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1 **A new *Minisauripus* site from the Lower Cretaceous of China: tracks of small adults or juveniles?**

2

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22

23 **Abstract**

24 Because skeletal remains of very small theropods are delicate and rare, diminutive tracks provide valuable,  
25 additional evidence of body size. The distinctive Asian ichnogenus *Minisauripus* has assumed importance  
26 in this debate and has played a role in the challenging question about whether it represents a small  
27 trackmaker species or juveniles of a larger species. New discoveries of *Minisauripus* footprints from the  
28 Lower Cretaceous Feitianshan Formation at Yangmozu in Sichuan Province, China, support the conclusion  
29 that all known examples of this ichnotaxon are small. The main Yangmozu site reveals 65 theropod tracks  
30 (~20 trackways) of different-sized trackmakers. Three trackways, comprising 10 pes imprints of 2.5–2.6 cm  
31 length, are assigned to *Minisauripus*. The remaining 17 trackways represent small-medium-sized theropods

(track lengths 9.9–19.6 cm), including one assigned to cf. *Jialingpus*. All unequivocally identified *Minisauripus* tracks from Korea (five sites) and China (three sites) fall in the size range of ~1.0–6.1 cm. Assuming a small adult trackmaker, and based on standard foot length, leg length and body length ratios, all *Minisauripus* tracks indicate trackmakers with hip heights of < ~5.0 and ~28.0 cm and body lengths in the range of ~12.0–72.0 cm. Based on lack of large tracks (longer than 6.1 cm) at any known sites, and various precedents in the ichnological literature we infer that *Minisauripus* represents a small theropod species. However, we cannot completely exclude the possibility that such tracks represent juveniles of larger trackmaking species.

40

**Keywords:** *Minisauripus*; *Jialingpus*; small theropod tracks; Feitianshan Formation; Yangmozu tracksite

42

### 43 **1. Introduction**

44 Body size is an important parameter for understanding theropod biology, and a key factor in bird  
45 evolution. While skeletal remains are an obvious source of data on theropod size, very small species and  
46 juveniles are rare in most deposits, due to bias against the preservation of delicate bones. Tracks, therefore,  
47 are useful in indicating the size and frequency of small trackmakers. Although comparatively rare, well  
48 preserved assemblages of small or “diminutive” theropod tracks (length < ~5.0 cm) include dune  
49 assemblages from the Jurassic of the western USA (Rainforth and Lockley, 1996; Lockley, 2011), and  
50 several *Minisauripus* assemblages from the Cretaceous of China and Korea (Zhen et al., 1994; Lockley et  
51 al., 2008; Kim et al., 2012). Although small tracks might also be rare due to preservational bias (Leonardi,  
52 1981), this explanation is weakened by the abundance of small bird tracks, particularly in the Cretaceous of  
53 China and Korea, in some cases at the same sites as *Minisauripus*.

54 Various Jurassic assemblages have been interpreted as evidence that desert faunas were characterized  
55 by diminutive species (Rainforth and Lockley, 1996). In the case of *Minisauripus* the debate has not been  
56 about paleoenvironmental influences, but rather about whether the tracks represent a small species or  
57 juveniles of larger species (Kim et al., 2012). As new evidence accumulates, such as that from the  
58 Yangmozu site described here, it becomes easier to discuss this question. As noted below, both tracks and  
59 pes skeletons of small individuals are known and can be compared. Ideally it should be possible to identify  
60 likely trackmakers for known tracks, or tracks for known trackmakers, if not at the species level, then

61 perhaps at the genus or family level.

62 At present, the smallest known skeleton of an adult non-avian theropod is *Anchiornis*, with a total  
63 skeletal length of between 34 and 40 cm (Xu et al., 2009; Hu et al., 2009). Others are *Epidexipteryx*, a  
64 non-avian in some phylogenetic analyses, the dromaeosaurid *Microraptor*, the troodontid *Mei*, and  
65 several alvarezsauroids such as *Xixianykus* and *Parvicursor* (Karhu and Rautian, 1996; Xu et al., 2000,  
66 2010; Xu and Norell, 2004; Zhang et al., 2008). This is not to imply any demonstrated correlation of these  
67 potential trackmakers with *Minisauripus*. For any such correlations to be convincing, in addition to  
68 correlation between foot and footprint morphology, feasible geographical and stratigraphic distribution of  
69 tracks and potential trackmakers should also be demonstrated.

70 *Minisauripus*, originally classified as an ornithopod track (Zhen et al., 1994), but later unequivocally  
71 recognized as a theropod track (Lockley et al., 2008) is the smallest known non-avian theropod track  
72 ichnogenus. The smallest *Minisauripus* specimen is 1.05 cm long (CUE 08 1003, Kim et al., 2012). Small  
73 theropod hip height is generally estimated as 4.5 times foot length (Thulborn, 1990), and body length can  
74 be estimated at 2.63 times hip height (Xing et al., 2009). Based on this method, and assuming an adult  
75 trackmaker for the smallest *Minisauripus*, a hip height of 4.7 cm and a body length of just over 12 cm can  
76 be calculated. Assuming a juvenile trackmaker, values might be overestimated because of the relative larger  
77 pes length compared with the leg length that occurs in some juveniles and pedomorphic forms (Lockley  
78 2007). Even the largest (presumably adult) *Minisauripus* (foot length 6.0 cm) implies an estimated hip  
79 height and body length of ~27.0 and ~71.0 cm, respectively.

80 Unlike tracks of the *Grallator* type, which are widely distributed and variable in size (Lockley et al.,  
81 2013), *Minisauripus* has a unique morphology and is presently regarded as an Early Cretaceous ichnogenus  
82 endemic to East Asia (Kim et al., 2012; Lockley et al., 2013). Prior to this study ~82 *Minisauripus* tracks,  
83 representing at least 52 trackways, had been documented from two Chinese (Emei and Houzuoshan) and  
84 five Korean (Gain, Sinsu, Godu, Buyun, and Gae Je) localities (Kim et al., 2012). With the addition of the  
85 present report, a total of ~92 *Minisauripus* tracks, representing at least 55 trackways, are now recorded  
86 from a total of eight localities.

87 Investigations of Cretaceous tracksites in Zhaojue County, Sichuan Basin by L.X. and M.G.L. in 2013  
88 and 2014 revealed three new tracksites with hundreds of footprints of sauropods, ornithopods, theropods,  
89 and pterosaurs (Xing et al., 2013, 2014a, 2015). Meanwhile, in June 2013, the Regional Geological Survey

90 Team from the Sichuan Bureau of Geological Exploration and Development of Mineral Resources,  
91 reported middle-sized tridactyl tracks found during geological mapping along the western outskirts of  
92 Luowuyiti Village, Yangmozu Township, Zhaojue County (Fig. 1). Subsequently, L.X and M.G.L.  
93 investigated the tracksite and identified it as the third *Minisauripus* site known from China. In the following  
94 sections, we describe this assemblage in detail and discuss arguments supporting either small adult or  
95 juvenile trackmaking groups.

#### 96 *Institutional and location abbreviations*

97 CU = University of Colorado, Denver, USA; IVPP = Institute of Vertebrate Paleontology and  
98 Paleoanthropology, Beijing, China; NIGP = Nanjing Institute of Geology and Palaeontology, Nanjing,  
99 China; UCM = University of Colorado Museum of Natural History, Boulder, USA

#### 100 *Ichnological abbreviations*

101 L/W = length/width; M = mesaxony; ML = maximum track length; MW = maximum track width;  
102 ML/MW = maximum length/maximum width; PL = step length; SL = Stride length; PA = Pace angulation

103

## 104 **2. Geological setting**

105 The southwestern area of Sichuan Province, consisting of Liangshan autonomous prefecture and  
106 Panzhihua city, is commonly known as the Panxi (Panzhihua–Xichang) region. Here, Cretaceous  
107 formations are widely exposed, and the largest accumulations are in the Mishi (Xichang)–Jiangzhou Basin  
108 (Luo, 1999). Based on ostracods and charophytes, the Cretaceous sediments in the Mishi-Jiangzhou Basin  
109 can be divided into the Lower Cretaceous Feitianshan and Xiaoba formations, and the Upper  
110 Cretaceous–Paleogene Leidashu Formation (Gu and Liu, 1997).

111 Rhythmic bedding in the Lower Cretaceous Feitianshan Formation consists of purplish red and brick-red  
112 medium-grained feldspathic quartzose sandstone, siltstone, and shale overlying silty mudstones of the  
113 Guanggou Formation, which is considered to be Jurassic in age. The Feitianshan Formation is  
114 Berriasian–Barremian in age (Tamai et al., 2004). The Lower Member of the Feitianshan Formation, 517 m  
115 thick, comprises fluvial and lacustrine delta facies. The Upper Member, 604 m thick, belongs to lacustrine  
116 delta facies (Xu et al., 1997). Dinosaur tracks from the Lower Member of the Feitianshan Formation are  
117 preserved in purplish red, medium-grained quartzose sandstone (Fig. 2). Diverse invertebrate trace fossils,  
118 ripple marks, mud cracks and raindrop imprints indicate a lakeshore environment (Lim et al., 2002).

119

### 120 3. Methods

121 Ladders, scaffolding and the help of a professional mountaineering team were used to gain access to  
122 most of the track-bearing portion of the surfaces. This permitted examination of the tracks at close quarters,  
123 and allowed us to make a chalk grid over the main body of the track-preserving surface. The grid was  
124 divided into 50 cm squares, which were photographed separately using a digital Canon 5D MKIII camera.  
125 The photographs were merged using Adobe Photoshop CS6, and the distribution pattern was mapped from  
126 the composite image. As a back-up, the surface was also mapped on graph paper using traditional methods.  
127 This allowed the map to be used to record information on individual tracks and plot the location of those  
128 tracks that were traced, moulded and replicated.

129 Individual tracks were also photographed and outlined with chalk prior to being traced on transparent  
130 acetate (CU tracing T 1653) and other plastic sheets. Once all the tracks in the uppermost sector of the  
131 outcrop had been outlined, a large sheet of transparent plastic was used to trace most of the trackway  
132 segments in this sector. Well-preserved tracks, which were moulded with latex, have been converted into  
133 plaster of Paris hard copies and are deposited at the CU and UCM in the series 214.286–214.293.

134

### 135 4. The Yangmozu tracksite

136 Almost all the tracks identified here are from the Yangmozu site I (YMZI) where they are preserved as  
137 natural casts on steeply inclined bare rock surfaces, which form a steep overhang dipping west at 45° (Fig.  
138 1). This overhang represents a bedding surface about 5 m above the base of the thick sandstone-dominated  
139 sequence making up the Feitianshan Formation, in which there are only a few fine siltstone and mudstone  
140 intercalations. This contrasts with the underlying silty mudstones of the Guanggou Formation, which  
141 contain few sandy units. Poorly preserved tracks occur at the base of the Feitianshan sequence close to the  
142 interface with the underlying silty mudstone of the Guanggou Formation. The main track-bearing units  
143 described here are associated with the first, silty mudstone intercalation and the thin sandy units that  
144 immediately overly it. Other track-bearing surfaces occur higher in the section (Fig. 1), include the site here  
145 referred to as YMXII (Yangmozu II) which occurs a little more than 100 m above the main site (YMZI; Fig.  
146 2).

147 There are at least three track-bearing surfaces associated with the main tracksite interval (YMZI).

148 However, these are all associated with thin layers only a few centimeters apart, which vary slightly in  
149 thickness across the outcrop. As shown in Figure 1, the stratigraphically lowest surface (surface 1) appears  
150 to be best exposed in the upper, topographically highest parts of the exposure. However, it is only a few  
151 centimeters thick and does not appear to reveal any recognizable tracks. It is also mostly covered with a  
152 black stain and a greenish algal film. The overlying layers are better exposed lower in the outcrop where  
153 the underlying layers have been stripped off by erosion, leaving lighter coloured surfaces (surfaces 2–4)  
154 exposed. These layers are also no more than 1–2 cm thick. The longest trackway (T8), representing a  
155 medium-sized theropod, exposed on the upper part of the outcrop, can be seen on surfaces 2, 3 and 4, with  
156 surface 4 being stratigraphically highest. Clearly the tracks on surfaces 2 and 3 are underprints, and the  
157 deeper underprint, on surface 2, is the least visible, having the least relief. Since we cannot see whether or  
158 not surface 4 represents the underside of a thin bed, it is possible that some of its tracks are also undertracks.  
159 However, as small *Minisauripus* tracks (length 2–3 cm), with a depth of no more than 1–2 mm, occur on  
160 surface 4 (Fig. 3), it is probable that at these are true tracks. Impressions of such small *Minisauripus*  
161 indicate lightweight trackmakers leaving true tracks or near-surface tracks but not deeper undertracks. An  
162 alternative explanation is that the larger imprints registered as undertracks after the small tracks were  
163 covered by another sediment layer.

164

## 165 5. Ichnotaxonomy

166 At least three distinct track morphotypes have been identified at the Yangmozu site. We use the broad  
167 term “morphotype” to refer to both named ichnotaxa, and unnamed morphotypes. The most distinctive is  
168 *Minisauripus* (Morphotype A; Figs. 3, 4), which is identified unequivocally and is represented only by  
169 small tracks (length 2.5–2.6 cm), here assigned to the existing ichnospecies *Minisauripus zhenshuonani*  
170 [Lockley et al., 2008](#). The remaining morphotypes (B and C; Figs. 5, 6) are considerably larger (track length  
171 9.9–19.6 cm) and fall into two categories: medium-sized tridactyl tracks with typical theropod morphology  
172 (Morphotype B), including a 2-3-4 phalangeal pad formula corresponding to digits II, III and IV; and  
173 medium-sized tridactyl tracks with wide digit impressions (Morphotype C) that lack digital pad traces.

174

### 175 Morphotype A.

176 ~~Saurischia Seeley, 1888-DELETED~~

177 Theropoda [Marsh, 1881](#)

178 Ichnogenus *Minisauripus* [Zhen et al., 1995](#)

179

180 **Type ichnospecies.** *Minisauripus chuanzhuensis* [Zhen et al., 1995](#)

181 **Diagnosis.** Small tridactyl track with sub-parallel, elongate, well-padded digits with blunt distal  
182 terminations connected to narrow distal claw traces. Digit III only slightly longer than IV, which is slightly  
183 longer than digit II. Phalangeal formula of 2-3-?4 for digits II, III and IV, respectively, discernible in well  
184 preserved examples. Trackway narrow. (Emended after [Zhen et al., 1994](#); [Lockley et al., 2008](#)).

185

186 **Ichnospecies.** *Minisauripus zhenshuonani* [Lockley et al., 2008](#)

187 **Diagnosis.** Small, elongate, tridactyl track with parallel digits with conspicuous claw traces. Track  
188 narrower than in *M. chuanzhuensis* with digits less divergent, and digit II relatively shorter. Pace and stride  
189 about 10 times footprint length and typically longer than in *M. chuanzhuensis*. Trackway very narrow.  
190 ([Lockley et al., 2008](#)).

191

192 **Material.** Three trackways ([Figs. 3, 4](#); [Table 1](#)). Trackways YMZ-T11–T13, have 4, 3, 4 imprints,  
193 respectively, that remain *in situ*. CU/UCM 214.291, 214.292, respectively, are latex molds and replicas of  
194 the best preserved tracks in trackways T11 and T12 and UCD/UCM 214.293 represents a mould and replica  
195 of all four visible tracks in trackway T13.

196

197 **Locality and horizon.** Lower Member of the Feitianshan Formation, Early Cretaceous. Yangmozu  
198 tracksite, Zhaojue County, Sichuan Province, China.

199

200 **Description.** Small, elongate, tridactyl tracks, 68-88% as wide (1.7–2.3 cm) as long (2.5–2.6 cm). The  
201 YMZ-T11 and T12 imprints have a mean ML/MW ratio of 1.4–1.5; this value is a little lower in YMZ-T13  
202 about 1.1 (about 1.4 without claw marks) ([Figs. 3, 4](#)). The mean L/W ratio of the anterior triangle is  
203 0.40–0.53.

204 L2 ([Fig. 3](#)) is the best preserved of the YMZ-T11 tracks. The distal part of digit II may be slightly  
205 damaged and, therefore, lacks a claw mark; however, distinct claw marks are present in digits III and IV.



206 Digit III is only slightly longer than digit IV, which is in turn significantly longer (more anteriorly  
207 projected) than II. The proximal ends of digits II and IV do not show well-defined boundaries. These two  
208 digits, together with the metatarso-phalangeal pad IV, form a distinct U or horseshoe-like shape. Phalangeal  
209 pads are indistinct, although they are slightly visible in some of the tracks in T11 and T12. The  
210 metatarso-phalangeal region is smoothly curved.

211 In three tracks of the YMZ-T12 trackway (Figs. 3, 4E), digits II–IV are strictly separated and oriented  
212 parallel to each other. There is no distal tapering, especially in digit III traces. The claw of digit II is  
213 strongly developed. Digit IV is wider than digit II and equal to or slightly wider than digit III. Digit II of R2  
214 is covered by an invertebrate trace. The pace is long, up to 15 times footprint length.

215 YMZ-T13 is the best-preserved trackway. R1, L2 and R2 are quite similar to the T11-L2  
216 morphologically (Fig. 3). Digit III of R1 has three phalangeal pad traces while those of other digits are  
217 indistinct. Digit IV is slightly wider than digits II and III. The claw marks of all digits are highly developed,  
218 with the claw mark of digit II being slightly more distinct. L1 is an extramorphological (substrate-related)  
219 variation. The interdigital divarication of digits II–IV is relatively large ( $67^\circ$ ) compared with the other  
220 tracks (Table 1) and the ML/MW ratio is smaller (1.1) vs YMZ-T11 and T12.

221  
222 **Comparison.** Zhaojue YMZ-T11–T13 theropod tracks clearly show all diagnostic characteristics of  
223 *Minisauripus* (Lockley et al., 2008): i.e. they are 1) small tridactyl tracks with sub-parallel and elongate  
224 digits with blunt distal terminations connected to narrow distal claw traces; 2) have a phalangeal formula of  
225 2-3-?4 for digits II–IV, respectively, discernible in well-preserved examples; 3) have a long pace that is  
226 8–15 times footprint length; and 4) form narrow trackways with pace angulation of  $174^\circ$ – $179^\circ$ .

227 The most obvious difference between the two described ichnospecies of *Minisauripus* is that *M.*  
228 *zhenshuonani* has a larger size range, reaching a larger maximum size (2.5–6.1 cm vs. 2.5–3.0 cm for *M.*  
229 *chuanzhuensis* Zhen et al., 1994), and is proportionately narrower, with less divergent digits, and  
230 conspicuous slender claw traces. Additionally, digit II of *M. zhenshuonani* is relatively shorter and, in the  
231 trackway, pace length is up to 10 times footprint length, which is longer than in *M. chuanzhuensis* (Lockley  
232 et al., 2008). The lengths of the Zhaojue YMZ-T11–T1 range from 2.5 to 2.6 cm. The ratio of track length  
233 to pace length is 1:8–1:15, similar to *M. zhenshuonani* (1: 10). For example, track length in trackway TW4  
234 from Changseon Island, Korea is 1.3 cm, and the single pace length is 23.4 cm, giving a ratio of 1:18.

235 Therefore, YMZ-T11–T13 is more similar to *M. zhenshuonani* in trackway configuration.

236

237 **Morphotype B.**

238 ~~Saurischia Seeley, 1888-DELETED~~

239 ~~Theropoda Marsh, 1881~~

240 Ichnogenus *Jialingpus* Zhen et al., 1983

241 cf. *Jialingpus* isp.

242

243 **Material.** A single trackway (Figs. 5, 6; Table 1) designated as trackway YMZ-T2, with three consecutive  
244 tracks, is tentatively assigned to cf., *Jialingpus*. Other trackways that might be attributed to this morphotype  
245 are insufficiently well preserved to be assigned with confidence to this or any other ichnotaxon (trackways  
246 T1, 3–10 and 14–20). They are discussed below under Morphotype C.

247

248 **Locality and horizon.** Lower Member of the Feitianshan Formation, Early Cretaceous. Yangmozu  
249 tracksite, Zhaojue County, Sichuan Province, China.

250

251 **Description.** Trackway YMZ-T 2 is represented by a right-left-right sequence of natural casts with the first  
252 two tracks represented by the replicas CU/UCM 214.286 and CU/UCM 214.287. The mean track length  
253 and width is 19.4 and 12.0 cm (Table 1) the mean pace length is 114.5 cm and the stride is 228.1 cm.

254 YMZ-T2-R 1 and L1 are the best-preserved imprints. Digit III is the longest and is directed anteriorly. Digit  
255 II is shorter than digit IV. Digit II possesses two digit pad traces. Digit III has three phalangeal pad traces,  
256 and the impression of distal pad 3 and part of pad 2 are shallower due to weathering. Digit IV has three  
257 phalangeal pad traces, best preserved in T2-L1. Although the margins of the third (distal) pad are distinct,  
258 the creases separating the more proximal pad impressions are indistinct in YMZ-T2-R1. The proximal  
259 metatarsophalangeal pad of digit IV is positioned in line with the long axis of digit III. Claw marks are  
260 sharp, especially those of digit IV. The third track in the sequence (YMZ-T2-R2) exhibits an unusual style  
261 of preservation in comparison with YMZ-T2-R1 and YMZ-T2-L1: it shows sandstone remnants of the casts  
262 of digits III and IV adhering to a relatively smooth surface that represents a layer above the surface on  
263 which the tracks were originally impressed. This suggests that the track was originally filled only to the

264 level of the surface on which it was made, and may have been draped by fine mud before the next layer was  
265 deposited.

266  
267 **Comparison.** Morphotype B tracks represented by the YMZ-T2 trackway morphologically resemble  
268 Lower Cretaceous *Jialingpus* from China (Xing et al., 2014b), which is reflected in some characteristic  
269 values: the ML/MW ratio is 1.6, the L/W ratio of the anterior triangle is 0.49, and the divarication angle  
270 between digits II and IV is 46°. However, an important character of *Jialingpus* is absent in YMZ-T2:  
271 *Jialingpus* has two distinct metatarsophalangeal pad traces - a smaller one behind digit II and another larger  
272 one continuous with digit IV, whereas YMZ-T2 has only one larger metatarsophalangeal pad trace in digit  
273 IV. Therefore, the tracks are tentatively referred here to cf. *Jialingpus* isp.

274  
275 **Morphotype C.**

276 ~~Saurischia Seeley, 1888 DELETED~~

277 ~~Theropoda Marsh, 1881~~

278 Ichnogenus uncertain

279  
280 **Material.** Trackways T1, 3–10, 14–15, and isolated tracks T11–9 (Figs. 5, 6; Table 1) are assigned to  
281 Morphotype C. Trackways T1, T3–10, 14–15 account for 37 tracks (T1: 4, T3: 4, T4: 3, T5: 4, T6: 3, T7: 4,  
282 T8: 6, T9: 2, T10: 2, T14: 2, T15: 3) and tracks T11–9 are each represented by a single track. Trackway T1  
283 is documented by replica CU 214.290, trackway T3 by replica CU214.289, and T7 by replica CU 214.288.

284 As noted above, trackway morphotype C is used as a general category to include all tracks that lack well  
285 preserved morphological details such as pad impressions. This constitutes all trackways except T2 and  
286 T11–T13. Almost all these tracks have very wide digit traces that give the tracks a fleshy appearance (Figs.  
287 5, 6). As discussed below, these tracks probably all display extramorphological features to various degrees  
288 (Haubold, 1986). However, such tracks may still provide useful general information on size and step. Better  
289 preserved tracks, such as T5 and T7, have mean ML/MW ratios of 1.6 and 1.4 and mean L/W ratios of the  
290 anterior triangle of 0.50 and 0.43, respectively. Other characteristics like divarication angles between digits  
291 II and IV and the pace angulation resemble those of T2. T7-R1 has poorly preserved metatarsal or heel drag  
292 traces, and the heel of T7-R2 preserves about eight striation marks, probably reflecting the angles at which

293 the foot entered the sediment. Tracks from trackways T1, T4, T5 and T9 show distinct claw marks,  
294 indicating theropod affinity.

295 Tracks from most of the trackways assigned to this morphotype have very wide digit traces, giving them  
296 a fleshy appearance somewhat reminiscent of ornithopod tracks. However, the long step and high pace  
297 angulation values ( $163^{\circ}$ – $179^{\circ}$  in all examples except T7) is typical of theropods. Thus, we infer that the  
298 wide digit traces, and lack of discrete pad traces is probably an extramorphological feature caused by  
299 flattening of the track by post-burial overburden pressures, which often produces a characteristic widening  
300 of the distal part of the trace of digit III. This flattening phenomenon has been described in detail elsewhere  
301 (Lockley and Xing, 2015).

302

303 **Locality and horizon.** Lower Member of the Feitianshan Formation, Early Cretaceous. Yangmozu  
304 tracksite, Zhaojue County, Sichuan Province, China.

305

306 **Description and comparison.** Given that Morphotype C tracks may owe their morphologies to  
307 extramorphological factors (Lockley et al., 2013), it is not appropriate to assign them ichnotaxonomic  
308 names. The largest tracks (T7 and T8) are very similar in size, mesaxony and pace angulation to  
309 morphotype B (T2), although the step is somewhat shorter. Thus it is possible that some Morphotype C  
310 tracks are simply poorly preserved examples of Morphotype B: i.e. cf. *Jialingpus*. This could be explained  
311 by extramorphological variation due to changes in substrate consistency, especially if the trackmakers left  
312 their footprints at different times. The other Morphotype C tracks are smaller: for example tracks TI3, TI5  
313 and TI8 have foot lengths in the range of 9.9–11.7 cm. Thus, the smallest track is about half the length of  
314 the largest.

315

## 316 **6. Juvenile or adult theropod tracks?**

317 If we hope to suggest likely trackmakers of *Minisauripus*, it is important to determine whether the  
318 trackmakers were juveniles or adults. As their name implies *Minisauripus* tracks are consistently reported  
319 as small (1.0–6.1 cm in length) (Lockley et al., 2008). However, two large (16.1 and 20.0 cm in length)  
320 theropod tracks from The Changseon site in Korea (Kim et al., 2012) were “provisionally inferred to  
321 represent adults” and have been described under cf. *Minisauripus* (Kim et al., 2012). The weakness of this

322 argument is: 1) that medium-sized *Minisauripus* tracks (6.3–16.1 cm in length) are absent at all (seven)  
323 previously known sites from China and Korea, and 2) that the large tracks cannot clearly be shown to be  
324 morphologically close to *Minisauripus*. The Yangmozu data supports this trend adding another site (no. 8)  
325 with only small tracks (length 2.5–2.6 cm).

326 If *Minisauripus* represents juvenile dinosaurs, they would seem to have lived segregated from adults, at  
327 all known sites, leaving only abundant small footprints on surfaces that otherwise lack those of larger  
328 individuals. This is plausible, but hard to prove. Leonardi (1981) argued that the lack of small tracks, was  
329 in fact an indication of the rarity of juveniles. But this lack of small tracks could be also attributed to a  
330 general preservational bias in favor of large tracks rather than a biological signal: in other words it could  
331 equally well be used as an argument for the rarity of small species. But the rarity argument cannot be used  
332 convincingly in the case of the eight *Minisauripus* sites which yield small tracks.

333 Tridactyl theropod tracks found with YMZ *Minisauripus* have lengths ranging from 9.9 to 19.6 cm,  
334 suggesting that they could possibly reflect “adult” *Minisauripus* trackmakers. However, although ostensibly  
335 revealing well-padded or “fleshy” digit traces, as is the case with *Minisauripus*, it has been argued that this  
336 appearance is the result of extramorphological factors pertaining to preservation which causes flattening of  
337 tracks and erasing of diagnostic inter-pad creases (Lockley and Xing, 2015). Typical theropod track  
338 Morphotype B, provisionally compared with *Jialingpus*, is considered to be a true, undistorted, reflection of  
339 foot morphology, whereas track Morphology C appears distorted by flattening, and therefore has not been  
340 named. Thus, it cannot be considered to have any ichnotaxonomic relationship with *Minisauripus*.

341 We compared the YMZ tracks with those assigned to Morphotypes B and C by plotting three scatter  
342 diagrams (ML vs. MW ratio, ML/MW vs. M, and PL/ML vs. ML). The former two ratios indicate no  
343 obvious allometric difference between *Minisauripus* and the larger tracks (Fig. 7). However, the scatter  
344 diagram showing the ratio of track length to step length clearly distinguishes YMZ *Minisauripus* from other  
345 morphotypes (B and C) indicating a very long step and differences in gait and speed. Nevertheless, we note  
346 that we cannot exclude a change of these parameters during ontogenetic growth, which could imply that the  
347 trackmakers were juveniles rather than a small adult species.

348 Further differences between *Minisauripus* and the larger tracks can be identified. Digit II of YMZI  
349 *Minisauripus* is much shorter than other digits, and the proximal margins of the traces of digits II and IV do  
350 not have consistent well-defined boundaries. In some cases the posterior margins of digits II and IV form a

351 distinctive U-shaped configuration. However, this is not observed consistently in all tracks. In evaluating  
352 whether diagnostic features of small *Minisauripus* and the larger tracks are related to ontogenetic growth  
353 (age) or different biotaxonomic affinity, we note that morphotype B is clearly morphologically, and by  
354 implication, biotaxonomically distinct, and morphotype C is extramorphologically compromised. This  
355 simply means that *Minisauripus* and morphotype B would not represent the same trackmaker, but again it is  
356 not conclusive evidence of the former being the track of an adult of a small species. Unfortunately, studies  
357 of ontogenetic growth in the theropod limb are based on the relative lengths of autopodia and proximal  
358 parts only (Foster and Chure, 2006). Data on possible allometric growth within pes and digits are lacking  
359 for fossil species. Given this uncertainty, the most parsimonious conclusion is that our concept of  
360 *Minisauripus* should be restricted only to tracks with the diagnostic ichnogenus morphology: i.e., small  
361 tracks.

362 The gap in YMZI track size between *Minisauripus* (maximum length 2.6 cm) and the next smallest track  
363 (9.9 cm) theoretically fills part of the aforementioned size gap (6.1–16.1 cm) based on the *Minisauripus*  
364 samples from Korea and other Chinese sites (Kim et al., 2012). However, this conjecture is tenuous, given  
365 the preservational contrast between the well-defined small *Minisauripus* and the extramorphological nature  
366 of the larger tracks.

367 Thus, as admitted in the original study, interpretation of large tracks like CUE 08 1004, 1005 and 1006  
368 from Buyun-ri, Changseon Island (Lockley et al., 2008; Kim et al., 2012), as cf. *Minisauripus* is tenuous at  
369 best, and inconsistent with the evidence from seven other *Minisauripus* sites. The Korean samples are  
370 overwhelmingly represented by small tracks (length ~1.0–5.0 cm). Likewise all the positively identified  
371 Chinese *Minisauripus* fall in the length size range of 2.1–6.1 cm. The possibility that larger tracks from  
372 YMZ or other sites might represent adults of the *Minisauripus* track maker is unproven, and in the final  
373 analysis there is no convincing evidence that any tracks larger than 6.1 cm show a diagnostic *Minisauripus*  
374 morphology. A good argument for small adult trackmaker hypothesis is the occurrence of *Minisauripus*  
375 during multiple track making episodes and over a wide geographical area. Such reasoning is also consistent  
376 with previous ichnological conventions, discussed below (Haubold, 1986; Lockley and Eisenberg, 2006),  
377 that attribute large assemblages of small tracks to small species rather than juveniles, a position ostensibly  
378 supported by Leonardi (1981). However, this could also be an effect of a preservational bias, with juveniles  
379 of some theropod species being segregated from adults, or perhaps preferring distinct habitats with good

380 potential for footprint preservation.

381

## 382 7. Discussion

383 In dealing with fossil footprints it is difficult to differentiate between tracks of juveniles and small adults,  
384 especially when we must concede that likely trackmakers are unknown, or represented by skeletal remains  
385 that lack feet. However, there are marked differences between assemblages that consist only of tracks of a  
386 single, small size class, and assemblages where tracks of a particular type fall into different size classes. In  
387 the case of all the *Minisauripus* assemblages we are dealing with the former category (only small theropod  
388 tracks), and there is no convincing evidence that other larger co-occurring tracks belong to the same  
389 ichnotaxon. There are ichnological precedents for interpreting such assemblages of small tracks. For  
390 example, Haubold (1986) defined a latest Triassic “small *Grallator* assemblage” identified in both Europe  
391 and North America (Lockley and Eisenberg, 2006). Based on the known existence of small theropods at  
392 this time, there is no suggestion that these tracks represent juveniles: i.e. has been assumed, without debate,  
393 that they represent a small species or possibly several small species. On the other hand, the ichnogenus  
394 *Grallator* has been also considered as part of a continuum with larger *Eubrontes* by some authors (Olsen,  
395 1980; Rainforth 2007), at least in the Lower Jurassic, possibly representing different ontogenetic stages of  
396 the same trackmaker. In this case however, the continuum is inferred by pooling data on tracks of different  
397 sizes and ichnogenus designations from many sites: i.e., the ontogenetic or allometric inferences are not  
398 based on samples comparable to those containing *Minisauripus* which lack large morphologically similar  
399 tracks.

400 As suggested previously, numerous bird (avian theropod) track assemblages from the Cretaceous and  
401 Cenozoic have been documented (Lockley and Harris, 2010). However, here ichnologists have the  
402 advantage of knowing that similar modern track assemblages represent small, rapidly growing adults.

403 *Grallator*-type tracks (<15 cm long) with strong mesaxony (Olsen et al., 1998) occur not only in the  
404 Jurassic of North America and Europe, but also in China from the Jurassic-Cretaceous boundary and the  
405 Early Cretaceous (Olsen et al., 1998; Lockley et al., 2013), in some cases in huge assemblages consisting of  
406 a single size class, as at the Yangshan site in Liaoning (Matsukawa et al., 2006). They are generally  
407 considered here as the tracks of a relatively small species, even if a juvenile origin cannot be excluded.

408 A juvenile track interpretation is likely in the case of very diminutive ichnospecies such as *Grallator*

409 *emeiensis* (2.7 cm) (Olsen et al., 1998; Lockley et al., 2013), which occur in isolation: i.e., not as part of a  
410 large assemblages of small tracks. For two reasons, such isolated examples might represent juveniles. First  
411 they share the same morphology as larger tracks. Second they do not require postulating a large assemblage  
412 of juveniles and the absence of adults.

413 Considering the first hypothesis and starting from the premise that *Minisauripus* is the track of a small  
414 adult theropod, it could be compared with known skeletons of small theropod species from the Lower  
415 Cretaceous. At present, the smallest skeleton of an adult non-avian theropod is *Anchiornis*, which like  
416 the small taxa *Epidexipteryx*, *Microraptor* and *Parvicursor*, had a body length greater than 20 cm (Karhu  
417 and Rautian, 1996; Xu et al., 2000; Xu and Norel, 2004; Zhang et al., 2008; Xu et al., 2000). Comparison  
418 of the foot skeletons of the small Jehol dinosaurs *Caudipteryx* sp. (IVPP V 12430) (Zhou et al., 2000) and  
419 *Sinosauropteryx prima* (NIGP 127587) (Currie and Chen, 2001) with *Grallator* isp. (NGMC V2115B)  
420 shows (Xing et al., 2009) that it is more similar to the former. Strong mesaxony, the typical feature of  
421 *Grallator*-like tracks (including *Jialingpus*, e.g. DJP-4 from the Lower Cretaceous of Shaanxi, China), is a  
422 feature seen in the foot bones of IVPP V 12430. Though these comparisons cannot determine whether or  
423 not the NGMC V2115 trackmaker was oviraptorosaurian, the trackmaker may have more affinity to  
424 oviraptorosaurs than to compsognathids. Chinese oviraptorosaurians (e.g. *Caudipteryx* and *Incisivosaurus*)  
425 were diverse from the Jurassic-Cretaceous boundary to the Early Cretaceous, especially during the Early  
426 Cretaceous, and include some exceptionally small genera, such as *Similicaudipteryx* (Xu et al., 2010).

427 Compared to *Grallator*-type tracks, *Minisauripus* has smaller size and lower mesaxony (0.40–0.53). It  
428 has been argued (Lü et al., 2013) that the hindlimb proportions of oviraptorids do not essentially change  
429 during growth, indicating a more sedentary lifestyle and thus probably herbivory. Though changes in toe  
430 length of oviraptorids during growth (ontogeny) are unclear, such a feature is most likely to be conservative.  
431 *Minisauripus* from the Emei tracksite of Sichuan Province co-occurs with didactyl *Velociraptorichnus*  
432 tracks on the same slab (Zhen et al., 1994), *Minisauripus* from the Junan tracksite of Shandong Province  
433 co-occurs with *Velociraptorichnus* and *Dromaeopodus* (Li et al., 2007), and *Minisauripus* from the Godu  
434 tracksite of Korea co-occurs with didactyl *Dromaeosauripus* tracks (Kim et al., 2012). The relatively  
435 consistent association of *Minisauripus* and dromaeopodid tracks suggests (Xing et al., 2013) a close  
436 ecological association between the makers of these two track types. However, no dromaeopodid tracks  
437 have been found together with *Minisauripus* at the YMZ tracksite.



438 All eight *Minisauripus* track sites are in East Asia, and from the Lower Cretaceous. They consistently  
439 reveal track assemblages composed of small tracks. Such evidence, including the new SMG assemblage  
440 would suggest a single extremely small theropod species of trackmaker, with a minimum body length of  
441 only about 12 cm. This is smaller than any theropod body lengths known from skeletal remains.

442 If the *Minisauripus* trackmaker is indeed a small adult theropod, these ichnofossils could contribute to  
443 our knowledge of theropod paleobiology and fill a gap in the body fossil record. Besides the smallest  
444 non-avian theropod *Anchiornis* (troodontid), some juveniles or subadults such *Epidendrosaurus* (Naish  
445 and Sweetman, 2011) and *Epidexipteryx* (Zhang et al., 2008) have total skeletal lengths of only about 16  
446 cm and 25 cm, respectively. The Ashdown maniraptoran, from England, is thought to have had a body  
447 length between only 16 and 40 cm (Naish and Sweetman, 2011). Many other non-avian theropods are  
448 known from specimens shorter than 100 cm, such as *Parvicursor* (39 cm) (Karhu and Rautian, 1996),  
449 *Sinosauropteryx* (68 cm) (Chen et al., 1998), *Mei* (53 cm) (Xu and Norell, 2004), *Mahakala* (70 cm)  
450 (Turner et al., 2007), and the oviraptorosaur *Yulong* ("chicken-sized", ~70 cm) (Lü et al., 2013). Thus small  
451 non-avian theropods cover a number of clades, that would have left small tracks. Troodontids and  
452 dromaeosaurids can be ruled out as *Minisauripus* track makers because they would have registered didactyl,  
453 not tridactyl, tracks, as proven by ichnology (Zhen, 1994; Li et al., 2007). Early Cretaceous alvarezsaurids  
454 have not yet been found in China, although *Haplocheirus* is known from the Upper Jurassic Shishugou  
455 Formation in northwestern China (Choiniere et al., 2010). Scansoriopterygids are thought to have been  
456 largely arboreal (Naish and Sweetman, 2011) and, besides being rare, most occur in Middle Jurassic  
457 deposits. Among them *Epidendrosaurus* (IVPP V12653) (Zhang et al., 2002) has poorly preserved feet, and  
458 the feet of *Epidexipteryx* (IVPP V15471) (Zhang et al., 2008) are not known. This is a common problem  
459 when it comes to matching feet and footprints, leading ichnologists to point out that they cannot be  
460 expected to identify trackmakers in such circumstances. Ornithomimosaurians are also possible  
461 trackmakers, though, in China, most, such as *Sinornithomimus* (Kobayashi and Lü, 2003), are known from  
462 the late stages of the Cretaceous. Early Cretaceous Ornithomimosauria are only represented by  
463 *Shenzhousaurus* (Ji et al., 2003), also preserved without foot bones! Compsognathids and  
464 oviraptorosaurians are known from abundant specimens from the same age and location, and would make  
465 these two groups the most likely candidates for the trackmakers.

466 The best preserved YMZ-T13-L2 shows consistency with *Sinosauropteryx prima* (NIGP 127587) pedal

467 morphology (Fig. 8). *Sinosauroptryx* and *Compsognathus* are similar (Currie and Chen, 2001) and based  
468 on computer simulations, *Compsognathus* appears to have been able to run very fast reaching maximum  
469 speeds up to nearly 64 km/h (=17.8 m/s) (Sellers and Manning, 2007). The high running speed estimated at  
470 up to 22.5 km/h (=6.2 m/s) for the *Minisauripus* trackmaker from the YMZ sample is at least consistent  
471 with rapid movement by these small theropod trackmakers (Table 2). Previously no estimates of speed of  
472 the *Minisauripus* trackmaker had been published. Here, therefore, we tentatively suggest that *Minisauripus*  
473 tracks could indicate compsognathids with good cursorial ability. However, we stress, that presently any  
474 proposed affinity of *Minisauripus* to small adult theropods cannot be proved with certainty, and that a  
475 juvenile origin cannot be ruled out.

476

## 477 8. Conclusions

478 A new assemblage with trackways from the Lower Cretaceous Yangmozu tracksite of Sichuan Province  
479 are interpreted as those of theropods and assigned to the ichnogenera *Minisauripus* and cf. *Jialingpus*.

480 Others are indeterminate.

481 *Minisauripus* is considered to most likely represent a small theropod species. Support for this hypothesis  
482 is consistent with most previous interpretations in the literature (ichnological precedent) and the repeated  
483 occurrence in the Lower Cretaceous of Asia of small *Minisauripus*, on surfaces lacking the tracks of larger,  
484 but morphologically similar, specimens.

485 The alternative interpretation of *Minisauripus* as representing juvenile trackmakers cannot entirely be  
486 ruled out. However, we consider this a dubious interpretation because it would suggest the  
487 less-parsimonious interpretation that juvenile age classes were segregated from adults, with ecological  
488 preferences for different environments favouring the preservation of their tracks.

489 We recognize the track preservational biases that might result in small tracks being less easily preserved  
490 or observed than large tracks. However, especially in the Cretaceous of Asia, small *Minisauripus*-sized  
491 avian tracks are quite commonly preserved, suggesting no strong bias against the preservation of small  
492 tracks.

493 Trackmakers were possibly cursorial compsognathids, but this interpretation is tentative, and we caution  
494 that matching tracks with trackmakers at low taxonomic levels (genus or species) is difficult, especially so  
495 in cases where potential trackmakers lack foot skeletons.

496

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### 621 **Figure captions**

622

623 Figure 1. Locality map (A) showing position of tracksites in China and South Korea yielding *Minisauripus*;  
624 Photograph (B) of the main Yangmozu tracksite (YMZI), Zhaojue County, Liangshan, China (arrow  
625 indicates the track surface); overview (C) of track surface; map (D) of the main tracksite and rose diagram  
626 (E) showing orientation of trackways; (F) scheme showing four different track-bearing surfaces and their  
627 relationship to true tracks of *Minisauripus* and undertracks representing larger morphotypes.

628

629 Figure 2. Stratigraphic section of Upper Jurassic–Lower Cretaceous strata as logged at the Yangmozu  
630 tracksite with the position of the track-bearing levels. Note that upper track level is referred to as YMZII to  
631 distinguish from main tracksite (YMZ).

632

633 Figure 3. Photograph and interpretative outline drawings of Yangmozu *Minisauripus* trackways (A), and  
634 well-preserved Yangmozu *Minisauripus* tracks (B).

635

636 Figure 4. *Minisauripus* from different localities in South Korea and China. A: *Minisauripus chuanzhuensis*,  
637 China (Zhen et al., 1994; Lockley et al., 2008); B: *Minisauripus zhenshuonani*, China (Lockley et al., 2008);  
638 C: *Minisauripus*, South Korea (Kim et al., 2012); D: *Minisauripus*, South Korea (Kim et al., 2012); E: this  
639 paper. Scale refers to all specimens.

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641 Figure 5. A and B: photograph and interpretative outline drawing of Yangmozu theropod trackways cf.  
642 *Jialingpus* (Morphotype B). C and D: composite photograph (based on two close-up photos and their  
643 corresponding background region) and interpretative outline drawing of Yangmozu theropod trackways  
644 designated as Morphotype B. Compare with Xing et al., 2014b.

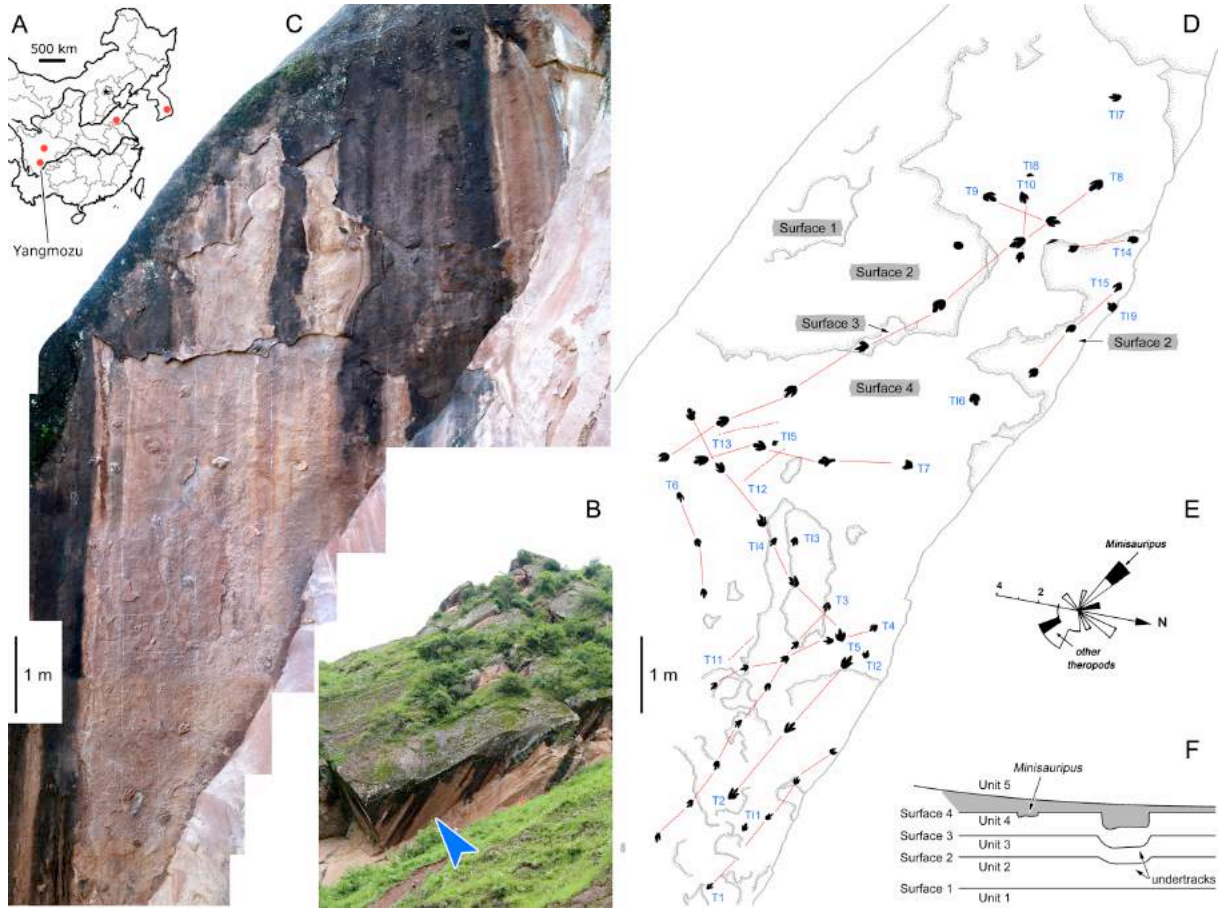
645  
646 Figure 6. Interpretative outline drawings of Yangmozu theropod trackways. T2 series represent morphotype  
647 B (cf. *Jialingpus*). Bottom right inset: cf. *Minisauripus* from South Korea, Kim et al., 2012). Others,  
648 represent YMZI morphotype B. All are preserved as natural casts except for YMZII (inset near top right)  
649 from the upper level, preserved as a natural impression.

650  
651 Figure 7. Scatter diagrams plotting track length (ML) against track width (MW) (A), mesaxony (M) against  
652 ML/MW (B) and track length (ML) against step length (PL)/track length (ML) (C) in YMZ theropod  
653 tracks.

654  
655 Figure 8. Comparison between Yangmozu theropod tracks and foot skeletons of selected theropod  
656 dinosaurs. A, *Caudipteryx* sp. (IVPP V 12430); B, *Caudipteryx* pes skeleton superimposed on *Jialingpus*  
657 DJP4 (Xing et al., 2014b); C, *Caudipteryx* pes skeleton superimposed on YMZ-T2-L1; D, *Sinosauropteryx*  
658 *prima* (NIGP 127587); E, *Sinosauropteryx* pes skeleton superimposed on YMZ-T13-L2.

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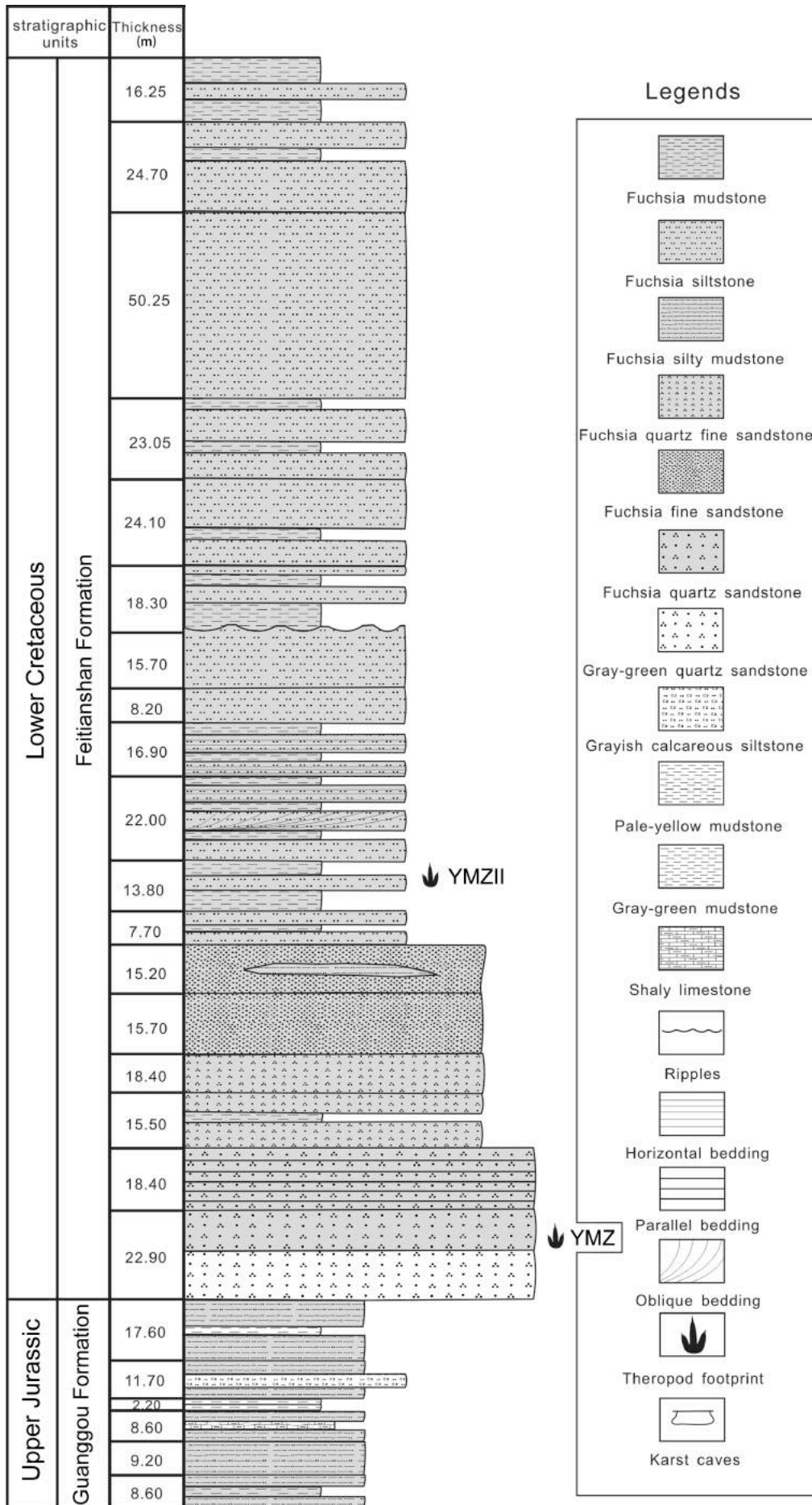




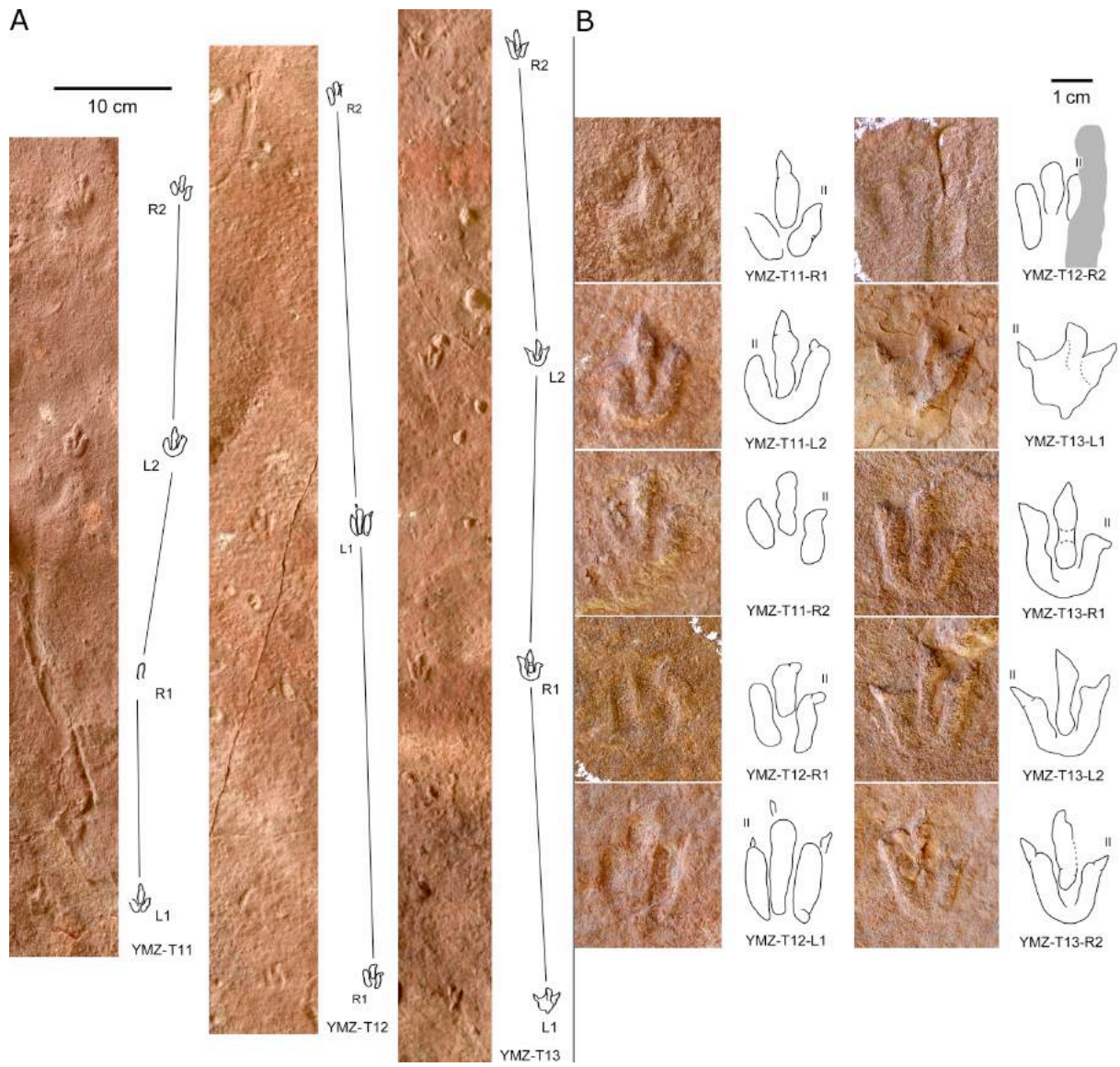
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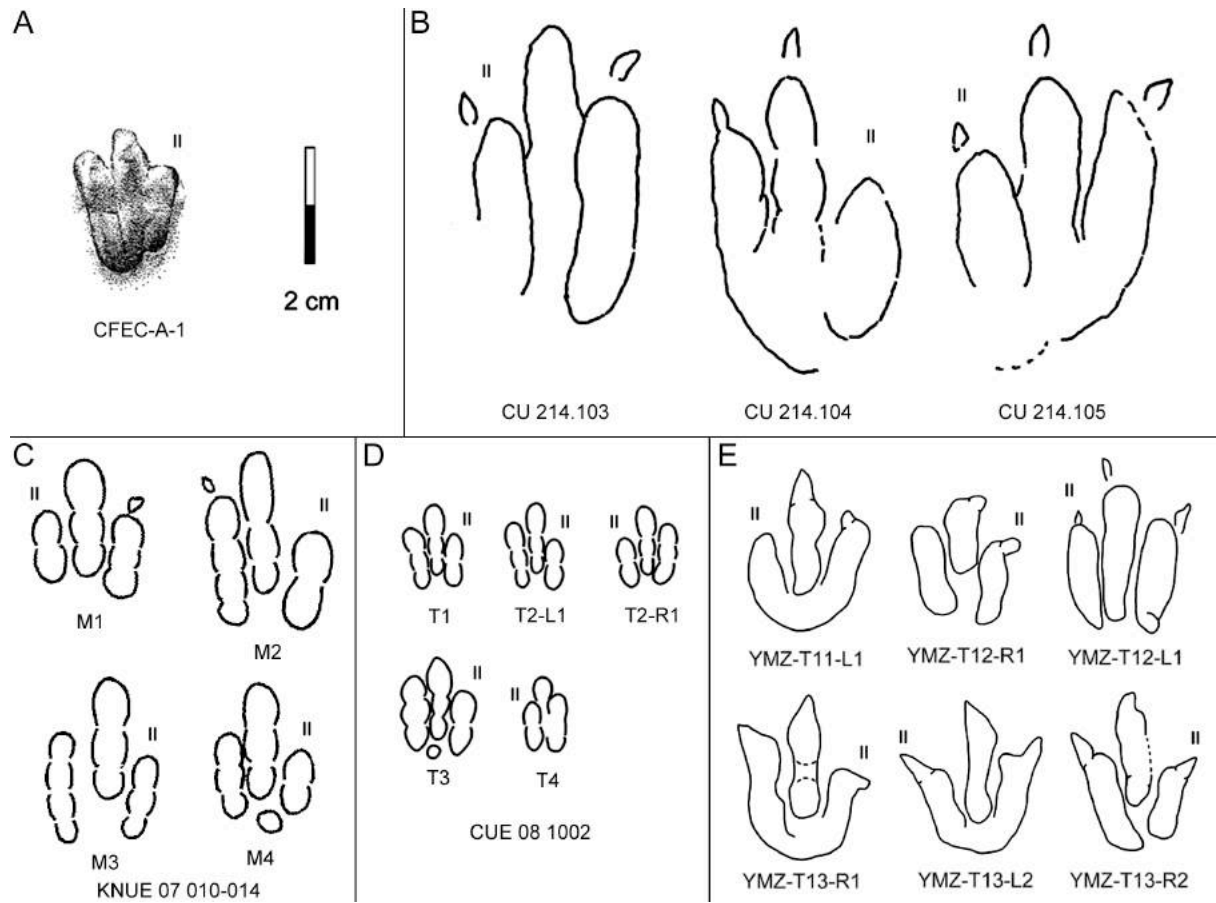


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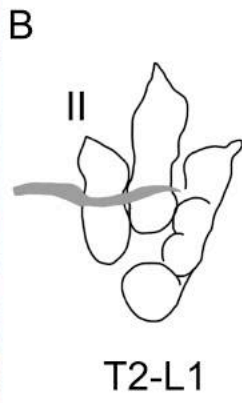


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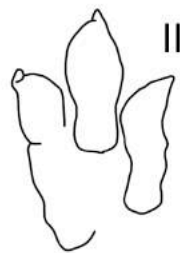
A



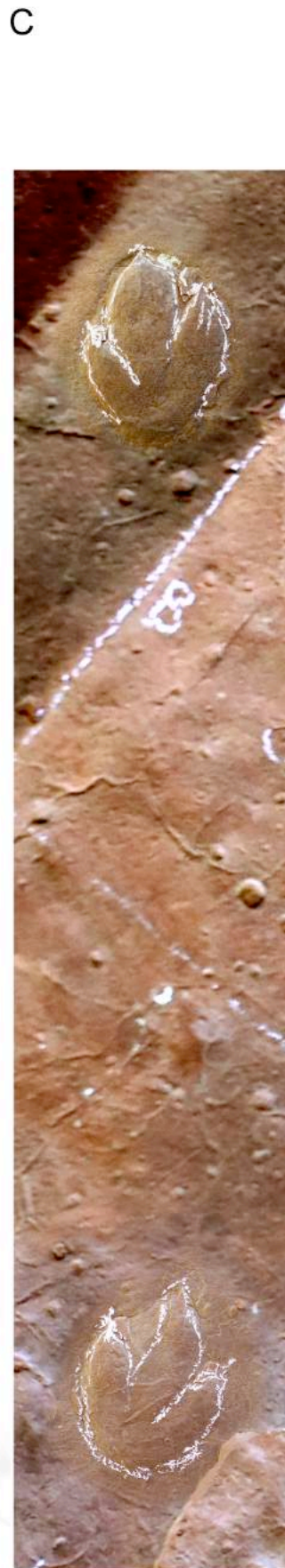
B

T2-L1

10 cm



YMZ-T2-R1

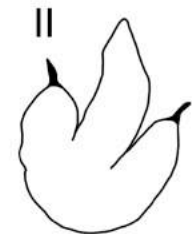


C

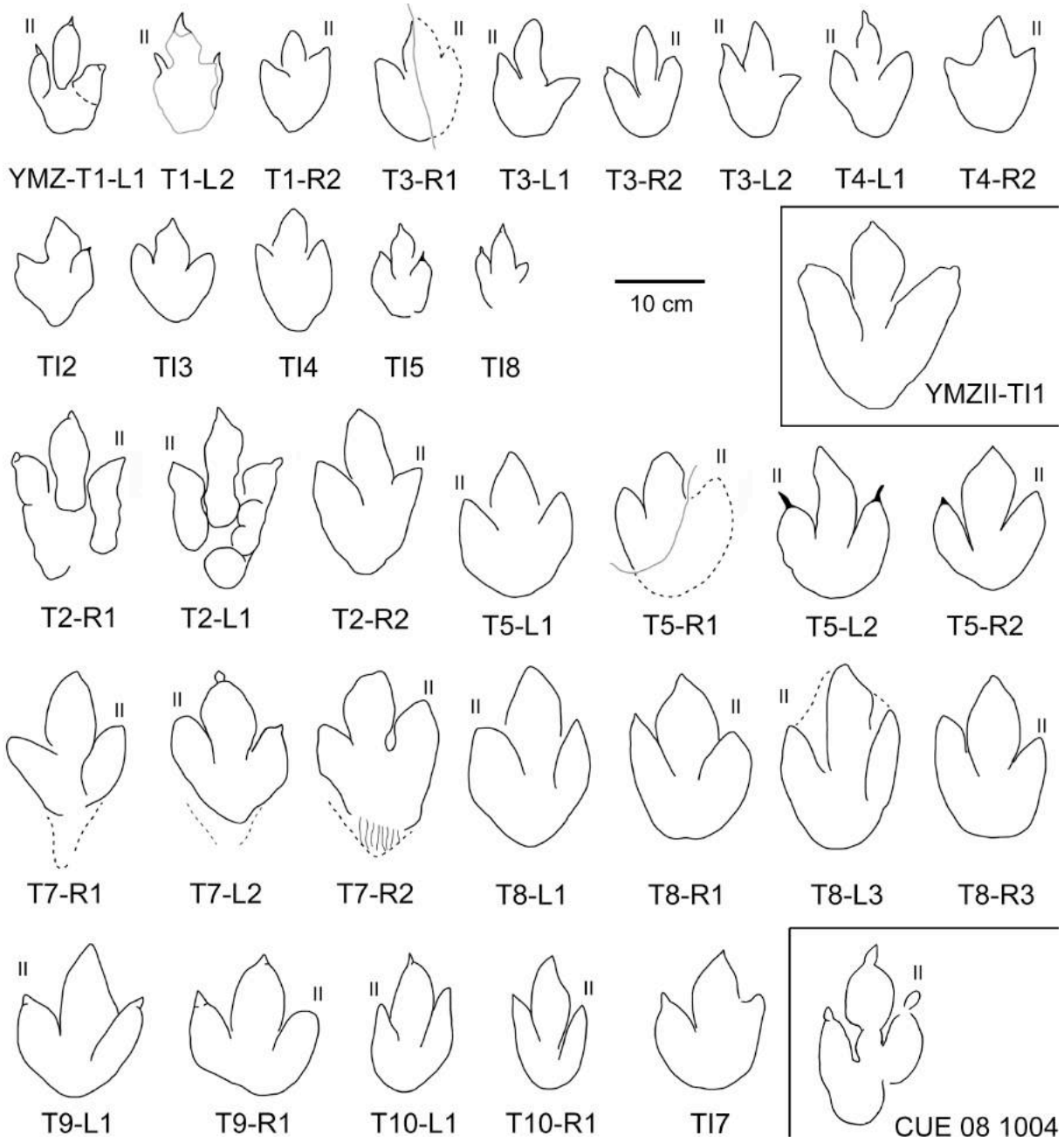


D

T5-R2

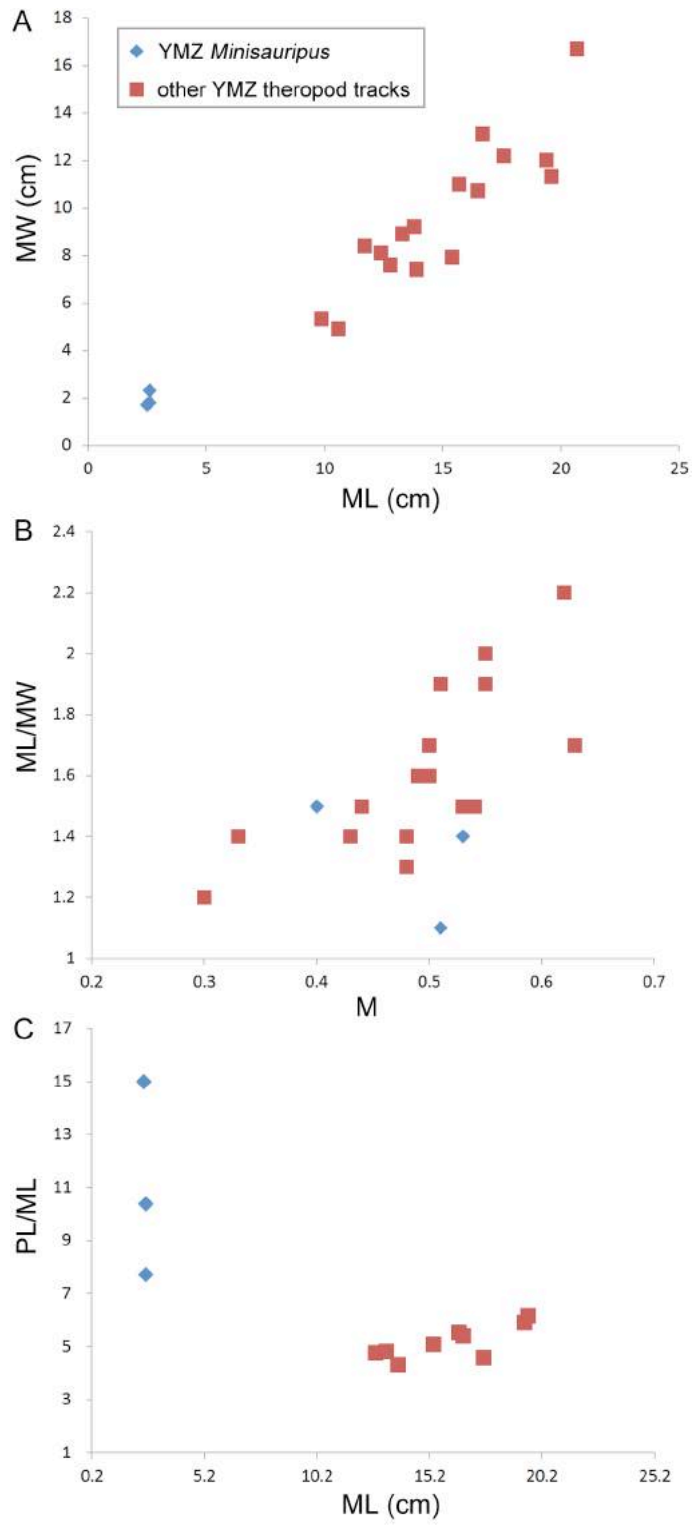


T5-L2



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