

1 Bacterial survival following shock compression in the GigaPascal range

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14 **Keywords**

15 Impact process; Astrobiology; Earth; Experimental techniques

16 **Highlights**

- 17 • Significant survival of microbes is observed following shock compression into
18 the GPa range.
- 19 • Bacteria exhibit enhanced survival following shock compression compared
20 with static compression.
- 21 • Our results indicate the potential survival of viable lifeforms following bolide
22 impact events.

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24 **Abstract**

25

26 The possibility that life can exist within previously unconsidered habitats is causing
27 us to expand our understanding of potential planetary biospheres. Significant
28 populations of living organisms have been identified at depths extending up to several
29 km below the Earth's surface; whereas laboratory experiments have shown that
30 microbial species can survive following exposure to GigaPascal (GPa) pressures.
31 Understanding the degree to which simple organisms such as microbes survive such
32 extreme pressurization under static compression conditions is being actively
33 investigated. The survival of bacteria under dynamic shock compression is also of
34 interest. Such studies are being partly driven to test the hypothesis of potential
35 transport of biological organisms between planetary systems. Shock compression is
36 also of interest for the potential modification and sterilization of foodstuffs and
37 agricultural products. Here we report the survival of *Shewanella oneidensis* bacteria
38 exposed to dynamic (shock) compression. The samples examined included: (a) a
39 "wild type" (WT) strain and (b) a "pressure adapted" (PA) population obtained by
40 culturing survivors from static compression experiments to 750 MPa. Following
41 exposure to peak shock pressures of 1.5 and 2.5 GPa the proportion of survivors was
42 established as the number of colony forming units (CFU) present after recovery to
43 ambient conditions. The data were compared with previous results in which the same
44 bacterial samples were exposed to static pressurization to the same pressures, for 15
45 minutes each. The results indicate that shock compression leads to survival of a
46 significantly greater proportion of both WT and PA organisms. The significantly
47 shorter duration of the pressure pulse during the shock experiments (2-3 μ s) likely
48 contributes to the increased survival of the microbial species. One reason for this can

49 involve the crossover from deformable to rigid solid-like mechanical relaxational
50 behavior that occurs for bacterial cell walls on the order of seconds in the time-
51 dependent strain rate.

52

53 **1. Introduction**

54

55 Life on Earth is traditionally considered to occupy a relatively narrow range of
56 pressure (P-) and temperature (T-) conditions at or near the surface of our planet.
57 However, sampling expeditions have demonstrated that life can exist under deep
58 subsurface conditions, extending to several km below the oceanic and continental
59 crust (Daly et al 2016, Huber 2015, Inagaki et al 2015, Anderson et al 2013, Borgonie
60 et al 2013, Colwell and D'Hondt 2013, Meersman et al 2013; Picard and Daniel 2013,
61 Oger and Jebbar, 2010; Ono et al, 2010). It has also been suggested that the origins of
62 life might lie at depth, associated with submarine volcanic activity (Lane and Martin,
63 2012). Laboratory studies have also demonstrated that microbes can survive even
64 more extreme pressures extending to within the GigaPascal (GPa) range (Hazael et
65 al., 2014; Kish et al., 2012; Griffin et al.; 2011; Vanlint et al., 2011; Sharma et al.,
66 2002) , raising the possibility that organisms might exist within the deep interiors of
67 colder planetary systems (Hazael et al, 2016; Vance et al 2016). In addition to their
68 relevance for Earth and planetary biology, studies of the survival of organisms have
69 been conducted for the food industries, where the techniques of "Pascalization" vs
70 "Pasteurization" can be applied to remove unwanted pathogens while maintaining
71 color, texture, flavor and nutritional value (Demazeau and Rivalain 2011).

72 Most investigations of microbial survival under extreme high pressure conditions
73 have been conducted using static compression techniques, where the microbes are

74 typically exposed to the pressure stress on timescales ranging from minutes to hours.
75 However, other studies have focused on dynamic shock compression, where the
76 pressure is applied as a pulse rising to a peak value on a much shorter timescale, on
77 the order of tens of nanoseconds (ns), and is maintained within the sample for a few
78 microseconds (μ s), for example. Such studies are relevant to the possibility that
79 organisms might have been transported between planetary bodies, giving rise to the
80 potential phenomenon of "panspermia" (Melosh, 1988). That hypothesis presupposes
81 that bacteria or other primitive life forms could survive the extreme environments of
82 space trapped inside cometary or meteoritic bodies and then be delivered intact to the
83 early Earth during an impact event (Howard et al., 2013; Paulino-Lima et al., 2010;
84 Fajardo-Cavazos et al., 2009; Willis et al., 2006). Several pioneering studies have
85 now investigated the survival of living microorganisms during the transient high-P,T
86 conditions encountered during shock compression (Gruzielanek et al., 2010; Hazell et
87 al., 2010; Horneck et al., 2008; Burchell et al., 2004; Burchell et al., 2001). These
88 experiments have been conducted using light gas guns (Burchell et al., 1999) on
89 various broths, spores and bacterial organisms to achieve peak pressures between 1-8
90 GPa (Price et al., 2013; Hazell et al., 2010; Hazell et al., 2009; Burchell et al., 2004;
91 Burchell et al., 2001). Reported proportions of surviving colony-forming units (CFU)
92 have been remarkably high (Fajardo-Cavazos et al., 2009), with survivors recorded
93 following exposure to peak shock pressures as high as 78 GPa (Burchell et al., 2004).

94

95 Here we report results of the effects of dynamic shock compression on the survival of
96 samples of *Shewanella oneidensis* following exposure to peak pressures of 1.5 and 2.5
97 GPa, using a target assembly designed to facilitate recovery of the bacterial cells, and
98 also to maintain the temperatures developed during the shock compression as low as

99 possible. The experiments were carried out using a light gas gun apparatus at the
100 Shrivenham campus of Cranfield University, U.K., using bacterial strains developed
101 at University College London (UCL). Previously we had investigated colony
102 formation among survivor populations of this organism following static pressurization
103 to pressures extending up to 2.5 GPa using a piston cylinder apparatus at UCL
104 (Hazael et al., 2014). In our initial experiments in that work, colonies of bacteria were
105 raised directly to the target pressure, retained at that value for 15 minutes, and then
106 returned to ambient conditions for examination of the survival statistics. In further
107 series of runs, bacteria were sequentially exposed to successively higher pressures, in
108 pressure increments of 250 MPa. The survivors from each compression experiment
109 were cultured and used to provide feedstock for the subsequent treatments at
110 progressively higher pressures, resulting in increased survival rates for the "pressure
111 adapted" (PA) or more pressure resistant members of the population. A similar
112 protocol had been previously described in our work on *E. coli* by (Vanlint et al.
113 2011). For the present shock compression study, we compared survival results for
114 wild type (WT) and PA examples of *S. oneidensis*, shocked to peak pressures of 1.5
115 and 2.5 GPa. The PA samples had been developed from survivors that had previously
116 been compressed to 750 MPa, following prior culturing of survivor populations at 250
117 and 500 MPa (Hazael et al., 2014). In this way we could directly compare the survival
118 rates obtained in the shock compression study with the previous static compression
119 results, for both WT and PA bacterial samples. The results provide new information
120 about the bacterial response to dynamic vs static compression.

121

122 **2. Materials and Methods**

123

124 *Shewanella oneidensis* MR-1 (CIP 106686) was purchased from the Collection
125 Institut Pasteur (Paris, France) (Venkateswaran et al., 1999) and samples were
126 rehydrated in 200 μ l of Luria-Bertani Miller (LB) medium. From this stock, 50 μ l was
127 used for a liquid culture in 10 ml of LB broth grown at 30 °C and 180 rpm, and two
128 separate plate spreads of 50 μ l provided stock solutions. For each experiment a 10 ml
129 starter culture was inoculated either from plate or liquid stock. The bacteria were
130 harvested in stationary phase at a concentration of 1×10^8 cells/ml. For each
131 experiment a 1 ml aliquot of the starter culture was washed three times with
132 phosphate buffered saline (PBS) solution adjusted to pH 7.2 to remove damaged and
133 dead cells. The cells were then re-suspended in PBS for the experiments. These
134 samples constituted the "wild type" (WT) specimens used in both the static and shock
135 compression experiments.

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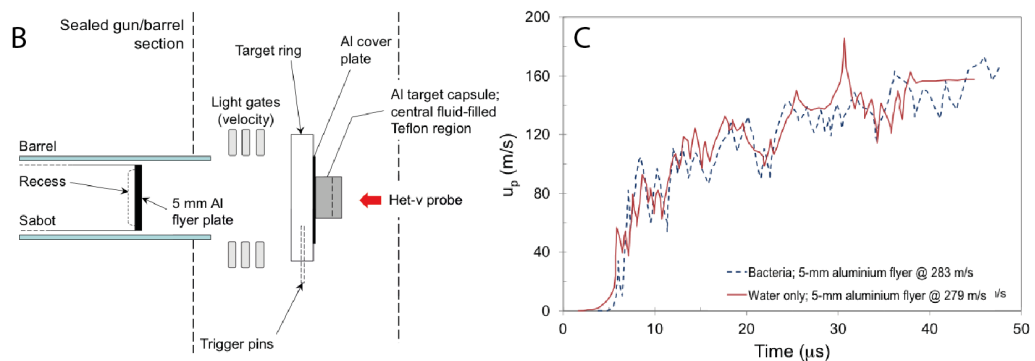
137 For the static compression experiments described previously (Hazael et al., 2014), a
138 Teflon[®] capsule was loaded with 6 μ l of the bacterial suspension. An aliquot of this
139 solution was plated to serve as a control sample. All microbiological preparations and
140 sample handling were carried out under aseptic conditions. Compression experiments
141 were carried out in a stepwise manner in a piston cylinder device, to reach final
142 pressures of 1.5 and 2.5 GPa as reported in the previous publication (Hazael et al.,
143 2014). Those results are quoted here to provide comparison points with the present
144 shock compression data. In order to prepare "pressure adapted" (PA) samples for the
145 shock compression runs, bacterial samples were exposed to static high pressures in
146 250 MPa steps up to 750 MPa, with survivors from each intermediate step recovered
147 and cultured before being exposed to the next highest pressure. This generated the PA

148 strain of *S. oneidensis* bacteria used in the shock compression runs (Hazael et al.,
149 2014).

150

151 For shock experiments, the bacterial samples were contained within a Teflon[®] lined
152 capsule placed inside a specially designed target assembly in order to carry out low
153 velocity shock loading and recovery experiments (Leighs et al., 2012) (Fig. 1). The
154 introduction of a Teflon[®] sleeve reduced pressure and temperature hotspots and aided
155 uniform pressure wave generation within the sample. The shock studies were carried
156 out using a 5m length, 50mm bore single stage gas gun to accelerate 5mm thick Al
157 flyer plates, with the final velocity measured just prior to impact. Measured impact
158 velocities were 273 and 360 m/s leading to peak pressures of 1.5 and 2.5 GPa,
159 respectively. While we were able to control the capsule system and the mass of our
160 projectile, the fact that we relied on a release of gas to drive a piston into the
161 projectile meant there could be some variation in impact velocity. Despite these slight
162 variations in velocity, the overall effect on pressure was deemed negligible, according
163 to results obtained using the hydrocode models. These peak pressures were calculated
164 using ANSYS[®] Autodyn[®] (Autodyn 2012; Robertson, 1994), using the
165 compressibility factor for pure water ($45.8 \times 10^{-11} \text{ Pa}^{-1}$) to model the compressional
166 behavior of the bacterial suspensions (Table 1; Fig. 2). The validity of this assumption
167 was tested by two impact experiments where the rear free surface of (a) water and (b)
168 bacterial solution contained within identical capsules was monitored *via* heterodyne
169 velocimetry (Het-V). This powerful technique uses Doppler shifted light reflected
170 from the moving end of the target during the shock experiment to determine the
171 particle velocity (u_p) as a function of the progress of the shock wave through the
172 sample (Strand et al., 2006) (Fig 1). The Het-V traces for the bacterial suspension and

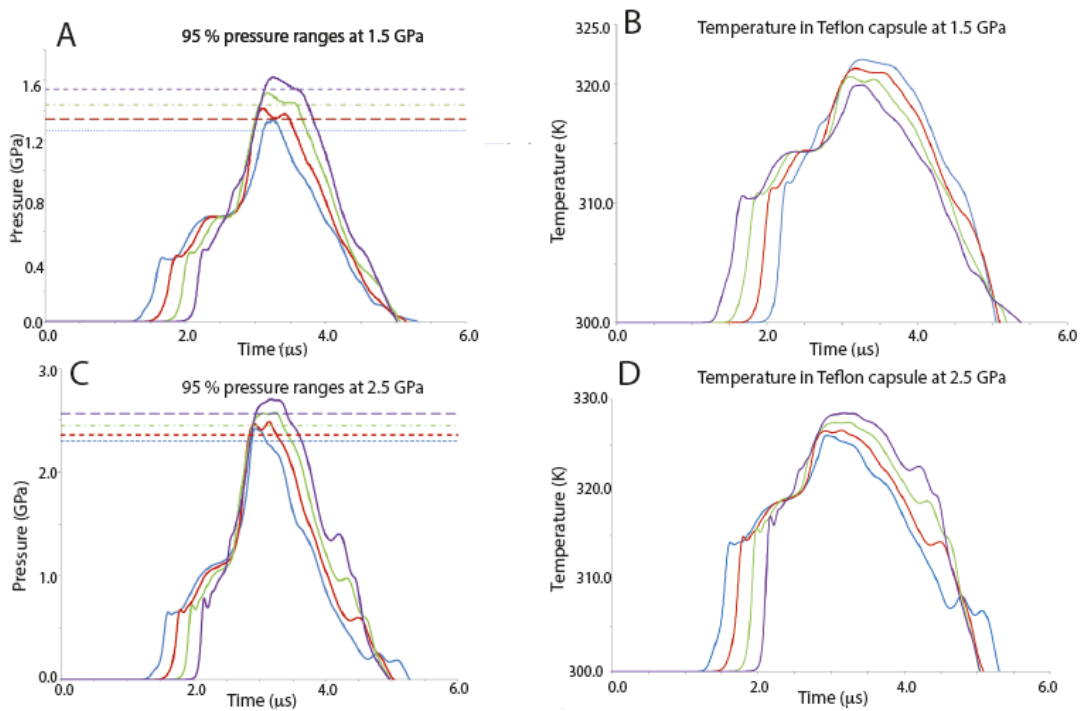
173 pure H₂O were indistinguishable, indicating that our use of the water compressibility
 174 factor gives reliable results for the pressure and temperature profiles simulated using
 175 ANSYS[®] Autodyn[®] codes during the dynamic compression runs.
 176
 177 The designed target configuration led to a complex ramped loading path lying
 178 between the principal Hugoniot and the isentrope, yielding final state temperatures of
 179 322 and 328 K, for samples shocked to 1.5 and 2.5 GPa respectively, determined by
 180 the simulations (Fig. 2; Table 1). We tested our simulation models against the plate
 181 impact studies of pure H₂O by (Nagayama et al., 2002), using the target
 182 configurations and material parameters reported by these authors. Both results were in
 183 excellent agreement (with standard errors $\leq 5\%$) leading to a high level of confidence
 184 in our modelling procedures. The low temperatures developed during the shock
 185 experiments meant that thermal resistance of the bacteria was not an issue.
 186



187

189 Figure 1 Experimental details for the shock experiments. A. Photograph of the single
 190 stage gas gun and shock laboratory at Cranfield University. The sample target and
 191 recovery chamber is shown at the far end of the laboratory. The recovery chamber is
 192 packed with rags to ensure a "soft landing" for the target capsule containing the
 193 sample following the shock experiment. B. A schematic drawing of the target and
 194 flyer plate assembly used in these shock studies. Material parameters for the various
 195 components and used in ANSYS® Autodyn® simulations are provided in Table 1. C.
 196 Het-V traces comparing the evolution of the particle velocity, u_p vs time, for pure H₂O
 197 with that of a bacterial suspension. Both were impacted at 280 m/s to achieve a peak
 198 shock pressure of 1.5 GPa. The two systems show identical behavior with u_p
 199 asymptotically approaching a plateau near 150 m/s after approximately 25-30 μ s.
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203 Figure 2. Results of modelling experiments carried out to determine pressure and
 204 temperature conditions developed as a function of time during shock compression at
 205 273 and 360 m/s using ANSYS® Autodyn® simulations. A. Calculated pressures
 206 developed within the sample as a function of time for a peak impact pressure of 1.5
 207 GPa; B. Calculated temperatures developed within the sample at an impact pressure
 208 of 1.5 GPa; C. Calculated pressure-time trace for a shock with peak impact pressure
 209 of 2.5 GPa; D. Calculated T profile for an impact pressure of 2.5 GPa. Different
 210 coloured lines refer to different P,T profiles at different gauge points within the
 211 simulations, selected to estimate the range of P,T conditions developed at various
 212 points throughout the sample volume, and thus provide an estimate of the range of
 213 values that are expected to exist at various stages during the shock compression event.
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Material	Material properties					
	Density (g cm ⁻³)	Strength model	Gruneisen coefficient	Thermal conductivity (J m ⁻¹ K ⁻¹ s ⁻¹)	Specific heat capacity (J kg ⁻¹ K ⁻¹)	Equation of State
Al 6061-T6	2.703	Steinberg-Guinan	1.97	247	885	Steinberg, 1991
Water	1.0	N/A	0.28	0.609	4.181 x 10 ³	Nagayama et al., 2002
Rubber	1.439	N/A	1.39	0.19	1.05 x 10 ³	LA-4167-MS, 1969 ¹
Teflon	2.16	von Mises	0.9	0.25	1.05 x 10 ³	Matuska, 1984

220

221 Table 1. Materials and material properties used in the ANSYS[®] Autodyn[®]
 222 simulations. Impact velocities used were 273 and 360 ms⁻¹ and achieved pressures of
 223 1.5 and 2.5 GPa, respectively. Al 6061-T6 refers to an Al alloy with the highest
 224 tensile strength of the 6061 series of at least 290 MPa. ¹Taken from the Los Alamos
 225 Scientific Laboratory, Selected Hugoniot. LA-4167-MS, May 1969.

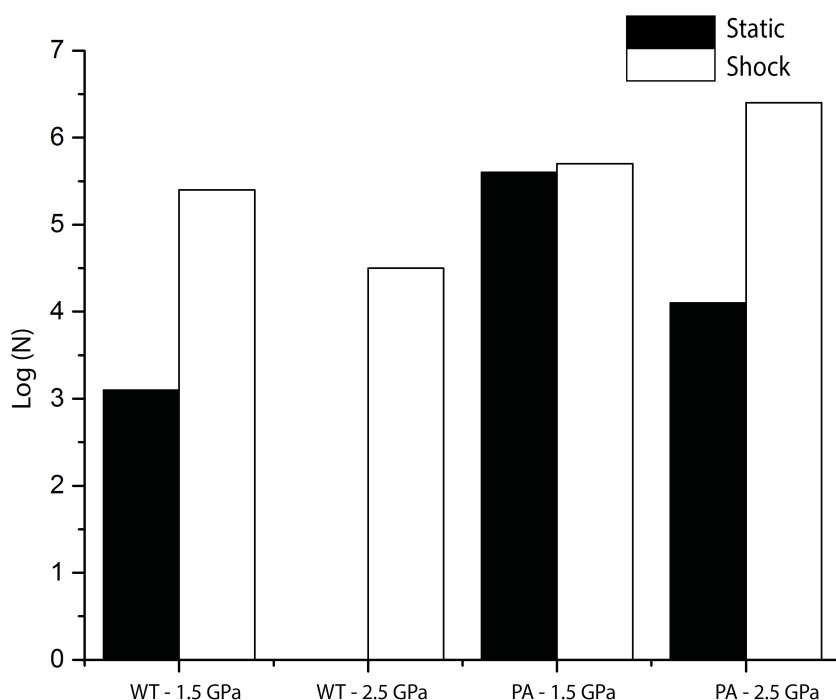
226

227 3. Results

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229 Shock compression studies were carried out for WT and PA bacterial populations to
 230 peak pressures of 1.5 and 2.5 GPa. The results are compared in Figure 3 and Table 2.

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233 Figure 3. Bar chart showing bacterial survival on a logarithmic scale (log (N)) with N
 234 as the number of colony forming units (CFU) per ml. These were established
 235 following recovery to ambient pressure relative to the initial concentrations (10^8
 236 CFU/ml) for wild type (WT) and pressure adapted (PA) samples of *Shewanella*
 237 *oneidensis* following static (Hazael et al., 2014) vs. shock compression experiments
 238 (longer vs. shorted timescales). Note that no WT survivors could be cultured
 239 following static compression to 2.5 GPa (Hazael et al., 2014), although $\sim 3 \times 10^4$ CFU
 240 were counted following incubation of survivors following shock compression of the
 241 same WT sample to this peak pressure.
 242

243 Our data clearly show that significantly larger numbers of survivors leading to colony
 244 forming units (CFU/ml) are recovered following shock compression compared with
 245 static pressurization to the same pressures for both WT and PA samples (Fig. 3). The
 246 difference in behavior is particularly striking for the 2.5 GPa experiments. At 2.5
 247 GPa there were no recorded survivors for the WT static compression experiment
 248 (Hazael et al., 2014). This is in direct contrast to the dynamic compression study
 249 where we now observe approximately 3×10^4 CFU/ml survivors for the same WT
 250 sample. At 1.5 GPa, slightly more than 10^3 CFU/ml survivors are recorded for the

251 static experiment, but dynamic shock compression leads to approximately 3×10^5
252 CFU/ml viable survivors to be recovered. For the PA population, both static and
253 dynamic shock compression to 1.5 GPa leads to similar survival statistics with 5-7 x
254 10^5 CFU/ml recorded following both types of pressurization experiment. However, at
255 2.5 GPa, static compression resulted in only $\sim 10^4$ CFU/ml survival, whereas dynamic
256 compression yielded $>10^6$ CFU/ml among the survivor population (Fig. 3, Table 2).

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Sample	Static Compression			Shock Compression			
	Pressure GPa	Survival CFU (N)	Log N (Average)	Peak Impact Pressure (GPa)	Flyer Velocity (ms ⁻¹)	Survival/CFU (N)	Log (N)
WT Static	1.5	1.30, 1.32, 1.32 x10 ³	3.1				
WT Static	2.5	0, 0, 0	0				
PA Static	1.5	5, 6, 3 x10 ⁵	5.6				
PA Static	2.5	8,4,3 x 10 ⁴	4.1				
WT Shock				1.5 (+10.4/-9.4%)	273 ¹ (± 1.7 %)	3.14 x 10 ⁵	5.4
WT Shock				2.5 (+6.1/-4.9%)	360 (± 2.8%)	3.83 x 10 ⁴	4.5
PA Shock Run 1				1.5 (+10.4/-9.4%)	273 ¹ (± 1.7 %)	6.6 x 10 ⁵	5.8
PA Shock Run 2				1.5 (+10.4/-9.4%)	273 ¹ (± 1.7 %)	7.24 x 10 ⁵	5.6
PA Shock Run 1				2.5 (+6.1/-4.9%)	354 (± 1.7 %)	1.93 x 10 ⁶	6.2
PA Shock Run 2				2.5 (+6.1/-4.9%)	363 (± 2.2 %)	4.61 x 10 ⁶	6.6

269

270 Table 2. Results of bacterial survival expressed as the number of colony-forming units
 271 log (N) (as CFU/ml of suspended solution). All initial bacterial populations were 1
 272 x10⁸ CFU/ml. ¹For the 1.5 GPa peak shock impacts a velocity matching technique
 273 was used to ensure identical peak pressures for both runs. For the 2.5 GPa shock runs,
 274 the flyer velocities varied slightly between different experiments.
 275

276 4. Discussion.

277 The survival rate found here for *S. oneidensis* subjected to shock compression at 2.5
 278 GPa peak pressure is lower, by 1-2 orders of magnitude, than that reported previously
 279 for a range of other organisms (Fajardo-Cavazos et al., 2009; Horneck et al., 2008;
 280 Burchell et al., 2004). However, several of those experiments used sporulating
 281 organisms, that can exhibit enhanced survival rates following exposure to applied

282 mechanical stress (Fajardo-Cavazos et al., 2009; Horneck et al., 2008; Burchell et al.,
283 2004). Burchell et al. (2004) examined an active sample of *Bacillus subtilis* as well as
284 the non sporulating organism *Rhodococcus erythropolis*, and found greater survival
285 rates for both samples than those found here for similar peak shock pressures.
286 However, these authors noted that their experimental protocol might have produced
287 uncertainties in the determined survival rates of up to 1-2 orders of magnitude, that
288 could bring the 3 GPa data for *R. erythropolis* into general agreement with our present
289 result for *S. oneidensis* at 2.5 GPa.

290

291 A main feature of our results reported here is that the PA population that had been
292 cultured from survivors following previous exposure to progressively higher static
293 pressures were more resistant than the WT species to dynamic compression, to higher
294 peak shock pressures. That mimics the result found previously in our static
295 pressurization experiments (Hazael et al., 2014), but the survival rates are
296 considerably enhanced in the dynamic compression runs (Fig. 3, Table 2). In
297 particular, bacterial survival following compression to 2.5 GPa is significantly greater
298 in the shock experiments than found previously in static compression runs at the same
299 pressure. We can examine some of the possible effects that could result in this
300 markedly different behavior.

301

302 The different biochemical and microbiological factors affecting bacterial survival at
303 high pressure are not yet understood (Meersman et al, 2013; Aertsen et al., 2004).
304 Recent studies have suggested that the demise of microbes within the lower pressure
305 range (up to 700-800 MPa) relevant to static compression protocols used in
306 commercial Pascalization processes is related to formation, migration and expulsion

307 of protein aggregates formed within the cells (Govers and Aertsen, 2015). However,
308 the survival mechanisms that apply to bacteria exposed to pressures extending into the
309 GPa range have not yet been examined in detail.

310

311 As a next step to begin to understand the differential effects of static vs shock
312 pressurization on the bacterial survival, we should take account of the markedly
313 different timescales of the static vs dynamic compression experiments, in relation to
314 the mechanical and viscoelastic relaxation properties of the bacterial cell envelope.
315 Understanding the mechanical behavior and time-dependent deformation behavior of
316 living cells subjected to mechanical loading is becoming an important area in soft
317 matter biophysics, with implications for medical and nanomaterials research
318 (Bonakdar et al 2016; Vadillo-Rodriguez and Dutcher, 2011, Fabry et al 2001;
319 Thwaites et al; 1991). Most living cells show a viscoelastic deformation response that
320 follows a power law in time (Bonakdar et al 2016; Fabry et al 2001). Dynamic
321 mechanical relaxation experiments and simulations carried out for bacteria indicate
322 that the viscoelastic behaviour of the cell envelope passes from exhibiting a relaxed
323 ("rubbery") response upon slower application of the mechanical stress to more solid-
324 like ("glassy") behavior by increasing the speed of the applied stress, at a timescale of
325 about ~ 1 s. During our dynamic compression experiments a planar shock wave was
326 launched into the aqueous suspension medium with a peak pressure developing and
327 persisting over a timescale of 2-3 μ s (Fig. 2). That indicates that the cell walls of the
328 *S. oneidensis* bacteria studied in our shock experiments should not deform elastically
329 during passage of the shock wave, but instead behaved as a more rigid envelope. In
330 that case, the biomolecular apparatus and fluids internal to the cells would not have
331 experienced any significant effects due to compression, although protein complexes

332 and other biomolecules located in the outer part of the membrane or external to the
333 cell wall would be directly exposed to the shock compression conditions, and might
334 be expected to have altered structures and functionality. On the other hand, the
335 external cell wall could experience rupture due to the applied stress exceeding the
336 fracture tolerance limit. Experiments have indicated that the tensile strength of
337 bacteria is approximately 300 MPa with a Young's modulus on the order of 13 GPa
338 (Thwaites et al., 1991). We note that the PA populations appear to have altered
339 characteristics, including the external shape and size of the bacteria (R. Hazael, P.F
340 McMillan et al, in prep). Those changes could indicate that the process of selection
341 among the WT population implied by the progressive pressurization-resuscitation-
342 culturing steps carried out as part of our static compression protocols to achieve the
343 PA samples studied here might have an altered outer envelope structure, with
344 enhanced pressure-resistant mechanical properties.

345

346

347 We must also examine the possible effects of crystallization to form ice crystals
348 within the aqueous suspension medium or inside the bacteria themselves that might
349 damage the cell walls and result in non-viability. In addition, the crystallization phase
350 boundaries in the system might be altered by the presence of dissolved salts, that
351 might also change the ionic strength as crystals of pure H₂O appear. The H₂O phase
352 diagram shows that the high pressure crystalline phases ice VI followed by ice VII
353 become stabilized at 1.5 and 2.5 GPa, respectively, at temperatures within the 310-
354 330 K range achieved here. Dynamic compression experiments along the principal
355 Hugoniot show that the P,T path lies close to the ice crystallization boundary
356 (Nagayama et al., 2002). In our studies, the compression followed a complex dynamic

357 loading path between the Hugoniot and isentrope, leading to lower temperatures
358 achieved at 1.5 and 2.5 GPa peak pressures. The formation of crystalline ice phases
359 from liquid H₂O is typically considered to be a slow process during shock events,
360 however ramp compression studies have indicated a much faster nucleation rate as the
361 loading conditions approach the isentrope (Dolan et al., 2007). It is possible if not
362 likely that crystals of ice VI and/or ice VII nucleated within the aqueous suspension
363 medium. In our static compression experiments, no WT survivors were recorded at
364 2.5 GPa that lies within the ice VII phase field at room temperature, whereas ~1.3 x
365 10³ survivors (a approximately 0.001 % survival rate) were observed at 1.5 GPa,
366 where ice VI would have been present during the high pressure run (Hazael et al.,
367 2014). However, the PA specimen exhibited 10⁴-10⁶ CFU/ml survivors following
368 compression to both pressures, making it unlikely that physical damage to the
369 bacterial cell walls could have limited survival, unless the PA samples had presented
370 a strategy to resist mechanical rupture. During a static compression study carried out
371 to 1.4 GPa in a diamond anvil cell, the aqueous medium surrounding the microbes
372 was observed to solidify into ice VI. However, apparently intact bacteria continued to
373 remain visible inside fluid inclusions as well as along grain boundaries between the
374 crystals, and metabolic activity continued to be recorded (Sharma et al., 2002). In our
375 piston cylinder compression studies, by 2.5 GPa no viable members of the WT
376 population exhibited colony-forming behavior, however, a substantial number of
377 survivors from the PA populations could be recovered and cultured at ambient
378 pressure. The shock experiments showed a significantly increased survival rate for
379 both WT and PA bacteria at 2.5 GPa compared with the static compression results;
380 however, static and dynamic pressurization appeared to show comparable survival
381 rates for the PA sample exposed at 1.5 GPa. This complex series of observations leads

382 us to suggest that H₂O crystallization can not be the main effect causing the survival
383 or demise of bacteria following exposure to high pressures in the GPa range.

384

385 Although we have established that WT bacteria are more sensitive to shock than are
386 the specialized survivors within PA populations, it is not known why this occurs, or
387 what the upper limits of bacterial survival might be following a dynamic compression
388 event. That is likely to be set by the intrinsic mechanical resistance of the cell
389 envelope to applied stress over a short timescale. Establishing those mechanical
390 parameters should then help determine the ultimate survival of microbes and other
391 organisms following a shock impact event.

392

393 The impact properties of meteorites on Earth, Martian and lunar surfaces are well
394 known. Typical speeds of impactors are expected to lie in the range of km s⁻¹ with
395 peak impact pressures estimated to be on the order of several GPa (Beck et al., 2005),
396 dependent upon the target material and the dimensions of the impacting body. The
397 shock wave propagation velocities inside the impactor should remain on the order of
398 μs or faster, so that any included organisms within the bolide (or impacted body)
399 could exhibit a similar "glassy" cellular response to the applied dynamic stress
400 conditions. The resistance of the cell envelope to maintain its integrity would then
401 limit microbial survival. If the temperatures developed during a bolide impact event
402 were to remain sufficiently low (El Goresy et al., 2001), then survival of bacteria in a
403 live as well as a dormant state could be considered as a realistic possibility.

404

405 **4. Conclusions**

406

407 From our data we have shown that bacterial survival following shock compression is
408 greatly increased over that found following static compression. Specifically, shock
409 experiments at 2.5 GPa, for which no survival can be recorded for WT samples
410 exposed to static pressurization, exhibit some survival following shock compression.
411 The greatest number of survivors is recorded for PA species following shock *vs* static
412 pressurization. These results shed new light on the survival mechanisms for microbes
413 exposed to different dynamic *vs* static pressurization conditions, as well as
414 demonstrating the potential survival of viable species following bolide impact events
415 and transport between planetary systems.

416

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424

425

426

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