Characteristic	Syntax <sup>1</sup>	Stent or Surgery <sup>2</sup>	SIMA <sup>3</sup>	RITA 1 <sup>4</sup>	Octopus <sup>5</sup>	Myoprotect <sup>6</sup>	Goy <sup>7</sup>	Grip <sup>8</sup>	Kim <sup>9</sup>	Hong <sup>10</sup>
Central randomization	+	+	NR	+	NR	+	NR	NR	NR	NR
Concealed randomization	+	+	NR	-	NR	NR	NR	NR	NR	NR
Blinded outcomes assessment	NR	+	NR	+	+	NR	NR	NR	NR	NR
Intention to treat analysis	+	+	+	+	+	NR	+	NR	NR	NR
Stents used	+	+	+	+	+	+	+	+	+	+
Off-pump bypass	-	+	+	-	+	-	-	+	+	+
LIMA	+	+	+	+	+	NR	+	+	+	+
Enrollment Period	2005-2007	1996-1999	1994-1998	1998-1991	1998-2000	1998-2001	1989-1993	NR	2000-2001	2003
Single vessel disease only	-	-	+	-	-	-	+	+	+	+
Multi-vessel disease only	+	+	-	-	-	-	-	-	-	-
Single or multi-vessel disease	-	-	-	+	+	+	-	-	-	-
Left main disease	+	+	-	-	-	+	-	-	-	-
Characteristic	French Monocentric <sup>11</sup>	ERACI I <sup>12</sup>	EAST <sup>13</sup>	Drenth <sup>14</sup>	CARDIA <sup>15</sup>	CABRI <sup>16</sup>	ARTS <sup>17</sup>			
Central randomization	NR	+	NR	NR	+	-	+			
Concealed randomization	NR	NR	NR	NR	+	NR	NR			
Blinded outcomes assessment	NR	NR	+	NR	+	NR	NR			
Intention to treat analysis	NR	NR	+	+	+	+	+			
Stents used	NR	-	-	+	+	+	+			
Off-pump bypass	NR	-	-	+	+	NR	NR			
LIMA	NR	+	NR	+	+	NR	+			
Enrollment Period	1989-1993	1988-1990	1987-1990	1997-1999	2002-2007	NR	1997-1998			
Single vessel disease only	-	-	-	+	-	-	-			
Multi-vessel disease only	+	+	+	-	-	+	+			
Single or multi-vessel disease	-	-	-	-	+	-	-			
Left main disease	-	-	-	-	-	-	-			

NR-not recorded. LIMA-left internal mammary artery. \*Required by protocol. †Left main or left main equivalent require

## **Supplementary Figure Legends**

**Supplementary Figure 1** Within-trial risk of all-cause mortality with CABG compared with PCI among individuals with CKD

Crude HRs in are provided as within study homogeneity on individual covariates precludes within study calculation of fully-adjusted HRs using the same model across each study. Some studies are not shown due to near-homogeneity on the outcome, which would cause model overspecification.

**Supplementary Figure 2** Within-trial risk of myocardial infarction with CABG compared with PCI among individuals with CKD

Crude HRs in are provided as within study homogeneity on individual covariates precludes within study calculation of fully-adjusted HRs using the same model across each study. Some studies are not shown due to near-homogeneity on the outcome, which would cause model overspecification.

**Supplementary Figure 3** Within-trial risk of repeat revascularization with CABG compared with PCI among individuals with CKD

Crude HRs in are provided as within study homogeneity on individual covariates precludes within study calculation of fully-adjusted HRs using the same model across each study. Some studies are not shown due to near-homogeneity on the outcome, which would cause model overspecification.

**Supplementary Figure 4** Within-trial risk of cardiovascular hospitalization with CABG compared with PCI among individuals with CKD

Crude HRs in are provided as within study homogeneity on individual covariates precludes within study calculation of fully-adjusted HRs using the same model across each study. Some studies are not shown due to near-homogeneity on the outcome, which would cause model overspecification.





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