

1                                   **ENSO and the recent warming of the Indian Ocean**

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3                                   Abish B<sup>1,\*</sup>, Annalisa Cherchi<sup>2</sup>, Satyaban B. Ratna<sup>3,4</sup>

4                                   <sup>1</sup> Nansen Environmental Research Centre India, Kochi, India

5                                   <sup>2</sup>Fondazione Centro Euro-Mediterraneo sui Cambiamenti Climatici, and Istituto Nazionale di  
6                                   Geofisica e Vulcanologia, Bologna, Italy

7                                   <sup>3</sup>Application Laboratory, Japan Agency for Marine-Earth Science and Technology, Yokohama,  
8                                   Japan

9                                   <sup>4</sup> Climatic Research Unit, School of Environmental Sciences, University  
10                                   of East Anglia, Norwich, UK

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15                                   **\*Corresponding Author**

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17                                   Abish B

18                                   Nansen Environmental Research Centre India,

19                                   Kochi-682016, India

20                                   Email: abishb@gmail.com

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**Abstract**

The recent Indian Ocean (IO) warming and its relation with the El Niño Southern Oscillation (ENSO) is investigated using available ocean and atmospheric reanalyses. By comparing the events before and after 1976 (identified as a threshold separating earlier and recent decades with respect to global warming trends), our results indicate that the Indian Ocean had experienced a distinct change in the warming pattern. After 1976, during the boreal summer season the cold anomalies in the IO were replaced by warm anomalies in both warm (El Niño) and cold (La Niña) ENSO events. Strong sinking by upper level winds and the associated anomalous equatorial easterly winds created favorable conditions for the IO warming from 90°E towards the western IO. The zonal temperature gradient thus created, strengthened the equatorial easterly wind anomalies that further intensified the warming. Our study highlights that after 1976, atmospheric and oceanic fields changed mostly during La Niña, with both ENSO phases contributing to the warming of the Indian Ocean. Warm anomalies of 0.2 °C are seen over large areas of the IO in the post-1976 La Niña composites. Our analysis suggests that the IO warming during La Niña events after 1976 may have a relation to the warm anomalies persisting from the preceding strong El Niño events.

**Key words:** SST, Indian Ocean warming, ENSO, Walker circulation, Climate variability

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## **1. Introduction**

61 The warming of the Indian Ocean (IO) in the recent decades has evinced interest among the  
62 research community due to its important role in driving the global climate variability (Levitus *et*  
63 *al.*, 2005; Barnett *et al.*, 2005; Alory *et al.*, 2007; Rao *et al.*, 2012, Ratna *et al.*, 2016 among  
64 others). Observations indicate that among the world's oceans, the IO has warmed more rapidly  
65 in recent times (Levitus *et al.*, 2000; Du *et al.*, 2009, Han *et al.*, 2014) causing a significant shift  
66 in the heat budget of the climate system (Alory and Meyers 2009).

67 One of the climate indices that influences the IO warming is ENSO (Schott *et al.* 2009), which  
68 through atmospheric teleconnection modulates the thermodynamic fluxes at the sea surface  
69 (Klein *et al.*, 1999; Alexander *et al.*, 2002). Observations and simulations concur that the  
70 transport of surplus heat from the Pacific Ocean to the IO has accounted for the recent warming  
71 of IO (Dong *et al.*, 2016). It is argued that the displacement of the convergence zones due to El  
72 Niño strengthened the sinking of atmospheric circulation cells, thereby reducing the cloud cover  
73 and the increased absorption of solar radiation contributing to the warming of IO (Klein *et al.*,  
74 1999; Mayer *et al.*, 2013). Through the changes in the atmospheric circulation in response to the  
75 warm and cold ENSO phases, the heat content is redistributed across the tropical oceans (Mayer  
76 *et al.*, 2014) causing a large part of the warming to occur in the upper 700 m (Levitus *et al.*,  
77 2005).

78 The enormous increase of greenhouse gas concentration in the atmosphere has also intensified  
79 the IO warming (Tyrrell, 2011; Du and Xie, 2008; Dong *et al.*, 2014). Among the greenhouse  
80 gases (GHG), CO<sub>2</sub> has accounted for the 90% of the increased radiative forcing (Hansen and  
81 Sato, 2004). The surplus energy that is added to the climate system is mostly absorbed by the

82 ocean surface (Bindoff *et al.*, 2007; Trenberth *et al.*, 2009; Balmaseda *et al.*, 2013a) resulting in  
83 its warming (Levitus *et al.*, 2005). At the same time, the atmospheric aerosols offset the  
84 warming due to GHGs and induce a basin wide cooling (Roeckner *et al.*, 1999; Dong and Zhou,  
85 2014). The incessant global warming and its impact on the dominant mode of tropical climate  
86 variability, i.e. ENSO, are a source of uncertainty as the anthropogenic changes are interspersed  
87 with the natural climate variability (Fedorov and Philander, 2000; Williams and Funk, 2011).  
88 Therefore, changes in the atmospheric circulation (Alexander *et al.* 2002; Lau and Nath, 2003;  
89 Tanaka *et al.*, 2004; Vecchi *et al.*, 2006) and the associated ocean processes (Chambers *et al.*,  
90 1999) during ENSO assume importance in the context of the basin wide warming of the IO.

91 After the late 70s, El Niño events were ensued by a distinct shift in the onset of the warming in  
92 the Pacific Ocean (Wang, 1995). Trenberth *et al* (2007) while examining the global surface  
93 temperature trends from 1860 to 2000 found that the period 1946-1975 had a relatively stable  
94 global mean temperature while 1976- 2000 had rising temperatures which resulted in a net  
95 warming of about 0.2 to 0.3 degrees. The steep transition in the temperature is also evident in a  
96 composite time series of 40 environmental variables as illustrated by Ebbesmeyer *et al.* (1991).  
97 In fact, post-1976 ENSO activity tends more toward warm phases and it has been linked to the  
98 decadal changes in the climate throughout the Pacific basin (Wang *et al.*, 1995; Trenberth *et al.*,  
99 2002). According to the literature, pre-1976 ENSO events began along the west coast of South  
100 America and developed westward, while after 1977 the warming developed from the west, so  
101 that the evolution of ENSO events changed abruptly around 1976/1977. Vertical temperature  
102 gradients and upwelling in the eastern tropical Pacific play a key role in westward development,  
103 while eastward development relies more on east-west temperature gradients and advection in the  
104 central tropical Pacific (Trenberth *et al.*2002). The recent SST warming trends in the western  
105 equatorial Pacific appeared to be the result of the greater frequency and amplitude of so-called  
106 central Pacific (CP) El Nino events (Lee and Mac Phaden, 2010; Yeh *et al* 2011). Accompanied

107 by these changes in the surface winds and ocean surface processes in the Pacific, the IO  
108 experienced a sudden surface warming around 1976-77 suggesting an abrupt change in the  
109 region (Terray, 1994). The consequence is evident in La Niña events after 1976 when the  
110 tropical IO showed a strong warming instead of basin wide cooling (Chowdary *et al.*, 2006). As  
111 a result, the equatorial westerly winds weakened thereby further accelerating the warming of the  
112 surface waters of the IO (Alory and Meyers 2009).

113 Fig. 1a shows the time series of global surface temperature (NOAA) and of SST anomalies in  
114 the IO region (40 °E – 110 °E; 10°S -25 °N). The green bars and the red line indicate the trend  
115 in global surface temperature and in the IO SST respectively. A sudden spike in global  
116 temperature and a monotonous increase in IO SST are seen around 1976 and therefore a  
117 threshold between two periods with different characteristics can be taken in 1976. Accordingly,  
118 we consider composite pre and post 1976 as the distinction between "earlier" and "recent"  
119 period to investigate the El Niño and La Niña events conducive for the IO warming during the  
120 boreal summer (JJAS). Though the ENSO peaks during winter, the IO warming is larger in  
121 summer (Ratna et al. 2016) and its teleconnection with the summer monsoon is known to be  
122 strong in this season (Cherchi and Navarra, 2013). High IO SST weakens the horizontal  
123 thermal gradient that drives the Indian summer monsoon circulation (Abish *et al.*, 2013);  
124 therefore, any change in the IO warming pattern during boreal summer is significant from a  
125 meteorological point of view. Studies have shown a lag in the IO warming after the mature  
126 phase of El Niño (*eg.* Chambers *et al.* 1999). However, recently Roxy *et al* (2014)  
127 demonstrated the simultaneous warming effect of El Niño on IO SST in summer. Chowdary et  
128 al. (2006) also showed a similar warming effect over the tropical Indian Ocean during the La  
129 Niña in JJAS. Following from these findings, our analysis focuses on the association between  
130 IO warming and ENSO during summer.

131 The study is organized as follows: Section 2 lists and describes the datasets, and the atmospheric  
132 and oceanic reanalyses used. Section 3 collects the main results organized into: (i) relationship  
133 between remote SST patterns and Indian Ocean warming (Section 3.1); (ii) description of the  
134 differences in the Indian Ocean characteristics between pre and post 1976 ENSO events  
135 (Section 3.2). Finally, Section 4 includes the main conclusions of the study and associated  
136 discussion.

## 137 **2. Description of datasets, atmospheric and oceanic reanalyses**

138 Monthly mean SST for the years 1950 to 2010 is taken from the Hadley Centre Global Sea Ice  
139 and Sea Surface Temperature (HadISST) (Rayner *et al.*, 2003). El Niño and La Niña years used  
140 to compute the composites are identified from the Oceanic Niño Index (ONI), which is  
141 NOAA's primary indicator to monitor the El Niño and La Niña. This index, which is the  
142 running mean of 3-month SST anomalies in the Niño 3.4 region ( $5^{\circ}\text{N} - 5^{\circ}\text{S}$ ,  $120^{\circ}\text{W} - 170^{\circ}\text{W}$ )  
143 based on 30 year base periods (Smith *et al.*, 2008) is used in this study. The El Niño and La  
144 Niña events determined based on the ONI threshold of  $\pm 0.5^{\circ}\text{C}$  (Trenberth, 1997) in summer are  
145 listed in Table 1.

146 Monthly mean wind ( $\text{ms}^{-1}$ ) and omega (Pa/s) are taken from the NCEP/NCAR reanalysis  
147 (Kalnay *et al.*, 1996). Numerous studies on ocean warming (Alexander *et al.* 2002; Trenberth *et*  
148 *al.* 2002; Alory *et al.*, 2007; Chowdary *et al.*, 2006; Chakravorty *et al.*, 2014) have used  
149 NCEP/NCAR data extensively to analyze the role of anomalies in the atmospheric parameters  
150 associated with the ocean warming. Recently, Ratna *et al.* (2016) compared three different  
151 atmospheric reanalyses (NCEP, ERA40 and JRA55) to study the climate variability associated  
152 with the IO warming and found similar characteristics among the datasets. Here as well we  
153 have compared the results using the NCEP/NCAR reanalysis with those using ERA-20C (Poli  
154 *et al.*, 2013), 20CRv2 (Compo *et al.*, 2011) and JRA55 (Kobayashi *et al.*, 2015) reanalyses.

155 The results obtained are adequately similar (see the discussion in sections below as well as  
156 additional figures in the supplementary material).

157 Oceanic fields (thermocline depth, temperature and zonal current) for the period 1958 to 2010  
158 are taken from the CMCC-INGV Global Ocean Data Assimilation System (CIGODAS; Masina  
159 *et al.*, 2011). CIGODAS consists of the Ocean General Circulation Model (OGCM) OPA 8.2  
160 (Madec *et al.*, 1999) in the ORCA2 global configuration (horizontal resolution of  $2^\circ$   
161 longitude  $\times$   $2^\circ$  latitude) and an optimal interpolation (OI) scheme based on the System for  
162 Ocean Forecasting and Analysis (SOFA) assimilation software (De Mey and Benkiran, 2002)  
163 implemented to the global ocean (Bellucci *et al.*, 2007). The atmospheric fluxes used as  
164 external forcing for the oceanic reanalysis are taken from the European Center for Medium  
165 range Weather Forecasts (ECMWF) data fields. Similar to the atmospheric analysis,  
166 comparison of CIGODAS was done with another ocean reanalysis product, ORAS4  
167 (Balmaseda *et al.*, 2013b) and the results are presented in the supplementary material.

168 Both atmospheric and oceanic variables are detrended before computing the anomalies to  
169 remove the long-term trends and the reference climatology is computed for the whole period  
170 1950-2010. In the case of CIGODAS, ORAS4 (i.e. global ocean reanalyses) and JRA55, the  
171 data are available starting from 1958, so the events before that year are not included and  
172 therefore, 5 events out of 8 for El Niño and 6 events out of 10 for La Niña are used in the  
173 analysis (Table 1). The whole analysis is based on the boreal summer (i.e. JJAS) mean.

## 174 **3 Results**

### 175 **3.1 Indian Ocean warming (IOW) and its relationship with remote SST patterns**

176 To establish the relationship between ENSO and IO SST, the correlation with the detrended  
177 SST averaged over  $10^\circ$  S to  $25^\circ$  N;  $40^\circ$  E to  $110^\circ$  E in the Indian Ocean and Niño 3.4 during

178 JJAS is computed for the period 1950-2010. The statistical analysis shows a high correlation of  
179 0.65, significant at the 95% level as measured using Student's *t-test*, suggesting the important  
180 role of ENSO in the IO warming. However, if the correlation between ENSO index and SST  
181 averaged in the IO is computed for the years after 1976, the values drop to 0.52. Looking at  
182 spatial patterns of the correlation between IO SST and Nino 3.4 index, the main difference is  
183 that the area with high and significant values is larger before 1976 (Fig. 1b). But, after 1976 the  
184 ENSO influence is confined to west of 90° E and south of 18° N (Fig. 1c) and the possible  
185 cause will be explained in the following section. Roxy et al (2014) have also shown a  
186 significant positive correlation between the summer mean SST in eastern Pacific and western  
187 IO.

### 188 **3.2 Analysis of the differences pre/post 1976 in the connection between ENSO and the** 189 **Indian Ocean**

190 Figure 2 (a,b,c,d) shows the JJAS composites of SST anomalies for El Niño and La Niña events  
191 before and after 1976. The climatology for the entire period (1950-2010) is used to compute the  
192 anomalies, but as described in Section 2 the fields are detrended before the computation of the  
193 anomalies. Eight El Niño and ten La Niña events have been used to compute the composite of  
194 the anomalies for the period 1950-1975, while nine El Niño and five La Niña events have been  
195 considered for the period 1976-2010 (see Table 1).

196 Over the Pacific Ocean, the SST pattern is almost symmetric comparing El Niño and La Niña  
197 events in the pre and post 1976 composites. On the contrary, in the Indian Ocean during El  
198 Niño events pre-1976 the SST anomalies are cold all over the Indian Ocean, except for the  
199 Arabian Sea where they are warm (Fig. 2a). Conversely, during La Niña events in the same  
200 period the anomalies are mostly cold over the Indian Ocean except in the south-eastern part  
201 near Sumatra (Fig. 2c). These opposite patterns with positive anomalies in the western Indian  
202 Ocean and negative ones in the south-eastern equatorial sector recall the typical Indian Ocean



203 Dipole pattern (Saji *et al.*, 1999) in its positive phase and vice-versa. After 1976 the anomalies  
204 in the Indian Ocean in response to the ENSO events differ completely from those in the earlier  
205 period (Fig. 2b, d). In fact, in the El Niño composite IO SST are positive everywhere (mostly  
206 exceeding 0.2°C), except in the region close to the Indonesian archipelago. Interestingly,  
207 similar to El Niño conditions, warm anomalies of 0.2 °C are also seen in La Niña composite  
208 over large areas of the IO that extends to the western Pacific warm pool (Fig. 2d), indicating the  
209 replacement of cool surface waters by warm waters during La Niña.

210 Table 1 shows that the La Niña's of 1988, 1998 and 2010 were preceded by El Niño events. It  
211 is suggested that the warm SST anomalies over the Indian Ocean during El Niño years may  
212 prevail longer than usual due to local ocean-atmospheric interaction and may persists into the  
213 following La Niña events (Roxy *et al.* 2014). Among them, the 2010 La Niña was very unusual  
214 as its rapid transition from the El Niño in the Pacific was accompanied by a prominent warming  
215 during spring and early summer in the tropical IO. This suggests the persistence of warm SST  
216 anomalies in the IO despite the quick transition from El Niño to La Niña (Priya *et al.* 2015). Fig  
217 2e, depicts the detrended timeseries of Niño 3.4 SST (broken line) and IO SST (solid line)  
218 anomalies for the period 1950-2010. From the figure it is likely that the persistence of warm  
219 anomalies from the preceding strong El Nino events may have contributed to the most of the  
220 post-1976 composite warming seen during La Niña.

221 From the atmospheric point of view, the composite wind anomaly at 850 hPa shows the  
222 weakening of the prevailing winds in the IO west of 90° E during pre-1976 El Niño (Fig. 3a)  
223 and strong westerlies throughout the equatorial Indian Ocean during La Niña (Fig. 3c).  
224 However, in post-1976 events anomalous easterlies/south easterlies dominate the IO west of  
225 90°E (Fig. 3b &3d), when compared with the climatological (Fig 3e). Anomalous easterlies  
226 during the post-1976 period over the Indian Ocean create favorable conditions for the warming  
227 of the basin. The westward advection of warm surface waters from the west Pacific warm pool

228 towards the IO induces positive sea level pressure anomalies that enhances the surface easterly  
229 anomalies (Dong et al. 2016). The anomalous equatorial easterlies thus created during both  
230 events have effectively excited the positive SST anomalies further assisting the warming of the  
231 IO west of 90°E. The same composite but computed using winds from JRA-55, ERA 20C and  
232 20CRv2 reanalyses give similar results (*Supplementary figure Fig S1*).

233 Observation and modeling studies (Pan and Oort, 1983; Yamagata et al., 2004; Tokinaga *et al.*,  
234 2012) have illustrated the close linkage between SST and the Walker circulation. The vertical  
235 section of the detrended zonal wind ( $\text{ms}^{-1}$ ) and omega ( $\text{Pa s}^{-1}$ ) averaged between 5°S-10°N  
236 indicates that during El Niño events prior to 1976 the circulation is characterized by strong  
237 subsidence (denoted by positive anomalies) over the eastern IO and ascending motion elsewhere  
238 with higher intensities over the central and western Indian Ocean (Fig 4a). On the other hand,  
239 during La Niña events prior to 1976 ascending winds dominates the eastern IO (Fig 4c).  
240 However, after 1976 the El Niño events exhibit strong sinking motion in the eastern IO with  
241 decreasing intensity towards west (Figs. 4b). Similar strong sinking motion in the eastern IO is  
242 also seen in La Niña events after 1976 (Figs. 4d) but with strong ascending winds around 60°E-  
243 70°E. Comparing the post-1976 vertical circulation of both events, there is a change in the  
244 direction/intensity in the central and western IO, but the highest subsidence is clearly located in  
245 the eastern IO. The sinking (rising) wind motion and associated high-pressure (low-pressure)  
246 conditions explains the observed equatorial easterly anomalies seen in Figs. 3b and 3d.

247 The same analysis using JRA-55, ERA-20C and 20CRv2 reanalyses is shown in Fig S2. A  
248 comparison of the results from the different reanalyses indicates that most of the similarities are  
249 found in the lower levels, while they differ in the upper troposphere, showing a different picture  
250 of the changes occurring to the Walker circulation. Considering the nature of the reanalysis  
251 products, the in 20CRv2 and ERA-20C are more similar as they assimilate only the surface  
252 pressure (Poli et al., 2013).

253 It is seen that the changes in the circulation and the winds are more prominent in the equatorial  
254 Indian Ocean (EEIO) between pre-1976 and post-1976 period (Figs 3 and 4). This explains the  
255 possible cause for the absence of significant correlation between IO-SST and Niño 3.4 during  
256 post-76. It could be argued that the warming in the EEIO could be more related to the natural  
257 variability of the Indian Ocean, rather to the influence from the Pacific Ocean. Considering all  
258 the El Niño's post-1976, the events that most contributes to the warming in the EEIO are 1987,  
259 1991, 1992, 2002, 2004 and 2009 (not shown). They do not share specific characteristics,  
260 neither in terms of El Nino-type, nor in terms of intensity or in terms of SST pattern in the North  
261 Pacific.

262 The negative SST anomalies in the northwest Pacific in the composite post-76 are associated  
263 with the cold phase of the Pacific decadal oscillation (PDO) of the period. The PDO itself is  
264 known to have an impact on the SST of the Indian Ocean, with the negative (positive) phase  
265 associated with warm (cold) basin SST anomalies (Krishnamurty and Krishnamurty 2014). In  
266 their work the role of El Niño/La Niña and of the two phases of the PDO has been separated in  
267 terms of the effects on the Indian summer monsoon rainfall. In our analysis the effect of the  
268 PDO is not systematically distinguished from that of ENSO, but the comparison of the  
269 composite pre and post1976 highlight the role of the warm phase of the PDO and how it also  
270 contributes to the warming of the IO basin.

271 Even after removing the strong El Niño events from the composite i.e. (1982 and 1997), the  
272 overall SST pattern is not much different when compared with Fig 2b. Some differences can be  
273 noted in the IO where it seems that without the two extreme El Niño's the warm anomalies are  
274 bit more intense, mostly toward the eastern side of the basin. In fact, looking at each single El  
275 Nino events post-1976 in both 1982 and 1997 the SST in the eastern Indian Ocean are negative  
276 (i.e. 1997 has clear positive IOD pattern, while 1982 have positive anomalies on the west and  
277 negative on the east (not shown).

278 During the El Niño events before 1976 the thermocline (i.e. the depth of strongest vertical  
279 temperature gradient) is deeper south of the equator and in the northern Arabian Sea but shoals  
280 around the Equator in both the eastern and western basin (Fig. 5a). The pattern is almost  
281 opposite during La Niña events before 1976, with the largest deepening in the eastern IO north  
282 of the Equator (Fig. 5c). Looking at the events after 1976 the patterns largely differ. In fact, for  
283 the El Niño events the warming of the IO coincides with the deepening of the thermocline,  
284 mostly along the Equator (Fig. 5b), while during La Niña, the deepening takes place in the  
285 eastern Indian Ocean with the thermocline mostly shoaling in the west (Fig 5d). Figures 5e and  
286 5f represent the differences in thermocline depth between the post76 and pre76 periods of El  
287 Niño and La Niña events respectively. The westward advection of warm surface waters by  
288 means of anomalous easterlies deepens the thermocline thereby reducing the upwelling and in  
289 turn assists the enhancement of SST (Han *et al.*, 2006; Han *et al.*,2014; Dong *et al.* 2016).  
290 Accordingly, when compared with the climatological (Fig 5g), it is evident that during both  
291 events the post-76 thermocline deepens over most of the IO creating favourable conditions for  
292 warming of surface waters by slowing down the mixed layer cooling by vertical processes. The  
293 patterns described are also confirmed in the ORAS4 oceanic reanalyses (Fig. S3). The results  
294 are synonymous with the ongoing IO warming pattern in the recent decades.

295 The surface cooling of the IO during the El Niño events before 1976 expands to the subsurface  
296 water, except for a delimited warming that maximizes at 55°E and 120 m depth (Fig. 6a). After  
297 1976 the IO surface warming during the El Niño events expands also to the subsurface up to  
298 90-100 m with cooling below that depth (Fig. 6b). Similar patterns are found using ORAS4  
299 data (Fig. S4). During La Niña events it is quite the opposite. In fact, during the events pre-  
300 1976 there is a vertical gradient with negative anomalies above 90 m and positive below (Fig.  
301 6c). After 1976 the pattern is opposite but the subsurface cooling is only confined to 60-90°E

302 (Fig. 6d). Also in this case the patterns are confirmed in the other oceanic reanalysis considered  
303 (Fig. S4).

304 The vertical gradients in the temperature anomalies are associated with similar gradients in the  
305 zonal currents. In fact, the vertical profile of the zonal currents averaged in the latitudes 0 to  
306 20° N shows negative anomalies above about 100 m during El Niño and La Niña events before  
307 1976 (Fig. 7a,d) and vice versa during post-1976 events. Similarly in agreement with the previous  
308 results, the difference plots (Fig 7e,f) shows positive anomalies west of 90° E during post-1976  
309 when compared with the climatology (Fig 7g), indicating its association with the IO warming.  
310 Here the results are also confirmed for the ORAS4 oceanic reanalysis (Fig. S5). The analysis of  
311 the oceanic variables provides a picture of the conditions in the sub-surface of the IO in the  
312 different cases considered that was not reported in literature so far, according to our knowledge.  
313 An exhaustive understanding of the dynamics in the Indian Ocean is beyond the objective of  
314 the present study and would require specific sensitivity experiments with an ocean model that  
315 could be subject of a forthcoming study.

316 Fig. 8 summarizes the main characteristics of atmospheric fields during El Niño and La Niña  
317 years comparing pre and post 1976 events. In particular, before 1976 the local circulation over  
318 the Indian Ocean is such that in both El Niño and La Niña the equatorial wind anomalies are  
319 mostly westerlies (even if they are stronger during La Niña, they have a northerly component  
320 during El Niño). The result is an opposite SST pattern with general cooling in both cases but  
321 with positive anomalies in the Arabian Sea during El Niño and close to Sumatra during La  
322 Niña. The patterns are almost symmetric between the two phases of ENSO and in the IO recall  
323 the Indian Ocean Dipole mode in its positive and negative phase, respectively. On the contrary,  
324 after 1976 during both ENSO phases the SST anomalies are overall positive in the IO. Here, the  
325 local atmospheric circulation is not exactly symmetric and the surface winds are mostly

326 anomalous easterlies along the Equator. The strong subsiding winds in the eastern IO enhance  
327 the sea level pressure anomalies and the consequent increased zonal temperature gradient  
328 intensifies the surface easterly anomalies. The resultant warm water advection accompanies the  
329 warming of the sea surface mostly to the west of 90°E.

#### 330 **4. Conclusions**

331 The present study highlights the important role of ENSO in the recent warming of the IO. In  
332 fact, the changes that occurred in the atmospheric circulations and in the ocean in the late 70s  
333 have resulted in the warming of the IO during the El Niño and La Niña events of boreal  
334 summer. Our analysis shows that after 1976, the weakening of surface equatorial westerlies  
335 have a role in the warming of otherwise cold waters of IO during La Niña. The weakening of  
336 wind is associated with a strong anomalous descending motion over the eastern IO compared to  
337 the western regions of IO. The regions of subsiding winds are associated with high-pressure  
338 regions and from these regions, surface anomalous easterlies move towards low-pressure areas  
339 (in this case western IO). The steep zonal temperature gradient thus created and the  
340 intensification of the anomalous easterlies in the equatorial IO may have assisted the transport  
341 of surplus heat from the Pacific Ocean into the IO. Therefore, the combined warming due to El  
342 Niño and La Niña is considered to have contributed to the recent persistent warming of IO with  
343 strong warming from 90°E to the western IO. Analysis of oceanic data confirms the penetration  
344 of warm waters into deeper levels during both the events and the accumulation of heat in the  
345 upper levels favor the warming towards the western IO post 1976 by slowing down the mixed  
346 layer cooling by vertical processes. Further analysis suggests the post-76 warming of La Niña  
347 may have a contribution from the preceding strong El Niño as evident from the prominent  
348 warming in 1988, 1998 and 2010. It is likely that these few La Niña events contributed the most  
349 to the post-1976 composite warming. Compared to the very large positive SST anomalies of the

350 strong El Niño events, the La Niña that followed were less intense in IO to cool the SST,  
351 leading to the sustained warming of the IO during both events. However, even after removing  
352 the strong El Niño events of 1982 and 1997 from the post-76 composite, the IO shows warm  
353 anomalies almost covering the entire basin indicating that the changes in the composite post-76  
354 are only partially driven by the two extreme events recorded.

## 355 **5. Acknowledgements**

356 This research work has received funding from the European Union Seventh Framework  
357 Programme (FP7/2012-2015) under the grant agreement n° 295092, INDO-MARECLIM  
358 coordinated by Nansen Environmental Research Centre-India and from the Research Council of  
359 Norway, INDNOR/NORKLIMA INDIACLIM. Project No. 216554. The authors are thankful to  
360 Dr.Girishkumar M.S., INCOIS for the creative scientific discussions. We are grateful to the two  
361 anonymous reviewers for their valuable comments and suggestions.

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527 **Table**

528 **Table 1. List of years considered to compute the composite anomalies**

	<b>El Niño</b>	<b>La Niña</b>
<b>Pre - 1976</b>	<b>1951</b>	<b>1950</b>
	<b>1953</b>	<b>1954</b>
	<b>1957</b>	<b>1955</b>
	<b>1958</b>	<b>1956</b>
	<b>1963</b>	<b>1964</b>
	<b>1965</b>	<b>1970</b>
	<b>1968</b>	<b>1971</b>
	<b>1972</b>	<b>1973</b>
	<b>--</b>	<b>1974</b>
	<b>--</b>	<b>1975</b>
<b>Post - 1976</b>	<b>1982</b>	<b>1988</b>
	<b>1986</b>	<b>1998</b>
	<b>1987</b>	<b>1999</b>
	<b>1991</b>	<b>2000</b>
	<b>1992</b>	<b>2010</b>
	<b>1997</b>	<b>---</b>
	<b>2002</b>	<b>---</b>
	<b>2004</b>	<b>---</b>
	<b>2009</b>	<b>---</b>

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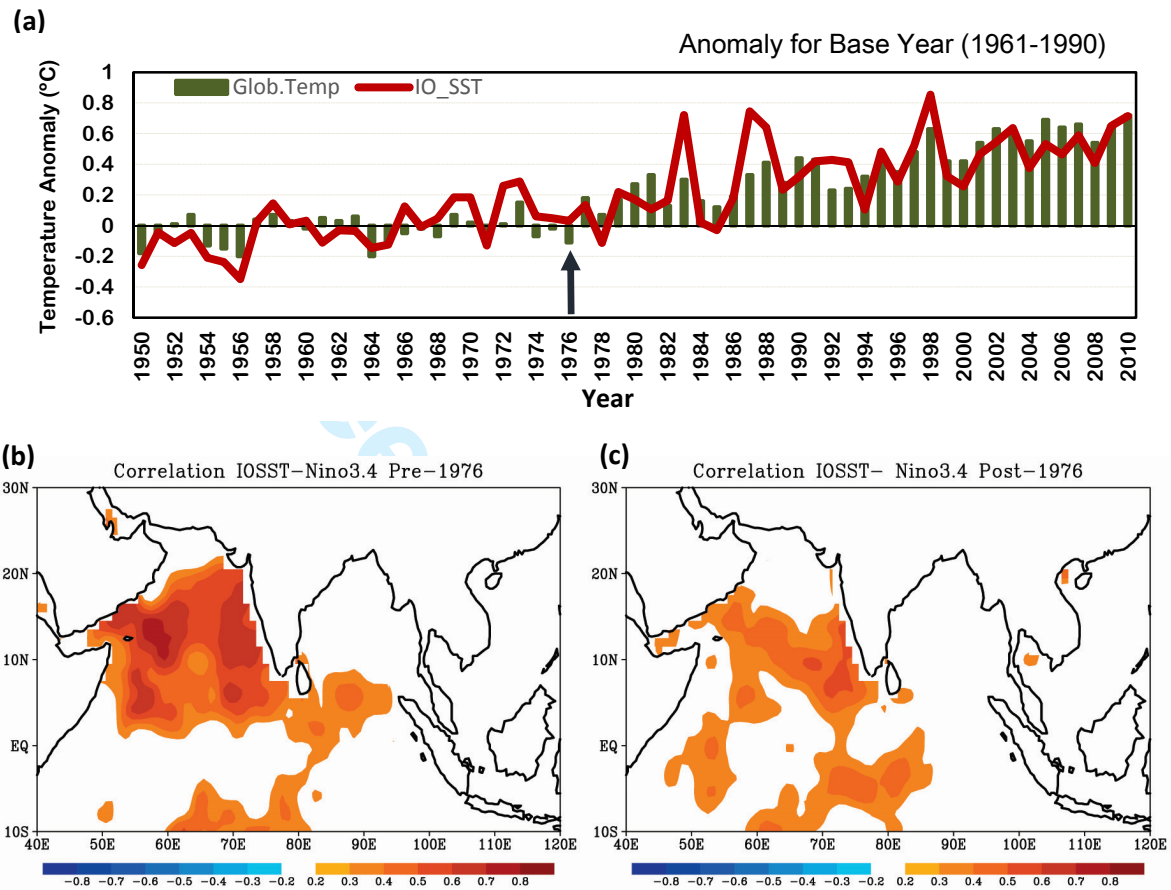
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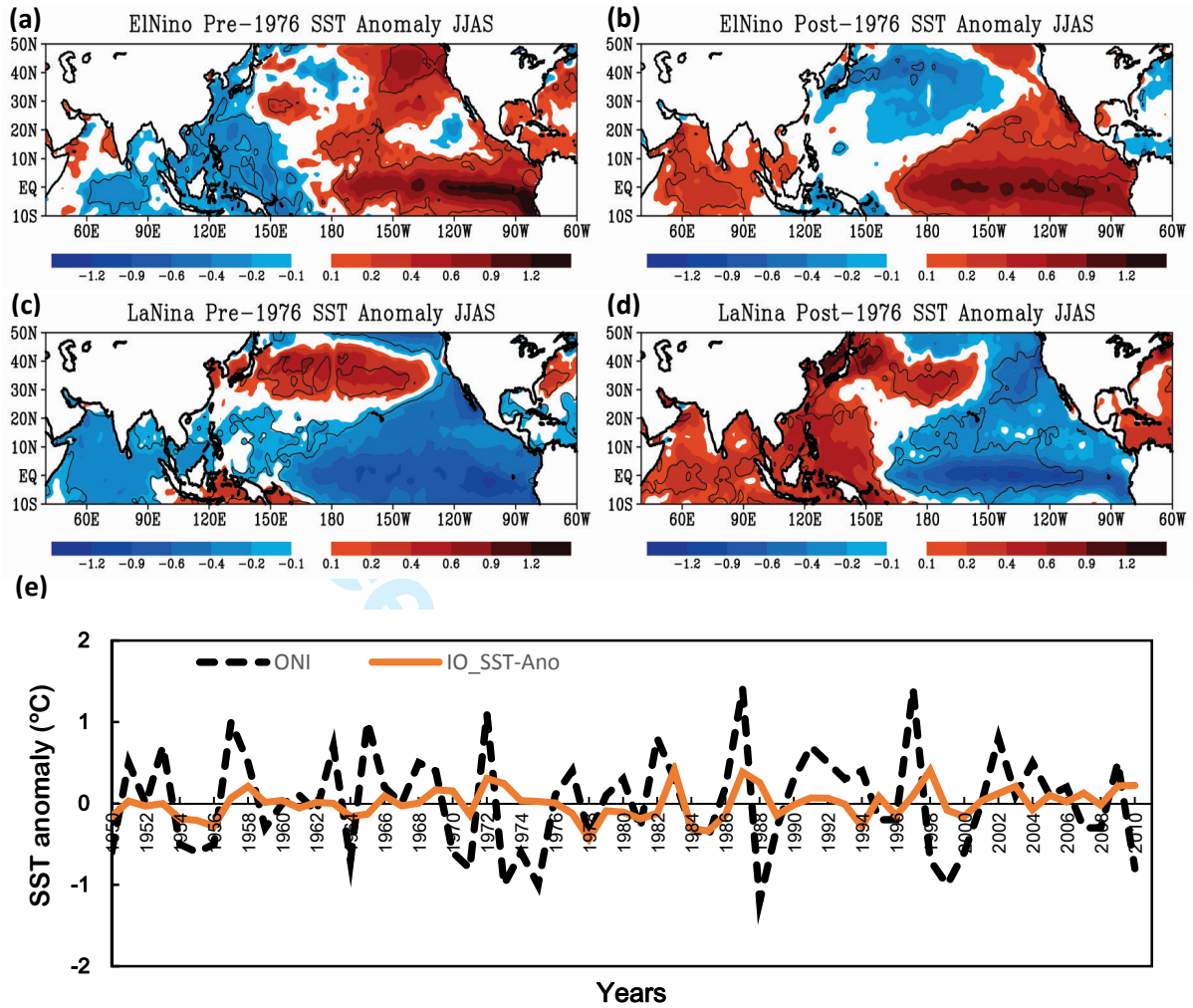
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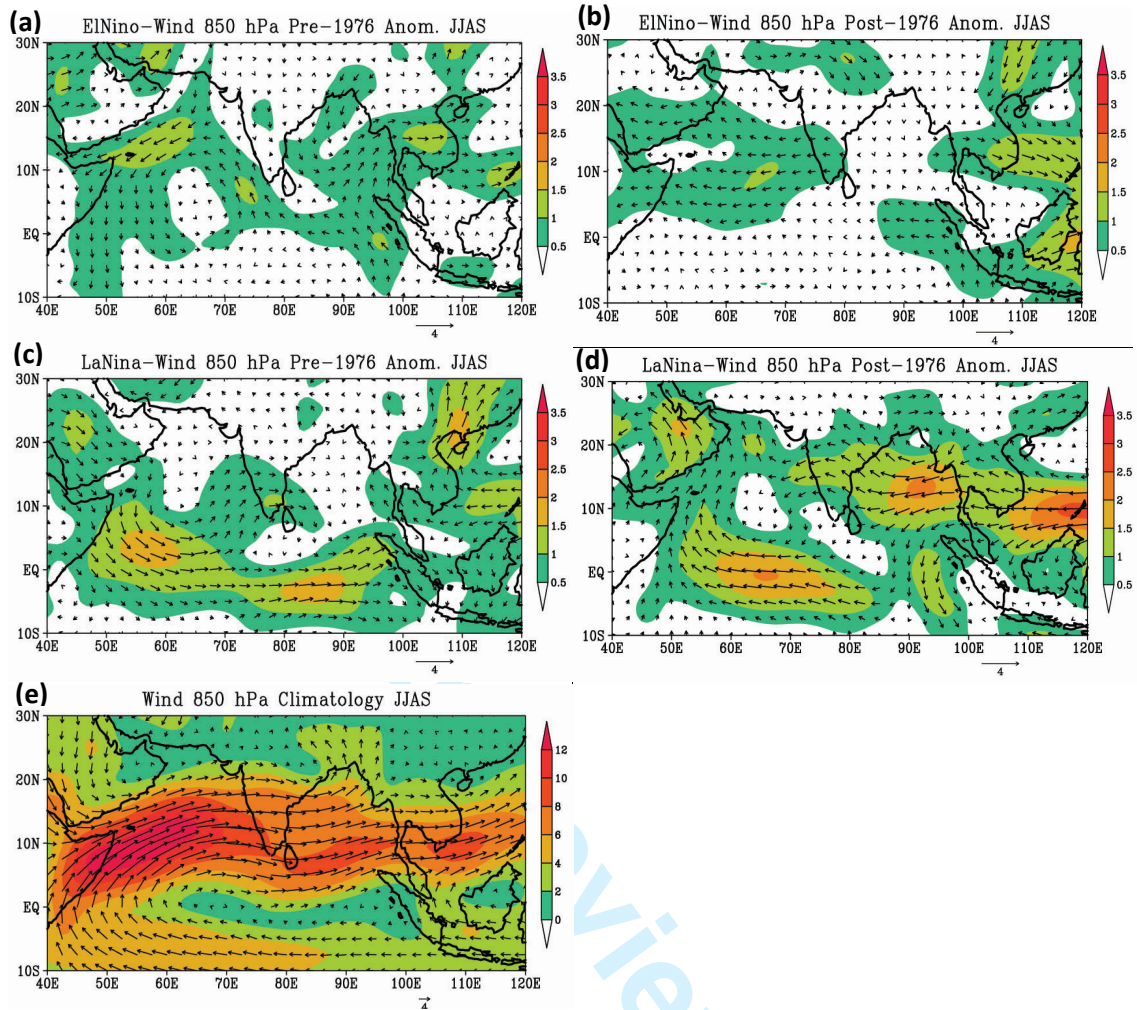
## Figures



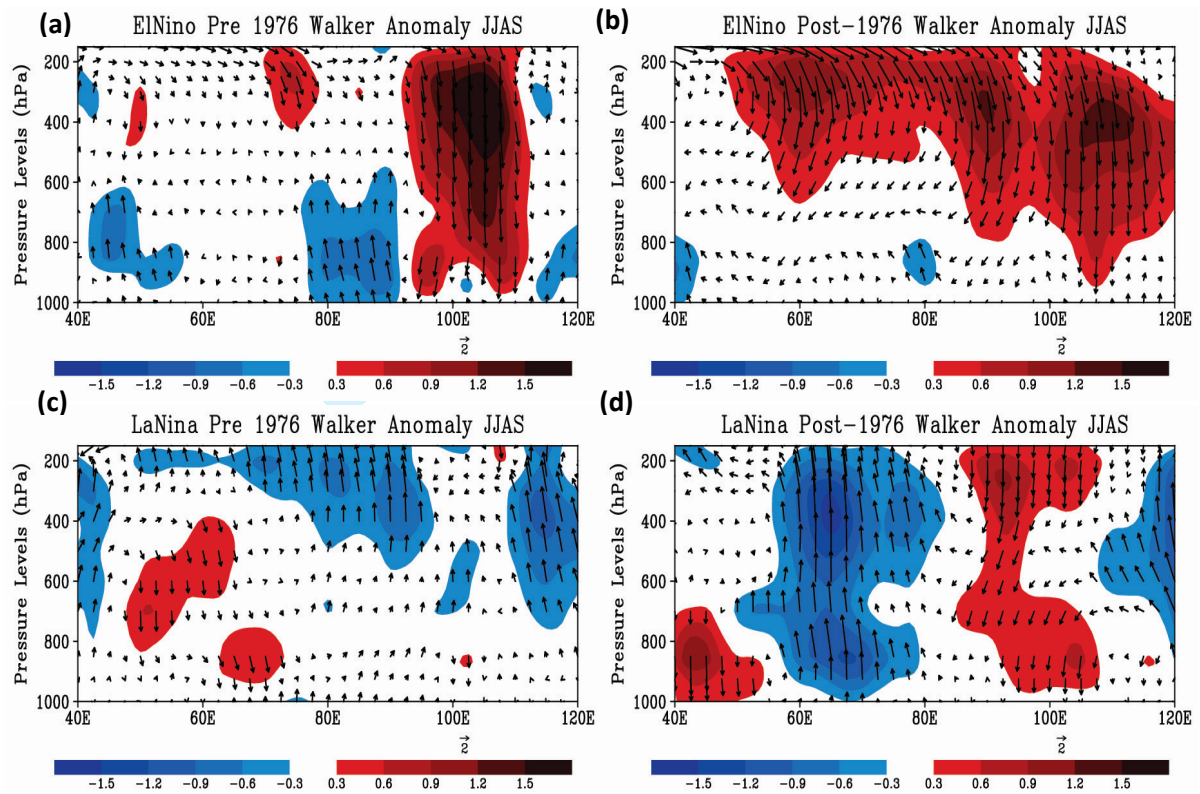
**Fig1** (a) Time series of global surface temperature and IO SST anomaly. Base year of 1961-1990 is considered. The arrow indicate the year 1976 from which the global temperatures shows a monotonous increase. SST anomaly is taken for the IO region ( $40^{\circ}\text{E} - 110^{\circ}\text{E}$ ;  $10^{\circ}\text{S} - 25^{\circ}\text{N}$ ). Correlation between detrended IO-SST and Niño 3.4 during JJAS of (b) Pre-1976 (c) Post-1976. Only values significant at 95 % level are shown.



**Fig 2.** Composite anomalies of detrended SST ( $^{\circ}\text{C}$ ) of (a,b) El Niño and (c,d) La Niña events in the periods pre and post 1976, respectively. The events used to build the composites are listed in Table 1. Contours indicate regions significant at 95% level. (e) Niño 3.4 SST anomalies (dashed line) and IO SST anomalies (solid line).

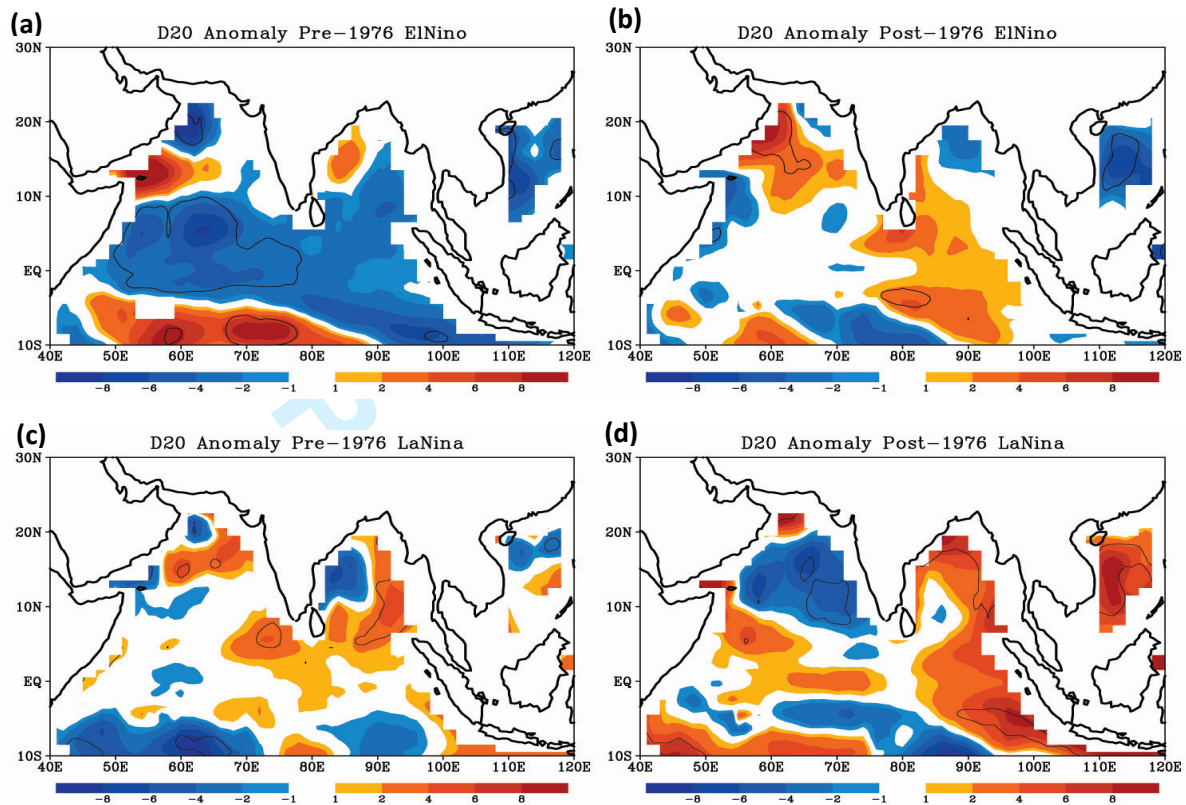


**Fig 3** Same as Fig. 2 but for wind anomalies at 850 hPa ( $\text{m s}^{-1}$ ; vectors) and associated magnitude (shaded) of (a,b) El Niño and (c,d) La Niña events in the periods pre and post 1976, respectively. (e) JJAS mean climatology of wind at 850 hPa.

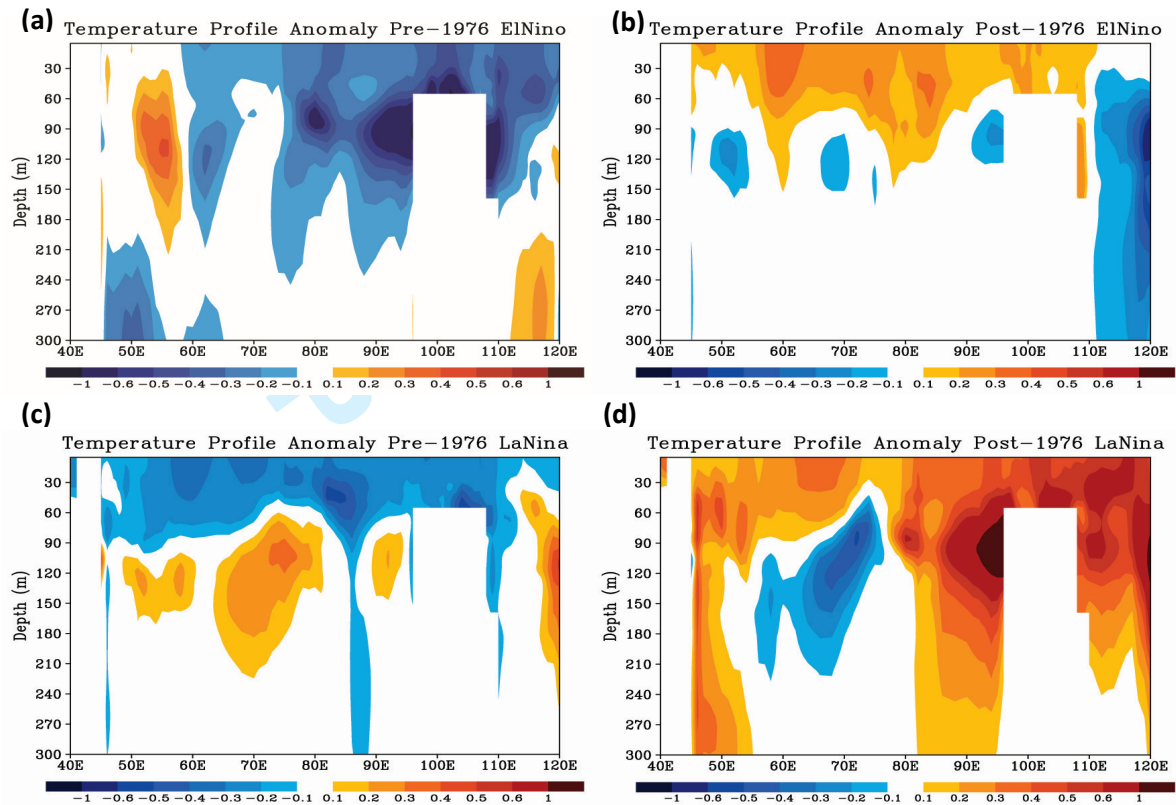


**Fig 4** (a,b) El Niño and (c,d) La Niña composite anomalies of the Walker circulation averaged over  $5^{\circ}\text{S}$ - $10^{\circ}\text{N}$  during pre and post 1976 events, respectively. The vectors denote the zonal winds in  $\text{ms}^{-1}$  and omega in  $\text{Pa s}^{-1}$  (positive downward).





**Fig 5.** Composite of depth of 20°C isotherm anomalies (m) used as a proxy for the thermocline depth of (a,b) El Niño and (c,d) La Niña events in the periods pre and post 1976, respectively. Contours indicate regions significant at 95% level



**Fig 6.** (a,b) El Niño and (c,d) La Niña composite anomalies of temperature (°K) profiles in the first 300 m averaged in 0-20° N during pre and post 1976 events, respectively.

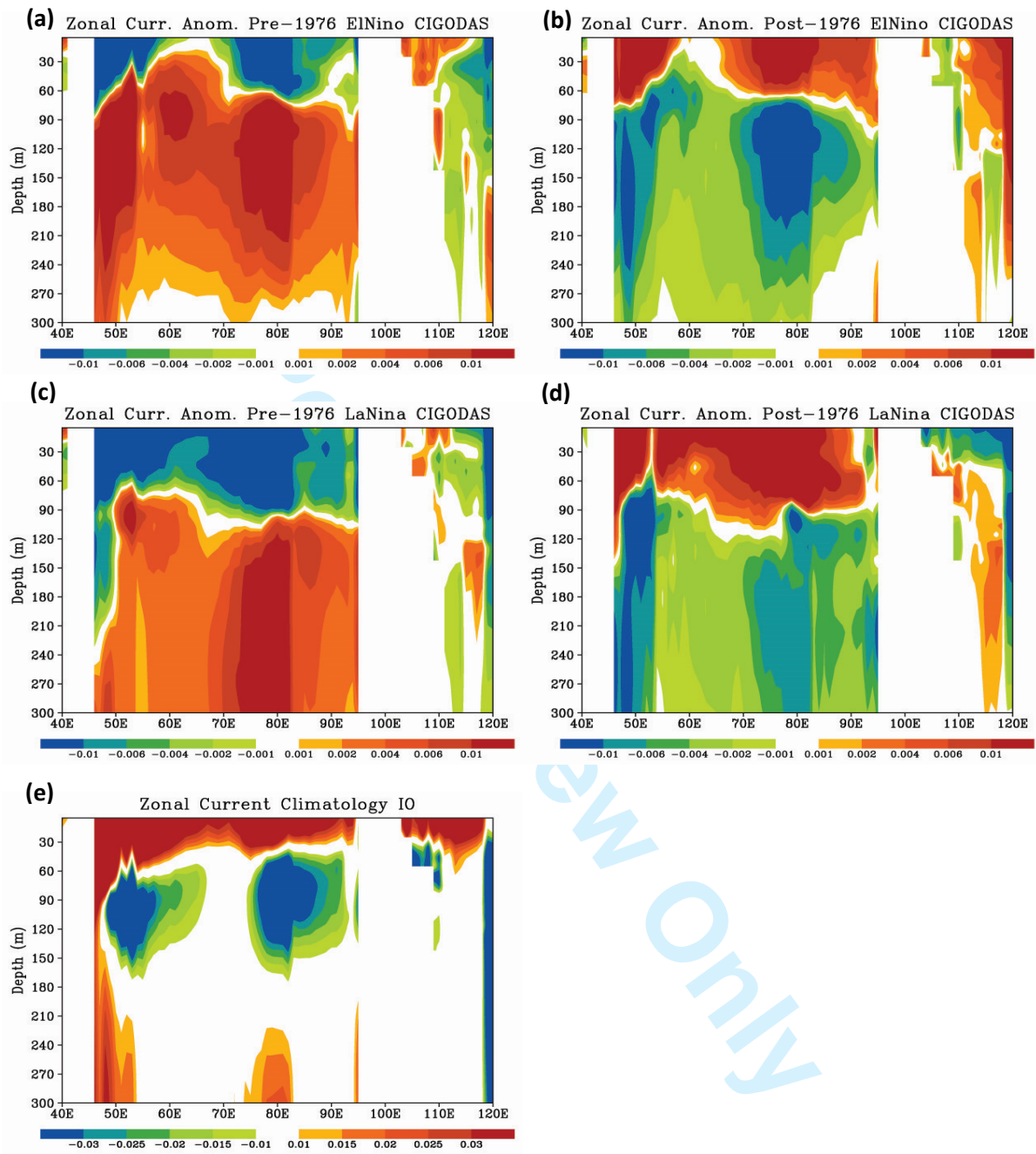
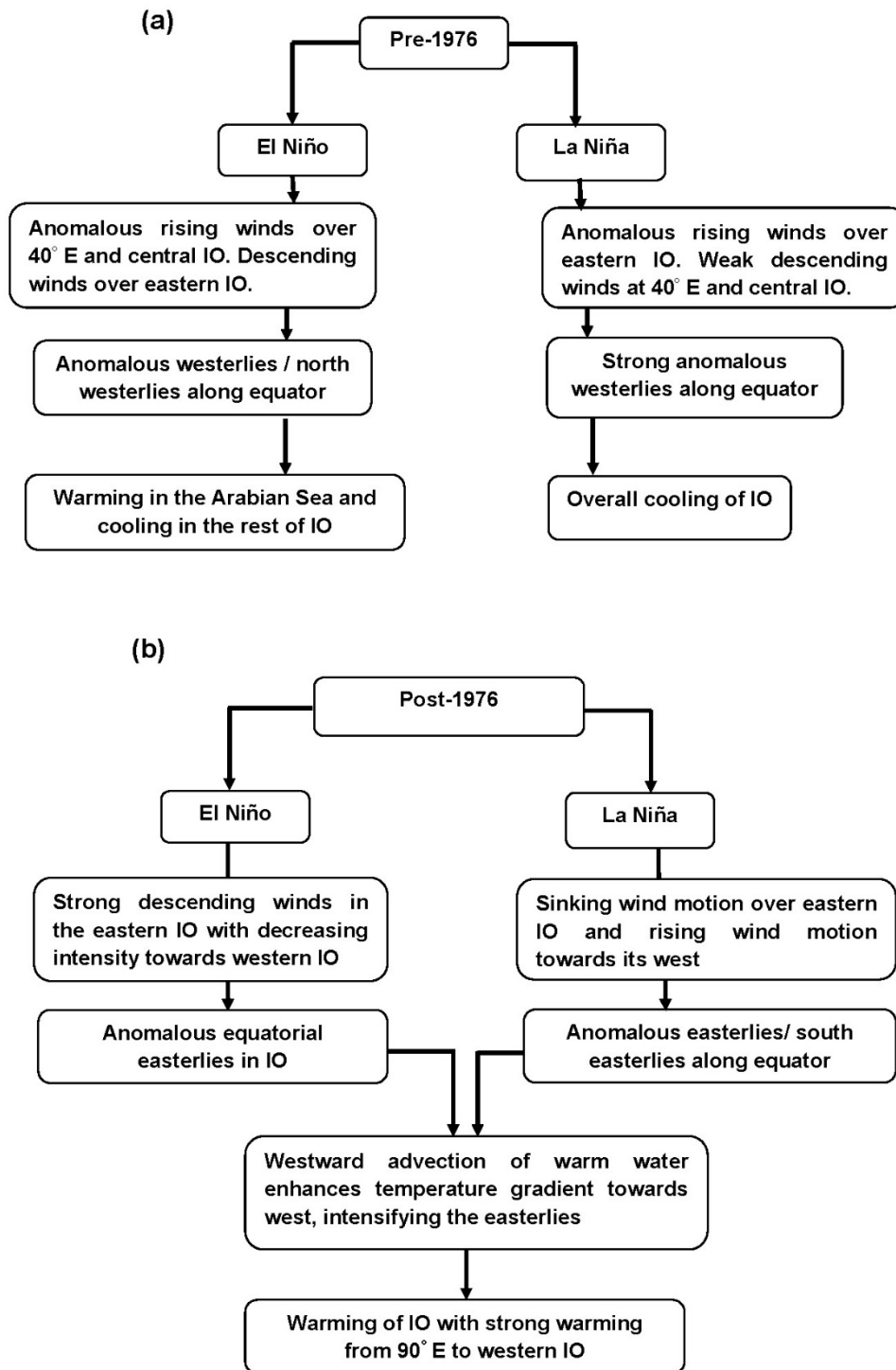
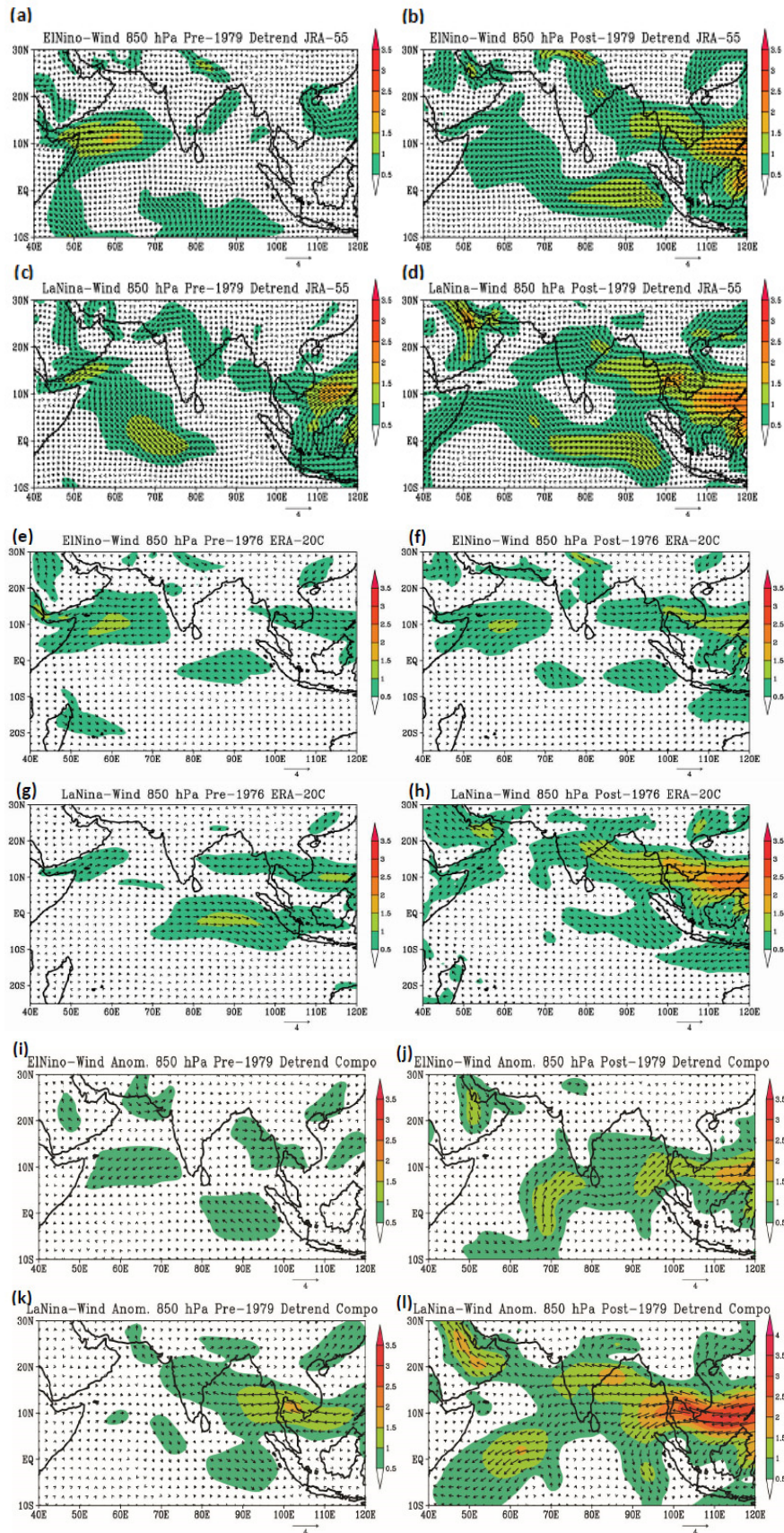


Fig 7. Same as Fig. 6 (a-d) but for zonal currents ( $\text{ms}^{-1}$ ) anomalies (e) JJAS mean climatology

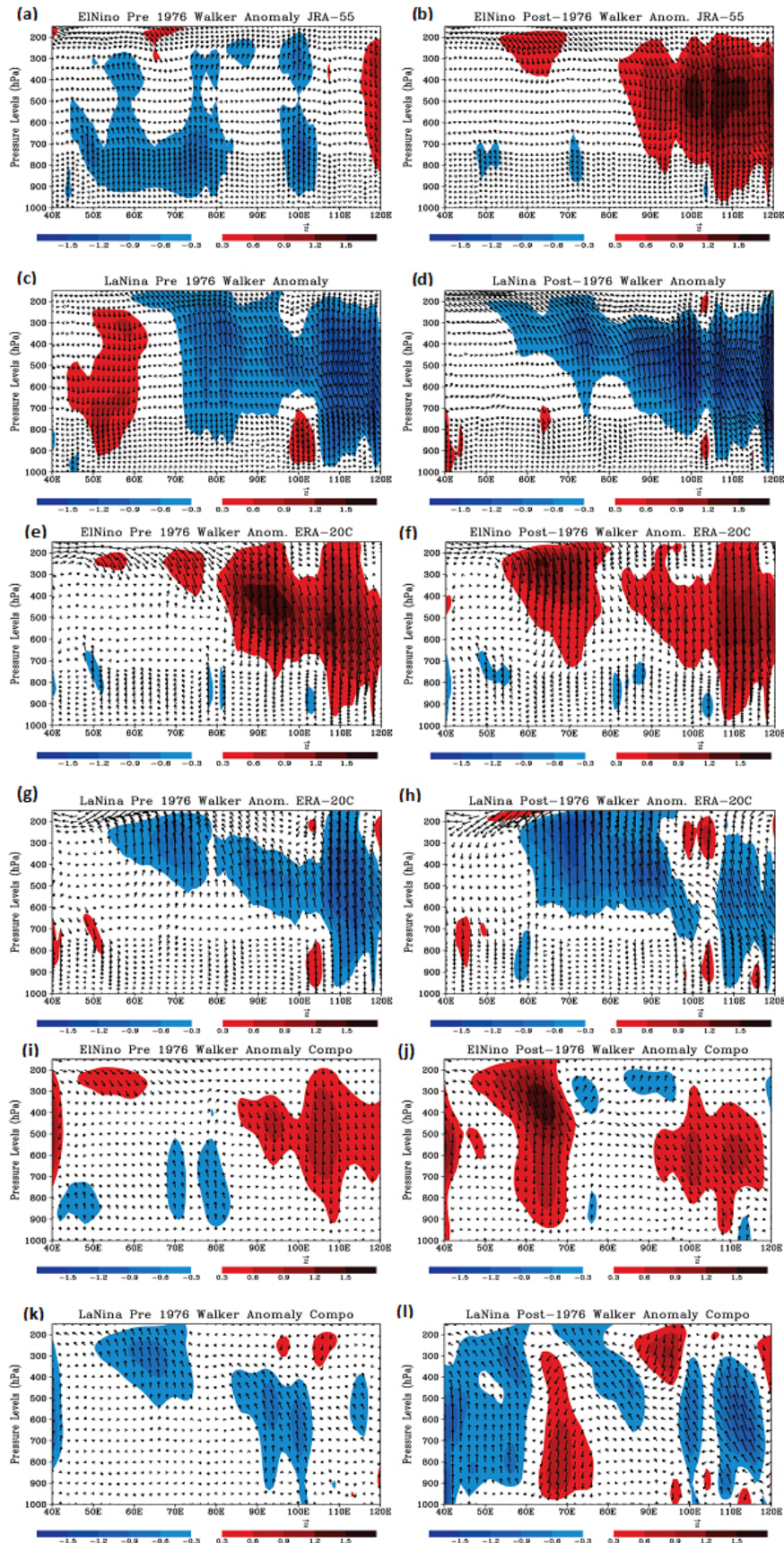


**Fig 8.** Schematic diagram of the IO warming due to changes in the atmospheric circulations during (a) pre-1976 and (b) post-1976

## Supplementary Figures



**Fig S1.** Similar to Fig 3 but using JRA-55 (a-d), ERA-20C (e-h) and 20CRv2 (i-l) re-analyses.



**Fig S2.** Similar to Fig 4 but using JRA-55 (a-d), ERA-20C (e-h) and 20CRv2 (i-l) re-analyses.

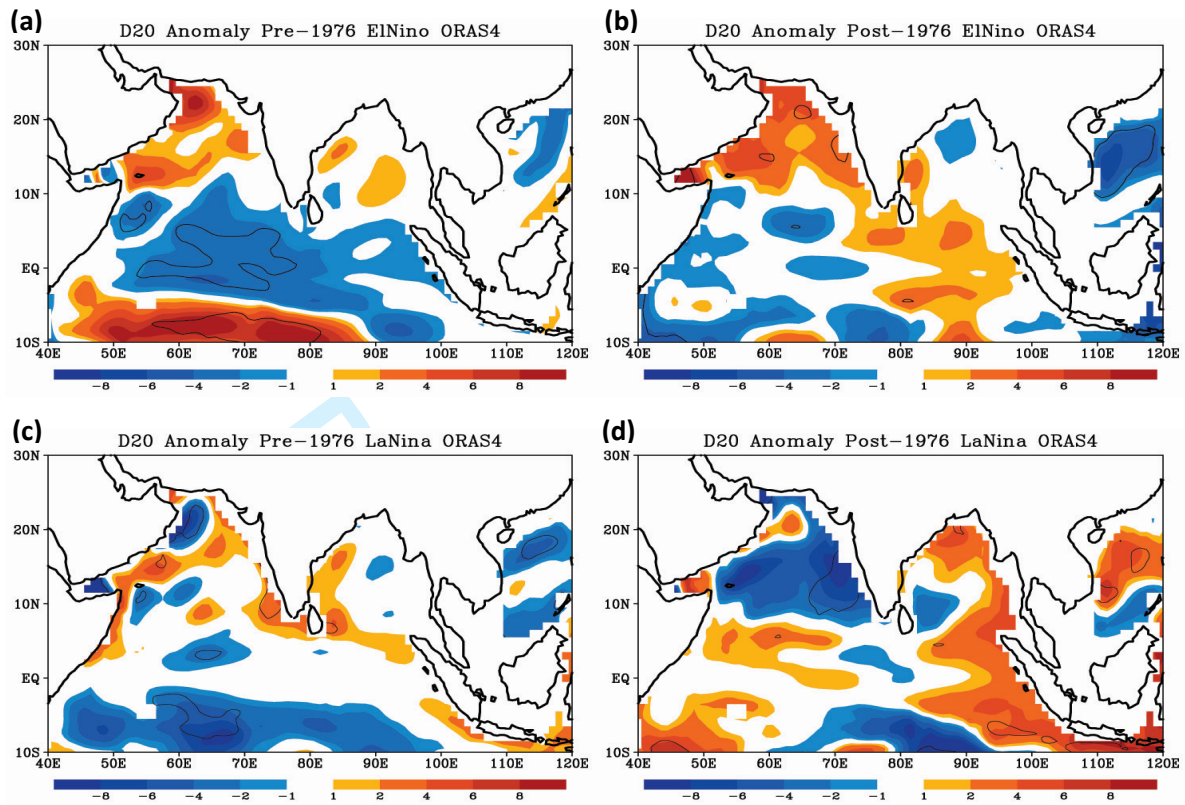


Fig S3. Similar to Fig 5 (a-d) but using ORAS4 re-analysis.

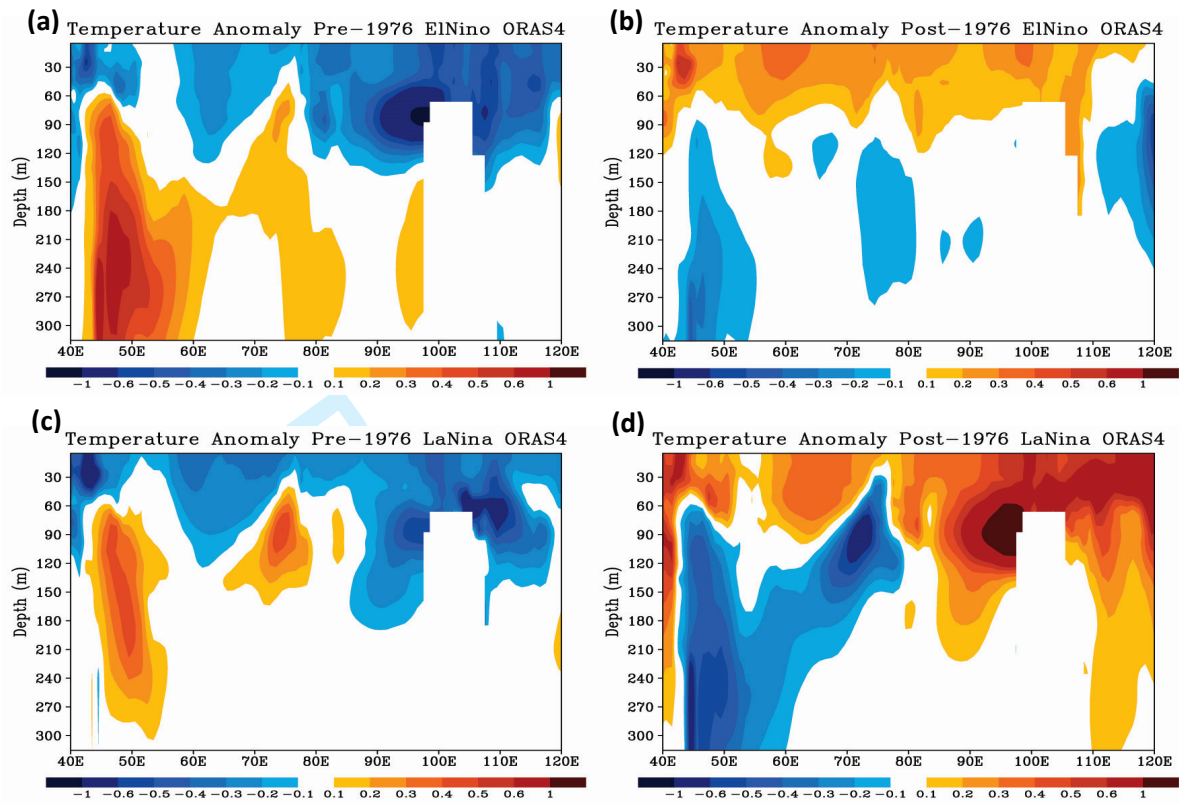


Fig S4. Similar to Fig 6 (a-d) but using ORAS4 re-analysis.



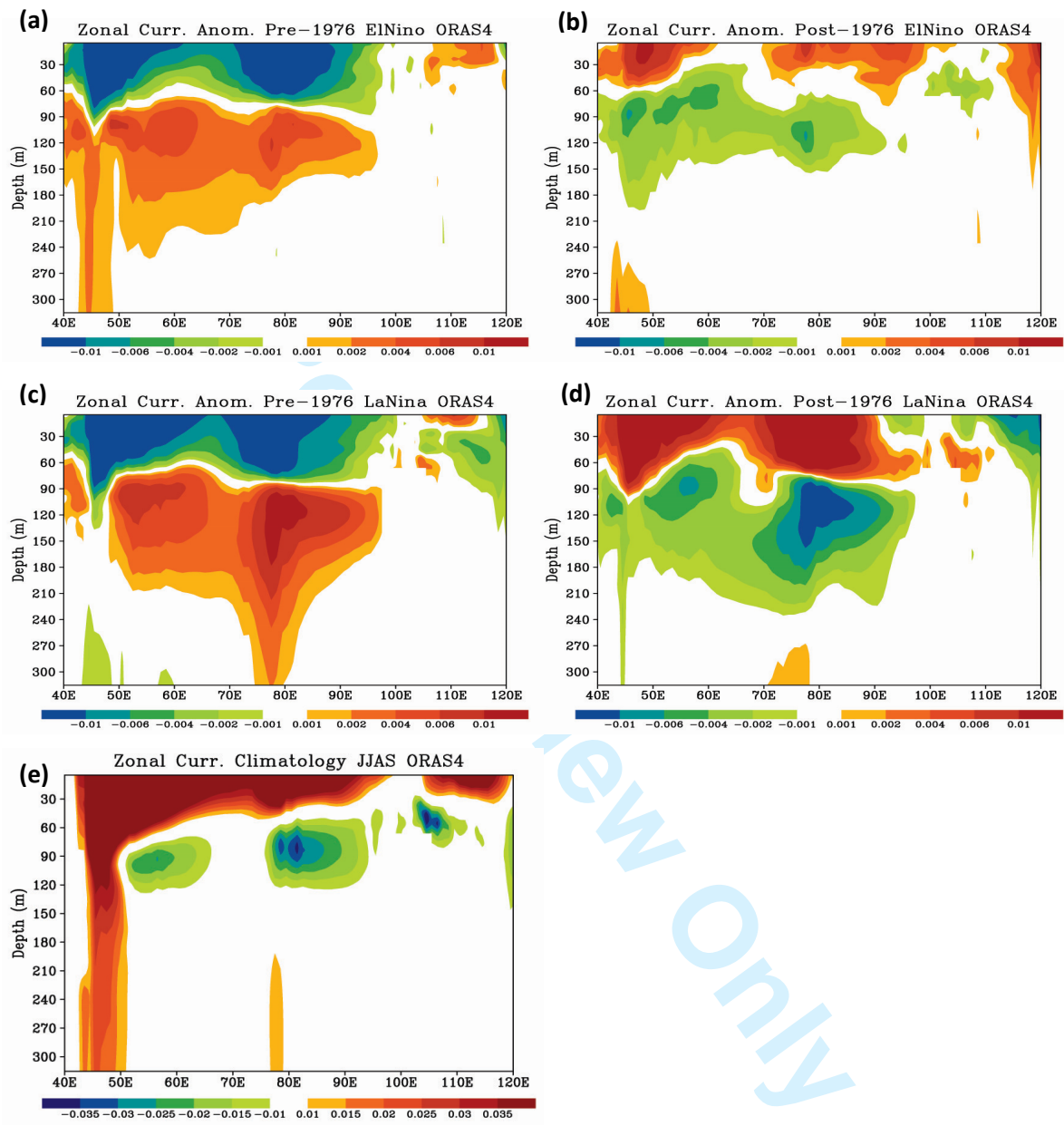


Fig S5. Similar to Fig 7 (a-e) but using ORAS4 re-analysis.