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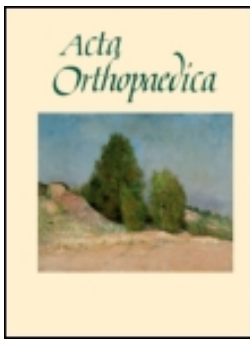
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# High incidence of acute full-thickness rotator cuff tears

## A population-based prospective study in a Swedish Community

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**Background and purpose** — Epidemiological studies of full-thickness rotator cuff tears (FTRCTs) have mainly investigated degenerative lesions. We estimated the population-based incidence of acute FTRCT using a new diagnostic model.

**Patients and methods** — During the period November 2010 through October 2012, we prospectively studied all patients aged 18–75 years with acute onset of pain after shoulder trauma, with limited active abduction, and with normal conventional radiographs. 259 consecutive patients met these inclusion criteria. The patients had a median age of 51 (18–75) years. 65% were males. The patients were divided into 3 groups according to the clinical findings: group I, suspected FTRCT; group II, other specific diagnoses; and group III, sprain. Semi-acute MRI was performed in all patients in group I and in patients in group III who did not recover functionally.

**Results** — We identified 60 patients with FTRCTs. The estimated annual incidence of MRI-verified acute FTRCT was 16 (95% CI: 11–23) per 10<sup>5</sup> inhabitants for the population aged 18–75 years and 25 (CI: 18–36) per 10<sup>5</sup> inhabitants for the population aged 40–75 years. The prevalence of acute FTRCT in the study group was 60/259 (23%, CI: 18–28). The tears were usually large and affected more than 1 tendon in 36 of these 60 patients. The subscapularis was involved in 38 of the 60 patients.

**Interpretation** — Acute FTRCTs are common shoulder injuries, especially in men. They are usually large and often involve the subscapularis tendon.

acute soft-tissue injury of the shoulder (Sorensen et al. 2007). That study found a prevalence of 32% of full-thickness rotator cuff tears (FTRCTs) in patients who were unable to abduct their arm above 90 degrees following an acute trauma of the shoulder.

Most rotator cuff tears are considered to be degenerative in nature (Codman 1990, Fukuda 2000, Perry et al. 2009, Benson et al. 2010, Duquin et al. 2010, Oh et al. 2010). Differentiation between an acute traumatic tear in a previously healthy tendon, acute symptoms of a chronic tear, and traumatic extension of a chronic tear is difficult, even after advanced imaging techniques or surgery. Physical examination of the shoulder joint in the acute setting is difficult, and lacks accuracy (Bak et al. 2010). Specific diagnostic tests have been developed for rotator cuff tears. However, none of these tests have been developed for acute tears.

We started the Acute Shoulder Assessment Project (ASAP) in 2008. This is a screening system with physiotherapists (PTs) being the first-line practitioners who diagnose traumatic soft-tissue injuries of the shoulder in patients with limited abduction and with normal conventional radiographs. Physiotherapists are competent healthcare providers (Razmjou et al. 2013) who are more available than shoulder surgeons. To our knowledge, the incidence of acute FTRCT has never been studied before. We estimated the population-based incidence of acute FTRCT using this new diagnostic screening model.

### Patients and methods

Helsingborg Hospital is located in southern Sweden, with a catchment area/urban dominance area covering 268,000 inhabitants. All general practitioners and PTs in this area received written information about the study. From Novem-

The epidemiology of degenerative rotator cuff tears has been studied for decades (Codman and Akerson 1931, Codman 1990, Yamamoto et al. 2010, Lungo et al. 2012), but little attention has been given to acute ruptures. To our knowledge, there has only been 1 prospective epidemiological study on

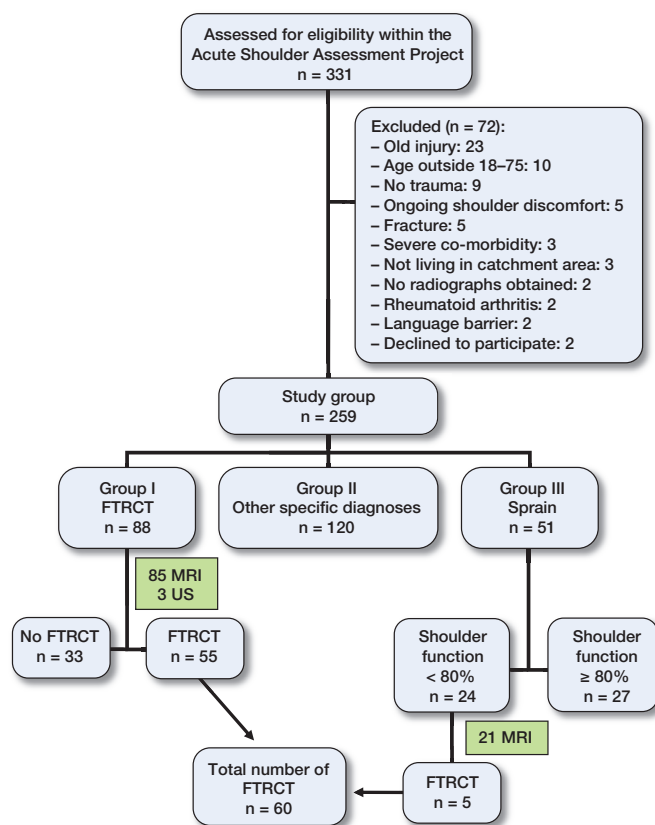


Figure 1. The study protocol.

ber 2010 through October 2012, 331 consecutive patients with trauma to the shoulder, with acute onset of shoulder pain and with limitations in active abduction, were enrolled from the Emergency Department or local primary care units in our catchment area after an initial physical examination and normal conventional radiographs. Only patients aged 18–75 years were included. Hill-Sachs impression fractures or bony Bankart lesions were not regarded as reasons for exclusion. Patients with severe comorbidity, previous shoulder surgery, glenohumeral osteoarthritis, or rheumatoid arthritis were excluded. The patients underwent physical examination by a PT within 10 days of the initial clinical assessment.

We defined acute rotator cuff tears as tears that occurred after direct or indirect trauma to the shoulder with sudden onset of symptoms in patients without ongoing shoulder disability. The study variables included age, sex, hand dominance, activity and mechanism of injury, previous shoulder discomfort, presence of ecchymosis, deformity or hypotrophy, and passive and active range of motion measured by use of a standard goniometer with the patient sitting. Several physical diagnostic rotator cuff tests were performed.

The patients were divided into 3 groups according to their clinical presentation (Figure 1). Group I comprised 88 patients with positive rotator cuff tests and suspected FTRCT (FTRCT group). MRI was performed within 2 weeks in this group.

Group II included 120 patients with other specific shoulder pathologies to explain their pain and disability, including AC joint sprain, calcifying tendinitis, adhesive capsulitis, brachial plexus traction, and shoulder instability. Group III included 51 patients with subtle reduction of active range of motion due to suspected partial tearing of the rotator cuff or a bursal bleeding. These patients were followed up with telephone interview by KEA 3 months after trauma. A clinical examination by a shoulder surgeon was undertaken if the patient rated his or her shoulder function to be less than 80% of their pre-injury level. MRI was performed if rotator cuff tear could not be ruled out at the physical examination. Patients who rated their function to be  $\geq 80\%$  were assumed not to have an FTRCT but were encouraged to return to be re-evaluated if there was not full recovery.

### Population at risk

The population at risk in this healthcare region was determined through the National Population Registry, Statistics Sweden ([www.scb.se/en/](http://www.scb.se/en/)). Studies investigating acute traumatic FTRCTs have shown that these tears are rare in patients less than 40 years of age (Bassett and Cofield 1983, Ide et al. 2007, Namdari et al. 2008, Bjornsson et al. 2011, Petersen and Murphy 2011). Thus, we defined the population at risk as inhabitants aged between 40 and 75 years who lived in the catchment area of Helsingborg Hospital in 2011. In 2011, the population at risk was 118,302 inhabitants.

### Magnetic resonance imaging

All MRI examinations were performed on a 1.5 T scanner (Siemens Medical Systems, Erlangen, Germany). A dedicated shoulder array coil was used. The arm was placed at the side of the body with the thumb pointing upwards. The following 7 sequences, all with a slice thickness of 3–4 mm, a 16-cm field of view (FOV), and 1 as the number of excitations (NEX) were obtained: (1) oblique sagittal T2-weighted turbo spin echo (TSE) (TR/TE = 4,390/80 ms, matrix 179 × 256); (2) oblique coronal T1-weighted (TR/TE = 465/14 ms, matrix 410 × 512); (3) oblique coronal short tau inversion recovery (STIR) (TR/TE = 4,720/27 ms, matrix 410 × 512); (4) oblique coronal proton density-weighted TSE with fat saturation (TR/TE = 3,100/13 ms, matrix 512 × 512); (5) oblique coronal proton density-weighted TSE with fat saturation (TR/TE = 2,890/94 ms, matrix 512 × 512); (6) axial proton density-weighted TSE with fat saturation (TR/TE = 3,530/13 ms, matrix 512 × 512); and (7) axial proton density-weighted TSE with fat saturation (TR/TE = 3,530/94 ms, matrix 512 × 512).

All MRI scans were read by a senior radiologist with more than 10 years' experience of MRI shoulder examinations. An FTRCT was defined as a discontinuity in the tendon or increased signal on T2-weighted images, isotense compared with fluid, extending from the articular to the bursal side of the tendon (Ianotti et al. 1991). The tear size in the sagittal plane (tendons involved) was determined in the oblique sagittal plane according to the classification of Thomazeau et al. (1997).

Table 1. Diagnoses in group I who did not have acute full-thickness rotator cuff tear (n = 33)

MRI diagnosis	n	Median age (range)
Fracture of greater tubercle	8	56 (35–75)
Bone marrow edema after dislocation	6	61 (47–69)
Tendinosis	6	60 (47–67)
Partial-thickness rotator cuff tear	5	60 (45–71)
Fracture of surgical neck	3	69 (56–71)
Bone marrow edema	2	45 (41–49)
Calcifying tendinitis	1	55 (55–55)
Bursal bleeding	1	47 (47–47)
No pathology	1	41 (41–41)
Total	33	56 (35–75)

Table 2. Diagnoses in group II (other specific diagnoses) (n = 120)

Diagnosis	n	Median age (range)
Acromioclavicular joint sprain	60	35 (18–70)
Glenohumeral dislocation	25	47 (29–71)
Contusion	17	35 (19–66)
Calcifying tendinitis	5	51 (44–62)
Brachial plexus traction	3	41 (34–52)
Thoracic contusion	3	51 (32–69)
Pectoralis major tear	2	36 (29–44)
Neck distortion	2	21 (20–22)
Initially missed fracture	2	51 (31–71)
Frozen shoulder	1	53
Total	120	39 (18–71)

### Statistics

Data are presented as median (range) for continuous or ordinal data and as number (%) for categorical data. The annual incidence of FTRCT was calculated using the total number of FTRCTs divided by 2 (the 2-year duration of the study) divided by the number of individuals in the same age group (18–75 years) living in northwestern Skåne (189,370 individuals). For the population at risk (age group 40–75 years), the denominator in the calculation was 118,302.

The 95% confidence interval (CI) of percentages was calculated using the Diagnostic Test Evaluation Calculator of the free-access Interactive Statistical Pages website. CI of the annual incidence was calculated using a web-based CI calculator (single incidence rate; Centre for Clinical Research and Biostatistics, the Chinese University of Hong Kong).

### Ethics

This study was approved by the Regional Ethical Review Board in Lund (registration number 2011/119).

### Results

The PT examined 331 patients (60% men) at a median time of 14 (10–40) days after trauma. 72 patients were excluded because they did not meet the inclusion criteria or declined to participate (Figure 1). 259 participants made up the study group (65% men); median age was 51 (18–75) years. 88 participants with suspected FTRCT were diagnosed by MRI (n = 85) or ultrasound (n = 3, used because of claustrophobia). Patients with MRI-verified FTRCT were recommended to have arthroscopic rotator cuff repair. 47 of the 55 FTRCTs in group I underwent surgery at a median time of 28 (20–48) days after the trauma. All 47 patients had arthroscopy-verified FTRCTs with acute appearance. 8 patients declined surgery. The remaining 33 patients in group I had other lesions (Table 1).

Group II (other specific diagnoses) comprised 120 patients (Table 2).

Group III (sprain) comprised 51 patients. 20 of them rated their shoulder function to be greater than 80%, and they were assumed not to have FTRCT. 7 patients could not be reached by telephone, were contacted by mail, and were encouraged to return for an update clinical examination if they still felt shoulder discomfort. None of them returned during the first 16 months after enrollment in the study, and they were considered not to have FTRCT. 24 patients rated their shoulder function to be less than 80% and were examined by a shoulder surgeon. MRI was performed in all but 3 patients; 5 patients were diagnosed with FTRCT.

The estimated population-based incidence of acute FTRCT was 16 (CI: 11–23) per 10<sup>5</sup> inhabitants for the age group 18–75 years. The annual incidence of FTRCT for the population at risk (aged 40–75 years) was 25 (CI: 18–36) per 10<sup>5</sup> inhabitants. The prevalence of acute FTRCT in the study group was 60/259 (23%, CI: 18–28).

The most common lesion was a combined subscapularis and supraspinatus tendon tear, followed by an isolated subscapularis tear (Table 3). The subscapularis tendon was involved in 38 of 60 of the rotator cuff tears (63%, CI: 52–76). 36 of the 60 patients (60%, CI: 48–72) had a FTRCT that involved 2 or more tendons.

The injury mechanism included fall from the same level (63%) followed by fall from a height (20%) and no fall (17%). 21% were sports-related injuries, which were mainly caused by skiing. Of the 259 participants, 54% suffered from direct trauma to the shoulder, 30% from indirect trauma, 5% from combined trauma, and 11% were unknown. The same pattern was also seen in the FTRCT patients. 30 patients had direct trauma, 19 had indirect trauma, and 3 had combined trauma. The dominant side was affected in 40 of the 60 FTRCTs.

Occult fractures were more common in younger patients, while FTRCTs were more common in older patients. The majority of those who had FTRCTs were males (n = 49, 82%) (Figure 2).



Table 3. Distribution of the configurations of all MRI-verified full-thickness rotator cuff tears

Tear configuration	n	Median age (range)	No. of females
Single-tendon tears:	25	57 (45–73)	6
Subscapularis	13	57 (47–68)	3
Supraspinatus	11	56 (45–73)	3
Infraspinatus	1	50	0
2-tendon tears:	23	62 (43–74)	3
Subscapularis, supraspinatus	14	63 (43–71)	2
Supraspinatus, infraspinatus	9	61 (46–74)	1
3-tendon tears:	11	61 (45–75)	1
Subscapularis, supraspinatus, infraspinatus	11	61 (45–75)	1
4-tendon tears:	1	45	0
Subscapularis, supraspinatus, infraspinatus, teres minor	1	45	0
Total	60	60 (43–75)	10

## Discussion

To our knowledge, this is the first report in the literature to define a population-based incidence of acute FTRCTs. We found that acute FTRCTs were common in the general population, with an annual incidence of 25 per 10<sup>5</sup> of the population at risk. A medium-sized hospital such as ours, with a catchment area of about one-quarter of a million, may diagnose 30 acute FTRCTs annually where surgical intervention is indicated. Sorensen et al. (2007) described a prevalence of 32% in their study population, which contrasts with the 23% found in the present study. The wider inclusion criteria in our study may explain the lower prevalence in our cohort. If we had excluded group II (other specific diagnoses) from our study, the prevalence would have increased to 43%.

The predominance of males in the FTRCT group (82%), compared to 65% in the study cohort, raises many questions. Firstly, this skewed distribution has not been seen with degenerative tears, where there has been an equal male-to-female ratio (Yamaguchi et al. 2006, Yamamoto et al. 2010). Even so, this finding is not new. A systematic review has shown that 77% of those with acute traumatic FTRCTs were males (Mall et al. 2013). Other tendon ruptures show similar male predominance. In a recent study, Vosseller et al. (2013) found a male predominance of 84% in patients with Achilles tendon tears. Distal biceps tears are even more rare in the female population (Safran and Graham 2002). This cannot only be explained by the majority of traumas occurring in males. Sex-related differences in muscle strength and tendon quality, injury mechanism, and physical constitution may be other causal factors.

There is consensus concerning the association between advancing age and increasing prevalence of rotator cuff tears. In an MRI study investigating asymptomatic individuals, Sher et al. (1995) found a prevalence of FTRCT of 28% in their study population over 60 years and a 4% prevalence in indi-

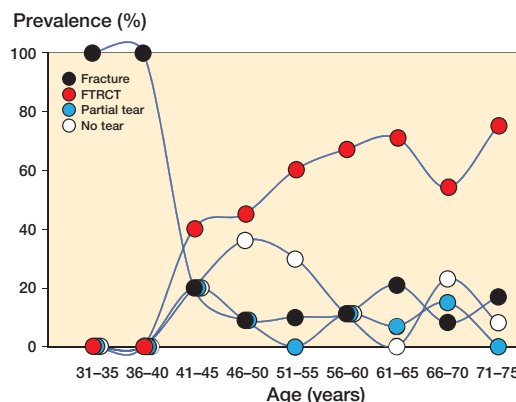


Figure 2. Prevalence of MRI-verified lesions related to patient age.

viduals aged 60 years or younger. In a natural history study, Yamamoto et al. (2010) found an FTRCT prevalence of 21%, which increased to 45% in patients older than 70 years. The median age of the patients with FTRCTs in our study was 60 years, which is similar to that in the studies by Bjornsson et al. (2011) and Ide et al. (2007), who also investigated acute tears following shoulder trauma.

The size and location of rotator cuff tears differ in degenerative and acute traumatic tears (Mall et al. 2013). The MOON (Multicenter Orthopedic Outcomes Network) Shoulder Group (Harris et al. 2012) reported a single-tendon rate of 71% (supraspinatus). In contrast, we found larger tears and single-tendon tears in only 42% of our patients. The MOON Shoulder Group also reported a 2% rate for subscapularis involvement (compared with 63% in our study). This supports the finding that acute tears are usually larger than degenerative tears, and are more likely to involve the subscapularis tendon.

The difficulty of and the controversy concerning the definition of acute rotator cuff tears may explain our limited knowledge in this topic. Like other authors (Lahteenmaki et al. 2006, Bjornsson et al. 2011, Hantes et al. 2011, Petersen and Murphy 2011), we have defined acute tears as tears that (after a shoulder trauma) cause sudden onset of symptoms such as acute pain and limitation of active forward elevation or abduction in a patient with no ongoing shoulder disability. From different natural history studies, the reported prevalence of asymptomatic rotator cuff tears has varied from 6% to 34% with increasing age (Moosmayer et al. 2010). As these patients are asymptomatic, they would fit into the acute tear definition. Thus, some of our patients may have had a lesion that could not be diagnosed without pre-injury MRI. However, during surgery, all of the tears appeared to be acute.

The present study had other limitations. Firstly, we did not obtain MRI scans from all the patients, as the MRI resources at our hospital are limited. Furthermore, it is possible that the PT falsely classified some patients as having healthy tendons, which would have reduced the incidence calculated. Finally,

we only studied those who sought medical advice. It is possible that there were patients with acute rotator cuff tears who did not ask for medical advice, and such patients would not have been included in the present study.

In summary, we found that acute FTRCT is common in the male population following simple falls, with an annual incidence of 25 per 10<sup>5</sup> of the population at risk. These tears are usually large, and involve the subscapularis tendon in almost two-thirds of cases.

KEA participated in the design of the study, prepared databases, carried out the calculations, and wrote the first draft. FA contributed to the statistical analysis and revision of the manuscript. KL supervised the study, participated in the design, and helped to draft the manuscript. All the authors read and approved the final version of the manuscript.

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No competing interests declared.

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