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Chemoreception and neuroplasticity in respiratory circuits

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1 **Abstract**

2 The respiratory central pattern generator must respond to chemosensory cues to maintain
3 oxygen (O₂) and carbon dioxide (CO₂) homeostasis in the blood and tissues. To do this,
4 sensorial cells located in the periphery and central nervous system monitor the arterial
5 partial pressure of O₂ and CO₂ and initiate respiratory and autonomic reflex adjustments
6 in conditions of hypoxia and hypercapnia. In conditions of chronic intermittent hypoxia
7 (CIH), repeated peripheral chemoreceptor input mediated by the nucleus of the solitary
8 tract induces plastic changes in respiratory circuits that alter baseline respiratory and
9 sympathetic motor outputs and result in chemoreflex sensitization, active expiration, and
10 arterial hypertension. Herein, we explored the hypothesis that the CIH-induced
11 neuroplasticity primarily consists of increased excitability of pre-inspiratory/inspiratory
12 neurons in the pre-Bötzinger complex. To evaluate this hypothesis and elucidate neural
13 mechanisms for the emergence of active expiration and sympathetic overactivity in CIH-
14 treated animals, we extended a previously developed computational model of the
15 brainstem respiratory-sympathetic network to reproduce experimental data on peripheral
16 and central chemoreflexes post-CIH. The model incorporated neuronal connections
17 between the 2nd-order NTS neurons and peripheral chemoreceptors afferents, the
18 respiratory pattern generator, and sympathetic neurons in the rostral ventrolateral medulla
19 in order to capture key features of sympathetic and respiratory responses to peripheral
20 chemoreflex stimulation. Our model identifies the potential neuronal groups recruited
21 during peripheral chemoreflex stimulation that may be required for the development of
22 inspiratory, expiratory and sympathetic reflex responses. Moreover, our model predicts
23 that pre-inspiratory neurons in the pre-Bötzinger complex experience plasticity of
24 channel expression due to excessive excitation during peripheral chemoreflex.
25 Simulations also show that, due to positive interactions between pre-inspiratory neurons
26 in the pre-Bötzinger complex and expiratory neurons in the retrotrapezoid nucleus,
27 increased excitability of the former may lead to the emergence of the active expiratory
28 pattern at normal CO₂ levels found after CIH exposure. We conclude that neuronal type
29 specific neuroplasticity in the pre-Bötzinger complex induced by repetitive episodes of
30 peripheral chemoreceptor activation by hypoxia may contribute to the development of
31 sympathetic over-activity and hypertension.

32 **Introduction**

33 Hypertension is a highly prevalent public health problem that affects a large
34 proportion of population worldwide (Kearney et al., 2005, Carey, 2013, Go et al., 2014).
35 Accumulating evidence shows that reducing sympathetic nerve activity decreases blood
36 pressure in hypertensive patients, especially in those who are resistant to pharmacologic
37 antihypertensive treatment (Esler, 2009, Fisher and Paton, 2012), suggesting that
38 sympathetic overactivity is a major contributor to the development and maintenance of
39 hypertension. Moreover, experimental data indicate that increased activity of the
40 sympathetic nervous system is pivotal for the development of high blood pressure in
41 rodent models of hypertension (Simms et al., 2009, Malpas, 2010, Briant et al., 2015).
42 This scenario of hypertension and sympathetic overactivity is observed in obstructive
43 sleep apnea (OSA) patients (Narkiewicz et al., 1998). OSA is a condition characterized
44 by recurrent upper airway collapses during sleep and affects approximately 20% of adult
45 population in USA (Konecny and Somers, 2011). Untreated OSA has cumulative effects
46 on the cardiovascular system, leading to augmented baseline sympathetic activity and
47 arterial hypertension that can be refractory to pharmacological therapies (Williams et al.,
48 2010, Pedrosa et al., 2011). Studies estimate that 50–56% of individuals with OSA are
49 hypertensive (Dudenbostel and Calhoun, 2011).

50 Clinical and experimental evidence suggests that chronic exposure to intermittent
51 hypoxia (CIH) is a main factor leading to cardiovascular dysfunction in OSA patients
52 (Fletcher, 2001, Caples et al., 2005). In rats, CIH promotes hypertension linked to
53 elevated baseline sympathetic vasomotor tone and higher noradrenaline plasma levels
54 (Braga et al., 2006, Zoccal et al., 2007, Zoccal et al., 2008, Zoccal et al., 2009)
55 highlighting a relationship among CIH, sympathetic overactivity and hypertension.
56 Importantly, the high levels of sympathetic activity of CIH rats were associated with
57 strengthened coupling between respiratory and sympathetic networks. Indeed, we
58 originally reported (Zoccal et al., 2008) that CIH exposure promotes an increase in
59 sympathetic activity during the expiratory phase, specifically during the late part of
60 expiration (late-E). These additional expiratory bursts in sympathetic activity of CIH rats
61 were coupled to the late-E bursts emerging in the abdominal expiratory motor output.
62 Moreover, the late-E activity was present at rest in eucapnia in CIH-treated animals but

63 never in untreated controls, and was eliminated by a reduction of CO₂ content in the
64 perfusate (Molkov et al., 2011). The involvement of respiratory-sympathetic interactions
65 in the development of hypertension in CIH rats is further supported by recent findings
66 that late-E modulation in the pre-sympathetic neurons of rostral ventrolateral medulla
67 (RVLM) depends on synaptic inputs from bulbar respiratory neurons rather than on
68 changes in their intrinsic properties (Moraes et al., 2013, Moraes et al., 2014). All
69 together these data indicate that CIH-induced sympathetic overactivity is linked to the
70 transition of expiration from a passive to an active process at rest. These findings
71 represent novel and unexplored aspects of central mechanisms underpinning arterial
72 hypertension in CIH rats (Moraes et al., 2012b).

73 The development of arterial hypertension in rats exposed to CIH is fully
74 prevented by previous ablation of carotid body peripheral chemoreceptors (Fletcher et al.,
75 1992), indicating that the plasticity in the neural circuitries of the peripheral chemoreflex,
76 elicited by repeated stimulation during CIH (Moraes et al., 2015), may underpin the
77 development of the observed respiratory and sympathetic changes. Therefore, it is
78 important to understand the neural pathways engaged during peripheral chemoreceptor
79 stimulation in order to identify potential neural mechanisms triggering active expiration
80 and sympathetic overactivity in CIH rats. Here, we develop the hypothesis that central
81 plasticity accounts for the facilitation of sympathetic and respiratory response to
82 peripheral chemoreflex in CIH conditioned rats. Accordingly, the objectives of this study
83 were (i) to model the neural pathways required for the adjustments in the respiratory and
84 sympathetic motor outputs during peripheral chemoreflex activation, (ii) to understand
85 the functional implications of their repetitive activation during CIH conditioning, and (iii)
86 to shed light on where within the network the origin of neuronal plasticity occurs that is
87 responsible for the sustained active expiration and sympathoactivation following CIH
88 exposure.

89

90 **Methods**

91 In the present study, we combined recent published studies (Braga et al., 2006,
92 Zoccal et al., 2008, Molkov et al., 2011, Moraes et al., 2012a, McBryde et al., 2013,

93 Moraes et al., 2014) and new experimental data obtained in the *in situ* arterially perfused
94 preparation of decerebrate rats, as described in details below.

95

96 ***Experimental data***

97

98 ***Animals and ethical approval***

99 Experiments were performed on male Holtzman rats, weighing 70-90 g, obtained
100 from the Animal Care Unit of the São Paulo State University, Araraquara, and kept at
101 22 ± 1 °C on a 12-h light/dark cycle (lights on 06:00 – lights off 18:00), with access to
102 food and water *ad libitum*. All experimental approaches followed the Guide for the Care
103 and Use of Laboratory Animals published by the US National Institutes of Health (NIH
104 publication No. 85-23 revised 1996) and by the Brazilian National Council for Animal
105 Experimentation Control (CONCEA), and was approved by the Local Ethical Committee
106 in Animal Experimentation (protocol 18/2014).

107

108 ***Chronic intermittent hypoxia (CIH)***

109 The rats were exposed to CIH as previously described (Zoccal et al., 2008).
110 Briefly, the animals were housed in collective cages (maximum of 5 animals per cage)
111 and maintained inside chambers equipped with gas injectors as well as sensors of O₂,
112 CO₂, humidity and temperature, at controlled conditions of temperature (22 ± 1 °C) and
113 humidity ($55\pm 10\%$). The CIH protocol consisted of 5 minutes of normoxia (FiO₂ of
114 20.8%) followed by 4 minutes of pure N₂ injection into the chamber in order to reduce the
115 fraction of inspired O₂ (FiO₂) to 6%, remaining at this level for 40 seconds. After this
116 hypoxic period, pure O₂ was injected to return the FiO₂ back to 20.8%. This 9-minute
117 cycle was repeated 8 hours a day (from 9:30 am to 5:30 pm) for 10 days. During the
118 remaining 16 hours, the animals were maintained at a FiO₂ of 20.8%. The injections of
119 N₂ and O₂ (White Martins, São Carlos, Brazil) were regulated by a solenoid valve system
120 whose opening-closing control was performed by a computerized system (Oxycycler,
121 Biospherix, USA). In an identical chamber in the same room, the control group was
122 exposed to a FiO₂ of 20.8% 24 hours a day for 10 days. The control rats were also
123 exposed to a similar valve noise due to the frequent injection of O₂ to maintain the FiO₂ at

124 20.8%. In both CIH and control chambers, the gas injections were performed at the upper
125 level of the chamber in order to avoid direct jets of gas impacting on the animals, which
126 could cause stress.

127

128 ***In situ arterially perfused preparation of decerebrate rats***

129 Arterially perfused *in situ* preparations (Paton, 1996) of control and CIH rats were
130 surgically prepared, as previously described (Zoccal et al., 2008). The rats were deeply
131 anesthetized with halothane (AstraZeneca, Cotia, SP, Brazil) until loss of paw withdrawal
132 reflex, transected caudal to the diaphragm, submerged in a chilled Ringer solution (in
133 mM: NaCl, 125; NaHCO₃, 24; KCl, 3; CaCl₂, 2.5; MgSO₄, 1.25; KH₂PO₄, 1.25; dextrose,
134 10) and decerebrated at the precollicular level. Lungs were removed. Preparations were
135 then transferred to a recording chamber, the descending aorta was cannulated and
136 perfused retrogradely with Ringer solution containing 1.25 % Polyethylene glycol (an
137 oncotic agent, Sigma, St Louis, USA) and a neuromuscular blocker (vecuronium
138 bromide, 3-4 µg.mL⁻¹, Cristália Produtos Químicos Farmacêuticos Ltda., São Paulo,
139 Brazil), using a roller pump (Watson-Marlow 502s, Falmouth, Cornwall, UK) via a
140 double-lumen cannula. The perfusion pressure was maintained in the range of 50–70
141 mmHg by adjusting the flow rate to 21- 25 ml.min⁻¹ and by adding vasopressin to the
142 perfusate (0.6 – 1.2 nM, Sigma, St. Louis, MO, USA). The perfusate was gassed
143 continuously with 5% CO₂-95% O₂, warmed to 31–32°C and filtered using a nylon mesh
144 (pore size: 25 µm, Millipore, Billirica, MA, USA). Sympathetic and respiratory nerves
145 were isolated and their activity recorded simultaneously using bipolar glass suction
146 electrodes held in micromanipulators (Narishige, Tokyo, Japan). Left phrenic nerve (PN)
147 discharges were recorded from its central end and its rhythmic ramping activity was used
148 to monitor preparation viability. Left cervical vagus (cVN) and hypoglossal nerves (HN)
149 as well as right thoracic/lumbar abdominal nerves (AbN; T13-L1) were isolated, cut
150 distally and their central activity recorded. Thoracic sympathetic activity was recorded
151 from the left sympathetic chain (tSN) at T8–T12 level. All the signals were amplified,
152 band-pass filtered (0.1–3 kHz; P511, Grass Technologies, Middleton, USA) and acquired
153 in an A/D converter (CED micro 1401, Cambridge Electronic Design, CED, Cambridge,
154 UK) to a computer using Spike 2 software (5 KHz, CED, Cambridge, UK). At the end of

155 the experiments, the perfusion pump was turned off to determine the electrical noise
156 (after the death of the preparations).

157 All analyses were carried out on rectified and integrated signals (time constant of
158 50 ms) and performed off-line using Spike 2 software (CED, Cambridge, UK) after noise
159 subtraction. PN burst frequency was determined from the time interval between
160 consecutive integrated phrenic peak bursts and expressed in bursts per minute (bpm). tSN
161 activity was measured as the mean values (in μV) of integrated signals. The changes in
162 the PN burst frequency and tSN in response to peripheral chemoreflex activation were
163 expressed as percentage values in relation to basal values prior to the stimulus.

164

165 *Peripheral chemoreflex activation*

166 Peripheral chemoreceptors were stimulated in the *in situ* preparations by
167 injections of potassium cyanide (KCN 0.05%, 50 μl) into the descending aorta via the
168 perfusion cannula as described previously (Costa-Silva et al., 2010). Stimulation of the
169 peripheral chemoreflex receptors by KCN produced consistent autonomic and respiratory
170 responses, which present low variability within and among the experiments.

171

172 *Statistical analyses*

173 The data were expressed as mean \pm standard error of mean (SEM). Before
174 analysis, data distribution was tested using the Shapiro–Wilk normality test. The
175 sympathoexcitatory and tachypneic responses to peripheral chemoreceptor activation in
176 control and CIH rats were compared using, unpaired Student's t-test or two-way ANOVA
177 for repeated measurements followed by Newman-Keuls post-test, respectively. The
178 analysis was carried out using GraphPad Prism software (version 5, La Jolla, CA, USA)
179 and differences were considered significant at $P < 0.05$.

180

181 *Modeling and simulations*

182

183 The model presented here is based on a previous model of central chemoreceptor
184 sensitization from (Molkov et al., 2011, Molkov et al., 2014b), which in turn combined a
185 model describing the origin of abdominal late-E activity (Molkov et al., 2010) and a

186 model describing sympathy-respiratory coupling in the context of the baroreflex (Baekey
187 et al., 2010). All of these models descend from the model described by Rybak et al.
188 (2007) and Smith et al. (2007), which explain the change in respiratory patterns due to
189 successive pontine and medullary transections performed in rats. Most neuronal
190 populations were composed of single-compartment Hodgkin-Huxley style neuronal
191 models. Each population contained 20 or 50 neurons. Neurons in postsynaptic
192 populations each received input from every neuron in the presynaptic population or the
193 appropriate drive element. The output of certain populations, including motoneurons, was
194 obtained by integrating excitatory synaptic input. Heterogeneity of model parameters and
195 initial conditions (such as membrane potential, calcium concentration, and gating
196 variables) were set by random distributions. Parameters for synaptic weights including
197 changes relative to Molkov et al. (2011) can be found in Table 1.

198 Simulations were performed using the NSM simulation package version 3.0
199 developed at Drexel University by S. Markin, I. Rybak, and N. Shevtsova and ported for
200 parallel computing on high-performance clusters using OpenMPI by Y. Molkov.
201 Numerical solutions to ordinary differential equations were computed using the
202 exponential Euler method for integration with a step of 0.1 ms.

203

204 **Results**

205 *Peripheral chemoreflex, respiratory and sympathetic adjustments and* 206 *exposure to chronic intermittent hypoxia: experimental evidence*

207 *Effects of CIH on CO₂ threshold for apnea and active expiration in rats in situ*

208 We previously demonstrated (Molkov et al., 2011) that rats exposed to chronic
209 intermittent hypoxia exhibit changes in excitability within the respiratory network. This
210 was verified by the evaluation of the respiratory responses to varying levels of CO₂.
211 Figure 1 shows the AbN and PN motor patterns in *in situ* preparations of control rats
212 (upper traces) and in rats after CIH conditioning (lower traces) at different CO₂ contents
213 in the perfusate: normocapnia (5% CO₂, middle traces); hypercapnia (7% and 10% CO₂,
214 right traces) and hypocapnia (1% and 3% CO₂, left traces). As it is evident from the

215 figure, in normocapnia control rats exhibited a passive expiratory pattern, as only PN
216 activity showed rhythmic discharges of inspiratory activity, and AbN remained fairly
217 quiescent. With progressive increase in CO₂ large amplitude discharges appeared in the
218 AbN activity of control rats at the late-E phase of the respiratory cycle signifying a
219 transition to active expiration. In CIH-conditioned rats, AbN late-E discharges were
220 present during normocapnia. Lowering CO₂ content to 3% abolished these discharges.
221 So, the CO₂ threshold for transition to active expiration was between 5% and 7% for
222 naïve animals, and between 3% and 5% for the CIH conditioned rats.

223 As CO₂ level decreased from 3% to 1% the PN rhythmic activity ceased in naïve
224 animals but not in rats exposed to CIH (see first column in Fig. 1, which depicts
225 representative traces from one control rat and one CIH conditioned rat). These results
226 imply that the CO₂ apneic threshold was between 1% and 3% CO₂ in the control group,
227 and below 1% in the CIH animals. Accordingly, both thresholds for apnea and for the
228 transition to active expiration are approximately 2% CO₂, lower in CIH conditioned
229 animals as compared to naïve rats. All data quantification and analyses are presented in
230 details in(Molkov et al., 2011).

231

232 ***Respiratory and sympathetic adjustments elicited by peripheral chemoreflex activation***

233 Previous studies have demonstrated the changes in the pattern of PN, tSN
234 and AbN activities in response peripheral chemoreflex activation (Dick et al.,
235 2004, Moraes et al., 2012a). Herein, we extended this characterization and also
236 evaluated the changes in cVN and HN activities. Transient stimulation of CB
237 peripheral chemoreceptors of control *in situ* preparations with KCN (n=7) had a profound
238 effect on activity patterns in all motor outputs that lasted 10-15s (see Fig. 2 for typical
239 responses). There was an approximately two-fold increase in respiratory frequency (Δ PN
240 frequency: $114 \pm 18\%$, from 22 ± 6 to 46 ± 6 bpm, $P < 0.05$; Fig. 2C) accompanied by
241 augmented post-inspiratory amplitude recorded from the cVN (Δ cVN: $68 \pm 5\%$, $P < 0.05$,
242 Fig. 2C) and an abrupt rather than decrementing ending as seen during baseline activity.
243 Abdominal, hypoglossal and sympathetic nerve activities also increased mainly during
244 the post-I period (Δ AbN: $105 \pm 34\%$, Δ HN: $149 \pm 25\%$, Δ tSN: $94 \pm 5\%$, $P < 0.05$, Fig. 2C).
245 Importantly, at the end of the stimulus these strong post-I discharges disappeared from all

246 nerves simultaneously suggesting that they may had a common origin. In addition, the
247 AbN and HN exhibited late-E discharges during stimulation that strongly resembles
248 hypercapnia-evoked late-E activity. Similar late-E related discharges were also seen in
249 the sympathetic outflow.

250 It was previously suggested that the source of late-E AbN activity activated by
251 hypercapnia was in the RTN/pFRG (Janczewski and Feldman, 2006, Abdala et al., 2009,
252 Molkov et al., 2010). To understand if the AbN modulation induced by peripheral
253 chemoreflex originates from the same location, Moraes et al. (2012a) suppressed the
254 RTN activity by muscimol (GABA_A receptor agonist) before stimulating peripheral
255 chemoreceptors by KCN. Interestingly, late-E discharges disappeared from both
256 abdominal and sympathetic nerves without affecting the post-I responses. This
257 observation suggests that post-I and late-E activities in AbN and tSN during peripheral
258 chemoreflex have different origins. Late-E activity most probably has the same source as
259 observed during hypercapnia originating from the RTN, whereas the source of post-I
260 activity is located elsewhere.

261

262 ***Exaggerated respiratory and sympathetic chemoreflex responses after CIH exposure***

263 Typical recordings of PN, tSN, AbN and cVN activities of control and CIH rats,
264 illustrating the pattern of changes in response to peripheral chemoreflex activation, are
265 shown in Fig. 3A and B. Consistent with previous observations (Braga et al., 2006), we
266 verified that *in situ* preparations of CIH rats (n=8) exhibited amplified
267 sympathoexcitatory responses to peripheral chemoreflex stimulation (128 ± 8 vs $94 \pm 5\%$, P
268 < 0.05 , Fig. 3C) in comparison to the control group (n=7). The enhanced sympathetic
269 chemoreflex response in CIH rats also showed augmented respiratory modulation, with
270 bursts occurring preferentially during the post-inspiratory phase. In relation to the PN
271 frequency, the analysis of percentage changes respective to basal values indicated that
272 CIH and control groups presented a similar increase in the magnitude of PN frequency
273 (80 ± 21 vs $114 \pm 18\%$, 2s after stimulation, respectively). However, the PN frequency
274 remained elevated at 4 (144 ± 36 vs $77 \pm 12\%$, $P < 0.01$) and 6s (151 ± 36 vs $66 \pm 13\%$, $P <$
275 0.001) after the stimulation of peripheral chemoreceptors in CIH rats, indicating
276 prolongation of tachypnea (Fig. 3D). With respect to AbN chemoreflex response, the

277 magnitude of increase was similar in both groups (104 ± 21 vs $105\pm 34\%$, Fig. 3E).
278 However, a different pattern of AbN response is observed in CIH in relation to control
279 rats. In control rats, the relative increase in AbN expiratory activity occurs during the
280 post-inspiration ($55\pm 2\%$ of the response) and late expiration ($45\pm 2\%$ of the response),
281 with prevalence in the former ($P < 0.05$, Fig. 3F). In the CIH group, however, the evoked
282 AbN response is shifted towards the late expiratory phase (late expiration: $65\pm 2\%$ vs
283 post-inspiration: $35\pm 2\%$, $P < 0.001$, Fig. 3F). The magnitude of increase in cVN in
284 response to KCN was similar between CIH and control groups (75 ± 8 vs $68\pm 5\%$,
285 respectively, Fig. 3G). Together, these data supports the notion that the processing of
286 sympathetic, inspiratory and late-expiratory responses to peripheral chemoreflex is
287 facilitated in rats exposed to CIH.

288

289 *Pre-I/I neurons in spontaneously hypertensive rats*

290 In a different animal model of neurogenic hypertension, the spontaneously
291 hypertensive rat (SHR), Moraes et al. (2014) demonstrated that intrinsically bursting
292 neurons in the pre-BötC were found to have altered electrophysiological properties.
293 Specifically, the authors showed that pre-BötC pre-inspiratory neurons are more excitable
294 due to significantly lower conductance of the leak current. Interestingly, SHR and CIH
295 rat models of hypertension share many common features: 1) strengthened respiratory-
296 sympathetic coupling are suggested to be involved in the development/maintenance of
297 arterial hypertension (Zoccal et al., 2008, Moraes et al., 2014); 2) the carotid body
298 chemoreceptors play a pivotal role for the development of hypertension (Fletcher et al.,
299 1992, Abdala et al., 2012); 3) the sympathetic response to peripheral chemoreceptors are
300 amplified in SHR and CIH rats (Braga et al., 2006, Simms et al., 2009, Tan et al., 2010,
301 Moraes et al., 2014), suggesting a sensitization of processing of peripheral chemoreceptor
302 inputs; 4) presence of a late-expiratory component in the AbN, in the cervical
303 sympathetic and in the pre-sympathetic RVLM neuronal activity at normal (5%) CO_2
304 levels strongly resembling the respiratory pattern of control (Wistar) animals at 7% CO_2
305 (Zoccal et al., 2008, Moraes et al., 2013, Moraes et al., 2014); and 5) a lower apneic
306 threshold compared to Wistar rats (Moraes et al., 2014) (Molkov et al., 2011). Based on
307 these similarities, we hypothesize that CIH-conditioned animals have altered baseline

308 respiratory patterns due to increased excitability of pre-I/I population in pre-BötC
309 because of the reduced leak conductance of these neurons (Moraes et al., 2014, 2015).
310 We tested this hypothesis using computational modeling.

311

312 ***Effects of peripheral chemoreceptor activation on the brainstem respiratory and***
313 ***sympathetic networks: insights from computational modeling***

314

315 ***Model description***

316 The main objective of the modeling part of our study was to provide mechanistic
317 interpretation of the processes involved in peripheral chemoreflex modulation of the
318 respiratory and pre-sympathetic networks. This included: (1) an increase in respiratory
319 frequency; (2) the appearance of post-I activity in the HN, AbN, and tSN and an
320 augmentation of post-I activity amplitude in the cVN; (3) the appearance of late-E
321 activity in the HN, AbN, and tSN; and (4) an increase in respiratory-independent activity
322 of tSN.

323 The model included a population of 2nd-order cells in the NTS receiving
324 peripheral chemoreceptor inputs and their efferent projection to ventromedullary
325 compartments. The schematic of the extended model is shown in Fig. 4.

326 We extended the model to include new neuronal populations that mediate the
327 peripheral chemoreflex. During peripheral chemoreflex, input from the carotid body (CB)
328 was represented by a constant drive to the 2nd-order NTS neurons. This population of
329 simple spiking neurons distributed excitatory projections to the respiratory and
330 sympathetic circuits. To account for the increase in respiratory frequency, which should
331 primarily occur through shortening of the E2 phase, we implemented direct excitation
332 from the 2nd-order NTS neurons to the early-I (1) and pre-I/I populations of the pre-BötC.
333 The pre-I/I population is the primary excitatory population contributing to the initiation
334 of inspiration.

335 To account for the post-I activity in motoneuron output, we introduced another
336 post-I population (bulbo-spinal cVRG). This population receives tonic excitation from
337 the 2nd-order NTS neurons during peripheral chemoreflex. In addition, the activity of this
338 population is modulated by the respiratory CPG; inhibition from aug-E and early-I (2)

339 shape its output to allow only post-I activity. This population receives tonic excitation
340 from 2nd-order chemoreceptive neurons in the NTS. Activation of this population during
341 inspiration is prevented by inhibition from early-I (2); activation of this population during
342 E2 is suppressed by inhibition from aug-E. Thus, activation of and excitation from the
343 2nd-order chemoreceptive NTS neurons translate to post-I activity in the cVRG. This
344 post-I (cVRG) population is responsible for post-I activity in the HN, AbN, and tSN and
345 augments the post-I component in the cVN.

346 In our previous model, we described how CO₂-sensitive drive from the RTN
347 mediated the central chemoreflex in respiratory circuits (Molkov et al., 2010, Molkov et
348 al., 2011). Increased drive from the RTN could drive the pFRG late-E population to
349 threshold, and in this way, the central chemoreflex induces the onset of bursting activity
350 in the pFRG, which is observed as late-E activity in the AbN. In the extended model, a
351 CO₂-sensitive drive excites two distinct neuronal populations in the RTN (RTN-late-E
352 and RTN-cpg) (see Fig. 4). One of these populations—the RTN-cpg—also received input
353 from 2nd-order NTS chemoreceptive neurons. The dynamics of individual neurons in
354 these two RTN populations were not modeled; rather, we directly simulated the firing
355 rate of the population. The RTN-cpg population projected to: pre-I/I (pre-BötC), early-I
356 (1) (pre-BötC), post-I (BötC), and late-E (pFRG). The RTN-late-E population projected
357 only to the late-E population of the pFRG. Therefore, the pFRG late-E population
358 receives excitatory projections from both peripheral chemoreflex sensitive and peripheral
359 chemoreflex insensitive central chemoreceptive populations in the RTN. The CO₂-
360 dependence of RTN-cpg and RTN-late-E is the same and mediates the central
361 chemoreflex in a similar fashion to the direct CO₂-sensitive drive in Molkov et al. (2011).
362 Progressive hypercapnia and hypocapnia were modeled by changing the magnitude of the
363 CO₂ sensitive drive to RTN-cpg and RTN-late-E.

364 Since stimulation of the peripheral chemoreceptors can initiate late-E activity in
365 the AbN, which is RTN-dependent (Moraes et al., 2012a), we extended the model to
366 include excitation from 2nd-order NTS peripheral chemoreceptive neurons to augment
367 excitatory drive from the RTN to the CPG. We implemented a direct projection from the
368 2nd-order NTS chemoreceptive neurons to the RTN-cpg population. The RTN-cpg
369 population distributes both central and peripheral chemosensory drive to the respiratory

370 circuits. Notice that the RTN-late-E population does not receive excitation from the 2nd-
371 order NTS chemoreceptive neurons, so this component of excitatory input to the pFRG
372 late-E population is dependent on the central chemoreflex but independent of the
373 peripheral chemoreflex.

374 The model by Baekey et al. (2010) described the baroreflex circuits, including
375 2nd-order baroreceptive NTS neurons and the pre-sympathetic circuits in the ventrolateral
376 medulla. In that model, 2nd-order baroreceptive NTS neurons directly excited the CVLM,
377 which inhibited RVLM to provide sympathoinhibition. Here, we implement a parallel
378 pathway where 2nd-order peripheral chemoreceptive NTS neurons project directly to the
379 RVLM in order to mediate sympathoexcitation during peripheral chemoreflex for which
380 there is experimental evidence (Aicher et al., 1996, Koshiya and Guyenet, 1996).

381 As mentioned above, hypercapnia induces late-E activity in the AbN. CIH rats
382 experience a hypocapnic shift in the threshold for emergence of this late-E activity
383 (Abdala et al., 2009, Molkov et al., 2010, Molkov et al., 2011) (Fig. 1). On the other
384 hand, experimental evidence in SHR suggests that the pre-I/I population in the pre-BötC
385 becomes more excitable via a decrease in leak conductance (Moraes et al., 2014). We
386 used this evidence to formulate the hypothesis that repetitive activation of peripheral and,
387 hence, central chemoreceptors during CIH (see emphasized excitatory projections in Fig.
388 4) conditioning induces plasticity in this population as recently proposed (Moraes et al.,
389 2015, Zoccal, 2015); specifically, we modelled the respiratory plasticity evoked by CIH
390 as a decrease in the leak conductance of the pre-I/I population. The average leak
391 conductance of these neurons was decreased from 2.9 nS in the control model to 2.3 nS in
392 the CIH model. In order for the increase in excitability of the pre-I/I population to
393 contribute to the excitability of the late-E (pFRG) population, we implemented a direct
394 excitatory projection from pre-I/I (pre-BötC) to late-E (pFRG). The relative increase of
395 the sympathoexcitatory response to peripheral chemoreceptor activation was
396 implemented by a change in the chemosensory drive to 2nd-order peripheral
397 chemoreceptive NTS neurons (see Table 1).

398

399 *Simulation of peripheral chemoreceptor activation in naïve rats*

400 The extended model was used to investigate the effects of peripheral
401 chemoreceptors activation on the respiratory-sympathetic networks. Fig. 5A and Fig. 6A
402 depict the activity of the respiratory circuits during normal conditions and during
403 activation of peripheral chemoreceptors. A square pulse of excitatory drive to the 2nd-
404 order NTS chemoreceptive neurons elicited an increase in network frequency which was
405 based on the additional excitation to pre-I/I and early-I (1) neurons that overcame
406 inhibition from the inhibitory populations in the BötC and initiate inspiration. Hence, the
407 expiratory phase decreased in duration. Specifically, the duration of bursts in the aug-E
408 and post-I populations of the BötC decreased (Fig. 6A). However, the duration of
409 inspiration (Fig. 5A) and the burst duration of early-I (1) (Fig. 6A) did not change
410 substantially. After peripheral chemoreceptor stimulation, the model captured the
411 appearance of post-I activity in the HN, AbN, and tSN and increased post-I activity in the
412 cVN that are prevalent in experimental recordings (Fig. 7). In the model, this activity was
413 driven by the post-I (cVRG) population; it was silent without input from 2nd-order NTS
414 chemoreceptive neurons, and received respiratory modulation in the form of inhibition
415 from BötC aug-E and strong inhibition from pre-BötC early-I (1) (see Fig. 4). This
416 inhibition occurred in the I-phase and E2-phase of respiration (Fig. 6A).

417 We propose that 2nd-order NTS chemoreceptive neurons project directly to central
418 chemosensory populations of the RTN. During peripheral chemoreceptor activation, the
419 CO₂ sensitive drive from RTN-cpg to the respiratory circuits is augmented. Hence, the
420 pathway for stimulation of the late-E (pFRG) population becomes active (Fig. 6A). The
421 model captured the appearance of late-E activity in the HN, AbN, and tSN that is
422 prevalent in experimental recordings. The increase in drive to the pFRG was sufficient to
423 induce periodic bursts of activity immediately preceding each inspiratory burst (Fig. 7).
424 Tonic and respiratory-modulated activity in tSN during peripheral chemoreceptor
425 activation increased due to converging direct and indirect excitatory pathways to RVLM.
426 RVLM possessed a strong post-I component due to excitation from the post-I population
427 in cVRG and a late-E component originating from the pFRG (Fig. 7).

428

429 *Simulation of transient activation of peripheral chemoreceptors in naïve rats with RTN*
430 *suppressed*

431 Evidence suggests that late-E activity in the AbN during hypercapnia is dependent
432 on an excitatory drive from the RTN (Molkov et al., 2010, Moraes et al., 2012a).
433 Moreover, late-E activity in the AbN is abolished upon suppression of the RTN (Moraes
434 et al., 2012a). Here, we reproduce experimental results of RTN suppression in the model.
435 Fig. 5B and Fig. 6B depict activation of peripheral chemoreceptors with the RTN
436 suppressed. To simulate the effects of muscimol injected in the RTN, we inhibited the
437 central chemosensory populations in the RTN and the late-E pacemaker in pFRG. This
438 manipulation was mimicked by reducing the CO₂ sensitive drive to RTN-cpg and RTN-
439 late-E from 1.08 nS to 0.84 nS. Input from 2nd-order NTS peripheral chemoreceptive
440 neurons to the central chemosensory complex in the RTN was not able to overcome
441 inhibition by muscimol and activate the late-E population. The activation of the post-I
442 population in the cVRG and the projections from the 2nd-order NTS chemoreceptive
443 neurons into the respiratory CPG and pre-sympathetic groups was unaffected by
444 suppression of the RTN (Fig. 5B and Fig. 6B). Due to the inhibition of the RTN, the late-
445 E population in the pFRG remained silent during peripheral chemoreceptor activation
446 (Fig. 6B), and hence, no late-E activity appeared in the AbN and tSN motor outputs (Fig.
447 5B). Decrease in drive to the RTN also reduces drive distributed to the CPG; RTN
448 suppression induces a reduction in the baseline frequency of the respiratory rhythm.

449

450 *Simulation of transient activation of peripheral chemoreceptors in CIH rats*

451 The main difference in the effect of peripheral chemoreceptors in CIH compared
452 to naïve rats is a substantial increase in sympatho-excitation (Braga et al., 2006) (Fig. 3).
453 The tonic component of sympatho-excitation is mediated by a direct projection from 2nd-
454 order NTS chemoreceptive neurons to the pre-sympathetic RVLM. Activation of this
455 chemosensory drive leads to a tonic component to the sympathetic outflow during
456 peripheral chemoreceptor activation by means of this direct projection to RVLM (Fig.
457 8A). To accommodate an increase in sympatho-excitation in CIH compared to the
458 control model, we increased the amplitude of the peripheral chemoreceptor input by a
459 factor of approximately 2. During peripheral chemoreceptor activation, the firing
460 frequency of 2nd-order NTS chemoreceptive neurons is greater in the CIH model than in
461 the control model. As such, the efficacy of the excitatory projection to RVLM is greater,

462 which causes greater sympathetic outflow in the CIH model upon activation of the
463 peripheral chemoreceptors (Fig. 8B). These effects of increased chemosensory drive in
464 the CIH model are only visible during activation of the peripheral chemoreceptors.
465 sensors.

466

467 *Simulation of progressive hypercapnia and hypocapnia in the naïve model and the*
468 *CIH model*

469 A hallmark of CIH in the respiratory circuits is a hypocapnic shift in the threshold
470 for the emergence of self-sustained rhythmic respiratory activity (apneic threshold) and a
471 hypocapnic shift in the threshold for the emergence of active expiration. Previously, we
472 described these changes in terms of direct sensitization of the RTN to the partial pressure
473 of CO₂ in the blood (Molkov et al., 2011, Rybak et al., 2012, Molkov et al., 2014b). The
474 previous model does not describe the mechanism by which CIH induces plasticity in the
475 respiratory CPG. As described above, the pre-I/I population in the pre-BötC of SHR has
476 smaller leak conductance compared to Wistar rats (Moraes et al., 2014). Here, we extend
477 the model to incorporate similar change in the pre-I/I population to explain CIH induced
478 plasticity to the respiratory CPG. Increased excitability of pre-I/I population readily
479 explains a lower apneic threshold after CIH. By adding a glutamatergic excitatory
480 projection from this pre-I/I population to the late-E population in the pFRG, the increased
481 excitability in the pre-BötC is integrated by the pFRG to lower its threshold for
482 activation. This projection mediates a change in the active expiration threshold induced
483 by plasticity to the pre-I/I population in the CIH model.

484 In the model, we incrementally increased central chemosensory drive in the
485 control and CIH models to simulate progressive increase in blood CO₂ from normocapnia
486 at 5% to mild and then strong hypercapnia at respectively 7% and 10% partial pressure of
487 CO₂ (Fig. 9A). To accommodate progressive hypercapnia in the model, we changed the
488 weight of the CO₂ sensitive drive to RTN-cpg and RTN-late-E to 1.2 nS for 7% CO₂ and
489 to 1.32 nS for 10% CO₂. This simulation is parallel to experiments performed in the
490 arterially perfused preparation where the partial pressure of CO₂ in the perfusate was
491 implemented over the same incremental range (Molkov et al., 2011) (Fig. 1). In the
492 control model, active expiration (marked by the presence of late-E activity in the AbN)

493 emerges at 7% CO₂ (Fig. 9A). The frequency of these late-E AbN bursts increased in a
494 quantal fashion (Molkov et al., 2010, Rubin et al., 2011) from 1:2 AbN bursts per
495 inspiratory PN bursts at 7% CO₂ to 1:1 AbN bursts per inspiratory PN bursts at 10% CO₂.
496 In the CIH model, the threshold for emergence of late-E AbN activity is decreased (Fig.
497 9A) as in normocapnia (5% partial pressure of CO₂), AbN bursts are already present after
498 CIH conditioning.

499 We incrementally decreased central chemosensory drive in the control and CIH
500 models to simulate a progressive decrease in blood CO₂ partial pressure from
501 normocapnia at 5% blood CO₂ to mild and then strong hypocapnia - 3% and 1% partial
502 pressure of CO₂ respectively. We accomplished progressive hypocapnia in the model by
503 decreasing the weight of the CO₂ sensitive drive to RTN-cpg and RTN-late-E to 0.72 nS
504 for 3% CO₂ and to 0 nS for 1% CO₂. In the control model, respiratory activity—
505 represented as inspiratory bursts reflected in the PN—persists in mild hypocapnia (Fig.
506 9B, Control, 3% CO₂) but disappears in strong hypocapnia (Fig. 9B, Control, 1% CO₂).
507 After CIH a decrease from 5% to 3% CO₂ eliminates late-E discharges in AbN (Fig. 9B,
508 After CIH). A further decrease to 1% CO₂ does not stop the respiratory rhythm as
509 opposed to the control case, which is similar to findings in the rat (Reference).

510

511 **Discussion**

512

513 The model presented qualitatively reproduced the effects of peripheral
514 chemoreflex activation in the arterially perfused preparation of decerebrate rats. By
515 changing a subset of biophysical parameters, the model was also able to reproduce the
516 response to progressive hypercapnia and hypocapnia as well as increased
517 sympathoexcitation in CIH. This model provided possible mechanistic explanations to
518 the peripheral chemoreflex response and to plasticity induced by CIH. The model was
519 based on several hypotheses that can be tested in experimental animals (each developed
520 further below): (Hypothesis 1) 2nd-order peripheral chemoreceptive neurons in the NTS
521 project directly to the RTN central chemoreceptors (the anatomical projections were
522 previously confirmed by Takakura et al. (2006)); (Hypothesis 2) sympathetic neurons in
523 the RVLM receive convergent excitatory inputs from late-E (pFRG), a post-I population

524 in the cVRG, and 2nd-order chemoreceptive neurons in the NTS; and (Hypothesis 3) CIH-
525 induced plasticity in the brainstem circuits can be explained by a down-regulation of
526 ohmic leak channels in the pre-I/I population (pre-BötC).

527

528 *Peripheral chemoreflex in control rats*

529

530 During peripheral chemoreceptor stimulation the respiratory frequency
531 substantially increases (Fig. 2) whereas RTN central chemoreceptor activation during
532 hypercapnia does not lead to significant frequency variations (Molkov et al., 2010,
533 Molkov et al., 2014a). Based on this we assumed that NTS peripheral chemoreceptors
534 accelerate phrenic discharges by exciting the inspiratory neurons in the pre-BötC which
535 was reflected in the model by direct excitatory projections from NTS to the pre-I/I
536 population (Fig. 4). This possibility is supported by previous studies showing that
537 microinjections of glutamate in the pre-BötC increase PN frequency *in vivo* and *in situ*
538 while the antagonism of ionotropic glutamatergic receptors in this area eliminated the
539 PN, but not the AbN and tSN responses to peripheral chemoreflex activation *in situ*
540 (Moraes et al., 2011, Moraes et al., 2012c).

541 Peripheral chemoreceptor activation led to the emergence of late-E discharges in
542 the abdominal and sympathetic nerve activities (Fig. 2). These late-E bursts strongly
543 resembled the discharges appearing in the same nerves during hypercapnia (Molkov et
544 al., 2011). Appearance of late-E activity during hypercapnia is mediated by the increased
545 tonic drive provided by the RTN chemoreceptors (Molkov et al., 2010). Further, late-E
546 discharges emerging in AbN and tSN during peripheral chemoreceptor stimulation can be
547 abolished by pharmacological suppression of the RTN (Moraes et al., 2012a). These facts
548 are consistent with the hypothesis that NTS second order peripheral chemoreceptive
549 neurons send excitatory inputs to the RTN central chemoreceptors (Takakura et al., 2006)
550 (**Hypothesis 1**). This was implemented in the model as direct excitatory projections from
551 2nd order peripheral chemoreceptive NTS neurons to RTN chemoreceptors (Fig. 4).

552 Activation of peripheral chemoreceptors was accompanied by powerful
553 discharges in HN, cVN, AbN, and tSN motor outputs during the post-inspiratory phase of
554 the respiratory cycle (Fig. 2). This means that activation of 2nd order NTS

555 chemoreceptive cells may have a direct excitatory effect on expiratory neurons. Direct
556 excitation of post-I or aug-E neurons in the BötC compartment of the respiratory CPG
557 would be inconsistent with an increase in the respiratory frequency. Accordingly, we
558 suggest that this post-I activity is recruited at the level of pattern formation rather than
559 pattern generation. In the model, we placed a new population in the cVRG which is silent
560 at baseline conditions.

561 There is well-documented evidence of direct excitatory projections from 2nd order
562 NTS peripheral chemoreceptive neurons to the RVLM (see Accorsi-Mendonca and
563 Machado (2013) for review) which mediate sympathoexcitatory effect of peripheral
564 chemoreceptor stimulation. Our model implies that there are at least two more indirect
565 pathways mediated by the respiratory neurons (**Hypothesis 2**).

566 The first is a consequence of excitatory projections from RTN late-E population
567 to RVLM suggested in our previous publications (Baekey et al., 2010, Molkov et al.,
568 2011, Rybak et al., 2012, Molkov et al., 2014b) to explain appearance of late-E
569 discharges in the sympathetic activity during hypercapnia. The RTN late-E population
570 receives excitatory drive from the RTN central chemoreceptors which increases with
571 blood CO₂ level due to their intrinsic CO₂ chemosensitivity. Our model suggests that an
572 excitatory input from the NTS peripheral chemoreceptors to RTN central chemoreceptors
573 (Takakura et al., 2006), is functionally important to activate RTN late-E neurons and to
574 consequently evoke late-E discharges in the sympathetic nerve during peripheral
575 chemoreceptor stimulation. The critical role of the RTN in the generation of late-E bursts
576 during peripheral chemoreflex was previously demonstrated (Moraes et al., 2012a).

577 The second indirect pathway is mediated by the post-I population, which we
578 introduced to explain the occurrence of strong post-inspiratory discharges in multiple
579 respiratory and sympathetic motor outputs, and putatively placed to the cVRG
580 compartment of the respiratory network. This new population receives inhibition during
581 inspiratory and E2 phases, and can only activate during post-inspiration by an excitatory
582 peripheral chemoreceptor drive from NTS. Apparently, this post-I mediated pathway
583 seems to play a dominant role, since the depression of post-I activity elicited either by the
584 glutamatergic antagonism in the NTS (Costa-Silva et al., 2010) or pontine-medullary

585 transection (Baekey et al., 2008) significantly attenuated the sympatho-excitatory
586 response to peripheral chemoreflex stimulation.

587

588 *CIH-induced central and peripheral plasticity*

589

590 Given that the pre-I/I population of the pre-BötC is a primary target for tonic
591 excitatory drives to the respiratory CPG and that these drives are strongly activated
592 during the peripheral chemoreflex response (Moraes et al., 2014), we speculated that
593 repetitive activation of the peripheral chemoreflex may induce plasticity of channel
594 expression due to prolonged excessive excitation. Recent evidence in SHR indicates a
595 decrease in the leak conductance of pre-inspiratory neurons in the pre-Bötzinger complex
596 (Moraes et al., 2014) which elevates their excitability. Our model shows that similar
597 changes as a result of CIH exposure may explain abovementioned downshifts in the CO₂
598 thresholds (**Hypothesis 3**). However, the mechanisms responsible for such plasticity
599 remains to be found.

600 One possibility is that this change is mediated by downregulation of potassium
601 leak channels. Persistent and repetitive activation of group I metabotropic glutamate
602 receptors over the course of CIH conditioning would increase the catalyzation of
603 diacylglycerol, leading to activation of protein kinase C and the subsequent decrease of
604 the leak conductance through channel protein trafficking (Gabriel et al., 2012). Another
605 example of similar changes consistent with the timescale considered in our study is an
606 excitotoxicity-mediated transcriptional decrease in HCN channel function found to
607 increase excitability of CA1 cells (Adams et al., 2009). In that study an induced increase
608 in synchronous burst duration correlated with a reduction in HCN2 mRNA levels which
609 persisted for at least 7 days. HCN channels are primarily permeable to K⁺ ions, and,
610 hence, their downregulation positively affects the excitability. This is consistent with the
611 recent idea of peripheral chemoreceptor mediated channelopathy within the respiratory
612 network in SHRs (Moraes et al., 2015).

613 As already mentioned, after CIH conditioning the respiratory CPG exhibits higher
614 respiratory rate and lower CO₂ thresholds for both late-E activity emergence and
615 hypocapnic apnea (Figs. 1, 9). Previously this was explained by increased CO₂ sensitivity

616 of the RTN central chemoreceptors following CIH exposure (Molkov et al., 2011) but no
617 experimental evidence of any intrinsic changes in the central chemoreceptors is available.
618 Our present model provides a different explanation based on increased excitability of the
619 pre-BötC pre-I/I population discussed above. Since this population is a main driver of the
620 inspiratory activity in the network, its increased excitability alone would lead to a lesser
621 dependence on excitatory drive from RTN central chemoreceptors and, hence, to a lower
622 apneic threshold. To explain the lower threshold for late-E emergence, we hypothesize
623 and implement in the model that pre-I/I neurons send excitatory projections to the RTN
624 late-E population (Fig. 4). Due to increased excitability after CIH exposure, pre-I/I
625 neurons increase their firing including the pre-I (late-E) phase and thus provide additional
626 excitation to the RTN late-E population which underlies the emergence of late-E activity
627 at lower CO₂ levels (Fig. 9).

628 CIH conditioned rats exhibit a stronger peripheral chemoreflex evoked
629 sympathetic response than control animals (Fig. 3). We speculated that this effect reflects
630 stronger activation of the direct sympathoexcitatory pathway rather than indirect inputs
631 from respiratory populations. This assumption is in accord with the fact that CIH
632 exposure increases the duration but not the magnitude of the respiratory response to
633 peripheral chemoreceptor stimulation (Fig. 3). We suggest that the underlying mechanism
634 is chronic sensitization of peripheral chemoreceptors during CIH conditioning which
635 finds strong experimental support (Abdala et al 2012; Pawar et al., 2008, Tan et al., 2010,
636 Zoccal et al., 2011, Costa-Silva et al., 2012, Kumar and Prabhakar, 2012). That is not to
637 say that baseline facilitation of motoneuron activity in CIH rats is dependent on
638 peripheral chemoreceptor sensitization. For example, AbN and tSN late-E activity
639 persists despite carotid body transection after CIH conditioning (Zoccal et al., 2008).

640 Alternative brainstem plasticity could contribute to increased peripheral
641 chemoreflex sympathoexcitation after CIH conditioning. For example glutamatergic
642 transmission in the NTS is augmented in CIH (Costa-Silva et al., 2012). In this case,
643 plasticity of NTS chemoreceptive neural response to peripheral chemoreflex stimulation
644 could amplify the motoneuron responses independent of the strength of the input from the
645 carotid body. Besides, CIH conditioning increases the strength of the purinergic
646 sympathoexcitatory response in the RVLM (Zoccal et al., 2011). This mechanism could

647 account for increased sympathoexcitatory response to peripheral chemoreflex
648 stimulation. In the model we implement that as a greater activity of the 2nd-order
649 chemoreceptive NTS neurons in CIH-conditioned animals as compared to the naïve ones.
650 Our simulations support the plausibility of this assumption (Fig. 8).

651

652 **Summary and Conclusions**

653

654 The generation of novel bursts in sympathetic activity coupled with the
655 emergence of active expiration has been highlighted as an important mechanism
656 underpinning high levels of sympathetic activity and arterial pressure in rats submitted to
657 CIH (Zoccal et al., 2008, Zoccal et al., 2009, Moraes et al., 2013). Although carotid body
658 chemoreceptors were found to be critical for the development of CIH-induced arterial
659 hypertension (Fletcher et al., 1992), inputs from peripheral chemoreceptors are not
660 required for the maintenance of expiratory component of the sympathetic activity – since
661 the carotid body removal after CIH exposure did not eliminate late-E activity in the
662 sympathetic nerve (Molkov et al., 2011). In fact, hypocapnia-induced reduction of
663 respiratory drive canceled the sympathetic and abdominal late-E bursts in CIH rats and
664 rescued the normal sympathetic burst pattern (Molkov et al., 2011), indicating that
665 coupling between respiratory and sympathetic networks is a critical mechanisms for
666 maintenance of sympathetic overactivity after CIH exposure. In our study, we sought to
667 identify the potential neural mechanisms required for the development of active
668 expiration and sympathetic overactivity in CIH rats.

669 In order to simulate motoneuron activity of rats conditioned by CIH, a subset of
670 parameters in the CIH model were altered from the values in the control model. These
671 changes reflected central and peripheral plasticity. We modeled central plasticity in the
672 brainstem by increasing the excitability of the pre-I/I population. The conductance of the
673 leak current in neurons of the pre-I/I population was changed from 2.9 nS to 2.3 nS. This
674 change mediated the hypocapnic shift in apneic threshold and the threshold for the
675 emergence of active expiration (Fig. 9). We mimicked peripheral plasticity due to CIH by
676 increasing the excitatory drive to 2nd-order chemoreceptive neurons in the NTS during
677 peripheral chemoreflex. In the control model, the weight of this drive was 0.75 nS, and it

678 increased in magnitude to 1.6 nS in the CIH model. The effect of this change is only
679 visible during stimulation of the peripheral chemoreflex (Fig. 8).

680 Our hypothesis implies that the discussed plastic changes in the respiratory
681 network critically depend on the peripheral chemoreceptor input and not on hypoxia per
682 se. This is indirectly supported by multiple experimental studies (see (Paton et al., 2013a)
683 for review) and emphasizes the importance of carotid bodies as a possible therapeutic
684 target for treating neurogenic hypertension (McBryde et al. 2013; Paton et al., 2013b).

685

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687

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693

694 **Figure legends**

695

696 **Figure 1.** Recordings depict activity of PN and AbN under progressive hypocapnia and
697 hypercapnia in *in situ* preparations of control and CIH rats. The hypercapnic threshold for
698 emergence of late-expiratory activity in AbN decreases after CIH. The hypocapnic
699 threshold for the appearance of respiratory activity in PN is also decreased in CIH rats.

700 Adapted from (Molkov et al., 2011).

701

702 **Figure 2.** Appearance of additional motoneuron activation during peripheral
703 chemoreceptor activation. Tracings from two recordings from *in situ* preparations (panels
704 **A** and **B**), representative from the group, showing the changes in the HN, cVN, PN, AbN
705 and tSN in response to peripheral chemoreceptor activation by KCN (arrows, 0.05%).
706 Note that during chemoreflex activation, post inspiratory activity increases in cVN and
707 novel post-inspiratory components appears in tSN, AbN, and HN. Late expiratory activity

708 also appears in SN, AbN, and HN. Gray bars highlight post-inspiratory phases of the
709 respiratory cycle. C. Percent changes in amplitude of different motor outputs during
710 peripheral chemoreflex activation.

711

712 **Figure 3.** CIH exaggerates respiratory and sympathetic chemoreflex responses. **A.**
713 Tracings from control (left) and CIH (right) rats, representative from their respective
714 experimental group, showing the PN and tSN responses to stimulation of the peripheral
715 chemoreflex with KCN (arrows). Note the amplified tSN response during peripheral
716 chemoreceptor stimulation in CIH rats. **B.** Tracings from control (left) and CIH (right)
717 rats, representative from their respective experimental group, showing the PN and AbN
718 responses to stimulation of the peripheral chemoreflex with KCN (arrows). Note the
719 prolonged AbN response during peripheral chemoreceptor stimulation in CIH rats. **C.**
720 Percent change in tSN amplitude during peripheral chemoreflex in control and CIH rats.
721 * denotes statistically significant difference. See text for details. **D.** Time course of the
722 percent change in respiratory frequency relative to baseline after peripheral
723 chemoreceptor activation with KCN for control (open squares) and CIH (filled squares)
724 groups. Data are shown as mean±SD. Note prolonged frequency response in CIH group.
725 **E.** No significant difference in percent change in AbN amplitude during peripheral
726 chemoreflex between control and CIH groups. **F.** Durations of post-I and late-E
727 expiratory phases relative to the expiration duration in control and CIH groups during
728 peripheral chemoreflex. **G.** No significant difference in percent change in cVN amplitude
729 during peripheral chemoreflex between control and CIH groups.

730

731 **Figure 4.** Network connectivity diagram for model of brainstem respiratory circuits.
732 Brainstem compartments: VRC, ventral respiratory column; BötC, Bötzinger complex;
733 pre-Bötzinger complex; rVRG, rostral ventral respiratory group; cVRG, caudal ventral
734 respiratory group; NTS, nucleus tractus solitarii; RTN/pFRG retrotrapezoid
735 nucleus/parafacial respiratory group; RVLM, rostral ventrolateral medulla; CVLM,
736 caudal ventrolateral medulla. Neural populations: pre-I/I, pre-inspiratory/inspiratory;
737 early-I(1), early inspiratory (1); ramp-I, ramp inspiratory; early-I(2), early inspiratory (2);
738 post-I, post inspiratory; post-I (e), post inspiratory excitatory; aug-E, augmenting

739 expiratory; 2nd Chemo, 2nd-order chemoreceptors; bulbo-spinal post-I, bulbo-spinal post
740 inspiratory; late-E, late expiratory; IE, inspiratory-expiratory phase-spanning; RTN-CPG,
741 CO₂-sensitive population projecting to CPG and late-E (pFRG); RTN-late-E, CO₂-
742 sensitive population projecting just to late-E (pFRG). Motoneurons: PN, phrenic nerve;
743 AbN, abdominal nerve; tSN, thoracic sympathetic nerve; HN, hypoglossal nerve; cVN,
744 cervical vagus nerve. Excitatory neural populations, inhibitory neural populations, and
745 excitatory drives are respectively represented as orange spheres, blue spheres, and green
746 triangles. Motoneurons are depicted as brown spheres. Red projections originating in
747 neural populations depict excitatory projections. Blue projections originating in neural
748 populations depict inhibitory projections. Green projections indicate the distribution of
749 excitatory tonic drive. The bold excitatory pathways emphasize converging peripheral
750 chemosensitive projections to the pre-I/I population. Populations that were not included
751 in a previous model are marked with an asterisk.

752

753 **Figure 5.** Simulation depicting the response of motoneuron output (HN, cVN, PN, AbN,
754 and tSN) to the activation of the peripheral chemoreflex (**A**) and the motoneuron
755 response during suppression of RTN (**B**). (**A**) During activation of peripheral
756 chemoreflex, network frequency increases; post-inspiratory activity appears in HN, AbN,
757 and tSN motor nerves, and post-inspiratory activity in cVN increases in amplitude. Late
758 expiratory activity appears in HN, AbN, and tSN. (**B**) The suppression of the RTN
759 abolishes late-expiratory activity in HN, AbN, and tSN but has little effect on post-I
760 activity. The interval highlighted in yellow corresponds to the duration over which the
761 peripheral chemoreflex is stimulated. Baseline activity of PN is highlighted in grey to
762 emphasize the difference in frequency in the control model and the model with RTN
763 suppressed before the peripheral chemoreflex stimulation.

764

765 **Figure 6.** Simulation of activity of respiratory and sympathetic populations (early-I (1)
766 (pre-BötC), post-I (BötC), aug-E (BötC), late-E (pFRG), and post-I (cVRG)) before and
767 during stimulation of peripheral chemoreflex (**A**) and the activity of respiratory and
768 sympathetic populations under suppression of RTN during stimulation of peripheral
769 chemoreflex (**B**). (**A**) Peripheral chemoreflex increases drive to the respiratory central

770 pattern generator—increases network frequency and activating the late-E (pFRG) and
771 post-I(cVRG) populations. **(B)** The suppression of RTN for the duration of the simulation
772 abolishes expiratory activity in the late-E (pFRG) population.

773

774 **Figure 7.** Blow-up of activity during peripheral chemoreflex in the control model.
775 Motoneuron output is compared to the activity of late-E (pFRG) and post-I (cVRG).
776 Activity during late-E and post-I phases are highlighted in yellow and grey, respectively.

777

778 **Figure 8.** Simulations of **(A)** the control model and **(B)** the CIH model depicting activity
779 in PN and tSN. The amplitude of tSN activity is increased in the CIH model compared to
780 the control model with late-E bursting present.

781

782 **Figure 9.** Simulations of progressive **(A)** hypercapnia and **(B)** hypocapnia in PN and
783 AbN in the control model and the CIH model. **(A)** Simulations reproduce hypocapnic
784 shift in threshold for the emergence of late-expiratory activity in the AbN in the CIH
785 model. **(B)** Simulations reproduce hypocapnic shift in the onset of respiratory activity of
786 the PN in the CIH model.

787

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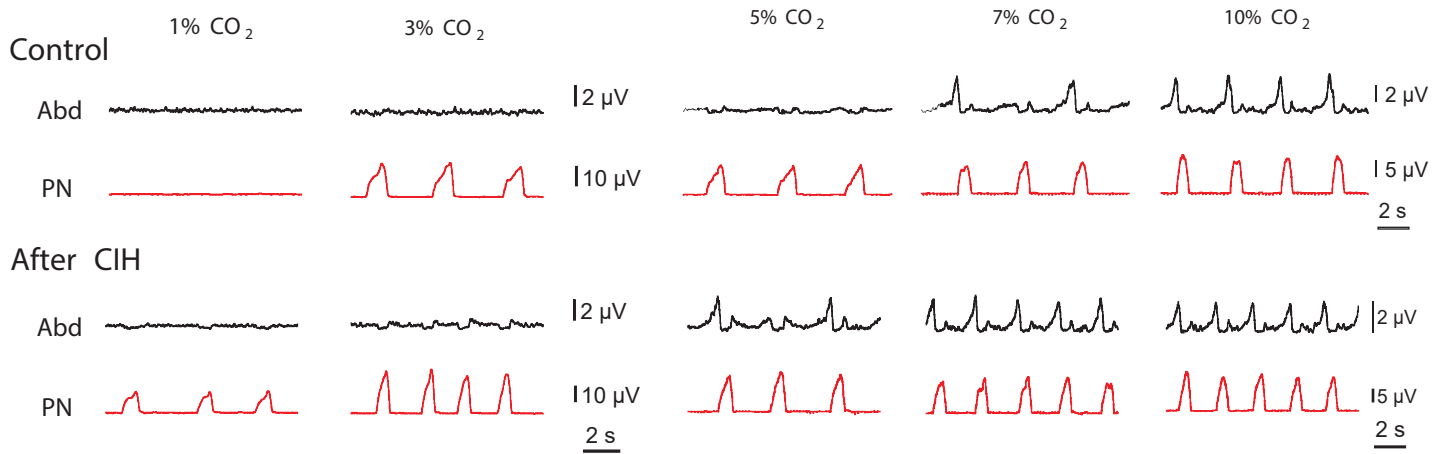
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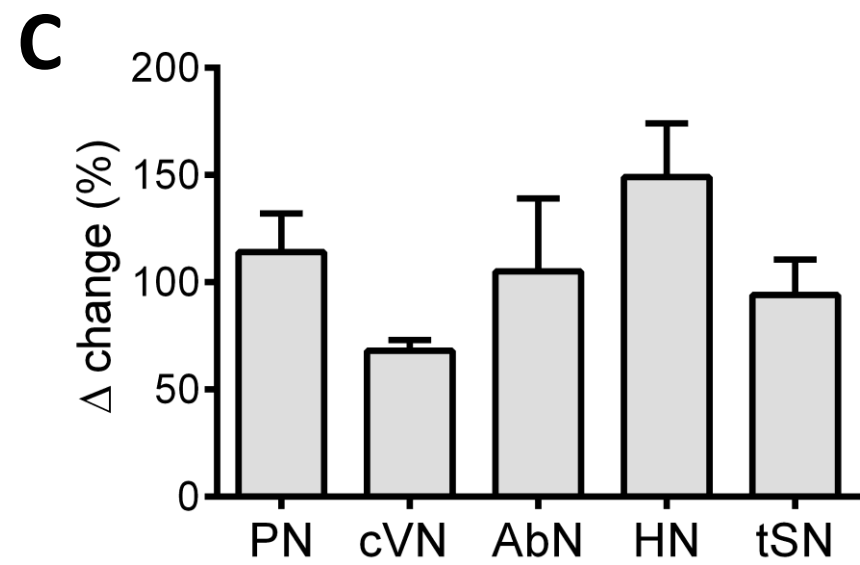
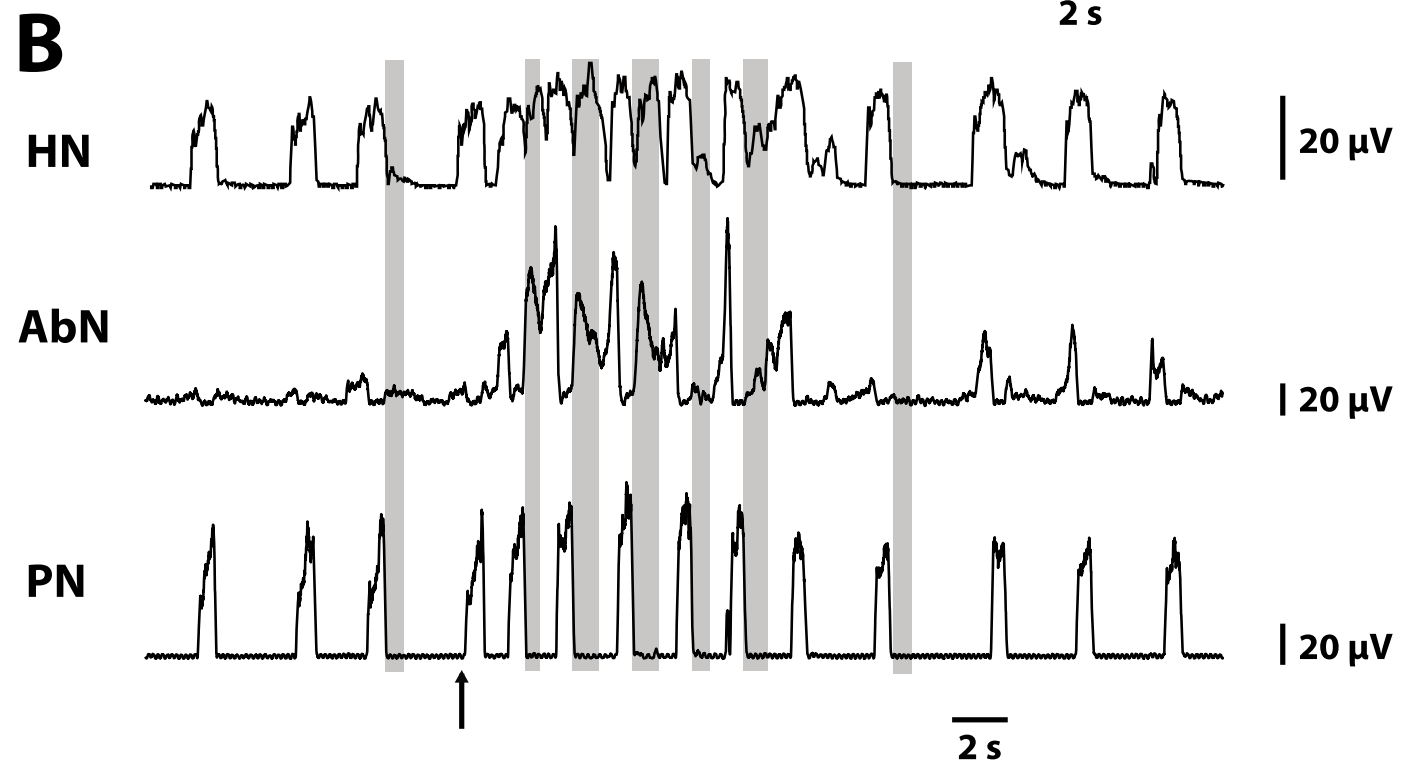
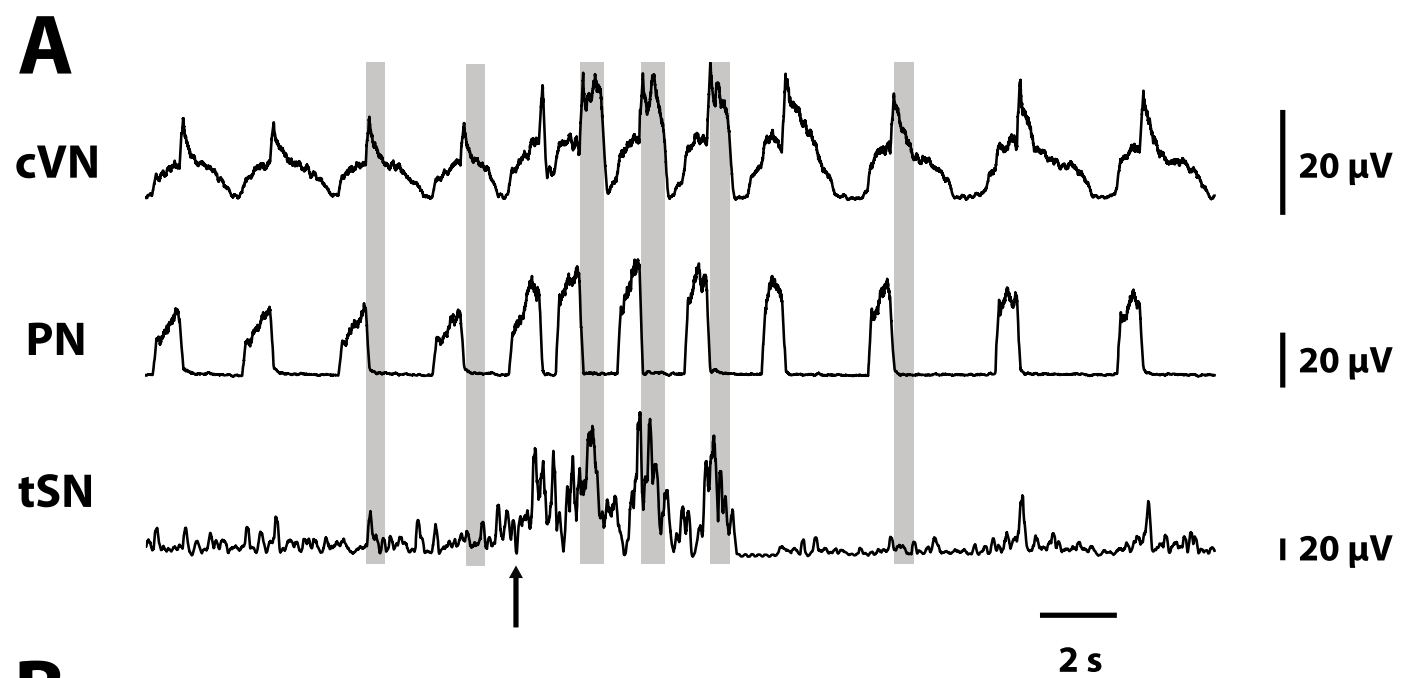


Figure 2

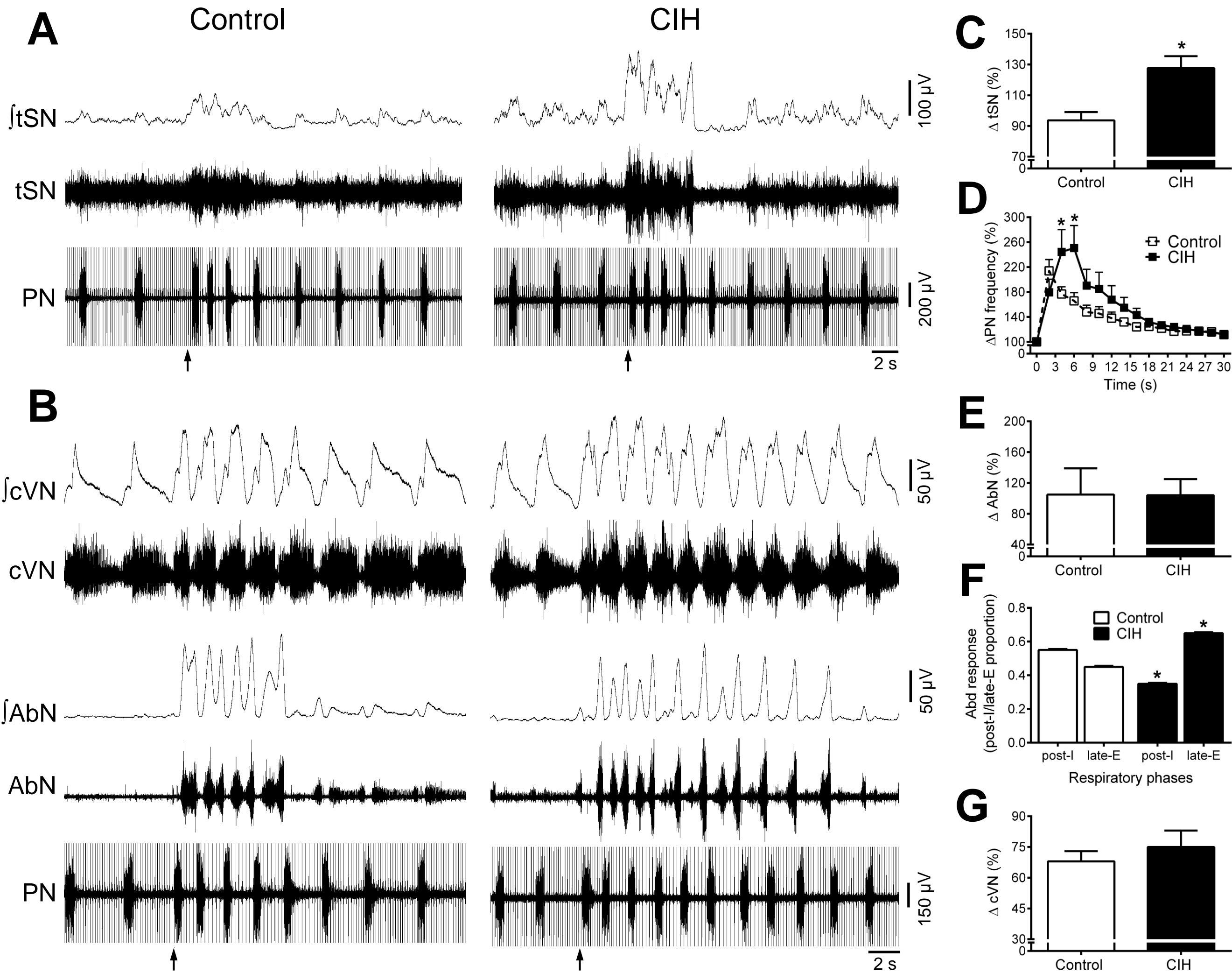
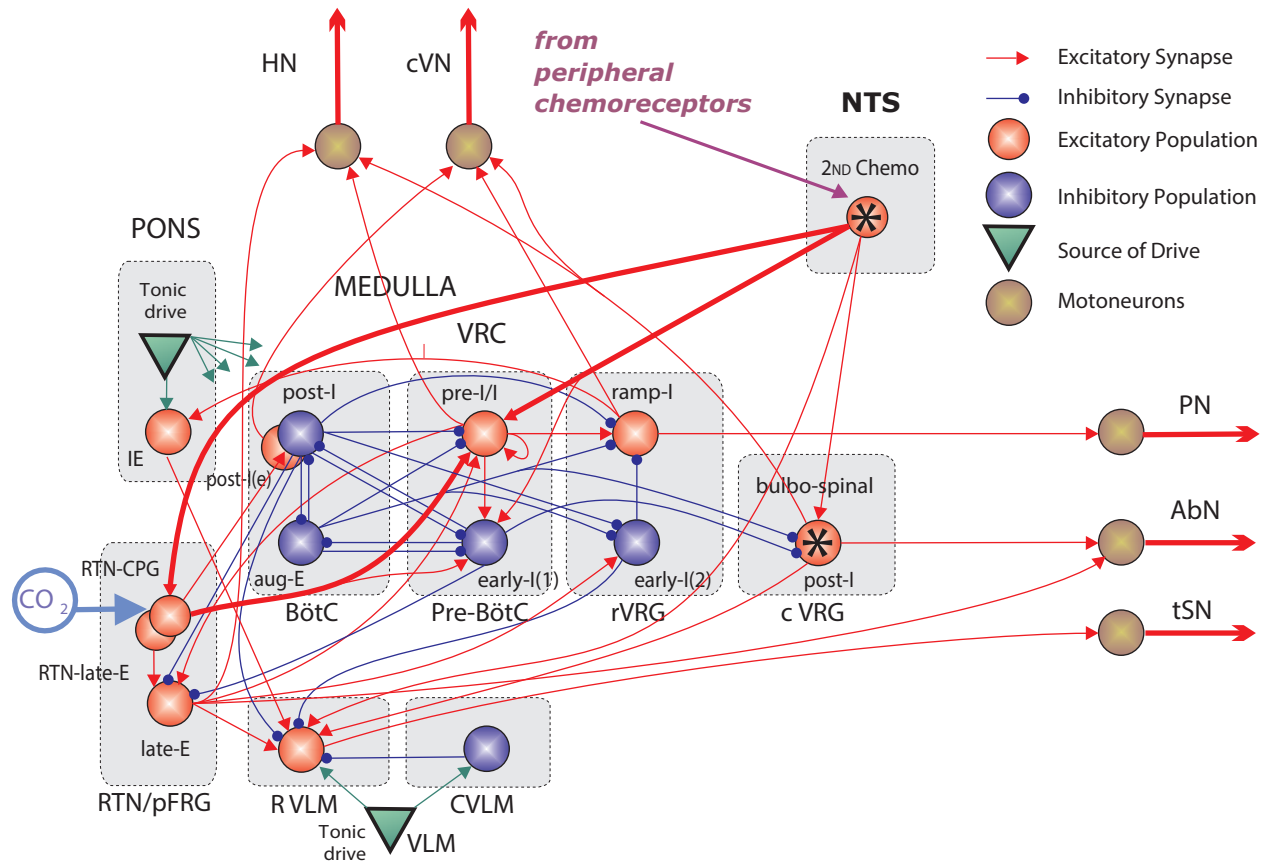
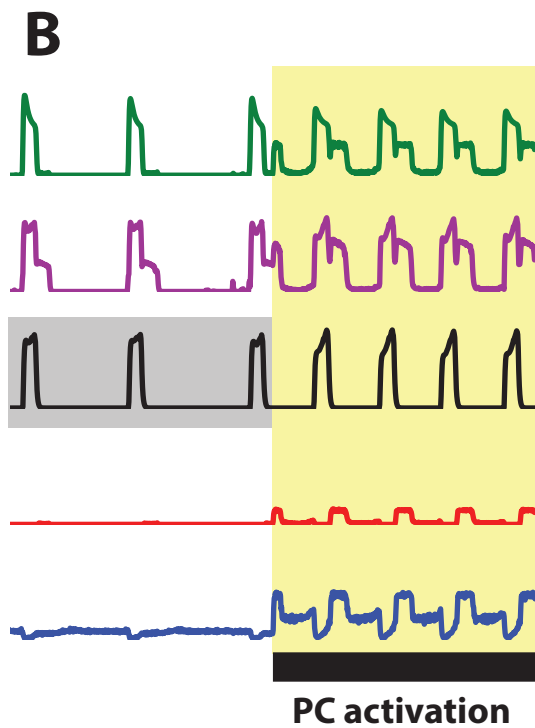
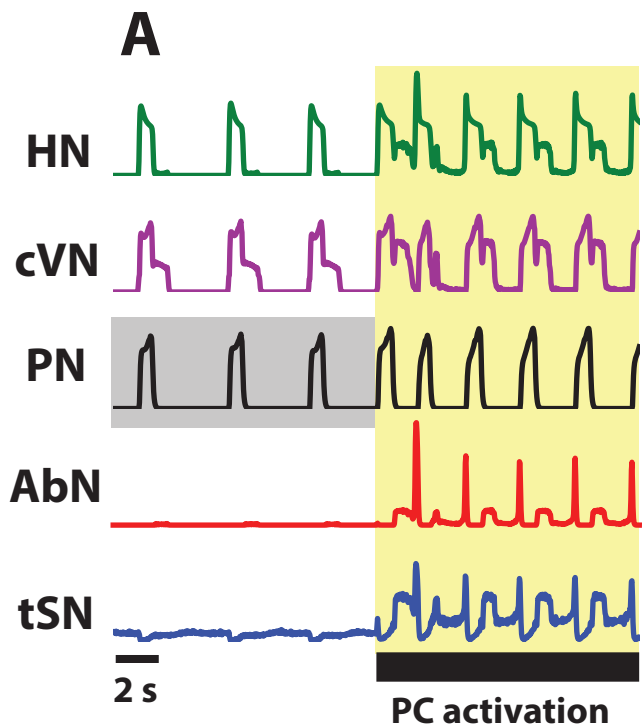
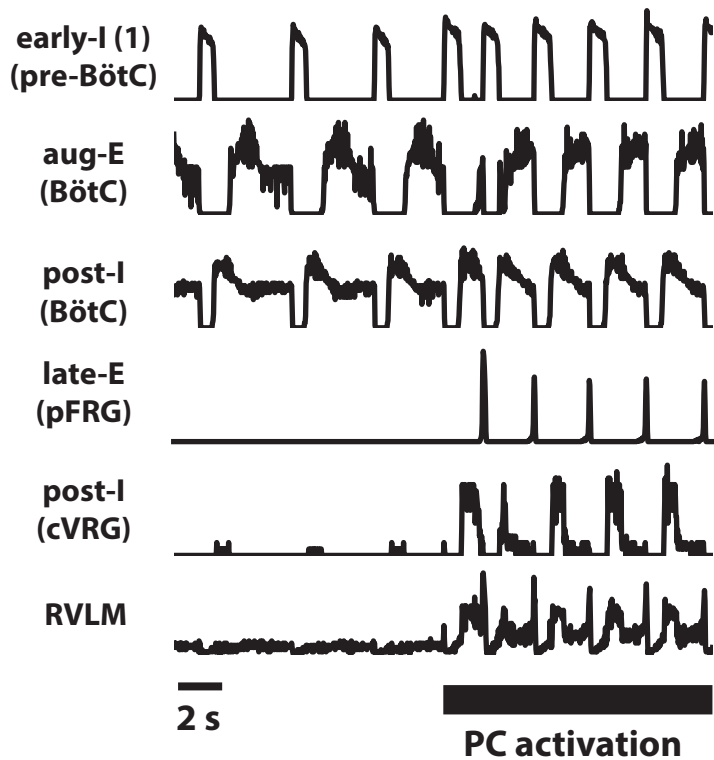
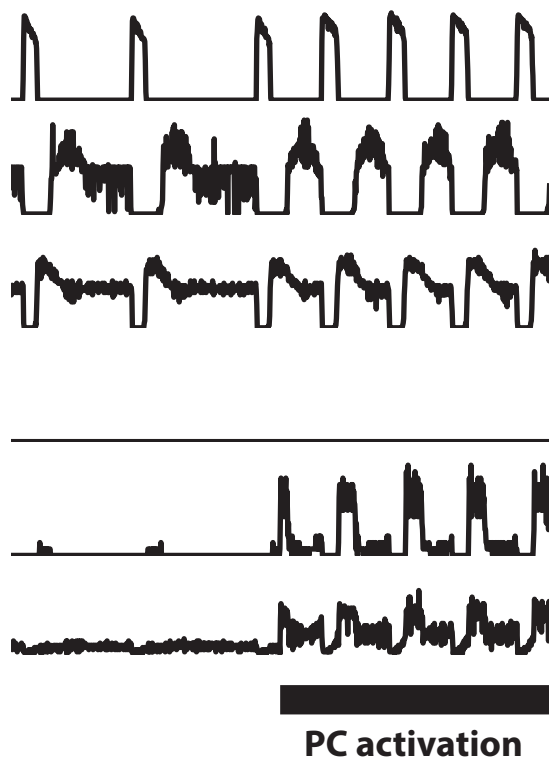
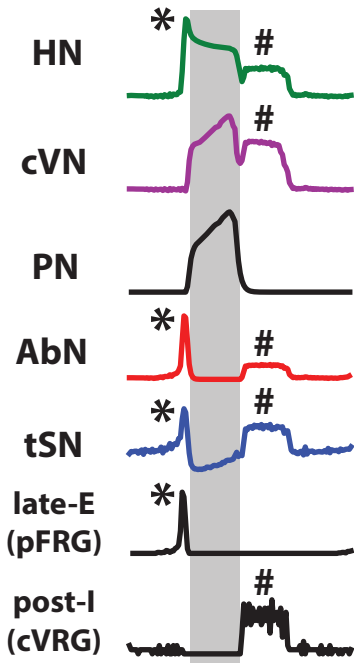


Figure 3





A**B**



A

PN



tSN



RVLM



A horizontal black line representing a 2-second scale bar, with the text "2 s" below it.

A thick black horizontal bar indicating the duration of PC activation, which occurs during the latter half of the recording period in panel A.

B

A thick black horizontal bar indicating the duration of PC activation, which occurs during the latter half of the recording period in panel B.

