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1 **The impact of global aviation NO_x emissions on tropospheric composition changes from**
2 **2005 to 2011**

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4

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12

13 **Abstract**

14 The impact of aviation NO_x emissions from 2005 to 2011 on the chemical composition of the
15 atmosphere has been investigated on the basis of integrations of the 3-D global chemical and
16 transport model, STOCHEM-CRI with the novel CRIv2-R5 chemistry scheme. A base case
17 simulation without aircraft NO_x emissions and integrations with NO_x emissions from aircraft
18 are inter-compared. The sensitivity of the global atmosphere to varying the quantity and the
19 geographical distribution of the global annual aviation NO_x emissions is assessed by
20 performing, for the first time, a series of integrations based on changing the total mass and
21 distribution of aircraft NO_x emissions derived from air traffic movements recorded between
22 2005 and 2011. The emissions of NO_x from the global fleet based on actual records of air traffic
23 movements between 2005 and 2011 increased the global tropospheric annual mean burden of
24 O₃ by 1.0 Tg and decreased the global tropospheric annual mean burden of CH₄ by 2.5 Tg. The
25 net NO_y and O₃ production increases by 0.5% and 1%, respectively between 2005 and 2011 in
26 total. At cruise altitude, the absolute increase in the modelled O₃ mixing ratios is found to be
27 up to 0.7 ppb between 2005 and 2011 at 25°N-50°N.

28

29 Keywords: Aviation NO_x, tropospheric O₃, Climate Change, STOCHEM-CRI.

30

31 **1. Introduction**

32 Nitrogen oxides, NO_x (NO + NO₂) are catalytic precursors of ozone (O₃) which can influence
33 the oxidative capacity of the atmosphere strongly (Zhang et al., 2003; Kunhikrishnan and

34 Lawrence, 2004; Labrador et al., 2005). The sources of NO_x originate mainly from fossil fuel
35 combustion and biomass burning (Denman et al., 2007), soil emission (Davidson and
36 Kinglerlee, 1997; Ussiri et al., 2013), ammonia oxidation (Zhu et al., 2013), lightning
37 (Chameides et al., 1977; Labrador et al., 2005), aircraft emissions (Brasseur et al., 1998;
38 Sausen et al., 2005) and stratospheric intrusions (Lamarque et al., 1996). Aircraft NO_x is
39 emitted predominantly at aircraft cruise altitudes from 8-12 km, which can contribute to the
40 formation of tropospheric ozone (O₃), the third largest contributor to positive radiative
41 forcing (Denman et al., 2007). Over the past decade, several studies showed the effects of
42 aviation NO_x emissions on tropospheric ozone (Grewe et al., 2002; Köhler et al., 2008; Hoor
43 et al., 2009; Hodnebrog et al., 2011; Myhre et al., 2011; Jacobson et al., 2013; Olsen et al.,
44 2013, Gilmore et al., 2013). However, understanding the spatial and temporal distribution of
45 aviation NO_x emissions is important for modelling aviation's climate impacts (Wilkerson et
46 al., 2010) because the emissions are not emitted uniformly over the Earth. Moreover, the
47 atmospheric impact of aviation NO_x emissions is heterogeneous. The temporal and spatial
48 variability in the aviation NO_x related atmospheric impacts has been investigated by
49 Stevenson and Derwent (2009), Gilmore et al. (2013), Köhler et al. (2013), Søvde et al.
50 (2014), and Skowron et al. (2015).

51

52 In recent years, substantial changes in global and regional air traffic patterns have taken place
53 and there is an evidence of strong correlation between growth rates of air traffic and aircraft
54 emissions (e.g. an annual growth in fuel burn of 3.95% from 2004 to 2006 increased the
55 aircraft emissions of CO₂, CO, H₂O, NO_x by ~4% per year in Wilkerson et al. (2010) study).
56 Wasiuk et al. (2015a) presented an updated estimate of global aviation fuel burn and
57 emissions using the database of global commercial aircraft movements in the form of a 4-D
58 Aircraft Fuel Burn and Emissions Inventory spanning seven years (2005-2011). The 4-D
59 Aircraft Fuel Burn and Emissions Inventory enabled a consistent global and regional trend
60 analysis and composition of aviation's global and regional emissions. The analysis by
61 Wasiuk (2014) showed a number of important trends: (i) a subtle but persistent change in the
62 distribution of the total global annual NO_x emissions from aircraft emitted in the northern
63 hemisphere was identified, (ii) between 2005 and 2011, there is a consistent decline in the
64 annual aircraft NO_x emissions in the region of 30-60°N and conversely, a consistent rise in
65 annual aircraft NO_x emissions in between 0°N and 30°N. (iii) the in depth analysis of the
66 results from the 4-D Aircraft Fuel Burn and Emissions Inventory for the period of 2005-2011
67 revealed trends of varying growth, fluctuation and decline in the regional volumes of air

68 traffic (measured by the regional number of departures) concealed by the global totals. This
69 highlighted a series of surprising relationships of the intracontinental volume of air traffic (as
70 measured by the number of departures within region) and the emitted mass (in Tg(N)) of NO_x
71 accounted for by the intracontinental traffic within the region between Asia, Europe, and
72 North America. Considerable differences in the regional emission intensities of NO_x between
73 the three regions, namely Asia, Europe, and North America which collectively accounted for
74 80% of the total global air traffic between 2005 and 2011 were found (Wasiuk, 2014).
75 Therefore, it is necessary to update the understanding of the spatial and temporal distribution
76 of global aviation NO_x emissions and their impact on tropospheric O₃, in particular.

77

78 In this study, we used a 3-D global chemistry and transport model, STOCHEM-CRI to
79 investigate the impact of current (2005-2011) global aviation NO_x emissions on the global
80 atmospheric composition and chemistry and the tropospheric distribution of NO_x and O₃. The
81 sensitivity of the global atmosphere to varying the total mass and the global geographical
82 distribution of the total global annual aircraft NO_x emissions is studied with a series of
83 sensitivity simulations: a global 3-D spatial distribution of the annual aviation NO_x emissions
84 derived from a detailed representation of the global fleet based on actual records of air traffic
85 movements between 2005 and 2011 and a detailed distribution of the fuel consumption
86 throughout the entire flight cycle is used as input into the model.

87

88 **2. Model description**

89 STOCHEM is a 3-D global Chemistry Transport Model originally developed by the UK
90 Meteorological Office (Collins et al., 1997). The chemistry component of the model is the
91 Common Representative Intermediates mechanism version 2 and reduction 5 (CRI v2-R5),
92 referred to as 'STOCHEM-CRI'. The CRIv2-R5 scheme is traceable to the Master Chemical
93 Mechanism (MCM v3.1) which includes 220 species competing in 609 reactions, with the
94 suite of emitted non-methane VOCs represented by 22 compounds, as well as an organic
95 aerosol representation (Utembe et al., 2010). Full details of the development of the CRIv2-R5
96 scheme can be found in Jenkin et al. (2008); Watson et al. (2008); Utembe et al. (2009) and
97 Utembe et al. (2010). STOCHEM-CRI uses pre-calculated transport and physical fields to
98 simulate chemical turnover, processes and distribution, as well as large scale dynamic
99 processes in the troposphere by moving 50,000 constant mass air parcels around the global
100 atmosphere. Within the air parcels, chemical species are produced and lost in reactions and
101 photochemical dissociations, the rate coefficients for which are specified as functions of

102 temperature and incident light, respectively. STOCHEM-CRI's dynamical core is the same as
103 that of Collins et al. (1997), with updates detailed in Derwent et al. (2008). The physical
104 processes acting within each of the 50,000 air parcels are emission, dry and wet deposition,
105 convection, and sub grid scale mixing between the air parcels (Derwent et al., 2003). The
106 model moves air parcels by advecting their centroids with a time step of 3 h using a 4th order
107 Runge-Kutta advection scheme. All trace gas species and aerosols are advected
108 simultaneously, hence emission, chemistry, deposition, and removal processes are uncoupled
109 from the advection (Collins et al., 2000). The air parcels are mapped after each advection step
110 to a $5^\circ \times 5^\circ$ longitude and latitude resolution grid with 9 vertical levels extending up to 100
111 hPa (~16 km) (Derwent et al., 2008). The chemical evolution within the air parcel depends on
112 the physical conditions and emissions that it encounters along its course of travel (Watson,
113 2007). The concentration of each chemical species is updated using backward Euler
114 integration with a chemical time step $\Delta t = 5$ min (Collins et al., 1997). Wet deposition
115 operates within the model on all soluble species using scavenging coefficients, and dry
116 deposition follows a resistance approach (Derwent et al., 2008). Cloud-chemistry interactions
117 are very complex and presently not very well understood in the STOCHEM-CRI (Collins et
118 al., 2000). STOCHEM-CRI does not simulate stratospheric chemistry and stratospheric O₃
119 production is not included (Derwent et al., 2003). The model does account for the
120 stratospheric influx of O₃ into the troposphere, as this flux is a key determinant of upper
121 tropospheric O₃ concentrations (Stevenson et al., 2006). The O₃ influx is calculated from an
122 ozone climatology and the local vertical winds and is added at 100 hPa (~16 km) (Stevenson
123 et al., 2006). The total global stratospheric influx of O₃ is fixed at 609 Tg/yr. Any loss in
124 species due to upwards transport into the stratosphere is neglected (Collins et al., 1997), as
125 STOCHEM-CRI has an impenetrable upper boundary (top of the model at ~100 hPa and
126 approximately at the level of the tropical tropopause) for all species other than ozone and
127 NO_y. The aircrafts fly below upper boundary and the aircraft NO_x emissions employed in
128 STOCHEM are all below this level. Any NO_y influx from the stratosphere is introduced as
129 nitric acid, HNO₃ and a fixed mass ratio of O₃ to NO_y in exchange with the lower
130 stratosphere is assumed (Stevenson et al., 2005).

131

132 STOCHEM-CRI takes three types of emissions as input: 2-D surface emissions, 3-D
133 emissions of aircraft and lightning NO_x and a 2-D stratospheric source of NO_x and nitric acid
134 (HNO₃). All sources of surface emissions (anthropogenic, biomass burning, vegetation, soil,

135 oceans, and other) are based on 2-D source maps. The global totals of all the 2-D input
 136 emissions can be found in Khan et al. (2014). Emission totals for CH₄ have been taken from
 137 the inverse model study of Mikaloff-Fletcher et al. (2004), except for the ocean emissions
 138 which are from Houweling et al. (2000). Several long time scale simulations have been
 139 performed to ensure that long lived species (e.g. CH₄) have settled down in the model and the
 140 initial fields used for CH₄ are from these long spin up runs, e.g. Johns et al. (2003).
 141 Stratospheric sources of NO_x and nitric acid (HNO₃) are calculated as 2-D inputs into the top
 142 model layer.

143

144 The distribution for lightning emission is parameterized based on the work of Price and Rind
 145 (1992) with the emissions being distributed evenly between the convective cloud top height
 146 and the surface. The lightning emissions are input on a resolution of 5° × 5° with 9 vertical
 147 levels. The emissions are scaled so that the global total NO_x emissions from lightning is 5
 148 Tg(N)/yr. The aircraft NO_x emissions from 2005 to 2011 estimated by the 4-D Aircraft Fuel
 149 Burn and Emissions Inventory using the flight database record of the sum of all flights that
 150 took place during a particular year, on a particular route, by a particular aircraft were
 151 normalised to give global yearly NO_x emissions (Wasiuk, 2014; Wasiuk et al., 2015b) shown
 152 in Table 1. The majority of the NO_x emissions were distributed along the major intracontinental
 153 flight paths and highlighted regions of high air traffic activity; approximately half of the total
 154 global NO_x emissions from aircraft each year between 2005 and 2011 was within the North
 155 American, European, and Asian continents (Table 1). The details of the vertical distribution of
 156 the global annual aviation NO_x emissions from 2005 to 2011 can be found in the Appendix-A.

157

158 Table 1: Global and Regional emitted mass of NO_x (Wasiuk, 2014; Wasiuk et al., 2015b) for 2005-2011, all values
 159 are in Tg(N) y⁻¹.

Traffic	2005	2006	2007	2008	2009	2010	2011
Asia ↔ Asia	0.17	0.18	0.19	0.20	0.20	0.21	0.23
Europe ↔ Europe	0.09	0.10	0.11	0.11	0.10	0.11	0.12
North America ↔ North America	0.22	0.22	0.23	0.22	0.20	0.20	0.20
Other intra	0.06	0.06	0.06	0.06	0.06	0.07	0.08
Total intra	0.54	0.55	0.58	0.58	0.57	0.59	0.63
Total inter	0.50	0.52	0.55	0.57	0.56	0.59	0.63
Total (N)	1.04	1.07	1.13	1.15	1.13	1.18	1.26

160 Note: Other intra represents intracontinental totals for Africa ↔ Africa, Central America ↔ Central America, the
 161 Caribbean ↔ the Caribbean, Middle-East ↔ Middle-East, South America ↔ South America, Australasia ↔

162 Australasia). Total intra and total inter comprises of all intracontinental traffics and intercontinental traffics,
163 respectively.

164

165 A suite of simulations were performed consisting of one base case simulation, (NoAircraft);
166 one perturbation simulation, (Aircraft2005); and six sensitivity simulations, (Aircraft2006 –
167 Aircraft2011). The NoAircraft simulation was based on the scenario in which the model was
168 run with no aircraft NO_x emissions as input. The simulation, Aircraft2005 involved the
169 NoAircraft being integrated after adding 3-D source of aircraft NO_x based on the magnitude
170 and distribution of the emissions from 2005. In each succeeding AircraftYEAR, the 3-D
171 aircraft NO_x source was adjusted to the magnitude and distribution of the emissions from the
172 respective year, i.e. 2006, 2007, 2008, 2009, 2010, and 2011. In the Aircraft2006–
173 Aircraft2011, all other emission sources and their distributions were kept fixed, and only the
174 aircraft NO_x emissions source was varied (Table 1). All simulations were conducted with
175 meteorology from 1998 for a period of 24 months with the first 12 allowing the model to spin
176 up. Analysis is performed on the subsequent 12 months of data. The impact of the aviation
177 NO_x emissions range during the time period 2005–2011 was quantified as the difference in
178 NO_x emissions between the Aircraft2011 and Aircraft2005 scenarios.

179

180 **3. Results**

181 3.1. Global NO_y and O₃ budget

182 The initial addition of aircraft NO_x into the system (Aircraft2005 – NoAircraft) has the
183 biggest impact on tropospheric composition, compared with the adjustment of the magnitude
184 and distribution of these emissions as prescribed by the sensitivity studies for successive
185 years as expected. Following the initial addition of aircraft NO_x into the system, the global
186 annual mean tropospheric burdens of O₃, HNO₃, and PAN increase by 6.4 Tg (2.1%), 11.1
187 Gg (2.3%) and 48.2 Gg (1.3%), respectively and the global annual mean tropospheric
188 burdens of CH₄ and CO decrease by 14.2 Tg (0.3%) and 7.5 Tg (1.9%) (see Table 2). A
189 perturbation of NO_x can change the abundances of O₃, which would have an effect on OH
190 and then on CH₄. The changes in CH₄ have further effects on OH and CH₄ due to the
191 feedback effect (Fuglestedt et al., 1999) resulting in a perturbation lifetime of CH₄ to
192 approximately 12 years (IPCC, 2001). Thus 1-year spin-up time used in this study is not
193 sufficient for surface fluxes of CH₄ to establish vertical and latitudinal gradients that reflect
194 the atmosphere (Khodayari et al., 2015; Prather and Hsu, 2010).

195

196 The effect of aviation NO_x emissions on NO_x-induced O₃ is found to be 6.2 Tg(O₃)/Tg(N) in
 197 this study which is comparable with the model results of between 5.0 and 9.8 Tg(O₃)/Tg(N)
 198 reported in Khodayari et al. (2014), between 2.5 and 11.0 Tg(O₃)/Tg(N) reported in Olsen et
 199 al. (2013) and 7.9 Tg(O₃)/Tg(N) reported in Skowron et al. (2015). The emissions of NO_x
 200 from the global fleet based on actual records of air traffic movements between 2005 and 2011
 201 has an impact in the troposphere with increasing the global annual burden of O₃ by 0.3% (see
 202 Table 2). As a consequence of increased O₃ levels, the global annual mean tropospheric
 203 burden of the OH radical increases by 0.4%. Consequently, the global annual mean
 204 tropospheric burdens of CH₄ and CO decrease, by 0.1 and 0.3%, respectively. The global
 205 burden of the HO₂ radical decreases by 0.1% because of the significant loss of HO₂ by
 206 reacting with NO at higher aircraft NO_x emissions. When NO_x is present in the system, HNO₃
 207 is formed from the reaction between NO₂ and OH. A more efficient mechanism for long-
 208 range transport of NO_x around the global troposphere is via the formation of the reservoir
 209 species PAN by photochemical oxidation of carbonyl compounds in the presence of NO_x.
 210 Increased levels of NO_x between 2005 and 2011 have the effect of increasing the tropospheric
 211 burden of HNO₃ and PAN, by 0.5 and 0.2%, respectively.

212

213 Table 2: The global annual mean burden of selected trace species for the NoAircraft scenario, the difference
 214 between Aircraft2005 and NoAircraft scenarios, the difference between Aircraft2011 and Aircraft2005
 215 scenarios as simulated by the STOCHEM-CRI

216

Species	Global burden NoAircraft (Gg)	(Aircraft2005 – NoAircraft)		(Aircraft2011 – Aircraft2005)	
		Absolute Change (Gg)	% Change	Absolute Change (Gg)	% Change
O ₃	3.12 × 10 ⁵	6.4 × 10 ³	2.05	1.0 × 10 ³	0.31
CH ₄ *	4.41 × 10 ⁶	-1.4 × 10 ⁴	-0.32	-2.5 × 10 ³	-0.06
CO	4.07 × 10 ⁵	-7.5 × 10 ³	-1.84	-1.2 × 10 ³	-0.30
HNO ₃	494.2	11.1	2.25	2.4	0.48
PAN	3805.4	48.2	1.27	7.0	0.18
PPN	52.8	-0.4	-0.76	-0.04	-0.08
OH	0.239	0.01	4.18	0.002	0.80
HO ₂	26.0	-0.07	-0.27	-0.02	-0.08

217 *The values are not likely to be equilibrium values

218

219 The conversion of NO_x to NO_z (NO₃, HNO₃, N₂O₅, PAN, PPN) dominates that of NO_z to
 220 NO_x resulting in a net NO_x → NO_z flux of 1.91 × 10³⁵, 1.94 × 10³⁵, and 1.95 × 10³⁵ molecules
 221 on average per year for the NoAircraft, Aircraft2005, and Aircraft2011 scenarios respectively.
 222 The net NO_x → NO_z flux and the resultant NO_y (NO_x + NO_z) production increases by 0.4%
 223 and 0.5%, respectively between 2005 and 2011 in total. The NO_x → NO_z term is dominated
 224 by reaction NO₂ + NO₃ → N₂O₅ and PAN formation which account for 55% and 34% of the

225 term total respectively. In contrast to HNO_3 , PAN is only sparingly soluble in water, and is
226 not removed by deposition but thermally decomposed into its reactants, i.e. regenerating NO_x .
227 The $\text{NO}_z \rightarrow \text{NO}_x$ term is dominated by the reverse reactions $\text{N}_2\text{O}_5 \rightarrow \text{NO}_2 + \text{NO}_3$ and PAN
228 decomposition which account for 58% and 36% of the term total respectively.

229

230 The global annual tropospheric O_3 burden modelled in the Aircraft2011 (319 Tg) is found to
231 be within the range of model results reported in Stevenson et al. (2006, 344 ± 39 Tg), Olsen
232 et al. (2013, 332 ± 40 Tg) and Lee et al. (2010, 300 Tg). The production and loss terms of O_3
233 within this work were found to be approximately 2-fold higher than those given in Stevenson
234 et al. (2006) and Lee et al. (2010). The production of O_3 increases by 0.2% in total between
235 2005 and 2011 (Table 3). The chemical production of O_3 is dominated by the reaction
236 between HO_2 and NO (55%) because of the abundances of HO_2 over most of the globe in
237 regions of photochemical radical production (Collins et al., 1997, Utembe et al., 2010). The
238 second largest chemical production term (22%) is the reaction between CH_3O_2 and NO ,
239 which is due to the production of CH_3O_2 by the oxidation of CH_4 throughout the troposphere,
240 and over polluted regions by the oxidation of longer chain hydrocarbons (Collins et al., 1997;
241 Utembe et al., 2010). The flux through these two reaction channels increase by 0.3% and
242 0.2% respectively in total between 2005 and 2011. The global tropospheric O_3 loss is
243 dominated by chemical loss as it accounts for 74% of the total loss term, while dry deposition
244 accounts for the remaining 26%. 45% of the total global O_3 loss is due to the photolysis
245 which experiences the smallest change (0.1% increase) between 2005 and 2011. The second
246 largest (16.1%) chemical O_3 loss term is via reaction with HO_2 which is significant in remote
247 regions (low NO_x condition) of the troposphere (Jacob, 1999). O_3 loss due to the HO_2
248 increases by 0.2% in total between 2005 and 2011. The third largest (8%) chemical O_3 loss
249 term is via the hydroxyl radical. HO_2 produced from this reaction becomes part of either an
250 O_3 null cycle if it reacts with NO , or one of two catalytic O_3 depletion cycles, in which case
251 O_3 is removed from the troposphere. The flux through this reaction experiences a change
252 (0.7% increase) between 2005 and 2011. The total loss term and net O_3 production increase
253 by 0.2% and 1.0%, respectively, between 2005 and 2011 as seen in Table 3.

254

255

256

257

258 Table 3: Global tropospheric O₃ budget for the NoAircraft, Aircraft2005, and Aircraft2011 scenarios, the change
 259 from NoAircraft to Aircraft2005 and the change from Aircraft2005 to Aircraft2011 as simulated by the
 260 STOCHEM-CRI.

Species	NoAircraft (Gg)	Aircraft2005 (Gg)	% change ^a	Aircraft2011 (Gg)	% change ^b
Chemical Production					
HO ₂ + NO	4526.6	4609.0	1.8	4623.5	0.3
CH ₃ O ₂ + NO	1814.9	1836.7	1.2	1840.3	0.2
CH ₃ CO ₃ + NO	417.8	419.4	0.4	419.7	0.1
Isoprene peroxy + NO	156.9	156.7	-0.1	156.7	0.0
HOCH ₂ CH ₂ O ₂ + NO	17.0	17.0	0.2	17.0	0.0
RO ₂ + NO	444.0	444.9	0.2	445.1	0.1
Other	193.0	194.0	0.5	194.2	0.1
Stratospheric Influx	609.0	609.0	0.0	609.0	0.0
Total Production	8179.2	8286.6	1.3	8305.5	0.2
Chemical Loss					
O(¹ D) + H ₂ O → 2OH	3607.4	3635.4	0.8	3640.8	0.1
HO ₂ + O ₃ → OH + 2O ₂	1303.9	1320.5	1.3	1323.3	0.2
OH + O ₃ → HO ₂ + O ₂	652.8	678.7	4.0	683.4	0.7
Other	410.8	415.4	1.1	416.2	0.2
Dry deposition	2136.5	2164.4	1.3	2168.8	0.2
Total Loss	8111.4	8214.4	1.3	8232.5	0.2
Production - Loss	67.8	72.3	6.6	73.0	1.0

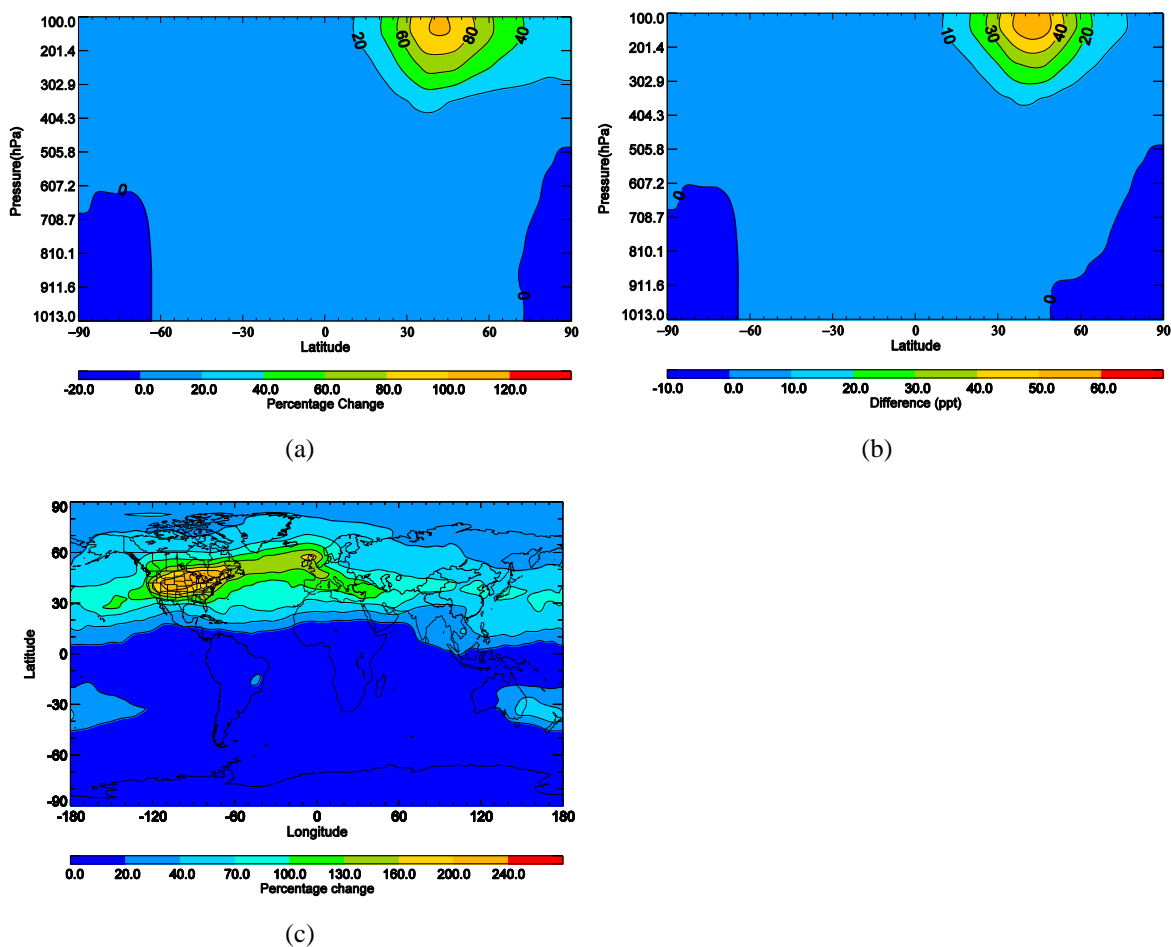
261 % change^a = ((Aircraft2005-NoAircraft/NoAircraft)*100) and % change^b = ((Aircraft2011-
 262 Aircraft2005/Aircraft2005)*100)

263

264 3.2. Global NO_x and O₃ distribution in the perturbation simulation

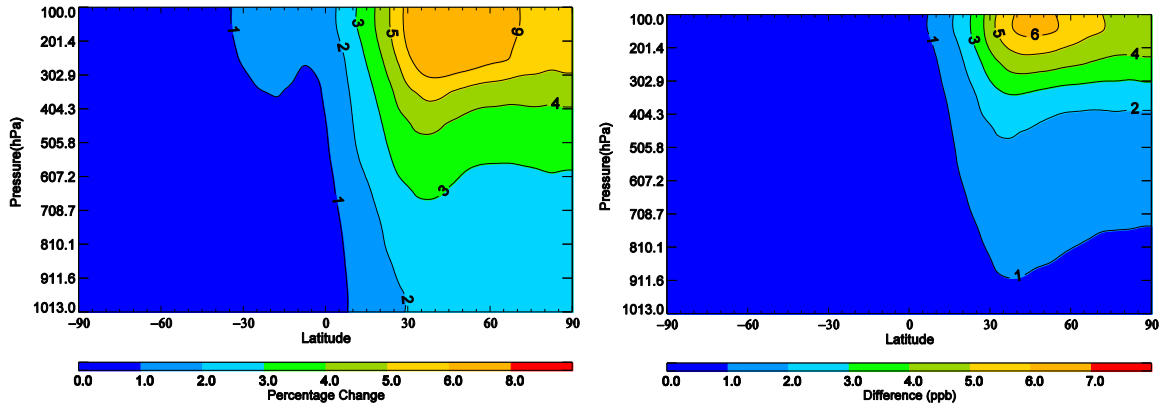
265 Following the initial addition of global aviation NO_x emissions into the system (Fig. 1a), NO_x
 266 mixing ratios increase predominantly in the northern hemisphere, NH (10° to 70°N) at 7.2-
 267 16.2 km with a maximum increase of more than 100% between 35°N and 45°N at 11.8-16.2
 268 km. The global geographical distribution change in NO_x (Fig 1c) shows biggest change in
 269 between 11.8-16.2 km where it is mainly confined to the 20-60°N latitude band. The
 270 maximum increase in NO_x mixing ratios of up to 240% between 30-45°N at 11.8-16.2 km
 271 over eastern North America, and more than 130% over central mainland Europe and the
 272 northern transatlantic corridor. Following the initial addition of global aviation NO_x
 273 emissions into the system, global O₃ mixing ratios increase almost exclusively in the NH,
 274 most notably above 7.2 km, with a maximum up to 8% in 30-70°N (Fig. 2a). The differences
 275 in the concentration of NO_x across latitudes are much bigger than the differences in the

276 concentration of O₃. This is due to O₃ persisting in the troposphere for longer than NO_x which
 277 also allows it to be transported around the troposphere. So while the differences in the
 278 concentration of NO_x form a landscape with sharply rising peaks, the differences in the
 279 concentrations of O₃ form a plateau. The global geographical distribution of the percent
 280 change in O₃ mixing ratios (Fig. 2c) shows a sharp increase that starts at 30°N and goes up by
 281 8% to 60°N and changes into a plateau with maximum increase of up to 10% over the central
 282 mainland Europe and the northern transatlantic corridor. The absolute increase in NO_x mixing
 283 ratios at 11.8-16.2 km is found to be up to 60 ppt at 35°N-50°N (Fig. 1b) which resulted in
 284 increase of O₃ mixing ratios by up to 7 ppb at 40°N-55°N (Fig. 2b).



289 Fig. 1: Global annual (a) zonal percentage change in NO_x mixing ratios, (b) zonal absolute change in NO_x
 290 mixing ratios (c) geographical distribution of percent changes in NO_x at 11.8-16.2. Percentage change =
 291 ((Aircraft2005-NoAircraft/NoAircraft)*100) and Difference= (Aircraft2005-NoAircraft).

292

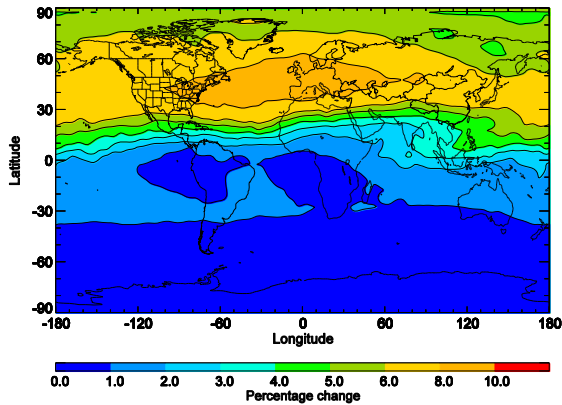


293

294

(a)

(b)



295

296

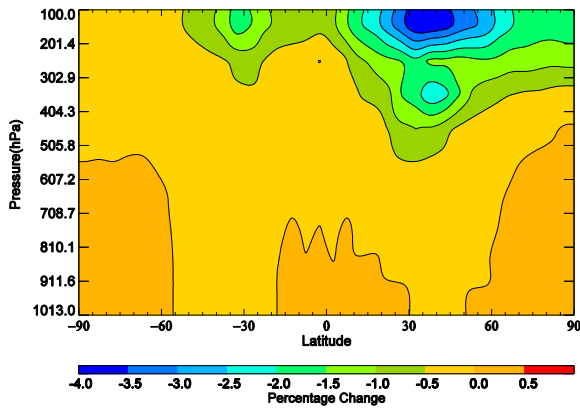
(c)

297 Fig. 2: Global annual (a) zonal percentage change in O₃ mixing ratios, (b) zonal absolute change in O₃ mixing
 298 ratios (c) geographical distribution of percent changes in O₃ at 11.8-16.2. Percentage change = ((Aircraft2005-
 299 NoAircraft/NoAircraft)*100) and Difference=(Aircraft2005-NoAircraft).
 300

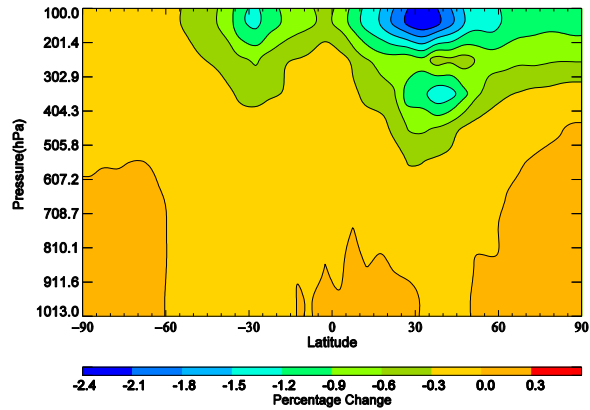
301 3.3. Global NO_x and O₃ distribution in the sensitivity simulations

302 Figure 3 shows the global inter-annual zonal distribution variation in NO_x mixing ratios with
 303 respect to the multi-annual average. Compared with the multi-annual average, the change of
 304 NO_x up to 4% (2005) and 2.4% (2006) are found in the NH between 30°N-50°N and 25°N-
 305 40°N, respectively at 11.8-16.2 km (Fig 3a and 3b). The NO_x changes up to 2% and 1.5% are
 306 also visible in the southern hemisphere (SH) between 25°S and 35°S for the simulations of
 307 the emission years, 2005 and 2006, respectively. In the simulation for emission year 2007, the
 308 NO_x change is increased up to 1.2% in the NH between 40°N-55°N at 9.2-16.2 km (Fig. 3c)
 309 and this increment is extended to the region of 40°N-80°N in the simulation for emission year
 310 2008 (Fig. 3d). In 2009, the impact of the fall in the number of global departures on upper
 311 tropospheric NO_x mixing ratios is clearly visible as both hemispheres experience a decrease
 312 in NO_x mixing ratios (Fig. 3e). The simulation for emission year 2010 shows that the number
 313 of departures in the economies of both hemispheres began to rise as NO_x mixing ratios

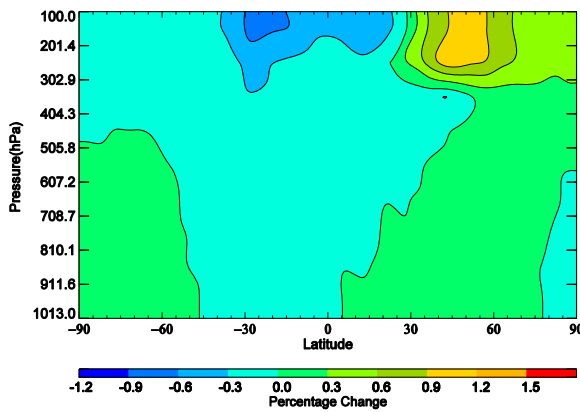
314 increased both hemispheres and peaked at 11.8-16.2 km in the regions of 25-35°S and 10-
 315 35°N (Fig. 3f). In the simulation for emission year 2011, the global economy improves and
 316 the region of increased NO_x extends from 40°S to 90°N, with peak between 25°N and 45°N at
 317 11.8-16.2 km (Fig. 3g).



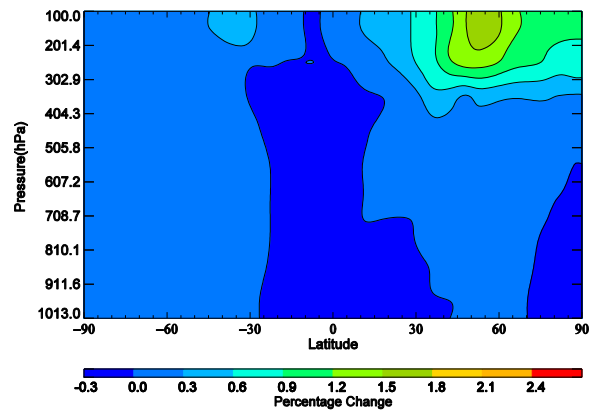
318
 319 (a) ΔNO_x (in %) for 2005



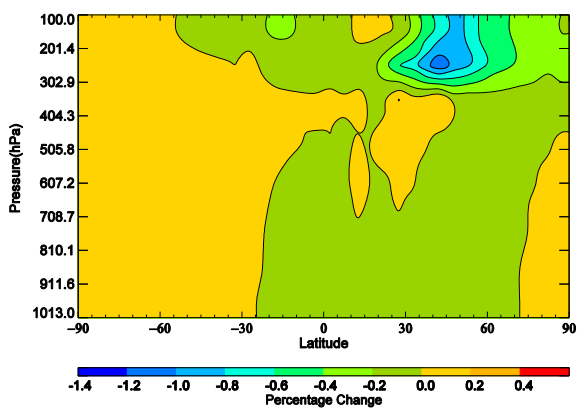
(b) ΔNO_x (in %) for 2006



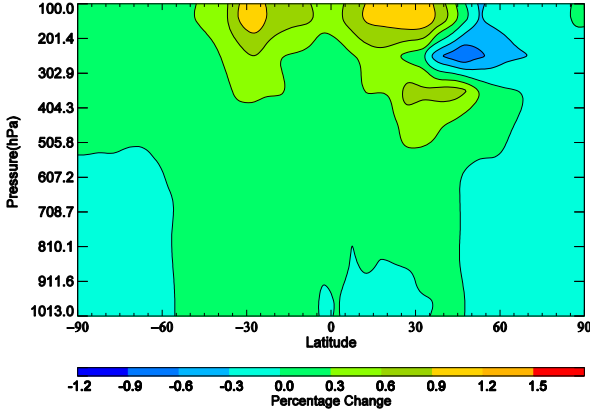
320
 321 (c) ΔNO_x (in %) for 2007



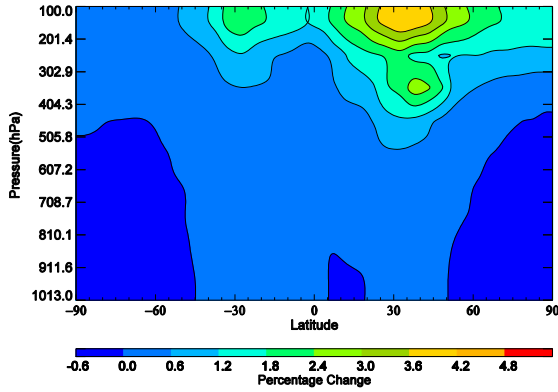
(d) ΔNO_x (in %) for 2008



322
 323 (e) ΔNO_x (in %) for 2009



(f) ΔNO_x (in %) for 2010



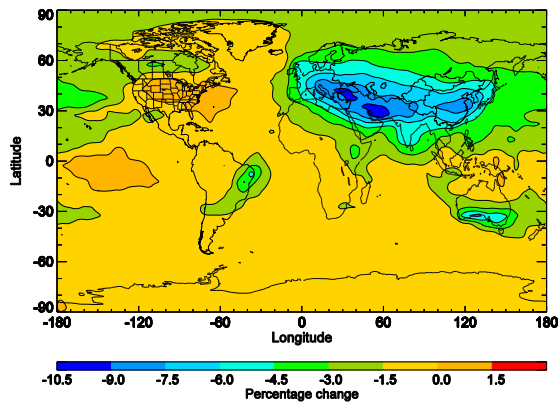
(g) ΔNO_x (in %) for 2011

Fig. 3: Global inter-annual zonal distribution variation in NO_x mixing ratios with respect to the multi-annual average. Percentage change = $((\text{AircraftYEAR} - \text{Multiannual average}) * 100 / \text{Multiannual average})$

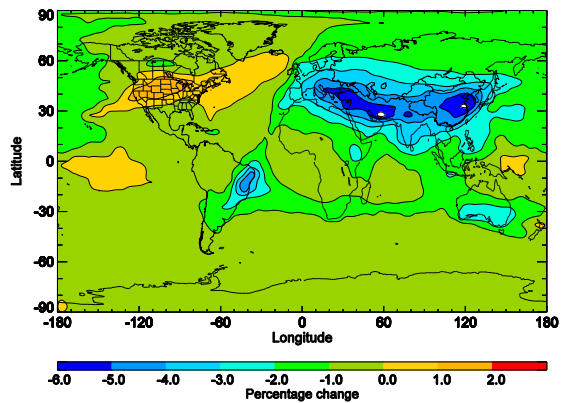
In all zonal plots of the percent change in NO_x in the seven integrations Aircraft2005 through to Aircraft2011, there are areas of negative change extending from the ground to the top of the planetary boundary layer and beyond. Overall, the difference between the changes in the upper tropospheric NO_x mixing ratios in the NH and SH due to the variation of the quantity and distribution of the global aviation NO_x emissions is found significant in the sensitivity simulations.

The global inter-annual global geographical distribution of the percent change in NO_x mixing ratios in Aircraft2005 – Aircraft2011 at 11.8-16.2 km with respect to a multi-annual average has been shown in Fig. 4. The NO_x change in the NH at 11.8-16.2 km towards more northern latitudes in the simulation for emission year 2005 (Fig. 4a) and the narrowing of the area of impact seen in the simulation for emission year 2006 is due to increased activity on the east coast of North America, central mainland Europe and the northern coast of China over Shanghai (Fig. 4b). The NO_x change in the SH is dominated over the southern coast of Australia, in southern Brazil over Rio de Janeiro, and a region extending from the north east of Africa over Ethiopia down to Madagascar in the simulations for emission year 2005 and 2006. Fig. 4c shows that the narrowing of the area of NO_x changes in the simulation for emission year 2007 is due to an increase in the NO_x mixing ratios over Europe, Australia, Asia, and north east of Africa. The appearance of heightened NO_x mixing ratios on the North America and the northern transatlantic in the simulations for emission year 2007 and 2008 is due to an increase in activity between Europe and North America (Fig. 4c and 4d). The global economic downturn of 2008 is reflected with a decreasing number of departures (2.8%) which resulted in a global decrease in NO_x emissions by 2% (Wasiuk et al., 2015b). The impact of the global economic downturn is reflected in the global troposphere experiencing

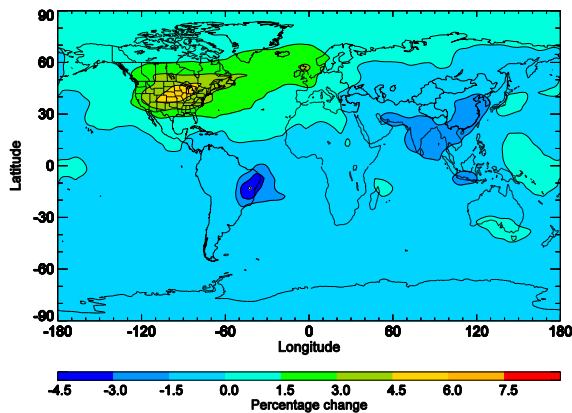
353 a reduction in NO_x mixing ratios in North America (Fig. 4d). If the changes in the upper
 354 tropospheric NO_x mixing ratios are linked to the levels of upper tropospheric NO_x emissions,
 355 and if the levels of upper tropospheric NO_x emissions are attributed to the volume of air
 356 traffic over a region, and in turn that to the economic circumstances of the region. In the
 357 simulation for emission year 2009, the deep decline in NO_x mixing ratios in the NH is driven
 358 primarily by a decline over North America, the northern transatlantic and in the SH by a
 359 decline over Australia, New Zealand (Fig. 4e). Fig. 4f shows the regions in the SH which
 360 continued to experience growth in the volume of air traffic in 2010, and which recovered first
 361 from the economic global downturn of 2008. It is seen that central mainland Europe, Brazil,
 362 India, China, Iran, Indonesia and Australia seem to have recovered first, that North America
 363 stabilised, and that traffic between Europe and North America suffered the most. In Fig. 4g,
 364 the economic recovery is in full swing, as evidenced by significant increases in NO_x mixing
 365 ratios in the simulation for emission year 2011. The highest increases take place over Europe,
 366 Asia and between Europe and Asia. Brazil emerges as a new NO_x mixing ratio hot spot.



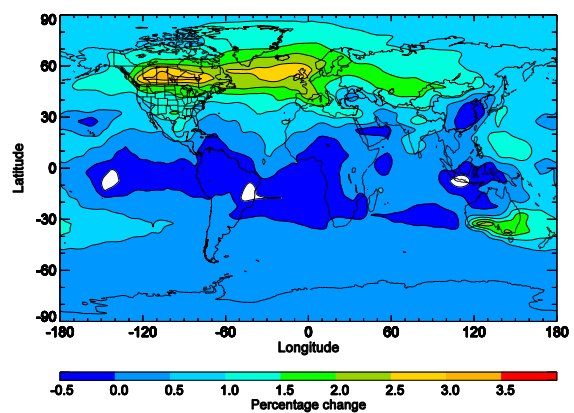
367 (a) ΔNO_x (in %) for 2005



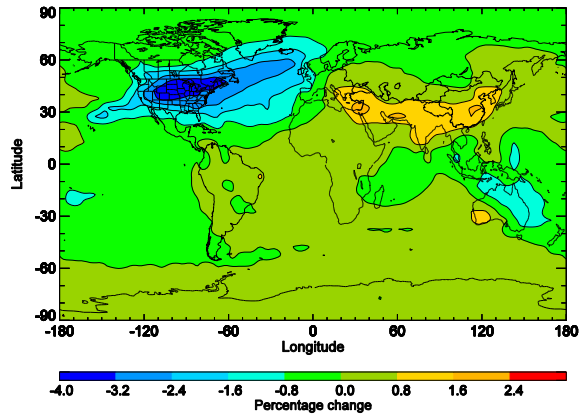
368 (b) ΔNO_x (in %) for 2006



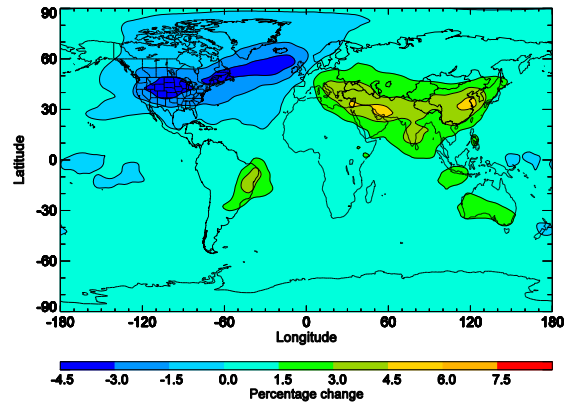
369 (c) ΔNO_x (in %) for 2007



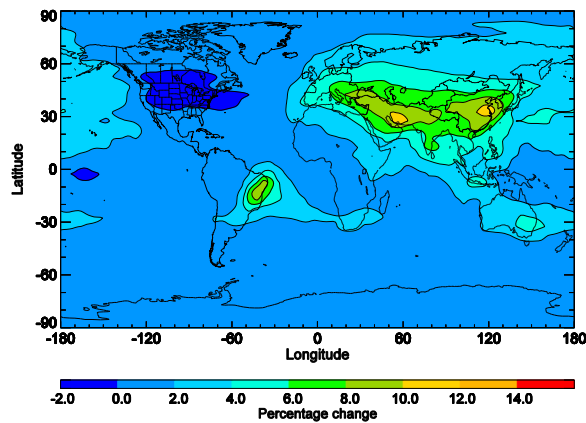
370 (d) ΔNO_x (in %) for 2008



(e) ΔNO_x (in %) for 2009



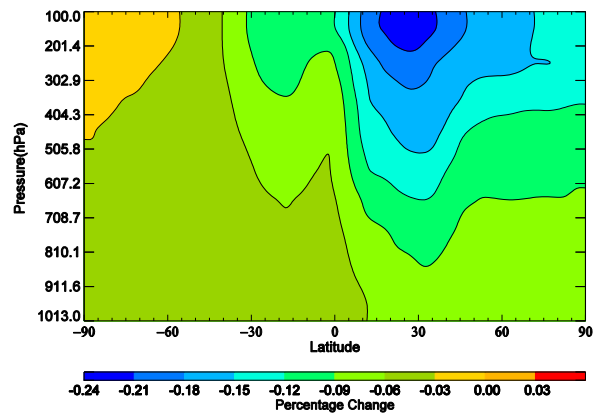
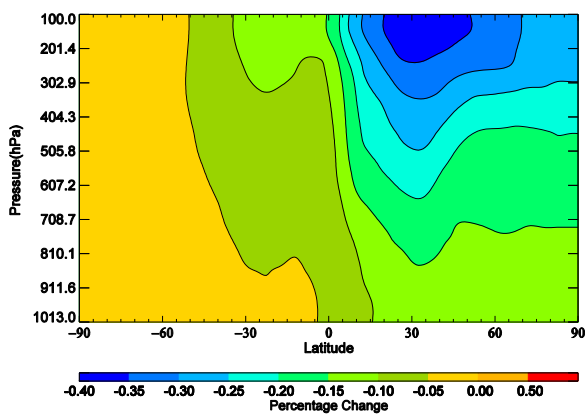
(f) ΔNO_x (in %) for 2010



(g) ΔNO_x (in %) for 2011

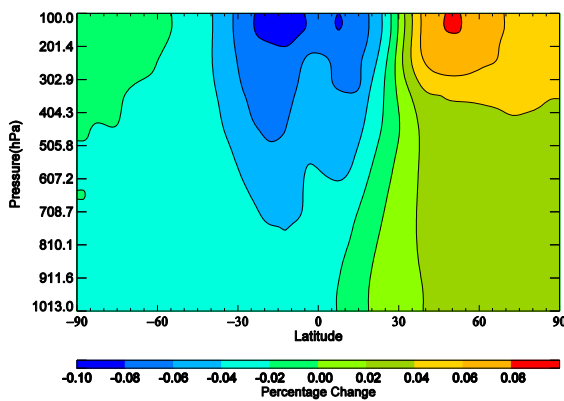
Fig. 4: Global inter-annual geographical distribution variation in NO_x mixing ratios with respect to the multi-annual average. Percentage change = $((\text{AircraftYEAR} - \text{Multiannual average}) * 100 / \text{Multiannual average})$

The variation in the modelled O_3 mixing ratios from year to year has been found (Fig. 5) due to the variation of the quantity and distribution of aircraft NO_x emissions from one simulation scenario to another (Fig. 3). The greatest changes in O_3 mixing ratios take place above 7.2 km in the NH, gradually propagate down to the lower levels, and are generally contained between -0.4% and 0.45%. Compared with SH, the change of O_3 mixing ratios in the NH is stronger because of the greater changes in the upper tropospheric NO_x mixing ratios in the NH.

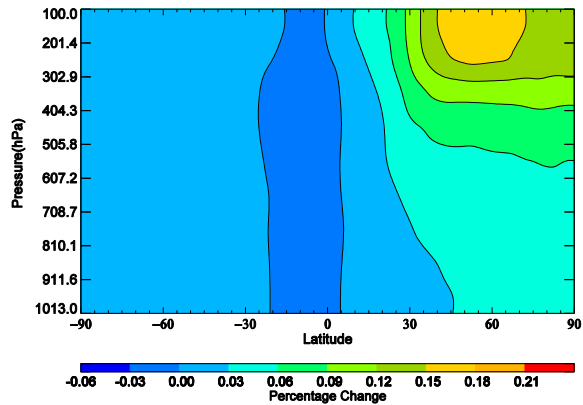


385

(a) ΔO_3 (in %) for 2005



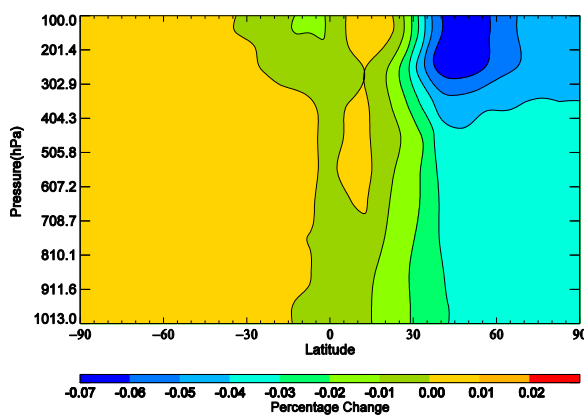
(b) ΔO_3 (in %) for 2006



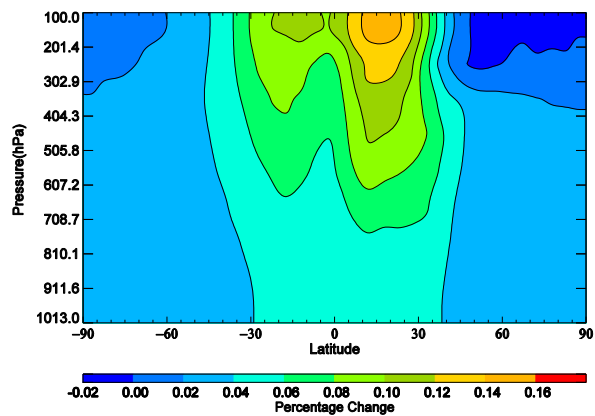
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(c) ΔO_3 (in %) for 2007



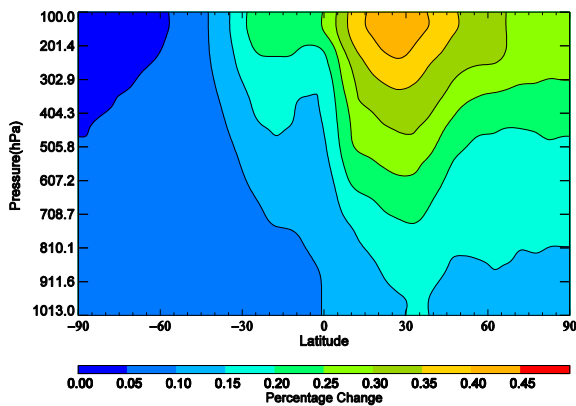
(d) ΔO_3 (in %) for 2008



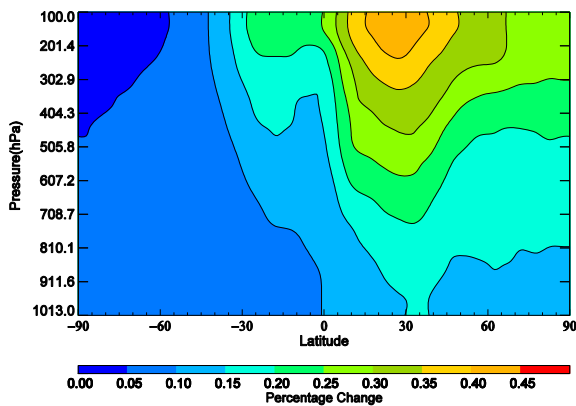
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(e) ΔO_3 (in %) for 2009



(f) ΔO_3 (in %) for 2010



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(g) ΔO_3 (in %) for 2011

Fig 5: Global inter-annual zonal distribution variation in O_3 mixing ratios with respect to a multi-annual average. Percentage change= $((\text{AircraftYEAR}-\text{Multiannual average}) * 100 / \text{Multiannual average})$

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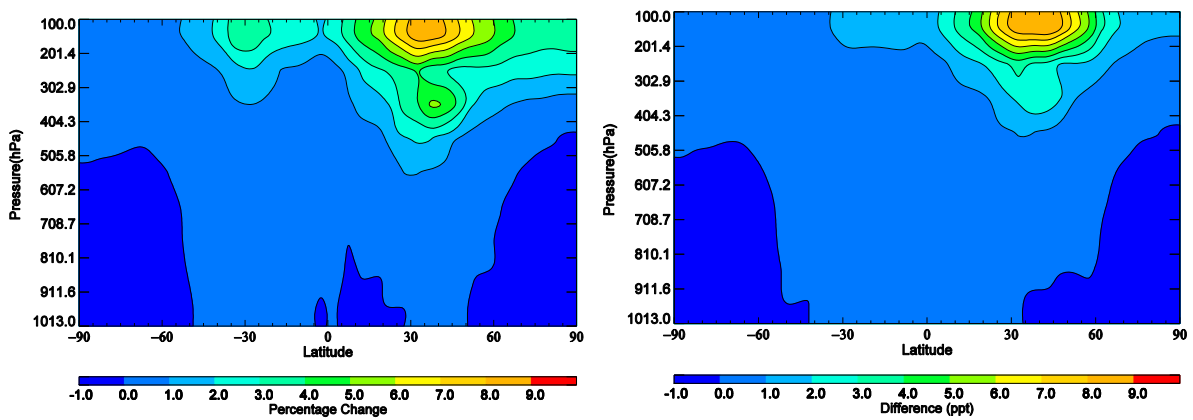
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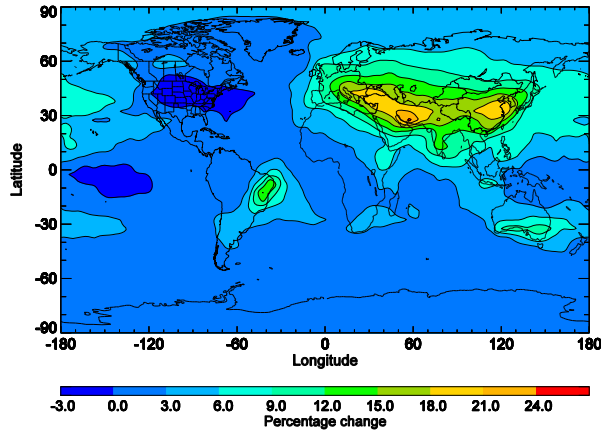
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The global zonal and geographical distribution plots of O_3 (Fig. 7) quantify the overall change that has taken place in the distribution and magnitude of the global upper tropospheric O_3 mixing ratios above 11.8 km due to the changes in the volume and distribution of global aviation NO_x emissions between 2005 and 2011 (Fig. 6). Two areas of maximum changes of NO_x (up to 9% between 30°N and 45°N and up to 4% between 20°S and 35°S) are visible at

400 11.8-16.2 km. A small area of maximum change up to 5% has been seen at 7.2-9.2 km
 401 between 35°N and 45°N. Several areas of negative changes are also visible below the
 402 planetary boundary layer. The changes of O₃ in the NH are significant (0.8%) between 15°N
 403 and 40°N with a small change (0.4%) between 0-30°S at 9.2-16.2 km. The increase in the
 404 global concentration changes of O₃ has been found in the entire modelling domain extending
 405 from 16.2 km to ground level in the Aircraft2005-Aircraft2011 comparison (Fig. 7b). The
 406 geographical distribution plot of NO_x at 11.8-16.2 km shows that the areas of maximum NO_x
 407 change (up to 21%) are visible in the NH, over central and Eastern Europe, China, and above
 408 India, and the land masses between these regions (Fig. 6c). The maximum increases in O₃
 409 mixing ratios downwind from the areas of maximum increases in NO_x mixing ratios. Because
 410 of the downwind transport, the shift of the higher NO_x from central Europe eastwards has
 411 resulted in higher O₃ changes (up to 1.2%) off the east coast of China, propagating further
 412 eastwards towards the western coast of North America (see Fig. 7c). Higher level of solar
 413 irradiance at lower latitudes results in faster photochemical production of O₃ (Köhler et al.,
 414 2013) which can also be responsible for increased O₃ changes in China. A peak absolute
 415 annual increase in NO_x mixing ratios of up to 9 ppt (Fig. 6b) resulted in increase in O₃ mixing
 416 ratios up to 0.7 ppb (Fig. 7b) at 25°N-50°N. A modest increase (between 0.1 and 0.2 ppb) of
 417 ground level O₃ is found between 15°N and 65°N which can affect crops and human health
 418 significantly. An increase in O₃ away from the surface will have an impact on the global
 419 radiative forcing, hence impact the greenhouse effect by enhancing it. To quantify the
 420 magnitude of this warming, the radiative forcing due to the increases in the global
 421 concentrations of O₃ modelled within this work can be calculated, but this calculation is
 422 beyond the scope of this work.



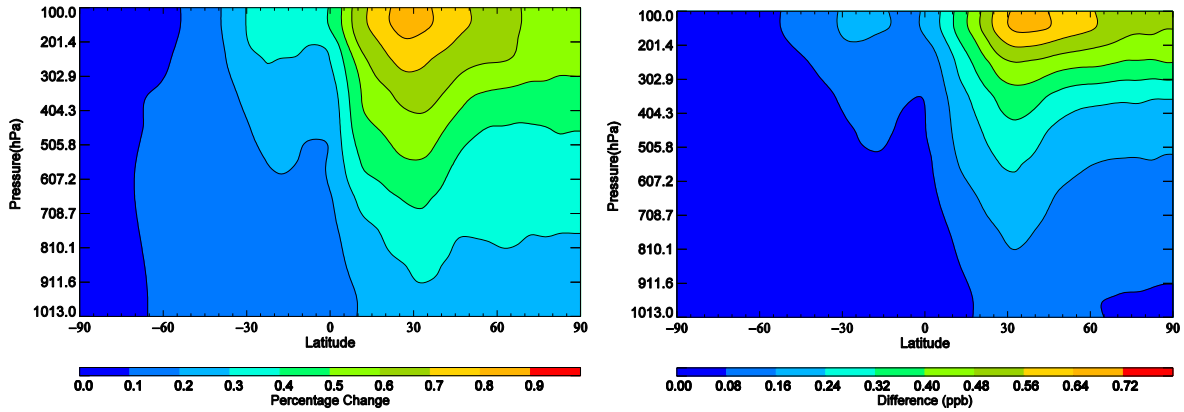
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(c)

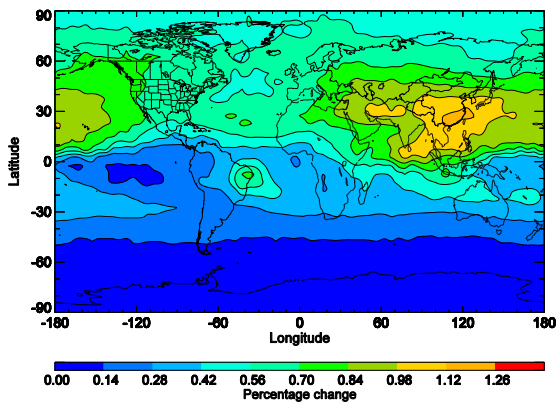
Fig. 6 Global annual zonal (a) percentage change in NO_x mixing ratios, (b) absolute change in NO_x mixing ratios (c) geographical distribution of percent changes in NO_x at 11.8-16.2. Percentage change = ((Aircraft2011-Aircraft2005)/Aircraft2005)*100) and Difference= (Aircraft2011-Aircraft2005).



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(a)

(b)



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(c)

Fig. 7 Global annual zonal (a) percentage change in O₃ mixing ratios, (b) absolute change in O₃ mixing ratios (c) geographical distribution of percent changes in O₃ at 11.8-16.2. Percentage change = ((Aircraft2011-Aircraft2005)/Aircraft2005)*100) and Difference= (Aircraft2011-Aircraft2005).

443 **4. Conclusion**

444 We used the 3-D global Lagrangian chemistry transport model, STOCHEM-CRI with the
445 novel CRIV2-R5 chemistry scheme to investigate the spatial distribution of the aviation NO_x
446 emissions induced changes in the concentration and distribution of global tropospheric NO_x
447 concentrations and the radiatively active greenhouse gas, O₃ as well as their evolution for the
448 period 2005 to 2011. The comparison of a model run with 3-D global aircraft emissions with
449 a model run without aircraft emissions shows that the global aircraft fleet increased the global
450 annual mean tropospheric burden of O₃ by 2.1%. The progressive manipulation of the
451 aviation NO_x emissions between 2005 and 2011 have the effect of increasing the tropospheric
452 burden of O₃ by 0.3%. The changes in the global annual mean burdens of the selected trace
453 species were at most 4% that of the initial impact (perturbation simulation), hence
454 comparably very small, while the compound impact between 2005 and 2011 was at most one
455 fifth of the initial impact. The net NO_y and O₃ production increases by 0.5 and 1.0%,
456 respectively between 2005 and 2011. The changes in NO_x and O₃ concentrations simulated in
457 the sensitivity simulations are found to be one order of magnitude smaller across the
458 longitudinal domain than those simulated in the perturbation simulation. The latitudinal
459 variation of inter-annual changes in NO_x and O₃ concentrations is found to be significant
460 because of the changing aviation activity. In the cruise altitude, the peak annual absolute
461 increases in O₃ mixing ratios are found up to 7 ppb between Aircraft2005 and NoAircraft
462 scenarios at 40°N-55°N and 0.7 ppb between Aircraft2011 and Aircraft2005 scenarios at
463 25°N-50°N.

464

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