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## **Atg7-mediated autophagy is involved in the neural crest cell generation in chick embryo**

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*Running title: Atg7 is involved in the NCCs generation*

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### **Abstract**

Autophagy plays a very important role in numerous physiological and pathological events. However, it still remains unclear whether Atg7-induced autophagy is involved in the regulation of neural crest cell production. In this study, we found the co-location of Atg7 and Pax7<sup>+</sup> neural crest cells in early chick embryo development. Up-regulation of Atg7 with unilateral transfection of full length Atg7 increased Pax7<sup>+</sup> and HNK-1<sup>+</sup> cephalic and trunk neural crest cell numbers compared to either Control-GFP transfection or opposite neural tubes, suggesting that Atg7 over-expression in neural tubes could enhance the production of neural crest cells. BMP4 *in situ* hybridization and p-Smad1/5/8 immunofluorescent staining demonstrated up-regulation of Atg7 in neural tubes suppressed the BMP4/Smad signaling, which is considered to promote the delamination of neural crest cells. Interestingly, up-regulation of Atg7 in neural tubes could significantly accelerate cell progression into S phase, implying that Atg7 modulates cell cycle progression. However,  $\beta$ -catenin expression was not significantly altered. Finally, we demonstrated that up-regulation of the Atg7 gene could activate autophagy as did Atg8. We have also observed that similar phenotypes, such as more HNK-1<sup>+</sup> neural crest cells in the unilateral Atg8 transfection side of neural tubes, and the transfection with full length Atg8-GFP certainly promote the numbers of BrdU<sup>+</sup> neural crest cells in comparison to GFP control. Taken together, we reveal that Atg7-induced autophagy is involved in regulating the production of neural crest cells in early chick embryos through the modification of cell cycle.

**Keywords:** neural crest, Atg7, autophagy, cell cycle

## **Introduction**

Autophagy is a highly coordinated cellular program through lysosomal degradation of the cytosolic components that play crucial roles during early embryonic development and in maintaining adult cell homeostasis [1]. In other words, autophagy functions as a housekeeper which prevents harmful protein accumulation within cells through removing dead or damaged organelles [1]. It is also a catabolic process by which the energy from lysosomal degradation of cell components is supplied to embryonic development or adult cells in response to any nutrition stress [2-4]. The autophagy process begins when portions of cytoplasm are surrounded by membrane, and ends when the contents are degraded by lysosomes through autophagy membrane traffic and ultimately funneled into autophagosomes and lysosomes [4]. Autophagosomes appear during the early stages of autophagy, and are then fused with lysosomes and endocytic components. After degradation, the metabolic products can be recycled to cytoplasm as cellular building blocks [5]. Rapid autophagy is generally motivated by starvation stress, which activates AMPK and inactivates mTOR complex1 (mTORC1) pathway [6]. The convergent AMPK and mTORC1 pathways phosphorylate ULK1/2, the key components of autophagy regulatory complex including autophagy-related gene 13 (Atg13), Atg10 and Rb1CC1, which will ultimately promote the formation of autophagosomes. Besides, Atg5, Atg7 and Atg8 (LC3) also participate in the autophagy process at different stages [7,8]. It was reported that Atg5 and Atg7 mutant mice could survive and develop during the embryonic period but die soon after birth [7,8]. Accumulating evidence indicates that there are a wide range of physiological and pathological roles of autophagy under diverse contexts during developmental and adult stages [5].

Neural crest cells (NCCs) are pluripotent cells, derived from neural plate border (NPB) of the ectoderm. We might say that they are at the dorsal region of developing neural tube. According to their origin, NCCs can be divided into the four domains, which are cranial, trunk, vagal and sacral, and cardiac neural crest. NCCs undergo extensive processes including induction, delamination, epithelial-mesenchymal transition, migration, differentiation and eventually give rise remarkable range of cells in vertebrates. These cells comprise neurons and glial cells in sensory, sympathetic and parasympathetic nervous systems in trunk [9]. Cranial neural crest cells (CNCC) contribute to bones, cartilage, nerves and connective tissues components of the head including craniofacial skeleton, cerebral ganglion of sensory nervous system [10,11]. NCCs are deemed to so important that they are often considered as a fourth germ layer in addition to ectoderm, endoderm and mesoderm. The

abnormal development of the neural crest could result in numerous congenital malformations, such as neural tube defects (NTDs), atrioventricular septal defect, patent ductus arteriosus, and Waardenburg's syndrome [12-14].

Although the signaling pathways that control the migration and differentiation of neural crest cells still remain elusive, BMP and Wnt signaling as well as adhesion molecules are deemed to be crucial factors that modulate NCC production spatiotemporally [15]. The current hypothesis regarding the function of autophagy during embryo development is that autophagy is involved in cellular autolysis and self-clearance when programmed cell death is accompanied by insufficient availability of engulfment cells [16], or autophagy is required for cavitations of solid embryonic structure [17,18]. In this study, we investigated whether or not Atg7-induced autophagy functions on the development of neural crest in early chick embryo through manipulating the levels of Atg7 and Atg8 gene expression at developing neural tubes. As a classical model for studying neural crest development, chick embryos have been widely used to study the effect of various external factors on embryo development and determine the functions of genes during embryogenesis [19,20], which are also often employed in our recent studies.

## **Materials and Methods**

### ***Chick embryos***

Fertilized leghorn eggs were acquired from the Avian Farm of South China Agriculture University. The eggs were incubated in a humidified incubator (Yiheng Instruments, Shanghai, China) set at 38°C and 70% humidity until the embryos reached the desired developmental stage.

### ***Gene transfection***

The control plasmid pEGFP-C2 and pCMV-Myc were supplied by Prof. Liu Cao [21]. Morpholino Atg7 (Mo-Atg7) were purchased from Gene Tools, LLC, USA (working solution: 1 mM). Atg7 (Genebank Locus: BC000091) was ligated into the pCMV-My vector (FL-Atg7) [21]. LC3 was ligated into the pEGFP-C2 vector (FL-Atg8-GFP). The plasmid DNAs were prepared and concentrated to 2 µg/µl using a TIANGEN DP107-02 kit. The following strategy was designed for transfecting the different constructs into different stages of chick embryos according to experimental requirements. For the *in ovo* electroporation, plasmid DNAs were microinjected into the lumen of the neural tube of

HH10 stage chick embryos. To track the FL-Atg7 and Mo-Atg7 transfection, we cotransfected FL-Atg7, Mo-Atg7 or control plasmid along with a pEGFP-C2 marker (1:1) in the half-side neural tube of HH10 chick embryos. The electroporation parameters used for achieving maximum transfection efficiency were as previously described [22,20,19]. The transfected chick embryos were then incubated at 38°C with 70% humidity until the embryos reached the HH13 stage. In order to determine how many cells were in S phase, embryos were exposed to BrdU (Sigma-Aldrich 10 µg/ml) for 2-hours in Early Chick (EC) culture [23]. To analyze neural crest generation, we used the portions of neural tubes between the 8th and the 13th pairs of somites (rostral to caudal).

### ***Immunohistochemistry***

Immunohistochemical staining was performed as previously described on whole-mount chick embryos to determine Atg7, Pax7, HNK-1, Phospho-Smad1/5/8 (p-Smad1/5/8), BrdU and  $\beta$ -Catenin expression [24,25]. Briefly, all embryos were fixed in 4% paraformaldehyde at 4°C overnight and then washed with PBS. The embryos were then blocked with 2% Bovine Serum Albumin (BSA) + 1% Triton-X + 1% Tween 20 in PBS for 2 hours at room temperature to prevent unspecific immunoreaction. Following several washes in PBS, the embryos were incubated with primary monoclonal antibody specific for Atg7 (Sigma-Aldrich 1:100), Pax7 (DSHB 1:100), HNK-1 (Sigma-Aldrich 1:200), GFP (Novus Biologicals, 1:1000), p-Smad1/5/8 (Cell Signaling 1:100), LC3B (Cell Signaling 1:100) and  $\beta$ -Catenin (Abcam 1:100) overnight at 4°C on a rocker. After extensive washing, the embryos were incubated with anti-mouse or anti-rabbit Alexa Fluor 555 antibody (2 µg/ml, Invitrogen) overnight at 4°C on rocker to reveal the primary antibody staining. Some embryos were pre-treated with BrdU (Sigma-Aldrich 10 µg/ml) for 2-hours followed by immunohistochemical staining with BrdU antibody (BD Biosciences, 1:200). All embryos were counterstained with DAPI (5 µg/ml, Invitrogen) for 1 hour at room temperature. Subsequently, the embryos were sectioned on a cryostat microtome (Leica CM1900). The sections were mounted onto glass slides using Mowiol mounting solution (Sigma-Aldrich-Aldrich) and sealed with coverslips.

### ***Western blotting***

Western blotting was performed in accordance with a standard procedure using polyclonal antibodies that specifically recognize PCNA, Atg7, LC3B,  $\beta$ -catenin and  $\beta$ -actin. Protein from the

HH10 Chick neural tubes, which were cotransfected FL-Atg7, Mo-Atg7 or control plasmid along with a pEGFP-C2 marker (N>15 embryos in each group) , was isolated from tissue homogenates or cell lysates using a radio-immuno-precipitation assay (RIPA, Sigma, MO, USA) buffer supplemented with protease and phosphatase inhibitors. The protein concentrations were quantified with the BCA assay. The extracted protein was separated by 10% SDS-PAGE and transferred onto a polyvinylidene difluoride (PVDF) membrane (Millipore, MA, USA). The membrane was blocked with 5% non-fat milk and then was incubated with PCNA (Santa Cruz 1:1000), Atg7 (Sigma-Aldrich 1:1000),  $\beta$ -catenin (Abcam 1:1000) and LC3B (1:1000 Cell Signaling) in TBS buffer at 4°C overnight. The loading control was  $\beta$ -actin antibody (Cell Signaling 1:3000). After incubation with the secondary antibody, either HRP goat anti-rabbit IgG (1:3000; EarthOx, 7074S, Millbrae, USA), or HRP goat anti-mouse IgG (1:3000; EarthOx, 7076S, Millbrae, USA), the blots were developed with the SuperSignal™ West Femto Chemiluminescent Substrate (ThermoFisher, Rockford, USA), Gel Doc™ XR+ System (BIO-RAD, CA, USA). Quantity One (BIO-RAD, CA, USA) software was used to capture the chemiluminescent signals and analyse the data.

#### ***Cell lines and primary explant culture***

Human neuroblastoma cell line (SH-SY5Y) was obtained from ATCC (American Type Culture Collection, CLR-2266, USA). The cells were cultured in a humidified incubator with 5% CO<sub>2</sub> at 37°C in six-well plates (1×10<sup>6</sup> cells/ml) containing DMEM (Gibco, USA) supplemented with 10% fetal bovine serum (Gibco, Australia). For the gene transfection, the SH-SY5Y cells were transfected with a pEGFP-C2 + pCMV-My vector or pEGFP-C2 + FL-Atg7 assisted by lipofectamin 3000 (Invitrogen, CA, USA). The prepared plasmid DNA-lipid complexes were added when cells were 50–70% confluent. After 48 hours incubation, the cells were immunofluorescent stained for  $\beta$ -Catenin (Epitomics 1:100).

The neural tubes of chick embryos (at stage HH10) transfected with FL-Atg7 were excised from the embryos at somite levels 1-10 and then incubated in DMEM-F12 culture medium (GIBCO) at 37°C and 5% CO<sub>2</sub>. After 72 hours incubation, immunofluorescent staining against Atg7 (Sigma-Aldrich 1:100) was performed on the primary explants. Before harvest, the primary explants were stained using phalloidin-Alexa Fluor 555 (1:200, Invitrogen, USA) at room temperature for 2 hours to detect F-Actin. A minimum of five images were assayed per treatment group.

### ***In situ hybridization***

BMP4 antisense probes were synthesized as previously described [26]. Whole-mount *in situ* hybridization of chick embryos was performed according to a standard *in situ* hybridization protocol [27]. Briefly the embryos were fixed with 4% PFA overnight at 4°C, dehydrated in a graded series of methanol and stored at -20°C (overnight). The following day, the embryos were hybridized with BMP4 digoxigenin and then labeled with antisense probe overnight at 65°C. Following hybridization, the bound RNA probe was visualized by incubation with alkaline phosphatase-conjugated anti-digoxigenin antibodies and the color was developed in BCIP & NBT (Roche). The whole-mount stained embryos were photographed and then prepared for cryo-sectioning to a thickness of 15-20 µm on a cryostat microtome (Leica CM1900).

### ***Transmission electron microscopy***

The control plasmid, FL-Atg7 and FL-Atg8-GFP transfected chick embryos were fixed with 2.5% Glutaral in 0.1 M PBS for 2 h, and then the neural tubes were dissected. The samples were sent to the TEM Laboratory of Sun Yat-sen University. For embedding, ultrathin sectioning and staining were performed by professional technicians in TEM Laboratory of Sun Yat-sen University and examined using a Tecnai G 2 Spirit Twin (FEI, USA). The observation of autophagosomes using TEM was according to the guidelines for monitoring autophagy [8].

### ***Photography***

After *in situ* hybridization or immunohistochemical staining, the whole-mount embryos were photographed using a fluorescence stereomicroscope (Olympus MVX10) and imaging software (Image-Pro Plus 7.0). Sections of the stained embryos were photographed using an epi-fluorescent microscope (Olympus IX51, Leica DM 4000B) at 200x or 400x magnification using the Olympus software package (Leica CW4000 FISH).

### ***Image acquisition and analysis***

For the quantification in the BrdU experiments, we manually counted BrdU<sup>+</sup> GFP<sup>+</sup> cells versus BrdU<sup>+</sup> cells of the neural tube in transfected side and as previously described [28]; the HNK-1<sup>+</sup> area



was quantified with Image-Pro Plus 6.0; for the primary explant culture experiments, cell migration was quantified by measuring the HNK-1<sup>+</sup> staining with Image-Pro Plus 6.0; Pax7<sup>+</sup> cells were manually counted at the DAPI and Pax7<sup>+</sup> merged images. The data were presented as mean  $\pm$  SE. Statistical analysis for the experimental data was performed using a SPSS 13.0 statistical package program for Windows. Statistical significance was established using one-way analysis of variance (ANOVA).  $P < 0.05$  was considered to be significantly different.

## **Results**

### ***Atg7 is expressed on the neural crest cells.***

Whole mount immunofluorescent staining against Atg7 and Pax7 was performed in HH13 chick embryos to determine their expression patterns (Fig. 1A-F). Atg7 is strongly expressed in ectoderm and weakly expressed in neural crest (as indicated by arrows) at cranial and trunk levels (Fig. 1A-A1, 1D-D1). Meanwhile, Pax7, which is a neural crest cell marker [29], is clearly expressed in dorsal neural tube and neural crest as indicated by arrows (Fig. 1B-B1, 1E-E1). Merged images show that both Atg7 and Pax7 are expressed in neural crest cells as indicated by arrows (Fig. 1C-C1, 1F-F1). This suggests that Atg7 might have roles in neural crest generation.

### ***Over-expressing Atg7 increased the production of neural crest cells in early chick embryos.***

In order to investigate the correlation between Atg7 and neural crest production, we up-regulated Atg7 expression at unilateral of developing neural tubes of HH10 chick embryos by transfection of FL-Atg7. After transfection, Atg7 expression was determined by Atg7 immunofluorescent staining. The results showed that FL-Atg7 transfection enhanced the Atg7 expression in neural tube (Supplementary Fig. 1). To easily observe the transfected side of the neural tube, we mixed the pEGFP-C2 with control plasmid or FL-Atg7 or Mo-Atg7. Using a similar strategy, we up-regulated or down-regulated Atg7 expression at unilateral of developing neural tubes of HH10 chick embryos by transfection of Control-GFP (Fig. 2A), full length Atg7 + GFP (FL-Atg7 + GFP) (Fig. 2D) or morpholino Atg7 + GFP (Mo-Atg7 + GFP) (Fig. 2G). The results showed that Control-GFP transfection in transfected side of neural tube did not affect Atg7 expression, which could be clearly observed in the individual cells indicated by arrows in right lower high-magnification images (Fig. 2B-C); FL-Atg7 + GFP transfection enhanced the Atg7 expression in both neural tube and neural crest

cells indicated by arrows (Fig. 2E-F); since *Atg7* is strongly expressed at the ectoderm of embryos, we found that Mo-*Atg7* + GFP down-regulated *Atg7* expression at the ectoderm compared with the non-transfected side (arrow indications, Fig. 2H-I). Additionally, western blotting also gave the same results regarding *Atg7* expression as immunofluorescent staining at protein level following the manipulations of *Atg7* gene level in neural tubes (*Atg7*/β-actin: Control-GFP =  $0.77 \pm 0.01$ , FL-*Atg7* + GFP =  $1.01 \pm 0.05$ , Mo-*Atg7* + GFP =  $0.6 \pm 0.04$ ,  $p < 0.05$ , N = 3; Fig. 2J). All of these data indicate the successful manipulation of *Atg7* expression in early chick embryo development.

Immunofluorescent staining against either Pax7 (marker of pre-migratory and migratory neural crest cells) or HNK-1 (marker of migratory neural crest cells), was performed to detect neural crest production after up-regulating *Atg7* expression at unilateral of neural tubes, whilst leaving the opposite side as control (Fig. 3A, 3F, 3G). The transverse sections at the level indicated by dotted line in Fig. 3A showed that GFP transfection did not affect the neural crest production (Fig. 3B-C), but FL-*Atg7* + GFP transfection dramatically increased the numbers of neural crest cells in transfected side as indicated by arrows compared to opposite control side (ratio of Pax7<sup>+</sup> cells between transfection side / control side: Control-GFP =  $1.04 \pm 0.05$ , FL-*Atg7* + GFP =  $1.65 \pm 0.07$ ,  $p < 0.001$ , N = 8; Fig. 3D-E, L), and this can be seen more clearly in high magnification (Fig. 3D1-E1). Similarly, we also demonstrated that there was no significant difference in HNK-1<sup>+</sup> migratory neural crest cell numbers between GFP transfected side and opposite control side (Fig. 3H-I), but HNK-1<sup>+</sup> migratory neural crest cell numbers in FL-*Atg7* + GFP transfected side at trunk level were significantly greater than in the opposite control side (ratio of HNK-1<sup>+</sup> area between transfection side / control side: Control-GFP =  $1.07 \pm 0.06$ , FL-*Atg7* + GFP =  $2.10 \pm 0.10$ ,  $p < 0.001$ , N = 6; Fig. 3J-K1, M). Similarly, we found that up-regulation of *Atg7* also increased HNK-1<sup>+</sup> migratory neural crest cell numbers, at cranial and cardiac levels (cranial: Control-GFP =  $(22.72 \pm 0.53) \times 10^3 \mu\text{m}^2$ , FL-*Atg7* + GFP =  $(44.55 \pm 0.80) \times 10^3 \mu\text{m}^2$ ; cardiac: Control-GFP =  $(15.33 \pm 0.68) \times 10^3 \mu\text{m}^2$ , FL-*Atg7* + GFP =  $(45.56 \pm 1.81) \times 10^3 \mu\text{m}^2$ ,  $p < 0.001$ , N = 6; Supplementary Fig. 2). The cultured neural tube explants were checked for F-actin in migratory cells. The immunofluorescence of *Atg7* indicated the cells which were transfected with FL-*Atg7* (Supplementary Fig. 3A-E, Green arrow), with respect to un-transfected cells as the control cells (Supplementary Fig. 3A-E, Yellow arrow). The results showed that the elongation of cells transfected with FL-*Atg7* (Supplementary Fig. 3A-E, white arrows) was significantly greater than in un-transfected (control) cells (Long axis / short: control =  $2.60 \pm 0.32$ , N = 14, FL-*Atg7* =  $5.28 \pm 0.47$ , N = 12,

$p < 0.001$ ; Supplementary Fig. 3A-E). More cell protrusions occurred in the majority of cells transfected with FL-Atg7 compared to those in un-transfected cells (control =  $4.19 \pm 0.22$ ,  $N = 26$ , FL-Atg7 =  $5.31 \pm 0.48$ ,  $N = 26$ ,  $p < 0.05$ ; Supplementary Fig. 3A-D and F). Intriguingly, the down-regulation of Atg7 through transfection of morpholino Atg7 + GFP (Mo-Atg7 + GFP) in unilateral neural tube did not affect either Pax7<sup>+</sup> or HNK-1<sup>+</sup> neural crest cell production (Pax7<sup>+</sup> cell numbers: Control-GFP =  $13.38 \pm 0.50$ , Mo-Atg7 + GFP =  $13.13 \pm 0.95$ ; HNK-1<sup>+</sup> cell areas: Control-GFP =  $(9.34 \pm 0.81) \times 10^3 \mu\text{m}^2$ , Mo-Atg7 + GFP =  $(9.87 \pm 0.73) \times 10^3 \mu\text{m}^2$ ;  $p > 0.05$ ,  $N = 6$ ; Supplementary Fig. 4). All of these data suggest that up-regulation of Atg7 could significantly increase the production of neural crest cells.

***Over-expressing Atg7 suppressed BMP4/Smad signaling in dorsal neural tube of early chick embryos.***

To study whether or not BMP4/Smad signaling [30] is involved in the Atg7 alteration-induced the increase of neural crest cell production, we determined the expressions of BMP4 and p-Smad1/5/8 using *in situ* hybridization and immunofluorescent staining (Fig. 4). The transverse sections of neural tubes of BMP4 *in situ* hybridization showed that BMP4 was expressed in dorsal neural tube (Fig. 4B-C); and GFP transfection did not interfere with BMP4 expression since the BMP4 expression kept balance (indicated by arrows) following GFP transfection at unilateral neural tube ( $N = 6/6$  embryos, Fig. 4A-C). However, the BMP4 expression disappeared at dorsal neural tube of transfection side (indicated by arrows) after the transfection of FL-Atg7 + GFP ( $N = 5/6$  embryos, Fig. 4D-F). Meanwhile, the transverse sections of neural tube of p-Smad1/5/8 immunofluorescent staining showed that p-Smad1/5/8 was also expressed in dorsal neural tube; and its expression was not affected by GFP transfection ( $N = 4/4$  embryos, Fig. 4G-I), but down-regulated by FL-Atg7 + GFP transfection at the transfection side ( $N = 6/8$  embryos, Fig. 4J-L). We could see the disappearance of p-Smad1/5/8 expression in individual cells by comparing the high magnification images (Fig. 4I and Fig. 4L). These data indicate that up-regulation of Atg7 could regress the BMP4/Smad signaling at dorsal neural tube of chick embryos.

***Over-expressing Atg7 modulates cell cycle progression in dorsal neural tube of early chick embryos.***

We investigated whether there was any association between Atg7 over-expression and cell cycle, which would help explain why NCC migration was promoted. Therefore, we determined the PCNA

expression at the protein level using western blotting, and demonstrated that PCNA expression in FL-Atg7 + GFP transfected neural tubes was much higher than GFP transfected ones (PCNA/ $\beta$ -actin: Control-GFP =  $0.77 \pm 0.01$ , FL-Atg7 + GFP =  $0.95 \pm 0.01$ ,  $p < 0.001$ , N = 3; Fig. 5A). To further confirm the observation, the cell cycle progression in neural tube was determined using a BrdU incorporation assay after up-regulation of Atg7 expression at unilateral neural tubes (Supplementary Fig. 5). There was no significant difference of BrdU<sup>+</sup> cell numbers in neural tubes between GFP transfection side and opposite control side (Supplementary Fig. 5A-C), but transfection of FL-Atg7 + GFP caused the sharp increase of BrdU<sup>+</sup> cell numbers at the transfected side of neural tube compared to control side (Control-GFP =  $1.01 \pm 0.02$ , Mo-Atg7 + GFP =  $1.29 \pm 0.03$ ,  $p < 0.001$ , N = 14; Supplementary Fig. 5D-F and J). These data clearly indicate that up-regulation of Atg7 expression modulates cell cycle progression in neural tubes of developing chick embryos.

***Over-expressing Atg7 did not affect Wnt signaling in dorsal neural tube of early chick embryos.***

Wnt/ $\beta$ -catenin is another important signaling which regulates multiple steps of neural crest production, including neural crest induction, lineage decisions and differentiation [31]. The expression of  $\beta$ -catenin in neural tube was determined using immunofluorescent staining and western blotting after up-regulation of Atg7 expression at unilateral neural tubes (Fig. 5A-O). Western blot data showed no difference on  $\beta$ -catenin expression between GFP and FL-Atg7 + GFP transfected neural tubes although Atg7 expression was found to increase in those transfected with FL-Atg7 ( $\beta$ -catenin/ $\beta$ -actin: Control-GFP =  $0.84 \pm 0.07$ , FL-Atg7 + GFP =  $0.77 \pm 0.07$ ,  $p > 0.05$ , N = 3; Fig. 5A). After transfection of GFP (N = 4/4 embryos, Fig. 5B) or FL-Atg7 + GFP (N = 6/6 embryos, Fig. 5F), we carefully compared the expression of  $\beta$ -catenin between Control-GFP and FL-Atg7 + GFP from different embryos as well as the transfected side and control side in same embryo. No change of  $\beta$ -catenin expression was identified in either of them (Fig. 5B-I). Furthermore, the SH-SY5Y was employed to detect nuclear and cytoplasmic  $\beta$ -catenin. The results showed no significant changes in nuclear and cytoplasmic  $\beta$ -catenin in Atg7 overexpressed cells compared with the controls (Fig. 5J-O). All of these results suggest that Wnt/ $\beta$ -catenin signaling is not affected by the enhancement of Atg7 expression in developing neural tubes.

***Over-expressing Atg8 similarly induced autophagy and increased the production of neural crest***

*cells.*

In order to distinguish if the phenotype mentioned above is as a result of autophagy or of Atg7 itself, we determined the features of autophagy after up-regulating the expression of Atg8, the down-stream molecule of Atg7, in unilateral neural tubes of developing chick embryos. FL-Atg8-GFP transfection enhanced the Atg8 expression in neural tube which was determined by Atg8 immunofluorescent staining (Supplementary Fig. 6). Transmission electron microscopy (TEM) showed that the numbers of autophagosomes in FL-Atg7 + GFP and FL-Atg8-GFP transfected neural tubes were significantly more than in GFP transfected neural tube (Control) (Control-GFP =  $0.4 \pm 0.16$ , FL-Atg7 + GFP =  $2.1 \pm 0.18$ , FL-Atg8-GFP =  $2.8 \pm 0.39$ ,  $p < 0.001$ , N = 10; Fig. 6A-D). Western blot data showed that the ratio of LC3II to LC3I significantly increased in FL-Atg7 + GFP transfected neural tubes in comparison to GFP transfected ones (LC3II / LC3I: Control-GFP =  $0.25 \pm 0.01$ , FL-Atg7 + GFP =  $0.33 \pm 0.01$ ,  $p < 0.01$ , N = 3; Fig. 6E-F). All of these data imply that up-regulation of either Atg7 or Atg8 could promote the autophagy in neural tubes of developing chick embryos.

On this basis, we determined the production of neural crest cells using immunofluorescent staining against HNK-1 and BrdU (Fig. 6G-H and Supplementary Fig. 5G-I). The HNK-1<sup>+</sup> neural crest cell numbers in FL-Atg8-GFP transfected neural tubes are greater than in the un-transfected control side (Control-GFP =  $(11.43 \pm 0.39) \times 10^3 \mu\text{m}^2$ , FL-Atg8-GFP =  $(15.16 \pm 0.58) \times 10^3 \mu\text{m}^2$ ,  $p < 0.001$ , N = 6; Fig. 6G-I). Concurrently, the BrdU<sup>+</sup> cell numbers in FL-Atg8-GFP transfected neural tubes are significantly greater than in Control-GFP transfected neural tubes (ratio of BrdU<sup>+</sup> cells between transfection side / control side: Control-GFP =  $0.98 \pm 0.02$ , FL-Atg8-GFP =  $1.21 \pm 0.06$ ,  $p < 0.001$ , N = 8; Supplementary Fig. 5G-I and K). It is suggested that Atg8 up-regulation could also promote the production of neural crest cells in a similar manner to Atg7 up-regulation.

## **Discussions**

We previously reported that exposure to high levels of glucose could inhibit the survival of cranial NCC production via the promotion of cell apoptosis, which might result from the dysregulation of the autophagic process [32]. It has been reported that autophagy is one kind of programmed cell death in which cellular own organelles are digested to supply nutrients [33]. However, we still know very little about the functions of Atg7-induced autophagy during neural crest production. In this study, we found that Atg7 shares its expression pattern to some extent with Pax7, the pre-migratory and migratory

neural crest marker of early chick embryos (Fig. 1). The *Atg7* gene is deemed to be a vital upstream gene in the autophagic pathway, which is also responsible for the elimination and recycling of damaged macromolecules and organelles in response to various stresses [34]. It is suggested that *Atg7*-sponsored autophagy might participate in neural crest production in some way.

In order to confirm this conjecture, we over-expressed or down-regulated the *Atg7* gene with FL-*Atg7* or Mo-*Atg7* transfection in unilateral developing neural tubes of chick embryos (Fig. 2). This strategy has been extensively employed for studying the functions of genes on neural crest production [35]. *Atg7* down-regulation does not affect neural crest production (Supplementary Fig. 4). This is probably due to the low level of endogenous expression. Interestingly, the results clearly demonstrated that up-regulation of *Atg7* gene expression at neural tube increased the pre-migratory (*Pax7*<sup>+</sup>) and migratory (*Pax7*<sup>+</sup> and *HNK-1*<sup>+</sup>) neural crest cell production (Fig. 3, Supplementary Fig. 2). Actin is primary cytoskeletal component and is involved in the formation of cell filopodia, lamellipodia, and protrusions during cell migration [36]. In *Atg7*<sup>-/-</sup> MEFs, the F-actin fibers were disorganized, and the F-actin net was loose and disassembled [37]. In our study, we found that up-regulation of *Atg7* gene expression promoted the polarization of the cell and this may explain why we found more NCCs after we transfected FL-*Atg7*.

Neural crest cells undergo a transition from epithelial pre-migratory neural crest progenitors into mesenchymal migratory neural crest cells before engaging in migration [38]. Here, we hypothesize that *Atg7*-sponsored autophagy somehow triggers neural crest cell delamination from the dorsal neural tube. The transcription factors, Smad1, Smad5 and Smad8 are vital intracellular effectors of BMP family proteins and Smad1/5/8 are involved in the regulation of the induction of the central nervous system primordium, and delamination and differentiation of the neural crest into the peripheral nervous system [39]. As previously reported, our *in situ* hybridization data also showed that BMP4 was expressed along the longitudinal extent of dorsal neural tube (Fig. 4B), and the coordination of BMP4 and Noggin in the dorsal neural tube is considered to trigger the delamination of neural crest cells by influencing the expression of Slug, the transcription factor. Here, up-regulation of the *Atg7* gene via transfection of FL-*Atg7* at neural tube could dramatically repress the expression of BMP4 in the FL-*Atg7* transfected regions of neural tubes compared to control (Fig. 4A-F). Meanwhile, p-Smad1/5/8 is also homogeneously distributed in dorsal neural tubes as is *Atg7* (Fig. 4H). Similarly, over-expression of *Atg7* suppressed the expression of p-Smad1/5/8 at dorsal neural tube (Fig. 4G-L). Therefore, we have

to admit that the experimental results were against our previous speculation, in which Atg7 over-expression triggered the delamination of neural crest cells at dorsal neural tubes through activating BMP4 and phosphorylation of Smad1/5/8.

Cell proliferation certainly contributes to the production of neural crest [40,41]. Here, we revealed that over-expression of FL-Atg7 at neural tubes significantly increased the numbers of BrdU<sup>+</sup> neural crest cells and PCNA expression (Fig. 5A and Supplementary Fig. 5), which raised the possibility as to whether or not the increase of neural crest production by up-regulation of Atg7 is associated with the ascending cell cycle. It has been reported that Wnt/TCF signaling regulates cell cycle in NCC precursors via Sema3d, the downstream molecule in the molecular pathway. Other researchers have suggested autophagy negatively regulates Wnt signaling [42,43]. However, we did not find a change in the expression of  $\beta$ -catenin, the marker for Canonical Wnt signaling, following the gain-of-function of Atg7 in neural tubes of chick embryos (Fig. 5), suggesting that up-regulation of Atg7 induced increasing of neural crest production is not achieved through modifying Wnt signaling and in turn affecting neural crest cell proliferation.

In a previously study [32], we demonstrated that there were more migratory mesoderm cells in the RAPA-treated sides in comparison to the control sides of embryos. It has also been shown that the primary cilium-mediated autophagy activation, which could lengthen G1 phase in hESC lineage specification, is necessary for NE differentiation [44] and suggested that autophagy could modulate cell cycle and then regulate NCCs generation. Atg8 and Atg12 are considered as crucial downstream molecules in Atg7 induced autophagy [47]. As in our earlier research [45], we also found that over-expression of Atg8 can lead to an increase of autophagosomes as did transfection of FL-Atg7 (Fig. 6A-D), and enhancement of the LC3II/LC3I ratio suggest that up-regulation of Atg7 expression could also initiate autophagy at neural tube (Fig. 6E-F). The experimental evidence to support this is also based on an increase in HNK-1<sup>+</sup> neural crest cells in the transfected side of Atg8 over-expression (Fig. 6G-I). More interestingly, we likewise established that BrdU<sup>+</sup> phase S cell numbers increased at the FL-Atg8 transfected neural tubes (Supplementary Fig. 5) as did Atg7 over-expression. This further indicates the role of autophagy in the regulation of neural crest production. Neural crest generation may be only one part of the events involving Atg7-induced autophagy during neurulation, and this subject will be described in detail in a further publication from our laboratory.

In summary (Fig. 7), the co-location of Atg7 and Pax7 in the early chick embryo development

suggests that Atg7 may be involved in the regulation of neural crest production. Using the approach of up-regulation or down-regulation of Atg7 gene expression at developing neural tube, we found that up-regulation could increase the numbers of neural crest cells. We ruled out the possibility that the over-expression of Atg7 enhanced neural crest production through inducing delamination of neural crest by BMP4/Smad signaling because both of their expressions were down-regulated rather than up-regulated. We also ruled out the possibility of Wnt/ $\beta$ -catenin signaling because of the no changes after over-expression of Atg7. The most exciting implication is that over-expression of Atg8 also modulates cell cycle progression in a similar manner to Atg7, suggesting that Atg7-induced autophagy regulates the production of neural crest cells through influencing the cell cycles although further precise experiments are certainly required to address the regulative mechanism of molecular biology. There is no doubt that the more we understand the biological mechanisms relating to the production of neural crest cells the more we can confidently prevent and treat neural crest-associated diseases.

### **Competing interests**

The authors declare no competing or financial interests.

### **Author Contributions**

G.W., E.C., S.C., C.L., J.L. and L.G. performed the experiments and collected the data; G.W., M.C., A.M., L.C., and X. Y. designed the study and analyzed the data; Y.B. and X.Y. wrote manuscript.

### **Figure legends**

#### ***Fig. 1. The expression patterns of Atg7 and Pax7 in neurula stage chick embryos.***

Whole mount immunofluorescent staining against Atg7 (red) and Pax7 (green) was performed in HH13 chick embryos. **A-C**: Representative images of Atg7 (A), Pax7 staining (B) and merge (C) at cranial region of the chick embryos. **A1-C1**: The transverse sections were taken at the levels indicated by dotted lines in A-C respectively. Note that DAPI stains in C1 and lower magnification image inset shows the localization of C1. **D-F**: Representative images of Atg7 (D), Pax7 staining (E) and merge (F) at the posterior trunk of the chick embryos. **D1-F1**: The transverse sections were taken at the levels indicated by dotted lines in D-F respectively. Note that DAPI stains in F1 and lower magnification image inset shows the localization of F1. Scale bars = 100  $\mu$ m in A-C, 50  $\mu$ m in A1-C1, 100  $\mu$ m in D-F



and 20  $\mu\text{m}$  in D1-F1.

**Fig. 2. *Atg7* gene was over-expressed or down-regulated at the unilaterals of neural tubes of chick embryos.**

Control-GFP, Full-length *Atg7*-GFP (FL-*Atg7* + GFP) or morpholino *Atg7* + GFP (Mo-*Atg7* + GFP) was transfected into left side of HH10 chick neural tubes, and then the transfected embryos were further incubated for 10 hours before performing *Atg7* immunofluorescent staining. **A-C**: The representative transverse sections of GFP (A), *Atg7* staining (B) and merge (C) were taken at the trunk level of Control-GFP transfected chick embryo group. **D-F**: The representative transverse sections of FL-*Atg7* + GFP (D), *Atg7* staining (E) and merge (F) were taken at the trunk level of FL-*Atg7* + GFP transfected chick embryo group. **G-I**: The representative transverse sections of Mo-*Atg7* + GFP (G), *Atg7* staining (H) and merge (I) were taken at the trunk level of Mo-*Atg7* + GFP transfected chick embryo group. Note that the high magnification images inset in A-I were from the transfected sites in A-I respectively. **J**: Western blot shows the expression of *Atg7* at protein level from the neural tubes of control, FL-*Atg7* and Mo-*Atg7* transfection groups; the bar chart showing the comparison of *Atg7* relative expressions (*Atg7*/ $\beta$ -actin) among control, FL-*Atg7* and Mo-*Atg7* transfection groups. Scale bars = 100  $\mu\text{m}$  in A-C, 100  $\mu\text{m}$  in D-F, 50  $\mu\text{m}$  in A1-B1, 50  $\mu\text{m}$  in C1, 20  $\mu\text{m}$  in D1-E1 and 20  $\mu\text{m}$  in F1.

**Fig. 3. Over-expressing *Atg7* at neural tubes increased the *Pax7* and *HNK-1* positive neural crest cells.**

**A**: Half side of HH10 chick neural tubes was transfected with either Control-GFP or FL-*Atg7* + GFP, and then the transfected embryos were further incubated for 10 hours before performing *Pax7* immunofluorescent staining. **B-C**: The representative transverse sections of *Pax7* staining (B) and merge (C) of B, GFP transfection and DAPI were taken at the level indicated in A from Control-GFP transfected chick embryo group. **D-E**: The representative transverse sections of *Pax7* staining (D) and merge (E) of D and DAPI were taken at trunk level from FL-*Atg7* + GFP transfected chick embryo group. **D1-E1**: The high magnification images were taken from the sites indicated by dotted squares in D and E respectively. **F-G**: Half side of HH10 chick neural tubes was transfected with either Control GFP or FL-*Atg7* + GFP, and then the transfected embryos were further incubated for 10 hours before

performing HNK-1 immunofluorescent staining. **H-I**: The representative transverse sections of HNK-1 staining (H) and merge (I) of H and GFP transfection were taken at the level indicated in F from Control-GFP transfected chick embryo group. **J-K**: The representative transverse sections of HNK-1 staining (J) and merge (K) of J and FL-Atg7 + GFP transfection were taken at the level indicated in G from FL-Atg7 + GFP transfected chick embryo group. **J1-K1**: The high magnification images were taken from the sites indicated by dotted squares in J and K respectively. **L-M**: The bar charts show the ratios of Pax7<sup>+</sup> (L) or HNK-1<sup>+</sup> (M) neural crest cells and total neural crest cell numbers from control and FL-Atg7 transfection groups. Scale bars = 200  $\mu$ m in A, 50  $\mu$ m in B-E and H-K, 20  $\mu$ m in D1-E1 and J1-K1, 200  $\mu$ m in F-G.

**Fig. 4. Over-expressing Atg7 suppressed the expressions of BMP4 and p-Smad1/5/8 at neural tubes.**

Half side of HH10 chick neural tubes was transfected with either Control GFP or FL-Atg7 + GFP, and then the transfected embryos were further incubated for 10 hours before BMP4 *in situ* hybridization (A-F) or p-Smad1/5/8 immunofluorescent staining (G-L) was implemented. **A-C**: The representative transverse sections of GFP transfection (A), BMP4 *in situ* (B) and merge (C) of A and B from Control-GFP transfected chick embryo group. **D-F**: The representative transverse sections of FL-Atg7 + GFP transfection (D), BMP4 *in situ* (E) and merge (F) of D and E from FL-Atg7 + GFP transfected chick embryo group. **G-I**: The representative transverse sections of GFP transfection (G), p-Smad1/5/8 staining (H) and merge (I) of G and H from Control-GFP transfected chick embryo group. **J-L**: The representative transverse sections of FL-Atg7 + GFP transfection (J), p-Smad1/5/8 staining (K) and merge (L) of J and K from FL-Atg7 + GFP transfected chick embryo group. Scale bars = 50  $\mu$ m in A-F, 50  $\mu$ m in G-L.

**Fig. 5. Over-expressing Atg7 modulates cell cycle progression not through  $\beta$ -catenin expression at neural tubes.**

**A**: Western blot shows the expression of Atg7, PCNA,  $\beta$ -catenin and  $\beta$ -actin at protein level from the neural tubes of Control-GFP and FL-Atg7 + GFP transfection groups; the bar chart showing the comparison of PCNA relative expressions (PCNA/ $\beta$ -actin) and  $\beta$ -catenin relative expressions ( $\beta$ -catenin/ $\beta$ -actin) between control and FL-Atg7 + GFP transfection groups. **B-I**: Half side of HH10 chick neural tubes was transfected with either Control GFP or FL-Atg7 + GFP, and then the transfected

embryos were further incubated for 10 hours before  $\beta$ -catenin immunofluorescent staining was implemented. **B-E**: The representative transverse sections of GFP transfection (B),  $\beta$ -catenin staining (C), the merge (D) of B and C, the merge (E) of D and DAPI staining from Control-GFP transfected chick embryo group. **F-I**: The representative transverse sections of FL-Atg7 + GFP transfection (F),  $\beta$ -catenin staining (G), the merge (H) of F and G, the merge (I) of H and DAPI staining from FL-Atg7 + GFP transfected chick embryo group. **J-L**: The representative images of Control-GFP transfected cells (J),  $\beta$ -catenin staining (K), the merge (L) of J and K. **M-O**: The representative images of FL-Atg7 + GFP transfected cells (M),  $\beta$ -catenin staining (N), the merge (O) of M and N. Scale bars = 20  $\mu$ m in B-I and 10  $\mu$ m in J-O.

**Fig. 6. The over-expression of Atg8 gene at neural tubes caused appearance of autophagosome and increased the generation of neural crest cells.**

**A-C**: The representative scanning electron microscope images of the neural tubes from Control-GFP (A), FL-Atg7 + GFP (B), FL-Atg8-GFP (C) transfected chick embryo groups. **D**: The bar chart shows the comparison of autophagosome numbers in every cells among Control-GFP, FL-Atg7 + GFP and FL-Atg8-GFP transfected chick embryo groups. **E**: Western blot shows the expressions of LC3 I and LC3 II at protein level in the neural tubes of Control-GFP and FL-Atg7 + GFP transfection groups. **F**: The bar chart shows the relative expression of LC3II/LC3I based on the western blot data in Control-GFP and FL-Atg7 + GFP transfection groups. **G-G1**: Half side of HH10 chick neural tubes was transfected with FL-Atg8-GFP (G1), and then the transfected embryos were further incubated for 10 hours before HNK-1 (G) immunofluorescent staining was implemented. **H-H3**: The representative transverse sections at the level indicated by dotted lines in G and G1. **I**: The bar chart shows the comparison of HNK-1<sup>+</sup> area between FL-Atg8-GFP transfected side and opposite control side. Abbreviations: N, nucleus. Scale bars = 2  $\mu$ m in A-C, 200  $\mu$ m in G-G1 and 20  $\mu$ m in H-H3.

**Fig. 7. A proposed model that depicts the potential mechanisms about the role of Atg7-mediated autophagy on neural crest cell generation.**

**Fig. S1 (Supplementary Fig. 1). Atg7 expression following the over-expression of Atg7 on neural tubes.**

Half side of HH10 chick neural tubes was transfected with FL-Atg7, and then the transfected embryos were further incubated for 10 hours before Atg7 immunofluorescent staining was implemented and sectioned. **A-D**: The representative transverse sections of DAPI staining (A), autofluorescence (B), Atg7 staining (C) and the merge (D) of A and C. Scale bars = 50  $\mu\text{m}$  in A-D.

**Fig. S2 (Supplementary Fig. 2). Assessment of HNK-1<sup>+</sup> neural crest production following the over-expression of Atg7 on neural tubes.**

Half side of HH10 chick neural tubes was transfected with FL-Atg7 + GFP, and then the transfected embryos were further incubated for 10 hours before HNK-1 immunofluorescent staining was implemented and sectioned. **A-C**: The representative transverse sections of FL-Atg7 + GFP transfection (A), HNK-1 staining (B) and the merge (C) of A and B at cranial level. **A1-C1**: The high magnification images from the sites indicated by dotted squares in A-C respectively. **D-F**: The representative transverse sections of FL-Atg7 + GFP transfection (D), HNK-1 staining (E) and the merge (F) of D and E at cardiac level. **D1-F1**: The high magnification images from the sites indicated by dotted squares in D-F respectively. **G-H**: The bar charts show the comparisons of the HNK-1<sup>+</sup> areas between the transfected side and opposite control side. Abbreviations: NT, neural tube; HT, heart tube. Scale bars = 100  $\mu\text{m}$  in A-F and 50  $\mu\text{m}$  in A1-F1.

**Fig. S3 (Supplementary Fig. 3). Polarization and protrusion formation were changed following the up-regulation of Atg7 on NCCs.**

After transfection of neural tubes with either FL-Atg7 plasmids, the neural tubes were isolated and cultured to allow the NCCs to migrate out of the tubes. **A-D**: The representative images of cells, in which were stained with DAPI (A), Atg7 (B), F-actin (C), and (D) was merged image. **E-F**: Bar charts showing the ratio of long axis to short axis (E) and the numbers of the antennas (F). Scale bars = 20  $\mu\text{m}$  in A-D.

**Fig. S4 (Supplementary Fig. 4). Assessment of HNK-1<sup>+</sup> and Pax7<sup>+</sup> neural crest production following the down-regulation of Atg7 on neural tubes.**

Half side of HH10 chick neural tubes was transfected with Mo-Atg7 + GFP, and then the transfected embryos were further incubated for 10 hours before HNK-1 or Pax7 immunofluorescent

staining was implemented and sectioned. **A-C**: The representative transverse sections of Mo-Atg7 + GFP transfection (A), Pax7 staining (B) and the merge (C) of A and B. DAPI stains in C. **D-F**: The representative transverse sections of Mo-Atg7 + GFP transfection (D), HNK-1 staining (E) and the merge (F) of D and E. DAPI stains in F. **G-H**: The bar charts show the comparisons of the Pax7<sup>+</sup> cell numbers (G) and HNK-1<sup>+</sup> areas (H) between the transfected side and opposite control side. Abbreviations: Mo-Atg7, morpholino-Atg7. Scale bars = 50  $\mu$ m in A-F.

**Fig. S5 (Supplementary Fig. 5). Over-expressing Atg7 and Atg8 increased the numbers of BrdU positive cells at neural tubes.**

Half side of HH10 chick neural tubes was transfected with either Control GFP, FL-Atg7 + GFP or FL-Atg8-GFP, and then the transfected embryos were further incubated for 10 hours before BrdU immunofluorescent staining was implemented. **A-C**: The representative transverse sections of GFP transfection (A), BrdU staining (B) and merge (C) of A and B from Control-GFP transfected chick embryo group. **D-F**: The representative transverse sections of FL-Atg7 + GFP transfection (D), BrdU staining (E) and merge (F) of D and E from FL-Atg7 + GFP transfected chick embryo group. **G-I**: The representative transverse sections of FL-Atg8-GFP transfection (G), BrdU staining (H) and merge (I) of G and H from FL-Atg8-GFP transfected chick embryo group. **J-K**: The bar chart shows the ratios of BrdU<sup>+</sup> neural crest cell number in transfected side and BrdU<sup>+</sup> neural crest cell numbers in opposite control side between control and FL-Atg7 + GFP or FL-Atg8-GFP transfection groups. Scale bars = 20  $\mu$ m in A-I.

**Fig. S6 (Supplementary Fig. 6). Assessment of Atg8 expression following the over-regulation of Atg8 on neural tubes.**

Half side of HH10 chick neural tubes was transfected with either Control GFP or FL-Atg8-GFP, and then the transfected embryos were further incubated for 10 hours before Atg8 immunofluorescent staining was implemented and sectioned. **A-C**: The representative transverse sections of Control-GFP transfection (A), Atg8 staining (B) and the merge (C) of A and B from Control-GFP transfected embryos. DAPI stains in C. **D-F**: The representative transverse sections of FL-Atg8-GFP transfection (D), Atg8 staining (E) and the merge (F) of D and E from FL-Atg8-GFP transfected embryos. DAPI stains in F. Scale bars = 50  $\mu$ m in A-F.

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