

1 Ecological Impacts of Atmospheric Pollution and Interactions with Climate Change
2 in Terrestrial Ecosystems of the Mediterranean Basin: Current Research and Future
3 Directions

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21 Capsule: A coordinated monitoring of air pollution and an assessment network of its effects are
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56

57 **Abstract**

58 Mediterranean Basin ecosystems, their unique biodiversity, and the key services they provide are
59 currently at risk due to air pollution and climate change, yet only a limited number of isolated and
60 geographically-restricted studies have addressed this topic, often with contrasting results.
61 Particularities of air pollution in this region include high O₃ levels due to high air temperatures
62 and solar radiation, the stability of air masses, and dominance of dry over wet nitrogen
63 deposition. Moreover, the unique abiotic and biotic factors (e.g., climate, vegetation type,
64 relevance of Saharan dust inputs) modulating the response of Mediterranean ecosystems at
65 various spatiotemporal scales make it difficult to understand, and thus predict, the consequences
66 of human activities that cause air pollution in the Mediterranean Basin. Therefore, there is an
67 urgent need to implement coordinated research and experimental platforms along with wider
68 environmental monitoring networks in the region. In particular, a robust deposition monitoring
69 network in conjunction with modelling estimates is crucial, possibly including a set of common
70 biomonitors (ideally cryptogams, an important component of the Mediterranean vegetation), to
71 help refine pollutant deposition maps. Additionally, increased attention must be paid to functional
72 diversity measures in future air pollution and climate change studies to establish the necessary link
73 between biodiversity and the provision of ecosystem services in Mediterranean ecosystems.
74 Through a coordinated effort, the Mediterranean scientific community can fill the above-
75 mentioned gaps and reach a greater understanding of the mechanisms underlying the combined
76 effects of air pollution and climate change in the Mediterranean Basin.

77 **Introduction**

78 Human activities and natural processes have shaped each other over ca. eight millennia within
79 Mediterranean Basin ecosystems (Blondel, 2006). This coevolution, together with the
80 heterogeneous orography and geology, the large seasonal and inter-annual climatic variability, the
81 refuge effect during the last glaciations, and the crossroad location between European temperate
82 ecosystems and North African and Asian drylands, has resulted in the high diversification of the
83 flora and fauna that we observe today, making Mediterranean ecosystems a hotspot of
84 biodiversity, but also of vulnerability (Schröter *et al.* 2005; Blondel 2006; Phoenix *et al.* 2006).
85 Moreover, the Mediterranean Basin is one of the world's largest biodiversity hotspots and the
86 only one within Europe, otherwise dominated by temperate natural and semi-natural grasslands,
87 temperate deciduous forests and boreal conifer forests (Myers *et al.*, 2000). Species-rich
88 ecosystems exclusive to the Mediterranean Basin include Spanish *matorrales* and *garrigas*,
89 Portuguese *matos*, Italian *macchias*, Greek *phryganas*, and agrosilvopastoral ecosystems of high
90 natural and economic value such as Spanish *dehesas* and Portuguese *montados* (Cowling *et al.*,
91 1996; Blondel, 2006). However, the biodiversity and other ecosystem services of this region are
92 currently at risk due to human pressures such as climate change, land degradation and air
93 pollution (Schröter *et al.*, 2005; Scarascia-Mugnozza & Matteucci, 2012). Air pollution in the
94 Mediterranean Basin is primarily in the form of particulate matter, nitrogen (N) deposition and
95 tropospheric ozone (O₃) (Paoletti, 2006; Ferretti *et al.*, 2014; García-Gómez *et al.*, 2014).
96 Production of pollutants is mainly associated with industrial activities, construction, vehicle
97 emissions and agricultural practices and, within the European context, is characteristically
98 exacerbated by more frequent droughts and the typical stability of air masses in the region, with
99 important consequences for ecosystem and human health (Millán *et al.*, 2002; Vestreng *et al.*,

100 2008; Izquieta-Rojano *et al.*, 2016a). This also has important social consequences for the
101 Mediterranean region, where approximately 480 million people live, and where more frequent
102 droughts, extreme climatic events and wildfires will only reinforce the current migrant and
103 humanitarian crisis (Werz & Hoffman, 2016).

104 Environmental pollution interacts synergistically with climate change (Alonso *et al.*,
105 2001, 2014; Bytnerowicz *et al.*, 2007; Sardans & Peñuelas, 2013). This is particularly true for
106 seasonally dry regions like the Mediterranean Basin (Baron *et al.*, 2014), but the effects of this
107 interaction on the structure and function of Mediterranean ecosystems are not adequately
108 quantified and, therefore, the consequences are poorly understood (Bobbink *et al.*, 2010; Ochoa-
109 Hueso *et al.*, 2011). Projections for 2100 suggest that mean air temperatures in the Mediterranean
110 Basin region will rise from 2.2°C to 5.1°C above 1990 levels and that precipitation will decrease
111 between -4 and -27% (Christensen *et al.*, 2007 and Figure 1). The sea level is also projected to
112 rise, and a greater frequency and intensity of extreme weather events (e.g., drought, heat waves
113 and floods) are expected (EEA, 2005). These changes will exacerbate the already acute water
114 shortage problem in the region, particularly in drylands (Terray & Boé, 2013; Sicard & Dalstein-
115 Richier, 2015), impairing their functionality and ability to deliver the ecosystem services on
116 which society and economy depend (Bakkenes *et al.*, 2002; Lloret *et al.*, 2004). Functions that
117 will be synergistically impaired by air pollution and climate change include reductions in crop
118 yield and carbon sequestration (Maracchi *et al.*, 2005; Mills & Harmens, 2011; Shindell *et al.*,
119 2012; Ferretti *et al.*, 2014). In addition, a higher fire risk is attributed to higher temperatures and
120 more frequent droughts coupled with an N-driven increase of grass-derived highly-flammable
121 fine fuel (Pausas & Fernández-Muñoz 2012).

122 In the last decades, atmospheric concentrations of major anthropogenic air pollutants such

123 as particulate matter and sulphur dioxide (SO₂) have decreased in Southern Europe due to
124 emission control policies and greener technologies (Querol *et al.*, 2014; Barros *et al.*, 2015;
125 Aguilhaume *et al.*, 2016; Àvila & Aguilhaume, 2017). However, mitigation strategies have not
126 been equally effective with other compounds such as reactive N and tropospheric O₃ (Figure. 2;
127 Paoletti, 2006; García-Gómez *et al.*, 2014; Sicard *et al.*, 2016). For example, recent increases in
128 N deposition, particularly dry deposition of NO₃, have been detected in North-eastern Spain,
129 where N deposition is estimated in the range of 15-30 kg N ha⁻¹ yr⁻¹ (Avila & Rodà, 2012;
130 Camarero & Catalan, 2012; Aguilhaume *et al.*, 2016). This has been attributed to increased
131 nitrogen oxide (NO_x) and ammonia (NH₃) emissions and changes in precipitation patterns
132 (Aguilhaume *et al.*, 2016). Background O₃ pollution is typically high in Mediterranean climates
133 due to the meteorological conditions of the area (Paoletti, 2006) and recent reviews have
134 demonstrated that while O₃ in cities has generally increased, no clear trend, or only a slight
135 decrease, has been detected in rural areas (Sicard *et al.*, 2013; Querol *et al.*, 2014); the annual
136 average at rural western Mediterranean sites over the period 2000-2010 was 33 ppb, with a
137 modest trend of -0.22% year⁻¹ (Sicard *et al.*, 2013). The Mediterranean Basin is also exposed to
138 frequent African dust intrusions, which can naturally increase the level of suspended particulate
139 matter and nutrient deposition, changing the chemical composition of the atmosphere (Escudero
140 *et al.*, 2005; Marticorena & Formenti, 2013; Àvila & Aguilhaume, 2017). This has profound
141 impacts on the biogeochemical cycles of both aquatic and terrestrial ecosystems (Mona *et al.*,
142 2006), further exacerbating the negative consequences of air pollution and climate change on
143 ecosystem and human health.

144 In this review, originated as a result of the 1st CAPERmed (Committee on Air Pollution
145 Effects Research on Mediterranean Ecosystems; <http://capermed.weebly.com/>) Conference in

146 Lisbon, Portugal, we (i) summarize the current knowledge about atmospheric pollution trends
147 and effects, and their interactions with climate change, in terrestrial ecosystems of the
148 Mediterranean Basin, (ii) identify research gaps that need to be urgently filled, and (iii)
149 recommend future steps. Due to lack of information for other regions within the Mediterranean
150 Basin, we mainly focused our review on studies carried out in south-western European countries
151 (France, Italy, Portugal and Spain). In contrast, we discuss information generated through a
152 variety of experimental approaches (field manipulation experiments, greenhouse studies, open
153 top chambers [OTCs], observational studies, modelling, etc.) from studies carried out in a wide
154 range of representative natural (e.g., shrublands, grasslands, woodlands and forests) and semi-
155 natural (e.g., *montados* or *dehesas*) ecosystems.

156

157 **Measurement and modelling of atmospheric pollution and deposition**

158 Estimating pollutant deposition loadings, particularly dry deposition, still presents important
159 uncertainties and challenges, both in terms of modelling and measurements (Simpson *et al.*,
160 2014). This is particularly true in studies at small regional scales and in regions with complex
161 topography or under the influence of local emission sources (García-Gómez *et al.*, 2014), which
162 is very often the case in the Mediterranean Basin. Dry deposition in Mediterranean ecosystems
163 can represent the main input of atmospheric N, contributing up to 65-95% of the total deposition
164 (Figure 2b; Sanz *et al.*, 2002; Avila & Rodà, 2012). For example, wet N deposition at the
165 Levantine border of the Iberian Peninsula can be considered low to moderate (2 - 7.7 kg N ha⁻¹
166 yr⁻¹), but total N deposition loads are comparable to more polluted areas in central and northern
167 Europe (10 - 24 kg N ha⁻¹ yr⁻¹) when dry deposition is included (Avila & Rodà, 2012). Given that
168 dry deposition is important in the Mediterranean Basin but is also difficult to measure, we should

169 ideally combine modelled dry deposition with wet deposition measures from representative
170 monitoring stations. A recent modelling analysis has also highlighted that mountain ecosystems
171 in Spain, where monitoring stations are even scarcer, are frequently exposed to exceedances of
172 empirical critical N loads (García-Gómez *et al.*, 2014, 2017). Moreover, mountain areas of the
173 Mediterranean Basin also frequently register very high O₃ concentrations that are not recorded in
174 air quality monitoring networks (Díaz-de-Quijano *et al.*, 2009; Cristofanelli *et al.*, 2015; Elvira *et*
175 *al.*, under review). This observation should encourage the inclusion of monitoring stations in
176 mountain areas in air quality networks in the Mediterranean Basin to protect these highly
177 valuable and vulnerable ecosystems (García-Gómez *et al.*, 2017). Another important aspect to be
178 considered in both deposition monitoring networks and model-based estimates is the
179 quantification and characterization of ammonium (NH₄⁺) and the organic N fraction (Jickells *et*
180 *al.*, 2013; Fowler *et al.*, 2015). Dissolved organic N (DON) can represent a significant component
181 of wet and dry deposition fluxes but it is often overlooked and not routinely assessed (Mace,
182 2003; Violaki *et al.*, 2010; Im *et al.*, 2013; Izquieta-Riojano & Elustondo, 2017). However, DON
183 fluxes may have significant implications in terms of critical loads, reaching up to 34-56% of the
184 total N deposition (12 kg DON ha⁻¹ yr⁻¹) in Mediterranean agricultural areas (Izquieta-Rojano *et*
185 *al.*, 2016a). The quantification of temporal trends in air pollution is equally important for
186 evaluating the impact of changing precursor emissions and informing local and regional air
187 quality strategies.

188

189 **Impacts of atmospheric pollution and climate change on natural and semi-natural** 190 **terrestrial ecosystems**

191 The ecological impacts of air pollution (particularly for N deposition and O₃) on natural and

192 semi-natural ecosystems have been primarily studied in the temperate and boreal regions of
193 Europe and North America and, more recently, in steppe and subtropical areas of China (Paoletti,
194 2006; Xia & Wan, 2008; Bobbink *et al.*, 2010; Ochoa-Hueso, 2017). In contrast, much less is
195 known for Mediterranean Basin ecosystems, which differ from these better-studied ecosystems in
196 critical aspects that justify their separate consideration, such as their much-higher levels of
197 biodiversity (particularly for plants) and their higher-than-average levels of biologically-relevant
198 spatial and temporal environmental heterogeneity, including the characteristic summer drought
199 period (Cowling *et al.*, 1996; Myers *et al.*, 2000). Most studies on the impacts of atmospheric
200 pollution in terrestrial ecosystems from the Mediterranean Basin have been carried out in just a
201 small part of the geographic area (i.e. certain localities in Italy, Portugal and Spain) and have used
202 different experimental design and methodologies (Fig. 1 and Supplementary Table 1). Similarly,
203 instead of taking advantage of the development of statistical methods to integrate responses at the
204 ecosystem level (e.g., structural equation modelling; Eisenhauer *et al.*, 2015), studies have
205 typically focused solely and independently on plants (community or, more frequently, individual
206 species), lichens (community or, again more frequently, individual species) and soil properties
207 (soil biogeochemistry, structure and functioning; Supplementary Table 1). One notable exception
208 to this is NitroMed, a unique network of three comparable N addition experimental sites (Capo
209 Caccia [0 and 30 kg N ha⁻¹ yr⁻¹], Alambre [0, 40 and 80 kg N ha⁻¹ yr⁻¹], and El Regajal [0, 10, 20
210 and 50 kg N ha⁻¹ yr⁻¹]; see Figure 3b, f and h) that is currently using common experimental
211 methodology and structural equation modelling to understand the cause-effect mechanisms that
212 determine changes in gas (CO₂) exchange and litter decomposition and stabilization rates in
213 response to N deposition in semiarid Mediterranean ecosystems (see Ochoa-Hueso and Manrique
214 2011 and Dias *et al.* 2014 for further details on experimental methodologies). Preliminary results

215 suggest that N deposition increases soil N availability and reduces soil pH which, in turn, has an
216 effect on microbial community structure (lower fungi to bacteria ratio) and overall enzymatic
217 activity, direct responsible for reduced litter decomposition and higher stabilization rates (Lo
218 Cascio *et al.*, 2016). Similarly, a new coordinated project is looking at the effects of N addition at
219 realistic doses (20 and 50 kg N ha⁻¹ yr⁻¹), in conjunction with P, on alpine ecosystems from five
220 National Parks in Spain. Moreover, most of these studies addressed the impact of one global
221 change driver alone (often increased N availability, mostly the N load, or O₃) and so
222 comprehensive studies on the interaction between global change drivers (e.g., air pollution and
223 climate change) are few. However, recent studies have described a heterogeneous response of
224 annual pasture species to O₃ and N enrichment, with legumes being highly sensitive to ozone but
225 not N, while grasses and herbs were more tolerant to O₃ and more responsive to N (Calvete-Sogo
226 *et al.*, 2016). Thus the interactive effects of O₃ and N can alter the structure and species
227 composition of Mediterranean annual pastures via changes in the competitive relationships
228 among species (González-Fernández *et al.*, 2013 and references therein; Calvete-Sogo *et al.*,
229 2014, 2016). Similarly, only a few studies have addressed the impacts on edaphic fauna and
230 above- and below-ground biotic interactions such as mycorrhiza, biological N fixation, herbivory
231 or pollination in ecosystems from the Mediterranean Basin (Supplementary Table 1 and
232 references therein), despite the relevance of ecological interactions to healthy, functional
233 ecosystems (Tylianakis *et al.*, 2008). For example, Ochoa-Hueso *et al.* (2014a) found that
234 edaphic faunal abundance, particularly collembolans, increased in response to up to 20 kg N ha⁻¹
235 yr⁻¹ and then decreased with 50 kg N ha⁻¹ yr⁻¹, whereas 10 kg N ha⁻¹ yr⁻¹ were enough to
236 completely suppress soil microbial N fixation (Ochoa-Hueso *et al.*, 2013a). Another notable
237 exception is Ochoa-Hueso (2016), who showed how even low-N addition levels (10 kg N ha⁻¹ yr⁻¹

238 ¹) can completely disrupt the tight coupling of the network of ecological interactions in a semiarid
239 ecosystem from central Spain, despite the lack of evident response of most of the individual
240 abiotic and biotic ecosystem constituents evaluated (i.e., soils, microbes, plants and edaphic
241 fauna). Ozone and N soil availability can also alter volatile organic compound (VOC) emissions,
242 and thus biosphere-atmosphere interactions, of some Mediterranean tree and annual pasture
243 species. The consequences of these interactions need to be further studied (Peñuelas *et al.*, 1999;
244 Llusia *et al.*, 2002; Llusia *et al.*, 2014). Therefore, a more comprehensive and integrative
245 experimental approach is urgently needed to fully capture the real consequences of air pollution
246 in the Mediterranean region.

247

248 *Sensitivity of Mediterranean forests to air pollution and climate change*

249 Mediterranean forest ecosystems have naturally evolved cross-tolerance to deal with harsh
250 environmental conditions (Paoletti, 2006; Matesanz & Valladares, 2014). However, climate
251 change, N deposition and O₃ are currently threatening Mediterranean forests in unprecedented
252 and complex manners, with consistent stoichiometric responses to increased N deposition (higher
253 leaf N:P ratios; Sardans *et al.* 2016), but with physiological and growth-related consequences
254 forecasted to vary among the three main tree functional types (i.e., conifers, evergreen broadleaf
255 trees, and deciduous broadleaf trees). As deposition increases, photosynthesis, water use
256 efficiency, and thus growth, often increase in conifers (Leonardi *et al.*, 2012), although under
257 chronic N deposition, other nutrients such as P can become more limiting, counteracting the
258 initial benefits of more N availability (Blanes *et al.*, 2013). Nitrogen deposition could also
259 increase pine mortality rates in response to drought due to a decline of ectomycorrhizal
260 colonization rates, a phenomenon of widespread occurrence in US dryland woodlands (Allen *et*

261 *al.*, 2010). On the other hand, their low stomatal conductance and their high stomatal sensitivity
262 to vapour pressure deficit and water availability might limit the diffusion of O₃ to the mesophyll
263 (Flexas *et al.*, 2014). Similarly, conservative strategies of water and nutrient-use may also play a
264 key role in allowing conifers to keep a positive balance between assimilation and respiration in
265 response to climate change (Way & Oren, 2010). However, O₃ exposure might be impairing their
266 ability to withstand other environmental stresses such as those triggered by drought, high
267 temperature and solar radiation (Barnes *et al.*, 2000; Alonso *et al.*, 2001).

268 In contrast, evergreen broadleaf species inhabiting resource-poor ecosystems might be
269 jeopardized by N deposition by shifting biomass partitioning (Cambui *et al.*, 2011) and altering
270 allometric ratios (e.g., leaf area/sap wood or root/leaf biomass), which may have consequences
271 for their ability to deal with water stress, particularly in the context of the characteristic summer
272 drought period and climate change (Martinez-Vilalta *et al.*, 2003; Mereu *et al.*, 2009).
273 Ecophysiological responses to O₃ vary from down-regulation of photosystems (Mereu *et al.*,
274 2009) to reduced stomatal aperture and increased stomatal density (Fusaro *et al.*, 2016) and
275 sluggishness (Paoletti & Grulke, 2005, 2010). However, Mediterranean vegetation usually has
276 efficient antioxidant defences (Nali *et al.*, 2004), which are key factors in O₃ tolerance (Calatayud
277 *et al.*, 2011; Mereu *et al.*, 2011), and is usually known to be more O₃-tolerant than mesophilic
278 broadleaf trees (Paoletti, 2006). Nevertheless, biomass losses and allocation shifts cannot be
279 excluded, especially as a consequence of synergistic effects of N deposition and drought,
280 although local differentiation may result in significant intraspecific tolerance differences (Alonso
281 *et al.*, 2014; Gerosa *et al.*, 2015).

282 Responses of deciduous broadleaf species to N deposition may be modulated by water
283 and background nutrient availability (mainly P) but, in general terms, growth is favoured over

284 storage (Ferretti *et al.*, 2014). In contrast, broadleaf tree species are highly sensitive to climate
285 change, particularly to the combination of drought and increased temperature (Lopez-Iglesias *et*
286 *al.*, 2014), which also suggests relevant interactions between air pollution and climate change. In
287 this direction, De Marco *et al.* (2014) predicted that crown defoliation will increase in
288 Mediterranean environments due to drought events and higher temperatures by 2030, a
289 phenomenon that could be exacerbated by excessive N. Deciduous broadleaf species also have
290 lower capacity to tolerate oxidative stress than evergreen broadleaf species due to traits such as
291 thinner leaves and higher stomatal conductance (Calatayud *et al.*, 2010). Gas exchange and
292 antioxidant capacity in deciduous broadleaves are, therefore, generally more affected by high O₃
293 concentrations than in evergreen broadleaves (Bussotti *et al.*, 2014). Based on their levels of
294 visible foliar injury and expert judgement, deciduous broadleaf species range from highly to
295 moderately sensitive species such as *Fagus sylvatica* and *Fraxinus excelsior*, respectively
296 (Baumgarten *et al.*, 2000; Tegischer *et al.*, 2002; Gerosa *et al.*, 2003; Deckmyn *et al.*, 2007;
297 Paoletti *et al.*, 2007; Sicard *et al.*, 2016), to O₃-tolerant species like some *Quercus* species (*Q.*
298 *cerris*, *Q. ilex* and *Q. petraea*; Gerosa *et al.* 2009; Calatayud *et al.* 2011; Sicard *et al.* 2016).

299 Relatively little is known about the effects of O₃ on annual, perennial and woody
300 understory vegetation of Mediterranean forest ecosystems. Under experimental conditions, some
301 species characteristic of the annual grasslands associated with *Q. ilex dehesas* have high O₃
302 sensitivity. Interestingly, N fixing legumes, of higher nutritional value, are more O₃ sensitive than
303 grasses (Bermejo *et al.*, 2004; Gimeno *et al.*, 2004), particularly in terms of flower and seed
304 production (Sanz *et al.*, 2007), which could affect their competitive fitness and, ultimately, reduce
305 the economic value of the pasture. Nitrogen availability can partially counterbalance O₃ effects
306 on aboveground biomass when the levels of O₃ are moderate, but O₃ exposure reduces the

307 fertilization effect of higher N availability (Calvete-Sogo *et al.*, 2014). Anyhow, given that O₃
308 levels are higher in summer, when herbaceous species are dormant, Mediterranean species that
309 are summer-active such as pines and oaks are more likely to be directly affected by O₃ than forbs
310 and grasses. This suggests that the seasonality of O₃ concentrations as well as plant phenology
311 and functional type must be considered if we are to fully understand the consequences of air
312 pollution on the highly diverse Mediterranean plant communities. A unique ozone FACE (free air
313 controlled experiment) is now available in the Mediterranean Basin (Figure 3) to help fill this gap
314 (Paoletti *et al.*, in preparation).

315

316 *Role of environmental context in the response of biodiversity and C sequestration*

317 The local abiotic (e.g., climate, soil properties) and biotic (e.g., vegetation type, community
318 attributes, etc.) contexts are known to modulate ecosystem responses to environmental drivers at
319 different temporal and spatial scales (Bardgett *et al.*, 2013). Given that plant biodiversity at the
320 regional (10-10⁶ km²) and local (< 0.1 ha) scales in Mediterranean ecosystems ranks among the
321 highest in the world (Cowling *et al.*, 1996), this is a particularly relevant aspect for the region.
322 Various studies in Mediterranean ecosystems have shown that increased N availability may have
323 a positive (Pinho *et al.*, 2012; Dias *et al.*, 2014), negative (Bonanomi *et al.*, 2006; Bobbink *et al.*,
324 2010) or even no effect (Dias *et al.*, 2014) on plant species richness, which is probably due to
325 cumulative effects and modulating factors such as the ecosystem type, the initial N status of the
326 system, the dominant form of mineral N in the soil (NH₄⁺, NO₃⁻), and/or the N form added.
327 Positive effects on species richness, however, have only been observed in areas characterized by
328 strong environmental stress and low nutrient availability (e.g., open arid and semiarid
329 Mediterranean ecosystems) and are often associated with an increase in nitrophytic and weedy

330 species (Bobbink *et al.*, 2010; Pinho *et al.*, 2011; Dias *et al.*, 2014). The presence and density of
331 shrubs, as well as the availability of inorganic phosphorus (P) and other macro and
332 micronutrients, can also modulate the response of the herbaceous vegetation to N addition and
333 plant invasion in semiarid Mediterranean areas (Ochoa-Hueso *et al.*, 2013b; Ochoa-Hueso &
334 Stevens, 2015). For example, Ochoa-Hueso & Manrique (2014) found that N addition increased
335 the nitrophytic element, particularly native crucifers, only when these species were present in the
336 seed bank in relevant densities and there was sufficient P, whereas a closed scrub vegetation is
337 known to be less susceptible to invasion by N-loving species than open shrublands, woodlands
338 and grasslands (Dias *et al.*, 2014). The role of soil nutrient availability, typically lower than in
339 other Mediterranean-type ecosystems such as those from Chile (Cowling *et al.*, 1996), in the
340 ecosystem response to extra N can also be linked to induced nutrient imbalances, particularly N
341 in relation to P, and therefore to an alteration of ecosystem stoichiometry (Ochoa-Hueso *et al.*,
342 2014b; Sardans *et al.*, 2016).

343 The behaviour of terrestrial ecosystems as a global C sink or source under increased N
344 deposition or O₃ pollution scenarios is currently a research hot-topic and is of paramount
345 importance for the mitigation of climate change (Felzer *et al.*, 2004; Reich *et al.*, 2006; Pereira *et*
346 *al.*, 2007). Recent studies have suggested that seasonally water-limited ecosystems, such as those
347 typically found in the Mediterranean Basin, may have a disproportionately big role in the inter-
348 annual C sink-source dynamics at the global scale due to higher C turnover rates (Poulter *et al.*,
349 2014); this is attributed to their large inter-annual climatic variability, with unusually wet years
350 contributing to strengthen the terrestrial C sink but where multiple processes like fire or rapid
351 decomposition could result in a rapid loss of most of the accumulated C. These aspects are,
352 however, still poorly understood in Mediterranean ecosystems, where different studies have

353 reported contrasting results (Ochoa-Hueso *et al.*, 2013a, 2013c; Ferretti *et al.*, 2014). In
354 Mediterranean ecosystems, ecosystem C storage should, therefore, be evaluated in terms of
355 altered abundance and patterns of rainfall (both within and between years) (Pereira *et al.*, 2007),
356 in relation to the levels of N saturation (NO_3^-) and toxicity (NH_4^+) in soil (Dias *et al.*, 2014), as
357 well as other site-dependent characteristics such as dominant vegetation, soil type (texture and
358 pH), and stand history and age (Ferretti *et al.*, 2014). Experimental and observational field studies
359 suggest that, at least in the short-term, seasonal and inter-annual dynamics may override any
360 potential effect of atmospheric N pollution, despite potential cumulative negative impacts in the
361 long-term due to an overall decline in ecosystem health (Ochoa-Hueso *et al.*, 2013c; Ferretti *et*
362 *al.*, 2014).

363 Although within the Mediterranean Basin there is still a large gap in the knowledge of the
364 impacts of atmospheric pollution and climate change on natural and semi-natural ecosystems,
365 taken together, all the scattered information available suggests the particularly key role of spatial
366 and temporal environmental heterogeneity, biotic interactions, and ecosystem stoichiometry in
367 mediating the ecosystem response to air pollution.

368

369 *Critical loads and levels*

370 The concepts of critical loads and critical levels were developed within the United Nation
371 Economic Commission for Europe (UNECE) Convention on Long-Range Transboundary Air
372 Pollution (CLRTAP) for assessing the risk of air pollution impacts to ecosystems and defining
373 emission reductions. This tool is commonly used to anticipate negative effects of air pollution
374 and, therefore, to protect ecosystems before the changes become irreversible. The derivation of
375 empirical critical loads for nutrient N is based on experimental activities performed on different

376 vegetation types and they are assigned to habitat classes, while the derivation of NH₃ and NO_x
377 critical levels is based on the responses of broad vegetation types such as higher plants or lichens
378 and bryophytes. The pan-European critical level for atmospheric NH₃ is currently set at an annual
379 mean of 1 µg m⁻³ for lichens and bryophytes and 3 µg m⁻³ for higher plants, while the NO_x
380 critical level for all vegetation types is an annual mean of 30 µg m⁻³ (CLRTAP, 2011). Although
381 some modelling approaches exist to define N critical loads, the identification of empirical critical
382 loads is recommended for Mediterranean ecosystems due to its particularities such as co-
383 occurrence with other pressures and high seasonality (de Vries *et al.*, 2007; Fenn *et al.*, 2011).
384 Empirical critical loads of N for European-Mediterranean habitats have only been proposed for
385 four ecosystems: (1) Mediterranean xeric grasslands (EUNIS [European Nature Information
386 System] E 1.3), 15-25 kg N ha⁻¹ yr⁻¹; (2) Mediterranean maquis (F5), 20-30 kg N ha⁻¹ yr⁻¹; (3)
387 Mediterranean evergreen (*Quercus*) woodlands (G 2.1), 10-20 kg N ha⁻¹ yr⁻¹, and (4)
388 Mediterranean *Pinus* woodlands (G 3.7), 3-15 kg N ha⁻¹ yr⁻¹ (Bobbink & Hettelingh, 2011).
389 However, these critical loads are based on very little information and are thus classified as expert
390 judgement. Similarly, NH₃ critical levels have only been set for Mediterranean evergreen
391 woodlands and dense holm oak forests. Critical levels of atmospheric NH₃ of < 1.9 and 2.6 µg m⁻³
392 have been estimated for evergreen woodlands surrounded by intensive agricultural landscapes
393 (Pinho *et al.*, 2012; Aguillaume, 2015), while for evergreen woodlands under little agricultural
394 influence but strong oceanic influence, the critical level was estimated to be 0.69 µg m⁻³ (Pinho *et*
395 *al.*, 2014). Nevertheless, the N critical loads and NH₃ critical levels for many European-
396 Mediterranean ecosystems remain unstudied, despite their relevance for protecting relatively
397 undisturbed and oligotrophic ecosystems. Therefore, long-term manipulation experiments across

398 a range of typical Mediterranean terrestrial ecosystems are desperately needed to obtain a more
399 complete set of reliable empirical critical N loads and levels for the Mediterranean Basin
400 (Bobbink *et al.*, 2010; Bobbink & Hettelingh, 2011). Ozone critical levels have also been
401 proposed for the protection of natural vegetation at European level for two vegetation types,
402 forests and semi-natural vegetation (CLRTAP, 2011). The new flux-based O₃ critical levels allow
403 species-specific physiological conditions and O₃ uptake mechanisms to be included considering
404 the particularities of Mediterranean species. Interestingly, multiple studies performed with
405 Mediterranean tree species recommend higher O₃ critical levels for the protection of
406 Mediterranean forests than the values currently accepted (Calatayud *et al.*, 2011; Alonso *et al.*,
407 2014; Gerosa *et al.*, 2015). The possible definition of different O₃ critical levels for different
408 biogeographical regions or vegetation types is currently under analysis within the Convention
409 (CLRTAP, 2011).

410

411 *Cryptogams as indicators of the impact of air pollution and climate change*

412 Lichens and bryophytes (i.e., cryptogams), very often used in the definition of critical loads and
413 levels, are important components of the vegetation in Mediterranean ecosystems. These
414 organisms are key drivers of ecosystem properties (soil aggregation and stability) and processes
415 (C and N fixation and nutrient cycling), particularly in the case of biological soil crusts (hereafter
416 biocrusts), a functionally-integrated association of cyanobacteria, protists, fungi, mosses and
417 lichens inhabiting the first millimetres of soil (Cornelissen *et al.*, 2007; Maestre *et al.*, 2011).
418 Cryptogams are usually extremely sensitive to environmental changes and so they often provide
419 early-warning indicators of impacts before any other constituent of the ecosystem, particularly in
420 the case of N (Pardo *et al.*, 2011; Munzi *et al.*, 2012). For example, mosses have been used in N

421 deposition surveys under the ICP-Vegetation framework (Harmens *et al.*, 2014). The results
422 showed that N concentration in mosses can potentially be used as an indicator of total
423 atmospheric N deposition. Similarly, Root *et al.* (2013) showed that lichens can be a suitable tool
424 for estimating throughfall N deposition in forests. However, the relationship between N
425 deposition and tissue N concentration can also be affected by environmental factors such as local
426 climate and the form of N deposition.

427 Mosses and lichens have been instrumental to the evaluation of the impacts of global
428 change drivers on temperate and boreal ecosystems (e.g., Arróniz-Crespo *et al.* 2008), though the
429 number of studies carried out in Mediterranean ecosystems is very limited. Recent studies have,
430 however, reported significant impacts of increased N deposition on Mediterranean biocrust and
431 epiphytic communities. For example, two studies carried out in the Iberian peninsula found
432 higher tissue N content and a shift from N to P limitation in the terricolous moss *Tortella*
433 *squarrosa* (= *Pleurochaete squarrosa*; Ochoa-Hueso & Manrique 2013; Ochoa-Hueso *et al.*
434 2014a). Similarly, an alteration of physiological and chemical responses in lichen transplants
435 (Branquinho *et al.*, 2010; Paoli *et al.*, 2010, 2015) and a shift in epiphytic lichen communities
436 from oligotrophic-dominated to nitrophytic-dominated species have also been reported in
437 Portugal (Pinho *et al.*, 2008, 2009) and Spain (Aguillaume, 2016). Recent studies have also
438 observed a change in the isotopic N composition of mosses due to the impact of N from fuel
439 combustion sources (shift to more positive $\delta^{15}\text{N}$ signature) and agricultural activities (shift to
440 more negative $\delta^{15}\text{N}$ signature; Delgado *et al.*, 2013; Varela *et al.*, 2013; Izquieta-Rojano *et al.*,
441 2016b). Cryptogam traits (e.g., morphology, anatomy, life form) are also strongly connected to
442 water availability. For example, mosses from dry habitats are organized in dense cushions,
443 naturally retaining water by capillarity and dehydrating slowly, whereas mosses from moist

444 habitats have a less dense morphology and require the activation of specific mechanisms to
445 survive during dry periods (Arróniz-Crespo *et al.*, 2011; Cruz de Carvalho *et al.*, 2011, 2012,
446 2014). Similarly, lichen growth form and photobiont type have been shown to be relevant traits in
447 the response to water availability in Mediterranean areas (Concostrina-Zubiri *et al.*, 2014; Matos
448 *et al.*, 2015). Cryptogam traits related to water availability could, therefore, be equally effective
449 biomarkers to detect climate-induced hydrological changes in Mediterranean ecosystems but the
450 application of biomonitoring techniques using cryptogams in the Mediterranean region may be
451 complicated by the fact that cryptogam species are simultaneously exposed to both severe water
452 restriction and pollution, and some biomarkers (e.g., ecophysiological responses) are similarly
453 affected by both stress factors (Pirintsos *et al.*, 2011). Thus, we need to disentangle the multiple
454 environmental drivers (Munzi *et al.*, 2014a), possibly by integrating physiological and ecological
455 data to understand the specific response mechanisms to different ecological parameters and
456 environmental changes (Munzi *et al.*, 2014b).

457

458 *Anticipating global tipping points using ecological indicators*

459 The fact that ecosystem responses to air pollution and climate change are very often non-linear
460 may complicate the use of bioindicators in the Mediterranean Basin. Non-linear dynamics often
461 manifest in the form of tipping points, defined as ecosystem thresholds above which a larger-
462 than-expected change happens, shifting ecosystems from one stable state to another stable state
463 (Scheffer & Carpenter, 2003). Due to its climatic peculiarities, tipping points may be particularly
464 relevant for the Mediterranean Basin. One example is the ability of soils to store extra mineral N.
465 Above a certain N deposition value, N-saturated soils will start leaching N down into the soil
466 profile. This excessive N can also accumulate as inorganic N in seasonally dry soils and be

467 leached by surface flows that, as in the case before, will eventually reach and, therefore, pollute
468 aquifers and watercourses (Fenn *et al.*, 2008). Another relevant example is related to increased
469 fire risk due the accumulation of highly flammable leaf litter, particularly from exotic grasses, as
470 a consequence of N deposition; above a certain N deposition threshold the probability of a fire to
471 occur increases exponentially, priming the ecosystem for a state change (Rao *et al.*, 2010).

472 Despite the potential prevalence of tipping point-like dynamics in Mediterranean
473 ecosystems in response to air pollution and climate change, we are not aware of any vegetation-
474 based tools available to predict ecosystem thresholds in the Mediterranean Basin context. A
475 notable exception is the work by Berdugo *et al.* (2017), who suggested that changes in the spatial
476 configuration of drylands may be an early-warning indicator of desertification. However, we
477 suggest that if we are to aim for universal indicators of environmental change (i.e., at wide
478 geographical ranges) and to account for the role of the environmental context as a driver (i.e.,
479 across ecosystem types), functional trait-based approaches (e.g., functional diversity and
480 community weighted mean trait values [CWM]) should be preferred over other widely used
481 indicators, including species richness (Jovan & McCune, 2005; Valencia *et al.*, 2015). Functional
482 diversity and CWM are independent of species identity and may be functionally linked to the
483 environmental variable of interest (e.g., oligotrophic species, nitrophytic species, or subordinate
484 species responding to eutrophication, species-specific leaf litter traits, etc.). More research is,
485 however, needed to integrate these concepts (ecological indicators, ecological thresholds and
486 functional diversity) in a meaningful way.

487

488 **Linking functional diversity to the provision of ecosystem services**

489 The universal applicability and ecological relevance of the functional trait diversity concept

490 makes it equally valuable to establish possible connections between global environmental change
491 and the loss of ecosystem services. Ecosystem services that may be impaired by air pollution and
492 climate change and that may be particularly associated with changes in functional diversity
493 include C sequestration, soil fertility and nutrient cycling and pollination, among many others.
494 However, research on the link between functional diversity and ecosystem services is lagging
495 behind in the Mediterranean region where only a few controlled experiments exist (Hector *et al.*,
496 1999; Pérez-Camacho *et al.*, 2012; Tobner *et al.*, 2014; Verheyen *et al.*, 2016), species trait
497 databases are still incomplete (Gachet *et al.*, 2005; Paula *et al.*, 2009), and field surveys along
498 climatic and air pollution gradients are only recently starting to emerge (De Marco *et al.*, 2015;
499 Sicard *et al.*, 2016).

500 The few studies available within the Mediterranean Basin context have shown that N
501 deposition has already induced changes in functional diversity of epiphytic lichens along a NH₃
502 deposition gradient in Mediterranean woodlands, with a drastic increase and decrease of
503 nitrophytic and oligotrophic species, respectively, (Pinho *et al.*, 2011). Similarly, a continuous
504 increase of nitrophytic species (plants, lichens, mosses) has been detected in the Iberian Peninsula
505 for the period 1900-2008 using the Global Biodiversity Information Facility (GBIF) database
506 (Ariño *et al.*, 2011). Increased N availability in nutrient-poor ecosystems like Mediterranean
507 maquis can also alter plant functional composition (e.g., higher proportion of short-lived species
508 in relation to summer semi-deciduous and evergreen sclerophylls), leading to changes in litter
509 amount and quality (e.g. higher proportion of evergreen sclerophyll litter from affected shrubs
510 and a general increase in lignin and N content in litter and a decrease in lignin/N ratio) and
511 microbial community (e.g., reduction in biomass and activity), thus affecting nutrient cycling (an
512 ecosystem function) and, therefore, soil fertility (including soil C accumulation, an ecosystem

513 service) (Dias *et al.*, 2010, 2013, 2014). In another study, Concostrina-Zubiri *et al.* (2016)
514 showed that livestock grazing greatly affected the abundance and functional composition of
515 moss–lichen biocrusts in a Mediterranean agro-silvo-pastoral system, with direct negative
516 consequences on microclimate regulation and other ecosystem processes (CO₂ fixation, habitat
517 provision and soil protection). This also affected the cork-oak regeneration processes, one of the
518 traditional and most economically valuable services in these systems. Given the negative impacts
519 of air pollution on cryptogamic biocrusts, a similar effect of air pollution on the cork-oak
520 regeneration processes mediated by biocrusts might be expected.

521

522 **Common experimental design, data sharing and global networks**

523 The understanding of the ecological impacts of pollution and climate change across the
524 Mediterranean region would improve through co-ordinated efforts and networks, which could
525 take several forms. One possible approach is the use of large-scale regional surveys on existing
526 pollution gradients representative of the current range of pollution loads (e.g., from big cities
527 and/or extensive agricultural areas to their periphery). This approach was successfully used to
528 survey 153 acid grasslands in ten countries across the Atlantic biogeographic zone of Europe
529 (significantly less biodiverse than their Mediterranean counterparts) (Stevens *et al.*, 2010), where
530 each partner surveyed sites in their local area according to an agreed protocol. Other networks
531 have been successful using experimental approaches. For example, the Nutrient Network
532 (NutNet) is a global network of over 90 sites following a common experimental protocol for
533 nutrient addition and grazing (Borer *et al.*, 2014). Similarly, the previously presented NitroMed
534 network, originated within the CAPERmed platform, aims at using the same experimental
535 protocols to integrate results from three comparable experiments in semiarid Mediterranean

536 ecosystems. Other experimental networks have not used common experimental protocols, but
537 through coordinated analyses have added value to individual experiments (Phoenix *et al.*, 2012).
538 Co-ordinated experimental networks (e.g., low-cost N addition experiments) bring many
539 advantages such as the ability to assess the general applicability of results, additional statistical
540 power resulting from well-established and robust statistical methods (e.g., linear mixed effects
541 models, hierarchical Bayesian models, structural equation modelling), and opportunities to
542 explore interactions with other natural and human-caused gradients such as climate, ecosystem
543 and soil type, land use, atmospheric pollution (including O₃ gradients), etc. They can also provide
544 support and collaboration for individual scientists. An inventory of the existing sites with
545 manipulation experiments in the Mediterranean Basin would provide added value to the
546 individual sites through the implementation of common protocols and experiments.

547 In the Mediterranean region, another path to follow may be to build upon existing
548 research and to participate more in already existing large-scale initiatives, in which the
549 Mediterranean research community is not particularly well-represented. For example, interacting
550 with the International Long Term Ecological Research (ILTER) network or with the International
551 Cooperative Programme (ICP), established under the United Nation Economic Commission for
552 Europe (UNECE) “Convention on Long-Range Transboundary Air Pollution” (CLRTAP) that
553 includes several initiatives such as ICP Forest, ICP-Vegetation, and ICP-IM, would facilitate the
554 collection of large-scale spatial and temporal data series. Cooperation with other more specific
555 networks like NitroMed (N deposition), ICOS (C cycle), and GLORIA (Alpine environments)
556 would also help to establish a wider and more collaborative research community focused on air
557 pollution impacts in Mediterranean terrestrial ecosystems.

558 The need of more coordination and investment to better understand the Mediterranean

559 responses to climate change and air pollution has already been acknowledged by several groups
560 of scientists both at the European (e.g. *CAPERmed*) and global scales (e.g. MEDECOS). These
561 groups not only represent suitable arenas to discuss scientific results, but can also provide leading
562 members able to manage the above-mentioned research and networking activities. However, all
563 the above mentioned presented approaches require considerable funding and determined political
564 support to foster the exchange of information and best practices across the entire Mediterranean
565 region and, thus, to promote the development of concrete projects and initiatives. In this context,
566 the European Commission, through funding programs like Horizon 2020, could and should have,
567 in our opinion, a pivotal role in supporting research projects (as it happened with the CIRCE
568 project) and to provide the logistic means for transferring the scientific knowledge to the society.

569 Increasing awareness about the effects of climate change and pollution among
570 stakeholders and society is encouraging the development of several European and Pan-European
571 Programs (e.g. UNECE/ICP, Climate-ADAPT). One important step towards the coordinated
572 action of the Mediterranean-basin countries in relation to Adaptation to climate change was the
573 creation of “The Union for the Mediterranean Climate Change Expert Group” (UfMCCEG), a
574 partnership promoting multilateral cooperation between 43 countries (28 EU Member States and
575 15 Mediterranean countries). These initiatives show that opportunities do exist for countries to
576 make progress. Due to campaigning, and partially because of the considerable losses from
577 extreme weather events in recent years, public awareness in Mediterranean countries about risks
578 associated with climate and air pollution increased. Governments and organisations at the EU
579 level, national and sub-national level, have developed or are in the process of developing
580 adaptation strategies. Therefore, there is an opportunity to make progress by actively engaging
581 actors from all sections of the Mediterranean society.

582 **Conclusions and future directions**

583 The comparatively fewer number of studies on the effects of air pollution and its interactions with
584 climate change on terrestrial ecosystems from the Mediterranean Basin is particularly noteworthy
585 considering the high biodiversity, cultural value, and unique characteristics of this region such as
586 high O₃ levels, dominance of dry deposition over wet deposition, and long dry periods.
587 Therefore, we emphasize the need to urgently implement common and coordinated research and
588 experimental platforms in the Mediterranean region along with wider and more representative
589 environmental monitoring networks. In particular, a robust connection between N deposition
590 monitoring networks and modelling estimates is crucial. Ideally, monitoring and assessment
591 programs should regularly include a set of common biomonitors such as local and/or transplanted
592 cryptogams to identify local pollutant sources and, thus, help refine pollutant deposition maps
593 (physiological indicators) and to provide early warning indication of potential critical thresholds
594 (community shifts). Only by filling these gaps can the scientific community reach a full
595 understanding of the mechanisms underlying the combined effects of air pollution and climate
596 change in the Mediterranean Basin and, consequently, provide the science-based knowledge
597 necessary for the development of sustainable environmental policies and management techniques
598 and the implementation of effective mitigation and adaptation strategies. Finally, CAPERmed, a
599 bottom-up initiative (from the researchers to the institutions), can be the longed-for catalyst that
600 brings the Mediterranean community together and, therefore, represents an excellent opportunity
601 to make all this happen.

602

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611

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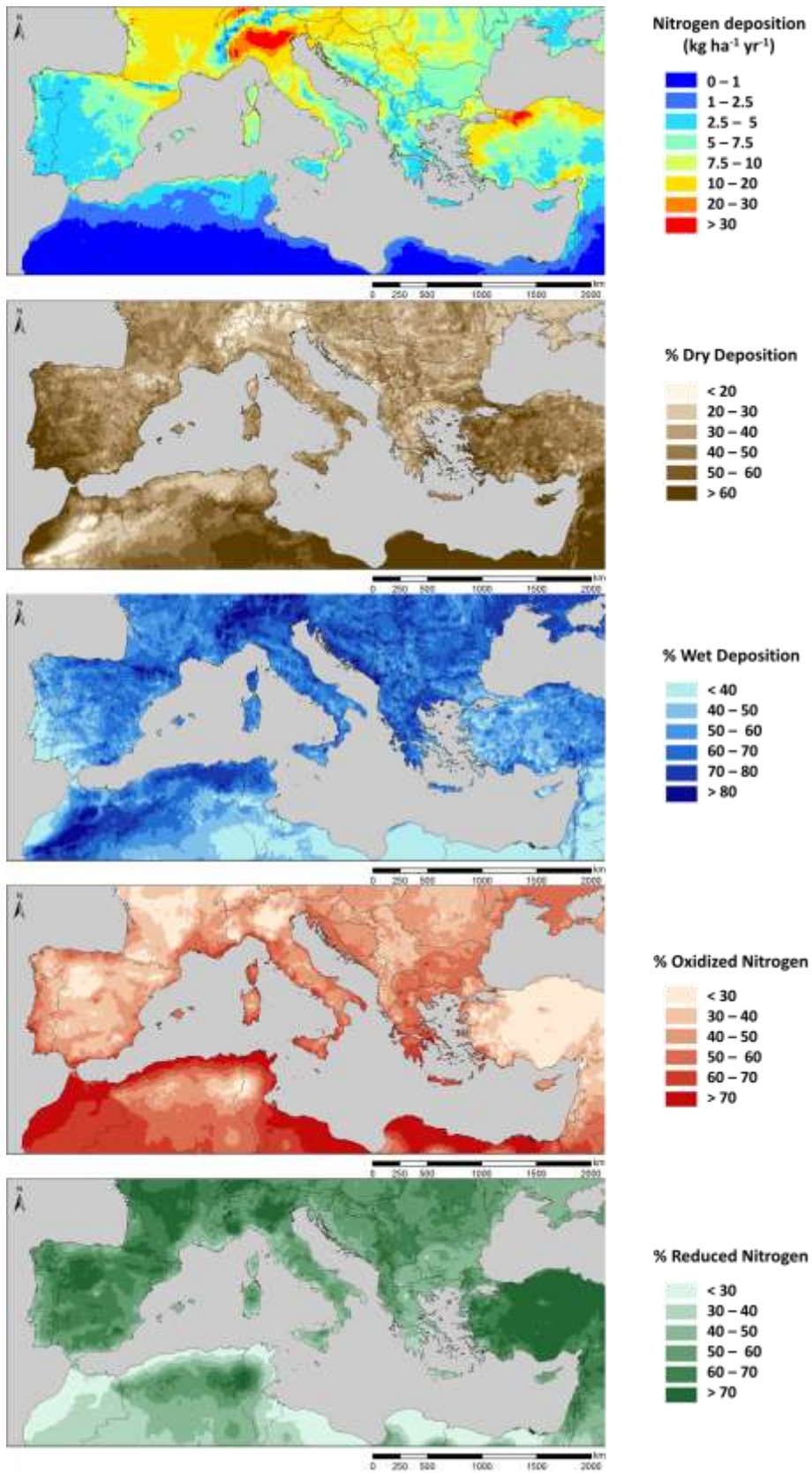
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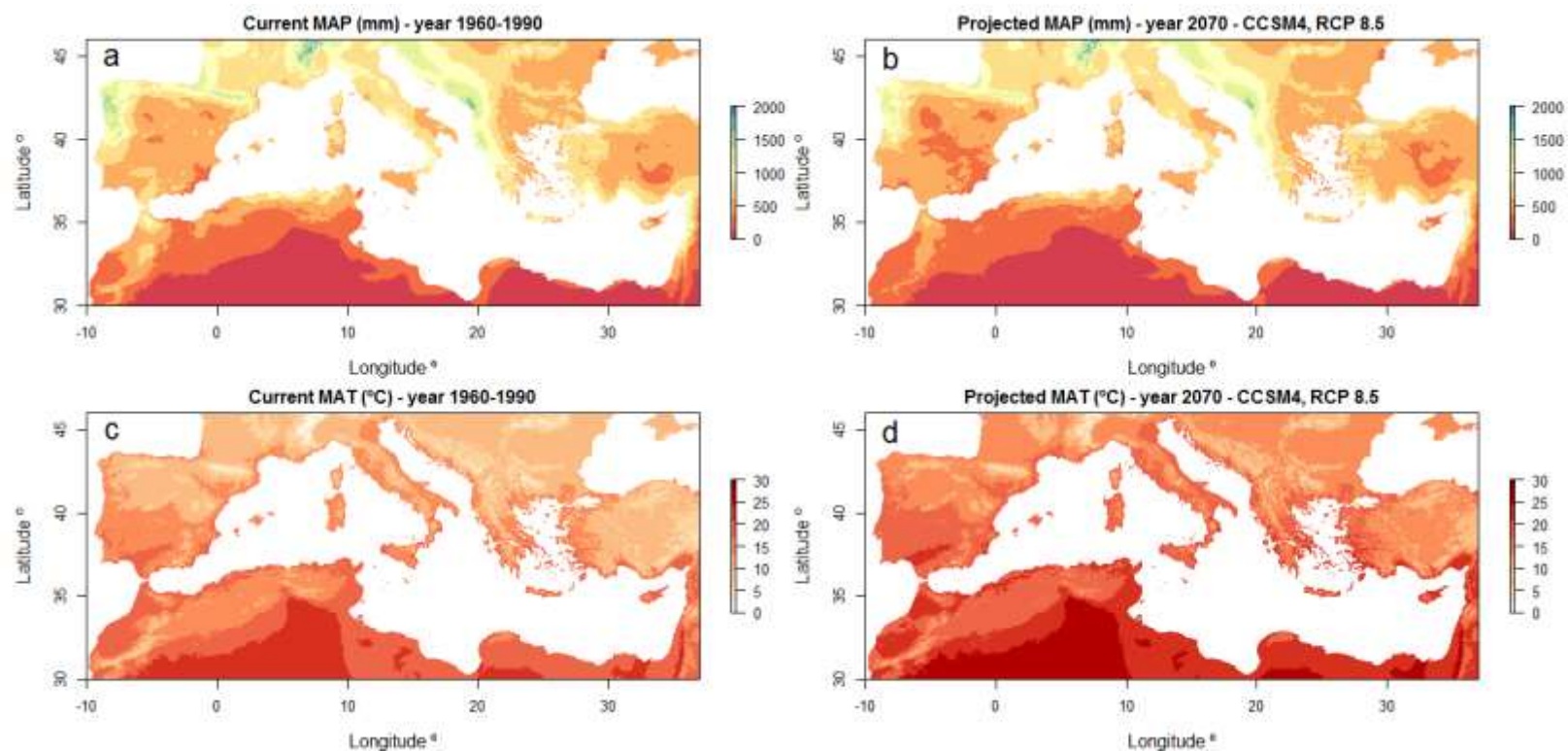
1076 **Figure 1.** Modeled nitrogen deposition for the Mediterranean region based on the European
1077 Monitoring and Evaluation Programme (EMEP) model at 0.1°-0.1° longitude-latitude resolution
1078 (EMEP MSC-W chemical transport model [version rv4.7; www.emep.int]). Modelled N
1079 deposition is based on 2013 emissions data. (a) Total N deposition (oxidized + reduced; dry +
1080 wet), (b) percentage of dry deposition, (c) percentage of wet deposition, (d) percentage of
1081 oxidized deposition and (e) percentage of reduced deposition.



1083 **Figure 2.** (a) Mean annual precipitation (MAP) and (b) temperature (MAT) for the year range between 1960-1990. Projected (c)
1084 MAP and (d) MAT for the year 2070 based on predictions from the CCSM4 model considering the RCP 8.5 (no mitigation of
1085 emissions) IPCC5 scenario. Data obtained from <http://www.worldclim.org/version1> (Hijmans *et al.*, 2005).

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1089 **Figure 3.** Examples of terrestrial ecosystems and experimental facilities set up to investigate the
1090 effects of air pollution and climate change in the Mediterranean Basin (see Supplementary Table
1091 2 for details): a) Companhia das Lezírias, Samora Correia, Portugal; b) Alambre, Serra da
1092 Arrábida, Portugal; c) Herdade da Coitadinha, Barrancos, Portugal; d) Alto de Guarramillas,
1093 Madrid, Spain; e) La Higuera, Toledo, Spain; f) El Regajal, Madrid, Spain; g) Tres Cantos,
1094 Madrid, Spain; h) Capo Caccia, Sardinia, Italy; i) La Castanya, Spain; j) Ozone FACE (Free-Air
1095 Controlled Exposure) facility, Florence, Italy; k) Fontblanche, Provence, France.

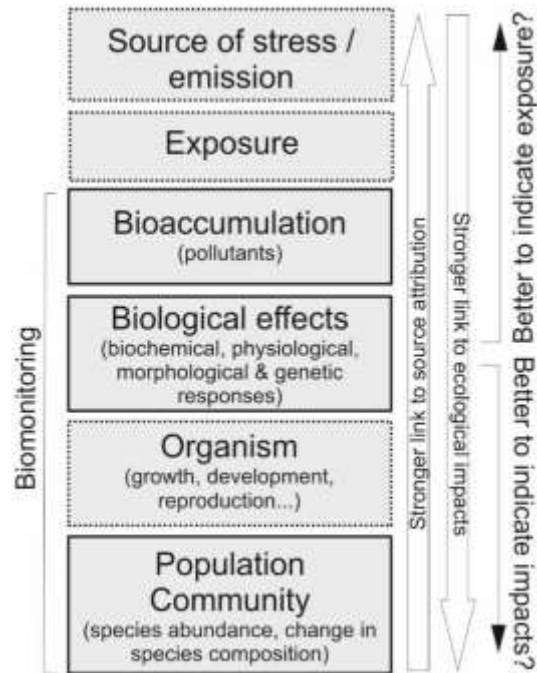


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1098 **Figure 4.** The biomonitoring chain: from the source of stress to ecological impacts.
1099 Measurements closer to the source of stress (e.g. bioaccumulation of pollutants) have a stronger
1100 link to source attribution, provide an account of exposure, and can be seen as an early warning
1101 system for potential impacts. On the other hand, biological effects (biomarkers) and species-
1102 based measurements commonly have a close link to impacts on the ecosystem but can have a
1103 weaker link to source attribution. Dark frame indicates those levels and measurements most
1104 commonly considered in biomonitoring studies.

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