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Introduction to isothermal calorimetry

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2009

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Citation for published version (APA):

Wadsö, L. (2009). *Introduction to isothermal calorimetry*. (Report TVBM (Intern 7000-rapport); Vol. 7197). Division of Building Materials, LTH, Lund University.

Total number of authors:

1

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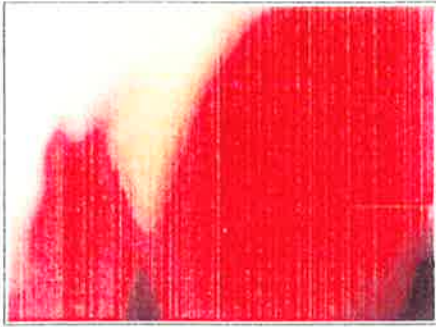
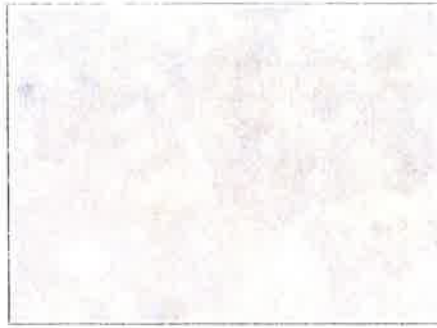
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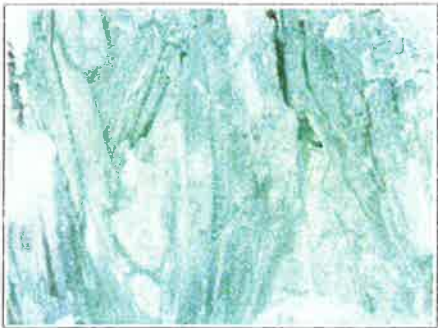
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Introduction to Isothermal Calorimetry

Lars Wadsö
Lund University
Sweden



1. Course schedule
2. Introduction and some examples
3. Heat conduction calorimetry I
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mold fungi sorption isotherms curing of adhesives
 critical water activities vaporization enthalpies human
 cells seed germination compatibility polymorphism
 polymer reactions solution calorimetry cement
 hydration vapor activity stability of explosives
 crystallinity immersion yeast cells metal corrosion
 titration sorption enthalpies ligand binding
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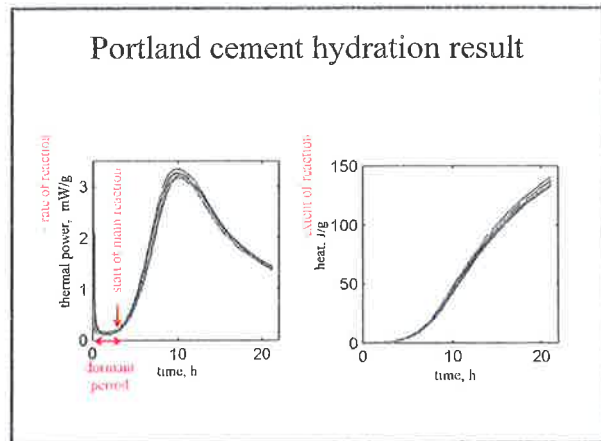
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Lund Isothermal Calorimetry Group

LICG

Instruments and methods
 Measurements
 Teaching

Cement hydration example



Isothermal calorimetry is the measurement of thermal power (heat production rate) under constant temperature conditions.

This is a very general technique because almost all processes (physical, chemical, biological) produce heat.

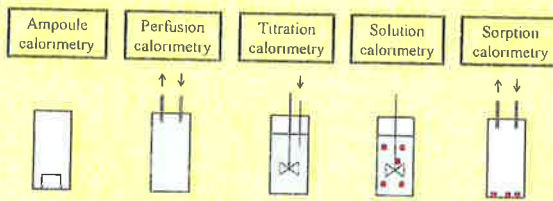
Since nearly all processes produce heat, it is important to design isothermal calorimetry experiments so that only heat from the process under investigation is measured.

Different ways to systematize isothermal calorimetry

According to method: for example, ampoule and titration calorimetry

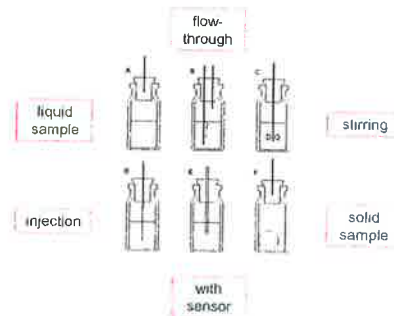
According to field of use: for example, cement and biological calorimetry

Examples of methods in isothermal calorimetry

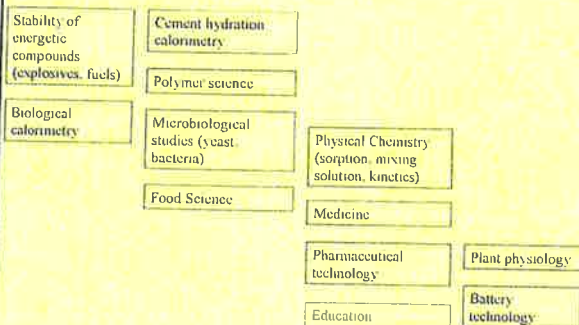


All these methods (and many more) can be practiced in the same calorimeter; only the ampoules (vessels) change.

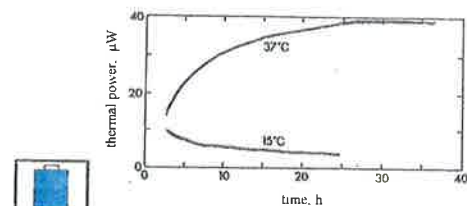
Example: use of glass ampoules (student experiments)



Examples of fields where isothermal calorimetry is used



Battery self-discharge

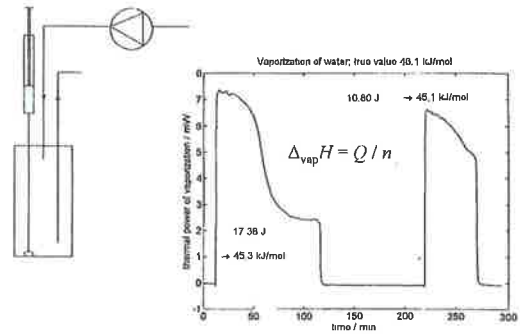


I. Wadsö

Why isothermal calorimetry?

1. General method
2. Often sensitive
3. Monitors processes
4. Non-destructive
5. Thermodynamics
6. Manipulate sample

Solvent vaporization enthalpy (student experiment)



For a certain application there are often many methods to from which to choose
It is important to choose the *best* method (cost, convenience, result. .)

Mold activity as a function of relative humidity

Methods available from which to choose:

- spore production
- visual inspection (hyphal elongation, area)
- mass change
- toxin production
- ATP-concentration
- ergosterol content
- chitin content
- toxin production
- heat production rate (isothermal calorimetry) ←

Problem: measure the activity of a fungi as a function of relative humidity (water activity)

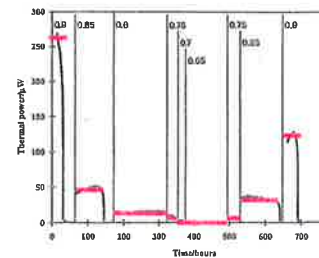


Fig. 3. Thermal power measured for a bread sample half covered with *Penicillium* mold as a function of a_w (shown in the top of the figure). At the breaks in the curve no measurements were made as the sample was dead in the humidity generator.

Markova and Wadso (1998) Int Biodeterioration Biodegradation 42 25-28

Mold activity as a function of relative humidity

Methods available from which to choose

- spore production
- visual inspection (hyphal elongation, area)
- mass change
- toxin production
- ATP-concentration
- ergosterol content
- chitin content
- toxin production

heat production rate (isothermal calorimetry) ←

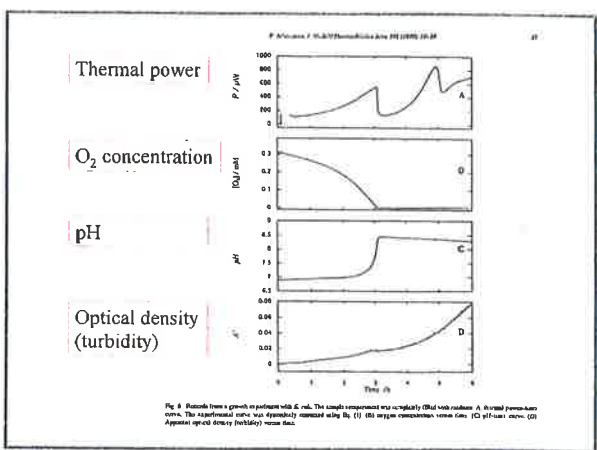
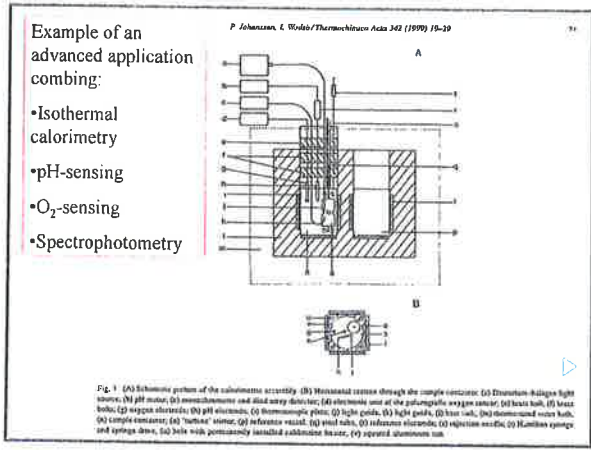
Expose to different climates and measure result after exposure

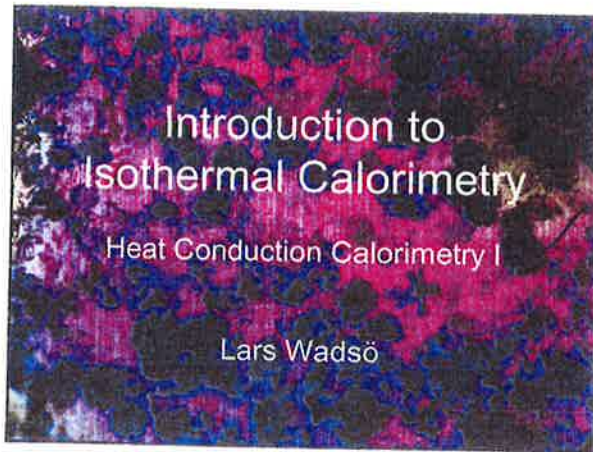
Continuously measure activity/response during measurement

Manipulate sample in calorimeter

Combine with other techniques

- Flow-through (perfuse) with a liquid or gas (dry or mixed with a liquid vapor)
- Inject a gas, liquid or solid
- Analyze gas or liquid leaving sample
- Stir (gases, liquids)
- Introduce light
- Combine with other sensors (pH, O₂, CO₂, RH. .)





Definitions

Isothermal calorimetry Measurements of heat and thermal power at (essentially) constant temperature conditions

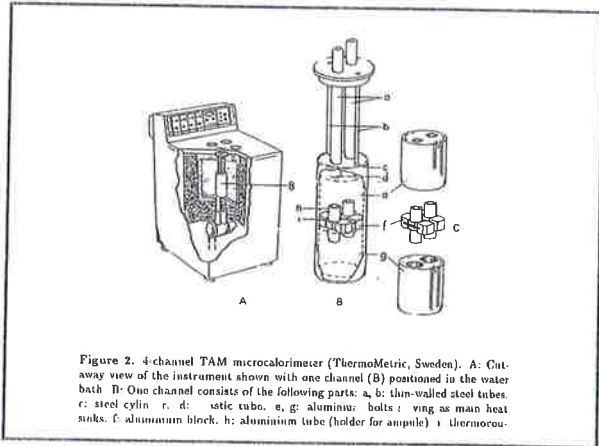
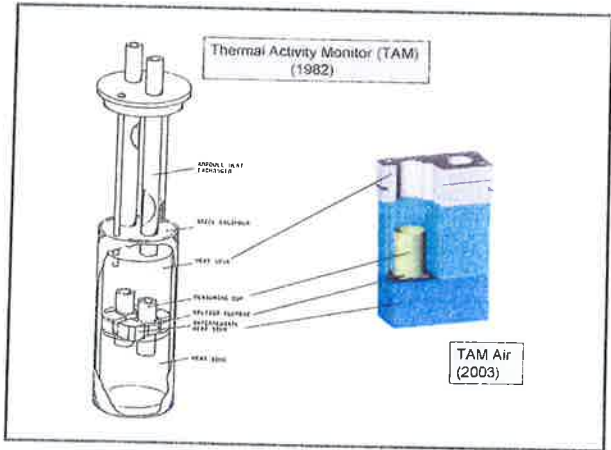
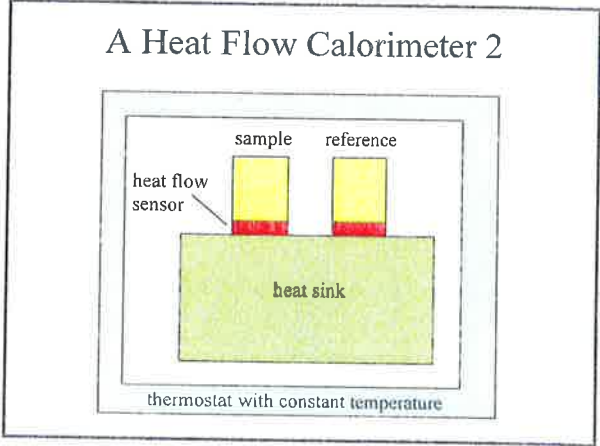
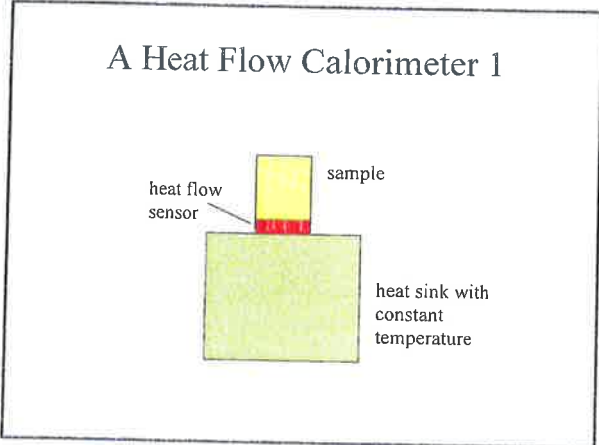
Heat conduction calorimetry The most common measurement principle for isothermal calorimetry

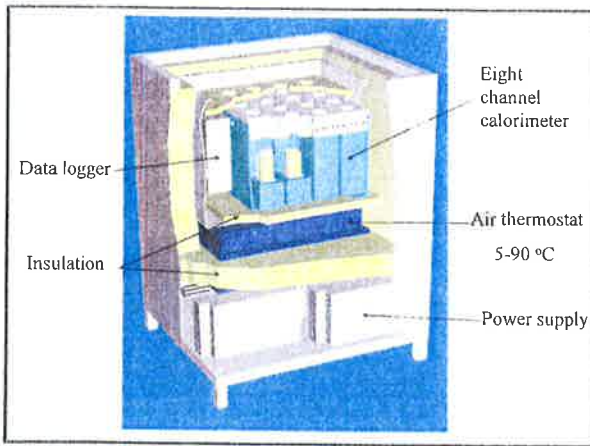
This course is called "Introduction to Isothermal Calorimetry" and is a course on the theory and use of heat conduction calorimeters

Heat "A form of energy present in all matter as kinetic energy of the atoms"
 "Energy transferred from a hotter to a cooler body due to a temperature gradient"

The SI unit of heat (and all other forms of energy) is the joule (J). The calorie (cal) is a non-SI unit of energy/heat. 1 cal = 4.18 J

Thermal power, heat production rate, heat flow rate, heat flow all have the SI-unit watt (W). 1 W = 1 J/s. In this course we will use the term "thermal power"





Heat flow sensors are usually thermocouple plates

What is a thermocouple?

$T_1 = T_2 \Leftrightarrow \text{Voltage} = 0$
 $T_1 \neq T_2 \Leftrightarrow \text{Voltage} \neq 0$

Peltier effect
voltage applied \Rightarrow temperature difference

Seebeck effect
temperature difference applied \Rightarrow voltage

Who invented the thermocouple?

The Danish scientist **Hans Christian Ørsted** (1777-1851), who already in 1820 had proved that electrical fields influenced magnetic needles, followed Seebeck's work with interest and named the phenomenon the thermoelectric effect.

Jean Peltier (1785-1845) a French watch maker discovered in 1834 that a current through two dissimilar conductors generates heat at the junction of the two materials.

Thomas Seebeck (1770-1831) This Estonian born German scientist found in 1821 that a circuit made of two dissimilar metals with junctions at different temperatures deflected a compass magnet. He believed this was due to magnetism induced by the difference in temperature. He called the phenomenon thermomagnetism and believed that the earth's magnetic field was generated by temperature differences between the cold poles and the warm equator.

In 1838, **Emil Leitz** in St. Petersburg first froze and later melted a drop of water placed on a thermocouple junction by reversing the current.

William Thompson (Lord Kelvin) described the interrelationship of the Seebeck and Peltier effects around 1860.

It was not until the 20th century that the thermoelectric effect found practical applications for example through the work of Russian physicist **Abram Ioffe** (1880-1960).

Applications of Thermocouples

To measure temperature with TC
Type R, T, J for example
(T2 measured inside, cold junction)

A thermocouple thermometer

To cool/heat with thermocouple plates (TEC=Thermoelectric Cooler)
Electronics in telecom, medical labs, aerospace, consumer products

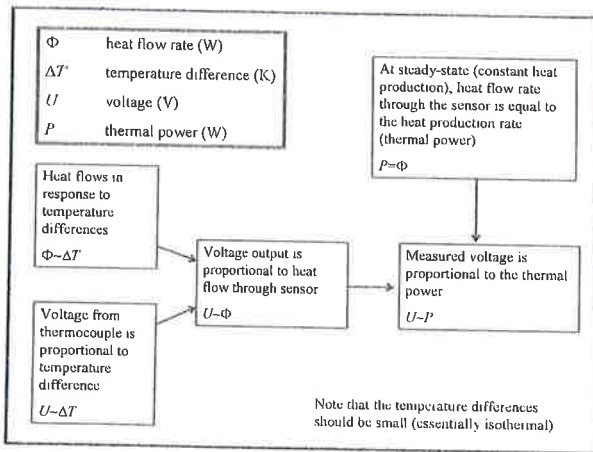
A Boeing 777 cockpit instrumentation system cooled with TEC's (www.marlow.com)

To measure heat flow
Heat flow meters, heat flow calorimeters

A portable heat flow meter (www.esscientific.com)

In thermoelectric generators
Used to convert heat from radioactive decay to electricity in space crafts (Apollo, Pioneer, Viking etc)

Heat flow sensors are usually made as thermocouple plates (Peltier cooling plates) containing many semiconductor thermocouples made of n- and p-doped bismuth-telluride (BiTe).



Four simultaneous processes

1. Heat is produced in the sample...
2. ...and a temperature difference develops across the heat flow sensor...
3. ...which results in a voltage output...
4. ...while heat flows out to the heat sink.

The reference

The reference is a sample with thermal properties similar to the sample, except that it does not produce any heat.

The measured signal is the difference between the sample-signal and the reference-signal.

Why use a reference?

1. Less noise from external disturbances
2. Measurement response is faster

Nomenclature

t	time	s
P	thermal power	W
Q	heat	J
U	voltage	V
ϵ	calibration coefficient	W/V
τ	time constant	s

At steady-state (constant thermal power):

$$P = \epsilon \cdot U$$

calibration coefficient

At unsteady-state (thermal power is changing):

$$P = \epsilon (U + \tau \cdot dU/dt)$$

time constant

At steady-state (constant thermal power):

$$P = \epsilon \cdot U$$

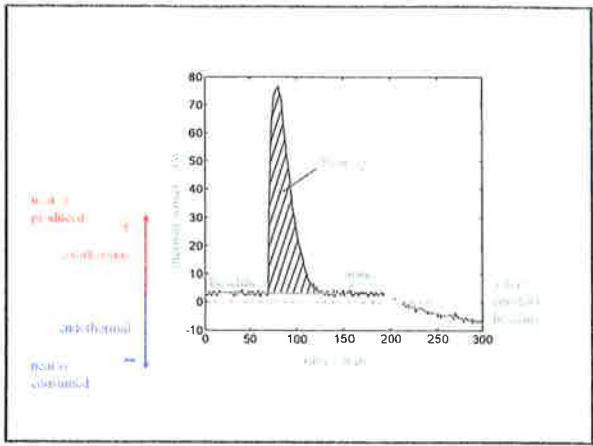
calibration coefficient

At unsteady-state (thermal power is changing):

$$P = \epsilon (U + \tau \cdot dU/dt)$$

time constant

Correction for time-lag of calorimeter (Tian equation)



Baselines

The ideal baseline is zero!

Temperature of a constant baseline is equal to the initial temperature.

Measuring baselines is a complex task because of the problem.

Note that one should always judge a baseline in relation to the measurement being made (duration of measurement, thermal power, acceptable spread in results etc.)

Baselines

Case 1 Measurement of microbial activity in carrot juice. High thermal power and a large spread in sample properties makes baseline correction unnecessary.

Case 2 Seven days measurement on heat of cement hydration made by integrating results over seven days of measurement. A constant and correctly known baseline is very important.

Case 3 Fast titration with one injection every 10 minutes and total experiment time of three hours. Each peak is evaluated separately with baselines before and after that peak, and is not dependent on long-term behavior of baseline.

Tian correction

The measured signal is delayed with respect to the thermal power of the sample, and will therefore lag behind when thermal power changes (thermal inertia).

The Tian correction gives the thermal power from the delayed curve:

$$P = \epsilon (U + \tau \cdot dU/dt)$$

Electrical calibration pulse for eight minutes

Note: correction was not perfect because the Tian model is not perfect (but it is good enough for most purposes)

SUMMARY

Make a measurement

- 1 Baseline correction $U(t) = U_{meas}(t) - U_{BL}$
- 2 Apply calibration coefficient $P(t) = \epsilon \cdot U(t)$
- 3 Apply Tian correction (if needed) $P_{corr} = P_{uncorr} + \tau \cdot dP_{uncorr}/dt$
- 4 Evaluate thermal powers and heats of interest

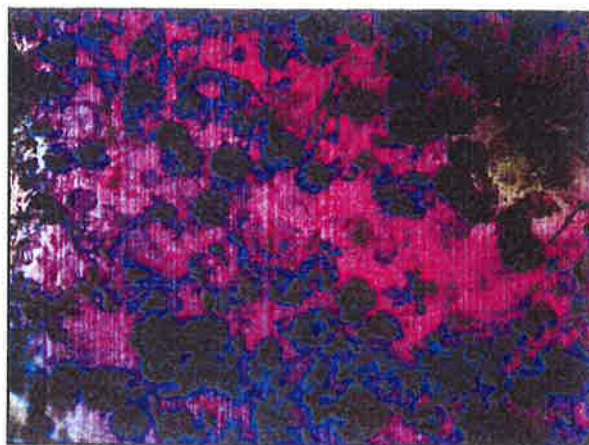
Note that many commercial instrument make the above corrections automatically. However, it is always a good idea to know what the instrument and software are doing!

Manufacturers of isothermal calorimeters

Microcal (USA, www.microcalorimetry.com)

Setaram (France, www.setaram.com)

TA Instruments (USA, www.tainstruments.com)
(includes former CSC and Thermometric)



Introduction to Isothermal Calorimetry

Cement Hydration Calorimetry

Lars Wadsö

Cement - a reactive powder

Cement paste - cement mixed with water

Mortar - cement paste with sand

Concrete - cement paste with large aggregate (rock)

Important concept:

water/cement-ratio =
mass of water / mass of cement

Low water/cement-ratio gives high quality: high strength and low permeability

w/c=0.6 normal concrete
w/c=0.35 high performance concrete
(w/c>1 sometimes used in the lab)

Additives (admixtures) used in concrete:

Water reducing agents
Plasticizers
Retarders
Accelerators
Corrosion inhibitors
Air entrainment agents*

* air pores of a certain size increases frost resistance

Current trends

- Decrease Environmental Impact
- High Performance Concrete
- Self-Compacting Concrete
- Self-Desiccating Concrete
- New Filler Materials
- Waste Materials Included

Major chemical compounds formed in the cement kiln:

C_3S C_2S C_3A C_6A_2F/C_6AF_2

Complex reaction with water results in formation of a solid cement paste:

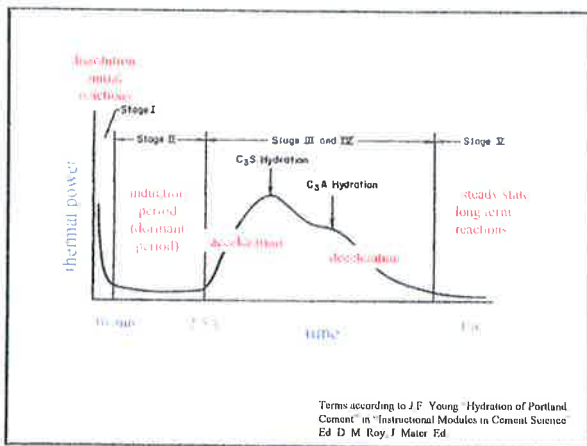
$$[C_3S, C_2S, C_3A, C_6A_2F/C_6AF_2] + H_2O \rightarrow C_xS_mH_n + CaOH + \dots$$

Note the cement chemist's shorthand notations

CaO = C SiO₂ = S Al₂O₃ = A
Fe₂O₃ = F H₂O = H

Schematic picture of the setting and hardening process.

Taylor, H F W "Portland cement hydration products" in "Instructional Modules in Cement Science" Ed D M Roy, J Mater, Educ

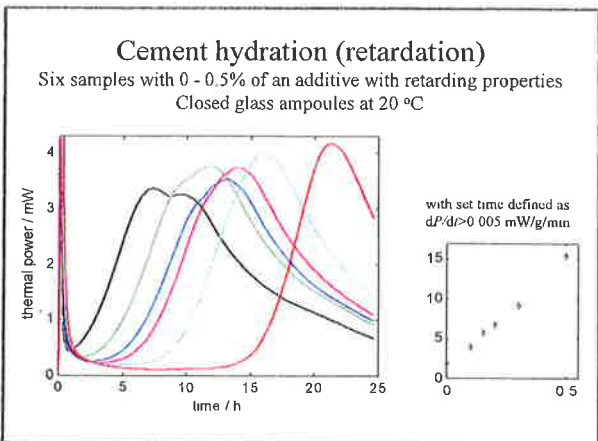
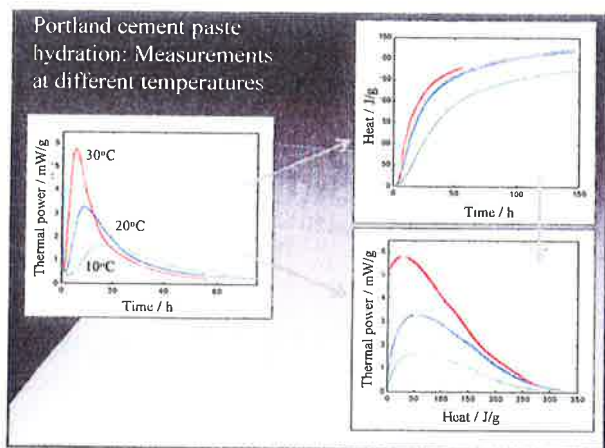
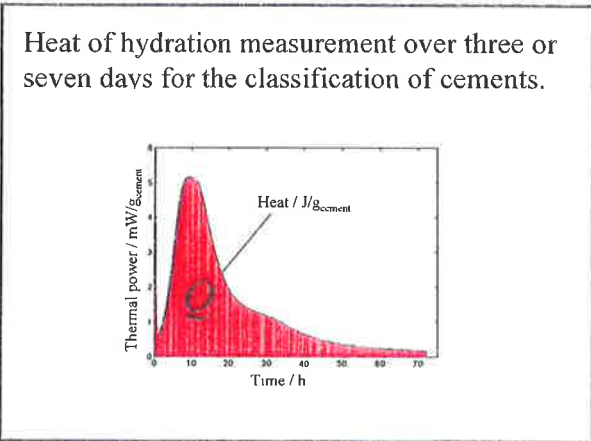
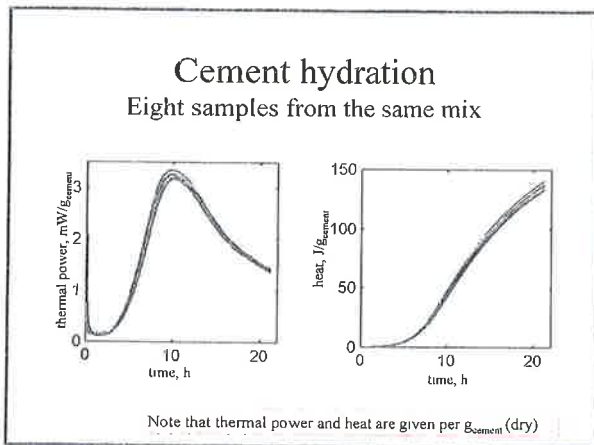


Three types of cement/concrete calorimeters

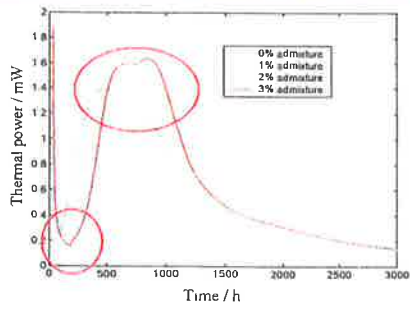
Adiabatic Calorimetry (Semi-Adiabatic Calorimetry)
 Sample temperature is measured on an insulated sample.

Solution Calorimetry
 Heat of hydration is the difference between heat produced when a cement and a hydrated cement paste sample are each dissolved in strong acids.

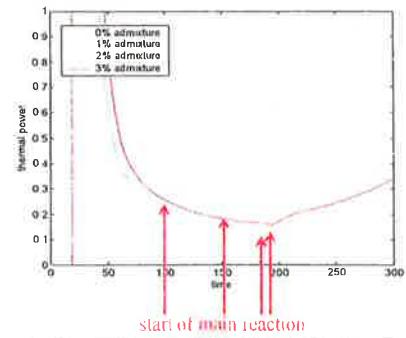
Isothermal Calorimetry (conduction calorimetry)
 Heat production rate is measured continuously at isothermal conditions.



Note that isothermal calorimetry can show details in the hydration reactions (1)

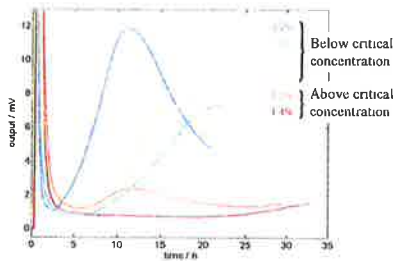


...details in the hydration reactions (2)



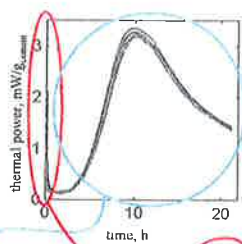
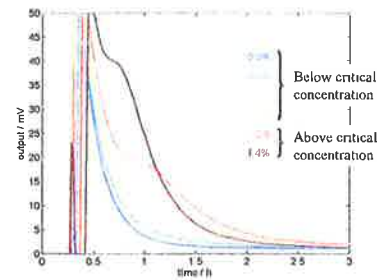
Cement-admixture interaction (1)

Abnormal hydration in low-sulfate cement with high admixture concentrations



Cement-admixture interaction (2)

Abnormal hydration in low-sulfate cement with high admixture concentrations (early reactions)

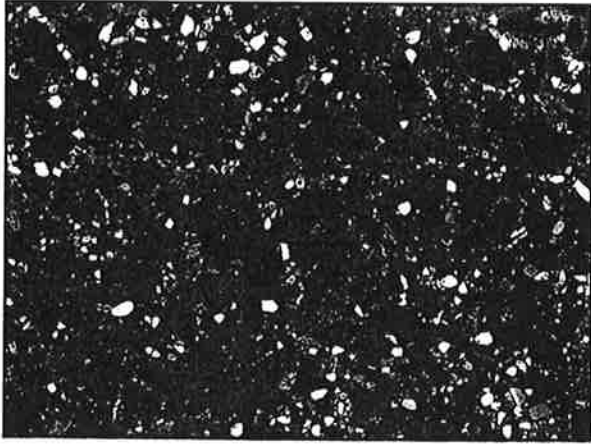


Main peak
Mix outside and transfer to ampoule and calorimeter.

Early reactions
Mix inside ampoule in calorimeter (e.g., with admix-ampoule).

Isothermal calorimetry has a wide range of uses in cement/concrete science/technology, e.g.

- Heat of hydration
- Retardation
- Cement-admixture interactions
- Reaction rates as function of temperature
- Gypsum optimization
- Quality control



Introduction to Isothermal Calorimetry

Thermodynamics for Calorimetry

Lars Wadsö

Isothermal Calorimetry and Thermodynamics

Isothermal calorimetry can be used without any knowledge of thermodynamics. However, isothermal calorimetry is an excellent method to obtain data for thermodynamic calculations.

For example, with the help of thermodynamics, one can find in which direction a process tends to proceed and calculate properties that cannot be directly measured.

"SI-System"

Base units: m, kg, s, A, K, mol, cd

Derived units: Pa, J, W, V, Ω , $^{\circ}\text{C}$...

Prefixes: n, μ , m, k, M, G ...

Note: Mass is often expressed in grams (g) OK
 Pressures are often *discussed* in units of bar or atm OK
 Energy is sometimes expressed in calories X

Nomenclature

t	time	s
P	thermal power	$\text{W} = \text{J s}^{-1}$
Q	heat	J
W	work	J
ΔH	enthalpy change	J mol^{-1}
p	pressure	Pa
V	volume	m^3
n	amount substance	mol
R	gas constant (8.314)	$\text{J mol}^{-1} \text{K}^{-1}$
T	absolute temperature	K
C	heat capacity	J K^{-1}
also (but not used here)		
ΔU	internal energy	J mol^{-1}
ΔS	entropy	$\text{J mol}^{-1} \text{K}^{-1}$
ΔG	Gibbs energy	J mol^{-1}

Very important definitions

system whatever we want to study
 surrounding the rest of the universe

open transfer of matter possible
 closed no transfer of matter
 isolated no transfer of matter or heat
 adiabatic no transfer of heat
 isothermal no change of temperature

exothermic heat is released ("warming")
 endothermic heat is consumed ("cooling")

The thermodynamic sign convention

Consider changes of thermodynamic properties from the point of view of the system

examples:

- Exothermic processes have $\Delta H < 0$
- Dissolution of salts in water can be exothermic or endothermic

	kJ/mol		
NaCl	3.88		slightly endothermic
LiCl	-37.03		exothermic
KCl	17.22		endothermic

Note that one can find the opposite definition in mechanical engineering: the (useful) heat that comes out of a process (a machine) is there counted as positive

Note also that experimental results usually (but not always) are plotted with exothermic thermal powers as positive

ENERGY

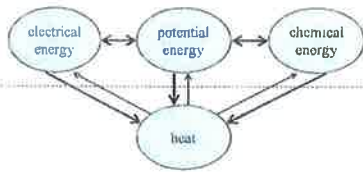
Energy exists in many forms: electrical energy, potential energy, heat etc

First law Energy cannot be destroyed, only converted from one form to another

work \Rightarrow work
work \Rightarrow heat
heat \Rightarrow work + heat at lower temperature

W
O
R
K

H
E
A
T



Enthalpy, H (J/mol)

The enthalpy (H) is the energy content at constant pressure (the most common condition)

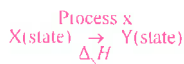
Only differences or changes in enthalpies (ΔH) can be measured, but one can define absolute enthalpies relative to the enthalpy of an arbitrary *standard state*, most commonly 25 °C, 1 atm

Enthalpy is the most commonly used thermodynamic property
Values of ΔH for different types of processes can be found in tables

A process (reaction) with an enthalpy change ΔH produces ΔH joules of heat per mol reacted at constant pressure conditions

For heterogeneous samples (such as cement, foodstuffs and polymer blends), the enthalpy can also be expressed in units of J/g (Δh)

$\Delta_r H$ is the change in enthalpy during a process of type x



Enthalpy changes of formation ($\Delta_f H$) are most important!

$\Delta_f H$ is the enthalpy change associated with formation of a substance from the elements ($\Delta_f H(\text{an element})=0$)

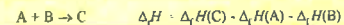
Examples of processes

vaporization	$\Delta_{\text{vap}} H$
condensation	$\Delta_{\text{cond}} H$
reaction	$\Delta_r H$
formation	$\Delta_f H$
combustion	$\Delta_c H$
solution	$\Delta_{\text{sol}} H$
transfer	$\Delta_{\text{trans}} H$
transition	$\Delta_{\text{tr}} H$
sublimation	$\Delta_{\text{sub}} H$
mixing	$\Delta_{\text{mix}} H$
ionization	$\Delta_{\text{ion}} H$
melting/fusion	$\Delta_{\text{fus}} H$

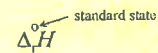
Common state specifiers:

gas	g
liquid	l
solid	s
aqueous solution	aq
solution	sln
crystalline	cr
infinite dilution	∞
saturation	sat

#1 The enthalpy change of a reaction can be calculated from the enthalpies of formation of the reactants and the products



#2 Enthalpies of formation are given relative to a standard state. The most common standard state is 25 °C, 1 atm



examples $\Delta_f H^\circ(\text{Na}(s)) = 0$ (by definition)
 $\Delta_f H^\circ(\text{Na}^+(\text{aq})) = -240.12 \text{ kJ/mol}$

#3 It is important to specify the state of a substance Na(s), Na(l), Na(g), Na(aq) all have different enthalpies of formation

Enthalpy includes the volume work associated with volume changes, like pushing back the atmosphere to make room for evolved gas. For isothermal gas producing reactions, different amounts of heat are measured at constant pressure (open ampoule) and at constant volume (closed ampoule).

-Volume work $W = -p \Delta V$

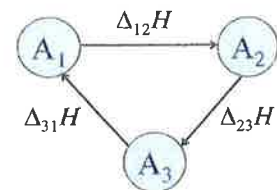
-Pressure work $W = -V \Delta p$

(from gas law: $pV = nRT$; for small changes in V and p)

This work is transformed to heat under isothermal conditions.

Example An isothermal pressure increase from 1.0 to 1.1 atm in 20 mL produces 0.2 J heat

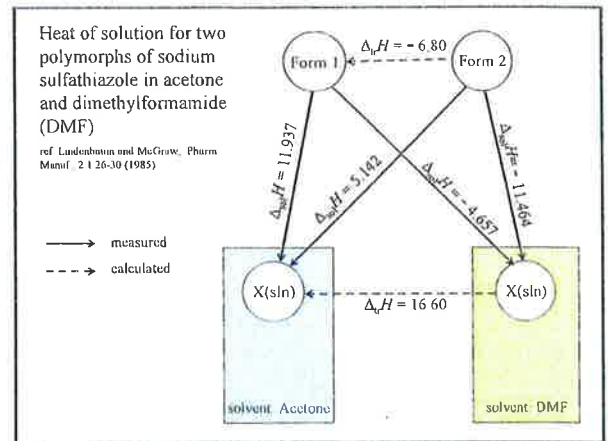
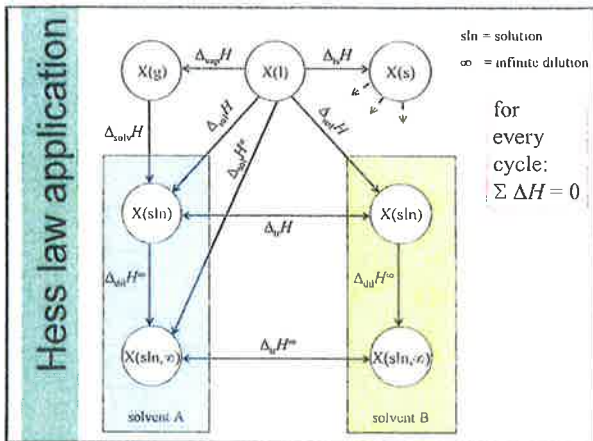
Hess law



$$\Delta_{12}H + \Delta_{23}H + \Delta_{31}H = 0$$

$$\sum_{\text{cycle}} \Delta H = 0$$

All reactions need not be realizable in practice!



Gibbs energy (Free energy) ΔG J mol^{-1}

If $\Delta G < 0$ a process (reaction) is spontaneous, i.e. moves towards equilibrium

Entropy ΔS $\text{J mol}^{-1} \text{K}^{-1}$

A measure of disorder, all real processes result in increase of the total entropy (system + surroundings)

$$\Delta G = \Delta H - T \Delta S$$

Heat capacity

When a heat Q (J) is supplied to an object with heat capacity C (J K^{-1}), its temperature will change by ΔT (K)

$$Q = C \Delta T$$

Heat capacity of an object (sample, part of a calorimeter) is the product of its specific heat capacity c ($\text{J g}^{-1} \text{K}^{-1}$) and its mass m (g)

$$C = c m$$

Total heat capacity of an object composed of several components with different heat capacities is the sum of the heat capacities

$$C_{\text{tot}} = \Sigma C_i$$

Heat Capacity Values for Some Common Materials

(approximate heat capacities ($\text{J g}^{-1} \text{K}^{-1}$) at 20 °C)

Brass	0.38
Steel	0.46
Quartz/sand	0.75
Cement	0.80
Minerals	≈ 0.8
Glass	0.84
Aluminum	0.90
Dry Wood	1.20
Water	4.18

Useful heat capacity calculations

The reference should have approximately the same heat capacity as the sample

If the sample is 5 g cement paste made from 3 g cement and 2 g water, what should be used as a reference?

1. Water? Heat capacity of sample $3 \cdot 0.8 + 2 \cdot 4.18 = 10.8 \text{ J K}^{-1}$
 Mass of water with the same heat capacity $10.8 / 4.18 = 2.6 \text{ g}$

2. Sand? Heat capacity of sample, same as above
 Mass of sand with the same heat capacity $10.8 / 0.8 = 14.3 \text{ g}$

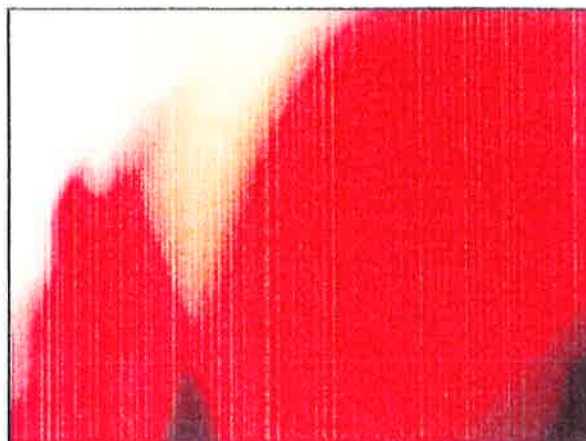
Here, we have assumed that the heat capacity of cement paste equals that of its components

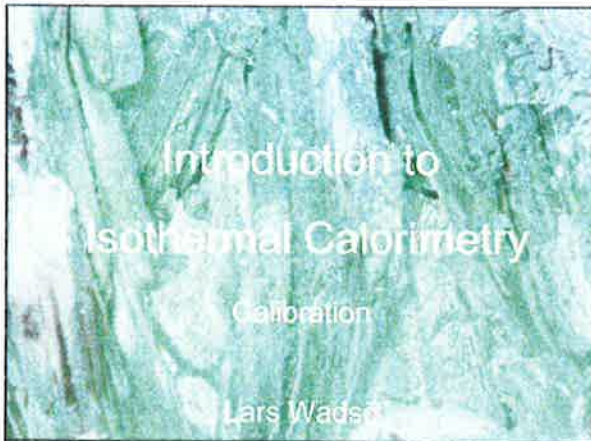
Approximate $\Delta_r H$ for different types of reactions

Useful for estimation of heats from a reaction *or* the reaction rate from measured thermal powers

		kJ mol ⁻¹
Hydrolysis	$\text{RCOOR}' + \text{H}_2\text{O} \Rightarrow \text{RCOOH} + \text{R}'\text{OH}$	0
	$\text{RNHCOR}' + \text{H}_2\text{O} \Rightarrow \text{RNH}_2 + \text{R}'\text{COOH}$	+50
	$(\text{RCO})_2\text{O} + \text{H}_2\text{O} \Rightarrow 2 \text{RCOOH}$	-60
Decarboxylation	$\text{RCOOH} \Rightarrow \text{RH} + \text{CO}_2$	+30
Oxidation by O_2	$-\text{CH}_3 + 0.5 \text{O}_2 \Rightarrow -\text{CH}_2\text{OH}$	-180
	$-\text{CH}_2- + 1.5 \text{O}_2 \Rightarrow -\text{COOH} + \text{H}_2\text{O}$	-160
	$\text{RCH}_2\text{OH} + 0.5 \text{O}_2 \Rightarrow \text{RCHO} + \text{H}_2\text{O}$	-200
	$\text{RCHO} + 0.5 \text{O}_2 \Rightarrow \text{RCOOH}$	-290
	$2 \text{-SH} + 0.5 \text{O}_2 \Rightarrow \text{-S-S-} + 0.5 \text{H}_2\text{O}$	-260

Cox and Pilcher (1970) "Thermochemistry of Organic and Organometallic Compounds" Academic Press, London
 Pedley et al (1986) "Thermochemical Data of Organic Compounds" Chapman and Hall, London





Calibration
 = to introduce heat & measure response

Different types of calibration

1. Electrical calibration (convenient, most common)
2. Chemical calibration (valuable complement)
3. Radioactive sample (convenient, but obsolete)
4. Heat capacity **NEW!**
5. Diffusion cell **NEW!**

Electrical calibration theory

Nomenclature

U_m	voltage over heater	V
I	current through heater	A
R	heater resistance	Ω
U_{out}	output voltage from heat flow sensor	V
P	thermal power	W

Equations

$U_m = R \cdot I$ (Ohm's law)
 $P = U_m \cdot I$ (Heat production rate in resistor)
 $= I^2 \cdot R$
 $= P / R$

Note: the last equation is the best as the current is the same in the whole circuit, but U_m measured outside the calorimeter also includes voltage drops over the leads

Electrical calibration in practice

with a mA-meter
 $P = I^2 \cdot R$

with an external reference resistor and a voltmeter
 $I = U_R / R_R$
 $P = I^2 \cdot R$

Two types of electrical calibration

	Steady State	Dynamic or Pulse
input	P	$Q = \int P dt = P \cdot \Delta t$
output	U	$\int U dt$
calibration coefficient	$\epsilon = P/U$	$\epsilon = Q / \int U dt$

Calibration coefficient

ϵ W/V

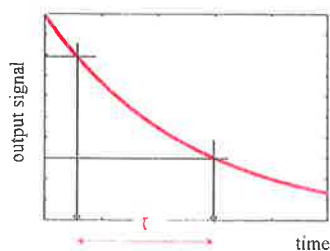
Sensitivity

$1/\epsilon$ V/W

V does not need to be true volts, and could also be some other type of output from an amplifier or a data logger

The time constant, τ

The time constant is a measure of how rapidly a signal decreases when no heat is produced in the calorimeter. One simple way to find the calibration coefficient is to find the time it takes for the signal to decrease from any value to 37% of that value (e^{-1}). Normally this is done on the decaying part of a calibration curve.



$$U(t) = U_0 \exp(-t/\tau)$$

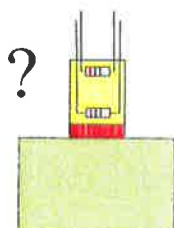
Using the time constant, τ

$$P = \varepsilon (U + \tau \cdot dU/dt) \quad \text{The Tian equation}$$

- The time constant is a model for time lag of a calorimeter
- Some commercial calorimeters automatically make the Tian corrections
- Sometimes more than one time constant is used, but this is usually not necessary.

Potential problems with electrical calibrations.

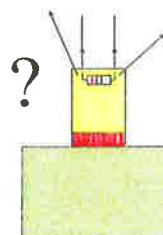
#1 Heater position



A calibration heater should ideally deposit the heat in the same place as heat is produced during a measurement.

Potential problems with electrical calibrations.

#2 Lead heat losses



A calibration heater always conducts some heat away through its leads. This is no problem if the leads are also there during measurements, but for insertion heaters, this can be a problem.

Chemical Calibration

The ideal way to calibrate an isothermal calorimeter for measurements is to run a similar type reaction with a well known enthalpy.

- aqueous dissolution and dilution of t-propanol in water
- dilution of aqueous urea solutions
- dissolution of toluene in water
- hydrochloric acid - sodium hydroxide (acid + base) reaction
- sucrose hydrolysis
- binding of Ba^{2+} to the cyclic ether 18-crown-6
- dissolution of potassium chloride in water
- hydrolysis of triacetin in imidazole-acetic acid buffers (long term)

ref Wadso, I and Goldberg, R N (2001) 'Standards in isothermal microcalorimetry' Pure Appl Chem 73 1625-1639

Chemical Calibration

Example: dissolution of a solid

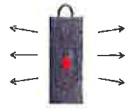
Dissolution of crystalline potassium chloride (KCl) in water
(1 mol KCl per 500 mol water)

$$\Delta H = 17.584 \pm 0.017 \text{ kJ/mol}$$

Uncertainty is about 1/1000

ref Wadso, I and Goldberg, R N (2001) 'Standards in isothermal microcalorimetry' Pure Appl Chem 73 1625-1639

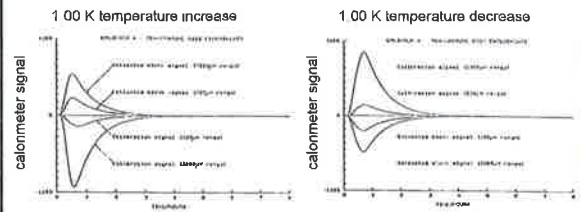
Radioactive Calibration



Ampoule with long-lived radioactive isotope will produce constant thermal powers over long periods of time.

Convenient, but restrictions on radioactive materials make the use of this type of devices very limited.

Heat Capacity Calibration



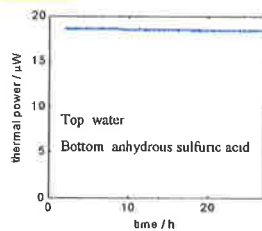
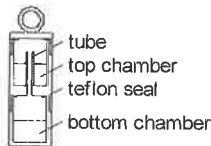
The calibration coefficient can be calculated from the output caused by a temperature step up/down with and without a known heat capacity sample (aluminum oxide, sapphire)

Interesting Based on fundamental physical principle Is anyone using it?

ref. Bunyan, P. F. (1999) *Thermochim. Acta* 327 109-116

Diffusion Cell Calibration

The rate of water vapor diffusion in a fixed geometry between two solutions with constant water activities and constant enthalpies of vaporization will produce a constant thermal power



Not in use Can be of interest in large scale calorimeters

Wadsö, L., Kocherbitov, V. (1999) *A new diffusion controlled calibration and test device for microcalorimeters* Presented at Workshop on the microcalorimetry of energetic materials, Leeds, UK

How good is a calorimeter/measurement?

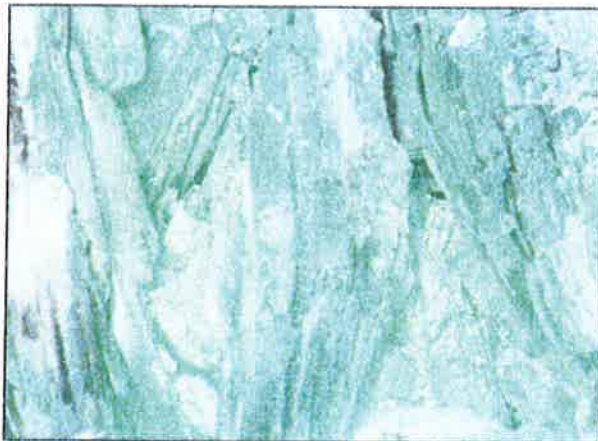
Repeatability (earlier *precision*) is a measure of the scatter (standard deviation) in a series of observations (around the measured mean) Report repeatability of experiments (not calibrations)

Uncertainty (earlier *accuracy*) is the difference between the measured mean and the true/accepted value. Run standard test reactions to calculate uncertainties (very difficult to calculate by combined uncertainties etc.)

Limit of detection is the smallest heat quantity (J) or thermal power (W) that can be determined with reasonable certainty (note: *sensitivity* is the inverse if the calibration coefficient).

Baseline. Calculate mean, slope and standard deviation

ref Wadsö, L and Goldberg, R (2001) *Pure Appl Chem* 73 1625-1639



Introduction to Isothermal Calorimetry

Different Types of Calorimeters

Lars Wadsö



Different types of calorimeters

Scanning	Non-isothermal	Isothermal	Indirect calorimetry	Other types
The instrument changes the temperature	The process studied (the sample) changes the temperature	The temperature is (essentially) constant	Measure something else than heat, from which one can calculate the heat	Nuclear calorimeters Semi-conductor radiation sensors etc.
DSC (Differential Scanning Calorimeter)	Adiabatic cal Semi-adiabatic cal.	Heat conduction calorimeter Power compensation calorimeter	Respirometry	

Note: not calorimetry

DSC (Differential Scanning Calorimetry)

DSC measures the heat produced/consumed by processes that are initiated by the change in temperature

Examples: melting-solidification
dehydration
degradation
 T_g -transition
crystal transformation

DSCs are very common instruments

MDSC is a type of advanced DSC that can separate reversing (e.g. melting) and non-reversing processes (e.g. crystal transformation)



A DSC can be used in "isothermal mode," but specific sensitivity is lower than an isothermal calorimeter because of the small sample size required for DSC. Also, one cannot manipulate the sample during a measurement.

If you are used to DSC...

The world is quite isothermal and most processes (respiration, degradation, dissolution, oxidation...) take place even if the temperature is constant. It is not *temperature changes* that drive processes.



With isothermal calorimetry, we study processes under quite natural conditions

Semi-adiabatic and Adiabatic Calorimeters

A semi-adiabatic calorimeter is essentially an insulated sample in which the temperature is measured. It is necessary to compensate for heat lost by conduction and radiation



TA Instrument SolCal

In an **adiabatic** calorimeter, all heat produced by the sample heats the sample (adiabatic=no heat loss). This is usually accomplished by an adiabatic shield, meaning the surroundings are kept at exactly the same temperature as the sample OR measurements are made so quickly that no heat is lost

Examples: Bomb calorimeters, cement/concrete calorimeters, TA Instruments SolCal

In this group can be found (essentially) isothermal calorimeters that operate with small temperature changes (like the SolCal above), but also calorimeters with high temperature changes like most (semi-)adiabatic concrete calorimeters in which the temperature can rise 30-80 K during a measurement

Bomb Calorimeters

A bomb calorimeter is usually a semi-adiabatic calorimeter for heat of combustion measurement by reacting samples with an excess of oxygen

Bomb calorimeters of extreme precision were used to compile tables for heats of combustion of organic compounds. This application is now rare, since tabulated data for most simple compounds are available

Nowadays, simpler bomb calorimeters are used for measurement of heat contents of fuels, food and animal feed



Power Compensation Calorimeters

These are calorimeters in which the temperature is kept constant by addition or subtraction of heat. This is easiest to do by adding electrically produced heat to compensate an endothermic process, but can also be done by the Peltier effect to compensate for both exothermic and endothermic processes

Example: DSC, some titration calorimeters



Indirect Calorimetry

Another property which corresponds to heat produced can be measured and then used to calculate the heat

Example: Respirometry in which CO_2 production is measured and heat production is calculated based on the assumption that 470 kJ of heat is produced for each mole of CO_2 produced

Note that indirect calorimetry relies on knowledge of the correct heat (enthalpy) produced by the process as a function of the measured parameter



Isothermal calorimeters = calorimeters with essentially constant sample temperature

Heat conduction calorimeters



Heat flows to/from the sample by thermal diffusion

Power compensation



Temperature change is compensated by active heating/cooling

Some adiabatic calorimeters



The sample is insulated, but the temperature increase is made low

Essentially constant temperature?

Depends on process studied!

Kinetics (reaction rates) are more sensitive to temperature changes than determinations of total heats (such as titrations)

Determination of seven day heat of cement hydration

Determination of maximum rate of hydration of cement

Retardation of cement hydration for different additive concentrations.

Liquid-liquid titration experiment

Determination of rate constant for enzymatic process

Comparison of effect of different drugs on a cell culture

"A measurement is isothermal if the temperature changes are so small they do not influence the results of the measurement" LW

How large can an isothermal sample be?

5 g cement paste



1000 g cement paste

5 mL water with animal (stirred)



1000 mL water with fish (stirred)

5 g rubber sample



1000 g rubber sample

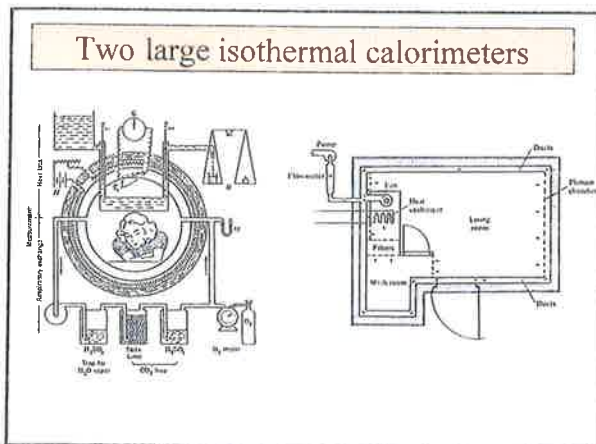
Whether or not a sample is isothermal depends on its size, volumetric heat production rate, thermal properties, and properties of the calorimeter. Most isothermal calorimeters use small samples (1-20 mL), but some very large devices (for example, a house with heat flow sensors) can also be considered to be isothermal calorimeters.

Isothermal calorimetry: size and thermal power ranges

Size	Thermal power range
Huge: A house	MW
Large: Whole-body calorimeter	kW
Small: A battery, cement paste	W
Tiny: A few mg of a pharmaceutical substance	mW
	μ W
	nW

Isothermal calorimetry: size and thermal power ranges

Size	Thermal power range
Small: A battery, cement paste	mW
Tiny: A few mg of a pharmaceutical substance	μ W
	nW



What is *microcalorimetry*?

' a calorimeter designed for use in the microwatt (μ W) range, under essentially isothermal conditions' (Wadsö, I and Goldberg, R N, 2001) (or a calorimeter using small samples)

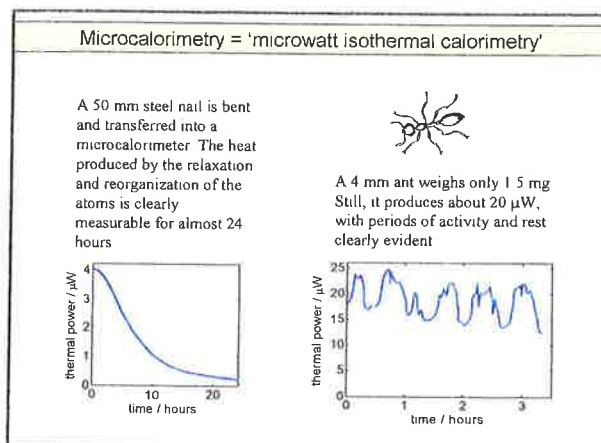
A heat conduction calorimeter for measurement of low thermal powers

Typical applications areas

- titrations
- energetic compounds
- microbiology

Even more sensitive calorimeters are sometimes called *nanocalorimeters*

Sometimes 'microcalorimetry' is (unfortunately) used synonymously with 'isothermal calorimetry'



Organizations

International Confederation of Thermal Analysis and Calorimetry (ICTAC): www.ictac.org (European chapter: ESTAC, Nordic (not active) chapter: NOSTAC).

The Calorimetry Conference (CalCon): Annual conference

Journals in the field:

Thermochimica Acta

Journal of Thermal Analysis and Calorimetry (Journal of Physical Chemistry)



Introduction to Isothermal Calorimetry

Kinetics and Stability

Lars Wadsö

<u>Nomenclature</u>		
t	time	s
P	thermal power	$W = J s^{-1}$
Q	heat	J
$\Delta H, \Delta h$	enthalpy change	$J mol^{-1}, J g^{-1}$
v	rate	$mol s^{-1}, g s^{-1}$

**Thermodynamics/
thermochemistry**

How much heat is produced by a process when it goes to completion (or to a certain known state)?

Kinetics

What is the reaction rate of a chemical process?

$$P = \Delta H \cdot v$$

Isothermal calorimeters can measure both enthalpies and kinetics (sometimes in the same experiment), but many measurements (especially on complex samples) give only kinetic information.

$$P = \Delta H \cdot v$$

The chemist	J/mol	mol/s
The material scientist	J/g	g/s

Note: ΔH is often unknown and many simultaneous and/or consecutive processes, each with a different ΔH value, may be occurring

titration etc.

$$n = Q / \Delta H$$

kinetic measurement

$$v = dn/dt = P / \Delta H$$

Degradation of the Antibiotic Ampicillin in Water

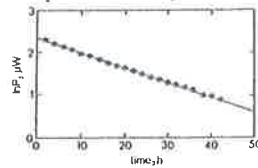
"Textbook example"

First order kinetics predicts the rate of concentration change with time, dc/dt , is proportional to concentration c . Rate is proportional to thermal power that we measure. The rate equation can be expressed several ways

Exponential form	$c = c(0) \exp(-kt)$	
Differential form	$dc/dt = -k c(0) \exp(-kt)$	
Calorimetric form	$P / (\Delta H V) = -k c(0) \exp(-kt) \Rightarrow \ln(P) = -kt + \text{const}$	

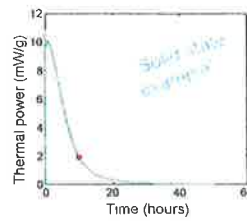
First order process: reaction rate is proportional to non-reacted mass/ (or amount)

- 1 First order rate law gives exponential solution
 $\text{rate} = k \cdot m \rightarrow \text{rate} = k_1 \exp(-k_2 \cdot t)$
- 2 Thermal power is proportional to rate
 $P = \Delta H \cdot \text{rate} \rightarrow P = \Delta H \cdot k_1 \exp(-k_2 \cdot t)$
- 3 Take logarithm to find k_1 and k_2 :
 $\ln(P) = \ln(\Delta H \cdot k_1) - k_2 \cdot t$
- 4 Evaluate rate constant and enthalpy

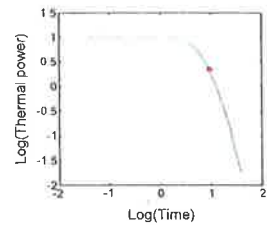


cf Wadsó & Li (2007)

Example: Epoxy curing

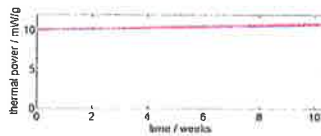


Calorimetric measurement



Kinetic model (mathematical or physiochemical)

Predicting kinetics is not trivial

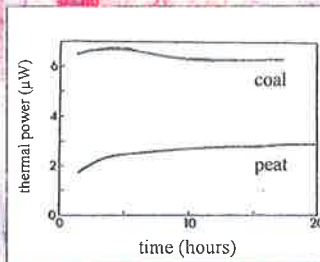


Suppose we had measured thermal power from a sample of an energetic compound with the results seen at the left.

What can we say about future development of the thermal power?

Is there a risk for a run-away situation (fire, explosion)?

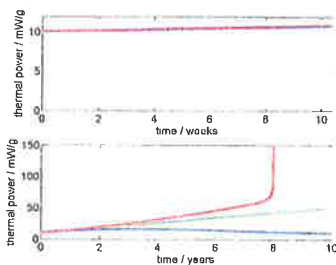
Self-heating of solid fuels



From P and knowledge of Δh , it is possible to calculate the annual loss of energy content (calorific value) by assuming for example constant thermal power.

I. Wadsó

Predicting kinetics is not trivial



Predictions of future *isothermal* heat production rates must be based both on measurements *and* information about the process

Predictions of the risk of a run-away situation must, in addition, be based on information and a model of the thermal situation (insulation, cooling)

Heat + amount degraded \rightarrow enthalpy

Heat + enthalpy \rightarrow degraded amount

$$Q = -(n(0) - n(t)) \cdot \Delta H$$


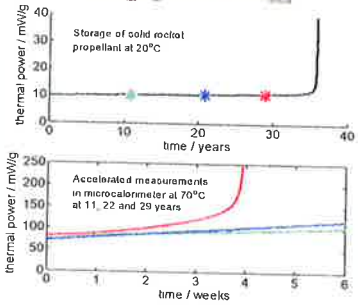
Thermal power + enthalpy \rightarrow degradation rate

$$P = \frac{dn}{dt} \Delta H$$

Testing explosives

Explosives and other energetic compounds contain stabilizers that prevent autocatalytic (and accelerating) reactions

It is important to be able to make estimates of how long a batch of explosive can be stored before the stabilizers are consumed and the degradation reactions start to accelerate. Microcalorimetry is a standard method for this type of evaluation

Storage of solid rocket propellant at 20°C

Accelerated measurements in microcalorimeter at 70°C at 11, 22 and 29 years

Arrhenius' law

Symbol	Meaning	Units
ν	rate of a process	$\text{g s}^{-1} \text{ or mol s}^{-1}$
A	pre-exponential constant	$\text{g s}^{-1} \text{ or mol s}^{-1}$
E_a	activation energy	J mol^{-1}
R	gas constant	$\text{J mol}^{-1} \text{K}^{-1}$
T	temperature	K
P	thermal power	W

$$\nu = A \cdot \exp\left(-\frac{E_a}{RT}\right)$$

Writing this law for two temperatures 1 and 2 and solving for E_a gives

$$E_a = \frac{R \ln(\nu_1 / \nu_2)}{\frac{1}{T_2} - \frac{1}{T_1}}$$

With isothermal calorimetry we measure thermal powers that are proportional to rates of processes. We can therefore also write the above equation as

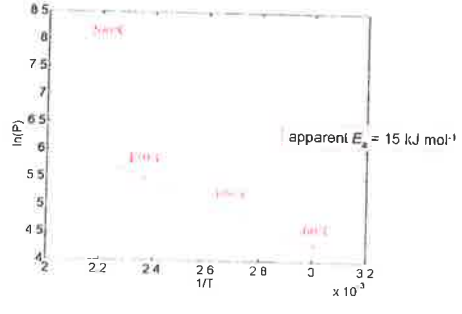
$$E_a = \frac{R \ln(P_1 / P_2)}{\frac{1}{T_2} - \frac{1}{T_1}}$$

Note that

- The different measurements of thermal power must be made at the same conditions (except temperature)
- The Arrhenius law is not a natural law, it is a mathematical relationship found to be approximately valid for many processes.
- If different processes are active at different temperatures, the Arrhenius equation will give an activation energy that changes with temperature. The above two-point method is therefore quite dangerous. It is better to make measurements at more than two temperatures and plot the results in a Arrhenius diagram $\ln(\text{rate})$ vs. $1/T$

Example of Arrhenius' law application:

Heat production from wood pellets at elevated temperature



apparent $E_a = 15 \text{ kJ mol}^{-1}$


Hazardous evaluation

The picture shows a fire in a silo which contained paper, plastics and wood chips. This type of fire starts when the fuel is heated by microbial activity and is further catalyzed by oxidation of the fuel

- fine chemicals
- fossil fuels
- bio-fuels
- energetic materials
- fertilizers
- bleach

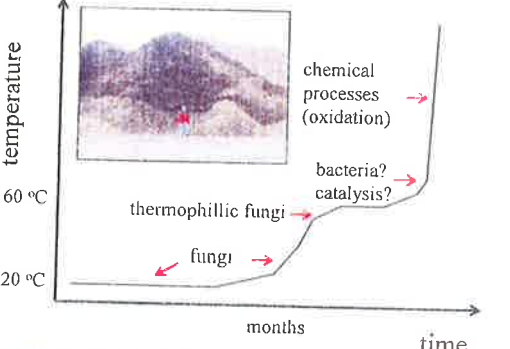
Methods:

- HPLC
- DSC-ARC-isothermal calorimetry



Note: stability is a chemical *and* physical property

Self-ignition in biofuel stacks



temperature

60 °C

20 °C

months

time

chemical processes (oxidation)

bacteria? catalysis?


thermophillic fungi

fungi

Shelf life evaluation

What determines the shelf life?

- Increased number of microorganisms
- Deteriorated mechanical properties (texture, crispiness...)
- Discoloration
- Lowered amount of active substance
- Increased amount of degradation product



Not only for foodstuffs!

Solid State Reactions

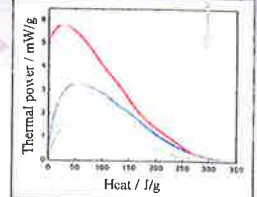
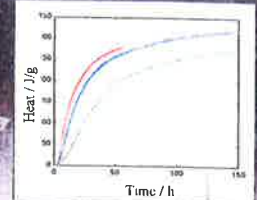
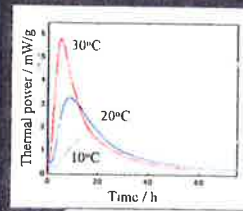
Reactants are not continuously mixed or not even in direct contact

Gases (oxygen) and vapors (water) may catalyze reactions

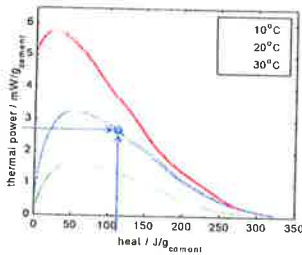
Many such reactions are diffusion controlled

- Four point test
- 1 dry + nitrogen
 - 2 dry + oxygen
 - 3 wet + nitrogen
 - 4 wet + oxygen

Portland cement paste hydration: Measurements at different temperatures



Isothermal calorimetry gives information both on rate and extent of reaction



- P J/s
 Q J
 Δh J/g
 v g/s
 ξ g/g
 m g

Note that this is a *general* method. For the complex cement hydration reaction shown, Δh is not constant, but rather a function of the extent of reaction, $\Delta h(\xi)$.

Thermal power is proportional to rate, $P = \Delta h v$
 Heat is proportional to how much cement has reacted (extent of reaction ξ).

$$Q = \Delta h \xi m$$

Kinetic models applied to calorimetric data

thermal power (dq/dt) ~ reaction rate
 heat (q) ~ extent of reaction

reaction	rate equation	calorimetric rate equation
A → B	$\frac{dx}{dt} = k(A - x)^n$	$\frac{dq}{dt} = \Delta H k(A - (q/\Delta H))^n$
A + B → C	$\frac{dx}{dt} = k(A - x)(B - x)^m$	$\frac{dq}{dt} = \Delta H k(A - (q/\Delta H))^m (B - (q/\Delta H))^n$
A → C	$\frac{dx}{dt} = k(A/x)(x_0 - x)$	$\frac{dq}{dt} = k(A\Delta H - q)(x_0 - x)$
A + B → C	$\frac{dx}{dt} = k_1(A - x)(B - x)$	$\frac{dq}{dt} = k_1(A\Delta H - q)(B\Delta H - q) - (k_{-1} - q)$
Ng equation ¹	$\frac{dx}{dt} = Ak(x/A)^m(1 - (x/A))^n$	$\frac{dq}{dt} = Ak\Delta H(q/A\Delta H)^m(1 - (q/A\Delta H))^n$
autocatalytic	$\frac{dx}{dt} = k(A - x)(x_0 + x)$	$\frac{dq}{dt} = k(A\Delta H - q)(x_0\Delta H + q)$
coagulation	$\frac{dx}{dt} = k(x - x_0)^2$	$\frac{dq}{dt} = k\Delta H(x - (q/\Delta H))^2$
Michaelis-Menten ²	$\frac{d[ES]}{dt} = k(K[E][S])$	$\frac{dq}{dt} = k\Delta H(K[E][S]) - (q/\Delta H)$

Wilson, R. J., A. E. Bousar, J. C. Mitchell and W. Loh (1985) "Determination of thermodynamic and kinetic parameters from isothermal heat conduction microcalorimetry: applications to long-term-reaction studies", J. Phys. Chem. 89 7108-7113

Example of how the Ng equation for solid state reactions can be transformed to a form suitable for calorimetric data.

A solid state decomposition reaction may be written:



The Ng equation is:

$$v = k\xi^n(1 - \xi)^m \quad (10)$$

Here, ξ is the extent of reaction (fraction of compound degraded) that may be written:

$$\xi = \frac{m}{m_1} \quad (11)$$

Now we introduce two important relations between the rate equation and the calorimetric experiments:

$$v = \frac{P}{\Delta h} \quad (12)$$

i.e. the rate of a reaction is proportional to the thermal power

$$\xi = \frac{Q}{m_1\Delta h} \quad (13)$$

i.e. the mass of substance reacted is proportional to the produced heat (we assume that all of the substance is degraded at infinite time).

We enter Eq. 12 and 13 into Eq. 10:

$$\frac{P}{\Delta h} = k \left(\frac{Q}{m_1\Delta h} \right)^n \left(1 - \frac{Q}{m_1\Delta h} \right)^m \quad (14)$$

- k rate constant
 v rate of reaction
 ξ extent of reaction (0-1)

This is a way of transforming a rate equation so that it is written in terms of what can be measured with an isothermal calorimeter (P and Q)

continued

Known parameters (P and Q) as functions of time t

$$P = \frac{dQ}{dt}$$

$$\frac{P}{\Delta h} = k \left(\frac{Q}{m_1\Delta h} \right)^n \left(1 - \frac{Q}{m_1\Delta h} \right)^m$$

Whether this can be solved depends on how good your data is and on how many measurements you have made. You also need computer software to do the calculations.

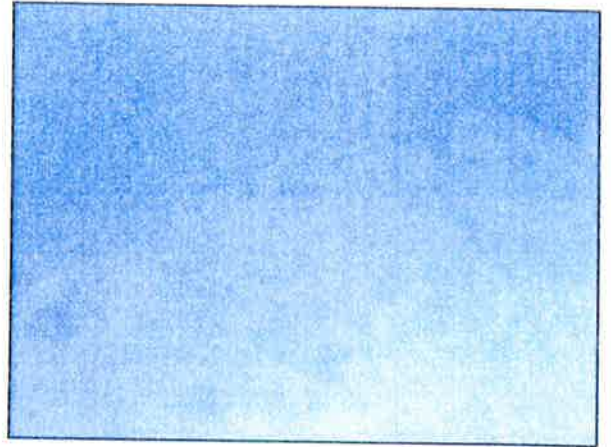
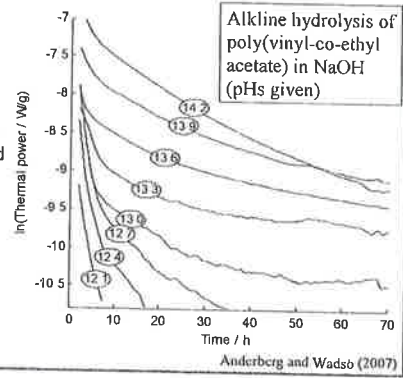
If you assume (or know) the values of e, g, x and g then you only have two unknown parameters left to solve for.

Wilson, R. J., A. E. Bousar, J. C. Mitchell and W. Loh (1985) "Determination of thermodynamic and kinetic parameters from isothermal heat conduction microcalorimetry: applications to long-term-reaction studies", J. Phys. Chem. 89 7108-7113

William, R. J., A. E. Bousar and J. C. Mitchell (1988) "Solid state reactions studied by isothermal microcalorimetry: the solid state oxidation of ascorbic acid", Int. J. Pharmaceutics 132 15-31

Degradation models

Calorimetric measurements can be used to test degradation models (kinetic models) and quantify the parameters (rate constant, activation energy etc.) in such models.



Introduction to Isothermal Calorimetry

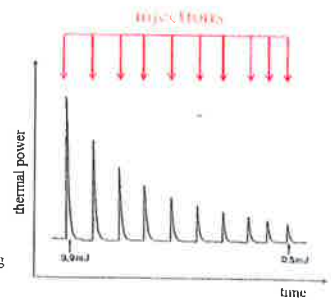
Titration Calorimetry

Lars Wadsö

Titration is the controlled addition (in steps or continuously) of one substance to another. Liquid-liquid titration is most common, but solid-liquid (dissolution) and gas-solid (sorption) can also be considered as titrations.

Used for characterization of the interactions of all types of biological macromolecules with other compounds

and for other types of studies where one is interested in adding one component to another, for example studies of enzyme kinetics



Equilibrium and equilibrium constants

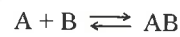


$$K = \frac{[C]}{[A][B]}$$

High K : A lot of C is formed
Low K : Little C is formed

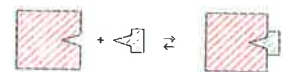
ΔH (J/mol)

Enzyme binding



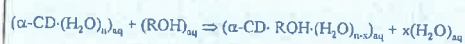
$$K = \frac{[AB]}{[A][B]}$$

ΔH (J/mol)



Even seemingly simple processes can involve many steps

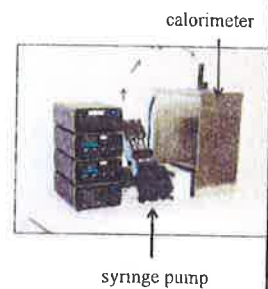
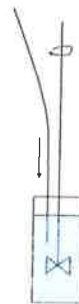
Binding of an alcohol (ROH) to α -cyclodextrin (α -CD) in aqueous solution

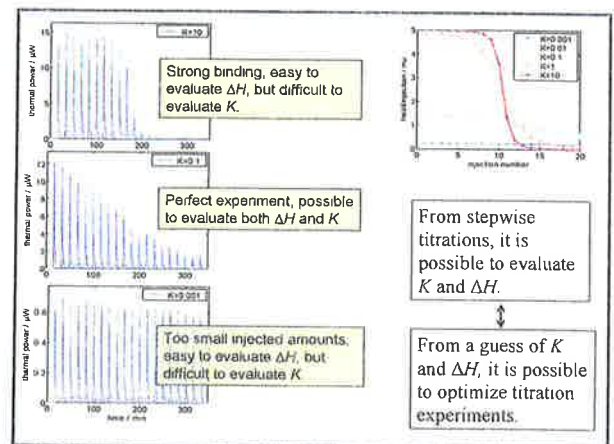
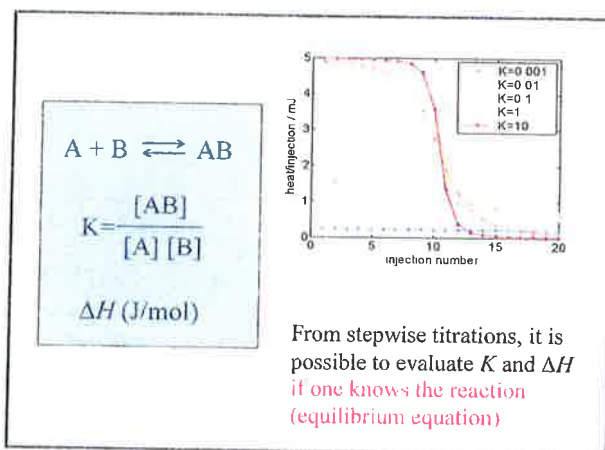
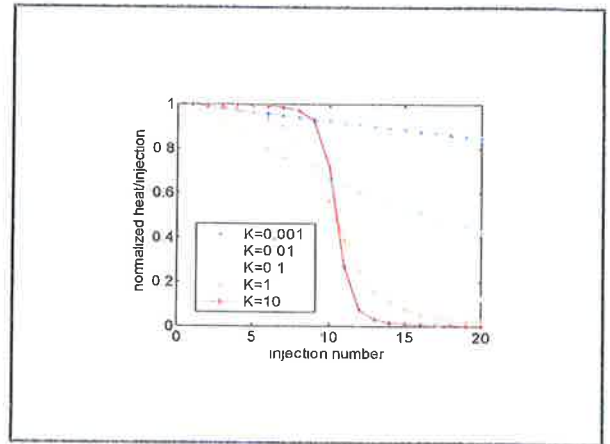
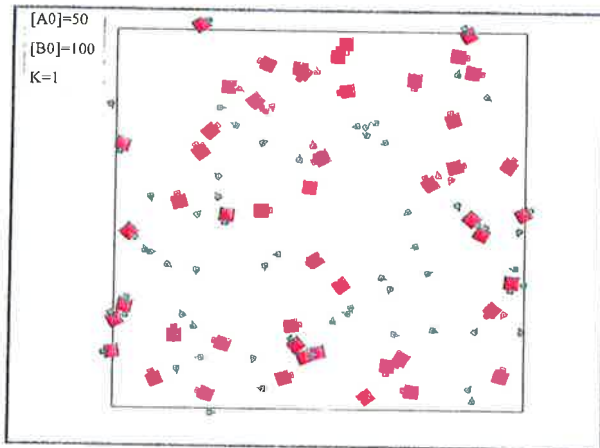
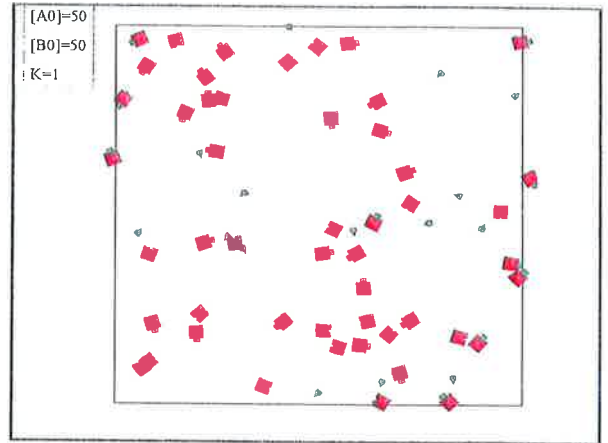
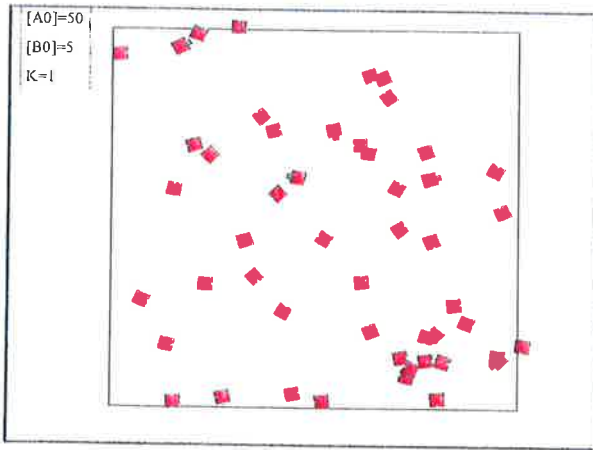


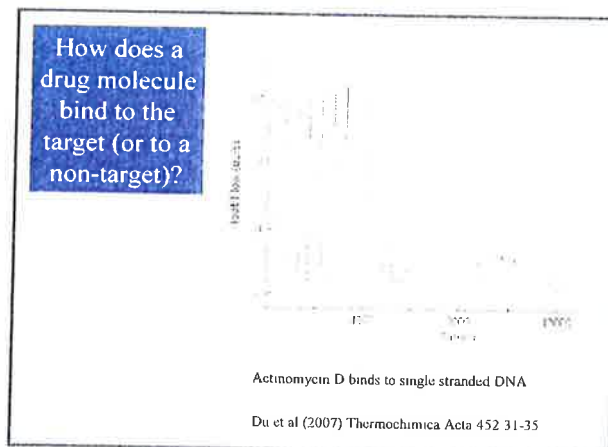
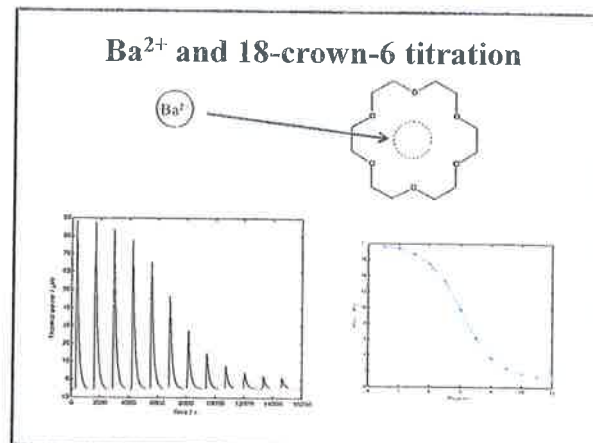
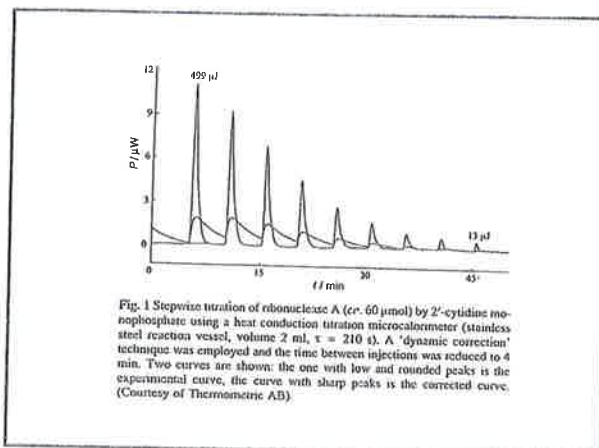
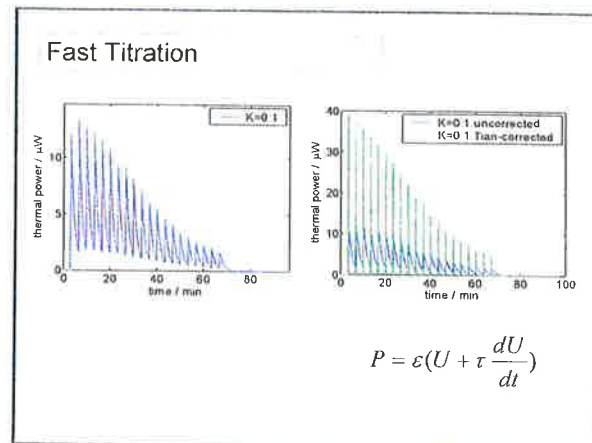
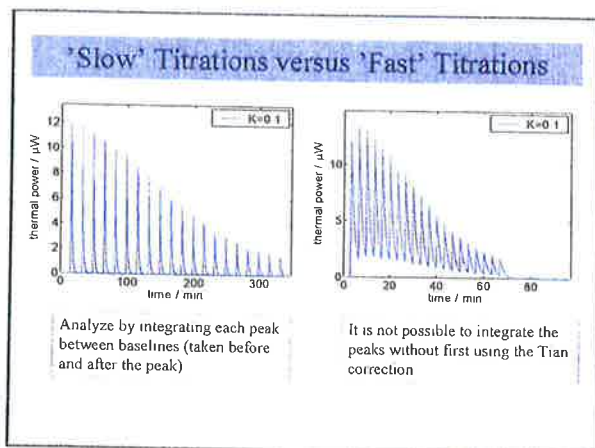
- 1 Dehydration of ROH
- 2 Solvation of ROH in cavity
- 3 Interactions between -OH and α -CD
- 4 Transfer of x H_2O from the cavity to bulk water
- 5 Conformational change of α -CD
- 6 Reduced mobility of the alkyl chain

This whole complex process has one overall equilibrium constant and one overall enthalpy change

Isothermal titration calorimetry







Isothermal titration calorimetry (ITC)

+

ITC is a generic method.

No immobilization of the reactants

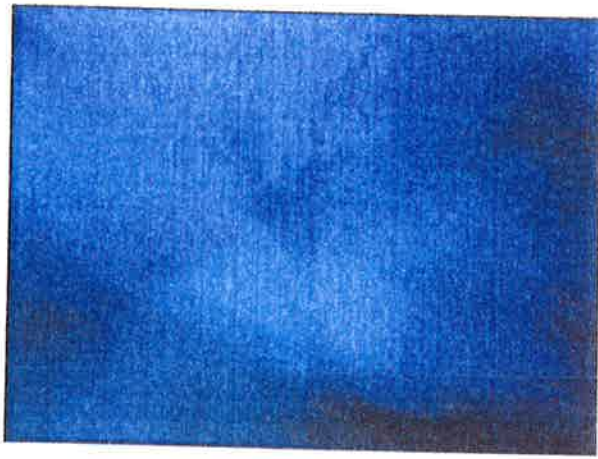
No modification of the reactants needed

No molecular weight restrictions

-

Time consuming (an experiment takes a few hours)

Not applicable to the studies of tight binders



Introduction to Isothermal Calorimetry

Thermochemistry

Thermochemistry – measurements of enthalpies

Process x
 $X(\text{state}) \rightarrow Y(\text{state})$
 $\Delta_r H$

Enthalpy changes of formation ($\Delta_f H$) are most important!
 $\Delta_f H$ is the enthalpy change associated with formation of a substance from the elements ($\Delta_f H(\text{an element})=0$)

Examples of processes:

vaporization	$\Delta_{\text{vap}} H$
condensation	$\Delta_{\text{cond}} H$
reaction	$\Delta_r H$
formation	$\Delta_f H$
combustion	$\Delta_c H$
solution	$\Delta_{\text{sol}} H$
transfer	$\Delta_{\text{transf}} H$
transition	$\Delta_{\text{tr}} H$
sublimation	$\Delta_{\text{sub}} H$
mixing	$\Delta_{\text{mix}} H$
ionization	$\Delta_{\text{ion}} H$
melting/fusion	$\Delta_{\text{fus}} H$

Common state specifiers:

gas	g
liquid	l
solid	s
aqueous solution	aq
solution	sol
crystalline	cr
infinite dilution	∞
saturation	sat

Enthalpy of vaporization

1. Constant thermal power of vaporization and mass change rate
 2. Heat of vaporization of a known amount

Problematic: substances with low vapor pressures (sublimation of solids)

Enthalpy of mixing

Flow calorimeter

Problematic: substances that do not mix easily

Enthalpy of dissolution

1. Solid injection (solid-liquid titration)
 (2. SolCal - a semi-adiabatic calorimeter)

Problematic: substances that do not dissolve easily or that have low solubilities

vaporization	vaporization ampoule
condensation	vaporization ampoule
reaction	different types of ampoules
formation	bomb calorimeter
combustion	bomb calorimeter
solution	solution ampoule or SolCal
transfer	calculated...
transition	-
sublimation	vaporization ampoule
mixing	mixing ampoule
dilution	titration calorimeter
ionization	calculated...
melting	DSC
solidification	DSC

Heat capacity

Drop- c_p calorimeter

$$c = \frac{Q}{n \Delta T}$$

Enthalpy determinations at different temperatures

$$c_p = \left(\frac{dH}{dT} \right)_p$$

DSC, micro-DSC

$$c = \frac{Q - Q_b}{n \Delta T}$$



Introduction to Isothermal Calorimetry

Pharmaceutical application areas

OVERVIEW

Polymorphism
Crystallinity - amorphicity
Stability
Compatibility
Biological applications
Titration calorimetry

Isothermal calorimetry in the pharmaceutical field

↓
Drug discovery

Screening of drug candidates, target validation

Pre-formulation

Crystallinity (amorphicity)

Polymorphism

Drug stability

Formulation development

Drug/excipient compatibility

Production control

Qualitative/quantitative analysis of amorphicity, polymorphism, stability...

Polymorphism

Terminology

Polymorphism is the tendency of a substance to crystallize into different crystalline states

Polymorphs are crystalline modifications

Amorphous materials are non-ordered (no long range order)

Crystalline materials have long-range order

Glassy materials liquefy by undergoing a glass transition

Solvates are substances that have absorbed solvents as part of their structure

Hydrate is a solvate with water

Pseudo-polymorphism is when a substance has different hydrates/solvates

The study of polymorphic behaviour of drugs and excipients is an important part of preformulation work in pharmacy because polymorphism affects:

- bioavailability mediated via dissolution
- solid state reactions (stability)
- hygroscopicity
- mechanical stability
- compactability
- batch and source variation

Are there different polymorphic forms?

Do I have form A or form B (or a mixture of both)?

What should we do to always get polymorph A?

How can we prove that we have control of the polymorphic form in our drug?

drug substance

Hess law

ΔH is the enthalpy change (heat produced)

$$\Delta_{12}H + \Delta_{23}H + \Delta_{31}H = 0$$

$$\sum_{\text{cycle}} \Delta H = 0$$

All reactions need not be realizable in practice!

Heat of solution for two polymorphs of sodium sulfathiazole in acetone and dimethylformamide (DMF)

ref: Lundenbaum and McGraw, Pharm Manuf 2 1 26-30 (1985)

→ measured
- - - calculated

solvent: Acetone solvent: DMF

Which direction does a transformation go?

Answer: most likely is the direction for which $\Delta_{\text{ptt}}H_m < 0$ (exothermic)

if $\Delta_{\text{ptt}}H_m = \Delta_{\text{sol}}H_{m,A} - \Delta_{\text{sol}}H_{m,B} < 0$
then A is more stable than B

if $\Delta_{\text{ptt}}H_m = \Delta_{\text{sol}}H_{m,A} - \Delta_{\text{sol}}H_{m,B} > 0$
then B is more stable than A

Polymorphic transformations can occur by two different mechanisms

Directly via molecular rearrangements in the dry state

or

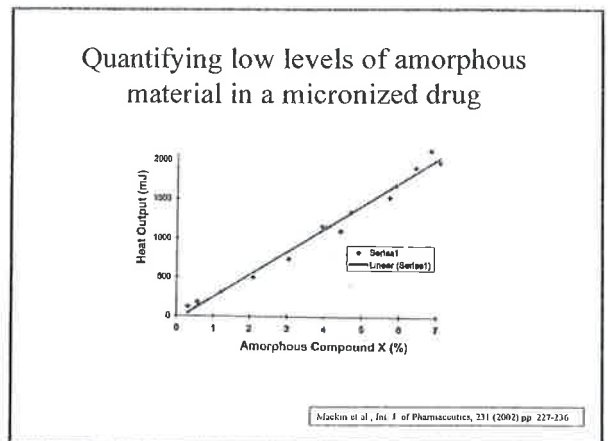
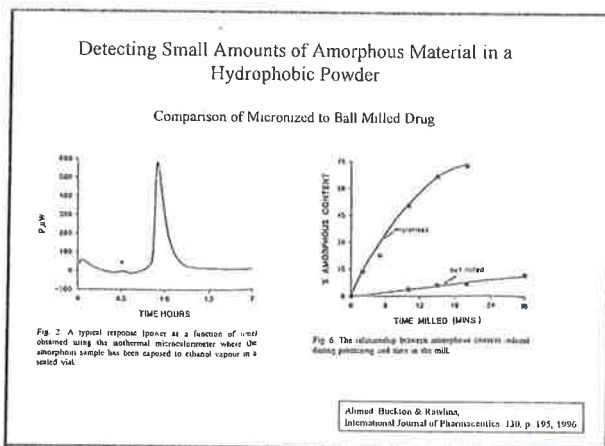
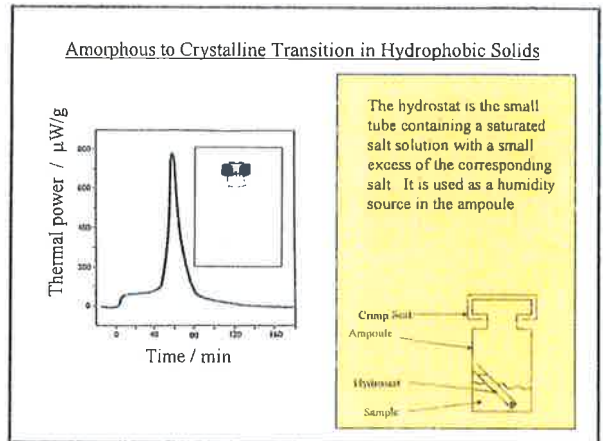
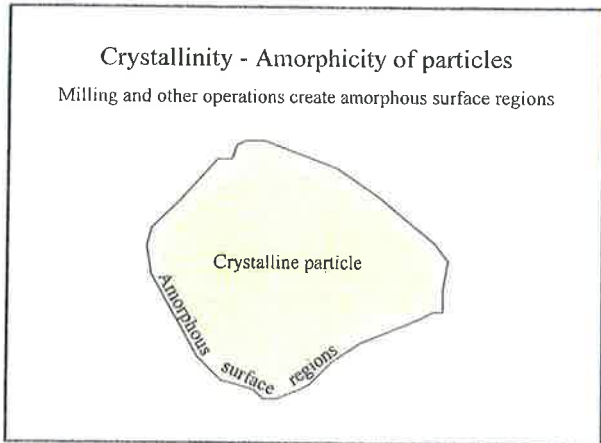
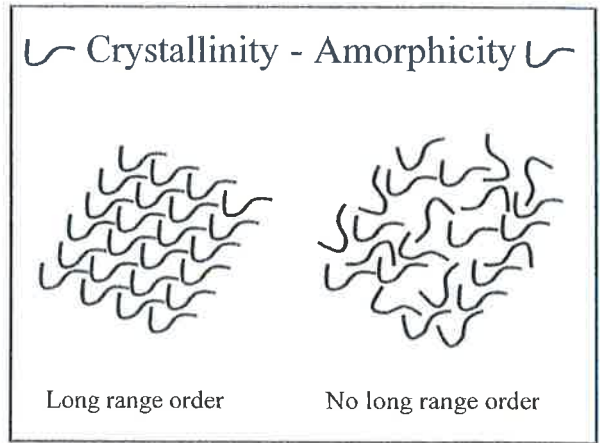
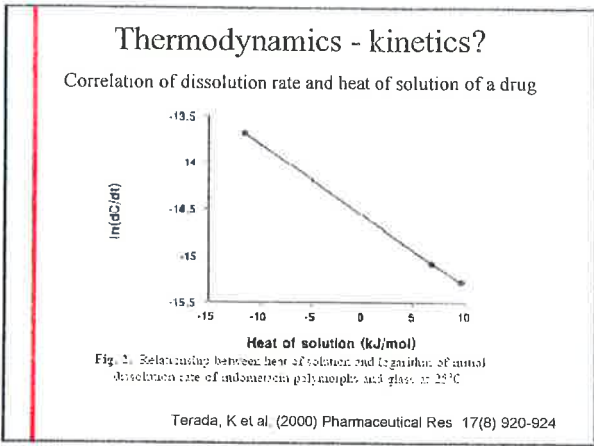
Via a solvent phase, i.e. solvent-mediated polymorphic transformation (SMPT)

Comparison of DSC and Microcalorimetry for the $\alpha \rightarrow \beta$ Transformation of Tripalmitin

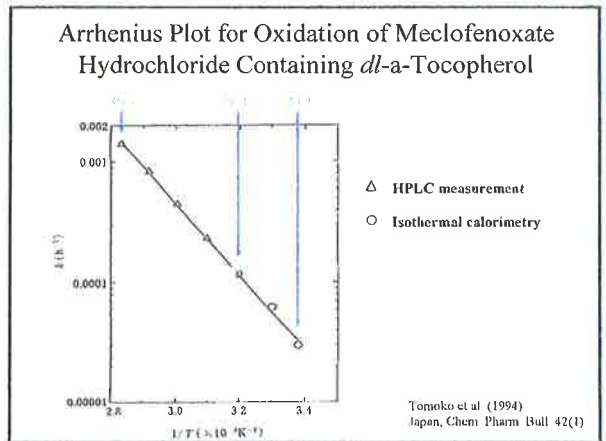
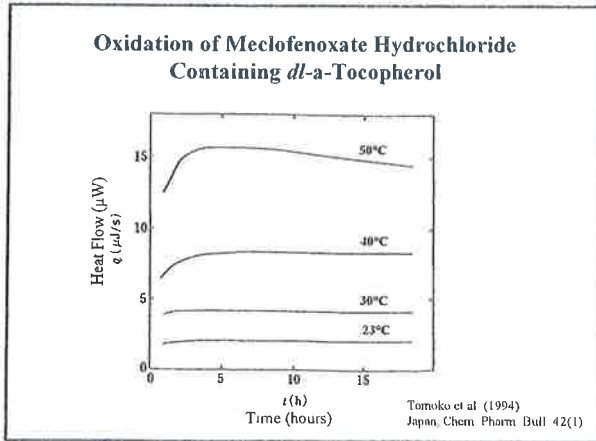
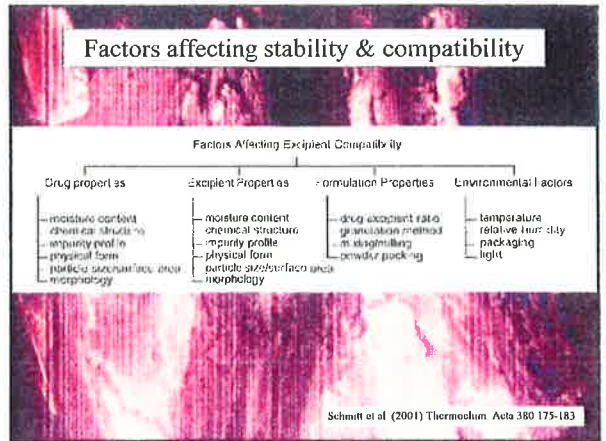
Fig. 4. DSC thermograms of tripalmitin at a heating rate of 20°C/min. The three peaks at 31.5, 31.5, and 31.5 min correspond to the $\alpha \rightarrow \beta$ transformation. The melting peak of β form is at 31.5 min. The transition enthalpy of the $\alpha \rightarrow \beta$ transformation is -45.6 J/g.

Fig. 5. The heat flow (mJ) (obtained from Fig. 4) is shown as a function of time (min) at 31.5°C. The three peaks at 31.5, 31.5, and 31.5 min correspond to the $\alpha \rightarrow \beta$ transformation. The transition enthalpy of the $\alpha \rightarrow \beta$ transformation is -45.6 J/g.

Hungate et al. (1996) *Thermochim. Acta*, 276-279

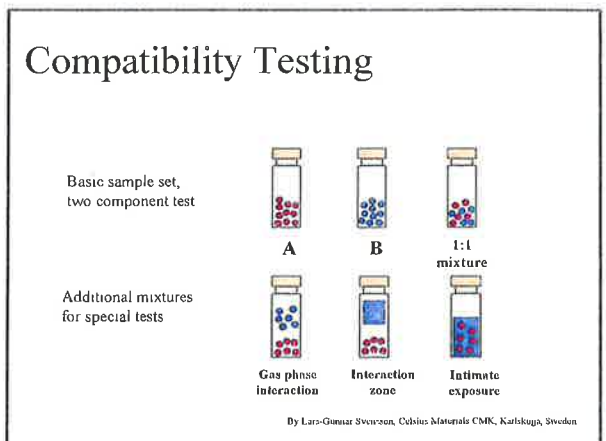


Stability



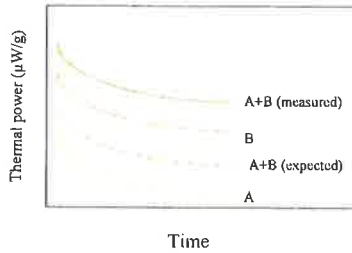
Compatibility

Interactions in Mixtures of Solids



Evaluation of Compatibility

A 1:1 mixture of two components, A and B



If the heat flow curve for A+B (measured) differs from A+B (expected), this indicates that the materials do interact with each other

Pharmaceutical compatibility testing in practice

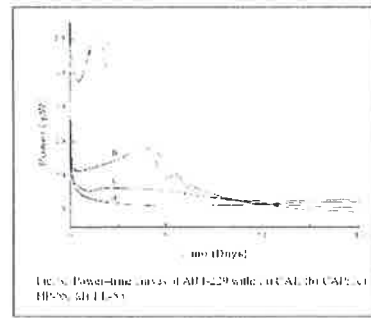


Fig. 5. Power-time curves of A+B (2:2) with a 1:1 CA:30 CAP:1:1 HP:50, 50 H:50

Schmitt et al (2001) *Thermochim Acta* 380 175-183

Table 1
Summary of interaction power between API-525 and various excipients

Excipient	Interaction power (µW)
Ethanol	1.25
Hydroxyethyl cellulose	2.92
Lactose monohydrate	1.04
Pre-gelatinized starch	1.11
Cellulose stearate	1.11
Sodium steryl fumarate	10.65
Magnesium stearate	9.5
Zinc stearate	2.05
Hydroxyethyl cellulose	10.26
Sodium steryl fumarate	10.22
Cellulose stearate	11.2
Pre-gelatinized starch	2.29
Croscarmellose	2.5
Hydroxypropyl methylcellulose	1.35
Hydroxypropyl cellulose	1.55

Schmitt et al (2001) *Thermochim Acta* 380 175-183

Optimize protocols

Add water (vapor)

Compress components

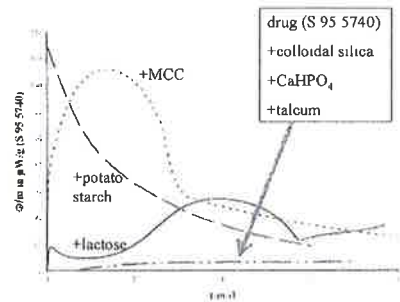
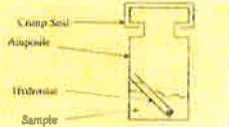
Increase temperature

Experimental Design for Compatibility Screening

The sample preparation technique must be strictly followed in order to obtain reproducible and reliable data. For the data presented, the following design was used:

- A sample weighing 800 mg (or 400 mg of each component for two component mixture) was added to a vibratory ball mill
- Sample was milled for 10 minutes
- Sample (~500 mg) was loaded into a vial with hydrostat and placed in a heating block set at 50 °C. The sample cap was crimped after the sample reached 50 °C
- Crimped ampoule was placed in an oven at 50 °C to equilibrate for 1-4 days prior to analysis. This gives sufficient time for the sample to equilibrate with water vapor inside the vial
- Heat flow measured in microcalorimeter for 15 hours

The hydrostat is the small tube containing a saturated salt solution with a small excess of the corresponding salt. It is used as a humidity source to the ampoule.



Seizer et al (1998) *Int J Pharmaceutics* 171 227-241

Biological calorimetry in the pharmaceutical field

Clinical Research

- Cell proliferation
- Apoptosis
- Metabolic effect on cultivated cells
- Receptor activation / cell signaling in cultivated cells

Drug Development

- Effect of primary hit compounds on cultivated cells
- Assay Development

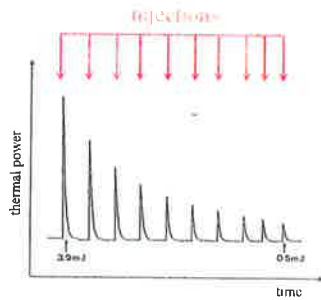
Protein Production

- Identification of High-producing Clones
- Optimization of Culture Conditions

From www.Synccell.se

Titration Calorimetry (ITC)

Titration is the controlled addition (in steps or continuously) of one substance to another. Liquid-liquid titration is most common, but solid-liquid (dissolution) and gas-solid (sorption) can also be considered as titrations.



The result of ITC measurements

Stoichiometry (1:1, 1:2 etc)

Binding constant (affinity)

Thermodynamics (ΔH , ΔG , ΔS , Δc_p)

ΔH heat released

ΔG equilibrium constant

ΔS measure of order in system

Δc_p measure of temperature dependence of ΔH


Introduction to Isothermal Calorimetry

Biological Calorimetry

Lars Wadso

Biological Calorimetry

- Botany
- Zoology
- Mycology
- Microbiology
- Food science
- Ecology
- Building Materials
- Agriculture
- Forestry




input → Biological processes → output
heat → heat

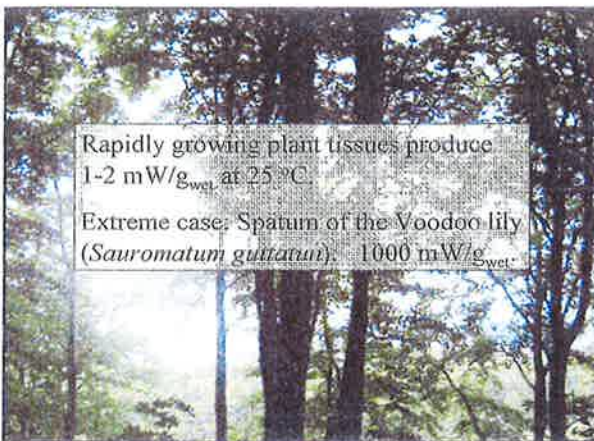
The heat measured from a biological process is the sum of heats produced by all processes occurring.

Simple model of respiration

$$\text{glucose} + \text{O}_2 \rightarrow \text{CO}_2 + \text{H}_2\text{O}$$

$$\Delta H \approx -455 \text{ kJ/mol(O}_2\text{)}$$

(All aerobic metabolism (respiration) gives similar ΔH values. The ΔH for anaerobic respiration is lower and dependent on the reaction paths.)



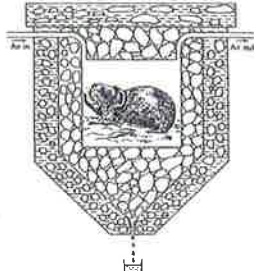
Rapidly growing plant tissues produce 1-2 mW/g_{wet} at 25 °C.

Extreme case: Spatum of the Voodoo lily (*Sauromatum guttatum*): 1000 mW/g_{wet}.

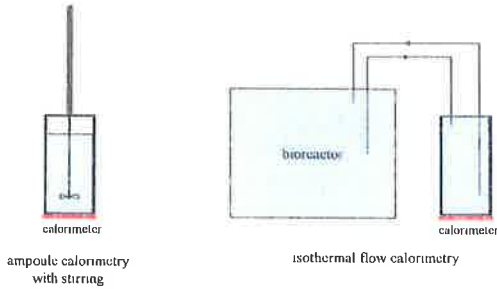
Measurement of heat produced by a guinea pig was measured by Lavoisier and Laplace in 1780.

The instrument is called a *Bunsen Ice Calorimeter*. Quantity of ice that melts is a measure of heat produced by the animal.

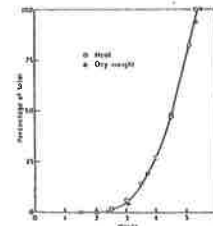
Note that the calorimeter is isothermal at 0 °C, but the animal (also isothermal) is at about 37 °C.



In microbiological calorimetry, the samples are usually cells in aqueous solution



Microbiological calorimetry



Exponential phase – heat production rate is proportional to bacterial mass

The percentage of the total heat produced is shown on the y-axis

Fig. 2 Heat production by a growing culture of *Streptococcus faecalis*, with glucose as energy source [52]

From review by Gustafsson, L (1991) *Thermochim Acta* 193 145-171 (measurements by Forrest, W W et al (1961) *J. Bacteriol* 62 685-690)

Microbiological calorimetry

Simultaneous measurement of several parameters in a bioreactor combined with a flow calorimeter.

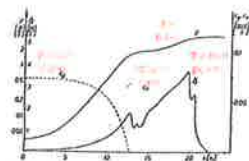
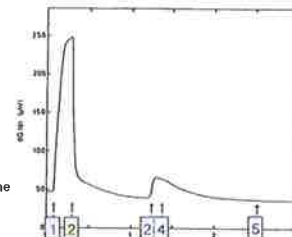


Fig. 4 Batch culture of the yeast *Saccharomyces cerevisiae* growing in a synthetic glucose medium. \dot{Q} , rate of heat production; X , dry mass, c_g , glucose concentration; c_e , ethanol concentration of the culture [62]. (\dot{Q} in the text $d\dot{Q}/dt$).

From review by Gustafsson, L (1991) *Thermochim Acta* 193 145-171 (measurements by Brettel, R et al (1981) *Eur J Appl Microbiol Biotechnol* 11 205-211)

Addition of substances during a measurement can give interesting information

Microbiological calorimetry



Azide – respiratory chain inhibitor

Iodoacetate – glycolytic inhibitor

Glucose

dQ/dt is the thermal power

Fig. 7 The effect of azide and iodoacetate on glucose metabolizing *Saccharomyces cerevisiae*. Figures indicate the addition of glucose (0.2 g l^{-1}) (1, 3 and 5), azide (1 mM) (2) and iodoacetate (1 mM) (4) [46]

Gustafsson, L (1991) *Thermochim Acta* 193 145-171

Measurements can also be made on larger samples. Here is an example with a 25 g fish in a 1 liter calorimeter

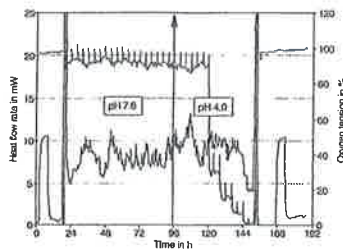
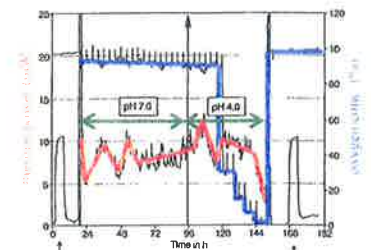


Fig. 1. Registration of an eight-day experiment with one single tilapia (*Oreochromis mossambicus* Peiers). After 4 days the water was slowly acidified at an acidification rate of 3.6 pH units over 240 min. The fifth day the animal was exposed to a graded hypoxia load (40% AS, 25% AS, 15% AS and 5% AS) for 8 h per hypoxia level. The top signal alternating between reference and measurement position, is the oxygen tension signal. The irregular line is the heat production signal.

Heat flow rate is the thermal power

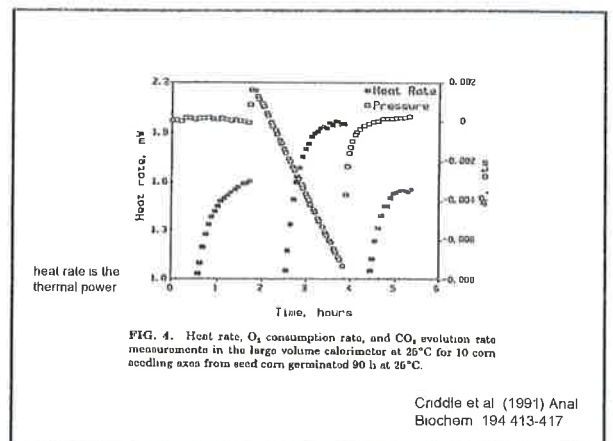
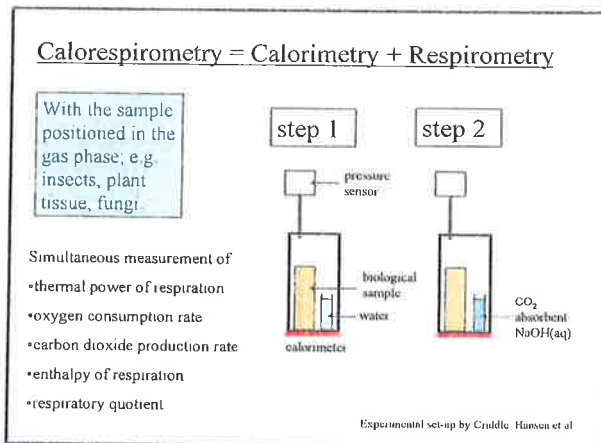
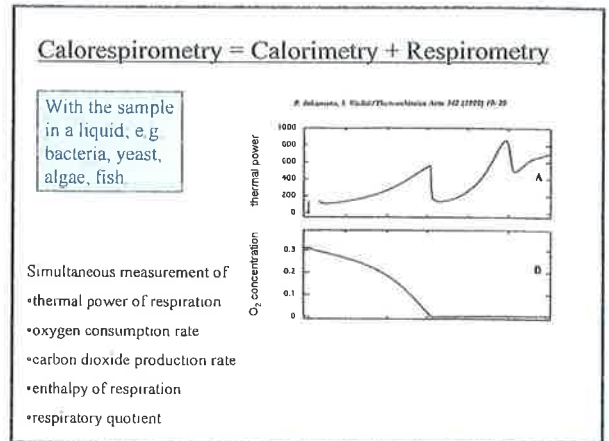
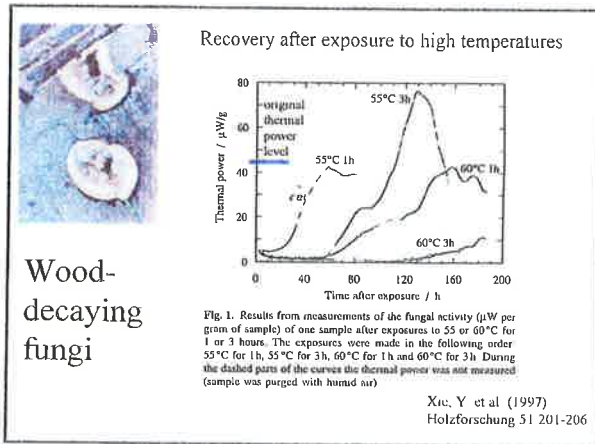
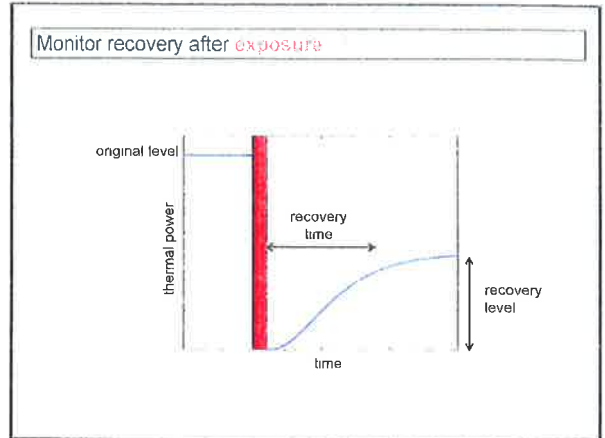
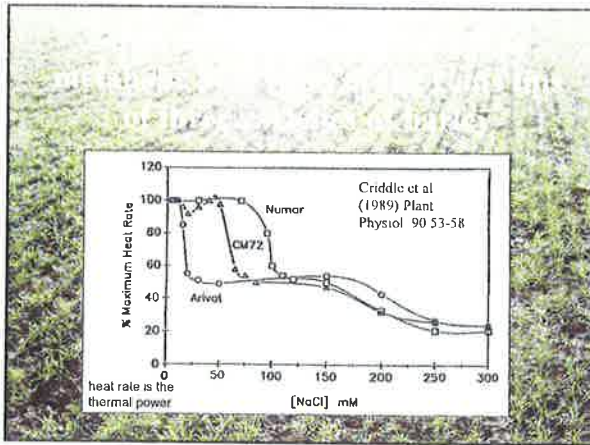
van Ginneken, V J T et al (1996) *Thermochim Acta* 276 7-15 (fish photo from www.aquanic.org)

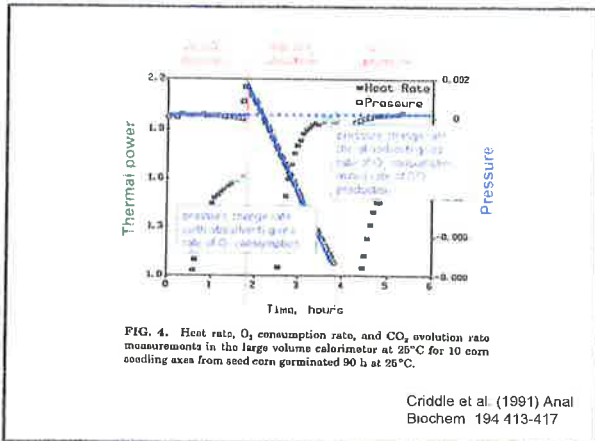
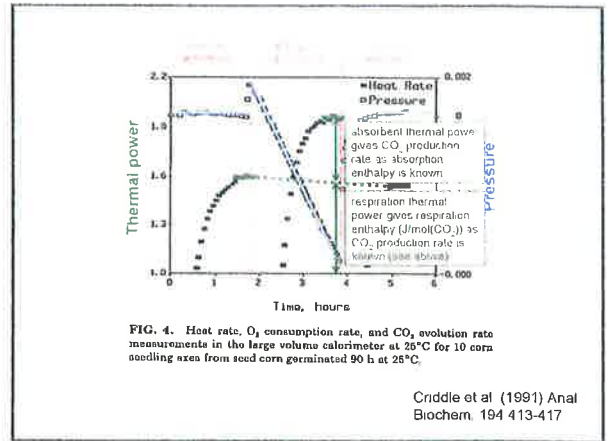
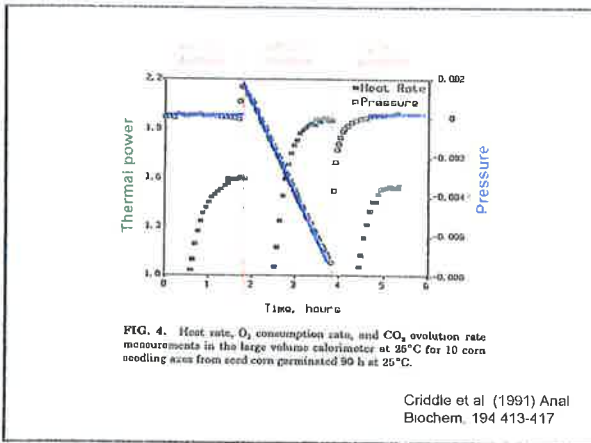
The effect of acidification (lower pH) and hypoxia (low oxygen concentration) on the activity and respiration of a fish.



electrical calibrations

van Ginneken, V J T et al (1996) *Thermochim Acta* 276 7-15 (fish photo from www.aquanic.org)





International Society for Biological Calorimetry
 ISBC
www.biocalorimetry.org



Introduction to Isothermal Calorimetry

Heat Conduction Calorimetry II

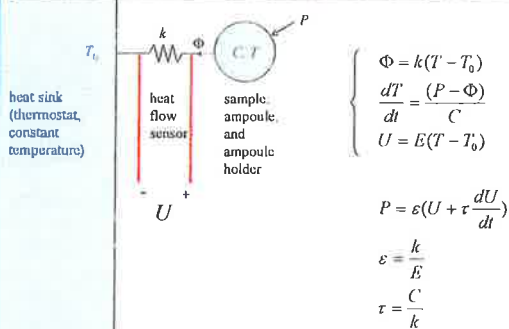
Lars Wadsö

Nomenclature

C	heat capacity (J/K)
E	Seebeck coefficient* (V/K)
k	thermal conductance* (W/K)
P	thermal power (W)
T	temperature (K)
ΔT	temperature difference (K)
U	voltage (V)
ϵ	calibration coefficient (W/V)
Φ	heat flow rate (W)

* of heat flow sensor

The simplest model of a heat conduction calorimeter



Tian:

$$P = \epsilon(U + \tau \frac{dU}{dt})$$

$$\epsilon = \frac{k}{E} \quad \tau = \frac{C}{k}$$

k and E are instrument parameters

C is an instrument + sample-parameter

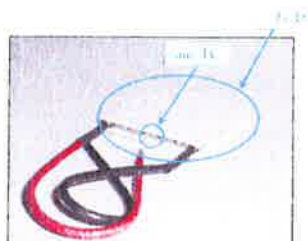
Typical values

k	thermal conductance	0.4 W/K
E	Seebeck coefficient	0.03 V/K
C	heat capacity of sample	30 J/K (empty ampoule) 80 J/K (water filled ampoule)

calibration constant: $\epsilon \approx 10$ W/V

time constant: $\tau \approx 100$ s (empty), 200 s (water filled)

The thermocouple plate



The thermocouple plate (TCP)



Heat flows through all the thermocouple parts in parallel.

The measured voltage is the sum of the voltages over all thermocouples

$$\varepsilon = \frac{k}{L} \quad \tau = \frac{C}{k}$$

Thermocouple properties depend on temperature

One normally recalibrates heat conduction calorimeters when the temperature is changed (also because other changes may take place)

Not all heat produced in a sample leaves through the heat flow sensor

0.1%? 1%? 5%?

Heat losses are acceptable provided they are reasonably low and the same for calibration and measurement

It is therefore important to calibrate so that

- heat is produced in the same position as during an experiment
- heat conduction paths are the same as during an experiment

A more complete model of a heat conduction calorimeter

thermostat constant temperature

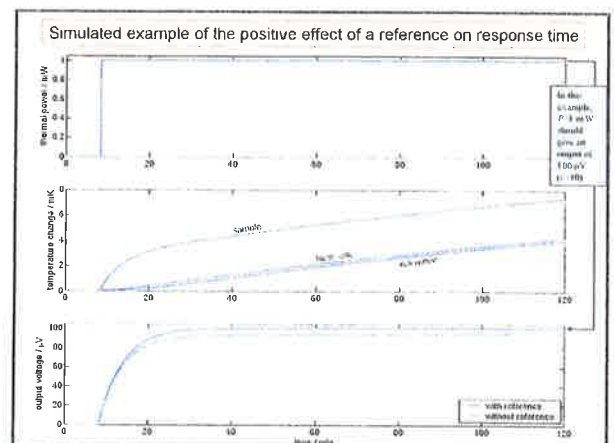
In a real heat conduction calorimeter, heat produced in the sample will influence temperature of the heat sink

A more complete model of a heat conduction calorimeter

thermostat constant temperature

Why use a reference?

- 1 Decrease effects of external disturbances that tend to influence both sample and reference in the same way
- 2 Decrease the effect of temperature changes in the heat sink (gives more rapid response)



Two potential problems with isothermal calorimetry

- 1 High thermal power may produce a significant temperature change in the sample
- 2 High heat output (high thermal power and/or long time) may give significant temperature changes in heat sink

Both these effects will cause the measurement to take place at a temperature other than that of the heat sink. Whether or not this is a problem depends on the type of measurement being made.

An *approximate* equation for temperature increase in the sample caused by factor 1 above:

$$\Delta T = \frac{P}{k}$$

A *worst case approximate* equation for temperature increase in the whole calorimeter (factor 2 above), assumes no heat leaks out of the calorimeter (in other words, is valid only for short times). Note: C is heat capacity of the heat sink.

$$\Delta T = \frac{Q}{C} = \frac{P \cdot \Delta t}{C}$$

An *approximate* equation for the temperature increase in the sample caused by factor 1 above

$$\Delta T = \frac{P}{k}$$

Example: A thermal power P of 4 mW in a calorimeter with a heat flow sensor thermal conductance k of 0.4 W/K gives a temperature change of 0.01 K.

(cf. Wadde, L. (2000) Temperature changes within samples in heat conduction calorimeters. *Thermochim. Acta* 366: 123-127)

A *worst case approximate* equation for the temperature increase in the whole calorimeter (factor 2 above) assuming that no heat leaks out of the calorimeter, i.e. valid for short times (C is the heat capacity of the heat sink).

$$\Delta T = \frac{Q}{C} = \frac{P \cdot \Delta t}{C}$$

Example: A thermal power P of 10 mW for 60 minutes (Δt) in a calorimeter with a heat capacity (C) of 500 J/K gives a temperature change of 0.07 K.

Temperature changes caused by heat production in the sample

Sensitive to temperature changes

kinetic measurements

biological measurements

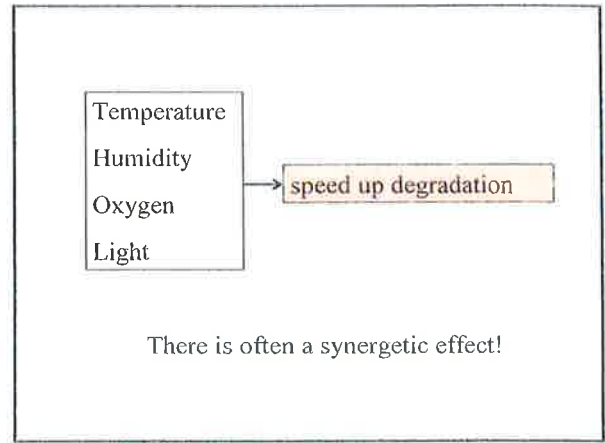
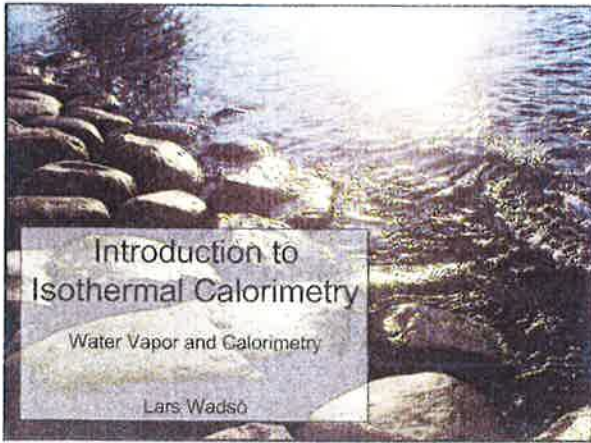
qualitative measurements

comparative measurements

Not sensitive to temperature changes

titrations (transient T -changes)





“Critical Relative Humidity”

Steel corrosion >50% RH
 Mold growth >75% RH
 Flooring adhesive failure >90% RH


Too simple to be generally good

For pharmaceutical products no generally “safe RH-levels” exist

Each formulation has to be studied separately and humidity is only one aspect (although an often very important one) that needs to be considered.

Important humidity considerations for stability testing

Formation of hydrates
 Deliquescence
 Humidity level for stability/compatibility testing



Water Vapor and Calorimetry

- 1 Chemical and biological processes are often dependent on water
- 2 Sorption properties can be measured by calorimetry
- 3 Water is a common source of problems in isothermal calorimetry

Nomenclature

a_w	water activity	
A	area (m ²)	
c	moisture content (g g ⁻¹) [*]	<small>* gram water per gram dry material</small>
D_p	diffusion coefficient of water vapor in air (g Pa ⁻¹ s ⁻¹ m ⁻¹)	
P	thermal power (W)	
v	vapor content (g m ⁻³)	
ϕ	relative humidity (Pa Pa ⁻¹)	

Relative Humidity (RH) \approx Water Activity (a_w)

Relative humidity is the vapor pressure divided by the saturation vapor pressure and is thus primarily defined for gaseous systems

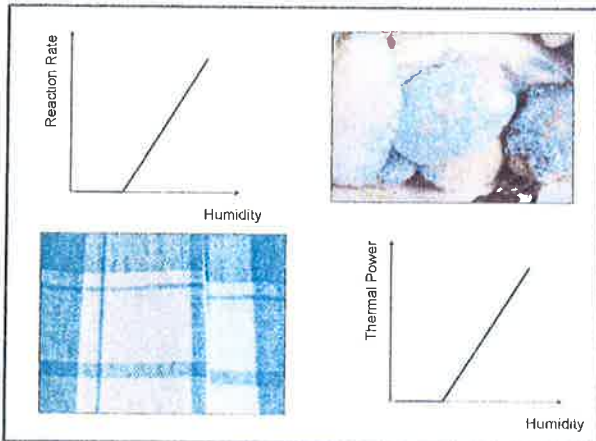
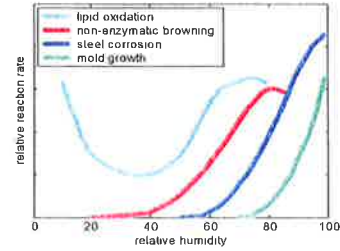
Water activity has a stricter thermodynamic definition and is defined for all types of systems (gaseous, liquid, solid)

Some people also use RH for liquid and solid systems. Such systems are assigned the RH that a gas phase *in equilibrium* with the system would have had

RH values are often given as %, while water activities are given as fractions (always use fractions in calculations)

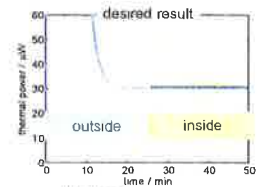
1

Reaction rates often depend on how much water is available (low RH \Rightarrow low availability of water)



Two principally different methods of measuring thermal power (reaction rate) as a function of moisture state

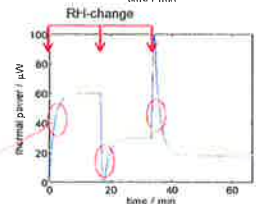
Change RH outside calorimeter



Change RH inside calorimeter

desired result

adsorption effect



Air contains very little water, even at 100% RH

At 25 °C, 150 mL of air saturated with water vapor holds 3.5 mg water.

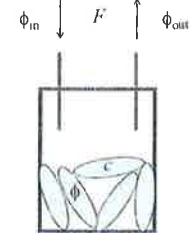


Hygroscopic solids can hold large amounts of water

At 25 °C, 150 mL wood will absorb 5 g of water when taken from 60 to 80% relative humidity



The ideal situation when we humidify a sample (100% efficiency)



ϕ relative humidity
 c moisture content
 F gas flow rate (m³/s)

Example
At start of RH change

$$\phi = 0.70$$

$$\phi_{in} = 0.80$$

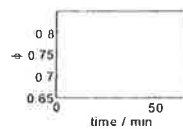
$$\phi_{out} = 0.70$$

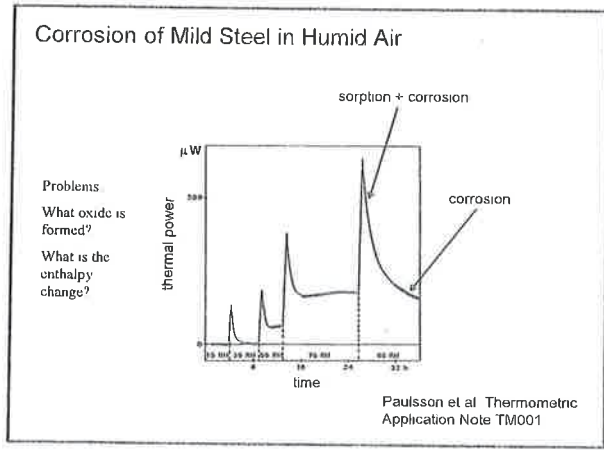
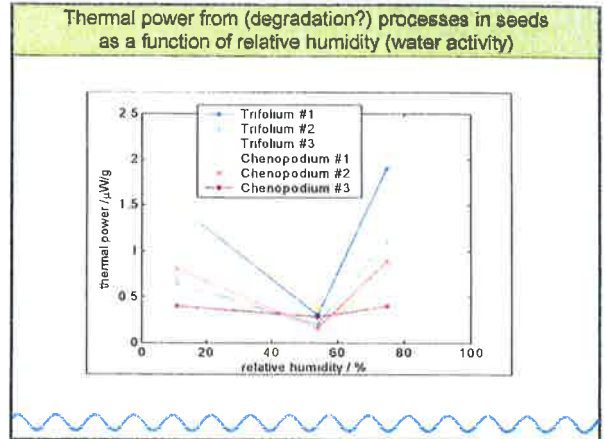
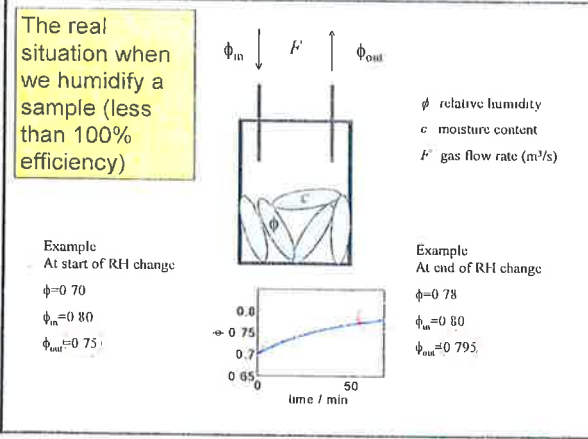
Example
At end of RH change

$$\phi = 0.795$$

$$\phi_{in} = 0.80$$

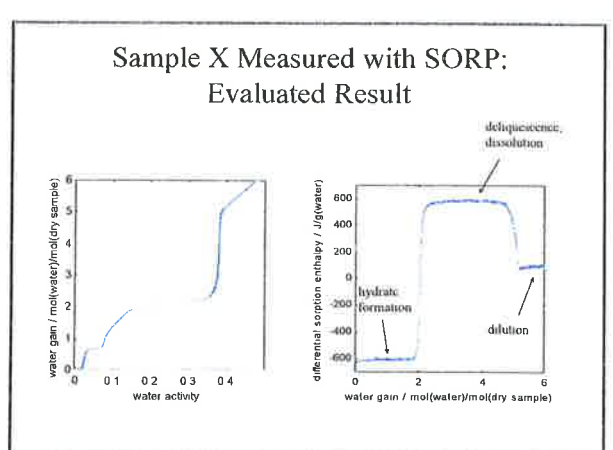
$$\phi_{out} = 0.795$$

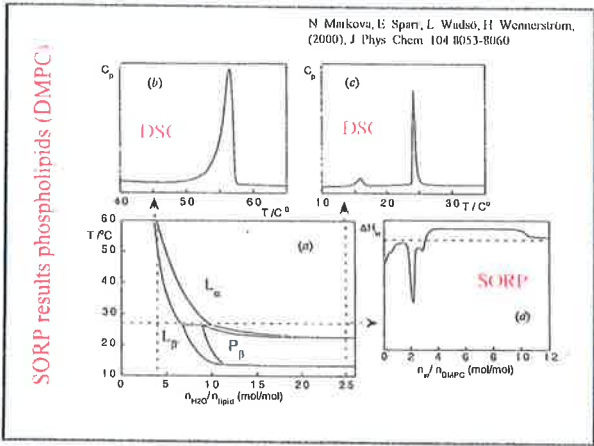




- 2 One can use isothermal calorimetry in two ways.
- because we are interested in the heat/enthalpy
- 1 Enthalpy of vaporization
 - 2 Sorption enthalpies
 - 3 Dissolution enthalpies
 - 4 Fermentation (process heat)
 - 5 Cement hydration (risk of cracking)
- etc
- or because we use the heat to calculate other things
- 1 Sorption isotherms
 - 2 Stability and compatibility (annual degradation)
 - 3 Vapor pressures
 - 4 Biological activity
- etc

- textiles
 - foodstuffs
 - chemical products
 - packaging materials
 - wood, pulp and paper
 - building materials
 - pharmaceuticals
 - drying agents
 - polymers
 - catalysts
- Sorption is of great practical interest
- Sorption is a way to investigate materials (surface chemistry, porosity ..)





3 Water Vapor?

in the air

in our bodies
(perspiration, exhalation)

titration into aqueous solution

biological materials

sorption calorimetry

cement hydration

etc.

What types of problems can one have?

Vaporization
(diffusion)

Vaporization
(convection)

Absorption

Condensation

What types of problems can one have?

Vaporization
(diffusion)

Vaporization
(convection)

Absorption

Condensation

Enthalpy of ...

vaporization
 condensation
 absorption
 adsorption
 desorption
 ...
 Δh (J/g)

Forced Convection

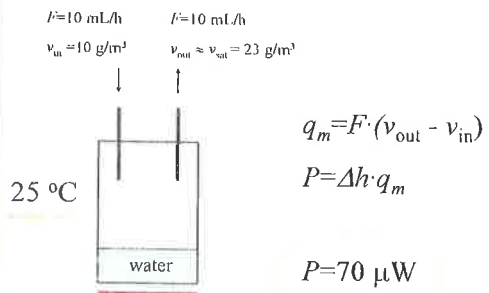
F gas flow rate (m^3/s) v vapor content (g/m^3)
 q_m resulting mass transfer rate (g/s)

v_{in} (g/m^3)
↓
 v_{out} (g/m^3)
↑

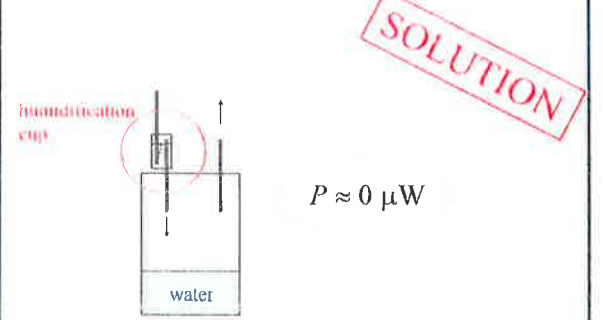
$$q_m = F \cdot (v_{out} - v_{in})$$

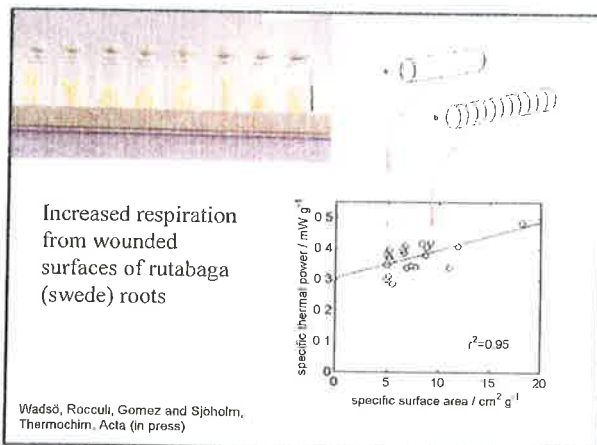
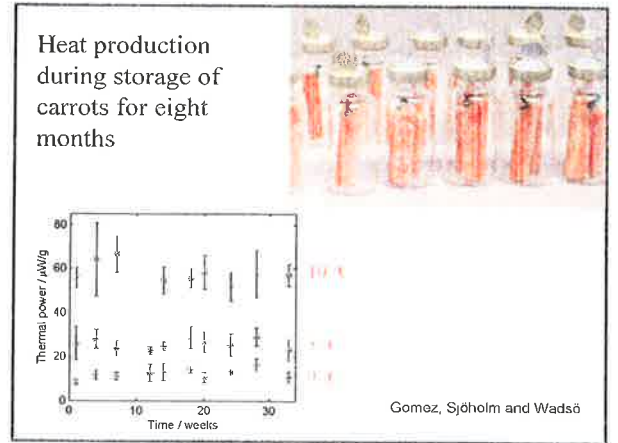
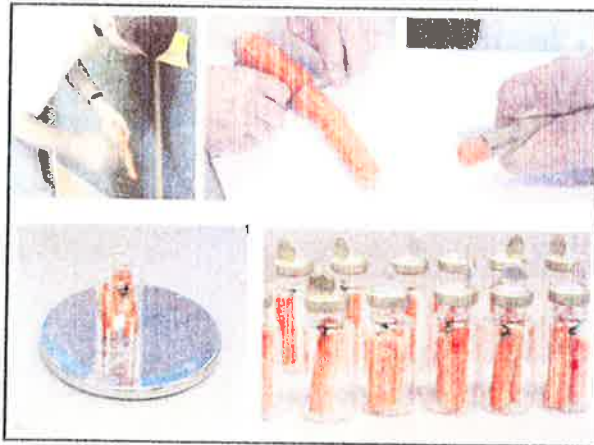
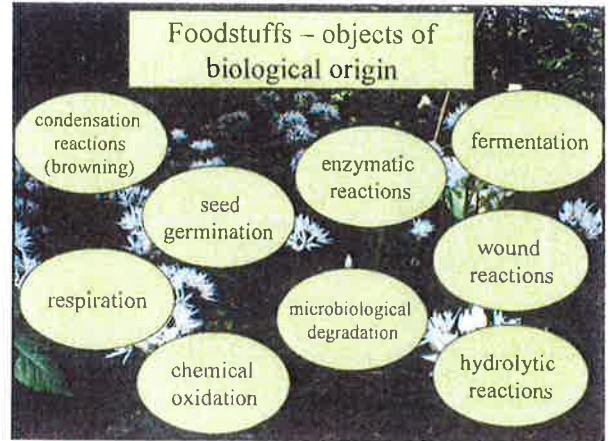
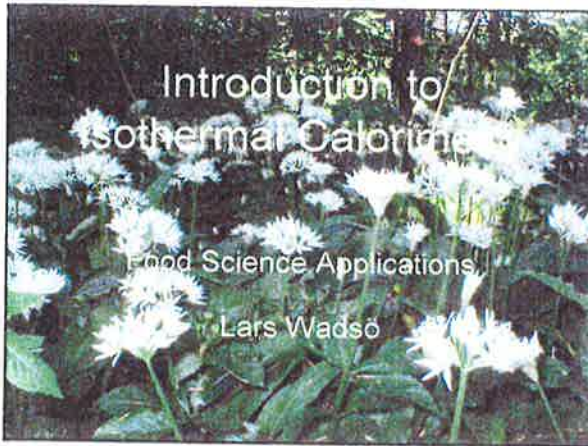
$$P = \Delta h \cdot q_m$$

Forced Convection



Forced Convection





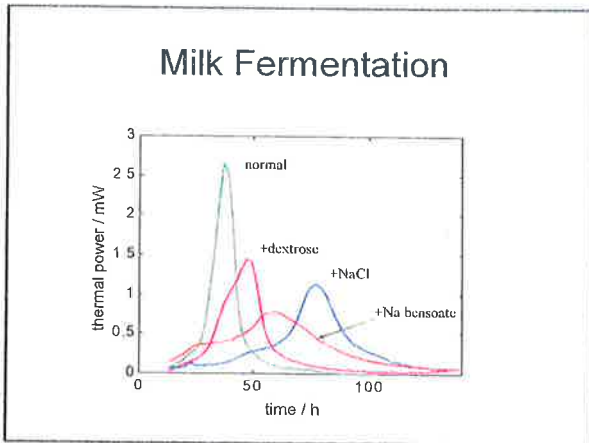
Milk Fermentation

Fermented milk products such as yogurt are common in many parts of the world. This application example concerns a typical Swedish milk product that is fermented at relatively low temperatures (20 °C) compared to yogurt (45 °C).

Milk + 1% starting culture

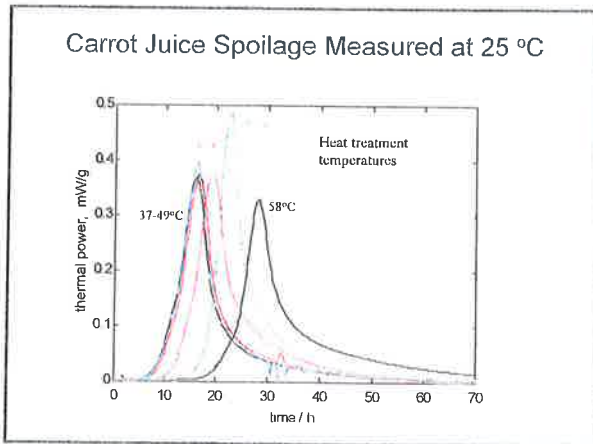
normal, +dextrose, +NaCl, +Na-benzoate

closed 20 mL glass ampoules at 19 °C in a TAM Air



Carrot Juice Spoilage

Eight samples were heat treated at 37-58 °C
closed 20 mL glass ampoules at 25 °C (accelerated)



Barley Grain Germination (Malting)

202-1-100h
dry mass = 3.984 g
moisture content (avg) = 54 %
heat 1-10 h = 13.8827 J/g
heat 1-20 h = 49.4233 J/g
heat 1-30 h = 90.2443 J/g
heat 1-40 h = 136.4633 J/g
slope at 0 h = 0.5705 mW/g
slope at 1 h = 0.5705 mW/g
slope at 2 h = 0.5705 mW/g
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slope at 98 h = 0.5705 mW/g
slope at 99 h = 0.5705 mW/g
slope at 100 h = 0.5705 mW/g

100 barley grams in 20 mL glass ampoule with free water in an absorbent pad, perfused with humidified air

← Sleeping → Malting →

L. Wadso (unpublished work)

Water is a key factor in shelf-life

From Fennema, Food Science

Shelf Life Evaluation

"Isothermal DSC traces at different temperatures" = isothermal calorimetry at different temperatures

"HF" = "Heat Flow" = thermal power

Riva et al. (2001) Thermochim Acta 370 73-81

Comparison of different measures of degradation
(measured on parallel samples)

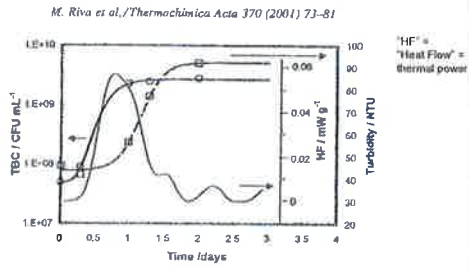
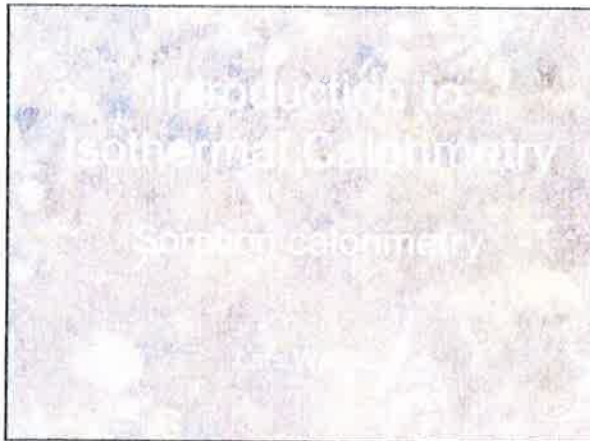


Fig. 5. Kinetics indices (heat flow, turbidity and Plate count) for packaged fresh carrots stored at 20°C.

”Microcalorimetry is a very useful tool for the measurement of the degree of aging of a product and also for the elucidation of the aging mechanism. This can sometimes be a formidable task in such a complex matrix as a food product”.

Almqvisti et al (1991) Thermometric Application Note 22016





The vapor state of a solid (how much vapor a substance has sorbed):

water content, vapor content, water gain

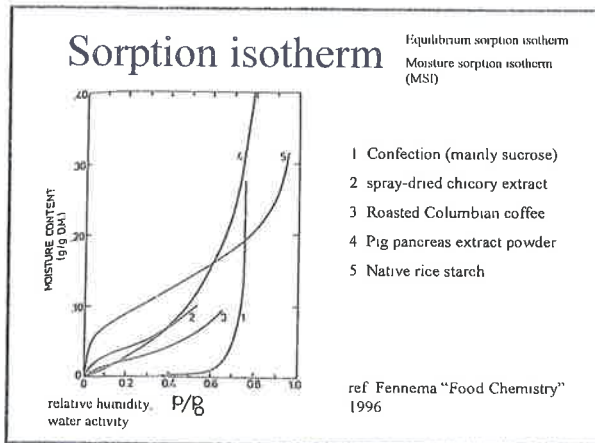
$\frac{E_{\text{vapor}}}{E_{\text{dry solid}}}$
 $\frac{\text{mol}_{\text{vapor}}}{\text{mol}_{\text{dry solid}}}$

(equilibrium) sorption isotherm

The vapor state of the gas surrounding of a solid

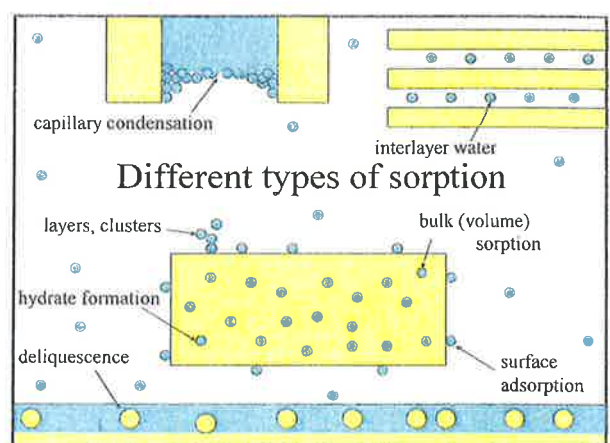
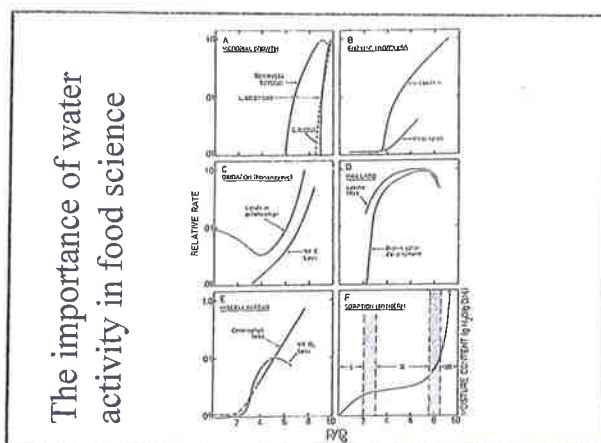
relative humidity, water activity

$\frac{P_{\text{vapor}}}{P_{\text{saturation}}}$



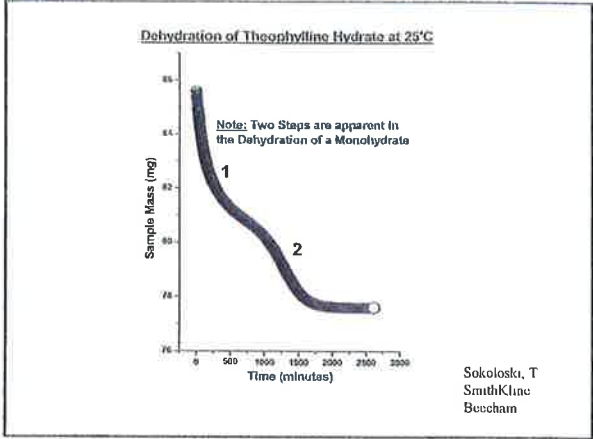
Other processes that can take place during sorption

glass transition
 crystallisation
 conformational changes
 swelling-shrinkage
 chemical reactions, degradation



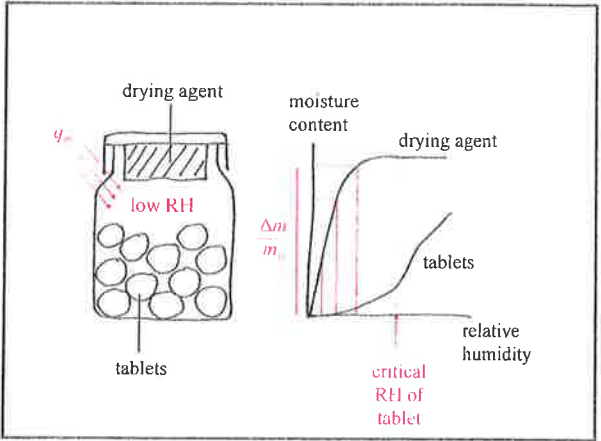
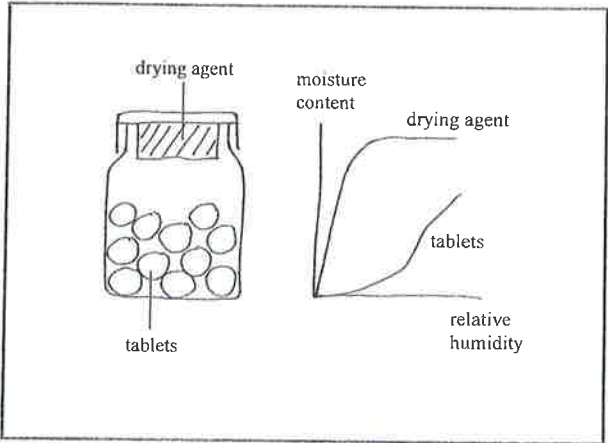
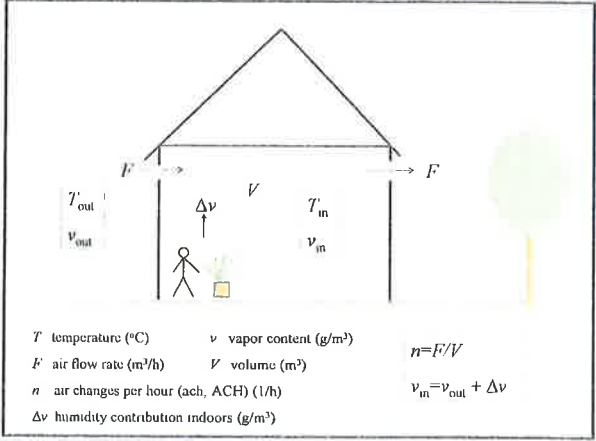
Sorption processes often have complex kinetics

Solid-state kinetics is often complex, governed by diffusion etc.



	WINTER	SUMMER
INDOORS	RH=35% $v=7 \text{ g/m}^3$ $T=20^\circ\text{C}$	RH=68% $v=13 \text{ g/m}^3$ $T=20^\circ\text{C}$
OUTDOORS	RH=92% $v=4 \text{ g/m}^3$ $T=0^\circ\text{C}$	RH=72% $v=11 \text{ g/m}^3$ $T=15^\circ\text{C}$

Typical values in Lund, Sweden



Problem: moisture transport into blister pack

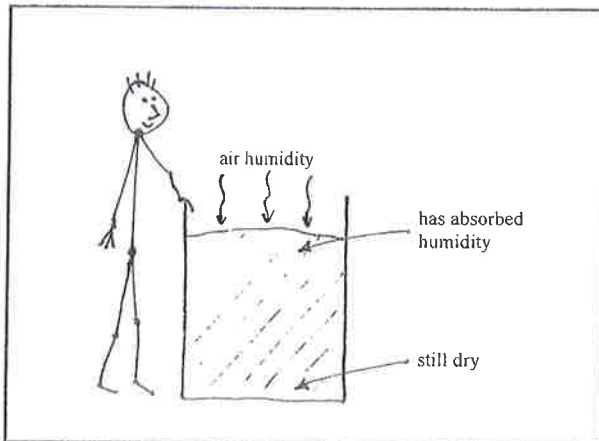
tablet 5 mg X dihydrate ($M_n=162$ g/mol)
 95 mg microcrystalline cellulose MCC at $a_w=0.15$
 permeability of blister pack 10^{-11} g/Pa/s
 climate 1 30°C/90% RH (Singapore)
 climate 2 20°C/60% RH (Sweden)
 end of shelf life when 10% of X is pentahydrate

Calculate end of shelf life!

Moisture redistribution

initial
 1. active substance a_{w1} m_1
 2. excipient a_{w2} m_2
 3. coating a_{w3} m_3

What will the final water activity be when equilibrium is established?



Examples of processes that produce heat:

- sorption
- crystallization
- conformational changes
- deliquescence
- chemical reactions

Differential sorption enthalpy
 J/g_{water}
 (a function of RH/concentration)

the heat produced when one gram of liquid water is sorbed by a large sample

Integral sorption enthalpy
 J/g_{sample}
 (between two moisture states)

the heat produced when one gram of sample changes its moisture state

Why will two sorption methods sometimes not give the same result?

1. Method of drying
2. Mode of vapour transfer (step, ramp...)
3. Rate of moisture transfer
4. Sample size
5. Sample thickness etc.

kinetics...

